

1 **Biogeochemical and biological impacts of diazotroph**  
2 **blooms in a Low Nutrient Low Chlorophyll ecosystem:**  
3 **synthesis from the VAHINE mesocosm experiment (New**  
4 **Caledonia)**

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33 **Abstract**

34 In marine ecosystems, N<sub>2</sub> fixation provides the predominant external source of nitrogen (N)  
35 (140±50 Tg N yr<sup>-1</sup>), contributing more than atmospheric and riverine inputs to the N supply.  
36 Yet the fate and magnitude of the newly-fixed N, or diazotroph-derived N (hereafter named  
37 DDN) in marine ecosystems is poorly understood. Moreover, whether the DDN is  
38 preferentially and directly exported out of the photic zone, recycled by the microbial loop,  
39 and/or transferred into larger organisms remains unclear. These questions were investigated in  
40 the framework of the VAHINE (VAriability of vertical and troPHic transfer of diazotroph  
41 derived N in the south wEst Pacific) project. Triplicate large volume (~ 50 m<sup>3</sup>) mesocosms  
42 were deployed in the tropical South West Pacific coastal ocean (New Caledonia). The  
43 mesocosms were intentionally fertilized with ~0.8 μM dissolved inorganic phosphorus (DIP)  
44 at the start of the experiment to stimulate diazotrophy. A total of 47 stocks, fluxes, enzymatic  
45 activities and diversity parameters were measured daily inside and outside the mesocosms by  
46 the 40 scientists involved in the project. The experiment lasted for 23 days and was  
47 characterized by two distinct and successive diazotroph blooms: a dominance of diatom-  
48 diazotroph associations (DDAs) during the first half of the experiment (days 2-14) followed  
49 by a bloom of UCYN-C during the second half of the experiment (days 15-23). These  
50 conditions provided a unique opportunity to compare the DDN transfer and export efficiency  
51 associated with different diazotrophs. Here we summarize the major experimental and  
52 modelling results obtained during the project and described in the VAHINE Special issue, in  
53 particular those regarding the evolution of the main standing stocks, fluxes and biological  
54 characteristics over the 23-days experiment, the contribution of N<sub>2</sub> fixation to export fluxes,  
55 the DDN released to dissolved pool and its transfer to the planktonic food web (bacteria,  
56 phytoplankton, zooplankton). We then apply our Eco3M modelling platform to further infer  
57 the fate of DDN in the ecosystem and role of N<sub>2</sub> fixation on productivity, food web structure  
58 and carbon export. Recommendations for future work are finally provided in the conclusion  
59 section.

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## 67 **1 Introduction**

68 Atmospheric dinitrogen ( $N_2$ ) is the largest pool of nitrogen (N) on earth yet it is unavailable  
69 for most organisms that require N for growth. Biological fixation of  $N_2$  (or diazotrophy) is  
70 catalyzed by the nitrogenase enzyme (encoded by the *nifH* genes) that converts the inert  
71 triple-bond  $N_2$  into bioavailable ammonium ( $NH_4^+$ ). This process has long been studied in  
72 terrestrial agriculture as it increases the yield of crops associated with diazotrophs. In the  
73 ocean, diazotrophy provides the predominant external source of N ( $140 \pm 50$  Tg N  $yr^{-1}$ )  
74 contributing more than atmospheric and riverine inputs (Gruber, 2004). Moreover,  $N_2$  fixation  
75 acts as a natural fertilizer adding a source of new N that is available for non-diazotrophic  
76 primary producers and bacterioplankton especially in Low Nutrient, Low Chlorophyll  
77 (LNLC) ecosystems, where N is the proximal limiting nutrient (Moore et al., 2013). LNLC  
78 ecosystems include the vast oligotrophic subtropical gyres and represent more than 60 % of  
79 the global ocean area.  $N_2$ -fixing organisms have a competitive advantage and sustain a large  
80 percentage (~50 %) of new primary production (PP) e.g. (Karl et al., 2002) in these vast  
81 ecosystems.

82 The non-heterocystous filamentous cyanobacterium *Trichodesmium* spp. remains the most  
83 studied marine diazotroph. Based on direct rate measurements, *Trichodesmium* accounts for a  
84 quarter to half of geochemically-derived estimates of marine  $N_2$  fixation at the global scale  
85 (Mahaffey et al., 2005). Diverse cyanobacteria and bacteria also fix  $N_2$  in marine waters.  
86 These include: (1) the heterocystous cyanobacteria frequently found in association with  
87 diatoms (diatom-diazotroph associations, hereafter referred to as DDAs (Foster and  
88 O'Mullan, 2008)) efficient at exporting organic matter out of the photic zone (Karl et al.,  
89 2012), (2) unicellular cyanobacterial lineages (UCYN-A, B, and C) with a size range from 1  
90 to 6  $\mu m$  (Moisander et al., 2010), which are key oceanic diazotrophs (Luo et al., 2012)  
91 accounting for the predominant fraction of  $N_2$  fixation in many tropical oceans (Bonnet et al.,  
92 2009; Montoya et al., 2004), and (3) non-cyanobacterial  $N_2$ -fixing bacteria and archaea that  
93 are still poorly characterized yet recent studies show they are abundant and active across the  
94 world's oceans (Bonnet et al., 2013; Farnelid et al., 2011; Farnelid and Riemann, 2008;  
95 Moisander et al., 2014).

96 While the role and contribution of marine  $N_2$  fixation on biogeochemical cycles has been  
97 intensely investigated, a critical question that remains poorly studied is the fate of newly-fixed  
98 N, or diazotroph-derived N (hereafter named DDN) in LNLC ecosystems (Mulholland, 2007).  
99 It remains unclear whether the DDN is preferentially exported directly out of the photic zone,

100 recycled by the microbial loop, and/or transferred into larger organisms, subsequently  
101 enhancing indirect particle export.

102 This question was investigated in the framework of the VAHINE (VAriability of vertical and  
103 tropHic transfer of diazotroph derived N in the south wEst Pacific) project. Here we  
104 summarize the major results described in the VAHINE Special issue and integrate them to  
105 obtain general conclusions from the experiment. In this introduction, we first summarize some  
106 of our knowledge regarding the fate of DDN in the ocean, describe the ongoing technical  
107 challenges to study this question, and the specific scientific objectives of the VAHINE  
108 project.

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## 110 **1.1 Current knowledge on the fate of DDN in the ocean**

### 111 **1.1.1 DDN release to the dissolved pool**

112 Diazotrophs release some of the recently fixed  $N_2$  as dissolved organic N (DON) and  $NH_4^+$  to  
113 the surrounding waters (Glibert and Bronk, 1994; Meador et al., 2007; Mulholland et al.,  
114 2006). Several studies have reported elevated DON and  $NH_4^+$  concentrations during and  
115 immediately after *Trichodesmium* spp. blooms in the Indian (Devassy et al., 1979; Devassy et  
116 al., 1978; Glibert and O'Neil, 1999), Pacific (Karl et al., 1992; Karl et al., 1997b), and  
117 Atlantic (Lenes et al., 2001) oceans. Subsequent culture (Hutchins et al., 2007; Karl et al.,  
118 1992; Karl et al., 1997a) and field studies (Benavides et al., 2013b; Konno et al., 2010;  
119 Mulholland and Bernhardt, 2005) have quantified that diazotrophs release ~50 % of the total  
120 fixed  $N_2$  to the dissolved pool. Most of these studies were performed on the conspicuous  
121 *Trichodesmium* spp. and were based on the difference between gross  $N_2$  fixation (measured  
122 by acetylene reduction assays) and net  $N_2$  fixation (Mulholland et al., 2004) measured using  
123 the  $^{15}N_2$  labelling technique (Montoya et al., 1996). The recent modification of the  $^{15}N_2$   
124 labelling method (Mohr et al., 2010) led to higher net  $N_2$  fixation rates and potentially reduced  
125 the gap between gross and net  $N_2$  fixation. Applying the new  $N_2$  fixation method and the  
126 direct measurement of the  $^{15}N$  signature on the released DON and  $NH_4^+$  demonstrated low  
127 release rates from *Trichodesmium* spp. and from three strains of UCYN-B and C (<1 % of  
128 total  $N_2$  fixation) (Berthelot et al., 2015a). Similar experiments (examining the direct  $^{15}N$   
129 measurement on released molecules) showed low release by UCYN-C (~1 %, (Benavides et  
130 al., 2013a)). Culture studies probably represent lower end estimates of DDN release, as in the  
131 field, exogenous factors such as viral lysis (Hewson et al., 2004; Ohki, 1999) and sloppy  
132 feeding (O'Neil et al., 1996) may enhance the leakage of DDN by UCYN, yet such field  
133 studies on these organisms are rare.

134 **1.1.2 Transfer of DDN to the trophic chain and impact on plankton community**  
135 **composition**

136 The transfer of DDN towards the first levels of the food chain (phytoplankton, bacteria) is  
137 mainly achieved through the dissolved pool. Devassy et al. (1979) first observed that as  
138 blooms of *Trichodesmium* spp. decayed in the Indian ocean, diatom populations increased  
139 (mainly *Chaetoceros* sp.), followed by a succession of cladocerans, dinoflagellates, green  
140 algae, and finally copepods. In the Atlantic, a high abundance of non-diazotrophic diatoms  
141 and dinoflagellates succeeded blooms of *Trichodesmium* spp. (Devassy et al., 1978; Furnas  
142 and Mitchell, 1996; Lenas et al., 2001), while in the pelagic waters of the Kuroshio current,  
143 *Trichodesmium* spp. and diatom abundance were positively correlated (Chen et al., 2011).  
144 These studies suggest a potential transfer of DDN from diazotrophic to non-diazotrophic  
145 phytoplankton. Actual calculations of DDN transfer were first performed by Bronk et al.  
146 (2004), Lenas and Heil (2010) and Sipler et al. (2013), who demonstrated how the DDN  
147 released by *Trichodesmium* spp. affected the bloom dynamics of the toxic dinoflagellate  
148 *Karenia brevis* in the Gulf of Mexico. Results from size-fractionation of picoplankton after  
149  $^{15}\text{N}_2$  incubations also supported the idea of a DDN transfer towards non-diazotrophic plankton  
150 (Bryceson and Fay, 1981; Olendieck et al., 2007; Garcia et al., 2007). Yet, this method could  
151 not discriminate the DDN transfer towards non-diazotrophic picoplankton from  $\text{N}_2$  fixation by  
152 picoplankton itself and thus likely overestimated the DDN transfer.

153 Thus, the actual transfer of DDN towards non-diazotrophic phytoplankton and bacteria  
154 remains poorly quantified and challenging due mainly to technical limitations as it requires  
155 appropriate methodologies to track the passage of DDN through the different components of  
156 microbial food web. Moreover, the planktonic groups (autotrophic *versus* heterotrophic, small  
157 *versus* large phytoplankton) that benefit the most from this DDN and develop during/after  
158 diazotroph blooms have not been identified so far despite their potential to differentially  
159 influence the structure of the trophic chain and eventually the mode of carbon (C) export from  
160 the photic zone.

161 Regarding higher trophic levels, low  $\delta^{15}\text{N}$  signatures measured on zooplankton indicate that  
162 DDN is transferred towards secondary producers (Montoya et al., 2002b). This transfer can be  
163 direct through the ingestion of diazotrophs (O'Neil et al., 1996; Wannicke et al., 2013a), or  
164 indirect, i.e. mediated by the dissolved N released by diazotrophs (Capone et al., 1994; Glibert  
165 and Bronk, 1994; Mulholland et al., 2004). The dissolved N (both DIN and DON) is taken up  
166 by heterotrophic and autotrophic plankton and then potentially grazed on by zooplankton, yet  
167 these pathways remain poorly explored.

168 The transfer of DDN to zooplankton may possibly depend on the diazotroph community  
169 composition in the water column. Toxicity of *Trichodesmium* spp. (Kerbrat et al., 2010)  
170 combined with poor nutritional quality reduce grazing pressure by copepods other than  
171 several harpacticoids including *Macrosetella gracilis* (O'Neil, 1999; O'Neil and Roman,  
172 1992). Stable isotope measurements performed on zooplankton suggest higher DDN uptake  
173 when the diazotroph community is dominated by DDAs rather than *Trichodesmium* spp.  
174 (Montoya et al., 2002a). Grazing experiments on UCYN have not been conducted so far and  
175 the potential of UCYN as a conduit of DDN into marine food webs remains unexplored.

