

# 1 Quantifying the missing link between forest albedo and productivity 2 in the boreal zone

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15 **Abstract.** Albedo and fraction of absorbed photosynthetically active radiation (FAPAR) determine the shortwave radiation  
16 balance and productivity of forests. Currently, the physical link between forest albedo and productivity is poorly understood,  
17 yet it is crucial for designing optimal forest management strategies for mitigating climate change. We investigated the  
18 relationships between boreal forest structure, albedo and FAPAR using radiative transfer model FRT and extensive forest  
19 inventory data sets ranging from southern boreal forests to the northern tree line in Finland and Alaska (N = 1086 plots). The  
20 forests in the study areas vary widely in structure, species composition, and human interference, from intensively managed in  
21 Finland to natural growth in Alaska. We show that FAPAR of tree canopies (FAPAR<sub>CAN</sub>) and albedo are tightly linked in  
22 boreal coniferous forests, but the relationship is weaker if the forest has broadleaved admixture, or if canopies have low leaf  
23 area and the composition of forest floor varies. Furthermore, the functional shape of the relationship between albedo and  
24 FAPAR<sub>CAN</sub> depends on the angular distribution of incoming solar irradiance. We also show that forest floor can contribute to  
25 over 50% of albedo or total ecosystem FAPAR. Based on our simulations, forest albedos can vary notably across the biome.  
26 Because of larger proportion of broadleaved trees, the studied plots in Alaska had higher albedo (0.141–0.184) than those in  
27 Finland (0.136–0.171) even though the albedo of pure coniferous forests was lower in Alaska. Our results reveal that  
28 variation in solar angle will need to be accounted for when evaluating climate effects of forest management in different  
29 latitudes. Furthermore, increasing the proportion of broadleaved trees in coniferous forests is the most important means of  
30 maximizing albedo without compromising productivity: based on our findings the potential of controlling forest density (i.e.,  
31 basal area) to increase albedo may be limited compared to the effect of favoring broadleaved species.

32

33 **Keywords:** FAPAR, conifer, broadleaved, radiative transfer, basal area, leaf area index, AGB, thinning

## 34 1 Introduction

35 Forest management practices, such as thinning and logging, alter the spatial, structural, and species composition of forests.  
36 Through an altered albedo and productivity, these management practices may cause profound impacts on climate. Because  
37 forest structure and species composition influence albedo, managing forests to increase albedo is a potential means of  
38 maximizing the climate cooling effects of forests (Bright et al., 2014; Alkama & Cescatti, 2016; Naudts et al., 2016).  
39 However, if forest management practices are altered in order to maximize albedo, productivity may be compromised, which  
40 would result in reduced carbon uptake as well as reduced timber production and corresponding economic losses. There is an  
41 urgent need to understand how forest management practices change forest albedo, and how forest albedo and productivity  
42 are interconnected.

43

44 Being the world's largest land-based biome, the boreal forest zone consists of vast forest areas under various human  
45 interference levels, from natural growth to intense silvicultural management. The biome plays an important role in  
46 controlling the global carbon and energy balances. It is estimated that the boreal forests comprise 32% of the total carbon in  
47 the world's forests, and account for a significant portion of the carbon uptake (Pan et al., 2011). In addition, the albedo of  
48 boreal forests varies considerably by forest structure, phenology, and snow cover (e.g., Ni & Woodcock, 2000; Kuusinen et  
49 al., 2012; Bright et al., 2013; Kuusinen et al., 2016).

50

51 Previous studies based on local in situ measurements, or remote sensing data for local to regional study areas have shown  
52 that boreal forest albedo is influenced by tree species, with broadleaved species rendering higher albedos than coniferous  
53 (Lukeš et al., 2013a, Kuusinen et al., 2014). Albedo of open areas or that of the forest floor is usually higher than in the  
54 canopy areas (Bright et al., 2014, Kuusinen et al., 2014), except for burned sites (Amiro et al., 2006). A declining trend in  
55 albedo with forest height or age has been observed for coniferous forests (Amiro et al., 2006; Kirschbaum et al., 2011; Bright  
56 et al., 2013; Kuusinen et al., 2016) and may be at least partly explained by the increasing leaf area index (LAI) and thus  
57 reduced contribution of the forest floor on albedo as the forests mature. Similarly, a declining trend in albedo with canopy  
58 density has been observed (Lukeš et al., 2013a).

59

60 Gross primary productivity of vegetation can be approximated by FAPAR, i.e. the fraction of PAR radiation (400–700 nm)  
61 absorbed by the vegetation canopy (Gobron & Verstraete, 2009), because photosynthesis is ultimately driven by the  
62 available solar energy. FAPAR is useful in monitoring and comparing productivity both spatially and temporally, especially  
63 in the absence of accurate growth and yield models, although it should be noted that productivity is affected also by light use  
64 efficiency (LUE) i.e. the efficiency by which plants convert the solar energy into photosynthesis products (Monteith, 1972).  
65 The main determinants of forest canopy FAPAR are leaf area index (LAI) and the directionality of incoming solar radiation  
66 (Majasalmi et al., 2014), because they determine the fraction of PAR radiation interceptable by the canopy. Similarly to

67 albedo, boreal forest FAPAR may differ by tree species (Roujean et al., 1999; Steinberg et al., 2006; Chasmer et al., 2008;  
68 Serbin et al., 2013; Majasalmi et al., 2015) and stand age (Serbin et al., 2013), as both species and age are likely to influence  
69 the LAI of the canopy.

70

71 Estimation methods set limits for the information that can be obtained on the spatial and temporal variation of albedo and  
72 FAPAR. In situ measurements are accurate and can be directly linked with field measured forest structure. On the other  
73 hand, they are extremely tedious and cannot cover large variations in forest structure. Satellite data provide ample coverage  
74 of varying forest structures and wide spatial extent but may compromise spatial resolution and detail in the characterization  
75 of forest structure. In addition, neither local albedo measurements nor satellite-based albedo products can explain the  
76 causality between small-scale environmental management scenarios and changes in albedo or FAPAR. Radiative transfer  
77 models offer a solution to these problems: forest radiative transfer models are a powerful tool for linking quantitative  
78 changes in vegetation structure to albedo or FAPAR for large geographical regions. The models are parameterized using  
79 mathematical descriptions of canopy structure (e.g., LAI, tree height, crown dimensions, stand density), optical properties of  
80 foliage and forest floor, and spectral and angular properties of incoming radiation. Using these models, the albedo and  
81 FAPAR of a forest can be calculated from readily measurable variables such as forest structure and leaf optical properties.

82

83 To our knowledge only one study has examined the relation between forest albedo and FAPAR (Lukeš et al., 2016). In that  
84 study, coarse resolution satellite products (MODIS) were used and one geographical area (Finland) was studied.  
85 Furthermore, previous studies on forest structure and albedo have mainly focused on local geographical scales (e.g. Finland,  
86 Norway, but see Kuusinen et al. (2013) for comparison between Finland and Canada). Comparison of the relationships  
87 between forest structure, albedo and FAPAR has not been performed across the biome, i.e. including both European and  
88 North American boreal forests which have very different natural structures and forest management scenarios. Due to the  
89 large north-south gradient and consequent structural diversity of forests in the boreal zone, the impact of forest management  
90 on albedo cannot be expected to be the same.

91

92 Here we report results from quantifying the links between boreal forest structure, albedo and FAPAR ranging from southern  
93 boreal forests to the northern tree line using detailed, large forest inventory data sets from Finland and Alaska (N = 1086  
94 plots). The forests in the study areas vary widely in structure, species composition, and human interference, from intensively  
95 managed (regularly thinned) forests in Finland to natural growth in Alaska. Using a radiative transfer modeling approach, we  
96 quantify the effects of forest structure and species composition on albedo and FAPAR in order to answer how forest  
97 management practices can be optimized for climate change mitigation. The significant benefit of the modeling approach is  
98 that it enables to study structurally varying forests over large geographical areas, without compromising detail in the forest  
99 structure representation or in the spatial resolution. Our study is therefore the first intercontinental study connecting albedo  
100 and productivity of boreal forests, using accurate ground reference data.

101   **2   Materials and methods**

102   **2.1   Study areas and field plots**

103   This study is based on 1086 field plots located in Alaska, USA, and in Finland, between Northern latitudes of 60° and 68°.  
104   At these latitudes, solar zenith angle (SZA) at solar noon at midsummer ranges from 37° to 45°, and the annual average from  
105   69° to 72°.

106  
107   The field plots in Alaska (N = 584) were permanent sample plots established as part of Co-operative Alaska Forest Inventory  
108   that aims at long-term monitoring of forest conditions and dynamics (Malone et al., 2009). The plots were scattered in  
109   interior and southcentral Alaska across a region of about 300 000 km<sup>2</sup>, from Fairbanks in the north to the Kenai Peninsula in  
110   the south (Fig. 1, for more details see Liang et al. (2015)). Some of the plots were measured more than once. We used only  
111   the most recent measurement of each plot. The plots in Finland (N = 502) were temporary or permanent sample plots. They  
112   were located at four separate sites: Hyttiälä (Majasalmi et al., 2015), Koli, Sodankylä, and Suonenjoki (Korhonen, 2011)  
113   ranging from southern to northern Finland (Fig. 1). Species-level attributes, including the number of stems per hectare, basal  
114   area, mean diameter at breast height, tree height, and length of living crown, were available for the plots. Basal area, the total  
115   cross-sectional area of stemwood (m<sup>2</sup> ha<sup>-1</sup>) at breast height (i.e. at 1.3 m or 1.37 m), is a common measure of stand density in  
116   forest inventories and, combined with information on tree height, used as an indicator of need for silvicultural thinning  
117   operations.

118  
119   Tree species in the Alaskan data were coniferous black spruce (*Picea mariana* (Mill.) B. S. P.) and white spruce (*Picea*  
120   *glauca* (Moench) Voss), and broadleaved quaking aspen (*Populus tremuloides* Michx.), black cottonwood or balsam poplar  
121   (*Populus trichocarpa* Torr. & Gray, *P. balsamifera* L.), Alaskan birch (*Betula neolaskana* Sarg.), and Kenai birch (*Betula*  
122   *kenaica* W.H. Evans). Tree species in the Finnish data were coniferous Scots pine (*Pinus sylvestris* L.) and Norway spruce  
123   (*Picea abies* (L.) H. Karst), and broadleaved species comprising mainly of silver and downy birch (*Betula pendula* Roth, *B.*  
124   *pubescens* Ehrh.). The birches accounted for 89% of the basal area of the broadleaved species in Finland. The forest  
125   variables in the study plots are shown in Table 1, for all plots and separately for plots dominated by one species. The  
126   Alaskan and Finnish forests differed in structure. The forests in Alaska were on average denser in terms of basal area (Fig.  
127   2), and contained larger proportion of broadleaved species than the Finnish forests (Table 1). Managed forests in Finland,  
128   which our plots mainly represent, are normally thinned 1–3 times during the rotation period so that coniferous species are  
129   favored. In our plots from Alaska, on the other hand, no thinnings were applied.

