

## Comments to reviewers. Manuscript bg-2016-244

We would like to thank the 2 anonymous reviewers for their useful and constructive comments. They have helped us improve our manuscript.

Please note that reference to Lines in our responses correspond to the track-change free manuscript.

Some general comments before entering starting with the detailed responses to the reviewers:

1. The title of the manuscript has been modified to clarify its focusing on the tropics: **'Multi-gas and multi-source comparisons of six land use emission datasets and AFOLU estimates in the Fifth Assessment Report, for the tropics for 2000-2005'**.
2. The affiliation of the first author has been modified to match its latest update.
3. A last author has been included, which was erroneously missing.
4. We would kindly request to include this manuscript, if finally accepted, under the special issue: **'Hotspots of greenhouse emissions from terrestrial ecosystems on global and regional scales'**

### Referee 1

1. **Table 2a is missing, which makes hard to understand part of the results:** Sorry about this mistake. The full table is now inserted.
2. **Table 3 is really not easy to understand even with the explanations in the main text. It is necessary to think about rearranging it in a clearer way. For example, gas-specific sub-tables might be used, and sources could be list in the table instead of using numbers.** We agree with the reviewer that this table is complex. We have changed the numbers by the name of the datasets and have clarified in the table caption that degradation is formed by wood harvesting and fire. We have, however, kept the superindices because when we tried to reformat it as text, the final tables per gas were enormous
3. **Sect. 3.2.2: Forest degradation is not shown in the figures / tables (e.g., indicated with a 'f' as the sum of fire and wood harvesting). But suddenly as a parallel section as wood harvesting and fire, it might confuse readers.** Yes, we see the reviewer;s point. We believe that some definition of forest degradation was useful, as an introductory section to the emissions that lead to forest degradation in our research: fire and wood harvesting. To avoid confusions we have inserted 'wood harvesting and fire emissions' in the caption of section 3.2.2, and have changed the captions of Fire emissions and wood harvesting to 3.2.2.1 and 3.2.2.2. We have changed the remaining captions of this section accordingly.
4. **I. 570: Please further justify the 'least reliable' emission sources for each dataset? For example, which criteria(s) the assessment is(are) based on?** The reviewer is right here. We have explained better what 'least reliable' means to us, which should be understood after reading the differences among databases in section 3.2 (line 575-577)
5. **I. 594-612: The discussion on RF is not the objective of this manuscript, which appears to unnecessary given the already long main text.** Agreed, shortened (l.603-613)

#### Technical corrections:

6. **I. 216-218: Duplication of I.211-212:** Agreed, removed.
7. **I. 323: It might be better to replace the 'our' by the name of dataset, since the objective of this manuscript is comparison rather than presenting a dataset.** Agreed, we have removed 'our' from the text and substitute it by 'the Hotspots database'.
8. **I. 341: 2000-2009 is indicated for the value of 4.03 PgCO2 yr-1.** We have eliminated the year 2010 and changed it for the period 2000-2009 which is the way how it appears in Figure Fig. 11.2 in Chapter 11 of WGIII, IPCC AR5. Source: [https://www.ipcc.ch/pdf/assessment-report/ar5/wg3/ipcc\\_wg3\\_ar5\\_chapter11.pdf](https://www.ipcc.ch/pdf/assessment-report/ar5/wg3/ipcc_wg3_ar5_chapter11.pdf). (line 345).
9. **I. 474: ',' is redundant or maybe there are more reference?** Corrected.

Referee 2

1. **Estimations of country level emissions: this is not clear to me. For the 3 data sets this would results into a sample of  $n=3$  for which you calculated the coefficient of variation. Did you then calculate the percentiles of the coefficients? Does this not imply a false sense of agreement/disagreement? Lets say country 1 results into emissions of 20, 21, and 19, while country 2 has emissions of 2,3 and 1. The coefficient of variation leads to much higher uncertainty in country 2, although the absolute emissions are exactly the same. Perhaps the author could discuss the possibility of other metrics such as the variability of per area emissions (per country) among data sets.** This comment is very useful because we had not noticed it. There is indeed a methodological bias that makes countries with smaller emissions show larger variability (lower agreement among databases) than countries with higher emissions. Offering emission intensities (area rated emissions) would respond to a different question and we have preferred to exclude it. We have re-estimated variability among datasets at the country level using standard deviations, and have included a section in the Supplement, to discuss the differences between statistical choices to contrast data dispersion. There we briefly explain the use of three statistics: coefficient of variation, standard deviation and adjusted standard deviation - considering a correction factor that accounts for a country's contribution to the tropical emission budget-. We include here some example pictures that visually present these differences.

