

1 **Temperature and moisture effects on greenhouse gas**

2 **emissions from deep active-layer boreal soils**

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9 **Abstract**

10 Rapid climatic changes, rising air temperatures, and increased fires are expected to
11 drive permafrost degradation and alter soil carbon (C) cycling in many high-latitude
12 ecosystems. How these soils will respond to changes in their temperature, moisture,
13 and overlying vegetation is uncertain, but critical to understand given the large soil
14 C stocks in these regions. We used a laboratory experiment to examine how
15 temperature and moisture control CO₂ and CH₄ emissions from mineral soils
16 sampled from the bottom of the annual active layer, i.e. directly above permafrost, in
17 an Alaskan boreal forest. Gas emissions from thirty cores, subjected to two
18 temperatures and either field moisture conditions or experimental drought, were
19 tracked over a 100-day incubation; we also measured a variety of physical and

20 chemical characteristics of the cores. Gravimetric water content was 0.31 ± 0.12
21 (unitless) at the beginning of the incubation; cores at field moisture were unchanged
22 at the end, but drought cores had declined to 0.06 ± 0.04 . Daily CO_2 fluxes were
23 positively correlated with incubation chamber temperature, core water content, and
24 percent soil nitrogen, and had a temperature sensitivity (Q_{10}) of 1.3 and 1.9 for the
25 field moisture and drought treatments, respectively. Daily CH_4 emissions were most
26 strongly correlated with percent nitrogen, but neither temperature nor water
27 content was a significant first-order predictor of CH_4 fluxes. The cumulative
28 production of C from CO_2 was over six orders of magnitudes higher than that from
29 CH_4 ; cumulative CO_2 was correlated with incubation temperature and moisture
30 treatment, with drought cores producing 52% - 73% lower C. Cumulative CH_4
31 production was unaffected by any treatment. These results suggest that deep active-
32 layer soils may be sensitive to changes in soil moisture under aerobic conditions, a
33 critical factor as discontinuous permafrost thaws in interior Alaska. Deep but
34 unfrozen high-latitude soils have been shown to be strongly affected by long-term
35 experimental warming, and these results provide insight into their future dynamics
36 and feedback potential with future climate change.

37 **1 Introduction**

38 High latitude ecosystems are being subjected to rapid changes in climate (IPCC,
39 2013) and increases in fire frequency and intensity (Kasischke et al., 2010), notably
40 in northwestern North America and Alaska (Hinzman et al., 2005; Ju and Masek,
41 2016). This will have a wide variety of ecosystem effects (Alexander and Mack,

42 2016): in particular, rising temperatures and increasing fire will likely result in
43 changes in soil temperature and permafrost degradation (Pastick et al., 2015; Zhang
44 et al., 2015; Genet et al., 2013; Helbig et al., 2016), with subsequent hydrology
45 changes that will influence soil greenhouse gas (GHG) fluxes to the atmosphere
46 (Schädel et al., 2014). Such fluxes are a large component of the global C cycle and
47 could result in a significant and positive climate feedback (Treat et al., 2015; Koven
48 et al., 2011; Schaefer et al., 2014).

49 The magnitude, timing, and form-in particular as methane (CH₄) or carbon dioxide
50 (CO₂)-of such any such feedback remain highly uncertain (Schoor et al., 2015).

51 While northern soils hold enormous quantities of potentially mineralizable soil
52 organic carbon (SOC) (Hugelius et al., 2014), vegetation and succession dynamics
53 (for example, thermal insulation by mosses) promote permafrost resilience to even
54 large temperature changes (Jorgenson et al., 2010; Turetsky et al., 2012). Vegetation
55 type also influences SOC quality and quantity, with microbial communities (Högberg
56 et al., 2007), soil respiration (Raich and Tufekcioglu, 2000), and SOC all linked to
57 aboveground factors such as woody versus nonwoody stems, deciduous versus
58 evergreen canopies, and the presence of nitrogen-fixing plants. A number of factors
59 may however disrupt these these feedbacks between vegetation type, ground cover,
60 permafrost, and SOC stability. Climate changes, in particular regional warming and
61 drying, cause vegetation stress (Ju and Masek, 2016; Barber et al., 2000) and
62 increased mortality. Conversely, increasing plant productivity in some regions can
63 stimulate the decomposition of older SOC (Hartley et al., 2012). Climate also drives
64 fire regime changes, and ecosystem disruption is particularly likely after intense

65 fires (Johnstone et al., 2010; Genet et al., 2013). Even absent disturbance, the
66 stability of SOC is highly uncertain, as it depends on soil temperature and moisture,
67 the ages of and ratio between the carbon (C) and nitrogen (N) pools (Weiss et al.,
68 2015; Karhu et al., 2014), and its protection (whether by organomineral sorption,
69 chemical lability, or physical location) from competent microorganisms, enzymes,
70 and resources (Bailey et al., 2012; Schmidt et al., 2011).

71 Temperature and moisture typically have strong and often interactive influences on
72 soil GHG emissions. Laboratory incubations, field observations, and meta-analyses
73 have documented changing greenhouse gas (GHG) fluxes with rising temperature
74 (Olefeldt et al., 2013; Davidson and Janssens, 2006; Hashimoto et al., 2015; Treat et
75 al., 2015). GHG responses to wetting and thawing dynamics exhibit substantial
76 variability between studies, probably due to differences in soil type, antecedent
77 conditions, phase changes, experimental protocols, etc. (Kim et al., 2012). The
78 anaerobic conditions common following permafrost thaw are expected to lower CO₂
79 emissions but increase those of CH₄ (Treat et al., 2015; Treat et al., 2014), but
80 emissions from aerobic soils will likely dominate the permafrost C feedback
81 (Schädel et al., 2016). Decadal warming and drying trends in Alaska (Bieniek et al.,
82 2014) thus seem likely to increase GHG emissions from soils, and laboratory
83 incubation experiments are critical to understand these dynamics (Elberling et al.,
84 2013).

85 Most previous studies have focused on surface soils or permafrost soils, neglecting
86 deep active-layer soils that were identified as subject to strong effects from a two-

87 decade warming experiment in the Alaskan Arctic (Sistla et al., 2013). Such deeper
88 soils have particular characteristics distinguishing them from both shallow active
89 layer soils and underlying permafrost: they are most affected by interannual
90 variability in thaw depth, potentially flipping the C source/sink status of entire
91 ecosystems (Goulden et al., 1998; Harden et al., 2012); they are subject to distinctive
92 freeze-thaw, cryoturbation, and microbial dynamics, which are likely to change their
93 sensitivity to climate change and feedback potential (Schuur et al., 2008); and they
94 are known to pose particular problems for accurate modeling of high-latitude
95 carbon dynamics (Nicolson et al., 2007). These soils are likely to be a highly
96 important contributor to future climate feedbacks, with modeling studies suggesting
97 that one-third of 21st century climate-induced carbon loss may originate from
98 seasonally frozen soil carbon (Koven et al., 2015).

