

1 **The burying and grazing effects of Plateau pika on alpine**
2 **grassland are small: A pilot study in a semi-arid basin on**
3 **the Qinghai-Tibetan Plateau**

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12

13 **Abstract**

14 There is considerable controversy about the role of plateau pika (*Ochotona curzoniae*,
15 hereafter pika) in alpine grassland on the Qinghai-Tibetan Plateau (QTP). It is on one
16 hand considered as a keystone species, on the other hand poisoned. Although
17 significant amount of efforts have been made to study the effects of pika at a quadrat
18 scale ($\sim m^2$), our knowledge about its distribution and effects at a larger scale is very
19 limited. In this study, we investigated the direct effects, i.e. burying and grazing, of
20 pika by upscaling field sampling at a quadrat scale to a plot scale ($\sim 1,000 m^2$) by
21 aerial photographing. Altogether, 168 plots were set on 4 different types of alpine
22 grassland in a semi-arid basin on the QTP. Results showed that: 1) the effects of
23 burying by pika piles on the reduction of vegetation cover, biomass and soil
24 carbon/nitrogen were less than 10%, which was much smaller than the effects of bald
25 patches; and 2) pika consumed 8-21% of annual net primary production of grassland.
26 We concluded that the direct burying and grazing effects of pika on alpine grassland
27 were minor in this region. Quadcopter is an efficient and economic tool for long-term
repeated monitoring over large regions for further understanding the role of pika.

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1 **1. Introduction**

2 Alpine grassland is important for animal husbandry and occupies about 2/3 of the
3 total area of the Qinghai-Tibetan Plateau (QTP), but about 1/3 of this resource has
4 degraded over the last few decades (Li et al., 2011). In addition to overgrazing (Zhang
5 et al., 2014), climate warming and permafrost degradation (Wang et al., 2008; Yi et al.,
6 2011), small mammals, especially plateau pika (*Ochotona curzoniae*), are considered
7 an important cause of grassland degradation.

8 Plateau pika (hereafter pika), a small lagomorph, is believed adversely affecting
9 alpine grassland by consuming biomass, destroying the sod layer, burying vegetation
10 with excavated soil and expediting carbon dioxide emission (Qin et al., 2015a). The
11 bald patches created by pika activity may increase in size over time because of
12 erosion by wind and/or water (Wei et al., 2007). According to Shang and Long (2007),
13 16-54% of degraded grassland is severely degraded, the so-called “black soil patch”,
14 half of which is caused by pika (Li and Sun, 2009). For this reason, local government
15 considers pika a pest of alpine grassland and has initiated campaigns to eradicate it
16 since 1958 (Wilson and Smith, 2014). On the other hand, pika is believed to benefit
17 alpine grassland by increasing infiltration, decreasing runoff (Wilson and Smith, 2014)
18 and increasing moisture and carbon content (Li and Zhang, 2006) in the top soil (up to
19 a depth of 10 cm). Pika is also a keystone species on the QTP (Smith and Foggin,
20 1999; Lai and Smith, 2003). Some authors have suggested that pika is an indicator
21 rather than a cause of grassland degradation; pika population increases quickly only
22 after the grassland has already been degraded (Harris, 2010; Wangdwei et al., 2013).

23 Although the role of pika in alpine grassland ecosystem is receiving more and
24 more attention, there have been few quantitative studies at plot scale (e.g. $\sim 1000 \text{ m}^2$,
25 Guo et al., 2012; Wangdwei et al., 2013). Typically, studies on pika effects have
26 compared vegetation and soil characteristics and carbon fluxes at a quadrat scale ($\sim \text{m}^2$)
27 among plots with different number densities of pika burrows (Guo et al., 2012; Li and
28 Zhang, 2006; Liu et al., 2013; Wei et al., 2007; Wilson and Smith, 2014). For example,
29 Liu et al. (2013) investigated the role of pika in alpine steppe meadows studying 8
30 plots with pika burrow exit numbers varying from 0 to 76 burrow exits/100m² and

1 found that a higher density of pika burrow exits was associated with lower net
2 ecosystem exchanges, aboveground biomass and number of species. There are
3 different levels of heterogeneity on grassland surfaces. For example, Wei et al. (2007)
4 classified the grassland surface into six types: 1) mound height > 10 cm; 2) mound
5 height between 0 and 10 cm; 3) erosion pit between 0 and 5 cm; 4) erosion pit
6 between 5 and 10 cm; 5) erosion pit > 10 cm; and 6) undisturbed. It is critical that
7 measurements taken at a quadrat scale be converted to a plot scale in order to properly
8 quantify the role of pika. However, it is hard and inefficient to walk around ground to
9 count the number of burrow exits or piles of pika *in situ* on large amounts of plots (e.g.
10 Liu et al., 2013), not to say to quantify their area fractions in each plot. Therefore, few
11 studies have quantified the effects of pika on alpine grassland at plot scale.

12 Lightweight Unmanned aerial vehicles (UAVs) have developed rapidly due to
13 miniaturization and low cost of various sensors and embedded computers (Salami et
14 al., 2014). UAVs have become a popular platform at a low cost for high precision
15 photography and other applications recently. Photography with cm-level resolution
16 can be achieved using widely-used camera (Colomina and Molina, 2014). In this
17 study, we applied a UAV with camera to take aerial photos and aimed to: 1) test
18 whether pika burrow exits and piles information can be retrieved from aerial
19 photographs at a plot scale; 2) upscale the measurements of biomass, soil carbon and
20 nitrogen measured at quadrat scale to plot scale and quantitatively assess the burying
21 and grazing effects of pika.

22 **2. Methodology**

23 **2.1 Study area and field work**

24 The study area is located in the source region of the Shule River Basin on Qilian
25 Mountain at the northeastern edge of the QTP, China (Figure 1 a). The area has an
26 arid continental climate. The average annual air temperature and precipitation are
27 about -4.0 °C and 200-400 mm (Chang et al., 2016). There are four typical types of
28 alpine grassland in the study area: alpine steppe (AS); alpine steppe meadow (AStM);
29 alpine meadow (AM); and alpine swamp meadow (ASwM) (Figure 1 b-e). The soil
30 moisture ranges from dry in AS grassland to wet in ASwM grassland (Qin et al.,

1 2014). Accordingly, the dominant species was *Stipa purpurea* in AS grassland and
2 *Kobresia pygmaea* in ASwM grassland (Table 1). We conducted field studies with
3 field sampling and aerial photographing. We set up 3 30 m X 30 m plots in each of
4 four types of grassland in 2012, and we set up 9 50 cm X 50 cm quadrats evenly in
5 each plot. We took photo on each quadrat from May 19 to August 30, 2012 at a height
6 of 1.4 m. The protocol of measurement and estimation of fractional vegetation cover
7 can be found in Section 2.2 and 2.4, respectively. Vegetation cover usually peaks
8 during the end of July and beginning of August (Figure 2).

