



1 **Greenhouse gas emissions and reactive nitrogen releases from rice production**  
2 **with simultaneous incorporation of wheat straw and nitrogen fertilizer**

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23 **Abstract**

24 The impacts of simultaneous inputs of crop straw and nitrogen (N) fertilizer on greenhouse gas  
25 (GHG) emissions and reactive nitrogen (Nr) releases from rice production in intensive agricultural  
26 regions are not well understood. A field experiment was established in a rice–wheat cropping  
27 system in the Taihu Lake region (TLR) of China since 2013 to evaluate the GHG intensity (GHGI),  
28 Nr intensity (NrI) and environmental costs of concurrent inputs of wheat straw and N fertilizer to  
29 rice paddies. The field experiment included five treatments of different N fertilization rates for rice  
30 production: 0 (RN0), 120 (RN120), 180 (RN180), 240 (RN240) and 300 kg N ha<sup>-1</sup> (RN300,  
31 traditional N applied rate in the TLR). Wheat straws were fully incorporated into soil before rice  
32 transplantation in all treatments. The results showed that the response of rice yield to N  
33 application rate successfully fitted a quadratic model. Nitrous oxide (N<sub>2</sub>O) emissions were  
34 increased exponentially as N fertilization rates increased, while methane (CH<sub>4</sub>) emissions  
35 increased slightly with wheat straw rates increased. The estimated soil organic carbon  
36 sequestration rate varied from 129.58 (RN0) to 196.87 kg C ha<sup>-1</sup> yr<sup>-1</sup> (RN300). Seasonal average  
37 GHGI of rice production ranged from 1.20 (RN240) to 1.61 kg CO<sub>2</sub>-equivalent (CO<sub>2</sub>-eq) kg<sup>-1</sup>  
38 (RN0), while NrI varied from 2.14 (RN0) to 10.92 g N kg<sup>-1</sup> (RN300). CH<sub>4</sub> emissions dominated  
39 GHGI with proportion of 70.2–88.6%, while ammonia (NH<sub>3</sub>) volatilization dominated NrI with  
40 proportion of 53.5–57.4% in all fertilization treatments. The damage costs to environment incurred  
41 by GHG and Nr releases from current rice production (RN300) accounted for 8.8% and 4.9% of  
42 farmer's incomes, respectively. Cutting the traditional application rate of N fertilizer from 300 to  
43 240 kg N ha<sup>-1</sup> improved rice yield and nitrogen use efficiency by 2.14% and 10.30%, respectively,  
44 whilst simultaneously reduced GHGI by 13%, NrI by 23% and total environmental costs by 16%.



45 Moreover, the reduction of  $60 \text{ kg N ha}^{-1}$  improved farmer's income by  $639 \text{ ¥ ha}^{-1}$ , which would  
46 provide them with an incentive to change their traditional N application rate. Our study suggests  
47 that GHG and  $\text{N}_r$  releases, especially the  $\text{CH}_4$  emission and  $\text{NH}_3$  volatilization, from rice  
48 production in the TLR could be further curbed, considering the current incorporation pattern of  
49 straw and N fertilizer.

50 Key words: Taihu Lake region, greenhouse gas intensity,  $\text{N}_r$  intensity, rice production, straw  
51 incorporation

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## 67 **1 Introduction**

68 Rice is the staple food for the majority of the world's population. However, while  
69 industriously feeding the world's population, rice production is an important source of greenhouse  
70 gas (GHG) emissions and reactive nitrogen (Nr) releases (Yan et al., 2009; Chen et al., 2014).  
71 Rice production in China involves heavy methane (CH<sub>4</sub>) emissions due to current water regime  
72 management and straw incorporation practices (Yan et al., 2009). Besides, the lower nitrogen use  
73 efficiency for rice cultivation in China (approximately 31%) aggravates the release of various Nr  
74 species, thus threatening ecosystem functions (Galloway et al., 2008; Zhang et al., 2012). Such a  
75 dilemma highlights the need for the simultaneous evaluation of GHG emissions and Nr losses for  
76 rice production in China. And rice cultivation in intensive agricultural regions, characterized by  
77 high inputs of N fertilizer and crop residues, should be prioritized for the implementation of such  
78 evaluation (Ju et al., 2009; Chen et al., 2014).

79 Taihu Lake region (TLR) is one of the most productive areas for rice production in China,  
80 largely owing to the popularity of intensive cultivation (Zhao et al., 2012a; Zhao et al., 2012b).  
81 Currently, rice yield of this region in some fields can reach up to 8000 kg ha<sup>-1</sup> or even higher (Ma  
82 et al., 2013; Zhao et al., 2015). However, these grain yields are achieved with a cost to  
83 environment (Ju et al., 2009). TLR generally receives 550-600 kg N ha<sup>-1</sup> yr<sup>-1</sup>, with the  
84 rice-growing season accounting for nearly 300 kg N ha<sup>-1</sup> (Zhao et al., 2012b). Besides from these  
85 excessive N inputs, TLR also experiences high amounts of crop residue incorporation, which is  
86 highly encouraged by local governments (Xia et al., 2014). However, direct straw incorporation  
87 before rice transplantation triggers substantial CH<sub>4</sub> emissions (Ma et al., 2009; Ma et al., 2013).  
88 Besides such substantial releases of Nr and GHGs in a direct way, indirect releases during the



89 production of various agricultural materials used for farming operations in the TLR, are also not  
90 ignorable, due to higher input rates of these materials caused by intensive cultivation (Zhang et al.,  
91 2013; Cheng et al., 2014). This warrants the need for life-cycle assessment of GHG emissions and  
92 Nr releases with respect to rice production in this region.

93 Considerable environmental costs can be caused by the direct and indirect releases of GHGs  
94 and Nr from rice production in the TLR, for instance, in the form of global warming, water  
95 eutrophication, or soil acidification (Ju et al., 2009; Xia and Yan, 2011; Xia and Yan, 2012).  
96 Previous studies have proven that environmental costs assessment could provide guidance for  
97 emerging policy priorities in mitigating certain GHG or Nr species, after quantifying both their  
98 release amounts and damage costs to ecosystems (Gu et al., 2012). However, the life-cycle  
99 assessment of total GHG and Nr releases, and the environmental costs they incur from rice  
100 production in the TLR under the current conditions of high inputs of N fertilizer and crop straw,  
101 are scarce.

102 In the present study, we conducted two years of simultaneous measurements of CH<sub>4</sub> and N<sub>2</sub>O  
103 emissions from a rice-wheat cropping system in the TLR to evaluate the impacts of simultaneous  
104 inputs of crop straw and N fertilizer on (1) net global warming potential (NGWP) and GHG  
105 intensity (GHGI), (2) total Nr losses and Nr intensity (NrI), (3) environmental costs incurred by  
106 GHG and Nr releases of rice production, from perspective of life-cycle assessment.

