Parametrization consequences of constraining soil organic matter models by total carbon and radiocarbon using long-term field data

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Abstract

Soil organic carbon (SOC) dynamics result from different interacting processes and controls on spatial scales from sub-aggregate to pedon to the whole ecosystem. These complex dynamics are translated into models as abundant degrees of freedom. This high number of not directly measurable variables and, on the other hand, very limited data at disposal result in equifinality and parameter uncertainty.

Carbon radioisotope measurements are a proxy for SOC age both at annual to decadal (bomb peak based) and centennial to millennial time scales (radio decay based), and thus can be used in addition to total organic C for constraining SOC models. By considering this additional information, uncertainties in model structure and parameters may be reduced.

To test this hypothesis we studied SOC dynamics and their defining kinetic parameters in the ZOFE experiment, a >60-years old controlled cropland experiment in Switzerland, by utilising SOC and $SO^{14}C$ time-series. To represent different processes we applied five model structures, all stemming from a simple mother model (ICBM): I) two decomposing pools, II) an inert pool added, III) three decomposing pools, IV) two decomposing pools with a substrate control feedback on decomposition, V) as IV but with also an inert pool. These structures were extended to explicitly represent total SOC and ^{14}C pools.

The use of different model structures allowed us to explore model structural uncertainty and the impact of ^{14}C on kinetic parameters. We considered parameter uncertainty by calibrating in a formal Bayesian framework.

By varying the relative importance of total SOC and $SO^{14}C$ data in the calibration, we could quantify the effect of the information from these two data streams on estimated model parameters. The weighing of the two data streams was crucial for determining model outcomes, and we suggest including it in future modelling efforts whenever $SO^{14}C$ data are available.

The measurements and all model structures indicated a dramatic decline in SOC in the ZOFE experiment after an initial land use change in 1949 from grass- to cropland, followed by a constant but smaller decline. According to all structures, the three treatments (control, mineral fertilizer, farmyard manure) we considered were still far from equilibrium. The estimates of mean residence time (MRT) of the C pools defined by our models were sensitive to the consideration of the $SO^{14}C$ data stream. Model structure had a smaller effect on estimated MRT, which ranged between 5.9±0.1 and 4.2±0.1 years and 78.9±0.1 and 98.9±0.1 years for young and old pool, respectively, for structures without substrate interactions.

The simplest model structure performed the best according to information criteria, validating the idea that we still lack data for mechanistic SOC models. Although we could not exclude any of the considered processes possibly involved in SOC decomposition, it was not possible to discriminate their relative importance.

1 Introduction

The dynamics of soil organic carbon (SOC) are directly linked to major soil ecosystem services such as soil fertility, resistance to erosion, C sequestration and soil CO_2 emissions (Lal, 2004). Understanding such dynamics is therefore of paramount importance for the challenges of the present century (IPCC, 2014). In particular, the precise quantification of SOC cycles would allow for a monetization of the respective ecosystem services, and is a crucial step to overcome the failure of this market (Alexander *et al.*, 2015).

However, the time scale of SOC decomposition, from years to millennia, makes it difficult to design experiments and requires gathering indirect answers through analysis of monitoring programs, long-term experiments and SOC turnover models. Most of these models, for

example among the most well-known RothC (Coleman *et al.*, 1997), Century (Parton *et al.*, 1993) and Yasso (Liski *et al.*, 2005), are built around multiple conceptual pools decomposing with first-order kinetics. This basic structure works well to simulate decadal to centennial time scales, but shows problems with longer (when considering more protected organic matter, e.g. Trumbore and Czimczik, 2008) or shorter (when considering microbial dynamics, e.g. Schimel and Weintraub, 2003) time scales.

Formally, these models could be extended in complexity to represent more accurately all the processes involved in SOC decomposition that we are aware of. However, a purely mechanistic modelling approach often fails because the lack of data in respect to the complexity of the system limits the number of latent variables (all the variables that cannot be directly measured) that we can infer. A high system complexity, as characterised by multiple interactions between parameters, causes equifinality problems (Beven, 2006). Representing such interactions in a way that is both accurate and abstract enough to realistically consider the availability of data is termed the bias/variance dilemma (Briscoe and Feldman, 2011). This dilemma represents the most critical point in producing reliable estimates in SOC modelling.

The struggle of contemporary SOC models becomes more evident when including $SO^{14}C$ data. When time series for both total SOC and $SO^{14}C$ are available, they may suggest contradictory dynamics (Shirato *et al.*, 2013). This confirms the high uncertainty in defining contemporary SOC model structures and at the same time raises the question of how to use these two sources of information.

Methods for the inclusion of radiocarbon measurements in SOC models are currently under active development. While most SOC models consider ^{14}C implicitly through the use of mass balance equations, some attempts have been made to consider ^{14}C explicitly (Ahrens *et al.*, 2014) as a separate set of C molecules. A similar approach has been proposed also for ^{13}C by Ågren *et al.* (1996). The explicit approach offers more flexibility in the representation of processes that might influence $SO^{14}C$ at the price of a minimal increase in model complexity. Nevertheless, even with explicit consideration of ^{14}C, modelling results are still not well determined (Ahrens *et al.*, 2014).

Yet a few studies have considered $SO^{14}C$ data within an uncertainty analysis framework. Braakhekke *et al.* (2014) and Ahrens *et al.* (2014) both considered model uncertainty, but focused on a single model structure. However, both parameter uncertainty and structural

uncertainty are significant problems endemic to environmental models (Beven, 2002). Moreover, in both these studies the model sensitivity to radiocarbon was limited to two cases, either including or excluding $SO^{14}C$ data. The inclusion of $SO^{14}C$ data can modify the model space substantially (Ahrens *et al.*, 2014) and in a non-linear way. The weight assigned to $SO^{14}C$ and SOC is a crucial parameter influencing strongly the modelling results, and the effect of this parameter should, therefore, be studied more in detail.

In order to consider the effect of ^{14}C data with respect to structural uncertainty, we calibrated a set of SOC models over total SOC time series from the ZOFE long-term field experiment (Oberholzer *et al.*, 2014). In addition, we made use of $SO^{14}C$ measurements in key positions of the time series. Model structures were built around ICBM, a basic two-pool SOC decomposition model (Andrén and Kätterer, 1997), and calibrated within a Markov chain Monte Carlo framework to take care of equifinality and parameter uncertainty. We considered the possibility of substrate interactions by introducing a control term on decomposition influenced by the amount of fresh substrate available. To consider the effect of total SOC and $SO^{14}C$ on the calibration, we assigned a relative weight to the two data streams and calibrated model structures across a gradient of such weights.

The three research questions driving this work are:

- How will the inclusion of ^{14}C data influence the SOC parameters estimated from a multi-pool model?
- What are the reasons for the observed discrepancy between modelled total SOC and $SO^{14}C$ dynamics, and which are the most important ones?
- Can we model SOC and $SO^{14}C$ jointly in a way that is minimalistic and flexible and yet effective?

These research questions generated the following, partially concurrent, hypotheses:

1. An underestimation of the age of slow C due to the presence of recalcitrant C (e.g. black C, Leifeld, 2008) or C protected through some other mechanisms is one possible reason for the observed discrepancy between SOC and $SO^{14}C$ modelled kinetics. Thus, representing such slow C in the model as inert or particularly slow pool will improve model performances.

2. An interaction between substrate pools is a process often neglected in C models but which can contribute the observed discrepancy. Representing this process in the model can improve model performances.

3. Is it possible to discriminate between the above mentioned processes?

To answer our questions we compared the results from different model structures, each focusing on slightly different processes. By comparing different model structures we also aimed at understanding more realistically SOC kinetics in the ZOFE experiments by acknowledging some model structural uncertainty.

2 Material and methods

2.1 Experimental site

The data utilized in this study have been collected in the Zürich Organic Fertilization Experiment (ZOFE, Oberholzer et al. 2014), located in Switzerland at the Agroscope premises in Reckenholz (Zürich), at 47°25'37" N, 8°31"6' E. The experiment has been initiated in 1949 and comprises 12 different fertilization treatments, among which we selected three (Table 1): the control treatment (not receiving any fertilizer input), the mineral fertilization treatment (receiving yearly 139 N, 28 P, 167 K, 56 kg ha^{-1} from 1981 and 108 N, 61 P, 318 K, 12 kg ha^{-1} in the period 1949-1980) and the farmyard manure (FYM) treatment (receiving yearly 91 N, 24 P, 65 K, 31 kg ha^{-1} from organic fertilizer and, bi-annually, 1 Mg organic carbon from FYM). The site was low-intensity permanent grassland before 1949. The experiment is ploughed to an approximate depth of 25 cm, and therefore we considered for all the subsequent analyses only the portion 0-25 cm. Soil is a Luvisol (WRB, 2007), carbonate-free, with 14% clay, 27% silt and 57% sand. Organic C content was 1.3% at the beginning of the experiment, and soil pH (H_2O) was 6.5. The crop rotation has a period of 8 years, and includes winter wheat/intercrop-maize-potatoes-winter wheat/intercrop-maize-summer barley-ley-ley. Main products and aboveground parts of by-products of crops were always removed. Belowground residues, for example in the case of beetroots or potatoes, where incorporated into the soil as were roots.