9 **2.2 Field sampling**

10 For each grassland type, we delineated 4 surface types: vegetation patch; new pika
11 pile (with loose soil and a burrow exit nearby); old pika pile; and bald patch (Figure 3
12 d-g). At end of July 2014, we randomly set up 3 quadrats with iron frames measuring
13 50 cm × 50 cm on each surface type in each type of grassland (Figure 3 a). For new
14 and old pika pile surface types (Figure 3 f and g), the iron frames were placed so as to
15 cover vegetation as little as possible. We took one picture of each quadrat with an
16 ordinary digital camera (Fujifilm (Japan), 1000 megapixels) held vertically at a height
17 of ~1.4 m (Figure 3 d-g). Five soil cores were collected on each quadrat with a
18 stainless auger (5 cm in diameter) down to 40 cm (Figure 3 c), and bulked as one
19 composite sample. Three replicates on each surface type of each grassland type were
20 sampled.

21 At the beginning of August 2015, we set three round plots with radius of 14 m around
22 sampling place in each type of grassland (Figure 3 h). Distance between plots was
23 over 50 m. We covered all burrow exits with soil within each plot. The number of
24 burrow exits which were opened was counted after 72 hours. Then we put trap on
25 each of the opened burrow exit, and checked whether pika was caught after 48 hours.
26 The experiment protocol was approved by Department of Qinghai Prataculture (Due
27 to the small size of experiment, only oral approval was granted).

28 **2.3 Aerial photographing**

29 At beginning of August 2015, we selected 14 locations, among which 4, 4, 4 and 2
30 locations were in AS, AStM, AM and ASwM grasslands respectively. (Figure 1).

1 There were 3, 2, 0, and 0 locations on the alluvial terrace; and 1, 2, 2, and 2 locations
2 on river terrace. All locations are generally flat with slope less than 4° . Grasslands of
3 these locations are used for grazing during migration between settlement and
4 mountain areas in May-June and September-October. Pikas of these locations are not
5 poisoned. One location in each type of grassland was over the above-mentioned
6 sampling plots and quadrats (Figure 3 a). On each location, DJI drone (Phantom 3
7 Professional, DJI Innovation Company, China) was auto-piloted to 12 preset way
8 points to take photo at a height of 20 m with camera looking vertically down using
9 software development kits (Yi, submitted). Altogether 168 aerial photos were taken.
10 The Phantom 3 Professional is a light-weight (about 1280 g including battery and
11 propellers) four-wheel drone. It is equipped with an autopilot system with 0.5 m
12 vertical accuracy and 2.0 m horizontal accuracy. It is integrated with a Sony EXMOR
13 Sensor (maximum image size: 4000×3000) and a 3-axis gimbal. Each aerial photo
14 covers roughly $35 \text{ m} \times 26 \text{ m}$ (Figure 3 a and b), and each pixel covers roughly 1 cm^2
15 ground area, when photo is taken at a height of 20 m.

16 **2.4 Image analysis**

17 For those images taken on ground, we selected the part of the image within the iron
18 frame and retrieved green fractional vegetation cover (GFVC) using a threshold
19 method based on excess green index ($\text{EGI} = 2\text{G} - \text{R} - \text{B}$; with R, G, B being red, green
20 and blue bands, respectively) of each pixel. More specifically, to calculate GFVC we:
21 1) provided an initial value of EGI threshold and compared it with each pixel; 2) if the
22 EGI of a pixel was greater than the threshold, the pixel was considered a vegetation
23 pixel and assigned a green color; otherwise it was considered a non-vegetation pixel
24 and assigned a yellow color; 3) compared the classified image with the original
25 picture. Steps 1) to 3) were iterated to adjust the threshold value until the vegetation
26 shapes in the classified image fit those of the original picture (Figure 4). Finally, we
27 calculated GFVC by dividing the number of vegetation pixels into the total number of
28 pixels.

29 For pictures taken from the air (Figure 5), the new and old pika piles were marked
30 manually with rectangles so as to include as little intact vegetation as possible (Figure

1 5). We plotted the contours of the vegetation and bald patches using OpenCv Library:
2 1) adjusted the EGI value until its contours fit well with the shape of the vegetation
3 and bald patches (Figure 5), 2) calculated the area in each contour in units of pixel
4 using OpenCv Library; and 3) we subtracted the number of vegetation and
5 non-vegetation pixels of new and old pika piles from the vegetation and bald patch
6 contours, respectively. To exclude very small patches, we only considered the patches
7 with area greater than 10 cm². The area fractions of vegetation and bald patches, new
8 and old pika piles were then calculated by dividing the number of pixels in each
9 surface type by the total number of pixels (see Figure 3b).

10 It is worth mentioning that no true FVCs of grassland at both quadrat and plot scale
11 exist. Results from supervised classification have been usually used as “true” FVC
12 values. For example, supervised classification using WinCAM software was used in
13 Yi et al. (2011), Ren et al. (2014) and Zhou et al. (2015). Yi et al. (2011) found that
14 widely used visual estimation had large variations among different estimators, while
15 WinCAM classification was time-consuming. Ren et al. (2014) found that try & error
16 threshold-based estimation was comparable to that of WinCAM classification and was
17 more efficient than WinCAM. In addition to EGI based threshold method, we also
18 tried green relative vegetation index ($GRVI=(G-R)/(G+R)$), we did not try the
19 normalized difference vegetation index ($NDVI=(NIR-R)/(NIR+R)$, where NIR is near
20 infrared band), due to lack of NIR band in a common camera.

21 **2.5 Laboratory analysis**

22 Soil samples were processed in the following steps: 1) air-dried in natural condition
23 avoiding direct sunshine; 2) the gravel, >2 mm in size, was sieved, separated and
24 weighted by electronic balance (0.01g); 3) the remaining soil samples with diameter
25 less than 2 mm were ground to pass through a 0.25 mm sieve and were then sent to
26 Lanzhou University for analysis of soil organic carbon (SOC) and total nitrogen (TN)
27 concentration. A detailed description of the analysis methods for SOC and TN can be
28 found in Qin et al. (2014).

29 **2.6 Data analysis**

30 **2.6.1 Plot scale biomass, soil organic carbon and total nitrogen**

1 Based on the relationship between GFVC and aboveground biomass (AGB) at a
 2 quadrat scale, established using datasets of the same study area (Qin et al., 2014), we
 3 calculated AGB (kg/ha) = 21.6 × GFVC for each of surface type. For each plot, we
 4 calculated the overall AGB with the following equation:

$$5 \quad \text{AGB}_{\text{plot}} = \text{AGB}_{\text{np}}f_{\text{np}} + \text{AGB}_{\text{op}}f_{\text{op}} + \text{AGB}_{\text{bp}}f_{\text{bp}} + \text{AGB}_{\text{vp}}f_{\text{vp}} \quad (1)$$

6 Where plot, np, op, bp, and vp represent plot, new pika pile, old pika pile, bald
 7 and vegetation patches, respectively; f represents area fraction (%) of each surface
 8 type. The SOC and TN at plot scale were then calculated in a similar way as that of
 9 AGB.

10 We defined the effect of each surface type (E_{type}) on AGB reduction of grassland as:

$$11 \quad E_{\text{type,agb}} = \frac{(\text{AGB}_{\text{type}} - \text{AGB}_{\text{vp}})f_{\text{type}}}{\sum[(\text{AGB}_{\text{type}} - \text{AGB}_{\text{vp}})f_{\text{type}}]} \times 100 \quad (2)$$

12 Where f_{type} represents the area fraction of a surface type in a plot (%), Σ means
 13 the sum. For the vegetation patch surface type, E_{type} equals 0 and has no effect in
 14 AGB reduction. The higher the value of $E_{\text{type,agb}}$, the higher the effect of a surface type
 15 on plot-scale AGB reduction. The effects on SOC and TN reduction were calculated
 16 in a similar way. The burying effects from pika piles were calculated as the sum of E_{np}
 17 and E_{op} .