130  
131   The plots in Finland were classified into six site fertility classes in the field, according to a local site type classification  
132   system (Cajander, 1949). We re-classified the original number of six fertility classes into three: “xeric”, “mesic”, and “herb-  
133   rich”. The cover of grasses is highest in the herb-rich, and decreases towards the xeric type. The cover of lichens, on the

other hand, increases towards the xeric type (Hotanen et al., 2013). In the Alaskan plots no site fertility estimate was available but the cover of each species in the forest floor had been estimated. We labeled the plots as lichen- or grass dominated if either the cover of lichens or the total cover of herbs, grasses, rush, sedges, and fern was over 50%. The remaining plots were dominated by shrubs and mosses or were a mixture of all species groups. Hereafter we refer to these forest floor types as “grass”, “shrub/moss”, and “lichen”. Forest floor types did not differ notably between forests dominated by different tree species, except for Scots pine forests in Finland, which were often found in the xeric type and were almost nonexistent in the herb-rich type (Table 2).

## 2.2 Albedo and FAPAR simulations

### 2.2.1 Simulation model

We simulated albedo and FAPAR using a radiative transfer model called Forest Reflectance and Transmittance model FRT. It was originally published by Nilson and Peterson (1991) and later modified by Kuusk and Nilson (2000). FRT is a hybrid type model that combines geometric-optical and radiative transfer based sub-models for modeling the first- and higher-order scattering components, respectively. The model has been intercompared and validated within RAdiative transfer Model Intercomparison exercise (RAMI) several times, including validation of both reflected and transmitted fractions of radiation. The results from these tests are publicly available online (Joint Research Centre, 2016) and reported in peer-reviewed scientific papers (e.g., Widlowski et al., 2007). In this study, we used a version of FRT modified by Möttus et al. (2007). The advantage of FRT is that it can be parameterized using standard forest inventory data, utilizing the allometric relations of forest variables to foliage biomass and crown dimensions. This was important because field measurements of biophysical variables (e.g., LAI) are not commonly available, as was the case also in our study plots.

FRT simulates stand-level bidirectional reflectance and transmittance factors (BRF, BTF) of a forest at specified wavelengths. A 12×12 Gauss-Legendre cubature was used to integrate the simulated BRF and BTF values over the upper and lower hemispheres, respectively. This resulted in upward scattered and downwelling (directly transmitted or downward scattered) fractions of incoming radiation. The former is observed on top of, and the latter below the tree canopy. These fractions were then used to calculate the shortwave broadband albedo and FAPAR. The simulations were carried out at 5 nm resolution, and the albedo simulations covered a spectral region of 400–2100 nm which corresponds to the region from which input data was available (see Section 2.2.2). The wavelengths below 400 nm account for 8%, and wavelengths over 2100 nm account for 2% of the solar irradiance on top of the atmosphere (Thuillier et al., 2003).

The shortwave albedo was obtained as a weighted sum of the spectral albedos, i.e. upward scattered fractions of incoming radiation ( $f_i$  - ):

$$albedo = \sum_{\lambda=400}^{2100} w_{\lambda} \times f_{\lambda}^{-} , \quad (1)$$

167

168 The canopy and total FAPAR (FAPAR<sub>CAN</sub>, FAPAR<sub>TOT</sub>) were obtained as weighted sums of canopy absorption ( $a_{\lambda}^C$ ) and  
 169 total absorption ( $a_{\lambda}^T$ ) over the PAR region:

170

$$FAPAR_{CAN} = \sum_{\lambda=400}^{700} w_{\lambda} \times a_{\lambda}^C , \quad (2)$$

172

$$FAPAR_{TOT} = \sum_{\lambda=400}^{700} w_{\lambda} \times a_{\lambda}^T , \quad (3)$$

174

175

176 The weights ( $w_{\lambda}$ ) were obtained from the solar irradiance spectrum. Solar irradiance values ( $W\ m^{-2}$ ) were scaled by dividing  
 177 them with the total solar irradiance within the spectral region used (i.e., 400–2100 or 400–700 nm). The weights were thus  
 178 unitless and summed up to unity. The canopy and total absorptions needed for FAPAR determination were obtained using  
 179 upward scattered ( $f_{\lambda}^{-}$ ) and downwelling ( $f_{\lambda}^{-}$ ) fractions of incoming radiation, and the reflectance factor of the forest  
 180 floor ( $r_G$ ) as follows:

181

$$a_{\lambda}^C = 1 - f_{\lambda}^{-} - f_{\lambda}^{-} + r_G \times f_{\lambda}^{-} , \quad (4)$$

183

$$a_{\lambda}^T = 1 - f_{\lambda}^{-} , \quad (5)$$

185

186 FAPAR<sub>TOT</sub> and FAPAR<sub>CAN</sub> were calculated separately, because the former is a measure of total ecosystem productivity  
 187 whereas the latter is more closely linked with timber production. Our FAPAR<sub>CAN</sub> and FAPAR<sub>TOT</sub> do not separate green  
 188 biomass from woody or dead branches or from litter on the ground, and the values therefore represent upper limits of  
 189 available solar energy for photosynthesis in tree canopy, and in the ecosystem as a whole. Green biomass could not be  
 190 separated, because no measurements on fraction of branch area to leaf area were made in the study plots. The same applies to  
 191 the cover of litter on the forest floor which was available for some of the field plots but not for all of them. It should also be  
 192 noted that open soils are rarely seen in boreal forests where the floor is covered by (at least) green mosses.

193

194 The simulations were carried out assuming direct illumination only (“black-sky”) and completely isotropic diffuse  
195 illumination (“white-sky”). Black sky albedo is not dependent on assumptions of atmospheric scattering properties, and is  
196 commonly used as input in climate modeling (Schaaf et al., 2009). The white-sky case was included in order to represent a  
197 realistic diffuse illumination scenario, i.e. cloudy days. The black-sky albedo and FAPAR were simulated for five SZAs  
198 typical for the study areas: 40°, 50°, 60°, 70°, and 80°. We use terms “small SZA” and “large SZA” to refer to SZAs of 40°–  
199 50° and 70°–80°, respectively.

200

201 In both black- and white-sky simulations, we used a top-of-atmosphere irradiance spectrum (Thuillier et al., 2003) as  
202 weights, because the focus was on analysing the effects of forest structure, and we wanted to avoid introducing any  
203 differences between the study areas due to imperfect parameterization of the atmosphere. However, in order to demonstrate  
204 what would be the effect of atmosphere on our results, we applied a simple solar spectral model (Bird and Riordan, 1986) for  
205 generating direct and diffuse components of at-ground solar irradiance spectrum. The direct and diffuse components were  
206 then used to weight the spectral fluxes ( $f_{\lambda}^{\text{dir}}$ ,  $f_{\lambda}^{\text{diff}}$ ) simulated under direct and diffuse illumination, respectively. The  
207 simulated actual (blue-) sky albedo and FAPAR were highly correlated ( $r \geq 0.98$ ) with black-sky ones, but blue-sky albedo  
208 was higher than black-sky albedo when SZA was 70° or 80°. This is because scattering in the atmosphere increases as  
209 function of SZA. Atmosphere scatters visible more effectively than infrared wavelengths, shifting the irradiance distribution  
210 of incoming solar radiation towards longer wavelengths in which vegetation is more reflective. Because of high correlation  
211 between black- and blue-sky results, we conclude that inclusion of atmosphere in the calculations would not significantly  
212 change our conclusions, although would increase the simulated albedo values at large SZAs.

213

## 214 2.2.2 Model parameters

215 Tree crowns are represented in the FRT model by geometric primitives (cylinders, cones, ellipsoids, or combinations of  
216 them). The foliage within a crown is assumed to be homogeneously distributed. The area volume density (area per unit  
217 crown volume) of the foliage depends on the crown dimensions and on the foliage area per tree. Several tree classes can be  
218 defined to represent different tree species or size classes. We used one class for each tree species but did not model size  
219 variation within-species. In theory, a forest with trees of very different sizes would have a higher canopy surface roughness,  
220 which could in turn lead to somewhat lower reflectance (albedo) values (Davidson and Wang, 2004). There were no field  
221 measurements made on tree size distribution in our data from Finland, and we wanted to maintain the same calculation  
222 procedure for both study areas, in order not to introduce any differences due to data processing steps. Because the maximum  
223 number of species was seven in the Alaskan data, there was a maximum of seven tree classes per plot. We assumed ellipsoid  
224 crown shape. The effect of crown shape on simulated forest BRDF was quantified in Rautiainen et al. (2004) who showed  
225 that increasing the crown volume may either increase or decrease the simulated reflectance values, depending on canopy



closure. Ellipsoid has been shown to estimate crown volume accurately (Rautiainen et al., 2008) and was therefore used in our study. Crown length was obtained from field measurements, and the crown radius was modeled using species-specific allometric equations that require stem diameter as independent variable (Jakobsons, 1970; Bragg, 2001). Leaf dry biomass was estimated with species-specific biomass equations (Repola, 2008; Repola, 2009; Yarie et al., 2007) and converted into hemisurface i.e. half of total leaf area, using leaf mass per area (LMA) values from literature (Table 3). The performance of wide range of crown radius and foliage mass models in forming the input of FRT has been reported by Lang et al. (2007). The models used in our study were chosen based on geographical proximity to our study areas, and also on model availability, particularly for the Alaskan species for which there existed a limited number of models. A slightly regular spatial distribution pattern of trees was assumed, i.e. a value of 1.2 for the tree distribution parameter (a value of 1 indicates Poisson distribution, Nilson, 1999). Other structural parameters needed in FRT simulations are presented in Table 3.