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### 177 **1.1.3 Export of DDN out of the photic zone**

178 Low  $\delta^{15}\text{N}$  signatures in particles from sediment traps in the tropical North Pacific suggest that  
179 at least part of the DDN is ultimately exported out of the photic zone (Karl et al., 2012; Karl  
180 et al., 1997b; Scharek et al., 1999a; Sharek et al., 1999b). The export of DDN may either be  
181 direct through sinking of diazotrophs, or indirect, through the transfer of DDN to non-  
182 diazotrophic plankton in the photic zone, that is subsequently exported. While DDAs can  
183 directly contribute to particle export (Karl et al., 2012; Subramaniam et al., 2008; Yeung et  
184 al., 2012), the DDN export efficiency appears to depend on the diazotroph community  
185 composition present in surface waters.

186 The positive buoyancy of *Trichodesmium* spp. probably prevents its downward flux and  
187 settling in sediment traps (Capone et al., 1997; Walsby, 1992), although programmed cell  
188 death (PCD) causing bloom demise can cause rapid export of *Trichodesmium* biomass to  
189 depth (Bar-Zeev et al., 2013; Berman-Frank et al., 2004; Spungin et al., In review, 2016). In  
190 the Eastern Tropical North Pacific, when the diazotrophic community was dominated by  
191 UCYN-A and *Trichodesmium* spp.,  $\text{N}_2$  fixation contributed ~10 % of the export (White et al.,  
192 2012); when DDAs dominated the diazotrophic community they contributed ~44 % of export  
193 production, thereby suggesting that DDAs have a higher export efficiency compared to  
194 *Trichodesmium* spp. and UCYN-A. Despite their recent recognition as key oceanic  
195 diazotrophs (Luo et al., 2012), the export efficiency of UCYN from other lineages (UCYN-B  
196 and UCYN-C) is currently undetermined as no published studies of natural UCYN-B and C  
197 blooms and their fate in the ocean are available to date.

198 The determination of direct *versus* indirect export requires diazotroph quantification in both  
199 the water column and in sediment traps in addition to clarifying the actual transfer of DDN to  
200 the different groups of autotrophic and heterotrophic plankton. Few studies have thus focused  
201 on the direct coupling between  $\text{N}_2$  fixation and particulate export in general (see references

202 above). Ideally such studies require the successful encounter of an oceanic diazotroph bloom,  
203 deployment of sediment traps, and long-term (several weeks) monitoring of the  
204 biogeochemical characteristics of the water body influenced by the bloom, which are rarely  
205 accomplished. The patchy distribution of diazotrophs in the surface ocean (Bombar et al.,  
206 2015), the temporal lag between production and export, and the hydrodynamic features that  
207 may decouple production in surface and export below the photic zone (Buesseler et al., 2007)  
208 also make these studies very challenging.

209

## 210 **1.2 Scientific objectives of the VAHINE project**

211 The main scientific research priorities of the project were:

- 212 i) To quantify the DDN which enters the planktonic food web,
- 213 ii) To investigate how the development of diazotrophs influences the subsequent  
214 diversity, gene expression, and production of primary producers, heterotrophic  
215 bacterioplankton, and subsequently zooplankton abundance,
- 216 iii) To examine whether different functional types of diazotrophs significantly modify the  
217 stocks and fluxes of the major biogenic elements (C, N, P),
- 218 iv) To elucidate whether the efficiency of particulate matter export depends on the  
219 development of different functional types of diazotrophs.

220

221 To achieve these goals and concurrently determine N<sub>2</sub> fixation and particle export, we isolated  
222 large water masses containing ambient planktonic communities by deploying three large-  
223 volume (~50 m<sup>3</sup>) mesocosms (Bonnet et al., 2016b) thereby maintaining a unique water-mass  
224 with minimal disturbance of the *in-situ* light and temperature conditions (Guieu et al., 2016).  
225 The experimental location in the southwestern Pacific region was chosen as in this area some  
226 of the highest rates of oceanic N<sub>2</sub> fixation occur (Bonnet et al., 2015; Messer et al., 2015).  
227 Additionally, to enhance N<sub>2</sub> fixation, the mesocosms were intentionally fertilized with  
228 dissolved inorganic phosphorus (DIP). The experiment lasted 23 days and was characterized  
229 by a dominance of DDAs during the first half of the experiment (days 2-14) and a bloom of  
230 UCYN-C during the second half of the experiment (days 15-23), providing a unique  
231 opportunity to compare the DDN transfer and export efficiency associated with specific  
232 diazotrophs in this experimental system. Some additional process experiments performed on  
233 *Trichodesmium* spp. which bloomed outside the mesocosms on the last two days are also  
234 presented here.

235 Below, we summarize the scientific strategy used in this study, as well as some of the major  
236 results obtained during this project and propose some scientific perspectives for the future.

237

## 238 **2 Scientific strategy**

### 239 **2.1 Brief description of the mesocosms and study site**

240 The large-volume ( $\sim 50 \text{ m}^3$ ) mesocosm experiment was undertaken in New Caledonia, located  
241 1500 km east of Australia in the Coral Sea (southwestern tropical Pacific, Fig. 1). Three  
242 replicate polyethylene and vinyl acetate mesocosms (diameter 2.3 m, height 15 m, volume  
243  $\sim 50 \text{ m}^3$ , Fig. 2) were deployed 28 km off the coast of New Caledonia at the entrance to the  
244 Noumea coral lagoon ( $22^\circ 29.073 \text{ S} - 166^\circ 26.905 \text{ E}$ ) for 23 days from January 13<sup>th</sup> to February  
245 6<sup>th</sup> (austral summer). The New Caledonian lagoon was chosen as it is a well-studied  
246 environment (Special issue Marine Pollution Bulletin 2010 (Grenz and LeBorgne, 2010))  
247 submitted to high oceanic influence (Ouillon et al., 2010) and exhibiting typical LNLC  
248 conditions during the summer season ( $\text{NO}_3^-$  concentrations  $< 0.04 \mu\text{mol L}^{-1}$  and chlorophyll a  
249 (Chl *a*)  $\sim 0.10\text{-}0.15 \mu\text{g L}^{-1}$  (Fichez et al., 2010). Primary productivity is N-limited throughout  
250 the year (Torréton et al., 2010), giving diazotrophs a competitive advantage. New Caledonian  
251 waters support high  $\text{N}_2$  fixation rates ( $151\text{-}703 \mu\text{mol N m}^{-2} \text{ d}^{-1}$ , (Garcia et al., 2007)), as well  
252 as high *Trichodesmium* spp. (Dupouy et al., 2000; Rodier and Le Borgne, 2010, 2008), and  
253 UCYN abundances (Biegala and Raimbault, 2008), therefore representing an ideal location to  
254 implement the VAHINE project and study the fate of DDN in the marine ecosystem.

255 DIP availability can control  $\text{N}_2$  fixation in the southwestern Pacific (Moutin et al., 2008;  
256 Moutin et al., 2005), hence the mesocosms were intentionally fertilized with  $\sim 0.8 \mu\text{M}$  DIP  
257 ( $\text{KH}_2\text{PO}_4$ ) on the evening of day 4 to alleviate any potential DIP limitation and promote  $\text{N}_2$   
258 fixation and even diazotroph blooms for the purpose of the project.

259 The mesocosms used for this study are well suited for conducting replicated process studies  
260 on the first levels of the pelagic food web (Bonnet et al., 2016b; Guieu et al., 2010; Guieu et  
261 al., 2014). They are equipped with sediment traps allowing the collection of sinking material.  
262 Due to the height of the mesocosms (15 m), they do not represent processes occurring in the  
263 full photic layer but allow studying the dynamics of C, N, P pools/fluxes and export  
264 associated with the plankton diversity in the same water mass, and comparing these dynamics.  
265 before/after the DIP fertilization, and under contrasted conditions regarding the diazotroph  
266 community composition (cf below). Detailed surveys performed in LNLC environments  
267 revealed that temperature and light conditions are not affected by the presence of the  
268 mesocosms compared to surrounding waters (Bonnet et al., 2016b; Guieu et al., 2010; Guieu

269 et al., 2014). These studies also revealed a good replicability and low variability between  
270 stocks, fluxes and plankton diversity measurements among the replicate mesocosms. Hence,  
271 the discussion below will consider the average between the three mesocosms deployed in this  
272 study.

273

## 274 **2.2 Sampling strategy and logistics**

275 A complete description of the mesocosms design and deployment strategy is given in the  
276 introductory article (Bonnet et al., 2016b). In total, over 47 stocks, fluxes, enzymatic activities  
277 and diversity parameters were measured daily by the 40 scientists involved in the project.  
278 Protocols for each measured parameter are detailed in the specific contributions to this special  
279 issue and will not be described here. Modelling has also accompanied all steps of the project  
280 (see Gimenez et al. (In review, 2016) and section 5 below).

281 Sampling for stocks, fluxes and plankton diversity measurements was performed daily at 7 am  
282 in each of the three mesocosms (M1, M2 and M3) and in surrounding waters (hereafter called  
283 ‘lagoon waters’) from day 2 (January 15<sup>th</sup>, the day of the mesocosms closure) to day 23  
284 (February 6<sup>th</sup>) at three selected depths (1, 6 and 12 m) to study the vertical variability within  
285 mesocosms and in lagoon waters. For flux measurements, bottles were incubated on an in situ  
286 mooring line at the appropriate sampling depth set up close to the mesocosms. Vertical CTD  
287 profiles were then performed daily at 10 am in every mesocosm and in lagoon waters using a  
288 SBE 19 plus Seabird CTD to obtain the vertical profiles of temperature, salinity and  
289 fluorescence. Finally, sediment traps were collected daily by SCUBA divers at 10:30 am, see  
290 details in Bonnet et al. (2016b).

291

## 292 **3 Evolution of the main standing stocks, fluxes and biological** 293 **characteristics during the VAHINE experiment**

294 Initial hydrological and biogeochemical conditions (i.e. conditions in ambient waters the day  
295 of mesocosms deployment - January 13<sup>th</sup>, day 0) were typical of those encountered in the  
296 oligotrophic Noumea lagoon during austral summer conditions (Fichez et al., 2010; Le  
297 Borgne et al., 2010), with seawater temperature of 25.5°C, surface salinity of 35.15, NO<sub>3</sub><sup>-</sup>-  
298 depleted waters (0.04±0.01 μmol L<sup>-1</sup>), low DIP concentrations (0.04±0.01 μmol L<sup>-1</sup>), and Chl  
299 *a* concentrations of 0.20 μg L<sup>-1</sup>. N<sub>2</sub> fixation rates were 8.70±1.70 nmol N L<sup>-1</sup> d<sup>-1</sup> and the  
300 diazotroph community was dominated by DDAs (het-1 3.1 x 10<sup>4</sup> *nifH* copies L<sup>-1</sup> and het-2 1.2  
301 x10<sup>4</sup> *nifH* copies L<sup>-1</sup>) as well as UCYN-A2 (1.5 x 10<sup>4</sup> *nifH* copies L<sup>-1</sup>) and UCYN-A1 (5.6 x

302  $10^3$  *nifH* copies L<sup>-1</sup>), which together accounted for 95 % of the total *nifH* pool in the lagoon  
303 waters prior to the mesocosms closure (Turk-Kubo et al., 2015).

304 During the 23-days VAHINE mesocosm experiment, three major periods could be defined  
305 based on the main C, N, P stocks and fluxes (Berthelot et al., 2015b) and on the identity of the  
306 most abundant diazotrophs that developed in the mesocosms (Turk-Kubo et al., 2015): **P0**  
307 from days 2 to 4 (i.e. prior to the DIP fertilization that occurred on the evening of day 4), **P1**  
308 from days 5 to 14, and **P2** from days 15 to 23 (Figs. 3 and 4). Figure 3 reports the main  
309 hydrological and biogeochemical parameters during the experiment. Figure 4 provides a  
310 synoptic view of the main changes (positive, negative, neutral) in the major stocks, fluxes,  
311 and plankton community composition measured during P1 and P2 respectively.

