

1 **Stratigraphy and paleoenvironments of the early to middle** 2 **Holocene Chipalamawamba Beds (Malawi Basin, Africa)**


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12 13 **Abstract**

14 We describe the Chipalamawamba Beds, early to middle Holocene deposits at the southern
15 margin of long-lived Lake Malawi. The beds are exposed because of downcutting of the
16 upper Shire River. The Chipalamawamba sediments are medium to coarse, yellow to brown
17 sands deposited in lenses varying in horizontal extent from a few meters to several hundreds
18 of meters. Four units are recognized; the first three mainly contain lacustrine sediments
19 deposited during lake high-stands about 10.6-9.7 cal ka BP (Unit 1), 7.6-6.5 cal ka BP (Unit
20 2) and 5.9-5.3 cal ka BP. Sediments of Unit 4 top units 1 to 3, are coarser and display regular
21 foresets and oblique-bedding, suggesting deposition in riverine environments after installation
22 of the Shire River (~5.0 ka BP). Freshwater mollusk assemblages and bioturbations  ularly
23 occur in the lacustrine sediments, but are largely absent from Unit 4. Diverse and often
24 contradicting hypotheses on the lake levels of Lake Malawi have been proposed for the early
25 and middle Holocene. The Chipalamawamba Beds allow straight-forward recognition of
26 water levels and provide strong evidence for oscillating lake levels during this period, rather
27 than continuous high or low levels. Sedimentation rates have been high and individual shell
28 beds have typically been deposited during a few decades. Because the Chipalamawamba Beds
29 contain a sequence of mollusk assemblages with intervals between subsequent shell beds

1 ranging from a century to a few millennia, they enable paleontological analysis of the fauna
2 with unusually high temporal resolution. That some mollusk lineages inhabiting Lake Malawi
3 are in the early stages of diversification and radiation increases the paleobiological relevance
4 of these beds.

5

6 **1 Introduction**

7 In this paper we describe a sedimentary sequence in the Mangochi Province of Malawi that
8 was deposited in long-lived Lake Malawi during the early to middle Holocene. These
9 sediments were first visited for scientific purposes in 1992 by Albrecht Gorthner as
10 collaborator of the paleontological and paleoantropological Hominid Corridor Research
11 Project under direction of Friedemann Schrenk. Although Gorthner touched upon the beds in
12 the scientific literature (Gorthner, 1994), hardly any information he collected during his single
13 day of fieldwork in the area was published, apart from an abbreviated overview of the
14 freshwater mollusk fossils. A preliminary draft on some stratigraphic and sedimentological
15 aspects of the beds was composed, but never published (Gorthner, pers. comm.).

16 We visited the region again in 2008 and in 2010, during which we dug new trenches, found
17 additional outcrops and collected sufficient data on the sediments as well as their fossil
18 content for a detailed description of the stratigraphy of the beds, their depositional
19 paleoenvironment and paleoecology. Moreover, we outline some paleolimnological
20 implications of the data collected and indicate the potential these sediments offer to study
21 organismal diversification events in long-lived Lake Malawi.

22 **1.1 General setting**

23 The Chipalamawamba Beds crop out some 10 km south of Lake Malawi along the shores of
24 the upper Shire River, just north of the shallow Lake Malombe located farther south (Fig. 1).
25 The main outcrops are located on the western shore nearby the Chipalerman villages
26 Chipalamawamba and Kwitambo at the eroding side of a bend in the Shire River, but also on
27 the eastern bank of the next curve in the river upstream farther upstream, nearby the poorly
28 accessible village Chipalembe. Currently no other outcrops have been discovered along the
29 upper Shire River, but the beds occur over a wider geographical area, as suggested by the
30 topography and bathymetry of the southern Malawi Basin (Scholz and Rosendahl, 1988). We
31 encountered sediments and mollusks belonging to Chipalamawamba strata nearby a graveyard

1 of Chipalamawamba, ~600 m inland and north of the village centre (Fig. 1C). On the central
2 plaza sediments were brought to the surface recently (2009) during the construction of a new
3 water hole, but they appear to have been discarded by the project leader. However, the old,
4 more southwards located water hole exposed sediments of the Chipalamawamba Beds. These
5 findings indicate that the sediments directly beneath the modern soil on which
6 Chipalamawamba and the more southwards located village Kwitambo are built belong to the
7 continuation of the Chipalamawamba Beds.

8 Our descriptions of the Chipalamawamba Beds here are based on detailed studies of the main
9 sections on the western shore of the Shire River along Chipalamawamba and Kwitambo.
10 These outcrops cover a horizontal distance of ~1200 m, and crop out from the water level up
11 to ~5.0 m higher. On the eastern shore of the Shire at Kazembe outcrops are present over a
12 distance of ~170 m and have a smaller vertical elevation (from the water level up to ~3.0 m
13 above it). These latter outcrops are not treated in detail here because no sections were made
14 through them and their stratigraphy has not been studied as extensively.


15

16 **2 Material and methods**

17 **2.1 Field methods**

18 During fieldwork in 2008 we mainly aimed to collect fossils from outcrops and to
19 characterize the depositional environments in which fossil bearing strata were formed.
20 Because of the considerable lateral variation observed in the beds, it soon became clear that
21 the data collected during this short visit were insufficient to study fossil material in
22 chronological sequence using time series analyses. Therefore, we decided to launch a more
23 intensive field campaign in 2010 to elucidate the stratigraphy, to characterize the
24 sedimentology and to elaborate our sampling of fossil material.

25 We dug step-like trenches through the Chipalamawamba Beds to enable detailed
26 stratigraphical and sedimentological descriptions and to measure and record the
27 characteristics of individual beds. For each section a lithostratigraphic profile was
28 constructed. Due to the proximity of the highly populated villages and the regular visits
29 people make to the waterside, we dug trenches at dawn, fully documented and sampled them
30 over the day to close them again before dark. Vertical positions of trench steps and bedding
31 planes were measured with an accuracy of ~1 cm relative to the water level of the river. For

1 this we used baseline nails, a theodolite (Sokkisha C3E, 22× optical magnification; accuracy
2 ~0.001 gon) and beacon. The water level was observed to fluctuate up to ~5 cm during the
3 day and  more depending on wind stress. We kept a constant baseline by marking the
4 water level with nails at each locality and comparing the positions of nails and the water level
5 at previously established stations at the time of creating a baseline for a new locality. With
6 this procedure 1 cm in accuracy may have been lost additionally. Given the relatively small
7 distances between theodolite and beacon (<50 m) no correction for the curvature of the earth
8 was required. At each baseline nail GPS coordinates were taken with a Garmin GPS III Plus
9 to allow calculation of distances between individual profiles. Sometimes, distances between
10 trenches and/or outcrops were directly measured using tape measure or with the theodolite
11 and beacon. Comparison of GPS-based measurements with these latter methods suggests
12 distances between subsequent trenches are made with an accuracy of ~2 m.