## 107 **2 Materials and methods**

### 108 **2.1 Experimental site**

109 The field experiment was conducted in a paddy rice field at Changshu Agroecological  
110 Experimental Station (31°32'93"N, 120°41'88"E) in Jiangsu province, which is located in the TLR



111 of China where the cropping system is primarily dominated by summer rice (*Oryza sativa* L.) and  
112 winter wheat rotation. The climate of the study area is subtropical monsoon, with a mean air  
113 temperature of 16.1°C and mean annual precipitation of 990 mm, of which 60-70% occurs during  
114 the rice-growing season. The daily mean temperature and precipitation during two rice-growing  
115 seasons from 2013 to 2014 are shown in Fig.1. The paddy soil is classified as an Anthrosol, which  
116 develops from lacustrine sediments. The topsoil (0-20cm) has a pH of 7.68 (H<sub>2</sub>O). The bulk  
117 density is 1.16 g cm<sup>-3</sup>, the organic C content is 20.1 g C kg<sup>-1</sup>, the total N is 1.98 g kg<sup>-1</sup>, the  
118 available P is 11.83 mg kg<sup>-1</sup> and the available K is 126 mg kg<sup>-1</sup>.

## 119 2.2 Experimental design and field management

120 The field experiment included five treatments of different N fertilization rates for rice  
121 production: 0 (RN0), 120 (RN120), 180 (RN180), 240 (RN240) and 300 kg N ha<sup>-1</sup> (RN300,  
122 traditional N applied rate in the TLR). Consistent with local practices, wheat straws were  
123 harvested, chopped and fully incorporated into soil before rice transplantation in all treatments  
124 (Table 1). All of the treatments are laid out in a randomized block design with three replicates, and  
125 each plot covered an area of 3 m × 11 m (33 m<sup>2</sup>).

126 Rice is transplanted in the middle of June and harvested at the beginning of November. N  
127 fertilizer (in the form of urea) was split into three parts during the rice-growing season: 40% as  
128 basal fertilizer; 30% as tillering fertilizer; and 30% as panicle fertilizer. Phosphorus (in the form of  
129 calcium superphosphate) and potassium (in the form of potassium chloride) were applied as basal  
130 fertilizer at rates of 30 kg P<sub>2</sub>O<sub>5</sub> ha<sup>-1</sup> and 60 kg K<sub>2</sub>O ha<sup>-1</sup>, respectively. All basal fertilizers were  
131 thoroughly incorporated into the soil through plowing, while topdressing fertilizers were applied  
132 evenly to the soil surface. According to local practices, the water regime of ‘flooding-midseason



133 drainage-flooding-moist but non-waterlogged by intermittent irrigation' was adopted. Details of  
134 the specific agricultural management practices for rice production are provided in Table 1.

### 135 **2.3 Gas fluxes and topsoil organic carbon sequestration rate**

136 The CH<sub>4</sub> and nitrous oxide (N<sub>2</sub>O) fluxes during the rice-growing seasons of 2013 and 2014  
137 were measured using a static chamber and gas chromatography technique. Details of the  
138 procedures used for sampling and analysis the gases were described in Xia et al. (2014).

139 Considering the fact that the soil organic carbon sequestration rate (SOCSR) of this  
140 short-term field experiment could not be measured directly, we used the following relationship  
141 between the straw input rate (kg C ha<sup>-1</sup> yr<sup>-1</sup>) and SOCSR (kg C ha<sup>-1</sup> yr<sup>-1</sup>), obtained via an  
142 on-going long-term straw application experiment in the same region, to calculate the SOCSR in  
143 this study:

$$144 \quad \text{SOCSR} = \text{Straw input rate} \times 0.0603 + 31.39 \quad (R^2 = 0.92); \quad (1)$$

145 This long-term field experiment is also taking place at the Changshu Agroecological  
146 Experimental Station (since 1990), which includes three straw application levels: 0, 4.5 t, and 9.0 t  
147 dry-weight ha<sup>-1</sup> yr<sup>-1</sup> and the N application rate for rice cultivation in these treatments is 180 kg N  
148 ha<sup>-1</sup>. The estimated SOCSR (from 1990 to 2012) for these three treatments was 10.65, 194.96 and  
149 254.83 kg C ha<sup>-1</sup> yr<sup>-1</sup> (Xia et al., 2014). The equation (1) was established based on above straw  
150 input rates and the estimated SOCSR. We used the average straw input rates of the two  
151 rice-growing seasons to estimate the SOCSR. The on-going long-term experiment and the  
152 experiment in this study received similar agricultural managements. Details of the on-going  
153 long-term experiment are described in Xia et al. (2014).

### 154 **2.4 Net global warming potential and greenhouse gas intensity**



155 The net global warming potential (NGWP, kg CO<sub>2</sub> eq ha<sup>-1</sup>) and greenhouse gas intensity  
 156 (GHGI, kg CO<sub>2</sub> eq kg<sup>-1</sup>) of rice production in the TLR was calculated using the following  
 157 equations:

$$158 \quad \text{NGWP} = \sum_{i=1}^m \text{AI}_{\text{ico}_2} + \text{CH}_4 \times 25 + \text{N}_2\text{O} \times 44/28 \times 298 - \text{SOCSR} \times 44/12; \quad (2)$$

$$159 \quad \text{GHGI} = \text{NGWP}/\text{rice yield}; \quad (3)$$

160 Here,  $\text{AI}_{\text{ico}_2}$  denotes the GHG emissions from the production and transportation of agricultural  
 161 inputs, which are calculated by multiplying their application rates by their individual GHG  
 162 emission factors, such as synthetic fertilizers, diesel oil, electricity and pesticides (Liang, 2009;  
 163 Zhang et al., 2013).  $\text{CH}_4$  (kg CH<sub>4</sub> ha<sup>-1</sup>),  $\text{N}_2\text{O}$  (kg N ha<sup>-1</sup>) and SOCSR (kg C ha<sup>-1</sup> yr<sup>-1</sup>) represent  
 164 the CH<sub>4</sub> emissions and N<sub>2</sub>O emissions from rice production, and the SOC sequestration rate,  
 165 respectively.

## 166 2.5 Total Nr losses and Nr intensity

167 The total Nr losses (kg N ha<sup>-1</sup>) and Nr intensity (NrI, g N kg<sup>-1</sup>) were calculated using the  
 168 following equations:

$$169 \quad \text{Total Nr losses} = \sum_{i=1}^m \text{AI}_{\text{Nr}} + (\text{NH}_3 + \text{N}_2\text{O} + \text{N}_{\text{Leaching}} + \text{N}_{\text{Runoff}})_{\text{rice}}; \quad (4)$$

$$170 \quad \text{NH}_3 \text{ volatilization} = 0.17 \times \text{N fertilizer rate} + 0.64; \quad (5)$$

$$171 \quad \text{N runoff} = 5.39 \times \exp(0.0054 \times \text{N fertilizer rate}); \quad (6)$$

$$172 \quad \text{N leaching} = 1.44 \times \exp(0.0037 \times \text{N fertilizer rate}); \quad (7)$$

$$173 \quad \text{NrI} = (1000 \times \text{Total Nr losses}) / \text{rice yield}; \quad (8)$$

174 Here,  $\text{AI}_{\text{Nr}}$  denotes the Nr lost (mainly through N<sub>2</sub>O and NO<sub>x</sub> emissions) from the production  
 175 and transportation of agricultural inputs (Liang, 2009; Zhang et al., 2013), while  
 176 '(NH<sub>3</sub>+N<sub>2</sub>O+N<sub>Leaching</sub>+N<sub>Runoff</sub>)<sub>rice</sub>' represents the NH<sub>3</sub> volatilization, N<sub>2</sub>O emissions, N leaching





177 and runoff during the rice-growing season. We conducted a meta-analysis of published literature to  
 178 establish Nr empirical models to stimulate the Nr losses, such as NH<sub>3</sub> volatilization (Equation 5),  
 179 N leaching and runoff (Equation 6 and 7), from different treatments. Specific details regarding this  
 180 literature survey are provided in Appendix A.