2.2 Data collection and soil analyses

The SOC dataset comes from Oberholzer et al. (2014). For modelling, the calibration error for both SOC and $SO^{14}C$ has been expressed as coefficient of variation (CV). The CV of the SOC measurements has been measured independently in 2012 (data not published) and varied between 0.080 and 0.086 for the different treatments. The $SO^{14}C$ dataset comes from Leifeld

and Mayer (2015). The CV in 2012 varied in this case between 0.017 and 0.029, and has been extrapolated to the whole $SO^{14}C$ time series. All radiocarbon concentrations utilized here are expressed in pMC as described in Stuiver and Polach (1977).

In the $SO^{14}C$ time series we assumed that the pre-bomb SOC was at equilibrium with the atmospheric isotopic value. Although the $SO^{14}C$ might slightly deviate from the ^{14}C content of the atmosphere, the difference between any possible natural discrimination and the effect of the bomb peak is several orders of magnitude (Goslar *et al.*, 2004) and we regard such a difference as negligible. In order to improve the calibration of the model in respect to the $SO^{14}C$ trend, we assumed a fourth $SO^{14}C$ point in year 1955 as corresponding to the atmospheric signature. All the time series referring to ZOFE are unevenly spaced, as often the case with multi-decadal experiments.

We took the atmospheric ^{14}C time series from the Schauinsland station (Levin,and Kromer, 2004; Levin *et al.*, 2013), relatively close to our site (48 km). Radiocarbon values from May to August are commonly used to represent the vegetation's signature (Levin and Kromer 2004), but this implies the assumption of CO_2 fixation only in late spring-summer. We calculated the difference in the time series with and without filtering out autumn-winter months, after a spline interpolation to regularize the time series, as 3.4 pMC (root mean squared error), representing a CV between 0.01 and 0.03. This we considered as negligible and used yearly averages instead.

2.3 Calculation of C inputs

The C inputs have been calculated with the C allocation coefficients proposed by Bolinder *et al.* (2007) and in case of potatoes by Walther *et al.* (1994). More details about the input calculations can be found in Oberholzer *et al.* (2014).

Carbon allocation coefficients may differ between treatments. The potential error introduced by the nonlinear nature of the root/shoot factor (Bond-Lamberty *et al.*, 2002) was considered negligible in our case due to conditions being close to optimal for plant growth at our site. The control treatment still stores as much SOC as treatments with full mineral fertilization (Oberholzer *et al.*, 2014) and it was still considered to be far from causing extreme deviations from the selected root/shoot ratio. Another source of error in our estimate is inherent to extrapolating the original root-shoot relationship (Bolinder *et al.*, 2007) to our soil. Such relationship was built on 168 samples reviewed from the literature of typical agricultural soils,

not different from our alluvial soil, and this error should therefore be small. Another possible error comes from the lack of estimates for C in form of root exudates.

We considered the above uncertainties for the C allocation by introducing an error factor calibrated with a uniform prior distribution between 0.8 and 1.2.

2.4 Five possible model structures for SOC

The basic model (structure I) is the ICBM model developed by Andrén and Kätterer (1997). ICBM is a minimalistic model of the general SOC decomposition theory built around two SOC pools decomposing with first order kinetics. The simplicity of the model allows for a high degree of flexibility and makes it ideal for model structure explorations, hypotheses testing and model development.

We used the model stepwise in its recursive form, as derived by Kätterer *et al*. (2004), in order to follow the highly nonlinear shape of the atmospheric ^{14}C curve of the last century (Kurths *et al*., 1994). The dynamic system representing SOC is described by the following equations:

$$Y_{(t)} = \left(Y_{(t-1)} + i_{(t-1)}\right)e^{-k_Y r} \quad (1)$$

$$O_{(t)} = \left(O_{(t-1)} + \varphi_{Y(t-1)}\right)e^{-k_O r} + \varphi_{Y(t-1)}e^{-k_Y r} \quad (2)$$

$$\varphi_{Y(t-1)} = h_1 \frac{k_Y\left(Y_{(t-1)} + i_{(t-1)}\right)}{k_O + k_Y} \quad (3)$$

The SOC at time *t* is therefore calculated as:

$$Tot_{(t)} = Y_{(t)} + O_{(t)} \quad (4)$$

This system describes the evolution of two C pools, young (*Y)* and old (*O)* SOC, decomposing with rate k_Y and k_O. Their mean residence time (MRT) is defined by the reciprocal of the decomposition constants, or $\frac{1}{k_Y}$ and $\frac{1}{k_O}$. The term *i* represents the inputs. The term φ describes the flux between the two pools, which is controlled by the humification coefficient h_1 that defines the amount of carbon that goes from *Y* to *O*. The term *r* aggregates

climatic and edaphic influence, and is calculated according to equations that follow in the text. The system of Eq. (1), (2), (3) and (4) can then be modified in order to represent different hypotheses. The model defined by the system of Eq. (1), (2), (3) and (4) is therefore calibrated for 4 unknown parameters, namely k_Y, k_O, h_1 and the initial distribution of C between pools *Y* and *O*.

A first modification (i.e. model structure II), already suggested by Juston (2012), adds a static pool representing SOC cycling at extremely slow decomposition rates. This pool is virtually inert and does not interact with the other pools or decomposes. Since the SOC age spectrum is likely distributed according to a logarithmic function of age (Bosatta and Ågren, 1999), this approximation may be reasonable for very slow SOC atoms. Eq. (4) can therefore be modified by adding an "inert" pool *R* as:

$$Tot_{(t)} = Y_{(t)} + O_{(t)} + R \qquad (5)$$

This modification adds one parameter to the initial calibration to represent the initial value of *R*.

A second modification, i.e. model structure III, introduces instead of a static third pool a decomposing third pool. The dynamics of the *R* pool in Eq. (5) now are similar to *O* in Eq. (2):

$$R_{(t)} = \left(R_{(t-1)} + \varphi_{O(t-1)}\right)e^{-k_R r} + \varphi_{O(t-1)}e^{-k_O r} \qquad (6)$$

$$\varphi_{O(t-1)} = h_2 \frac{k_O\left(O_{(t-1)} + \varphi_{Y(t-1)}\right)}{k_R + k_O} \qquad (7)$$

This modification adds two more unknown parameters to the initial model, namely k_R and h_2 (table 2).

A third modification of structure I, i.e. model structure IV, modifies the basic set of equations with a single, aggregated term to account for the effect of ”young” substrates on microbial dynamics and therefore on decomposition rates. We modified Eq. (1) and (2) by adding a term α in the exponent of the decomposition function according to Wutzler and Reichstein (2013). Since the fluxes from the slower and older pool are small compared to the flux from the younger pool we approximated the system by neglecting the former in calculating α as already suggested by Wutzler and Reichstein (2013). The resulting equation defining α is:

$$\alpha_{(t)} = \max\left(0{,}1 - \frac{\beta}{k_Y\left(Y_{(t)} + i_{(t)}\right)}\right) \quad (8)$$

where β represents a lumped term aggregating microbial limitations on decomposition (Wutzler and Reichstein 2013). The term α is introduced as a modifier for both k_Y and k_O. The denominator represents the maximum possible microbial uptake, which is the total flux from *Y* to *O*. When the flux from the young pool is below the value of β decomposition goes to zero, but when this flux increases above this value decomposition approaches k_Y and k_O.

This model structure adds one more unknown parameter (Table 2). Finally, model structure II was extended by a substrate control as in structure IV to give structure V. All model structures were run in annual time steps.

For model structures III and IV, with a substrate interaction term, an alternative MRT could be defined as $\frac{1}{k \cdot \alpha}$. Although, since its discussion goes beyond the scope of this manuscript, we did not consider such definition for our results, we reported it in order to better explain the numerical effect of Eq. (8) on MRT.

2.5 Model structure for $SO^{14}C$

Each model structure was extended by running a separate system of equations for $SO^{14}C$. With the introduction of $SO^{14}C$, the number of parameters increases (Table 2). We calculated the ratio of ^{12}C /^{14}C from the pMC value according to the definitions given in Stuiver and Polach (1977), and calculated from this ratio the mass of ^{14}C. We set the $\delta^{13}C$ normalization factor at -26‰, close to that of a typical C3 soil. Most parameters were assumed to be the same as for SOC except for the initial distribution of the $SO^{14}C$ pools which was allowed to vary by using a normal prior distribution centered on the mean of SOC pools distribution and with a coefficient of variation of 0.1.

The radiocarbon decay is considered by adding the term λ, corresponding to $\frac{1}{8265}$ yr^{-1} (Stuiver and Polach 1977), to all decomposition constants which then become $k_{pool} + \lambda$.

We did not consider a time lag between C assimilation and release into the SOC cycle because we are considering an agricultural system with annual plants. These plants have a physiological time lag of few hours (Kuzyakov and Gavrichkova, 2010) and eventual storage compounds are released at the end of the cultural cycle, which is in most cases less than one year. The years during rotation where leys are present are few (Oberholzer *et al*. 2014). With the annual resolution utilized in this study the time lag could therefore considered being negligible.