99 The goal of this study was to examine how temperature and moisture control GHG
100 (CO₂ and CH₄) emissions from soils sampled from the bottom of the annual active
101 layer—i.e., directly above permafrost—in an Alaskan boreal forest. We also aimed to
102 characterize the chemical and structural properties of these soils following a 100-
103 day incubation at different temperatures, subjecting some cores to drying
104 treatments. We hypothesized that (i) CO₂ would be the dominant pathway for C loss
105 in these largely aerobic soils; (ii) soils maintained at field moisture and high (20°C)
106 temperature would lose more C-CO₂ than cores incubated at 4°C, due to increased
107 aerobic and anaerobic microbial activity; and (iii) core CH₄ fluxes would be small
108 and sensitive only to temperature, as no anaerobic conditions were imposed on the
109 cores.

110 **2 Methods**

111 **2.1 Field sampling**

112 The field component of this research took place in Caribou-Poker Creeks Research
113 Watershed (CPCRW), part of the Bonanza Creek LTER
114 (<http://www.lter.uaf.edu/research/study-sites-cpcrw>). CPCRW is located in the
115 Yukon-Tanana Uplands northeast of Fairbanks, AK, a part of the boreal forest that
116 has seen strong increases in air temperature and forest browning (Ju and Masek,
117 2016) over several decades. Annual average air temperature is -2.5 °C, and annual
118 average precipitation 400 mm (Petroni et al., 2006). The watershed's lowlands and
119 north-facing slopes are dominated by black spruce (*Picea mariana* (Mill.) BSP),
120 feathermoss (*Pleurozium schreberi* and others), and *Sphagnum* spp.; the drier south
121 slopes tend to be deciduous with a mixture of trembling aspen (*Populus tremuloides*
122 Michx.), paper birch (*Betula neoalaskana*), and patches of alder (*Alnus crispa*).

123 We sampled soils from a southeast slope (65.1620 °N, 147.4874 °W) at CPCRW, in a
124 60 m transition zone between lowland *Picea mariana* and upland *Betula*
125 *neoalaskana*, with significant white spruce (*Picea glauca*) presence as well. Stand
126 density in this transition zone was 4060 ± 2310 trees ha⁻¹, with basal area of $27.9 \pm$
127 7.0 m² ha⁻¹. The forest was at least 90 years old (cf. Morishita et al., 2014) according
128 to tree cores taken at the base of several of the largest white spruce. The soil is
129 characterized as a poorly-drained silt loam, and on average had ~20 cm of organic
130 material over the mineral soil.

131 Thirty-nine soil cores, each 30 cm high by 7.5 cm wide, were taken using a soil
132 recovery augur (AMS Inc., American Falls, ID) on 3-5 August 2015. We sampled from
133 the bottom (within 0-2 cm of permafrost) of the active layer, which averaged 80 cm
134 depth. Sample points were randomly located in the transition zone described above,
135 and separated by 2-5 m. Cores were kept cool in the field before being packed in dry
136 ice and shipped to Richland, WA within 48-72 hours of collection.

137 **2.2 Laboratory incubation**

138 In the lab, the soil cores were stored at 4 °C for several days until they were weighed
139 and prepared for incubation. At that point (11-12 August 2015), three fragmented
140 or otherwise damaged cores were discarded, and the remaining cores were
141 randomly assigned to one of six groups (N=6 in each group). These included two
142 incubation temperatures of 4 and 20 °C, following the protocol of a number of
143 previous boreal incubation studies (Treat et al., 2015). Within each temperature
144 there were two moisture treatments: one in which soil moisture was maintained at
145 field conditions (~28% moisture by volume), and a drought treatment in which no
146 water was added and cores were allowed to dry down to ~5% moisture by volume.
147 The fifth group was a 20 °C "controlled drought" one, in which water was added so
148 that these cores' moisture status would close match those of the 4 °C "drought"
149 cores, which we anticipated would dry more slowly than their 20 °C counterparts.
150 The final 6-core group was used for destructive, pre-incubation measurements
151 including moisture content, pH, soil carbon and N, and bulk density. Subsamples

152 were collected and stored at -20 °C for dissolved organic carbon measurements or
153 air-dried for soil C and N (see below).

154 On 18 August 2015 cores were placed into one of two growth chambers (Conviron
155 Control Systems BDW80, Winnipeg, Canada) maintained at 4 and 20 °C
156 temperatures and 70% relative humidity and allowed to equilibrate for two weeks.
157 Starting on 31 August 2015 we measured the cores' mass and GHG emissions four
158 times in the first week, then twice per week for the first month, and then once per
159 week for the rest of the 100-day incubation. Throughout the incubation, cores had a
160 200 µm mesh screen fit to the base and were mounted on porous ceramic plates
161 (Soil Moisture Equipment Corp., Santa Barbara, CA, USA) so that, when the plates
162 were placed in contact with water, water would move up into the cores via capillary
163 action. The "drought" cores were mounted on dry plates, but not allowed to drop
164 below 5% water content. After each flux measurement, cores received additional
165 wetting from the top to maintain their desired water status.

166 For each measurement, a six-core treatment group was connected to a Picarro
167 A0311 multiplexer that was in turn connected to a Picarro G2301 GHG Analyzer
168 (Picarro Inc., Santa Clara, CA, USA). Dry CH₄ and CO₂ concentrations were monitored
169 for 2 minutes, and this was repeated 2-3 times before moving on to a new treatment
170 group. Cores were weighed immediately after gas measurements. Ambient air was
171 measured between treatment groups, and before starting measurements in a
172 chamber, as a check on ambient CO₂ conditions and instrument stability.