18 **2.6.2 Plot scale pika number and grazing effects**

19 Two ratios were used in calculating number of pika from aerial photos at plot scale.
 20 First was the ratio ($r1$) between the number of in-use burrow exits and the total
 21 number of burrow exits, and the ratio ($r2$) between the number of pikas caught and the
 22 number of in-use burrow exits, both of which were developed using field data for
 23 each grassland type (Figure 3 h). We then calculated the number of pikas in a plot
 24 covered by each aerial photo (Figure 3 b) with these two ratios and the total number
 25 of pika piles delineated from each aerial photo (Figure 5; equation 3).

$$26 \quad N_{\text{pika}} = N_{\text{pile}} \times r1 \times r2 \quad (3)$$

27 Where N_{pika} and N_{pile} are the number of pikas and the number of total pika piles
 28 in a hectare, respectively.

29 Each pika consumes ~8.06 kg of grass dry matter per year (Hou, 1995; equation 4).

1 Pika consumes above-ground biomass more than root system (Sun et al., 2016). The
2 annual primary production of grassland roughly equals to peak time aboveground
3 biomass (AGB_{plot} ; Scurlock et al., 2002). Finally, we estimated the effects of direct
4 graze consumption by pika (E_{graze} , %) in a plot (Equation 5).

$$5 \quad AGB_{pika} = N_{pika} \times 8.06 \quad (4)$$

$$6 \quad E_{graze} = \frac{AGB_{pika}}{AGB_{plot}} \times 100 \quad (5)$$

7 AGB_{pika} is the biomass consumed by pika (kg/ha).

8 The data were presented as mean \pm standard deviation. Statistical analyses were
9 performed using the SPSS 17.0 statistical software package (SPSS Inc., Chicago, IL,
10 USA). One-way analysis of variance (ANOVA) and a multi-comparison of a least
11 significant difference (LSD) test were used to distinguish between differences at the
12 $p=0.05$ level.

13 **3. Results**

14 **3.1 Quadrat scale characteristics**

15 The GFVCs derived using thresholds of EGI and GRVI were similar, with differences
16 less than 1% (Figure not shown here). Therefore, in the following part, we only
17 presented results based on EGI threshold.

18 The GFVCs of the vegetation patches were greater than 60% for both AM and ASwM
19 grasslands, while those of AS and AStM grasslands were less than 30% (Figure 6a).

20 The GFVC of vegetation patches was significantly greater than that of other surface
21 types for most of the grasslands ($p<0.05$). Because some vegetation was included in
22 the 50×50 cm iron frame, the GFVC of new pika pile was not zero, but was usually
23 less than 10%. Vegetation also grew on the piles, so the GFVC of old pika pile was
24 usually greater than that of new pika pile. Bald patch GFVC was similar to that of
25 new pika pile.

26 The SOC/TN densities of 40 cm soil column ranged between 3.5/0.45 and 8.0/1.2
27 kg/m^2 (Figure 6b and c). Both SOC and TN densities under vegetation patches were
28 significantly greater than those under bald patch ($p<0.05$). SOC/TN under vegetation
29 patches of 3 out of 4 grasslands were significantly greater than those under new and

1 old pika piles (Figure 6b). TNs under vegetation patches were only significantly
2 greater than those of new and old pika piles on the ASwM grassland (Figure 6c).
3 Species in vegetation patches were dominant by palatable species, while forbs with
4 low-nutrient were common on bald patches and old pika piles on all 4 different
5 grasslands (Table 1).

6 **3.2 Area fractions and numbers of surface types at plot scale**

7 Except for the ASwM grassland, the mean area fractions of vegetation patches were
8 about 30%, and significantly less than bald patches ($p < 0.05$; Figure 7a). The mean
9 area fractions of new and old pika piles were all less than 2% for all grasslands
10 (Figure 7b). The mean number of patches of vegetation (bald) patches ranged from
11 $\sim 33,000/\text{ha}$ ($17,000/\text{ha}$) in AM grassland to $\sim 100,000/\text{ha}$ ($67,000/\text{ha}$) in AStM
12 grassland (Figure 7c). The mean number of new (old) pika piles ranged from $\sim 130/\text{ha}$
13 ($160/\text{ha}$) to $\sim 270/\text{ha}$ ($400/\text{ha}$, Figure 7d).

14 **3.3 Effects of surface types at plot scale**

15 Due to the large area fractions of bald patches (Figure 7a) and low vegetation cover
16 (Figure 6a), the effects of bald patches on reduction of above-ground biomass ranged
17 from 80% on ASwM grassland to 98% on AS and AStM grasslands (Figure 8a). The
18 effects of pika piles were significantly less than that of bald patches. The soil organic
19 carbon and total nitrogen had the similar pattern as that of above-ground biomass
20 (Figure 8 b and c).

21 **3.4 Grazing effects of pika at plot scale**

22 The mean ratio between in-use burrow exits and total burrow exits (r_1) ranged from
23 0.22 to 0.42, and there were no significant differences among different grassland types
24 ($p \geq 0.05$; Figure 9a). The mean ratio between number of pikas and in-use burrow
25 exits (r_2) ranged from 0.18 on ASwM grassland to 0.4 on AM grassland (Figure 9b).
26 The r_2 ratio of ASwM grassland was significantly less than those of the other
27 grasslands ($p < 0.05$). The mean number of pikas ranged from 27 ha^{-1} to 60 ha^{-1} , and
28 there were no significant differences among different types of grasslands ($p \geq 0.05$;
29 Figure 9c). The graze effects of pika on aboveground biomass ranged from 8% to
30 21%, with that on AStM significantly greater than those of the other grasslands

1 (p<0.05; Figure 9d).

2 **4. Discussion**

3 **4.1 Burying and grazing effects of pika on grassland**

4 Previous studies indicated that pika adversely affect alpine grassland directly through
5 1) burying of vegetation with soil while burrowing and 2) consumption of vegetation
6 in competition with domestic animals for food (Yang and Jiang, 2002). However, our
7 study showed that both new and old pika piles accounted for only a very small area
8 fraction (<2%) of the total plot area (Figure 7b), showing that burying has minimal
9 effects on aboveground biomass, soil carbon and total nitrogen (Figure 8). The
10 aboveground biomass at peak growing season is usually used as surrogate of annual
11 net primary production (Scurlock et al., 2002). Pika only accounted for 21% at
12 maximum on different types of grassland on two different geomorphologies (Figure
13 9d).

14 Sun et al. (2016) classified study sites into four classes: 1) approximately zero pika
15 density (0-15 ha⁻¹); 2) low pika density (15-110 ha⁻¹); 3) medium pika density
16 (110-200 ha⁻¹), and 4) high pika density (200-300 ha⁻¹). Our plots belong to the first
17 two classes (Figure 9 c). Due to different precipitation and temperature conditions, net
18 primary production, soil carbon and nitrogen exhibits strong spatial heterogeneity
19 (Luo et al., 2004). Therefore, to properly evaluate the direct burying and grazing
20 effects of pika on the QTP, large amounts of plots under different combined
21 conditions of climate and pika densities should be investigated.

