

No impact of tropospheric ozone on the gross primary productivity of a Belgian pine forest

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Abstract High stomatal ozone (O₃) uptake has been shown to negatively affect crop yields and the growth of tree
10 seedlings. However, little is known about the effect of O₃ on the carbon uptake by mature forest trees. This study
investigated the effect of high O₃ events on gross primary productivity (GPP) for a Scots pine stand near Antwerp,
Belgium over the period 1998-2013. Stomatal O₃ fluxes were modelled using in situ O₃ mixing ratio measurements
and a multiplicative stomatal model, which was parameterised and validated for this Scots pine stand. Ozone-
15 induced GPP reduction is most likely to occur during or shortly after days with high stomatal O₃ uptake. Therefore,
a GPP model, an artificial neural network, parameterised for days with low stomatal O₃ uptake rates was used to
simulate GPP during periods of high stomatal O₃ uptake. Possible negative effects of high stomatal O₃ uptake on
GPP would then result in an overestimation of GPP by the model during or after high stomatal O₃ uptake events.
The O₃ effects on GPP were linked to AOT40 and POD₁. Although the critical levels for both indices were
20 exceeded in every single year, no significant negative effects of O₃ on GPP were found and no correlations between
GPP residuals and AOT40 and POD₁ were found. Overall, we conclude that no O₃ effects were detected on the
carbon uptake by this Scots pine stand.

1 Introduction

Tropospheric ozone (O₃) is a secondary air pollutant that has the potential to negatively affect vegetation, leading
to reduced growth and carbon sequestration potential (ICP Vegetation, 2012; Subramanian et al., 2015).
25 Background concentrations of tropospheric O₃ have increased with 30 % since pre-industrial times (Young et al.,
2013) and are projected to further increase considerably until about 2050 (IPCC, 2007). Depending on the
scenarios, background O₃ levels might either increase or decrease after 2050 (IPCC, 2007).

In recent years, many studies have been conducted to investigate the mechanisms underlying the O₃ impacts on
vegetation. Ozone reduces plant growth by altering photosynthetic rates, carbohydrate production, carbon
30 sequestration, carbon allocation, and carbon translocation (Beedlow et al., 2004; Ashmore, 2005; Wittig et al.,
2009). Once O₃ enters the leaves through the stomata, it can affect plant growth by direct cellular damage
(Mauzerall and Wang, 2001), leading to visible leaf injury and reduced leaf longevity (Li et al., 2016). In response
to O₃, respiratory processes increase, which will also affect the tree's carbon balance (Ainsworth et al., 2012).
Skärby et al. (1987) proved that dark respiration of Scots pine shoots increased after long-term exposure to a low
35 level of O₃. Protective responses, such as compensation (e. g. repair of injured tissue), avoidance (e. g. stomatal

closure), and tolerance (e. g. alteration of metabolic pathways), all consume carbon and, hence, resistance to O₃ damage costs energy. The size of this cost affects the amount of carbon remaining to support growth (Skärby et al., 1998).

40 To assess the impact of O₃, several indices have been created, e. g. AOT40 (ppb h), the cumulated O₃ mixing ratio in excess of a threshold of 40 ppb, and POD_y, the accumulated O₃ flux above a flux threshold y (nmol m⁻² s⁻¹). Critical levels, quantitative estimates of exposure to O₃ above which direct adverse effects may occur (CLRTAP, 2015), have been determined for these indices based on O₃ dose-response relationships from fumigation experiments with enhanced O₃ mixing ratios (Karlsson et al., 2004). The magnitude of the O₃ impact on plants depends on the intensity of O₃ exposure, environmental factors influencing both plant photosynthesis and the O₃ 45 flux to plant surfaces, and plant species-specific defensive mechanisms (Musselman and Massman, 1999). Because of the variable plant responses to similar O₃ mixing ratios, the question arises whether widely applicable tolerable limits of O₃ mixing ratio exist (Skärby et al., 1998).

While high stomatal O₃ fluxes have been shown to affect the yield of crops and the growth of tree seedlings and saplings (e.g. (Büker et al., 2015)), little is known about the effect on mature forest trees. When scaling up the 50 results from seedlings to mature trees the resulting data should be viewed with caution, due to differences in energy budgets, canopy:root balances and architecture and carbon allocation patterns (McLaughlin et al., 2007; Huttunen and Manninen, 2013). In addition to the uncertainties related with the up-scaling from seedlings to mature trees, data from controlled experiments should also be used with caution, because trees can react differently in field conditions (Skärby et al., 1998). The effect of O₃ uptake on carbon uptake under ambient O₃ mixing ratios by trees 55 has hardly been studied in situ. Some studies showed reductions in plant growth due to stomatal O₃ uptake (Zapletal et al., 2011; Fares et al., 2013; Yue and Unger, 2013), while other studies did not show any effect (Samuelson, 1994; Zona et al., 2014). Whether or not an effect of stomatal O₃ uptake was found was species- and site- specific, and there is a clear need for more studies investigating the effect of O₃ on carbon uptake by mature trees in the field (Huttunen and Manninen, 2013).

60 In this study we investigated the effect of O₃ at ambient levels on the gross primary productivity (GPP) of a mature Scots pine stand in Flanders, Belgium over a period of 14 growing seasons between 1998 and 2013. The investigation of O₃ effects on GPP is relevant because GPP represents the first step in the process of C assimilation and quantifies the rate at which C substrate is provided for growth, wood production, et cetera. Critical levels of AOT40 and POD₁ are being exceeded for this stand (Neiryneck et al., 2012), indicating a potential effect of O₃ on 65 tree productivity already at current ambient levels. To detect O₃ effects on GPP, we adopted a modelling approach that involved simulating GPP with a model with an O₃-damage free parameterisation and evaluating model overestimations of GPP. We used an artificial neural network (ANN) to model GPP. ANN's are a power tool to process multidimensional data in which complex nonlinear interrelationships between the parameters can be expected. ANN's are successfully used in remote sensing, evolutionary ecology, et cetera, and have previously 70 been used to model GPP (Lek and Guegan, 1999; Rochelle-Newall et al., 2007; Akhand et al., 2016; Liu et al., 2016). In this study we used ANN's since they don't employ predefined model conditions compared to conventional statistical models.

2 Materials and methods

2.1 Study area

75 The study area consisted of a 2-ha Scots pine stand in a 150-ha coniferous/deciduous forest named 'De Inslag', situated in Brasschaat (+51° 18' 33'' N, +04° 31' 14'' E), northeast of the Antwerp agglomeration and east-northeast of the Antwerp harbour (Neiryck et al., 2008). The site has a temperate maritime climate with a mean annual temperature of 11 °C and a mean annual precipitation of 830 mm (Neiryck et al., 2008). The soil has been classified as Albic Hypoluvic Arenosol (Gielen et al., 2011), a moderately wet sandy soil with a distinct humus and/or iron B-horizon (Janssens et al., 1999). The sandy layer overlays a clay layer which is situated at a depth of 0.7 - 2 m. As a result of the poor drainage groundwater depth is typically high, fluctuating between 0.5 and 2 m (Carrara et al., 2003).

The pine stand was planted in 1929 (Neiryck et al., 2008). Until the autumn of 1999, when the forest was thinned, tree density amounted to 542 trees ha⁻¹. The thinning decreased tree density to 376 trees ha⁻¹. Average canopy height is 21.4 m (Op de Beeck et al., 2010). With a peak in leaf area index (LAI) of $1.3 \pm 0.5 \text{ m}^2 \text{ m}^{-2}$ in 2007 (Op de Beeck et al., 2010) and an average LAI of $1.2 \pm 0.5 \text{ m}^2 \text{ m}^{-2}$ in the period 1998-2007, the stand canopy is very sparse. Only two needle-age classes are present: current-year needles and one-year-old needles (Op de Beeck et al., 2010).

The stand is part of the ICP Forests level II and Fluxnet/CarboEurope-IP networks, and is equipped with a 41 m tall instrumentation tower. Measurements of ecosystem CO₂ exchange with the eddy covariance technique and meteorological measurements are being conducted at the site on a continuous basis since 1996 (Gielen et al., 2013).

2.2 Measurements

The period of study covered the period 1998 - 2013, with the years 1999 and 2003 excluded due to poor data quality or coverage.

2.2.1 Meteorology

95 Air temperature (T_{air} ; °C) and humidity (RH; %) were measured with a PT100 and a HMP 230 dew point transmitter (both Vaisala, Finland) in aspirated radiation shields mounted on the tower at 2, 24 and 40 m height. Wind speed (WS, m s⁻¹) was measured with a cup anemometer (LISA, Siggelkow GMBH, Germany) at 24, 32 and 40 m height. Ingoing and outgoing short-wave and long-wave radiation were measured at the top of the tower with a CNR1-radiometer and a CMP6-pyranometer (Kipp and Zonen, the Netherlands). Rainfall was registered by a tipping bucket rain gauge (NINA precipitation pulse transmitter, Siggelkow GMBH, Germany). Both T_{air} and RH were used to calculate vapour pressure deficit (VPD; kPa). Soil temperature (T_{soil} ; °C) was measured at 9 cm below the soil surface with temperature probes (Didcot DPS-404, UK). Soil water content (SWC; m³ m⁻³) was measured at 25 cm below the soil surface with Time Domain Reflectometers (CS616, Campbell Scientific, UK). Instant SWC was read manually from the Reflectometers every three to 14 days and values were interpolated to obtain daily estimates, taking into account water inputs via precipitation (Gielen et al., 2010). Soil water potential (SWP; MPa) was derived from the SWC measurements with the model of van Genuchten (van Genuchten, 1980). All

meteorological variables (except SWC and rainfall) were measured every 10 seconds and half hourly means were calculated. Data gaps were filled with data from nearby weather stations.

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2.2.2 Ozone mixing ratio

The O₃ mixing ratio ([O₃]; ppb) was measured at a 10 s resolution above the canopy at 24 m height with an UV Photometric Analyzer (model TEI 49I, Thermo Environmental Instruments) and converted to half hourly averages.

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Data gaps were filled with [O₃] measurements done at 40 m height. If these were not available, gaps were filled with [O₃] measurements from a nearby weather station from the Flemish Environmental Agency (VMM) at Luchtbal, which is less than 10 km from the site.

