



1      **Temperature and moisture effects on greenhouse gas**  
2                   **emissions from deep active-layer boreal soils**

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9    **Abstract**

10   Rapid climatic changes, rising air temperatures, and increased fires are expected to  
11   drive permafrost degradation and alter soil carbon (C) cycling in many high-latitude  
12   ecosystems. How these soils will respond to changes in their temperature, moisture,  
13   and overlying vegetation is highly uncertain, but critical to understand given the  
14   large soil C stocks in these regions. We used a laboratory experiment to examine  
15   how temperature and moisture control CO<sub>2</sub> and CH<sub>4</sub> emissions from mineral soils  
16   sampled from the bottom of the annual active layer, i.e. directly above permafrost, in  
17   an Alaskan boreal forest. Gas emissions from thirty cores, subjected to two  
18   temperatures and either field moisture conditions or experimental drought, were  
19   tracked over a 100-day incubation; we also measured a variety of physical and



20 chemical characteristics of the cores. Gravimetric water content was  $0.31 \pm 0.12$   
21 (unitless) at the beginning of the incubation; cores at field moisture were unchanged  
22 at the end, but drought cores had declined to  $0.06 \pm 0.04$ . Carbon dioxide fluxes  
23 were strongly influenced by incubation chamber temperature, core water content,  
24 and percent soil nitrogen, and had a temperature sensitivity ( $Q_{10}$ ) of 1.3 and 1.9 for  
25 the field moisture and drought treatments, respectively. Methane emissions were  
26 most strongly correlated with percent nitrogen, but neither temperature nor water  
27 content was a significant first-order predictor of  $CH_4$  fluxes. The cumulative  
28 production of C from  $CO_2$  was over six orders of magnitudes higher than that from  
29  $CH_4$ . These results suggest that deep active-layer soils may be much more sensitive  
30 to changes in moisture than to temperature, a critical factor as discontinuous  
31 permafrost melts in interior Alaska. Deep but unfrozen high-latitude soils have been  
32 shown to be strongly affected by long-term experimental warming, and these results  
33 provide insight into their future dynamics and feedback potential with future  
34 climate change.

## 35 **1 Introduction**

36 High latitude ecosystems are being subjected to rapid changes in climate (IPCC,  
37 2013) and increases in fire frequency and intensity (Kasischke et al., 2010), notably  
38 in northwestern North America and Alaska (Hinzman et al., 2005; Ju and Masek,  
39 2016). This will have a wide variety of ecosystem effects (Alexander and Mack,  
40 2016): in particular, rising temperatures and increasing fire will likely result in  
41 permafrost degradation (Pastick et al., 2015; Zhang et al., 2015; Genet et al., 2013;



42 Helbig et al., 2016) and changes in soil temperature, with subsequent hydrology  
43 changes that will influence soil greenhouse gas (GHG) fluxes to the atmosphere.  
44 Such fluxes are a large component of the global C cycle and, because of the high C  
45 stocks of northern soils (Tarnocai et al., 2009), could result in a significant and  
46 positive climate feedback (Treat et al., 2015; Koven et al., 2011; Schaefer et al.,  
47 2014).

48 The magnitude, timing, and form-in particular as methane (CH<sub>4</sub>) or carbon dioxide  
49 (CO<sub>2</sub>)-of such any such feedback remain highly uncertain (Schoor et al., 2015).

50 While northern soils hold enormous quantities (Tarnocai et al., 2009) of potentially  
51 mineralizable soil organic carbon (SOC), vegetation and succession dynamics (for  
52 example, thermal insulation by mosses) promote permafrost resilience to even large  
53 temperature changes (Jorgenson et al., 2010; Turetsky et al., 2012). Such dynamics  
54 may however be disrupted by increased fire disturbance, particularly with more-  
55 intense fires (Johnstone et al., 2010; Genet et al., 2013). In addition, the stability of  
56 SOC is itself highly uncertain, as it depends on soil temperature and moisture, the  
57 ages of and ratio between the carbon (C) and nitrogen (N) pools (Weiss et al., 2015;  
58 Karhu et al., 2014), and its protection from competent microorganisms, enzymes,  
59 and resources (Bailey et al., 2012), whether by organomineral sorption, chemical  
60 lability, or physical location (Schmidt et al., 2011).

61 Temperature and moisture typically have strong and often interactive influences on  
62 soil GHG emissions. Laboratory incubations, field observations, and meta-analyses  
63 have documented increased fluxes of CO<sub>2</sub>, and under some conditions of CH<sub>4</sub>



64 (Olefeldt et al., 2013), with rising temperature (Davidson and Janssens, 2006;  
65 Hashimoto et al., 2015; Treat et al., 2015). Greenhouse gas (GHG) responses to  
66 wetting and thawing dynamics are much less certain, with substantial variability  
67 between studies (Kim et al., 2012). The anaerobic conditions common following  
68 permafrost thaw are expected to lower CO<sub>2</sub> emissions but increase those of CH<sub>4</sub>  
69 (Treat et al., 2015; Treat et al., 2014), and such interactions are critical to examine in  
70 the course of long-term incubation experiments (Elberling et al., 2013). Decadal  
71 warming and drying trends in Alaska (Bieniek et al., 2014) may counteract these  
72 effects, however. A critical question, then, is how the structure, chemistry, and  
73 microbial communities of current active-layer soils will respond to almost-certain  
74 temperature and moisture changes in the future (Xue et al., 2016).

