



1 **Biogeochemical and biological impacts of diazotroph**  
2 **blooms in a Low Nutrient Low Chlorophyll ecosystem:**  
3 **synthesis from the VAHINE mesocosm experiment (New**  
4 **Caledonia)**

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1 **Abstract**

2 In marine ecosystems, N<sub>2</sub> fixation provides the predominant external source of nitrogen (N)  
3 (140±50 Tg N yr<sup>-1</sup>), contributing more than atmospheric and riverine inputs to the N supply.  
4 Yet the fate and magnitude of the newly-fixed N, or diazotroph-derived N (hereafter named  
5 DDN) in marine ecosystems is poorly understood. Moreover, it remains unclear whether the  
6 DDN is preferentially directly exported out of the photic zone, recycled by the microbial loop,  
7 and/or transferred into larger organisms, subsequently enhancing indirect particle export.  
8 These questions were investigated in the framework of the VAHINE (VAriability of vertical  
9 and troPHic transfer of diazotroph derived N in the south wEst Pacific) project. Triplicate  
10 large volume (~ 50 m<sup>3</sup>) mesocosms were deployed in the tropical South West Pacific coastal  
11 ocean (New Caledonia) to maintain a stable water-mass without disturbing ambient light and  
12 temperature conditions. The mesocosms were intentionally fertilized with ~0.8 μM dissolved  
13 inorganic phosphorus (DIP) at the start of the experiment to stimulate diazotrophy. A total of  
14 47 stocks, fluxes, enzymatic activities and diversity parameters were measured daily inside  
15 and outside the mesocosms by the 40 scientists involved in the project. The experiment lasted  
16 for 23 days and was characterized by two distinct and successive diazotroph blooms: a  
17 dominance of diatom-diazotroph associations (DDAs) during the first half of the experiment  
18 (days 2-14) followed by a bloom of UCYN-C during the second half of the experiment (days  
19 15-23). These conditions provided a unique opportunity to compare the DDN transfer and  
20 export efficiency associated with different diazotrophs. Here we summarize the major  
21 experimental and modelling results obtained during the project and described in the VAHINE  
22 Special issue, in particular those regarding the evolution of the main standing stocks, fluxes  
23 and biological characteristics over the 23-days experiment, the contribution of N<sub>2</sub> fixation to  
24 export fluxes, the DDN released to dissolved pool and its transfer to the planktonic food web  
25 (bacteria, phytoplankton, zooplankton). We then apply our Eco3M modelling platform further  
26 to infer the fate of DDN in the ecosystem and role of N<sub>2</sub> fixation on productivity, food web  
27 structure and carbon export. Recommendations for future work are finally provided in the  
28 conclusion section.

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## 1 **1 Introduction**

2 Atmospheric dinitrogen ( $N_2$ ) is the largest pool of nitrogen (N) on earth yet it is unavailable  
3 for most organisms that require N for growth. Biological fixation of  $N_2$  (or diazotrophy) is  
4 catalyzed by the nitrogenase enzyme (encoded by the *nifH* genes) that converts the inert  
5 triple-bond  $N_2$  into bioavailable ammonia ( $NH_4^+$ ). This process has long been studied in  
6 terrestrial agriculture as it increases the yield of cultures associated with  $N_2$ -fixing organisms.  
7 In the ocean, diazotrophy provides the predominant external source of N ( $140 \pm 50$  Tg N yr<sup>-1</sup>)  
8 contributing more than atmospheric and riverine inputs (Gruber, 2004). Moreover,  $N_2$  fixation  
9 acts as a potential natural fertilizer adding a source of new N that is available for non-  
10 diazotrophic primary producers and bacterioplankton especially in Low Nutrient, Low  
11 Chlorophyll (LNLC) ecosystems, where N is the proximal limiting nutrient e.g. (Moore et al.,  
12 2013). Tropical LNLC ecosystems include the vast oligotrophic subtropical gyres and  
13 represent more than 60 % of the global ocean area.  $N_2$ -fixing organisms (or diazotrophs) have  
14 a competitive advantage and sustain a large percentage (~50 %) of new primary production  
15 (PP) e.g. (Karl et al., 2002) in these vast ecosystems.

16 The non-heterocystous filamentous cyanobacterium *Trichodesmium* spp. remains the most  
17 studied marine diazotroph. Based on direct rate measurements, *Trichodesmium* accounts for a  
18 quarter to half of geochemically-derived estimates of marine  $N_2$  fixation at the global scale  
19 (Mahaffey et al., 2005). Diverse cyanobacteria and bacteria also fix  $N_2$  in marine waters.  
20 These include: (1) the heterocystous cyanobacteria frequently found in association with  
21 diatoms (diatom-diazotroph associations (hereafter referred to as DDAs; (Foster and  
22 O'Mullan, 2008)) efficient at exporting organic matter out of the photic zone (Karl et al.,  
23 2012), (2) unicellular cyanobacterial lineages (UCYN-A, B, and C) with a size range from 1  
24 to 6  $\mu m$  (Moisander et al., 2010), which are key oceanic diazotrophs (Luo et al., 2012)  
25 accounting for the predominant fraction of  $N_2$  fixation in many tropical oceans (Bonnet et al.,  
26 2009; Montoya et al., 2004), and (3) non-cyanobacterial  $N_2$ -fixing bacteria and archaea that  
27 are still poorly characterized yet recent studies show they are abundant and active across the  
28 world's oceans (Farnelid et al., 2011; Farnelid and Riemann, 2008; Moisander et al., 2014).

29 While the role and contribution of marine  $N_2$  fixation on biogeochemical cycles have been  
30 intensely investigated, a critical question that remains poorly studied is the fate of newly-fixed  
31 N, or diazotroph-derived N (hereafter named DDN) in LNLC ecosystems (Mulholland, 2007).  
32 It remains unclear whether the DDN is preferentially directly exported out of the photic zone,  
33 recycled by the microbial loop, and/or transferred into larger organisms, subsequently  
34 enhancing indirect particle export.



1 This question was investigated in the framework of the VAHINE (VAriability of vertical and  
2 troPHic transfer of diazotroph derived N in the south wEst Pacific) project. Here we  
3 summarize the major results described in the VAHINE Special issue and integrate them to  
4 obtain general conclusions from the experiment. In this introduction section, we first  
5 summarize some of our knowledge regarding the fate of DDN in the ocean, describe the  
6 ongoing technical challenges to study this question, and the specific scientific objectives of  
7 the VAHINE project.

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## 9 **1.1 Current knowledge on the fate of DDN in the ocean**

### 10 **1.1.1 DDN release to the dissolved pool**

11 As the biologically catalysed process of N<sub>2</sub> fixation is not entirely efficient, diazotrophs  
12 release some of the recently fixed N<sub>2</sub> as dissolved organic N (DON) and NH<sub>4</sub><sup>+</sup> to the  
13 surrounding waters (Glibert and Bronk, 1994; Meador et al., 2007; Mulholland et al., 2006).  
14 Several studies have reported elevated DON and NH<sub>4</sub><sup>+</sup> concentrations during and immediately  
15 after *Trichodesmium* spp. blooms in the Indian (Devassy et al., 1979; Devassy et al., 1978;  
16 Glibert and O'Neil, 1999), Pacific (Karl et al., 1992; Karl et al., 1997b), and Atlantic (Lenes et  
17 al., 2001) oceans. Subsequent culture (Hutchins et al., 2007; Karl et al., 1992; Karl et al.,  
18 1997a) and field studies (Benavides et al., 2013b; Konno et al., 2010; Mulholland and  
19 Bernhardt, 2005) have quantified that diazotrophs release ~50 % of the total fixed N<sub>2</sub> to the  
20 dissolved pool. Most of these studies were performed on the conspicuous *Trichodesmium* spp.  
21 and were based on the difference between gross N<sub>2</sub> fixation (measured by acetylene reduction  
22 assays) and net N<sub>2</sub> fixation (Mulholland et al., 2004) measured using the <sup>15</sup>N<sub>2</sub> labelling  
23 technique (Montoya et al., 1996). The recent modification of the <sup>15</sup>N<sub>2</sub> labelling method (Mohr  
24 et al., 2010) led to higher net N<sub>2</sub> fixation rates and potentially reduced the gap between gross  
25 and net N<sub>2</sub> fixation. Applying the new N<sub>2</sub> fixation method and the direct measurement of the  
26 <sup>15</sup>N signature on the released DON and NH<sub>4</sub><sup>+</sup> demonstrated low release rates from  
27 *Trichodesmium* spp. and from three strains of UCYN-B and C (<1 % of total N<sub>2</sub> fixation)  
28 (Berthelot et al., 2015a). Similar experiments (examining the direct <sup>15</sup>N measurement on  
29 released molecules) showed low release by UCYN-C (~1 %, (Benavides et al., 2013a)).  
30 Culture studies probably represent lower end estimates of DDN release, as in the field,  
31 exogenous factors such as viral lysis (Hewson et al., 2004; Ohki, 1999) and sloppy feeding  
32 (O'Neil et al., 1996) may enhance the leakage of DDN by UCYN, yet such field studies on  
33 these organisms are rare.

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## 1 1.1.2 Transfer of DDN to the trophic chain and impact on plankton community 2 composition

3 The transfer of DDN towards the first levels of the food chain (phytoplankton, bacteria) is  
4 mainly achieved through the dissolved pool. Devassy et al. (1979) first observed that as  
5 blooms of *Trichodesmium* spp. decayed in the Indian ocean, diatom populations increased  
6 (mainly *Chaetoceros* sp.), followed by a succession of cladocerans, dinoflagellates, green  
7 algae and finally copepods. In the Atlantic, a high abundance of non-diazotrophic diatoms and  
8 dinoflagellates succeeded blooms of *Trichodesmium* spp. (Devassy et al., 1978; Furnas and  
9 Mitchell, 1996; Lenes et al., 2001), while in the pelagic waters of the Kuroshio current,  
10 *Trichodesmium* spp. and diatom abundance were positively correlated (Chen et al., 2011).  
11 These studies suggest a potential transfer of DDN from diazotrophic to non-diazotrophic  
12 phytoplankton. Actual calculations were first performed by Bronk et al. (2004), Lenes and  
13 Heil (2010) and Sipler et al. (2013), who demonstrated how the DDN released by  
14 *Trichodesmium* spp. affected the bloom dynamics of the toxic dinoflagellate *Karenia brevis*  
15 in the Gulf of Mexico. Size-fractionation of picoplankton after  $^{15}\text{N}_2$  incubation also supported  
16 the idea of a DDN transfer towards non-diazotrophic plankton (Bryceson and Fay, 1981;  
17 Olendieck et al., 2007; Garcia et al., 2007), yet this method could not discriminate the DDN  
18 transfer towards non-diazotrophic picoplankton from  $\text{N}_2$  fixation by picoplankton itself and  
19 thus likely overestimated the DDN transfer.

20 Thus, the actual transfer of DDN towards non-diazotrophic phytoplankton and bacteria  
21 remains poorly qualified and challenged due mainly to technical limitations as it requires  
22 appropriate methodologies to track the passage of DDN through the different components of  
23 microbial food web. Moreover, the planktonic groups (autotrophic *versus* heterotrophic, small  
24 *versus* large phytoplankton) that benefit the most from this DDN and develop during/after  
25 diazotroph blooms have not been identified so far despite their potential to differentially affect  
26 the structure of the trophic chain and eventually the mode of export of carbon (C) from the  
27 photic zone.

28 Regarding higher trophic levels, low  $\delta^{15}\text{N}$  signatures measured on zooplankton indicate that  
29 DDN is transferred towards secondary producers (Montoya et al., 2002b). This transfer can be  
30 direct through the ingestion of diazotrophs (O'Neil et al., 1996; Wannicke et al., 2013a), or  
31 indirect, i.e. mediated by the dissolved N released by diazotrophs (Capone et al., 1994; Glibert  
32 and Bronk, 1994; Mulholland et al., 2004). The dissolved N (both DIN and DON) is taken up  
33 by heterotrophic and autotrophic plankton and then potentially grazed on by zooplankton, yet  
34 these pathways remain poorly explored.