13 Stratigraphical profiles were drawn on a 1/10 scale and include information on grain size,
14 sorting, sediment color, sedimentary structures, the fossil content of the beds and the nature of
15 the stratigraphical contacts. Granulometry was determined by visual comparison with a grain
16 chart (Krynine, 1948) at 10× magnification; colors were determined using the revised
17 Standard Soil Color Charts (Oyoma and Takehara, 1967). Every 5 cm magnetic susceptibility
18 (MS) was measured using a ZHstruments SM-30 meter at the shady side of the profile. The
19 accuracy is $1 \cdot 10^{-7}$ SI units and measurements were performed with double calibration at the
20 air (before and after). Each measurement was repeated three times, but if differences were
21 larger than $0.40 \cdot 10^{-4}$ SI units, 2 additional measurements were taken. As MS is temperature-
22 dependent, temperatures were measured for every step in the trench. However, because
23 limited fluctuations in temperature were measured during MS measurements, no temperature
24 correction was needed. Sediment samples were taken every 5 or 10 cm with tubes of ~3 cm³
25 for later sedimentological analyses in the lab. At locality L1 a continuous sediment profile
26 was taken as well, but as this method proved suboptimal (and highly time-consuming) in the
27 loosely aggregated sandy sediments at Chipalamawamba, it was not performed for other
28 trenches.

29 Shell beds, when present, were sampled individually in a qualitative and/or quantitative way
30 (by weighing the sample and subsequently sieving it using mesh sizes of 2 mm and 710 μm).
31 Taphonomic features were recorded. A few poorly preserved and/or scattered shell beds were
32 not sampled, though their position is indicated in the profile drawings, with summary

1 indications as to their content. *In situ* samples of fossils were collected during the digging of
2 the trenches only if the exact stratigraphical position could be established. However, fossils of
3 uncertain positions were screened and when they displayed interesting or unusual features,
4 they were bagged as *ex-situ*. Otherwise, material was only collected during the cleaning and
5 profile-drawing phases. Quantitative samples were sieved after dark and profiles were re-
6 drawn and correlated with earlier profiles. If required or desirable, additional semi-trenches
7 were dug the next day to verify physical correlations or other aspects that proved ambiguous
8 in the re-drawing phase.

9 **2.2 Lab methods**

10 **2.2.1 Sedimentology and stratigraphy**

11 Re-drawn sediment profiles were digitized primarily using SedLog v2.1.4. (Zervas et al.,
12 2009), after which the result was imported in Adobe Illustrator CS5 to make the initial
13 digitization result more condensed and accurate, e.g., by expanding the  SedLog available
14 set of stratigraphical contacts, by not just indicating the fossil content of a bed, but placing
15 symbols at their exact vertical position of occurrence in the profile, and by designing
16 swatches that indicate minimal and maximal grain sizes, and therefore the degree of
17 sortedness in a sediment layer. Also, MS measurements were compiled and plotted in profiles
18 using Excel. These values were compared on a profile to profile base (not shown). Google
19 Earth was also used to prepare the figures.

20 To create overview figures, digitized individual profiles were downsized and compiled in two
21 graphs, one for the northern and one for the southern part of the outcrops on the western shore
22 of the Shire River. Subsequently, correlations were synthesized and information on erosional
23 phases was added. Several arguments for correlations exist; some are based on observed
24 physical continuation of beds, other correlations are based on geographical proximity and
25 similarities in the mollusk composition of fossil assemblages and the taphonomy of the shell
26 beds, others are deduced by similarities in grain size, sediment color and peaks in the MS
27 values, and finally some correlations are based on ages as measured via radiocarbon dating.
28 The symbols used and the diverse types of correlations made in these overview figures are
29 summarized in Fig. 2.

1 **2.2.2 Radiocarbon dating**

2 Radiocarbon dates were performed on fossil freshwater mollusks. Therefore, calibration
3 requires taking account of the reservoir effect in mollusk shells caused by sources of fossil
4 carbon in the Malawi Basin. Dating sub-recent bulk organic material, Brown et al. (2007)
5 found a reservoir effect of ~400 years. This corresponds well to the offset of ~450 years
6 obtained by comparison of dates on bulk organic material and varve counting ages of
7 Holocene sediments (Barry, 2001). However, comparisons between bulk organic material and
8 woody material (mid-Holocene) or charcoal (Last Glacial Maximum) suggests a larger effect
9 of reworked carbon (770 ± 100 and 680 ± 110 years; Barry et al., 2002). Because the origin of
10 bulk organic material is obscure, it is difficult to interpret what the obtained differences
11 signify. Brown et al. (2007) adopted a constant reservoir effect of 450 years during the
12 Holocene. Their assumption that the reservoir effect remained fairly constant over the
13 Holocene seems reasonable given the geological brevity, the limited tectonic changes in the
14 Malawi Basin over this period, and the long flushing times of the lake (~750 years; Bootsma
15 and Hecky, 1993). However, as the reservoir effect in bulk organic material can hardly be
16 related to those recorded in other sources of datable material, we dated an early 20th century
17 shell from Lake Malawi to allow calibration of our other radiocarbon dates. This specimen
18 was obtained from the Royal Museum for Central Africa (Tervuren, Belgium; MRAC 58430,
19 Bequaert 1927). Calibrations were performed using the “Fairbanks 0107” calibration curve
20 (Fairbanks et al., 2005).

21 **2.2.3 Fossils**

22 The content of most fossil samples (98%) has been identified to the lowest possible
23 taxonomic rank. Freshwater mollusks are overwhelmingly dominant and we identified these
24 using Mandahl-Barth (1972) and Brown (1994). Identifications and specimen counts were
25 compiled in an excel database.