## 181 **2.6 Total environmental costs incurred by GHG and Nr releases and farmer's** 182 **income**

183 The total environmental costs (¥ ha<sup>-1</sup>) incurred by GHG and Nr releases and farmer's income  
 184 from rice production in the TLR was calculated based on the following equations:

$$185 \quad \text{Environmental costs} = \sum_{i=1}^n (\text{Nr}_i A \times \text{DC}_i) + \text{CO}_2 A \times \text{DC}_{\text{CO}_2}; \quad (9)$$

$$186 \quad \text{Farmer's income} = \text{rice yield} \times \text{rice price} - \text{input costs}; \quad (10)$$

187 Nr<sub>i</sub>A (kg N) represents the release amounts of certain Nr species (i), and DC<sub>i</sub> (¥ kg<sup>-1</sup> N) denotes  
 188 the damage cost (DC) per kg of certain Nr (i). CO<sub>2</sub>A (ton) and DC<sub>CO<sub>2</sub></sub> (¥ ton<sup>-1</sup>) represent the CO<sub>2</sub>  
 189 emissions amount and global warming cost of CO<sub>2</sub>, respectively. N<sub>2</sub>O is both a GHG and an Nr  
 190 species, but its environmental cost was calculated as a GHG here. The environmental costs mainly  
 191 refer to the global warming incurred by GHG emissions, soil acidification incurred by NH<sub>3</sub> and  
 192 NO<sub>x</sub> emissions, and aquatic eutrophication caused by NH<sub>3</sub> emissions, N leaching and runoff (Xia  
 193 and Yan, 2012).

## 194 **2.7 Nitrogen use efficiency**

195 Nitrogen use efficiency (NUE) is calculated by the following equation (Yan et al., 2014):

$$196 \quad \text{NUE} = (\text{U}_N - \text{U}_0) / \text{F}_N; \quad (11)$$

197 Here, U<sub>N</sub> is the plant N uptake (kg ha<sup>-1</sup>) measured in aboveground biomass at physiological  
 198 maturity in the N fertilization treatments, while U<sub>0</sub> is the N uptake measured in aboveground



199 biomass in the treatment without N fertilizer addition (RN0). The N uptake in straw and grain was  
200 analysed via concentrated sulfuric acid digestion and the Kjeldahl method (Zhao et al., 2015).

## 201 **2.8 Statistical analysis**

202 Differences in seasonal CH<sub>4</sub>, N<sub>2</sub>O emissions and rice yield of the two rice-growing seasons  
203 from 2013 to 2014 affected by fertilizer treatments, year and their interaction were examined by  
204 using a two-way analysis of variance (ANOVA) (Table 2). The grain yield, seasonal CH<sub>4</sub> and  
205 N<sub>2</sub>O emissions, SOCSR and GHGI of the different treatments were tested by analysis of variance  
206 and mean values were compared by least significant difference (LSD) at the 5% level. All these  
207 analyses were carried out using the SPSS (Version 19.0, USA).

## 208 **3 Results and discussion**

### 209 **3.1 Rice yield and NUE**

210 The two-way ANOVA analyses indicated that the rice grain yields were significantly affected  
211 by the year and fertilizer treatment (Table 2). The farmer's practice plot (RN300) had an average  
212 rice grain yield of 8395 kg ha<sup>-1</sup>, with an NUE of 31.35%, over the two growing seasons from  
213 2013 to 2014. Compared with RN300, reducing the N fertilizer rate by 20% (RN240) slightly  
214 improved the grain yield and NUE to 8576 kg ha<sup>-1</sup> and 34.58%, respectively. Further N reduction,  
215 without additional agricultural managements, could decrease the rice yield by 8.15% (RN180) and  
216 15.18% (RN120) (Table 3). The response of rice yield to the synthetic N application rate in our  
217 study successfully fitted a quadratic model (Fig.2), as has been reported in previous studies (Xia  
218 and Yan, 2012; Cui et al., 2013a). Reducing N application to a reasonable rate, therefore, is  
219 considered essential to reduce environmental costs, without sacrificing grain yield (Chen et al.,  
220 2014). Lowering the N input adopted by local farmer (300 kg N ha<sup>-1</sup>) by 20% could still enhance



221 the grain yield and NUE, without threatening food security in this study. However, a further  
222 reduction of N 40% (RN180) would largely undermine the rice yield (Table 3).

223 Further reduction in N fertilizer may be achieved with improvements of agricultural  
224 managements, Ju et al. (2009) reported that, based on knowledge-based N managements, such as  
225 optimizing the N fertilizer source, rate, timing and place (in accordance with crop demand), rice  
226 grain yield in the TLR was not significantly affected by a 30-60% N saving, while various Nr  
227 losses would endure a two-fold curbing. Similarly, Zhao et al. (2015) found that the NUE could be  
228 improved from 31% to 44%, even under a N reduction of 25% for rice production in the TLR,  
229 through the implementation of integrated soil-crop system managements. In the present study, the  
230 NUE was improved by 10% via a 20% N reduction, but it still falls behind the NUE in the studies  
231 which received knowledge-based managements. Previous studies have proven that straw  
232 incorporation exerted little positive impacts on grain yield. For instance, a meta-analysis  
233 conducted by Singh et al. (2005) have found that incorporation of crop straw produced no  
234 significant trend in improving crop yield in rice-based cropping systems. Moreover, based on a  
235 long-term straw incorporation experiment established since 1990 at Changshu Agroecological  
236 Experimental Station, Xia et al. (2014) have reported that long-term incorporation of wheat straw  
237 only increased the rice yield by 1%. Therefore, in the present study, the effects of straw  
238 incorporation on rice yield were considered as inappreciable.

### 239 **3.2 CH<sub>4</sub>, N<sub>2</sub>O emissions and SOSCR**

240 Over the two rice-growing seasons from 2013 to 2014, all treatments produced similar  
241 patterns of CH<sub>4</sub> fluxes, albeit with large inter-annual variation (Fig.3a). The seasonal average CH<sub>4</sub>  
242 emissions from all plots showed no significant difference, ranging from 289.53 kg CH<sub>4</sub> ha<sup>-1</sup> in the



243 RN180 plot to  $334.61 \text{ kg CH}_4 \text{ ha}^{-1}$  in the RN120 plot (Table 4), much higher than observations  
244 conducted in the same region (Zou et al., 2005; Ma et al., 2013). This phenomenon can be  
245 attributed to the larger amounts of straw incorporation in this study (Table 1). Relative to the  
246 RN300 plot,  $\text{CH}_4$  emissions from the RN240 plot decreased by 8% and 10%, during the  
247 rice-growing season of 2013 and 2014, respectively, although this effect was not statistically  
248 significant (Table 4).

