

1 Dear Prof. Richard Conant and Reviewers,  
2 On behalf of my co-authors, thank you very much for your positive and constructive  
3 comments on our manuscript. We have carefully studied the comments and have made  
4 corrections which we hope to meet with approval. Please see the attached point-by-point  
5 responses and the tracked change version of manuscript for your further evaluation. All  
6 revised positions mentioned in the responses can be readily found in the attached clear  
7 version of manuscript.

8 **Response to Reviewer's comments:**

9 **Reviewer 1:**

10 1. The section on the details of the long-term experiment (lines 145-153) is not necessary.

11 **Response:** Thanks very much for your suggestion. According to your suggestion, we have  
12 deleted the details of the long-term experiment (**please see Line 383-392**).

13

14 2. It was a bit confusing because the rates of residue incorporation used in this study (Table 1)  
15 were different from those in the long-term experiment (line 146).

16 **Response:** Thanks for your comment and sorry for our unclear expression. Yes, the rates of  
17 residue incorporation used in this study were different from those in the long-term experiment,  
18 but we think it is appropriate to use the equation to calculate the SOCSR in this study. We  
19 have noticed the uncertainty induced by the SOCSR calculation method and discussed it in  
20 the results and discussion part of '**CH<sub>4</sub>, N<sub>2</sub>O emissions and SOSCR**'. Moreover, we also  
21 presented the reasons why we hold the opinion that the SOCSR calculation method in this  
22 study is appropriate, and the uncertainty incurred by this method unlikely affects the main  
23 conclusions of this study (**please see Line 531-549**).

24

25 3. The relationship between CH<sub>4</sub> emission and the amount of organic matter input was not the  
26 major focus of the paper. The discussion should be simplified rather than being extended with  
27 possible explanations, some of which are speculative.

28 **Response:** Thanks for your good suggestion. According to your suggestion, we have  
29 simplified the relevant discussion (**please see Line 499-510**).

30

31 4. At a few other places in the discussion section e.g. lines 278-285 the authors presented their  
32 results, and compared the results with others', which was fine but the manuscript would be  
33 more informative if the implications of the findings could be explored.

34 **Response:** Thanks for your good suggestion. According to your suggestion, we have explored  
35 the implications of the SOC sequestration of this study (**please see Line 550-560**). We also  
36 revised somewhere else, such as **Line 526-528** and **Line 612-614**, to illustrate the  
37 implications of our findings.

38

39 5. Minor comments:

40 Line 76: delete "And"

41 **Response:** Agreed and revised (**please see Line 309**).

42 Line 112: The scientific name of rice was provided but not for winter wheat

43 **Response:** Sorry for our carelessness. We have added the scientific name of wheat in the text  
44 (**please see Line 346**).

45 Lines 252-253: What does it mean by "the applied OM rates among different treatments  
46 are statistically different"? A statistical test on the independent variables (OM

47 application rates)?

48 **Response:** Sorry for our unclear expression. We have deleted this sentence.

49 Line 311: “was not” instead of “wasn’t”

50 **Response:** Agreed and revised (**please see Line 588**).

51 Lines 344-346: incomplete sentence

52 **Response:** Sorry for our unclear expression. We have revised this sentence (**please see Line**  
53 **623-628**).

54 Once again, thank you very much for your constructive comments and suggestions.

55 **Reviewer 2: Specific comments**

56 1. 1. Abstract: Authors employed the meta-analysis to calculate the various Nr losses. As an  
57 important part of this study, the results of the meta-analysis should be simply presented in the  
58 abstract. Moreover, it would be better if the abstract is concisely shortened, since some  
59 findings in the current version were insignificant, e.g., L34 ‘while methane  
60 emission .....wheat rates increased’.

61 **Response:** Thanks very much for your comment and suggestion. According to your  
62 suggestion, we have presented the main findings of the meta-analysis in the abstract. We have  
63 also concisely shortened the abstract (**please see Line 249-279**).

64

65 2. L71-72, specify the current water and straw application methods.

66 **Response:** Thanks for your comment and sorry for our unclear expression. We have specified  
67 the water and straw application methods (**please see Line 304-305**).

68 3. L140 Using the relationship of straw input rate and SOCSR of previous study to calculate  
69 the SOC changes is fine, since both of the studies have similar climatic conditions, cropping  
70 history and agricultural practices. But the uncertainty should be noticed and can be discussed  
71 in the result and discussion part.

72 **Response:** Thanks for your good suggestion. According to your suggestion, we have noticed  
73 the uncertainty induced by the SOCSR calculation method and discussed it in the results and  
74 discussion part of '**CH<sub>4</sub>, N<sub>2</sub>O emissions and SOSCR**'. Moreover, we also presented the  
75 reasons why we hold the opinion that the SOCSR calculation method in this study is  
76 appropriate, and the uncertainty incurred by this method unlikely affects the main conclusions  
77 of this study (**please see Line 531-549**).

78

79 4. L193-205. The environmental cost evaluation is interesting. But, why treated N<sub>2</sub>O as a  
80 GHG when conducted this evaluation, since it is both a GHG and Nr species?

81 **Response:** Thanks for your comment. N<sub>2</sub>O is both a GHG and Nr species, but its  
82 environmental cost was calculated as a GHG here. This is because the cost of N<sub>2</sub>O emission  
83 as Nr species is mainly to damage human health (Gu et al., 2012). But the effects of Nr losses  
84 on the direct damage costs of human health were not included in this study, which are very  
85 difficult to quantify. The environmental costs included in this study mainly refer to the global  
86 warming incurred by GHG emissions, soil acidification incurred by NH<sub>3</sub> and NO<sub>x</sub> emissions,  
87 and aquatic eutrophication caused by NH<sub>3</sub> emissions, N leaching and runoff (Xia and Yan,  
88 2012). We have added such reasons in the methodology to make it clearer (**please see Line**  
89 **433-435**).

90 References:

91 Gu, B., Ge, Y., Ren, Y., Xu, B., Luo, W., Jiang, H., Gu, B., Chang, J.: Atmospheric reactive  
92 nitrogen in China: Sources, recent trends, and damage costs, Environ. Sci. Technol.,  
93 46, 9420-9427, 2012.

94 Xia, Y., Yan, X.: Ecologically optimal nitrogen application rates for rice cropping in the Taihu  
95 Lake region of China, *Sustain. Sci.*, 7, 33-44, 2012.

96

97 5. L275-280. This discussion needs to be concise, since the effect of N fertilizer on CH<sub>4</sub>  
98 emission is beyond the focus of this study.

99 **Response:** Thanks for your suggestion. According to your suggestion, we have simplified the  
100 relevant discussion (**please see Line 517-519**).

101

102 6. L289-290. The calculation of the N<sub>2</sub>O emission factor needs to be specified in the  
103 methodology.

104 **Response:** Thanks for your correction. According to your suggestion, we have specified the  
105 calculation of the N<sub>2</sub>O emission factor in the methodology (**please see Line 443-448**).

106

107

108 7. L345. Does the straw application affect the Nr losses (e.g., N<sub>2</sub>O and NH<sub>3</sub> emission)  
109 and the subsequent calculation of Nr intensity?

110 **Response:** Thanks for your comment. Previous studies have proven that direct incorporation  
111 of crop straw had insignificant effects on various Nr releases (Xia et al., 2014). Because the  
112 majority of N contented in the crop straw is not easily degraded by microorganisms in a  
113 short-term period, and can be stabilized in soil in a long-term period, rather than being  
114 released as various Nr (Huang et al., 2004; Xia et al., 2014). For instance, a meta-analysis,  
115 integrating 112 scientific assessments of the crop residue incorporation on the N<sub>2</sub>O emissions,  
116 has reported that the practice exerted no statistically significant effect on the N<sub>2</sub>O releases  
117 (Shan and Yan, 2013). Therefore, the effects of wheat straw incorporation on various Nr

118 losses were considered as negligible in this study. Moreover, previous studies have also  
119 proven that straw incorporation exerted little impacts on grain yield. For instance, a  
120 meta-analysis conducted by Singh et al. (2005) have found that incorporation of crop straw  
121 produced no significant trend in improving crop yield in rice-based cropping systems.  
122 Moreover, based on a long-term straw incorporation experiment established since 1990 in the  
123 TLR, Xia et al. (2014) have reported that long-term incorporation of wheat straw only  
124 increased the rice yield by 1%.

125 Therefore, in the present study, the effects of straw incorporation on NrI were considered  
126 as inappreciable. We have presented such reasons in the results and discussion part to make it  
127 clearer (**please see Line 481-488 and Line 622-630**).

128 References:

129 Huang, Y., Zou, J., Zheng, X., Wang, Y., Xu, X.: Nitrous oxide emissions as influenced by  
130 amendment of plant residues with different C: N ratios, *Soil Biol. Biochem.*, 36,  
131 973-981, 2004.

132 Shan, J., Yan, X.Y.: Effects of crop residue returning on nitrous oxide emissions in  
133 agricultural soils, *Atmos. Environ.*, 71, 170-175, 2013.

134 Singh, Y., Singh, B., Timsina, J.: Crop residue management for nutrient cycling and  
135 improving soil productivity in rice-based cropping systems in the tropics, *Adv. Agron.*, 85,  
136 269-407, 2005.

137 Xia, L., Wang, S., Yan, X.: Effects of long-term straw incorporation on the net global  
138 warming potential and the net economic benefit in a rice-wheat cropping system in  
139 China, *Agric. Ecosyst. Environ.*, 197, 118-127, 2014.

140

141 8. L377-378. I don't think the GHGI and Nr have to have some specific relationship,  
142 although the N production and fertilization can both affect them.

143 **Response:** Thanks for your comment and sorry for our unclear expression. We have deleted  
144 such sentence. What we wanted to present is that extra attention should be paid to the  
145 interrelationship between the NrI and GHGI, which could provide hints for the mitigation  
146 purpose. For instance, N fertilizer production and application is an intermediate link between  
147 the NrI and GHGI (Chen et al., 2014). For the NrI, N fertilization promotes various Nr  
148 releases, exponentially or linearly (Fig.4), while N production and application made a  
149 secondary contribution to the GHGI (Table 4). Such interrelationships ought to be taken into  
150 account fully for any mitigation options pursued, in order to reduce the GHG emissions and  
151 Nr discharges from rice production simultaneously (Cui et al., 2013b; Cui et al., 2014) (**please**  
152 **see Line 634-640**).