26

27 **3 Results**

28 **3.1 Sedimentological characteristics**

29 In total, 20 large trenches and 10 smaller trenches (L6 C & D, L8C, L9 B & C, L10 C, L14 B,
30 L15 B-D) were made. The smaller ones were necessary to investigate the lateral continuity of

1 stratigraphic contacts (locations indicated in Fig. 1). General sedimentological and
2 stratigraphical overviews of the Chipalamawamba Beds are presented in Figure 3 for the
3 northern part and in Figure 4 for the southern part. Most beds contain medium, coarse and
4 very coarse sandy sediments that regularly contain larger granules, i.e. pebbles with diameters
5 of 3-9 mm and very occasionally up to 20-25 mm. Overall, sediments have been deposited
6 rapidly (see below) in moderate to high energy environments. A few beds with large granules
7 and rolled shells reflect very high energy conditions. The color of the sediments ranges from
8 yellowish for the more typical lacustrine beds (frequently 2,5 Y 7/3 light YE, 2,5 Y 8/3 pale
9 YE, 2,5 Y 6/3 dull YE or even 2,5 Y 6/2 (GR) YE) to somewhat darker brown/dull yellow
10 orange sediments in beds that seem often (but not always) to have been deposited in
11 environments with slightly more energy (frequently 10 YR 5/4-7/3 dull YE BR or dull YE
12 OR). The modern soil overlying the Chipalamawamba Beds is always easily recognizable,
13 mainly by its darker color (10 YR 3/2 (BR) BL) and its higher magnetic susceptibility (on
14 average $\sim 0.13 \cdot 10^{-3}$ SI) than the Chipalamawamba sediments (on average $\sim 0.05 \cdot 10^{-3}$ SI).
15 Noteworthy is that beds just below the modern soil were always darker brown or dull yellow
16 orange and that more yellowish sediments were dominant in L15 and profiles north of it,
17 whereas profiles farther south had often relatively darker orange/brown sediments. Paleo-river
18 gullies are recognizable by their infill with uniformly coarse and dull yellow orange/dull
19 yellow brown (mainly 10 YR 7/3-7/4) sediments that generally lack fossils. They often
20 display oblique bedding and foresets. Elsewhere foresets and oblique bedding is more
21 occasionally present and often associated with bioturbations, fossil remains and concretions.

22 **3.2 Radiocarbon dating**

23 In total 23 dates have been obtained on fossil freshwater mollusk shells from the
24 Chipalamawamba Beds (Table 1). One date was obtained by Gorthner (1994) and although
25 we have approximate information as to what shell bed yielded the material, we have no
26 information on the lab and dating codes, if and how he calibrated the date and whether he
27 attempted to account for the reservoir effect. Therefore, we report his result here, but leave it
28 untouched for all other purposes. We performed the remaining 22 dates on shells that have a
29 well-constrained stratigraphic position. All results are internally consistent and are reported in
30 Table 1 together with the date obtained on a modern shell for calibration. This modern shell
31 with an age of 25 a BP yielded a radiocarbon age of 190 ± 30 ^{14}C a BP, suggesting that the

1 reservoir effect in mollusks is ~175 years, hence substantially smaller than that recorded in
2 bulk organic material.

3 **3.3 Fossil content**

4 Including replicates, 163 samples of fossil material were obtained during the fieldwork
5 campaigns in 2008 (17) and 2010 (146). Most of these fossils belong to freshwater mollusks,
6 but fish bones and occasionally isolated mammal or bird remains have also been obtained.
7 The fossil assemblages testify to the exclusively aquatic setting in which the
8 Chipalamawamba Beds were deposited. The content of all but three of these samples has been
9 fully identified and counted. In total this results in 34,215 specimens being processed, leaving
10 about 10,000-15,000 more specimens yet to be handled (mainly belonging to the gastropod
11 genus *Melanoides*). However, even though not all material has been processed, it was possible
12 to make detailed comparisons of samples and their mollusk communities. While detailed
13 discussions on the mollusk diversity through time is beyond the scope of this paper, very
14 characteristic communities could be distinguished in a few cases and allowed correlations
15 between profiles. Because some mollusk genera display considerable morphological evolution
16 over the Holocene, some characteristics of fossil taxa enabled us to attribute shell beds to a
17 particular stratigraphic unit. This aspect will be discussed below.

18 **3.4 Stratigraphy**

19 The Chipalamawamba Beds consist of four units separated from one another by erosional
20 contacts, which unfortunately are not always easy to recognize in the field. They are overlain
21 by modern soil. The bedding is usually nearly horizontal, often dipping slightly towards the
22 north, but due to the lenticular nature of the beds variation occurs and dips to the south have
23 been observed as well. Below, we describe these four units from bottom to top and have
24 delineated, to the extent possible, the four major units in the grain size column of the profiles
25 in Figs. 3 and 4. Magnetic susceptibility by itself did not allow to discern the units of the
26 Chipalamawamba Beds because the variation within a bed is usually similar to or greater than
27 that between beds. As MS properties are not characteristic for the individual units, they are
28 not discussed below and peaks in MS values are used for correlation only in cases of
29 correspondence with independent sedimentological evidence.

1 We describe the stratigraphy in informal terminology (beds and units instead of formations
2 and members) because of uncertainties in the geographic (and cartographic) extent beyond
3 Chipalamawamba, Kwitambo and Kazembe, because of the difficulties experienced in
4 identifying some of the erosional contacts between the units, and because the base of the
5 Chipalamawamba Beds and the (horizontal and vertical) extension of the paleo-river gullies
6 remains unknown.

7 **3.4.1 Unit 1**

8 Unit 1 is the lowermost unit and dates back to 10.6-9.7 cal ka BP. Its contact with the
9 underlying unit was not observed, the upper boundary is an erosional contact with Unit 2.
10 Unit 1 has an observed thickness of 1.0 m, but is thicker as its base was not observed.
11 Sediments are generally poorly-sorted; they contain both fine and coarse sand and often
12 include larger granules (e.g., in the top shell bed of the unit). Bidirectional and oblique
13 stratification regionally occur.

14 The unit is present in profile L15 and all profiles north of it; it probably even extends south of
15 L15 in profiles 7A and 7B. South of L7B, Unit 1 is eroded by a major paleo-gully. The
16 youngest sediments of this unit thus far known are recognized at Kazembe. Fossil mollusk
17 assemblages are very common in Unit 1 and can easily be discerned from those of other units
18 by the dominant *Melanoides* cf. *polymorpha* morphotype. This morphotype is medium to
19 strongly shouldered, smooth (not tuberculated) and with a high apex.