75 The goal of this study was to examine how temperature and moisture control GHG  
76 (CO<sub>2</sub> and CH<sub>4</sub>) emissions from soils sampled from the bottom of the annual active  
77 layer—i.e., directly above permafrost—in an Alaskan boreal forest. Most previous  
78 studies have focused on surface soils or permafrost soils, neglecting deep active-  
79 layer soils that were identified as subject to strong effects from a two-decade  
80 warming experiment in the Alaskan Arctic (Sistla et al., 2013). We also aimed to  
81 characterize the chemical and structural properties of these soils following a 100-  
82 day incubation at different temperatures, subjecting some cores to drying  
83 treatments. We hypothesized that (i) CO<sub>2</sub> would be the dominant pathway for C loss  
84 in these largely aerobic soils; (ii) soils maintained at field moisture and high  
85 temperature would lose more C-CO<sub>2</sub> than cores incubated at 4°C, due to increased



86 aerobic and anaerobic microbial activity; and (iii) core CH<sub>4</sub> fluxes would be sensitive  
87 only to temperature, as no anaerobic conditions were imposed on the cores.

## 88 **2 Methods**

### 89 *2.1 Field sampling*

90 The field component of this research took place in Caribou-Poker Creeks Research  
91 Watershed (CPCRW), part of the Bonanza Creek LTER  
92 (<http://www.lter.uaf.edu/research/study-sites-cpcrw>). CPCRW is located in the  
93 Yukon-Tanana Uplands northeast of Fairbanks, AK, a part of the boreal forest that  
94 has seen strong increases in air temperature and forest browning (Ju and Masek,  
95 2016) over several decades. Annual average air temperature is -2.5 °C, and annual  
96 average precipitation 400 mm (Petroni et al., 2006). The watershed's lowlands and  
97 north-facing slopes are dominated by black spruce (*Picea mariana* (Mill.) BSP),  
98 feathermoss (*Pleurozium schreberi* and others), and *Sphagnum* spp.; the drier south  
99 slopes tend to be deciduous with a mixture of trembling aspen (*Populus tremuloides*  
100 Michx.), paper birch (*Betula neoalaskana*), and patches of alder (*Alnus crispa*).

101 We sampled soils from a southeast slope (65.1620 °N, 147.4874 °W) at CPCRW, in a  
102 60 m transition zone between lowland *Picea mariana* and upland *Betula*  
103 *neoalaskana*, with significant white spruce (*Picea glauca*) presence as well. Stand  
104 density in this transition zone was 4060 ± 2310 trees ha<sup>-1</sup>, with basal area of 27.9 ±  
105 7.0 m<sup>2</sup> ha<sup>-1</sup>. The forest was at least 90 years old (cf. Morishita et al., 2014) according  
106 to tree rings taken at the stem base of several of the largest white spruce. The soil is



107 characterized as a poorly-drained silt loam, and on average had ~20 cm of organic  
108 material over the mineral soil.

109 Thirty-nine soil cores, each 30 cm high by 7.5 cm wide, were taken using a soil  
110 recovery augur (AMS Inc., American Falls, ID) on 3-5 August 2015. We sampled from  
111 the bottom (within 0-2 cm of permafrost) of the active layer, which averaged 80 cm  
112 depth. Sample points were randomly located in the transition zone described above,  
113 and separated by 2-5 m. Cores were kept cool in the field before being packed in dry  
114 ice and shipped to Richland, WA within 48-72 hours of collection.

## 115 *2.2 Laboratory incubation*

116 In the lab, the soil cores were stored at 4 °C for several days until they were weighed  
117 and prepared for incubation. At that point (11-12 August 2015), three fragmented  
118 or otherwise damaged cores were discarded, and the remaining cores were  
119 randomly assigned to one of six groups (N=6 in each group). These included two  
120 incubation temperatures of 4 and 20 °C, following the protocol of a number of  
121 previous boreal incubation studies (Treat et al., 2015). Within each temperature  
122 there were two moisture treatments: one in which soil moisture was maintained at  
123 field conditions (~28% moisture by volume), and a drought treatment in which no  
124 water was added and cores were allowed to dry down to ~5% moisture by volume.  
125 The fifth group was a 20 °C "controlled drought" one, in which water was added so  
126 that these cores' moisture status would close match those of the 4 °C "drought"  
127 cores, which we anticipated would dry more slowly than their 20 °C counterparts.  
128 The final 6-core group was used for destructive, pre-incubation measurements



129 including moisture content, pH, soil carbon and N, and bulk density. Subsamples  
130 were collected and stored at -20 °C for dissolved organic carbon measurements or  
131 air-dried for soil C and N (see below).

132 On 18 August 2015 cores were placed into one of two growth chambers (Conviron  
133 Control Systems BDW80, Winnipeg, Canada) maintained at 4 and 20 °C  
134 temperatures and 70% relative humidity and allowed to equilibrate for two weeks.  
135 Starting on 31 August 2015 we measured the cores' mass and GHG (CH<sub>4</sub> and CO<sub>2</sub>)  
136 emissions four times in the first week, then twice per week for the first month, and  
137 then once per week for the rest of the 100-day incubation. Throughout the  
138 incubation, cores had a 200 µm mesh screen fit to the base and were mounted on  
139 porous ceramic plates (Soil Moisture Equipment Corp., Santa Barbara, CA, USA) so  
140 that, when the plates were placed in contact with water, water would move up into  
141 the cores via capillary action. The "drought" cores were mounted on dry plates, but  
142 not allowed to drop below 5% water content. When necessary, cores received  
143 additional wetting from the top to maintain their water status at the desired level.

144 For each measurement, a six-core treatment group was connected to a Picarro  
145 A0311 multiplexer that was in turn connected to a Picarro G2301 GHG Analyzer  
146 (Picarro Inc., Santa Clara, CA, USA). Dry CH<sub>4</sub> and CO<sub>2</sub> concentrations were monitored  
147 for 2 minutes, and this was repeated 2-3 times before moving on to a new treatment  
148 group. Cores were weighed immediately after gas measurements. Ambient air was  
149 measured between treatment groups, and before starting measurements in a  
150 chamber, as a check on ambient CO<sub>2</sub> conditions and instrument stability.