Optical properties i.e. reflectance and transmittance of the leaves and needles were obtained from laboratory spectrometer measurements. The data for Finnish species were from Hyytiälä, Finland (Lukeš et al., 2013b). Spectra of birch were used for all broadleaved species. The data for Alaskan species were from Superior National Forest, Minnesota, USA (Hall et al., 1996). Data for all species could not be found separately, and therefore spectra of black spruce were used for both black and white spruce, spectra of paper birch (*Betula papyrifera* Marsh.) were used for both birch species, and spectra of quaking aspen were used for both quaking aspen and for the black cottonwood/balsam poplar group. Reflectance spectra of black and white spruce needles have been found to be similar at least in the visible and near-infrared wavelengths (Richardson et al., 2003). In our data, the spectra of coniferous species did not differ notably from each other (Fig. 3a). The same applied to broadleaved species. Bark spectra for spruces and *Populus* sp. in Alaska were obtained from Hall et al. (1996), and for Scots pine and Norway spruce in Finland from Lang et al. (2002) (Fig. 3b). Spectra of birch from Lang et al. (2002) were used for birches in Alaska and for broadleaved species in Finland.

We used the annual shoot as a basic scattering element for conifers, similarly as in Lukeš et al. (2013a). This accounts for the multiple scattering within shoot which results in the shoot albedo being lower than needle albedo. Shoot reflectance and transmittance spectra were obtained by upscaling the needle single scattering albedo to shoot albedo (Rautiainen et al., 2012), assuming that the reflectance to transmittance ratio of a shoot is equal to that of a needle. Bi-Lambertian scattering properties of the scattering elements (leaves or shoots) were assumed.

Optical properties of the forest floor, i.e. reflectance factors at nadir view were obtained from field spectrometer measurements. The data were collected from Poker Flat Research Range Black Spruce Forest, Alaska (measurements described in Yang et al. (2014)), and from Hyytiälä, Finland (using similar methodology as in Rautiainen et al. (2011)). Separate spectra for each forest floor type was used (Fig. 3c), because characteristics of the forest floor may influence the forest reflectance and therefore also albedo (Rautiainen et al., 2007). Forest floor composition was assumed to be

independent of overstory density. Taking into account this dependence would have required quantitative data on forest floor composition and spectral data on all of the forest floor components, which were not available. Analysis of a subset of plots that had measurements of vegetation cover in the forest floor revealed that the cover of green vegetation in the forest floor was only weakly correlated with the canopy closure of the overstory (Alaska  $r = -0.27$ ; Hyttiälä (Finland)  $r = -0.33$ ).

## **2.3 Data analyses**

### **2.3.1 Albedo, FAPAR, and forest structure**

We analyzed albedo and FAPAR ( $FAPAR_{CAN}$ ,  $FAPAR_{TOT}$ ) against each other, and against the forest variables. The analyses were performed separately for Alaskan and Finnish data, and repeated for all simulated solar illumination conditions. Because of the strong emphasis on forest management, main focus of the analysis was on tree species and tree height which are usually measured as part of forest inventories. In addition, we analyzed albedo and FAPAR against effective leaf area index ( $LAI_{eff}$ ) and above ground biomass (AGB).  $LAI_{eff}$  is calculated by FRT, and corresponds to the LAI of a horizontally homogeneous, optically turbid canopy that has exactly the same transmittance (gap probability) as the canopy under examination. AGB was calculated with individual-tree allometric equations (Repola, 2008; Repola, 2009; Yarie et al., 2007), similarly as the foliage biomass.

In the next phase, all simulations were repeated assuming black soil (i.e., a totally absorbing background), in order to better explain the dependencies of albedo on tree height and illumination conditions as well as to explain the differences of albedo between Alaskan and Finnish forests. The albedo obtained in black soil simulation represents the plain canopy albedo without the contribution of forest floor vegetation. We refer to this as “canopy contribution”. Correspondingly, the contribution of forest floor can be calculated by subtracting the canopy contribution from the albedo obtained when assuming a vegetated forest floor. We refer to this as “forest floor contribution”. Canopy and forest floor contributions can be expressed as absolute values or relative values which sum up to 100%. For comparison with the results regarding albedo, the forest floor contribution to total ecosystem FAPAR was also calculated, by subtracting  $FAPAR_{CAN}$  from  $FAPAR_{TOT}$ .

We report the relationships of albedo and FAPAR against forest structure in Sect. 3.1. Results of these experiments are needed for understanding the relations between albedo and FAPAR, which we report in Sect. 3.2.

### **2.3.2 Relative importance of density and tree species**

To examine the relative importance of density and species composition, we analyzed albedo and  $FAPAR_{CAN}$  against basal area and the proportion of broadleaved trees. The analyses were performed separately for Alaska and Finland, and repeated for all simulated solar illumination conditions. We excluded all plots with tree height less than 10 m from the analyses in order to evaluate the effect of basal area independent of tree height. This was done based on the following reasoning. Basal

area was correlated with tree height when studying all plots ( $r = 0.61$  (Alaska),  $r = 0.64$  (Finland)). Preliminary analysis was performed by successively removing plots with smallest trees and each time checking the correlation between height and basal area. The correlation was reduced until a height threshold of 10 m ( $r = 0.40$  (Alaska),  $r = 0.34$  (Finland)) (cf. Fig. 2). Therefore, the 10 m threshold was used to exclude the smallest trees from our analyses. Analysis of albedo and FAPAR against basal area in this restricted set of plots gives an approximation of how thinnings would affect albedo and FAPAR<sub>CAN</sub> although in reality thinning a stand affects not only the basal area but also the spatial pattern and size distribution of trees.

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Mean and standard deviation (SD) of albedo and FAPAR<sub>CAN</sub> in conifer-dominated forests were calculated for ten equally spaced classes with respect to basal area. The center of the lowest class corresponded to the 5th and that of the highest class to the 95th percentile of basal area in the data. To examine the effect of broadleaved proportion, mean and SD of albedo and FAPAR<sub>CAN</sub> were calculated for ten equally spaced classes with respect to proportion of broadleaved trees, i.e. the broadleaved proportions ranging from 0–10% to 90–100%. The analysis was repeated for sparse (basal area percentiles from 0th to 30th) and dense forest (basal area percentiles from 70th to 100th). We hypothesized that the proportion of broadleaved trees would have smaller effect on albedo in sparse than in dense forest, because the forest floor has more significant role in the sparse canopies. Results regarding the analysis of basal area and proportion broadleaved trees are reported in Sect 3.3.

## 3 Results

### 3.1 Albedo, FAPAR, and forest structure

Mean albedo of study plots in Alaska (0.141–0.184) was higher than in Finland (0.136–0.171). In general, the albedo of broadleaved species was 42–130% higher than that of coniferous (Table 4). However, albedo varied greatly even among coniferous species: in Alaska, the albedo of black spruce was 19–33% higher than that of white spruce, and in Finland, the albedo of Scots pine forests was 20–31% higher than that of Norway spruce. Overall, the mean albedo of coniferous species was 28–32% higher in Finland (0.131–0.161) than in Alaska (0.102–0.122). The mean albedos of broadleaved species in Alaska did not differ significantly from each other ( $p > 0.05$  in ANOVA), except in the white-sky case. Therefore the broadleaved species were treated as one group hereafter. Increasing the SZA increased the black-sky albedos of all species (Table 4).

316

The forest canopies in Alaska absorbed more PAR radiation than in Finland: mean FAPAR<sub>CAN</sub> in Alaska was 0.71–0.92 and in Finland 0.63–0.89. At the smallest SZA (40°) in black-sky simulations, FAPAR<sub>CAN</sub> was highest for broadleaved species in Alaska, followed by Norway spruce in Finland, white spruce in Alaska, and broadleaved in Finland (Table 4). Scots pine in Finland and black spruce in Alaska had lowest FAPAR<sub>CAN</sub> among the species. The mean FAPAR<sub>CAN</sub> of broadleaved species in Alaska did not differ significantly from each other in any of the simulated illumination conditions ( $p > 0.05$  in ANOVA). Increasing the SZA increased FAPAR<sub>CAN</sub> of all species and also reduced the differences between species. The relative

323 increase was smaller for broadleaved than for coniferous species. Therefore, the order of species in  $FAPAR_{CAN}$  was different  
324 at small and large SZAs (Table 4).  $FAPAR_{TOT}$ , an approximation of total ecosystem productivity, ranged from 0.93 to 0.98  
325 and did not depend strongly on direction of illumination.  $FAPAR_{TOT}$  of coniferous forests was higher than that of  
326 broadleaved but the differences were not large in relative terms because  $FAPAR_{TOT}$  was consistently high.

327

328 White-sky albedo corresponded best with black-sky albedo observed at SZA of  $60^\circ$  ( $r = 0.97$ ,  $RMSE = 0.011$ , mean  
329 difference =  $-0.001$ ). It correlated strongly also with black-sky albedos observed at other SZAs ( $r \geq 0.93$ ). White-sky  
330  $FAPAR_{CAN}$  corresponded best with black-sky  $FAPAR_{CAN}$  observed at SZA of  $40^\circ$  ( $r = 1.00$ ,  $RMSE = 0.04$ , mean difference  
331 =  $0.03$ ) and very closely also with those observed at SZAs of  $50^\circ$  and  $60^\circ$ . On the other hand, it deviated notably from the  
332 black-sky  $FAPAR_{CAN}$  observed at SZAs of  $70^\circ$  and  $80^\circ$ . Because white-sky albedo and FAPAR were highly correlated with  
333 their black-sky counterparts observed at small to moderate SZAs, we report the results hereafter for black-sky conditions  
334 only, except for contribution of forest floor (Table 5) that is presented also for white-sky case, in order to maintain  
335 comparability with results presented in Table 4.

336

337 Albedo decreased with increasing tree height in coniferous forests (Fig. 4). The decrease was most rapid at small tree heights  
338 and saturated after the height reached approximately 10 m. When SZA increased, the difference in albedo between short and  
339 tall forests became smaller (compare Fig. 4a,b to Fig. 4c,d). The albedo of broadleaved forests was similar for all tree heights  
340 at the smallest SZA ( $40^\circ$ ). At large SZAs, however, there was an initial rapid increase in albedo for broadleaved forests with  
341 small trees (Fig. 4d), after which the albedo remained stable. AGB was correlated with tree height ( $r = 0.72\text{--}0.78$ ) and the  
342 albedo responded to AGB with a similar saturating trend as in the case of tree height (Fig. 4e,f).

343

344  $FAPAR_{CAN}$  initially increased with increasing tree height, but saturated at large tree heights (Fig. 5). The saturation was  
345 reached earlier and the maximum level of  $FAPAR_{CAN}$  was higher at large SZAs. Similar saturating trends and SZA  
346 dependencies were observed also against AGB although there was less variation in the y direction (Fig. 5e,f).  $FAPAR_{TOT}$   
347 increased as function of tree height in coniferous forests, and was stable in broadleaved forests (Fig. 6). However, the  
348 variation in  $FAPAR_{TOT}$  with tree height was small (values ranging from 0.93 to 0.98).