2.2.3 Leaf Area Index

A continuous time series with daily LAI values was reconstructed for the pine stand based on the historical data.

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The general approach was to keep the seasonal pattern measured in 2009 by Op de Beeck et al. (2010) fixed for each year and to scale it year per year to the seasonal maximum LAI (LAI_{max}). LAI_{max} had been measured with the LAI-2050 (LI-COR, Lincoln, Nebraska, USA) in 1997 and 2003 by Gond et al. ((1999) and Konôpka et al. (2005), respectively, and with digital hemispherical photography in 2007 by Op de Beeck et al. (2010). To assure consistency across the time series, measurements were corrected for clumping using a factor 0.83 (Jonckheere et al., 2005). The three measurements of LAI_{max} were interpolated linearly to derive LAI_{max} values for the missing years. The thinning event in 1999 was accounted for by subtracting the removed leaf biomass, determined with allometric relations from Yuste et al. (2005) and specific leaf area measurements from Op de Beeck et al. (2010).

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2.2.4 Gross Primary Productivity

Gross primary productivity ($\mu\text{mol C m}^{-2} \text{ s}^{-1}$) was derived from net ecosystem exchange (NEE) measured with the eddy covariance technique and following the standard data quality procedures as explained in Appendix A. Half-hourly averaged values of GPP were derived for the 14 entire growing seasons of the study period, and integrated to daily and growing season totals.

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2.2.5 Stomatal conductance

Measurements of stomatal conductance to H₂O ($g_{\text{st, H}_2\text{O}}$) were done at needle level during the summers of 2007 (Op de Beeck et al., 2010) and 2013 to obtain data for parameterisation of the multiplicative stomatal model used in the calculation of stomatal O₃ fluxes (see sections 2.3 and 2.4). The two summers were marked by quite different environmental conditions: cold and wet in 2007 and warm and dry in 2013. Measurements were carried out with the LI-6400 Gas Exchange System (LI-COR, Lincoln, Nebraska, USA) and included diurnal stomatal courses as well as stomatal responses to PAR, T_{air}, and VPD. Measurements were carried out on sets of three or four live fascicles, i.e. six to eight needles, which were enclosed in the LI-6400's leaf chamber while attached to the tree. Twenty-six needle sets were measured in total, equally divided between current-year and one-year-old needles. Each needle set was harvested after being measured and hemi-surface needle area was determined in order to express $g_{\text{st, H}_2\text{O}}$ on the correct needle area basis. Needle area was derived from needle dimensions (length and width

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at top, middle, and base), assuming a hemi-circular cross-sectional needle area. Measurements of g_{st,H_2O} were converted to stomatal conductance to O_3 (g_{st}) by multiplying g_{st,H_2O} with the ratio of the molecular diffusivities of water vapour and O_3 in the air (= 0.61).

2.3 Calculation of stomatal O_3 fluxes

Stomatal O_3 fluxes were calculated at a half-hourly resolution from continuous series of half-hourly $[O_3]$ and meteorology and daily LAI with an electric analog model built from three resistances in series:

$$R_{tot} = R_{aero} + R_{bl} + R_{can} \quad (1)$$

where R_{tot} is the total resistance to O_3 , R_{aero} is the aerodynamic resistance to O_3 , R_{bl} is the quasi-laminar boundary layer resistance to O_3 , and R_{can} is the canopy resistance to O_3 (all expressed in $s\ m^{-1}$).

The aerodynamic resistance was calculated following (Grünhage, 2002) with:

$$R_{aero} = \frac{1}{\kappa u^*} \left[\ln\left(\frac{z-d}{z_0}\right) - \Psi_h\left(\frac{z-d}{L}\right) + \Psi_h\left(\frac{z_0}{L}\right) \right] \quad (2)$$

where κ is the von Karman constant (0.43), u^* ($m\ s^{-1}$) is the friction velocity, L is the Obukhov length, z is the $[O_3]$ measurement height (24 m), d is the zero plane displacement (= 0.1 h), z_0 is the momentum roughness parameter (= 0.65 h), h is the canopy height, and Ψ_h is the atmospheric stability function. This function is calculated using the set of coefficients published by Dyer (1974):

- for unstable atmospheric stratification ($L < 0m$)

$$\Psi_h = 2 * \ln\left[\frac{1}{\varphi_h(\zeta)} + 1\right] \quad (3)$$

$$\varphi_h = (1 - 16 * \zeta)^{-0.5} \quad (4)$$

$$\zeta = \frac{z-d}{L} \text{ with } z = z_2 = z_{ref,T} \text{ and } z = z_1 = d + z_0 \quad (5)$$

- for stable atmospheric stratification ($L > 0m$):

$$\Psi_h = -5 * \zeta \quad (6)$$

$$\zeta = \frac{z-d}{L} \text{ with } z = z_2 = z_{ref,T} \text{ and } z = z_1 = d + z_0 \quad (7)$$

- for neutral atmospheric stratification ($|L| \rightarrow \infty$):

$$\Psi_h = 0 \quad (8)$$

The quasi-laminar boundary layer resistance was calculated following (Baldocchi et al., 1987) with:

$$R_{bl} = \frac{2}{\kappa * u^*} \left(\frac{Sc}{Pr}\right)^{2/3} \quad (9)$$

where κ is the von Karman constant (0.43), u^* ($m\ s^{-1}$) is the friction velocity, which is derived from the measured momentum fluxes, Sc is the Schmidt number (1.07 for O_3), and Pr is the Prandtl number (0.72 for O_3).

The canopy resistance was calculated from a stomatal resistance (R_{st}) and a non-stomatal resistance (R_{nst}), mounted in parallel:

$$R_{can} = \left(\frac{1}{R_{st}} + \frac{1}{R_{nst}} \right)^{-1} \quad (10)$$

175 The stomatal resistance R_{st} was calculated with an algorithm that divides the pine canopy into eight horizontal leaf layers, with LAI being divided equally between the layers, and that simulates the transfer of radiation through the layered canopy. The algorithm then calculates the stomatal resistance for the sunlit and shaded area fraction of each leaf layer with the multiplicative stomatal model described by Jarvis (1976) and reformulated by (Emberson et al., 2000). Resistance values are then integrated over all layers to obtain canopy level R_{st} . The algorithm is
180 explained in more detail in Op de Beeck et al. (2010). The version of the multiplicative stomatal model used in this study is described in detail in Appendix B. This model was given a site-specific parameterisation as explained in section 2.4.

The non-stomatal resistance R_{nst} was assumed to be constant in time and set to 279 s m^{-1} . This value was derived from long-term O_3 flux measurements in Brasschaat (Neiryneck et al., 2012).

185 Total and stomatal O_3 fluxes (F_{tot} and F_{st} ; $\text{nmol m}^{-2} \text{ s}^{-1}$) were calculated on a halfhourly basis with:

$$F_{tot} = 44.64 \frac{[O_3]}{R_{tot}} \quad (11)$$

$$F_{st} = F_{tot} \frac{R_{can}}{R_{st}} \quad (12)$$

where 44.64 is the molar density of air in mol m^{-3} at an air pressure of 101.3 kPa and an air temperature of 0°C , used here to convert flux units from m s^{-1} to $\text{mol m}^{-2} \text{ s}^{-1}$. Half-hourly fluxes were aggregated to daily and yearly
190 values.

2.4 Parameterisation and validation of the multiplicative stomatal model

The multiplicative stomatal model was parameterised and validated against the data set of g_{st} measurements collected at the site. This data set included besides measured g_{st} also PAR, T_{air} , VPD, and SWP, and was split into a parameterisation set and a validation set by grouping the odd and even rows of data after being ranked by PAR.

195 Parameterisation was done by optimising model parameters with the function ‘lsqcurvefit’ in Matlab (Matlab and Statistics Toolbox Release 2013a), which finds the best parameter values starting from an initial values and which can be used to fit nonlinear functions with more than two independent variables. The parameters of the boundary functions f_{PAR} , f_{Tair} , f_{VPD} , and f_{SWP} were optimised separately, starting from initial values that were estimated visually from plots of g_{st} versus each of the input variables (PAR, T_{air} , VPD, and SWP). The phenology function
200 f_{phen} was set to 1 for parameterisation of f_{PAR} , f_{Tair} , f_{VPD} , and f_{SWP} since g_{st} had been measured on mature needles only. We included f_{phen} in the final model to estimate the stomatal O_3 fluxes over the growing season (Appendix B).

The parameterised model was then tested against the validation data set. Model performance was evaluated with the linear regression $y = ax + b$ fitted to the plot of measured versus modelled g_{st} , and with the following set of performance statistics: the coefficient of determination (R^2), mean bias (MB), relative mean error (RME), Willmott's index of agreement (d), model efficiency (ME), and root mean squared error (RMSE) and its systematic (RMSE_s) and unsystematic component (RMSE_u). These statistics are explained briefly in Appendix C. To evaluate visually the goodness-of-fit of each boundary function, modelled g_{st} was plotted versus each of the input variables and the corresponding boundary function added to the scatter plot.

2.5 Detecting O₃ effects on GPP

We adopted a modelling approach to detect possible O₃ effects on GPP. Under the assumption that O₃-induced GPP reduction is most likely to occur during and shortly after days of high stomatal O₃ fluxes, we parameterised a GPP model against a data set from which such days were removed and then simulated daily and growing season GPP with this supposedly O₃-damage free model. A reduction of GPP due to O₃ would become apparent as a model overestimation of daily GPP for the days on which an O₃ effect was assumed, and possibly also as an overestimation of growing season GPP. The physiological mechanism beyond the assumption made hereabove is that at high stomatal O₃ fluxes the trees' defensive mechanisms cannot detoxify all O₃ entering the needles and damage is caused to the photosynthetic apparatus (Dizengremel, 2001; Matyssek and Sandermann, 2003). This leads to decreased gross photosynthetic rates and GPP. The damage is repaired afterwards when the stomatal O₃ load decreases.