1 The transfer of DDN to zooplankton may possibly depend on the diazotroph community  
2 composition in the water column. Toxicity of *Trichodesmium* spp. (Kerbrat et al., 2010)  
3 combined with poor nutritional quality (O'Neil, 1999; O'Neil and Roman, 1992) reduce  
4 grazing pressure by copepods other than the harpacticoid *Macrosetella gracilis*. Stable isotope  
5 measurements performed on zooplankton suggest higher DDN uptake when the diazotroph  
6 community is dominated by DDAs rather than *Trichodesmium* spp. (Montoya et al., 2002a).  
7 Grazing experiments on UCYN have not been conducted so far and the potential of UCYN as  
8 a conduit of DDN into marine food webs remains unexplored.

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### 10 **1.1.3 Export of DDN out of the photic zone**

11 Low  $\delta^{15}\text{N}$  signatures in particles from sediment traps in the tropical North Pacific suggests  
12 that at least part of the DDN is ultimately exported out of the photic zone (Karl et al., 2012;  
13 Karl et al., 1997b; Scharek et al., 1999a; Sharek et al., 1999b). The export of DDN may either  
14 be direct through sinking of diazotrophs, or indirect, through the transfer of DDN to non-  
15 diazotrophic plankton in the photic zone, that is subsequently exported. While it has been  
16 demonstrated that DDAs directly contribute to particle export (Karl et al., 2012; Subramaniam  
17 et al., 2008; Yeung et al., 2012), the DDN export efficiency appears to depend on the  
18 diazotroph community composition present in surface waters. The positive buoyancy of  
19 *Trichodesmium* spp. probably prevents its downward flux and settling in sediment traps  
20 (Capone et al., 1997; Walsby, 1992), although programmed cell death (PCD) causing bloom  
21 demise can cause rapid export of *Trichodesmium* biomass to depth (Bar-Zeev et al., 2013;  
22 Berman-Frank et al., 2004; Spungin et al., 2016). In the north-east Pacific, when the  
23 diazotrophic community was dominated by UCYN-A and *Trichodesmium* spp.,  $\text{N}_2$  fixation  
24 contributed ~10 % of the export (White et al., 2012); when DDAs dominated the diazotrophic  
25 community they contributed ~44 % of export production, thereby suggesting that DDAs have  
26 a higher export efficiency compared to *Trichodesmium* spp. and UCYN-A. Despite their  
27 recent recognition as key oceanic diazotrophs (Luo et al., 2012), the export efficiency of  
28 UCYN from other lineages (UCYN-B and UCYN-C) is currently undetermined as no  
29 published studies of natural UCYN blooms and their fate in the ocean are to date available.

30 The determination of direct *versus* indirect export requires diazotroph quantification in both  
31 the water column and in sediment traps in addition to clarifying the actual transfer of DDN to  
32 the different groups of autotrophic and heterotrophic plankton. Few studies have thus focused  
33 on the direct coupling between  $\text{N}_2$  fixation and particulate export in general (see references  
34 above). Ideally such studies require the successful encounter of an oceanic diazotroph bloom,



1 deployment of sediment traps, and long-term (several weeks) monitoring of the  
2 biogeochemical characteristics of the water body influenced by the bloom, which are rarely  
3 accomplished. The patchy distribution of diazotrophs in the surface ocean (Bombar et al.,  
4 2015), the temporal lag between production and export, and hydrodynamic features that may  
5 decouple production in surface and export below the photic zone (Buesseler et al., 2007) also  
6 make these studies very challenging.

7

## 8 **1.2 Scientific objectives of the VAHINE project**

9 Thus, the main scientific objectives of the VAHINE project were:

10

11 i) To quantify the DDN which enters the planktonic food web. Is DDN preferably transferred  
12 to large size (e.g. diatoms), small size (pico-, nanophytoplankton) phytoplankton, or to the  
13 microbial food web? What percentage of DDN is transferred to zooplankton? Does it depend  
14 on the diazotroph community composition?

15 ii) To investigate how the development of diazotrophs influences the subsequent diversity,  
16 gene expression, and production of primary producers, heterotrophic bacterioplankton, and  
17 subsequently the zooplankton abundance

18 iii) To examine whether different functional types of diazotrophs significantly modify the  
19 stocks and fluxes of the major biogenic elements (C, N, P)?

20 iv) To elucidate whether the efficiency of particulate matter export depends on the  
21 development of different functional types of diazotrophs? Is this export direct (through the  
22 sinking of diazotrophic cells) or indirect (through the transfer of DDN to non-diazotrophic  
23 plankton that is subsequently exported)?

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25 To achieve these goals and concurrently determine  $N_2$  fixation and particle export, we isolated  
26 large water masses containing ambient planktonic communities by deploying three large-  
27 volume ( $\sim 50\text{ m}^3$ ) mesocosms (Bonnet et al., 2016) thereby maintaining a stable water-mass  
28 without disturbing ambient light and temperature conditions. The experimental location in the  
29 southwestern Pacific region was chosen as in this area some of the highest rates of oceanic  $N_2$   
30 fixation occur (Bonnet et al., 2015b; Messer et al., 2015). Additionally, to enhance  $N_2$   
31 fixation, the mesocosms were intentionally fertilized with dissolved inorganic phosphorus  
32 (DIP). The experiment lasted 23 days and was characterized by a dominance of DDAs during  
33 the first half of the experiment (days 2-14) and a bloom of UCYN-C during the second half of  
34 the experiment (days 15-23), providing a unique opportunity to compare the DDN transfer



1 and export efficiency associated with specific diazotrophs in this experimental system. Some  
2 additional process experiments performed on *Trichodesmium* spp. which bloomed outside the  
3 mesocosms on the last two days are also presented here.

4 Below, we summarize the scientific strategy used in this study, as well as some of the major  
5 results obtained during this project and propose some scientific perspectives for the future.

6

## 7 **2 Scientific strategy**

### 8 **2.1 Brief description of the mesocosms and study site**

9 The large-volume (~50 m<sup>3</sup>) mesocosm experiment was undertaken in New Caledonia, located  
10 1500 km east of Australia in the Coral Sea (southwestern tropical Pacific, Fig. 1). Three  
11 replicate polyethylene and vinyl acetate mesocosms (diameter 2.3 m, height 15 m, volume  
12 ~50 m<sup>3</sup>, Fig. 2) were deployed 28 km off the coast of New Caledonia at the entrance to the  
13 Noumea coral lagoon (22°29.073 S - 166°26.905 E) for 23 days from January 13<sup>th</sup> to February  
14 6<sup>th</sup> (austral summer). The New Caledonian lagoon has been chosen as it is a well-studied  
15 environment (Special issue Marine Pollution Bulletin 2010 (Grenz and LeBorgne, 2010))  
16 submitted to high oceanic influence (Ouillon et al., 2010) and harbouring typical oligotrophic  
17 conditions during the summer season (NO<sub>3</sub><sup>-</sup> concentrations <0.04 μmol L<sup>-1</sup> and chlorophyll *a*  
18 (Chl *a*) ~0.10-0.15 μg L<sup>-1</sup> (Fichez et al., 2010). Primary productivity is N-limited throughout  
19 the year (Torréton et al., 2010), giving diazotrophs a competitive advantage. New Caledonian  
20 waters support high N<sub>2</sub> fixation rates (151-703 μmol N m<sup>-2</sup> d<sup>-1</sup>, (Garcia et al., 2007)), as well  
21 as high *Trichodesmium* spp. (Dupouy et al., 2000; Rodier and Le Borgne, 2010, 2008), and  
22 UCYN abundances (Biegala and Raimbault, 2008), therefore representing an ideal location to  
23 implement the VAHINE project and study the fate of DDN in the marine ecosystem.

24 DIP availability can control N<sub>2</sub> fixation in the southwestern Pacific (Moutin et al., 2008;  
25 Moutin et al., 2005), hence the mesocosms were intentionally fertilized with ~0.8 μM DIP  
26 (KH<sub>2</sub>PO<sub>4</sub>) the evening of day 4 to alleviate any potential DIP limitation and promote N<sub>2</sub>  
27 fixation and even diazotroph blooms for the purpose of the project.

28 The mesocosms used for this study are well suited for conducting replicated process studies  
29 on the first levels of the pelagic food web (Bonnet et al., 2016; Guieu et al., 2010; Guieu et  
30 al., 2014). They are equipped with sediment traps allowing the collection of sinking material.  
31 Due to the height of the mesocosms (15 m), they do not represent processes occurring in the  
32 full photic layer but allow studying the dynamics of C, N, P pools/fluxes and export  
33 associated with the plankton diversity in the same water mass, and comparing these dynamics.  
34 before/after the DIP fertilization, and under contrasted conditions regarding the diazotroph





1 community composition (cf below). Detailed surveys performed in LNLC environments  
2 revealed that temperature and light conditions are not affected by the presence of the  
3 mesocosms compared to surrounding waters (Bonnet et al., 2016; Guieu et al., 2010; Guieu et  
4 al., 2014). These studies also revealed a good replicability of stocks, fluxes and plankton  
5 diversity measurements among the replicate mesocosms. Hence, the discussion below will  
6 consider the average between the three mesocosms deployed in this study.

7

## 8 **2.2 Sampling strategy and logistics**

9 A complete description of the mesocosms design and deployment strategy is given in the  
10 introductory article (Bonnet et al., 2016). In total, over 47 stocks, fluxes, enzymatic activities  
11 and diversity parameters were measured daily by the 40 scientists involved in the project.  
12 Protocols for each measured parameter are detailed in the specific contributions to this special  
13 issue and will not be described here. Modelling has also accompanied all steps of the project  
14 (see Gimenez et al. (2016) and section 5 below).

15 Sampling for stocks, fluxes and plankton diversity measurements was performed daily at 7 am  
16 in each of the three mesocosms (M1, M2 and M3) and in surrounding waters (hereafter called  
17 ‘lagoon waters’) from day 2 (January 15<sup>th</sup>, the day of the mesocosms closure) to day 23  
18 (February 6<sup>th</sup>) at three selected depths (1, 6 and 12 m) to study the vertical variability within  
19 and in lagoon waters. For flux measurements, bottles were incubated on an in situ mooring  
20 line at the appropriate sampling depth set up close to the mesocosms. Vertical CTD profiles  
21 were then performed daily at 10 am in every mesocosm and in lagoon waters using a SBE 19  
22 plus Seabird CTD to obtain the vertical profiles of temperature, salinity and fluorescence.  
23 Finally, sediment traps were collected daily by SCUBA divers at 10:30 am, see details in  
24 Bonnet et al. (2016).

25

## 26 **3 Evolution of the main standing stocks, fluxes and biological** 27 **characteristics during the VAHINE experiment**

28 Initial hydrological and biogeochemical conditions (i.e. conditions in ambient waters the day  
29 of mesocosms deployment - January 13<sup>th</sup>, day 0) were typical of those encountered in the  
30 oligotrophic Noumea lagoon during austral summer conditions (Fichez et al., 2010; Le  
31 Borgne et al., 2010), with seawater temperature of 25.5°C, surface salinity of 35.15, NO<sub>3</sub><sup>-</sup>-  
32 depleted waters (0.04±0.01 μmol L<sup>-1</sup>), low DIP concentrations (0.04±0.01 μmol L<sup>-1</sup>), and Chl  
33 *a* concentrations of 0.20 μg L<sup>-1</sup>. N<sub>2</sub> fixation rates were 8.70±1.70 nmol N L<sup>-1</sup> d<sup>-1</sup> and the  
34 diazotroph community was dominated by DDAs (het-1 3.1 x 10<sup>4</sup> *nifH* copies L<sup>-1</sup> and het-2 1.2



1  $\times 10^4$  *nifH* copies  $L^{-1}$ ) as well as UCYN-A2 ( $1.5 \times 10^4$  *nifH* copies  $L^{-1}$ ) and UCYN-A1 ( $5.6 \times$   
2  $10^3$  *nifH* copies  $L^{-1}$ ), which together accounted for 95 % of the total *nifH* pool in the lagoon  
3 waters prior to the mesocosms closure (Turk-Kubo et al., 2015).

4 During the 23-days VAHINE mesocosm experiment, three major periods could be defined  
5 based on the main C, N, P stocks and fluxes (Berthelot et al., 2015b) and on the identity of the  
6 most abundant diazotrophs that developed in the mesocosms (Turk-Kubo et al., 2015): **P0**  
7 from days 2 to 4 (i.e. prior to the DIP fertilization that occurred on the evening of day 4), **P1**  
8 from days 5 to 14, and **P2** from days 15 to 23 (Figs. 3 and 4). Figure 3 reports the main  
9 hydrological and biogeochemical parameters during the experiment. Figure 4 provides a  
10 synoptic view of the main changes (positive, negative, neutral) in the major stocks, fluxes,  
11 and plankton community composition measured during P1 and P2 respectively.

