Dear Editor,

Thanks very much for providing the revision process to our work. Please find enclosed the revised version of the paper, and the responses to all reviewers comments. We have given full response to all Reviewers comments, and highlighted the responses in blue in the new version of the manuscript in order to expedite the revision process. In addition to the modifications suggested by the reviewers, we also improved some parts of the manuscript, rephrased some sentences for the sake of clarity and the quality of the figures. We think that the raised comments by the reviewers have been addressed and now the paper meets the quality standards of the Journal.

Kind regards,

The Authors

Anonymous Referee #2

Dear Referee,

Thanks very much for providing detailed comments to our work. Please find enclosed the responses to all reviewer's comments. We have given full response to all Reviewer's comments, and highlighted the responses in **blue**

Comment 1: In "Predicting carbon dioxide and energy fluxes across global FLUXNET sites with regression algorithms" the authors cross-validate an ensemble of machine learning methods to document the performance of these methods in terms of their spatio-temporal performance. This study is very useful given the role of eddy covariance observations in land-atmosphere studies and the increasing importance of some of the upscaled EC-products in model validation and data analysis. In my opinion the study falls well within the scope of Biogeosciences and addresses a topic that is of interest to the journal's readership. The work underlying the study is of high quality, however, the current presentation can be much improved. If the authors would try to separate the results and discussion, it would become apparent that there is hardly any discussion. Despite the carefully worded objectives, the reader is left with a "so-what" feeling. The way the objectives are worded is too technical and is unlikely to excite many readers. That would be a pity as the results deserve better.

Reply 1: We thank the reviewer for his positive comments on the quality and relevance of our work and more important for the critical view of the structure. We agree with the reviewer that an improving of the manuscript's presentation was needed to make it more exciting and we have followed the reviewer's suggestion to frame the objectives in an attractive way for a broader audience (In 81-91). We have also inserted a paragraph in the conclusion to clarify that this paper presents the backbone of an ensemble of global gridded flux products (Ln 424-425) generated by the FLUXCOM initiative (which it has been introduced in the manuscript's Introduction). We only partially agree with the reviewer that there was hardly any discussion – there was substantial discussion in particular related to methodological aspects, which have played an essential role in the paper. However we have taken the point and we have added some discussion points concerning biogeosciences topics and limitation of proposed approach that, we agree, were missing. We have also separated Results and Discussion in the revised version of the manuscript.

Comment 2: Are you looking for the best method or do you want to quantify the upscaling uncertainty? Both perspectives could be of interest but from the conclusions I understood that all ML results will be archived and that the ensemble will be distributed. If my understanding is correct, this information should already be presented in the introduction. If this is indeed the context of the study, searching for the best ML method becomes less relevant but estimating spatio-temporal patterns in uncertainty becomes even more relevant as users may want to know the uncertainty of the ensemble mean.

Reply 2: We have inserted a paragraph in the Introduction to clarify that the cross-validation experiment presented in this manuscript is part of a project that aims to deploy an ensemble of globally upscaled fluxes (CO_2 and energy) using data-driven models (FLUXCOM). As correctly pointed out by the reviewer, most users could be interested in using the ensemble median of different machine learning methods; therefore, we focused the paper on the performance of the median ensemble. This point was clarified in the new Introduction section as follows (In 84-85 in the manuscript):

"We focused in particular on the ensemble median prediction because the ensemble median global product will likely be used extensively".

As consequence, the section showing the consistency among predictions by different machine learning methods were largely reduced in the new results section as follows (In 287-289):

"However, the output provided by MLs methods showed high overall consistency among them, that increased when predictions were obtained by different MLs trained with the same experimental setup (RS else RS+METEO; for more details see Appendix B and Table B1)"

and the detailed contents moved in Appendix B.

Comment 3: Also, the reader may want to know how much the uncertainty can be reduced by adding remote sensing and meteorological information in the upscaling process.

Reply 3: This is an interesting and important question for which we have designed the two different experimental set-ups. It is a particularly important question because the use of information from in-situ measured meteorological data implies a trade-off with introducing additional uncertainty inherent the gridded meteorological data needed for the global flux products (as discussed in different places in the manuscript). The comparison between ML based on only satellite drivers and in situ meteorological ones is a key point of our manuscript and it was targeted discussed in a (new) discussion section of the revised manuscript focused on the ML experimental setup comparison (Section 4.1 Comparison between experimental setups, In 323-350).

Comment 4: Listing the current limitations (saturation point) would be very useful, for example, is there anything to gain by adding meteorological data when upscaling NEE?

Reply 4: Identifying and discussing the limitations of machine learning based upscaling is the overarching objective of this manuscript and the Discussion sections are dedicated to that. Reviewer 2 raises an interesting point about possible saturation points in the predictions. Detecting possible saturation points in the predictions is however not trivial. For example, the uncertainties of the measured fluxes grow with their magnitude such that there will always be observed points that are above the largest predicted value. Other limitations could be due to the training with noisy drivers (it reduces the sensitivity of the trained algorithm); the noise is higher in the variables obtained by satellite (hence in the RS setup) but we did not find significant difference between the two experimental setup (maybe because the drivers were objectively selected and drivers selection optimized). All limitations of empirical upscaling by ML have been largely discussed in the Discussion section of the revised manuscript.

Comment 5: Several interesting findings are not further explored, for example, line 329 reads "suggested that the choice of the explanatory variable had higher impact than the choice of the ML technique for the pattern of predictions". This is a very useful and important finding but it is not at all discussed.

There are too many loose ends such as the paragraph on line 317 that reads "Nonetheless, the differences between the experimental setups were less appreciable." A paragraph should have an introduction, a body and a concluding phrase signifying the implication of the result/discussion. This is often missing leaving it to the reader to guess what the authors want to say. Both the structure and language of the manuscript could be improved.

Reply 5: We thank the reviewer for identifying this problem; we addressed these issues with the help of a proofreader native speaker that improved readability and avoided loose ends sentences.

Comment 6: The authors choose to use their objectives to structure the paper. I find the objectives very technical and they seems to overlook some of the more interesting questions and answers the study could provide. As an alternative the manuscript could discuss the possibilities and limitations of spatial upscaling and then the possibilities and limitations of temporal upscaling. Defining more general overarching objectives is likely going to result in a better structure and discussion.

Reply 6: We agree that the original version of the manuscript had a very technical structure. In the revised manuscript we have placed the objectives of the paper in the frame of more general questions that are

relevant for a broader audience, in particular to potential users of the global products generated by FLUXCOM. We need however to keep the technical depth and precision for 'good scientific practice' since the methodology presented here is the basis for global flux products. We thank the reviewer for the suggestion on how to split the discussion. We carefully have thought about splitting it into 'spatial' and 'temporal' upscaling. We came to the conclusion that discussing the 'methodological' and 'biogeochemical' questions of fluxes uscaling was more appropriate and appealing.

Comment 7: For the typos and grammar ask help from one of the three native speakers on the manuscript. It makes me wonder whether all co-authors even made the effort to read the manuscript. The display items show a lot of information but not in a way that is easy to interpret or a way that at first sight supports the conclusions. The challenge of synthesis study such as this one is to summarize the information in easy to grasp figures and tables. In my opinion the authors failed in doing so. This issue is apparent from the first paragraph of the results where Table 3 is cited in support of the statement that "The ensemble median estimate always outperformed the median performance of ML-specific methods" but the way I read this table it does not contain information of the specific methods.

Reply 7: The revised manuscript has been proofread and edited by a native speaker with a focus on distilling the volume of information into a coherent storyline that supported the conclusions. In addition the final papers will be proofread also by the journal. We have added two figures (fig. 3a and 3b) and moved a table in appendix (currently the results section contain only two tables). Now the results and discussion section are easy to read and figures and tables support the main message of the manuscript. We do not want remove the 'detailed' table in the appendix, because they could be useful for the users of global products.

Comment 8: The detailed information could be moved to the appendices. Prepare figures that support the main message(s) of this study, for example, a figure that shows how some temporal characteristics are lost for certain fluxes and/or a figure/map that shows the regions where the methods diverge most.

Reply 8: Thank you for suggestion. As outlined before, the overall presentation of the material was substantially modified in the revised version. We have preferred not add maps showing the uncertainty at global scale because this is subject of another manuscript (in preparation) on the global products but the current figures brings the same type of message (e.g. Fig. 4).

Anonymous Referee #3

Dear Referee,

Thanks very much for providing detailed comments to our work. Please find enclosed the responses to all comments point-by-point.

Comment 1: Tramontana et al. present a study in which they have fit various empirical models to CO_2 water and energy fluxes across eddy-covariance sites. The results are clear and unsurprising: the statistical fitting methods all performed comparably, and the energy fluxes were more easily predicted by the statistical models. The study is well executed and no doubt will be well cited by follow-on studies that use this dataset for research. That said, I was somewhat disappointed at the level of insight the results conveyed. It is not clear what we have learned beyond a statistical comparison of fits. The results are presented as dense tables of statistics (even the figures are graphical representations of statistical tables) where fits are classified as better or worse than others, but with little or no discussion or interpretation of the underlying biogeosciences.

Reply 1: We thank the reviewer for these comments and by the reorganization of results and discussions, and also adding discussion, we have brought more interesting and clear message. We revised also the Introduction section to frame our work in more broad and relevant questions and dedicated space to discussion about how this work is relevant for answering ecological questions.

Comment 2: The manuscript would clearly benefit from a more descriptive comparison of modeled vs. data. For example, I would suggest presenting Figures B1 and B2 in the main text. Perhaps see Mahecha et al. for ideas on how to gain more insight from comparisons of models and observations. Mahecha, M. D. et al. Comparing observations and process-based simulations of biosphere-atmosphere exchanges on multiple timescales. J. Geophys. Res. 115, G02003 (2010).

Reply 2: We thank Referee #3 for the suggestion, but we think that the manuscript would lose focus when including a too detailed site-by-site analysis as was presented in Mahecha et al. However we have restructured and improved the presentation of the results and discussion sections and we have incorporated figures B1 and B2 (currently Fig. 3a and 3b) in the main text as suggested by the reviewer.

Comment 3: One important note is that GPP and RE are modeled. From the methods it appeared that gap-filled data were also included in the fitted data. Some discussion on comparing models with modeled data is merited.

Reply 3: It is correct that GPP and RE are not direct measurements but are derived using models where model parameters are estimated in short temporal moving windows. To acknowledge this source of uncertainty we employed GPP and RE estimates from two independent flux partitioning methods. The first extrapolates daytime ecosystem respiration using fitted relationships on the basis of nighttime data (where RE is measured due to the absence of GPP) whereas the second uses daytime NEE and an hyperbolic light response curve to derive GPP and RE. Both methods yield highly consistent results. The difference between flux partitioning methods turns out to be even smaller than the spread across ML algorithms. Regarding the fact that data are gap-filled, we filtered the data and periods with more than 20% of gap filled data with low confidence were not used in upscaling. As such the influence of gap filling was minimized. Restricting the training data set of the ML methods to periods with 100% of measured fluxes is impossible because almost no data would be available at the time resolution used. It is also important to consider that the gap filling algorithm utilizes highly localized and site specific relationships between fluxes and meteorological

conditions (MDS method, Reichstein et al 2005), while the ML cross-validation presented in the manuscript are based only on data from other sites. We have inserted a paragraph were the point outlined by reviewer was discussed as follows (In 387-395):

"Another common issue with eddy covariance data is the gaps generated by the data exclusion rules. Data exclusion strike strongly the nighttime period (primarily for the low turbulence condition) affecting the representativeness of the diurnal cycle, hence the quality of the averaged daily/eight days eddy-covariance fluxes, in particular CO₂. To reduce the risk biased estimates half hourly data gaps are filled by models. In our study NEE data were gap filled using site-specific empirical relationships between meteorological data and net CO₂ ecosystem exchange (the MDS method, Reichstein et al., 2005) that produce small biases when short gaps were encountered (Moffat et al., 2007). This has a limited effect in this study as only a very small percentage of high quality gap filled data are used. We also minimize the bias in estimates of gross CO₂ fluxes (GPP and TER) by using two different partitioning methods which yield very consistent results".

Comment 4: The authors briefly reference observational uncertainty when considering their results but it is not clear to what extent they have accounted for uncertainty. Do the models fall within the uncertainty of the observations?