We used as GPP model a feed-forward back propagation Artificial Neural Network (ANN) in Matlab (Matlab and Statistics Toolbox Release 2013a). The ANN contained 10 nodes organised in 1 layer, which came out as the best performing network after comparing networks containing different number of nodes and/or layers (data not shown). The default settings of the Matlab Neural Network Toolbox were used. A normalisation process was applied for training and testing the data: data were scaled to [-1 1] based on the lowest and highest value in the dataset. We used the Levenberg-Marquardt algorithm to train the ANN for 1000 iterations (Marquardt, 1963). Progress of training procedure was monitored using the mean squared error (MSE) of the network. The daily GPP data were used as dependent target variable in the ANN. The input variables were year, day of year, T_{min} , T_{max} , T_{mean} , average VPD, SWC, R_g , average T_{soil} , and average WS. Daily totals of the variables were used, with the exception of VPD, T_{soil} , and WS for which daily averaged values were used. The individual weights of these parameters on our model were estimated by replacing each input variable with a random permutation of its values. This was done for the GPP model as described above, and a GPP model containing O₃ as input variable to test if O₃ had any explanatory power on GPP.

To obtain an O₃-damage free GPP model, the days for which an O₃ effect on GPP was expected were removed from the dataset. We assumed that if an O₃ effect occurs, it would occur at the days with the highest stomatal O₃ fluxes. Because the defensive capacity of the pine trees was not quantified and, hence, the O₃ load above which O₃ would affect GPP not known, we repeated the analysis three times by removing the days with the 2 %, 5 % and 10 % highest stomatal O₃ fluxes. Because the results for a 2 % and 10 % cut-off were equal to those for a 5 % cut-off,

we report only results for a 5 % cut-off. The model was trained with 2/3 of the remaining dataset, while the other
240 1/3 was used to test the model. This O₃-damage free model was then run with the full dataset.

Model overestimation of daily GPP was evaluated (1) from the linear regression on the data of measured versus
modelled GPP for the days on which an O₃ effect was assumed, testing whether the regression slope and intercept
were different from 1 and 0, and (2) by comparing measured and modelled daily GPP for these days by means of
245 a paired-samples t-test or a Wilcoxon signed-rank test if differences were not normally distributed (Shapiro-Wilk
test). A significant outcome of this test in combination with a regression slope significantly lower than 1 (and an
intercept not different from 0) would together point to a significant overestimation of GPP. Furthermore, (3) the
regression slope and intercept were compared with the slope and intercept of the regression fitted to the dataset
used to train and test the GPP model. This was done to evaluate whether GPP estimations for the days on which
we assumed an O₃ effect were, in relative terms, significantly higher than GPP estimations for the days used for
250 model training and testing. This would become apparent as a significantly lower slope (with an intercept no
different from 0). Model overestimation of growing season GPP was evaluated with the first two tests above on
the growing season data. Additionally, the residuals of growing season GPP (model - measurement) were plotted
against AOT40, POD₁, and total growing season stomatal O₃ uptake, and linear regression lines fitted. It was tested
whether regression slope and intercept were significantly different from 0 to assess the presence of a statistically
255 significant O₃ dose response relationship.

Since it may take some time to repair damage to the photosynthetic apparatus induced by O₃, O₃ effects might last
several days after a peak of O₃ exposure. They might thus not be detected with the model parameterised as
explained above. To account for such a sustained O₃ effect, the modelling was repeated, now not only excluding
the days with the highest stomatal O₃ fluxes from the dataset for model training but also the following days. The
260 modelling was repeated with three different such delay periods, being the first, the first two, and the first six days
following each flux peak. The results were evaluated with the same statistical tests as mentioned above. Because
the result were similar for the three delay periods, only the results for the two-day period are shown.

High O₃ events are often coupled with specific meteorological conditions, i.e. high radiation and air temperatures.
Since the dataset for model training had been compiled by removing the days with the highest stomatal O₃ fluxes,
265 it was not unlikely that these conditions were underrepresented in the training dataset. If so, this could induce a
bias in the model response to radiation and temperature and possibly result in overestimations of GPP for the days
on which an O₃ effect was expected, which we then might wrongly attribute to O₃. To evaluate the risk for such
model bias, we compared the frequency distribution and range of radiation, T_{min}, T_{max}, T_{mean}, and also VPD between
the training dataset and the dataset with the days on which we expected an O₃ effect.

270 One of the assumptions in our approach is that O₃ effects on GPP only last on the short term, i.e. just a few days,
and are hence not carried over. The presence of a carry-over effect would compromise the validity of our approach.
We can rule out a carry-over effect by testing whether trees exposed to low stomatal O₃ fluxes late in the growing
season behave in the same way as when exposed to similar low O₃ fluxes early in the growing season. To test this,
we compiled a dataset that contained per growing season only the days after the first major peak of stomatal O₃
275 flux in the growing season. From this period, we further selected only the days with low stomatal O₃ fluxes for

which moreover no short-term O₃ effect was expected. In other words, we excluded the days with a peak of stomatal O₃ flux plus the six following days. We trained the GPP model with these data and then predicted GPP for the days before the first major O₃ peak in each growing season. If a carry-over effect would be present, at least an effect induced during the first major O₃ flux peak, it would be somehow included in the trained model. This would then underestimate GPP for the days before each first major O₃ peak, where a carry-over effect has assumptively not yet occurred. Model underestimation of GPP was evaluated from a linear regression on the data of measured versus modelled GPP, testing whether the regression slope and intercept were different from 1 and 0. This slope and intercept were also compared with the slope and intercept of the regression line fitted to the training data. Also, measured and modelled GPP were compared with a paired-samples t-test or a Wilcoxon signed-rank test if differences were not normally distributed (Shapiro-Wilk test).

All statistics were performed with R 3.2.3 (R Core Team, 2015) at a significance level of $p = 0.05$.

3 Results

3.1 Measurements: meteorology, GPP, and LAI

Figure 1 shows a fingerprint of the multi-annual average diel and seasonal patterns of the main meteorological variables, being T_{air}, incoming global radiation (R_g) and VPD, and measured GPP. This figure gives a good overview of how meteorology and GPP typically changed over time in this forest; interannual anomalies from the average patterns can be found in Fig. S1. Distinct daily and seasonal patterns can be observed for, reaching highest values in summer, in the afternoon. Similar patterns can also be observed in GPP, which basically follows the pattern of R_g. As seen in Fig. 1, the photosynthetic period extends, on average, from day of year 115 (end of April) till day of year 300 (end of October). The time series of precipitation and SWP are provided in Fig. 2, while the seasonal LAI courses are shown for each year in Fig. 3. The yearly maximum LAI ranged from 1.4 to 1.9 m² m⁻². The thinning of the forest in 1999 can clearly be observed in the LAI pattern. After the thinning, the canopy never fully closed.

3.2 Multiplicative stomatal model and simulated O₃ fluxes

The optimized parameter values of the model are presented in Table 1. The different statistics to evaluate the model performance are presented in Table 2 and this for both the parameterisation and validation dataset. For the parameterisation dataset, the measured data were plotted against modelled g_{st} and plotted in Fig. 4a. The slope of the linear fit was not significantly different from 1 ($p = 0.87$) and the intercept was not significantly different from 0 ($p = 0.81$). Model evaluation for the validation dataset was equally good as for the parameterisation dataset (Table 2). Also in the linear fit for the validation set (Fig. 4, b), the slope was not significantly different from 1 ($p = 0.98$) and the intercept was not significantly different from 0 ($p = 0.70$).

Figure 5 shows the scatter plots of measured g_{st} versus each of the model input variables: PAR, T_{air}, VPD, and SWP, and for each plot the fitted boundary function.

The average daily O₃ fluxes for the different years are presented in Fig. S2. Daily F_{st} ranges from 1.12 to 1.52 nmol O₃ m⁻² day⁻¹. In 2011 the daily F_{st} was the lowest, while the highest values were observed in 2002. The annual average ratio F_{st}/F_{tot} varied between 24-28 % (Fig. S2). We observed the lowest ratios in the beginning and at the end of the growing season. Above-average ratios were observed at the peak of the growing season.

3.3 Ozone effects on GPP

Figure 6 shows the frequency distributions of R_g, T_{min}, T_{max}, T_{mean}, and VPD for the training data set and the dataset with days on which we assumed an O₃ effect. Days in the latter data set are generally more concentrated in the upper half of each variable's range. The training data set includes more days in the lower half, but conditions of high radiation, temperature or VPD do not seem to be underrepresented as the data set also included a substantial number of days in the higher part. For all variables, the variable range of the data set with days for we assumed an O₃ effect is fully contained range of the training data set.

All parameters in the GPP model were ranked according to their contribution to GPP prediction (Table 3). Global radiation is the most important parameter in defining GPP with a mean squared error (MSE) of 37500.81 mol m⁻² s⁻¹, followed by doy (30240.61 mol m⁻² s⁻¹) and year (27486.63 mol m⁻² s⁻¹). The maximum air temperature and VPD contribute equally to the model with a MSE of about 15300 mol m⁻² s⁻¹. Wind velocity, T_{min} and SWC contribute the least to GPP. Ozone as input variable had a MSE of 11885.73 mol m⁻² s⁻¹ (Table 3, B) and contributed the least with a MSE similar to the overall model (10019.30 mol m⁻² s⁻¹).

To test for carry-over O₃ effects, we evaluated and compared the linear regressions of measured versus modelled GPP of a dataset with low O₃ fluxes after the first major O₃ flux peak in the growing season and a dataset before this peak (Fig. 7). For both regressions, intercept and slope were not significantly different from 0 and 1 respectively (training: p_{slope} = 1, p_{intercept} = 1, testing: p_{slope} = 0.83, p_{intercept} = 0.44). The slopes were also not significantly different from each other (p = 0.86) and neither were the intercepts (p = 0.53).