2.  
3.

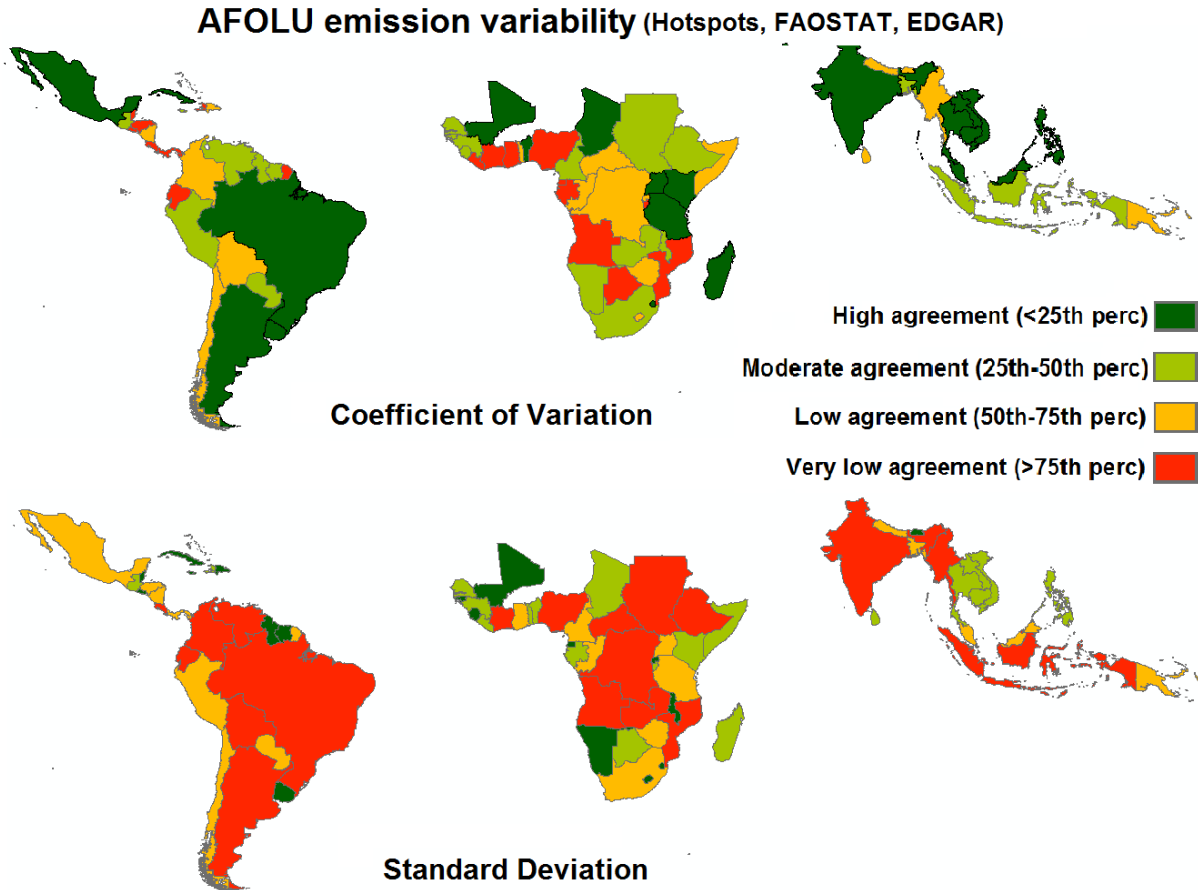


Figure 1: Country emission variability for AFOLU emissions, for the Hotspots, FAOSTAT and EDGAR datasets, using the coefficient of variation or standard deviations as statistics for data dispersion.