The effect of the two data streams (SOC and $SO^{14}C$) on the calibration of the model structures has been tested by introducing an arbitrary weighting term. This value, between 0 and 1, acts in the Bayesian calibration to modify the variance of the probability distributions representing the two time series. When the weighting term tends to one, the variance defining the SOC probability distribution tends to zero while for the $SO^{14}C$ probability distribution it tends to infinite (S1). This alters the weight of that particular time series on the joint posterior distribution of the calibrated values. The precision of the $SO^{14}C$ data stream tends to zero and so it does not influence the calibration. When the weighting tends to zero, the opposite applies.

In order to better capture the effect of adding the information contained in the $SO^{14}C$ data stream in the calibration, we run all the calibrations over a gradient of such weights (with discrete values 0.05, 0.175, 0.350, 0.500, 0.650, 0.825, 0.950).

Since the two data streams are not homogeneous, this weighting term is considered as an empirical evaluation of the sensitivity of the model. It is an effective method for assessing the relative effect of the information from either isotope and offers more detail compared to testing only for the two options (SOC only and SOC + $SO^{14}C$) separately.

2.6 Considering kinetic isotope effects in soil

A possible differential loss of $SO^{14}C$ compared to SOC, caused by kinetic isotope effects (Tsai and Hu, 2013), is accounted for by the standard normalization of ^{14}C values for $\delta^{13}C$. Since every process that possibly causes a variation of the ^{13}C content from the moment that the CO_2 was fixed might be assumed squared on ^{14}C (Stuiver and Polach 1977), the normalization considers any process that can influence the C signature. This normalization relies on the assumption that the $^{13}C/^{14}C$ ratio in nature is stable, since every molecule

originates from atmospheric CO_2 which is supposedly homogeneous in open air. The Suess effect, a change in the atmospheric isotopic composition triggered by the burning of fossil fuels (e.g. Francey *et al.*, 1999), does not represent in this sense a problem since the ^{14}C values are calibrated over atmospheric time series. Errors in the correction might be introduced by eventual local hot spots (e.g. industrial contaminations) for the atmospheric $^{13}C/^{14}C$ ratio. Our site, located at few kilometers from any major industry and hundreds of meters from any building, should be relatively free from local contamination sources and the closeness of the site to the measurement of atmospheric ^{14}C time series should account for regional variations. Nevertheless, we considered the possible error associated with these assumptions by allowing the initial ratios of the ^{14}C pools to vary slightly for ^{14}C by assigning a normal prior distribution to them, centered on the SOC ratios with deviation corresponding to 1% of these values.

2.7 Climatic and edaphic variables

The parameter *r* in Eq. (1) and (2) in the original ICBM calibration (Andrén and Kätterer, 1997) aggregates all the influences on SOC from soil type and climate. It was originally conceived as a constant, but it has been used also as a response variable connected with climatic and edaphic factors (Andrén *et al.*, 2012). We decided to consider *r* according to the following equation:

$$r_{(t)} = r_{Temp(t)} \cdot r_{Moist(t)} \cdot \varepsilon \qquad (9)$$

where r_{Temp} and r_{Moist} are the decomposition rate modifiers due to temperature and soil moisture, respectively and ε is an error term.

In this particular case we included proxies for soil temperature and soil moisture and we selected the two climatic functions from the CENTURY model (Parton *et al.*, 2001; Bauer *et al.*, 2008), since they adapted well to the data available for this experiment. The temperature function was adopted as following:

$$r_{Temp(T)} = 0.560 + 0.465 \cdot \arctan\left(0.097 \cdot \left(T - 15 - 7\right)\right) \qquad (10)$$

while the moisture function was adopted as following:

$$r_{Moist(\theta)} = \left(1 + 30e^{\left(\frac{-8.5 \cdot PPT}{PET}\right)}\right)^{-1} \quad (11)$$

where T is soil temperature (° C), PPT is the sum of stored water and precipitation, in our case approximated to total accumulated precipitation for the reference period due to the nature of our dataset and PET is the potential evapotranspiration (Primault, 1962). The term ε has been described with a uniform distribution between -0.5 and +0.5.

Meteorological data were obtained from the Swiss Federal Research Station for Agroecology and Agriculture Zürich-Reckenholz (FAL), located at less than 100 m from the ZOFE experiment.

In order to maintain comparability of results with the original ICBM model, r has been normalized with its mean value as $r_{norm(t)} = \frac{r_{(t)}}{r}$, therefore making it vary around 1. The normalization, together with the introduction of the ε term in Eq. (9), reconciles the climatic functions with ICBM. The resulting variation of the r_{norm} term is pictured in S2. Since we are comparing three treatments in the same field we do not need to take into account any difference in climate between the plots, and we can use the climatic parameter only to account for variability in the data that might be due to inter-annual climatic variation.

2.8 Model calibration, initialization and prior assumptions

Given the close interactions between the kinetic parameters a deterministic optimization algorithm might miss possible equifinality (Beven, 2008). We therefore relied on a Metropolis-Hastings algorithm (in the implementation of JAGS, Plummer 2003). The likelihood function utilized was the default one in JAGS, which according to a formal Bayesian statistical framework utilizes a Gaussian shape.

We assumed that the parameters defining the SOC pools (namely k_{pool}, h_{pool} and the initial pool distribution) were the same for all treatments. Every calibration has been run in 4 separated Markov chains, and the convergence of the chains has been assessed visually through the use of Gelman's plots (Brooks and Gelman, 1998). Each chain was calibrated with a first adaptation period of 10000 runs of which 5000 have been discarded as burn-in period, and then 100000 search runs. The chains always showed reasonable convergence.

Priors for the rates (k_{pool}) have been considered as normally distributed, with mean value coming from Andrén and Kätterer (1997) and deviation set to half of the mean value. The mean of the prior for k_O has been set considering it as a fixed ratio of the value of k_Y. Also this ratio (0.075) has been calculated from Andrén and Kätterer (1997). The priors for h_Y have been considered normally distributed. Mean values to represent the different input qualities were calculated as averages of all the scenarios reported in Kätterer *et al*. (2011) as following. By assuming the composition of the young pool being similar to the inputs, we chose the prior value for h_Y for the control and the mineral fertilizer treatments as 0.185 (which is the average for roots and shoots) while for the farmyard manure the chosen value was 0.265. We have chosen for this parameter stronger prior distributions by setting its deviation to 10% of the mean value. In the third model structure the h_O prior has been set as an uniform distribution between 0 and h_Y.

Priors for the initial distribution of the SOC pools were considered uniformly distributed between 0 and 100% of initial SOC but constrained by the mass balance, i.e., the sum of SOC mass in all pools should add up to 100% of initial SOC. Priors for the initial distribution of the pools for $SO^{14}C$ were generated with a uniform distribution using the portion of total SOC pools as mean and variance set to 1% of this value.

2.9 Model comparison and selection

Following the same principle of simplicity maximization on which we built the whole study, we selected the Akaike information criterion (AIC) to estimate the information content of the model structures. The AIC has been calculated as:

$$AIC = 2p + n \cdot \log\left(\frac{RSS}{n}\right) \qquad (12)$$

where *p* is the number of parameters, *n* is the number of samples and *RSS* is the residual sum of square of the model.

The use of the *RSS* in Eq. (12) is a simplification, since it is a metric only proportional to the likelihood. The difference lies in the lack of one integration constant. Since the AIC is used in this study only for a relative comparison between model structures, we considered this approximation justifiable. The use of the AIC rather than RMSE for measuring model

performances can capture how the different model structures react to the introduction of the additional stream of information, i.e. $SO^{14}C$, by acting as a structure-dependent normalization, allowing for a performance comparison between different structures. Also the best weighting parameter representing the partial weight of SOC and $SO^{14}C$ data has been selected according to the smallest AIC.

The choice of the AIC is motivated by its simplicity (explicit also in the intention of his author, Akaike, 1974), and by the consideration that we are comparing models over exactly the same number of samples (Burnham and Anderson, 2004). But since the choice of any model performance indicator is highly subjective, we also calculated for all the models the deviance information criterion (DIC, Plummer, 2008) for comparison with the AIC.

3 Results

3.1 Effect of the SOC data stream on model performances

In general the addition of the $SO^{14}C$ data always improved the performance of the calibrations until a certain optimal point. This effect was similar for any of the different model structures, and an eventual relative advantage of one structure above another in considering information from $SO^{14}C$ data was not evident. The improvement increased for every structure up to a partial weight of 0.35, and then worsened marginally when moving forward toward a higher weight of $SO^{14}C$ data (Fig. 1). However, the decrease in performances was dramatic when moving towards a bigger relative weight of $SO^{14}C$ data.

The introduction of the $SO^{14}C$ data stream in general decreased the uncertainty of the parameters until an optimal weight for all the models without a substrate interaction (structures I, II and III), and the average coefficient of variation of the parameters followed a general pattern similar to the average AIC (S3). For the structures including substrate interaction (VI and V) the pattern was oscillating in a more complicated way, making it impossible to identify any consistent trend. The RMSE (Fig. 2) of the model structures was closely related to the AIC but with different relative values for the different structures.

3.2 Optimal model choice

Overall, the "best" model structure indicated by the AIC to best describe our data was the basic ICBM, structure I (Fig. 1). This is particularly true for the FYM treatment (with highest SOC), which was the treatment best described by all our model structures.