Reply 4: We did not account for the propagation of the measurement uncertainties in a formal way; however, we have added discussion on the role of uncertainties in the upscaling exercise (section 4.3 Quality of the response variable). The random uncertainty of fluxes can be thoroughly quantified but we guess it does not have a big impact because: (a) it diminishes quickly as one aggregates to daily or even eight days values, and (b) the risk of model's bias is reduced with random uncertainties. The bigger problem is related to the systematic uncertainties for which we only have some heuristic approaches to assess them (u*, different flux partitioning methods, energy balance closure) and because, the upscaling exercise, ML parameters were across sites estimated. This issue was discussed as follows (LN 378-386):

"Random uncertainties of the fluxes is likely not a big issue because averaging at daily and 8 days time steps (as in this study) greatly reduces the random error (Hollinger and Richardson, 2005). Instead we hypothesize that site specific systematic uncertainties in the eddy covariance estimations (e.g. due to presence of strong advection not corrected by the standard methods) could play an important role because ML methods were trained across sites distributing uncertainties among them. Systematic uncertainties could also reduce the sensitivity of the models on the small signal explaining the comparatively poor predictive skill of ML for anomalies of eddy co-variance fluxes. We also hypothesize that the general tendency of better predictability of energy fluxes compared to carbon fluxes is at least partly related to their differences in data quality. To test these hypothesis improved ways of detecting and characterizing systematic uncertainties in eddy co-variance data are needed."

Comment 5: The main benefit of such regression algorithms in the context of Fluxnet is scaling. It would greatly increase the impact of the paper if the authors used the trained algorithms to scale each of the fluxes to the globe. This would be relatively easy to do, and the difference between the global estimates would be much more insightful than the statistics currently presented.

Reply 5: We agree this would add significant value in the context of scaling FLUXNET. A companion paper that uses our results as a point of departure is under preparation. It will feature global estimates as well as wall-to-wall maps.

Comment 6: The manuscript would benefit from revisions for the correct use of English. *The revised manuscript was proofread with the help of coauthor native*

Minor comments:

Line 31: "ML and setups"?

Reply 6: It was correct in the revised manuscript as:

"Different ML and experimental setups".

Comment 7: Line 41: Updated 2013 IPCC reference,

Line 44: "are equal"
Line 45: "accounted for"

Line 59: Perhaps cite Moffat et al. here, as it contains a good discussion of the relative benefits of both approaches. Moffat, A. M., Beckstein, C., Churkina, G., Mund, M. &Heimann, M. Characterization of ecosystem responses to climatic controls using artificial neural networks. Glob. Chang. Biol. 16, 2737–2749 (2010).

Line 61: "generally come from" Line 78: "The ML tools used span"

Line 113: "we removed 5%" Line 294: "with respect to"

Reply 7: Thank you, the point outlined in minor comments have been changed.

Comment 8: Line 105: So gap-filled data of high confidence are being included? Some discussion on the dangers of fitting a model to modeled data might be warranted.

Reply 8: We have added discussion on this issue in subsection 4.3

Comment 9: Line 294-296: On what spatial and temporal scale? Daily NEE is typically not affected by external factors. The sentence reads as a result of the study but in reality it is a hypothesis you propose to explain the lack of model fit. You do not identify management influences or lagged effects.

Reply 9: Yes. We have clarified it in the appropriate discussion section (4.2 Completeness of predictors) of the revised manuscript

Comment 10: Line 298: How were the uncertainties in H, LE and NEE quantified? I do not see that presented anywhere. It is not clear where your claim that the uncertainties were larger comes from.

Reply 10: We did not account for the measurement uncertainties in a formal way.

Comment 11: Line 340: This sentence is not clear.

Reply 11: Sorry for the misunderstanding. The sentence was removed and the discussion reorganized.

Anonymous Referee #4

Dear Referee,

Thanks very much for providing detailed comments to our work. Please find enclosed the responses to all comments point-by-point.

Comment 1: General comments:

1) Good paper, but English can significantly be improved. I added the reviewed manuscript with a lot of examples for improvement. Please take care of this action.

Reply 1: We thank the reviewer for his/her comments. We have improved the English in the revised manuscript by the help of a native speaker and following, when possible, the suggestion by the reviewer as proposed in the supplementary material.

Comment 2: 2) Use the present tense wherever possible.

Reply 2: Thank you for suggestion. We have been open to this suggestion: the use the past tense was requested by the managing editor but we have changed where needed.

Comment 3: 3) Scientifically I have no comments on this paper. Its thesis is sound and the argumentation as well.

Specific comments:

1) Page 5 line 83-84: According to me, VI's are only partially descriptive for vegetation state! Please comment and discuss on my statement.

Reply 3: Yes, we agree with the reviewer. We have clarified this point in the revised manuscript.

Comment 4: 2) Page 8, line 138: Give references for the QA/QC standard procedure for flux post-processing.

Reply 4: Thanks, we have added the reference Papale et al., (2006).

Comment 5: 3) Page 8, line 178: FPAR is an erroneous acronym for fAPAR. Please correct in the manuscript. Reply 5: Thank you, we have corrected it.

Comment 6: 4) Page 9, line 189: Why was the Maximum Value Composite criterion (MVC) not used? Please explain.

Reply 6: We have used different MODIS product, each one having specific composite method. The composite methods have been explained in the reference papers of the MODIS products (they are reported in the manuscript text). About the point outlined by the referee, firstly we have filtered the good quality data on the basis of the MODIS quality check layer, then we have extracted the mean value of a $3X3km^2$ area centered on the tower location to reduce the effect of geolocation error and to better representing the eddy covariance footprint area, as reported in Xiao et al. (Xiao, J. ,et al: A continuous measure of gross primary production for the conterminous United States derived from MODIS and AmeriFlux data, Remote Sens Environ, 114, 576–591, doi: 10.1016/j.rse.2009.10.013, 2010). We have clarified this adding the following sentence in the revised manuscript (In 123-124).

"We used MODIS cutouts of 3×3 km pixels centered on each tower to reduce the effect of geolocation error and to better representing the eddy covariance footprint area (Xiao et al., 2008; Yang et al., 2007)"

Comment 7: 5) Page 9, line 192-193: A 16 days composite? What criterion was used for this multitemporal composite?

Reply 7: This is the standard MODIS composition system for the product MOD13A2 as described in Huete et al (2002).

Comment 8: 6) Page 9, line 199: VPD? Define VPD please. How was it calculated?

Reply 8: We have define the acronym VPD (vapor pressure deficit) in the revised manuscript.

Comment 9: 7) Page 9, line 201-202: ERA-Interim dataset? Give references for this dataset.

Reply 9: We currently have used as reference "Dee, D. P., et al.: The ERA-Interim reanalysis: configuration and performance of the data assimilation system, Q.J.R. Meteorol Soc, 137, 553–597, doi: 10.1002/qj.828, 2011". To clarify this we have moved (Dee et al., 2011) right after 'ERA-Interim'

Comment 10: 8) Page 17, line 387: predictive skill. This is a rather nonsensical expression, rather use predictive capacity or capability.

Reply 10: Thank you, we have changed some expression with other among the ones proposed by the reviewer.

Comment 11: 9) Page 18, Line 411: Individual ML methods also exhibited higher skill than... What does skill mean here? Unclear to me. Please also note the supplement to this comment:

Reply 11: Thank you. We are referring to the predictive capacity. We have used another expression from the ones proposed by the reviewer.

List of the relevant changes

Dear Editor and Reviewers,

Here the list of the most relevant changes in the revised manuscript:

- a) Objectives were rephrased and structured to be more attractive for a broader audience.
- b) Results and discussion were splitted in two distinct sections because the same scientific topic was determinant for different facets of results (e.g the missing of import drivers affect both the ranking of bad/well predicted fluxes, the gradient of well/bad predicted plant functional type or climate zone, the difference between experimental setup).
- c) We have moved technical details (e.g. the ones concerning the consistency among predictions by different machine learning (ML)) in appendix.
- d) Figures in appendix were moved in the main text of Results section.
- e) We have added relevant discussions concerning the effects of the next aspects on the ML performance: quality of the target drivers; uncertainty of the measured fluxes; gap filling of the net ecosystem exchange (NEE); the partitioning of gross CO_2 fluxes.
- f) Discussions have been focused mainly on limitations of the fluxes empirical up scaling by ML. The new discussion section has been organized looking at the the following topics: a) differences between the two experimental setup (RS and RS+METEO); b) Completeness of predictors (or lack of important predictors); c) Quality of the explained variables and d) data quantity and representativeness of ecological condition and seasonal periods.
- g) The entire manuscript was revised for the English by the help of coauthor native speaker.

Predicting carbon dioxide and energy fluxes across global 1

FLUXNET sites with regression algorithms 2

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- 24 Correspondence to: G. Tramontana (g.tramontana@unitus.it)
- 25 Abstract. Spatio-temporal fields of land-atmosphere fluxes derived from data-driven models can complement simulations by
- 26 process-based Land Surface Models. While a number of strategies for empirical models with eddy covariance flux data have
- 27 been applied, a systematic intercomparison of these methods is missing so far. In this study, we performed a cross-validation
- 28 experiment for predicting carbon dioxide, latent heat, sensible heat and net radiation fluxes, across different ecosystem types
- 29 with eleven machine learning (ML) methods from four different classes (kernel methods, neural networks, tree methods, and
- 30 regression splines). We applied two complementary setups: (1) 8-day average fluxes based on remotely sensed data, and (2)
- 31 daily mean fluxes based on meteorological data and mean seasonal cycle of remotely sensed variables. The pattern of
- 32 predictions from different ML and experimental setups were highly consistent. There were systematic differences in
- performance among the fluxes, with the following ascending order: net ecosystem exchange (R²<0.5), ecosystem respiration 33
- 34 (R²>0.6), gross primary production (R²>0.7), latent heat (R²>0.7), sensible heat (R²>0.7), net radiation (R²>0.8). The ML
- 35 methods predicted the across site variability and the mean seasonal cycle of the observed fluxes very well ($R^2 > 0.7$), while
- 36 the 8-day deviations from the mean seasonal cycle were not well predicted ($R^2 < 0.5$). Fluxes were better predicted at forested
- 37 and temperate climate sites than at sites in extreme climates or less represented by training data (e.g. the tropics). The
- 38 evaluated large ensemble of ML based models will be the basis of new global flux products.
- 39 Keywords: Machine learning, carbon fluxes, energy fluxes, FLUXNET, remote sensing, FLUXCOM

40 1. Introduction

Improving our knowledge of the carbon, water, and energy exchanges between terrestrial ecosystems and the atmosphere is essential to better understand and model the Earth's climate system (IPCC, 2007; Reich, 2010). In situ continuous observations can be obtained with the eddy covariance technique, which estimates the net exchanges of carbon dioxide (CO₂), water vapor and energy between land ecosystems and the atmosphere (Aubinet at al., 2012; Baldocchi et al.,2014).

The large-scale measurement network, FLUXNET integrates site observations of these fluxes globally and provides detailed time series of carbon and energy fluxes across biomes and climates (Baldocchi et al., 2008). However, eddy covariance measurements are site-level observations (at $< 1 \text{ km}^2 \text{ scale}$), and spatial upscaling is required to estimate these fluxes at regional to global scales.

The increasing number of eddy covariance sites across the globe has encouraged the application of data-driven models by

machine learning (ML) methods such as Artificial Neural Networks (ANNs, Papale et al., 2003), Random Forest (RF, Tramontana et al., 2015), Model Trees (MTE, Jung et al., 2009; Xiao et al., 2008, 2010) or Support Vector Regression (SVR, Yang et al., 2006, 2007) to estimate land surface-atmosphere fluxes from site level to regional or global scales (e.g. Beer et al., 2010, Jung et al., 2010, 2011; Kondo et al., 2015; Schwalm et al., 2010, 2012; Yang et al., 2007; Xiao et al., 2008, 2010). The ML upscaled outputs are also increasingly used to evaluate process based land surface models (e.g., Anav et al., 2013; Bonan et al., 2010; Ichii et al., 2009; Piao et al., 2013).

The key characteristic of data-driven models compared to process-based ones are the former's intrinsic observational nature, and the fact that functional relationships are not prescribed but rather emerge from patterns found in the measurements. In this context, data-driven models extract multivariate functional relationships between the in situ measured fluxes of the network and explanatory variables. These variables are derived from satellite remote sensing, providing useful (although partial) information on vegetation state (e.g., vegetation indices) and other land surface properties (e.g., surface temperature), along with continuous measurements of meteorological variables at flux towers.

While ML-based upscaling provides a systematic approach to move from point-based flux estimates to spatially explicit gridded fields, various sources of uncertainty exist. For example, individual ML methods can have different responses, especially when these models are applied beyond the conditions represented in the training dataset (Jung et al., 2009; Papale et al., 2015). The information content of the driving input variables may not be sufficient to capture the variability of the fluxes in all conditions (Tramontana et al., 2015). Moreover, remotely sensed and meteorological gridded datasets are affected by uncertainties themselves. Remote sensing data contain noise, biases and gaps, and can be perturbed by atmospheric effects or by the presence of snow. Meteorological gridded datasets are known to contain product specific biases as well (Garnaud et al., 2014; Tramontana et al., 2015; Zhao et al., 2012).