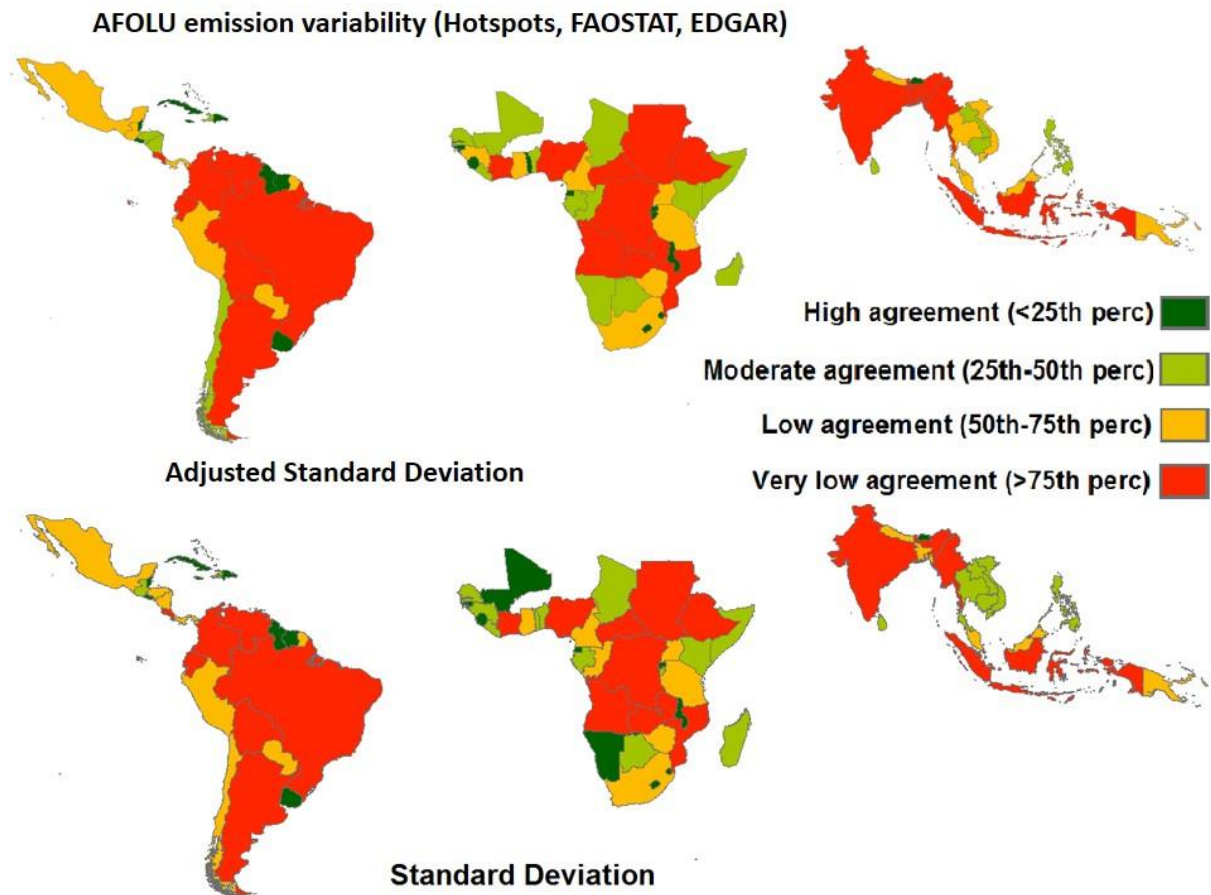


Figure 2: Country emission variability for AFOLU emissions; for the Hotspots, FAOSTAT and EDGAR datasets, using standard deviations or an adjusted standard deviation as statistics for data dispersion.

4. ***I have trouble to find where degradation fits in. It is not included in any of the graphs or tables, yet the authors spend a lot of time describing it in the methods. In other places it is put in the same bucket as fire and wood harvest. I suggest to refine either the result or the method section to put degradation into the correct context. Similarly, the figures show data for deforestation, and although this is intuitive to many readers, I think a good definition (and how it is being used in context with the data set and this analysis) is important.*** Degradation is defined in lines 399-407. We have addressed this claim by re-arranging the captions of fire and wood harvesting in the results/discussion (lines 398, 427, 486) so that they are part of the section of degradation. We have also reminded readers in the graphs, and table captions that degradation in this comparative assessment would be the sum of fire and wood harvesting emissions. We have chosen, however, to retain the degradation section in the results, since we believe that the description of what is degradation in forests and how the datasets are including it, or not, by means of fire and wood harvesting emissions (and other excluded sources) offers interesting information to the readers. Deforestation is explained in the results-discussion and it is not defined as a single concept since different datasets define it differently (see lines 374-376)
5. ***Table 5 is not referenced in the text. But it seems an important table. A paragraph in the results/discussion or in the conclusion could really help summarizing in which category the datasets excel and where they are less reliable:*** Please note that there is no table 5. Table 4 is referred in lines 575 in the text. We subjectively suggest which are the least reliable sources of emissions (see comment 3, Referee 1) but we do not include best performing emission sources because they are difficult to identify. Thus, methods can differ but be correct.