The average RMSE was similar for all model structures, but there were small differences. Unexpectedly, structure III did not present the lowest average RMSE among all structures (Fig. 2), although it has the highest number of parameters. Structure II was the one which performed the best in terms of RMSE.

We compared these five structures also through DIC, which was 591.9 for structure I, 579.9 for structure II, 593.8 for structure III, 603.1 for structure IV and 591.9 for structure V. Also the DIC indicated better performances of simpler structures and it indicated structure II as the best model. However, it did not indicate any difference between the second and third best choice (structure I and V) and differences were not as evident as when using AIC.

3.3 SOC distribution and kinetics in the ZOFE experiment as estimated by different model structures

The MRT (Fig. 3) of the old pool, according to structures I and II, were 95.09±0.1 and 78.9±0.1 years, respectively, while the ones for the young pool were 5.9±0.1 and 5.3±0.1 years, respectively. Owing to the introduction of an additional term, modifying the kinetic in relation to the amount of young substrate, the results differ for structures IV and V. Here, MRT results were 14.9±0.95 and 16.8±0.5 years for the old pool and 0.9±0.3 and 1.0±0.3 years for the young pool, respectively. Structure III determined pool definitions similar to structure I and II; and in this case the MRT was 98.9±0.10 years for the old and 4.2±0.1 years for the young pool. The third, “recalcitrant” pool in structure III revealed a MRT of 477.98±0.7 years. Simulation results are shown only for structure I (Fig. 7) and II (Fig. 8), and for structure II, III and V in S5, S6 and S7.

The estimated size of the initial pools did not vary much among the selected model structures (Fig. 9). The amount of carbon in the young pool ranged from 15.37±1.64 Mg ha^{-1} (structure I) to 11.37±1.50 Mg ha^{-1} (structure III). The amount of carbon in the old pool ranged from 22.70±1.59 Mg ha^{-1} (structure I) to 20.28±1.74 Mg ha^{-1} (structure IV) for structures considering only two pools, while it ranged from 25.25±1.39 Mg ha^{-1} (structure II) to

23.00±1.70 Mg ha^{-1} (structure III) for structures considering three pools. As evident from Figs. 3, 4 and 5, these results are also strongly dependent on the choice of the weighting parameter between the SOC and the $SO^{14}C$ data streams.

All the tested model structures, and within all the tested values of the weighting parameter, inferred a change right after the land use change in the ZOFE trial. In all treatments without amendments, the young pool decreased rapidly within a few years after conversion from grassland to FYM and mineral fertilization. In structures I this decrease was more dramatic, while more complex models (II, III, IV and V) could describe the observed trends as more gradual thanks to the additional number of parameters.

3.4 Effect of the C data stream on the kinetics of SOC pools

During calibration all model structures seemed to react to the $SO^{14}C$ data by reducing decomposition rates and humification coefficients, i.e., the introduction of $SO^{14}C$ decelerated C turnover. For structure I the effect of adding the $SO^{14}C$ data seemed to slow down the decomposition of both pools (Fig. 4). This decrease was associated with a decrease of the humification coefficient, hence reducing also the flux of material that goes from a faster to a slower pool. At the same time the relative size of the slower pool decreased. For structure IV (Fig. 4) the addition of a substrate interaction term made the decrease in speed of C cycling associated with the introduction of $SO^{14}C$ data more dramatic and in some specific cases more difficult to interpret, but in general, the trend was similar. In structures with a third inert pool, II and V (Fig. 5), trends were replicating those with only two pools. Structure V presented a pattern very similar to structure IV. The inert pool proportion increased with the increase of the weight of $SO^{14}C$ data. Also results from structure III (S5) indicate a consistent reduction in the speed of C cycling with the introduction of the $SO^{14}C$ data. In general we can affirm that the inclusion of the $SO^{14}C$ data decreased the size of the slower O pool while it increased the residence time of both Y and O pools.

4 Discussion

4.1 Modelling the kinetics of SOC pools

None of our tested model structures could represent consistently both data streams at the same time. For the $SO^{14}C$ value measured in 1973, every model structure under-predicted the

isotopic value of SOC particularly for the low input treatment. Conversely, the last $SO^{14}C$ point, measured in 2012, was consistently over-predicted by every model structure. This suggests that all our model structures are still failing to represent some key process related to SOC decomposition.

The use of the radiocarbon bomb peak to constrain SOC turnover models, although in use since decades (Trumbore, 1989), has often raised similar controversies. The implicit inclusion of ^{14}C data in C models through mass balance functions produced discrepancies between modelled and measured values in a recent study by Shirato *et al.* (2013). In another study (Rethemeyer *et al*., 2007) this approach was judged as a viable option. The explicit consideration of ^{14}C pools did not offer in this sense any advantage over implicit models. Braakhekke *et al*. (2014), using a soil profile model, found that the addition of $SO^{14}C$ data as new constrain produced an increase in the uncertainty of the SOC stocks in the individual layers, while improved just marginally the total SOC stock estimate. Ahrens *et al*. (2014) utilized $SO^{14}C$ data to constrain an isotopically explicit single layer model in a situation where data about SOC kinetics were scarce. In that case the problem of model initialization was partially solved with additional information coming from ^{14}C, but the high uncertainty of the considered system did not make it possible to determine if one site was losing or gaining carbon, and the strong interaction between MRT and deviation from the steady state made evident a trade-off between estimates with and without using $SO^{14}C$ data.

One of the possible reasons for the recorded discrepancies in the estimates from models conditioned with and without $SO^{14}C$ data might be the absence of microbial dynamics in SOC stabilization (Riley *et al.*, 2014). Ahrens *et al*. (2015), with a rather mechanistic model, recently suggested that a control on biologically mediated depolymerization can explain alone some of the observed discrepancies. But the performances of structure IV and V on our dataset, lower in terms of AIC compared to the simpler structures I and II, did not allow us to confirm such a hypothesis. Another possible explanation for the discrepancy between models and measurements is the presence of recalcitrant and old organic carbon not well captured by our model structures. Structure II was selected by the AIC, while structure III, although not performing best with AIC due to the high number of parameters, presented a good RMSE. Compared to the basic structure I both these structures introduced an additional slow SOC pool. Some form of chemical recalcitrance cannot therefore yet be ruled out.

In our study we focused on the optimal utilization of the information contained in $SO^{14}C$ data together with the minimization of model complexity. We found a relevant improvement of the overall model performances when also $SO^{14}C$ data were introduced but only until an optimal weight, while beyond that weight model performances decreased substantially. It is difficult to generalize our optimum as a general recommendation since it also depends on the density of the two data streams, but our results suggest that the relative weight of the two measurements is an additional parameter that must be considered and optimized whenever the $SO^{14}C$ data are used for model constraining.

A generalizable and detailed mechanistic understanding of SOC stabilization is not yet available, and SOC models are still facing a deep parametrical and structural uncertainty. According to some authors (e.g. Beven, 2002) such uncertainty is inherent to the nature of ecosystem modelling, and needs to be accepted and considered in developing new methodologies. In this perspective we adopted a pragmatic approach to determine the optimal weighting factor, which turned out to be a crucial step with large impact on modelling results.

4.2 SOC dynamics in the ZOFE experiment as estimated by different model structures

All the model structures indicated a rapid decrease in the young pool following the conversion from grassland to cropland. This means that the annual inputs under the new management were too small to replenish the C in the former young pool while most of the material is either decomposed or humified in the old pool. This is not unlikely since also by-products, like straw, are removed, and the inputs from the cropland management are greatly reduced compared to a low-intensity grassland (Rumpel *et al*., 2015), where a lot of the net primary productivity is either retained or returned in form of excrements. Furthermore, the disruption of the soil structure that formed under permanent grassland caused by the conversion may have released and subsequently mineralized largely undecomposed organic matter, such as particle or light fractions previously protected inside aggregates (Six and Paustian, 2014). After this re-equilibration of the young pool, the slower but constant decrease in the total SOC was explained by all the models with a slow but constant decrease in the old pool, missing the inputs previously received from a bigger young pool. All our model structures indicated that the considered treatments in the ZOFE experiment are all still far from a new SOC equilibrium.

The error in the simulated $SO^{14}C$ might be due to an overestimation of the speed of the C cycle. Nevertheless the fact that more complex model structures (IV, V and III) did not present any advantage over simpler (I and II) structures makes it difficult to judge the weight of the two represented processes (stabilization of SOC, represented by an additional "inert" pool, or substrate feedbacks). The same discrepancy in predictions might also be caused by a systematic underestimation of the inputs. Except for the highest input treatment (FYM), the posterior probability distribution for the assumed input error term (S4) was always skewed toward the upper limit. This suggests some kind of systematic error concentrated in the lower end of the input range. Hence, the application of linear allometric functions to estimate carbon inputs from yields, as adopted here, must be treated with caution. The relatively symmetric distribution (and in general lower value) of the input error term for the FYM treatment in structures I, II and III points out that model structures not considering substrate interactions might be more robust in cases of input uncertainty.