151 The incubation experiment concluded on 9 December 2015, following the final CO<sub>2</sub>  
152 and CH<sub>4</sub> readings. Each soil core was maintained at the treatment-dependent  
153 temperature and moisture content (by mass) until removed for destructive  
154 sampling, December 14-18, 2015. Sub-samples were collected and composited  
155 throughout each soil core for dissolved organic carbon analysis (110 ± 24 g dry  
156 mass equivalent) and dry-mass calculations (~28 g each). The remaining core  
157 material was air-dried and separated into particles (>2 mm diameter) and soil (≤2  
158 mm) using a U.S. Standard Test Sieve No. 10 (Fisherbrand, Pittsburg, PA, USA). The  
159 dry mass and volume of soil were used in calculations of gravimetric and volumetric  
160 soil moisture content, respectively (Gardner, 1986). Soil volume was calculated as  
161 the total core volume minus the volume of particles >2 mm diameter, with the latter  
162 determined by water displacement. Air-dried soil and sub-samples stored at -20 °C  
163 were sent to the Agricultural and Environmental Services Laboratory at the  
164 University of Georgia Extension in February 2016 for total C, N, and dissolved  
165 organic C. Samples were combusted in an oxygen atmosphere at 1350 °C, and  
166 measured for gaseous C and N using a Elementar Vario Max CNS. DOC was measured  
167 using a Shimadzu 5000 TOC Analyzer.

### 168 *2.3 Data and statistical analysis*

169 For each measurement of each sample throughout the 100-day incubation (i.e., each  
170 gas, core, and date/time), we used the rise in gas concentrations to calculate a flux  
171 rate in ppm s<sup>-1</sup> (CO<sub>2</sub>) or ppb s<sup>-1</sup> (CH<sub>4</sub>), a linear rate of change ( $\delta c/\delta t$ ) based on the  
172 concentration rise from a minimum (up to 10 seconds after measurement began) to





173 a maximum (at 10-45 seconds). Each core's respiration flux ( $F$ ) was then calculated

174 as  $F = \frac{\delta c}{\delta t} \frac{V}{M} \frac{P_a}{RT}$  where  $V$  is the core-specific system volume,  $M$  the core dry mass as

175 determined at the end of the incubation,  $P_a$  atmospheric pressure (101 kPa; the

176 incubation chambers were ~120 m a.s.l.),  $R$  the universal gas constant ( $8.3 \times 10^{-3} \text{ m}^3$

177  $\text{kPa mol}^{-1} \text{ K}^{-1}$ ) and  $T$  the chamber air temperature (K) at time of measurement. The

178 final respiration rate was expressed on a dry soil mass basis ( $\mu\text{g C g soil}^{-1} \text{ day}^{-1}$ ).

179 Anomalous data were excluded based on their gas fluxes being more than 5 (for

180  $\text{CO}_2$ ) or 10 (for  $\text{CH}_4$ ) mean absolute deviations (Davies and Gather, 1993) from the

181 treatment mean within a 10-day period, for a given treatment and temperature. We

182 excluded 172 of 2686 (6.4%) measurements for this reason. If the coefficient of

183 variability (CV) of fluxes from any core on a single day exceeded 140%, a value

184 chosen based on the distribution of CVs across all cores, the entire core was

185 excluded for that day (90 data points, 3.4%). Other data (4.8%) were removed

186 because of known instrument problems, e.g. the analyzer was left running after

187 leaving a chamber. The final number of valid flux samples from the 100-day

188 incubation was 2198.

189 The effects of temperature, gravimetric water content, percent C, percent N, and

190 DOC concentration on instantaneous gas fluxes were evaluated using a linear mixed-

191 effects model fit by the R function *lme* in the R 'nlme' package, version 3.1.126.

192 Because the dependent variable ( $\text{CO}_2$  or  $\text{CH}_4$  flux) was non-normally distributed, it

193 was transformed using a natural-logarithm ( $+0.1 \mu\text{g C g C}^{-1} \text{ day}^{-1}$  to ensure all

194 positive fluxes, following Treat et al. 2015) transformation. Soil core was treated as



195 a random effect in the model. We then performed stepwise model selection by  
196 Akaike's information criterion (AIC) using the *stepAIC* function in the R 'MASS'  
197 package, version 7.3.45. A linear mixed-effects model was also used to evaluate the  
198 effect of treatment on core water content.

199 Cumulative respiration for each core and gas was calculated by linearly  
200 interpolating flux rates between measurement dates and summing respired C over  
201 the entire incubation. The effect of temperature and treatment (drought, controlled  
202 drought, or field moisture conditions) on cumulative gas fluxes was evaluated with a  
203 post-hoc Tukey Honest Significant Differences test. Temperature sensitivity ( $Q_{10}$ )  
204 was calculated for each gas and treatment as  $\frac{F_2}{F_1} \left( \frac{10}{T_2 - T_1} \right)$  where  $F_1$  and  $F_2$  are the  
205 cumulative gas fluxes ( $\text{mg C g C}^{-1}$ ) at temperatures  $T_1$  and  $T_2$  ( $^{\circ}\text{C}$ ), respectively.

206 All data analysis and statistics were performed using R version 3.2.4 (2016-03-10)  
207 (R Development Core Team, 2016). This experiment was run as an 'open  
208 experiment' (Bond-Lamberty et al., 2016b) with all analysis code, data (from raw  
209 instrument data to final summaries), diagnostics, etc., available at  
210 [https://github.com/bpbond/cpcrw\\_incubation](https://github.com/bpbond/cpcrw_incubation). The summarized flux data backing  
211 the main results have been archived at [DOI to be filled in].