## Minor comments and editorial suggestions

**L37: Suggest “anthropogenic gas emissions”.** Sorry, the abstract is word limited. Not included.

**L39: “Global comparison. . .” This is a somewhat awkward sentence – rephrase.** Thank you, changed to ‘comparisons of global AFOLU emissions’...

**L41: suggest i.e. instead of e.g.** Done

**L52: instead of paranthesis you may use “with fire leading the difference”.** Done

**L55: How much of the disagreement stems from incompleteness of the data C2.** We dont follow well this comment. Have not acted upon it.

**L65: suggest “Modelling studies suggest that to keep . . .”** Already written as so in the original version.

**L74: Reading the Anderson, 2015 text, I am not sure whether Anderson made that claim (while he is sceptic about "optimism" in fossil fuel mitigation strategy - suggest reformulation.** Yes, we see the reviewer’s point. The reference has been eliminated in L74.

**L80: This may be the decision also for copy editing, but I think the abbreviation should be preceded by the full Agriculture, Forestry, and other Land Use, although it is explained in the abstract.** Done, l79

**L80: unit PgCO<sub>2</sub>.e.yr-1: I am wondering whether the e for the equivalent should be clarified.** We believe there is no clarification needed. It is accepted as an standing alone ‘e’, or so has it been in our previous publication in the same journal.

**L81: Abbreviation GHG needs to be properly introduced.** Done

**L115: Is this PgC or PgCO<sub>2</sub>?** It was correctly written as PgC and we have included the PgCO<sub>2</sub>e estimate to compare.

**L119: The statement starting with “These datasets . . .” could benefit with a reference.** Agreed, included in L120 and 122.

**L138: I suggest to mention here why the focus in on tropics, instead of burying the rationale in the methods.** This is explained a bit later, and would rather keep it where it is. Please go to the study area section (lines 149-153)

**L142: In the beginning: Delete the lonely “)”** Done

**L149: The discussion about source and sink, net vs. gross can be tightened here. It appears that several statements are repeated.** Agreed. This topic was extensively debated in our accompanying paper so we have now reshaped/shortened this paragraph (l 157-167) and referred the readers to Roman-Cuesta et al. 2016.

**L172: I think it may be worthwile to briefly (a couple of sentences) explain what the tiers are.** I agree with the reviewer, but since the paper is already very long, we have included a definition in the Supplement and referred the readers there.

**L216: The sentence starting with “Unlike other” is a repetition – check L 211** Thank you. Eliminated

**L261: “some of the datasets used”, please specify all the datasets that derive their emissions from remote sensing** Only deforestation emissions fully rely on remote sensing. Other emissions use remote sensing (fire, wood harvesting, agriculture...but apply emission models. We have changed the sentence (l. 258)

**L271: “To facilitate. . .” I have a hard time understanding this sentence – possible to rephrase?** Paragraph has been rewritten (l. 270-275)

**L386: Please define CWD abbreviation (or just use coarse woody debris since it is only used once)** Done, l.389

**L566: Use in SOC, also is the abbreviation properly explained?** Done, L. 570

**L580: What are the units for the numbers?** We have changed paragraph. It was hard to understand as it was written.

**L587: It is not clear what the FAOSTAT omissions are.** We have referred readers to section 3.2.2.1 (fire differences among datasets) to clarify this point. L. 427-449

**L589: try to rephrase “excluding CO<sub>2</sub> from aboveground biomass”. – “FAOSTAT does not include CO<sub>2</sub> emissions from burned biomass” – is this FAOSTAT assumes that fire**

**frequencies are constant through time, and thus the CO2 budget remains unaffected?** No, FAOSTAT fully excludes aboveground CO2 fire emissions, to place them instead in net deforestation. Please read fire section, lines 433-435. We have clarified this section a bit.