12 Seawater temperature (Fig. 3) gradually increased both inside and outside the mesocosms  
13 over the 23-days of the experiment from 25.5°C to 26.2°C on day 23, which is the general  
14 trend observed during austral summer conditions (Le Borgne et al., 2010). The water column  
15 was well homogenized inside the mesocosms throughout the experiment (Bonnet et al., 2016).  
16  $NO_3^-$  concentrations remained close to detection limit of conventional micromolar methods  
17 ( $0.02 \mu\text{mol } L^{-1}$ ) both inside and outside the mesocosms throughout the 23 days of the  
18 experiment (Fig. 3). The low ( $0.04 \mu\text{mol } L^{-1}$ ) DIP concentrations measured during P0  
19 increased in the mesocosms right after the fertilization up to  $\sim 0.8 \mu\text{mol } L^{-1}$ , then decreased  
20 quickly to reach values close to initial DIP concentrations ( $\sim 0.04 \mu\text{mol } L^{-1}$ ) at the end of the  
21 experiment.

22 As a major objective of the experiment was to study the development of diazotroph blooms  
23 and the fate of DDN, investigation of the biological response was focused on diazotrophs and  
24 their subsequent influence on biological and biogeochemical signatures.  $N_2$  fixation rates  
25 tripled between P1 and P2, to reach extremely high rates during P2 ( $27.3 \pm 1.0 \text{ nmol } N \text{ } L^{-1} \text{ d}^{-1}$   
26 on average and up to  $70 \text{ nmol } N \text{ } L^{-1} \text{ d}^{-1}$  (Bonnet et al., 2015a)) (Fig. 3), ranking among the  
27 highest rates reported in marine waters (Luo et al., 2012). The diazotroph community  
28 composition was dominated by DDAs during P1, and a bloom of UCYN-C occurred during  
29 P2 (Fig. 4). Standing stocks of Chl *a* and PON increased by a factor of 3 and 1.5 between P1  
30 and P2 and subsequently, export of PON dramatically increased (by a factor of 5) in the  
31 mesocosms during P2 (Fig. 3). These results emphasize that the experimental mesocosm setup  
32 provided ideal conditions to study the fate of DDN associated with different diazotroph  
33 communities (DDAs *versus* UCYN-C).



1 The synoptic view of the mesocosm dynamics (Fig. 4) indicates that after the DIP  
2 fertilization, DIP concentrations and DIP turn-over time increased significantly during P1, and  
3 alleviated P-limitation in the microbial communities as reflected in the significant decline in  
4 alkaline phosphatase activity (APA). The major biomass-indicative standing stock parameters  
5 (Chl *a*, POC, PON, POP) did not increase immediately after the DIP fertilization (P1) but  
6 during P2 (see below). Only PP increased significantly by a factor of 2 during P1, associated  
7 with a significant increase in N<sub>2</sub>-fixing DDAs and *Prochlorococcus* abundances. During P1,  
8 enhanced DIP availability enabled non-diazotrophic organisms with lower energetic  
9 requirements and higher growth rates such as *Prochlorococcus* to outcompete the diazotrophs  
10 in the mesocosms via utilization of recycled N derived from N<sub>2</sub> fixation (Bonnet et al., 2015a).  
11 Thus, while PP increased, N<sub>2</sub> fixation rates decreased significantly after the DIP spike.

12 During P2, diazotrophy was characterized by the significant increase in UCYN-C abundances  
13 that reached up to  $7 \times 10^5$  *nifH* copies L<sup>-1</sup>, concomitant with the utilization of DIP and the  
14 significant decline in DIP concentrations, DIP turn-over time and a parallel increase of total  
15 APA. In all three mesocosms, the increase in UCYN-C abundances coincided with the day at  
16 which the DIP turnover time declined below 1 d, indicative of DIP limitation (Berthelot et al.,  
17 2015b; Moutin et al., 2005). UCYN-C may have also utilized dissolved organic phosphorus  
18 (DOP) as a P source (Bandyopadhyay, 2011), driving the significant decline in DOP  
19 concentrations observed during P2 ((Berthelot et al., 2015b), Fig. 4). The mesocosm approach  
20 also enabled the calculation of *in situ* growth rates for UCYN-C, which were up to 2 d<sup>-1</sup>  
21 during P2, i.e. higher than growth rates of other diazotrophic phylotypes during P2 (Turk-  
22 Kubo et al., 2015), indicating that under NO<sub>3</sub><sup>-</sup> depletion and low DIP availability, UCYN-C  
23 was the most competitive diazotroph in the mesocosms.

24

25 Under the high N<sub>2</sub> fixation conditions encountered during P2 ( $27.3 \pm 1.0$  nmol N L<sup>-1</sup> d<sup>-1</sup>), all  
26 standing stocks (Chl *a*, POC, PON, POP) increased in the mesocosms, together with PP and  
27 BP (Fig. 4). The corresponding NO<sub>3</sub><sup>-</sup>, DIP, DON and DOP stocks for P2 decreased, indicating  
28 active consumption by the planktonic communities. As no external supply of NO<sub>3</sub><sup>-</sup> was  
29 provided to the enclosed mesocosms, we calculated that the consumption of the NO<sub>3</sub><sup>-</sup> stock  
30 initially present in the mesocosms ( $0.04 \mu\text{mol L}^{-1}$ ) represented less than 11 % of the integrated  
31 N<sub>2</sub> fixation rates. Therefore, N<sub>2</sub> fixation supplied nearly all of the new production during the  
32 experiment. Our results demonstrate that in oligotrophic N-depleted systems, diazotrophs can  
33 provide enough new N to sustain high PP rates (exceeding  $2 \mu\text{mol C L}^{-1} \text{d}^{-1}$ ) and high biomass  
34 ( $\sim 10 \mu\text{mol L}^{-1}$  of POC and  $0.7 \mu\text{g L}^{-1}$  of Chl *a*), as long as DIP does not limit N<sub>2</sub> fixation



1 (Berthelot et al., 2015b). Furthermore, during P2, DON provided an additional N source for  
2 non-diazotrophic phytoplankton and bacteria (Berthelot et al., 2015).  
3 The time lag between the DIP fertilization and the increase in biogeochemical stocks/fluxes  
4 was 10 days, indicating that 10 days were necessary for N<sub>2</sub> fixation to sustain the high  
5 production rates observed, and to see an effective accumulation of biomass. Our results  
6 demonstrate the restricted applicability of nutrient-addition experiments in small-volume  
7 microcosms (several liters) mostly limited to 24-72 h incubations that are typically employed  
8 to assess nutrient limitations on plankton growth in the ocean, e.g. (Moore et al., 2013). If  
9 indeed a longer time scale (weeks) is required to study nutrient limitation of plankton in  
10 marine ecosystems, then large-volume mesocosms, such as we demonstrate here, would be  
11 more suitable (Gimenez et al., 2016).  
12 Concurrent with the development of diazotrophic (UCYN-C) populations, the abundance of  
13 *Synechococcus*, pico-eukaryote and nano-eukaryote primary producers also increased at the  
14 end of P2 (i.e. around day 16) (Leblanc et al., 2016). The non-diazotrophic diatoms responded  
15 rapidly (i.e. around day 10-11) and increased to bloom values (100 000 cells L<sup>-1</sup>)  
16 simultaneously with the UCYN-C bloom on days 15-16 and prior to the increases in the pico-  
17 and nanophytoplankton (Pfreundt et al., 2015; Van Wambeke et al., 2015). This increase was  
18 paralleled by a drastic change in the diatom community structure, which became almost  
19 monospecifically dominated by *Cylindrotheca closterium*. Despite the significant increase in  
20 BP during P2 and enrichments in the 16S transcripts of specific bacterial groups (Pfreundt et  
21 al., Submitted), the total abundance of heterotrophic bacteria did not (Van Wambeke et al.,  
22 2015), probably due to grazing. Finally, no consistent temporal pattern in zooplankton  
23 biomass was detected over the course of the experiment (Hunt et al., 2016), although changes  
24 were observed regarding the contribution of DDN to zooplankton biomass (see below).

25

## 26 **4. Tracking the fate of N<sub>2</sub> fixation**

### 27 **4.1. Contribution of N<sub>2</sub> fixation to export fluxes**

28 We specifically utilized the mesocosm approach to answer whether the composition of the  
29 diazotroph community influenced the subsequent export of particulate matter and how.  
30 During P1, DDAs dominated the diazotroph community. For this time period, the biomass  
31 indices (Chl *a*, POC, PON, POP) were stable within the mesocosms (Fig. 3, 4), suggesting  
32 that the DDN associated with DDAs remained within the symbiotic associations (i.e. was  
33 poorly transferred to the rest of the planktonic community). Moreover, the amount of recently  
34 fixed N<sub>2</sub> equaled that of exported PON, suggesting that the recently fixed N<sub>2</sub> by DDAs was



1 rapidly exported (Fig. 5a) as also observed for DDAs in the tropical North Pacific at Station  
2 ALOHA (Karl et al., 2012). DDAs such as het-1 (*Richelia* in association with the diatom  
3 *Rhizosolenia* spp.), which dominated the DDA community during P1 in the mesocosms  
4 (Turk-Kubo et al., 2015) have indeed been shown to sink at high rates in the ocean (Scharek  
5 et al., 1999a).

6 During P2 and the UCYN-C bloom, the increases in Chl *a*, POC, PON, and POP  
7 concentrations in the mesocosms suggest that a fraction of the recently produced biomass  
8 sustained by N<sub>2</sub> fixation remained in the water column. The mesocosms enabled us to  
9 determine whether export associated with diazotrophs was direct (through the sinking of  
10 diazotrophic cells) or indirect (through the transfer of DDN to non-diazotrophic plankton that  
11 is subsequently exported). The direct export of UCYN has rarely been studied (White et al.,  
12 2012). Yet, UCYN contribution to vertical flux and export was assumed to be lower than the  
13 contribution of DDAs due to their small size of (1 to 6 μm) and low sinking rates compared to  
14 DDAs (up to 500 μm comprised of dense silica shells). qPCR quantification of diazotrophs in  
15 the sediment traps revealed that ~10 % of UCYN-C from the water column was exported to  
16 the traps daily, representing as much as 22.4±5.5 % of the total POC exported at the height of  
17 the UCYN-C bloom (Bonnet et al., 2015a). Mechanistically, the vertical downward flux was  
18 enabled by the aggregation of the small (5.7±0.8 μm) UCYN-C cells into large (100-500 μm)  
19 aggregates, the size of which increased with depth (Fig. 5b) possibly due to a sticky matrix  
20 composed also of transparent exopolymeric particles (TEP), which concentrations increased  
21 during P2 (Fig. 4) (Berman-Frank et al., 2016). These data, reported for the first time from the  
22 VAHINE experiment (Bonnet et al., 2015a), emphasize that despite their small size relative to  
23 DDAs, UCYN-C are able to directly export organic matter to depth, indicating that these  
24 small organisms should be considered in future biogeochemical studies.

25 The direct export of UCYN-C and other diazotrophs could not solely explain the very high  
26 exported matter observed during P2 (Bonnet et al., 2015a), suggesting another way of export  
27 during that period. An experiment performed during the UCYN bloom using nanoSIMS  
28 demonstrated that a significant fraction of DDN (21±4 %) was quickly (within 24 h)  
29 transferred to non-diazotrophic plankton (Bonnet et al., 2015a), revealing that N<sub>2</sub> fixation was  
30 fuelling non-diazotrophic plankton growth in the water column (Fig. 5b), suggesting an  
31 indirect export pathway in addition to the direct export of UCYN-C. The fact that UCYN-C  
32 fuelled non-diazotrophic plankton during P2 is consistent with the increase in biomass  
33 indicators as well as the increase in non-diazotrophic phytoplankton abundances (diatom and  
34 picoplankton) simultaneously with or after the UCYN-C bloom during P2.



