



1 **Controls of longitudinal variation in  $\delta^{13}\text{C}$ -DIC in rivers: A**  
2 **global meta-analysis**

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10

11 **Abstract**

12 We conducted a literature survey to investigate controls and spatial and temporal patterns of

13  $\delta^{13}\text{C}$ -DIC and deviations between  $\delta^{13}\text{C}$ -DIC and the  $\delta^{13}\text{C}$  signature of DIC at isotopic

14 equilibrium with the atmosphere ( $\Delta\delta^{13}\text{C}$ -DIC) in streams and rivers throughout the world. We

15 used generalized additive mixed models to relate  $\Delta\delta^{13}\text{C}$ -DIC and  $\delta^{13}\text{C}$ -DIC in lotic ecosystems to

16 ecological variables including elevation, Strahler order, and partial pressure of dissolved  $\text{CO}_2$

17 ( $\text{pCO}_2$ ), and to examine seasonal shifts in  $\Delta\delta^{13}\text{C}$ -DIC and  $\delta^{13}\text{C}$ -DIC over a range in latitude.

18 Elevation, Strahler order, and DIC concentrations explained a large fraction of the variation in

19  $\Delta\delta^{13}\text{C}$ -DIC, and these variables plus pH and  $\text{pCO}_2$  explained much of the variation in  $\delta^{13}\text{C}$ -DIC.

20 Seasonal fluctuations in  $\delta^{13}\text{C}$ -DIC were most apparent in rivers located in temperate regions with

21 seasonal snow cover. Small streams tended to have lower  $\delta^{13}\text{C}$ -DIC values than large rivers.

22 Overall, our analysis indicates that processes that add  $\text{CO}_2$  to the water column, including

23 groundwater inputs, decomposition, and respiration, should have a greater influence on  $\delta^{13}\text{C}$ -DIC



24 than processes that remove CO<sub>2</sub>. Both physical (gas exchange with the atmosphere, weathering,  
25 ice cover) and biological (respiration in regions with high C<sub>4</sub> grass abundance, photosynthesis by  
26 cyanobacteria) processes appear to control δ<sup>13</sup>C-DIC in streams and rivers, but the relative  
27 importance of these processes shifts from upstream to downstream.

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29 Key words: biogeochemistry, carbon, generalized additive mixed model, river, stable isotope

30

## 31 **1 Introduction**

32 Stable isotope analysis of carbon (δ<sup>13</sup>C) is widely used to investigate biogeochemical  
33 cycling and food web dynamics in aquatic ecosystems. Geological, atmospheric, and biological  
34 sources of dissolved inorganic carbon (DIC) often have unique isotopic signatures (Boutton  
35 1991); consequently, δ<sup>13</sup>C is a valuable tracer of the origin of DIC. The δ<sup>13</sup>C signature of DIC  
36 (δ<sup>13</sup>C-DIC) in the water column can be altered by the addition of DIC with a distinctive δ<sup>13</sup>C  
37 signature and by processes that affect the relative abundance of <sup>13</sup>C:<sup>12</sup>C (fractionation). The  
38 resulting spatial variation in δ<sup>13</sup>C-DIC yields important information about the carbon cycle. In  
39 addition to being of interest to biogeochemists, large-scale spatial gradients of δ<sup>13</sup>C have been  
40 used by ecologists in trophic applications. Agreement in δ<sup>13</sup>C between consumer tissues and DIC  
41 along a fluvial isotope gradient has been used to estimate the spatial scale of consumer feeding  
42 movements (Rasmussen et al., 2009; Bertrand et al., 2011). The δ<sup>13</sup>C signature of algae often  
43 tracks the longitudinal gradient in δ<sup>13</sup>C-DIC, whereas δ<sup>13</sup>C of terrestrial-based detritus is  
44 relatively constant over space (Gray et al., 2011). Recent stable isotope mixing models use the  
45 upstream-downstream gradient in δ<sup>13</sup>C-DIC to estimate contributions of algal versus terrestrial  
46 production sources to consumer biomass by assuming that consumer δ<sup>13</sup>C is a weighted mixture



47 of algal and terrestrial signature gradients (Rasmussen, 2010). A better understanding of controls  
48 and spatial and temporal patterns of  $\delta^{13}\text{C}$ -DIC in rivers would facilitate the use of  $\delta^{13}\text{C}$  as a  
49 natural tracer.

50 Gas exchange with the atmosphere is one mechanism that might strongly influence  $\delta^{13}\text{C}$ -  
51 DIC in rivers. Exchange of  $\text{CO}_2$  between the water and the atmosphere causes fractionation  
52 between different carbonate species that is dependent on pH and temperature (Zhang et al.,  
53 1995). At isotopic equilibrium with the atmosphere,  $\text{CO}_2(\text{aq})$  has a lower  $\delta^{13}\text{C}$  signature relative  
54 to  $\text{HCO}_3^-$  and  $\text{CO}_3^{2-}$ . In streams with neutral to basic pH (dominant in  $\text{HCO}_3^-$ ), this process yields  
55  $\text{DIC}_{(\text{aq})}$  with a relatively high  $\delta^{13}\text{C}$  signature. Other physical processes influencing  $\delta^{13}\text{C}$ -DIC in  
56 rivers include carbonate mineral weathering and mixing of different water bodies. Carbonate  
57 weathering, the formation of  $\text{HCO}_3^-$  via the dissolution of carbonate minerals, produces DIC with  
58 a relatively high  $\delta^{13}\text{C}$  signature, reflecting  $\delta^{13}\text{C}$  of carbonate rocks (Kendall and Doctor, 2003).  
59 Influx of a water body also can influence  $\delta^{13}\text{C}$ -DIC in the recipient system. For example,  
60 groundwater is supersaturated in  $\text{CO}_2(\text{aq})$  from soil respiration and decomposition of organic  
61 matter (Wanninkhof et al., 1990), and its input lowers  $\delta^{13}\text{C}$ -DIC.

62 Biological processes, including heterotrophic respiration, decomposition of organic  
63 matter, and algal primary production also cause changes in  $\delta^{13}\text{C}$ -DIC. Whereas DIC derived  
64 from heterotrophic respiration and decomposition has a low  $\delta^{13}\text{C}$  signature, algae preferentially  
65 use  $^{12}\text{C}$  over  $^{13}\text{C}$  during photosynthesis, yielding DIC with a high  $\delta^{13}\text{C}$  signature (McKenzie,  
66 1985). Other studies have indicated that in productive rivers, high demand for  $\text{CO}_2$  by algae  
67 promotes invasion of atmospheric  $\text{CO}_2$  into the water column (Finlay, 2003; Roussel et al.,  
68 2013). Thus, productive rivers tend to have low  $\text{pCO}_2$  values due to algae uptake and  $\delta^{13}\text{C}$ -DIC  
69 values that are near isotopic equilibrium with the atmosphere ( $\delta^{13}\text{C}$ - $\text{DIC}_{\text{equilibrium}}$ ) (Finlay, 2003).



70 Deviations between  $\delta^{13}\text{C}$ -DIC and the  $\delta^{13}\text{C}$  signature of DIC at isotopic equilibrium with the  
71 atmosphere ( $\Delta\delta^{13}\text{C}$ -DIC) should reveal biological control of  $\delta^{13}\text{C}$ -DIC in streams and rivers.  
72 Sites from rivers with high algal primary production and low  $\text{pCO}_2$  would be expected to be  
73 closest to  $\delta^{13}\text{C}$ -DIC<sub>equilibrium</sub>.