Thorough experiments using multiple data-driven models and explanatory variables are an essential step to identify and assess limitations and sources of uncertainty in the empirical upscaling approach. For this reason several experts in the field gathered together and formed the collaborative FLUXCOM initiative. FLUXCOM aims to better understand the multiple sources and facets of uncertainties in empirical upscaling and, ultimately, to provide an ensemble of machine learning based global flux products to the scientific community. In FLUXCOM we selected machine learning based regression tools that span the full range of commonly applied algorithms: from model tree ensembles, multiple adaptive regression splines, artificial neural networks, to kernel methods, with several representatives of each family. We defined common protocols for two complementary upscaling strategies (setups) based on: (1) 8-day averaged fluxes based on exclusively remotely sensed data, and (2) daily mean fluxes based on remotely sensed and meteorological data. Different ML approaches were then applied to both setups using the same sets of predictor variables, and a thorough 'leave-towers-out' cross-validation was conducted. This study presents the FLUXCOM results obtained from the cross-validation. Our overarching aim was to understand how well fluxes of CO₂ (gross primary production (GPP), terrestrial ecosystem respiration (TER) and net ecosystem exchange (NEE)), and energy (latent heat (LE), sensible heat (H) and net radiation (Rn)), as estimated by the eddy covariance

technique, are predicted by an ensemble of ML methods. We focused in particular on the ensemble median prediction because the ensemble median global product will likely be used extensively. At first we looked at the consistency of the patterns between the two experimental setups to understand whether satellite remote sensing is sufficient for mapping carbon and energy fluxes or whether instantaneous meteorological conditions need to be considered explicitly. Second, we investigated which characteristics of the predicted fluxes were robust, analyzing how well the median estimates were able to predict the across site variability, the mean seasonal cycle by site and interannual variation, i.e., time-dependent deviations from the mean seasonal cycle. Thirdly, we investigated how the ML performance varies among climate zones or ecosystem types.

2 Material and methods

93 2.1 Data

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- 94 2.1.1 Eddy covariance study sites
- 95 We used eddy covariance data from 224 flux-tower sites (supplementary material, Sect. S1), which originate from the
- 96 FLUXNET La Thuile synthesis dataset and CarboAfrica network (Valentini et al., 2014). The study sites were distributed
- globally and cover most plant functional types (PFT) and biomes over the globe (Table 1).

2.1.2 Observation-based CO₂ and energy fluxes

- All flux measurements were post-processed using standardized procedures of quality control (Papale et al. 2006) and
- 100 gap-filled following Reichstein et al. (2005). Estimates of GPP and TER were derived from half-hourly NEE measurements
- using two independent flux partitioning methods: (1) According to Reichstein et al. (2005), where the temperature sensitivity
- of ecosystem respiration was initially estimated from night-time NEE data and then extrapolated to daytime to estimate TER
- and GPP. This was done by subtracting NEE (negatively signed for the CO₂ uptake) from TER. (2) According to Lasslop et
- al. (2010), where daytime NEE data were used to constrain an hyperbolic light response curve to directly estimate GPP and
- TER. In the following we refer to GPP and TER as derived by Reichstein et al. (2005) as GPP_R and TER_R; whereas estimates
- based on the Lasslop et al. (2010) method are referred to as GPP_L and TER_L .
- Half-hourly data were aggregated to daily values and screened according to multiple quality criteria, as follows:
- 108 1) We excluded data when more than 20% of the data were based on gap-filling with low confidence (Reichstein et al.,
- 109 2005).
- 110 2) We identified and removed obviously erroneous periods due to non-flagged instrument or flux partitioning failures based
- 111 on visual interpretation.
- 112 3) We excluded data-points where the two flux-partitioning methods provided extremely different patterns. Specifically, we
- 113 computed for each site a robust linear regression between (a) TER_R GPP_L and NEE, and (b) GPP_R and GPP_L. Data points
- 114 with a residual outside the range of \pm 3 times of the inter-quartile range were removed. This criterion removed only the
- extreme residuals, systematic differences between methods were not removed.
- 4) We removed the 5% of data-points with the largest friction velocity (u*) uncertainty, defined as data points above the 95th
- percentile of daily u* uncertainty, measured as the inter-quartile range of 100 bootstrap samples (Papale et al., 2006).
- We applied the same criteria 1) and 2) above for the energy fluxes as we did for the CO₂ fluxes,. Additionally, we removed
- data with inconsistent energy fluxes, i.e. when the residual of a robust linear regression between LE + H and Rn for each site
- was outside three-times the inter-quartile range of the residuals.

121 2.1.2 Remote sensing data

We collected data from the Moderate Resolution Imaging Spectroradiometer (MODIS) which provided data at a spatial resolution of 1km or better (Justice et al., 2002). We used MODIS cutouts of 3×3 km pixels centered on each tower to reduce the effect of geolocation error and to better representing the eddy covariance footprint area (Xiao et al., 2008). We used the following products: MOD11A2 Land Surface Temperature (LST) (Wan et al., 2002); MOD13A2 Vegetation Index (Normalized Difference Vegetation Index (NDVI) and Enhanced Vegetation Index (EVI), (Huete et al., 2002); MOD15A2 Leaf Area Index (LAI) and fraction of Absorbed Photosynthetic Active Radiation (fPAR) (Myneni et al., 2002); MCD43A2 and MCD43A4 Bidirectional Reflectance Distribution Function (BRDF) corrected surface reflectances (Schaaf et al., 2002). The BRDF-corrected surface reflectance data were further processed to calculate the Normalized Difference Water Index (NDWI) (Gao, 1996) and the Land Surface Water Index (LSWI) (Xiao et al., 2002). These data were obtained from http://daac.ornl.gov/MODIS/.

The remote sensing data were further processed to improve data quality and data gaps were filled to create continuous time-series data, and to minimize non-land surface signals In particular, we identified good quality pixels by the using the quality assurance/quality control (QA/QC) included in the MODIS product. If more than 25% of the pixels had good quality at the time of snapshot, the average of good quality pixels were assigned as the actual value. Otherwise, the data at the time snapshot were marked as blank (no data). Then, we created the mean seasonal variations from 2000-2012 using only good pixels data and the data gaps in the processed data were filled using the mean seasonal variation. Only MOD13 was provided with 16-day composites, and 8-day data were created by assigning the 16-day composite value to the corresponding two 8-day periods.

2.1.3 Meteorological data

The air temperature (Tair), global radiation (Rg), vapor pressure deficit (VPD), and precipitation (in situ measured at the flux towers location) were used after data screening according to the criteria 1) and 2) as applied for the measured fluxes (see Sect. 2.1.2). We also used long-term time series of these variables from the dataset ERA-Interim (Dee et al., 2011) for the period 1989-2010, which were bias-corrected for each site based on the period of overlap with the in situ measurements (see http://www.bgc-jena.mpg.de/~MDIwork/meteo/). These long-term meteorological data were primarily used to calculate consistent metrics of climatological variables (e.g. mean annual temperature) for all sites given the temporal coverage of data of the different sites. In addition, we used a composite of these ERA-Interim data and in situ measured data to obtain a gap-free time series for calculating a soil Water Availability Index (WAI, see Sect. 2.3.2 and supplementary material, Sect. S3).

2.2 Applied ML methods

- For our purpose, eleven ML algorithms for regression from four broad families were chosen: tree-based methods, regression splines, neural networks and kernel methods. Moreover a comprehensive review of ML algorithms in biophysical parameter estimation can be found in Verrelst et al. (2015). At follow a brief description of the characteristics of each family.
- 153 <u>Tree based methods</u>
 - These methods construct hierarchical binary decision trees. The inner nodes of the tree hold decision rules according to explanatory variables (e.g. less/greater than X1), recursively splitting the data into subspaces. The leaf nodes at the end of the decision tree contain models for the response variable. Because a single tree is generally not effective enough to cope with strong non-linear multivariate relationships, ensembles of trees are often used. We applied two different tree ensemble methods: (1) Random Forests (RF) which combines regression trees grown from different bootstrap samples and randomly selected features at each split node (Breiman, 2001; Ho, 1998); and (2) Model Tree Ensembles (MTE) which combine model trees (Jung et al., 2009). The main difference between regression and model trees is the prediction model in the leaf node: a simple mean of the target values from the training in regression trees and a parametric function (here a multiple linear

- 162 regression) in model trees. In this study, we used three different variants of MTE, which differ mainly with respect to
- different cost functions for determining the splits, and the technique to create the ensemble of model trees. Further details are
- described in the supplementary material (Sect. S2).
- 165 Regression splines
- Multivariate regression splines (MARS) are an extension of simple linear regression adapted to non-linear response surfaces
- using piecewise (local) functions. The target variable is predicted as the sum of regression splines and a constant value
- 168 (Alonso Fernández, 2013; Friedman et al., 1991).
- 169 <u>Neural networks</u>
- Neural networks are based on nonlinear and nonparametric regressions. Their base unit is the neuron, where nonlinear
- regression functions are applied. The neurons are interconnected and organized in layers. The output of m neurons in the
- 172 current layer are the inputs for *n* neurons of the next layer. We used two types of neural networks: the artificial neural
- 173 network (ANN) and the group method of data handling (GMDH). In an ANN, each neuron performs a linear regression
- 174 followed by a non-linear function. Neurons of different layers are interconnected by weights that are adjusted during the
- training (Haykin et al., 1999; Papale et al., 2003). The GMDH is a self-organizing inductive method (Ungaro et al., 2005)
- building polynomials of polynomials; the neurons are pairwise connected through a quadratic polynomial to produce new
- neurons in the next layer (Shirmohammadi et al., 2015).
- 178 <u>Kernel methods</u>
- Kernel methods (Shawe-Taylor and Cristianini, 2004; Camps-Valls and Bruzzone, 2009) owe their name to the use of kernel
- functions, which measure similarities between input data examples. Among the available kernel methods we used: (1)
- support vector regression (SVR) (Vapniket al., 1997), (2) kernel ridge regression (KRR) (Shawe-Taylor and Cristianini,
- 182 2004), and (3) Gaussian process regression (GPR) (Rasmussen, 2006). The SVR defines a linear prediction model over
- mapped samples to a much higher dimensional space, which is non-linearly related to the original input (Yang et al., 2007).
- The KRR is considered as the kernel version of the regularized least squares linear regression (Shawe-Taylor and Cristianini,
- 185 2004). The GPR is a probabilistic approximation to nonparametric kernel-based regression, and both a predictive mean
- 186 (point-wise estimates) and predictive variance (error bars for the predictions) can be derived. We also used a hybrid
- 187 approach combining RF with simple decision stumps in the inner nodes and GPR for prediction in the leaf nodes (Fröhlich et
- 188 al., 2012).

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2.3 Experimental design

2.3.1. Experiment setups

191 We defined two complementary experimental setups, which differ in the choice of explanatory variables, and the temporal

resolution of the target fluxes: 1) at 8-day temporal resolution using exclusively remote sensing data (hereafter RS); and 2) at

daily temporal resolution using meteorological data together with the mean seasonal cycle (MSC) of the remote sensing data

(hereafter RS+METEO). In the latter case, the MSC of remote sensing data were smoothed and interpolated to a daily time

step. Each setup represents a trade-off between spatial and temporal resolution. While RS provides products with high spatial

resolution for global upscaling (e.g. 1km), the temporal resolution is coarse (8-day vs. daily) and temporal coverage is

limited to the period when satellite observation is available (e.g. 2000-present in the case of MODIS). The uncertainties of

remote sensing data at tower locations, due to finer scale spatial heterogeneity, also degraded the performance of the ML

methods. In contrast, RS+METEO takes advantage of information from meteorological variables and was resistant to the

noise of remote sensing time series because only the mean seasonal cycle of data from satellite RS were used. RS+METEO

also allowed for upscaled products over a longer time period (because not constrained by the availability of MODIS data)

and finer time scale (daily). Furthermore, the use of meteorological gridded datasets introduced uncertainty due to dataset specific biases and the coarser spatial resolution (> 0.5 degrees or coarser).

2.3.2. Variable selection

Combining remote sensing and meteorological data (see Sect. 2.1.2 and 2.1.3) we created additional explanatory variables. In the case of RS+METEO setup we derived the Water Availability Index (WAI) based on a soil water balance model (for more details see supplementary material, Sect. S3) to represent water stress conditions appropriately. For both setups we derived proxies for absorbed radiation as the product between vegetation greenness (e.g. EVI, NDVI, fPAR) and drivers related to the useful energy for photosynthesis(e.g. daytime LST, Rg, and potential radiation). Other derived variables included the MSC of dynamic variables (e.g., LST, fPAR, Rg, air temperature) and associated metrics (minimum, maximum, amplitude, and mean). For remote sensing predictors, the MSC and associated metrics were based on the period 2001-2012, while for climate variables were based on the bias corrected daily long-term ERA-Interim data reference period (1989-2010). In total, 216 potential explanatory variables were created for RS and 231 for RS+METEO (see supplementary material S4 for details).