1 The high export efficiency associated with the UCYN-C bloom compared to the one  
2 associated with the DDAs during VAHINE was also indicated by  $e$ -ratio calculations, which  
3 quantify the efficiency of a system to export POC relative to PP. During P2, the  $e$ -ratio was  
4 significantly ( $p < 0.05$ ) higher (i.e., during the UCYN-C bloom;  $39.7 \pm 24.9$  %) than during P1  
5 (i.e., when DDAs dominated the diazotrophic community;  $23.9 \pm 20.2$  %) (Berthelot et al.,  
6 2015b).  $\delta^{15}\text{N}$  measurements on DON, PON and particles from sediment traps further  
7 substantiated these results with a significantly ( $p < 0.05$ ) higher contribution of  $\text{N}_2$  fixation to  
8 export production during P2 ( $56 \pm 24$  % and up to 80 % at the end of the experiment) compared  
9 to P1 ( $47 \pm 6$  %) (Knapp et al., 2015). The contribution of  $\text{N}_2$  fixation to export (up to 80 %)  
10 was very high in our study compared with reports from other tropical and subtropical regions  
11 where active  $\text{N}_2$  fixation contribute 10 to 25 % to export production (e.g. (Altabet, 1988;  
12 Knapp et al., 2005)). This is consistent with the extremely high  $\text{N}_2$  fixation rates measured in  
13 the mesocosms (up to  $70 \text{ nmol N L}^{-1} \text{ d}^{-1}$ ) compared to those measured in other regions (Luo et  
14 al., 2012).

15 The export associated with *Trichodesmium* spp. has not been studied in the present mesocosm  
16 experiment as only limited numbers of *Trichodesmium* spp. were counted in the mesocosms.  
17 Its potential for export is discussed below based on parallel studies from the region and  
18 intensive short-term experiments on surface blooms of *Trichodesmium* that appeared outside  
19 the mesocosms on days 22-23 (Spungin et al., 2016).

20

## 21 **4.2. DDN release and transfer to the food web**

### 22 **4.2.1 DDN release and transfer to non-diazotrophic phytoplankton and bacteria**

23 As part of VAHINE, we assessed the quantity of DDN entering the planktonic food web as a  
24 function of the dominant diazotroph players, and examined which planktonic communities  
25 benefited the most from the DDN (i.e. small *versus* large phytoplankton, microbial food  
26 web?).

27 Diazotrophs transfer DDN to phytoplankton and heterotrophic prokaryotes via the dissolved  
28 N pool (DON and  $\text{NH}_4^+$ ). During the maximal abundance of UCYN-C, UCYN-C were  
29 responsible for  $90 \pm 29$  % of total  $\text{N}_2$  fixation rates in the mesocosms (Bonnet et al., 2015a) and  
30 the DDN released to the dissolved pool (based on the direct measurement of the isotopic  
31 signature ( $^{15}\text{N}$ ) of the total dissolved N according to the denitrifying method (Knapp et al.,  
32 2005)) accounted for  $7.1 \pm 1.2$  to  $20.6 \pm 8.1$  % of gross  $\text{N}_2$  fixation (Bonnet et al., 2015a). This  
33 proportion is higher than that reported for UCYN-C in monospecific cultures using an  
34 equivalent method ( $1.0 \pm 0.3$  to  $1.3 \pm 0.2$  % of gross  $\text{N}_2$  fixation (Benavides et al., 2013a;



1 Berthelot et al., 2015a). In the natural waters of the mesocosms, a diverse diazotroph  
2 community was found at the same time as UCYN-C (Turk-Kubo et al., 2015), and probably  
3 contributed to some DDN release. Additionally, exogenous factors such as viral lysis  
4 (Fuhrman, 1999) and sloppy feeding (O'Neil and Roman, 1992) occur in natural populations  
5 and could enhance N release compared to the mono-culture studies. To our knowledge, these  
6 data are the first reported of DDN released in a UCYN bloom.

7 The physiological state of cells probably plays a critical role in the quantity and availability of  
8 DDN to the microbial communities as demonstrated in a study (applying identical  
9 methodology) from two naturally-occurring blooms of *Trichodesmium* spp. in the same area  
10 (New Caledonian lagoon) (Bonnet et al., Accepted). DDN release from these blooms was  
11 slightly higher (bloom 1:  $20\pm 5$  to  $48\pm 5$  % and bloom 2:  $13\pm 2$  to  $28\pm 6$  % of gross  $N_2$  fixation)  
12 compared to UCYN-C (Bonnet et al., Accepted). *Trichodesmium* spp. bloom 1 was decaying,  
13 leading to high DDN release rates and high  $NH_4^+$  accumulation (up to  $3.4 \mu M$ ) in the  
14 dissolved pool, which was not observed during bloom 2 when *Trichodesmium* spp. were in  
15 exponential growing phase. The importance of physiological status rather than specific  
16 diazotroph types was further substantiated in culture study showing no significant differences  
17 in DDN release between *Trichodesmium* spp. and three strains of UCYN-B and C (Berthelot  
18 et al., 2015a)

19 Previous comparisons between gross and net  $N_2$  fixation rates indicated high DDN release  
20 rates for oceanic populations of *Trichodesmium* spp. (40-50 % of gross  $N_2$  fixation on  
21 average, and up to 97 %, (Mulholland, 2007) and references therein). The physiological status  
22 of these populations may have influenced the fluxes. Furthermore, the values could reflect a  
23 methodological overestimation due to the use of the  $^{15}N_2$  bubble method (Montoya et al.,  
24 1996) that may lead to greater differences between gross and net  $N_2$  fixation (see  
25 introduction). Currently, direct measurement of the  $^{15}N$  signature of the dissolved N pool  
26 itself (either the TDN pool through the Knapp et al. (2005) method or both the  $NH_4^+$  and the  
27 DON using the Slawyk and Raimbault (1995) method) appears the preferred method to  
28 accurately quantify the amount of DDN released by diazotrophs in the dissolved pool  
29 (Berthelot et al., 2015a).

30

31 Once released in the form of  $NH_4^+$  and/or DON, DDN can be taken up by surrounding  
32 planktonic communities. Experimental evidence from nanoSIMS experiments during  
33 VAHINE indicate that  $21\pm 4$  % of the  $^{15}N_2$  fixed during the UCYN-C bloom was transferred  
34 to the non-diazotrophic plankton after 24 h of incubation (Bonnet et al., 2015a). Among these



1 21±4 %, 18±3 % was transferred to picoplankton (including both pico-phytoplankton and  
2 heterotrophic prokaryotes) and 3 % to diatoms (Fig. 5b), suggesting that picoplankton would  
3 be more competitive than diatoms using DDN, which is consistent with the increase in  
4 *Synechococcus* and pico-eukaryote abundances by a factor of two following the UCYN-C  
5 bloom (Leblanc et al., 2016; Pfreundt et al., 2015). The short-term nanoSIMS experiment was  
6 performed on day 17, when pico- and nanoplankton dominated the phytoplanktonic biomass  
7 and diatom abundances declined probably due to DIP limitation (Leblanc et al., 2016).  
8 Picoplankton can efficiently utilize low DIP concentrations (Moutin et al., 2002) and/or can  
9 use alternative DOP sources (Pfreundt et al., Submitted; Van Wambeke et al., 2015), which  
10 may explain why they were the first beneficiaries of the DDN from UCYN-C at that time of  
11 the mesocosm experiment, although we cannot exclude that diatoms had also benefited from  
12 the DDN from UCYN-C but earlier in the experiment (between days 10-11 and days 15-16  
13 when they reached bloom values of ~100 000 cells L<sup>-1</sup>), when the DIP turn-over time was still  
14 higher than 1 d (indicative of no DIP limitation, (Berthelot et al., 2015b)).

15 A significant increase of both PP and BP during P2 (Fig. 2) suggests that both autotrophic and  
16 heterotrophic communities benefited from the DDN (Bonnet et al., 2015a). Calculations based  
17 on C:N molar ratios show that N<sub>2</sub> fixation may have provided ~30 % of the N demand of the  
18 N-limited bacteria during P2 (compared to ~20 % during P1), the rest being likely provided  
19 by detritus and DON (Van Wambeke et al., 2015), which concentrations decreased during the  
20 23 days (Berthelot et al., 2015b). The biological system inside the mesocosms was net  
21 autotrophic during VAHINE, with an upper error limit close to the metabolic balance between  
22 autotrophy and heterotrophy (Van Wambeke et al., 2015). The weak (during P2) or absent  
23 (during P1) correlations between BP and N<sub>2</sub> fixation rates and the tightly coupled  
24 relationships between BP and Chl *a* concentrations, and between BP and PP suggests that N<sub>2</sub>  
25 fixation stimulated autotrophic communities and these subsequently stimulated heterotrophic  
26 prokaryotes through the production and release of dissolved organic matter including C  
27 (DOC) (Van Wambeke et al., 2015).

28 In a recent study performed at the VAHINE study site, (Berthelot et al., 2016) compared the  
29 DDN transfer efficiency to several groups of non-diazotrophic plankton as a function of the  
30 diazotroph groups dominating the community (*Trichodesmium* spp. versus UCYN-B versus  
31 UCYN-C). Simulated blooms of *Trichodesmium* spp., UCYN-B and UCYN-C grown in  
32 culture added to ambient lagoon communities reveal that the primary route of transfer of  
33 DDN towards non-diazotrophs is NH<sub>4</sub><sup>+</sup>, and DON mainly accumulates in the dissolved pool,  
34 whatever the diazotroph considered. In all cases, the presence of diazotrophs stimulated





1 biomass production of non-diazotrophs, with heterotrophic prokaryotes the main beneficiaries  
2 of the DDN followed by diatoms and picophytoplankton. NanoSIMS analyses revealed that  
3 heterotrophic prokaryotes were highly  $^{15}\text{N}$ -enriched, confirming they can directly benefit from  
4 the DDN (Berthelot et al., 2016). Further studies are needed to study the indirect stimulation  
5 of heterotrophic prokaryotes through the release of DOC by diazotrophs and non-diazotrophic  
6 phytoplankton that has been stimulated by the DDN.

7 Similar experiments ( $^{15}\text{N}_2$  labelling, flow cytometry cell sorting and nanoSIMS) performed on  
8 three naturally-occurring *Trichodesmium* spp. blooms in the southwestern Pacific illustrated  
9 that DDN was predominantly transferred to diatoms whose abundance increased from 1.5 to  
10 15-fold during and after the *Trichodesmium* spp. blooms (Bonnet et al., Accepted). The results  
11 from these small-scale experiments indicate that under realistic conditions the extensive  
12 oceanic blooms of *Trichodesmium* spp. (reaching tens to thousands of  $\text{km}^2$ ), the high amounts  
13 of DDN can fuel successively large diatom or dinoflagellate blooms (Bonnet et al., Accepted;  
14 Devassy et al., 1979; Lenet et al., 2001), whose efficient export rates (Nelson et al., 1995) can  
15 contribute to a large indirect downward flux of organic matter (Fig. 5c).

16 Direct export flux of *Trichodesmium* spp. blooms may also occur in cases where rapid (< 2 d)  
17 bloom mortality occurs via a programmed cell death (PCD) process that is induced under  
18 environmental stressors (e.g. Fe limitation, oxidative stress) or physiological status (stationary  
19 phase) (Berman-Frank et al., 2004; Berman-Frank et al., 2007). PCD in *Trichodesmium* spp.  
20 is also characterized by the loss of buoyancy (collapse of gas vesicles) and increased  
21 production of TEP and aggregation leading to enhanced and massive vertical flux (Bar-Zeev  
22 et al., 2013). A *Trichodesmium* spp. bloom that occurred outside the VAHINE mesocosms on  
23 days 23-24 displayed mechanistic features of PCD including mass mortality within 24 h, loss  
24 of gas vesicles, and high production of TEP (Spungin et al., 2016). While we could not  
25 directly quantify the export flux as no sediment traps were deployed in the lagoon water  
26 outside the mesocosms, the characteristics of the bloom, lack of grazer influence and the  
27 demise of biomass suggests this would lead to high rates of export (Spungin et al., 2016) as  
28 demonstrated in culture simulations (Bar-Zeev et al., 2013) (Fig 5c).