Another possible reason for the error in model predictions might be the nature of the error in the $SO^{14}C$ series. This has been estimated by Leifeld and Mayer (2015) from the last time point and subsequently extrapolated to the whole time series, assuming therefore normality and homoscedasticity over time. These assumptions might not always hold in soil systems, and this would be particularly crucial in the case of the 1973 point in the control treatment. Further investigation, focused in particular to the belowground production in the ZOFE experiment, is needed for determining the reasons for such error.

4.3 Initial SOC distribution and MRT of SOC pools in the ZOFE experiment as estimated by different model structures

Our results for the kinetic parameters are in general in the same order of magnitude than what was reported in the literature (Andrén and Kätterer, 1997), although the introduction of the $SO^{14}C$ forced a deceleration of the C cycle.

The estimation of MRT strongly depends on all the assumptions in the model structure, and the high uncertainty around what might be the "best" structure is pointed out by the disagreement of the different criteria used for selection, which highlights the fact that there is no true model (or that "all models are wrong", Box, 1976). The combination of several structures, although difficult to perform in practice (Refsgaard *et al.*, 2006), might therefore represent a reasonable option and deserves further attention.

The MRT estimates (Fig. 3) depend on the introduction of a substrate control term in the model structure, but once this was accounted for it seemed quite robust. We must consider here that the introduction of a substrate control term as described by Eq. (8) modifies the definition of the decomposition constants, and therefore the MRT calculated accordingly. When introducing also the term α in the calculation of MRT this ranged between 2.8 and 3.1 and 46.0 and 54.5 years for young and old pool respectively, so not far from what indicated by the other structures. A detailed discussion about the MRT definition is outside the scope of this study, but here we want to make clear that a direct comparison of the MRT between these two groups of structures according to a common definition would not be meaningful and the differences in the model structure must be accounted for.

Model initialization seemed quite robust, with values substantially not differing between models with the same number of pools.

4.4 Balancing the bias/variance dilemma in SOC modelling

As suggested by the multiple structures evaluated in this study, the conceptual nature of SOC pools makes their definition volatile. Each pool is a theoretical construction defined specifically by assumptions at the level of model structure as well as model calibration.

Some attempts have been made to reconcile a definition of C pools with real measurements. For example the well-established forest model Yasso (Liski *et al*., 2005) bases its calibration on data from chemical litter fractionation, which gives the initialization values for the different C pools. But the fractionation behind Yasso might seem questionable in agricultural soils where inputs are often homogenized with the mineral fraction and less, if at all, identifiable. In more homogenized mineral topsoils the main obstacle to this approach is that available fractionation methods do not reflect precise stabilization processes (von Lützow *et al.*, 2007). One of the most promising recent attempts to develop a non-theoretical quantification of SOC pools in agricultural/mineral soils is the one by Zimmermann *et al*. (2007), which tried to develop a measurement standard for RothC (Coleman *et al.*, 1997) pools. All these methods share in common the risk that correlations between the measurements and the theoretical pools might be strongly localized (or difficult to reproduce, Poeplau *et al.*, 2013). This is not surprising given the complexity of SOC stabilization mechanisms (Kleber *et al.*, 2011). Indications are that stability should be considered as an intrinsic property of the soil ecosystem (Schmidt *et al.*, 2011) and thus local. It is therefore

problematic to generalize a fractionation methodology that reflects in detail SOC stabilization processes, which would in turn define SOC pools.

Hence, we still need to aggregate the available information in a theory of SOC decomposition that is simple enough to be generalizable. This way the model structure represents the SOC decomposition processes in an aggregated (and simplified) way that is compatible with the amount of knowledge at disposal. The challenge of conciliating predictive power, and therefore practical value of our models, with accuracy is the formulation of the bias/variance trade-off as found in modern soil science.

As suggested from our dataset, which although not perfect is already relatively rich in information and not far from the best possible conditions available for soil carbon modelling, the information available for inverse modelling discrimination still seems insufficient to validate models that are too mechanistic. A possible improvement could be the inclusion of data from deep soil layers, and the extension of the model to represent spatial processes. In general, we would expect a better resolving power of the data by adding new constrains to the model, although this would be also dependent on the additional assumptions needed to include another dimension. Testing this approach was however out of the scope of the present study, but foreseeable in the near future.

5 Conclusions

The SOC in the ZOFE experiment underwent a profound decrease after the initial land use change from grass- to cropland. This decrease was described in the first years by all our model structures as a fast re-equilibration of the young pool, which decreased rapidly after a reduction of the inputs and/or an increased mineralization and caused in consequence a slower but constant decrease in the older pools. In the long term, treatments not receiving organic fertilization were still losing C even more than 60 years after land use change. The estimates of the MRT in the ZOFE experiment were robust once accounted for differences inherent to the model structures. Comparable model structures (in particular I, II and III) were relatively in agreement, and the influence of the number of pools on MRT was instead quite limited.

The introduction of $SO^{14}C$ data during calibration improved performances of all model structures and reduced the uncertainty of the parametrization. It also made clear the existence of a trade-off between representing the information from $SO^{14}C$ and SOC when utilizing a

multi-pool SOC model structure. None of our five structures seemed able to reconcile consistently the two data streams. This suggests the presence of processes that were implicit in the $SO^{14}C$ data stream but not well described in our model structures, which caused the information from the $SO^{14}C$ to have a strong impact on the results. We therefore suggest the explicit consideration of a weight associated with each data stream as a routine procedure whenever $SO^{14}C$ data are considered as an additional model constrain.

In our data set, the best model performances were achieved by the two simpler models, pointing out that the data available do not allow for a more detailed mechanistic SOC modelling. Although processes based on interactions of part of the substrate with the decomposition kinetics might explain the observations, recalcitrance inherent to the substrate (corresponding to the adoption of a slower additional decomposing C pool) remains a valid alternative in explaining the data.

6 Data availability

All the data on which this study is based are published in previous studies and the sources are cited in the text.

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Table 1: The treatments considered in this study. †= kg ha^{-1} y^{-1}, ††= Mg ha^{-1}, ♣=from organic amendment. [a]=1949-1980, [b]=since 1981, [c]=1949-1990, [d]=since 1991. All soil values refer to 0-25 cm depth interval.

Treatment	Annual input						Initial SOC††	Final SOC††
	N†	P†	K†	Mg†	Fertilizer C†	Estimated total C†		
Control	0	0	0	0	0	580	38.75	24.28
$N_2P_2K_2Mg$	108[a]/139[b]	61[c]/38[d]	318[c]/167[d]	12[a]/56[b]	0	1350	38.75	27.05
Farmyard Manure	91♣	24♣	65♣	31	2500	3621	38.75	31.70

Table 2: Summary of the model structures tested in this study (considered here in their basic forms for total C only and for the two isotopes together.

	Struct. I	Struct. II	Struct. III	Struct. IV	Struct. V
Description	Two pools	Two pools + Inert	Three pools	Two pools + substrate control	Two pools + substrate control + Inert
Parameters (SOC)	4	5	7	5	6
Parameters ($SOC+SO^{14}C$)	4+1	5+2	7+3	5+1	6+2

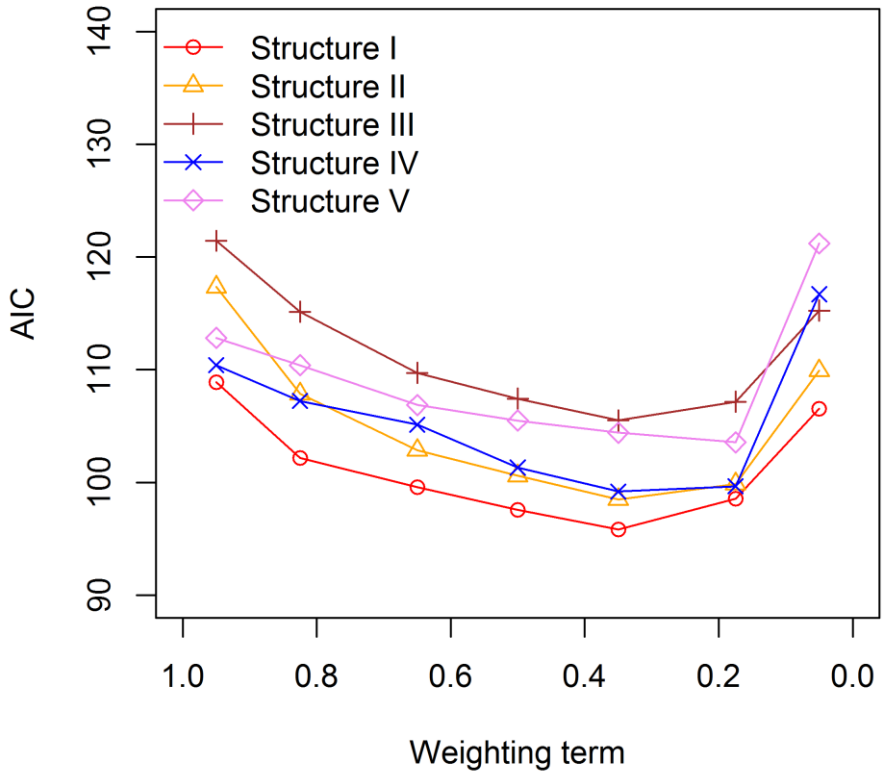
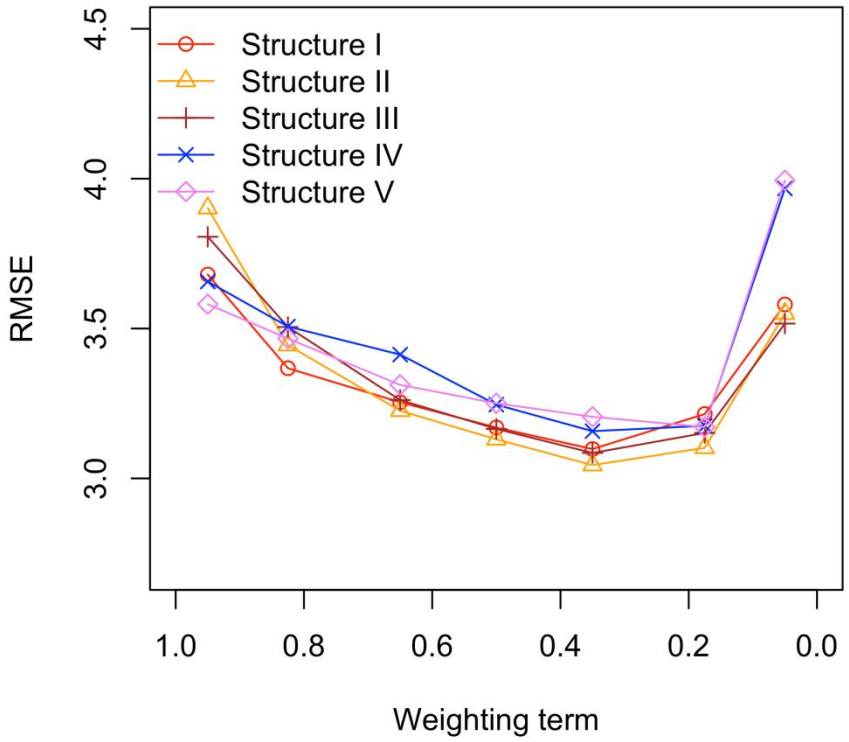