74 A number of studies in lotic ecosystems have shown that  $\delta^{13}\text{C}$ -DIC undergoes a  
75 predictable shift from upstream to downstream, with headwaters having lower  $\delta^{13}\text{C}$ -DIC values  
76 than further downstream (Yang et al., 1996; Telmer and Veizer, 1999; Finlay, 2003). In a study  
77 of the Ottawa River Basin in Canada, Telmer and Veizer (1999) found that  $\delta^{13}\text{C}$ -DIC in upland  
78 tributaries dominated by silicate lithology was -16‰, and  $\delta^{13}\text{C}$ -DIC in the lowland main channel  
79 dominated by carbonate lithology was -8‰. Similarly, in a survey of streams and rivers in  
80 Northern California, USA, Finlay (2003) found that  $\delta^{13}\text{C}$ -DIC and  $\text{CO}_2$  (aq) increased with  
81 discharge. DIC dynamics in rivers may respond to shifts along the upstream-downstream  
82 gradient in environmental factors. For example, Telmer and Veizer (1999) concluded that soil  
83 respiration and carbonate weathering produced much of the longitudinal variation in  $\delta^{13}\text{C}$ -DIC,  
84 and that  $\text{CO}_2$  exchange between the water and atmosphere and instream primary production were  
85 relatively unimportant. Finlay (2003) suggested that groundwater inputs, outgassing (evaporation) of  
86 excess  $\text{CO}_2$ , and microbial respiration primarily control  $\delta^{13}\text{C}$ -DIC in small streams, whereas  
87 algal photosynthesis and  $\text{CO}_2$  exchange with the atmosphere become more important in large  
88 rivers. An increase in carbonate weathering, a decline in groundwater inputs,  $\text{CO}_2$  loss to the  
89 atmosphere, and increasing rates of algal primary production are all associated with a decline in  
90  $\text{CO}_2$  (aq) concentrations with increasing river size (Jones and Mulholland, 1998; Dawson et al.,  
91 2001), making the relative influence of these processes on  $\delta^{13}\text{C}$ -DIC difficult to parse out.

92 In addition to having high spatial heterogeneity, rivers are temporally dynamic.



93 Floodplain rivers in particular show strong seasonality resulting from changes in river-floodplain  
94 connectivity. Flood stage has a strong impact on several major processes influencing  $\delta^{13}\text{C}$ -DIC,  
95 including ecosystem metabolism, the fraction of groundwater relative to total discharge volume,  
96 and decomposition of organic matter. Several studies have identified seasonal shifts in  $\delta^{13}\text{C}$ -DIC  
97 that are comparable to longitudinal variation in  $\delta^{13}\text{C}$ -DIC. In lowland reaches of the Okavango  
98 River in Botswana, decomposition of terrestrial organic matter contributes to  $\delta^{13}\text{C}$ -DIC values  
99 that are 4‰ lower during the annual period of floodplain inundation (Akoko et al., 2013). In the  
100 St. Lawrence River in Canada, seasonality in algal primary production and respiration in soils  
101 and groundwater causes  $\delta^{13}\text{C}$ -DIC values to shift from -6.8‰ in spring to -1.0‰ in autumn  
102 (Hélie et al., 2002).

103 We conducted a literature survey of  $\Delta\delta^{13}\text{C}$ -DIC and  $\delta^{13}\text{C}$ -DIC in lotic ecosystems  
104 throughout the world, with the aim of contrasting the physical and biological processes  
105 controlling  $\Delta\delta^{13}\text{C}$ -DIC and  $\delta^{13}\text{C}$ -DIC in streams and in large rivers. We used generalized  
106 additive mixed models (GAMMs; Wood, 2006) to relate  $\Delta\delta^{13}\text{C}$ -DIC and  $\delta^{13}\text{C}$ -DIC in lotic  
107 ecosystems to ecological variables including elevation, Strahler order, and the partial pressure of  
108 dissolved  $\text{CO}_2$  ( $p\text{CO}_2$ ), and to examine seasonal shifts in  $\Delta\delta^{13}\text{C}$ -DIC and  $\delta^{13}\text{C}$ -DIC over a range  
109 in latitude. We originally expected that if  $\delta^{13}\text{C}$ -DIC was mainly under biotic control, we would  
110 find a negative relationship between  $p\text{CO}_2$  and  $\Delta\delta^{13}\text{C}$ -DIC.

111

## 112 **2 Methods**

### 113 **2.1 Literature survey**

114 We collated data from the scientific literature using the search engines Google Scholar and  
115 Web of Science and using the search terms “river”, “stable isotope”, and “dissolved inorganic



116 carbon". We recorded data directly from tables or interpolated data from figures and maps using  
117 data extraction software (GraphClick v.3.0.2) and Google Earth. For each site we recorded  
118 geographic coordinates,  $\delta^{13}\text{C-DIC}$ , temperature, pH, alkalinity,  $\text{pCO}_2$ , DIC concentrations, and  
119 day-of-the-year of sample collection. If  $\text{pCO}_2$  was not provided, we calculated it from pH,  
120 alkalinity, and temperature (Stumm and Morgan, 2012). For the studies that only provided the  
121 month or season of sampling, we used the midpoint of month or season as an estimate of day-of-  
122 the-year. We were mainly interested in investigating controls of DIC cycling in the main channel  
123 of rivers and thus eliminated sites from natural lakes. Our search yielded a total of 1,530  $\delta^{13}\text{C-}$   
124 DIC values from 26 publications (Appendix 1). Our dataset has a nested structure, with sites ( $n =$   
125 801) nested within rivers ( $n = 302$ ), which are nested within watersheds ( $n = 31$ ). Watersheds  
126 were located in Africa (4), Asia (4), Australia (1), Europe (6), North America (7), and South  
127 America (9) (Fig. 1).

128 The  $\delta^{13}\text{C-DIC}$  signature at isotopic equilibrium with the atmosphere,  $\delta^{13}\text{C-DIC}_{\text{equilibrium}}$ ,  
129 was calculated from Zhang et al. (1995) using the equations  $\epsilon_{\text{CO}_2(\text{aq}) - \text{CO}_2(\text{gas})} = -0.0049 \times T -$   
130  $1.31$ ,  $\epsilon_{\text{HCO}_3^- - \text{CO}_2(\text{gas})} = -0.141 \times T + 10.78$ , and  $\epsilon_{\text{CO}_3^- - \text{CO}_2(\text{gas})} = -0.052 \times T + 7.22$ , where  $T$  is  
131 temperature in  $^{\circ}\text{C}$ . We assumed a  $\delta^{13}\text{C}$  signature of  $-7.8$  for atmospheric  $\text{CO}_2$  (Levin et al. 1987).  
132 The proportion of each DIC species was then weighted using the equation  $\delta^{13}\text{C}_{\text{DIC}} = \sum f_i \epsilon_i$ , where  
133  $f$  is the proportion of each species ( $i$ ) and  $\epsilon$  is the permil fractionation. We used the proportion of  
134 DIC species estimated from pH and temperature (Stumm and Morgan, 2012) to determine  $\delta^{13}\text{C-}$   
135  $\text{DIC}_{\text{equilibrium}}$ .

136 We used a geographic information system to determine elevation (masl), Strahler order,  
137 and channel distance from river mouth for each site. We characterized Strahler order using the  
138 15-sec river network data from HydroSHEDS, a hydrographic database derived from elevation



139 data (Lehner et al., 2008). The majority of sites were at low elevation (i.e., 82% were at < 500  
140 masl), but the highest-elevation sites (> 3500 masl) were from second or third order streams.  
141 Rivers with Strahler order > 4 accounted for 44% of the sites in our literature survey. In the  
142 northern hemisphere, < 1% of sites were collected from rivers in polar regions (latitude > 66.5°),  
143 72% were from temperate regions (latitude 23.5° to 66.5°), and 7% were from tropical regions  
144 (latitude 0° to 23.5°) (Fig. 1). In the southern hemisphere, no sites were collected from polar  
145 regions, 5% were from temperate regions, and 16% were from tropical regions.

146

## 147 **2.2 Generalized additive mixed models**

148 Sites were spatially nested within rivers and watersheds, requiring us to account for intra-  
149 group correlations in our statistical analyses. By adding random effects to the additive predictor,  
150 GAMMs enable modeling of overdispersed and correlated data that frequently arise in spatial  
151 analyses (Wood, 2006). Before constructing GAMMs, we examined correlations among the  
152 explanatory variables using matrix scatterplots and transformed strongly skewed variables to  
153 reduce the influence of extreme values.