312 Seawater temperature (Fig. 3) gradually increased both inside and outside the mesocosms  
313 over the 23-days of the experiment from 25.5°C to 26.2°C on day 23, which is the general  
314 trend observed during austral summer conditions (Le Borgne et al., 2010). The water column  
315 was well homogenized inside the mesocosms throughout the experiment (Bonnet et al.,  
316 2016b). NO<sub>3</sub><sup>-</sup> concentrations remained close to detection limit of conventional micromolar  
317 methods (0.02 μmol L<sup>-1</sup>) both inside and outside the mesocosms throughout the 23 days of the  
318 experiment (Fig. 3). The low (0.04 μmol L<sup>-1</sup>) DIP concentrations measured during P0  
319 increased in the mesocosms right after the fertilization up to ~0.8 μmol L<sup>-1</sup>, then decreased  
320 quickly to reach values close to initial DIP concentrations (~0.04 μmol L<sup>-1</sup>) at the end of the  
321 experiment.

322 A major objective of the experiment was to study the development of diazotroph blooms and  
323 the fate of DDN. Thus, our investigation of the biological response focused on diazotrophs  
324 and their subsequent influence on biological and biogeochemical signatures. N<sub>2</sub> fixation rates  
325 tripled between P1 and P2, to reach extremely high rates during P2 (27.3±1.0 nmol N L<sup>-1</sup> d<sup>-1</sup>  
326 on average and up to 70 nmol N L<sup>-1</sup> d<sup>-1</sup> (Bonnet et al., 2016a)) (Fig. 3), ranking among the  
327 highest rates reported in marine waters (Luo et al., 2012). DDAs dominated the diazotroph  
328 community composition during P1, and a bloom of UCYN-C occurred during P2 (Fig. 4).  
329 Standing stocks of Chl *a* and particulate organic N (PON) increased by a factor of 3 and 1.5  
330 between P1 and P2 and subsequently, export of PON dramatically increased (by a factor of 5)  
331 in the mesocosms during P2 (Fig. 3). These results emphasize that the experimental  
332 mesocosm setup provided ideal conditions to study the fate of DDN associated with different  
333 diazotroph communities (DDAs *versus* UCYN-C).

334 The synoptic view of the mesocosm dynamics (Fig. 4) indicates that after the DIP  
335 fertilization, DIP concentrations and DIP turn-over time increased significantly during P1, and

336 alleviated P-limitation in the microbial communities as reflected in the significant decline in  
337 alkaline phosphatase activity (APA). The major biomass-indicative standing stock parameters  
338 (Chl *a*, PON, particulate organic C (POC) and P (POP)) did not increase immediately after  
339 the DIP fertilization (P1) but during P2 (see below). Only PP increased significantly by a  
340 factor of 2 during P1, associated with a significant increase in N<sub>2</sub>-fixing DDAs and  
341 *Prochlorococcus* abundances. During P1, enhanced DIP availability enabled non-diazotrophic  
342 organisms with lower energetic requirements and higher growth rates such as  
343 *Prochlorococcus* to outcompete the diazotrophs in the mesocosms via utilization of recycled  
344 N derived from N<sub>2</sub> fixation (Bonnet et al., 2016a). Thus, while PP increased, N<sub>2</sub> fixation rates  
345 decreased significantly after the DIP spike.

346 During P2, diazotrophy was characterized by the significant increase in UCYN-C abundances  
347 that reached up to  $7 \times 10^5$  *nifH* copies L<sup>-1</sup>, concomitant with the utilization of DIP and the  
348 significant decline in DIP concentrations, DIP turn-over time, and a parallel increase of total  
349 APA. In all three mesocosms, the increase in UCYN-C abundances coincided with the day at  
350 which the DIP turnover time declined below 1 d, indicative of DIP limitation (Berthelot et al.,  
351 2015b; Moutin et al., 2005). UCYN-C may have also utilized dissolved organic phosphorus  
352 (DOP) as a P source (Bandyopadhyay, 2011), driving the significant decline in DOP  
353 concentrations observed during P2 ((Berthelot et al., 2015b), Fig. 4). The mesocosm approach  
354 also enabled the calculation of *in situ* growth rates for UCYN-C. These reached ~2 d<sup>-1</sup> during  
355 P2, i.e. higher than growth rates of any other diazotrophic phylotypes during P2 (Turk-Kubo  
356 et al., 2015), and indicating that, under NO<sub>3</sub><sup>-</sup> depletion and low DIP availability, UCYN-C  
357 was the most competitive diazotroph in the mesocosms.

358  
359 Under the high N<sub>2</sub> fixation conditions encountered during P2 ( $27.3 \pm 1.0$  nmol N L<sup>-1</sup> d<sup>-1</sup>), all  
360 standing stocks (Chl *a*, POC, PON, POP) increased in the mesocosms, together with PP and  
361 BP (Fig. 4). The corresponding NO<sub>3</sub><sup>-</sup>, DIP, DON and DOP stocks for P2 decreased, indicating  
362 active consumption by the planktonic communities. As no external supply of NO<sub>3</sub><sup>-</sup> was  
363 provided to the enclosed mesocosms, we calculated that the consumption of the NO<sub>3</sub><sup>-</sup> stock  
364 initially present in the mesocosms (0.04 μmol L<sup>-1</sup>) represented less than 11 % of the integrated  
365 N<sub>2</sub> fixation rates. Therefore, N<sub>2</sub> fixation supplied nearly all of the new production during the  
366 experiment. Our results demonstrate that in oligotrophic N-depleted systems, as long as DIP  
367 does not limit N<sub>2</sub> fixation (Berthelot et al., 2015b), diazotrophs can provide enough new N to  
368 sustain high PP rates (exceeding 2 μmol C L<sup>-1</sup> d<sup>-1</sup>) and high biomass (~ 10 μmol L<sup>-1</sup> of POC

369 and 0.7  $\mu\text{g L}^{-1}$  of Chl *a*). Furthermore, during P2, DON provided an additional N source for  
370 non-diazotrophic phytoplankton and bacteria (Berthelot et al., 2015).

371 Concurrent with the development of diazotrophic (UCYN-C) populations, the abundance of  
372 *Synechococcus*, pico-eukaryote, and nano-eukaryote primary producers also increased at the  
373 end of P2 (i.e. around day 16) (Leblanc et al., In review, 2016). The non-diazotrophic diatoms  
374 responded rapidly (i.e. around day 10-11) and increased to bloom values (100,000 cells  $\text{L}^{-1}$ )  
375 simultaneously with the UCYN-C bloom on days 15-16 and prior to the increases in the pico-  
376 and nanophytoplankton (Pfreundt et al., 2016; Van Wambeke et al., Accepted). A drastic  
377 change in the diatom community structure paralleled the UCYN-C bloom with an almost  
378 nonspecific bloom dominated by *Cylindrotheca closterium*. Despite the significant increase  
379 in BP during P2 and enrichments in the 16S transcripts of specific bacterial groups (Pfreundt  
380 et al., In review, 2016), the total abundance of heterotrophic bacteria did not change (Van  
381 Wambeke et al., Accepted), probably due to grazing. Finally, no consistent temporal pattern  
382 in zooplankton biomass was detected over the course of the experiment (Hunt et al.,  
383 Accepted), although changes were observed regarding the contribution of DDN to  
384 zooplankton biomass (see below).

385

#### 386 **4. Tracking the fate of $\text{N}_2$ fixation**

##### 387 **4.1. Contribution of $\text{N}_2$ fixation to export fluxes**

388 We specifically utilized the mesocosm approach to determine whether the composition of the  
389 diazotroph community influenced the subsequent export of particulate matter, and if so, how  
390 this was manifested. During P1, DDAs dominated the diazotroph community. For this time  
391 period, the biomass indices (Chl *a*, POC, PON, POP) were stable within the mesocosms (Fig.  
392 3, 4), suggesting that the DDN associated with DDAs remained within the symbiotic  
393 associations (i.e. was poorly transferred to the rest of the planktonic community). Moreover,  
394 the amount of recently fixed  $\text{N}_2$  equaled that of exported PON, suggesting that the recently  
395 fixed  $\text{N}_2$  by DDAs was rapidly exported (Fig. 5a) as was also observed for DDAs in the  
396 tropical North Pacific at Station ALOHA (Karl et al., 2012). DDAs such as het-1 (*Richelia* in  
397 association with the diatom *Rhizosolenia* spp.), which dominated the DDA community during  
398 P1 in the mesocosms (Turk-Kubo et al., 2015) have indeed been shown to sink at high rates in  
399 the ocean (Scharek et al., 1999a).

400 During P2 and the UCYN-C bloom, the increases in Chl *a*, POC, PON, and POP  
401 concentrations in the mesocosms suggest that a fraction of the recently produced biomass  
402 sustained by  $\text{N}_2$  fixation remained in the water column. The mesocosms enabled us to

403 determine whether export associated with diazotrophs was direct (through the sinking of  
404 diazotrophic cells) or indirect (through the transfer of DDN to non-diazotrophic plankton that  
405 is subsequently exported). The direct export of UCYN has rarely been studied (White et al.,  
406 2012). Yet, UCYN contribution to vertical flux and export was assumed to be lower than the  
407 contribution of DDAs due to their small size of (1 to 6  $\mu\text{m}$ ) and low sinking rates compared to  
408 DDAs (up to 500  $\mu\text{m}$  comprised of dense silica shells). qPCR quantification of diazotrophs in  
409 the sediment traps revealed that  $\sim 10\%$  of UCYN-C from the water column was exported to  
410 the traps daily, representing as much as  $22.4 \pm 5.5\%$  of the total POC exported at the height of  
411 the UCYN-C bloom (Bonnet et al., 2016a). Mechanistically, the vertical downward flux was  
412 enabled by the aggregation of the small ( $5.7 \pm 0.8 \mu\text{m}$ ) UCYN-C cells into large (100-500  $\mu\text{m}$ )  
413 aggregates, the size of which increased with depth (Fig. 5b) possibly due to a sticky matrix  
414 composed also of transparent exopolymeric particles (TEP). TEP concentrations increased  
415 during P2 (Fig. 4) providing both a nutrient source and aggregation enhancing substrate  
416 (Berman-Frank et al., 2016). These data, reported for the first time from the VAHINE  
417 experiment (Bonnet et al., 2016a), emphasize that despite their small size relative to DDAs,  
418 UCYN-C are able to directly export organic matter to depth, indicating that these small  
419 organisms should be considered in future biogeochemical studies.

420 The direct export of UCYN-C and other diazotrophs could not solely explain the very high  
421 exported matter observed during P2 (Bonnet et al., 2016a), suggesting another pathway of  
422 export during that period. An experiment performed during the UCYN bloom using  
423 nanoSIMS (nanoscale Secondary Ion Mass Spectroscopy) as described in Bonnet et al.,  
424 (2016) demonstrated that a significant fraction of DDN ( $21 \pm 4\%$ ) was quickly (within 24 h)  
425 transferred to non-diazotrophic plankton, revealing that  $\text{N}_2$  fixation was fuelling non-  
426 diazotrophic plankton growth in the water column (Fig. 5b), suggesting an indirect export  
427 pathway in addition to the direct export of UCYN-C. The fact that UCYN-C fuelled non-  
428 diazotrophic plankton during P2 is consistent with the increase in biomass indicators as well  
429 as the increase in non-diazotrophic phytoplankton abundances (diatom and picoplankton)  
430 simultaneously with or after the UCYN-C bloom during P2.