29

#### 30 **4.2.2 DDN transfer to zooplankton**

31 DDN transfer to zooplankton may either be direct through the ingestion of diazotrophs, or  
32 indirect, i.e. mediated through the release of dissolved DDN by diazotrophs taken up by  
33 heterotrophic and autotrophic plankton and subsequently grazed by zooplankton. During the  
34 VAHINE experiment, the percent contribution of DDN to zooplankton biomass averaged 30



1 % (range = 15 to 70 %) (Hunt et al., 2016), which is in upper range of values reported from  
2 high N<sub>2</sub> fixation areas such as the subtropical north Atlantic (Landrum et al., 2011; Mompean  
3 et al., 2013; Montoya et al., 2002a), the Baltic Sea (Sommer et al., 2006; Wannicke et al.,  
4 2013b), and the pelagic waters off the New Caledonian shelf (Hunt et al., 2015).

5 During VAHINE all four of the qPCR targeted diazotrophs (*Trichodesmium* spp., het-1, het-2,  
6 UCYN-C) were found in zooplankton guts indicating a direct grazing of these four phylotypes  
7 (Hunt et al., 2016). Overall, the most frequently detected targets were het-1 (during P1; 17 to  
8 180 *nifH* copies copepod<sup>-1</sup>) and UCYN-C (during P2; 7 to 50 *nifH* copies copepod<sup>-1</sup>), i.e. the  
9 most abundant phylotypes encountered in the mesocosms during P1 and P2, respectively.  
10 However, *Trichodesmium* spp. and het-2 were also detected at relatively high abundances in  
11 copepod guts (~280 *nifH* copies copepod<sup>-1</sup>) despite their low abundance in the mesocosms,  
12 suggesting selective feeding and a possible top down control through zooplankton grazing for  
13 these two phylotypes.

14 Direct and efficient zooplankton grazing on UCYN-C was further substantiated by targeted  
15 grazing experiments during VAHINE which consisted of <sup>15</sup>N<sub>2</sub>-labeled bottle incubations of  
16 freshly collected zooplankton in the presence of natural phytoplankton assemblages. The <sup>15</sup>N<sub>2</sub>  
17 label was taken up by the diazotroph in the incubation bottles and used as a marker of  
18 zooplankton diazotroph ingestion and/or ingestion of non-diazotrophic plankton grown on  
19 DDN. Zooplankton were highly <sup>15</sup>N enriched after 72 h of incubation during the UCYN-C  
20 bloom (P2), slightly enriched during P1 when DDAs dominated to diazotrophic community,  
21 and not enriched at all when a *Trichodesmium* spp. bloom was encountered outside the  
22 mesocosms during P2 (Hunt et al., 2016). This was a surprising finding given that het-1, and  
23 to a lesser extent *Trichodesmium* spp. were detected in copepod guts, and would suggest that  
24 UCYN-C are much more efficiently transferred to zooplankton compared to DDAs and  
25 *Trichodesmium* spp. While we demonstrated direct grazing of zooplankton on *Trichodesmium*  
26 spp., DDAs and UCYN-C, further studies are required to quantify a more general contribution  
27 of direct and indirect transfer of DDN to zooplankton.

28

## 29 **5 Modelling as a tool to infer the fate of DDN and the role of N<sub>2</sub> fixation on** 30 **productivity, food web structure and C export**

31 Modelling has accompanied every stage of the VAHINE project. Mesocosm 1D-vertical  
32 simulations with the biogeochemical mechanistic Eco3M-MED model (Alekseenko et al.,  
33 2014), enriched with diazotrophs for the present study, and embedded in the Eco3M  
34 modelling platform (Baklouti et al., 2006), were utilized prior to the *in situ* experiments to aid



1 in the scientific design of the experiment and in understanding the need and the optimal  
2 timing of the DIP enrichment. The biogeochemical model was first assessed using *in situ* data  
3 from the mesocosms and then applied to study the fate of DDN in the ecosystem (Gimenez et  
4 al., 2016). Finally, one of the main strengths of the modelling tool lies in the opportunity that  
5 it offers to deconvolute the different processes that are deeply interlinked. This last facility is  
6 used here to infer the role of N<sub>2</sub> fixation on productivity, food web structure and C export.  
7 The simulation of the mesocosm experiment (including DIP enrichment) reported in Gimenez  
8 et al. (2016) hereafter referred to as the ‘REF’ simulation, and its main results relative to the  
9 fate of the DDN are summarized below.

10

11 At the end of the REF simulation (set at 25 days in the model), 33 % of the DDN was found  
12 in the diazotrophs, 43 % in the non-diazotroph organisms, 16 % in the DON pool, 3 % in the  
13 particulate detrital organic pool and 5 % in the traps, indicating that N<sub>2</sub> fixation efficiently  
14 benefited non-diazotrophic organisms and contributed to particle export. The model results  
15 substantiated the mass balance of N (Berthelot et al., 2015b) demonstrating that during the 10  
16 first days of the experiment, planktonic organisms did not significantly benefit from the DDN  
17 and that DDN did not accumulate in the water column (was not transferred to non-  
18 diazotrophic plankton). After day 10, the DDN proportion increased in all the non-  
19 diazotrophic plankton groups, and simultaneously decreased in the non-living pools, although  
20 DON concentrations lagged decreasing only from day 13. This decrease in DDN proportion in  
21 the abiotic N pools is due both to the assimilation of mineral and organic nutrients by  
22 phytoplankton and heterotrophic prokaryotes, as well as to the sinking of the produced  
23 organic matter through aggregation processes.

24 The model results further showed that the fraction of DDN in the exported particulate matter  
25 increased from day 10 until the end of the simulation, consistent with the high *e*-ratio  
26 determined by (Berthelot et al., 2015b) during P2 (see above) and with the δ<sup>15</sup>N-budget  
27 performed by Knapp et al. (submitted), emphasizing the higher contribution of N<sub>2</sub> fixation to  
28 export production during P2 compared to P1 (Gimenez et al., 2016).

29 In the model, diazotrophs were assumed to release equal amounts of NH<sub>4</sub><sup>+</sup> and DON at a rate  
30 which increases non-linearly with the absolute and relative N contents of diazotrophs  
31 (Gimenez et al., 2016). During P1, DDN accumulated in the DON pool (nearly up to 40 % of  
32 the DDN generated from the beginning of the experiment if found in DON on day 13),  
33 whereas the proportion of DDN associated with NH<sub>4</sub><sup>+</sup> decreased rapidly from day 5 as NH<sub>4</sub><sup>+</sup>  
34 was immediately used by heterotrophic bacteria and phytoplankton. The proportion of DDN



1 associated with DON decreased later (i.e. during P2) when the inorganic N pool was depleted.  
2 The model results are consistent with the  $^{15}\text{N}$  measurements from the  $\text{NH}_4^+$  and DON pools,  
3 indicating that  $\text{NH}_4^+$  was preferentially transferred to non-diazotrophic plankton compared to  
4 DON, which accumulated in the dissolved pool (Berthelot et al., 2016).

5 The model results were further validated in the distribution of the DDN among the biotic  
6 compartments. Small-size (pico- and nano-) phytoplankton, heterotrophic prokaryotes,  
7 heterotrophic nanoflagellates and ciliates were the main beneficiaries of DDN, as observed by  
8 the nanoSIMS studies (Berthelot et al., 2016; Bonnet et al., 2015a). Small-size phytoplankton  
9 and heterotrophic prokaryotes were indeed the main consumers of  $\text{NH}_4^+$  and labile DON (the  
10 model excludes DON uptake by large-size phytoplankton), and heterotrophic nanoflagellates  
11 and ciliates respectively feed on heterotrophic prokaryotes and small-size phytoplankton.  
12 These results therefore indicate that DDN mainly transited through pico-, nanophytoplankton  
13 and the actors of the microbial loop during the VAHINE experiment.

14

15 Both the *in situ* and modelling work summarized in the previous sections demonstrate the  
16 important contribution and role of the diazotrophic communities to PP (non-diazotrophic) and  
17 BP, to zooplankton feeding, and eventually to C export.

18 To further assess the role of  $\text{N}_2$  fixation on the ecosystem, we used the REF simulation from  
19 Gimenez et al. (2016) and compared it to a new simulation in which we removed the  $\text{N}_2$   
20 fixation capability of diazotrophs (hereafter named 'NOFIX simulation'). The NOFIX  
21 simulation also included the following changes compared to the REF simulation to be  
22 consistent with the new environmental conditions: (i) the initial relative N quotas of  
23 diazotrophs have been set to 25 % (instead of 100 % in the reference simulation, i.e. same  
24 value as the one used for non-diazotrophs). As the initial total N was identical to the one of  
25 the REF simulation, the N content of diazotrophs has been allocated to the detrital N  
26 compartment; (ii) all along the NOFIX simulation, only the detrital particulate compartment is  
27 allowed to sink at a constant rate of  $0.7 \text{ m d}^{-1}$  (see Gimenez et al. (2016)), whereas in the REF  
28 simulation, this was also the case only until day 10 beyond which all the compartments were  
29 allowed to sink at a rate increasing with time, in order to mimic the observed increase in the  
30 particulate sinking flux due to TEP release and aggregation .

31 When comparing the REF and NOFIX simulations (Fig. 6), we note that the shapes of the PP  
32 and BP curves remain the same, showing an increase in PP and PB during P2 in both  
33 simulations. However, in the NOFIX simulation, the magnitude of PP and BP is reduced by



1 2.5 and 1.5-fold respectively. Furthermore, according to the model, N<sub>2</sub> fixation fueled 43.5 %  
2 of PP and 8 % of BP during the 23 days of the simulated experiment.

3 The fact that the resulting PP was reduced to a larger extent than the BP when N<sub>2</sub> fixation was  
4 absent did not necessarily mean that non-diazotrophic autotrophs benefit more from the DDN  
5 compared to heterotrophs as the DDN was nearly equally distributed between autotrophs and  
6 heterotrophs (and slightly higher in heterotrophs) (Gimenez et al., 2016). This higher effect on  
7 PP than on BP is derived from the fact that the diazotrophs themselves (and therefore a part of  
8 PP since only autotrophic diazotrophs were considered in the model) were strongly affected  
9 by their inability to fix N<sub>2</sub> as suggested by the far lower abundance of UCYN-C in the NOFIX  
10 simulation compared to the REF one (Fig. 6). This also explains why removing N<sub>2</sub> fixation  
11 first affected PP (around day 10) compared to BP (around day 15).

12 We further assumed that, apart from diazotrophs, the organisms mostly influenced by the  
13 absence of N<sub>2</sub> fixation (in the simulation) should be those organisms that benefited the most  
14 from the DDN (i.e. in which the highest percentages of DDN have been calculated by the  
15 model (see Fig. 6 in Gimenez et al. (2016)), namely small (< 10 μm) phytoplankton,  
16 heterotrophic prokaryotes, heterotrophic nanoflagellates, and ciliates. This was the case for  
17 small phytoplankton and heterotrophic bacteria (Fig. 7), and to a lesser extent and later for  
18 heterotrophic nanoflagellates. This was also true for ciliate abundance, but only until day 16.  
19 After day 16, ciliate abundance was slightly (<5 % between day 16 and 23) higher in the  
20 NOFIX simulation compared to the REF one, resulting predominantly from a top-down effect  
21 due to increased copepod predation in the NOFIX simulation from day 10 to day 23 (results  
22 not shown).

23 Our model did not include DDAs and did not allow the uptake of DON by large  
24 phytoplankton (i.e. diatoms). Thus, the DDN content in diatoms, and therefore in  
25 mesozooplankton, was probably slightly underestimated by the model in the REF simulation  
26 (Gimenez et al., 2016) compared to *in situ* data (Hunt et al., 2016). As a result, large  
27 phytoplankton and mesozooplankton abundances were nearly similar in the REF and NOFIX  
28 simulations (not shown). Hence, apart from ciliates (which mortality also fuels the detrital  
29 particulate compartment as for large phytoplankton and mesozooplankton), the organisms that  
30 mostly benefited from the DDN were small organisms, the mortality of which fuels the  
31 dissolved organic pool.

32

33 How does N<sub>2</sub> fixation impact C export? Absence of N<sub>2</sub> fixation (NOFIX simulation) reduced  
34 export by 30 % on day 23 compared to the REF simulation (Fig. 8). This difference in C



1 export reaches 50% when the simulation duration is extended until day 35 (not shown). These  
2 results indicate that  $N_2$  fixation and the subsequent new production promotes C export to  
3 depth as the experimental VAHINE results demonstrated (Berthelot et al., 2015b; Knapp et  
4 al., 2015).