### 212 3 Results

213 The 30 experimental cores had a bulk density of  $1.00 \pm 0.18$  (mean  $\pm$  sd)  $\text{g cm}^{-3}$ .

214 Large ( $>2$  mm) particles, primarily schist, comprised  $41\% \pm 11\%$  of the cores' total

215 mass. Soil ( $\leq 2$  mm) dry mass was  $886 \pm 154$  g. Sample DOC was  $157.93 \pm 55.74$  mg



216 kg<sup>-1</sup>. Carbon content was 1.20% ± 1.19%, while N content was 0.06% ± 0.06%. Mean  
217 C:N was 20.7. Neither temperature nor moisture treatment exerted any significant  
218 effect on these highly variable properties (P > 0.1 for all).

219 Gravimetric water content was 0.31 ± 0.12 (min 0.19, max 0.77) at the beginning of  
220 the incubation (**Figure 1**). "Field moisture" cores were on average unchanged (0.33  
221 ± 0.13) at the end of the incubation, but both the drought treatments, which did not  
222 differ from each other in their effect on gravimetric water content (P = 0.880), had  
223 declined to 0.06 ± 0.04. Volumetric water content values ranged from 0.29 ± 0.05  
224 (min 0.23, max 0.43) at the beginning of the experiment to 0.15 ± 0.11 (min 0.03,  
225 max 0.38) at the end across all cores. Water filled pore space, assuming a particle  
226 density of 2.65 g cm<sup>-3</sup>, was 22-65% over all cores, moisture treatments, and  
227 temperatures.

228 Carbon dioxide fluxes during the incubation ranged from 1.1 μg C g C<sup>-1</sup> day<sup>-1</sup> (1.7 μg  
229 C g soil<sup>-1</sup> day<sup>-1</sup>) to a maximum of 5245.1 (1251.31), with a mean of 248.9 (174.1)  
230 over the 100 days. CH<sub>4</sub> rates ranged from 0.00 ng C g C<sup>-1</sup> day<sup>-1</sup> (0.00 ng C g soil<sup>-1</sup> day<sup>-1</sup>)  
231 to a maximum of 1.31 (0.768), with a mean of 0.06 (0.06).

232 These means conceal considerable variability over the course of the incubation  
233 (**Figures 2 and 3**). In the linear mixed-effects model, CO<sub>2</sub> was strongly influenced by  
234 incubation chamber temperature, core gravimetric water content, and percent soil  
235 N (all P < 0.05, and the latter two P < 0.001; **Table 1**). Percent C and percent N were  
236 highly correlated (r = 0.99) for these cores. Because percent N was a slightly  
237 stronger predictor, it was retained in the model while percent C was excluded; cf.



238 Colman and Schimel (2014). The interaction between water content and percent N  
239 was also highly significant ( $P < 0.001$ ), with high-N cores having little relationship  
240 between water content and  $\text{CO}_2$  flux (data not shown).

241 Methane fluxes were most strongly correlated with percent N, while water content  
242 exhibited significant interactions with percent N and DOC (**Table 2**). Neither  
243 temperature nor water content was a significant first-order predictor of  $\text{CH}_4$  fluxes.

244 The cumulative production of C from  $\text{CO}_2$  (**Figure 4**) was over six order of  
245 magnitudes higher than that from  $\text{CH}_4$ , with  $\text{CO}_2:\text{CH}_4$  C ratios ranging from 1.4  
246 million in the 4 °C "Field moisture" treatment, to 6.2 million in the 20 °C "Field  
247 moisture" treatment. Cumulative  $\text{CO}_2$  evolved was highly affected by temperature ( $P$   
248 = 0.003), and "field moisture" cores emitted significantly more  $\text{CO}_2$  than the other  
249 two moisture treatments at both temperatures ( $P < 0.001$  for both, with no  
250 significant interactive effect). There was no difference between fluxes from the 20 °C  
251 "drought" and "controlled drought" treatments ( $P = 0.377$ ). "Drought" cores'  
252 cumulative production was 73% (4 °C) and 52% (20 °C) lower than the cores kept at  
253 field moisture. Neither temperature ( $P = 0.200$ ) nor moisture treatment (mean  $P =$   
254 0.975) was a significant factor in predicting cumulative  $\text{CH}_4$  fluxes.

255 The cumulative flux numbers above result in  $\text{CO}_2$  temperature sensitivity ( $Q_{10}$ )  
256 values of 1.3 and 1.9 for the field moisture and drought treatments, respectively; the  
257 corresponding  $Q_{10}$  values based on cumulative  $\text{CH}_4$  were 1.2 and 1.3. Computing  $Q_{10}$   
258 values based on fluxes normalized by water filled pore space changed these values  
259 only slightly: to 1.2 and 1.7 for  $\text{CO}_2$ , respectively, and 1.1 and 1.2 for  $\text{CH}_4$ .

260 **4 Discussion**

261 Rises in boreal air temperatures, and unpredictable precipitation changes, will  
262 warm and dry many soils, increase vegetation stress (Ju and Masek, 2016; Barber et  
263 al., 2000), degrade permafrost and deepen the active layer (Schuur et al., 2015),  
264 with uncertain consequences for soil dynamics and GHG fluxes. In this laboratory  
265 experiment we found that CO<sub>2</sub>, but not CH<sub>4</sub>, fluxes from these oxic active-layer  
266 mineral soils were sensitive to temperature and, in particular, moisture.