22 **4.2 Effects of pika on bald patches**

23 There were bald patches of various sizes on the grasslands (see Figure 5), which
24 played a much more important role than pika piles in reducing vegetation cover,
25 aboveground biomass and soil carbon and nitrogen at the plot scale (Figure 8). We
26 retrieved gravel contours using the threshold of R+G+B and determined whether each
27 was in a vegetation or bald patch contour. The number of gravel contours in bald
28 patches was significantly greater than the number in vegetation patch contours (e.g.
29 Figure 3 e and 5). For example, there was ~80/5 gravel/m² in bald/vegetation patches
30 on the AM grassland (Figure not shown). High amounts of gravel content are not

1 beneficial for nutrient retention and vegetation growth (Qin et al., 2015b): once the
2 fine soil has been eroded, vegetation in a bald patch is slow to recover (Gao et al.,
3 2011).

4 Wei et al. (2007) suggested that a bald patch developed from a new pika pile through
5 its succession to an old pika pile and further erosion by wind and/or water. Other
6 studies have suggested that a bald patch originates from the collapse of a burrowing
7 tunnel, repeated freeze and thaw processes, trampling during grazing or some
8 combination of these factors (Zhou et al., 2003; Cao et al., 2010). However, none of
9 these suggestions have been supported by field observations (Wilson and Smith,
10 2014). It is, therefore, critical to perform long-term repeated monitoring studies to
11 determine: 1) whether bald patches are developed from pika piles or burrow tunnels?;
12 2) how quickly does a bald patch expand?; and 3) what are the major factors affecting
13 bald patch expansion?

14 **4.3 Cons and pros of quadcopter in studying pika's effects**

15 Pika piles or burrow exits and bald patches are too numerous to be quantified easily
16 on ground by human; they are also too small to be identified by regularly available
17 satellite remote sensing data (Figure 5 and 7). Quadcopter integrated with a camera
18 has the following advantages in studying pika's effects: 1) large coverage. It can
19 easily cover an area of $\sim 1000 \text{ m}^2$ when it is flied at a height of $\sim 20 \text{ m}$, therefore, aerial
20 photos can be used to better characterize patches of different sizes than photos taken
21 on ground; 2) high resolution. Each pixel represents area if $\sim 1 \text{ cm}^2$ when photo is
22 taken at a height of $\sim 20 \text{ m}$, which is good enough for identifying pika piles and bald
23 patches (Figure 5); 3) high locating accuracy. The distance between the center of an
24 aerial photo and the corresponding preset way point is $\sim 1 \text{ m}$, which makes it feasible
25 for repeated monitoring over the same plots (Yi, submitted); 4) low cost. Each
26 Phantom 3 quadcopter costs about 1,000 USD; and 5) high efficiency. In our study, it
27 took only 2 minutes to fly to 12 preset way points and take photos automatically
28 (Figure 3a).

29 Chen et al. (2016) found that the fractional vegetation cover derived from aerial
30 photos had better correlations with satellite normalized difference vegetation index,

1 which is usually used to estimate vegetation biomass (e.g. Gao et al., 2013), than
2 quadrat-scale photo taken on ground on patchy grassland. It is a non-destructive
3 method to estimate biomass or soil carbon/nitrogen at plot scale with only few
4 samples at quadrat scale sampled. Therefore, it is feasible to deploy quadcopter to
5 monitor large amounts of plots in alpine grassland on the QTP repeatedly over a
6 long-term range.

7 However, we do acknowledge that there are some shortcomings of quadcopter: 1) we
8 cannot assess role of pika at species level with quadcopter. For example, selective
9 grazing behavior of pika can sometimes improve alpine grassland biodiversity (Harris
10 et al. , 2016 and Zhang et al., 2016), which cannot be upscaled to a plot scale in aerial
11 photos; 2) Quadcopter with a common camera cannot provide soil moisture
12 information, while the burrowing activity of pika can improve infiltration and increase
13 soil water content (Wilson and Smith, 2014). Therefore, both aerial surveying with
14 quadcopter and ground sampling should be used together to investigate the role of
15 pika comprehensively.

16 **5. Conclusions**

17 We up-scaled the quadrat-scale measurements of vegetation cover, biomass, soil
18 carbon and nitrogen of 4 different surface types, i.e. vegetation and bald patches, new
19 and old pika piles, to plot-scale using aerial photography. We then assessed the direct
20 burying and grazing effects of pika. We concluded that both the direct effects were
21 minor on different types of grasslands on two different geomorphologies. Bald
22 patches had great impact on the reduction of biomass, soil carbon and nitrogen, but
23 cannot be directly associated with pika activity at the current stage, which requires
24 long-term repeated monitoring the changes of piles and burrow tunnels created by
25 pika. Our study suggested that it is feasible and efficient to use quad-copter to monitor
26 large amounts of patchy grassland plots and study the roles of pika.

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- 1 **Table 1.** The latitude, longitude, elevation of four different types of alpine grassland
- 2 and the dominant species on different surface types of each grassland.

Grassland Type	Latitude, Longitude, Elevation	Vegetation patch	Bald patch	Old pika pile
Alpine steppe (AS)	38°38'05.4" 98°06'41.7" 3768 m	<i>Stipa purpurea</i> , <i>Artemisia minor</i>	<i>Heteropappus hispidus</i> (Thunb.) Less., <i>Saussurea arenaria</i> Maxim.	<i>Potentilla bifurca</i> Linn., <i>Saussurea arenaria</i> Maxim.
Alpine steppe meadow (AStM)	38°28'34.6" 98°19'22.8" 3886 m	<i>Carex moorcroftii</i> , <i>Stipa purpurea</i>	<i>Ajania tenuifolia</i> , <i>Potentilla bifurca</i> Linn.	<i>Potentilla bifurca</i> Linn., <i>Saussurea arenaria</i> Maxim
Alpine meadow (AM)	38°25'15.2" 98°18'30.4" 3897 m	<i>Kobresia capillifolia</i> , <i>Carex moorcroftii</i>	<i>Glaux maritima</i> Linn., <i>Polygonum sibiricum</i> Laxm.	<i>Aster tataricus</i> L. f., <i>Polygonum sibiricum</i> Laxm.
Alpine swamp meadow (ASwM)	38°19'56.2" 98°13'35.1" 4043 m	<i>Kobresia pygmaea</i> , <i>Kobresia humilis</i>	<i>Carex atrofusca</i> Schkuh., <i>Glaux maritima</i> Linn.	<i>Polygonum sibiricum</i> Laxm., <i>Veronica didyma</i> Tenore.

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1 **Figure Legends**

2 **Figure 1.** a) Source region of Shule River Basin and its location in the Qinghai
3 Tibetan Plateau; The rectangles indicate the locations of auto-piloted flight (each with
4 12 way points), 1-4 indicate the location of field sampling on each type of grassland;
5 b)-e) show aerial photographs of 4 types of alpine grasslands (AS: alpine steppe;
6 AStM: alpine steppe meadow; AM: alpine meadow; and ASwM: alpine swamp
7 meadow) investigated in this study. Each photograph covers $\sim 35\text{ m} \times 26\text{ m}$ ground
8 area.