173 The incubation experiment concluded on 9 December 2015, following the final CO₂
174 and CH₄ readings. Each soil core was maintained at the treatment-dependent
175 temperature and moisture content (by mass) until removed for destructive
176 sampling, December 14-18, 2015. Sub-samples were collected and composited
177 throughout each soil core for dissolved organic carbon analysis (110 ± 24 g dry
178 mass equivalent) and dry-mass calculations (~28 g each). The remaining core
179 material was air-dried and separated into particles (>2 mm diameter) and soil (≤2
180 mm) using a U.S. Standard Test Sieve No. 10 (Fisherbrand, Pittsburg, PA, USA). The
181 dry mass and volume of soil were used in calculations of gravimetric and volumetric
182 soil moisture content, respectively (Gardner, 1986). Soil volume was calculated as
183 the total core volume minus the volume of particles >2 mm diameter, with the latter
184 determined by water displacement. Air-dried soil and sub-samples stored at -20 °C
185 were sent to the Agricultural and Environmental Services Laboratory at the
186 University of Georgia Extension in February 2016 for total C, N, and dissolved
187 organic carbon (DOC). Samples were combusted in an oxygen atmosphere at 1350
188 °C, and measured for gaseous C and N using an Elementar Vario Max CNS
189 (Langensfeld, Germany). DOC was measured using a Shimadzu 5000 TOC
190 Analyzer (Columbia, Maryland, USA).

191 **2.3 Data and statistical analysis**

192 For each measurement of each sample throughout the 100-day incubation (i.e., each
193 gas, core, and date/time), we used the rise in gas concentrations to calculate a flux
194 rate in ppm s⁻¹ (CO₂) or ppb s⁻¹ (CH₄), a linear rate of change ($\delta c/\delta t$) based on the

195 concentration rise from a minimum (up to 10 seconds after measurement began) to
196 a maximum (at 10-45 seconds). Each core's respiration flux (F) was then calculated
197 as $F = \frac{\delta c}{\delta t} \frac{V}{M} \frac{P_a}{RT}$ where V is the core-specific system volume, M the core dry mass as
198 determined at the end of the incubation, P_a atmospheric pressure (101 kPa; the
199 incubation chambers were ~120 m a.s.l.), R the universal gas constant ($8.3 \times 10^{-3} \text{ m}^3$
200 $\text{kPa mol}^{-1} \text{ K}^{-1}$) and T the chamber air temperature (K) at time of measurement. The
201 final respiration rate was expressed on a soil C basis (μg or $\text{ng C g C}^{-1} \text{ day}^{-1}$).

202 Anomalous data were excluded based on their gas fluxes being more than 5 (for
203 CO_2) or 10 (for CH_4) mean absolute deviations (Davies and Gather, 1993) from the
204 treatment mean within a 10-day period, for a given treatment and temperature. We
205 excluded 172 of 2686 (6.4%) measurements for this reason. If the coefficient of
206 variability (CV) of fluxes from any core on a single day exceeded 140%, a value
207 chosen based on the distribution of CVs across all cores, the entire core was
208 excluded for that day (90 data points, 3.4%). Other data (4.8%) were removed
209 because of known instrument problems, e.g. the analyzer was left running after
210 leaving a chamber. The final number of valid flux samples from the 100-day
211 incubation was 2198.

212 The effects of temperature, gravimetric water content, percent C, percent N, and
213 DOC concentration on instantaneous gas fluxes were evaluated using a linear mixed-
214 effects model fit by the R function *lme* in the R 'nlme' package, version 3.1.128.
215 Because the dependent variable (CO_2 or CH_4 flux) was non-normally distributed, it
216 was transformed using a natural-logarithm ($+0.1 \mu\text{g C g C}^{-1} \text{ day}^{-1}$ to ensure all

217 positive fluxes, following Treat et al. 2015) transformation. Soil core was treated as
218 a random effect in the model. We then performed stepwise model selection by
219 Akaike's information criterion (AIC) using the *stepAIC* function in the R 'MASS'
220 package, version 7.3.45. A linear mixed-effects model was also used to evaluate the
221 effect of treatment on core water content.

222 Cumulative respiration for each core and gas was calculated by linearly
223 interpolating flux rates between measurement dates and summing respired C over
224 the entire incubation. The effect of temperature and treatment (drought, controlled
225 drought, or field moisture conditions) on cumulative gas fluxes was evaluated with a
226 post-hoc Tukey Honest Significant Differences test. Temperature sensitivity (Q_{10})

227 was calculated for each gas and treatment as $\frac{F_2}{F_1} \left(\frac{10}{T_2 - T_1} \right)$ where F_1 and F_2 are the
228 cumulative gas fluxes (mg C g C^{-1}) at temperatures T_1 and T_2 ($^{\circ}\text{C}$), respectively.

229 All data analysis and statistics were performed using R version 3.3.1 (2016-06-21)
230 (R Development Core Team, 2016). This experiment was run as an 'open
231 experiment' (Bond-Lamberty et al., 2016b) with all analysis code, data (from raw
232 instrument data to final summaries), diagnostics, etc., available at
233 https://github.com/bpbond/cpcrw_incubation. The summarized flux data backing
234 the main results have been archived under the Digital Object Identifier
235 10.6084/m9.figshare.4240436.v1.

236 **3 Results**

237 The 30 experimental cores had a bulk density of 1.00 ± 0.18 (mean \pm sd) g cm^{-3} .
238 Large (>2 mm) particles, primarily schist, comprised $41\% \pm 11\%$ of the cores' total
239 mass. Soil (≤ 2 mm) dry mass was 886 ± 154 g. Sample DOC was 157.93 ± 55.74 mg
240 kg^{-1} . Carbon content was $1.20\% \pm 1.19\%$, while N content was $0.06\% \pm 0.06\%$. Mean
241 C:N was 20.7. Neither temperature nor moisture treatment exerted any significant
242 effect ($P > 0.1$ for all) on these highly variable properties (**Table 1**).

243 Gravimetric water content was 0.31 ± 0.12 (min 0.19, max 0.77) at the beginning of
244 the incubation (**Figure 1**). "Field moisture" cores were on average unchanged (0.33
245 ± 0.13) at the end of the incubation, but both the drought treatments, which did not
246 differ from each other in their effect on gravimetric water content ($P = 0.880$), had
247 declined to 0.06 ± 0.04 . Volumetric water content values ranged from 0.29 ± 0.05
248 (min 0.23, max 0.43) at the beginning of the experiment to 0.15 ± 0.11 (min 0.03,
249 max 0.38) at the end across all cores. Water filled pore space, assuming a particle
250 density of 2.65 g cm^{-3} , was 22-65% over all cores, moisture treatments, and
251 temperatures.

252 Carbon dioxide fluxes during the incubation ranged from $1.1 \mu\text{g C g C}^{-1} \text{ day}^{-1}$ to a
253 maximum of $5245.1 \mu\text{g C g C}^{-1} \text{ day}^{-1}$, with a mean of $248.9 \mu\text{g C g C}^{-1} \text{ day}^{-1}$ over the
254 100 days. CH_4 rates ranged from $0.00 \text{ ng C g C}^{-1} \text{ day}^{-1}$ to a maximum of $1.31 \text{ ng C g C}^{-1}$
255 day^{-1} , with a mean of $0.06 \text{ ng C g C}^{-1} \text{ day}^{-1}$. These means conceal considerable
256 variability over the course of the incubation (**Table 1, Figures 2 and 3**).