431 The high export efficiency associated with the UCYN-C bloom compared to that associated  
432 with the DDAs during VAHINE was also indicated by *e*-ratio calculations ( $e\text{-ratio} =$   
433  $\text{POC}_{\text{export}}/\text{PP}$ ), which quantify the efficiency of a system to export particulate C relative to  
434 the C fixed by PP. During P2, the *e*-ratio was significantly ( $p < 0.05$ ) higher (i.e., during the  
435 UCYN-C bloom;  $39.7 \pm 24.9\%$ ) than during P1 (i.e., when DDAs dominated the diazotrophic  
436 community;  $23.9 \pm 20.2\%$ ) (Berthelot et al., 2015b).  $\delta^{15}\text{N}$  measurements on DON, PON and

437 particles from sediment traps further substantiated these results with a significantly ( $p < 0.05$ )  
438 higher contribution of  $N_2$  fixation to export production during P2 ( $56 \pm 24$  % and up to 80 % at  
439 the end of the experiment) compared to P1 ( $47 \pm 6$  %) (Knapp et al., 2015). The contribution of  
440  $N_2$  fixation to export (up to 80 %) was very high in our study compared with reports from  
441 other tropical and subtropical regions where active  $N_2$  fixation contribute 10 to 25 % to export  
442 production (e.g. (Altabet, 1988; Knapp et al., 2005)). This is consistent with the extremely  
443 high  $N_2$  fixation rates measured in the mesocosms (up to  $70 \text{ nmol N L}^{-1} \text{ d}^{-1}$ ) and compared  
444 with those measured from other regions (Luo et al., 2012).

445 Export associated with *Trichodesmium* spp. was not studied in the present mesocosm  
446 experiment as only limited numbers of *Trichodesmium* spp. were counted in the mesocosms  
447 (Turk-Kubo et al. 2015). Its potential for export is discussed below based on parallel studies  
448 from the region and intensive short-term experiments on surface blooms of *Trichodesmium*  
449 that appeared outside the mesocosms on days 22-23 (Spungin et al., In review, 2016).

450

## 451 **4.2. DDN release and transfer to the food web**

### 452 **4.2.1 DDN release and transfer to non-diazotrophic phytoplankton and bacteria**

453 Within VAHINE we also assessed the quantity of DDN entering the planktonic food web as a  
454 function of the dominant diazotroph players, and examined which planktonic communities  
455 benefited the most from the DDN (i.e. small *versus* large phytoplankton or microbial food  
456 web).

457 Diazotrophs transfer DDN to phytoplankton and heterotrophic prokaryotes via the dissolved  
458 N pool (DON and  $NH_4^+$ ). During the maximal abundance of UCYN-C, these were responsible  
459 for  $90 \pm 29$  % of total  $N_2$  fixation rates in the mesocosms (Bonnet et al., 2016a). During this  
460 period, the DDN released to the dissolved pool accounted for  $7.1 \pm 1.2$  to  $20.6 \pm 8.1$  % of gross  
461  $N_2$  fixation (Bonnet et al., 2016a) (based on the direct measurement of the isotopic signature  
462 ( $^{15}N$ ) of the total dissolved N according to the denitrifying method (Knapp et al., 2005)). This  
463 proportion is higher than that reported for UCYN-C in monospecific cultures using an  
464 equivalent method ( $1.0 \pm 0.3$  to  $1.3 \pm 0.2$  % of gross  $N_2$  fixation (Benavides et al., 2013a;  
465 Berthelot et al., 2015a). At the same time as UCYN-C bloomed, the diverse diazotroph  
466 community present in the mesocosms (Turk-Kubo et al., 2015) also contributed to the DDN  
467 release. . Additionally, exogenous factors such as viral lysis (Fuhrman, 1999) and sloppy  
468 feeding (O'Neil and Roman, 1992) occur in natural populations and could enhance N release  
469 compared to the mono-culture studies. Here, we demonstrate that natural UCYN blooms may  
470 result in substantial DDN release to the marine environment.

471 The physiological state of cells probably plays a critical role in the quantity and availability of  
472 DDN to the microbial communities as demonstrated in a study (applying identical  
473 methodology) from two naturally-occurring blooms of *Trichodesmium* spp. in the same area  
474 (New Caledonian lagoon) (Bonnet et al., Accepted). DDN release from these blooms was  
475 slightly higher (bloom 1:  $20\pm 5$  to  $48\pm 5$  % and bloom 2:  $13\pm 2$  to  $28\pm 6$  % of gross  $N_2$  fixation)  
476 compared to UCYN-C (Bonnet et al., Accepted). A decaying *Trichodesmium* spp. bloom  
477 (Bloom 1) lead to high DDN release rates and high  $NH_4^+$  accumulation (up to  $3.4 \mu M$ ) in the  
478 dissolved pool, while we did not observe this in exponentially growing *Trichodesmium*  
479 (Bloom 2). . The importance of physiological status rather than specific diazotroph types was  
480 further substantiated in earlier *Trichodesmium* culture studies (Mulholland et al., 2004;  
481 Mulholland and Capone, 2000) and similar DDN release between *Trichodesmium* spp. and  
482 three strains of UCYN-B and C were found by Berthelot et al. (2015a).

483 Previous comparisons between gross and net  $N_2$  fixation rates indicated high DDN release  
484 rates for oceanic populations of *Trichodesmium* spp. (40-50 % of gross  $N_2$  fixation on  
485 average, and up to 97 %, (Mulholland, 2007) and references therein). The physiological status  
486 of these populations may have influenced the fluxes. Furthermore, the values could reflect a  
487 methodological overestimation due to the use of the  $^{15}N_2$  bubble method (Großkopf et al.,  
488 2012; Montoya et al., 1996) that may lead to greater differences between gross and net  $N_2$   
489 fixation (see introduction). Currently, direct measurement of the  $^{15}N$  signature of the  
490 dissolved N pool itself (either the TDN pool through the Knapp et al. (2005) method or both  
491 the  $NH_4^+$  and the DON using the Slawyk and Raimbault (1995) method) appears the preferred  
492 method to accurately quantify the amount of DDN released by diazotrophs in the dissolved  
493 pool (Berthelot et al., 2015a).

494  
495 Once released in the form of  $NH_4^+$  and/or DON, DDN can be taken up by surrounding  
496 planktonic communities. Experimental evidence from nanoSIMS experiments during  
497 VAHINE indicate that  $21\pm 4$  % of the  $^{15}N_2$  fixed during the UCYN-C bloom was transferred  
498 to the non-diazotrophic plankton after 24 h of incubation (Bonnet et al., 2016a). Among these  
499  $21\pm 4$  %,  $18\pm 3$  % was transferred to picoplankton (including both pico-phytoplankton and  
500 heterotrophic prokaryotes) and 3 % to diatoms (Fig. 5b), suggesting that picoplankton would  
501 be more competitive than diatoms using DDN, which is consistent with the increase in  
502 *Synechococcus* and pico-eukaryote abundances by a factor of two following the UCYN-C  
503 bloom (Leblanc et al., In review, 2016; Pfreundt et al., 2016). The short-term nanoSIMS  
504 experiment was performed on day 17, when pico- and nanoplankton dominated the

505 phytoplanktonic biomass and diatom abundances declined probably due to DIP limitation  
506 (Leblanc et al., In review, 2016). Picoplankton can efficiently utilize low DIP concentrations  
507 (Moutin et al., 2002) and/or can use alternative DOP sources (Benitez-Nelson and Buesseler,  
508 1999). This may explain why picoplankton were the first beneficiaries of the DDN from  
509 UCYN-C specifically from days 17-23, although we cannot exclude that diatoms had also  
510 benefited from the DDN from UCYN-C earlier in the experiment (between days 10-11 and  
511 days 15-16 when they reached bloom values of  $\sim 100\ 000\ \text{cells L}^{-1}$ ). A significant increase of  
512 both PP and BP during P2 (Fig. 2) suggests that both autotrophic and heterotrophic  
513 communities benefited from the DDN (Bonnet et al., 2016a). Calculations based on C:N  
514 molar ratios show that  $\text{N}_2$  fixation may have provided  $\sim 30\ %$  of the N demand of the N-  
515 limited bacteria during P2 (compared to  $\sim 20\ %$  during P1), the rest provided by detritus and  
516 DON (Van Wambeke et al., Accepted), which concentrations decreased during the 23 days  
517 (Berthelot et al., 2015b). Throughout VAHINE, the biological system inside the mesocosms  
518 was net autotrophic with an upper error limit close to the metabolic balance between  
519 autotrophy and heterotrophy (Van Wambeke et al., Accepted). The relationships between BP  
520 and  $\text{N}_2$  fixation rates were weak (during P2) or absent (during P1) yet tightly coupled between  
521 BP and Chl *a* concentrations, and between BP and PP. This suggests that  $\text{N}_2$  fixation  
522 stimulated autotrophic communities and these subsequently fueled heterotrophic prokaryotes  
523 through the production and release of dissolved organic matter including C (DOC) (Van  
524 Wambeke et al., Accepted).

525 In a recent study performed at the VAHINE study site, (Berthelot et al., In review, 2016)  
526 compared the DDN transfer efficiency to several groups of non-diazotrophic plankton as a  
527 function of the diazotroph groups dominating the community (*Trichodesmium* spp. versus  
528 UCYN-B versus UCYN-C). Simulated blooms of *Trichodesmium* spp., UCYN-B and UCYN-  
529 C grown in culture added to ambient lagoon communities reveal that the primary route of  
530 transfer of DDN towards non-diazotrophs is  $\text{NH}_4^+$ , and DON mainly accumulates in the  
531 dissolved pool, whatever the diazotroph considered. In all cases, the presence of diazotrophs  
532 stimulated biomass production of non-diazotrophs, with heterotrophic prokaryotes the main  
533 DDN beneficiaries followed by diatoms and picophytoplankton. NanoSIMS analyses revealed  
534 that heterotrophic prokaryotes were highly  $^{15}\text{N}$ -enriched, confirming they can directly benefit  
535 from the DDN (Berthelot et al., In review, 2016). Further studies are needed to study the  
536 indirect stimulation of heterotrophic prokaryotes through the release of DOC by diazotrophs  
537 and non-diazotrophic phytoplankton that were stimulated by the DDN.

538 Similar experiments ( $^{15}\text{N}_2$  labelling, flow cytometry cell sorting and nanoSIMS) performed on  
539 three naturally-occurring *Trichodesmium* spp. blooms in the southwestern Pacific illustrated  
540 that DDN was predominantly transferred to diatoms (Bonnet et al., Accepted). These results  
541 indicate that the extensive oceanic blooms of *Trichodesmium* spp. can contribute to a large  
542 indirect downward flux of organic matter by promoting large cells (e.g., diatoms and  
543 dinoflagellates) characterized by efficient export rates (Nelson et al., 1995, Bonnet et al.,  
544 Accepted; Devassy et al., 1979; Lenés et al., 2001).

545 Direct export flux of *Trichodesmium* spp. blooms may also occur in cases where rapid (< 2 d)  
546 bloom mortality occurs via a programmed cell death (PCD) (Berman-Frank et al., 2004;  
547 Berman-Frank et al., 2007). PCD in *Trichodesmium* spp. is characterized by the loss of  
548 buoyancy (collapse of gas vesicles) and increased production of TEP and aggregation leading  
549 to enhanced and massive vertical flux (Bar-Zeev et al., 2013). A *Trichodesmium* spp. bloom  
550 that occurred outside the VAHINE mesocosms on days 23-24 displayed mechanistic features  
551 of PCD including mass mortality within 24 h, loss of gas vesicles, and high production of  
552 TEP (Spungin et al., In review, 2016). While we could not directly quantify the export flux as  
553 no sediment traps were deployed in the lagoon water outside the mesocosms, the  
554 characteristics of the bloom, lack of grazer influence and the demise of biomass suggests this  
555 would lead to high rates of export (Spungin et al., In review, 2016) as demonstrated in culture  
556 simulations (Bar-Zeev et al., 2013) (Fig 5c).

557

#### 558 **4.2.2 DDN transfer to zooplankton**

559 DDN transfer to zooplankton may either be direct through the ingestion of diazotrophs, or  
560 indirect, i.e. mediated through the release of dissolved DDN by diazotrophs taken up by  
561 heterotrophic and autotrophic plankton and subsequently grazed by zooplankton. During the  
562 VAHINE experiment, the percent contribution of DDN to zooplankton biomass averaged 30  
563 % (range = 15 to 70 %) (Hunt et al., Accepted), which is in upper range of values reported  
564 from high  $\text{N}_2$  fixation areas such as the subtropical north Atlantic (Landrum et al., 2011;  
565 Mompean et al., 2013; Montoya et al., 2002a), the Baltic Sea (Sommer et al., 2006; Wannicke  
566 et al., 2013b), and the pelagic waters off the New Caledonian shelf (Hunt et al., 2015).