5 A third simulation (not shown) in which the  $N_2$  fixation capability by diazotrophs is still  
6 removed but in which the aggregation processes were represented (in the same way as in the  
7 REF simulation) indicated that C export is nearly equal to that of the REF simulation after 25  
8 days (they differ by only 2.9 %), with 25 % difference reached on day 35. This suggests that  
9 the higher C export when  $N_2$  fixation is active occurs initially due to aggregation processes  
10 mediated diazotrophs-derived TEP release and the subsequent export of diazotrophs (Berman-  
11 Frank et al., 2016; Bonnet et al., 2015a). Moreover, it is likely that increased stickiness and  
12 aggregate properties also cause further accumulation, aggregation, and enhanced vertical flux  
13 from the different compartments in the water column. To represent the latter phenomenon, we  
14 considered that 10 % of the living and non-living compartments are allowed to sink after day  
15 10 in the model (see Gimenez et al. (2016) for more details). In a second step however, the  $N_2$   
16 fixation process per se (by supporting PP and BP fluxes) contributes more and more to the  
17 enhanced C export as  $N_2$  fixation fluxes increase. Hence, on day 30,  $N_2$  fixation supports ~50  
18 % of the excess C export observed between the REF and the NOFIX simulations, the  
19 remaining still being attributed to aggregation processes.

20 To conclude,  $N_2$  fixation has a significant impact on both direct and indirect C export via  
21 diazotroph fueling of non-diazotrophic plankton as well as via aggregation processes. The  
22 model provides a lower limit of the major role played by  $N_2$  fixation on C export due to an  
23 underestimate of the DDN content in diatoms, and in mesozooplankton. Finally, this study  
24 also points the need of further investigation on aggregation processes in relation with TEP  
25 release and its representation in models since its influence on C export may be of the same  
26 order of magnitude as the  $N_2$  fixation process per se.

27

## 28 **6 Conclusions and future work**

29 The VAHINE project provided unique opportunities to study and compare the fate of  $N_2$   
30 fixation associated with different diazotrophs in the marine environment. The results showed  
31 that when the diazotroph community was dominated by DDAs, the DDN remained within the  
32 symbiotic associations, was poorly transferred to the non-diazotrophic phytoplankton and  
33 heterotrophic prokaryotes, yet can be transferred directly to zooplankton through grazing. The  
34 project results further substantiated previous data showing rapid export to depth of the



1 recently fixed  $N_2$  by DDAs (Karl et al., 2012). An opportune bloom of UCYN-C during the  
2 VAHINE project demonstrated that when UCYN-C dominated the diazotroph community, ~  
3 25 % of the DDN was quickly (24 h) transferred to the planktonic food web through the  
4 release of DON and  $NH_4^+$  to the dissolved pool. These additional N sources were  
5 subsequently transferred to zooplankton, both directly (through the grazing of UCYN-C) and  
6 indirectly through the grazing of plankton grown on DDN from UCYN-C. Moreover, the  
7 VAHINE data explicitly revealed that when UCYN-C dominate the diazotroph community,  
8 the efficiency of the system to export POC relative to PP (*e*-ratio) is higher than when DDAs  
9 dominate. This export is both direct through the sinking of small ( $5.7 \pm 0.8 \mu m$ ) UCYN-C cells  
10 aggregated into large (100-500  $\mu m$ ) particles having high sinking rates, and indirect through  
11 the sinking of plankton benefitting from the enriched source of DDN. Future projects should  
12 extend the investigation of DDN export below the photic layer in the open ocean (~70-150 m  
13 in the oligotrophic ocean) to confirm the process study obtained during VAHINE in  
14 mesocosms in an experimental 15 m-depth water column. In particular, are the aggregation  
15 processes of UCYN also observed in the open ocean? Although technically and logistically  
16 challenging, this feat may be accomplished through locating a research vessel in a 1D  
17 structure (cyclonic eddy harboring high UCYN abundances for example) where horizontal  
18 advection is reduced and sediment traps are deployed to study the biological and  
19 biogeochemical characteristics of the photic zone for one to two weeks.

20 The VAHINE project also provided a unique opportunity to compare the transfer efficiency of  
21 DDN from UCYN and *Trichodesmium* spp. to the different compartments of the planktonic  
22 food web, and revealed that the main beneficiaries of the DDN depend on both the  
23 physiological status (e.g. nutritionally balanced, stationary or decline phase) and the type of  
24 diazotroph. When *Trichodesmium* spp. bloom decay they release large amounts of  $NH_4^+$  and  
25 mainly support diatom growth, indicating a large potential of indirect organic matter export  
26 during/after *Trichodesmium* spp. blooms. This is further substantiated by the study of PCD  
27 indicating a rapid direct export of *Trichodesmium* spp. itself but further studies are needed in  
28 open ocean *Trichodesmium* spp. blooms to extrapolate our results to the field.

29  $NH_4^+$  appears to be the main form of DDN transferred to non-diazotrophic plankton. In future  
30 studies, it would be necessary to refine the chemical composition of DON released by  
31 different diazotrophs to assess its lability as a function of the diazotrophs involved in  $N_2$   
32 fixation and the stage of the bloom. It would also be informative to explore the amount and  
33 chemical composition of released DOC and better study the potential of diazotrophs to  
34 stimulate heterotrophs and their subsequent impact on the ocean metabolic balance.



1 Finally, in the future ocean, some diazotrophs such as *Trichodesmium* spp. (Hutchins et al.,  
2 2007; Levitan et al., 2007) and UCYN-B (Fu et al., 2008) (no study is available on UCYN-C)  
3 may develop extensively under high temperature and  $p\text{CO}_2$  conditions (Dutkiewicz et al.,  
4 2015), while other such as UCYN-A would not be affected (Law et al., 2012). The results  
5 from the VAHINE project revealed that the diazotroph community composition has a  
6 profound impact in structuring the planktonic food web in the surface ocean, and in the  
7 efficiency of particulate matter export to depth. Thus, current and predicted global changes  
8 require further knowledge and understanding of the fate and implications of changing  
9 scenarios of  $\text{N}_2$  fixation in the future oceans.

10

11

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1 **Figure legends.**

2

3 **Figure 1.** Study site of the VAHINE experiment. Location map of New Caledonia in the  
4 Southwestern Pacific (a), Map of the Noumea lagoon showing the location of mesocosms at  
5 the entrance of the lagoon, 28 km off the coast (b).

6

7 **Figure 2.** View of the mesocosms from above (a), from the seafloor (b) and view of the  
8 sediment traps that collect sinking particles (c) (Photos credits: J.M. Boré and E. Folcher,  
9 IRD).

10

11 **Figure 3.** Evolution of sea surface temperature ( $^{\circ}\text{C}$ ) (a),  $\text{NO}_3^-$  ( $\mu\text{mol L}^{-1}$ ) (b), DIP ( $\mu\text{mol L}^{-1}$ )  
12 (c), Chl a ( $\mu\text{g L}^{-1}$ ) (d),  $\text{N}_2$  fixation rates ( $\text{nmol N L}^{-1} \text{d}^{-1}$ ) (e), PON concentrations ( $\mu\text{mol L}^{-1}$ )  
13 (f), DON concentrations ( $\mu\text{mol L}^{-1}$ ) (g) and PON export ( $\mu\text{mol L}^{-1}$ ) (h) over the 23 days of the  
14 VAHINE mesocosm experiment. Lines represent the average of the three mesocosms and  
15 shaded areas represent the measured min and max values.

16

17 **Figure 4.** Upper panel: Diazotroph community composition in the VAHINE mesocosm  
18 experiment during the experimental period. *nifH*-based abundances were summed for each  
19 sampling day to determine the percent contribution to the total diazotroph community from  
20 each major phylotype (data from Turk-Kubo et al. (2015)). Bottom panel: simplified  
21 evolution of the major standing stocks, rates and plankton abundances measured during P1  
22 (days 5 to 14) and P2 (days 15 to 23) in the mesocosms. Squares are represented in green  
23 when a significant ( $p < 0.05$ ) increase was observed between each period (i.e. between P0 and  
24 P1 or between P1 and P2, Kruskal-Wallis test,  $\alpha = 0.05$ ), in red when a significant ( $p < 0.05$ )  
25 decrease was observed and in grey when no significant change was observed between the  
26 different periods.

27

28 **Figure 5.** Summary of the simplified pathways of the potential DDN transfer in the first  
29 trophic level of the food web and potential of direct *versus* indirect export of particulate  
30 matter for DDAs (a), UCYN-C (b) and *Trichodesmium* (c). DDN transfer data from (Bonnet  
31 et al., Accepted; Bonnet et al., 2015a)

32



1 **Figure 6.** Evolution of PP ( $\mu\text{mol C L}^{-1} \text{d}^{-1}$ ) (a) and bacterial production ( $\text{ng C L}^{-1} \text{h}^{-1}$ ) in the  
2 REF simulation (black line) and the NOFIX simulation (blue line) (i.e. when the  $\text{N}_2$  fixation  
3 process is removed).

4

5 **Figure 7.** Evolution of plankton abundances ( $\text{cells L}^{-1}$ ) in the REF simulation (black line) and  
6 the NOFIX simulation (blue line) (i.e. when the  $\text{N}_2$  fixation process is removed). TRI:  
7 *Trichodesmium* spp., UCYN: UCYN-C, BAC: heterotrophic bacteria, PHYS: small  
8 phytoplankton, HNF: heterotrophic nanoflagellates.

9

10 **Figure 8.** Evolution of C content collected in the mesocosm particle traps ( $\text{mmol C}$ ) in the  
11 REF simulation (black line) and the NOFIX simulation (blue line) (i.e. when the  $\text{N}_2$  fixation  
12 process is removed).

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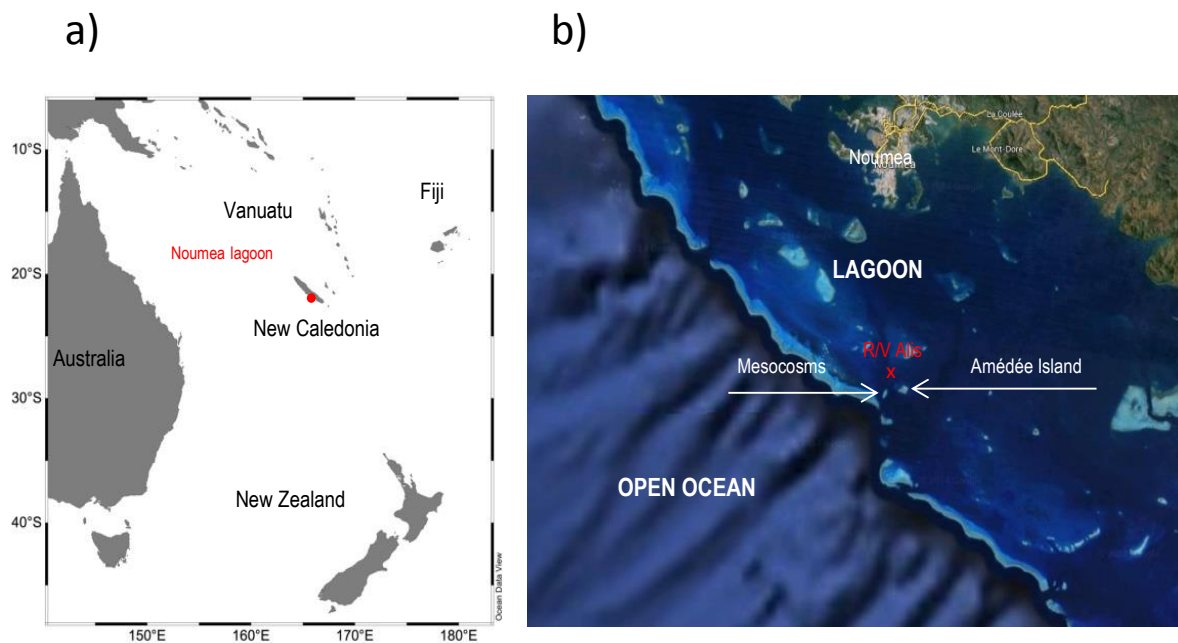
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a)



b)



c)