**L618: “In detriment to sectorial comparisons” – is this a reference to analysis presented in this manuscript?** We have rewritten the material section for the country comparisons to make it more comprehensible. See section 2.5 Country emissions. Line 289-298. We have also redone Sect. 3.4 Lines 615-620

**L640: I suggest to use “added” instead of “coming”:** done

**L640: Doesn’t the A in FRA is assessment? – suggest to delete assessments:** this has been clarified. Assessments referred to the different FRAs (1990,2000,2005, 2010, etc) since FAOSTAT gets updated with each new FRA.

**L667: Missing reference:** Corrected. Lin. 656

**L706: direct data on forest degradation is missing (see also my comment above):** we do not use direct data on degradation since there are no degradation emission datasets spatially explicit, to our knowledge. Instead, we add the emissions from fire and wood harvesting and consider them to be our forest degradation emissions. See comment 4 of Reviewer 2.

**L708: Isn’t the lifetime of CO2 included in the CO2 equivalent calculation?** Only warming potentials are considered in the transformation to equivalents. However, this section refers to the uncertainty of CO2 emissions not to emission estimates.

**L712: What is meant with variability? Also the use of “most” may not be appropriate since there are only two non-CO2 greenhouse gases. Overall, I think this bullet point C4 should be rephrased:** Yes, we understand the reviewer’s confusion. We have improved the conclusions of the gases (CO2 and N2O) by referring to the correspondent figures. L. 733-740

**L717: I guess the authors mean that differences among the data sets are as big (or bigger) than the differences among sectors/categories.** Rewritten. Lines 743-749

**Figure 1: Why is there suddenly a reference to EDGAR JRC, while in the main text it is referred only to EDGAR. The figure also offers to explain the reader a bit more about the peculiarities of the data. Hotspot is the only data set that has error estimate. EPA has no FOLU emissions calculated while Houghton has not calculated Ag emissions.** Agreed, done.

**Figure 2: why is the Baccini data included here, but not in figure 1 or 3?** The reviewer is right. We have redone all pictures. Figure 1 was wrongly missing Baccini’s data. We have now corrected it. Baccini’s data are, however, not offered in a disaggregated spatial manner so they can only be part of figures at tropical scale (i.e. Fig 1 and 2, but not 3).

**Figure 4 caption: typo “peatland”** Thanks, corrected

**Figure 1-3 why are the AR5 data not included – I know they are gleaned from the report’s figure, but they could stack up against your summary data?** Yes, the reviewer’s got a point here but we originally decided to exclude the AR5 data in the graphs because they require too many explanations (i.e. net emissions instead of gross but sinks are only partial since they do not include forest sinks of standing forests if not disturbed or undergoing shifting cultivation recovery), data are for a different period (2000-2009) and we do not have spatially disaggregated data from where we could exactly extract our tropical study area. Some tropical data are offered in graphs within the AR5 Chapter 11, so the data can be more or less derived for a text discussion, but not so good for a numeric comparison.

**Table 2a is missing:** Corrected.

**Table 3: This seems to be an important table, but highly cryptic. I suggest to use the acronym of the datasets instead of the numbers.** Yes, the table is still complex, but we improved the caption to expand its comprehension and changed the numbers by the datasets.

**Table 4: “Other” is really only Forest Sinks – so perhaps use “Forest Sinks” as category.** Done

1 **Multi-gas and multi-source comparisons of six land use emission datasets**  
2 **and AFOLU estimates in the Fifth Assessment Report, for the tropics for**  
3 **2000-2005.**

4  
5 **Short title:** AFOLU dataset comparisons

6  
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33 **Keywords:** AFOLU, Land use greenhouse gas emissions, Land Use Land Cover Change and  
34 Forestry, LULUCF, mitigation, Fifth Assessment Report, gross emissions flux.