154 We constructed separate GAMMs relating  $\Delta\delta^{13}\text{C-DIC}$  and  $\delta^{13}\text{C-DIC}$  to all the explanatory  
155 variables. We then iteratively removed variables if 95% confidence intervals for the smooth  
156 function included zero throughout the range of measured values, and re-fitted the model until the  
157 zero line was not entirely contained by the confidence interval for all variables. The GAMMs for  
158  $\Delta\delta^{13}\text{C-DIC}$  and  $\delta^{13}\text{C-DIC}$  both had  $\delta^{13}\text{C-DIC}$  as the dependent variable, but models for  $\Delta\delta^{13}\text{C-}$   
159  $\text{DIC}$  additionally included  $\delta^{13}\text{C-DIC}_{\text{equilibrium}}$  as an offset. We allowed for different seasonal  
160 patterns in  $\Delta\delta^{13}\text{C-DIC}$  and  $\delta^{13}\text{C-DIC}$  in the Northern and Southern hemispheres by including the  
161 interaction between day of the year and latitude in the GAMMs. We modeled seasonality and



162 latitudinal effects as cosinor functions of day-of-year and latitude (Barnett and Dobson, 2010)  
 163 comprising sine and cosine terms because measurements on a linear scale do not adequately  
 164 represent the circular nature of these variables (e.g., the equal spacing between days-of-year 365,  
 165 1, and 2 is not captured by the linear scale).

166 All GAMMs were fit with an identity link function, a normal distribution for errors, and a  
 167 penalized regression spline (Wood, 2006, 2011). We incorporated Strahler order as a simple  
 168 linear effect and river and watershed as random effects. Distance from river mouth (river km)  
 169 was included as a covariate to account for smaller-scale spatial correlation. We used restricted  
 170 maximum likelihood estimation (REML) to fit GAMMs. Potential explanatory variables for  
 171  $\Delta\delta^{13}\text{C-DIC}$  included elevation, Strahler order,  $\text{pCO}_2$ , DIC, and the interaction between latitude  
 172 and day of the year. Potential explanatory variables for  $\delta^{13}\text{C-DIC}$  included elevation, Strahler  
 173 order, temperature, pH,  $\text{pCO}_2$ , DIC, and the interaction between latitude and day of the year. The  
 174 final models were:

175

$$176 \delta^{13}\text{C-DIC} = \log_e(\text{DIC}) + \beta_0 + \beta_1 \text{ Strahler order} + f(\log_e(\text{Elevation} + 8)) + f(\sin(\pi \times \text{Day-of-}$$

$$177 \text{ year}/365), \sin(\pi \times \text{Latitude}/180)) + f(\cos(\pi \times \text{Day-of-year}/365), \cos(\pi \times \text{Latitude}/180)) +$$

$$178 f(\log_e(\text{Distance from river mouth} + 1)) + f(\text{Watershed}) + f(\text{River}) + \varepsilon$$

179

180 for  $\Delta\delta^{13}\text{C-DIC}$ , and

181

$$182 \delta^{13}\text{C-DIC} = \beta_0 + \beta_1 \text{ Strahler order} + f(\text{pH}) + f(\log_e(\text{pCO}_2)) + f(\log_e(\text{Elevation} + 8)) + f(\sin(\pi \times$$

$$183 \text{ Day-of-year}/365), \sin(\pi \times \text{Latitude}/180)) + f(\cos(\pi \times \text{Day-of-year}/365), \cos(\pi \times \text{Latitude}/180)) +$$

$$184 f(\log_e(\text{Distance from river mouth} + 1)) + f(\text{Watershed}) + f(\text{River}) + \varepsilon$$





185

186 for  $\delta^{13}\text{C-DIC}$ .

187

188 In the models,  $\log_e(\text{DIC})$  is an offset,  $\beta$  are regression coefficients,  $f(\cdot)$  are smooth  
189 functions,  $f(\text{Watershed})$  and  $f(\text{River})$  represent random effects, and  $\varepsilon$  is normally distributed  
190 error. We used R software (R Development Core Team, 2015) for all statistical analyses and the  
191 mgcv package to generate GAMMs (Wood 2011).

192

### 193 3 Results

194 In the literature survey,  $\delta^{13}\text{C-DIC}$  ranged from -28.1‰ to 0.7‰.  $\delta^{13}\text{C-DIC}_{\text{equilibrium}}$  ranged  
195 from -10.6‰ to 2.9‰, and thus did not capture the full variation in  $\delta^{13}\text{C-DIC}$  (Fig. 2). Many of  
196 the sites from lowland rivers, including the Indus, Negro (Argentina), Okavango, Santa Cruz,  
197 and St. Lawrence rivers, had  $\delta^{13}\text{C-DIC}$  values that were near  $\delta^{13}\text{C-DIC}_{\text{equilibrium}}$  (Fig. 2).  
198 However, other sites from lowland rivers, including the Slave and Madeira rivers, had  $\delta^{13}\text{C-DIC}$   
199 that was considerably lower than  $\delta^{13}\text{C-DIC}_{\text{equilibrium}}$ .

200 The GAMM of  $\Delta\delta^{13}\text{C-DIC}$  modeled deviations between  $\delta^{13}\text{C-DIC}$  and  $\delta^{13}\text{C-DIC}_{\text{equilibrium}}$ .  
201 Explanatory variables retained in this GAMM included elevation, Strahler order,  
202 DIC, and the interaction between latitude and day of the year (Table 1). The final GAMM for  
203  $\Delta\delta^{13}\text{C-DIC}$  included 889 data points from the literature survey; the model explained a total of  
204 85% of the deviance and showed good agreement between fitted and observed values (Fig. 3).

205 The GAMM of  $\Delta\delta^{13}\text{C-DIC}$  indicated that sites at the lowest and highest elevations were  
206 closer to  $\delta^{13}\text{C-DIC}_{\text{equilibrium}}$  (Fig. 4).  $\Delta\delta^{13}\text{C-DIC}$  values were positively related to river size, as  
207 measured by Strahler order, indicating that streams tended to have  $\delta^{13}\text{C-DIC}$  that was far from



208  $\delta^{13}\text{C-DIC}_{\text{equilibrium}}$  and large rivers tended to have  $\delta^{13}\text{C-DIC}$  that was near  $\delta^{13}\text{C-DIC}_{\text{equilibrium}}$  (Fig.  
209 5). Sites with high DIC concentrations also tended to be near  $\delta^{13}\text{C-DIC}_{\text{equilibrium}}$ , as shown by  
210 high  $\Delta\delta^{13}\text{C-DIC}$  values (Fig. 4). The relationship between DIC concentration and  $\Delta\delta^{13}\text{C-DIC}$   
211 was not linear. There was a positive relationship between DIC and  $\Delta\delta^{13}\text{C-DIC}$  at low  
212 concentrations (0.05 to 0.20 mmol/L), and no relationship between DIC and  $\Delta\delta^{13}\text{C-DIC}$  for  
213 concentrations  $> 0.20$  mmol/L. Seasonal variation in  $\Delta\delta^{13}\text{C-DIC}$  was apparent in rivers located in  
214 temperate regions from  $40^\circ$  to  $60^\circ\text{N}$  and  $-60^\circ$  to  $-40^\circ\text{S}$  (Fig. 6). In the northern hemisphere from  
215  $40^\circ$  to  $60^\circ$ ,  $\Delta\delta^{13}\text{C-DIC}$  values were lowest during the winter and early spring (i.e., from January  
216 to April). In the southern hemisphere from  $40$  to  $60^\circ$ ,  $\Delta\delta^{13}\text{C-DIC}$  values were lowest from  
217 January to August and highest during the spring and early summer (i.e., from September to  
218 December). Tropical rivers tended to have lower  $\Delta\delta^{13}\text{C-DIC}$  values than temperate rivers. The  
219 highest  $\Delta\delta^{13}\text{C-DIC}$  fitted values were for rivers located from  $40^\circ$  to  $60^\circ\text{N}$  during September to  
220 December.

221 Explanatory variables retained in the model for  $\delta^{13}\text{C-DIC}$  included elevation, Strahler  
222 order,  $\text{pCO}_2$ , DIC, pH, and the interaction between latitude and day of the year (Table 2). The  
223 final GAMM for  $\delta^{13}\text{C-DIC}$  included 890 data points from the literature survey; the model  
224 explained a total of 91% of the deviance and showed good agreement between fitted and  
225 observed values (Fig. 3).