349

350 The average contribution of forest floor to total forest albedo depended on tree species and ranged from 4% to 53% (Table  
351 5). It was largest at small SZAs and for tree species that had low  $LAI_{eff}$  (see  $LAI_{eff}$  values in Table 1). Forest floor  
352 contribution decreased as a function of tree height (Fig. 7). The relation was even tighter when the forest floor contribution  
353 was analyzed against  $LAI_{eff}$  (not shown). This is logical because  $LAI_{eff}$  is more directly linked with canopy transmittance  
354 than is tree height. Increasing the SZA increased the canopy contribution in all plots. This caused the albedo to increase as a  
355 function of SZA. Only a few sparse canopies (low  $LAI_{eff}$ ) were an exception. In these plots, an increase in SZA reduced the  
356 forest floor contribution more than it increased the canopy contribution. Results regarding contribution of forest floor to total

ecosystem FAPAR were similar as those observed for albedo, i.e. there were differences between tree species and decreasing trends with increasing SZA (Table 5).

The differences in albedos between coniferous species, i.e. black spruce vs. white spruce, and Scots pine vs. Norway spruce, were almost non-existent when comparing albedos obtained in black soil simulations (Table 5). This indicates that at least some of the differences in albedos between coniferous species are explained by the varying forest floor contribution between species. However, the differences in albedos between coniferous forests of Finland and Alaska remained, indicating that other factors than forest floor influenced the species differences between the study areas.

FAPAR<sub>CAN</sub> varied notably more than albedo when comparing forests of same height, particularly at small SZAs (Fig. 4, Fig. 5). This can be explained by the link of FAPAR<sub>CAN</sub> with canopy interception. Interception was tightly related with LAI<sub>eff</sub> (not shown), and it determined FAPAR<sub>CAN</sub> almost directly, because the foliage absorbed strongly at PAR wavelengths (Fig. 3a) and therefore the multiple scattering was negligible. LAI<sub>eff</sub>, in turn, varied considerably between forests of same height. The outliers (tall trees, low FAPAR<sub>CAN</sub>) in Fig. 5d were plots that had only few trees and therefore very low LAI<sub>eff</sub>. Similarly, Scots pine had lower FAPAR<sub>CAN</sub> compared to other species with same height (Fig. 5d). Further examination revealed that Scots pine had short crowns and therefore low LAI<sub>eff</sub>, although the leaf area per unit crown volume did not differ from the other coniferous species. The strong link between FAPAR<sub>CAN</sub> and LAI<sub>eff</sub> explained also the observed species- and SZA dependencies of FAPAR<sub>CAN</sub>. At the lowest SZA (40°) the species-specific FAPAR<sub>CAN</sub> (Table 4) was strongly correlated with species-specific LAI<sub>eff</sub> (Table 1) ( $r = 0.93$ ). At large SZAs the canopy interception approached 100% at almost all LAI<sub>eff</sub> values (cf. Fig. 5c,d) and FAPAR<sub>CAN</sub> was therefore mainly determined by the absorption of the foliage at PAR wavelengths. Leaves of broadleaved trees absorbed less than conifer needles, which explains why FAPAR<sub>CAN</sub> of broadleaved species did not increase as rapidly as a function of SZA as did FAPAR<sub>CAN</sub> of coniferous species (Table 4).

### 3.2 Relation of albedo to FAPAR

FAPAR<sub>CAN</sub> was negatively correlated with albedo in conifer dominated forests (Fig. 8). The correlation was strongest at the smallest SZA ( $r = -0.91$ ,  $r = -0.90$ ) and weakest at the largest SZA ( $r = -0.63$ ,  $r = -0.59$ ). When including mixed plots and the plots dominated by broadleaved trees, correlation of FAPAR<sub>CAN</sub> to albedo varied from almost non-existent in Alaska ( $r$  ranging from -0.17 to 0.07) to moderate in Finland ( $r$  ranging from -0.62 to -0.30). The higher correlation in Finland can be explained by the small number of broadleaved dominated forests in our data from Finland. In addition to the proportion of broadleaved trees, variation in forest floor characteristics influenced the albedo-FAPAR<sub>CAN</sub> relations by altering the albedo values (Fig. 8). The effect of forest floor was seen in relatively sparse canopies only. For example, at SZA of 40° the effect of forest floor on albedo started to show at FAPAR<sub>CAN</sub> values below 0.5 (Fig. 8). Remembering that FAPAR<sub>CAN</sub> was tightly related to LAI<sub>eff</sub>, this value corresponds LAI<sub>eff</sub> of approx. 1. FAPAR<sub>TOT</sub> was strongly and negatively correlated with albedo ( $r$

389 ranging from -0.97 to -0.88). The only plots that deviated from this otherwise strong relation were those Scots pine plots that  
390 had low FAPAR<sub>TOT</sub> and xeric forest floor.

391 **3.3 Relative importance of density and tree species**

392 The variation in density of forests was larger in Alaska than in Finland; the 5<sup>th</sup> and 95<sup>th</sup> percentiles of basal area were 8 and  
393 43 m<sup>2</sup> ha<sup>-1</sup> in Alaska, and 10 and 34 m<sup>2</sup> ha<sup>-1</sup> in Finland. In both study areas, decrease in basal area resulted in higher albedo  
394 but lower FAPAR<sub>CAN</sub>. At the smallest SZA (40°) the decrease in basal area from its 95<sup>th</sup> to 5<sup>th</sup> percentile resulted in increase  
395 of albedo by 36% in Alaska and by 21% in Finland (Fig. 9). Correspondingly, FAPAR<sub>CAN</sub> decreased by 48% in Alaska and  
396 by 44% in Finland. When SZA increased, the response of FAPAR<sub>CAN</sub> to basal area became weaker. For example, at SZA of  
397 70° the basal area could be reduced to approx. 20 m<sup>2</sup> ha<sup>-1</sup> with equal relative changes in albedo and FAPAR<sub>CAN</sub> (Fig. 9b). At  
398 the largest SZA (80°) both albedo and FAPAR<sub>CAN</sub> varied very little (max. 6%) between the 5<sup>th</sup> and 95<sup>th</sup> basal area  
399 percentiles. In other words, the effect of basal area depended strongly on SZA. However, the relative decrease of FAPAR<sub>CAN</sub>  
400 with decreasing basal area was always larger than or equal to the relative increase in albedo.

401  
402 Increasing the proportion of broadleaved trees increased the albedos considerably more than did reduction in basal area (Fig.  
403 9c,d). The effect of broadleaved trees was slightly smaller in sparse than in dense forests. For example, at SZA of 40°,  
404 increasing the broadleaved proportion from 0–10% to 90–100% resulted in relative increase of albedo by 130% (in Alaska)  
405 and 80% (in Finland) in forests with high basal area (i.e., basal area percentiles from 70th to 100th). In forests with low basal  
406 area (i.e., basal area percentiles from 0th to 30th) the corresponding figures were 112% (Alaska) and 71% (Finland). The  
407 smaller relative increase in Finland is explained by the higher albedo of Finnish coniferous forests, because the albedos of  
408 broadleaved species did not differ between Alaska and Finland. FAPAR<sub>CAN</sub> was almost independent on the proportion of  
409 broadleaved trees, except for large SZAs where FAPAR<sub>CAN</sub> tended to decrease slightly when broadleaved proportion  
410 increased (Fig. 9d). This is explained by the fact that at large SZAs FAPAR<sub>CAN</sub> was mainly determined by the absorption of  
411 canopy elements, and the absorption was lower for broadleaved than for coniferous trees.

412 **4 Discussion**

413 Despite recent studies published on the relationships between albedo and boreal forest structure, and despite the widespread  
414 use of FAPAR to monitor vegetation productivity, the physical link between forest albedo and productivity has been poorly  
415 understood. To our knowledge, the relationship between these two quantities has not been quantified earlier for an extensive  
416 geographical area. Another gap in the discussion has been the role of latitude: solar paths vary across the biome, and  
417 therefore, need to be taken into account before making any generalizations on how altering forest structure through  
418 silvicultural operations can be used to influence albedo (and furthermore, climate).

419

Our results show that albedo and  $FAPAR_{CAN}$  are tightly linked in boreal coniferous forests. The prerequisites for this are that there is only a limited proportion of broadleaved trees present in the forest and that the tree canopy is not very sparse (i.e. LAI is not very low). The explanation for the tight connection between albedo and  $FAPAR_{CAN}$  is that they respond with opposite trends to forest structural variables. However, the shapes of these trends depended on directional characteristics of the incoming solar radiation which was also reflected in the albedo vs.  $FAPAR_{CAN}$  relations. This underlines the importance of taking into account latitude and season (i.e. solar angle) when evaluating climate impacts of forests even within one biome.  $FAPAR_{TOT}$  was also tightly linked with albedo. Because  $FAPAR_{TOT}$  equals one minus PAR albedo, this finding indicates that PAR albedo and shortwave albedo of vegetation are correlated. However, the overall variation in  $FAPAR_{TOT}$  was small in magnitude. Our results differ slightly from those observed by Lukeš et al. (2016) who compared satellite-based (MODIS) albedo and FAPAR in Finland and observed much weaker (but still negative) correlation between these quantities. The spatial resolution in their study ( $1 \times 1$  km) was coarser than in our study, and the FAPAR definition differed: MODIS FAPAR is defined as PAR absorbed by green elements of vegetation canopy, both trees and understory included. In addition, Lukeš et al. (2016) did not separate coniferous and broadleaved trees, although this effect is likely minor since the proportion of broadleaved trees is on average low in Finland. Finally, simulation model used here, although parameterized by field observations, cannot capture all the variability in real forests, and on the other hand, satellite products are likely to include observation and modelling errors that increase the noise in the data.

