Published: 23 May 2016

© Author(s) 2016. CC-BY 3.0 License.



3

5

13



Quantifying the missing link between forest albedo and productivity

in the boreal zone

Aarne Hovi¹, Jingjing Liang², Lauri Korhonen³, Hideki Kobayashi⁴, Miina Rautiainen^{1,5}

- ¹Department of Built Environment, School of Engineering, Aalto University, P.O.Box 15800, 00076 AALTO, Finland
- ²School of Natural Resources, West Virginia University, P.O.Box 6125, Morgantown, WV 26505, USA 7
- 8 ³School of Forest Sciences, University of Eastern Finland, P.O.Box 111, 80101 Joensuu, Finland
- ⁴Department of Environmental Geochemical Cycle Research, Japan Agency for Marine-Earth Science and Technology,
- 10 3173-25, Showa-machi, Kanazawa-ku, Yokohama, 236-0001, Japan
- 11 ⁵Department of Radio Science and Engineering, School of Electrical Engineering, Aalto University, P.O. Box 13 000, 00076
- AALTO, Finland 12

14 Correspondence to: Aarne Hovi (aarne.hovi@aalto.fi)

Published: 23 May 2016

© Author(s) 2016. CC-BY 3.0 License.



15

16 17

18

19

20

21

2223

24

25

26

2728

29

30



Abstract. Albedo and fraction of absorbed photosynthetically active radiation (FAPAR) determine the shortwave radiation balance and productivity of forests. Currently, the physical link between forest albedo and productivity is poorly understood, yet it is crucial for designing optimal forest management strategies for mitigating climate change. We investigated the relationships between boreal forest structure, albedo and FAPAR using radiative transfer model FRT and extensive forest inventory data sets ranging from southern boreal forests to the northern tree line in Finland and Alaska (N = 1086 plots). The forests in the study areas vary widely in structure, species composition, and human interference, from intensively managed in Finland to natural growth in Alaska. We show that FAPAR of tree canopies (FAPAR_{CAN}) and albedo are tightly linked in boreal coniferous forests, but the relationship is weaker if the forest has broadleaved admixture, or if canopies have low leaf area and the composition of forest floor varies. Furthermore, the functional shape of the relationship between albedo and FAPAR_{CAN} depends on solar angle. We also show that forest floor can contribute to over 50% of albedo or total ecosystem FAPAR. Based on our simulations, forest albedos vary notably across the biome. Because of larger proportion of broadleaved trees, the forests in Alaska have higher albedo (0.141-0.184) than those in Finland (0.136-0.171) even though the albedo of pure coniferous forests is lower in Alaska. Our results reveal that variation in solar angle will need to be accounted for when evaluating climate effects of forest management in different latitudes. Furthermore, increasing the proportion of broadleaved trees in coniferous forests is the most important means of maximizing albedo without compromising productivity: based on our findings the potential of controlling forest density (i.e., basal area) to increase albedo may be limited compared to the effect of favoring broadleaved species.

313233

Keywords: FAPAR, conifer, broadleaved, radiative transfer, basal area, leaf area index, AGB, thinning

Manuscript under review for journal Biogeosciences

Published: 23 May 2016

© Author(s) 2016. CC-BY 3.0 License.





34 1 Introduction

35 Forest management practices, such as thinning and logging, alter the spatial, structural, and species composition of forests.

36 Through an altered albedo and productivity, these management practices may cause profound impacts on climate. Because

37 forest structure and species composition influence albedo, managing forests to increase albedo is a potential means of

maximizing the climate cooling effects of forests (Bright et al., 2014; Alkama & Cescatti, 2016; Naudts et al., 2016).

39 However, if forest management practices are altered in order to maximize albedo, productivity may be compromised, which

would result in reduced carbon uptake as well as reduced timber production and corresponding economic losses. There is an

urgent need to understand how forest management practices change forest albedo, and how forest albedo and productivity

42 are interconnected.

43

45

46

47

48

38

40 41

44 Being the world's largest land-based biome, the boreal forest zone consists of vast forest areas under various human

interference levels, from natural growth to intense silvicultural management. The biome plays an important role in

controlling the global carbon and energy balances. It is estimated that the boreal forests comprise 32% of the total carbon in

the world's forests, and account for a significant portion of the carbon uptake (Pan et al., 2011). In addition, the albedo of

boreal forests varies considerably by forest structure, phenology, and snow cover (e.g., Ni & Woodcock, 2000; Kuusinen et

49 al., 2012; Bright et al., 2013; Kuusinen et al., 2016).

50

53

54

57 58

59

61

62

64

65

51 Previous studies based on local in situ measurements, or remote sensing data for local to regional study areas have shown

52 that boreal forest albedo is influenced by tree species, with broadleaved species rendering higher albedos than coniferous

(Lukeš et al., 2013a, Kuusinen et al., 2014). Albedo of open areas or that of the forest floor is usually higher than in the

canopy areas (Bright et al., 2014, Kuusinen et al., 2014), except for burned sites (Amiro et al., 2006). A declining trend in

55 albedo with forest height or age has been observed for coniferous forests (Amiro et al., 2006; Kirschbaum et al., 2011; Bright

56 et al., 2013; Kuusinen et al., 2016) and may be at least partly explained by the increasing leaf area index (LAI) and thus

reduced contribution of the forest floor on albedo as the forests mature. Similarly, a declining trend in albedo with canopy

density has been observed (Lukeš et al., 2013a).

60 Gross primary productivity of vegetation can be approximated by FAPAR, i.e. the fraction of PAR radiation (400–700 nm)

absorbed by the vegetation canopy (Gobron & Verstraete, 2009), because photosynthesis is ultimately driven by the

available solar energy. FAPAR is useful in monitoring and comparing productivity both spatially and temporally, especially

63 in the absence of accurate growth and yield models. The main determinants of forest canopy FAPAR are leaf area index

(LAI) and the directionality of incoming solar radiation (Majasalmi et al., 2014), because they determine the fraction of PAR

radiation interceptable by the canopy. Similarly to albedo, boreal forest FAPAR may differ by tree species (Roujean et al.,

Published: 23 May 2016

© Author(s) 2016. CC-BY 3.0 License.





66 1999; Steinberg et al., 2006; Chasmer et al., 2008; Serbin et al., 2013; Majasalmi et al., 2015) and stand age (Serbin et al.,

67 2013), as both species and age are likely to influence the LAI of the canopy.

Estimation methods set limits for the information that can be obtained on the spatial and temporal variation of albedo and FAPAR. In situ measurements are accurate and can be directly linked with field measured forest structure. On the other hand, they are extremely tedious and cannot cover large variations in forest structure. Satellite data provide ample coverage of varying forest structures and wide spatial extent but may compromise spatial resolution and detail in the characterization of forest structure. In addition, neither local albedo measurements nor satellite-based albedo products can explain the causality between small-scale environmental management scenarios and changes in albedo or FAPAR. Radiative transfer models offer a solution to these problems: forest radiative transfer models are a powerful tool for linking quantitative changes in vegetation structure to albedo or FAPAR for large geographical regions. The models are parameterized using mathematical descriptions of canopy structure (e.g., LAI, tree height, crown dimensions, stand density), optical properties of foliage and forest floor, and spectral and angular properties of incoming radiation. Using these models, the albedo and FAPAR of a forest can be calculated from readily measurable variables such as forest structure and leaf optical properties.

 To date, studies on forest structure and albedo have mainly focused on specific geographical areas (e.g. Finland, Norway, but see Kuusinen et al. (2013) for comparison between Finland and Canada). Comparison of the relationships between forest structure, albedo and FAPAR has not been performed across the biome, i.e. including both European and North American boreal forests which have very different natural structures and forest management scenarios. Due to the large north-south gradient and consequent structural diversity of forests in the boreal zone, the impact of forest management on albedo cannot be expected to be the same. In addition, while the relations of albedo and FAPAR to forest structure have been locally studied in boreal forests, the explicit link between FAPAR and albedo has not been shown.

 Here we report results from quantifying the links between boreal forest structure, albedo and FAPAR ranging from southern boreal forests to the northern tree line using detailed, large forest inventory data sets from Finland and Alaska (N = 1086 plots). The forests in the study areas vary widely in structure, species composition, and human interference, from intensively managed (regularly thinned) forests in Finland to natural growth in Alaska. Using a radiative transfer modeling approach, we quantify the effects of forest structure and species composition on albedo and FAPAR in order to answer how forest management practices can be optimized for climate change mitigation. The significant benefit of the modeling approach is that it enables to study structurally varying forests over large geographical areas, without compromising detail in the forest structure representation or in the spatial resolution. Our study is therefore the first intercontinental study connecting albedo and productivity of boreal forests, using accurate ground reference data.

Published: 23 May 2016

© Author(s) 2016. CC-BY 3.0 License.





98 2 Materials and methods

2.1 Study areas and field plots

100 This study is based on 1086 field plots located in Alaska, USA, and in Finland, between Northern latitudes of 60° and 68°.

101 At these latitudes, solar zenith angle (SZA) at solar noon at midsummer ranges from 37° to 45°, and the annual average from

102 69° to 72°.

103104

105

106107

108

109

110

111

112

113

99

The field plots in Alaska (N = 584) were permanent sample plots established as part of Co-operative Alaska Forest Inventory that aims at long-term monitoring of forest conditions and dynamics (Malone et al., 2009). The plots were scattered in interior and southcentral Alaska across a region of about 300 000 km², from Fairbanks in the north to the Kenai Peninsula in the south (Fig. 1, for more details see Liang et al. (2015)). Some of the plots were measured more than once. We used only the most recent measurement of each plot. The plots in Finland (N = 502) were temporary or permanent sample plots. They were located at four separate sites: Hyytiälä (Majasalmi et al., 2015), Koli, Sodankylä, and Suonenjoki (Korhonen, 2011) ranging from southern to northern Finland (Fig. 1). Species-level attributes, including the number of stems per hectare, basal area, mean diameter at breast height, tree height, and length of living crown, were available for the plots. Basal area, the total cross-sectional area of stemwood (m² ha⁻¹) at breast height (i.e. at 1.3 m or 1.37 m), is a common measure of stand density in forest inventories and, combined with information on tree height, used as an indicator of need for silvicultural thinning operations.