For each of the two experimental setups we selected a small subset of variables optimally suitable to predict target fluxes using a variable selection search algorithm. Variable selection was an important component in the spatial upscaling since it improved the accuracy of predictions, while the computational costs of the global predictions were minimized. We used the Guided Hybrid Genetic Algorithm (GHGA; Jung and Zscheischler, 2013), which was designed for variable selection problems with many candidate predictor variables and computationally expensive cost functions. The GHGA required the

training of a regression algorithm (here RF) to estimate the cost associated with selected variable subsets. We executed GHGA selection runs for the RS and RS+METEO setups and separately for CO₂ and energy fluxes (see S5 for details). All

ML used exactly the same selected drivers (listed in Table 2) to made predictions. This procedure had the advantage that the

resulting global products will be originated from a consistent set of predictor variables.

2.3.3. Algorithm training

The capability of ML methods to spatially extrapolate CO₂ and energy fluxes was evaluated using a 10-fold cross-validation strategy. The training datasets were stratified into 10-folds, each containing ca. 10% of the data. Entire sites were assigned to each fold (Jung et al., 2011). The training of each ML method was done using data from nine folds while predictions were made for the remaining one. This was repeated 10 times and each fold was used exactly once as a validation set, thus ensuring that the validation data were completely independent from the training data. Due to the computational expense of the RS+METEO setup, only one method representing each "family" – RF, MARS, ANN and KRR – was trained. ML methods hyperparameters (that account for regularization in order to avoid overfitting as well as for the shape and smoothness constraints) (see supplementary material S6 for details), were estimated in each fold.

2.3.4. Model evaluation

To highlight the differences between the RS and RS+METEO setups, the daily output from RS+METEO were aggregated to 8-day time steps; predictions from the same periods and sites were used for the comparison. Besides the statistical analysis of the individual ML cross-validation results, we focused on the ensemble median estimate, here defined as the median predicted value across all ML for a given setup and time step. We used a suite of metrics to evaluate the ML performance: the Nash and Sutcliffe model efficiency (MEF) (Nash and Sutcliffe, 1970); the root mean square error (RMSE); the empirical BIAS; the Pearson's linear correlation coefficient (ρ); the coefficient of determination (R^2); and the ratio of variance (ROV).

MEF is a measure of the capability of a model to estimate a target variable better than a reference, generally the mean value of the observations. In our study MEF was calculated as:

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$$MEF = 1 - \frac{\sum_{i=1}^{n} (x_i - y_i)^2}{\sum_{i=1}^{n} (y_i - \bar{y})^2}$$
 (1)

- 244 where X_i and Y_i were the predicted and the observed values respectively and \overline{y} is the mean value of the observations. MEF 245 varied between -inf to 1; in the case of MEF > 0 the predictive capacity of the model was better than the mean (MEF = 1 for 246 the ideal model), instead if MEF=0 the predictive capacity of the model was equivalent to the mean, finally if MEF < 0, the
- predictive capacity of the mean value of the target was better than the model.
- The RMSE was estimated as the root square of the mean value of the squared residuals:

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$$RMSE = \sqrt{\frac{\sum_{i=1}^{n} (x_i - y_i)^2}{n}}$$
 (2)

250 The BIAS was evaluated as the mean value of model's residuals

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$$BIAS = \frac{\sum_{i=1}^{n} (x_i - y_{i})}{n}$$
 (3)

- Following Gupta et al. (2009) the importance of bias on the overall uncertainty was evaluated as the ratio between the square
- of BIAS and the Mean Square Error, the latter estimated as the square value of RMSE.
- 254 The Pearson's linear correlation coefficient (ρ) was the ratio between the covariance between the modeled and observed
- values (σ_{xy}) and the product of the standard deviation of modeled (σ_x) and observed (σ_y) values:

$$\rho = \frac{\sigma_{xy}}{\sigma_x \sigma_y} \tag{4}$$

- R² was estimated as the squared value of ρ ; finally ROV was evaluated as the ratio between predicted and observed standard
- deviation.
- We evaluated the overall predictive capacity and consistency of ML approaches—including the ML median estimate—by
- 260 flux, by experimental setup and by site as well as grouped by Köppen climate zone and International Geosphere-Biosphere
- Programme (IGBP) plant functional types (PFT). In our evaluation we focused on site-specific means, the mean seasonal
- 262 cycle (MSC), and anomalies (Jung et al., 2011). The MSC per site was calculated using the averaged values for each 8-day
- period across the years, but only when at least two values (i.e., years) for each 8-day period were available. To assess the
- mean values of the study sites, we calculated the mean of the MSC if at least 50% of the 46 8-day values were present,
- whereas the 8-day anomalies were calculated as the deviation of a flux value from the MSC. Finally, the mean site values
- 266 were removed from the MSC to disentangle the seasonal variation from the mean site values, making them as
- 267 complementary.
- **268 3. Results**
- **3.1 Machine learning performance across fluxes**

Prediction capability of the ensemble median estimate clustered into tiers whereby energy fluxes were better predicted than CO₂ fluxes: Rn > H/LE/GPP > TER > NEE (Table 3 and Table A1). The highest predictive capacity levels as exhibited by net radiation showed near perfect agreement; Rn displayed a model efficiency (MEF) of 0.91-0.92 and a correlation of 0.96. The decline in predictive capacity for the second tier fluxes was ca. 15% to 20%; MEF for H, LE, and GPP is 0.79, 0.75-0.76, and 0.71 respectively. The lowest two tiers exhibited 20% and 40% declines in MEF (0.57-0.64 and 0.43-0.46 for TER and NEE respectively). These relative rankings, consistent with previous studies (Jung et al., 2011; Yuan et al., 2010), were unchanged regardless the metric of the predictive capacity used in cross-validation—apart from RMSE where the difference

There were only minor performance differences between the two CO_2 fluxes partitioning methods (Table 3), although for the RS setup, the performance of TER_L were slightly lower than TER_R (lower MEF, ρ and ROV). However, a similar pattern was not found in RS+METEO setup.

Accuracy metrics of median ensemble were similar, by flux, for both RS and RS+METEO approaches, showing that the spatiotemporal variability of remotely sensed land surface properties are appropriate to predict the top tier fluxes (Rn, H, LE, and GPP) (Jung et al., 2008; Tramontana et al., 2015; Xiao et al., 2010; Yang et al., 2007). We found some minor differences for those fluxes which showed lower overall predictive capacity levels, in particular the NEE and TER_L (Fig. 1, Table 3). MEF and correlation values were slightly larger for RS than RS+METEO but the differences in performances might be due to a different ensemble size, with the RS median ensemble composed of 11 MLs, whereas RS+METEO was based on only four. However, the output provided by MLs methods showed high overall consistency among them, that increased when predictions were obtained by different MLs trained with the same experimental setup (RS else RS+METEO; for more details see Appendix B and Table B1).

3.2 Capability to predict the across site variability, the mean seasonal cycle and the deviations from it.

Decomposing FLUXNET data into across-site variability, mean seasonal cycle, and interannual variability components (Sect. 2.3.4) revealed clear gradients in predictive capacity (Table 4 and Fig. 2, Fig. 3a and 3b). Across-site variability was in general well-captured by the ML (R^2 range: 0.61 to 0.81 except for NEE), suggesting that the ML methods are suitable to reproduce the spatial pattern of the mean annual fluxes. The variability in the mean seasonal cycle (at 8-day time scale) was also uniformly well predicted, in particular for LE and Rn (R^2 between 0.67-0.77 for GPP and TER, and between 0.86-0.98 for the energy fluxes). In contrast, the 8-day anomalies variability were generally poorly captured by all the ML approaches with only H and Rn showing an R^2 greater than 0.4. This low predictive skill was regardless of whether 8-day, monthly (Jung et al., 2011), or annual time steps were used (data not shown) and predicting interannual variability remains one of the largest challenges in the context of the empirical upscaling. NEE was confirmed to be the poorest predicted flux (Table 3). ML showed considerably lower predictive capability for NEE, by comparison with the other fluxes for across-sites variability ($R^2 = 0.46$), the mean seasonal cycle ($R^2 = 0.59$), and interannual variability ($R^2 = 0.13$, TER_L was the lowest at 0.10).

3.3 Models performance for different climate zones and ecosystem types.

in fluxes units and magnitude, confounded a direct comparison (Table 3).

Climate zone and plant functional type (PFT) are important discriminating factor for ML predictive capacity for CO_2 fluxes. In general, the mixed forest (MF), the deciduous broadleaved forest (DBF) and the boreal sites (Bor) showed higher accuracy of prediction for the median ensembles (Fig. 4, Tables C1-C6 in Appendix C), even for NEE ($R^2 > 0.6$). In contrast, relatively poor prediction capability was found in evergreen broadleaved forest (EBF), in the tropics (Trop), in the extreme environments for reduced water resource (Dry) or low temperature (Cold), and in managed sites such as croplands (Crop). This gradient largely reflects the mismatch between the seasonal dynamics of predicted fluxes and the models drivers. The

absence of a clear seasonal cycle in evergreen broadleaf forest and in the tropical sites likely contributed to the low ML performance (in general) in these ecosystems (Sims et al., 2008; Yebra et al., 2015; Yuan et al., 2010). Similarly, cold and dry sites are characterized by both low magnitude and low variance of fluxes, making it difficult to explain the fluxes variability in these ecosystems types using empirical methods.-For the intensively managed croplands the seasonal dynamics of fluxes were highly constrained by management practices (e.g. irrigation, fertilization, tillage) which is not directly reflected in the explanatory variables used in training.

The gradient of prediction capability in different PFT and climate zone was less evident in the case of energy fluxes (not significant in the case of Rn) and the performance of ML were generally good. In fact the median R² between simulations and observations were greater than 0.7 for more than the 85% of the PFT and climate zone (in all sites for Rn). For comparison in the case of GPP and TER, the median R² between simulations and observations were greater than 0.6 for more than 75% of the PFT and climate zone.

4. Discussion

4.1 Comparison between experimental setups

In general the performance metrics across the two experimental setups were highly similar. Very few differences were found decomposing the fluxes variability into across-site variability, mean seasonal cycle, and interannual variability components. This suggests that CO2 and energy fluxes can be mapped exclusively with remotely sensed inputs allowing for high-spatial resolution products without additional uncertainty introduced by gridded meteorological data products (Tramontana et al., 2015). However, differences between the two experimental setups are apparent at PFT and climate zone scales, particularly in the EBF PFT and in the tropics where RS+METEO performs better than RS for predicting CO₂ fluxes (e.g. in RS+METEO the decrease in RMSE was 0.10-0.68 gCm⁻²d⁻¹ in comparison to RS). This might be due, from one side to the pattern of CO2 fluxes that do not follow the seasonal pattern of the vegetation indices, from the other side to the increasing importance of meteorological drivers, in particular the ones accounting for the water stress/limitation (e.g. VPD or WAI). In addition, the larger sample size due to the daily resolution of the RS+METEO setup might have been beneficial. The RS setup might also suffer from poorer quality of remote sensing data in the tropics due to frequent cloud coverage. At cropland sites the RS has better predictive capacity than RS+METEO (in comparison to RS, RMSE of RS+METEO increase of 0.02-0.67 gCm ²d⁻¹ for predicting CO₂ fluxes). This could be related to management (e.g. sowing and harvesting dates) which are partly captured by RS but not by RS+METEO which uses only the mean seasonal cycle of VI reflecting also the better performance of RS for predicting anomalies in the case of CRO, (although in general results for anomalies were not good, data not shown).

Another distinguishing element between RS and RS+METEO is the degree of uncertainty of the drivers. At the site level meteorological drivers (used only in RS+METEO) are generally measured with good quality while remote sensing data are generally affected by additional uncertainties. Scale mismatch between FLUXNET eddy covariance towers and satellite sensor footprints as well as satellite sensors limitations are important sources of uncertainty, not present in the in situ measured meteorological drivers. Furthermore, the quality of remote sensing data is affected by external factors such as the atmospheric condition, cloud cover and ground surface state. These issues were minimized in the RS+METEO by using only the smoothed mean seasonal cycle of satellite data which contains much less noise. We had expected that this would improve the performance of predicting anomalies (in general) with the RS+METEO setup, because anomalies area comparatively small signals that could be readily distorted by the comparatively large noise in remote sensing data. However, we found no clear indication for that in the cross-validation results.

4.2 Completeness of predictors

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Certainly, the predictor variables used for the ML approaches do not capture all drivers of flux variability both across sites and temporally. For example, in managed sites, external factors such management practices and disturbances (Amiro et al., 2010; Thornton et al., 2002) are likely crucial. In addition, direct estimates of soil moisture would improve the prediction capability in dry environments. The absence of important drivers of flux variability in the predictor sets likely explains why NEE and TER is less well predicted compared to GPP. First order constraints of GPP such as radiation, temperature, and canopy properties are accounted for in the predictors. For TER some important factors like soil properties and carbon pools (Amiro et al., 2010) are not well presented in the predictor variables. For NEE, several studies have shown its dependence on long-term lag and memory effects (Bell et al., 2012; Frank et al., 2015, Papale et al., 2015; Paruelo et al., 2005) that are not accounted for by the drivers used in this study. Adding targeted variables (e.g. soil carbon stock, turnover of the soil organic matter, lagged drivers) among the candidate predictors and carrying out the driver selection for each CO₂ flux specifically, could improve the ML performance for each flux. At the same time it could be a detriment for the spatial upscaling of CO₂ fluxes generating less consistent global products with plausible bad effects on CO₂ uptake/release balance closure over the land ecosystems. Moreover, the choice of predictor variables for the ML approaches is limited in practical terms by the availability of consistent observations across all sites on the one hand, and on the availability of a corresponding consistent global gridded product for upscaling. Therefore, continued efforts of metadata collection at the sites in conjunction with large scale inventories and new Earth Observations are needed to improve the ML approach in the future.