436

The responses of albedo to tree species and forest structure were similar across the biome in Alaska and Finland. This corroborates findings in previous, local studies (Amiro et al., 2006; Bright et al., 2013; Lukeš et al., 2014; Kuusinen et al. 2014; Kuusinen et al., 2016). Also the results regarding overall level of  $FAPAR_{CAN}$ , and the dependence of  $FAPAR_{CAN}$  on tree species were similar to earlier studies (Roujean, 1999; Steinberg et al., 2006). However, as our study was based on extensive field data from two continents, drawing more general conclusions on how forest structure, albedo and productivity are interconnected is now possible. In addition, to our knowledge only one study has previously evaluated the forest floor contribution to albedo (Kuusinen et al., 2015). We showed that forest floor vegetation (which is often in practical forestry e.g. a proxy for site fertility type) can significantly contribute to forest albedo; its average contribution can be up to 50%, varying between forests dominated by different tree species. Similarly, the average contribution of forest floor to total ecosystem FAPAR can be up to or even over 50%, as reported previously also by Ikawa et al. (2015) for an eddy-covariance study site in Alaska. In other words, even though forest floor vegetation often contributes only little to, for example, total forest biomass, it can have a significant role as a key driving factor of forest albedo and ecosystem productivity. Quantifying the variation in forest floor composition and optical properties across the boreal biome constitutes therefore an important research topic in the future. The important role of forest floor means also that any forest management that influences forest floor composition can significantly alter the biophysical climate effects of forests. For example, reindeer grazing has been suggested to reduce land surface albedo, because it reduces the cover of reindeer lichens that have higher albedo compared to mosses (Stoy et al., 2012).

454

455 The black soil simulations that we conducted in order to quantify the contribution of forest floor explained also why the  
456 albedo increased as a function of solar zenith angle. From previous simulation studies it is known that when the sun  
457 approaches the horizon, the path length of radiation and therefore scattering from the canopy layer increase while the  
458 contribution of forest floor decreases (Kimes et al., 1987; Ni & Woodcock, 2000). The net effect is dependent on the density  
459 (gap fractions) of the canopy layer, and on the reflectance of the forest floor: if the canopy is sparse or clumped, or if the  
460 reflectance of the forest floor is high, it is likely that increasing the solar zenith angle reduces the forest floor contribution  
461 more than it increases the scattering from canopy. Our results generalize the findings of these previous studies that examined  
462 only few stands locally. It should be noted that our results apply only to summertime conditions. If the forest floor has high  
463 reflectance due to e.g. snow cover, a decrease of albedo as a function of solar zenith angle is expected to be observed more  
464 often (Ni & Woodcock, 2000).

465

466 We observed some interesting differences between Alaskan and Finnish datasets which deserve to be highlighted. Even  
467 though our field data do not represent a probability sample they are still well representative of the forests in the study areas.  
468 The mean albedo was higher in Alaska than in Finland, because of the higher proportion of broadleaved species in Alaska.  
469 However, the coniferous forests in Alaska had lower albedos than those in Finland. There is some previous evidence to  
470 support this, because the lowest values reported by Amiro et al. (2006) for spruce forests in Alaska are lower than those  
471 reported by Kuusinen et al. (2014) for spruce in Finland. Because the difference remained also when assuming black soil, the  
472 reason is in the properties of the canopy layer. Particularly, the low reflectance of bark in the Alaskan species (Fig. 3b)  
473 explains part of the difference.

474

475 Radiative transfer models offer a useful tool for assessing the radiation regime of forests, especially when the modeling  
476 approach can utilize readily available common forest inventory databases. Validating the simulated albedo and FAPAR  
477 values, however, is challenging. Even though international model intercomparison efforts such as RAMI (Widlowski et al.,  
478 2007) provide a rigorous set of reports on performance of radiative transfer models, the quality of available input data in  
479 each study where a radiative transfer model is applied is crucial. For example, the forest floor albedos that we calculated  
480 from the available reflectance spectra (Fig. 3) were clearly higher (0.18–0.23) than forest floor albedos measured in the field  
481 at other boreal sites (approx. 0.15 in Manninen & Riihelä, 2008; Manninen & Riihelä, 2009; Kuusinen et al., 2014). If we  
482 had scaled our reflectance factors in order to obtain forest floor albedos of 0.15, the simulated forest albedos would have  
483 decreased by 7–10%. Furthermore, including also the UV region in the simulations would have reduced the simulated  
484 albedos by up to 7%, assuming that the optical properties of the canopy and forest floor are similar at UV than at 400 nm.  
485 However, particularly the lack of field measured spectra for some of the Alaskan species is a limitation of our study and  
486 shows that there is an urgent need for comprehensive spectral database of boreal tree species.

487



488 Our results regarding basal area give an idea of the magnitude of the effects that varying thinning regimes could have on  
489 forest albedo and productivity. The effect of thinnings on albedo have previously been estimated mainly by in situ  
490 measurements at few selected sites (Kirschbaum et al., 2011; Kuusinen et al., 2014). In our study, reduction in the basal area  
491 reduced FAPAR<sub>CAN</sub> equally or more compared to how albedo changed. In contrast to basal area, the proportion of  
492 broadleaved trees had a notably larger effect on forest albedo while having only a negligible influence on forest productivity  
493 (FAPAR<sub>CAN</sub>). The relative importance of basal area and tree species nevertheless depends on the spectral properties of the  
494 tree species and forest floor. Based on our results, the effect of thinning (removal of basal area) on albedo and FAPAR  
495 depends on solar angle. Therefore, the influence of thinning on forest productivity differs between latitudes. Furthermore,  
496 because the basal area influenced albedo and FAPAR<sub>CAN</sub> less at large sun zenith angles, the effects of thinning integrated  
497 over entire rotation period may not be as large as they seem when studying them only at solar noon.

498

499 Global satellite products have provided us insight on coarse-scale trends of albedo in different biomes. However, their  
500 weakness is that even though we can establish correlations between changes in albedo and changes in land cover, we are still  
501 not able to identify and quantify the biophysical factors which cause the albedo of a forest area to change. In addition, a  
502 specific challenge in coupling forest management operations with changes in satellite-based albedo products is that the scale  
503 of these operations significantly differs in North America and Northern Europe, and often does not directly correspond to the  
504 spatial resolution of current albedo products. With an understanding of the consequences of, for example, forest management  
505 practices on the albedo, best-practice recommendations for forest management in future climate mitigation policies will  
506 become more justified. By coupling extensive field inventory data sets and radiative transfer modeling, we showed that  
507 albedo and FAPAR<sub>CAN</sub> are tightly linked in boreal coniferous forests at stand level. However, the relation is weaker if the  
508 forest has deciduous admixture, or if the canopies are sparse and at the same time the species composition (i.e. optical  
509 properties) of the forest floor vary. Because the shape of the relationship between albedo and FAPAR<sub>CAN</sub> was shown to  
510 depend on solar angle, studies evaluating the climate effects of forest management strategies need to consider latitudinal  
511 effects due to varying solar paths. The comparisons between Alaska and Finland revealed that albedo and FAPAR<sub>CAN</sub> differ  
512 between geographical regions because of the differences in forest structure. However, regardless of geographical region in  
513 the boreal zone, the potential of using thinning to increase forest albedo may be limited compared to the effect of favoring  
514 broadleaved species.

## 515 **Data availability**

516 Data from Co-operative Alaska Forest Inventory prior to 2009 are available at LTER Network Data Portal  
517 (<http://dx.doi.org/10.6073/pasta/d442e829a1adf7da169b6076826de563>). Forest inventory data from Finland are described in  
518 Korhonen (2011) and Majasalmi et al. (2015). Leaf and needle optical properties measured in Hyytiälä are repositied at  
519 SPECCHIO database (<http://www.specchio.ch/>), and those measured in Superior National Forest are repositied at ORNL

520 DAAC by NASA (<http://dx.doi.org/10.3334/ORNLDAAAC/183>). Forest floor spectra were presented in Fig. 3 of this  
521 manuscript.

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682 Table 1. Mean (standard deviation) of forest variables by dominant tree species in Alaska and Finland. The species  
 683 dominance was determined by basal area proportion: If the basal area of one of the species exceeded 80% of the total basal  
 684 area, the plot was considered to be dominated by that species. The remaining plots were labeled as mixed.

Tree species	Number of plots	Stems per hectare	Diameter at breast height (cm) <sup>1)</sup>	Height (m)	Crown ratio (%) <sub>2)</sub>	Basal area (m <sup>2</sup> ha <sup>-1</sup> )	Effective LAI (m <sup>2</sup> m <sup>-2</sup> ) <sub>3)</sub>
Alaska							
Black spruce	70	2361 (1542)	9.3 (3.8)	7.3 (3.2)	69 (11)	14.6 (9.3)	1.0 (0.6)
White spruce	124	806 (653)	21.3 (7.9)	14.7 (5.2)	74 (9)	22.8 (13.1)	2.4 (1.3)
Quaking aspen	22	1572 (916)	15.8 (5.1)	13.9 (3.5)	37 (7)	26.0 (8.8)	2.8 (0.9)
Black cottonwood/ balsam poplar	8	672 (658)	35.1 (14.7)	20.5 (5.8)	62 (11)	34.8 (14.5)	2.7 (1.1)
Birches	84	873 (662)	22.6 (8.4)	17.5 (2.9)	58 (11)	25.1 (8.1)	3.2 (1.4)
Mixed	276	1082 (1131)	22.0 (8.3)	15.1 (3.9)	62 (12)	25.2 (10.1)	2.7 (1.2)
All	584	1160 (1139)	20.3 (9.0)	14.4 (4.9)	64 (13)	23.6 (11.0)	2.5 (1.3)
Finland							
Scots pine	184	1165 (1301)	18.0 (8.5)	14.7 (6.4)	51 (16)	15.9 (7.7)	1.1 (0.5)
Norway spruce	115	980 (1014)	19.7 (8.9)	16.6 (6.9)	68 (15)	19.8 (9.4)	2.4 (1.1)
Broadleaved	23	1409 (1419)	13.6 (7.1)	13.9 (6.0)	62 (16)	12.6 (7.1)	1.9 (1.2)
Mixed	180	1094 (1782)	20.5 (8.0)	17.2 (5.8)	58 (14)	20.3 (9.1)	2.2 (1.1)
All	502	1109 (1444)	19.1 (8.5)	16.0 (6.4)	58 (16)	18.2 (8.9)	1.8 (1.1)

685 1) Definition of breast height differed between Alaska (1.37 m) and Finland (1.3 m).

686 2) Ratio of the length of living crown to tree height.

687 3) Not measured in the field. The values are calculated by the FRT model.



688 Table 2. Number of study plots by dominant tree species and forest floor type. The species dominance was determined by  
689 basal area proportion: If the basal area of one of the species exceeded 80% of the total basal area, the plot was considered to  
690 be dominated by that species.