249 Many studies have shown a clear linear relationship between  $\text{CH}_4$  emissions and the amounts  
250 of applied organic matter (OM). Such an obvious linear relationship generally occurs under the  
251 following conditions: first, the OM inputs are low (generally less than  $3 \text{ Mg dry matter ha}^{-1}$ ) (Zou  
252 et al., 2005; Ma et al., 2013); second, the applied OM rates among different treatments are  
253 statistically different (Shang et al., 2011; Xia et al., 2014). It is possible that the linear response of  
254  $\text{CH}_4$  emissions to OM inputs can become flat or even unobvious (Fig.S1), when OM is applied at  
255 higher rates (in this study, the applied rates of straw in all N fertilization treatments were higher  
256 than  $4.4 \text{ Mg dry matter ha}^{-1}$ ) and these rates among treatments were not statistically different.  
257 Besides, the experimental error caused by small differences in water conditions among different  
258 treatments may also have promoted the unclear response of  $\text{CH}_4$  emissions to straw inputs in this  
259 study (Xia et al., 2014).

260 It is unsurprising that no obvious relationship between  $\text{CH}_4$  emissions and N fertilizer  
261 application rates was observed in this study (Fig.S1), because the effects of N fertilization on  $\text{CH}_4$   
262 production, transportation and oxidation are complex. For instance, N fertilization can provide  
263 methanogens with more carbon substrates in the rhizosphere of plants by stimulating the growth of  
264 rice biomass, thus promoting  $\text{CH}_4$  production and transportation (Zou et al., 2005; Banger et al.,



265 2012). N enrichment could also enhance the activities of methanotrophs, therefore enhancing CH<sub>4</sub>  
266 oxidation (Xie et al., 2010; Yao et al., 2012). Moreover, ammonium-based fertilizer could compete  
267 with CH<sub>4</sub> oxidation, due to the similar size and structure between NH<sub>4</sub><sup>+</sup> and CH<sub>4</sub> (Linguist et al.,  
268 2012a).

269 The N<sub>2</sub>O fluxes were sporadic and pulse-like, and these fluxes showed large variations  
270 between different seasons, and the majority of the N<sub>2</sub>O peaks occurred after the application of N  
271 fertilizer (Fig.3b). The two-way ANOVA analyses indicated that the seasonal N<sub>2</sub>O emissions were  
272 significantly affected by the year, the fertilizer treatment, and their interactions during the  
273 rice-growing seasons (Table 2). The average N<sub>2</sub>O emission, during the two rice-growing seasons,  
274 ranged from 0.05 kg N ha<sup>-1</sup> for the RN0 to 0.35 kg N ha<sup>-1</sup> for the RN300 (Table 4), which  
275 increased exponentially as the N fertilizer rate increased. The average N<sub>2</sub>O emission factors varied  
276 between 0.03% and 0.1%, with an average of 0.07%, which is comparable with previous studies  
277 (0.05%-0.1%) conducted in the same region (Ma et al., 2013; Zhao et al., 2015).

278 The estimated topsoil (0-20cm) SOCSR varied from 0.130 t C ha<sup>-1</sup> yr<sup>-1</sup> for the RN0 plot to  
279 0.197 t C ha<sup>-1</sup> yr<sup>-1</sup> for the RN300 plot (Table 4). The current SOCSR for rice production in the  
280 TLR (0.197 t C ha<sup>-1</sup>), falling within the SOCSR range of 0.13-2.20 t C ha<sup>-1</sup> yr<sup>-1</sup> estimated by Pan  
281 et al. (2004) for paddy soils in China, is also comparable to the estimation of 0.17 t C ha<sup>-1</sup> yr<sup>-1</sup>  
282 from Ma et al. (2013) in a study based on a paddy field experiment in the same region. Moreover,  
283 the provincial average SOCSR of Jiangsu province has been estimated to be 0.16-0.21 t C ha<sup>-1</sup> yr<sup>-1</sup>  
284 from the period of 1980 to 2000 (Huang & Sun, 2006, Liao et al., 2009), which is also similar to  
285 our estimation.

### 286 3.3 NGWP and GHGI



287 The average NGWP for all treatments varied from 8656 to 11550 kg CO<sub>2</sub> eq ha<sup>-1</sup> (Table 4).  
288 CH<sub>4</sub> emissions dominated the NGWP in all treatments, with the proportion ranging from 70.23%  
289 to 88.56%, while synthetic N fertilizer production was the secondary contributor (Table 4). In  
290 addition, SOC sequestration offset the positive GWP by 5.18-6.18% in the fertilization treatments.  
291 Compared to conventional practice (RN300), the NGWP in the 20% reduction N practice (RN240)  
292 decreased by 10.64%. Therein, 6.28% came from CH<sub>4</sub> reduction and 4.31% from N production  
293 savings (Table 4). The GHGI of rice production ranged from 1.20 (RN240) to 1.61 (RN0) kg CO<sub>2</sub>  
294 eq kg<sup>-1</sup>, which is higher than previous estimation of 0.24-0.74 kg CO<sub>2</sub> eq kg<sup>-1</sup> for rice production  
295 in other rice-upland crop rotation systems (Qin et al., 2010; Ma et al., 2013). Moreover, the GHGI  
296 of current rice production in the TLR (RW300) was estimated to be 1.45 times that of the national  
297 average value estimated by Wang et al. (2014a), at 1.38 versus 0.95 kg CO<sub>2</sub> eq kg<sup>-1</sup>.

298 Such phenomenon was attributed to the following reasons. First, compared to above studies,  
299 current higher amounts of direct straw incorporation (2.9-6.2 Mg dry matter ha<sup>-1</sup>), before rice  
300 transplantation in the TLR, triggered substantial CH<sub>4</sub> emissions (290-335 kg CH<sub>4</sub> ha<sup>-1</sup>). Crop  
301 residue incorporation is regarded as a win-win strategy to benefit food security and mitigate  
302 climate change, due to the fact that it possesses a large potential for carbon sequestration (Lu et al.,  
303 2009). However, the GWP of straw-induced CH<sub>4</sub> emissions was reported to be 3.2-3.9 times that  
304 of the straw-induced SOCSR, which indicates direct straw incorporation in paddy soils worsens  
305 rather than mitigates climate changes, in terms of GWP (Xia et al., 2014). The SOC sequestration  
306 induced by straw incorporation only offset the positive GWP by 5.2-6.2% in this study. Sensible  
307 methods of straw incorporation should therefore be developed to reduce the substantial CH<sub>4</sub>  
308 emissions without compromising the build-up of SOC stock in the TLR. Second, the high N



309 application rate ( $300\text{kg N ha}^{-1}$ ) in the TLR combined with the large emission factor of N fertilizer  
310 manufacture,  $8.3\text{ kg CO}_2\text{-eq kg}^{-1}\text{ N}$  (Zhang et al., 2013), promoted the sector of N fertilizer  
311 production to be the secondary contributor to the GHGI (Table 4), while such sector wasn't  
312 involved in above-mentioned studies. Compared to local farmer's practices (RN300), reducing the  
313 N rate by 20% (RN240) lowered the GHGI by 13%, under the condition of straw incorporation,  
314 although this effect was not statistically significant (Table 4). Compared to RN240, however,  
315 further reduction of N rate (RN180 or RN120) increased the GHGI, largely due to the fact that rice  
316 yield was considerably undermined under excessive N reduction. Therefore, the joint application  
317 of reasonable N reduction and judicious method of straw incorporation would be promising in  
318 reducing the GHGI for rice production in the TLR, in consideration of the current situation of  
319 simultaneous high inputs of N fertilizer and wheat straw.