567 During VAHINE all four of the qPCR targeted diazotrophs (*Trichodesmium* spp., het-1, het-2,  
568 UCYN-C) were found in zooplankton guts indicating a direct grazing of these four phylotypes  
569 (Hunt et al., Accepted). Overall, the most frequently detected targets were het-1 (during P1;  
570 17 to 180 *nifH* copies copepod<sup>-1</sup>) and UCYN-C (during P2; 7 to 50 *nifH* copies copepod<sup>-1</sup>), i.e.  
571 the most abundant phylotypes encountered in the mesocosms during P1 and P2, respectively.

572 However, *Trichodesmium* spp. and het-2 were also detected at relatively high abundances in  
573 copepod guts (~280 *nifH* copies copepod<sup>-1</sup>) despite their low abundance in the mesocosms,  
574 suggesting selective feeding and a possible top down control through zooplankton grazing for  
575 these two phylotypes.

576 Direct and efficient zooplankton grazing on UCYN-C was further substantiated by targeted  
577 grazing experiments during VAHINE which consisted of <sup>15</sup>N<sub>2</sub>-labeled bottle incubations of  
578 freshly collected zooplankton in the presence of natural phytoplankton assemblages. The <sup>15</sup>N<sub>2</sub>  
579 label was taken up by the diazotroph in the incubation bottles and used as a marker of  
580 zooplankton diazotroph ingestion and/or ingestion of non-diazotrophic plankton grown on  
581 DDN. Zooplankton were highly <sup>15</sup>N enriched after 72 h of incubation during the UCYN-C  
582 bloom (P2), slightly enriched during P1 when DDAs dominated to diazotrophic community,  
583 and not enriched at all when a *Trichodesmium* spp. bloom was encountered outside the  
584 mesocosms during P2 (Hunt et al., Accepted). This was a surprising finding given that het-1,  
585 and to a lesser extent *Trichodesmium* spp. were detected in copepod guts, and would suggest  
586 that UCYN-C are much more efficiently transferred to zooplankton compared to DDAs and  
587 *Trichodesmium* spp. While we demonstrated direct grazing of zooplankton on *Trichodesmium*  
588 spp., DDAs and UCYN-C, further studies are required to quantify a more general contribution  
589 of direct and indirect transfer of DDN to zooplankton.

590

## 591 **5 Modelling as a tool to infer the fate of DDN and the role of N<sub>2</sub> fixation on** 592 **productivity, food web structure and C export**

593 Modelling has accompanied every stage of the VAHINE project. Mesocosm 1D-vertical  
594 simulations with the biogeochemical mechanistic Eco3M-MED model (Alekseenko et al.,  
595 2014), enriched with diazotrophs for the present study, and embedded in the Eco3M  
596 modelling platform (Baklouti et al., 2006), were utilized prior to the *in situ* experiments to aid  
597 in the scientific design of the experiment and in understanding the need and the optimal  
598 timing of the DIP enrichment. The biogeochemical model was first assessed using *in situ* data  
599 from the mesocosms and then applied to study the fate of DDN in the ecosystem (Gimenez et  
600 al., In review, 2016). Finally, one of the main strengths of the modelling tool lies in the  
601 opportunity that it offers to separate the different processes that are deeply interlinked. Here  
602 we employed this to infer the role of N<sub>2</sub> fixation on productivity, food web structure, and C  
603 export. The simulation of the mesocosm experiment (including DIP enrichment) reported in  
604 Gimenez et al. (In review, 2016) hereafter referred to as the ‘REF’ simulation, and its main  
605 results relative to the fate of the DDN are summarized below.

606 At the end of the REF simulation (set at 25 days in the model), 33 % of the DDN was found  
607 in the diazotrophs, 43 % in the non-diazotroph organisms, 16 % in the DON pool, 3 % in the  
608 particulate detrital organic pool and 5 % in the traps, indicating that N<sub>2</sub> fixation efficiently  
609 benefited non-diazotrophic organisms and contributed to particle export. The model results  
610 substantiated the mass balance of N (Berthelot et al., 2015b) demonstrating that during the  
611 first 10 days of the experiment, planktonic organisms did not significantly benefit from the  
612 DDN and that DDN did not accumulate in the water column (was not transferred to non-  
613 diazotrophic plankton). After day 10, the DDN proportion increased in all the non-  
614 diazotrophic plankton groups, and simultaneously decreased in the non-living pools, although  
615 DON concentrations lagged decreasing only from day 13. This decrease in DDN proportion in  
616 the abiotic N pools is due both to the assimilation of mineral and organic nutrients by  
617 phytoplankton and heterotrophic prokaryotes, as well as to the sinking of the produced  
618 organic matter through aggregation processes.

619 The model results further showed that the fraction of DDN in the exported particulate matter  
620 increased from day 10 until the end of the simulation, consistent with the high *e*-ratio  
621 (Berthelot et al., 2015b) during P2 (see above) and with the δ<sup>15</sup>N-budget ( Knapp et al.  
622 (submitted)), emphasizing the higher contribution of N<sub>2</sub> fixation to export production during  
623 P2 compared to P1 (Gimenez et al., In review, 2016).

624 In the model, diazotrophs were assumed to release equal amounts of NH<sub>4</sub><sup>+</sup> and DON at a rate  
625 which increases non-linearly with the absolute and relative N contents of diazotrophs  
626 (Gimenez et al., In review, 2016). During P1, DDN accumulated in the DON pool (nearly up  
627 to 40 % of the DDN generated from the beginning of the experiment is found in DON on day  
628 13), whereas the proportion of DDN associated with NH<sub>4</sub><sup>+</sup> decreased rapidly from day 5 as  
629 NH<sub>4</sub><sup>+</sup> was immediately used by heterotrophic bacteria and phytoplankton. The proportion of  
630 DDN associated with DON decreased later (i.e. during P2) when the inorganic N pool was  
631 depleted. The model results are consistent with the <sup>15</sup>N measurements from the NH<sub>4</sub><sup>+</sup> and  
632 DON pools, indicating that NH<sub>4</sub><sup>+</sup> was preferentially transferred to non-diazotrophic plankton  
633 compared to DON, which accumulated in the dissolved pool (Berthelot et al., In review,  
634 2016).

635 The model results were further validated in the distribution of the DDN among the biotic  
636 compartments. Small-size (pico- and nano-) phytoplankton, heterotrophic prokaryotes,  
637 heterotrophic nanoflagellates and ciliates were the main beneficiaries of DDN, as observed by  
638 the nanoSIMS studies (Berthelot et al., In review, 2016; Bonnet et al., 2016a). Small-size  
639 phytoplankton and heterotrophic prokaryotes were indeed the main consumers of NH<sub>4</sub><sup>+</sup> and

640 labile DON (the model excludes DON uptake by large-size phytoplankton), and heterotrophic  
641 nanoflagellates and ciliates respectively feed on heterotrophic prokaryotes and small-size  
642 phytoplankton. These results therefore indicate that DDN was transferred predominantly  
643 through pico-, nanophytoplankton, and the microbial loop during the VAHINE experiment.

644

645 Both the *in situ* and modelling work summarized in the previous sections demonstrate the  
646 important contribution and role of the diazotrophic communities to PP (non-diazotrophic) and  
647 BP, to zooplankton feeding, and eventually to C export.

648 To further assess the role of N<sub>2</sub> fixation within the ecosystem, we used the REF simulation  
649 from Gimenez et al. (In review, 2016) and compared it to a new simulation in which we  
650 removed the N<sub>2</sub> fixation capability of diazotrophs (hereafter named ‘NOFIX simulation’). The  
651 NOFIX simulation also included the following changes compared to the REF simulation to be  
652 consistent with the new environmental conditions: (i) the initial relative N quotas of  
653 diazotrophs have been set to 25 % (instead of 100 % in the reference simulation, i.e. same  
654 value as the one used for non-diazotrophs). As the initial total N was identical to the one of  
655 the REF simulation, the N content of diazotrophs has been allocated to the detrital N  
656 compartment; (ii) all along the NOFIX simulation, only the detrital particulate compartment is  
657 allowed to sink at a constant rate of 0.7 m d<sup>-1</sup> (see Gimenez et al. (In review, 2016)), whereas  
658 in the REF simulation, this was also the case only until day 10 beyond which all the  
659 compartments were allowed to sink at a rate increasing with time, in order to mimic the  
660 observed increase in the particulate sinking flux due to TEP release and aggregation .

661 When comparing the REF and NOFIX simulations (Fig. 6), we note that the shapes of the PP  
662 and BP curves remain the same, showing an increase in PP and PB during P2 in both  
663 simulations. However, in the NOFIX simulation, the magnitude of PP and BP is reduced by  
664 2.5 and 1.5-fold respectively. Furthermore, according to the model, N<sub>2</sub> fixation fueled 43.5 %  
665 of PP and 8 % of BP during the 23 days of the simulated experiment. This does not  
666 necessarily mean that non-diazotrophic autotrophs benefit more from the DDN compared to  
667 heterotrophs as the DDN was nearly equally distributed between autotrophs and heterotrophs  
668 (and slightly higher in heterotrophs) (Gimenez et al., In review, 2016). This higher effect on  
669 PP than on BP is derived from the fact that the diazotrophs themselves (and therefore a part of  
670 PP since only autotrophic diazotrophs were considered in the model) were strongly affected  
671 by their inability to fix N<sub>2</sub> as suggested by the far lower abundance of UCYN-C in the NOFIX  
672 simulation compared to the REF one (Fig. 6). This also explains why removing N<sub>2</sub> fixation  
673 first affected PP (~ day 10) and only later influenced BP (~ day 15).

674 We further assumed that, apart from diazotrophs, the organisms primarily influenced by the  
675 lack of N<sub>2</sub> fixation (in the simulation) should be the organisms that benefited the most from  
676 the DDN (i.e. in which the highest percentages of DDN have been calculated by the model  
677 (see Fig. 6 in Gimenez et al. (In review, 2016)). These organisms include small (< 10 μm)  
678 phytoplankton, heterotrophic prokaryotes, heterotrophic nanoflagellates, and ciliates. Small  
679 phytoplankton and heterotrophic bacteria were indeed influenced (Fig. 7), and to a lesser  
680 extent and later heterotrophic nanoflagellates and ciliate abundance, but only until day 16.  
681 After day 16, ciliate abundance was slightly higher (<5 % between day 16 and 23) in the  
682 NOFIX simulation compared to the REF one, resulting predominantly from a top-down effect  
683 due to increased copepod predation in the NOFIX simulation from day 10 to day 23 (results  
684 not shown).

685 Our model did not include DDAs and did not allow the uptake of DON by large  
686 phytoplankton (i.e. diatoms). Thus, the DDN content in diatoms, and therefore in  
687 mesozooplankton, was probably slightly underestimated by the model in the REF simulation  
688 (Gimenez et al., In review, 2016) compared to *in situ* data (Hunt et al., Accepted). As a result,  
689 large phytoplankton and mesozooplankton abundances were nearly similar in the REF and  
690 NOFIX simulations (not shown). Hence, apart from ciliates (whose mortality also fuels the  
691 detrital particulate compartment as large phytoplankton and mesozooplankton), the organisms  
692 that mostly benefited from the DDN were the small organisms, which mortality fuels the  
693 dissolved organic pool.

694  
695 How does N<sub>2</sub> fixation impact C export? Absence of N<sub>2</sub> fixation (NOFIX simulation) reduced  
696 export by 30 % on day 23 compared to the REF simulation (Fig. 8). This difference in C  
697 export reaches 50 % when the simulation duration is extended until day 35 (not shown).  
698 These results indicate that N<sub>2</sub> fixation and the subsequent new production promotes C export  
699 to depth as the experimental VAHINE results demonstrated (Berthelot et al., 2015b; Knapp et  
700 al., 2015).