114115

116 Tree species in the Alaskan data were coniferous black spruce (Picea mariana (Mill.) B. S. P.) and white spruce (Picea 117 glauca (Moench) Voss), and broadleaved quaking aspen (*Populus tremuloides* Michx.), black cottonwood or balsam popular 118 (Populus trichocarpa Torr. & Gray, P. balsamifera L.), Alaskan birch (Betula neoalaskana Sarg.), and Kenai birch (Betula 119 kenaica W.H. Evans). Tree species in the Finnish data were coniferous Scots pine (Pinus sylvestris L.) and Norway spruce 120 (Picea abies (L.) H. Karst), and broadleaved species comprising mainly of silver and downy birch (Betula pendula Roth, B. 121 pubescens Ehrh.). The birches accounted for 89% of the basal area of the broadleaved species in Finland. The forest 122 variables in the study plots are shown in Table 1, for all plots and separately for plots dominated by one species. The 123 Alaskan and Finnish forests differed in structure. The forests in Alaska were on average denser in terms of basal area (Fig. 124 2), and contained larger proportion of broadleaved species than the Finnish forests (Table 1). Managed forests in Finland, which our plots mainly represent, are normally thinned 1-3 times during the rotation period so that coniferous species are 125 126 favored. In our plots from Alaska, on the other hand, no thinnings were applied.

127128

129

130

The plots in Finland were classified into six site fertility classes in the field, according to a local site type classification system (Cajander, 1949). We re-classified the original number of six fertility classes into three: "xeric", "mesic", and "herbrich". The cover of grasses is highest in the herb-rich, and decreases towards the xeric type. The cover of lichens, on the

Manuscript under review for journal Biogeosciences

Published: 23 May 2016

© Author(s) 2016. CC-BY 3.0 License.





131 other hand, increases towards the xeric type (Hotanen et al., 2013). In the Alaskan plots no site fertility estimate was

132 available but the cover of each species in the forest floor had been estimated. We labeled the plots as lichen- or grass

dominated if either the cover of lichens or the total cover of herbs, grasses, rush, sedges, and fern was over 50%. The

remaining plots were dominated by shrubs and mosses or were a mixture of all species groups. Hereafter we refer to these

forest floor types as "grass", "shrub/moss", and "lichen". Forest floor types did not differ notably between forests dominated

by different tree species, except for Scots pine forests in Finland, which were often found in the xeric type and were almost

137 nonexistent in the herb-rich type (Table 2).

2.2 Albedo and FAPAR simulations

2.2.1 Simulation model

140 We simulated albedo and FAPAR using a radiative transfer model called Forest Reflectance and Transmittance model FRT

141 (Kuusk & Nilson, 2000, version modified by Mõttus et al., 2007). FRT is a hybrid type model that combines geometric-

142 optical and radiative transfer based sub-models for modeling the first- and higher-order scattering components, respectively.

143 The model has been intercompared and validated within RAdiative transfer Model Intercomparison exercise (RAMI) several

144 times (Widlowski et al., 2007). The advantage of FRT is that it can be parameterized using standard forest inventory data,

utilizing the allometric relations of forest variables to foliage biomass and crown dimensions. This was important because

field measurements of biophysical variables (e.g., LAI) are not commonly available, as in our study plots.

146147

151

154155

156

157

145

134

135136

138

139

148 FRT simulates stand-level bidirectional reflectance and transmittance factors (BRF, BTF) of a forest at specified

149 wavelengths. A 12×12 Gauss-Legendre cubature was used to integrate the simulated BRF and BTF values over the upper

and lower hemispheres, respectively. The resulting upward (top of canopy) and downward (below canopy) fluxes were then

used to calculate the shortwave broadband albedo and FAPAR. The simulations were carried out at 5 nm resolution, and the

albedo simulations covered a spectral region of 400–2100 nm which corresponds to the region from which input data was

available (see Section 2.2.2). The wavelengths below 400 nm account for 8%, and wavelengths over 2100 nm account for

2% of the solar irradiance on top of the atmosphere (Thuillier et al., 2003). The simulations were performed assuming direct

illumination only, i.e. in black sky conditions. Black sky albedo is, compared to actual (blue sky) albedo, less dependent on

assumptions of atmospheric scattering properties. It is commonly used as input in climate modeling (Schaaf et al., 2009). We

repeated the simulations at five SZAs typical for the study areas. These were 40°, 50°, 60°, 70°, and 80°. We use terms

158 "small SZA" and "large SZA" in the text hereafter, to refer to SZAs of 40°-50° and 70°-80°, respectively. It should be noted

that small SZA refers to the situation where the sun is at its highest position.

160

161 The shortwave black sky albedo, hereafter referred to as "albedo", was obtained as a weighted sum of the spectral albedos,

i.e. upward fluxes (flux , -), on top of canopy:

© Author(s) 2016. CC-BY 3.0 License.





$$albedo = \mathop{\mathsf{a}}^{2100}_{I=400} w_I \times flux_I - , \tag{1}$$

The canopy and total FAPAR (FAPAR_{CAN}, FAPAR_{TOT}) were obtained as weighted sums of canopy absorption (a_I^C) and total absorption (a_I^T) over the PAR region:

169
$$FAPAR_{CAN} = \sum_{l=400}^{700} w_l \times a_l^C,$$
 (2)

$$FAPAR_{TOT} = \overset{700}{\overset{\circ}{\mathbf{a}}} w_I \times a_I^T , \qquad (3)$$

The weights (w_{λ}) were obtained from the standard reference solar irradiance spectrum on top of the atmosphere (Thuillier et al., 2003). To obtain the weights, the solar irradiance values (W m⁻²) were scaled by dividing them with the total solar irradiance within the spectral region used (i.e., 400–2100 or 400–700 nm). The weights were thus unitless and summed up to unity. FAPAR_{TOT} and FAPAR_{CAN} were separated because the former is a measure of total ecosystem productivity whereas the latter is more closely linked with timber production. Our FAPAR_{TOT} does not separate green biomass from litter and the values therefore represent an upper limit of productivity.

The canopy and total absorptions needed for FAPAR determination were obtained using upward flux on top of canopy ($flux_{I}$ -), downward flux below canopy ($flux_{I}$ -), and the reflectance factor of the forest floor (r_{G}) as follows:

184
$$a_{i}^{C} = 1 - flux_{i} - flux_{i}^{-} + r_{i}^{G} \times flux_{i}^{-},$$
 (4)

$$a_{\perp}^{T} = 1 - flux_{\perp} - , \tag{5}$$

Both $flux_{\perp}$ - and $flux_{\perp}$ - and therefore also a_{\perp}^{C} and a_{\perp}^{T} , are unitless fractions of the total incoming solar irradiance.

Published: 23 May 2016

© Author(s) 2016. CC-BY 3.0 License.





2.2.2 Model parameters

Tree crowns are represented in the FRT model by geometric primitives (cylinders or ellipsoids). The foliage within a crown is assumed to be homogeneously distributed. The area volume density (area per unit crown volume) of the foliage depends on the crown dimensions and on the foliage area per tree. Several tree classes can be defined to represent different tree species or size classes. We used one class for each tree species. Because the maximum number of species was seven in the Alaskan data, there was a maximum of seven tree classes per plot. We assumed ellipsoid crown shape which estimates the crown volume accurately (Rautiainen et al., 2008). Crown length was obtained from field measurements, and the crown radius was modeled using species-specific allometric equations that require stem diameter as independent variable (Jakobsons, 1970; Bragg, 2001). Leaf dry biomass was estimated with species-specific biomass equations (Repola, 2008; Repola, 2009; Yarie et al., 2007) and converted into hemisurface i.e. half of total leaf area, using leaf mass per area (LMA) values from literature (Table 3). A slightly regular spatial distribution pattern of trees was assumed, i.e. a value of 1.2 for the tree distribution parameter (a value of 1 indicates Poisson distribution, Nilson, 1999). Other structural parameters needed in FRT simulations are presented in Table 3.

measurements. The data for Finnish species were from Hyytiälä, Finland (Lukeš et al., 2013b). Spectra of birch were used for all broadleaved species. The data for Alaskan species were from Superior National Forest, Minnesota, USA (Hall et al., 1996). Data for all species could not be found separately, and therefore spectra of black spruce were used for both black and white spruce, spectra of paper birch (*Betula papyrifera* Marsh.) were used for both birch species, and spectra of quaking aspen were used for both quaking aspen and for the black cottonwood/balsam poplar group. Reflectance spectra of black and white spruce needles have been found to be similar at least in the visible and near-infrared wavelengths (Richardson et al., 2003). In our data, the spectra of coniferous species did not differ notably from each other (Fig. 3a). The same applied to

Optical properties i.e. reflectance and transmittance of the leaves and needles were obtained from laboratory spectrometer

broadleaved species. Bark spectra for spruces and *Populus* sp. in Alaska were obtained from Hall et al. (1996), and for Scots

pine and Norway spruce in Finland from Lang et al. (2002) (Fig. 3b). Spectra of birch from Lang et al. (2002) were used for

birches in Alaska and for broadleaved species in Finland.

We used the annual shoot as a basic scattering element for conifers, similarly as in Lukeš et al. (2013a). This accounts for the multiple scattering within shoot which results in the shoot albedo being lower than needle albedo. Shoot reflectance and transmittance spectra were obtained by upscaling the needle single scattering albedo to shoot albedo (Rautiainen et al., 2012), assuming that the reflectance to transmittance ratio of a shoot is equal to that of a needle. Bi-Lambertian scattering properties of the scattering elements (leaves or shoots) were assumed.

Manuscript under review for journal Biogeosciences

Published: 23 May 2016

© Author(s) 2016. CC-BY 3.0 License.





- 222 Optical properties of the forest floor, i.e. reflectance factors at nadir view were obtained from field spectrometer
- 223 measurements. The data were collected from Poker Flat Research Range Black Spruce Forest, Alaska (measurements
- described in Yang et al. (2014)), and from Hyytiälä, Finland (using similar methodology as in Rautiainen et al. (2011)).
- 225 Separate spectra for each forest floor type was used (Fig. 3c), because characteristics of the forest floor may influence the
- forest reflectance and therefore also albedo (Rautiainen et al., 2007).