153 References:

154 Chen, X., Cui, Z., Fan, M., Vitousek, P., Zhao, M., Ma, W., Wang, Z., Zhang, W., Yan, X.,  
155 Yang, J.: Producing more grain with lower environmental costs, *Nature*, 514,  
156 486-489, 2014.

157 Cui, Z., Yue, S., Wang, G., Zhang, F., Chen, X.: In-season root-zone N management for  
158 mitigating greenhouse gas emission and reactive N losses in intensive wheat  
159 production, *Environ. Sci. Technol.*, 47, 6015-6022, 2013b.

160 Cui, Z., Wang, G., Yue, S., Wu, L., Zhang, W., Zhang, F., Chen, X.: Closing the N-use  
161 efficiency gap to achieve food and environmental security, *Environ. Sci. Technol.*, 48,  
162 5780-5787, 2014.

163

164 9. L428. The ‘ecological compensation mechanism’ is a good idea to encourage farmers  
165 to adopt knowledge-based agricultural managements. To make it clearer, authors need  
166 to provide more details about that rather than just giving a mention.

167 **Response:** Thanks for your good suggestion. According to your suggestion, we have added  
168 more details to make the ‘ecological compensation mechanism’ clearer (**please see Line**  
169 **684-693**).

170

171 **Reviewer 2: Some further remarks**

172 1. L 72, delete ‘the’

173 **Response:** Thanks for your correction. We have revised it according to your correction  
174 (**please see Line 306**).

175 2. L 98-101, long sentence, needs to be split.

176 **Response:** Thanks for your correction. We have revised it according to your correction  
177 (**please see Line 331-334**).

178 3. L102, N<sub>2</sub>O should be ‘nitrous oxide (N<sub>2</sub>O)’

179 **Response:** Thanks for your correction. We have revised it according to your correction  
180 (**please see Line 336**).

181 4. L116, delete ‘an’

182 **Response:** Thanks for your correction. We have revised it according to your correction  
183 (**please see Line 350**).

184 5. L196, ‘was’ should be ‘were’

185 **Response:** Thanks for your correction. We have revised it according to your correction  
186 (**please see Line 427**).

187 6. L230, replace ‘to a reasonable rate’ with ‘reasonably’



188 **Response:** Thanks for your correction. We have revised it according to your correction  
189 **(please see Line 467).**

190

191 7. L233, delete ‘without threatening food...study’

192 **Response:** Thanks for your correction. We have revised it according to your correction  
193 **(please see Line 470-471).**

194 8. L252, replace ‘produced’ with ‘showed’

195 **Response:** Thanks for your correction. We have revised it according to your correction  
196 **(please see Line 490).**

197

198 9. L335, ‘manufacture’ should be ‘production’

199 **Response:** Thanks for your correction. We have revised it according to your correction  
200 **(please see Line 586).**

201 10. L348, delete the sentence

202 **Response:** Thanks for your correction. We have revised it according to your correction  
203 **(please see Line 601).**

204 11. L427, ‘has’ should be ‘have’

205 **Response:** Thanks for your correction. We have revised it according to your correction  
206 **(please see Line 685).**

207 12. L443, delete ‘as well’

208 **Response:** Thanks for your correction. We have revised it according to your correction  
209 **(please see Line 704).**

210 13. Table 1-6, the abbreviations in the table titles should be self-explained.

211 **Response:** Thanks for your correction. We have revised it according to your correction  
212 **(please see the tables).**

213 Once again, thank you very much for your constructive comments and suggestions.

214

215 In addition, we also polished the English expressions in the whole manuscript and redrew  
216 Figure 5. All changes in the manuscript will not influence the main conclusions of the paper.  
217 And here we did not list the changes but marked in red in the attached tracked change version  
218 of manuscript. We appreciate Editor/Reviewers' warm work earnestly, and hope that the  
219 correction will meet with approval.

220

221 Yours sincerely,

222 XiaoyuanYan on behalf of all authors

223

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225

226 **Greenhouse gas emissions and reactive nitrogen releases from rice production with**  
227 **simultaneous incorporation of wheat straw and nitrogen fertilizer**

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248 | **Abstract**

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249 | ~~The impacts~~Impacts of simultaneous inputs of crop straw and nitrogen (N) fertilizer on  
250 | greenhouse gas (GHG) emissions and ~~reactive nitrogen (Nr) releases~~N losses from rice production  
251 | ~~in intensive agricultural regions~~ are not well understood. A two-year field experiment was  
252 | established in a rice–wheat cropping system in the Taihu Lake region (TLR) of China ~~since 2013~~  
253 | to evaluate the GHG intensity (GHGI), ~~Nr~~reactive N intensity (NrI) ~~and environmental costs~~ of  
254 | ~~concurrent~~rice production with inputs of wheat straw and N fertilizer ~~to rice paddies~~. The field  
255 | experiment included five treatments of different N fertilization rates for rice production: 0 (RN0),  
256 | 120 (RN120), 180 (RN180), 240 (RN240) and 300 kg N ha<sup>-1</sup> (RN300, traditional N applied rate in  
257 | the TLR). Wheat straws were fully incorporated into soil before rice transplantation ~~in all~~  
258 | ~~treatments~~. The ~~results~~meta-analytic technique was employed to evaluate various Nr losses.

259 Results showed that the response of rice yield to N ~~application~~ rate successfully fitted a quadratic  
260 model. ~~Nitrous oxide (N<sub>2</sub>O) emissions were increased exponentially as , while N fertilization rates~~  
261 ~~increased, while methane (CH<sub>4</sub>) emissions increased slightly with wheat straw rates increased. The~~  
262 ~~estimated soil organic carbon sequestration rate varied from 129.58 (RN0) to 196.87 kg C ha<sup>-1</sup>~~  
263 ~~yr<sup>-1</sup> (RN300). Seasonal average promoted Nr discharges exponentially (nitrous oxide emission, N~~  
264 ~~leaching and runoff) or linearly (ammonia volatilization). The~~ GHGI of rice production ranged  
265 from 1.20 (RN240) to 1.61, ~~(RN0) kg CO<sub>2</sub>-equivalent (CO<sub>2</sub>-eq) kg<sup>-1</sup> (RN0),~~ while NrI varied  
266 from 2.14 (RN0) to 10.92 ~~(RN300) g N kg<sup>-1</sup> (RN300).~~ ~~Methane (CH<sub>4</sub> emissions) emission~~  
267 dominated ~~the~~ GHGI with proportion of 70.2–~~88.6%-% due to direct straw incorporation,~~ while  
268 ammonia (NH<sub>3</sub>) volatilization dominated ~~the~~ NrI with proportion of 53.5–~~57.4% in all~~  
269 ~~fertilization treatments. The damage%. Damage~~ costs to environment incurred by GHG and Nr  
270 releases from current rice production (RN300) accounted for 8.8% and 4.9% of ~~farmer'sfarmers'~~  
271 incomes, respectively. Cutting ~~the traditional N~~ application rate ~~of N fertilizer~~ from 300  
272 ~~(traditional N rate) to 240 kg N ha<sup>-1</sup>.~~ ~~improvedcould improve~~ rice yield and nitrogen use efficiency  
273 by 2.14% and 10.30%, respectively, whilst simultaneously reduced GHGI by 13%, NrI by 23%  
274 and total environmental costs by 16%. Moreover, the reduction of 60 kg N ha<sup>-1</sup> improved  
275 ~~farmer'sfarmers'~~ income by 639 ¥ ha<sup>-1</sup>, which would provide them with an incentive to change  
276 ~~their traditional the current~~ N application rate. Our study suggests that GHG and Nr releases,  
277 especially ~~the~~for CH<sub>4</sub> emission and NH<sub>3</sub> volatilization, from rice production in the TLR could be  
278 further ~~eurbedreduced,~~ considering the current incorporation pattern of wheat straw and N  
279 fertilizer.

280 Key words: Taihu Lake region, greenhouse gas intensity, Nr intensity, rice production, straw

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299 **1 Introduction**

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300 Rice is the staple food for the majority of the world's population. However, while

301 industriously feeding the ~~world's~~global population, rice production is an important source of

302 greenhouse gas (GHG) emissions and reactive nitrogen (Nr) releases (Yan et al., 2009; Chen et al.,

2014). Rice production in China involves heavy methane (CH<sub>4</sub>) emissions due to ~~current~~the water regime ~~management~~managements (e.g., continuous flooding in some regions) and straw incorporation practices (e.g., direct incorporation without any pretreatments) (Yan et al., 2009). Besides, ~~the~~ lower nitrogen use efficiency for rice cultivation in China (approximately 31%) aggravates the release of various Nr species, thus threatening ecosystem functions (Galloway et al., 2008; Zhang et al., 2012). Such a dilemma highlights the need for the simultaneous evaluation of GHG emissions and Nr losses for rice production in China. ~~And rice~~Rice cultivation in intensive agricultural regions, characterized by high inputs of N fertilizer and crop residues, should be prioritized for the implementation of such evaluation (Ju et al., 2009; Chen et al., 2014).

Taihu Lake region (TLR) is one of the most productive areas for rice production in China, largely owing to the popularity of intensive cultivation (Zhao et al., 2012a; Zhao et al., 2012b). Currently, rice yield of this region in some fields can reach up to 8000 kg ha<sup>-1</sup> or even higher (Ma et al., 2013; Zhao et al., 2015). However, these grain yields are achieved with a cost to environment (Ju et al., 2009). TLR generally receives 550–600 kg N ha<sup>-1</sup> yr<sup>-1</sup>, with the rice-growing season accounting for nearly 300 kg N ha<sup>-1</sup> (Zhao et al., 2012b). Besides from these excessive N inputs, TLR also experiences high amounts of crop residue incorporation, which is highly encouraged by local governments (Xia et al., 2014). However, direct straw incorporation before rice transplantation triggers substantial CH<sub>4</sub> emissions (Ma et al., 2009; Ma et al., 2013). Besides such substantial releases of Nr and ~~GHG~~GHG in a direct way, indirect releases during the production of various agricultural materials used for farming operations ~~in the TLR~~, are also not ignorable, due to higher input rates of these materials caused by intensive cultivation (Zhang et al., 2013; Cheng et al., 2014). This warrants the need for life-cycle assessment (LCA) of GHG

325 emissions and Nr releases with respect to rice production in this region.