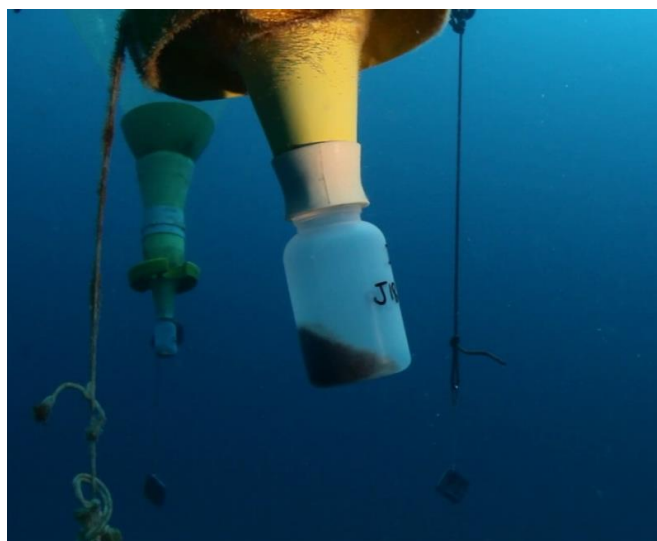
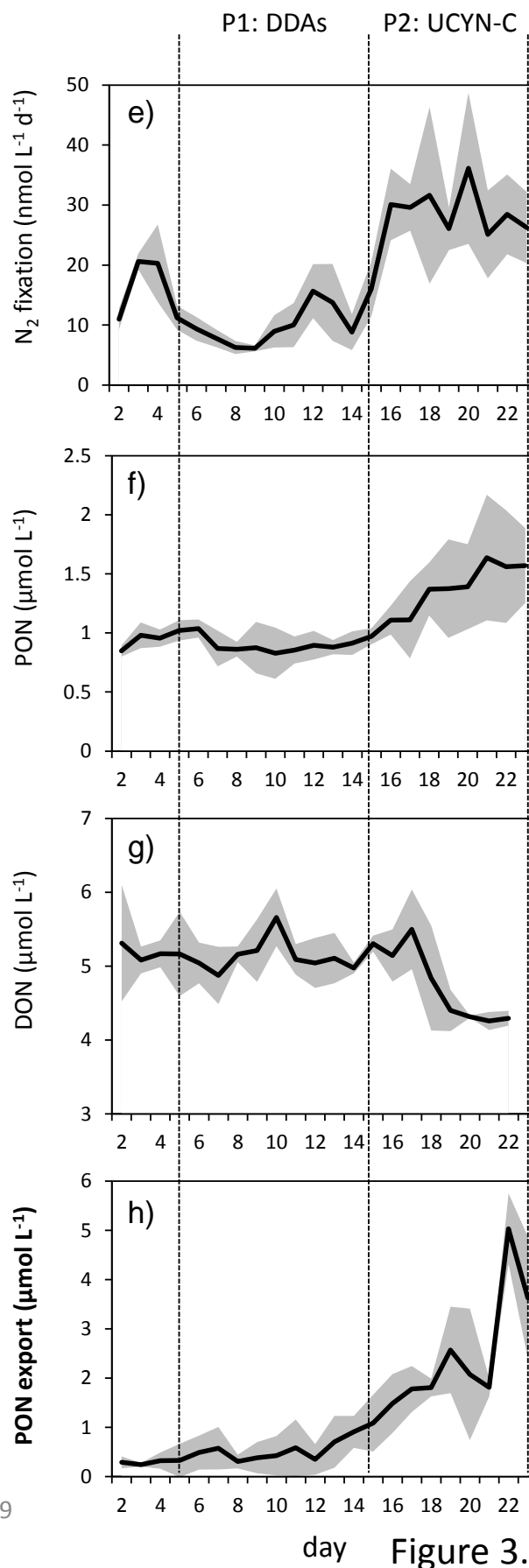
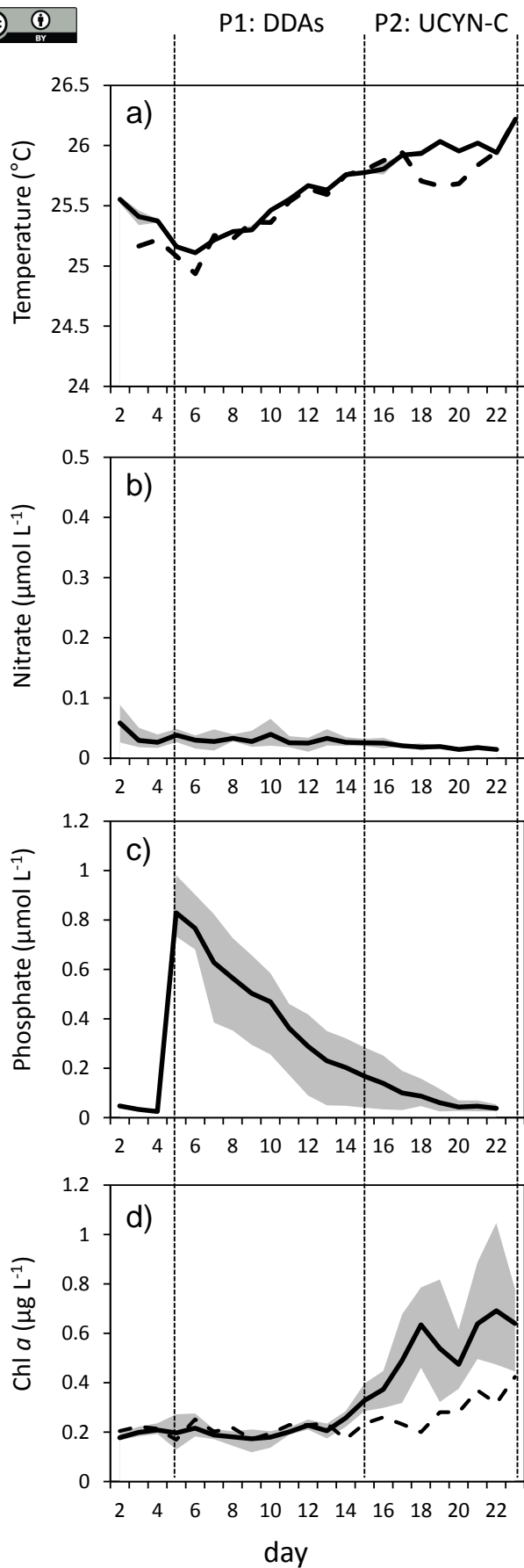


Figure 2.



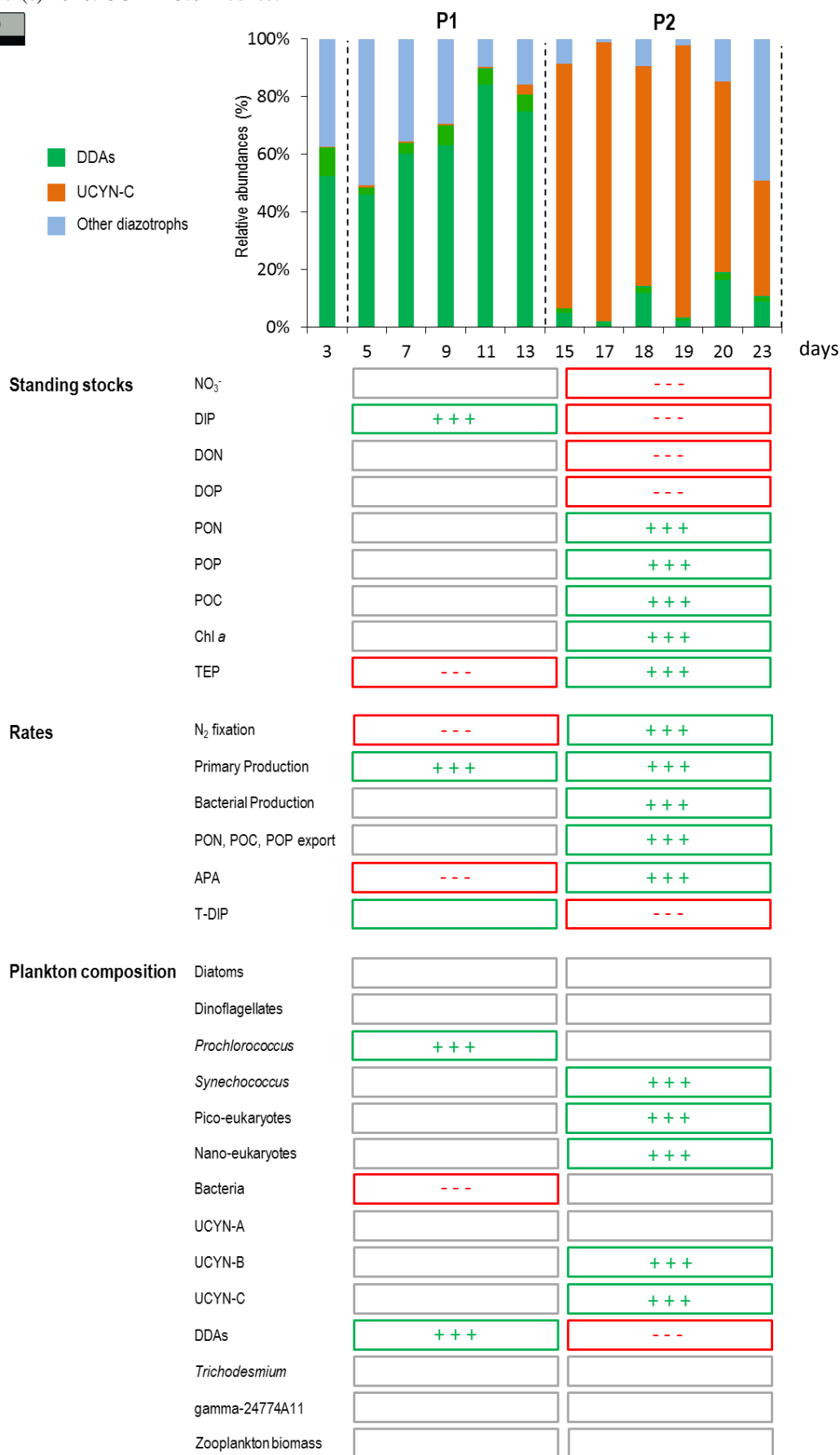


Figure 4.