227 **2.3 Data analyses**

2.3.1 Albedo, FAPAR, and forest structure

- 229 We analyzed albedo and FAPAR (FAPAR_{CAN}, FAPAR_{TOT}) against each other, and against the forest variables. The analyses
- 230 were performed separately for Alaskan and Finnish data, and repeated for all simulated SZAs. Because of the strong
- emphasis on forest management, main focus of the analysis was on tree species and tree height which are usually measured
- as part of forest inventories. In addition, we analyzed albedo and FAPAR against effective leaf area index (LAI_{eff}) and above
- 233 ground biomass (AGB). LAI_{eff} is calculated by FRT, and corresponds to the LAI of a horizontally homogeneous, optically
- 234 turbid canopy that has exactly the same transmittance (gap probability) as the canopy under examination. AGB was
- 235 calculated with individual-tree allometric equations (Repola, 2008; Repola, 2009; Yarie et al., 2007), similarly as the foliage
- 236 biomass.

237

228

- 238 In the next phase, all simulations were repeated assuming black soil (i.e., a totally absorbing background), in order to better
- 239 explain the dependencies of albedo on tree height and SZA as well as to explain the differences of albedo between Alaskan
- 240 and Finnish forests. The albedo obtained in black soil simulation represents the plain canopy albedo without the contribution
- 241 of forest floor vegetation. We refer to this as "canopy contribution". Correspondingly, the contribution of forest floor can be
- 242 calculated by subtracting the canopy contribution from the albedo obtained when assuming a vegetated forest floor. We refer
- 243 to this as "forest floor contribution". Canopy and forest floor contributions can be expressed as absolute values or relative
- 244 values which sum up to 100%. For comparison with the results regarding albedo, the forest floor contribution to total
- 245 ecosystem FAPAR was also calculated, by subtracting FAPAR_{CAN} from FAPAR_{TOT}.

246

249

- 247 We report the relationships of albedo and FAPAR against forest structure in Sect. 3.1. Results of these experiments are
- 248 needed for understanding the relations between albedo and FAPAR, which we report in Sect. 3.2.

2.3.2 Relative importance of density and tree species

- 250 To examine the relative importance of density and species composition, we analyzed albedo and FAPAR_{CAN} against basal
- 251 area and the proportion of broadleaved trees. The analyses were performed separately for Alaska and Finland, and repeated
- 252 for all simulated SZAs. We excluded all plots with tree height less than 10 m from the analyses in order to evaluate the effect

Manuscript under review for journal Biogeosciences

Published: 23 May 2016

© Author(s) 2016. CC-BY 3.0 License.





of basal area independent of tree height. This was done based on the following reasoning. Basal area was correlated with tree height when studying all plots (r = 0.61 (Alaska), r = 0.64 (Finland)). Preliminary analysis was performed by successively removing plots with smallest trees and each time checking the correlation between height and basal area. The correlation was reduced until a height threshold of 10 m (r = 0.40 (Alaska), r = 0.34 (Finland)) (cf. Fig. 2). Therefore, the 10 m threshold was used to exclude the smallest trees from our analyses. Analysis of albedo and FAPAR against basal area in this restricted set of plots gives an approximation of how thinnings would affect albedo and FAPAR_{CAN} although in reality thinning a stand

affects not only the basal area but also the spatial pattern and size distribution of trees.

260261

262

263

264

265266

267

268

269

270

272

274

275

276

Mean and standard deviation (SD) of albedo and FAPAR_{CAN} in conifer-dominated forests were calculated for ten equally spaced classes with respect to basal area. The center of the lowest class corresponded to the 5th and that of the highest class to the 95th percentile of basal area in the data. To examine the effect of broadleaved proportion, mean and SD of albedo and FAPAR_{CAN} were calculated for ten equally spaced classes with respect to proportion of broadleaved trees, i.e. the broadleaved proportions ranging from 0–10% to 90–100%. The analysis was repeated for sparse (basal area percentiles from 0th to 30th) and dense forest (basal area percentiles from 70th to 100th). We hypothesized that the proportion of broadleaved trees would have smaller effect on albedo in sparse than in dense forest, because the forest floor has more significant role in the sparse canopies. Results regarding the analysis of basal area and proportion broadleaved trees are reported in Sect 3.3.

3 Results

3.1 Albedo, FAPAR, and forest structure

271 Mean albedo of forests in Alaska (0.141-0.184) was higher than in Finland (0.136-0.171). In general, the albedo of

broadleaved species was 42-130% higher than that of coniferous (Table 4). However, albedo varied greatly even among

273 coniferous species: in Alaska, the albedo of white spruce forests was 20-33% higher than that of black spruce, and in

Finland, the albedo of Scots pine forests was 22–31% higher than that of Norway spruce. Overall, the mean albedo of

coniferous species was 28-32% higher in Finland (0.131-0.161) than in Alaska (0.102-0.122). The mean albedos of

broadleaved species in Alaska did not differ significantly from each other at any SZA (p > 0.05 in ANOVA), and therefore

277 the broadleaved species were treated as one group hereafter. Increasing the SZA increased the albedos of all species (Table

278 4).

279

282283

280 The forest canopies in Alaska absorbed more PAR radiation than in Finland: mean FAPAR_{CAN} in Alaska was 0.71–0.92 and

281 in Finland 0.63-0.89. FAPAR_{CAN} increased with increasing SZA (Table 4). At the smallest SZA (40°) FAPAR_{CAN} was

highest for broadleaved species in Alaska, followed by Norway spruce in Finland, white spruce in Alaska, and broadleaved

in Finland. Scots pine in Finland and black spruce in Alaska had lowest FAPAR_{CAN} among the species. Similarly as for

284 albedo, the mean FAPAR_{CAN} of broadleaved species in Alaska did not differ significantly from each other at any SZA (p >

Manuscript under review for journal Biogeosciences

Published: 23 May 2016

© Author(s) 2016. CC-BY 3.0 License.





285 0.05 in ANOVA). Increasing the SZA increased FAPAR_{CAN} of all species and also reduced the differences between species.

286 The relative increase was smaller for broadleaved than for coniferous species. Therefore, the order of species in FAPAR_{CAN}

287 was different at small and large SZAs (Table 4). FAPAR_{TOT}, an approximation of total ecosystem productivity, ranged from

0.93 to 0.98. FAPAR_{TOT} of coniferous forests was higher than that of broadleaved but the differences were not large in

relative terms because FAPAR_{TOT} was consistently high.

290291

292293

294

295

288

289

Albedo decreased with increasing tree height in coniferous forests (Fig. 4). The decrease was most rapid at small tree heights

and saturated after the height reached approximately 10 m. When SZA increased, the difference in albedo between short and

tall forests became smaller (compare Fig. 4a,b to Fig. 4c,d). The albedo of broadleaved forests was similar for all tree heights

at the smallest SZA (40°). At large SZAs, however, there was an initial rapid increase in albedo for broadleaved forests with

small trees (Fig. 4d), after which the albedo remained stable. AGB was correlated with tree height (r = 0.72-0.78) and the

albedo responded to AGB with a similar saturating trend as in the case of tree height (Fig. 4e,f).

297298

299

300

301

FAPAR_{CAN} initially increased with increasing tree height, but saturated at large tree heights (Fig. 5). The saturation was

reached earlier and the maximum level of FAPAR_{CAN} was higher at large SZAs. Similar saturating trends and SZA

dependencies were observed also against AGB although there was less variation in the y direction (Fig. 5e,f). FAPAR_{TOT}

increased as function of tree height in coniferous forests, and was stable in broadleaved forests (Fig. 6). However, the

302 variation in FAPAR_{TOT} with tree height was small (values ranging from 0.93 to 0.98).

303304

305

306

308

The average contribution of forest floor to total forest albedo depended on tree species and ranged from 4% to 53% (Table

5). It was largest at small SZAs and for tree species that had low LAI_{eff} (see LAI_{eff} values in Table 1). Forest floor

contribution decreased as a function of tree height (Fig. 7). The relation was even tighter when the forest floor contribution

307 was analyzed against LAI_{eff} (not shown). This is logical because LAI_{eff} is more directly linked with canopy transmittance

than is tree height. Increasing the SZA increased the canopy contribution in all plots. In general, the net effect was an

309 increase of albedo as a function of SZA. Only a few sparse canopies (low LAIeff) were an exception. In these plots, an

310 increase in SZA reduced the forest floor contribution more than it increased the canopy contribution. Results regarding

contribution of forest floor to total ecosystem FAPAR were similar as those observed for albedo, i.e. there were differences

between tree species and decreasing trends with increasing SZA (Table 5).

313314

315

316317

318

311312

The differences in albedos between coniferous species, i.e. black spruce vs. white spruce, and Scots pine vs. Norway spruce,

were almost nonexistent when comparing albedos obtained in black soil simulations (Table 5). This indicates that at least

some of the differences in albedos between coniferous species are explained by the varying forest floor contribution between

species. However, the differences in albedos between coniferous forests of Finland and Alaska remained, indicating that

other factors than forest floor influenced the species differences between the study areas.

Manuscript under review for journal Biogeosciences

Published: 23 May 2016

© Author(s) 2016. CC-BY 3.0 License.