4.3 Quality of the response variable

The predictive capacity of ML approaches also depends on the uncertainties of the flux variables themselves. Clearly, there is some variability in the target flux variables which is due to noise and measurement problems, and this portion of variability cannot (and should not!) be reproduced by the ML approaches. Interestingly, we obtained the best results for Rn and H which have lower measurement uncertainties than all other target fluxes. For example, for H only one sensor, the sonic anemometer, is used while other measured fluxes (LE and NEE) two sensors, a sonic anemometer and a CO₂/H₂O trace gas analyser, are needed. GPP and TER estimates are additionally subject to uncertainties of the flux partitioning methods, and this might explain why LE as a direct measurement was better predicted than GPP. Random uncertainties of the fluxes is likely not a big issue because averaging at daily and 8-day time steps (as in this study) greatly reduces the random error (Hollinger and Richardson, 2005). Instead we hypothesize that site specific systematic uncertainties in the eddy covariance estimations (e.g. due to presence of strong advection not corrected by the standard methods) could play an important role because ML methods were trained across sites distributing uncertainties among them. Systematic uncertainties could also reduce the sensitivity of the models on the small signal explaining the comparatively poor predictive skill of ML for anomalies of eddy covariance fluxes. We also hypothesize that the general tendency of better predictability of energy fluxes compared to carbon fluxes is at least partly related to their differences in data quality. To test these hypothesis improved ways of detecting and characterizing systematic uncertainties in eddy co-variance data are needed.

Another common issue with eddy covariance data is the gaps generated by the data exclusion rules. Data exclusion strike strongly the nighttime period (primarily for the low turbulence condition) affecting the representativeness of the diurnal cycle, hence the quality of the averaged daily/eight days eddy-covariance fluxes, in particular CO₂. To reduce the risk biased estimates half hourly data gaps are filled by models. In our study NEE data were gap filled using site-specific empirical relationships between meteorological data and net CO₂ ecosystem exchange (the MDS

392 method, Reichstein et al., 2005) that produce small biases when short gaps were encountered (Moffat et al., 2007).

This has a limited effect in this study as only a very small percentage of high quality gap filled data are used. We also

minimize the bias in estimates of gross CO2 fluxes (GPP and TER) by using two different partitioning methods which

395 vield very consistent results.

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4.4 Data quantity and representativeness

397 The mismatch between prediction and eddy-covariance estimation were also influenced by data representativeness.

FLUXNET sites are not uniformly distributed over the globe and not all climates and PFTs are well represented.

Very few sites are currently distributed in tropical forest, and data availability over the record is fragmented.

Similarly, very few sites are located in the poorly predicted extreme environments, e.g., cold and dry climates. There

was a clear pattern in our cross-validation results where more accurate predictions were obtained for the better

represented vegetation types and climates (e.g. temperate and boreal forests). Therefore increasing the number of

study sites in less represented environments (e.g. the tropics and in the extreme climates), could improve the

prediction by ML and models in general (Papale et al. 2015).

405 Data representativeness has also a temporal aspect. For example, remote sensing data discarded due to low quality

occurs preferentially in the cold or wet season—due to snow, ice or cloud cover—by comparison with other seasonal

407 periods.

5. Conclusions

The ML methods presented and evaluated in this study have shown high capability to predict CO2 and energy fluxes, in particular the across site variability and the mean seasonal cycle, with a general tendency of increasing performance in the following order: NEE, TER, GPP, LE, H, and Rn. The relatively poor performance for NEE likely resulted from factors that cannot be easily accounted for in ML-based approaches, such as legacies of site history (e.g., disturbances, management, age, and stocks). Future progress in this direction requires the reconstruction of the relevant management and disturbance history and the integration of information from forest inventories, high resolution satellites such as LANDSAT, and high resolution biomass data from radar and LIDAR. We found no substantial bias in the predictions of the ML approaches for most vegetation types or biomes. However, there is less consistency with observations for evergreen broadleaf forests, croplands, the tropics, and extreme climates. The growing number of eddy covariance sites, in particular new sites in poorly represented regions, will improve the predictive capacity of ML methods in the future. This is particularly so for the tropics which account for a disproportionate share of global terrestrial water and carbon fluxes (Beer et al., 2010).

420 The predictions for ecosystem fluxes across FLUXNET by different explanatory variable sets (RS vs RS+METEO) were 421 highly consistent, indicating that the extracted patterns by the trained models were robust, realistic and not subject to 422 overfitting. We recommend using the ensemble median estimate for generating global flux products as extrapolation beyond 423

the FLUXNET-sampled conditions may generate large differences among methods.

The ML based models presented and extensively evaluated here form the basis of an extensive archive of global gridded flux products, which is currently under development. The thorough cross-validation experiment presented in this paper helps users understand the products' strengths and weaknesses. The overall high skill of the ML methods, the planned archival of their ensemble median, and the detailed analysis of their uncertainties will make this product a sought-after data stream to

428 study the global land-atmosphere exchange of CO₂, water and energy.

Appendix A: Median performance of the methods.

- In table A1 we reported, for both setups, the median value of predictive capacity metrics (MEF, RMSE, and absolute value
- of BIAS) realized across singular ML and their standard deviation estimated as reported in Jung et al., (2009).
- 432 Appendix B: Consistency among ML algorithms
- Pair-wise R^2 values among model outputs (Table B1) were close to unity ($R^2 \ge 0.90$), regardless of experimental setup,
- with NEE showing a slightly lower value ($R^2 = 0.84$). Among corresponding model residuals (Table B1), R^2 values
- ranged from 0.79 (Rn) to 0.89 (TER_L). Comparing the same ML technique but using different experimental setups
- 436 (Table B1, RS vs. RS+METEO) showed similarly high, albeit somewhat diminished level of consistency (R² range
- ranged from 0.71 to 0.80 for model residuals). These results highlighted that the ML methods were mapping between
- 438 explanatory variables and target fluxes both reliably and robustly. Across the all three consistency checks there was
- also a tendency for better predicted fluxes (e.g., H) to exhibit higher pair-wise R² values than poorly predicted fluxes
- 440 (e.g., NEE).

- 441 Appendix C Median value of site-by-site performance per vegetation and climate type.
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 Table 1. Distribution of flux tower sites across plant functional types (PFT) and climate zones.

PFT	N° of sites	Climate zone	N° of sites
Evergreen needleleaf forest	66	Temperate	111
Grassland	38	Subtropical - Mediterranean	47
Cropland	27	Boreal	34
Deciduous broadleaf forest	24	Tropical	14
Evergreen broadleaf forest	19	Dry	13
Wetland	17	Artic	5
Shrubland	12		
Mixed forest	11		
Savannah	10		

Table 2. Selected predictors for both setup for CO₂ fluxes (GPP, TER and NEE) and energy fluxes (H, LE and Rn). List of acronyms: Enhanced Vegetation Index (EVI), fraction of Absorbed Photosynthetically Active Radiation (fAPAR), Leaf Area Index (LAI), daytime Land Surface Temperature (LST_{Day}) and nighttime Land Surface Temperature (LST_{Night}), Middle Infrared Reflectance (band 7) (MIR⁽¹⁾), Normalized Difference Vegetation Index (NDVI), Normalized Difference Water Index (NDWI), Plant Functional Type (PFT), incoming global Radiation (Rg), top of atmosphere potential Radiation (Rpot), Index of Water Availability (IWA), Relative humidity (Rh), Water Availability Index lower (WAI_L), and upper (WAI_U) (for details see supplementary material, Sect. S3), Mean Seasonal Cycle (MSC). The product between A and B (AxB) is shown as (A, B).

Setup	Type of variability	CO ₂ fluxes	Energy fluxes
RS	Spatial	PFT	PFT
	-	Amplitude of MSC of EVI	Maximum of MSC of (fAPAR,
			Rg)
		Amplitude of MSC of MIR ⁽¹⁾	Minimum of MSC of Rg
		Maximum of MSC of LST _{Day}	
	Spatial & Seasonal	MSC LAI	MSC of (EVI, LST _{Day})
	_		Rpot
	Spatial, Seasonal &	z NDWI	Rg
	Interannual	LST_{Day}	LST_{Day}
		LST_{Night}	Anomalies of LST _{Night}
		(NDVI, Rg)	Anomalies of (EVI, LST _{Day})
RS+METEO	Spatial	PFT	PFT
		Amplitude of MSC of NDVI	Maximum of MSC of WAI _U
		Amplitude of MSC of band 4	Mean of MSC of band 6 BRDF
		BRDF reflectance ⁽²⁾	reflectance ⁽²⁾
		Minimum of MSC of NDWI	Max of MSC of (fPAR, Rg)
		Amplitude of MSC of WAI _L	
	Spatial & Seasonal	MSC of LST _{Night}	Rpot
	-	MSC of (fPAR, LST _{Day})	MSC of NDWI
		MSC of (EVI, Rpot)	MSC of LST _{Night}
		•	MSC of (EVI, Rg)
	Spatial & Seasonal &	z Tair	Rain
	Interannual	(Rg, MSC of NDVI)	Rg
		WAI	Rh
		L	(MSC of NDVI, Rg, IWA)

⁽¹⁾derived from the MOD13 product; (2) derived from MCD43 product.

Table 3.Statistics of the accuracy of predictions of CO_2 and energy fluxes made by the ensemble median estimate based on RS and RS+METEO. For RMSE and BIAS, the reference units were gCm^2d^{-1} and MJm^2d^{-1} for CO_2 fluxes (GPP, TER and NEE) and energy fluxes (H, LE and Rn) respectively.

Elm	RS					RS+M	ETEO			
Flux	MEF	RMSE	ρ	ROV	BIAS	MEF	RMSE	ρ	ROV	BIAS
GPP_R	0.71	1.56	0.85	0.69	-0.02	0.70	1.59	0.84	0.73	0.09
GPP_L	0.71	1.53	0.84	0.68	-0.02	0.71	1.54	0.84	0.74	0.09
TER_R	0.64	1.14	0.80	0.61	-0.01	0.64	1.15	0.80	0.69	0.09
TER_L	0.60	1.18	0.77	0.56	-0.01	0.63	1.14	0.79	0.66	0.08
NEE	0.46	1.24	0.68	0.39	0.04	0.43	1.28	0.65	0.40	-0.02
H	0.79	1.36	0.89	0.71	-0.02	0.79	1.37	0.89	0.75	0.02
LE	0.76	1.37	0.87	0.71	-0.07	0.75	1.39	0.87	0.73	-0.01
Rn	0.92	1.51	0.96	0.90	-0.01	0.91	1.55	0.96	0.93	0.08

Table 4: R^2 and RMSE for the comparison across sites, mean seasonal cycle and anomalies. The last two columns showed the consistency between the median estimates of the two setups. For RMSE, the reference units were $gCm^{-2}d^{-1}$ and $MJm^{-2}d^{-1}$ for CO_2 fluxes (GPP, TER and NEE) and energy fluxes (H, LE and Rn) respectively.

El	RS v	s. OBS	RS+MET	EO vs. OBS	RS vs. R	S+METEO
Fluxes	R^2	RMSE	\mathbb{R}^2	RMSE	R^2	RMSE
		1	Across site v	ariability		
GPP_R	0.78	0.80	0.77	0.82	0.95	0.34
$\mathrm{GPP}_{\mathrm{L}}$	0.78	0.77	0.79	0.75	0.94	0.36
TER_R	0.68	0.73	0.61	0.81	0.92	0.32
TER_L	0.72	0.60	0.71	0.61	0.92	0.27
NEE	0.48	0.61	0.46	0.61	0.83	0.22
H	0.81	0.68	0.81	0.68	0.97	0.25
LE	0.79	0.74	0.75	0.80	0.93	0.33
Rn	0.80	0.93	0.79	0.96	0.96	0.38
			Mean seasor	nal cycle		
GPP_R	0.76	1.03	0.77	1.02	0.93	0.48
GPP_L	0.77	1.00	0.77	0.99	0.93	0.50
TER_R	0.71	0.62	0.71	0.62	0.92	0.29
TER_{L}	0.67	0.64	0.68	0.63	0.92	0.29
NEE	0.61	0.83	0.59	0.84	0.93	0.24
Н	0.86	0.89	0.86	0.87	0.97	0.36
LE	0.87	0.79	0.87	0.79	0.95	0.45
Rn	0.98	0.74	0.98	0.74	0.99	0.43
			Anoma	lies		
GPP_R	0.18	0.67	0.12	0.68	0.38	0.32
GPP_L	0.16	0.67	0.11	0.68	0.37	0.31
TER_R	0.14	0.48	0.15	0.48	0.36	0.17
TER_L	0.10	0.58	0.13	0.57	0.35	0.18
NEE	0.13	0.56	0.13	0.55	0.43	0.20
Н	0.43	0.81	0.41	0.81	0.77	0.34
LE	0.21	0.78	0.21	0.77	0.46	0.32
Rn	0.57	0.81	0.54	0.83	0.84	0.41

Table A1: Accuracy of CO_2 and energy fluxes predicted by machine learning method based on RS and RS+METEO setup. The median value and the standard deviation across methods (in brackets and estimated as reported in Jung et al., 2009) are shown.