9 **Figure 2.** Seasonal variations of fractional vegetation cover over May 19-August 30,
10 2012 on alpine steppe, alpine steppe meadow and alpine meadow grasslands of Shule
11 River Basin.

12 **Figure 3.** a) Diagram of ground sampling and aerial photographing; b) aerial
13 photograph on one of 12 way points (solid black rectangles in a), each photo covers
14 $\sim 35\text{ m}$ by 26 m ground area, and was analyzed to have 4 parts, i.e. VP (vegetation
15 patch), BP (bald patch), NP (new pika pile) and OP (old pika pile); c) ground
16 sampling quadrat with 50 cm by 50 cm for vegetation cover, soil carbon and nitrogen
17 (open rectangles in a) with red for vegetation patch (d), black for bald patch (e), green
18 for new pika pile (f), and blue for old pika pile (g); and h) a circular plot with radius
19 of 14 m for counting pika piles and pikas.

20 **Figure 4.** A photo taken on ground (left) and three examples (white rectangles) of
21 green vegetation (green) classification (1-3 on the right).

22 **Figure 5.** An aerial photo and contours of vegetation patch (red curves, VP), bald
23 patch (yellow curves, BP), new pika pile (red rectangles, NP), old pika pile (black
24 rectangles, OP) and enlarged examples on the right for each type. Pink contour
25 indicates gravel.

26 **Figure 6.** Green fractional vegetation cover (GFVC; %; a) soil organic carbon density
27 (SOC; kg/m^2 ; b) and total soil nitrogen density (TN; kg/m^2 ; c) of vegetation patch
28 (VP), new pika pile (NP), old pika pile (OP) and bald patch (BP) at a quadrat scale of
29 four types of alpine grasslands (see Figure 1). Error bar indicates \pm standard deviation,

1 different letters above error bar indicate significant differences among surface types
2 ($p < 0.05$).

3 **Figure 7.** Area fraction (%) and number (ha^{-1}) of vegetation patch (VP), new pika pile
4 (NP), old pika pile (OP) and bald patch (BP) at a plot scale of four types of alpine
5 grasslands (see Figure 1). Error bar indicates \pm standard deviation, different letters
6 above error bar indicate significant differences between VP and BP or between NP
7 and OP ($p < 0.05$).

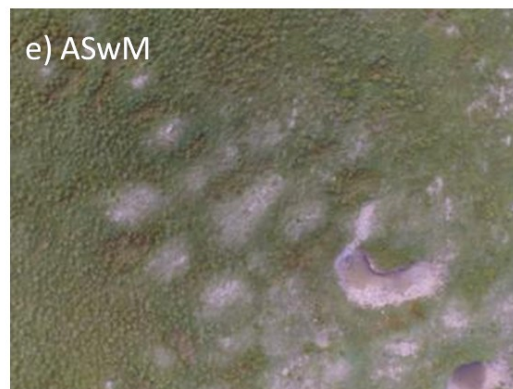
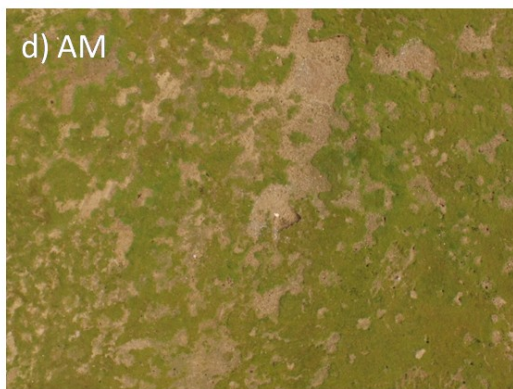
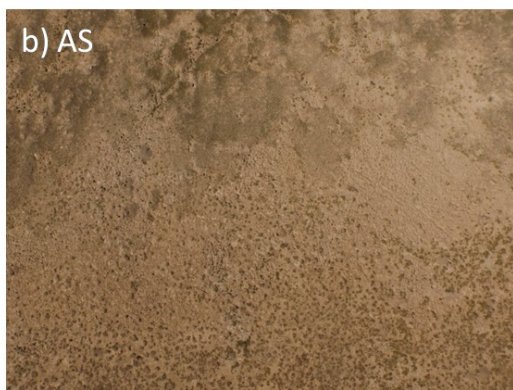
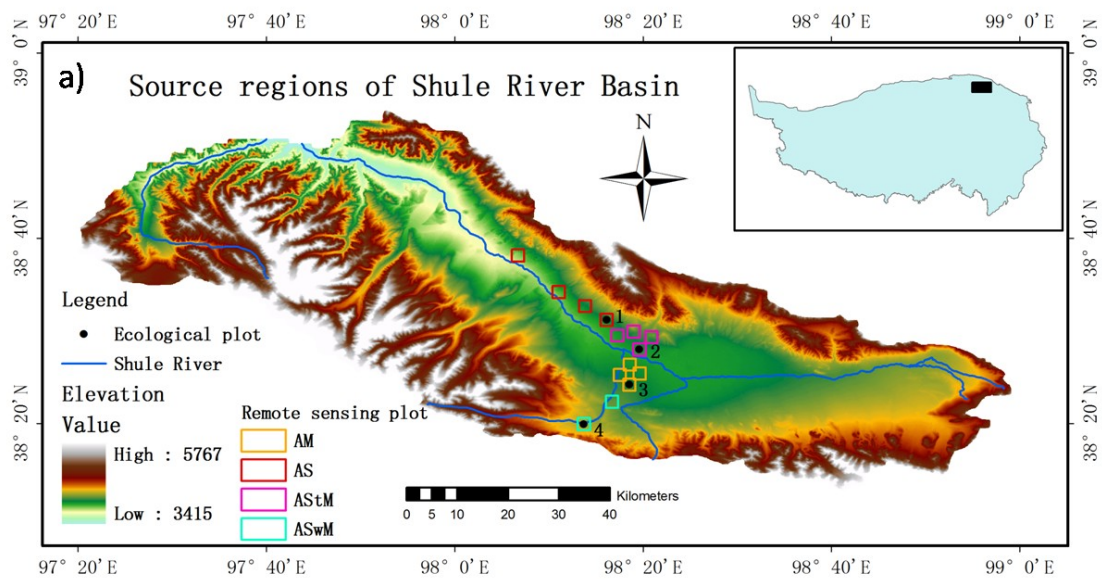
8 **Figure 8.** Effects of new pika pile (NP), old pika pile (OP) and bald patch (BP) on
9 reduction of fractional vegetation cover a), soil carbon density (SOC); b) and total
10 nitrogen (TN); c) on four types of alpine grasslands (see Figure 1). Error bar indicates
11 \pm standard deviation, different letters above error bar indicate significant differences
12 among different surface types ($p < 0.05$).

13 **Figure 9.** a) ratio between in-use burrow exits and total burrow exits (r_1); b) ratio
14 between number of pika and in-use burrow exits (r_2); c) number of pikas (ha^{-1}); and d)
15 effects of pika grazing on above ground biomass (%) on four types of alpine
16 grasslands (see Figure 1). Error bar indicates \pm standard deviation, different letters
17 above error bar indicate significant differences among different grassland types
18 ($p < 0.05$).