Figure 8 shows measured versus modelled daily GPP for the model trained without the days with the highest stomatal O₃ fluxes (GPP model 1) and the model trained to test also for lag effects (GPP model 2). Both models reproduced daily GPP well for the dataset against which they were trained and tested, as indicated by the high R² values and the fitted regression lines falling on the 1:1 line (Fig. 8 a, b). For both models, the regression slope for the data set with the days on which we assumed an O₃ effect was significantly lower than 1 and the intercept significantly higher than 0 (Fig. 8 c, d). For GPP model 1, the regression slopes were not significantly different between the two data sets (p = 0.46), but the intercepts were (p < 0.05). For GPP model 2, both the regression slopes and intercepts differed significantly (p < 0.001) and p < 0.001). However, a Wilcoxon signed-rank test showed for both models that modelled daily GPP was not significantly higher than measured daily GPP for the days on which an O₃ effect was assumed (p = 0.83 and p = 0.64, respectively). Also, a paired samples t-test showed for both models that modelled growing season GPP was not significantly higher than measured growing season GPP (p = 0.93 and p = 0.55, respectively). The slope and intercept of the linear regression line were not significantly different from 1 and 0 (Fig. 8 e, f).

345 No statistically significant correlations were found between the model residuals of growing season GPP and total stomatal O₃ uptake (F_{st}), AOT40, and POD₁ (Fig. 9). Figure S4 shows the relation between the measured growing season GPP, F_{st}, AOT40 and POD₁, as well as time series of these parameters. No statistically significant correlations were found between the measured growing season GPP and AOT40 and POD₁. A significantly negative correlation between measured growing season GPP and F_{st} (p = 0.006), due to a decline in GPP at low F_{st} (F_{st} < 70 mmol O₃ m⁻²).

350 4 Discussion

4.1 Multiplicative stomatal model

All statistics shown in Table 2 clearly indicated that the fitted multiplicative stomatal model performed well. For both parameterisation and validation datasets, the model explained 72 % of the variance in g_{st}. For both datasets, slope and intercept of the linear regression lines of measured versus modelled g_{st} were not significantly different from 1 and 0, respectively (Fig. 4). Moreover, the model efficiency (ME in Table 2) of 0.72 and the Wilmott's index (d) close to 1 both indicate that the modelled values matched the measured values well. A good model provides low root-mean-square error (RMSE), while the systematic component (RMSE_s) should approach zero and the unsystematic component (RMSE_u) should approach RMSE (Willmott et al., 1985), which was the case for this model. Low mean bias (MB) and low mean relative error (MRE) further indicated very good performance. 360 The good performance of the model can also be observed in Fig. 5, in which the boundary lines represented the response of g_{st} to the independent variables when other variables were not limiting. The boundary lines fitted close to the data points, which is an indication of a good model, because the multiplicative stomatal model is based on the assumption that the variables act more or less multiplicatively and independently from each other (Grüters et al., 1995).

365 As explained in the mapping manual of the Convention on Long-Range Transboundary Air Pollution (CLRTAP), Scots pine is the representative species to assess the risk of O₃ damage to coniferous forests in Atlantic Central Europe (CLRTAP, 2015). This risk is assessed on the basis of O₃ doses calculated with the DO₃SE algorithm, which employs a Jarvis type stomatal model that has been parameterised for Scots pine based on a compilation of primary and secondary data (Emberson et al., 2007; Büker et al., 2015; CLRTAP, 2015). The parameterisation for 370 our Scots pine stand differs in some numbers from the one used in the DO₃SE algorithm. The most remarkable difference is that g_{max} of the Scots pines in Brasschaat is much lower (0.14 vs 0.18 mol O₃ m⁻² s⁻¹). This low g_{max} may imply that during episodes of high O₃ mixing ratio, the Brasschaat site is unlikely to take up very high amounts of O₃ (Altimir et al., 2004; Emberson et al., 2007). This may have contributed to the absence of a clear O₃ response at our site. A second difference is that the stomata of the pine trees remain opened at night (g_{min} = 0.02 mol O₃ m⁻² s⁻¹), while the DO₃SE model simulates full stomatal closure. Furthermore, the response to temperature is for our Scots pine stand shifted to a slightly higher temperature (T_{opt} = 25 vs 20 °C) and the response to soil drought is much stronger (SWC_{max} = -0.19 vs -0.7 MPa and SWC_{min} = -1.18 vs -1.5 MPa). From these differences it can be 375 inferred that stomatal O₃ uptake rates at the Brasschaat site are considerably lower than would be simulated with the DO₃SE model for generic Scots pine. This highlights the importance of a site-specific parameterisation when 380 aiming to assess stomatal O₃ loads at site level.

4.2 Stomatal O₃ fluxes

The stomatal O₃ flux contributed on average for 26 % to the total O₃ flux over the study period (Fig. S2). This fraction is similar to the 21 % stomatal O₃ flux in a Danish Norway spruce stand (Mikkelsen et al., 2004) and the 30 % stomatal O₃ flux in *Quercus ilex* in Italy (Vitale et al., 2005; Gerosa et al., 2005). Cieslik (2004) showed that in Southern Europe stomatal O₃ flux of different vegetation types, such as pine forest and Mediterranean shrubs, is typically less than 50 % of the total O₃ flux. A five-year study on a Mediterranean *Pinus ponderosa* stand showed a stomatal O₃ flux contribution of 57 % (Fares et al., 2010). Clearly, species- and site-specific differences such as tree age or micro-climate are introducing large variability in stomatal O₃ uptake (Neiryneck et al., 2012).

The low relative stomatal O₃ flux in the Scots pine stand in Brasschaat could be the result of the sparse canopy with low LAI. Although no relation between stomatal O₃ flux and LAI was found in a previous site study on this site (Neiryneck et al., 2012), interannual and seasonal variation in LAI is very small, rendering such a correlation analysis very difficult.

4.3 Ozone effects on GPP

A comparison of the frequency distributions of radiation, temperature, and VPD between the training dataset and the dataset with the days on which we expected an O₃ effect showed that the meteorological conditions in the latter data set were fully represented in the training dataset. From the full overlap we can rather safely assume that the GPP model did not include a biased response to these variables that could result in a GPP overestimation that we might wrongly interpret as an effect of O₃. Also, O₃ as input variable in the ANN did not have any explanatory power on GPP as it had the lowest MSE value close to the overall model MSE. Furthermore, a GPP model parameterised to include a carry-over effect of O₃ on GPP did not overestimate GPP at a statistically detectable level for days on which such an effect was not assumed to occur. From these results, we infer that carry-over effects of O₃ were unlikely to have occurred and that the assumption on the absence of (detectable) carry-over effects was valid.

The statistical tests ran on the data sets of measured and modelled GPP did not reveal a statistically significant model overestimation of daily GPP for the days on which we assumed an O₃ effect, nor an overestimation of growing season GPP. Also no significant correlations between growing season GPP residuals and stomatal O₃ flux, AOT40, and POD₁ were found, even though critical levels for AOT40 and POD₁ were exceeded in every single year of our study period. From these results and within the limits of the modelling approach applied in this study, we can infer that no significant effect of O₃ on GPP occurred.

Some earlier studies have investigated the effect of O₃ on forest carbon uptake. Cumulative stomatal uptake of 27 mmol m⁻² over the growing season did not result in any visible damage or a reduction in NEE of a poplar plantation in Belgium (Zona et al., 2014). Zapletal et al. (2011), on the other hand, reported that CO₂ uptake of a Norway spruce forest in the Czech Republic increased with increasing stomatal O₃ flux, followed by a sudden decrease in CO₂ uptake, suggesting that an O₃ flux threshold exists. Fares et al. (2013) showed a negative correlation between GPP and O₃ uptake at two Mediterranean ecosystems (a forest dominated by *Pinus ponderosa* in California, USA and an orchard site of *Citrus sinensis* cultivated in California, USA). A GPP reduction of 1-16 % in response to

O₃ uptake under ambient O₃ mixing ratio of 30-50 ppb was determined across vegetation types and environmental conditions in the United States by Yue and Unger (2013). The magnitude of reduction depended on the sensitivity to O₃ of the species and on the biome types.

420 AOT40 is, at present, the European standard for forest protection (EEA, 2014), with a critical level of 5000 ppb h, equivalent to a growth reduction of 5 % (Mills et al., 2011). In this study on Scots pine in Brasschaat, this value was far exceeded in all years (Fig. 9), yet no negative effect on GPP was observed in years with higher AOT40 values.

425 POD₁ is considered a more appropriate index for potential O₃ damage because it considers O₃ flux. The critical level of POD₁ is species-specific; a critical level of 8 mmol m⁻² with 2 % growth reduction is used for Norway spruce and a critical level of 4 mmol m⁻² with 4 % growth reduction is used for birch and beech (Mills et al., 2011). A critical level for Scots pine has not yet been determined and therefore the value of 8 mmol m⁻² for Norway spruce is often adopted as critical level for Scots pine. During this study, this critical level was exceeded every single year, and again no significantly negative correlation between total GPP residuals and POD₁ was observed.

430 In comparison to the AOT40 level, 2006 was not the year with the highest POD₁. This difference between AOT40 and POD₁ during 2006 was due to stomatal closure; during high O₃ mixing ratio events, g_{st} was rather low (Fig. S3). POD₁ was highest in the year 2002, when O₃ mixing ratios were relatively low, but g_{st} was high. The low O₃ mixing ratios explain the lower AOT40 for 2002.

435 Notwithstanding the absence of a statistically significant positive correlation between GPP residuals and both AOT40 and POD₁, critical levels for both AOT40 and POD₁ were exceeded every single year. AOT40 is based on O₃ mixing ratio and these concentration-based indices have been shown to be weaker indicators for O₃ damage than flux-based indices (Karlsson et al., 2007; Simpson et al., 2007). The critical level of POD₁ for Scots pine was adopted from the critical level for Norway spruce (Mills et al., 2011). Possibly this critical level is too low for Scots pine. As shown by Reich (1987), pines are less sensitive to O₃ compared to hardwoods and crops. This

440 supports the idea of a too low critical level.

Figure S4 shows a negative relationship between measured growing season GPP and O₃ dose, most notably and only significant between GPP and F_{st} (Fig. S4, A). These trends suggest a strong effect of O₃ on GPP, which contradicts the outcome of our modelling analysis. The relationships are negative because the steady GPP increase that can be observed from the year 2005 until the end of the study period coincides with a steady decrease in O₃

445 loads (Fig. S4, D, E, F). To our judgement, this GPP increase is more likely to be the result of forest regrowth in response to decreased acidification at the site (Neiryneck et al., 2008) than to be a response to decreased O₃ loads. This forest regrowth is accounted for in our modelling analyses by means of the LAI input in the ANN, allowing us to disentangle the effect of both factors on GPP. We furthermore believe that the observed trends do not reflect a causal relationship between GPP reduction and O₃ loads, because the GPP decrease is strongest at low O₃ loads

450 but virtually absent at high O₃ loads (Fig. S4, A, B). This is not what would be expected in case of an O₃ effect.