257 In the linear mixed-effects model (AIC = 2992.6), instantaneous CO₂ flux was
258 positively correlated with incubation chamber temperature, core gravimetric water
259 content, and percent soil N (all P < 0.05, and the latter two P < 0.001; **Table 2**).
260 Temperature sensitivity decreased significantly (P < 0.001) over the course of the
261 incubation, while moisture sensitivity was unaffected by time. Percent C and percent
262 N were highly correlated (r = 0.99) for these cores. Because percent N was a slightly
263 stronger predictor, it was retained in the model while percent C was excluded; cf.
264 Colman and Schimel (2014). The interaction between water content and percent N
265 was also highly significant (P < 0.001), although cores with N > 0.2% exhibited little
266 relationship between water content and CO₂ flux (data not shown). Instantaneous
267 CH₄ fluxes were positively correlated with percent N, while water content exhibited
268 significant interactions with percent N and DOC as a predictor (**Table A1**). This
269 model had little predictive power (AIC = -10879.2), however, and neither
270 temperature nor water content was a significant first-order predictor of CH₄ fluxes.

271 The cumulative production of C from CO₂ (**Figure 4**) was over six order of
272 magnitudes higher than that from CH₄, with CO₂:CH₄ C ratios ranging from 1.4
273 million in the 4 °C "Field moisture" treatment, to 6.2 million in the 20 °C "Field
274 moisture" treatment. Cumulative CO₂ evolved was highly affected by temperature (P
275 = 0.003), and "field moisture" cores emitted significantly more CO₂ than the other
276 two moisture treatments at both temperatures (P < 0.001 for both, with no
277 significant interactive effect). There was no difference between fluxes from the 20 °C
278 "drought" and "controlled drought" treatments (P = 0.377). "Drought" cores'
279 cumulative production was 73% (4 °C) and 52% (20 °C) lower than the cores kept at

280 field moisture. Neither temperature ($P = 0.200$) nor moisture treatment (mean $P =$
281 0.975) was a significant factor in predicting cumulative CH_4 fluxes.

282 The cumulative flux numbers above result in CO_2 temperature sensitivity (Q_{10})
283 values of 1.3 and 1.9 for the field moisture and drought treatments, respectively; the
284 corresponding Q_{10} values based on cumulative CH_4 were 1.2 and 1.3. Computing Q_{10}
285 values based on fluxes normalized by water filled pore space changed these values
286 only slightly: to 1.2 and 1.7 for CO_2 , for the field moisture and drought treatments
287 respectively, and 1.1 and 1.2 for CH_4 .

288 **4 Discussion**

289 Rises in boreal air temperatures, and unpredictable precipitation changes, will
290 change fire disturbance regimes, warm and dry many soils, increase vegetation
291 stress, degrade permafrost, and deepen the active layer (Schuur et al., 2015), all
292 with uncertain consequences for soil dynamics and GHG fluxes. In this laboratory
293 experiment we found that CO_2 , but not CH_4 , fluxes from these oxic active-layer
294 mineral soils were sensitive to temperature and, in particular, moisture.

295 A number of studies have measured microbial respiration and GHG fluxes very close
296 to our study site. Morishita et al. (2014) quantified GHG fluxes at CPRW and nearby
297 forests, and found CO_2 production to be correlated with both temperature and
298 moisture in upland Cryosols, consistent with our results. Waldrop et al. (2010)
299 incubated active-layer and permafrost soils from *Picea mariana* sites near
300 Fairbanks, AK, observing aerobic Q_{10} values of 9.0 (active layer) and 2.3
301 (permafrost) from -5 to 5 °C, and flux rates of 0.001 - 0.10 $\mu\text{mol CH}_4 \text{ day}^{-1} \text{ g}^{-1}$

302 ($\sim 0.001\text{-}0.133 \text{ ng C g C}^{-1} \text{ day}^{-1}$), and $\sim 1\text{-}5 \text{ } \mu\text{g C-CO}_2 \text{ hr}^{-1} \text{ g}^{-1}$ ($\sim 2000\text{-}10000 \text{ } \mu\text{g C g C}^{-1}$
303 day^{-1}), considerably higher than the CO_2 rates observed here. During the first 100
304 days of an incubation of Fairbanks-area 0-10 cm mineral soils, Neff and Hooper
305 (2002) observed fluxes of $\sim 55\text{-}409 \text{ } \mu\text{g C-CO}_2 \text{ g C}^{-1} \text{ day}^{-1}$, in line with the results here,
306 while Wickland and Neff (2008) reported that temperature and moisture exhibited
307 interactive effects, of similar magnitude, on decomposition in *P. mariana* soils.

308 A number of synthesis studies have documented dynamics and C feedback potential
309 of Arctic and boreal soils more generally; comparing to these results is useful
310 because although the response of soil biota to stresses such as drought tends to
311 differ between soil types, organisms, and vegetation, it is often broadly similar
312 across biomes and climatic conditions (Manzoni et al., 2012). Using two meta-
313 analyses of aerobic and anaerobic permafrost soil incubations, Schädel et al. (2016)
314 showed that C release was highly sensitive to temperature, and that soils released
315 far more (220-520%) C under aerobic conditions. Our incubation was fully aerobic,
316 but its results are consistent with the conclusion that respiration in the form of CO_2
317 is likely to dominate the high latitude C feedback, and that aerobic soils, and the
318 conditions under which currently waterlogged soils may drain, deserve particular
319 attention. In terms of absolute flux rates, Treat et al. (2015) reported mean CO_2
320 rates of 47 (all mineral soils) and 101 (for 20-100 cm soils) $\mu\text{g C-CO}_2 \text{ g C}^{-1} \text{ day}^{-1}$ from
321 a pan-Arctic synthesis of anaerobic soil incubations, somewhat lower than our
322 aerobic incubation results. Treat et al. (2014) also found CO_2 and CH_4 emissions to
323 be strongly correlated with temperature and moisture based on an incubation of

324 Alaskan peats. Whether climate change makes northern regions wetter or drier is
325 thus a critical factor affecting the quantity and form of C release.