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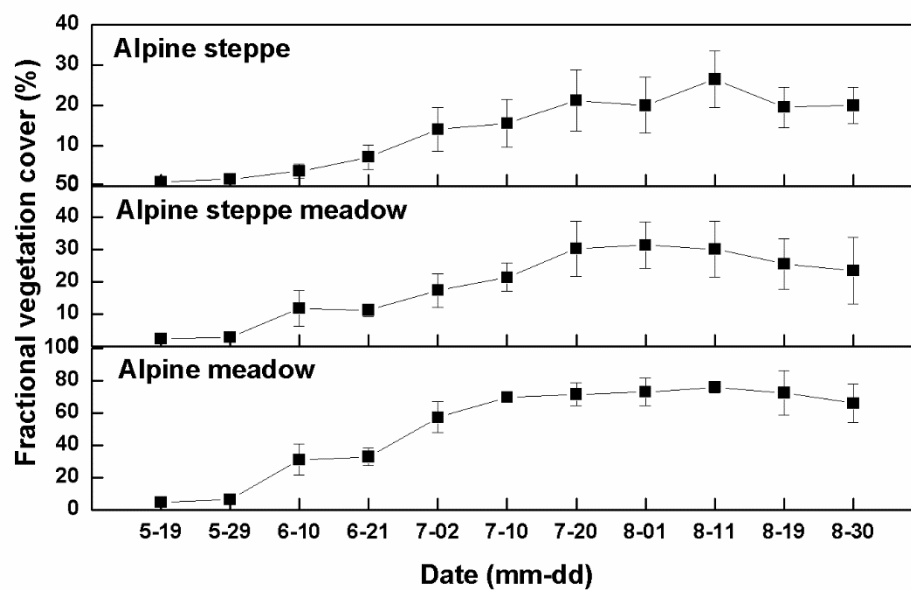
2 **Figure 1.**



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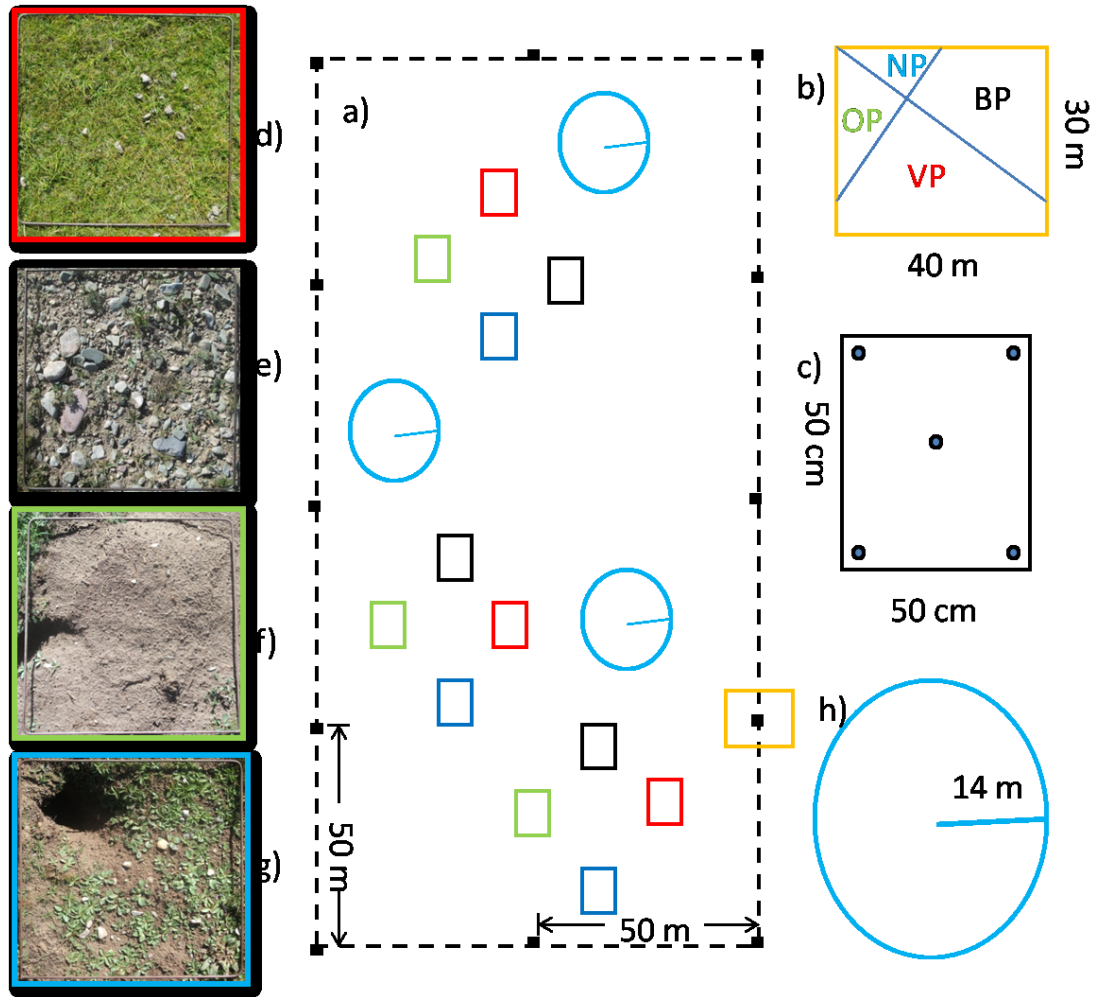
1 **Figure 2.**



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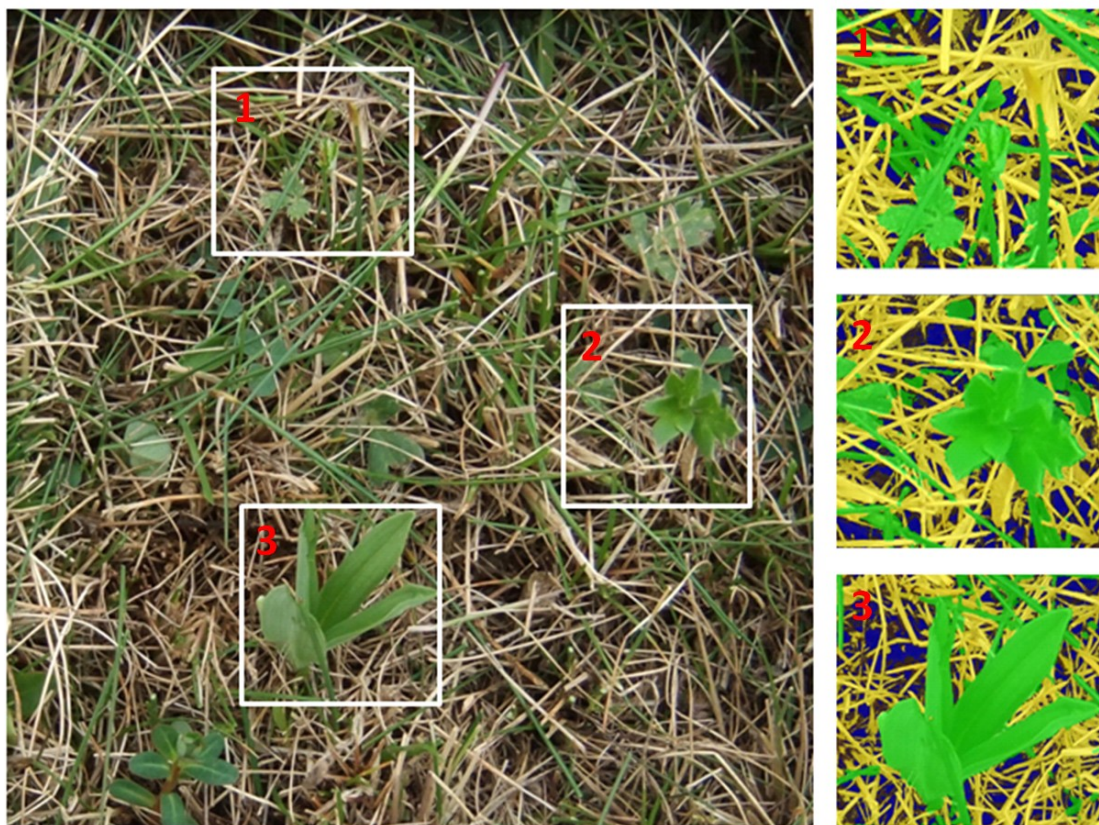
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1 **Figure 3.**