701 It is likely that during the experiment, TEP release favored aggregation and accumulation of  
702 particles and subsequently enhanced vertical flux from the different compartments in the  
703 water column. To represent the latter phenomenon, we considered in the model that 10 % of  
704 the living and non-living compartments were allowed to sink after day 10 (see Gimenez et al.  
705 (2016) for more details). Since this extra aggregation is mainly attributable to diazotrophs, it  
706 was not represented in the NOFIX simulation. However, we ran a third simulation (not  
707 shown) to further analyze the excess of C export in the REF simulation as compared to the

708 NOFIX one (Fig. 8). This third simulation is intermediate between the REF and the NOFIX  
709 simulations in that sense that only the N<sub>2</sub> fixation capability by diazotrophs is removed (but  
710 aggregation processes are still represented). This simulation indicated that C export is nearly  
711 equal to that of the REF simulation after 25 days (they differ by only 2.9 %), thereby  
712 suggesting that during the 25 first days, the suppression of N<sub>2</sub> fixation does not significantly  
713 impact carbon export fluxes. This further suggests that the higher C export in the REF  
714 simulation during P2 (Fig.8) is mainly due to aggregation processes mediated by diazotrophs-  
715 derived TEP release and the subsequent export of diazotrophs (Berman-Frank et al., 2016;  
716 Bonnet et al., 2015a). However, beyond day 25, the difference in C export between the REF  
717 and the third simulation increases up to 25% on day 35. In other words, the N<sub>2</sub> fixation  
718 process per se (by supporting PP and BP fluxes) contributes more and more to the enhanced C  
719 export as N<sub>2</sub> fixation fluxes increase. Hence, on day 30, N<sub>2</sub> fixation supports ~50 % of the  
720 excess C export observed between the REF and the NOFIX simulations, the remaining still  
721 being attributed to aggregation processes.

722 To conclude, N<sub>2</sub> fixation has a significant impact on both direct and indirect C export via  
723 diazotroph fueling of non-diazotrophic plankton as well as via aggregation processes. The  
724 model provides a lower limit of the major role played by N<sub>2</sub> fixation on C export due to an  
725 underestimate of the DDN content in diatoms, and in mesozooplankton. Finally, this study  
726 also points the need of further investigation on aggregation processes in relation with TEP  
727 release and its representation in models since its influence on C export may be of the same  
728 order of magnitude as the N<sub>2</sub> fixation process per se.

729

## 730 **6 Conclusions and future work**

731 The VAHINE project provided unique opportunities to study and compare the fate of N<sub>2</sub>  
732 fixation associated with different diazotrophs in the marine environment. The results showed  
733 that when the diazotroph community was dominated by DDAs, the DDN remained within the  
734 symbiotic associations, was poorly transferred to the non-diazotrophic phytoplankton and  
735 heterotrophic prokaryotes, yet could be transferred directly to zooplankton through grazing.  
736 The project results further substantiated previous data showing rapid export to depth of the  
737 recently fixed N<sub>2</sub> by DDAs (Karl et al., 2012). An opportune bloom of UCYN-C during the  
738 VAHINE project demonstrated that when UCYN-C dominated the diazotroph community, ~  
739 25 % of the DDN was quickly (24 h) transferred to the planktonic food web through the  
740 release of DON and NH<sub>4</sub><sup>+</sup> to the dissolved pool. These additional N sources were  
741 subsequently transferred to zooplankton, both directly (through the grazing of UCYN-C) and

742 indirectly through the grazing of plankton grown on DDN from UCYN-C. Moreover, the  
743 VAHINE data explicitly revealed that when UCYN-C dominated the diazotroph community,  
744 the efficiency of the system to export POC relative to PP (*e*-ratio) was higher than when  
745 DDAs dominated. This export is both direct, through the sinking of small ( $5.7\pm 0.8\ \mu\text{m}$ )  
746 UCYN-C cells aggregated into large (100-500  $\mu\text{m}$ ) particles having high sinking rates, and  
747 indirect through the sinking of plankton benefitting from the enriched source of DDN. Future  
748 projects should extend the investigation of DDN export below the photic layer in the open  
749 ocean (~70-150 m in the oligotrophic ocean) to confirm the process study obtained during  
750 VAHINE in mesocosms in an experimental 15 m-depth water column. In particular, are the  
751 aggregation processes of UCYN also observed in the open ocean? Although technically and  
752 logistically challenging, this feat may be accomplished through locating a research vessel in a  
753 1D structure (cyclonic eddy harboring high UCYN abundances for example) where horizontal  
754 advection is reduced and sediment traps are deployed to study the biological and  
755 biogeochemical characteristics of the photic zone for one to two weeks.

756 The VAHINE project also provided a unique opportunity to compare the transfer efficiency of  
757 DDN from UCYN and *Trichodesmium* spp. to the different compartments of the planktonic  
758 food web, and revealed that the main beneficiaries of the DDN depend on both the  
759 physiological status (e.g. nutritionally balanced, stationary or decline phase) and the type of  
760 diazotroph. When *Trichodesmium* spp. bloom decay they release large amounts of  $\text{NH}_4^+$  and  
761 mainly support diatom growth, indicating a large potential of indirect organic matter export  
762 during/after *Trichodesmium* spp. blooms. This is further substantiated by the study of PCD  
763 indicating a rapid direct export of *Trichodesmium* spp. itself but further studies are needed in  
764 open ocean *Trichodesmium* spp. blooms to extrapolate our results to the field.

765  $\text{NH}_4^+$  appears to be the main form of DDN transferred to non-diazotrophic plankton. In future  
766 studies, it would be necessary to refine the chemical composition of DON released by  
767 different diazotrophs to assess its lability as a function of the diazotrophs involved in  $\text{N}_2$   
768 fixation and the stage of the bloom. It would also be informative to explore the amount and  
769 chemical composition of released DOC and better study the potential of diazotrophs to  
770 stimulate heterotrophs and their subsequent impact on the ocean metabolic balance.

771 Finally, in the future ocean, some diazotrophs such as *Trichodesmium* spp. (Hutchins et al.,  
772 2007; Levitan et al., 2007) and UCYN-B (Fu et al., 2008) (no study is available on UCYN-C)  
773 may develop extensively under high temperature and  $p\text{CO}_2$  conditions (Dutkiewicz et al.,  
774 2015), while others such as UCYN-A would not be affected (Law et al., 2012). The results  
775 from the VAHINE project revealed that the diazotroph community composition can impact

776 the planktonic food web structure and composition in the surface ocean, and also affects the  
777 efficiency of particulate matter export to depth. Thus, current and predicted global changes  
778 require further knowledge and understanding of the fate and implications of changing  
779 scenarios of N<sub>2</sub> fixation in the future oceans.

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813 **Figure legends.**

814

815 **Figure 1.** Study site of the VAHINE experiment. Location map of New Caledonia in the  
816 Southwestern Pacific (a), Map of the Noumea lagoon showing the location of mesocosms at  
817 the entrance of the lagoon, 28 km off the coast (b).

818

819 **Figure 2.** View of the mesocosms from above (a), from the seafloor (b) and view of the  
820 sediment traps that collect sinking particles (c) (Photos credits: J.M. Boré and E. Folcher,  
821 IRD).

822

823 **Figure 3.** Evolution of sea surface temperature ( $^{\circ}\text{C}$ ) (a),  $\text{NO}_3^-$  ( $\mu\text{mol L}^{-1}$ ) (b), DIP ( $\mu\text{mol L}^{-1}$ )  
824 (c), Chl a ( $\mu\text{g L}^{-1}$ ) (d),  $\text{N}_2$  fixation rates ( $\text{nmol N L}^{-1} \text{d}^{-1}$ ) (e), PON concentrations ( $\mu\text{mol L}^{-1}$ )  
825 (f), DON concentrations ( $\mu\text{mol L}^{-1}$ ) (g) and PON export ( $\mu\text{mol d}^{-1}$ ) (h) over the 23 days of the  
826 VAHINE mesocosm experiment. Lines represent the average of the three mesocosms and  
827 shaded areas represent the measured min and max values.

828

829 **Figure 4.** Upper panel: Diazotroph community composition in the VAHINE mesocosm  
830 experiment during the experimental period. *nifH*-based abundances were summed for each  
831 sampling day to determine the percent contribution to the total diazotroph community from  
832 each major phylotype (data from Turk-Kubo et al. (2015)). Bottom panel: simplified  
833 evolution of the major standing stocks, rates and plankton abundances measured during P1  
834 (days 5 to 14) and P2 (days 15 to 23). Protocols for each parameter measurements are  
835 described in Berthelot et al. (2015), Bonnet et al. (2016a,b), Van Wambeke et al., (2016),  
836 Berman-Frank et al., (2016), Leblanc et al. (2016), Turk-Kubo et al., (2015) and Hunt et al.,  
837 (2016). Squares are represented in green when a significant ( $p < 0.05$ ) increase was observed  
838 between each period (i.e. between P0 and P1 or between P1 and P2, Kruskal-Wallis test,  
839  $\alpha = 0.05$ ), in red when a significant ( $p < 0.05$ ) decrease was observed and in grey when no  
840 significant change was observed between the different periods.

841

842 **Figure 5.** Summary of the simplified pathways of the potential DDN transfer in the first  
843 trophic level of the food web and potential of direct *versus* indirect export of particulate  
844 matter for DDAs (a), UCYN-C (b) and *Trichodesmium* (c). DDN transfer data from (Bonnet  
845 et al., Accepted; Bonnet et al., 2016a)

846

847 **Figure 6.** Evolution of PP ( $\mu\text{mol C L}^{-1} \text{d}^{-1}$ ) (a) and bacterial production ( $\text{ng C L}^{-1} \text{h}^{-1}$ ) in the  
848 REF simulation (blue line) and the NOFIX simulation (black line) (i.e. when the  $\text{N}_2$  fixation  
849 process is removed).

850

851 **Figure 7.** Evolution of plankton abundances ( $\text{cells L}^{-1}$ ) in the REF simulation (blue line) and  
852 the NOFIX simulation (black line) (i.e. when the  $\text{N}_2$  fixation process is removed). TRI:  
853 *Trichodesmium* spp., UCYN: UCYN-C, BAC: heterotrophic bacteria, PHYS: small  
854 phytoplankton, HNF: heterotrophic nanoflagellates.

855

856 **Figure 8.** Evolution of C content collected in the mesocosm particle traps ( $\text{mmol C}$ ) in the  
857 REF simulation (blue line) and the NOFIX simulation (black line) (i.e. when the  $\text{N}_2$  fixation  
858 process is removed).