Figure 1: Average of the AIC among all the three treatments for the five model structures with the variation of the relative weight of $SO^{14}C$ over total C. In this scale 1 means only total C, 0 means only $SO^{14}C$.

1



2

3 Figure 2: Average of the RMSE among all the three treatments for the five model structures with the variation of
4 the relative weight of $SO^{14}C$ over total C. In this scale 1 means only total C, 0 means only $SO^{14}C$.

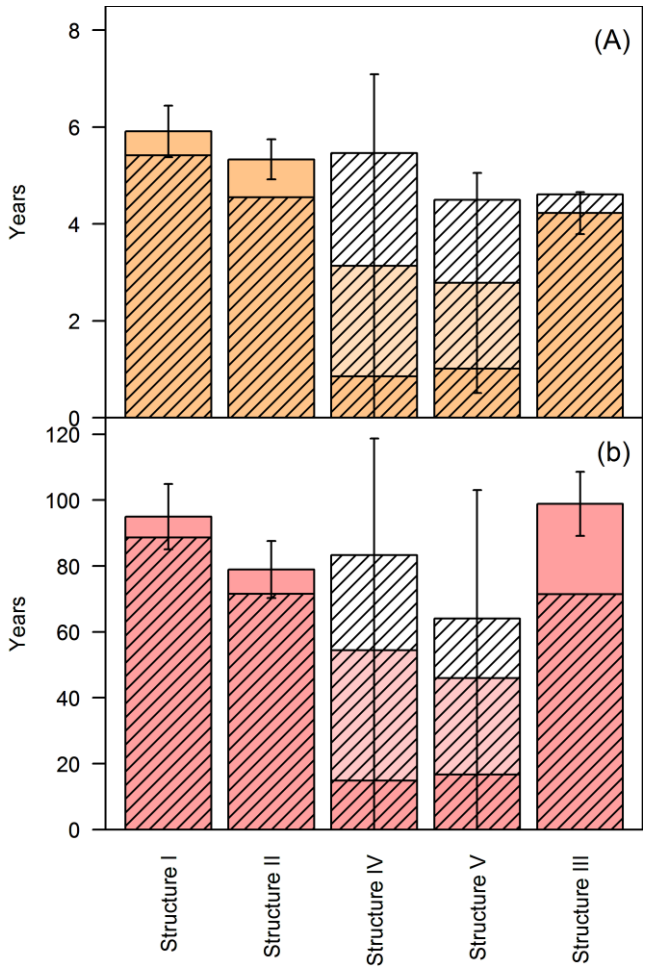


Figure 3: MRT of the young pool (A) and old pool (B) of SOC in the ZOFE trial as indicated by the model structures examined, with weighting factor = 0.35 (solid colored area) and weighting factor = 0.65 (shaded area). The solid lighter colored area denotes the MRT calculated (for structures IV and V) according to $\frac{1}{k \cdot \alpha}$, while the darker colored area according to $\frac{1}{k}$, Error bars, reported only for weighting factor = 0.35 for readability reasons, denote the error of the estimate calculated as standard deviation of the whole Markov chain and depends on the model structure, model assumptions and priors.

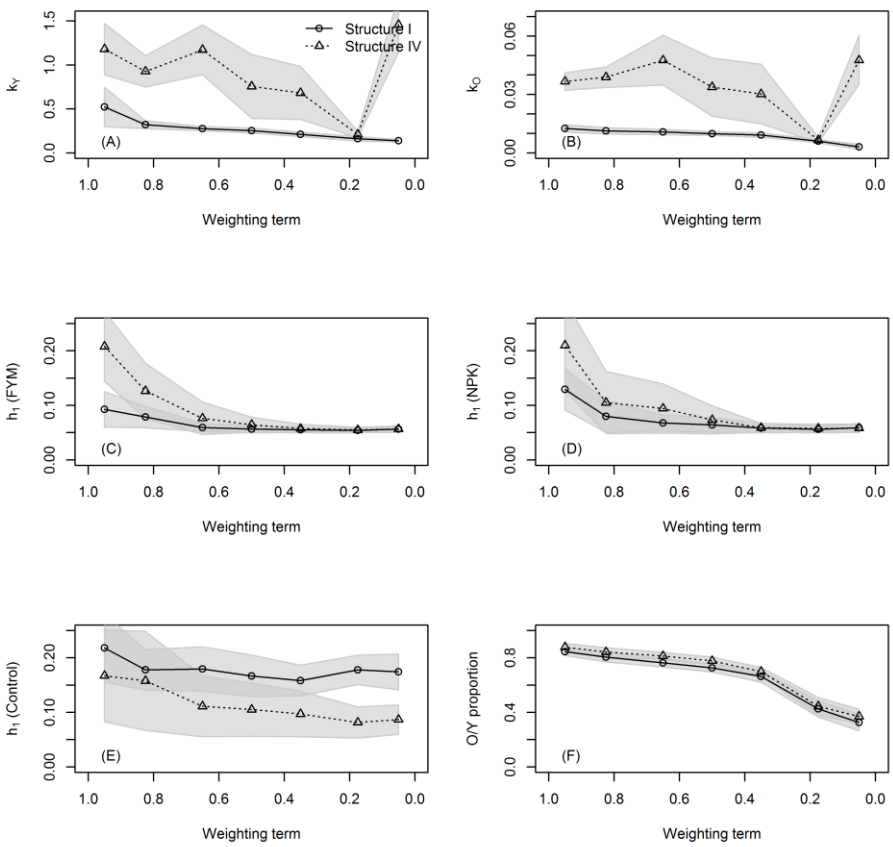


Figure 4: Effect of the $SO^{14}C$ stream over the main SOC parameters in structures I and IV. In this scale 1 means only total C, 0 means only $SO^{14}C$. Letters A to F denote subpanels referring to different parameters. The shaded areas represent the error of the calibrated parameter (calculated as standard deviation of the whole Markov chain).