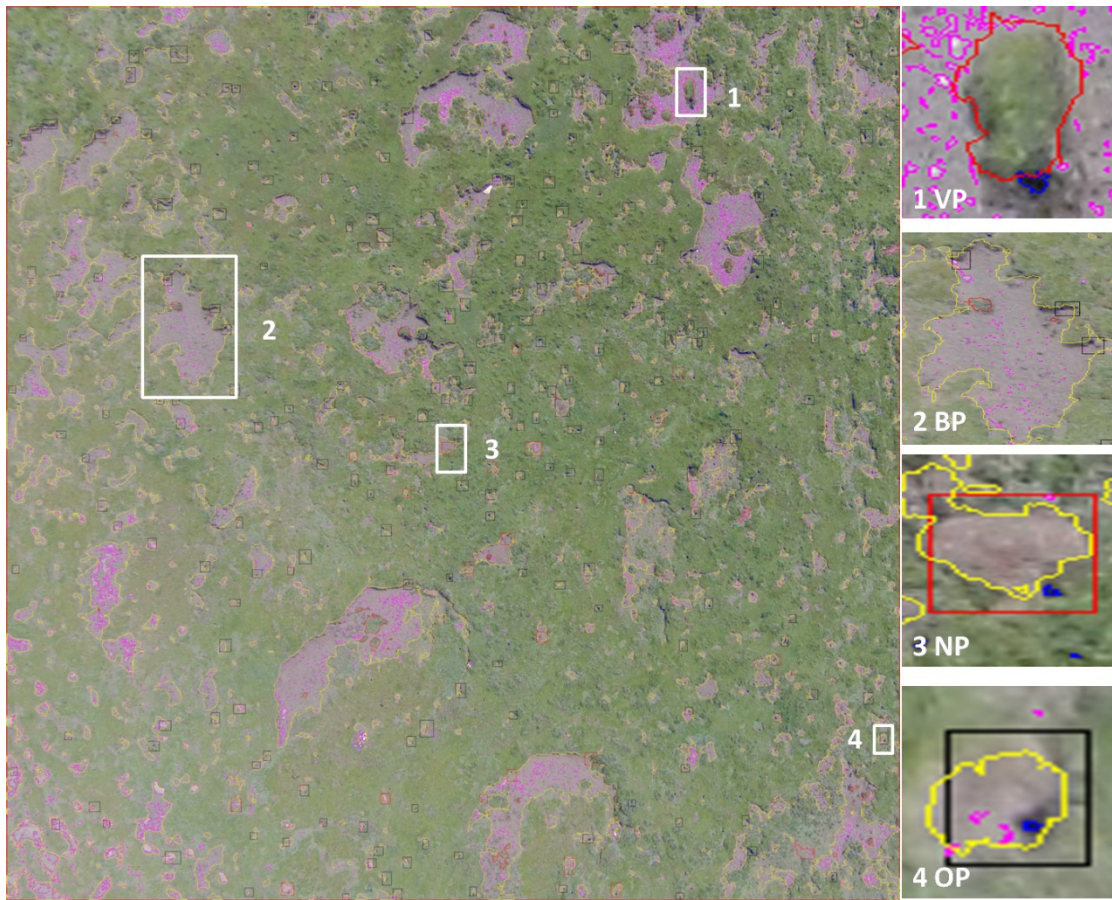
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1 **Figure 4.**