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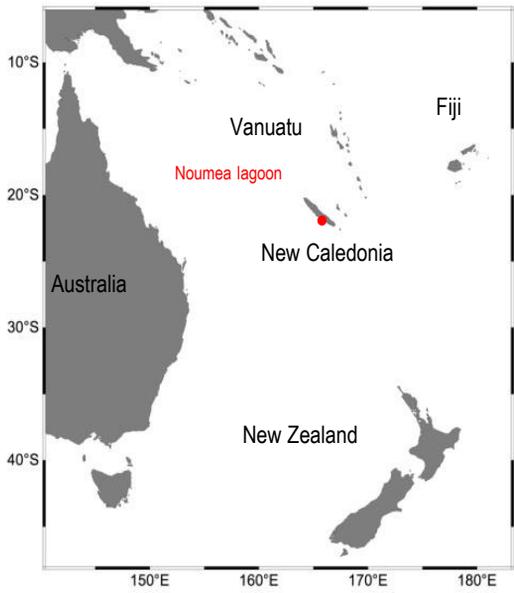
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a)



b)



Figure 1.

a)



b)



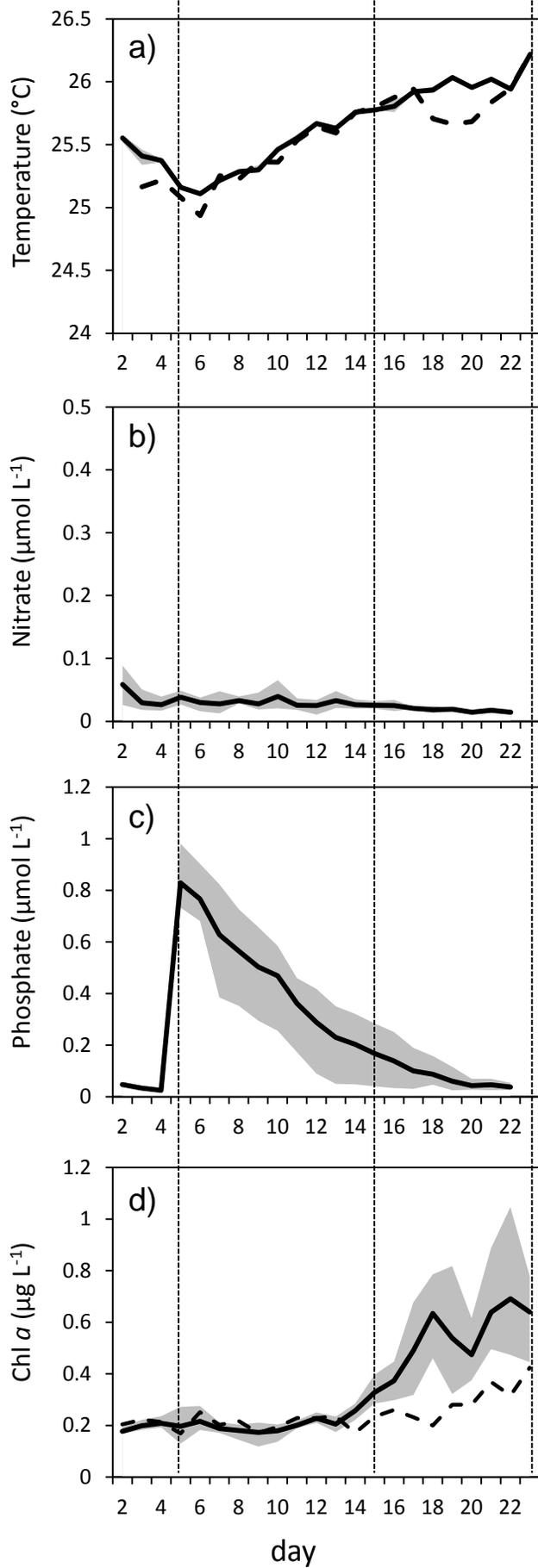
c)



Figure 2.

P1: DDAs

P2: UCYN-C



P1: DDAs

P2: UCYN-C

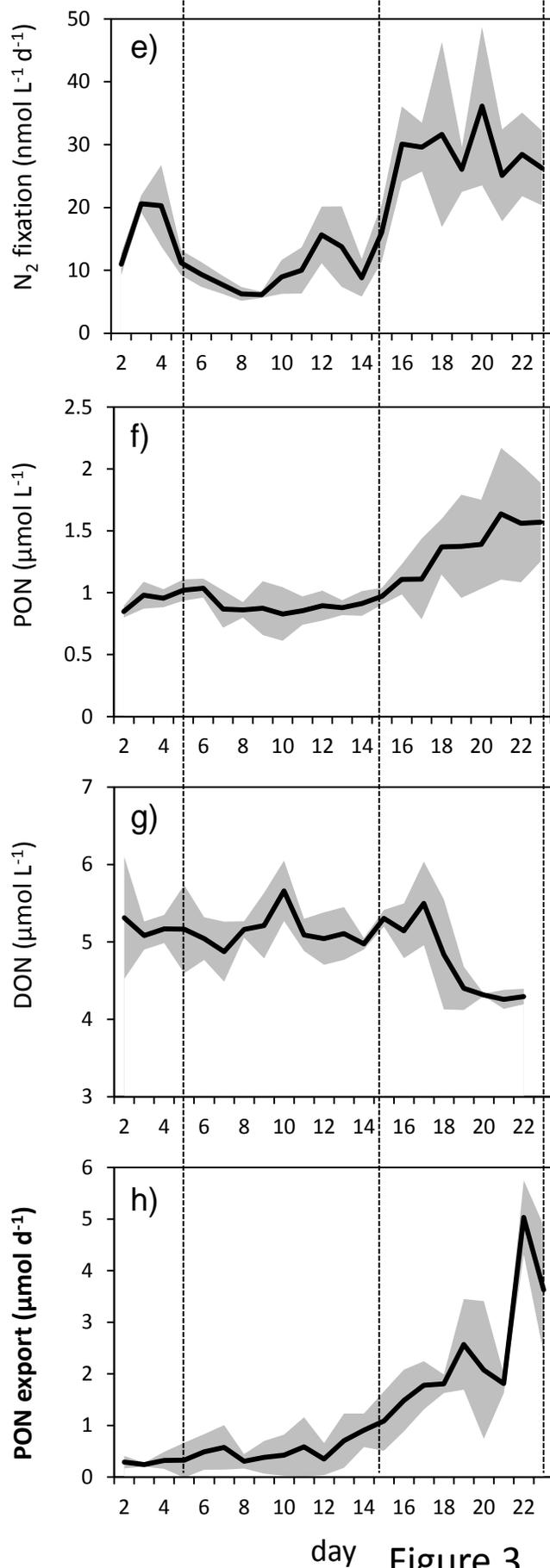


Figure 3.

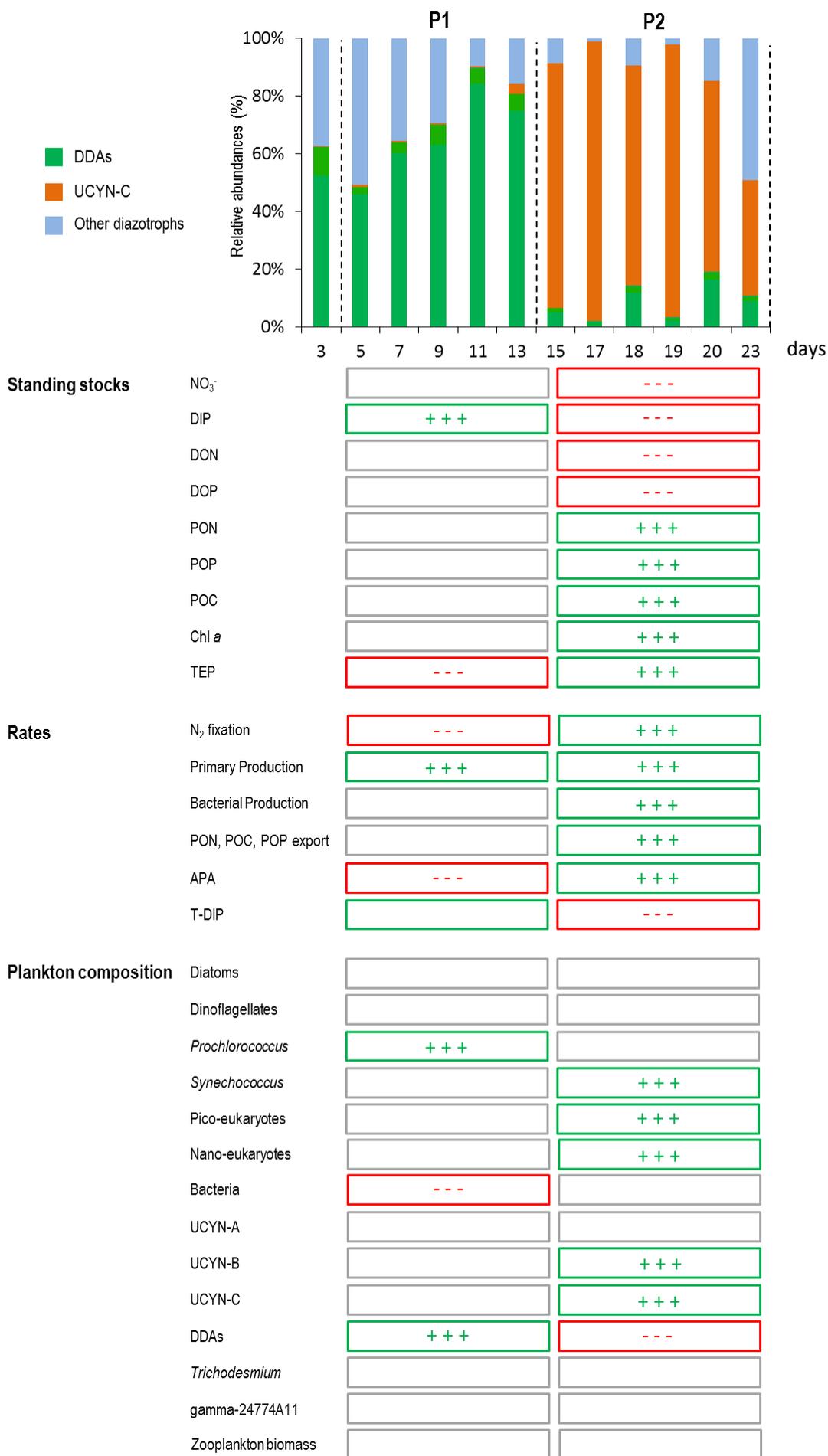


Figure 4.

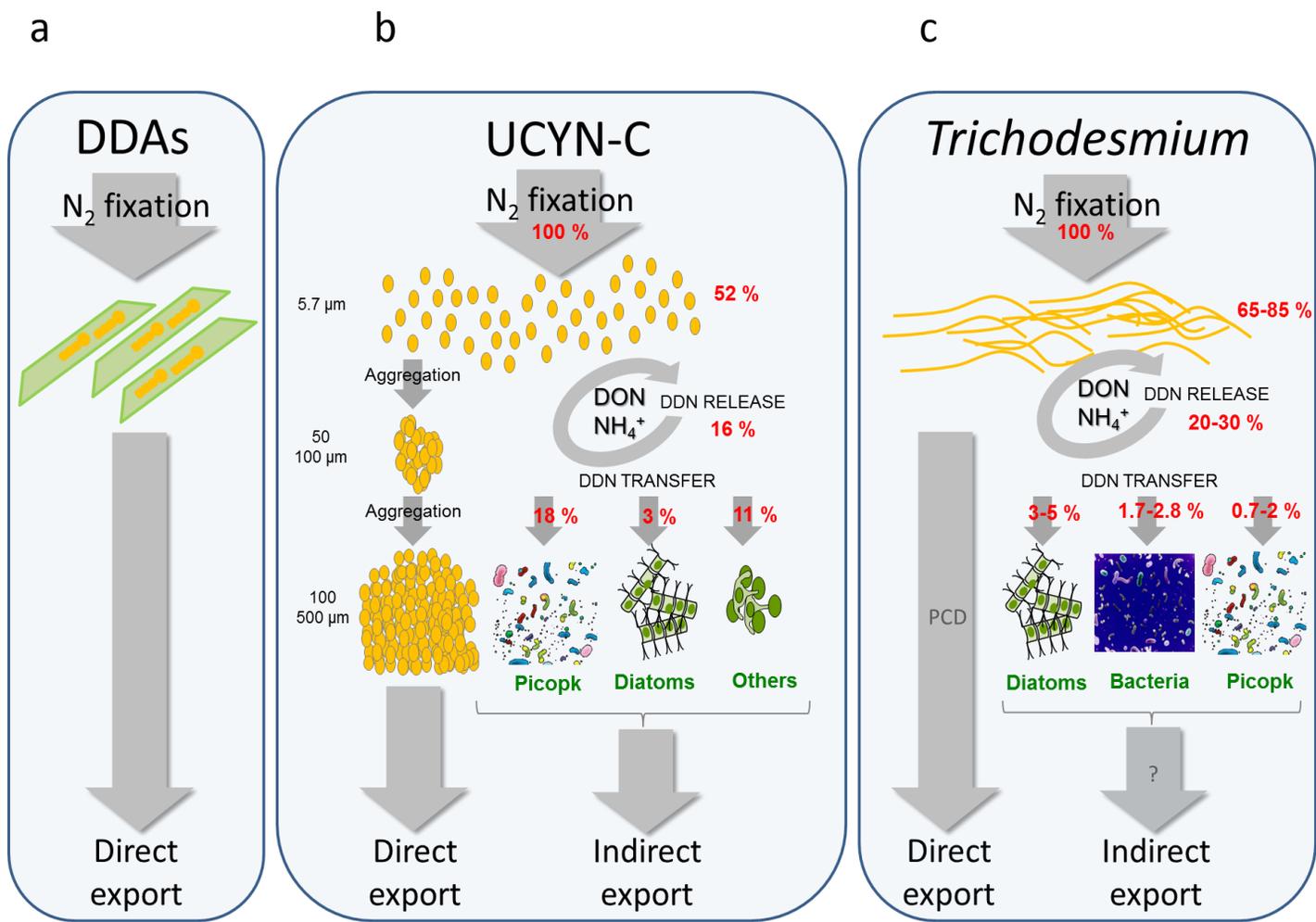


Figure 5.