Overall, no significant O₃ effects on daily and growing season GPP were found with our modelling approach. It can thus be concluded that O₃ did not affect GPP of the pine forest, at least if the assumptions we made in our

approach to detect O₃ effects are valid. The most crucial assumption involves the distinction between days at which a GPP effect did and did not occur. It was not possible to identify these days with great precision, due to lack of
455 knowledge on the defensive capacity of the trees and their ability to repair O₃ damage. To overcome this, we repeated our analysis with three different peak thresholds for daily stomatal O₃ uptake rates above which an effect would occur and with three different delay periods over which an induced O₃ effect would last. The fact that all nine analyses produced the same outcome provides validity to our conclusions, despite the uncertainty involved in the identification of days with O₃ effects.

460 The lack of a detected O₃ effects on GPP does not mean that O₃ didn't negatively affect this Scots pine stand in Brasschaat. Stomatal O₃ uptake has here been linked to reductions in GPP only. As already stated in the introduction, protective responses such as compensation and enhanced tolerance occur in trees (Skärby et al., 1998). It is likely that trees at our study site were able to fully detoxify the O₃ taken up. The respiratory cost involved might have come at the expense of biomass production and growth, while gross C uptake remained
465 unaffected. Future analyses, such as tree ring analysis, may provide an answer to whether this is the case.

5 Summary

We parameterised a multiplicative stomatal model for a Scots pine stand in Brasschaat. This species- and site-specific parameterised model performed very well. With this model embedded in a resistance scheme, stomatal O₃ fluxes were calculated and used to test for O₃ effects on GPP. Only very small reductions in growing season GPP
470 were calculated. Although critical levels for AOT40 and POD₁ were exceeded in every single year, no significant correlations between total GPP residuals and stomatal O₃ flux, AOT40, and POD₁ were found. Within the limitations of the approach used in this study, we can thus conclude that O₃ did not affect the gross carbon uptake by the Scots pine stand in Brasschaat.

475 **Appendix A Gross Primary Productivity measurements**

This study investigates O₃ effects on GPP. Below is briefly explained how GPP was measured.

Gross primary productivity ($\mu\text{mol C m}^{-2} \text{ s}^{-1}$) was derived from net ecosystem exchange (NEE) measured with the eddy covariance technique (Baldocchi and Meyers, 1998). The eddy covariance system was set up in august 1996. It consists of a sonic anemometer (Model Solent 1012R2, Gill Instruments, Lymington, UK) to measure turbulence and an infrared gas analyser (IRGA) (Model LI-6262, LI-COR Inc., Lincoln, NE, USA) to measure the CO₂ concentration. The measurements were conducted at the top of the tower at a height of 41 m, about 19 m above the canopy. Half-hourly NEE fluxes were calculated following the guidelines of the standard EUROFLUX methodology (Aubinet et al., 1999) as described in detail by Carrara et al. (2003; 2004). All half-hourly fluxes originating from outside the footprint were removed according to the criteria described by Nagy et al. (2006). A detailed description of the composition of the footprint can be found in the same paper. After filtering for non-forest fluxes, the remaining data have been filtered for not optimal turbulence conditions using the u^* approach (Aubinet et al., 1999); the method described in Reichstein et al. (2005) has been used as basis, including the bootstrapping to estimate 100 thresholds per year. After all the filtering on average about 55 % of the half hourly fluxes were discarded. The remaining data were used to gapfill the missing data following the non-linear regressions method (NLR; (Falge et al., 2001a)) and the Marginal Distribution Sampling method (MDS; (Reichstein et al., 2005)). Gross primary productivity was derived from NEE by adding the modelled total ecosystem respiration (autotrophic plus heterotrophic) to NEE. The ecosystem respiration was modelled with standardised algorithms as presented in Falge et al. (2001b).

495

Appendix B The multiplicative stomatal model

In this work the multiplicative stomatal model described by Jarvis (1976) is modified specifically for the Scots pine stand in Brasschaat. The basic model is explained below.

500 Stomatal conductance to O₃ at needle level (g_{st}) was modelled with the multiplicative stomatal model first described by Jarvis (1976) and later reformulated by (Emberson et al., 2000). In this study we used a modified version of the model (Eq. 1).

$$g_{st} = g_{max} * f_{phen} * (f_{min} + (1 - f_{min}) * (f_{PAR} * f_T * f_{VPD} * f_{SWP})) \quad (A1)$$

505 Here g_{st} is the stomatal conductance to O₃ and g_{max} is the maximal stomatal conductance to O₃. The functions f_{PHEN} , f_{PAR} , f_T , f_{VPD} , and f_{SWP} represent the modification of g_{max} by, respectively, phenology, PAR, T_{air}, VPD, and SWP. The function f_{min} is the ratio of g_{min} and g_{max} where g_{min} is the minimal stomatal conductance to O₃. Impaired stomatal aperture mechanisms (stomatal sluggishness) due to O₃ exposure (Paoletti and Grulke, 2010) were not included in this model. In this modified version PAR, T_{air}, VPD, and SWP influence the range between g_{max} and g_{min} instead of g_{max} and zero. This modification was needed to allow for a constant g_{st} during night time (= g_{min}) that increases as soon as PAR > 0 $\mu\text{mol m}^{-2} \text{s}^{-1}$, in accordance with our observations (Op de Beeck et al., 2010).

510 Phenology modifies g_{max} because of the variation in g_{st} due to differences in needle age. The function f_{PHEN} is modelled as follows: (A2)

$$\text{if } SGS \leq doy \leq (SGS + c), \text{ then } f_{PHEN} = f_{min} + (1 - f_{min}) * (1 - b) * \left(\frac{doy - SGS}{c}\right) + b$$

$$\text{if } SGS + c \leq doy \leq EGS - d, \text{ then } f_{PHEN} = f_{min} + (1 - f_{min}) * 1$$

$$\text{if } EGS - d \leq doy \leq EGS, \text{ then } f_{PHEN} = f_{min} + (1 - f_{min}) * (1 - b) * \left(\frac{EGS - doy}{d}\right) + b$$

515 where SGS is the start of the growing season (doy = 115), EGS is the end of the growing season (doy = 300), and b (= 0.8), c (= 20), and d (= 20) are species-specific parameters representing the minimum of f_{PHEN} , the number of days for f_{PHEN} to reach its maximum and the number of days during the decline of f_{PHEN} for the minimum to reach again, assuming linear increase and decrease at the start and end of the growing season.

520 The stomatal response to PAR is described by a rectangular hyperbola, where a_{PAR} is a species-specific parameter determining the shape of the hyperbola (Emberson et al., 2000);

$$f_{PAR} = 1 - \exp(-a_{PAR} * PAR) \quad (A3)$$

The stomatal response to T_{air} is given by a parabolic function, where T_{min} is the minimum temperature at which stomatal opening occurs, and T_{opt} is the optimum temperature of stomatal opening (Emberson et al., 2000);

$$f_T = \max\left(0; 1 - \frac{(T - T_{opt})^2}{(T_{opt} - T_{min})^2}\right) \quad (A4)$$

525 The stomatal response to VPD is described by the following relationship, where VPD_{min} is a threshold for minimal stomatal opening, and VPD_{max} is a threshold for full stomatal opening (Emberson et al., 2000);

$$f_{VPD} = \min\left(1; \max\left(0; \frac{VPD_{min} - VPD}{VPD_{min} - VPD_{max}}\right)\right) \quad (A5)$$

The stomatal response to SWP is described by the following relationship, where SWP_{min} is a threshold for minimal stomatal opening, and SWP_{max} is a threshold for full stomatal opening (Emberson et al., 2000);

530
$$f_{SWP} = \min\left(1; \max\left(0; \frac{SWP_{min} - SWP}{SWP_{min} - SWP_{max}}\right)\right) \quad (A6)$$

Appendix C Statistics of model performance

In order to test how well the modified stomatal model performed, several model statistics were calculated. These model statistics are explained below.

- 535 The mean bias (MB) is the mean difference between the simulations (S_i) and the observations (O_i), with n being the number of data points (Stone, 1993);

$$MB = n^{-1} \sum_{i=1}^n (S_i - O_i) \quad (B1)$$

The mean relative error (MRE) is the mean relative difference between the simulations and the observations (Peierls, 1935);

540
$$MRE = n^{-1} \sum_{i=1}^n \frac{|S_i - O_i|}{O_i} \quad (B2)$$

Willmott's index of agreement (d) is a dimensionless goodness-of-fit coefficient, with \bar{O} being the mean observation (Willmott, 1981); The index can vary between 0 and 1, with d equals 1 for a perfect agreement between simulations and observations.

$$d = 1 - \frac{\sum_{i=1}^n (S_i - O_i)^2}{\sum_{i=1}^n (|S_i - \bar{O}| + |O_i - \bar{O}|)} \quad (B3)$$

- 545 The model efficiency (ME) gives an indication of how well the observations match the simulations (Nash and Sutcliffe, 1970); Model efficiency can range from $-\infty$ to 1 and is 1 when simulations and observations match perfectly. An efficiency of 0 indicates that the simulations are as accurate as the mean observation and an efficiency of less than zero indicates that the mean observation is a better predictor than the model.

$$ME = 1 - \frac{\sum_{i=1}^n (S_i - O_i)^2}{\sum_{i=1}^n (O_i - \bar{O})^2} \quad (B4)$$

- 550 The root-mean-squared error (RMSE) is a measure of the mean absolute difference between the simulations and the observations, weighting large differences heavily (Willmott et al., 1985); The systematic component (RMSE_s) estimates the model's linear or systematic error, hence, the better the regression between simulations and observations, the smaller the systematic component (Willmott et al., 1985). The unsystematic component is a measure of how much of the discrepancy between simulations and observations is due to random processes (Willmott et al., 1985). A good model will provide low values of RMSE, with RMSE_s close to zero and RMSE_u close to RMSE (Willmott et al., 1985).
- 555

$$RMSE = \sqrt{n^{-1} \sum_{i=1}^n (S_i - O_i)^2} \quad (B5)$$

$$RMSE_s = \sqrt{n^{-1} \sum_{i=1}^n (S'_i - O_i)^2} \quad (B6)$$

$$RMSE_u = \sqrt{n^{-1} \sum_{i=1}^n (S_i - S'_i)^2} \quad (B7)$$

560 $S'_i = a * O_i + b$, where 'a' and 'b' are slope and intercept, respectively, of the linear regression of the simulations versus the observations.