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1 **Figure 5.**

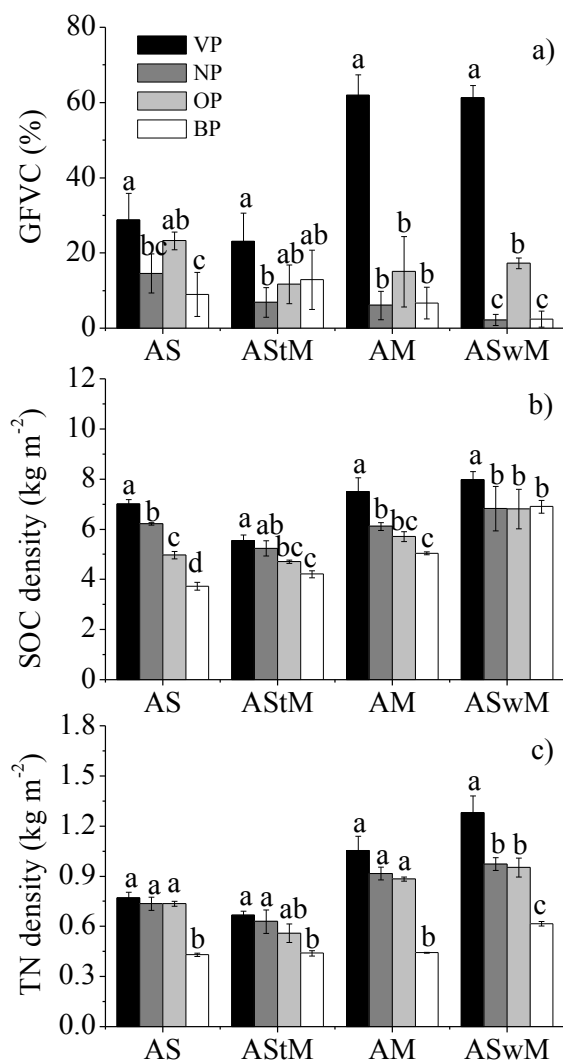


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1 **Figure 6.**



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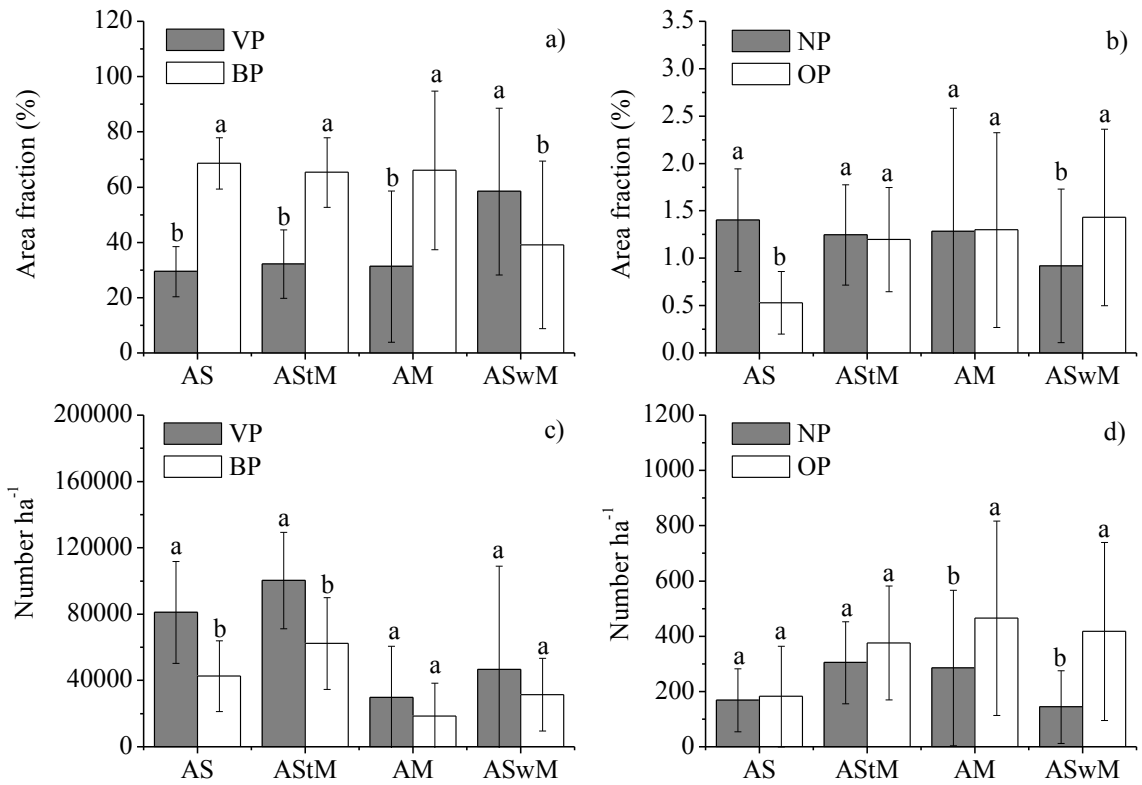
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1 **Figure 7.**

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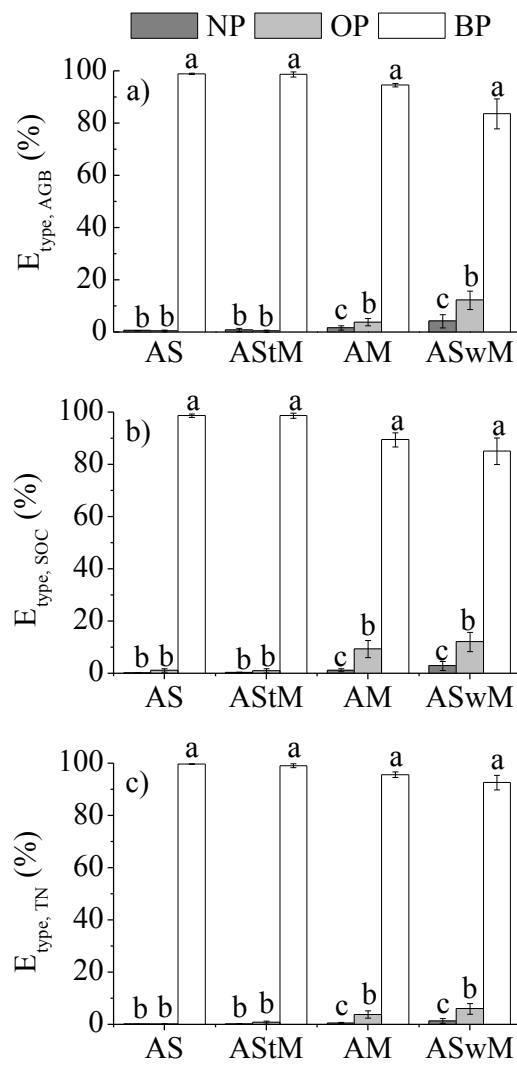
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1 **Figure 8.**



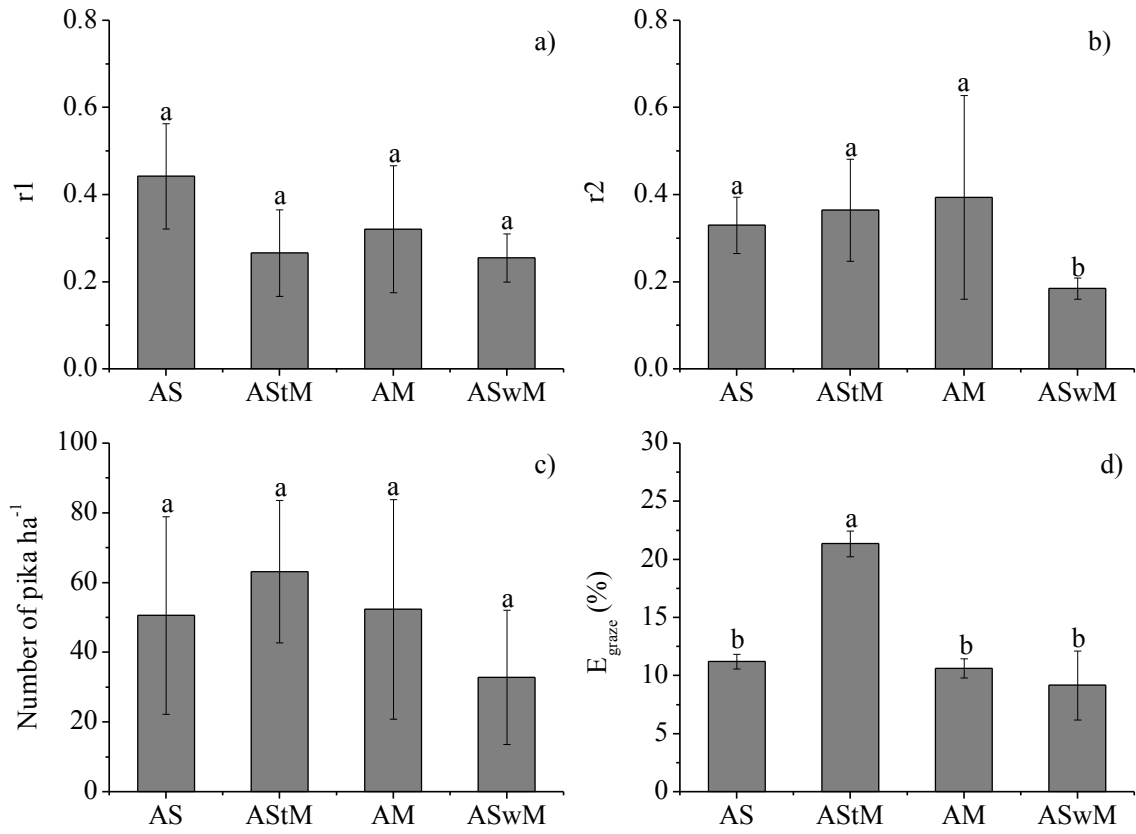
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1 **Figure 9.**

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