### 320 **3.4 Various Nr losses and NrI**

321 The results of the meta-analysis indicated that  $\text{N}_2\text{O}$  emissions, as well as N leaching and  
322 runoff, increase exponentially with an increase in N application rate (Fig.4b-d,  $P < 0.01$ ), while  
323 the response of  $\text{NH}_3$  volatilization to N rates fitted the linear model best (Fig.4a,  $P < 0.01$ ).  
324 Established models can explain the variation in the estimation of various Nr losses by 50-57%.  
325 The estimated total Nr losses for all treatments varied from  $39.3$  to  $91.7\text{ kg N ha}^{-1}$  in the  
326 fertilization treatments (Table 5), accounting for 30.1-32.8% of N application rates.  $\text{NH}_3$   
327 volatilization dominated the NrI, with the proportion ranging from 53.5% to 57.4%, mainly  
328 because of the current fertilizer application method (soil surface broadcasting) and high  
329 temperatures in the field (Zhao et al., 2012b; Li et al., 2014). N runoff was the second most  
330 important contributor, with the proportion ranging from 25.9% to 29.7% (Table 5). Using  $^{15}\text{N}$



331 micro-plots combined with three-year field measurements, Zhao et al. (2012b) reported that the  
332 total Nr loss from rice production in the TLR, under an N rate of 300 kg N ha<sup>-1</sup>, was 98 kg N ha<sup>-1</sup>,  
333 which is comparable with our estimation of 91.69 kg N ha<sup>-1</sup> in the RN300 plot. Similarly, Xia and  
334 Yan (2011) estimated the Nr loss for life-cycle rice production in this region to be around 90 kg N  
335 ha<sup>-1</sup>.

336 The NrI of rice production in different plots varied between 2.14 g N kg<sup>-1</sup> (RN0) and 10.92 g  
337 N kg<sup>-1</sup> (RN300), which increased significantly as the N fertilizer rate increased (Table 5). The NrI  
338 for rice production in the TLR was estimated to be 10.92 g N kg<sup>-1</sup> (RN300), which is 68% higher  
339 than the national average value estimated by Chen et al. (2014), largely due to the higher N  
340 fertilizer inputs in the TLR. Under the condition of straw incorporation, reducing the N application  
341 rate by 20% pulled the NrI down to 8.42 g N kg<sup>-1</sup> (RN240) (Table 5). Additional N reduction  
342 could further lower the NrI, but the rice yield would be compromised largely (Table 3). Previous  
343 studies have proven that direct incorporation of crop straw exert unobvious effects on various Nr  
344 releases (Xia et al., 2014). Because crop straws usually possess high values of C/N ratio and the  
345 majority of N contented in the residue is not easily degraded by microorganisms in short-term period  
346 (Huang et al., 2004). Therefore the straw incorporation could promote the N contained in the  
347 residues to be stabilized in soil in long-term period, rather than directly releasing as various Nr  
348 (Xia et al., 2014). For instance, a meta-analysis, integrating 112 scientific assessments of the crop  
349 residue incorporation on the N<sub>2</sub>O emissions, has reported that the practice exerted no statistically  
350 significant effect on the N<sub>2</sub>O releases (Shan and Yan, 2013). Therefore, the effects of wheat straw  
351 incorporation on various Nr losses were considered as negligible in this study. Although no  
352 specific relationship was found between the NrI and GHGI in all treatments in this study (Table 4





353 and Table 5), attention should be paid to the interrelationship between them. For instance, N  
354 fertilizer production and application is an intermediate link between GHGI and NrI (Chen et al.,  
355 2014). For the NrI, N fertilization promotes various Nr releases, exponentially or linearly (Fig.4),  
356 while N production and application made a secondary contribution to the GHGI (Table 4). Such  
357 interrelationships ought to be taken into account fully for any mitigation options pursued, in order  
358 to reduce the GHG emissions and Nr discharges from rice production simultaneously (Cui et al.,  
359 2013b; Cui et al., 2014).

### 360 **3.5 Economic evaluations of GHG emissions and Nr releases and their mitigation** 361 **potential**

362 The total environmental costs associated with the GHG emissions and Nr releases varied  
363 from 1214 ¥ ha<sup>-1</sup> for the RN0 to 2399 ¥ ha<sup>-1</sup> for the RN300, which approximately accounted for  
364 10.44-13.47% of the farmer's income and 27.05-32.47% of the input costs, respectively (Table 6).  
365 CH<sub>4</sub> emission and NH<sub>3</sub> volatilization were the dominant contributors to the total environmental  
366 costs, respectively (Table 4 and Fig.5). The total damage costs to environment accounted for 13.5%  
367 of farmer's income under the current rice production in the TLR (RN300). Cutting the N rate from  
368 300 to 240 kg N ha<sup>-1</sup> slightly improved the farmer's income by 3.64%, while further N reduction  
369 would undermine the economic return of farmer's (Table 6).

370 GHG and Nr releases from rice production in the TLR are expected to possess a large  
371 potential for mitigation, due to the current situation of direct straw incorporation and higher N  
372 fertilizer inputs. Compared to traditional practice, a reduction of N application rate from 300 to  
373 240 kg N ha<sup>-1</sup> could alleviate 12.52% for GHGI (Table 4), 22.94% for NrI (Table 5), and 15.76%  
374 for environmental costs (Table 6). Further reduction in GHG and Nr releases (especially for CH<sub>4</sub>



375 emissions and  $\text{NH}_3$  volatilization) is possible, with the implementation of knowledge-based  
376 managements (Chen et al., 2014; Nayak et al., 2015). For the mitigation of Nr releases, switching  
377 the N fertilizer application method from surface broadcasting to deep incorporation could largely  
378 lower the  $\text{NH}_3$  volatilization from paddy soils (Zhang et al., 2012; Li et al., 2014). Moreover,  
379 other optimum N managements, such as applying controlled-release fertilizers and nitrification or  
380 urease inhibitors, could also effectively increase the NUE and reducing the overall Nr losses  
381 (Chen et al., 2014). For the mitigation of GHG emissions, rather than being directly incorporated  
382 before rice transplantation, crop residues should be preferentially decomposed under aerobic  
383 conditions or used to produce biochar through pyrolysis, which could effectively reduce  $\text{CH}_4$   
384 emissions (Linguist et al., 2012b; Xie et al., 2013). Moreover, these pre-treatments are also  
385 beneficial for carbon sequestration and food security (Woolf et al., 2010; Linguist et al., 2012b).