Author contribution L. T. Verryckt, M. Op de Beeck, B. Gielen, M. Roland and I.A. Janssens designed the study. J. Neiryck provided the O₃ mixing ratio measurements, B. Gielen provided the EC and LAI data, B. Gielen, M. Op de Beeck and L.T. Verryckt measured g_{st} in situ, and M. Op de Beeck and L.T. Verryckt conducted the modelling. All authors contributed to the writing.

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775 Table 1. Optimised parameter values of the multiplicative stomatal model.

g_{\max} (mol O ₃ m ⁻² s ⁻¹)	0.14
g_{\min} (mol O ₃ m ⁻² s ⁻¹)	0.02
a_{PAR}	0.0057
T_{opt} (°C)	25.61
T_{min} (°C)	5.47
VPD_{min} (kPa)	3.16
VPD_{max} (kPa)	0.51
SWP_{min} (MPa)	-1.18
SWP_{max} (MPa)	-0.19

780 Table 2. Performance statistics for the multiplicative stomatal model: mean bias (MB), relative mean error (RME), systematic and unsystematic root mean squared error (RMSE_{s/u}), Willmott's index of agreement (d), model efficiency (ME), coefficient of determination (R²).

Statistics	Parameterisation	Validation
MB	0.002	0.002
RME	0.34	0.33
RMSE	0.019	0.019
RMSE _s	0.006	0.006
RMSE _u	0.017	0.017
d	0.99	0.99
ME	0.72	0.72
R ²	0.72	0.72

785 Table 3: Ranking of the parameters defining GPP in the ANN by replacing each input variable with a random permutation of its values. (A) The parameters with their mean squared error (MSE, mol m⁻² day⁻¹) for the model without O₃ (B) The parameters with their MSE for the model with O₃. The overall model MSE without any random permutation is also shown.

Ranking Nr.	A	B
1	R _g – 37500.81	R _g – 41358.93
2	doy – 30240.61	year – 33978.09
3	year – 27486.63	doy – 31127.90
4	VPD – 15380.68	T _{soil} – 24893.78
5	T _{max} – 15323.22	T _{max} – 23567.45
6	T _{soil} – 15076.75	T _{mean} – 21354.76
7	T _{mean} – 13858.91	VPD – 16395.14
8	WV – 13369.01	T _{min} – 15418.16
9	T _{min} – 12732.96	WV – 14685.97
10	SWC – 12402.04	SWC – 12831.19
11		O ₃ – 11885.73
Overall model MSE	11360.85	10019.30

Fig. 1. Fingerprint of air temperature (T_{air}), incoming global radiation (R_g), vapour pressure deficit (VPD), and measured gross primary productivity (GPP), averaged over the period 1998-2013. Day of year is plotted on the y-axis and hour of day on the x-axis.

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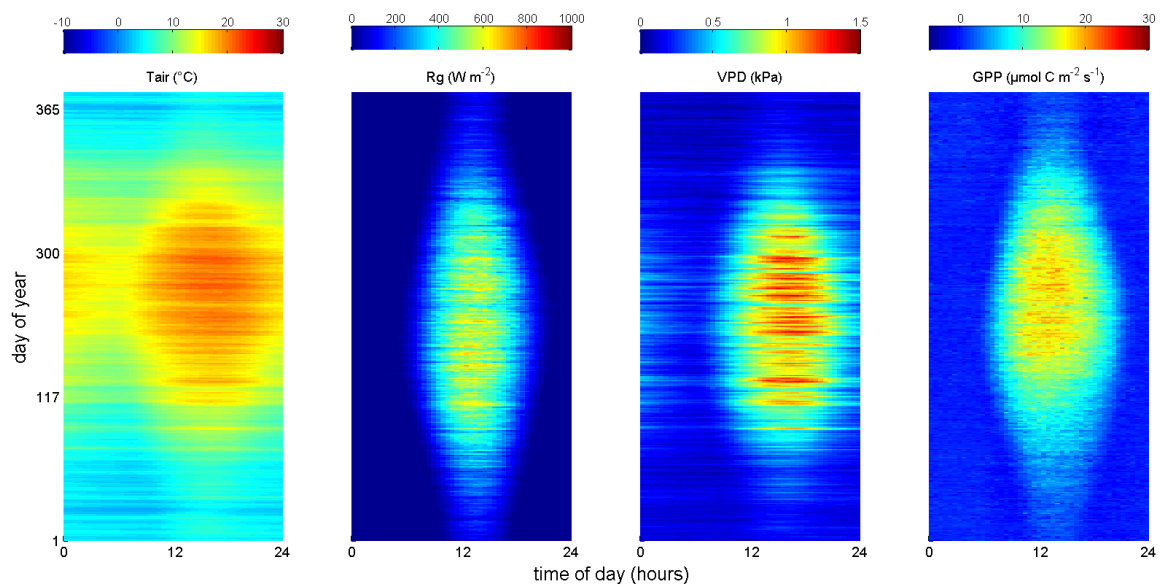
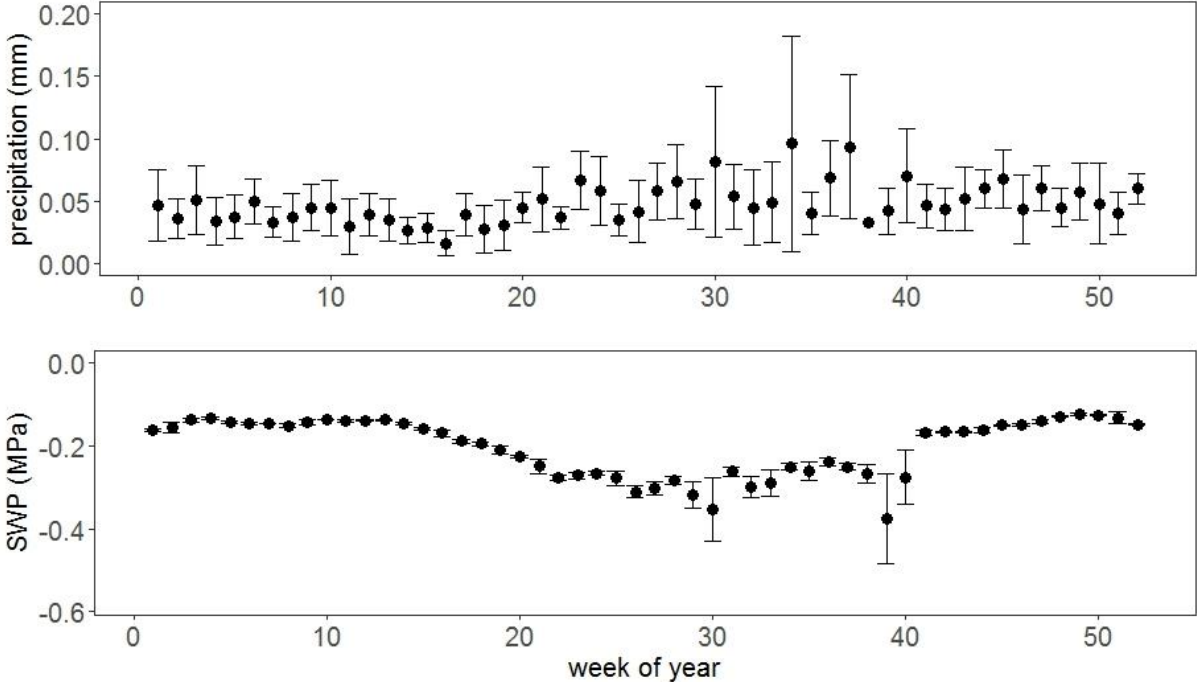
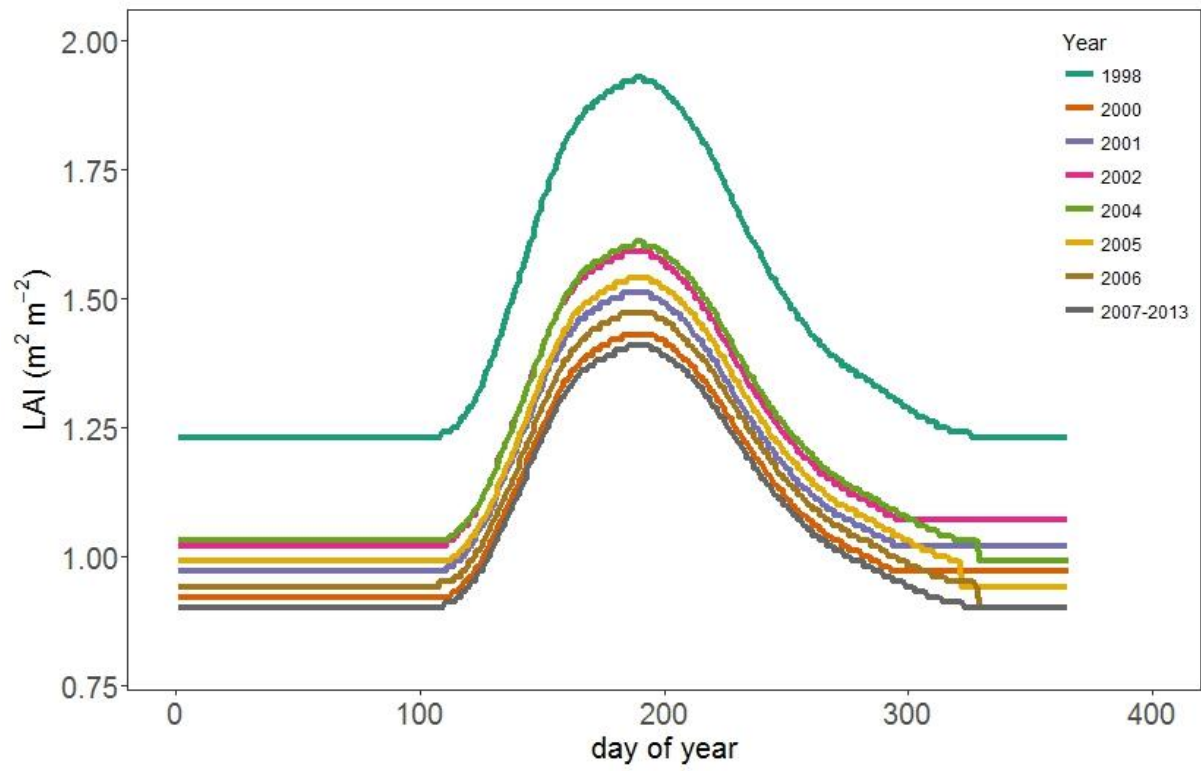


Fig. 2. Time series of the weekly total precipitation and mean soil water potential (SWP). The precipitation and SWP data are averaged over the period 1998-2013. Error bars represent the 95 % confidence intervals.