326 The drought treatment imposed in this experiment reduced soil C fluxes by 52% -
327 73%. The importance of this result depends, in part, on the spatial extent and
328 intensity of precipitation changes across the boreal and Arctic this century. There is
329 a detectable anthropogenic influence in high latitude precipitation changes (Wan et
330 al., 2015), but these changes are inconsistent: drier and warmer conditions in boreal
331 Eurasia (Buermann et al., 2014), for example, but growing season length increases
332 in interior Alaska with no increase in precipitation (Wendler and Shulski, 2009).

333 This spatial variability will interact with permafrost thaw dynamics to produce a
334 complex patchwork of soil moisture changes (Zhang et al., 2012; Watts et al., 2012).
335 The high uncertainty in this area makes it all the more important to understand the
336 interactive effects of soil moisture and temperature on decomposition and GHG
337 emissions (Sierra et al., 2015).

338 We observed very low but positive CH₄ production from these upland mineral soils.
339 This is contrast to many field studies that have observed CH₄ uptake (oxidation) in
340 dry boreal sites (Matson et al., 2009; Schaufler et al., 2010). Anoxic microsites in soil
341 can however provide enough CH₄ production to balance low-level consumption in
342 otherwise aerobic soils (Kammann et al., 2009). In addition, our results are broadly
343 consistent with data from 65 studies summarized by Olefeldt et al. (2013), who
344 found that CH₄ emissions were more sensitive to soil temperature in wetter
345 ecosystems; it would have been a surprise if the little methanogenic activity in our

346 upland, well-drained soils was temperature-sensitive at all. Methane was also a far
347 smaller C flux than CO₂ from these soils, in particular at higher temperatures (as CO₂
348 was responsive to temperature, but CH₄ was not). This is true more generally: for
349 example, Treat et al. found a median CO₂:CH₄ production ratio of 387 for anaerobic
350 incubations of boreal soils. This is naturally far lower than our observed aerobic
351 (and thus high-CO₂) ratios, but nonetheless consistent with them. Thus we see little
352 opportunity for CH₄ to be a significant contributor to these upland soils' C fluxes and
353 climate feedback risk, even accounting for the 25x stronger radiative forcing of this
354 gas over a 100-year time horizon (Lee et al., 2012).

355 **4.1 Temperature versus moisture sensitivity for cumulative emissions**

356 The cumulative GHG fluxes (**Figure 4**) integrate the entire 100-day incubation,
357 eliminating the day-to-day variability of instantaneous fluxes and are thus more
358 generalizable. Our results suggest that moisture limitation could exert a large effect
359 on CO₂ production for deep active-layer soils: "drought" cores' cumulative
360 production was 73% (4 °C) and 52% (20 °C) lower than the cores kept at field
361 moisture. This effect was highly significant, and suggests that moisture limitations
362 could exert a significant constraint on deep active-layer soils as they slowly warm.
363 Such moisture constraints are thought to be already exerting effects on vegetation
364 and soil fluxes at large scales (Ju and Masek, 2016; Bond-Lamberty et al., 2012), but
365 our understanding of the interactive effects involved is poor.

366 The Q₁₀ values observed in this experiment were low (all less than 2.0, even when
367 controlling for changes in soil moisture). Temperature sensitivities of ~2 are more

368 typical (Dutta et al., 2006; Schädel et al., 2016), although the temperature sensitivity
369 of C release can change over time of incubation (Dutta et al., 2006) and vary
370 between soil fractions cycling over different time horizons (Karhu et al., 2010;
371 Schädel et al., 2014). Observed surface CO₂ fluxes at this CPCRW site exhibited a Q₁₀
372 of 5.1 ± 1.4 over a temperature range of 3.5-15 °C (personal communication, C.
373 Anderson); these surface fluxes were measured over multiple months and include
374 root respiration, however, preventing any direct comparison. While increased
375 temperature does not always drive C mineralization rates in forest mineral soils
376 (Giardina and Ryan, 2000), it is linked with increases in soil moisture content can
377 lead to changes in microbial community structure and GHG fluxes (Xue et al., 2016).
378 Interestingly, Q₁₀ values were lower in the drought treatment cores, a mathematical
379 consequence of the fact that drought restricted CO₂ respiration more at 4 °C than at
380 20 °C. There is evidence that climate warming changes the microbial decay
381 dynamics of soil organic C compounds generally considered to be stable (Frey et al.,
382 2013; Bond-Lamberty et al., 2016a). Conditions such as drought can change the
383 amount and quality of DOC available to microbes (1999), but we observed no DOC
384 changes between treatments here. Deep active layer soils store large quantities of
385 soil C (Mueller et al., 2015) but are not subject to abundant inputs of fresh C from
386 vegetation, so the starting quality of the native soil C in active layer soils is older,
387 more microbially processed, and dominated by more stable "heavy" organic C
388 (Karlsson et al., 2011). Thus, it may not be surprising that these more stable C
389 compounds would be metabolized by processes that have been reported to be less
390 temperature-sensitive.

391 4.2 Soil nitrogen

392 Somewhat unexpectedly, percent soil N was very significantly and positively
393 correlated with both CO₂ and CH₄ fluxes (**Tables 2 and 3**). Nitrogen interacts with
394 microbial respiration via a number of complex, interactive, and still unclear
395 mechanisms (Luo and Zhou, 2006), including reductions in belowground plant
396 allocation, shifts in energy source or population of the saprotrophic community
397 (Saiya-Cork et al., 2002) that leave it less capable of decomposing recalcitrant
398 compounds, and perhaps abiotic stabilization mechanisms (Janssens et al., 2010).
399 Meta-analyses have generally shown negative to neutral effects of N deposition on
400 microbial biomass (Treseder, 2008) and respiration (Ramirez et al., 2012), and total
401 soil respiration across ecosystems and biomes (Janssens et al., 2010; Zhou et al.,
402 2014). These effect are likely due to several one or more mechanisms involving soil
403 pH, ligninase enzymes, and phenol oxidase activity (Luo and Zhou, 2006), and
404 incubation results examining N effects can be highly variable (Lavoie et al., 2011;
405 Sistla et al., 2012). Some studies have however observed positive correlations
406 between ambient soil N and microbial respiration. For example, Weiss et al. (2015)
407 found CO₂ production from Siberian Yedoma permafrost samples to be correlated
408 with both percent C and N, consistent with our active-layer results (**Table 2**).