326 Considerable environmental costs can be caused by the direct and indirect releases of  
327 ~~GHGs~~GHG and Nr from rice production in the TLR, for instance, in the form of global warming,  
328 water eutrophication, or soil acidification (Ju et al., 2009; Xia and Yan, 2011; Xia and Yan, 2012).  
329 Previous studies have proven that environmental costs assessment could provide guidance for  
330 emerging policy priorities in mitigating certain GHG or Nr species, after quantifying both their  
331 release amounts and damage costs to ecosystems (Gu et al., 2012). However, few studies have  
332 attempted to evaluate the ~~life-cycle assessment of~~ total GHG and Nr releases, and the associated  
333 environmental costs ~~they incur~~ from rice production ~~in the TLR under the current conditions of,~~  
334 with high inputs of N fertilizer and crop straw, ~~are scarce.~~

335 In the present study, we conducted two years of simultaneous measurements of CH<sub>4</sub> and  
336 nitrous oxide (N<sub>2</sub>O) emissions from a rice-wheat cropping system in the TLR to evaluate the  
337 impacts of simultaneous inputs of crop straw and N fertilizer on (1) net global warming potential  
338 (NGWP) and GHG intensity (GHGI), (2) total Nr losses and Nr intensity (NrI), (3) environmental  
339 costs incurred by these GHG and Nr releases ~~of~~associated with rice production, from the  
340 perspective of ~~life-cycle assessment~~LCA.

## 341 2 Materials and methods

### 342 2.1 Experimental site

343 The field experiment was conducted in a paddy rice field at Changshu Agroecological  
344 Experimental Station (31°32'93"N, 120°41'88"E) in Jiangsu province, which is located in the TLR  
345 of China where the cropping system is primarily dominated by summer rice (*Oryza sativa* L.)  
346 and winter wheat (*Triticum aestivum* L.) rotation. The climate of the study area is subtropical

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347 monsoon, with a mean air temperature of 16.1°C and mean annual precipitation of 990 mm, of  
348 which 60–70% occurs during the rice-growing season. The daily mean temperature and  
349 precipitation during two rice-growing seasons from 2013 to 2014 are shown in Fig.1. The paddy  
350 soil is classified as ~~an~~ Anthrosol, which develops from lacustrine sediments. The topsoil (0–20cm)  
351 has a pH of 7.68 (H<sub>2</sub>O). The bulk density is 1.16 g cm<sup>-3</sup>, the organic C content is 20.1 g C kg<sup>-1</sup>,  
352 the total N is 1.98 g kg<sup>-1</sup>, the available P is 11.83 mg kg<sup>-1</sup> and the available K is 126 mg kg<sup>-1</sup>.

## 353 **2.2 Experimental design and field management**

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354 The field experiment included five treatments of different N fertilization rates for rice  
355 production: 0 (RN0), 120 (RN120), 180 (RN180), 240 (RN240) and 300 kg N ha<sup>-1</sup> (RN300,  
356 traditional N applied rate in the TLR). Consistent with local practices, wheat straws were  
357 harvested, chopped and fully incorporated into soil before rice transplantation in all treatments  
358 (Table 1). All of the treatments are laid out in a randomized block design with three replicates, and  
359 each plot covered an area of 3 m × 11 m (33 m<sup>2</sup>).

360 Rice is transplanted in the middle of June and harvested at the beginning of November. N  
361 fertilizer (in the form of urea) was split into three parts during the rice-growing season: 40% as  
362 basal fertilizer, 30% as ~~the~~ ~~hering~~ ~~tiller~~ fertilizer, and 30% as panicle fertilizer. Phosphorus (in the  
363 form of calcium superphosphate) and potassium (in the form of potassium chloride) were applied  
364 as basal fertilizer at rates of 30 kg P<sub>2</sub>O<sub>5</sub> ha<sup>-1</sup> and 60 kg K<sub>2</sub>O ha<sup>-1</sup>, respectively. All basal fertilizers  
365 were thoroughly incorporated into the soil through plowing, while topdressing fertilizers were  
366 applied evenly to the soil surface. According to local practices, the water regime of  
367 ‘flooding-midseason drainage-flooding-moist but non-waterlogged by intermittent irrigation’ was  
368 adopted. Details of the specific agricultural management practices for rice production are provided



369 in Table 1.

### 370 **2.3 Gas fluxes and topsoil organic carbon sequestration rate**

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371 The CH<sub>4</sub> and ~~nitrous oxide (N<sub>2</sub>O)~~ fluxes during the rice-growing seasons of 2013 and 2014  
372 were measured using a static chamber and gas chromatography technique. Details of the  
373 procedures used for sampling and analysis the gases were described in Xia et al. (2014).

374 ~~Considering the fact that~~Generally, it takes long-term observations over years to decades  
375 ~~before~~ the soil organic carbon ~~sequestration rate (SOCSR)~~(SOC) change is detectable (Yan et al.,  
376 ~~2011). The SOC content changes of this~~ short-term field experiment ~~could not~~couldn't be ~~correctly~~  
377 measured ~~directly, due to the high variability of SOC during the preliminary several years of the~~  
378 ~~experiment. Therefore,~~ we used the following relationship between the straw input rate (kg C ha<sup>-1</sup>  
379 yr<sup>-1</sup>) and ~~SOC sequestration rate (SOCSR-)~~ kg C ha<sup>-1</sup> yr<sup>-1</sup>), obtained ~~via~~through an on-going  
380 long-term straw application experiment in the same region, to calculate the SOCSR in this study:  
381 (Xia et al., 2014):

$$382 \text{ SOCSR} = \text{Straw input rate} \times 0.0603 + 31.39 (R^2 = 0.92); \quad (1)$$

383 ~~This on-gonging~~ long-term field experiment is also taking place at the Changshu  
384 Agroecological Experimental Station (since 1990), which includes three straw application levels:  
385 0, 4.5 t, and 9.0 t dry-weight ha<sup>-1</sup> yr<sup>-1</sup> ~~and the N application rate for rice cultivation in these~~  
386 ~~treatments is 180 kg N ha<sup>-1</sup>. The estimated SOCSR (from 1990 to 2012) for these three treatments~~  
387 ~~was 10.65, 194.96 and 254.83 kg C ha<sup>-1</sup> yr<sup>-1</sup> (Xia et al., 2014).~~ The equation (1) was established  
388 based on ~~above straw input rates and the estimated SOCSR. We used the average straw input~~  
389 ~~rates~~the results of the two rice growing seasons to estimate the SOCSR. The on-going long term  
390 ~~experiment and the experiment in this study received similar~~22-year observation (Xia et al., 2014).

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391 ~~Same agricultural managements. Details of management practices were applied to~~ the on-going  
392 long-term experiment ~~are described in Xia et al. (2014).~~ and the experiment of this study.

#### 393 **2.4 Net global warming potential and greenhouse gas intensity**

394 The net global warming potential (NGWP, kg CO<sub>2</sub> eq ha<sup>-1</sup>) and greenhouse gas intensity  
395 (GHGI, kg CO<sub>2</sub> eq kg<sup>-1</sup>) of rice production in the TLR was calculated using the following  
396 equations:

$$397 \quad \text{NGWP} = \sum_{i=1}^m \text{AI}_{i\text{CO}_2} + \text{CH}_4 \times 25 + \text{N}_2\text{O} \times 44/28 \times 298 - \text{SOCSR} \times 44/12; \quad (2)$$

$$398 \quad \text{GHGI} = \text{NGWP}/\text{rice yield}; \quad (3)$$

399 Here, AI<sub>iCO<sub>2</sub></sub> denotes the GHG emissions from the production and transportation of agricultural  
400 inputs, which are calculated by multiplying their application rates by their individual GHG  
401 emission factors, such as synthetic fertilizers, diesel oil, electricity and pesticides (Liang, 2009;  
402 Zhang et al., 2013). CH<sub>4</sub> (kg CH<sub>4</sub> ha<sup>-1</sup>), N<sub>2</sub>O (kg N ha<sup>-1</sup>) and SOCSR (kg C ha<sup>-1</sup> yr<sup>-1</sup>) represent  
403 the CH<sub>4</sub> ~~emissions~~ and N<sub>2</sub>O emissions from rice production, and the SOC sequestration rate,  
404 respectively.

#### 405 **2.5 Total Nr losses and Nr intensity**

406 The total Nr losses (kg N ha<sup>-1</sup>) and Nr intensity (NrI, g N kg<sup>-1</sup>) were calculated using the  
407 following equations:

$$408 \quad \text{Total Nr losses} = \sum_{i=1}^m \text{AI}_{i\text{Nr}} + (\text{NH}_3 + \text{N}_2\text{O} + \text{N}_{\text{Leaching}} + \text{N}_{\text{Runoff}})_{\text{rice}}; \quad (4)$$

$$409 \quad \text{NH}_3 \text{ volatilization} = 0.17 \times \text{N fertilizer rate} \times \text{N}_{\text{rate}} + 0.64; \quad (5)$$

$$411 \quad \text{N runoff} = 5.39 \times \text{Exp} \times \text{Exp} \quad (0.0054 \times \text{N fertilizer rate}) \times \text{N}_{\text{rate}}; \quad (6)$$

413 
$$N_{\text{leaching}} = 1.44 \times \text{Exp} \times \text{Exp} (0.0037 \times N_{\text{fertilizer rate}}); N_{\text{rate}};$$

414 (7)

415 
$$NrI = (1000 \times \text{Total Nr losses}) / \text{rice yield}; \quad (8)$$

416 Here,  $AI_{iNr}$  denotes the Nr lost (mainly through  $N_2O$  and  $NO_x$  emissions) from the production and  
 417 transportation of agricultural inputs (Liang, 2009; Zhang et al., 2013), while  
 418 ‘ $(NH_3+N_2O+N_{\text{Leaching}}+N_{\text{Runoff}})_{\text{rice}}$ ’ represents the  $NH_3$  volatilization,  $N_2O$  emissions, N leaching  
 419 and runoff during the rice-growing season. ~~We conducted~~  $N_{\text{rate}}$  represents the N fertilizer  
 420 application rate. Nr empirical models (Equation 5, 6, 7) derived from a meta-analysis of published  
 421 literature ~~to establish Nr empirical models to stimulate the concerning~~ Nr losses, ~~such as~~  $NH_3$   
 422 ~~volatilization (Equation 5), N leaching and runoff (Equation 6 and 7), from different treatments~~  
 423 from rice production in the TLR. Specific details regarding this literature survey are provided in  
 424 Appendix A.