20 **3.4.2 Unit 2**

21 Sediments belonging to this unit were deposited some 7.6-6.5 cal ka BP. Its lower boundary is
22 an erosional unconformity topping Unit 1. While the sediments that contain this erosional
23 unconformity are well-recognized, e.g. by oblique stratification, it is difficult to pinpoint the
24 erosional surface that is responsible for the main time gap between deposition of sediments of
25 Unit 1 and Unit 2. Unit 2 has an average thickness of about 1.0 m in the north (L10-L7A), but
26 in L6 it reaches a thickness of 2.0 m, diminishing again in thickness towards the south (L4),
27 where it remains about 1.3 m thick. There is hence a lot of lateral variation and at the same
28 elevation substantial differences in ages exist laterally. Several local diastems and evidence
29 for short erosional phases have been recorded in Unit 2, as evidenced by a minor gully a few
30 meters wide that we observed between L6A and L6B. Overall, the sediments of Unit 2 are

1 well-sorted and very yellowish; shell beds are relatively abundant and typically occur in the
2 coarser-grained, often darker colored beds.

3 Unit 2 has the widest geographical distribution within the Chipalamawamba Beds. It occurs in
4 all profiles on the western shore, except for those taken in the two main paleo-gullies. Its
5 youngest strata occur at Kazembe. The *Melanoides* community in shell beds of Unit 2 is
6 dominated by a medium to strongly tuberculated *Melanoides* cf. *turritispira* morphotype,
7 which is about the same size as the *Melanoides* cf. *polymorpha* morphotype in Unit 1 and is
8 very closely related to it (Mandahl-Barth, 1972; Genner et al. 2007).

9 **3.4.3 Unit 3**

10 Radiocarbon dating on mollusk shells from sediments of Unit 3 resulted in ages of ~5.9-5.3
11 cal ka BP. More dates are required to elucidate whether these sediments have been deposited
12 during one or two transgressions. Currently, different ages have been obtained from
13 geographically separated outcrops. It has been more difficult to trace the main erosional
14 surface(s) between Units 2 and 3, compared to the one between Units 1 and 2, but the white
15 layers between Units 2 and 3 in Figs. 3 & 4 identify the sediments that may contain such
16 surface(s). The upper boundary of Unit 3 is a conspicuous erosional contact with sediments
17 belonging to Unit 4. In several localities Unit 3 is directly overlain by the modern soil. Like
18 the sediments of Unit 2, those of Unit 3 are cut off by two major paleo-gullies. In the northern
19 part Unit 3 reaches a thickness of 0.6 m, and it has the same thickness in the southern part of
20 the area except for between L5 and L13, where the thickness is 2.0 m. The sediments of Unit
21 3 strongly resemble these of Unit 2. They are relatively fine-grained and well-sorted, often
22 dull yellow orange sands. In shell beds, the sediments are on average coarser. The top of Unit
23 3 consists of well-sorted, fine-grained, dull yellow orange sands that are very poor in or
24 devoid of fossils, except for scattered fish bones, and in the northern part regular
25 bioturbations.

26 Overall, Unit 3 occurs more patchily than Unit 2 and the outcrops north of the major paleo-
27 gully and south of it have somewhat different characteristics. As mentioned and as supported
28 by differences in shell bed composition, they may represent two separate transgressions. Shell
29 beds are rarer in Unit 3 than in Unit 1 and 2, however, sediments of Unit 3 in localities L1 and
30 L2 contain many dispersed shells in addition to a few thick shell beds that are dominated by a
31 relatively small *Melanoides* cf. *turritispira*-like morphospecies. In contrast, *Bellamyia* and
32 *Lanistes* are more frequent in the northern deposits of Unit 3, where *Melanoides* is rare. The

1 *Melanoides cf. turritispira* morphotype that dominates the southern deposits of Unit 3 is very
2 similar to the one in Unit 2. No conspicuous morphological features have been observed that
3 allow discerning the mollusk communities from Unit 2 and 3 based on intrinsic faunistic
4 properties.

5 **3.4.4 Unit 4**




6 The age of deposits belonging to Unit 4 has not been established via absolute dating as for
7 Unit 1-3, but these sediments were deposited after deposition of Unit 3 and presumably before
8 the Shire River was fully installed as outflow of Lake Malawi ~5.5-5.0 ka BP (Ricketts and
9 Johnson, 1996). The lower boundary of Unit 4 consists of erosional unconformities with
10 sediments belonging to Units 1 to 3. In profiles L3, L5, and L8A the direct erosional contact
11 between Units 3 and 4 has been observed, but in other profiles this contact is more difficult to
12 pinpoint. Unit 4 is overlaid by the modern soil. Unit 4 ranges in thickness from several
13 decimeters (L8A, L3) up to more than 2.5 m (L11, L12). Unit 4 consists of coarse-grained and
14 poorly-sorted, dull yellow orange/dull yellow brown sands (sometimes including fine gravel)
15 that rarely contain fossil material. As mentioned, the limited fossil finds have not been dated
16 (and actually may represent reworked material). Unit 4 sediments show a compact
17 sedimentation with only minor changes in grain sizes related to weak fining or coarsening up
18 cycles. These sands have been deposited in higher energy environments than the other units of
19 the Chipalamawamba Beds as is evidenced by regular oblique beddings and foresets.

20 Most sediments we studied of this unit were deposited in the main paleo-gully, which occurs
21 between profiles L6A and L7A but extends beyond L7A up to L8A, is several hundreds of
22 meters wide (in total perhaps up to 500m) and literally divides the fossil-bearing Units 1 to 3
23 on the western shore into a northern (north of L7A) and a southern (south of L6A) area. The
24 second paleo-gully between L3 and L5, which also was filled up by sediments of Unit 4, is
25 smaller (60-130 m wide) and separates the southern area in two subregions (L3 & 4 versus
26 L1, 2, 5, 6 and 13). The first bed below the modern soil in profile L9A is perhaps attributable
27 to Unit 4 too. No fossils have been obtained from deposits of Unit 4, except for a single
28 isolated and potentially reworked shell and a few fish-bone fragments.