319

332

333

345



320 FAPAR_{CAN} varied notably more than albedo when comparing forests of same height, particularly at small SZAs (Fig. 4, Fig. 321 5). This can be explained by the link of FAPAR_{CAN} with canopy interception. Interception was tightly related with LAI_{eff} (not 322 shown), and it determined FAPAR_{CAN} almost directly, because the foliage absorbed strongly at PAR wavelengths (Fig. 3a) 323 and therefore the multiple scattering was negligible. LAIeff, in turn, varied considerably between forests of same height. The 324 outliers (tall trees, low FAPAR_{CAN}) in Fig. 5d were plots that had only few trees and therefore very low LAI_{eff}. Similarly, Scots pine had lower FAPAR_{CAN} compared to other species with same height (Fig. 5d). Further examination revealed that 325 326 Scots pine had short crowns and therefore low LAIeff, although the leaf area per unit crown volume did not differ from the other coniferous species. The strong link between FAPAR_{CAN} and LAI_{eff} explained also the observed species- and SZA 327 328 dependencies of FAPAR_{CAN}. At the lowest SZA (40°) the species-specific FAPAR_{CAN} (Table 4) was strongly correlated with 329 species-specific LAI_{eff} (Table 1) (r = 0.93). At large SZAs the canopy interception approached 100% at almost all LAI_{eff} 330 values (cf. Fig. 5c,d) and FAPAR_{CAN} was therefore mainly determined by the absorption of the foliage at PAR wavelengths. Leaves of broadleaved trees absorbed less than conifer needles, which explains why FAPAR_{CAN} of broadleaved species did 331

not increase as rapidly as a function of SZA as did FAPAR_{CAN} of coniferous species (Table 4).

3.2 Relation of albedo to FAPAR

334 FAPAR_{CAN} was negatively correlated with albedo in conifer dominated forests (Fig. 8). The correlation was strongest at the 335 smallest SZA (r = -0.91, r = -0.90) and weakest at the largest SZA (r = -0.63, r = -0.59). When including mixed plots and the 336 plots dominated by broadleaved trees, correlation of FAPAR_{CAN} to albedo varied from almost nonexistent in Alaska (r 337 ranging from -0.17 to 0.07) to moderate in Finland (r ranging from -0.62 to -0.30). The higher correlation in Finland can be 338 explained by the small number of broadleaved dominated forests in our data from Finland. In addition to the proportion of 339 broadleaved trees, variation in forest floor characteristics influenced the albedo-FAPAR_{CAN} relations by altering the albedo 340 values (Fig. 8). The effect of forest floor was seen in relatively sparse canopies only. For example, at SZA of 40° the effect 341 of forest floor on albedo started to show at FAPAR_{CAN} values below 0.5 (Fig. 8). Remembering that FAPAR_{CAN} was tightly 342 related to LAI_{eff}, this value corresponds LAI_{eff} of approx. 1. FAPAR_{TOT} was strongly and negatively correlated with albedo (r 343 ranging from -0.97 to -0.88). The only plots that deviated from this otherwise strong relation were those Scots pine plots that 344 had low FAPAR_{TOT} and xeric forest floor.

3.3 Relative importance of density and tree species

The variation in density of forests was larger in Alaska than in Finland; the 5th and 95th percentiles of basal area were 8 and 43 m² ha⁻¹ in Alaska, and 10 and 34 m² ha⁻¹ in Finland. In both study areas, decrease in basal area resulted in higher albedo but lower FAPAR_{CAN}. At the smallest SZA (40°) the decrease in basal area from its 95th to 5th percentile resulted in increase of albedo by 36% in Alaska and by 21% in Finland (Fig. 9). Correspondingly, FAPAR_{CAN} decreased by 48% in Alaska and by 44% in Finland. When SZA increased, the response of FAPAR_{CAN} to basal area became weaker. For example, at SZA of

Manuscript under review for journal Biogeosciences

Published: 23 May 2016

© Author(s) 2016. CC-BY 3.0 License.





70° the basal area could be reduced to approx. 20 m² ha¹ with equal relative changes in albedo and FAPAR_{CAN} (Fig. 9b). At the largest SZA (80°) both albedo and FAPAR_{CAN} varied very little (max. 6%) between the 5th and 95th basal area percentiles. In other words, the effect of basal area depended strongly on SZA. However, the relative decrease of FAPAR_{CAN} with decreasing basal area was always larger than or equal to the relative increase in albedo.

Increasing the proportion of broadleaved trees increased the albedos considerably more than did reduction in basal area (Fig. 9c,d). The effect of broadleaved trees was slightly smaller in sparse than in dense forests. For example, at SZA of 40°, increasing the broadleaved proportion from 0–10% to 90–100% resulted in relative increase of albedo by 130% (in Alaska) and 80% (in Finland) in forests with high basal area (i.e., basal area percentiles from 70th to 100th). In forests with low basal area (i.e., basal area percentiles from 0th to 30th) the corresponding figures were 112% (Alaska) and 71% (Finland). The smaller relative increase in Finland is explained by the higher albedo of Finnish coniferous forests, because the albedos of broadleaved species did not differ between Alaska and Finland. FAPAR_{CAN} was almost independent on the proportion of broadleaved trees, except for large SZAs where FAPAR_{CAN} tended to decrease slightly when broadleaved proportion increased (Fig. 9d). This is explained by the fact that at large SZAs FAPAR_{CAN} was mainly determined by the absorption of canopy elements, and the absorption was lower for broadleaved than for coniferous trees.

4 Discussion

Despite recent studies published on the relationships between albedo and boreal forest structure, and despite the widespread use of FAPAR to monitor vegetation productivity, the physical link between forest albedo and productivity has been poorly understood. To our knowledge, the relationship between these two quantities has not been quantified earlier for an extensive geographical area. Another gap in the discussion has been the role of latitude: solar paths vary across the biome, and therefore, need to be taken into account before making any generalizations on how altering forest structure through silvicultural operations can be used to influence albedo (and furthermore, climate).

Our results show that albedo and FAPAR_{CAN} are tightly linked in boreal coniferous forests. The prerequisites for this are that there is only a limited proportion of broadleaved trees present in the forest and that the tree canopy is not very sparse (i.e. LAI is not very low). The explanation for the tight connection between albedo and FAPAR_{CAN} is that they respond with opposite trends to forest structural variables. However, the shapes of these trends depended on solar angle which was also reflected in the albedo vs. FAPAR_{CAN} relations. This underlines the importance of taking into account latitude and season (i.e. solar angle) when evaluating climate impacts of forests even within one biome. FAPAR_{TOT} was also tightly linked with albedo. Because FAPAR_{TOT} equals one minus PAR albedo, this finding indicates that PAR albedo and shortwave albedo of vegetation are correlated. However, the overall variation in FAPAR_{TOT} was small in magnitude, and therefore the total ecosystem productivity is highly independent on forest structure, at least when comparing forests with similar site fertilities.

Published: 23 May 2016

© Author(s) 2016. CC-BY 3.0 License.





The responses of albedo to tree species and forest structure were similar across the biome in Alaska and Finland. This corroborates findings in previous, local studies (Amiro et al., 2006; Bright et al., 2013; Lukeš et al., 2014; Kuusinen et al. 2014; Kuusinen et al., 2016). Also the results regarding overall level of FAPAR_{CAN}, and the dependence of FAPAR_{CAN} on tree species were similar to earlier studies (Roujean, 1999; Steinberg et al., 2006). However, as our study was based on extensive field data from two continents, drawing more general conclusions on how forest structure, albedo and productivity are interconnected is now possible. In addition, to our knowledge only one study has previously evaluated the forest floor contribution to albedo (Kuusinen et al., 2015). We showed that forest floor vegetation (which is often in practical forestry e.g. a proxy for site fertility type) can significantly contribute to forest albedo; its average contribution can be up to 50%, varying between forests dominated by different tree species. Similarly, the average contribution of forest floor to total ecosystem FAPAR can be up to or even over 50%, as reported previously also by Ikawa et al. (2015) for an eddy-covariance study site in Alaska. In other words, even though forest floor vegetation often contributes only little to, for example, total forest biomass, it can have a significant role as a key driving factor of forest albedo and ecosystem productivity.

The black soil simulations that we conducted in order to quantify the contribution of forest floor explained also why the albedo increased as a function of solar zenith angle. From previous simulation studies it is known that when the sun approaches the horizon, the path length of radiation and therefore scattering from the canopy layer increase while the contribution of forest floor decreases (Kimes et al., 1987; Ni & Woodcock, 2000). The net effect is dependent on the density (gap fractions) of the canopy layer, and on the reflectance of the forest floor: if the canopy is sparse or clumped, or if the reflectance of the forest floor is high, it is likely that increasing the solar zenith angle reduces the forest floor contribution more than it increases the scattering from canopy. Our results generalize the findings of these previous studies that examined only few stands locally. It should be noted that our results apply only to summertime conditions. If the forest floor has high reflectance due to e.g. snow cover, a decrease of albedo as a function of solar zenith angle is expected to be observed more often (Ni & Woodcock, 2000).

We observed some interesting differences between Alaskan and Finnish datasets which deserve to be highlighted. Even though our field data do not represent a probability sample they are still well representative of the forests in the study areas. The mean albedo was higher in Alaska than in Finland, because of the higher proportion of broadleaved species in Alaska. However, the coniferous forests in Alaska had lower albedos than those in Finland. There is some previous evidence to support this, because the lowest values reported by Amiro et al. (2006) for spruce forests in Alaska are lower than those reported by Kuusinen et al. (2014) for spruce in Finland. Because the difference remained also when assuming black soil, the reason is in the properties of the canopy layer. Particularly, the low reflectance of bark in the Alaskan species (Fig. 3b) explains part of the difference.

Published: 23 May 2016

© Author(s) 2016. CC-BY 3.0 License.





Radiative transfer models offer a useful tool for assessing the radiation regime of forests, especially when the modeling approach can utilize readily available common forest inventory databases. Validating the simulated albedo and FAPAR values, however, is challenging. Even though international model intercomparison efforts such as RAMI (Widlowski et al., 2007) provide a rigorous set of reports on performance of radiative transfer models, the quality of available input data in each study where a radiative transfer model is applied is crucial. For example, the forest floor albedos that we calculated from the available reflectance spectra (Fig. 3) were clearly higher (0.18–0.23) than forest floor albedos measured in the field at other boreal sites (approx. 0.15 in Manninen & Riihelä, 2008; Manninen & Riihelä, 2009; Kuusinen et al., 2014). If we had scaled our reflectance factors in order to obtain forest floor albedos of 0.15, the simulated forest albedos would have decreased by 7–10%. Furthermore, including also the UV region in the simulations would have reduced the simulated albedos by up to 7%, assuming that the optical properties of the canopy and forest floor are similar at UV than at 400 nm. However, particularly the lack of field measured spectra for some of the Alaskan species is a limitation of our study and shows that there is an urgent need for comprehensive spectral database of boreal tree species.