Tree species	Forest floor		
	Grass	Shrub/moss	Lichen
Black spruce	8	60	2
White spruce	13	111	0
Quaking aspen	4	18	0
Black cottonwood/balsam poplar	2	6	0
Birches	23	61	0
Mixed	40	236	0
All	90	492	2
	Herb-rich	Mesic	Xeric
Scots pine	2	145	37
Norway spruce	28	86	1
Broadleaved	8	14	1
Mixed	26	152	2
All	64	397	41

691



700 Table 4. Albedo,  $FAPAR_{CAN}$ , and  $FAPAR_{TOT}$  by dominant tree species and SZA. The reported value for given species is the  
 701 mean of plots in which the basal area proportion of that species exceeded 80%. The number of plots and mean forest  
 702 variables for each species are reported in Table 1.

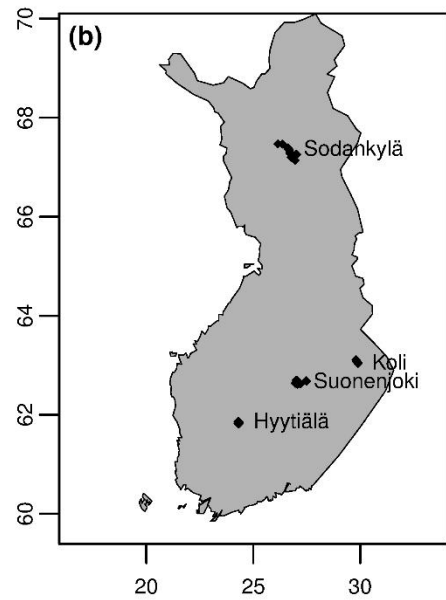
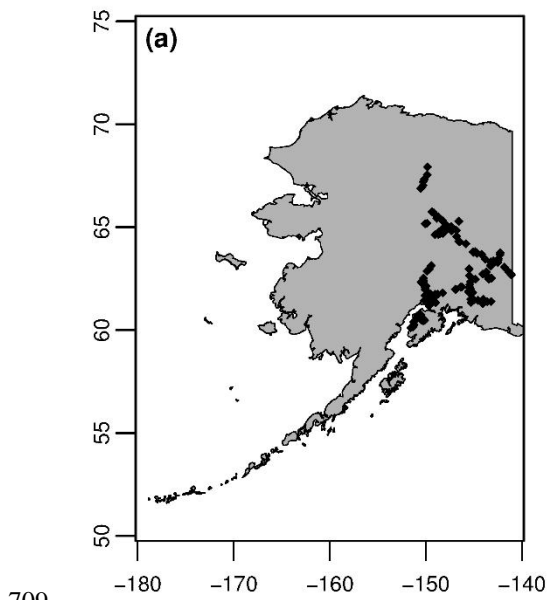
Tree species	Black-sky (SZA)					White-sky
	40°	50°	60°	70°	80°	
Albedo						
Black spruce	0.121	0.122	0.124	0.128	0.137	0.124
White spruce	0.091	0.094	0.097	0.103	0.114	0.104
Broadleaved (Alaska)	0.194	0.204	0.218	0.236	0.262	0.205
Scots pine	0.144	0.147	0.152	0.159	0.172	0.151
Norway spruce	0.110	0.114	0.120	0.128	0.141	0.126
Broadleaved (Finland)	0.207	0.218	0.231	0.248	0.273	0.224
FAPAR <sub>CAN</sub>						
Black spruce	0.47	0.53	0.61	0.72	0.86	0.53
White spruce	0.72	0.77	0.84	0.90	0.95	0.74
Broadleaved (Alaska)	0.78	0.82	0.86	0.89	0.91	0.80
Scots pine	0.50	0.57	0.65	0.75	0.86	0.55
Norway spruce	0.73	0.79	0.84	0.89	0.92	0.74
Broadleaved (Finland)	0.60	0.65	0.71	0.76	0.81	0.62
FAPAR <sub>TOT</sub>						
Black spruce	0.97	0.97	0.97	0.97	0.97	0.97
White spruce	0.98	0.98	0.98	0.98	0.98	0.98
Broadleaved (Alaska)	0.95	0.95	0.94	0.94	0.93	0.95
Scots pine	0.97	0.97	0.97	0.97	0.96	0.96
Norway spruce	0.97	0.97	0.97	0.97	0.97	0.97
Broadleaved (Finland)	0.95	0.95	0.94	0.94	0.93	0.94

703

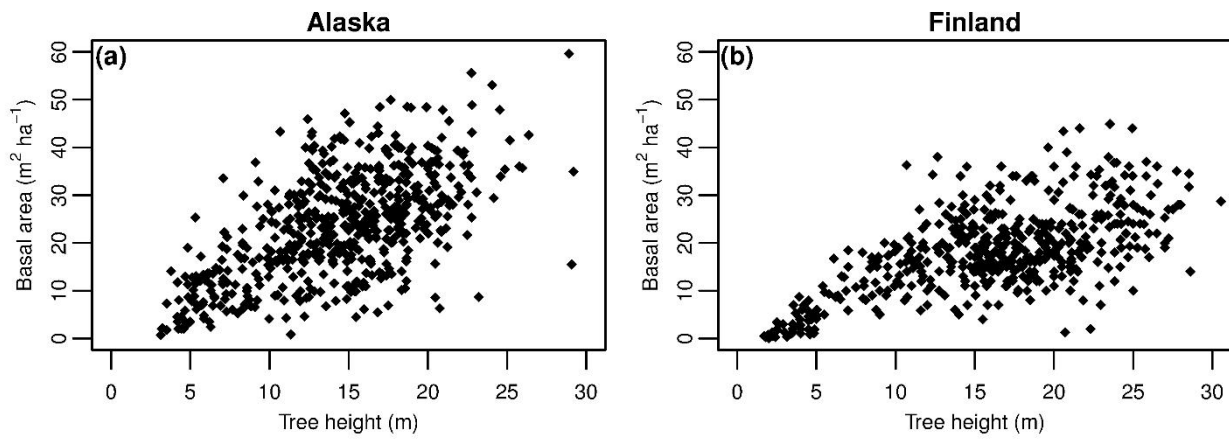
704 Table 5. Canopy and forest floor contributions to albedo, and forest floor contribution to FAPAR<sub>TOT</sub> by dominant tree  
 705 species and SZA. The reported value for given species is the mean of plots in which the basal area proportion of that species  
 706 exceeded 80%. Note that the values are directly comparable to the species specific forest albedos and FAPAR values  
 707 reported in Table 4, i.e. exactly the same plots were used to calculate the average values in both tables.

Tree species	Black-sky (SZA)					White-sky
	40°	50°	60°	70°	80°	
Forest albedo when assuming black soil						
Black spruce	0.053	0.059	0.069	0.084	0.108	0.066
White spruce	0.062	0.068	0.076	0.087	0.104	0.081
Broadleaved (Alaska)	0.169	0.182	0.199	0.221	0.251	0.186
Scots pine	0.075	0.084	0.096	0.114	0.140	0.094
Norway spruce	0.079	0.087	0.097	0.109	0.128	0.102
Broadleaved (Finland)	0.140	0.155	0.173	0.197	0.231	0.165
Contribution of forest floor to total forest albedo, %						
Black spruce	52.9	48.0	41.4	32.4	20.2	46.8
White spruce	27.9	23.7	19.0	13.7	8.0	22.1
Broadleaved (Alaska)	12.9	10.9	8.7	6.5	4.3	9.3
Scots pine	45.6	40.6	34.5	26.8	17.9	37.7
Norway spruce	23.5	19.7	15.8	11.9	8.0	19.0
Broadleaved (Finland)	32.7	29.5	25.9	21.9	17.1	26.3
Contribution of forest floor to FAPAR <sub>TOT</sub> , %						
Black spruce	50.1	44.1	36.0	25.1	11.1	45.7
White spruce	26.4	20.6	14.5	8.3	2.6	24.3
Broadleaved (Alaska)	16.9	12.5	8.3	4.6	2.0	15.9
Scots pine	46.3	39.8	31.7	21.5	10.5	42.8
Norway spruce	24.4	18.7	13.2	8.3	4.4	23.3
Broadleaved (Finland)	34.7	29.3	23.5	17.7	12.4	34.3

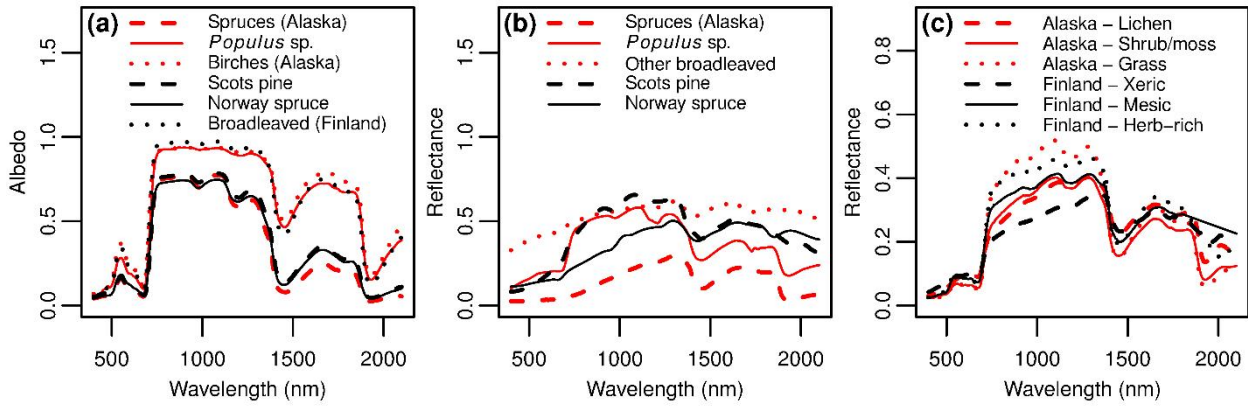
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710 Figure 1. Location of the field plots.