409 The C:N ratio was not a significant predictor of GHG fluxes in this study, although
410 this ratio has been found to be important in meta-analyses (Sistla et al., 2012;
411 Schädel et al., 2014). *In situ* respiration rates have also been shown to be negatively
412 correlated with C:N at large spatial scales (Allaire et al., 2012). Percent C and N both

413 varied widely in our soil cores (**Table 1**), and were highly correlated with each
414 other, even though the cores were collected within tens of meters of each other. This
415 suggests that active-layer SOC response to temperature and moisture may also be
416 highly spatially variable, even in a mixed-species boreal forest that we expected, *a*
417 *priori*, to provide spatial variation in litter and SOC quality (Fierer et al., 2005).
418 Spatially explicit analyses of soil biochemistry, temperatures (Bond-Lamberty et al.,
419 2005), and respiration (Allaire et al., 2012) are likely necessary to accurately
420 constrain and predict soil fluxes in this ecosystem.

421 **4.3 Limitations and weaknesses**

422 There were weaknesses in our approach and experimental design that should be
423 considered. Laboratory experiments offer precise control, but lack the *in situ* nature
424 of field manipulations (Sistla et al., 2013), raising uncertainties to what degree their
425 results can be extrapolated. Soils isolated during incubation may, for example,
426 underestimate temperature sensitivity of respiration (Podrebarac et al., 2016) or
427 exhibit lag effects (Treat et al., 2015). It should also be noted that our 100-day
428 incubation was not long enough to observe slowly-cycling soil fractions, which may
429 vary in their response to experimental manipulation (Karhu et al., 2010).
430 Nonetheless, the controlled environments of incubations provide an important way
431 to elucidate the key mechanisms controlling GHG from high-latitude soils (Schuur et
432 al., 2015).

433 The soils studied here were from an upland, mixed conifer-deciduous boreal forest,
434 and care needs to be taken before drawing regional inferences, or about other

435 ecosystem types. We focused on an experimental drought, rather than flooding,
436 because of the well-drained nature of the field site: it is unlikely that the mid-slope
437 forest we sampled in will ever suffer from thermokarst or excessive soil moisture,
438 but too-dry conditions are a serious possibility in this relatively low-precipitation
439 ecosystem (Barber et al., 2000).

440 Finally, the soils here are not surface layer soils (where the majority of microbial
441 activity and C mineralization of labile C takes place); removing them from *in situ*
442 conditions (where they are less exposed to O₂, for example) may significantly
443 change the abiotic conditions to which the microbial community is adapted.
444 However, focusing on the active layer provides crucial information about the
445 potential loss of C from these soils, a risk that needs to be well understood as
446 permafrost degradation leads to expansions in the depth of the active layer across
447 the Arctic.

448 **5 Conclusions**

449 In this laboratory experiment, we found that CO₂ fluxes were strongly influenced by
450 temperature and water content, and correlated with soil C and N, while CH₄ fluxes
451 were much smaller and not sensitive to temperature or water content in these well-
452 drained mineral soils. These results add to a growing body of Arctic permafrost and
453 active layer incubation literature, and underscore the importance of understanding
454 moisture effects on CO₂ fluxes in particular. How soil moisture might change with
455 spatially variable permafrost degradation, how soil biota will respond to these
456 changes, and how models should treat soil organic matter decomposition with

457 respect to multiple and interacting drivers are all critical areas of research going
458 forward. Further controlled field and laboratory studies, ideally tightly integrated
459 with modeling experiments, are important to understand GHG emission dynamics
460 from high-latitude soils.

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468 **Author contributions**

469 B.B.-L., A.P.S., and V.L.B. designed this experiment. B.B.-L. and A.P.S. performed field
470 sampling, and A.P.S. led the laboratory incubation and analyses. B.B.-L. wrote the
471 manuscript, with contributions from all authors.

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787 **Table 1.** Summary of dissolved organic carbon (DOC), percent C, percent N, bulk
788 density (BD), and CO₂ and CH₄ fluxes by treatment. The "Field moisture" and
789 "Drought" columns summarize (mean ± s.d.) 12 cores, combining two groups of N=6
790 at each incubation temperature, while the "Controlled drought" and "Pre-
791 incubation" columns are N=6.

Variable	Field moisture	Controlled drought	Drought	Pre- incubation
DOC (mg kg ⁻¹)	173.62 ± 46.67	165.68 ± 66.46	154.60 ± 57.15	125.43 ± 49.07
C (%)	1.67 ± 1.60	0.87 ± 0.50	0.76 ± 0.60	1.44 ± 1.32
N (%)	0.08 ± 0.08	0.04 ± 0.03	0.03 ± 0.03	0.07 ± 0.06
BD (g cm ⁻³)	0.89 ± 0.18	1.06 ± 0.17	1.08 ± 0.14	1.13 ± 0.29
CO ₂ (μg C g C ⁻¹ day ⁻¹)	456.40 ± 543.91	159.77 ± 116.41	97.03 ± 96.38	-
CH ₄ (ng C g C ⁻¹ day ⁻¹)	0.10 ± 0.00	0.10 ± 0.00	0.10 ± 0.00	-

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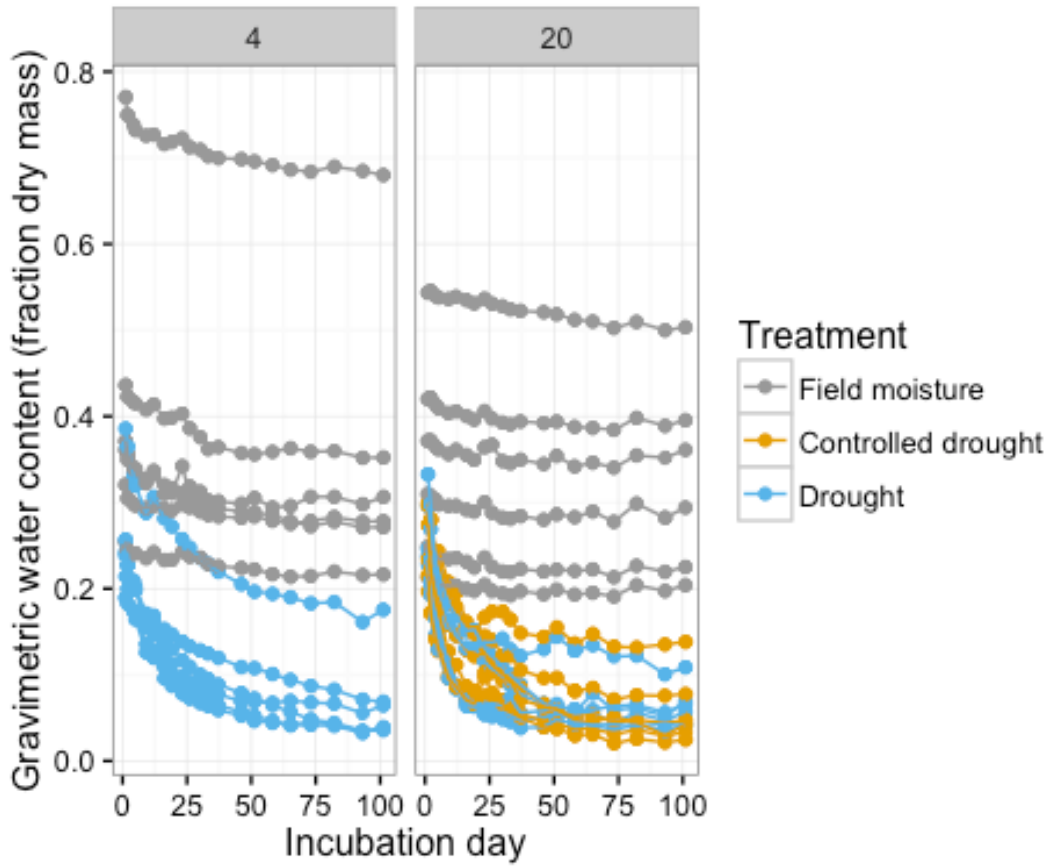
794 **Table 2.** Linear mixed-effects model parameters, testing effects of temperature (°C),
795 gravimetric water content (unitless), soil C (%), soil N (%), and dissolved organic
796 carbon (mg kg⁻¹) on individual core CO₂ fluxes (+0.1 μg C g C⁻¹ day⁻¹); a colon (":")
797 indicates an interaction. Dependent variable has units of log(μg C g C⁻¹ day⁻¹).
798 Columns include parameter value; standard error (SE); degrees of freedom (DF); T
799 statistic; and P value.