29

1 4 Discussion

2 4.1.1 Paleoenvironmental context

3 Units 1 to 3 of the Chipalamawamba Beds consist predominantly of lake beds. The
4 characteristics of the fossil assemblages, the sedimentological properties of the beds and their
5 broad geographical extent all suggest these units have been deposited predominantly in
6 shallow lacustrine conditions. Paludal circumstances may have prevailed occasionally, as is
7 currently the case at the southern tip of Lake Malawi and at the margins of Lake Malombe.
8 Deposition in paludal circumstances, evidenced by root  perturbations, differences in the
9 mollusk fauna and in some cases by the presence of a finer fraction in the sediments,
10 remained geographically localized and restricted in time. These three lower units of the
11 Chipalamawamba Beds hence represent three major phases of high stand of Lake Malawi,
12 separated from one another by regressive phases, during which erosion of previously
13 deposited lake sediments occurred. Also within units minor diastems (including intermediate
14 erosional events) have been recognized. The fourth and uppermost unit represents a different,
15 higher energy environment. Its deposition took place shortly after that of Unit 3 and coincides
16 with the development of the Shire River as the outlet of Lake Malawi. During this period,
17 some existing paleo-river gullies that originated in the introductory phase of Unit 4, perhaps
18 with precursors from the regressive phase between Unit 2 and 3, filled with sediment as the
19 Shire River formed its main river bed and other deltaic paleo-gullies became defunct. This
20 could have occurred very  fast  from the moment flow through diminished in the defunct paleo-
21 gullies as the channel now known as the Shire River increased its discharge and cut its course
22 through the lacustrine deposits. When the Shire River cut sufficiently deep for floods outside
23 the river bed to have become rare, the modern soil started developing on top of the
24 Chipalamawamba sediments in the river banks. For reference, during our 2010 field campaign
25 the bottom of the Shire River in the centre of the channel was ~15-20 m below the water level
26 and traces of the annual high water stands from the last decades were detected in sediments up
27 to ~1 m above the water level, but not higher. The current water level was ~473-474 m a.s.l.,
28 which corresponds well with previous measurements (Bootsma and Hecky, 2003).

29

30 The rate of sedimentation appears generally to have been high for the Chipalamawamba Beds.
31 Sediments of Unit 2, for example, have typically been deposited very  fast with intermediate
32 diastems, e.g., all Unit 2 sediments in profile L7B (~1.0 m) have been deposited in roughly

1 200 years between ~7.6-7.4 cal ka BP, whereas the 1.3 m of sediments in L4 appears to have
2 been deposited in 500 years (~7.5-7.0 cal ka BP). About 1.3 m of Unit 2 in profile L8B was
3 deposited in 150 years between 6.9-6.7 ka BP. Assuming constant sedimentation, this
4 suggests deposition rates of ~0.50, 0.26 and 0.87 m per century, respectively. In Unit 1, 1.1 m
5 of sediments was deposited in L8B and L9A over 500 years (~10.6-10.1 cal ka BP),
6 suggesting ~0.22 m per century under the same assumption. Sedimentation rates are hard to
7 estimate for Unit 3, because of more limited age control and the possibility that these
8 sediments reflect not one but two high stand phases. Fast sedimentation is also suspected in
9 Unit 4. The high energy environments in which deposition occurred and the narrow time
10 window in between deposition of Unit 3 and the moment the Shire River became fully
11 operational hint towards rates potentially even higher than the maximal estimates for Unit 2.
12 Apart from the fast deposition of units, the spread in radiocarbon dates indicates that
13 individual shell beds were deposited quickly too. The oldest shell bed in Unit 1 has been
14 dated to 9550 ± 50 and 9580 ± 50 ^{14}C years; another shell bed from Unit 2 was dated to
15 6200 ± 40 , 6200 ± 40 , and elsewhere to 6185 ± 35 ^{14}C years; laterally equivalent shell bed
16 extensions in the south were dated to 6040 ± 35 and 6050 ± 35 ^{14}C years. Dating information
17 hence strongly suggests individual shell beds to have been deposited over periods as short as a
18 few decades.

19

20 Unit 1 was predominantly deposited in shallow lacustrine conditions (0-3 m of depth), with
21 relatively strong wave action. Apart from the poorly-sorted sediments, which contain a very
22 coarse sand fraction, shell bed taphonomy supports this suggestion. Shells are abundant, but
23 are regularly fragmented or broken, and some post-mortem transportation may have occurred.
24 The top shell bed in this unit is a good example of this; it contains mainly rolled hinge
25 fragments of bivalves and collumellar fragments of gastropods. That Unit 1 only occurs in the
26 northern deposits of the Chipalamawamba Beds could be explained in two ways. First, the
27 earliest Holocene transgressive phase may have been less extensive than the later ones.
28 Taking into account the bathymetry of Lake Malawi (Scholz and Rosendahl, 1988), the more
29 extensive a transgressive phase, the farther south deposits would extend. As such, sediments
30 deposited during less extensive high stands may only be recognizable in the north. This
31 argument based on bathymetry also implies that erosion would be strongest in the south, as
32 deposits in the south would generally surface earlier and longer than deposits in the north. The

1 second explanation is that Unit 1 was also deposited further south, but that southern deposits
2 were eroded by the main paleo-gully. However, this last scenario cannot account for the
3 absence of Unit 1 in deposits south of the main paleo-gully, and both explanations may have
4 contributed to the present observations.

5

6 Unit 2 contains a larger fraction of fine sediments in the north, and towards the south, coarser
7 grained and poorly sorted sediments become more abundant. In general, we observed that
8 sediments lower in Unit 2 are generally coarser and less well-sorted than higher ones, and
9 shell beds are deposited in relatively coarse sand. Even those shell beds sandwiched between
10 finer-grained sediments normally contain coarser-grained sands. In layers of finer-grained
11 sediments, dispersed shells regularly occur and the few shell beds that are deposited in fine-
12 grained material do not show compositional differences from shell beds deposited in coarser-
13 grained sediments. However, in contrast to the high energy shell accumulations preserved in
14 Unit 1 those associated with coarse and relatively poorly sorted sediments in Unit 2 contain
15 shells that are very well preserved. Bivalve specimens often display umbonal sculpture and
16 the early teleoconchs of gastropods are usually intact. This suggests that conditions during the
17 lifetime of these animals and at the time of deposition were calm enough to prevent shell
18 abrasion and corrosion, or perhaps more likely, that conditions were relatively calm when the
19 mollusks were alive and that deposition occurred sufficiently fast to prevent substantial
20 damage. While deposition may have taken place in rather shallow waters (~0-3m), many of
21 the shells in Unit 2 may have belonged to animals that lived well below the surf zone, i.e., in
22 waters of ~3-5m, potentially deeper. Personal observations on living adult mollusks from
23 shallower waters suggest that abrasion and corrosion of the teleoconch or the umbonal
24 sculpture would have been unavoidable in shallow habitats.

25

26 The sediments of Unit 3 document the last major high stand of the lake and are very similar to
27 those of Unit 2, however on average, they are darker in color. The erosional unconformity
28 between Units 2 and 3 is more difficult to discern than the one between Units 1 and 2,
29 probably because the hiatus was much shorter (~600 years compared to ~2000 years). Most
30 deposits consist predominantly of fine-grained sands, but as in Unit 2 the sediments in shell
31 beds are usually coarser than those in beds without shells. Outside the area between L1 and
32 L2, however, shell beds are very rare in Unit 3. The fact that deposits of Unit 3 are scattered

1 probably is due to erosion caused by the developing outflow shortly after the sediments were
2 laid down. This erosion probably was patchy and rather widespread.