FLUXES		RS		RS+METEO			
FLUAES	MEF	RMSE	Abs BIAS	MEF	RMSE	Abs BIAS	
GPP	0.698 (±0.012)	1.604 (±0.033)	0.022 (±0.019)	0.694 (±0.012)	1.614 (±0.032)	0.073 (±0.011)	
GPP_{HB}	$0.700~(\pm 0.009)$	$1.564~(\pm 0.024)$	$0.023~(\pm 0.024)$	$0.701~(\pm 0.008)$	1.561 (±0.020)	$0.083~(\pm 0.011)$	
TER	0.612 (±0.022)	1.183 (±0.033)	$0.026~(\pm 0.025)$	$0.623~(\pm 0.005)$	$1.166~(\pm 0.008)$	$0.089~(\pm 0.033)$	
TER_{HB}	$0.571~(\pm 0.016)$	$1.218\ (\pm0.023)$	$0.019~(\pm 0.017)$	$0.609~(\pm 0.001)$	1.163 (±0.002)	$0.079~(\pm 0.017)$	
NEE	$0.433~(\pm 0.017)$	$1.270~(\pm 0.019)$	$0.024~(\pm 0.021)$	$0.407~(\pm 0.029)$	1.298 (±0.032)	$0.014~(\pm 0.003)$	
Н	$0.767~(\pm 0.015)$	$1.426~(\pm 0.047)$	$0.014~(\pm 0.005)$	$0.776~(\pm 0.008)$	$1.397 (\pm 0.025)$	$0.022~(\pm 0.009)$	
LE	$0.739~(\pm 0.015)$	$1.418\ (\pm0.042)$	$0.052~(\pm 0.046)$	$0.734~(\pm 0.003)$	$1.434~(\pm 0.009)$	$0.023~(\pm 0.008)$	
Rn	$0.909 (\pm 0.009)$	$1.589 (\pm 0.082)$	$0.030 (\pm 0.025)$	$0.908 (\pm 0.008)$	$1.600 (\pm 0.070)$	$0.073 (\pm 0.015)$	

Table B1: Mean values of the determination coefficient (R^2) by the pair-wise comparison of the models output and their residuals. We compared different ML and same drivers (RS and RS+METEO respectively) or the same ML and different drivers (RS vs RS+METEO). Numbers in brackets were the standard deviation of R^2 . All correlations were statistically significant (p < 0.001).

	Correlation as	mong models outp	out	Correlation among models residuals			
Fluxes	RS	RS+METEO	RS vs RS+METEO	RS	RS+METEO	RS vs RS+METEO	
GPP_R	0.95 (0.02)	0.95 (0.02)	0.89 (0.02)	0.88 (0.04)	0.87 (0.04)	0.74 (0.04)	
GPP_L	0.95 (0.02)	0.94 (0.02)	0.88 (0.02)	0.88 (0.04)	0.86 (0.04)	0.72 (0.04)	
TER_R	0.91 (0.03)	0.94 (0.03)	0.86 (0.04)	0.86 (0.05)	0.88 (0.05)	0.75 (0.06)	
TER_L	0.92 (0.03)	0.93 (0.03)	0.85 (0.03)	0.89 (0.04)	0.88 (0.05)	0.77 (0.05)	
NEE	0.84 (0.06)	0.84 (0.07)	0.75 (0.08)	0.88 (0.05)	0.87 (0.06)	0.80 (0.06)	
Н	0.94 (0.02)	0.96 (0.02)	0.93 (0.03)	0.80 (0.06)	0.87 (0.05)	0.76 (0.08)	
LE	0.94 (0.02)	0.96 (0.01)	0.90 (0.02)	0.83 (0.05)	0.88 (0.04)	0.73 (0.04)	
Rn	0.98 (0.01)	0.99 (0.00)	0.97 (0.01)	0.79 (0.08)	0.86 (0.03)	0.71 (0.12)	

Table C1. Median site-by-site R² and its standard deviation (in brackets and estimated as reported in Jung et al., 2009) for the CO₂ fluxes, per PFT and climate zones. List of acronyms: ENF, was evergreen needleleaf forest; DBF, deciduous broadleaf forest; EBF, Evergreen broadleaf forest; MF, mixed forest; SHR, shrubland; SAV, Savannah; GRA, Grassland; CRO, cropland; WET, Wetland; Trop, Tropical; SubTrop, subtropical; Dry, dry and arid land; Tmp, Temperate; TmpCont, Temperate-continental; Bor, boreal; Cold, cold and polar environment or covered by ice.

CAT	G	PP_R	G	PP_L	TI	ΞR_R	TI	ER _L	N	EE
	RS	RS+METE	RS	RS+METE	RS	RS+METE	RS	RS+METE	RS	RS+METE
		O		O		O		O		O
ENF	0.87 (0.10)	0.86 (0.10)	0.85 (0.12)	0.86 (0.12)	0.81 (0.15)	0.85 (0.11)	0.75 (0.24)	0.76 (0.20)	0.50 (0.34)	0.55 (0.30)
DBF	0.89 (0.07)	0.87 (0.09)	0.87 (0.07)	0.88(0.08)	0.81 (0.12)	0.83 (0.13)	0.76 (0.14)	0.76 (0.14)	0.72 (0.16)	0.68 (0.17)
EBF	0.50 (0.29)	0.48 (0.20)	0.48 (0.29)	0.44 (0.28)	0.34 (0.34)	0.49 (0.35)	0.15 (0.18)	0.29 (0.20)	0.26 (0.23)	0.24 (0.26)
MF	0.91 (0.06)	0.95 (0.02)	0.91 (0.03)	0.95 (0.04)	0.85 (0.10)	0.90(0.07)	0.84 (0.10)	0.86 (0.15)	0.73 (0.10)	0.75 (0.09)
SHR	0.67 (0.30)	0.71 (0.28)	0.67 (0.36)	0.72 (0.23)	0.80 (0.13)	0.78 (0.24)	0.68 (0.18)	0.66 (0.38)	0.37 (0.38)	0.41 (0.31)
SAV	0.75 (0.13)	0.70 (0.13)	0.72 (0.05)	0.67 (0.17)	0.65 (0.07)	0.72 (0.11)	0.55 (0.16)	0.61 (0.10)	0.38 (0.20)	0.34 (0.29)
GRA	0.69 (0.27)	0.62 (0.33)	0.69 (0.25)	0.60 (0.32)	0.70 (0.25)	0.73 (0.25)	0.66 (0.20)	0.72 (0.21)	0.40 (0.29)	0.36 (0.30)
CRO	0.58 (0.41)	0.44 (0.36)	0.56 (0.41)	0.45 (0.31)	0.78 (0.17)	0.76 (0.15)	0.68 (0.22)	0.65 (0.23)	0.35 (0.46)	0.33 (0.43)
WET	0.87 (0.11)	0.91 (0.07)	0.85 (0.12)	0.87 (0.09)	0.78 (0.19)	0.83 (0.14)	0.65 (0.17)	0.74 (0.20)	0.64 (0.16)	0.61 (0.24)
Trop	0.32 (0.46)	0.40 (0.39)	0.63 (0.23)	0.31 (0.32)	0.25 (0.23)	0.34 (0.47)	0.11 (0.13)	0.26 (0.14)	0.28 (0.35)	0.21 (0.30)
SubTrop	0.64 (0.26)	0.66 (0.28)	0.65 (0.26)	0.65 (0.24)	0.64 (0.25)	0.66 (0.26)	0.52 (0.24)	0.55 (0.28)	0.39 (0.37)	0.39 (0.26)
Dry	0.47 (0.27)	0.40 (0.33)	0.50 (0.25)	0.38 (0.30)	0.62 (0.25)	0.62 (0.38)	0.55 (0.19)	0.55 (0.39)	0.21 (0.29)	0.11 (0.14)
Tmp	0.81 (0.19)	0.74 (0.24)	0.83 (0.14)	0.78 (0.22)	0.78 (0.13)	0.77 (0.18)	0.68 (0.20)	0.72 (0.17)	0.56 (0.28)	0.47 (0.34)
TmpCont	0.86 (0.09)	0.82 (0.16)	0.84 (0.11)	0.80 (0.17)	0.81 (0.12)	0.78 (0.14)	0.75 (0.17)	0.76 (0.15)	0.54 (0.42)	0.53 (0.36)
Bor	0.90 (0.07)	0.90 (0.07)	0.92 (0.06)	0.89 (0.07)	0.90 (0.05)	0.91 (0.04)	0.86 (0.08)	0.89 (0.06)	0.59 (0.31)	0.59 (0.25)
Cold	0.56 (0.57)	0.50 (0.56)	0.49 (0.62)	0.46 (0.59)	0.84 (0.20)	0.86 (0.13)	0.50 (0.38)	0.55 (0.23)	0.47 (0.56)	0.45 (0.57)

Table C2. Median site-by-site RMSE and its standard deviation (in brackets and estimated as reported in Jung et al., 2009) for the CO₂ fluxes per PFT and climate zones. List of acronyms: ENF, was evergreen needleleaf forest; DBF, deciduous broadleaf forest; EBF, Evergreen broadleaf forest; MF, mixed forest; SHR, shrubland; SAV, Savannah; GRA, Grassland; CRO, cropland; WET, Wetland; Trop, Tropical; SubTrop, subtropical; Dry, dry and arid land; Tmp, Temperate; TmpCont, Temperate-continental; Bor, boreal; Cold, cold and polar environment or covered by ice.

CAT	GPP _R (§	gCm ⁻² d ⁻ 1)	GPP _L (§	gCm ⁻² d ⁻ 1)	TER _R (§	gCm ⁻² d ⁻ 1)	TER _L (§	gCm ⁻² d ⁻ 1)	NEE (g	Cm ⁻² d ⁻ 1)
	RS	RS+METE	RS	RS+METE	RS	RS+METE	RS	RS+METE	RS	RS+METE
		O		O		O		O		O
ENF	1.05 (0.60)	1.12 (0.60)	1.04 (0.59)	1.14 (0.66)	0.82 (0.50)	0.80 (0.52)	0.87 (0.60)	0.91 (0.68)	0.87 (0.51)	0.86 (0.53)
DBF	1.21 (0.78)	1.35 (0.59)	1.17 (0.68)	1.36 (0.62)	0.68 (0.26)	0.76 (0.33)	0.76 (0.33)	0.93 (0.44)	1.28 (0.39)	1.28 (0.39)
EBF	1.70 (0.55)	1.64 (0.85)	1.65 (0.70)	1.46 (0.51)	1.23 (0.69)	1.48 (0.85)	1.88 (1.23)	1.71 (0.73)	1.15 (0.48)	1.15 (0.45)
MF	0.87 (0.17)	0.76 (0.45)	0.89 (0.27)	0.97 (0.56)	0.65 (0.18)	0.73 (0.42)	0.79 (0.14)	0.79 (0.18)	0.91 (0.47)	0.81 (0.29)
SHR	0.73 (0.47)	0.78 (0.46)	0.69 (0.44)	0.77 (0.37)	0.50 (0.33)	0.70 (0.41)	0.50 (0.34)	0.55 (0.36)	0.57 (0.31)	0.52 (0.15)
SAV	0.83 (0.44)	0.81 (0.18)	0.87 (0.45)	0.84 (0.18)	0.80 (0.53)	0.68 (0.41)	0.86 (0.55)	0.77 (0.38)	0.71 (0.36)	0.69 (0.31)
GRA	1.22 (0.64)	1.22 (0.60)	1.18 (0.68)	1.20 (0.62)	1.00 (0.48)	1.01 (0.54)	0.99 (0.58)	0.95 (0.52)	0.76 (0.61)	0.85 (0.49)
CRO	1.69 (1.38)	2.30 (1.02)	1.57 (1.42)	2.24 (1.10)	0.87 (0.46)	0.90 (0.57)	0.80 (0.51)	0.98 (0.57)	1.42 (0.90)	1.44 (0.70)
WET	1.04 (0.95)	0.93 (0.77)	1.03 (0.96)	0.78 (0.53)	1.04 (0.87)	0.98 (0.82)	1.07 (0.51)	1.02 (0.51)	0.46 (0.19)	0.64 (0.26)
Trop	1.93 (0.46)	1.74 (1.01)	2.24 (0.62)	1.56 (0.78)	2.07 (0.69)	1.55 (0.87)	2.47 (0.74)	2.05 (0.43)	1.28 (0.29)	1.17 (0.46)
SubTrop	1.37 (0.55)	1.40 (0.61)	1.37 (0.56)	1.38 (0.57)	1.03 (0.46)	1.00 (0.41)	1.08 (0.36)	1.11 (0.40)	1.13 (0.63)	1.15 (0.62)
Dry	0.60 (0.24)	0.78 (0.36)	0.63 (0.16)	0.74 (0.30)	0.49 (0.10)	0.54 (0.20)	0.58 (0.26)	0.67 (0.32)	0.41 (0.13)	0.46 (0.15)
Tmp	1.73 (1.02)	1.82 (0.99)	1.73 (0.98)	1.71 (1.03)	1.09 (0.54)	1.17 (0.67)	1.24 (0.57)	1.31 (0.59)	1.43 (0.59)	1.40 (0.61)
TmpCont	1.01 (0.42)	1.29 (0.59)	1.00 (0.45)	1.26 (0.57)	0.71 (0.30)	0.75 (0.38)	0.74 (0.31)	0.79 (0.34)	0.95 (0.39)	1.02 (0.43)
Bor	0.66 (0.27)	0.70 (0.36)	0.66 (0.27)	0.67 (0.33)	0.48 (0.27)	0.47 (0.27)	0.48 (0.16)	0.45 (0.21)	0.50 (0.32)	0.48 (0.22)
Cold	0.44 (0.04)	0.58 (0.42)	0.51 (0.24)	0.46 (0.32)	0.41 (0.06)	0.23 (0.06)	0.57 (0.16)	0.29 (0.12)	0.51 (0.21)	0.54 (0.35)