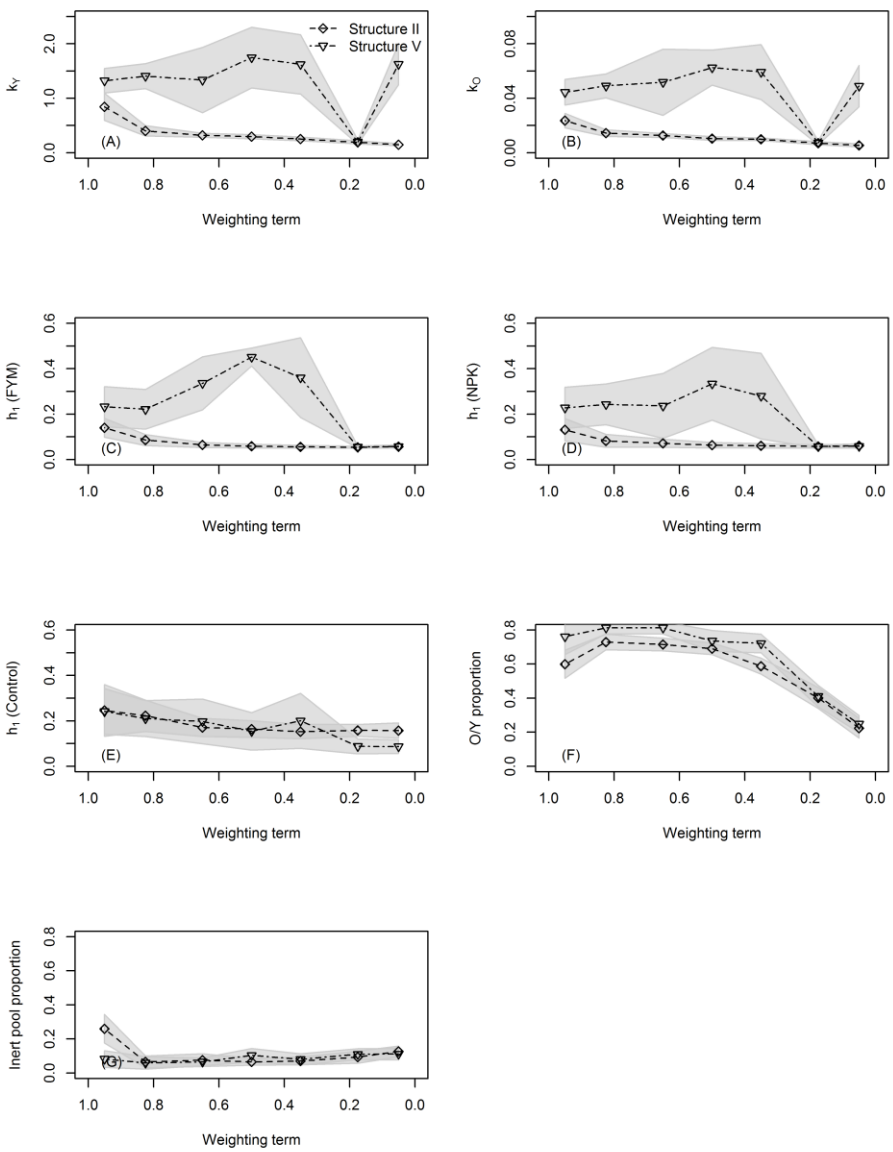


Figure 5: Effect of the $SO^{14}C$ data over the main SOC parameters in structure II and V. In this scale 1 means only total C, 0 means only $SO^{14}C$. Letters A to F denote subpanels referring to different parameters. The shaded areas represent the error of the calibrated parameter (calculated as standard deviation of the whole Markov chain).

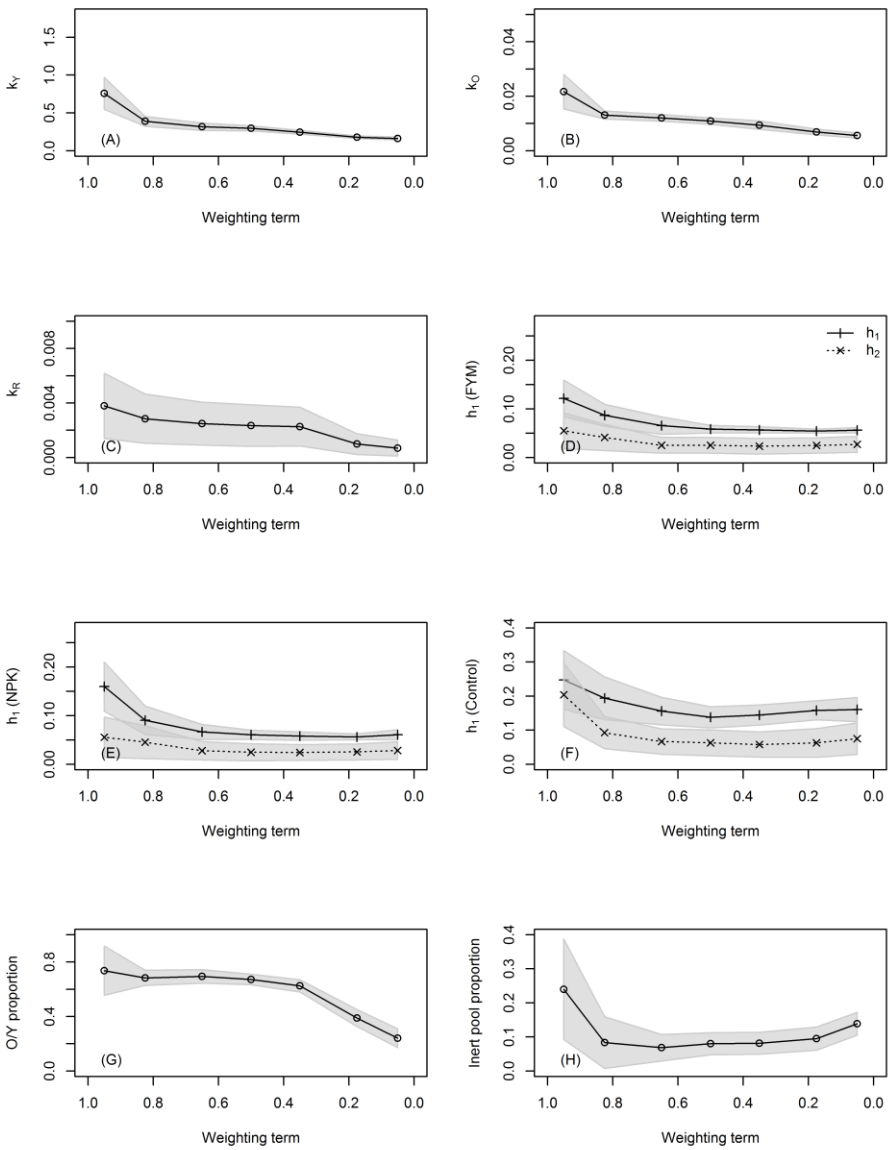


Figure 6: Effect of the $SO^{14}C$ data over the main SOC parameters in structure III. In this scale 1 means only total C, 0 means only $SO^{14}C$. The shaded areas represent the error of the calibrated parameter (calculated as standard deviation of the whole Markov chain).

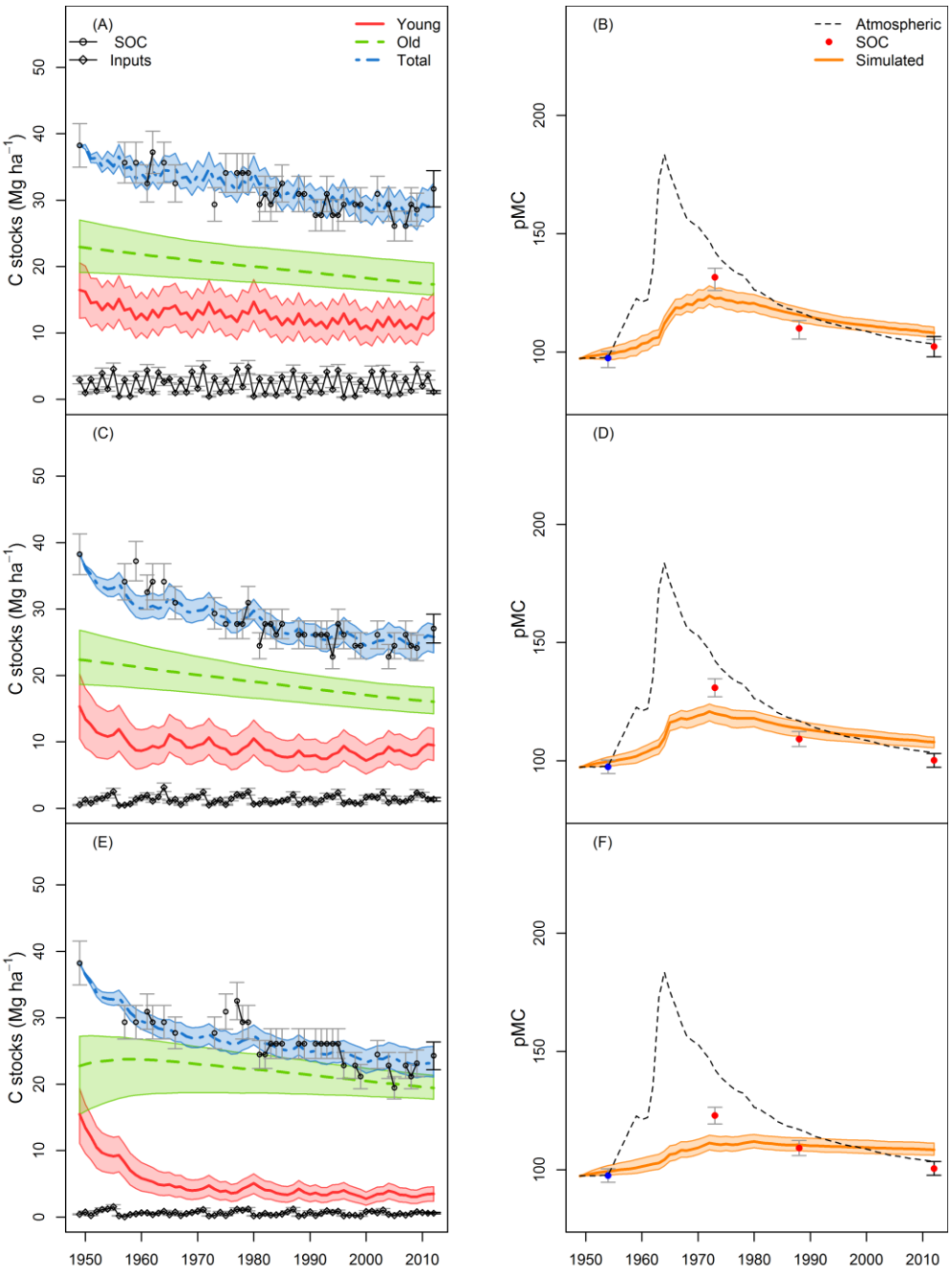


Figure 7: Simulation of SOC pools (A, C and E) and ^{14}C pools (B, D and F) in the ZOFE trial as described by model structure I, with weighting factor = 0.35, together with the measured data. Error bars represent the measured (black) and estimated (dark grey) standard error of the measurements. SOC (A,C,E) is in Mg ha^{-1}, while SO^{14}C (B, D, F) is in pMC.