425 **2.6 Total environmental costs incurred by GHG and Nr releases and farmer’s income**

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426 The total environmental costs ( $\text{¥ ha}^{-1}$ ) incurred by GHG and Nr releases and farmer’s  
 427 income from rice production in the TLR ~~was were~~ calculated based on the following equations:

428 
$$\text{Environmental costs} = \sum_{i=1}^n (Nr_i A \times DC_i) + CO_2 A \times DC_{CO_2}; \quad (9)$$

429 
$$\text{Farmer’s income} = \text{rice yield} \times \text{rice price} - \text{input costs}; \quad (10)$$

430  $Nr_i A$  (kg N) represents the release amounts of certain Nr species (i), and  $DC_i$  ( $\text{¥ kg}^{-1}$  N) denotes  
 431 the damage cost (DC) per kg of certain Nr (i).  $CO_2 A$  (ton) and  $DC_{CO_2}$  ( $\text{¥ ton}^{-1}$ ) represent the  $CO_2$   
 432 emissions amount and global warming cost of  $CO_2$ , respectively.  $N_2O$  is both a GHG and ~~an~~ Nr  
 433 species, but its environmental cost was calculated as a GHG here. Because the cost of  $N_2O$   
 434 emission as Nr species is to damage human health (Gu et al., 2012), but the effects of Nr losses on

435 ~~the damage costs of human health were not included in this study.~~ The environmental costs mainly  
436 refer to the global warming incurred by GHG emissions, soil acidification incurred by NH<sub>3</sub> and  
437 NO<sub>x</sub> emissions, and aquatic eutrophication caused by NH<sub>3</sub> emissions, N leaching and runoff (Xia  
438 and Yan, 2012).

## 439 **2.7 Nitrogen use efficiency and N<sub>2</sub>O emission factor**

440 Nitrogen use efficiency (NUE) ~~is and N<sub>2</sub>O emission factor (EF<sub>d</sub>%) were respectively~~  
441 calculated by the following ~~equation (equations (Ma et al., 2013; Yan et al., 2014):~~

$$442 \text{NUE} = (U_N - U_0)/F_N; \quad (11)$$

$$443 \text{EF}_d\% = (E_N - E_0)/F_N; \quad (12)$$

444 Here, U<sub>N</sub> is the plant N uptake (kg ha<sup>-1</sup>) measured in ~~aboveground biomass grain~~ at physiological  
445 maturity in the N fertilization treatments, while U<sub>0</sub> is the N uptake measured in ~~aboveground~~  
446 ~~biomass grain~~ in the treatment without N fertilizer addition (RN0). ~~E<sub>N</sub> denotes the cumulative N<sub>2</sub>O~~  
447 ~~emissions in the N fertilization treatments, while E<sub>0</sub> denotes the N<sub>2</sub>O emissions in the RN0. E<sub>N</sub>~~  
448 ~~represents the application rate of N fertilizer.~~ The N uptake in straw and grain was analysed via  
449 concentrated sulfuric acid digestion and the Kjeldahl method (Zhao et al., 2015).

## 450 **2.8 Statistical analysis**

451 Differences in seasonal CH<sub>4</sub>, N<sub>2</sub>O emissions and rice yield of the two rice-growing seasons  
452 from 2013 to 2014 affected by fertilizer treatments, year and their interaction were examined by  
453 using a two-way analysis of variance (ANOVA) (Table 2). The grain yield, seasonal CH<sub>4</sub> and N<sub>2</sub>O  
454 emissions, SOCSR and GHGI of ~~the~~ different treatments were tested by analysis of variance, and  
455 mean values were compared by least significant difference (LSD) at the 5% level. All these  
456 analyses were carried out using the SPSS (Version 19.0, USA).

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### 3 Results and discussion

#### 3.1 Rice yield and NUE

The two-way ANOVA analyses indicated that the rice grain yields were significantly affected by the year and fertilizer treatment (Table 2). The farmer's practice plot (RN300) had an average rice grain yield of 8395 kg ha<sup>-1</sup>, with an NUE of 31.35%, over the two growing seasons from 2013 to 2014. Compared with RN300, reducing the N fertilizer rate by 20% (RN240) slightly improved the grain yield and NUE to 8576 kg ha<sup>-1</sup> and 34.58%, respectively. Further N reduction, without additional agricultural managements, could decrease the rice yield by 8.15% (RN180) and 15.18% (RN120) (Table 3). The response of rice yield to the synthetic N application rate in our study successfully fitted a quadratic model (Fig.2), as has been reported in previous studies (Xia and Yan, 2012; Cui et al., 2013a). Reducing N application ~~to a reasonable rate~~ reasonably, therefore, is considered essential to reduce environmental costs, without sacrificing grain yield (Chen et al., 2014). ~~Lowering~~ Our study showed that lowering the N input adopted by local farmer (300 kg N ha<sup>-1</sup>) by 20% could still enhance the grain yield and NUE, ~~without threatening food security in this study~~. However, a further reduction of N 40% (RN180) would largely undermine the rice yield (Table 3).

Further reduction in N fertilizer may be achieved with improvements of agricultural managements, Ju et al. (2009) reported that, based on knowledge-based N managements, such as optimizing ~~the~~ N fertilizer source, rate, timing and place (in accordance with crop demand), rice grain yield in the TLR was not significantly affected by a 30–60% N saving, while various N losses would endure a two-fold curbing. Similarly, Zhao et al. (2015) found that the NUE could be improved from 31% to 44%, even under a N reduction of 25% for rice production in the TLR,

479 through the implementation of integrated soil-crop system managements. In the present study, the  
480 NUE was improved by 10% via a 20% N reduction, but it still falls behind the NUE values in the  
481 studies which received knowledge-based N managements. Previous studies have proven that straw  
482 incorporation exerted little ~~positive~~ impacts on grain yield. For instance, a meta-analysis  
483 conducted by Singh et al. (2005) have found that incorporation of crop straw produced no  
484 significant trend in improving crop yield in rice-based cropping systems. Moreover, based on a  
485 long-term straw incorporation experiment established since 1990 ~~at Changshu Agroecological~~  
486 ~~Experimental Station in the TLR~~, Xia et al. (2014) have reported that long-term incorporation of  
487 wheat straw only increased the rice yield by 1%. Therefore, in the present study, the effects of  
488 straw incorporation on rice yield were considered as inappreciable.

### 489 3.2 CH<sub>4</sub>, N<sub>2</sub>O emissions and SOSCR

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490 Over the two rice-growing seasons from 2013 to 2014, all treatments ~~produced~~showed  
491 similar patterns of CH<sub>4</sub> fluxes, albeit with large inter-annual variation (Fig.3a). The seasonal  
492 average CH<sub>4</sub> emissions from all plots showed no significant difference, ranging from 289.53 kg  
493 CH<sub>4</sub> ha<sup>-1</sup> in the RN180 plot to 334.61 kg CH<sub>4</sub> ha<sup>-1</sup> in the RN120 plot (Table 4), much higher than  
494 observations conducted in the same region (Zou et al., 2005; Ma et al., 2013). This phenomenon  
495 can be attributed to the larger amounts of straw incorporation in this study (Table 1). Relative to  
496 the RN300 plot, CH<sub>4</sub> emissions from the RN240 plot decreased by 8% and 10%, during the  
497 rice-growing season of 2013 and 2014, respectively, although this effect was not statistically  
498 significant (Table 4).

499 Many studies have shown a clear linear relationship between CH<sub>4</sub> emissions and the amounts  
500 of applied organic matter (OM). ~~Such an obvious linear relationship generally occurs under the~~

501 ~~following conditions: first, the OM inputs are low (generally less than 3 Mg dry matter ha<sup>-1</sup>) (Zou~~  
502 ~~et al., 2005; Ma et al., 2013); second, the applied OM rates among different treatments are~~  
503 ~~statistically different (Shang et al., 2011; Xia et al., 2014).) (Shang et al., 2011; Xia et al., 2014). It~~  
504 is possible that the linear response of CH<sub>4</sub> emissions to OM inputs can become flat or even  
505 unobvious (Fig.S1), when ~~OM is applied at higher rates (in this study, the applied rates of straw in~~  
506 ~~all N fertilization treatments were higher than 4.4 Mg dry matter ha<sup>-1</sup>) and these rates among~~  
507 ~~treatments were not statistically different. Besides, the experimental error caused by small~~  
508 ~~differences in water conditions among different treatments may also have promoted the unclear~~  
509 ~~response of CH<sub>4</sub> emissions to straw inputs in this study (Xia et al., 2014).~~

510 the OM applied rates among different treatments were insignificant different (Table S1). It is  
511 unsurprising that no obvious relationship between CH<sub>4</sub> emissions and N fertilizer application rates  
512 was observed in this study (Fig.S1), because the effects of N fertilization on CH<sub>4</sub> production,  
513 transportation and oxidation are complex. For instance, N fertilization can provide methanogens  
514 with more carbon substrates in the rhizosphere of plants by stimulating the growth of rice biomass,  
515 thus promoting CH<sub>4</sub> production and transportation (Zou et al., 2005; Banger et al., 2012). On the  
516 other side, N enrichment could also enhance the activities of methanotrophs, therefore enhancing  
517 CH<sub>4</sub> oxidation (Xie et al., 2010; Yao et al., 2012). ~~Moreover, ammonium based fertilizer could~~  
518 ~~compete with CH<sub>4</sub> oxidation, due to the similar size and structure between NH<sub>4</sub><sup>+</sup> and CH<sub>4</sub>~~  
519 ~~(Linguist et al., 2012a).~~

520 The N<sub>2</sub>O fluxes were sporadic and pulse-like, and these fluxes showed large variations  
521 between different seasons, and the majority of the N<sub>2</sub>O peaks occurred after the application of N  
522 fertilizer (Fig.3b). The two-way ANOVA analyses indicated that the seasonal N<sub>2</sub>O emissions were

523 significantly affected by the year, the fertilizer treatment, and their interactions during the  
524 rice-growing seasons (Table 2). The average N<sub>2</sub>O emission, during the two rice-growing seasons,  
525 ranged from 0.05 kg N ha<sup>-1</sup> for the RN0 to 0.35 kg N ha<sup>-1</sup> for the RN300 (Table 4), which  
526 increased exponentially as the N fertilizer rate increased; this highlights that the reduction of N  
527 fertilizer rate is an effective approach to reduce the N<sub>2</sub>O emissions (Zou et al., 2005; Zhang et al.,  
528 2012). The average N<sub>2</sub>O emission factors varied between 0.03% and 0.1%, with an average of  
529 0.07%, which is comparable with previous studies (0.05%–0.1%) conducted in the same region  
530 (Ma et al., 2013; Zhao et al., 2015).