226 The GAMM of  $\delta^{13}\text{C-DIC}$  showed that sites at the lowest and highest elevations tended to  
227 have high  $\delta^{13}\text{C-DIC}$  values (Fig. 7).  $\delta^{13}\text{C-DIC}$  values were positively related to river size, as  
228 measured by Strahler order (Fig. 5).  $\delta^{13}\text{C-DIC}$  was related negatively to  $\text{pCO}_2$  and positively to  
229 DIC (Fig. 7). Again, the relationship between DIC concentrations and  $\delta^{13}\text{C-DIC}$  was nonlinear.  
230 There was a positive relationship between DIC and  $\delta^{13}\text{C-DIC}$  at low concentrations (0.05 to 0.20



231 mmol/L), and a weakly positive relationship between DIC and  $\delta^{13}\text{C}$ -DIC at higher concentrations  
232 (0.20 to 7.5 mmol/L). The relationship between pH and  $\delta^{13}\text{C}$ -DIC also was nonlinear. Sites with  
233 lowest and highest pH tended to have low  $\delta^{13}\text{C}$ -DIC values (Fig. 7). Seasonal patterns in  $\delta^{13}\text{C}$ -  
234 DIC and  $\Delta\delta^{13}\text{C}$ -DIC were very similar (Fig. 6).

235

#### 236 4 Discussion

237 Our main objective was to investigate controls and spatial and temporal patterns of  $\delta^{13}\text{C}$ -  
238 DIC in rivers throughout the world.  $\text{pCO}_2$  explained a large fraction of the deviance in the  
239 GAMM of  $\delta^{13}\text{C}$ -DIC, but was not retained in the GAMM of  $\Delta\delta^{13}\text{C}$ -DIC, suggesting that algal  
240 primary production has limited control over  $\delta^{13}\text{C}$ -DIC. However, as we will discuss below, our  
241 results provide evidence that other biological processes, including photosynthesis by  
242 cyanobacteria and respiration in regions with high  $\text{C}_4$  grass abundance are important  
243 determinants of  $\delta^{13}\text{C}$ -DIC in rivers (Fig. 8). Our results revealed changes in the dominant  
244 processes influencing  $\delta^{13}\text{C}$ -DIC from upstream to downstream. Furthermore, we found that  $\delta^{13}\text{C}$ -  
245 DIC changed seasonally in rivers in temperate regions with seasonal snow cover.

246  $\Delta\delta^{13}\text{C}$ -DIC values were low ( $\delta^{13}\text{C}$ -DIC was near  $\delta^{13}\text{C}$ -DIC<sub>equilibrium</sub>) in high-elevation  
247 streams and large rivers, indicating that exchange of  $\text{CO}_2$  between surface water and the  
248 atmosphere occurs via invasion or evasion in these systems. Most studies show that lotic  
249 ecosystems are supersaturated in  $\text{pCO}_2$  (Richey et al., 2002; Jones et al., 2003; Cole et al., 2007)  
250 and are sources, not sinks, of  $\text{CO}_2$  to the atmosphere (Mulholland et al., 2001; Battin et al.,  
251 2008). Thus, in most low-order streams, high  $\text{CO}_2$  (aq) concentrations originating from inputs of  
252 groundwater and terrestrial soil water from sediments (e.g., Jones and Mulholland, 1998) result  
253 in high  $\Delta\delta^{13}\text{C}$ -DIC values, indicating that  $\delta^{13}\text{C}$ -DIC is far from  $\delta^{13}\text{C}$ -DIC<sub>equilibrium</sub>. In high-



254 elevation streams, low  $\Delta\delta^{13}\text{C}$ -DIC values have been attributed to the high gradient and low  
255 surface:volume ratio, which increases water turbulence and promotes  $\text{CO}_2$  outgassing (Rebsdorf  
256 et al., 1991; Dawson et al., 2004). For example, a recent estimate of gas transfer velocities in  
257 streams and rivers throughout the United States found that  $\text{CO}_2$  outgassing is highest in  
258 headwater streams originating in areas with steep topography (Butman and Raymond, 2011). In  
259 small streams, groundwater consists of a large fraction of stream discharge, but its volume  
260 relative to the total volume of discharge decreases downstream (e.g., Devol et al., 1987; Johnson  
261 et al., 2006).  $\Delta\delta^{13}\text{C}$ -DIC values were likely low in large rivers because groundwater has less of  
262 an influence on water chemistry and because surface water has been exposed to the atmosphere  
263 for a relatively long period of time, promoting evasion of  $\text{CO}_2(\text{aq})$ .  $\Delta\delta^{13}\text{C}$ -DIC values are  
264 particularly low in lacustrine rivers because the prolonged residence time of water in large lakes  
265 allows  $\text{CO}_2(\text{aq})$  to equilibrate with the atmosphere (Yang et al., 1996).  $\Delta\delta^{13}\text{C}$ -DIC values also  
266 were low in rivers with high DIC concentrations ( $> 0.2$  mmol/L). In our dataset, DIC  
267 concentrations were strongly correlated with alkalinity ( $r = 0.98$ ) and  $\text{CO}_2(\text{aq})$  concentrations did  
268 not have a strong effect on total DIC.  $\Delta\delta^{13}\text{C}$ -DIC values were probably low in rivers with high  
269 DIC concentrations because the carbon was derived from carbonate dissolution, which has a high  
270  $\delta^{13}\text{C}$ -DIC signature (Kendall and Doctor, 2003).

271  $\text{pCO}_2$  did not explain a large fraction of the total variation in  $\Delta\delta^{13}\text{C}$ -DIC, providing  
272 evidence that algal primary production does not control exchange of  $\text{CO}_2$  between surface water  
273 and the atmosphere in most rivers. Calculation of  $\text{pCO}_2$  from pH, alkalinity, and temperature  
274 results in values that are overestimated in acidic waters rich in dissolved organic carbon (Abril et  
275 al., 2015). In our meta-analysis,  $\text{pCO}_2$  was calculated from these physicochemical variables in  
276 90% of the studies. Direct measurements of  $\text{pCO}_2$  in streams and rivers would allow for a more



277 accurate assessment of the relationship between  $p\text{CO}_2$  and  $\Delta\delta^{13}\text{C-DIC}$ . Although algal primary  
278 production apparently did not cause invasion of atmospheric  $\text{CO}_2$ , low rates of photosynthesis  
279 may have been sufficient to increase  $\delta^{13}\text{C-DIC}$  values without increasing mixing of DIC between  
280 the water and atmosphere. Some of the unexplained variation in the GAMM analysis of  $\delta^{13}\text{C-}$   
281 DIC might have been caused by preferential assimilation of  $^{12}\text{C}$  over  $^{13}\text{C}$  by algae.

282 Our results showed that  $p\text{CO}_2$  is negatively related to  $\delta^{13}\text{C-DIC}$ . The spatial patterns in  
283  $\delta^{13}\text{C-DIC}$  indicated by our analysis correspond to patterns in  $p\text{CO}_2$  in streams and rivers that  
284 have been observed elsewhere. For example,  $p\text{CO}_2$  in rivers tends to decline downstream  
285 (Raymond et al., 1997; Teodoru et al., 2009; Butman and Raymond, 2011), similar to the  
286 relationship between  $\delta^{13}\text{C-DIC}$  and Strahler order revealed by our analysis. Furthermore, tropical  
287 rivers typically have higher concentrations of  $\text{CO}_2$  ( $\text{aq}$ ) than temperate rivers (Aufdenkampe et al.,  
288 2011), similar to our results for latitudinal variation. The cycling of  $\text{CO}_2$  has a strong influence  
289 on the biogeochemistry of  $\delta^{13}\text{C-DIC}$ ; thus, processes that remove or add  $\text{CO}_2$  to the water  
290 column should also influence  $\delta^{13}\text{C-DIC}$ . Major processes that remove  $\text{CO}_2$  in lotic systems  
291 include  $\text{CO}_2$  evasion and algal primary production. In small streams, the major source of  $\text{CO}_2$  ( $\text{aq}$ )  
292 is believed to be groundwater and terrestrial soil water, with *in situ* respiration and  
293 decomposition of organic matter becoming more important in large rivers (Johnson et al., 2008;  
294 Aufdenkampe et al., 2011). Most lotic systems are supersaturated in  $\text{CO}_2$  and typically have low  
295  $\delta^{13}\text{C}$  values relative to equilibrium with the atmosphere. Therefore, processes that add  $\text{CO}_2$  to the  
296 water column should generally have a greater influence on  $\delta^{13}\text{C-DIC}$  than processes that remove  
297  $\text{CO}_2$ .