386 Most previous studies have merely focused on the quantification of GHG and Nr releases  
387 from food production from the perspective of environment assessments (Zhao et al., 2012b; Ma et  
388 al., 2013; Zhao et al., 2015). The perspective of economic evaluation is seldom implemented,  
389 which goes against encouraging farmer to participate in the abatement of GHG and Nr releases on  
390 their own initiative (Xia et al., 2014). The current pattern of rice production in the TLR incurs  
391 great costs to the environment, which accounted for 13.47% of the net economic return that farmer  
392 ultimately acquire (Table 6). Such an evaluation facilitates the translation of highly specialized  
393 scientific conclusions into monetary-based information that is more familiar and accessible for  
394 farmer, and therefore likely encouraging them to adopt eco-friendly agricultural managements  
395 (Wang et al., 2014b). Profitability is generally considered the main driver for farmer to change  
396 their management approach. Compared to traditional N application rate, a reduction of 20% would



397 make environmental costs savings of 14%, whilst simultaneously improving the economic return  
398 of farmer's by 648 ¥ ha<sup>-1</sup> (Table 6). This represents an incentive for farmer to optimize their N  
399 fertilizer application rates, provided that such information is available to them.

400 Considering the fact that no specific carbon- and Nr-mitigation incentive programs, like the  
401 'Carbon Farming Initiative' in Australia (Lam et al., 2013), has been launched in China, an  
402 ecological compensation incentive mechanism (national subsidy program) should be established  
403 by governments. This would provide farmer with a tangible incentive, thus guiding them towards  
404 gradually adopting knowledge-based managements, that could effectively curb GHG emissions  
405 and Nr losses, but likely exert little positive effects on improving farmer's net economic return  
406 (Xia et al., 2014). Examples include the composing of crop straws aerobically, or their use to  
407 produce biochar before incorporation (Xie et al., 2013), and encouraging the deep placement of N  
408 fertilizer (Wang et al., 2014b), as well as the application of enhanced-efficiency fertilizers during  
409 the rice-growing season (Akiyama et al., 2010).

#### 410 **4 Conclusions**

411 Our results demonstrated that producing per unit of rice yield released higher GHG and Nr in  
412 the TLR, than that in other rice-upland cropping systems, which largely attributed to the current  
413 situation of direct straw incorporation and excessive nitrogen fertilizer inputs. CH<sub>4</sub> emissions and  
414 NH<sub>3</sub> volatilization dominated the GHG and Nr releases, respectively. Reducing the N application  
415 rate by 20% from the tradition level (300 kg N ha<sup>-1</sup>) could effectively decrease the GHG  
416 emissions, Nr releases and the damage costs to the environment, while increased the rice yield and  
417 improved farmer's income as well. Agricultural managements, such as making straw decompose  
418 aerobically before incorporation and optimizing the application method of N fertilizer, could



419 further reduce the GHG and Nr releases (especially CH<sub>4</sub> emissions and NH<sub>3</sub> volatilization) from  
420 rice production in the TLR. Further studies are needed to evaluate the comprehensive effects of  
421 these managements on GHG emissions, Nr releases and farmer's economic returns.

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#### 427 **Supplementary material**

428 Supplementary material (Appendix A) associated with this article can be found, in the online  
429 version.

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574 **Table 1.** Field experimental treatments and agricultural management practices during  
 575 the rice-growing seasons of 2013 and 2014 in the TLR

Treatment <sup>a</sup>	RN0	RN120	RN180	RN240	RN300
Chemical fertilizer					
application rate	0:30:60	120:30:60	180:30:60	240:30:60	300:30:60
(N:P <sub>2</sub> O <sub>5</sub> :K <sub>2</sub> O, kg ha <sup>-1</sup> )					
Split N application ratio	---	4:3:3	4:3:3	4:3:3	4:3:3
Straw application rate					
(Mg dry matter ha <sup>-1</sup> )	3.94/2.88 <sup>b</sup>	4.49/4.65	4.93/5.18	5.33/5.87	5.81/6.17
Water regime <sup>c</sup>	F-D-F-M	F-D-F-M	F-D-F-M	F-D-F-M	F-D-F-M
Density (10 <sup>4</sup> plants ha <sup>-1</sup> )	2.5	2.5	2.5	2.5	2.5

576 <sup>a</sup>RN0, RN120, RN180, RN240 and RN300 represent nitrogen application rates of 0, 120, 180, 240,  
 577 300 kg N ha<sup>-1</sup>, respectively.

578 <sup>b</sup>3.94/2.88 denote that straw application rates during the rice-growing seasons of 2013 and 2014  
 579 are 3.94 and 2.88 Mg dry matter ha<sup>-1</sup>, respectively.

580 <sup>c</sup>F, flooding; D, midseason drainage; M, moist but non-waterlogged by intermittent irrigation.

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587 **Table 2.** Two-way ANOVA for the effects of fertilizer (F) application and year (Y) on CH<sub>4</sub> and588 N<sub>2</sub>O emissions, and rice grain yields in rice paddies.

Factor	df	CH <sub>4</sub> (kg ha <sup>-1</sup> )			N <sub>2</sub> O (kg N ha <sup>-1</sup> )			Yield (kg ha <sup>-1</sup> )		
		SS	F	P	SS	F	P	SS	F	P
F	4	8739	0.79	0.55	0.33	12.46	< 0.01	39297547	32.96	< 0.01
Y	1	4492	1.62	0.22	0.11	16.41	< 0.01	2810414	9.43	< 0.01
F×Y	4	2532	0.23	0.92	0.18	7.1	< 0.01	750639	0.63	0.65
Model	9	15763	0.63	0.77	0.62	10.52	< 0.01	42858600	15.97	< 0.01
Error	16	20			0.13			5962260		

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601 **Table 3.** Rice yield and NUE for the two rice-growing seasons from 2013 to 2014 in  
 602 the TLR

Year	Treatment <sup>a</sup>	Yield (kg ha <sup>-1</sup> )	NUE (%)
2013	RN0	4829 ± 207	---
	RN120	7079 ± 645	23.40
	RN180	7655 ± 601	28.12
	RN240	8273 ± 569	33.61
	RN300	8029 ± 101	30.63
2014	RN0	5919 ± 131	---
	RN120	7598 ± 1077	23.86
	RN180	7768 ± 570	21.19
	RN240	8880 ± 435	35.54
	RN300	8761 ± 369	32.07
Two-year average	RN0	5374 ± 617d <sup>b</sup>	---
	RN120	7339 ± 843c	23.63
	RN180	7711 ± 527bc	24.66
	RN240	8576 ± 562a	34.58
	RN300	8395 ± 468ab	31.35

603 <sup>a</sup>Definitions of the treatment codes are given in the footnotes of Table 1.

604 <sup>b</sup>Mean±SD; different letters within the same column indicate a significant difference at  $p < 0.05$ .