267 Several studies have measured microbial respiration and GHG fluxes from soils very  
268 close to our study site. Morishita et al. (2014) quantified gas fluxes in the field at  
269 CPCRW and nearby forests, and found CO<sub>2</sub> production to be correlated with both  
270 temperature and moisture, consistent with our results They found however that CH<sub>4</sub>  
271 uptake (no emissions were observed) was driven by temperature only. Waldrop et  
272 al. (2010) incubated active-layer and permafrost soils from *Picea mariana* sites near  
273 Fairbanks, AK, under varied temperature and aerobic conditions, observing Q<sub>10</sub>  
274 values of 9.0 (active layer) and 2.3 (permafrost) from -5 to 5 °C; these values are  
275 higher than we observed, consistent with the lower temperature range (Hamdi et  
276 al., 2013) and fundamental biokinetics (Davidson and Janssens, 2006). Waldrop et  
277 al. (2010) also observed flux rates of 0.001-0.10 μmol CH<sub>4</sub> day<sup>-1</sup> g<sup>-1</sup> (~0.001-0.133  
278 ng C g C<sup>-1</sup> day<sup>-1</sup>), differing by orders of magnitude between sites (but roughly similar  
279 to our observed CH<sub>4</sub> emissions), and ~1-5 μg C-CO<sub>2</sub> hr<sup>-1</sup> g<sup>-1</sup> (~2000-10000 μg C g C<sup>-1</sup>  
280 day<sup>-1</sup>), considerably higher than the CO<sub>2</sub> rates observed from our cores. In an  
281 incubation of active-layer Alaskan permafrost peats, Treat et al. (2014) found CO<sub>2</sub>



282 and CH<sub>4</sub> emissions to be strongly correlated with temperature and moisture. Finally,  
283 during the first 100 days of a year-long incubation of Fairbanks-area 0-10 cm  
284 mineral soils, Neff and Hooper (2002) observed fluxes of ~55-409 μg C-CO<sub>2</sub> g C<sup>-1</sup>  
285 day<sup>-1</sup>, in line with the results here.

286 More generally, in a pan-Arctic synthesis of anaerobic soil incubations, Treat et al.  
287 (2015) reported mean CO<sub>2</sub> rates of 47 (all mineral soils) and 101 (for 20-100 cm  
288 soils) μg C-CO<sub>2</sub> g C<sup>-1</sup> day<sup>-1</sup>, somewhat lower than our aerobic incubation results. The  
289 response of soil biota to stresses such as drought tends to differ between soil types  
290 and organisms, but be broadly similar across biomes and climatic conditions  
291 (Manzoni et al., 2012), making such comparisons between useful, in spite of  
292 significant ecological and climatic differences of studies collected by meta-analyses  
293 such as Treat et al. (2015).

#### 294 *4.1 Temperature versus moisture sensitivity*

295 Warming usually increases soil GHG fluxes, for example at depth in a long-term  
296 Arctic tundra experiment (Sistla et al., 2013), as increased temperatures enhance  
297 the production of extracellular enzymes, increase enzyme activities, and enhance  
298 desorption rates of organic matter from minerals. A key question, for both  
299 experimentalists and modelers (Falloon et al., 2011), is to what degree such soils'  
300 emissions could be constrained by their moisture status, that is itself driven by  
301 increases in high-latitude temperatures, vapor pressure deficit, and potentially  
302 precipitation changes.



303 Our results suggest that moisture limitation could exert a large effect on CO<sub>2</sub>  
304 production for deep active-layer soils (**Figure 4**): "drought" cores' cumulative  
305 production was 73% (4 °C) and 52% (20 °C) lower than the cores kept at field  
306 moisture. This effect was highly significant, and suggests that moisture limitations  
307 could exert a significant constraint on deep active-layer soils as they slowly warm.  
308 Such moisture constraints are thought to be already exerting effects on vegetation  
309 and soil fluxes at large scales (Ju and Masek, 2016; Bond-Lamberty et al., 2012), but  
310 our understanding of the interactive effects involved is poor.

311 In contrast, the temperature sensitivities observed in this experiment were low (all  
312 less than 2.0, even when controlling for changes in soil moisture), but not  
313 unprecedented in comparison to a wide range of other laboratory soil incubations  
314 (Hamdi et al., 2013). Observed surface CO<sub>2</sub> fluxes at this range exhibited a Q<sub>10</sub> of 5.1  
315 ± 1.4 over a temperature range of 3.5-15 °C (personal communication, C. Anderson);  
316 these surface fluxes were measured over multiple months and include root  
317 respiration, however, confounding any direct comparison. It is also important to  
318 note that while increased temperature does not always drive C mineralization rates  
319 in forest mineral soils (Giardina and Ryan, 2000), it is linked with increases in soil  
320 moisture content can lead to changes in microbial community structure and GHG  
321 fluxes (Xue et al., 2016).

322 More surprisingly, Q<sub>10</sub> values were lower in the drought treatment cores, a  
323 mathematical consequence of the fact that drought restricted CO<sub>2</sub> respiration more  
324 at 4 than at 20 °C. There is evidence that climate warming changes the microbial



325 decay dynamics of soil organic C compounds generally considered to be stable (Frey  
326 et al., 2013; Bond-Lamberty et al., 2016a). Unlike surface soils, active layer soils,  
327 which store large quantities of soil C (Mueller et al., 2015), are not subject to  
328 abundant inputs of fresh C from vegetation, so the starting quality of the native soil  
329 C in active layer soils may be older, more microbially processed, and dominated by  
330 more stable "heavy" organic C (Karlsson et al., 2011). Thus, it is not surprising that  
331 these more stable C compounds would be metabolized by processes that have been  
332 reported to be less temperature-sensitive.

333 We observed very low but positive CH<sub>4</sub> production from these upland mineral soils.  
334 This is contrast to many field studies that have observed CH<sub>4</sub> uptake (oxidation) in  
335 dry boreal sites (Matson et al., 2009; Schaufler et al., 2010). Anoxic microsites in soil  
336 can however provide enough CH<sub>4</sub> production to balance low-level consumption in  
337 otherwise aerobic soils (Kammann et al., 2009). In addition, our results are broadly  
338 consistent with data from 65 studies summarized by Olefeldt et al. (2013), who  
339 found that CH<sub>4</sub> emissions were more sensitive to soil temperature in wetter  
340 ecosystems; it would have been a surprise if the little methanogenic activity in our  
341 upland, well-drained soils was temperature-sensitive at all. Methane was also a far  
342 smaller C flux than CO<sub>2</sub> from these soils, in particular at higher temperatures (as CO<sub>2</sub>  
343 was responsive to temperature, but CH<sub>4</sub> was not). This is true more generally: for  
344 example, Treat et al. found a median CO<sub>2</sub>:CH<sub>4</sub> production ratio of 387 for boreal  
345 sites, far lower than (but consistent with) our observed ratios of several million.  
346 Thus we see little opportunity for CH<sub>4</sub> to be a significant contributor to these upland





347 soils' C fluxes and climate feedback risk, even accounting for the stronger radiative  
348 forcing of this gas.