3

4 Unit 4 contains strata from the latest phase in the deposition of the Chipalamawamba Beds,
5 and likely accumulated when the Shire River became functional. Sediments of Unit 4 have
6 not been dated, but their stratigraphical position in combination with current estimates on the
7 origin of the Shire River would imply Unit 4 was deposited rapidly. Also the
8 sedimentological properties of deposits belonging to Unit 4 and their bearing on the
9 depositional environments support fast deposition. Sediments of Unit 4 represent true riverine
10 deposits that were formed when high lake levels of Lake Malawi resulted in substantial water
11 discharge in the south. Coarse-grained sediments were deposited where previous river
12 branches and paleo-gullies created accommodation space. As mentioned, these gullies filled
13 up fast, potentially aided by decreasing discharge (and currents) via these channels when the
14 channel now known as the Shire River expanded and increased its capacity to become the
15 single outflow of Lake Malawi. While some gullies were filled with the coarse sediments
16 belonging to Unit 4, the overall balance during this phase was perhaps more towards the side
17 of erosion, and substantial parts of the older units of the Chipalamawamba Beds were eroded
18 just before and during the period in which Unit 4 was deposited.

19 **4.1.2 Current erosion of the Chipalamawamba Beds**

20 Some outcrops of the Chipalamawamba Beds underwent a substantial amount of change
21 between our fieldwork in 2008 and 2010. Besides erosion at the water level by the Shire
22 River, the increasing human population causes considerable additional erosion. People
23 frequent the waterside for multiple basic needs. Moreover fishermen are known to have
24 engaged in digging out complete shell beds at or just below the waterline because towing over
25 these fossil shell beds causes substantial damage to their fishing nets. This, in combination
26 with the fact that most beds are strongly lenticular can result in future changes in the
27 individual thickness of sediment layers and in the presence of fossil-bearing outcrops.

28 **4.1.3 Paleolimnological inferences on lake levels**

29 Hypotheses on Late Quaternary lake levels of Lake Malawi are widely discussed in scientific
30 literature, partly because the timing of its low and high stands appears out of phase with those
31 from African lakes farther north (e.g., Finney et al., 1996; Gasse, 2000; Johnson et al., 2002;

1 Filippi and Talbot, 2005) and secondly because the climatic history of the basin during the
2 Holocene, particularly in the early Holocene, has not been resolved (Castañeda et al., 2007).
3 For example, low lake levels (100-150 m below present levels) were suggested for Lake
4 Malawi during the early Holocene (6.0-10.0 ka BP) based on geochemical data from core
5 sediments, diatom communities (Finney and Johnson, 1991; Finney et al., 1996), and from
6 seismic features (Scholz and Finney, 1994). Similarly, vegetation records suggest that
7 conditions were generally more arid 11.6-7.7 ka BP than at present (Meadows, 1984; DeBusk,
8 1998; Castañeda et al., 2007, 2009). Geochemical analyses of endogenic calcite suggest
9 drying events between 9.0 and 8.5 ka BP, between 8.5 and 7.5 ka BP and between 7.2 and 6.5
10 ka BP (Ricketts and Johnson, 1996). Analyses of organic matter in sediment cores provides
11 evidence for a much shorter duration (~2 ka) of the terminal Pleistocene-early Holocene low
12 stand, with rising water levels ~10.5 to 10.0 ka BP, resulting in high lake levels ~8.0 ka BP
13 (Filippi and Talbot, 2005). The scenario of high lake levels in the early and middle Holocene
14 is generally supported by records of periphytic diatoms (Johnson et al., 2002). Records of
15 planktonic diatoms support high or intermediate levels during much of the early Holocene,
16 with short-lived regressions at 10.6 and 8.5-8.2 ka BP and a somewhat remarkable
17 transgression 7.5-6.6 ka BP (Gasse et al., 2002). Finally, it is puzzling that several proxies
18 from Lake Malawi suggest low lake levels and aridity when the nearby Lake Rukwa
19 experienced humid conditions from 12.1 to 5.5 ka BP (Haberyan, 1987; Vincens et al., 2005),
20 with paleo-shorelines during that interval at least temporarily reaching levels ~200 m above
21 the present day lake level (Delvaux et al., 1998). Also Lake Massoko, a volcanic crater lake in
22 the Rungwe Highlands, experienced relatively wet conditions in this period (Barker et al.,
23 2003).

24 After 6.0 ka BP lake levels in the Malawi Basin would have remained high and more stable
25 than between 6.0 and 10.0 ka BP (Finney and Johnson, 1991; Finney et al., 1996; Ricketts and
26 Johnson, 1996; Castañeda et al., 2007), with the onset of an open-basin regime when the Shire
27 River became functional about 5.5-5.0 ka BP (Ricketts and Johnson, 1996). Indeed,
28 productivity in the lake appears to have been enhanced because of stronger winds and/or
29 wetter climatic conditions (Johnson et al., 2002). Similarly, terrestrial vegetation records
30 suggest wetter conditions and decreased seasonality from ~7.0 until ~2.5 ka BP (Meadows,
31 1984; Debusk, 1998; Castañeda et al., 2009). The timing of the highest contribution of C₃
32 vegetation (4.9 ka BP; Castañeda et al., 2007, 2009) appears to coincide roughly with the end
33 of endorheic conditions. After 2.5 ka BP, the vegetation indicates increasingly drier

1 conditions again (Castañeda et al., 2009) and brief low stands have been reported for this
2 period (Owen et al., 1990; Finney and Johnson, 1991). Planktonic diatoms, however, hint to
3 generally lower lake levels over the last 4 ka BP than in the early Holocene (Gasse et al.,
4 2002). Aridification would have started already from 5.5 ka BP in the Rukwa Basin (Vincens
5 et al., 2005) and from ~4.5 ka BP for Lake Massoko (Barker et al. 2003).