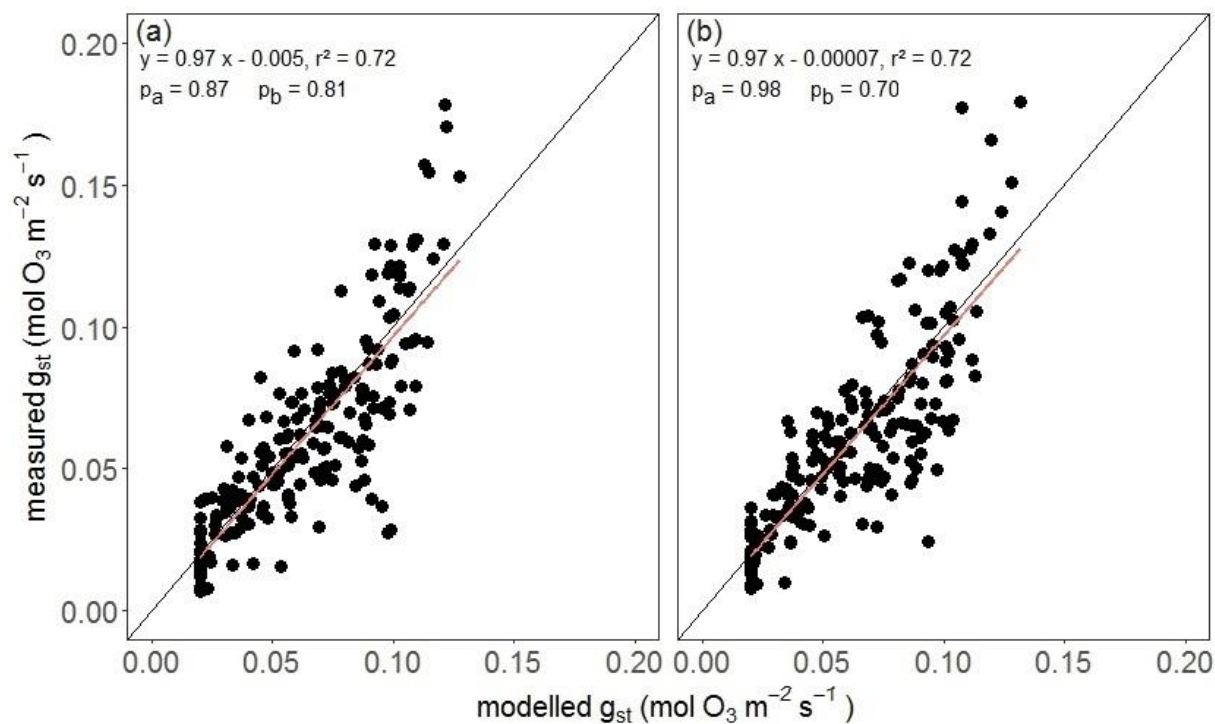


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Fig. 3. Seasonal course of LAI for each of the 14 growing seasons used in this study.



800 Fig. 4. Measured versus modelled stomatal conductance (g_{st}) for the parameterisation dataset (a) ($n = 205$) and the validation dataset (b) ($n = 205$). The black line is the 1:1 line. The red line is the linear fit for which the equation is given in the figure. Also shown are the p-values of test for the slope being different from 1 (p_a) and the intercept different from 0 (p_b).



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Fig. 5. Measured stomatal conductance (g_{st}) as a function of the different variables used in the multiplicative model: photosynthetically active radiation (PAR), air temperature (T_{air}), vapour pressure deficit (VPD), and soil water potential (SWP). The red line represents the boundary line for which the functions are given in Appendix B (A3-A6). (n = 205)

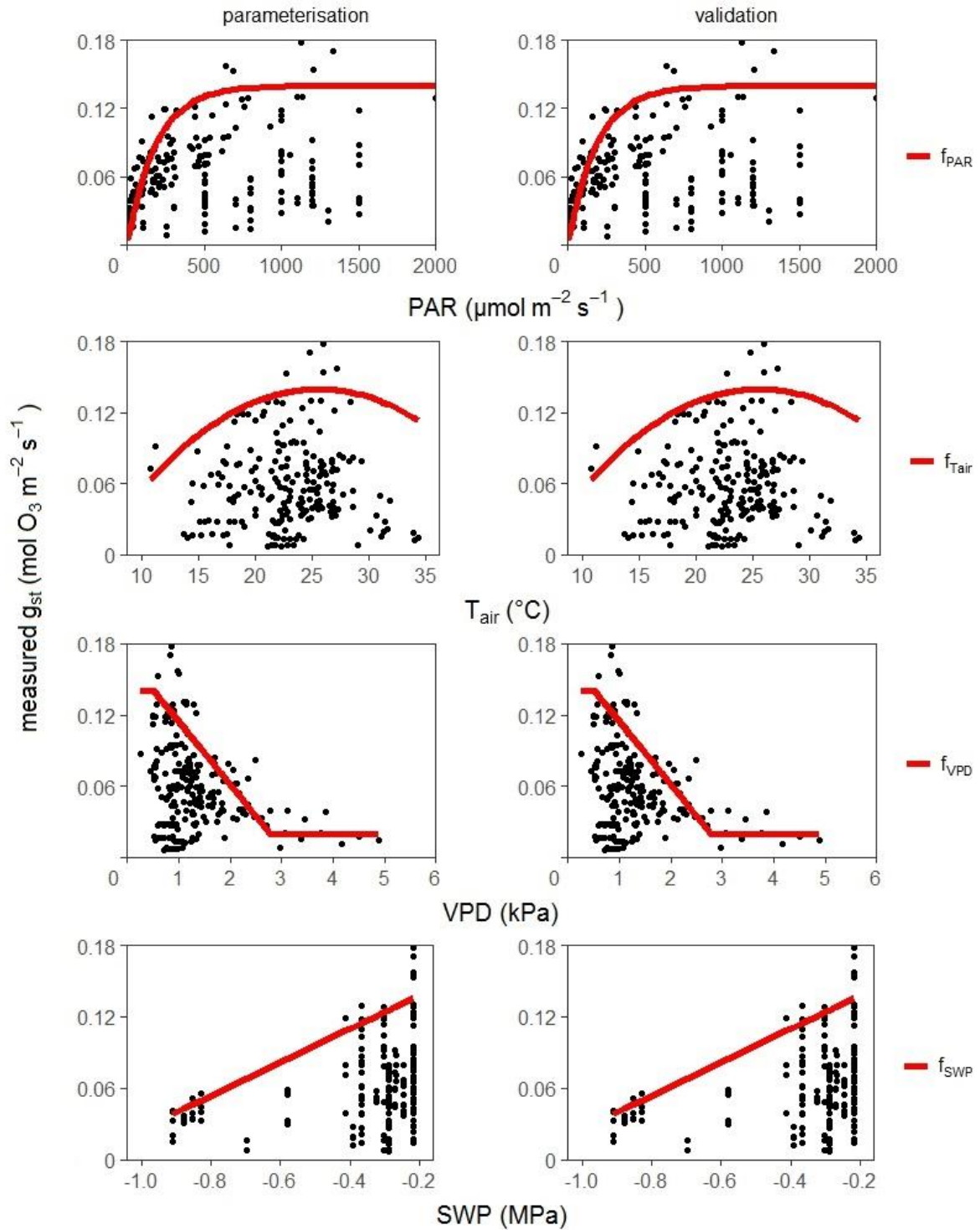
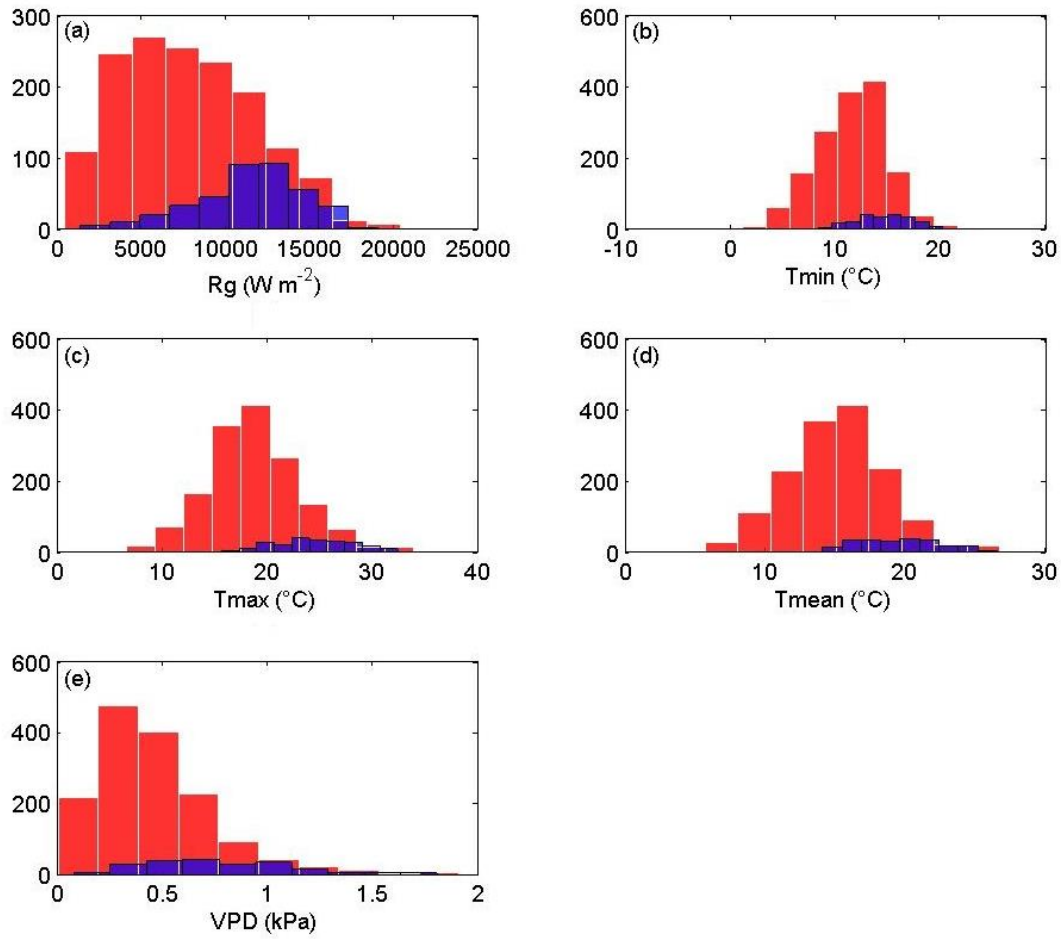
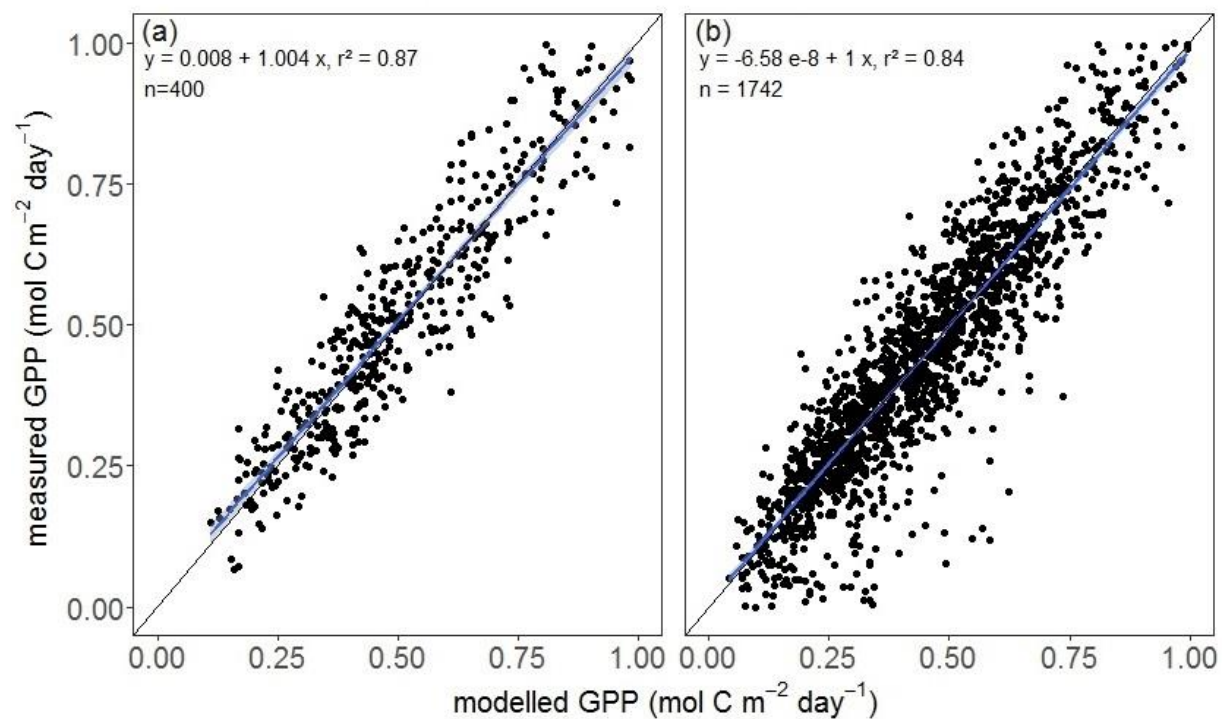