#### 349 *4.2 Soil nitrogen*

350 Somewhat unexpectedly, percent soil N was very significantly and positively  
351 correlated with both CO<sub>2</sub> and CH<sub>4</sub> fluxes (**Tables 1 and 2**). N interacts with  
352 microbial respiration via a number of complex, interactive, and still unclear  
353 mechanisms (Luo and Zhou, 2006), including reductions in belowground plant  
354 allocation, shifts in energy source or population of the saprotrophic community  
355 (Saiya-Cork et al., 2002) that leave it less capable of decomposing recalcitrant  
356 compounds, and perhaps abiotic stabilization mechanisms (Janssens et al., 2010).  
357 Meta-analyses have generally shown negative to neutral effects of N deposition on  
358 microbial biomass (Treseder, 2008) and respiration (Ramirez et al., 2012), and total  
359 soil respiration across ecosystems and biomes (Janssens et al., 2010; Zhou et al.,  
360 2014). These effect are likely due to several one or more mechanisms involving soil  
361 pH, ligninase enzymes, and phenol oxidase activity (Luo and Zhou, 2006).

362 These conclusions have generally come from examining the effect of anthropogenic  
363 N deposition or experimental N amendments. Individual studies, including this one,  
364 have however observed positive correlations between ambient soil N and microbial  
365 respiration. For example, Weiss et al. (2015) found CO<sub>2</sub> production from Siberian  
366 Yedoma permafrost samples to be correlated with both percent C and N, consistent  
367 with our active-layer results (**Table 1**). In an incubation of 84 North American soils,  
368 Colman and Schimel found that percent C and percent N were highly ( $R^2 = 0.84$ )



369 correlated and that the former was a significant predictor of microbial respiration--  
370 similar to our findings (C to N correlation of 0.99), except that percent N out-  
371 predicted percent C in this study. *In situ* respiration rates have also been shown to  
372 be negatively correlated with C:N at large spatial scales (Allaire et al., 2012). Percent  
373 C and N both varied widely in our soil cores, even though they were collected within  
374 tens of meters of each other, suggesting that active-layer SOC response to  
375 temperature and moisture may also be highly spatially variable, even in a visually  
376 uniform boreal forest. Spatially explicit analyses of soil properties, temperatures  
377 (Bond-Lamberty et al., 2005), and respiration (Allaire et al., 2012) are likely  
378 necessary to accurately constrain and predict soil fluxes in this ecosystem.

#### 379 *4.3 Limitations and weaknesses*

380 There were weaknesses in our approach and experimental design that should be  
381 considered. Laboratory experiments offer precise control, but lack the *in situ* nature  
382 of field manipulations (Sistla et al., 2013), raising uncertainties to what degree their  
383 results can be extrapolated. They also have more specific weaknesses, for example  
384 in what can be inferred about temperature sensitivity (Podrebarac et al., 2016;  
385 Hamdi et al., 2013). Nonetheless, the controlled environments of incubations  
386 provide an important way to elucidate the key mechanisms controlling GHG from  
387 high-latitude soils (Schuur et al., 2015).

388 We focused on an experimental drought, rather than flooding, because of the well-  
389 drained nature of the field site: it is unlikely that the mid-slope forest we sampled in  
390 will ever suffer from thermokarst or excessive soil moisture, but too-dry conditions



391 are a serious possibility in this low-precipitation ecosystem (Barber et al., 2000). In  
392 addition, the soils here are not surface layer soils (where the majority of microbial  
393 activity and C mineralization of labile C takes place); taking them out of depth  
394 (where they are less exposed to O<sub>2</sub>, for example) may significantly change the  
395 abiotic conditions to which the microbial community is adapted. However, focusing  
396 on the active layer provides crucial information about the potential loss of C from  
397 these soils, a risk that needs to be well understood as permafrost degradation leads  
398 to expansions in the depth of the active layer across the Arctic.

#### 399 **5 Conclusions**

400 In this laboratory experiment, we found that CO<sub>2</sub> fluxes were strongly influenced by  
401 temperature and water content, and correlated with soil C and N, while CH<sub>4</sub> fluxes  
402 were much smaller and not sensitive to temperature or water content in these well-  
403 drained mineral soils. This suggests that understanding how soil moisture might  
404 change with spatially variable permafrost degradation, how soil biota will respond  
405 to these changes, and how models should treat soil organic matter decomposition  
406 with respect to multiple and interacting drivers are all critical areas of research  
407 going forward. Further controlled field and laboratory studies, ideally tightly  
408 integrated with modeling experiments, are critical to understand GHG emission  
409 dynamics from high-latitude soils.

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#### 417 **Author contributions**

418 B.B.-L., A.P.S., and V.L.B. designed this experiment. B.B.-L. and A.P.S. performed field  
419 sampling, and A.P.S. led the laboratory incubation and analyses. B.B.-L. wrote the  
420 manuscript, with contributions from all authors.

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649 **Table 1.** Linear mixed-effects model parameters, testing effects of temperature ( $^{\circ}\text{C}$ ),  
 650 gravimetric water content (unitless), soil C (%), soil N (%), and dissolved organic  
 651 carbon ( $\text{mg kg}^{-1}$ ) on individual core  $\text{CO}_2$  fluxes ( $+0.1 \mu\text{g C g C}^{-1} \text{ day}^{-1}$ ); a colon (":")  
 652 indicates an interaction. Dependent variable has units of  $\log(\mu\text{g C g C}^{-1} \text{ day}^{-1})$ .  
 653 Columns include parameter value; standard error (SE); degrees of freedom (DF); T  
 654 statistic; and P value.