298 Similar to the spatial patterns in  $\Delta\delta^{13}\text{C-DIC}$  values, high-elevation streams and large  
299 rivers had DIC with a high  $\delta^{13}\text{C}$  signature. The congruent spatial trends between  $\Delta\delta^{13}\text{C-DIC}$  and



300  $\delta^{13}\text{C}$ -DIC suggest that gas exchange influenced  $\delta^{13}\text{C}$ -DIC in these systems. Our results also show  
301 that DIC had a high  $\delta^{13}\text{C}$  signature in rivers with high DIC concentrations. Large rivers likely  
302 have high  $\delta^{13}\text{C}$ -DIC values because their waters have higher concentrations of carbonate  
303 minerals, and this higher buffering capacity inhibits decrease in  $\delta^{13}\text{C}$ -DIC. Within a river  
304 network, concentrations of dissolved ions are frequently heterogeneous among headwater  
305 reaches, but tend to average out and increase downstream (Livingstone, 1963). Our results  
306 indicated that sites with low and high pH had DIC with a low  $\delta^{13}\text{C}$  signature. Many of the sites  
307 with the lowest surface water pH (3.8 to 6.5) also tended to have low DIC concentrations (range  
308 = < 0.1 to 2.5 mmol/L, average = 0.6 mmol/L).  $\delta^{13}\text{C}$ -DIC values may have been low in rivers  
309 with acidic surface water because these were blackwater rivers draining forested watersheds  
310 dominated by igneous rock. In addition to having low pH, blackwater rivers have high  
311 concentrations of dissolved organic matter that increase rates of microbial respiration (Meyer  
312 1990), lowering  $\delta^{13}\text{C}$ -DIC values. Sites with high pH and low  $\delta^{13}\text{C}$ -DIC values also had low  
313  $\text{pCO}_2$  (sites with pH > 9 had a maximum  $\text{pCO}_2$  of 115 ppmv). When pH is high and  $\text{pCO}_2$  is low,  
314 intense photosynthesis by cyanobacteria can increase the rate of  $\text{CO}_2$  invasion from the  
315 atmosphere, producing fractionation that results in low  $\delta^{13}\text{C}$ -DIC values (Herczog and Fairbanks,  
316 1987). Following the depletion of free  $\text{CO}_2$  that occurs in highly alkaline waters, cyanobacteria  
317 can float on the water surface and use atmospheric  $\text{CO}_2$  during carbon fixation (Paerl and Ustach,  
318 1982). Lakes also exhibit a positive trend between pH and  $\delta^{13}\text{C}$ -DIC until pH values of  
319 approximately 8-9, at which point  $\delta^{13}\text{C}$ -DIC decreases (Bade et al., 2004).

320 Rivers in the northern hemisphere between  $40^\circ$  and  $60^\circ$  exhibited seasonal cycles in  
321  $\Delta\delta^{13}\text{C}$ -DIC and  $\delta^{13}\text{C}$ -DIC. In these rivers,  $\Delta\delta^{13}\text{C}$ -DIC and  $\delta^{13}\text{C}$ -DIC values were lowest from  
322 January to April, corresponding with the formation of ice cover. Studies of lakes have shown that



323 duration of ice cover is an important control of seasonal patterns in  $p\text{CO}_2$  and  $\delta^{13}\text{C}$ -DIC. Ice  
324 insulates the lake from mixing by wind and gas exchange, which causes  $p\text{CO}_2$  to increase in the  
325 water column and lowers  $\delta^{13}\text{C}$ -DIC values (Striegl et al., 2001; Karlsson et al., 2008). The same  
326 phenomenon has been observed in terrestrial ecosystems, with snow cover increasing  
327 accumulation of  $\text{CO}_2$  in the soil and lowering  $\delta^{13}\text{C}$ -DIC values in the winter (Aravena et al.,  
328 1992). Ice cover also has been documented to increase  $p\text{CO}_2$  in the water column of rivers (e.g.,  
329 Raymond et al., 1997), and should be responsible for the seasonal shifts in  $\Delta\delta^{13}\text{C}$ -DIC and  $\delta^{13}\text{C}$ -  
330 DIC values in rivers at high latitudes in the northern hemisphere. Sample size was low in  
331 temperate regions of the southern hemisphere. A greater number of sites sampled from these  
332 rivers may result in a seasonal trend that is similar to the pattern observed in the northern  
333 hemisphere. Our results also revealed that DIC has a lower  $\delta^{13}\text{C}$  signature in many tropical rivers  
334 than in temperate rivers. An analysis of  $^{13}\text{C}$  and  $^{14}\text{C}$  of DIC, dissolved organic carbon, and  
335 multiple particulate organic carbon fractions in Amazonian rivers concluded that high  $p\text{CO}_2$  was  
336 sustained by *in situ* respiration of terrestrial  $\text{C}_4$  grasses (Mayorga et al., 2005). Terrestrial  $\text{C}_4$   
337 grasses decompose more rapidly than terrestrial  $\text{C}_3$  plants (Wynn and Bird, 2007), and the high  
338 relative abundance of this group of macrophytes in the tropics promotes microbial respiration,  
339 lowering  $\delta^{13}\text{C}$ -DIC values even during low-water periods.

340 Our analysis indicated a contrast between mechanisms influencing  $\delta^{13}\text{C}$ -DIC in streams  
341 and in floodplain rivers (Fig. 8). Physical and biological processes control  $\delta^{13}\text{C}$ -DIC throughout  
342 the upstream-downstream gradient. However, whereas gas exchange with the atmosphere and  
343 groundwater inputs appear to be dominant controls of  $\delta^{13}\text{C}$ -DIC in small streams, carbonate  
344 weathering and photosynthesis by cyanobacteria are particularly important in higher-order rivers.  
345 To fully evaluate physical and biological controls of  $\delta^{13}\text{C}$ -DIC in streams and rivers,



346 measurements of rates of algal primary production and respiration, gas exchange between the air  
347 and water, and groundwater inputs would be needed. Our results also have implications for  
348 future studies using  $\delta^{13}\text{C}$  to investigate food web structure in rivers. Studies in temperate regions  
349 with seasonal snow cover should take temporal shifts in  $\delta^{13}\text{C}$ -DIC into account. Failure to  
350 consider temporal changes in  $\delta^{13}\text{C}$ -DIC can bias inferences about consumer-resource dynamics  
351 (Woodland et al., 2012). Finally, food web models that incorporate patterns of spatial variation in  
352  $\delta^{13}\text{C}$ -DIC (Rasmussen et al., 2009; Rasmussen, 2010) are dependent upon an underlying isotope  
353 gradient. Thus, the applicability of these models in many aquatic ecosystems has been  
354 questioned (Layman et al., 2012). Our GAMM analysis showed a positive relationship between  
355 Strahler order and  $\delta^{13}\text{C}$ -DIC, indicating that headwaters have low  $\delta^{13}\text{C}$ -DIC values relative to  
356 downstream reaches in most river systems. The rivers with the most pronounced upstream-  
357 downstream gradients in  $\delta^{13}\text{C}$ -DIC will be those in which headwaters have high levels of  $\text{pCO}_2$   
358 (Fig. 8). Incorporation of spatial variation in  $\delta^{13}\text{C}$ -DIC in future lotic food web studies will thus  
359 provide insights about the ecology of aquatic organisms, including movements and assimilation  
360 of organic matter from alternative production sources.