531 The rice paddies have witnessed an increase in the SOC stock as a result of straw  
532 incorporation (Table 4). The estimated topsoil (0–20cm) SOCSR varied from 0.43013 t C ha<sup>-1</sup>  
533 yr<sup>-1</sup> for the RN0 plot to 0.197 t C ha<sup>-1</sup> yr<sup>-1</sup> for the RN300 plot ~~(Table 4).~~ The empirical  
534 model established through a long-term straw incorporation study in the same region was employed  
535 to evaluate the SOCSR in this study, which likely brought uncertainty into the results of this study.  
536 Under the same agricultural managements, soil and climatic conditions, cropping systems and  
537 straw types, it is reasonable to believe that the rates of straw C stabilizing into SOC (i.e.  
538 conversion efficiency of crop residue C into SOC) are similar between these two experiments  
539 (Mandal et al., 2008). It is reported that the conversion rates of crop straw to SOC in two main  
540 wheat/maize production regions in China, which have similar climatic conditions and agricultural  
541 practices, were very close, at 40.524 versus 40.607 kg SOC-C t<sup>-1</sup> dry-weight straw (Lu et al.,  
542 2009). Moreover, the current estimated SOCSR for rice production in the TLR (0.197 t C ha<sup>-1</sup>),  
543 falling within the SOCSR range of 0.13–2.20 t Cha<sup>-1</sup> yr<sup>-1</sup> estimated by Pan et al. (2004) for paddy  
544 soils in China, is also comparable to the estimation of 0.17 t C ha<sup>-1</sup> yr<sup>-1</sup> from Ma et al. (2013) in



545 a study based on a paddy field experiment with OM incorporation in the same region.  
546 ~~Moreover, Therefore, we hold the provincial average opinion that the above, SOCSR of Jiangsu~~  
547 ~~province has been estimated to be 0.16–0.21 t C ha<sup>-1</sup> yr<sup>-1</sup> from the period of 1980 to 2000~~  
548 ~~(calculation method is appropriate, and the uncertainty incurred by this method unlikely affects the~~  
549 ~~main conclusions of this study.)~~

550 The magnitude of the SOC increase is variable depending on the straw incorporation method,  
551 the degree of tillage, the cropping systems and etc. (Yan et al., 2011; Huang & Sun, 2006; Liao et  
552 al., 2009), which is also similar to our estimation. et al., 2013). Liu et al. (2014) suggested that  
553 straw incorporation in rice-based cropping systems requires an overall consideration, due to the  
554 direct incorporation promoting substantial CH<sub>4</sub> emissions. When converting to CO<sub>2</sub> eq, the  
555 SOCSR only offsets the CH<sub>4</sub> emissions by 6.2–9.2% in this study (Table 4). This proportion is  
556 expected to increase provided that appropriate straw incorporation method (e.g., compost straw  
557 before incorporation) and conservative-tillage are adopted. Moreover, previous studies have  
558 shown that the combined adoption of conservative-tillage system with straw return had large  
559 advantages in increasing SOC stocks while reducing CH<sub>4</sub> emissions (Zhao et al., 2015a; Zhao et  
560 al., 2015b).

### 561 **3.3 NGWP and GHGI**

562 The average NGWP for all treatments varied from 8656 to 11550 kg CO<sub>2</sub> eq ha<sup>-1</sup> (Table 4).  
563 CH<sub>4</sub> emissions dominated the NGWP in all treatments, with the proportion ranging from 70.23%  
564 to 88.56%, while synthetic N fertilizer production was the secondary contributor (Table 4). In  
565 addition, SOC sequestration offset the positive GWP by 5.18–6.18% in the fertilization  
566 treatments. Compared to conventional practice (RN300), the NGWP in the 20% reduction N

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567 practice (RN240) decreased by 10.64%. Therein, 6.28% came from CH<sub>4</sub> reduction and 4.31%  
568 from N production savings (Table 4). The GHGI of rice production ranged from 1.20 (RN240) to  
569 1.61 (RN0) kg CO<sub>2</sub> eq kg<sup>-1</sup>, which is higher than previous estimation of 0.24–0.74 kg CO<sub>2</sub> eq  
570 kg<sup>-1</sup> for rice production in other rice-upland crop rotation systems (Qin et al., 2010; Ma et al.,  
571 2013). Moreover, the GHGI of current rice production in the TLR (RW300) was estimated to be  
572 1.45 times that of the national average value estimated by Wang et al. (2014a), at 1.38 versus 0.95  
573 kg CO<sub>2</sub> eq kg<sup>-1</sup>.

574 Such phenomenon was attributed to the following reasons. First, compared to above studies,  
575 current higher amounts of direct straw incorporation (2.9–6.2 Mg dry matter ha<sup>-1</sup>), before rice  
576 transplanted in the TLR, triggered substantial CH<sub>4</sub> emissions (290–335 kg CH<sub>4</sub> ha<sup>-1</sup>). Crop  
577 residue incorporation is regarded as a win-win strategy to benefit food security and mitigate  
578 climate change, due to the fact that it possesses a large potential for carbon sequestration (Lu et al.,  
579 2009). However, the GWP of straw-induced CH<sub>4</sub> emissions was reported to be 3.2–3.9 times that  
580 of the straw-induced SOCSR, which indicates direct straw incorporation in paddy soils worsens  
581 rather than mitigates climate changes, in terms of GWP (Xia et al., 2014). The SOC sequestration  
582 induced by straw incorporation only offset the positive GWP by 5.2–6.2% in this study. Sensible  
583 methods of straw incorporation should therefore be developed to reduce the substantial CH<sub>4</sub>  
584 emissions without compromising the build-up of SOC stock in the TLR.

585 Second, the high N application rate (300kg N ha<sup>-1</sup>) in the TLR combined with the large  
586 emission factor of N fertilizer ~~manufactureproduction~~, 8.3 kg CO<sub>2</sub>-eq kg<sup>-1</sup> N (Zhang et al., 2013),  
587 ~~promoted~~ the sector of N fertilizer production ~~to-beas~~ the secondary contributor to the  
588 GHGI (Table 4), ~~while such~~; ~~this~~ sector ~~wasn't~~, ~~however, was not~~ involved in above-mentioned

589 studies. Compared to local farmer's practices (RN300), reducing the N rate by 20% (RN240)  
590 lowered the GHGI by 13%, under the condition of straw incorporation, although this effect was  
591 not statistically significant (Table 4). Compared to RN240, however, further reduction of N rate  
592 (RN180 or RN120) increased the GHGI, ~~largely~~ due to the fact that rice yield was considerably  
593 ~~undermined~~reduced under excessive N reduction. Therefore, the joint application of reasonable N  
594 reduction and judicious method of straw incorporation would be promising in reducing the GHGI  
595 for rice production in the TLR, in consideration of the current situation of simultaneous high  
596 inputs of N fertilizer and wheat straw.

### 597 **3.4 Various Nr losses and NrI**

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598 The results of the meta-analysis indicated that N<sub>2</sub>O emissions, as well as N leaching and  
599 runoff, ~~increase~~increased exponentially with an increase in N application rate (Fig.4b-d,  $P < 0.01$ ),  
600 while the response of NH<sub>3</sub> volatilization to N rates fitted the linear model best (Fig.4a,  $P < 0.01$ ).  
601 ~~Established models can explain the variation in the estimation of various Nr losses by 50-57%.~~  
602 The estimated total Nr losses for all treatments varied from 39.3 to 91.7 kg N ha<sup>-1</sup> in the  
603 fertilization treatments (Table 5), accounting for 30.1–32.8% of N application rates. NH<sub>3</sub>  
604 volatilization dominated the NrI, with the proportion ranging from 53.5% to 57.4%, mainly  
605 because of the current fertilizer application method (soil surface ~~broadcasting~~broadcast) and high  
606 temperatures in the field (Zhao et al., 2012b; Li et al., 2014). N runoff was the second most  
607 important contributor, ~~with the proportion ranging from 25.9% to 29.7%~~ (Table 5). Using <sup>15</sup>N  
608 micro-plots combined with three-year field measurements, Zhao et al. (2012b) reported that the  
609 total Nr ~~loss~~losses from rice production in the TLR, under an N rate of 300 kg N ha<sup>-1</sup>, ~~was~~were 98  
610 kg N ha<sup>-1</sup>, which is comparable with our estimation of 91.69 kg N ha<sup>-1</sup> in the RN300 plot.