Our results regarding basal area give an idea of the magnitude of the effects that varying thinning regimes could have on forest albedo and productivity. The effect of thinnings on albedo have previously been estimated mainly by in situ measurements at few selected sites (Kirschbaum et al., 2011; Kuusinen et al., 2014). In our study, reduction in the basal area reduced FAPAR_{CAN} equally or more compared to how albedo changed. In contrast to basal area, the proportion of broadleaved trees had a notably larger effect on forest albedo while having only a negligible influence on forest productivity (FAPAR_{CAN}). The relative importance of basal area and tree species nevertheless depends on the spectral properties of the tree species and forest floor. Based on our results, the effect of thinning (removal of basal area) on albedo and FAPAR depends on solar angle. Therefore, the influence of thinning on forest productivity differs between latitudes. Furthermore, because the basal area influenced albedo and FAPAR_{CAN} less at large sun zenith angles, the effects of thinning integrated over entire rotation period may not be as large as they seem when studying them only at solar noon.

Global satellite products have provided us insight on coarse-scale trends of albedo in different biomes. However, their weakness is that even though we can establish correlations between changes in albedo and changes in land cover, we are still not able to identify and quantify the biophysical factors which cause the albedo of a forest area to change. In addition, a specific challenge in coupling forest management operations with changes in satellite-based albedo products is that the scale of these operations significantly differs in North America and Northern Europe, and often does not directly correspond to the spatial resolution of current albedo products. With an understanding of the consequences of, for example, forest management practices on the albedo, best-practice recommendations for forest management in future climate mitigation policies will become more justified. By coupling extensive field inventory data sets and radiative transfer modeling, we showed that albedo and FAPAR_{CAN} are tightly linked in boreal coniferous forests at stand level. However, the relation is weaker if the forest has deciduous admixture, or if the canopies are sparse and at the same time the species composition (i.e. optical

Manuscript under review for journal Biogeosciences

Published: 23 May 2016

© Author(s) 2016. CC-BY 3.0 License.





- 451 properties) of the forest floor vary. Because the shape of the relationship between albedo and FAPAR_{CAN} was shown to
- 452 depend on solar angle, studies evaluating the climate effects of forest management strategies need to consider latitudinal
- 453 effects due to varying solar paths. The comparisons between Alaska and Finland revealed that albedo and FAPAR_{CAN} differ
- 454 between geographical regions because of the differences in forest structure. However, regardless of geographical region in
- 455 the boreal zone, the potential of using thinning to increase forest albedo may be limited compared to the effect of favoring
- 456 broadleaved species.

Data availability

457

- 458 Data from Co-operative Alaska Forest Inventory prior to 2009 are available at LTER Network Data Portal
- 459 (http://dx.doi.org/10.6073/pasta/d442e829a1adf7da169b6076826de563). Forest inventory data from Finland are described in
- 460 Korhonen (2011) and Majasalmi et al. (2015). Leaf and needle optical properties measured in Hyytiälä are reposited at
- 461 SPECCHIO database (http://www.specchio.ch/), and those measured in Superior National Forest are reposited at ORNL
- 462 DAAC by NASA (http://dx.doi.org/10.3334/ORNLDAAC/183). Forest floor spectra were presented in Fig. 3 of this
- 463 manuscript.

464 Acknowledgments

- 465 This study was funded in parts by the Academy of Finland projects BOREALITY and SATLASER, and by the Davis
- 466 College of Agriculture, Natural Resources & Design, West Virginia University, under the US Department of Agriculture
- 467 (USDA) McIntire-Stennis Funds WVA00106. We thank Petr Lukeš and Matti Mõttus for advice on radiative transfer
- 468 modeling, and Titta Majasalmi, Pekka Voipio, Jussi Peuhkurinen and Maria Villikka for organizing the measurements of
- 469 field plots in Finland. We also thank the School of Natural Resources and Agricultural Sciences, University of Alaska for the
- 470 establishment and maintenance of the Co-operative Alaska Forest Inventory. The forest floor reflectances at Poker Flag
- 471 Research Range were obtained under the JAMSTEC and IARC/UAF collaborative study (PI: Rikie Suzuki).

472 **References**

- 473 Alkama, R. and Cescatti, A.: Biophysical climate impacts of recent changes in global forest cover, Science, 351, 600-604,
- 474 2016.
- 475 Amiro, B. D., Orchansky, A. L., Barr, A. G., Black, T. A., Chambers, S. D., Chapin, F. S., Goulden, M. L., Litvak, M., Liu,
- 476 H. P., McCaughey, J. H., McMillan, A. and Randerson, J. T.: The effect of post-fire stand age on the boreal forest energy
- 477 balance, Agric. For. Meteorol., 140, 41–50, 2006.

Manuscript under review for journal Biogeosciences

Published: 23 May 2016





- 478 Bond-Lamberty, B., Wang, C., Gower, S. T. and Norman, J.: Leaf area dynamics of a boreal black spruce fire
- 479 chronosequence., Tree Physiol., 22, 993–1001, 2002.
- 480 Bragg, D. C.: A local basal area adjustment for crown width prediction, North. J. Appl. For., 18, 22–28, 2001.
- 481 Bright, R. M., Antón-Fernández, C., Astrup, R., Cherubini, F., Kvalevåg, M. and Strømman, A. H.: Climate change
- implications of shifting forest management strategy in a boreal forest ecosystem of Norway, Glob. Chang. Biol., 20, 607–
- 483 621, 2014.
- 484 Bright, R. M., Astrup, R. and Strømman, A. H.: Empirical models of monthly and annual albedo in managed boreal forests
- 485 of interior Norway, Clim. Change, 120, 183–196, 2013.
- 486 Cajander, A. K.: Forest types and their significance. Acta Forestalia Fennica, 56, 1–71, 1949.
- 487 Chasmer, L., Hopkinson, C., Treitz, P., McCaughey, H., Barr, A. and Black, A.: A lidar-based hierarchical approach for
- 488 assessing MODIS fPAR, Remote Sens. Environ., 112, 4344–4357, 2008.
- 489 Gobron, N., Verstraete, M.M.: Assessment of the status of the development of the standards for the terrestrial essential
- 490 climate variables. T10 Fraction of Absorbed Photosynthetically Active Radiation (FAPAR). V8, GTOS65, pp. 1–24. NRC,
- 491 FAO, Rome, Italy, 2009.
- 492 Hall, F. G., Huemmrich, K. F., Strebel, D. E., Goetz, S. J., Nickeson, J. E., and Woods, K. D.: SNF Leaf Optical Properties:
- 493 Cary-14. [Superior National Forest Leaf Optical Properties: Cary-14]. Data set. Available on-line [http://www.daac.ornl.gov]
- 494 from Oak Ridge National Laboratory Distributed Active Archive Center, Oak Ridge, Tennessee, U.S.A, 1996. Based on
- 495 Hall, F. G., Huemmrich, K. F., Strebel, D. E., Goetz, S. J., Nickeson, J. E., and Woods, K. D.: Biophysical, Morphological,
- 496 Canopy Optical Property, and Productivity Data from the Superior National Forest, NASA Technical Memorandum 104568,
- 497 National Aeronautics and Space Administration, Goddard Space Flight Center, Greenbelt, Maryland, U.S.A., 1992.
- 498 doi:10.3334/ORNLDAAC/183
- 499 Hotanen, J-P., Nousiainen, H., Mäkipää, R., Reinikainen, A., Tonteri, T.: Metsätyypit opas kasvupaikkojen luokitteluun (In
- 500 Finnish), pp. 1–192. Metsäkustannus Oy, Porvoo, Finland, 2013.
- 501 Ikawa, H., Nakai, T., Busey, R. C., Kim, Y., Kobayashi, H., Nagai, S., Ueyama, M., Saito, K., Nagano, H., Suzuki, R. and
- 502 Hinzman, L.: Understory CO2, sensible heat, and latent heat fluxes in a black spruce forest in interior Alaska, Agric. For.
- 503 Meteorol., 214-215, 80-90, 2015.
- 504 Jakobsons, A.: Sambandet mellan trädkronans diameter och andra trädfaktorer, främst brösthöjdsdiametern: analyser
- 505 grundade på riksskogstaxeringens provträdsmaterial (the relationship between crown diameter and other tree factors,
- 506 diameter at breast height in particular: analysis based on the sample tree material of the National Forest Inventory).
- 507 Stockholms skoghögsskolan, institutionen för skogstaxering (Rapporter och uppsatser 14), pp.1–75, 1970.