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369 **Appendix A: Sources of data for the literature review**

Watershed	Location	Citation
Amazon	South America	Mayorga et al., 2005
Brazos	Texas, USA	Zeng et al., 2011
Chico	Argentina	Brunet et al., 2005
Chubut	Argentina	Brunet et al., 2005
Colorado	Argentina	Brunet et al., 2005
Congo	Africa	Bouillon et al., 2012; Bouillon et al., 2014
Coyle	Argentina	Brunet et al., 2005
Danube	Europe	Kanduč et al., 2007
Deseado	Argentina	Brunet et al., 2005
Ems	Germany	Stögbauer et al., 2008
Fraser	British Columbia, Canada	Cameron et al., 1995; Spence and Telmer, 2005
Gallegos	Argentina	Brunet et al., 2005
Ganges-Brahmaputra	Asia	Galy and France-Lanord, 1999
Han	Korea	Lee et al., 2007
Indus	Asia	Karim and Veizer, 2000
Khwai	Africa	Akoko et al., 2013
Lagan	Ireland	Barth et al., 1998
Mackenzie	Canada	Hitchon and Krouse, 1972; Reeder et al., 1972
Murray	Australia	Cartwright, 2010
Nass	British Columbia, Canada	Spence and Telmer, 2005
Negro	Argentina	Brunet et al., 2005
Okavango	Africa	Akoko et al., 2013
Pearl	Asia	Zhang et al., 2009
Rhine	Europe	Flintrop et al., 1996; Stögbauer et al., 2008
Rhône	Europe	Aucour et al., 1999
Santa Cruz	Argentina	Brunet et al., 2005
Skeena	British Columbia, Canada	Spence and Telmer, 2005
Squamish	British Columbia, Canada	Spence and Telmer, 2005
St. Lawrence	Canada and USA	Yang et al., 1996; Telmer and Veizer, 1999; Hélie et al., 2002
Tana	Kenya	Bouillon et al., 2009; Tamooch et al., 2013
Vistula	Poland	Wachniew, 2006

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568 Table 1. Quantitative output of the generalized additive mixed models (GAMM) of  $\Delta\delta^{13}\text{C-DIC}$ ,  
 569 including information on units and model effects. Estimated degrees of freedom = edf. The  
 570 GAMM explained a total of 85.2% of the deviance.

Model term	Unit	Effect	Estimate	Std. Error	edf	Standard deviation	p-value
Intercept			-10.07	0.63			<0.001
Strahler order		Linear	0.27	0.09			<0.01
Dissolved inorganic carbon (DIC)	mmol/L	Smooth			6.4		<0.001
Elevation	masl	Smooth			5.0		<0.001
Day-of-year × Latitude		Smooth			19.9		<0.001
Day-of-year × Latitude		Smooth			14.1		<0.001
Distance from river mouth	km	Smooth			7.1		<0.001
Watershed		Random			11.7	1.7	<0.001
River		Random			97.1	1.5	<0.001

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581 Table 2. Quantitative output of the generalized additive mixed models (GAMM) of  $\delta^{13}\text{C}$ -DIC,  
 582 including information on units and model effects. Estimated degrees of freedom = edf. The  
 583 GAMM explained a total of 90.6% of the deviance.

Model term	Unit	Effect	Estimate	Std. Error	edf	Standard deviation	p-value
Intercept			-10.76	0.58			<0.001
Strahler order		Linear	0.27	0.09			<0.01
pH		Smooth			5.9		<0.001
Dissolved inorganic carbon (DIC)	mmol/L	Smooth			7.1		<0.001
Partial pressure of dissolved CO <sub>2</sub> (pCO <sub>2</sub> )	ppmv	Smooth			3.8		<0.001
Elevation	masl	Smooth			5.1		<0.001
Day-of-year × Latitude		Smooth			21.0		<0.001
Day-of-year × Latitude		Smooth			15.2		<0.001
Distance from river mouth	km	Smooth			6.4		<0.001
Watershed		Random			9.9	1.4	<0.001
River		Random			104.5	1.6	<0.001

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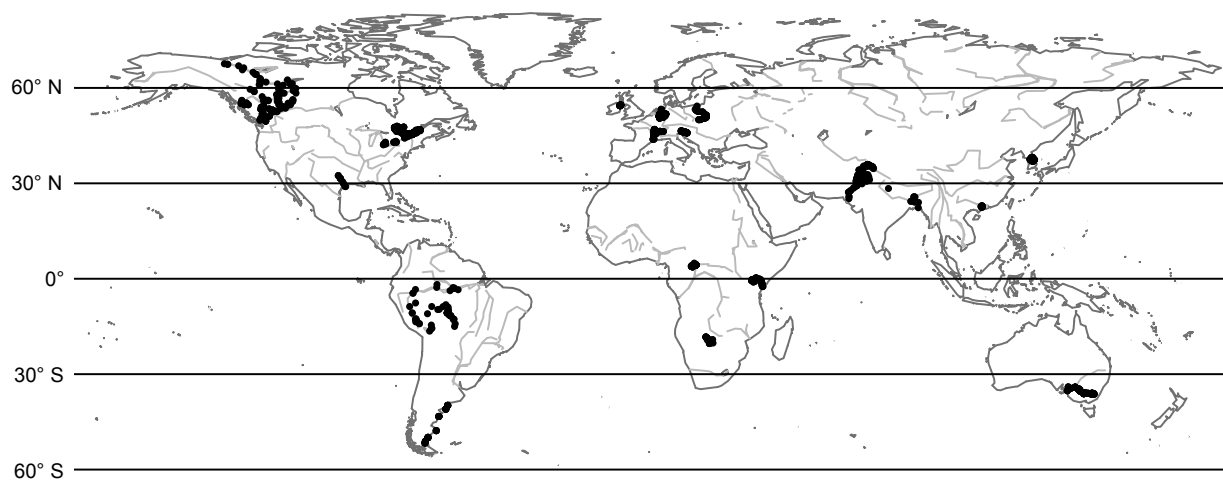
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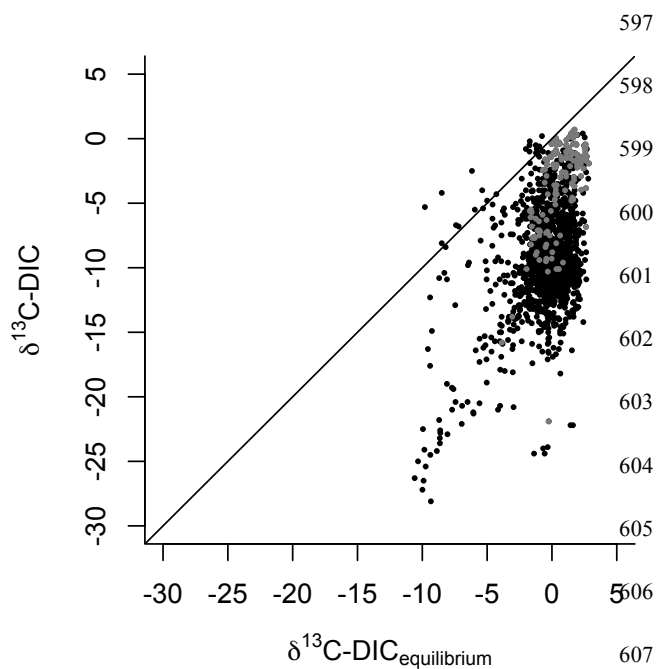
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595 Figure 1. Map of the study sites included in the literature survey. Major world rivers are shown

596 in light grey.



608 Figure 2. The relationship between  $\delta^{13}\text{C-DIC}_{\text{equilibrium}}$  (the  $\delta^{13}\text{C}$  signature of DIC at isotopic  
609 equilibrium with the atmosphere) and  $\delta^{13}\text{C-DIC}$  in rivers. Grey symbols are from rivers with  
610 Strahler order = 8 and black symbols are from rivers with Strahler order < 8. The line indicates  
611 the 1:1 relationship.