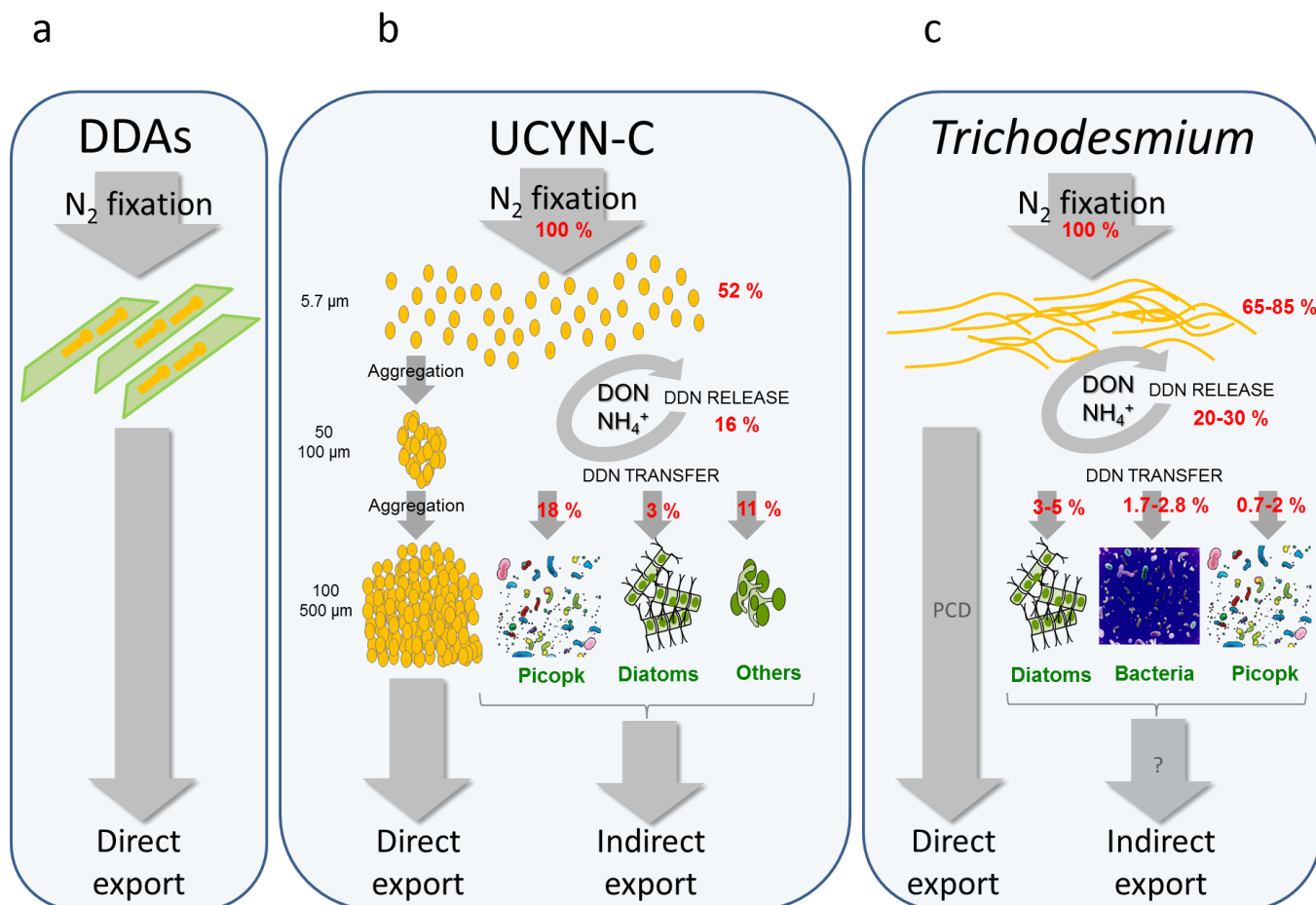
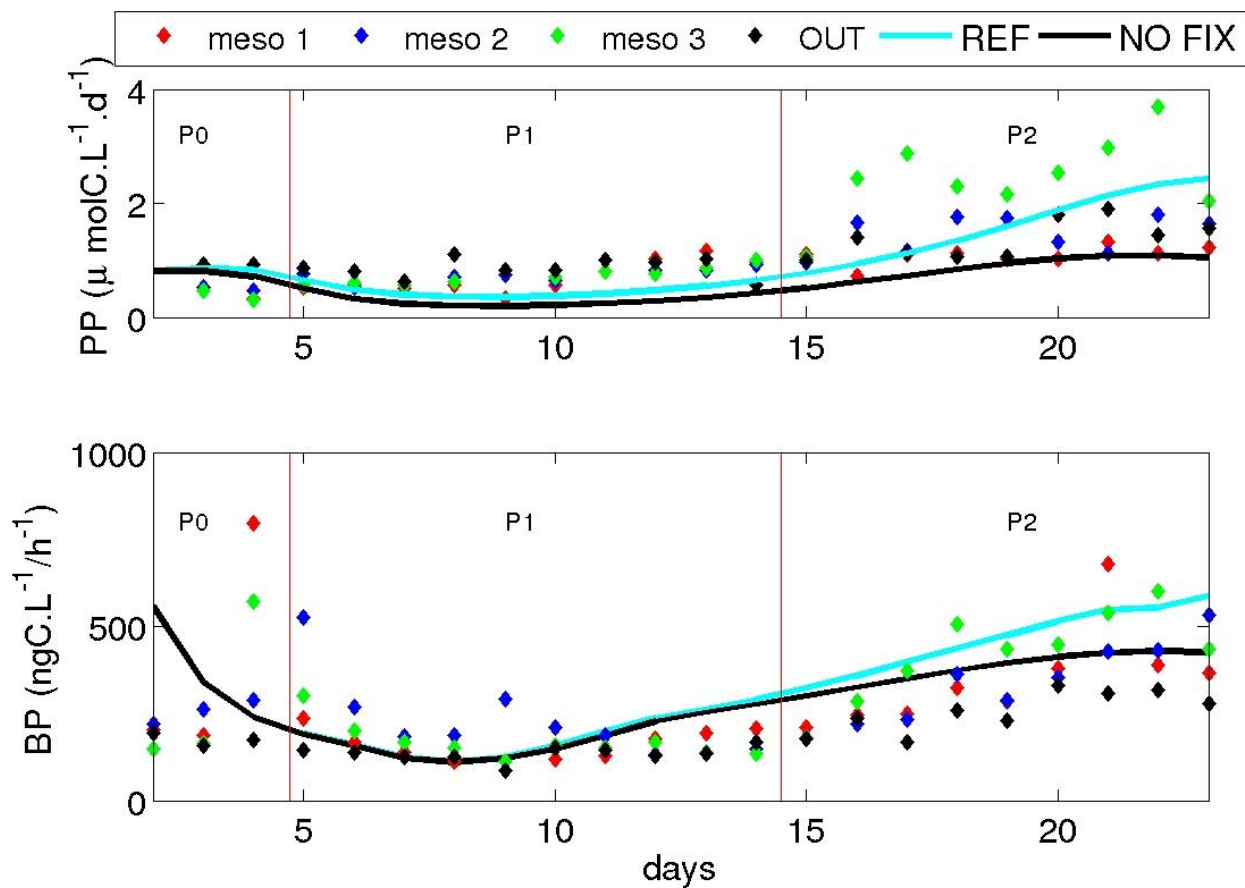
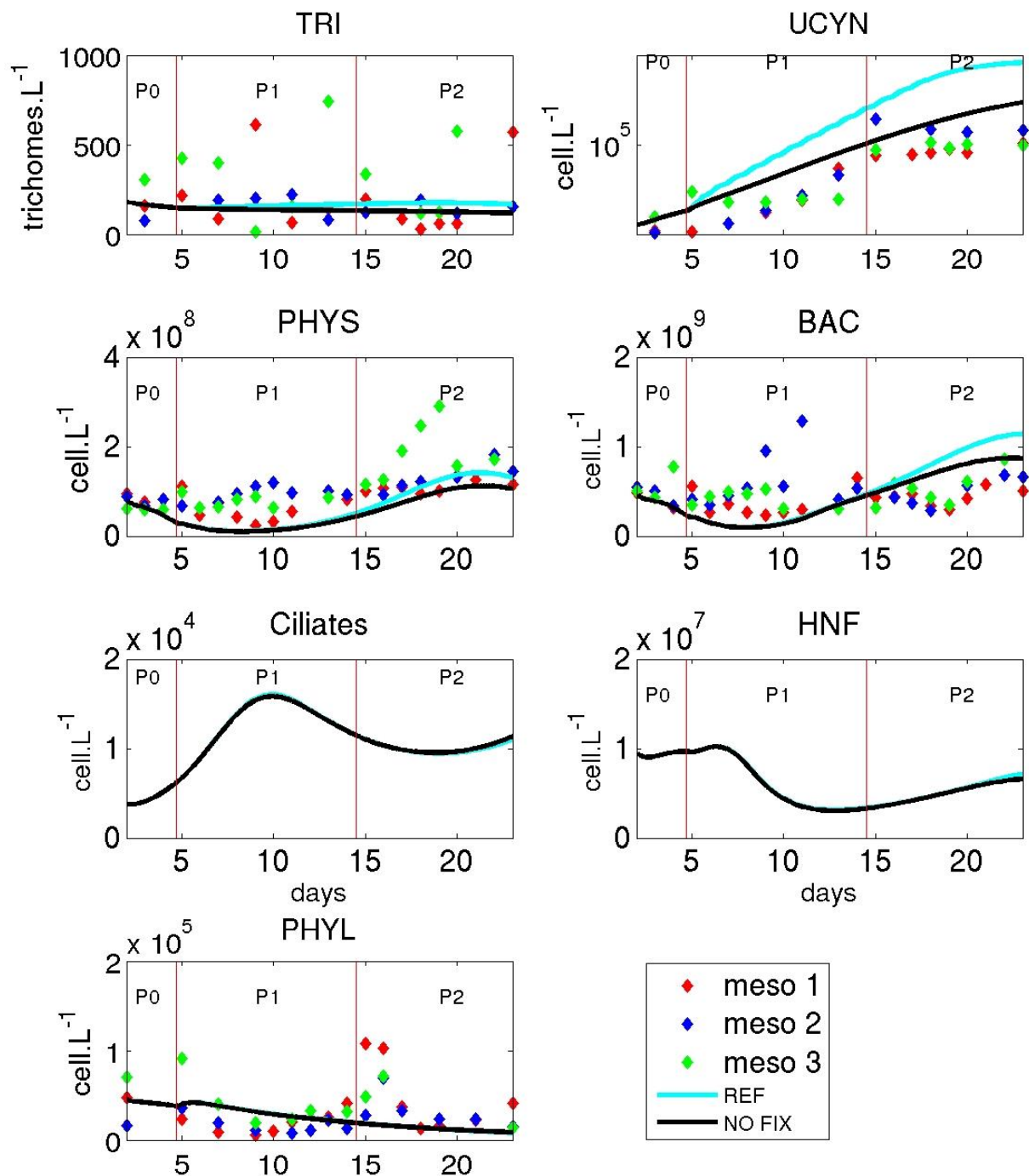


Figure 5.







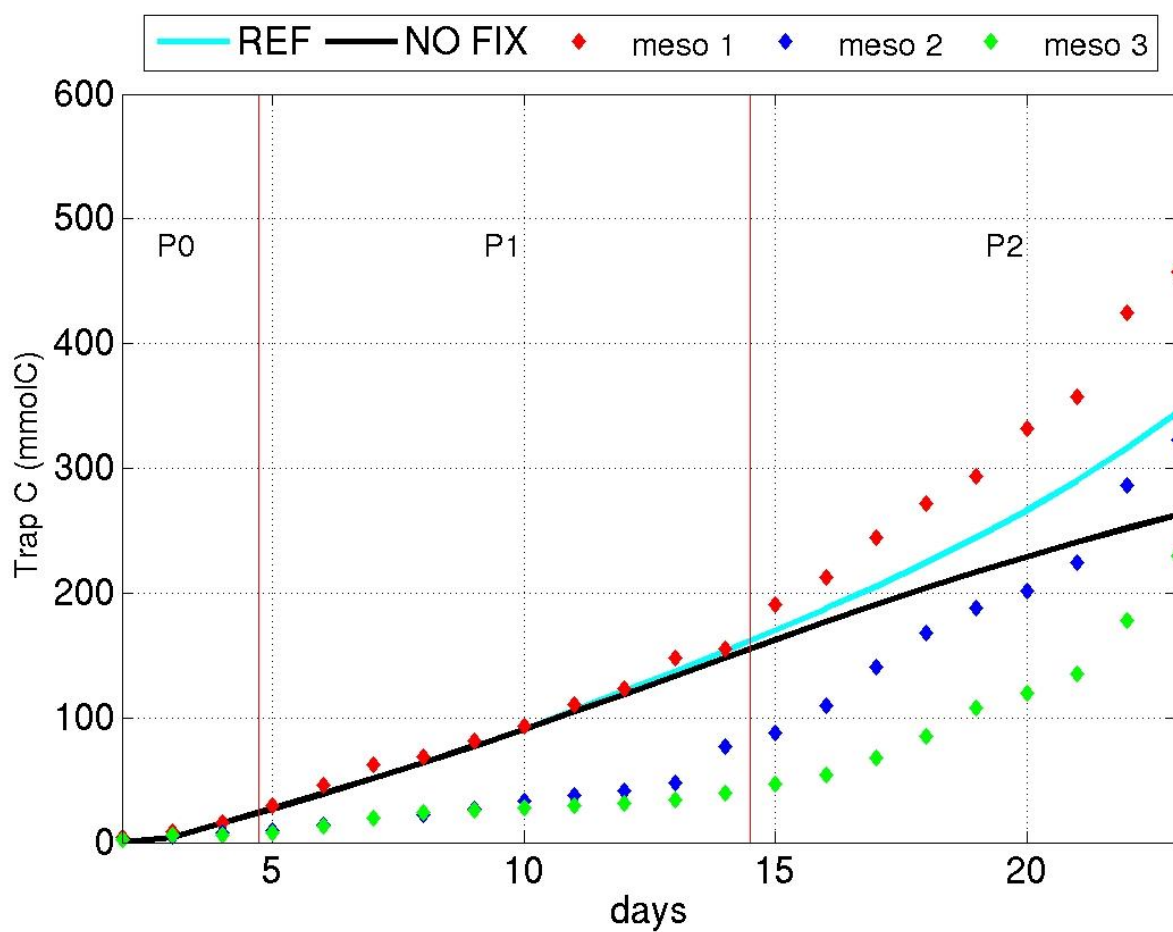


Figure 8.



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