6

7 Our work in the Chipalamawamba Beds provides strong evidence for three periods of high
8 lake levels in the early and middle Holocene. Contrasting the above mentioned sources, we
9 rely solely on our age model, not on the interpretation of lake-level proxies. A first
10 transgressive phase occurred 10.6-9.7 ka BP, a second one with more or less continuously
11 high lake levels 7.6-6.5 ka BP and a third one 5.9-5.3 ka BP. These data corroborate the 9.0-
12 8.5 ka BP and the 8.5-7.5 ka BP drying events of Ricketts and Johnson (1996) as well as their
13 estimate for the onset of open-basin conditions (~5.5-5.0 ka BP). However, our data are in
14 conflict with the 7.2-6.5 ka BP drying event of Ricketts and Johnson (1996), because a
15 substantial part of the widespread deposits of Unit 2 were deposited during this period. Our
16 data correspond well to the wet periods 7.5 and 5.3 ka BP and the aridity ~8.2 and 6.4 ka BP
17 reported by Barker et al. (2007), except for their claim of aridity at 10.0 ka BP. Findings from
18 the Chipamawamba Beds are also in correspondence with the regressions between 8.5-8.2 ka
19 BP and the transgression between 7.5-6.6 ka BP reported by Gasse et al. (2002) based on
20 planktonic diatoms, but they contradict these authors' short-lived regression at 10.6 ka BP.
21 Note that the periods indicated for high lake level phases above give conservative estimates
22 for the end of high-water phases. High lake levels may have prevailed for quite a bit longer,
23 and significant amounts of the upper lake sediments deposited during high water stands may
24 have been eroded during subsequent erosional phases. Therefore, we cannot contradict that
25 lake levels were high 9.0 ka BP (Barker et al., 2007) or 8.0 ka BP (Filippi and Talbot, 2005),
26 even though this would imply that all sediments deposited after 9.7 ka BP would have been
27 eroded in intermittent erosional phases or in the period between 8.0 and 7.6 ka BP. The
28 Chipalamawamba Beds clearly indicate that, at least periodically and regularly, Lake Malawi
29 attained high lake levels in the early and middle Holocene, i.e., up to 5 m higher than at
30 present. Although our data do not provide information on the magnitude of lake level drops
31 during periods of low stands, they provide unambiguous evidence for oscillating lake levels

1 and contrast with previous hypotheses of rather continuous high (Johnson et al., 2002) or low
2 lake level phases (Finney and Johnson, 1991; Finney et al., 1996).

3 **4.1.4 Paleobiological relevance**

4 Mollusk assemblages are abundant in the Chipalamawamba Beds and material is generally
5 very well preserved and can be retrieved relatively easily from the matrix. Moreover,
6 considerable morphological changes have occurred in the mollusk communities since
7 deposition of the early Holocene fossil beds and lineage splitting has putatively taken place
8 (Van Bocxlaer, 2005). Additionally, the extant mollusk community from Lake Malawi
9 consists predominantly of species that descended directly from the fossil lineages, but not
10 necessarily from the exact populations preserved at Chipalamawamba. Therefore, the
11 Chipalamawamba Beds offer an opportunity to complement neontological studies on the
12 extant fauna (e.g., Genner et al., 2007; Schultheiß et al., 2009, 2011) with paleobiological
13 studies of morphological evolution, diversification and divergence in fossil “populations”
14 over time. Our stratigraphic and paleoenvironmental studies on the Chipalamawamba Beds
15 reveal a remarkable potential for high resolution paleontological analyses (100s to a few
16 1000s of years between subsequent beds; Table 1). These efforts may allow narrowing the
17 “epistemological gap” between neontological and paleontological approaches to the study of
18 organismal evolution (e.g., Kemp, 1999; Reznick and Ricklefs, 2009).

19

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31

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- 15

1 Table 1. Radiocarbon dating results for 23 fossil mollusk samples from the Chipalamawamba
 2 Beds and one modern shell. Dating of this modern shell (Dating number 1) indicates a
 3 reservoir effect of ~175 years, which has been used to calibrate other dates from radiocarbon
 4 to calendar age. All MLW-BVB-08 (2008) and MLW-BVB-10 (2010) sample codes are
 5 linked to specific shell horizons in Figures 3 and 4, except of 10-078 and 10-080, which were
 6 obtained from outcrops on the eastern shore near Kazembe.

Dating#	Lab#	Sample#	Material	¹⁴ C age (BP)	Calendar age (BP)
1	Beta-316041	MRAC-58430	<i>Chambardia</i> shell	190±30	25
2	Poz-31571	MLW-BVB-08-SH5	<i>Aspatharia</i> shell	6240±40	6918±53
3	Poz-31573	MLW-BVB-08-SH4	<i>Coelatura</i> shell	6675±35	7420±24
4	Poz-31574	MLW-BVB-08-SH1	<i>Coelatura</i> shell	9090±50	10081±109
5	Poz-30524	MLW-BVB-08-SH0	<i>Lanistes</i> shell	5290±40	5874±52
6	Poz-30525	AG-2.8.92-8.205 (eq. 08-SH7)	<i>Melanoides</i> shells	4795±35	5348±57
7	Poz-42241	MLW-BVB-10-099	<i>Coelatura</i> shell	6400±35	7149±54
8	Poz-42242	MLW-BVB-10-091	<i>Chambardia</i> shell	6050±35	6688±36
9	Poz-42243	MLW-BVB-10-028	<i>Coelatura</i> shell	6760±60	7478±48
10	Poz-42244	MLW-BVB-10-035	<i>Lanistes</i> shell	6300±40	6996±62
11	Poz-42246	MLW-BVB-10-038B	<i>Coelatura</i> shell	6040±35	6678±36
12	Poz-42247	MLW-BVB-10-050A	<i>Corbicula</i> shell	6560±35	7308±42
13	Poz-42248	MLW-BVB-10-052B	<i>Coelatura</i> shell	6790±40	7501±38
14	Poz-42249	MLW-BVB-10-053	<i>Coelatura</i> shell	6980±40	7642±32
15	Poz-42250	MLW-BVB-10-058	<i>Coelatura</i> shell	6080±40	6718±44
16	Poz-42251	MLW-BVB-10-057A	<i>Coelatura</i> shell	6185±35	6847±51
17	Poz-42256	MLW-BVB-10-054	<i>Coelatura</i> shell	9550±50	10594±63
18	Poz-42257	MLW-BVB-10-065	<i>Coelatura</i> shell	6200±40	6867±56
19	Poz-42258	MLW-BVB-10-077	<i>Coelatura</i> shell	9580±50	10630±66
20	Poz-42259	MLW-BVB-10-075	<i>Coelatura</i> shell	6200±40	6867±56

21	Poz-42261	MLW-BVB-10-086	<i>Coelatura</i> shell	6190±40	6853±56
22	Poz-42262	MLW-BVB-10-080	<i>Coelatura</i> shell	8910±50	9695±93
23	Beta-316041	MLW-BVB-10-078	<i>Coelatura</i> shell	5880±30	6479±36
24	Gorthner	Sample 10 (AG-2.8.92-10)	bivalve shell		5845±85

1

2

1 Figure 1. A) Lake Malawi and inflowing rivers illustrating the small catchment of the Malawi
2 Basin. B) The Mangochi region in between Lake Malawi and Lake Malombe indicating the
3 study area by the grey rectangle enlarged in C. C) Outcrops nearby Chipalamawamba,
4 Kwitambo and Kazembe with their location codes. G indicates Chipalamawamba sediments
5 dug up by locals at the graveyard.