611 Similarly, Xia and Yan (2011) estimated the Nr ~~loss~~ losses for life-cycle rice production in this  
612 region to be around 90 kg N ha<sup>-1</sup>. ~~The high proportion (30.1–32.8%) of the applied N fertilizer~~  
613 ~~released as Nr from rice production in the TLR, highlights the need to adopt reasonable N~~  
614 ~~managements to increase the plant N uptake and reduce Nr losses (Ju et al., 2009).~~

615 The NrI of rice production in different plots varied between 2.14 g N kg<sup>-1</sup> (RN0) and 10.92 g  
616 N kg<sup>-1</sup> (RN300), which increased significantly as the N fertilizer rate increased (Table 5). The NrI  
617 for rice production in the TLR was estimated to be 10.92 g N kg<sup>-1</sup> (RN300), which is 68% higher  
618 than the national average value estimated by Chen et al. (2014), ~~largely due to the~~ as a result of  
619 higher N fertilizer ~~inputs~~ input in the TLR. Under the condition of straw incorporation, reducing  
620 ~~the~~ N application rate by 20% pulled the NrI down to 8.42 g N kg<sup>-1</sup> (RN240) (Table 5). Additional  
621 N reduction could further lower the NrI, but the rice yield would be compromised largely (Table

622 3). Previous studies have proven that direct incorporation of crop straw ~~exert unobvious~~ had  
623 ~~insignificant~~ effects on various Nr releases (Xia et al., 2014). Because ~~crop straws usually possess~~  
624 ~~high values of C/N ratio and~~ the majority of N contented in the ~~residue~~ crop straw is not easily  
625 degraded by microorganisms in ~~a short-term period (Huang et al., 2004). Therefore the straw~~  
626 ~~incorporation could promote the N contained in the residues to, and can~~ be stabilized in soil in a  
627 long-term period, rather than ~~directly releasing~~ being released as various Nr (Huang et al., 2004;  
628 Xia et al., 2014). For instance, a meta-analysis, integrating 112 scientific assessments of the crop  
629 residue incorporation on the N<sub>2</sub>O emissions, has reported that the practice exerted no statistically  
630 significant effect on the N<sub>2</sub>O releases (Shan and Yan, 2013). ~~Therefore, the effects of wheat straw~~

631 incorporation on various Nr losses were considered as negligible in this study. ~~Although no~~  
632 ~~specific relationship was found between the NrI and GHGI in all treatments in this study (Table 4~~

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633 ~~and Table 5);~~  
634 Extra attention should be paid to the interrelationship between ~~them~~the NrI and GHGI,  
635 which could provide hints for the mitigation purpose. For instance, N fertilizer production and  
636 application is an intermediate link between the NrI and GHGI ~~and NrI~~ (Chen et al., 2014). For the  
637 NrI, N fertilization promotes various Nr releases, exponentially or linearly (Fig.4), while N  
638 production and application made a secondary contribution to the GHGI (Table 4). Such  
639 interrelationships ought to be taken into account fully for any mitigation options pursued, in order  
640 to reduce the GHG emissions and Nr discharges from rice production simultaneously (Cui et al.,  
641 2013b; Cui et al., 2014).

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### 642 3.5 Economic evaluations of GHG emissions and Nr releases and their mitigation 643 potential

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644 The total environmental costs associated with the GHG emissions and Nr releases varied  
645 from 1214 ¥ ha<sup>-1</sup> for the RN0 to 2399 ¥ ha<sup>-1</sup> for the RN300, which approximately accounted for  
646 10.44 ~~–~~13.47% of the farmer's income and 27.05 ~~–~~32.47% of the input costs, respectively (Table  
647 6). CH<sub>4</sub> emission and NH<sub>3</sub> volatilization were the dominant contributors to the total environmental  
648 costs, respectively (Table 4 and Fig.5). The total damage costs to environment accounted for 13.5%  
649 of farmer's income under the current rice production in the TLR (RN300). Cutting the N rate from  
650 300 to 240 kg N ha<sup>-1</sup> slightly improved the farmer's income by 3.64%, while further N reduction  
651 would ~~undermine~~reduce the economic return of farmer's (Table 6).

652 GHG and Nr releases from rice production in the TLR are expected to possess a large  
653 potential for mitigation, due to the current situation of direct straw incorporation and higher N  
654 fertilizer inputs. Compared to traditional practice, a reduction of N application rate from 300 to

655 240 kg N ha<sup>-1</sup> could alleviate 12.52% for GHGI (Table 4), 22.94% for NrI (Table 5), and 15.76%  
656 for environmental costs (Table 6). Further reduction in GHG and Nr releases (especially for CH<sub>4</sub>  
657 emissions and NH<sub>3</sub> volatilization) is possible, with the implementation of knowledge-based  
658 managements (Chen et al., 2014; Nayak et al., 2015). For the mitigation of Nr releases, switching  
659 the N fertilizer application method from surface ~~broadcasting~~broadcast to deep incorporation  
660 could largely lower the NH<sub>3</sub> volatilization from paddy soils (Zhang et al., 2012; Li et al., 2014).  
661 Moreover, other optimum N managements, such as applying controlled-release fertilizers and  
662 ~~nitrification or~~ urease inhibitors, could also effectively increase the NUE and reducing the overall  
663 Nr losses (Chen et al., 2014). For the mitigation of GHG emissions, rather than being directly  
664 incorporated before rice transplantation, crop residues should be preferentially decomposed under  
665 aerobic conditions or used to produce biochar through pyrolysis, which could effectively reduce  
666 CH<sub>4</sub> emissions (Linguist et al., ~~2012b~~2012; Xie et al., 2013). Moreover, these pre-treatments are  
667 also beneficial for carbon sequestration and ~~food security~~yield production (Woolf et al., 2010;  
668 Linguist et al., ~~2012b~~2012).

669 Most previous studies have merely focused on the quantification of GHG and Nr releases  
670 from food production from the perspective of environment assessments (Zhao et al., 2012b; Ma et  
671 al., 2013; Zhao et al., 2015). The perspective of economic evaluation is seldom implemented,  
672 which goes against encouraging farmer to participate in the abatement of GHG and Nr releases on  
673 their own initiative (Xia et al., 2014). The current pattern of rice production in the TLR incurs  
674 great costs to the environment, which accounted for 13.47% of the net economic return that farmer  
675 ultimately acquire (Table 6). Such an evaluation facilitates the translation of highly specialized  
676 scientific conclusions into monetary-based information that is more familiar and accessible for

677 ~~farmer~~farmers, and therefore likely encouraging them to adopt eco-friendly agricultural  
678 managements (Wang et al., 2014b). Profitability is generally considered the main driver for farmer  
679 to change their management approach. Compared to traditional N application rate, a reduction of  
680 20% would make environmental costs savings of 14%, whilst simultaneously improving the  
681 economic return of farmer's by 648 ¥ ha<sup>-1</sup> (Table 6). This represents an incentive for  
682 ~~farmer~~farmers to optimize their N fertilizer application rates, provided that such information is  
683 available to them.

684 Considering the fact that no specific carbon- and Nr-mitigation incentive programs, like the  
685 'Carbon Farming Initiative' in Australia (Lam et al., 2013), ~~has~~have been launched in China, an  
686 ecological compensation incentive mechanism (~~national subsidy program~~) should be established  
687 by governments. ~~This~~This should be a national subsidy program with a special compensation and  
688 award fund to cover the extra mitigation costs induced by the adoption of knowledge-based  
689 mitigation managements for farmers (Xia et al., 2016). Such a program would provide  
690 ~~farmer~~farmers with a tangible incentive, thus guiding them towards gradually adopting  
691 ~~knowledge-based~~the mitigation managements, ~~that~~which could effectively curb GHG emissions  
692 and Nr losses, but likely exert little positive effects on improving ~~farmer's~~their net economic  
693 return (Xia et al., 2014). Examples include the composing of crop straws aerobically, or their use  
694 to produce biochar before incorporation (Xie et al., 2013), and encouraging the application of deep  
695 placement of N fertilizer (Wang et al., 2014b), as well as the application of enhanced-efficiency N  
696 fertilizers during the rice-growing season (Akiyama et al., 2010).

#### 697 **4 Conclusions**

698 Our results demonstrated that producing ~~per unit of~~ rice yield in the TLR released

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699 | ~~highersubstantial~~ GHG and Nr ~~in the TLR, than that in other rice upland cropping systems~~, which  
700 | largely attributed to the current ~~situation of~~ direct straw incorporation and excessive ~~nitrogen~~N  
701 | fertilizer inputs. CH<sub>4</sub> emissions and NH<sub>3</sub> volatilization dominated the GHG and Nr releases,  
702 | respectively. Reducing ~~the~~ N application rate by 20% from the tradition level (300 kg N ha<sup>-1</sup>)  
703 | could effectively decrease the GHG emissions, Nr releases and the damage costs to the  
704 | environment, while increased the rice yield and improved farmer's income ~~as well simultaneously~~.  
705 | Agricultural managements, such as making straw decompose aerobically before ~~its~~ incorporation  
706 | and optimizing the application method of N fertilizer, ~~could~~showed large potentials to further  
707 | reduce the GHG (~~e.g., CH<sub>4</sub> emission~~) and Nr releases (~~especially CH<sub>4</sub> emissions and e.g.,~~ NH<sub>3</sub>  
708 | volatilization) from rice production in ~~the TLR~~this region. Further studies are needed to evaluate  
709 | the comprehensive effects of these managements on GHG emissions, Nr releases and farmer's  
710 | economic returns.

## 711 | **Acknowledgements**

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## 716 | **Supplementary material**

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717 | Supplementary material (Appendix A) associated with this article can be found, in the online  
718 | version.

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**Table 1.** Field experimental treatments and agricultural management practices during the rice-growing seasons of 2013 and 2014 in the TLR Taihu Lake region

Treatment <sup>a</sup>	RN0	RN120	RN180	RN240	RN300
Chemical fertilizer application rate (N:P <sub>2</sub> O <sub>5</sub> :K <sub>2</sub> O, kg ha <sup>-1</sup> )	0:30:60	120:30:60	180:30:60	240:30:60	300:30:60
Split N application ratio	---	4:3:3	4:3:3	4:3:3	4:3:3
Straw application rate (Mg dry matter ha <sup>-1</sup> )	3.94/2.88 <sup>b</sup>	4.49/4.65	4.93/5.18	5.33/5.87	5.81/6.17
Water regime <sup>c</sup>	F-D-F-M	F-D-F-M	F-D-F-M	F-D-F-M	F-D-F-M
Density (10 <sup>4</sup> plants ha <sup>-1</sup> )	2.5	2.5	2.5	2.5	2.5

<sup>a</sup>RN0, RN120, RN180, RN240 and RN300 represent nitrogen application rates of 0, 120, 180, 240, 300 kg N ha<sup>-1</sup>, respectively.