	Value	SE	DF	T	P
(Intercept)	1.713	0.354	1153	4.839	< 0.001
Temperature	0.046	0.020	26	2.336	0.027
WC_gravimetric	3.496	1.052	1153	3.322	0.001
N_percent	37.976	6.810	26	5.576	< 0.001
Temperature:WC_gravimetric	0.116	0.061	1153	1.905	0.057
Temperature:N_percent	-0.507	0.300	26	-1.690	0.103
WC_gravimetric:N_percent	-37.347	8.425	1153	-4.433	< 0.001

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657 **Table 2.** Linear mixed-effects model parameters, testing effects of temperature (°C),  
 658 gravimetric water content (unitless), soil N (%), and dissolved organic carbon (DOC,  
 659 mg kg<sup>-1</sup>) on log-transformed, individual core CH<sub>4</sub> fluxes (+0.1 μg C g C<sup>-1</sup> day<sup>-1</sup>); a  
 660 colon (":") indicates an interaction. Dependent variable has units of log(μg C g C<sup>-1</sup>  
 661 day<sup>-1</sup>). Columns include parameter value; standard error (SE); degrees of freedom  
 662 (DF); T statistic; and P value.

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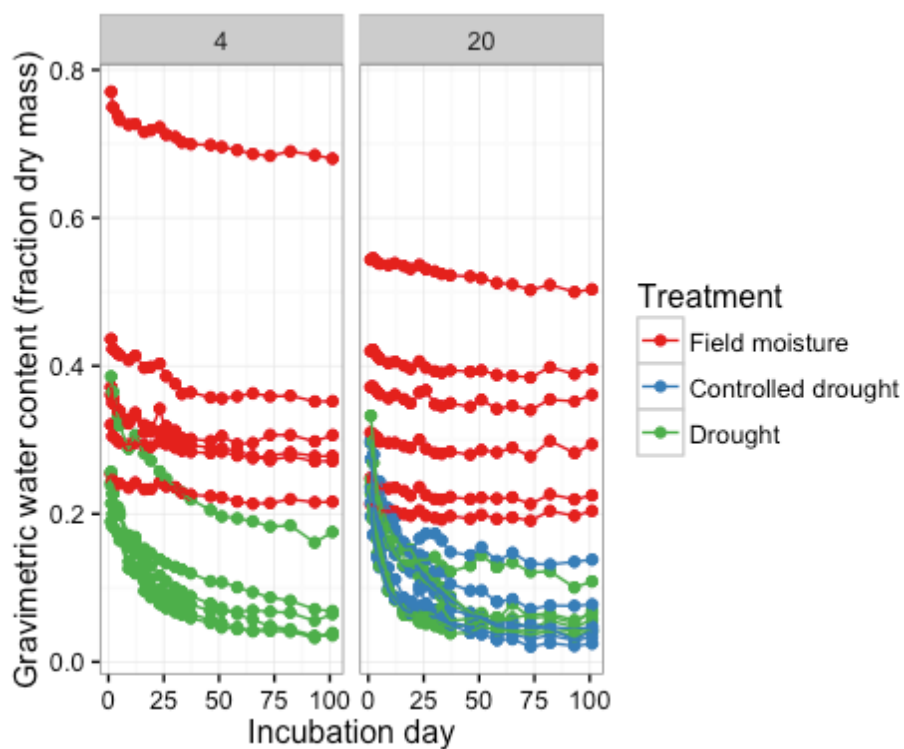
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665 **Figure 1.** Core water content across the course of the incubation experiment by  
666 temperature (left panel 4 °C, right panel 20 °C) and treatment.

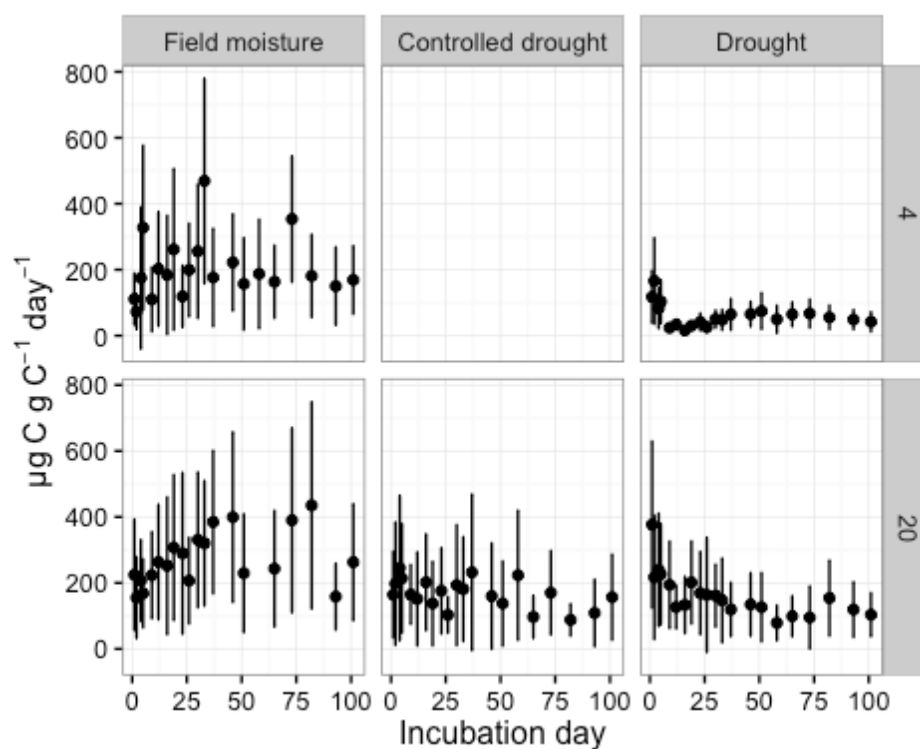


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669 **Figure 2.** Mass-normalized CO<sub>2</sub> fluxes over the 100-day incubation, by temperature  
670 (4 and 20 °C, rows) and treatment (field moisture, drought, and controlled drought;  
671 columns). Error bars show core-to-core standard deviation. The "controlled  
672 drought" treatment, for 20 °C only, was meant to dry cores at roughly the same rate  
673 as the drought cores at 4 °C.