35

## 36 **ABSTRACT**

37 The Agriculture, Forestry and Other Land Use (AFOLU) sector contributes with ca. 20-25% of  
38 global anthropogenic emissions (2010), making it a key component of any climate change  
39 mitigation strategy. AFOLU estimates remain, however, highly uncertain, jeopardizing the  
40 mitigation effectiveness of this sector. Comparisons of global AFOLU emissions have shown  
41 divergences of up to 25%, urging for improved understanding on the reasons behind these  
42 differences. Here we compare a diversity of AFOLU emission datasets (i.e. FAOSTAT,  
43 EDGAR, the newly developed AFOLU “Hotspots”, “Houghton”, “Baccini”, and EPA) and  
44 estimates given in the Fifth Assessment Report, for the tropics (2000-2005), to identify  
45 plausible explanations for the differences in: i) aggregated gross AFOLU emissions, and ii)

46 disaggregated emissions by sources, and by gases (CO<sub>2</sub>, CH<sub>4</sub>, N<sub>2</sub>O). We also aim to iii) identify  
47 countries with low agreement among AFOLU datasets, to navigate research efforts. Aggregated  
48 gross emissions were similar for all databases for the AFOLU: 8.2 (5.5-12.2), 8.4 and 8.0 Pg  
49 CO<sub>2</sub>e.yr<sup>-1</sup> (Hotspots, FAOSTAT and EDGAR respectively), Forests: 6.0 (3.8-10), 5.9, 5.9 and  
50 5.4 PgCO<sub>2</sub>e.yr<sup>-1</sup> (Hotspots, FAOSTAT, EDGAR, and Houghton), and Agricultural sectors: 1.9  
51 (1.5-2.5), 2.5, 2.1, and 2.0 PgCO<sub>2</sub>e.yr<sup>-1</sup> (Hotspots, FAOSTAT, EDGAR, and EPA). However,  
52 this agreement was lost when disaggregating by sources, continents, and gases, particularly for  
53 the forest sector, with fire leading the differences. Agricultural emissions were more  
54 homogeneous, especially livestock, while croplands were the most diverse. CO<sub>2</sub> showed the  
55 largest differences among datasets. Cropland soils and enteric fermentation led the smaller N<sub>2</sub>O  
56 and CH<sub>4</sub> differences. Disagreements are explained by differences in conceptual frameworks  
57 (i.e. carbon-only vs multi-gas assessments, definitions, land use versus land cover, etc), in  
58 methods (Tiers, scales, compliance with Intergovernmental Panel on Climate Change (IPCC)  
59 guidelines, legacies, etc) and in assumptions (i.e. carbon neutrality of certain emissions,  
60 instantaneous emissions release, etc) that call for more complete and transparent documentation  
61 for all the available datasets. Enhanced dialogue between the carbon (CO<sub>2</sub>) and the AFOLU  
62 (multi-gas) communities is needed to reduce discrepancies of land use estimates.

63

## 64 **1. INTRODUCTION**

65 Modelling studies suggest that to keep the global mean temperature increase to less than 2°C  
66 and to remain under 450 ppm of CO<sub>2</sub> by 2100, CO<sub>2</sub> emissions must be cut 41-72% below 2010  
67 levels by 2050 (IPCC, 2014), and global emissions levels must be reduced to zero (a balance  
68 between sources and sinks) before 2070 and below zero, through removal processes, after that  
69 (Anderson, 2015; UNEP, 2015). To reach these ambitious goals, tremendously rapid  
70 improvements in energy efficiency and nearly a quadrupling of the share of zero and low carbon



71 energy supply (i.e. renewables, nuclear energy, and carbon dioxide capture and storage (CCS),  
72 including bioenergy (BECCS)) would be needed by 2050 (IPCC, 2014; Friedlingstein et al.,  
73 2014; UNEP, 2015). Since there is no scientific evidence on the feasibility of CCS technologies  
74 (Anderson, 2015), renewables and the land use sector are among the most plausible options  
75 (Canadell and Schulze, 2014). Optimistic estimates suggest that the AFOLU sector (here  
76 indistinctively also called land use sector) could contribute from 20 to 60% of the total  
77 cumulative abatement to 2030 including bioenergy (Smith et al., 2014).