<sup>b</sup>3.94/2.88 denote that straw application rates during the rice-growing seasons of 2013 and 2014



888 are 3.94 and 2.88 Mg dry matter ha<sup>-1</sup>, respectively.

889 <sup>c</sup>F, flooding; D, midseason drainage; M, moist but non-waterlogged by intermittent irrigation.

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896 **Table 2.** Two-way ANOVA for the effects of fertilizer (F) application and year (Y) on CH<sub>4</sub> and

897 N<sub>2</sub>O emissions, and rice grain yields in rice paddies.

Factor	df	CH <sub>4</sub> (kg ha <sup>-1</sup> )			N <sub>2</sub> O (kg N ha <sup>-1</sup> )			Yield (kg ha <sup>-1</sup> )		
		SS	F	P	SS	F	P	SS	F	P
F	4	8739	0.79	0.55	0.33	12.46	< 0.01	39297547	32.96	< 0.01
Y	1	4492	1.62	0.22	0.11	16.41	< 0.01	2810414	9.43	< 0.01
F×Y	4	2532	0.23	0.92	0.18	7.1	< 0.01	750639	0.63	0.65
Model	9	15763	0.63	0.77	0.62	10.52	< 0.01	42858600	15.97	< 0.01
Error	16	20			0.13			5962260		

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**Table 3.** Rice yield and nitrogen use efficiency (NUE) for the two rice-growing seasons from 2013

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to 2014 in the TLR Taihu Lake region

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Year	Treatment <sup>a</sup>	Yield (kg ha <sup>-1</sup> )	NUE (%)
2013	RN0	4829 ± 207	---
	RN120	7079 ± 645	23.40
	RN180	7655 ± 601	28.12
	RN240	8273 ± 569	33.61
	RN300	8029 ± 101	30.63
2014	RN0	5919 ± 131	---
	RN120	7598 ± 1077	23.86
	RN180	7768 ± 570	21.19
	RN240	8880 ± 435	35.54
	RN300	8761 ± 369	32.07
Two-year average	RN0	5374 ± 617 <sup>d</sup>	---

RN120	7339 ± 843c	23.63
RN180	7711 ± 527bc	24.66
RN240	8576 ± 562a	34.58
RN300	8395 ± 468ab	31.35

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912 <sup>a</sup>Definitions of the treatment codes are given in the footnotes of Table 1.

913 <sup>b</sup>Mean±SD; different letters within the same column indicate a significant difference at  $p<0.05$ .

**Table 4.** The net global warming potential (NGWP) and greenhouse gas intensity (GHGI) for the two rice-growing seasons from 2013 to 2014 in the TLRTaihu

Lake region

Year	Treatment <sup>a</sup>	CH <sub>4</sub> emission	N <sub>2</sub> O emission	SOCSR	Irrigation	N fertilizer production	Others	NGWP	GHGI
		kg CH <sub>4</sub> ha <sup>-1</sup>	kg N ha <sup>-1</sup>	kg C ha <sup>-1</sup> yr <sup>-1</sup>		kg CO <sub>2</sub> eq ha <sup>-1</sup>			kg CO <sub>2</sub> eq kg <sup>-1</sup>
2013	RN0	306.07 ± 41 <sup>b</sup>	0.08 ± 0.01	129.58	1170	0	217	8601	1.78
	RN120	317.26 ± 92	0.10 ± 0.01	154.07	1170	996	265	9845	1.39
	RN180	287.8 ± 12	0.13 ± 0.01	171.54	1170	1494	277	9568	1.25
	RN240	273.27 ± 36	0.14 ± 0.06	185.50	1170	1992	291	9670	1.17
	RN300	305.13 ± 90	0.16 ± 0.03	196.87	1170	2490	285	10927	1.36
2014	RN0	307.22 ± 47	0.02 ± 0.05	129.58	1256	0	240	8711	1.47
	RN120	351.96 ± 28	0.09 ± 0.02	154.07	1256	996	276	10805	1.42

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	RN180	291.25 ± 18	0.24 ± 0.04	171.54	1256	1494	280	9795	1.26
	RN240	317.65 ± 28	0.34 ± 0.12	185.50	1256	1992	303	10972	1.24
	RN300	343.8 ± 61	0.53 ± 0.21	196.87	1256	2490	301	12169	1.39
Two-year	RN0	306.65 ± 39a	0.05 ± 0.05b	129.58c	1213	0	229	8656	1.61 ± 0.25a
average	RN120	334.61 ± 64a	0.09 ± 0.02b	154.07bc	1213	996	271	10322	1.40 ± 0.16b
	RN180	289.53 ± 14a	0.18 ± 0.07ab	171.54ab	1213	1494	279	9679	1.25 ± 0.09bc
	RN240	295.46 ± 38a	0.24 ± 0.14ab	185.50ab	1213	1992	297	10321	1.20 ± 0.08cd
	RN300	324.47 ± 72a	0.35 ± 0.25a	196.87a	1213	2490	293	11550	1.38 ± 0.21bc

<sup>a</sup>Definitions of treatment codes are given in the footnotes of Table 1.

<sup>b</sup>Mean±SD; different letters within same column indicate a significant difference at  $p < 0.05$ .

**Table 5.** The seasonal average ~~various~~ reactive N (Nr) losses and reactive N intensity (NrI) for the two rice-growing seasons from 2013 to 2014 in the ~~TLR~~Taihu Lake region

Treatment <sup>a</sup>	NH <sub>3</sub>	N	N	N <sub>2</sub> O	NO <sub>x</sub>	Total Nr	NrI
	volatilization	runoff	leaching	emission	emission	losses	
kg N ha <sup>-1</sup>							g N kg <sup>-1</sup>
RN0	0.64	5.39	1.44	0.07	3.96	11.50	2.14
RN120	21.04	10.30	2.24	0.12	5.62	39.32	5.36
RN180	31.24	14.25	2.80	0.21	6.44	54.93	7.12
RN240	41.44	19.70	3.50	0.27	7.26	72.17	8.42
RN300	51.64	27.24	4.37	0.38	8.07	91.69	10.92

<sup>a</sup>Definitions of treatment codes are given in the footnotes of Table 1.

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**Table 6.** The seasonal average economic evaluation indicators (two-season average) for rice production of the two growing seasons from 2013 to 2014 in the TLR Taihu Lake region (unit: ¥ ha<sup>-1</sup>)

Treatment <sup>a</sup>	Yield income <sup>b</sup>	Input costs <sup>c</sup>	Farmer's income <sup>d</sup>	Environmental costs <sup>e</sup>	
				GHG emissions	Nr releases
RN0	16125	4493	11632	1143	71
RN120	22020	6104	15916	1363	376
RN180	23130	6542	16588	1278	535
RN240	25725	7277	18448	1362	700
RN300	25185	7385	17800	1525	874

<sup>a</sup>Definitions of treatment codes are given in the footnotes of Table 1.

<sup>b</sup>Yield income = rice yield × rice price.

<sup>c</sup>Input costs denote the economic input of purchasing various agricultural materials and hiring labours.

<sup>d</sup>Farmer's income = Yield income - ~~input~~Input costs.

<sup>e</sup>Environmental costs denoted the sum of the acidification costs, eutrophication costs and global warming costs incurred by GHG emissions and Nr releases. The cost prices of GHG and Nr releases are as followed: GHG emission, 132 ¥ t<sup>-1</sup> CO<sub>2</sub> eq (Xia et al., 2014); NH<sub>3</sub> volatilization, 13.12 ¥ kg<sup>-1</sup> N; N leaching, 6.12 ¥ kg<sup>-1</sup> N; N runoff, 3.64 ¥ kg<sup>-1</sup> N; NO<sub>x</sub> emission, 8.7 ¥ kg<sup>-1</sup> N (Xia and Yan, 2011).

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**Figure captions**

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**Fig. 1. Seasonal variations in the daily precipitation and the temperature during the two rice-growing seasons of (a) 2013 and (b) 2014.**

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**Fig.2. Relationship between N fertilizer application rate and seasonal average rice grain yield over the two rice-growing seasons of 2013 and 2014 in the TLR, Taihu Lake region.**

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The vertical bars represent standard errors (n = 6).

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**Fig.3. Seasonal variations in (a) CH<sub>4</sub> and (b) N<sub>2</sub>O fluxes during the two rice-growing seasons from 2013 to 2014 in the TLR, Taihu Lake region. The arrow indicates N fertilizer application.**

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The vertical bars represent standard errors (n = 3).

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**Fig.4. Relationship between N fertilizer application rate and (a) NH<sub>3</sub> emissions volatilization, (b) N runoff, (c) N leaching and (d) N<sub>2</sub>O emissions for rice production in the TLR Taihu Lake region. These relationships were obtained through a meta-analysis.**

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**Fig.5. Seasonal average total environmental costs incurred by greenhouse gas (GHG) emissions and reactive N (Nr) losses for rice production in TLR Taihu Lake region.**

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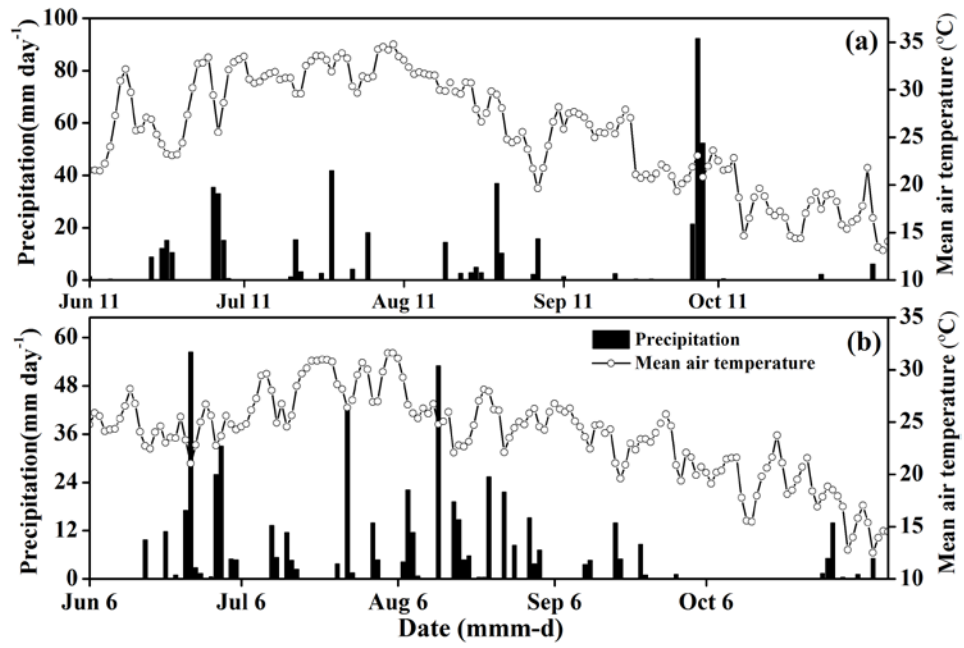