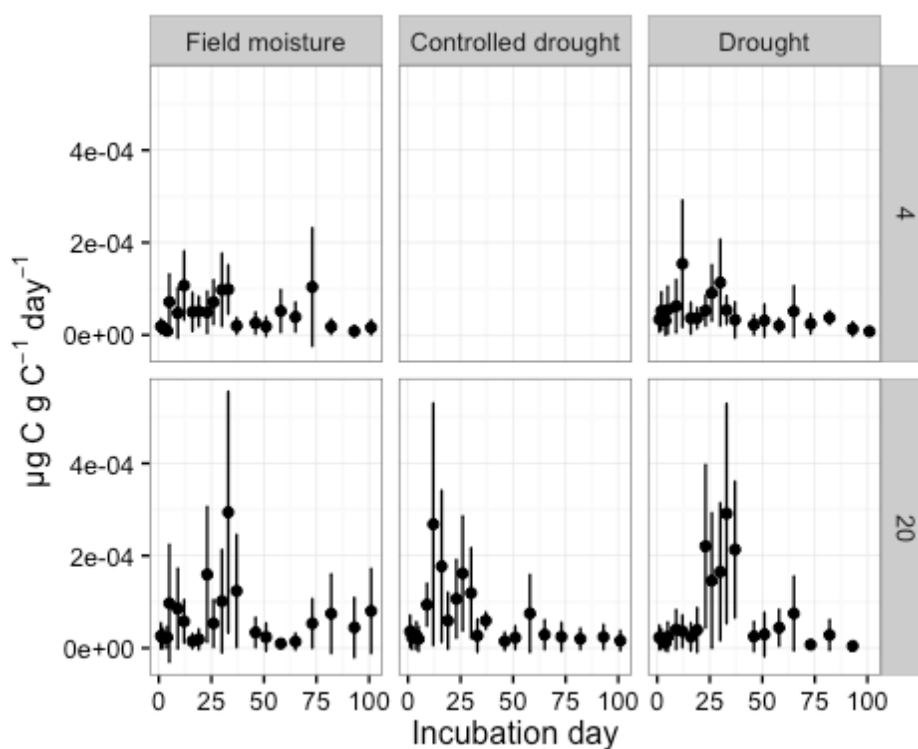


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676 **Figure 3.** Mass-normalized CH<sub>4</sub> fluxes over the 100-day incubation, by temperature  
 677 (4 and 20 °C, rows) and treatment (field moisture, drought, and controlled drought;  
 678 columns). Error bars show core-to-core standard deviation. The "controlled  
 679 drought" treatment, for 20 °C only, was meant to dry cores at roughly the same rate  
 680 as the drought cores at 4 °C.

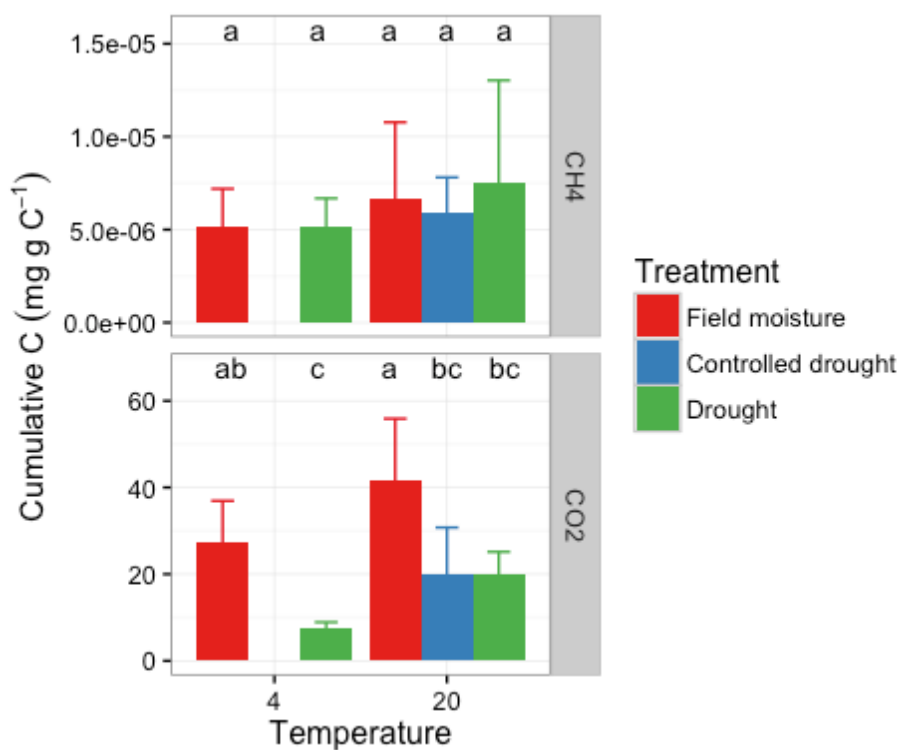


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683 **Figure 4.** Cumulative C fluxes ( $\text{mg g C}^{-1}$ ) over the incubation, by gas ( $\text{CH}_4$  and  $\text{CO}_2$ ,  
684 top and bottom panels respectively) and treatment (columns). Letters within a  
685 panel indicate significant differences based on Tukey's HSD.



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