**Table 4.** The NGWP and GHGI for the two rice-growing seasons from 2013 to 2014 in the TLR

Year	Treatment <sup>a</sup>	CH <sub>4</sub> emission	N <sub>2</sub> O emission	SOCSR	Irrigation	N fertilizer production	Others	NGWP	GHGI
		kg CH <sub>4</sub> ha <sup>-1</sup>	kg N ha <sup>-1</sup>	kg C ha <sup>-1</sup> yr <sup>-1</sup>	kg CO <sub>2</sub> eq ha <sup>-1</sup>			kg CO <sub>2</sub> eq kg <sup>-1</sup>	
2013	RN0	306.07 ± 41 <sup>b</sup>	0.08 ± 0.01	129.58	1170	0	217	8601	1.78
	RN120	317.26 ± 92	0.10 ± 0.01	154.07	1170	996	265	9845	1.39
	RN180	287.8 ± 12	0.13 ± 0.01	171.54	1170	1494	277	9568	1.25
	RN240	273.27 ± 36	0.14 ± 0.06	185.50	1170	1992	291	9670	1.17
	RN300	305.13 ± 90	0.16 ± 0.03	196.87	1170	2490	285	10927	1.36
2014	RN0	307.22 ± 47	0.02 ± 0.05	129.58	1256	0	240	8711	1.47
	RN120	351.96 ± 28	0.09 ± 0.02	154.07	1256	996	276	10805	1.42
	RN180	291.25 ± 18	0.24 ± 0.04	171.54	1256	1494	280	9795	1.26



	RN240	317.65 ± 28	0.34 ± 0.12	185.50	1256	1992	303	10972	1.24
	RN300	343.8 ± 61	0.53 ± 0.21	196.87	1256	2490	301	12169	1.39
Two-year	RN0	306.65 ± 39a	0.05 ± 0.05b	129.58c	1213	0	229	8656	1.61 ± 0.25a
average	RN120	334.61 ± 64a	0.09 ± 0.02b	154.07bc	1213	996	271	10322	1.40 ± 0.16b
	RN180	289.53 ± 14a	0.18 ± 0.07ab	171.54ab	1213	1494	279	9679	1.25 ± 0.09bc
	RN240	295.46 ± 38a	0.24 ± 0.14ab	185.50ab	1213	1992	297	10321	1.20 ± 0.08cd
	RN300	324.47 ± 72a	0.35 ± 0.25a	196.87a	1213	2490	293	11550	1.38 ± 0.21bc

<sup>a</sup>Definitions of treatment codes are given in the footnotes of Table 1.

<sup>b</sup>Mean±SD; different letters within same column indicate a significant difference at  $p < 0.05$ .



**Table 5.** The seasonal average various Nr losses and NrI for the two rice-growing seasons from 2013 to 2014 in the TLR

Treatment <sup>a</sup>	NH <sub>3</sub>	N	N	N <sub>2</sub> O	NO <sub>x</sub>	Total Nr	NrI
	volatilization	runoff	leaching	emission	emission	losses	
kg N ha <sup>-1</sup>							g N kg <sup>-1</sup>
RN0	0.64	5.39	1.44	0.07	3.96	11.50	2.14
RN120	21.04	10.30	2.24	0.12	5.62	39.32	5.36
RN180	31.24	14.25	2.80	0.21	6.44	54.93	7.12
RN240	41.44	19.70	3.50	0.27	7.26	72.17	8.42
RN300	51.64	27.24	4.37	0.38	8.07	91.69	10.92

<sup>a</sup>Definitions of treatment codes are given in the footnotes of Table 1.





**Table 6.** The seasonal average economic evaluation for rice production of the two growing seasons from 2013 to 2014 in the TLR (unit: ¥ ha<sup>-1</sup>)

Treatment <sup>a</sup>	Yield income <sup>b</sup>	Input costs <sup>c</sup>	Farmer's income <sup>d</sup>	Environmental costs <sup>e</sup>	
				GHG emissions	Nr releases
RN0	16125	4493	11632	1143	71
RN120	22020	6104	15916	1363	376
RN180	23130	6542	16588	1278	535
RN240	25725	7277	18448	1362	700
RN300	25185	7385	17800	1525	874

<sup>a</sup>Definitions of treatment codes are given in the footnotes of Table 1.

<sup>b</sup>Yield income = rice yield × rice price.

<sup>c</sup>Input costs denote the economic input of purchasing various agricultural materials and hiring labours.

<sup>d</sup>Farmer's income = Yield income – input costs.

<sup>e</sup>Environmental costs denoted the sum of the acidification costs, eutrophication costs and global warming costs incurred by GHG emissions and Nr releases. The cost prices of GHG and Nr releases are as followed: GHG emission, 132 ¥ t<sup>-1</sup> CO<sub>2</sub> eq (Xia et al., 2014); NH<sub>3</sub> volatilization, 13.12 ¥ kg<sup>-1</sup> N; N leaching, 6.12 ¥ kg<sup>-1</sup> N; N runoff, 3.64 ¥ kg<sup>-1</sup> N; NO<sub>x</sub> emission, 8.7 ¥ kg<sup>-1</sup> N (Xia and Yan, 2011).

## Figure captions



**Fig. 1. Seasonal variations in the daily precipitation and the temperature during the two rice-growing seasons of (a) 2013 and (b) 2014.**

**Fig.2. Relationship between N fertilizer application rate and seasonal average rice grain yield over the two rice-growing seasons of 2013 and 2014 in the TLR.**

The vertical bars represent standard errors (n = 6).

**Fig.3. Seasonal variations in (a) CH<sub>4</sub> and (b) N<sub>2</sub>O fluxes during the two rice-growing seasons from 2013 to 2014 in the TLR.** The arrow indicates N fertilizer application. The vertical bars represent standard errors (n = 3).

**Fig.4. Relationship between N fertilizer application rate and (a) NH<sub>3</sub> emissions, (b) N runoff, (c) N leaching and (d) N<sub>2</sub>O emissions for rice production in the TLR.** These relationships were obtained through a meta-analysis.

**Fig.5. Seasonal average total environmental costs incurred by GHG emissions and Nr losses for rice production in TLR.**