Manuscript under review for journal Biogeosciences

Published: 23 May 2016





- 508 Kimes, D. S., Sellers, P. J. and Newcomb, W. W.: Hemispherical reflectance variations of vegetation canopies and
- 509 implications for global and regional energy budget studies, J. Clim. Appl. Meteorol., 26, 959–972, 1987.
- 510 Kirschbaum, M. U. F., Whitehead, D., Dean, S. M., Beets, P. N., Shepherd, J. D. and Ausseil, A. G. E.: Implications of
- albedo changes following afforestation on the benefits of forests as carbon sinks, Biogeosciences, 8, 3687–3696, 2011.
- 512 Korhonen, L.: Estimation of boreal forest canopy cover with ground measurements, statistical models and remote sensing.
- 513 Dissertationes Forestales, 115, 1–56, 2011.
- Kull, O. and Niinemets, U.: Variations in Leaf Morphometry and Nitrogen Concentration in Betula-Pendula Roth, Corylus-
- 515 Avellana L and Lonicera-Xylosteum L, Tree Physiol., 12, 311–318, 1993.
- 516 Kuusinen, N., Kolari, P., Levula, J., Porcar-Castell, A., Stenberg, P. and Berninger, F.: Seasonal variation in boreal pine
- 517 forest albedo and effects of canopy snow on forest reflectance, Agric. For. Meteorol., 164, 53–60, 2012.
- 518 Kuusinen, N., Tomppo, E. and Berninger, F.: Linear unmixing of MODIS albedo composites to infer subpixel land cover
- 519 type albedos, Int. J. Appl. Earth Obs. Geoinf., 23, 324–333, 2013.
- 520 Kuusinen, N., Lukeš, P., Stenberg, P., Levula, J., Nikinmaa, E. and Berninger, F.: Measured and modelled albedos in Finnish
- 521 boreal forest stands of different species, structure and understory, Ecol. Modell., 284, 10–18, 2014.
- 522 Kuusinen, N., Stenberg, P., Tomppo, E., Bernier, P., Berninger, F., Kuusinen, N., Stenberg, P., Berninger, F., Tomppo, E.
- 523 and Bernier, P.: Variation in understory and canopy reflectance during stand development in Finnish coniferous forests, Can.
- 524 J. For. Res, 45, 1077–1085, 2015.
- 525 Kuusinen, N., Stenberg, P., Korhonen, L., Rautiainen, M. and Tomppo, E.: Structural factors driving boreal forest albedo in
- 526 Finland, Remote Sens. Environ., 175, 43–51, 2016.
- 527 Kuusk, A. and Nilson, T.: A directional multispectral forest reflectance model, Remote Sens. Environ., 72, 244–252, 2000.
- 528 Lang, M., Kuusk, A., Nilson, T., Lükk, T., Pehk, M., Alm, G.: Reflectance spectra of ground vegetation in sub-boreal
- 529 forests. Web page. Available online. http://www.aai.ee/bgf/ger2600/ (from Tartu Observatory, Estonia. Accessed 6 Feb,
- 530 2013), 2002.
- Liang, J., Zhou, M., Tobin, P. C., McGuire, A. D. and Reich, P. B.: Biodiversity influences plant productivity through niche-
- 532 efficiency., Proc. Natl. Acad. Sci. U. S. A., 112, 5738-5743, 2015.
- 533 Lukeš, P., Stenberg, P. and Rautiainen, M.: Relationship between forest density and albedo in the boreal zone, Ecol. Modell.,
- 534 261-262, 74–79, 2013a.
- Lukeš, P., Stenberg, P., Rautiainen, M., Mõttus, M. and Vanhatalo, K. M.: Optical properties of leaves and needles for boreal
- tree species in Europe, Remote Sens. Lett., 4, 667–676, 2013b.

Published: 23 May 2016





- Lukeš, P., Rautiainen, M., Manninen, T., Stenberg, P. and Mõttus, M.: Geographical gradients in boreal forest albedo and 537
- structure in Finland, Remote Sens. Environ., 152, 526-535, 2014. 538
- 539 Majasalmi, T., Rautiainen, M. and Stenberg, P.: Modeled and measured fPAR in a boreal forest: Validation and application
- 540 of a new model, Agric. For. Meteorol., 189-190, 118-124, 2014.
- 541 Majasalmi, T., Rautiainen, M., Stenberg, P. and Manninen, T.: Validation of MODIS and GEOV1 fPAR Products in a
- 542 Boreal Forest Site in Finland, Remote Sens., 7, 1359–1379, 2015.
- Malone, T., Liang, J., Packee, E.C.: Cooperative Alaska Forest Inventory. General Technical Report PNW-GTR-785, USDA 543
- 544 Forest Service, Pacific Northwest Research Station, 32 Portland, OR, pp. 1–58, 2009.
- Manninen, T., Riihelä, A.: Subarctic boreal forest albedo estimation using ENVISAT ASAR for BRDF determination. 545
- 546 Proceedings of IGARSS'08, July 6 11, 2008, CD, p. 1-4, 2008.
- Manninen, T., Riihelä, A.: ENVISAT/ASAR VV/HH backscattering and the radiation characteristics of Subarctic boreal 547
- 548 forest. Proceedings of PolInSAR 2009, 26–30 January 2009, Frascati, Italy, Special publication of ESA SP-668, pp. 1–8,
- 549 2009.
- 550 Mõttus, M., Stenberg, P. and Rautiainen, M.: Photon recollision probability in heterogeneous forest canopies: Compatibility
- 551 with a hybrid GO model, J. Geophys. Res. Atmos., 112, 1–10, 2007.
- 552 Naudts, K., Chen, Y., McGrath, M. J., Ryder, J., Valade, A., Otto, J., Luyssaert, S.: Europe's forest management did not
- 553 mitigate climate warming. Science, 351, 597-601, 2016.
- Ni, W. and Woodcock, C. E.: Effect of canopy structure and the presence of snow on the albedo of boreal conifer forests, J. 554
- 555 Geophys. Res., 105, 11879, 2000.
- Nilson, T.: Inversion of gap frequency data in forest stands, Agric. For. Meteorol., 98-9, 437-448, 1999. 556
- Palmroth, S. and Hari, P.: Evaluation of the importance of acclimation of needle structure, photosynthesis, and respiration to 557
- 558 available photosynthetically active radiation in a Scots pine canopy, Can. J. For. Res., 31, 1235–1243, 2001.
- 559 Pan, Y., Birdsey, R. A., Fang, J., Houghton, R., Kauppi, P. E., Kurz, W. A., Phillips, O. L., Shvidenko, A., Lewis, S. L.,
- Canadell, J. G., Ciais, P., Jackson, R. B., Pacala, S. W., McGuire, A. D., Piao, S., Rautiainen, A., Sitch, S. and Hayes, D.: A 560
- Large and Persistent Carbon Sink in the World's Forests, Science, 333, 988–993, 2011. 561
- Rautiainen, M., Suomalainen, J., Mõttus, M., Stenberg, P., Voipio, P., Peltoniemi, J. and Manninen, T.: Coupling forest 562
- 563 canopy and understory reflectance in the Arctic latitudes of Finland, Remote Sens. Environ., 110, 332–343, 2007.
- Rautiainen, M., Mottus, M., Stenberg, P. and Ervasti, S.: Crown envelope shape measurements and models, Silva Fenn., 42, 564
- 565 19-33, 2008.

Manuscript under review for journal Biogeosciences

Published: 23 May 2016





- 566 Rautiainen, M., Mõttus, M., Heiskanen, J., Akujärvi, A., Majasalmi, T. and Stenberg, P.: Seasonal reflectance dynamics of
- 567 common understory types in a northern European boreal forest, Remote Sens. Environ., 115, 3020–3028, 2011.
- 568 Rautiainen, M., Mõttus, M., Yáñez-Rausell, L., Homolová, L., Malenovský, Z. and Schaepman, M. E.: A note on upscaling
- 569 coniferous needle spectra to shoot spectral albedo, Remote Sens. Environ., 117, 469–474, 2012.
- 870 Reich, P. B., Ellsworth, D. S., Walters, M. B., Vose, J. M., Gresham, C., Volin, J. C. and Bowman, W. D.: Generality of leaf
- trait relationships: A test across six biomes, Ecology, 80, 1955–1969, 1999.
- 572 Repola, J.: Biomass equations for birch in Finland, Silva Fenn., 42, 605–624, 2008.
- 573 Repola, J.: Biomass equations for Scots pine and Norway spruce in Finland, Silva Fenn., 43, 625–647, 2009.
- 574 Richardson, A. D., Berlyn, G. P. and Duigan, S. P.: Reflectance of Alaskan black spruce and white spruce foliage in relation
- 575 to elevation and latitude, Tree Physiol., 23, 537–544, 2003.
- 576 Roujean, J. L.: Measurements of PAR transmittance within boreal forest stands during BOREAS, Agric. For. Meteorol., 93,
- 577 1-6, 1999.
- 578 Schaaf, C. B.: Assessment of the status of the development of the standards for the terrestrial essential climate variables. T8
- 579 albedo and reflectance anisotropy. V12, GTOS63, pp. 1–20 NRC, FAO, Rome, 2009.
- 580 Serbin, S. P., Ahl, D. E. and Gower, S. T.: Spatial and temporal validation of the MODIS LAI and FPAR products across a
- 581 boreal forest wildfire chronosequence, Remote Sens. Environ., 133, 71–84, 2013.
- 582 Sigurdsson, B. D., Thorgeirsson, H. and Linder, S.: Growth and dry-matter partitioning of young Populus trichocarpa in
- response to carbon dioxide concentration and mineral nutrient availability., Tree Physiol., 21, 941–50, 2001.
- 584 Smolander, H., Stenberg, P. and Linder, S.: Dependence of light interception efficiency on structural parameters, Tree
- 585 Physiol., 14, 971-980, 1994.
- 586 Steinberg, D., Goetz, S. and Hyer, E.: Validation of MODIS FPAR products in boreal forests of Alaska, IEEE Trans. Geosci.
- 587 Remote Sens., 44, 1818–1828, 2006.
- 588 Stenberg, P., Kangas, T., Smolander, H. and Linder, S.: Shoot structure, canopy openness, and light interception in Norway
- 589 spruce, Plant, Cell Environ., 22, 1133–1142, 1999.
- 590 Stenberg, P., Linder, S. and Smolander, H.: Variation in the ratio of shoot silhouette area to needle area in fertilized and
- unfertilized Norway spruce trees., Tree Physiol., 15, 705–12, 1995.
- 592 Thérézien, M., Palmroth, S., Brady, R. and Oren, R.: Estimation of light interception properties of conifer shoots by an
- 593 improved photographic method and a 3D model of shoot structure., Tree Physiol., 27, 1375–87, 2007.

Published: 23 May 2016





- Thuillier, G., Hers, M., Simon, P. C., Labs, D., Mandel, H. and Gillotay, D.: Observation of the solar spectral irradiance
- 595 from 200 nm to 870 nm during the ATLAS 1 and ATLAS 2 missions by the SOLSPEC spectrometer, Metrologia, 35, 689-
- 596 695, 2003.
- 597 Widlowski, J. L., Taberner, M., Pinty, B., Bruniquel-Pinel, V., Disney, M., Fernandes, R., Gastellu-Etchegorry, J. P.,
- 598 Gobron, N., Kuusk, A., Lavergne, T., Leblanc, S., Lewis, P. E., Martin, E., Mõttus, M., North, P. R. J., Qin, W., Robustelli,
- 599 M., Rochdi, N., Ruiloba, R., Soler, C., Thompson, R., Verhoef, W., Verstraete, M. M. and Xie, D.: Third Radiation Transfer
- 600 Model Intercomparison (RAMI) exercise: Documenting progress in canopy reflectance models, J. Geophys. Res. Atmos.,
- 601 112, 1–28, 2007.
- 602 Yang, W., Kobayashi, H., Suzuki, R. and Nasahara, K.: A Simple Method for Retrieving Understory NDVI in Sparse
- 603 Needleleaf Forests in Alaska Using MODIS BRDF Data, Remote Sens., 6, 11936–11955, 2014.
- 604 Yarie, B. J., Kane, E., Hall, B.: Aboveground Biomass Equations for the Trees of Interior Alaska. AFES Bulletin, 115, 1–16,
- 605 2007.