Fig. 6. Histograms of meteorological variabls for the training dataset (red) and the high O₃ uptake dataset (blue). The subplots represent global radiation R_g (a), minimum temperature T_{min} (b), maximum temperature T_{max} (c), mean temperature T_{mean} (d) and vapour pressure deficit VPD (e).



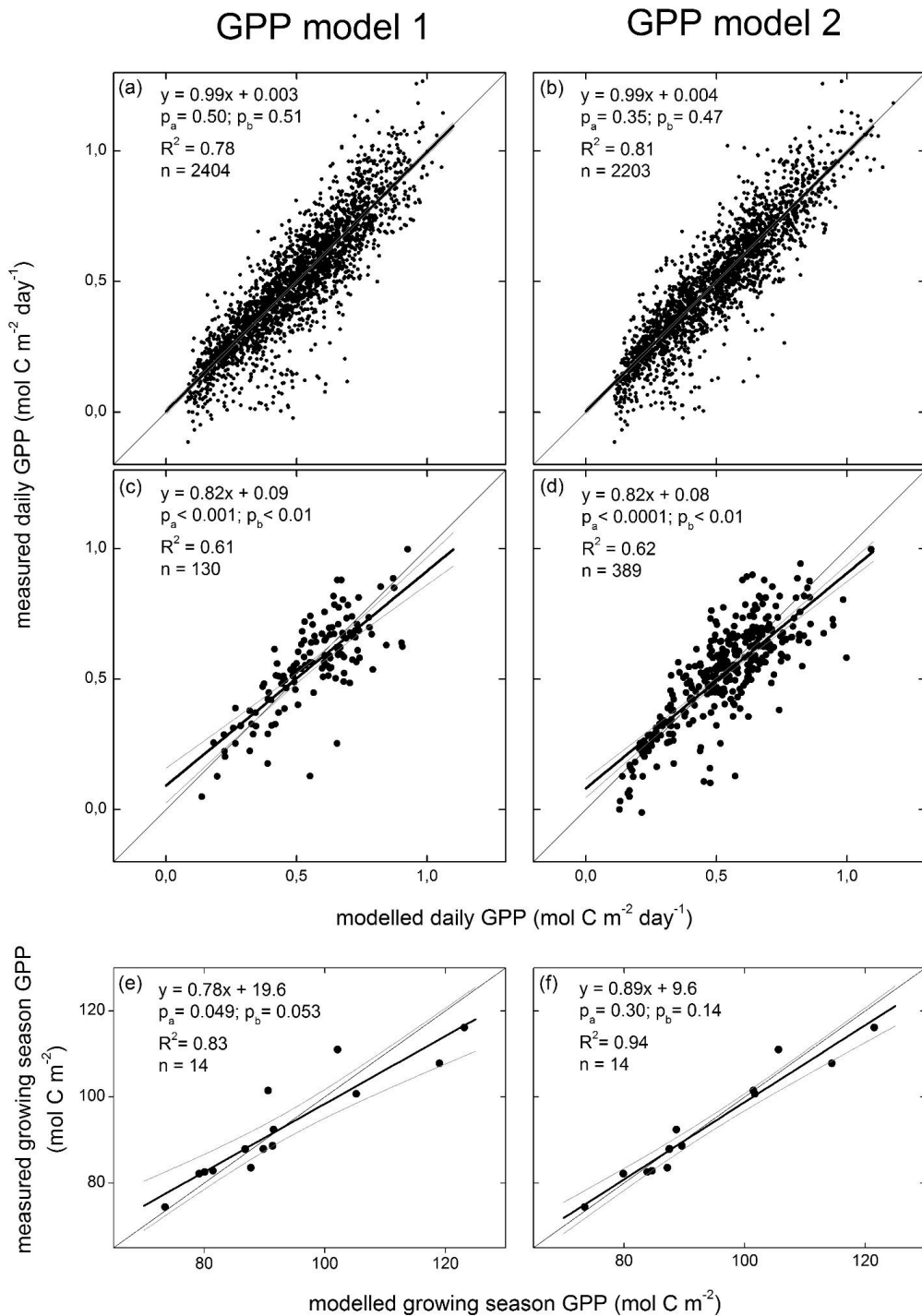
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Fig. 7. Measured GPP is plotted as function of modelled GPP for two different datasets: (a) only the days before the first major O₃ peak in every year, (b) the training dataset with the days after the first major O₃ peak in every year, excluding those with high O₃ fluxes + six following days to train the network. The black line is the 1:1 line. The blue line is the regression fit including 95 % confidence intervals (in grey).



820

825 Fig. 8. Measured versus modelled gross primary productivity (GPP) for days used for model training and testing (a, b), for days on which an O₃ effect was assumed (c, d), and for the entire growing season (e, f). GPP model 1 was trained without days with the highest stomatal O₃ uptake, whereas GPP model 2 was trained to test for possible lag effects of O₃ on GPP. Black lines are fitted linear regression lines and grey lines mark the 95 % confidence bands. Also shown are p-values for the tests of slope and intercept from the regression $y = a x + b$ being different from 1 and 0, respectively. Black lines are the fitted linear regression lines and grey lines are the 95 % confidence bands.



830 Fig. 9. Residuals of growing season gross primary productivity (GPP) function of (a, b) total stomatal O₃ flux over
the growing season (F_{st}), (c, d) AOT40, and (e, f) POD₁. PLA = projected leaf area. Negative residuals indicate
model overestimation of GPP. GPP model 1 was trained without days with the highest stomatal O₃ uptake, whereas
GPP model 2 was trained to test for possible lag effects of O₃ on GPP. Black lines are fitted linear regression lines
and grey lines mark the 95 % confidence bands. Also shown are p-values for the test of the slope and intercept
835 from the regression $y = a x + b$ being different from 0. (n = 14).