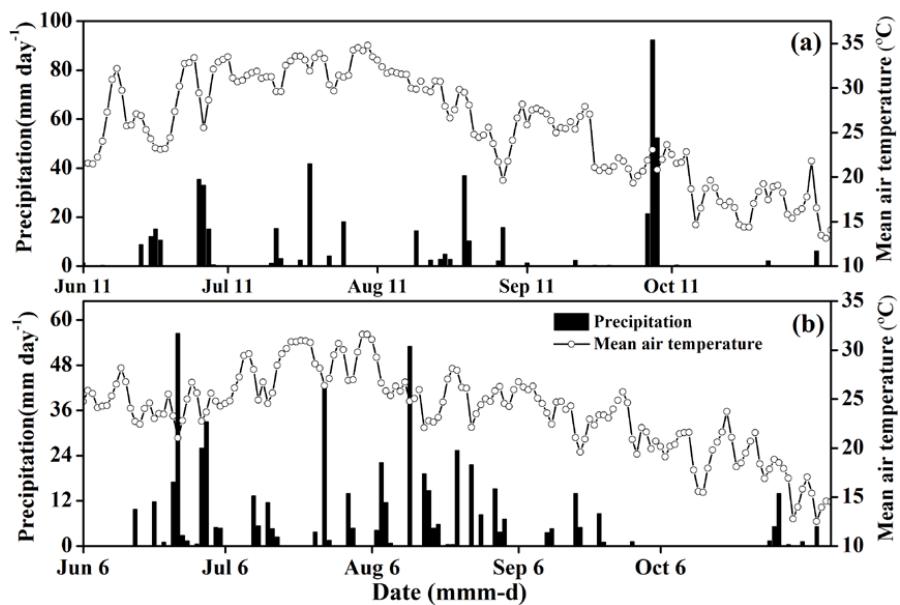


Fig.1

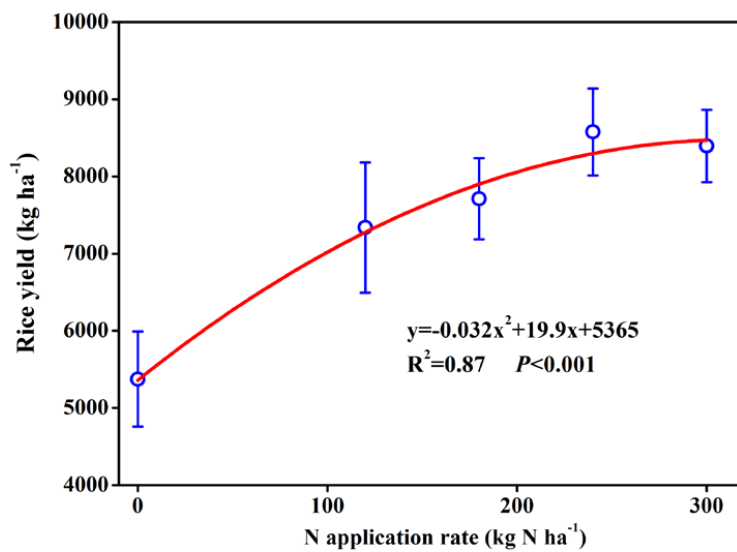


Fig.2

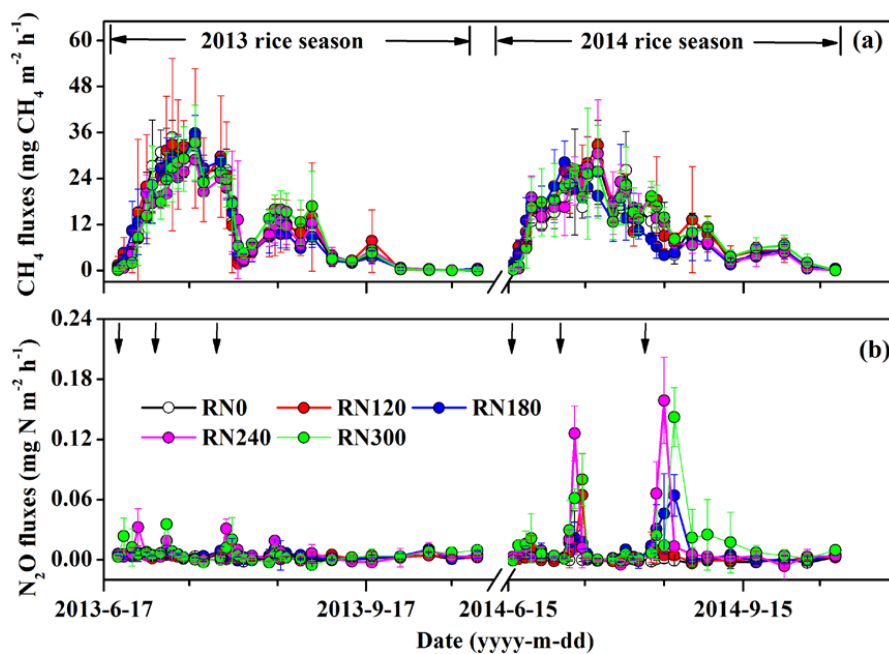


Fig.3

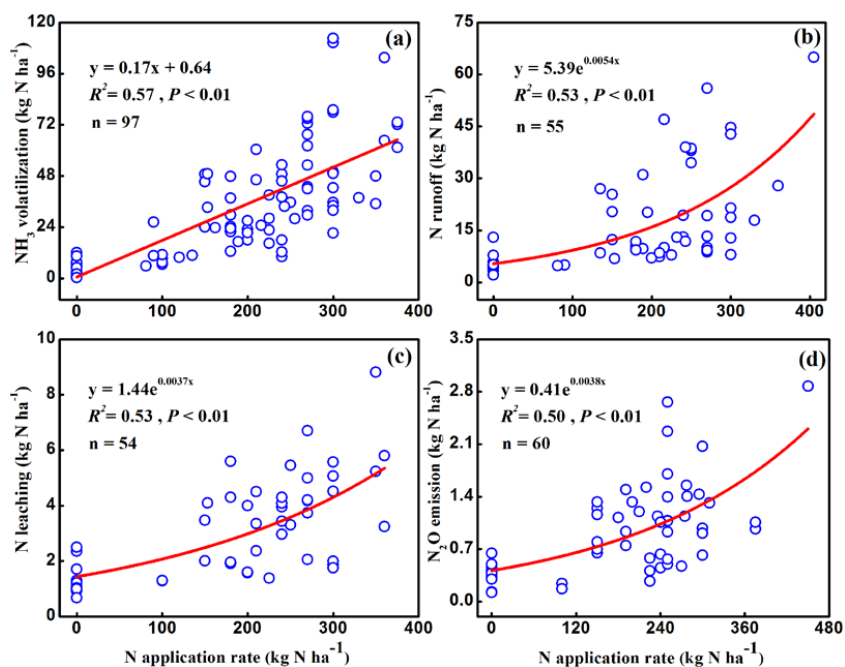


Fig.4

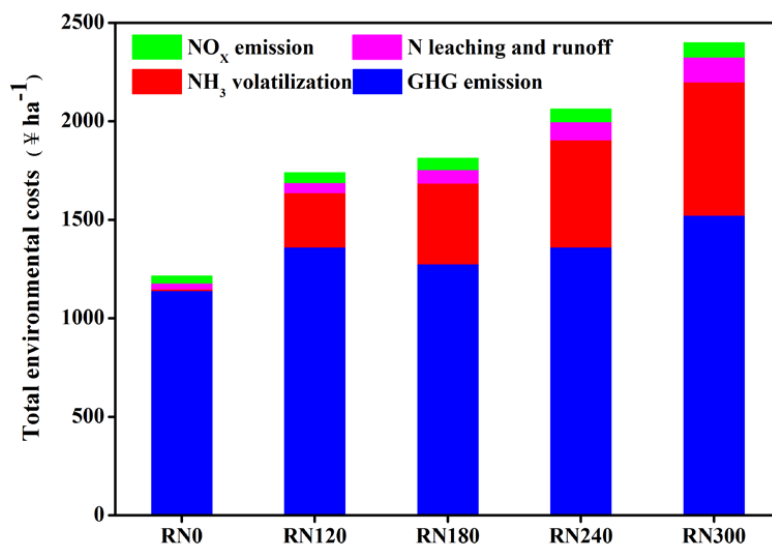


Fig.5