Table C3. Median site-by-site absolute bias and its standard deviation (in brackets and estimated as reported in Jung et al., 2009) for the CO₂ fluxes per PFT and climate zones. List of acronyms: ENF, was evergreen needleleaf forest; DBF, deciduous broadleaf forest; EBF, Evergreen broadleaf forest; MF, mixed forest; SHR, shrubland; SAV, Savannah; GRA, Grassland; CRO, cropland; WET, Wetland; Trop, Tropical; SubTrop, subtropical; Dry, dry and arid land; Tmp, Temperate; TmpCont, Temperate-continental; Bor, boreal; Cold, cold and polar environment or covered by ice.

CAT	GPP _R (g	gCm ⁻² d ⁻ 1)	GPP _L (g	gCm ⁻² d ⁻ 1)	TER _R (§	gCm ⁻² d ⁻ 1)	TER _L (g	gCm ⁻² d ⁻ 1)	NEE (g	;Cm ⁻² d ⁻ 1)
	RS	RS+METE	RS	RS+METE	RS	RS+METE	RS	RS+METE	RS	RS+METE
		O		O		O		O		O
ENF	0.53 (0.46)	0.54 (0.56)	0.45 (0.42)	0.48 (0.50)	0.47 (0.47)	0.50 (0.54)	0.42 (0.40)	0.41 (0.43)	0.39 (0.44)	0.32 (0.36)
DBF	0.43 (0.38)	0.56 (0.59)	0.42 (0.36)	0.50 (0.52)	0.29 (0.32)	0.35 (0.35)	0.39 (0.33)	0.42 (0.34)	0.60 (0.28)	0.55 (0.30)
EBF	0.82 (0.91)	0.77 (0.50)	0.75 (0.81)	0.76 (0.48)	0.88 (0.98)	0.84 (0.72)	0.76 (0.81)	0.93 (0.65)	0.36 (0.45)	0.46 (0.44)
MF	0.47 (0.20)	0.34 (0.38)	0.38 (0.29)	0.57 (0.29)	0.39 (0.28)	0.41 (0.13)	0.37 (0.15)	0.30 (0.35)	0.34 (0.49)	0.32 (0.36)
SHR	0.38 (0.37)	0.54 (0.49)	0.38 (0.44)	0.39 (0.47)	0.36 (0.38)	0.50 (0.43)	0.31 (0.40)	0.32 (0.23)	0.27 (0.27)	0.28 (0.24)
SAV	0.42 (0.40)	0.36 (0.21)	0.35 (0.40)	0.23 (0.15)	0.43 (0.41)	0.35 (0.23)	0.42 (0.37)	0.31 (0.10)	0.23 (0.21)	0.19 (0.10)
GRA	0.60 (0.59)	0.48 (0.49)	0.60 (0.56)	0.52 (0.55)	0.38 (0.29)	0.36 (0.37)	0.44 (0.39)	0.38 (0.38)	0.17 (0.20)	0.31 (0.31)
CRO	0.47 (0.37)	0.66 (0.44)	0.36 (0.33)	0.56 (0.47)	0.29 (0.32)	0.25 (0.22)	0.29 (0.32)	0.30 (0.29)	0.41 (0.31)	0.56 (0.55)
WET	0.54 (0.64)	0.28 (0.41)	0.55 (0.62)	0.29 (0.25)	0.72 (0.35)	0.48 (0.52)	0.69 (0.29)	0.50 (0.51)	0.24 (0.19)	0.30 (0.25)
Trop	1.66 (1.31)	0.67 (0.79)	1.71 (1.23)	0.77 (0.86)	1.73 (0.88)	1.16 (1.19)	1.94 (0.81)	1.21 (0.67)	0.52 (0.57)	0.38 (0.55)
SubTrop	0.54 (0.45)	0.55 (0.43)	0.50 (0.38)	0.52 (0.55)	0.46 (0.44)	0.53 (0.47)	0.47 (0.35)	0.42 (0.37)	0.34 (0.44)	0.37 (0.34)
Dry	0.31 (0.20)	0.33 (0.26)	0.33 (0.38)	0.36 (0.29)	0.24 (0.21)	0.32 (0.35)	0.34 (0.21)	0.43 (0.26)	0.14 (0.08)	0.22 (0.14)
Tmp	0.72 (0.55)	0.77 (0.71)	0.66 (0.59)	0.63 (0.56)	0.50 (0.46)	0.47 (0.50)	0.51 (0.55)	0.41 (0.45)	0.46 (0.43)	0.51 (0.41)
TmpCont	0.45 (0.35)	0.60 (0.52)	0.39 (0.35)	0.57 (0.47)	0.37 (0.28)	0.29 (0.25)	0.37 (0.33)	0.38 (0.37)	0.35 (0.40)	0.55 (0.55)
Bor	0.36 (0.30)	0.32 (0.34)	0.32 (0.24)	0.27 (0.31)	0.32 (0.40)	0.32 (0.33)	0.31 (0.35)	0.26 (0.32)	0.27 (0.26)	0.23 (0.26)
Cold	0.07 (0.00)	0.08 (0.09)	0.08 (0.12)	0.15 (0.06)	0.34 (0.04)	0.12 (0.06)	0.34 (0.06)	0.15 (0.01)	0.37 (0.15)	0.27 (0.27)

Table C4. Median site-by-site R² and its standard deviation (in brackets and estimated as reported in Jung et al., 2009) for the energy fluxes per PFT and climate zones. List of acronyms: ENF, was evergreen needleleaf forest; DBF, deciduous broadleaf forest; EBF, Evergreen broadleaf forest; MF, mixed forest; SHR, shrubland; SAV, Savannah; GRA, Grassland; CRO, cropland; WET, Wetland; Trop, Tropical; SubTrop, subtropical; Dry, dry and arid land; Tmp, Temperate; TmpCont, Temperate-continental; Bor, boreal; Cold, cold and polar environment or covered by ice.

CAT		Н	I	LE]	Rn		
	RS	RS+METE	RS	RS+METE	RS	RS+METE		
		O		O		O		
ENF	0.87 (0.10)	0.86 (0.10)	0.83 (0.10)	0.84 (0.11)	0.97 (0.02)	0.97 (0.02)		
DBF	0.76 (0.18)	0.74 (0.12)	0.87 (0.05)	0.87 (0.07)	0.97 (0.01)	0.97 (0.02)		
EBF	0.85 (0.13)	0.82 (0.17)	0.56 (0.30)	0.52 (0.42)	0.95 (0.05)	0.96 (0.03)		
MF	0.85 (0.06)	0.82 (0.10)	0.91 (0.07)	0.89 (0.06)	0.97 (0.02)	0.96 (0.02)		
SHR	0.83 (0.15)	0.83 (0.17)	0.73 (0.29)	0.77 (0.23)	0.98 (0.01)	0.97 (0.01)		
SAV	0.74 (0.25)	0.77 (0.26)	0.85 (0.06)	0.78 (0.11)	0.86 (0.05)	0.88 (0.10)		
GRA	0.72 (0.22)	0.71 (0.22)	0.85 (0.11)	0.83 (0.16)	0.96 (0.02)	0.96 (0.02)		
CRO	0.70 (0.16)	0.66 (0.18)	0.79 (0.14)	0.80 (0.14)	0.97 (0.02)	0.96 (0.02)		
WET	0.81 (0.06)	0.78 (0.14)	0.86 (0.10)	0.84 (0.06)	0.94 (0.02)	0.92 (0.06)		
Trop	0.52 (0.18)	0.60 (0.32)	0.56 (0.38)	0.50 (0.44)	0.86 (0.14)	0.89 (0.13)		
SubTrop	0.81 (0.18)	0.82 (0.18)	0.78 (0.13)	0.80 (0.13)	0.96 (0.03)	0.96 (0.02)		
Dry	0.87 (0.07)	0.86 (0.13)	0.80 (0.07)	0.79 (0.14)	0.90 (0.06)	0.93 (0.05)		
Tmp	0.78 (0.14)	0.78 (0.13)	0.86 (0.11)	0.83 (0.13)	0.97 (0.02)	0.96 (0.02)		
TmpCont	0.72 (0.18)	0.69 (0.18)	0.83 (0.08)	0.84 (0.09)	0.97 (0.02)	0.96 (0.02)		
Bor	0.90 (0.07)	0.89 (0.08)	0.92 (0.05)	0.92 (0.03)	0.98 (0.01)	0.97 (0.02)		
Cold	0.83 (0.12)	0.57 (0.19)	0.83 (0.08)	0.82 (0.07)	0.94 (0.03)	0.85 (0.13)		

Table C5. Median site-by-site RMSE and its standard deviation (in brackets and estimated as reported in Jung et al., 2009) for the energy fluxes per PFT and climate zones. List of acronyms: ENF, was evergreen needleleaf forest; DBF, deciduous broadleaf forest; EBF, Evergreen broadleaf forest; MF, mixed forest; SHR, shrubland; SAV, Savannah; GRA, Grassland; CRO, cropland; WET, Wetland; Trop, Tropical; SubTrop, subtropical; Dry, dry and arid land; Tmp, Temperate; TmpCont, Temperate-continental; Bor, boreal; Cold, cold and polar environment or covered by ice.

CAT	H (M.	Jm ⁻² d ⁻¹)	LE (M	[Jm ⁻² d ⁻¹)	Rn (M	Rn (MJm ⁻² d ⁻¹)		
	RS	RS+METE	RS	RS+METE	RS	RS+METE		
		O		O		O		
ENF	1.09 (0.25)	1.16 (0.25)	1.00 (0.56)	1.02 (0.55)	1.27 (0.68)	1.26 (0.57)		
DBF	1.30 (0.43)	1.31 (0.38)	1.22 (0.26)	1.14 (0.46)	1.11 (0.42)	1.24 (0.41)		
EBF	1.14 (0.60)	1.29 (0.76)	1.55 (0.39)	1.60 (0.46)	1.33 (0.43)	1.14 (0.56)		
MF	1.18 (0.44)	1.12 (0.42)	0.82 (0.37)	1.15 (0.54)	1.14 (0.45)	1.09 (0.43)		
SHR	1.21 (0.46)	1.14 (0.28)	1.12 (0.41)	1.11 (0.56)	1.37 (0.80)	1.01 (0.43)		
SAV	1.23 (0.25)	1.20 (0.22)	1.32 (0.56)	1.35 (0.30)	1.10 (0.33)	1.19 (0.60)		
GRA	1.14 (0.35)	1.08 (0.47)	1.09 (0.34)	1.32 (0.54)	1.48 (0.83)	1.48 (0.90)		
CRO	1.24 (0.45)	1.36 (0.33)	1.51 (0.61)	1.54 (0.35)	1.24 (0.52)	1.23 (0.26)		
WET	0.97 (0.36)	1.22 (0.60)	0.88 (0.13)	0.90 (0.18)	1.42 (0.51)	1.65 (0.71)		
Trop	0.98 (0.51)	1.19 (0.63)	1.60 (0.52)	1.62 (0.41)	1.33 (0.73)	1.03 (0.48)		
SubTrop	1.28 (0.38)	1.32 (0.46)	1.36 (0.62)	1.36 (0.53)	1.40 (0.40)	1.33 (0.49)		
Dry	1.07 (0.24)	1.05 (0.50)	1.21 (0.33)	1.27 (0.52)	1.61 (0.75)	2.02 (0.93)		
Tmp	1.18 (0.23)	1.15 (0.33)	1.18 (0.43)	1.17 (0.49)	1.10 (0.36)	1.14 (0.47)		
TmpCont	1.30 (0.42)	1.35 (0.37)	1.25 (0.41)	1.47 (0.37)	1.17 (0.65)	1.16 (0.54)		
Bor	0.98 (0.23)	1.05 (0.26)	0.70 (0.26)	0.61 (0.20)	0.88 (0.31)	1.08 (0.50)		
Cold	1.03 (0.36)	1.50 (0.55)	1.00 (0.23)	1.03 (0.45)	1.47 (0.18)	2.04 (0.19)		

Table C6. Median site-by-site absolute bias and its standard deviation (in brackets and estimated as reported in Jung et al., 2009) for the energy fluxes. List of acronyms: ENF, was evergreen needleleaf forest; DBF, deciduous broadleaf forest; EBF, Evergreen broadleaf forest; MF, mixed forest; SHR, shrubland; SAV, Savannah; GRA, Grassland; CRO, cropland; WET, Wetland; Trop, Tropical; SubTrop, subtropical; Dry, dry and arid land; Tmp, Temperate; TmpCont, Temperate-continental; Bor, boreal; Cold, cold and polar environment or covered by ice.