	Value	SE	DF	T	P
(Intercept)	1.713	0.354	1153	4.839	< 0.001
Temperature	0.046	0.020	26	2.336	0.027
WC_gravimetric	3.496	1.052	1153	3.322	0.001
N_percent	37.976	6.810	26	5.576	< 0.001
Temperature:WC_gravimetric	0.116	0.061	1153	1.905	0.057
Temperature:N_percent	-0.507	0.300	26	-1.690	0.103
WC_gravimetric:N_percent	-37.347	8.425	1153	-4.433	< 0.001

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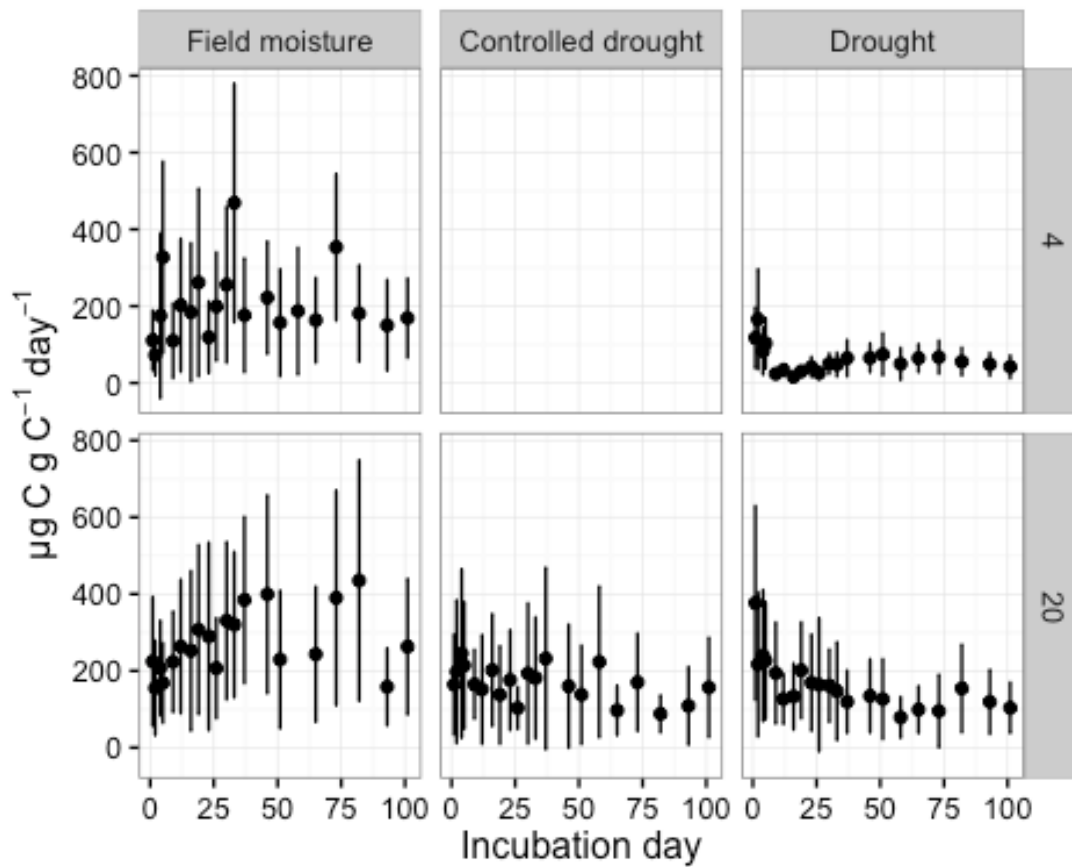
802 **Figure 1.** Core water content across the course of the incubation experiment by
803 temperature (left panel 4 °C, right panel 20 °C) and treatment.