6

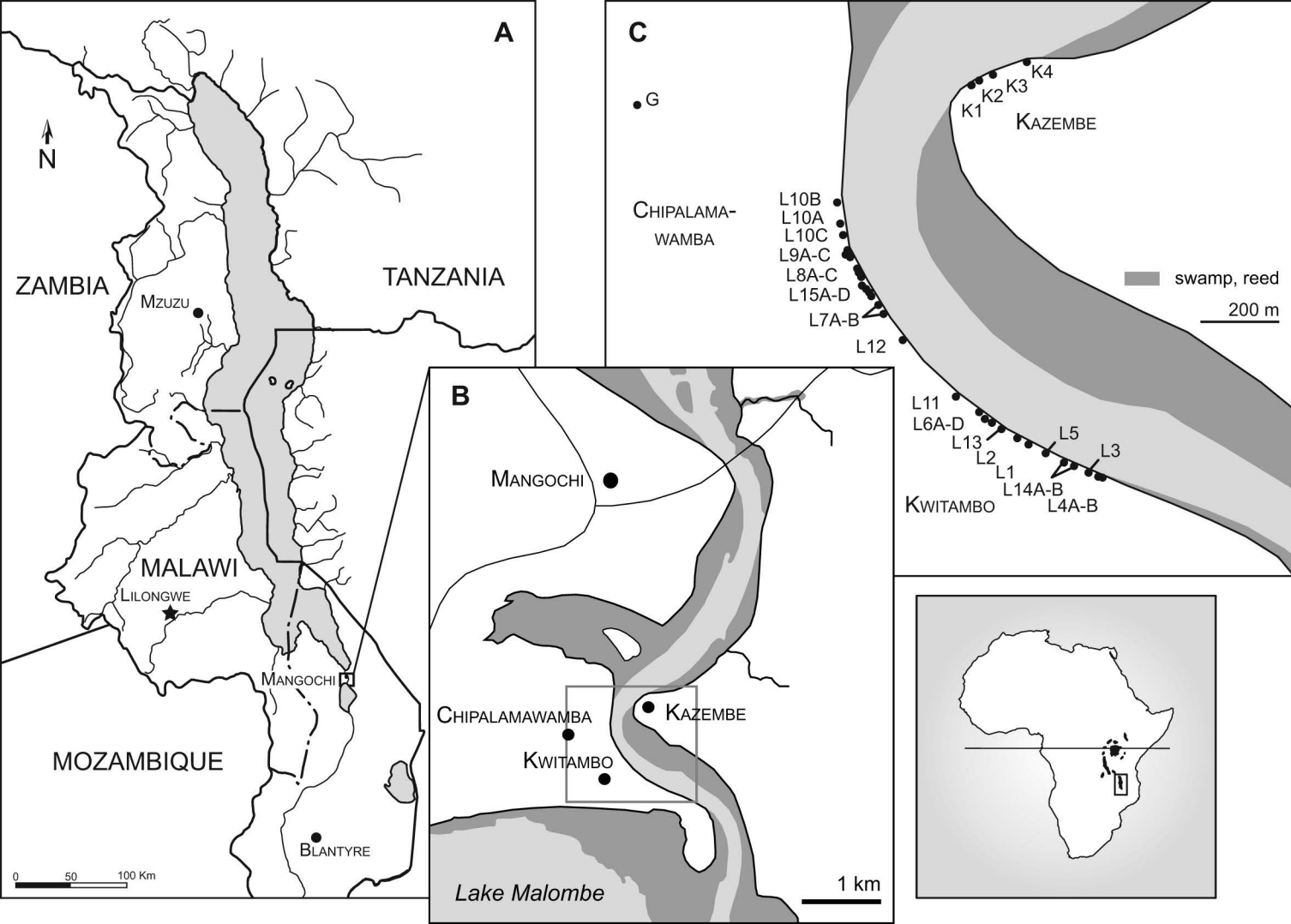
7 Figure 2. Legend to the symbols and the diverse types of correlations between profiles used in
8 Figs. 3 and 4.



9

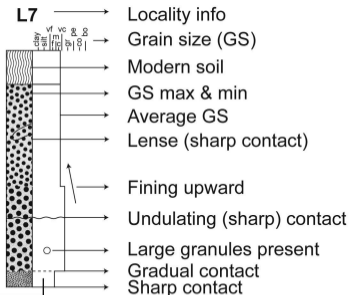
10 Figure 3. Sedimentological and stratigraphical overview of the northern part of the
11 Chipalamawamba Beds on the western shores of the Shire River nearby Chipalamawamba.
12 Symbols and the diverse types of correlations between profiles used are explained in Fig. 2.
13 Abbreviated paleontological sample numbers (those starting with 08 from 2008, others
14 starting with 10 from 2010) are indicated to the right of fossil horizons. Those that correspond
15 to sample numbers in Table 1 have been dated and hence provide specific age information for
16 the horizon.










17

18 Figure 4. Sedimentological and stratigraphical overview of the southern part of the
19 Chipalamawamba Beds on the western shores of the Shire River nearby Chipalamawamba
20 and Kwitambo. Symbols and the diverse types of correlations between profiles used are
21 explained in Fig. 2. Abbreviated paleontological sample numbers (those starting with 08 from
22 2008, others starting with 10 from 2010) are indicated to the right of fossil horizons. Those
23 that correspond to sample numbers in Table 1 have been dated and hence provide specific age
24 information for the horizon.



-  Vertical bioturbation
-  Horizontal bioturbation
-  Bioturbation
-  Plant remains
-  Dispersed gastropods
-  Gastropod bed
-  Dispersed bivalves
-  Bivalve bed
-  Vertebrate remains
-  Vaguely laminated
-  Foresets
-  Oblique stratification
-  Concretions



-  Unit 1
 -  Unit 2
 -  Unit 3
 -  Unit 4
 -  Unit uncertain/potentially containing major erosional unconformity
-  Physical correlation
 -  ¹⁴C-based correlation
 -  Faunistic correlation
 -  Correlation Mag. Susc. & Sed. Prop.