CAT	H (M.	Jm ⁻² d ⁻¹)	LE (M	[Jm ⁻² d ⁻¹)	Rn (M	Rn (MJm ⁻² d ⁻¹)		
	RS	RS+METE	RS	RS+METE	RS	RS+METE		
		O		O		O		
ENF	0.44(0.40)	0.40 (0.33)	0.42 (0.41)	0.44 (0.49)	0.78 (0.63)	0.64 (0.61)		
DBF	0.60 (0.35)	0.66 (0.35)	0.57 (0.56)	0.49 (0.50)	0.38 (0.28)	0.61 (0.49)		
EBF	0.38 (0.48)	0.55 (0.46)	0.97 (0.79)	0.88 (0.70)	0.88 (0.51)	0.62 (0.43)		
MF	0.48 (0.40)	0.26 (0.31)	0.34 (0.40)	0.64 (0.52)	0.56 (0.45)	0.56 (0.57)		
SHR	0.34 (0.43)	0.47 (0.52)	0.41 (0.41)	0.50 (0.43)	0.62 (0.76)	0.44 (0.52)		
SAV	0.68 (0.35)	0.56 (0.15)	0.63 (0.80)	0.40 (0.15)	0.27 (0.22)	0.63 (0.55)		
GRA	0.51 (0.39)	0.40 (0.24)	0.38 (0.38)	0.57 (0.50)	0.97 (0.81)	0.81 (1.03)		
CRO	0.23 (0.21)	0.24 (0.24)	0.36 (0.38)	0.41 (0.50)	0.66 (0.58)	0.68 (0.39)		
WET	0.47 (0.51)	0.67 (0.37)	0.54 (0.41)	0.38 (0.21)	0.34 (0.34)	0.83 (0.78)		
Trop	0.37 (0.51)	0.67 (0.47)	0.97 (0.79)	1.24 (0.82)	0.94 (1.10)	0.63 (0.60)		
SubTrop	0.58 (0.59)	0.50 (0.39)	0.62 (0.58)	0.58 (0.56)	0.83 (0.71)	0.70 (0.55)		
Dry	0.68 (0.62)	0.55 (0.56)	0.21 (0.14)	0.30 (0.26)	1.06 (0.55)	1.61 (0.91)		
Tmp	0.38 (0.23)	0.34 (0.31)	0.49 (0.46)	0.56 (0.54)	0.65 (0.49)	0.68 (0.58)		
TmpCont	0.49 (0.41)	0.40 (0.46)	0.44 (0.51)	0.53 (0.50)	0.69 (0.72)	0.61 (0.58)		
Bor	0.33 (0.32)	0.38 (0.24)	0.22 (0.16)	0.23 (0.24)	0.38 (0.27)	0.50 (0.47)		
Cold	0.43 (0.46)	0.71 (0.11)	0.56 (0.31)	0.39 (0.18)	0.30 (0.29)	0.86 (0.58)		



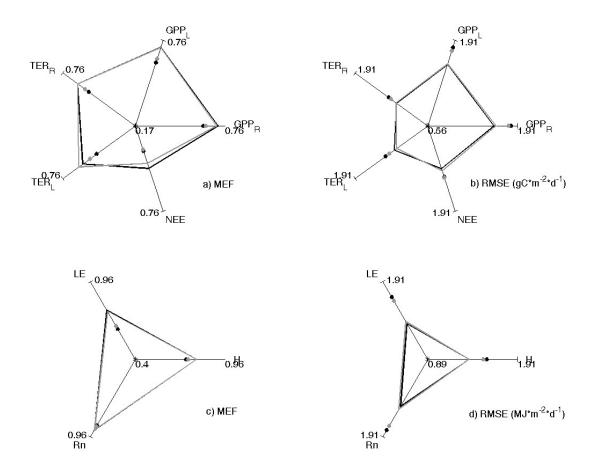
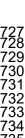


Figure 1. Spider plot of MEF (first column) and RMSE (second column) for CO₂ (first row) and energy fluxes (second row) showing the consistency of prediction made by RS (black line) and RS+METEO (grey lines) setups. The lines were the ensemble median estimate of ML; we also showed the performance of multiple regressions trained with RS (black point) and RS+METEO (gray points). GPP_R and GPP_L were respectively the gross primary production estimated following Reichstein et al. (2005) and Lasslop et al. (2010), TER_R and TER_L the total ecosystem respiration estimated following Reichstein et al. (2005) and Lasslop et al. (2010), NEE net ecosystem exchange, H the sensible heat, LE the latent heat and Rn the net radiation.



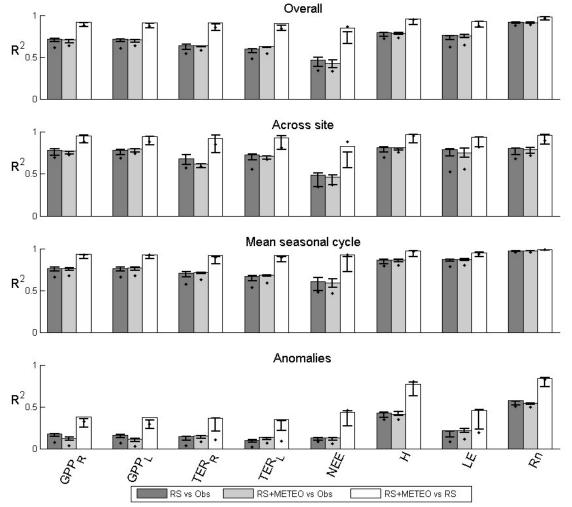


Figure 2. Coefficients of determination (R^2) from the comparison of overall time series, across-sites, mean seasonal cycle, and the anomalies, in particular: the determination coefficients between predictions by the ensemble median estimate of RS setup and observation (dark grey bars), between predictions by the ensemble median estimate of RS+METEO setup and observation (light grey bars), and between the two ensembles median estimate (white bars). Whiskers were the higher and lower R^2 when the comparisons were made among the singular ML. The comparison of output by the multiple regressions was also shown (black points). GPP_R and GPP_L were respectively the gross primary production estimated following Reichstein et al. (2005) and Lasslop et al. (2010), TER_R and TER_L the total ecosystem respiration estimated following Reichstein et al. (2005) and Lasslop et al. (2010), TER_R and TER_L the sensible heat, TER_L the latent heat and TER_L the net radiation.

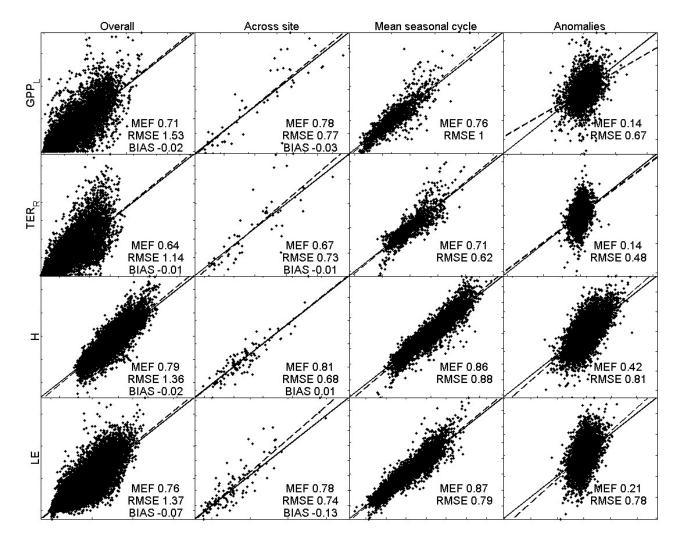


Figure 3a. Scatterplots of observed data by eddy covariance (y-axis) and the median ensemble of modeled fluxes by RS setup (x-axis). The panels from left to right were the 8-day predictions, the across sites variability, the mean seasonal cycle and the 8-day anomalies. The fluxes considered here were: the gross primary production estimated following Lasslop et al (2010), GPP_L (first row); the total ecosystem respiration estimated following Reichstein et al., (2005), TER_R (second row); the sensible heat, H (third row); the latent heat, LE (fourth row). The reference units were gCm⁻²d⁻¹ and MJm⁻²d⁻¹ for CO₂ fluxes (GPP_L and TER_R) and energy fluxes (H and LE) respectively.

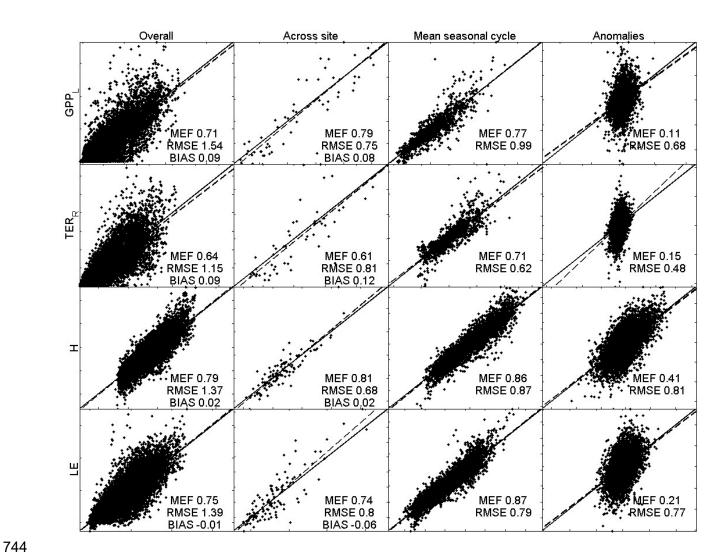


Figure 3b. As in Figure 3a but the predictions (x-axis) were obtained by the RS+METEO setup.

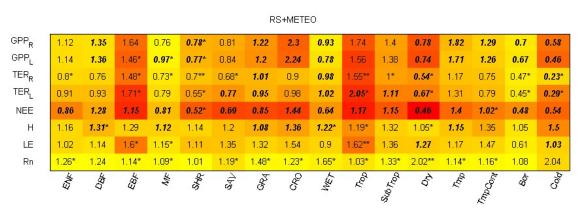


Figure 4. Performance of FLUXCOM median estimates per climate zone and plant functional type (PFT). The colored matrices show the median values of R² (red pixels for low R², yellow pixels for high R²). Numbers indicate the RMSE (units of CO₂ fluxes are gCm⁻²d⁻¹ and MJm⁻²d⁻¹ in the case of energy fluxes). Oblique and bold fonts are used when the relative RMSE (normalized for the mean observed fluxes per PFT and climate zone) was greater than 0.5. The symbols '**' after RMSE were used when the weight of bias (estimated as the ratio between the square of median absolute bias and the MSE) was greater than 0.5, instead '*' symbols were used if the weight of bias was between 0.25 than 0.5. No symbols were used if the weight of bias is less than 0.25. List of acronyms: ENF, was evergreen needleleaf forest; DBF, deciduous broadleaf forest; EBF, Evergreen broadleaf forest; MF, mixed forest; SHR, shrubland; SAV, Savannah; GRA, Grassland; CRO, cropland; WET, Wetland; Trop, Tropical; SubTrop, subtropical; Dry, dry and arid land; Tmp, Temperate; TmpCont, Temperate-continental; Bor, boreal; Cold, cold and polar environment or covered by ice; GPP_R and GPP_L were respectively the gross primary production estimated following Reichstein et al. (2005) and Lasslop et al. (2010); TER_R and TER_L the total ecosystem respiration estimated following Reichstein et al. (2005) and Lasslop et al. (2010); NEE, net ecosystem exchange; H, sensible heat; LE, latent heat; Rn net radiation.