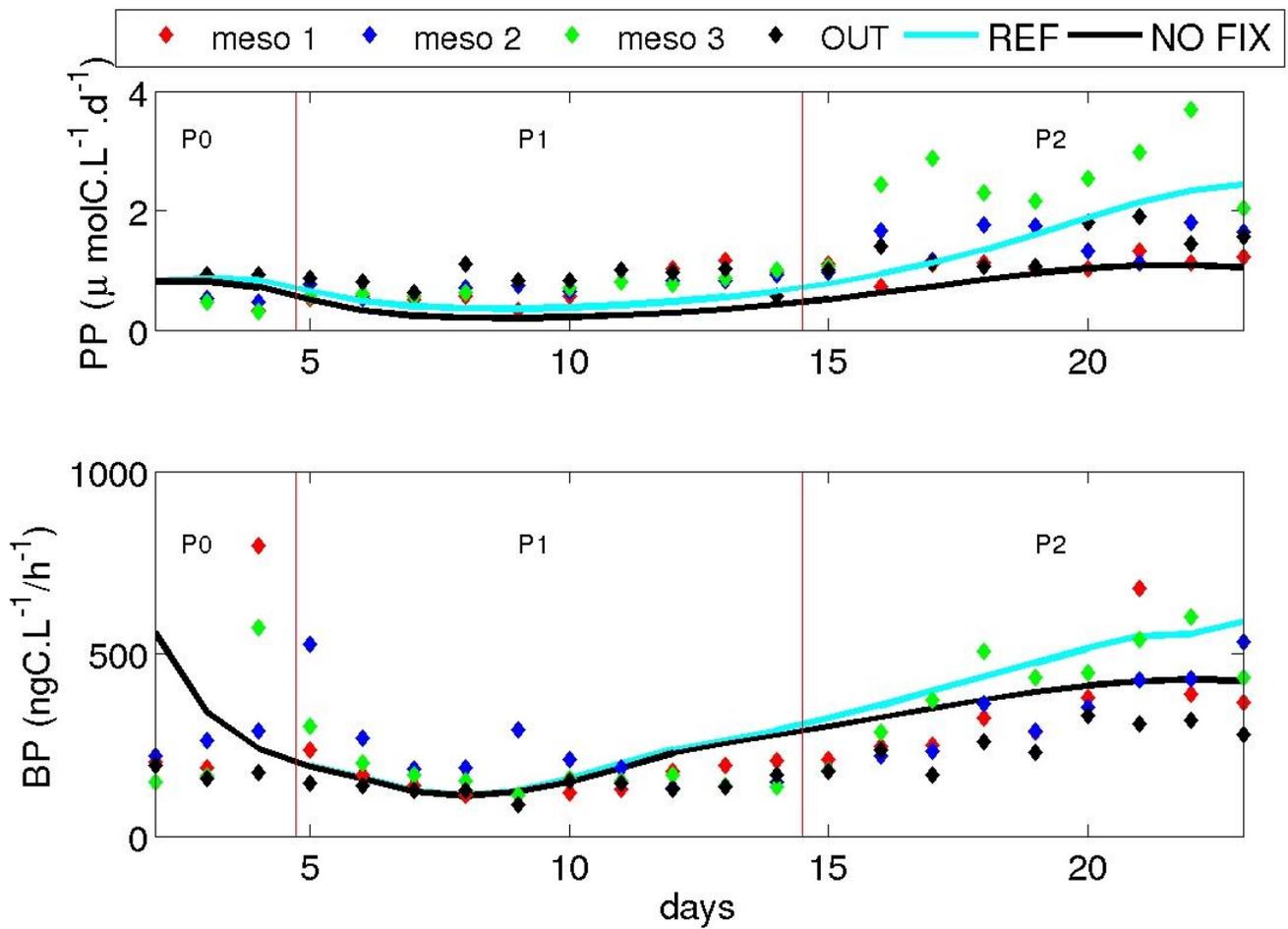


Figure 6.

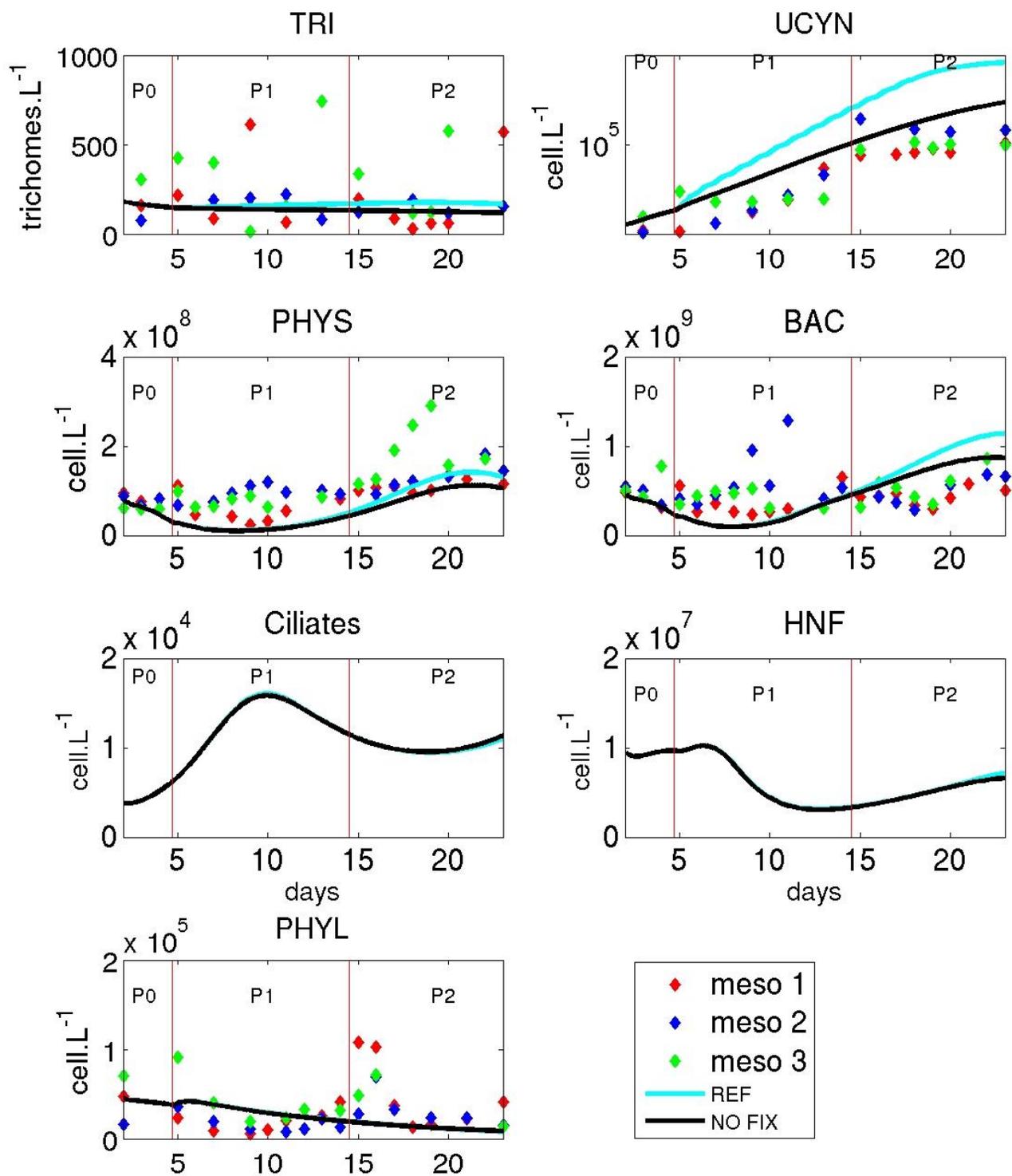


Figure 7.

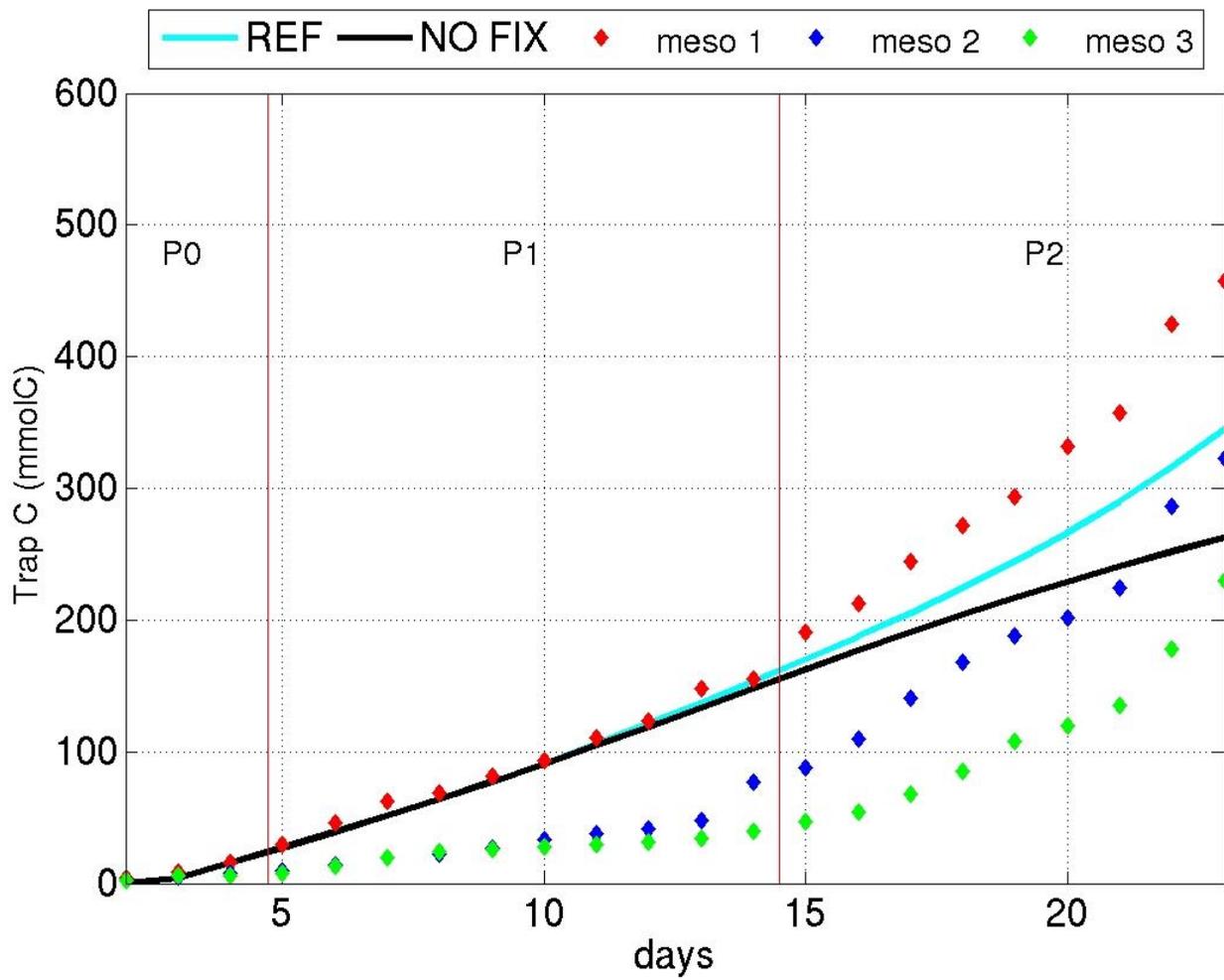


Figure 8.

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