Fig.1

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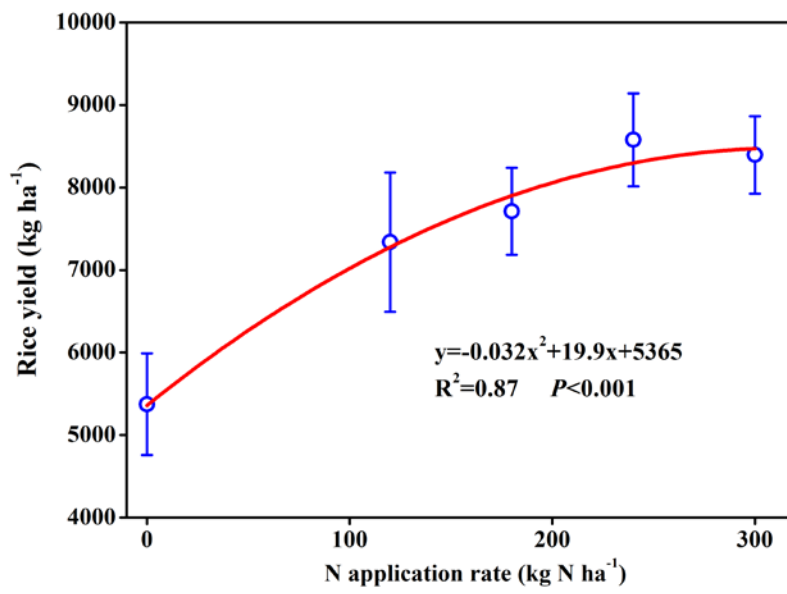


Fig.2

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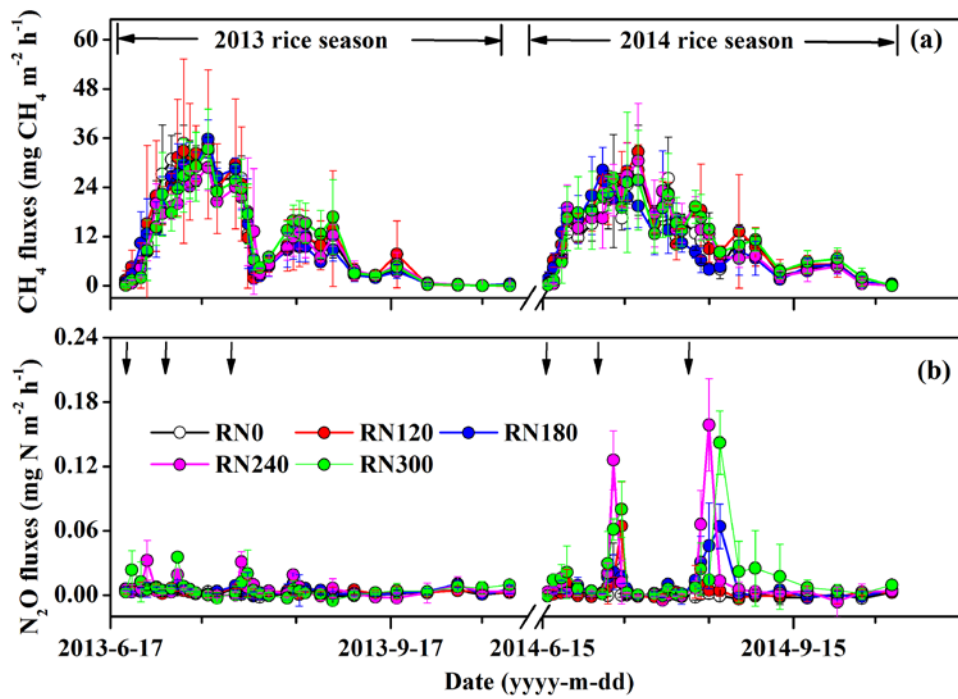
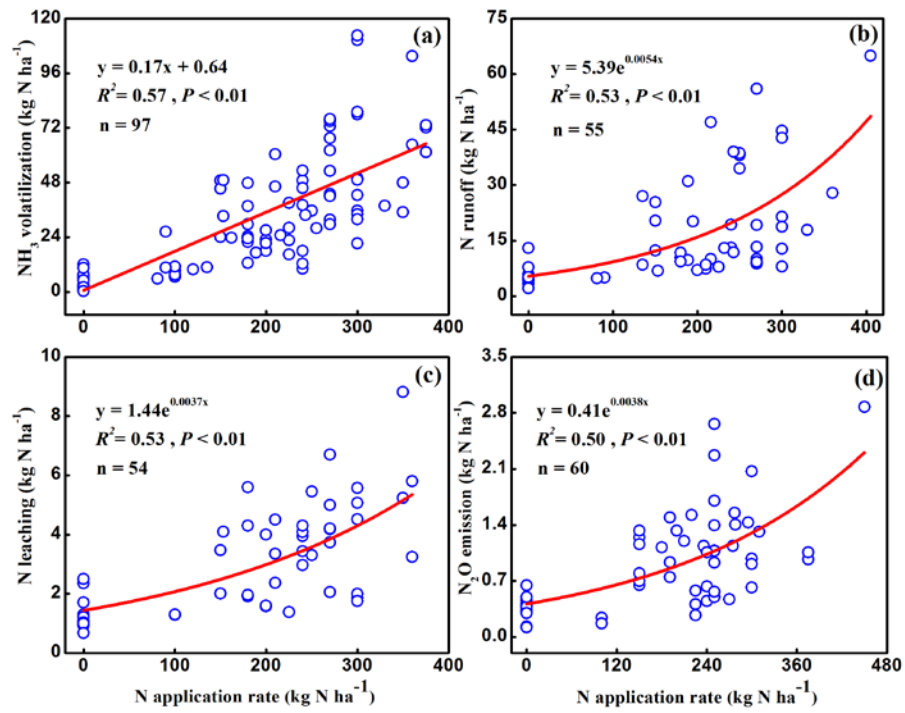


Fig.3

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**Fig.4**

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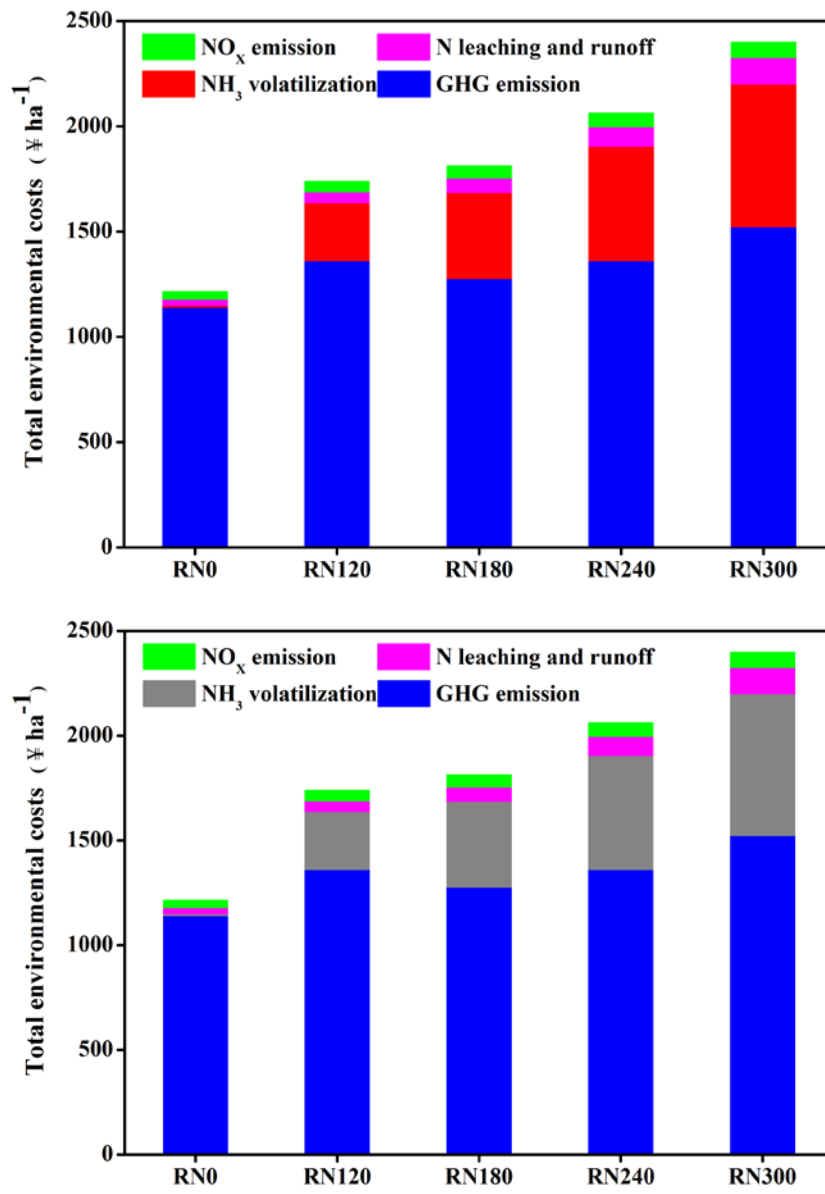


Fig.5

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