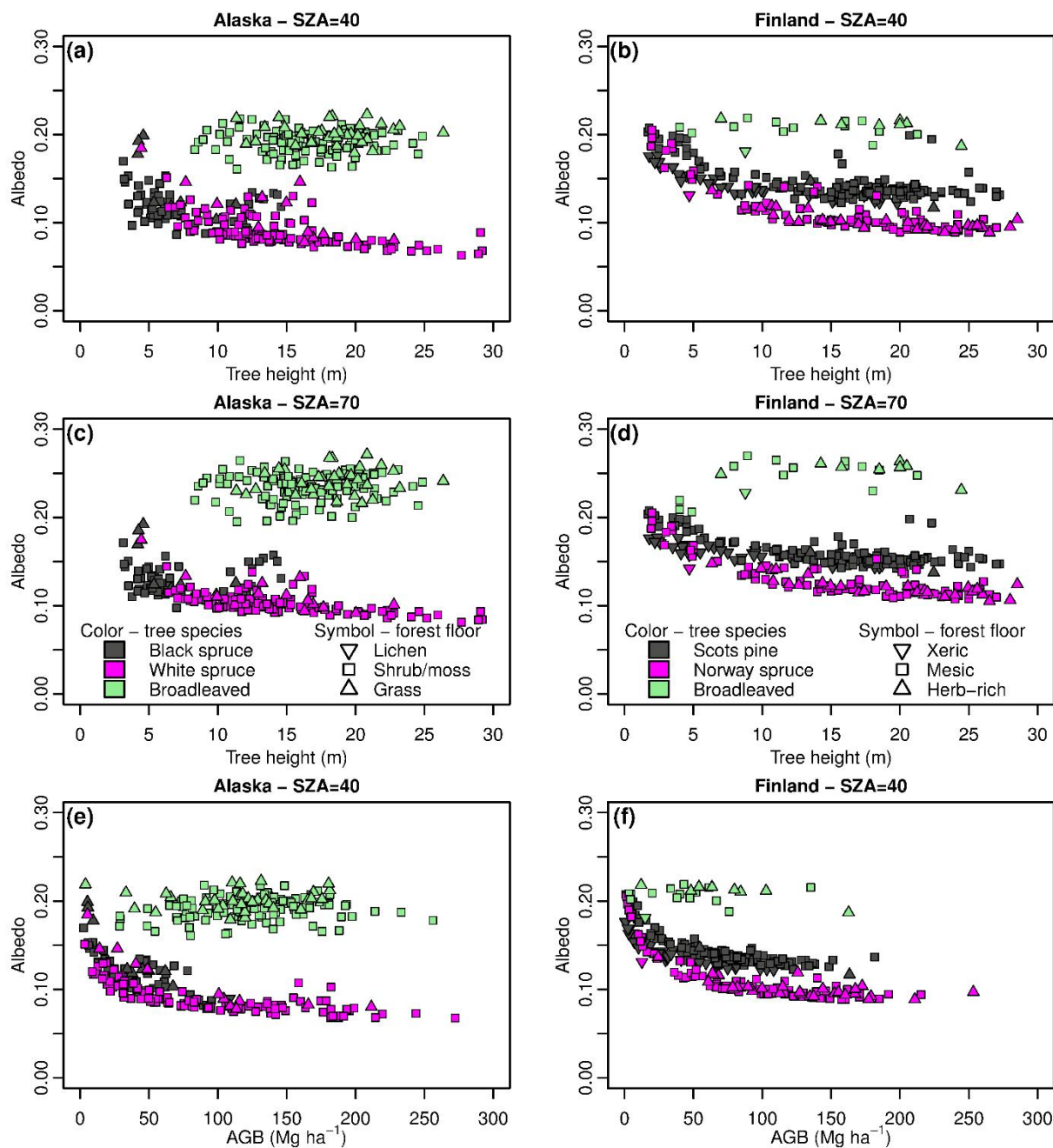


711  
 712 Figure 2. Basal area against tree height in the study plots in Alaska (a) and Finland (b).



713

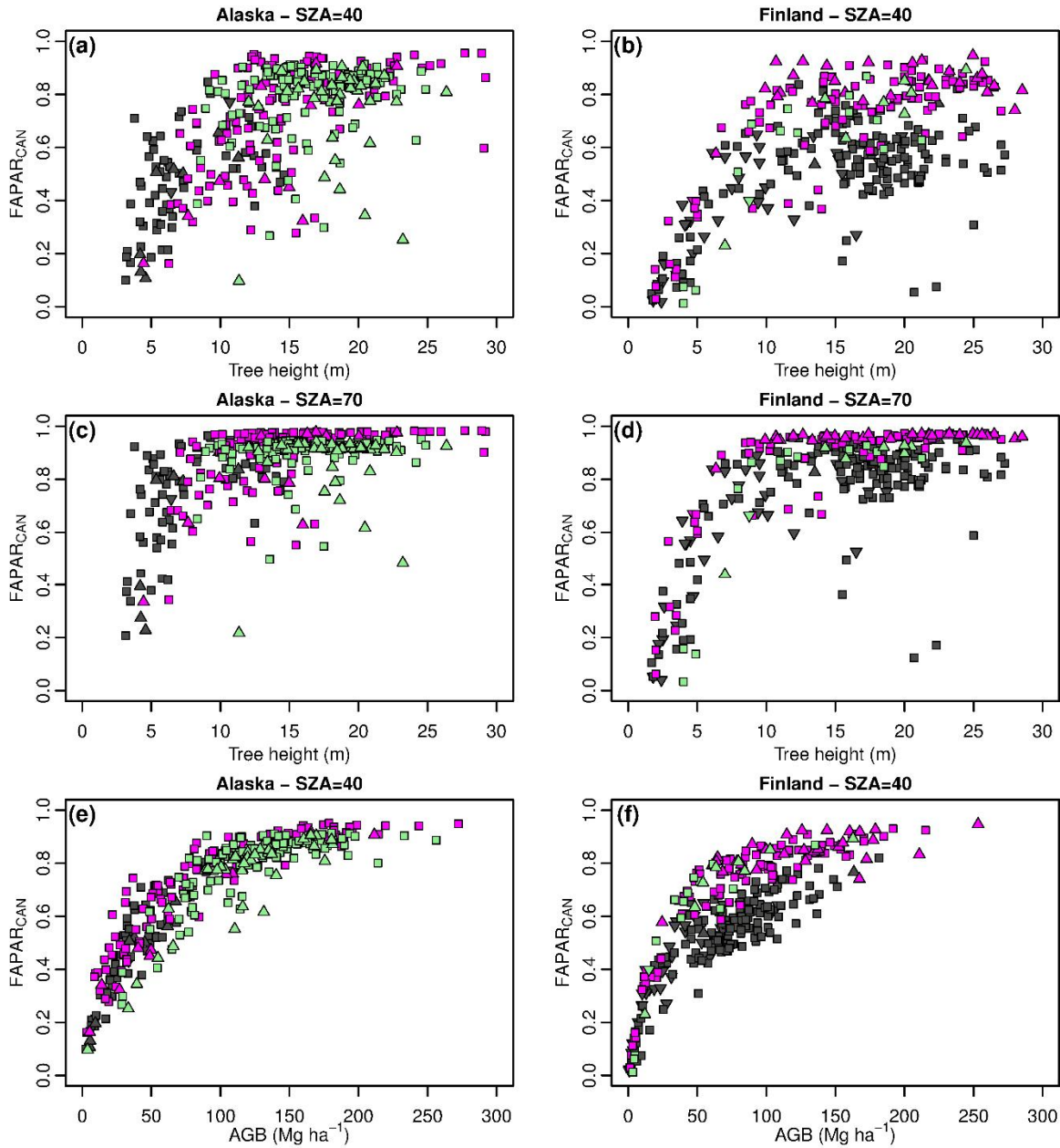
714 Figure 3. Spectra of vegetation elements used in the simulations: (a) leaves/shoots, (b) bark, (c) forest floor. The values for  
 715 leaf and shoot are single scattering albedos (reflectance + transmittance), and the values for bark and forest floor are  
 716 reflectance factors.



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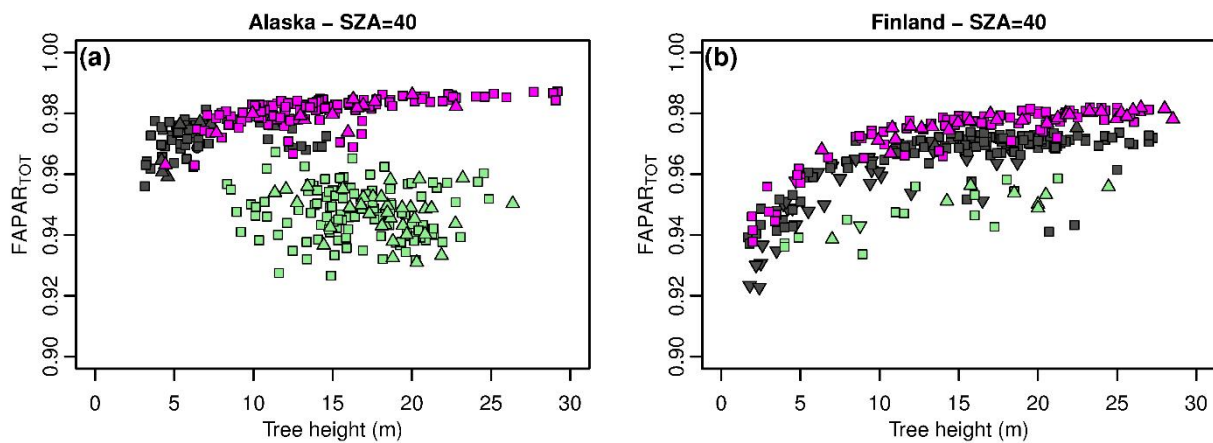
718 Figure 4. Forest black-sky albedo as a function of tree height (a–d) and AGB (e–f). Relations to tree height are shown for  
 719 two SZAs, 40° (a–b) and 70° (c–d), representing solar noon at midsummer and the annual average in the study regions. Left  
 720 hand column shows the results for the Alaskan data, and right hand column for the Finnish data. The figures show only  
 721 monospecific plots, i.e. plots in which the basal area proportion of one of the species exceeded 80%.