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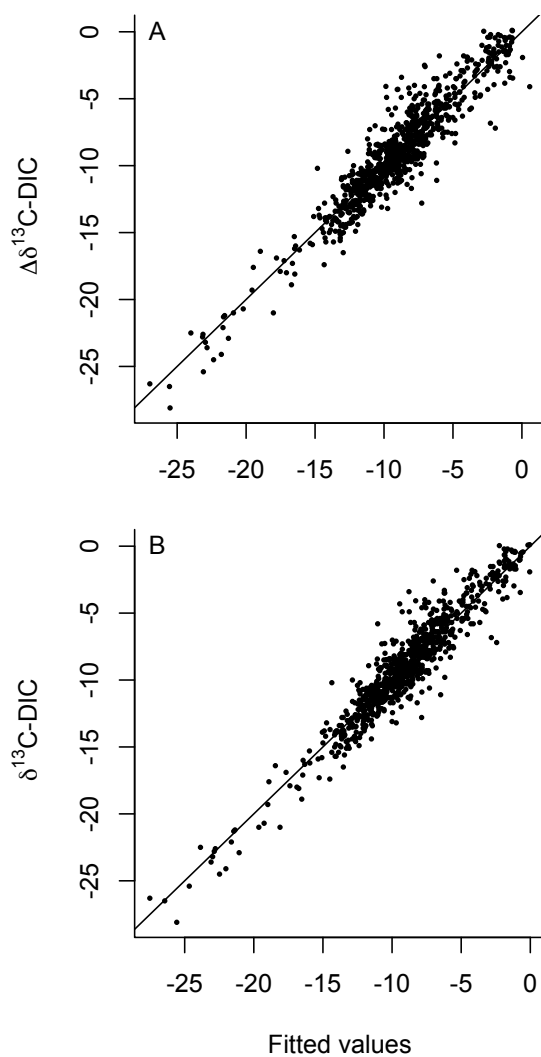
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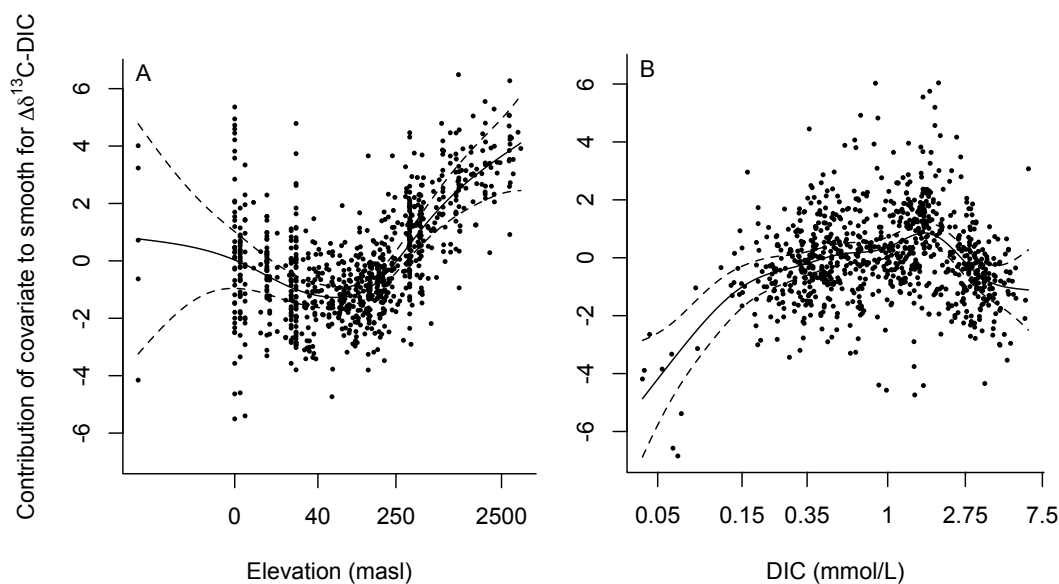
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639 Figure 3. Plots of fitted versus observed values for  $\Delta\delta^{13}\text{C-DIC}$  (A) and  $\delta^{13}\text{C-DIC}$  (B).

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643 Figure 4. Smooth function (curve) derived from the generalized additive mixed model (GAMM)

644 fit for relationships between  $\Delta\delta^{13}\text{C-DIC}$  and elevation (meters above sea level, A) and dissolved

645 inorganic carbon (DIC) concentrations (mmol/L, B). Dashed curves represent 95% confidence

646 intervals for the smooth. Partial residuals (points) are also shown. Higher  $\Delta\delta^{13}\text{C-DIC}$  values647 indicate that  $\delta^{13}\text{C-DIC}$  is closer to isotopic equilibrium with the atmosphere. Note that the

648 smooth function y-axis is centered to zero mean.

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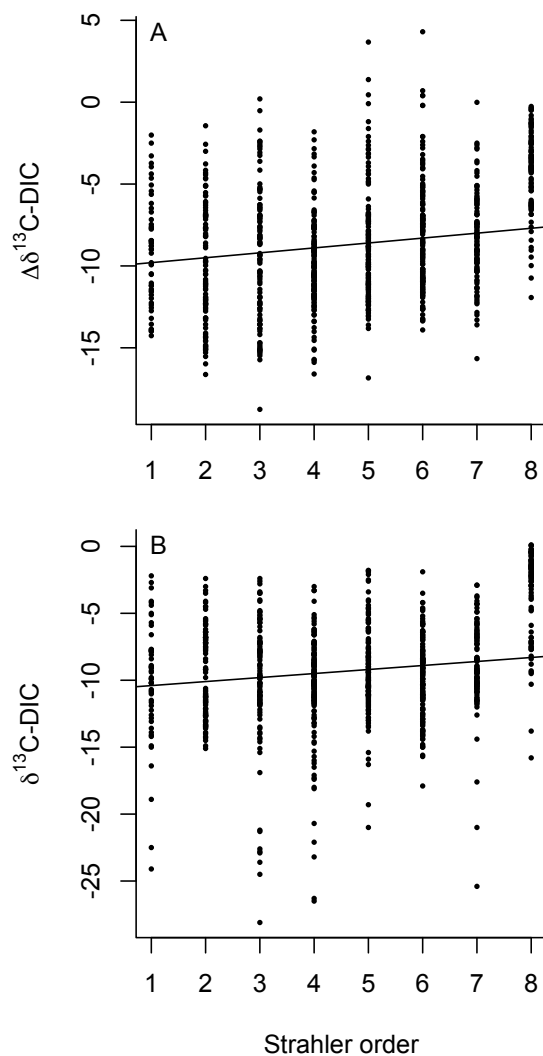
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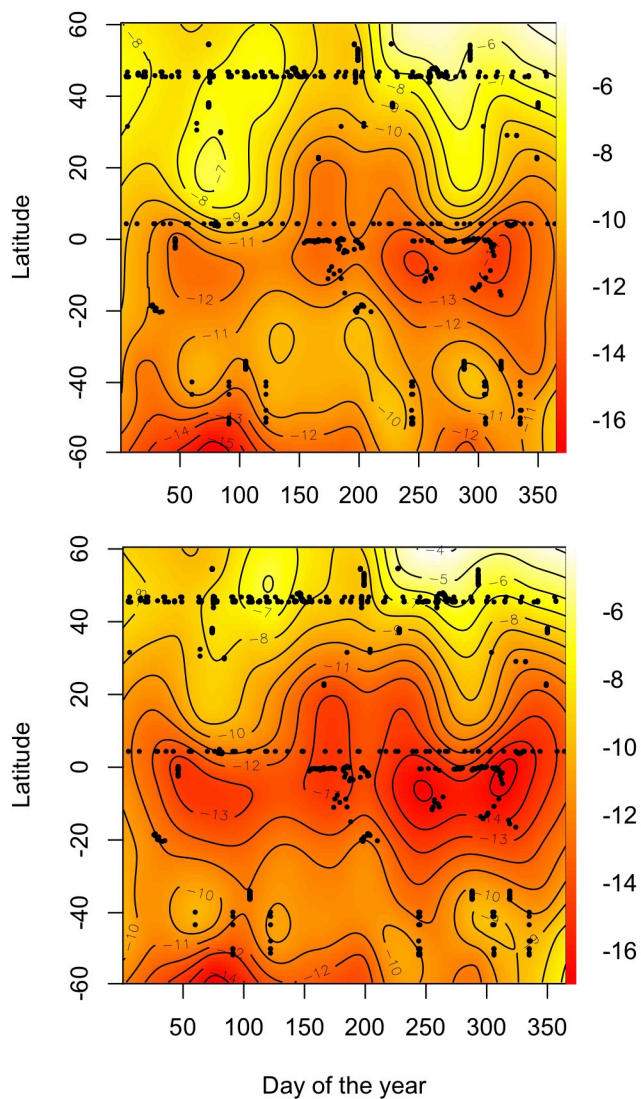


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674 Figure 5. Relationships between Strahler order and  $\Delta\delta^{13}\text{C-DIC}$  (A) and  $\delta^{13}\text{C-DIC}$  (B). The  
675 regression lines determined by the coefficients of the generalized additive mixed models  
676 (GAMMs) are shown.