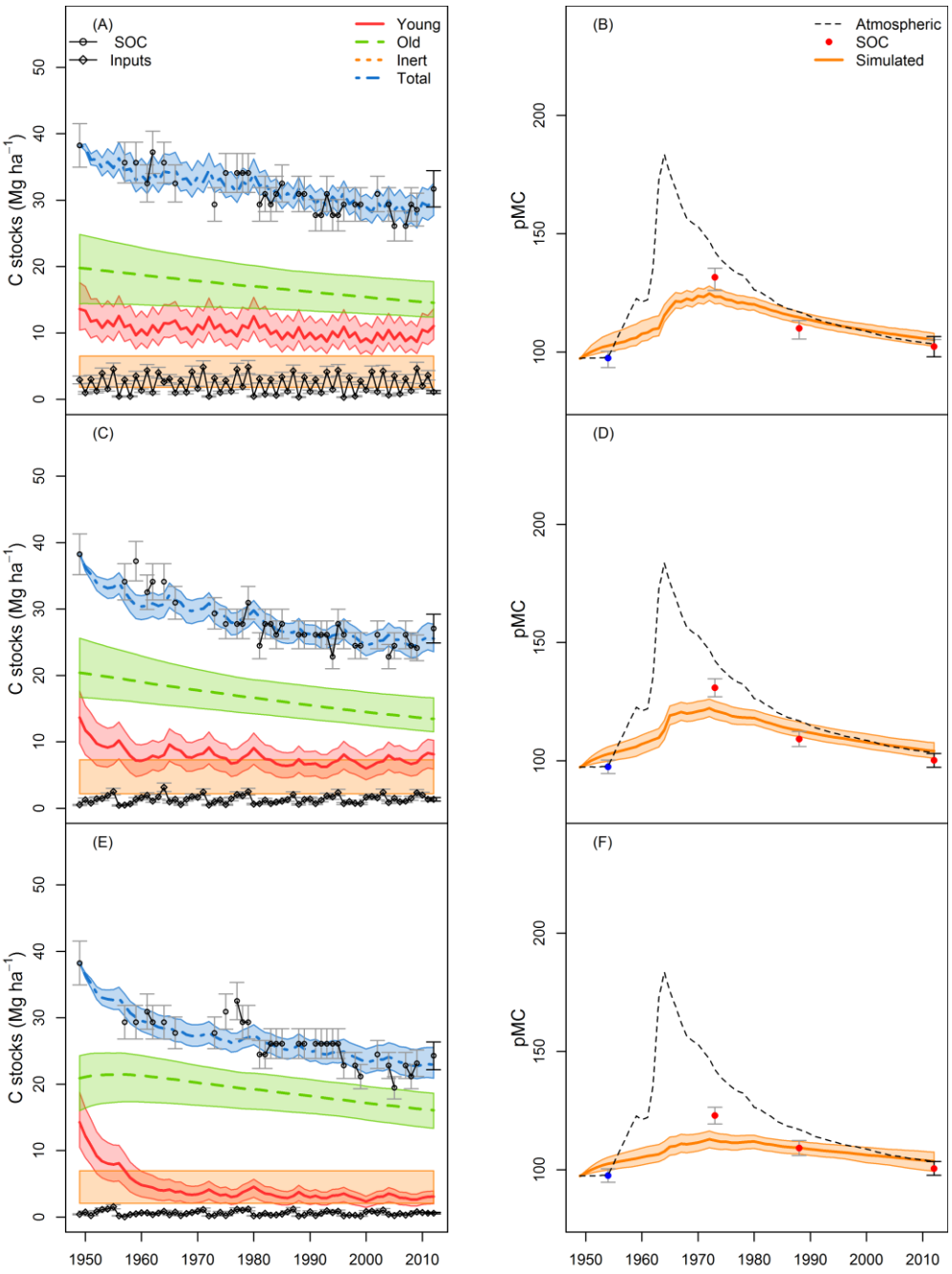


Figure 8: Simulation of SOC pools (A, C and E) and ^{14}C pools (B, D and F) in the ZOFE trial as described by model structure II, with weighting factor = 0.35, together with the measured data. Error bars represent the measured (black) and estimated (dark grey) standard error of the measurements. SOC (A,C,E) is in Mg ha^{-1}, while SO^{14}C (B, D, F) is in pMC.

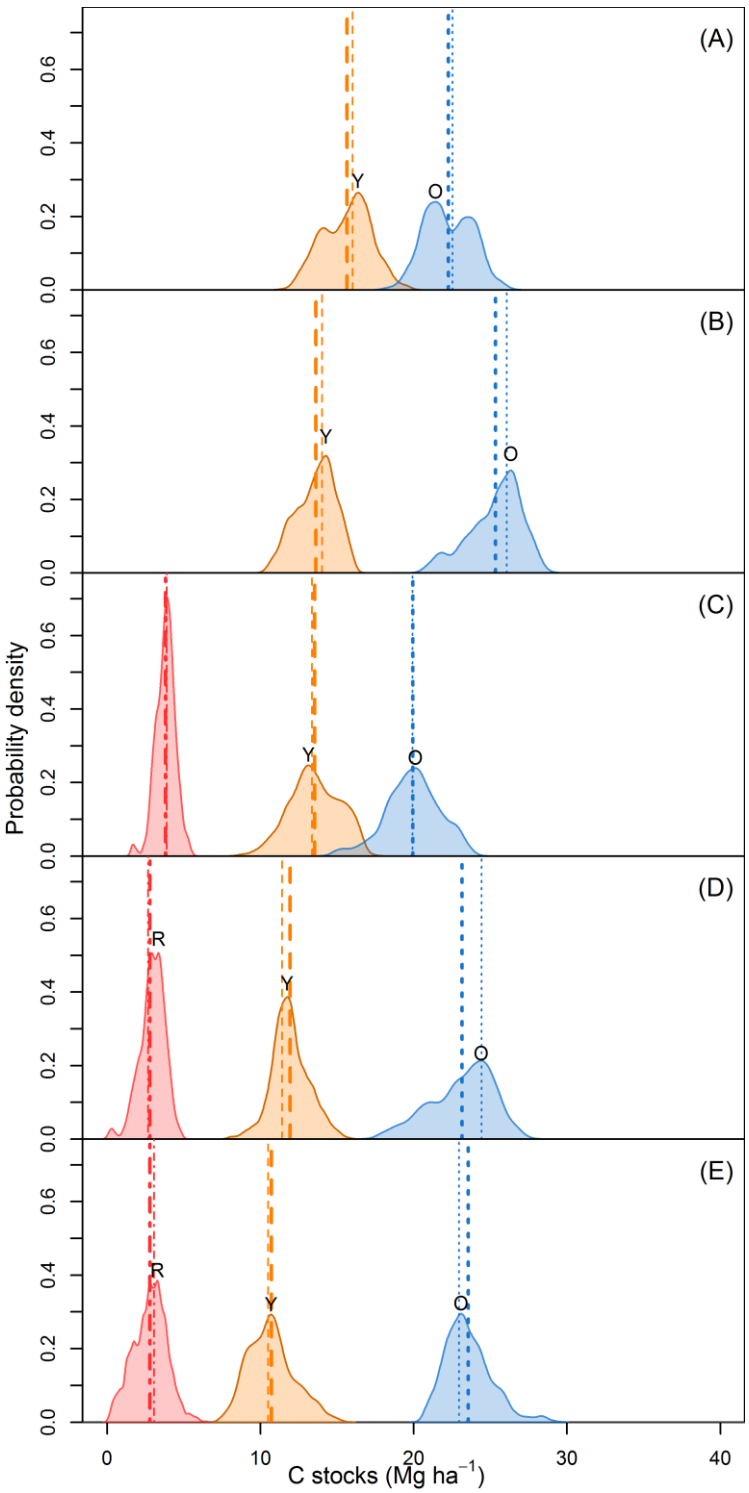


Figure 9: Probability distribution of the initial size of the C pools (Y=Young, O=Old, R=Recalcitrant) in structure I (A), IV (B), II (C), IV (D)V (E), with weighting factor = 0.35. On the vertical axis is depicted the probability density of the parameter (dimensionless) and on the horizontal axis the value of the parameter (in Mg ha^{-1}). Vertical lines are representing the mean value (thick lines) and the Venter estimated mode (thin lines) of the Markov chains.