Published: 23 May 2016

© Author(s) 2016. CC-BY 3.0 License.





Table 1. Mean (standard deviation) of forest variables by dominant tree species in Alaska and Finland. The species dominance was determined by basal area proportion: If the basal area of one of the species exceeded 80% of the total basal area, the plot was considered to be dominated by that species. The remaining plots were labeled as mixed.

Tree species	Number	Stems per	Diameter	Height (m)	Crown	Basal area	Effective
	of plots	hectare	at breast		ratio (%)	$(m^2 ha^{-1})$	LAI $(m^2 m^{-2})$
			height		2)		3)
			(cm) 1)				
			Alaska				
Black spruce	70	2361 (1542)	9.3 (3.8)	7.3 (3.2)	69 (11)	14.6 (9.3)	1.0 (0.6)
White spruce	124	806 (653)	21.3 (7.9)	14.7 (5.2)	74 (9)	22.8 (13.1)	2.4 (1.3)
Quaking aspen	22	1572 (916)	15.8 (5.1)	13.9 (3.5)	37 (7)	26.0 (8.8)	2.8 (0.9)
Black cottonwood/	8	672 (658)	35.1 (14.7)	20.5 (5.8)	62 (11)	34.8 (14.5)	2.7 (1.1)
balsam poplar							
Birches	84	873 (662)	22.6 (8.4)	17.5 (2.9)	58 (11)	25.1 (8.1)	3.2 (1.4)
Mixed	276	1082 (1131)	22.0 (8.3)	15.1 (3.9)	62 (12)	25.2 (10.1)	2.7 (1.2)
All	584	1160 (1139)	20.3 (9.0)	14.4 (4.9)	64 (13)	23.6 (11.0)	2.5 (1.3)
Finland							
Scots pine	184	1165 (1301)	18.0 (8.5)	14.7 (6.4)	51 (16)	15.9 (7.7)	1.1 (0.5)
Norway spruce	115	980 (1014)	19.7 (8.9)	16.6 (6.9)	68 (15)	19.8 (9.4)	2.4 (1.1)
Broadleaved	23	1409 (1419)	13.6 (7.1)	13.9 (6.0)	62 (16)	12.6 (7.1)	1.9 (1.2)
Mixed	180	1094 (1782)	20.5 (8.0)	17.2 (5.8)	58 (14)	20.3 (9.1)	2.2 (1.1)
All	502	1109 (1444)	19.1 (8.5)	16.0 (6.4)	58 (16)	18.2 (8.9)	1.8 (1.1)

¹⁾ Definition of breast height differed between Alaska (1.37 m) and Finland (1.3 m).

^{610 2)} Ratio of the length of living crown to tree height.

³⁾ Not measured in the field. The values are calculated by the FRT model.

Published: 23 May 2016

© Author(s) 2016. CC-BY 3.0 License.





Table 2. Number of study plots by dominant tree species and by forest floor type. The species dominance was determined by basal area proportion: If the basal area of one of the species exceeded 80% of the total basal area, the plot was considered to be dominated by that species.

Tree species	Forest floor				
	Grass	Shrub/moss	Lichen		
Black spruce	8	60	2		
White spruce	13	111	0		
Quaking aspen	4	18	0		
Black cottonwood/balsam poplar	2	6	0		
Birches	23	61	0		
Mixed	40	236	0		
All	90	492	2		
	Herb-rich	Mesic	Xeric		
Scots pine	2	145	37		
Norway spruce	28	86	1		
Broadleaved	8	14	1		
Mixed	26	152	2		
All	64	397	41		

Published: 23 May 2016

© Author(s) 2016. CC-BY 3.0 License.





Table 3. Structural input parameters used in the FRT model simulations.

	Leaf mass per	Shoot shading	Shoot length	Branch area to				
	area (g m ⁻²) 1)	coefficient 2)	$(m)^{3)}$	leaf area ratio 4)				
		Alaska						
Black spruce	187	0.50	0.05	0.18				
White spruce	182	0.50	0.05	0.18				
Quaking aspen	57	1	0.40	0.15				
Balsam poplar	86	1	0.40	0.15				
Birches	54	1	0.40	0.15				
Finland								
Scots pine	158	0.59	0.10	0.18				
Norway spruce	200	0.64	0.05	0.18				
Broadleaved	57	1	0.40	0.15				

- 617 1) Black spruce and white spruce (Reich et al., 1999), quaking aspen and birches in Alaska (Bond-Lamberty et al., 2002),
- 618 balsam poplar (Sigurdsson et al., 2001), Scots pine (Palmroth & Hari, 2001), Norway spruce (Stenberg et al., 1999),
- broadleaved species in Finland (values of birch from Kull & Niinemets, 1993)
- 620 2) Projected to total needle area in a shoot. Measures the effective leaf area, taking into account the self-shading of needles in
- 621 a shoot. Black spruce and white spruce (Thérézien et al., 2007), Scots pine (Smolander et al., 1994), Norway spruce
- 622 (Stenberg et al., 1995)
- 623 3, 4) Same values as used by Lukeš et al. (2013a)

Published: 23 May 2016

© Author(s) 2016. CC-BY 3.0 License.





Table 4. Albedo, FAPAR_{CAN}, and FAPAR_{TOT} by dominant tree species and SZA. The reported value for given species is the mean of plots in which the basal area proportion of that species exceeded 80%. The number of plots and mean forest variables for each species are reported in Table 1.

Tree species	SZA						
	40°	50°	60°	70°	80°		
Albedo							
Black spruce	0.121	0.122	0.124	0.128	0.137		
White spruce	0.091	0.094	0.097	0.103	0.114		
Broadleaved (Alaska)	0.194	0.204	0.218	0.236	0.262		
Scots pine	0.144	0.147	0.152	0.159	0.172		
Norway spruce	0.110	0.114	0.120	0.128	0.141		
Broadleaved (Finland)	0.207	0.218	0.231	0.248	0.273		
	FAPA	R_{CAN}					
Black spruce	0.47	0.53	0.61	0.72	0.86		
White spruce	0.72	0.77	0.84	0.90	0.95		
Broadleaved (Alaska)	0.78	0.82	0.86	0.89	0.91		
Scots pine	0.50	0.57	0.65	0.75	0.86		
Norway spruce	0.73	0.79	0.84	0.89	0.92		
Broadleaved (Finland)	0.60	0.65	0.71	0.76	0.81		
FAPAR _{TOT}							
Black spruce	0.97	0.97	0.97	0.97	0.97		
White spruce	0.98	0.98	0.98	0.98	0.98		
Broadleaved (Alaska)	0.95	0.95	0.94	0.94	0.93		
Scots pine	0.97	0.97	0.97	0.97	0.96		
Norway spruce	0.97	0.97	0.97	0.97	0.97		
Broadleaved (Finland)	0.95	0.95	0.94	0.94	0.93		

Published: 23 May 2016

© Author(s) 2016. CC-BY 3.0 License.



628

629 630

631



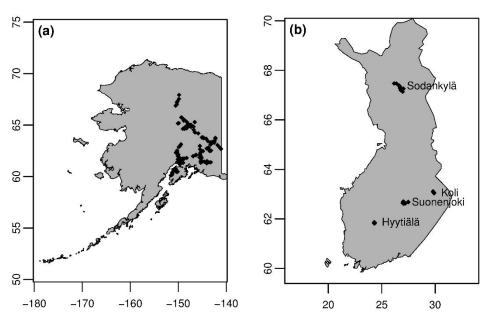
Table 5. Canopy and forest floor contributions to albedo, and forest floor contribution to FAPAR_{TOT} by dominant tree species and SZA. The reported value for given species is the mean of plots in which the basal area proportion of that species exceeded 80%. Note that the values are directly comparable to the species specific forest albedos and FAPAR values reported in Table 4, i.e. exactly the same plots were used to calculate the average values in both tables.

Tree species	SZA					
	40°	50°	60°	70°	80°	
Forest albedo when assuming black soil						
Black spruce	0.053	0.059	0.069	0.084	0.108	
White spruce	0.062	0.068	0.076	0.087	0.104	
Broadleaved (Alaska)	0.169	0.182	0.199	0.221	0.251	
Scots pine	0.075	0.084	0.096	0.114	0.140	
Norway spruce	0.079	0.087	0.097	0.109	0.128	
Broadleaved (Finland)	0.140	0.155	0.173	0.197	0.231	
Contribution of forest floor to total forest albedo, %						
Black spruce	52.9	48.0	41.4	32.4	20.2	
White spruce	27.9	23.7	19.0	13.7	8.0	
Broadleaved (Alaska)	12.9	10.9	8.7	6.5	4.3	
Scots pine	45.6	40.6	34.5	26.8	17.9	
Norway spruce	23.5	19.7	15.8	11.9	8.0	
Broadleaved (Finland)	32.7	29.5	25.9	21.9	17.1	
Contribution of forest floor to FAPAR _{TOT} , %						
Black spruce	50.1	44.1	36.0	25.1	11.1	
White spruce	26.4	20.6	14.5	8.3	2.6	
Broadleaved (Alaska)	16.9	12.5	8.3	4.6	2.0	
Scots pine	46.3	39.8	31.7	21.5	10.5	
Norway spruce	24.4	18.7	13.2	8.3	4.4	
Broadleaved (Finland)	34.7	29.3	23.5	17.7	12.4	

© Author(s) 2016. CC-BY 3.0 License.