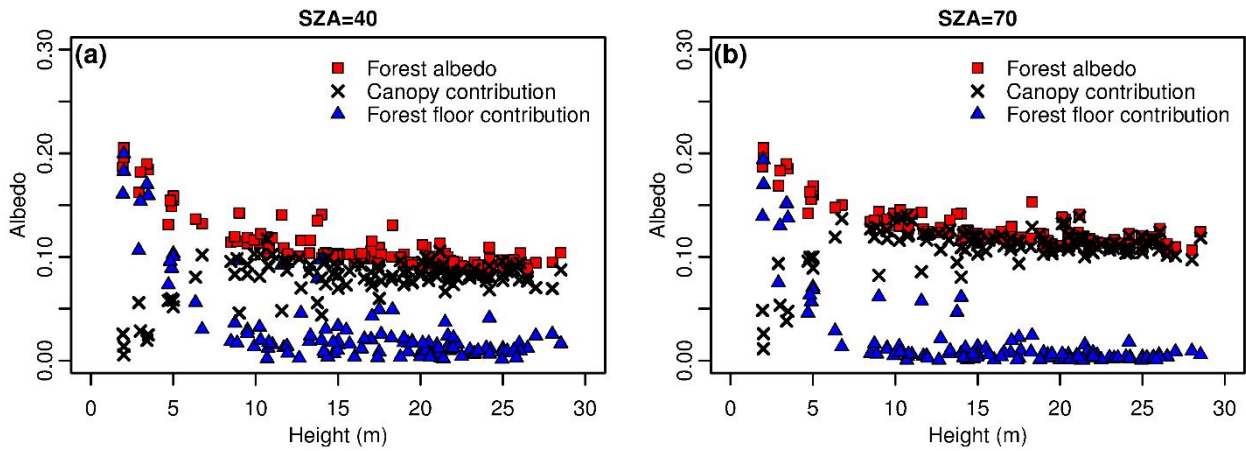
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723 Figure 5. Black-sky FAPAR<sub>CAN</sub> as a function of tree height (a–d) and AGB (e–f). Relations to tree height are shown for two  
 724 SZAs, 40° (a–b) and 70° (c–d), representing solar noon at midsummer and the annual average in the study regions. Left hand  
 725 column shows the results for the Alaskan data, and right hand column for the Finnish data. The figures show only  
 726 monospecific plots i.e. plots in which the basal area proportion of one of the species exceeded 80%. For explanation of the  
 727 symbols, see legend in Fig. 4.



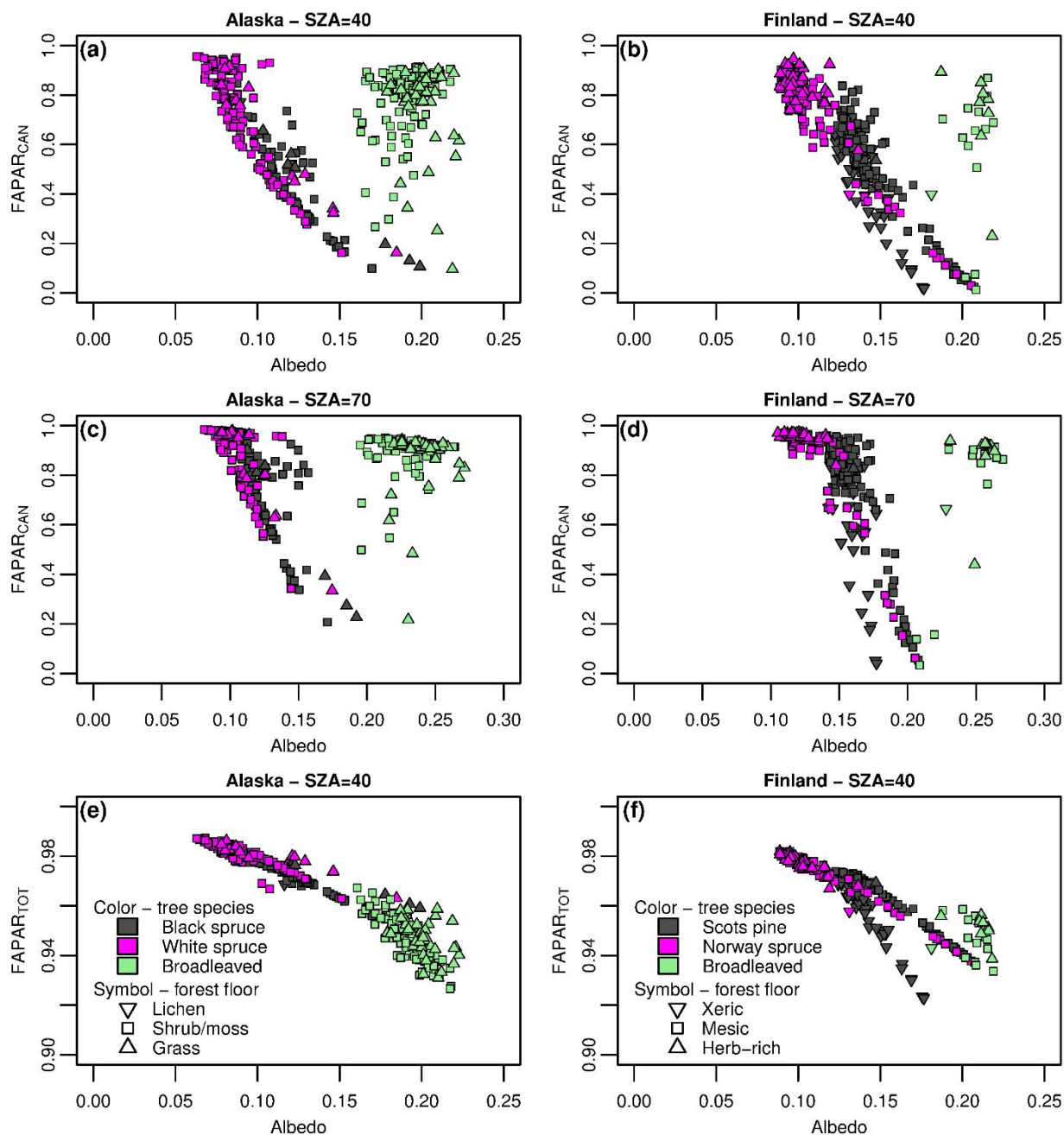
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729 Figure 6. FAPAR<sub>TOT</sub> as a function of tree height at SZA of 40°. The figures show only monospecific plots i.e. plots in which  
 730 the basal area proportion of one of the species exceeded 80%. For explanation of the symbols, see legend in Fig. 4.



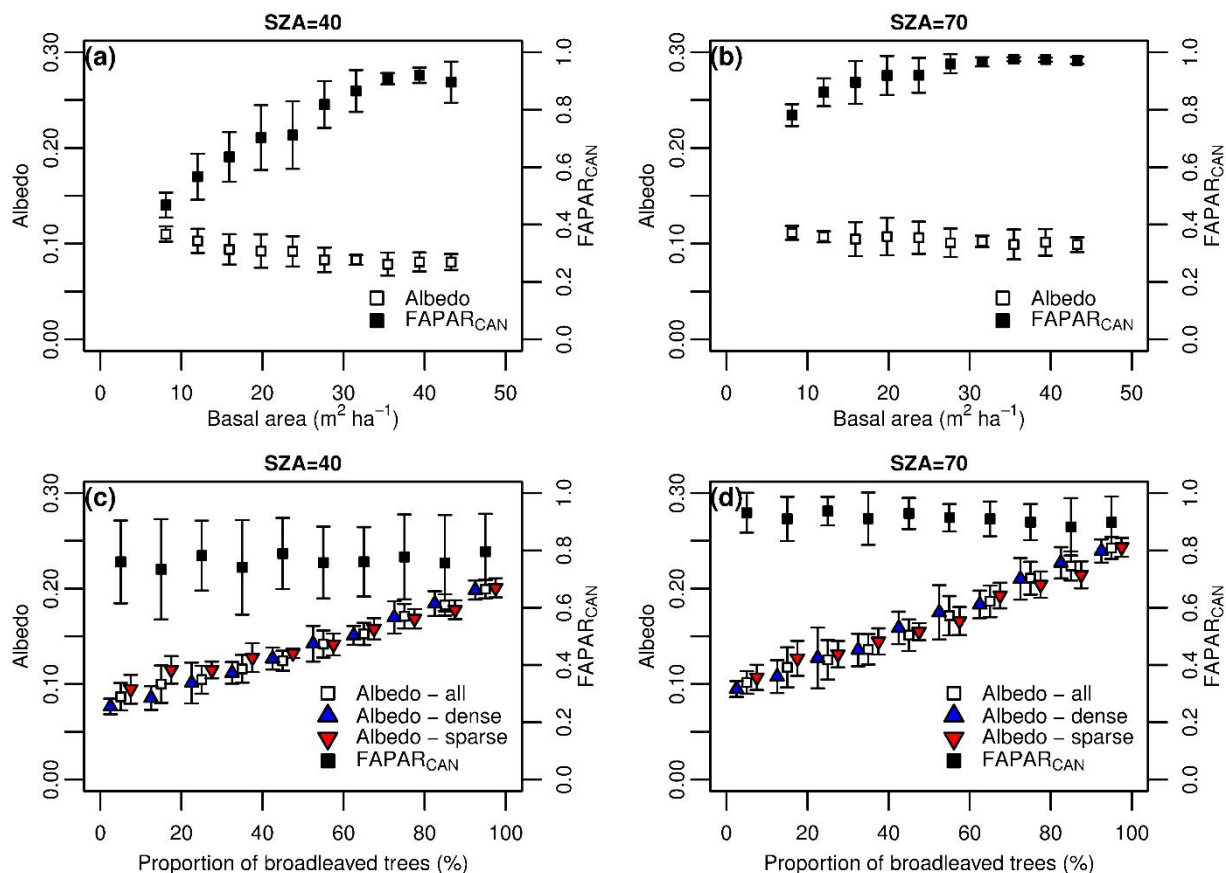
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732 Figure 7. Canopy and forest floor contributions to forest black-sky albedo as function of tree height. Canopy contribution  
 733 was obtained by assuming black soil in the simulation. Forest floor contribution was obtained by subtracting the canopy  
 734 contribution from the total forest albedo. The data shown are from Norway spruce dominated forests in Finland.



735

736 Figure 8. Relation of FAPAR to forest black-sky albedo by dominant tree species. The figures show only plots that were  
 737 dominated by one species i.e. in which the basal area proportion of one of the species exceeded 80%. a–d: FAPAR<sub>CAN</sub>  
 738 against albedo at two SZAs, 40° and 70°, representing solar noon at midsummer and the annual average in the study regions;  
 739 e–f: FAPAR<sub>TOT</sub> against albedo at SZA of 40°.



740

741 Figure 9. Effect of basal area (a–b) and proportion of broadleaved trees (c–d) on black-sky albedo and FAPAR<sub>CAN</sub> at sun  
 742 zenith angles of 40° and 70° in Alaska. Points represent mean and whiskers the standard deviation in ten equally spaced  
 743 classes. Effect of broadleaved proportion on albedo is presented separately for dense (basal area > 31 m<sup>2</sup> ha<sup>-1</sup>) and sparse  
 744 (basal area < 21 m<sup>2</sup> ha<sup>-1</sup>) forest. These limits correspond to 30th and 70th percentiles of basal area in Alaskan data. The  
 745 points representing dense and sparse forest are shifted along the x axis in order to make them visible.