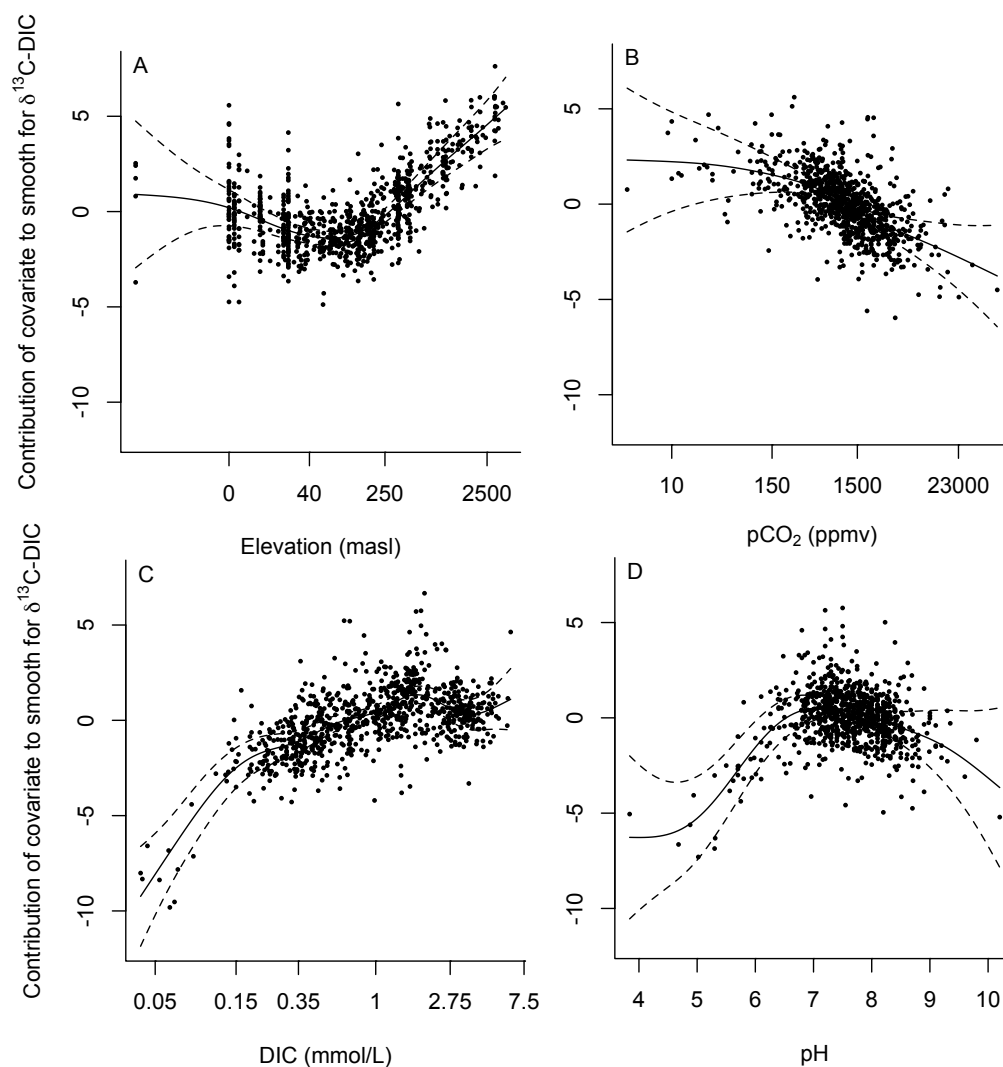
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697 Figure 6. Contour plots showing the seasonal variation in fitted  $\Delta\delta^{13}\text{C-DIC}$  (top) and  $\delta^{13}\text{C-DIC}$   
698 (bottom) at different latitudes. Lighter colors indicate higher  $\Delta\delta^{13}\text{C-DIC}$  values (values closer to  
699 atmospheric equilibrium) for the top plot and higher  $\delta^{13}\text{C-DIC}$  values for the bottom plot.

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702 Figure 7. Smooth function (curve) derived from the generalized additive mixed model (GAMM)

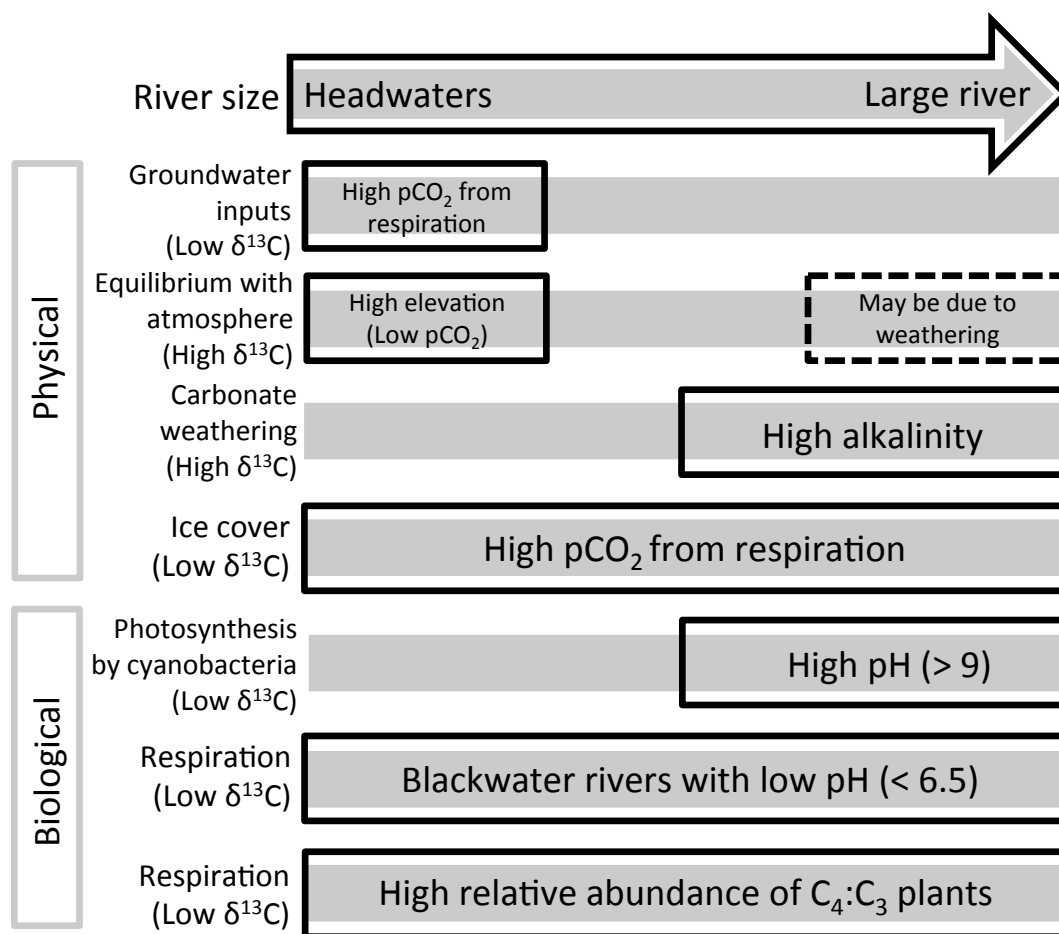
703 fit for relationships between rescaled  $\delta^{13}\text{C-DIC}$  and elevation (masl, A),  $\text{pCO}_2$  (ppmv, B),

704 dissolved inorganic carbon (DIC) concentrations (mmol/L, C), and pH (D). Dashed curves

705 represent 95% confidence intervals for the smooth. Partial residuals (points) are also shown.

706 Note that the smooth function y-axis is centered to zero mean.

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710 Figure 8. Schematic diagram showing dominant physical and biological controls of  $\delta^{13}\text{C}$ -DIC in  
 711 rivers throughout the world. The grey figures represent the longitudinal river gradient. The black  
 712 outlines indicate the relative importance of various processes influencing  $\delta^{13}\text{C}$ -DIC along the  
 713 upstream-downstream gradient. Patterns in  $\text{pCO}_2$  along the river gradient also are shown. The  
 714 dotted outline indicates that floodplain rivers are near isotopic equilibrium with the atmosphere,  
 715 but this may be because carbonate weathering also is associated with high  $\delta^{13}\text{C}$ -DIC values.