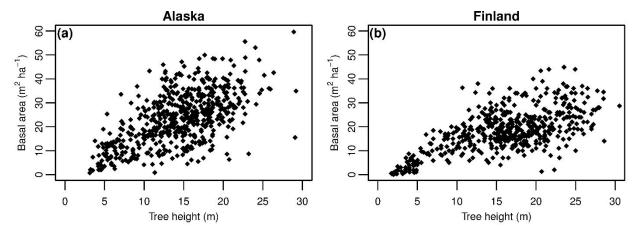


634 Figure 1. Location of the field plots.

© Author(s) 2016. CC-BY 3.0 License.







636 Figure 2. Basal area against tree height in the study plots in Alaska (a) and Finland (b).

© Author(s) 2016. CC-BY 3.0 License.



637 638



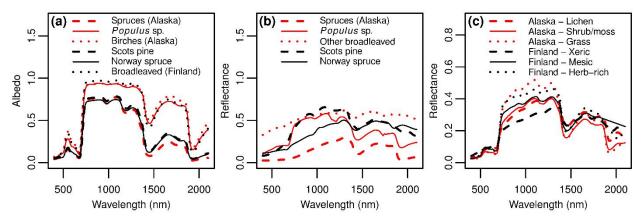


Figure 3. Spectra of vegetation elements used in the simulations: (a) leaves/shoots, (b) bark, (c) forest floor. The values for leaf and shoot are single scattering albedos (reflectance + transmittance), and the values for bark and forest floor are reflectance factors.

© Author(s) 2016. CC-BY 3.0 License.



641

642

643



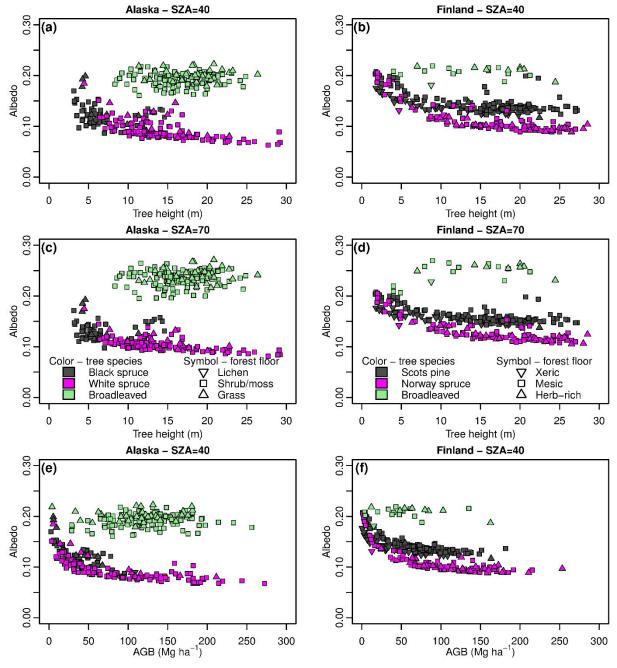


Figure 4. Forest albedo as a function of tree height (a-d) and AGB (e-f). Relations to tree height are shown for two SZAs, 40° (a-b) and 70° (c-d), representing solar noon at midsummer and the annual average in the study regions. Left hand column shows the results for the Alaskan data, and right hand column for the Finnish data. The figures show only monospecific plots, i.e. plots in which the basal area proportion of one of the species exceeded 80%.

© Author(s) 2016. CC-BY 3.0 License.



646 647

648649

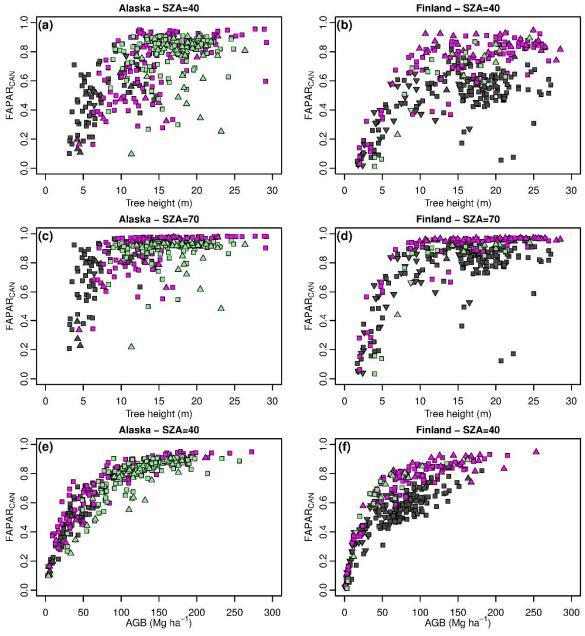


Figure 5. FAPAR_{CAN} as a function of tree height (a–d) and AGB (e–f). Relations to tree height are shown for two SZAs, 40° (a–b) and 70° (c–d), representing solar noon at midsummer and the annual average in the study regions. Left hand column shows the results for the Alaskan data, and right hand column for the Finnish data. The figures show only monospecific plots i.e. plots in which the basal area proportion of one of the species exceeded 80%. For explanation of the symbols, see legend in Fig. 4.

© Author(s) 2016. CC-BY 3.0 License.



652



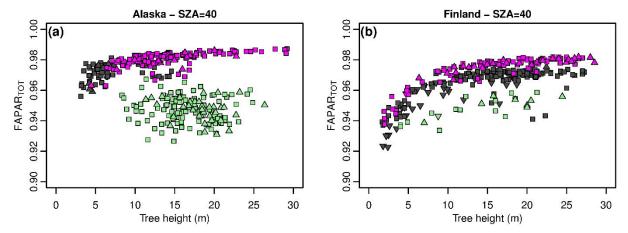


Figure 6. FAPAR_{TOT} as a function of tree height at SZA of 40°. The figures show only monospecific plots i.e. plots in which the basal area proportion of one of the species exceeded 80%. For explanation of the symbols, see legend in Fig. 4.

© Author(s) 2016. CC-BY 3.0 License.



655

656

657



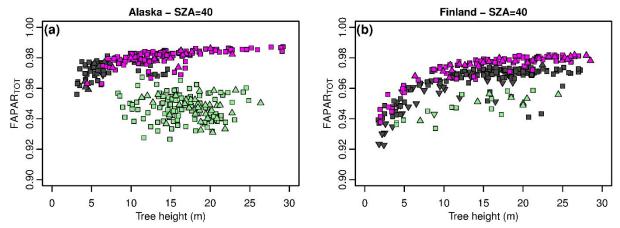


Figure 7. Canopy and forest floor contributions to forest albedo as function of tree height. Canopy contribution was obtained by assuming black soil in the simulation. Forest floor contribution was obtained by subtracting the canopy contribution from the total forest albedo. The data shown are from Norway spruce dominated forests in Finland.





659

660

661

662

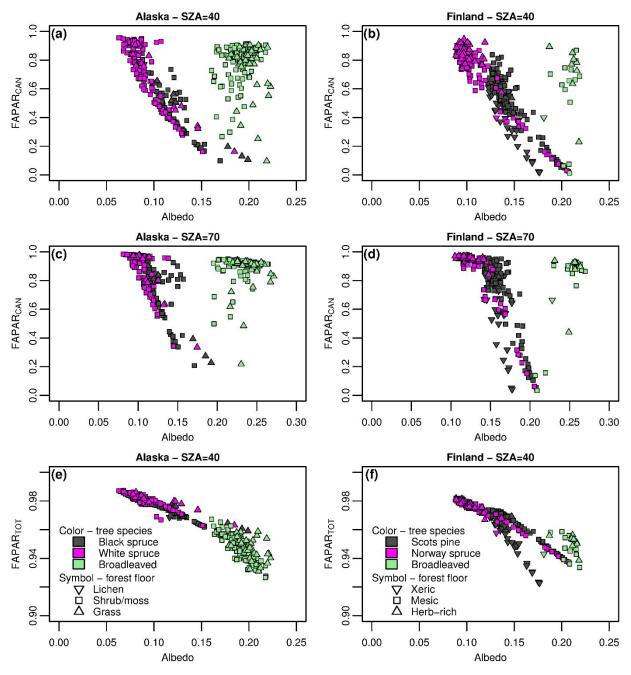


Figure 8. Relation of FAPAR to forest albedo by dominant tree species. The figures show only plots that were dominated by one species i.e. in which the basal area proportion of one of the species exceeded 80%. a–d: $FAPAR_{CAN}$ against albedo at two SZAs, 40° and 70° , representing solar noon at midsummer and the annual average in the study regions; e–f: $FAPAR_{TOT}$ against albedo at SZA of 40° .

© Author(s) 2016. CC-BY 3.0 License.



664

665

666

667



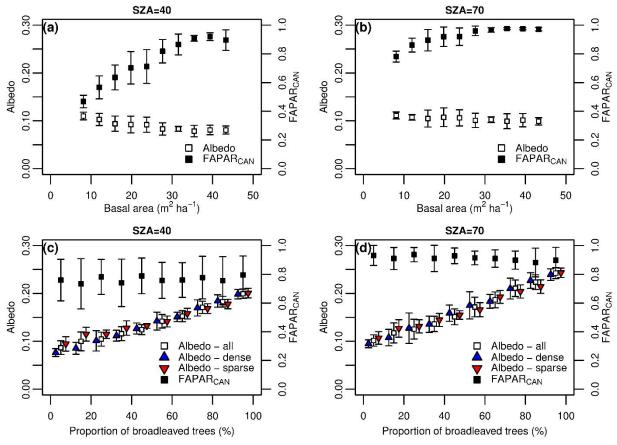


Figure 9. Effect of basal area (a–b) and proportion of broadleaved trees (c–d) on albedo and FAPAR_{CAN} at sun zenith angles of 40° and 70° in Alaska. Points represent mean and whiskers the standard deviation in ten equally spaced classes. Effect of broadleaved proportion on albedo is presented separately for dense (basal area > $31 \text{ m}^2 \text{ ha}^{-1}$) and sparse (basal area < $21 \text{ m}^2 \text{ ha}^{-1}$) forest. These limits correspond to 30th and 70th percentiles of basal area in Alaskan data. The points representing dense and sparse forest are shifted along the x axis in order to make them visible.