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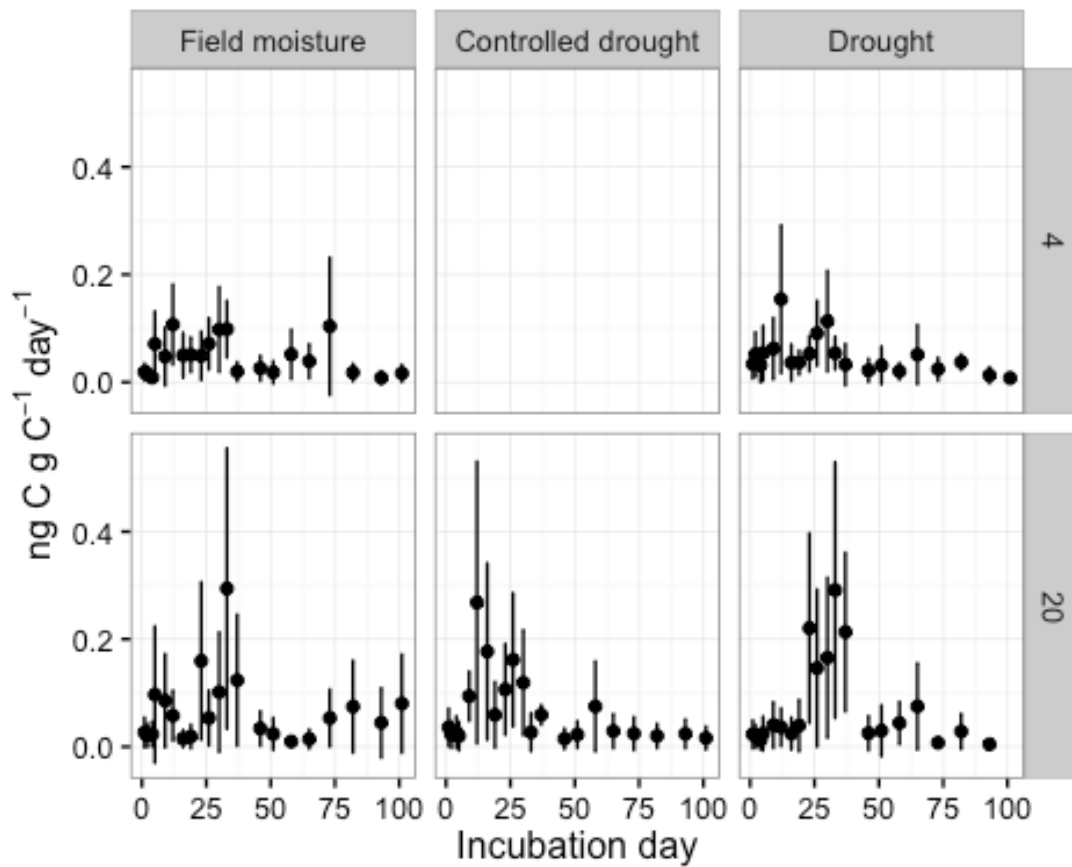
806 **Figure 2.** Mass-normalized CO₂ fluxes over the 100-day incubation, by temperature
807 (4 and 20 °C, rows) and treatment (field moisture, drought, and controlled drought;
808 columns). Error bars show core-to-core standard deviation. The "controlled
809 drought" treatment, for 20 °C only, was meant to dry cores at roughly the same rate
810 as the drought cores at 4 °C.



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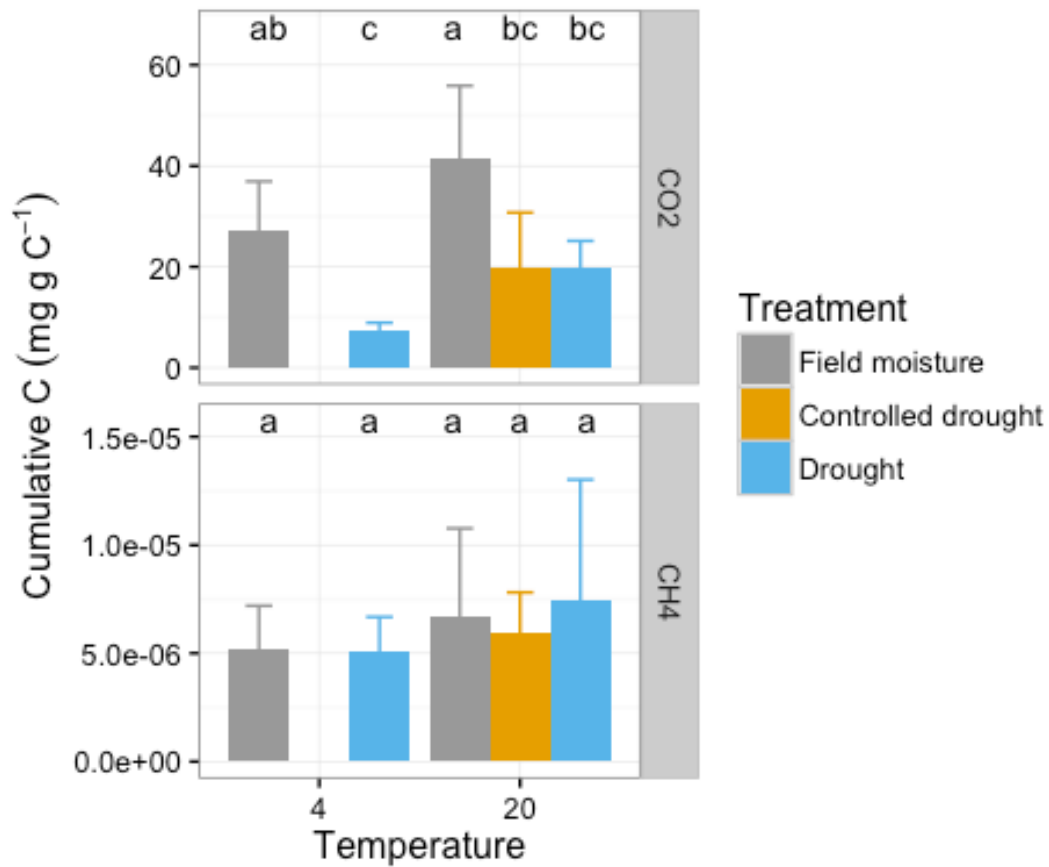
813 **Figure 3.** Mass-normalized CH₄ fluxes over the 100-day incubation, by temperature
814 (4 and 20 °C, rows) and treatment (field moisture, drought, and controlled drought;
815 columns). Error bars show core-to-core standard deviation. The "controlled
816 drought" treatment, for 20 °C only, was meant to dry cores at roughly the same rate
817 as the drought cores at 4 °C.



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820 **Figure 4.** Cumulative mass-normalized C fluxes (mg g C^{-1}) over the incubation, by
821 gas (CO_2 and CH_4 , top and bottom panels respectively), treatment (columns), and
822 temperatures (x-axis, $^\circ\text{C}$). Letters within a panel indicate significant differences
823 based on Tukey's HSD.



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826 **Table A1.** Linear mixed-effects model parameters, testing effects of temperature
 827 (°C), gravimetric water content (unitless), soil N (%), and dissolved organic carbon
 828 (DOC, mg kg⁻¹) on log-transformed, individual core CH₄ fluxes (+0.1 μg C g C⁻¹ day⁻¹);
 829 a colon (":") indicates an interaction. Dependent variable has units of log(μg C g C⁻¹
 830 day⁻¹). Columns include parameter value; standard error (SE); degrees of freedom
 831 (DF); T statistic; and P value.

	Value	SE	DF	T	P
(Intercept)	1.713	0.354	1153	4.839	< 0.001
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