78

79 The Agriculture, Forestry, and other Land Use (AFOLU) sector roughly contributes with a  
80 quarter (10-12 PgCO<sub>2</sub>e.yr<sup>-1</sup>) of the total anthropogenic greenhouse gas (GHG) emissions (50  
81 PgCO<sub>2</sub>e.yr<sup>-1</sup>) (Smith et al., 2014) through a few human activities: deforestation, forest  
82 degradation, and agriculture including cropland soils, paddy rice, and livestock (Smith et al.,  
83 2014). Despite the acknowledged importance of the emissions from the land use sector in global  
84 mitigation strategies, assessing GHG emissions and removals from this sector remains  
85 technically and conceptually challenging (Abad-Viñas et al., 2014; Ciais et al., 2014). This  
86 challenge relates to an incomplete understanding of the processes that control the emissions  
87 from the land use sector (Houghton et al., 2012), especially post-disturbance dynamics (Frank  
88 et al., 2015; Poorter et al., 2016) and to various sources of error that range from inconsistent  
89 definitions, methods, and technical capacities (Romijn et al., 2012, 2015; Abad-Viñas et al.,  
90 2014), to special features of the land use sector such as legacy and reversibility/non-permanence  
91 effects (Estrada et al., 2014), or to the difficulty to separate anthropogenic from natural  
92 emissions (Estrada et al., 2014; Smith et al., 2014). As a result, the AFOLU emissions are the  
93 most uncertain of the all the sectors in the global budget, reaching up to 50 percent of the  
94 emissions mean (Houghton et al., 2012; Smith et al., 2014; Tubiello et al., 2015). This is  
95 important since uncertainties jeopardize the effectiveness of the AFOLU sector to contribute to

96 climate change mitigation. Thus, country compliances to their mitigation targets are likely to  
97 be controversial when the uncertainty is equal to or greater than the pledged emission reductions  
98 (Grassi et al., 2008; Pelletier et al., 2015).

99

100 Currently, data on AFOLU emissions are available through national greenhouse gas  
101 inventories, which are submitted to the United Nations Framework Convention on Climate  
102 Change (UNFCCC), but these national estimates cannot be objectively compared due to  
103 differences in definitions, methods, and data completeness (Houghton et al., 2012; Abad-Viñas  
104 et al., 2014). More comparable AFOLU data are offered in global emission databases such as  
105 EDGAR or FAOSTAT (Smith et al., 2014; Tubiello et al., 2015), or more sectorial datasets  
106 such as the Houghton's Forestry and other Land Use (FOLU) data (Houghton et al., 2012), and  
107 the US Environmental Protection Agency non-CO<sub>2</sub> emissions for agriculture -including  
108 livestock (USEPA, 2013). While national inventories and global databases are currently the  
109 best bottom up emissions data we count on, their utility to inform on what the atmosphere  
110 receives has been contested. Late research shows disagreements between the trends of reported  
111 emissions and atmospheric growth since 1990 for CO<sub>2</sub> (Francey et al., 2010, 2013a, 2013b), for  
112 CH<sub>4</sub> (Montzka et al., 2011), and for N<sub>2</sub>O (Francey et al., 2013b). In the case of CO<sub>2</sub>, Francey *et*  
113 *al.* conclude that the differences between atmospheric and emission trends for CO<sub>2</sub> might be  
114 more related to under-reported emissions (~9 PgC = 33 PgCO<sub>2</sub> for the period 1994-2005), than  
115 to adjustments in the terrestrial sinks (i.e. increased CO<sub>2</sub> removals in oceans and forests). On  
116 the other hand, global AFOLU databases suffer from inconsistencies that lead to global CO<sub>2</sub>e  
117 emissions differences of up to 25% (2000-2009) (Tubiello et al., 2015): 12.7 vs 9.9 PgCO<sub>2</sub>e.yr<sup>-1</sup>  
118 <sup>1</sup> for EDGAR and FAOSTAT, respectively. These datasets also disagreed in the contribution of  
119 the AFOLU sector to the total anthropogenic budget in 2010 (i.e. 21% and 24% for FAOSTAT  
120 vs EDGAR) (Tubiello et al., 2015), and on the relative share of the emissions from agriculture

121 versus FOLU since 2010 Tubiello et al., 2015). Thus, while EDGAR implies a relatively equal  
122 contribution (IPCC, 2014), FAOSTAT reports agricultural emissions being larger contributors  
123 to the total anthropogenic budget ( $11.2\pm 0.4\%$ ) than forestry and other land uses ( $10\pm 1.2\%$ )  
124 (Tubiello et al., 2015), with a steady growth trend of 1% since 2010.

125

126 Understanding the inconsistencies among AFOLU datasets is an urgent task since they preclude  
127 our accurate understanding of land-atmosphere interactions, GHG effects on climate forcing  
128 and, consequently, the utility of modelling exercises and policies to mitigate climate change  
129 (Houghton et al., 2012; Grace et al., 2014; Smith et al., 2014; Sitch et al., 2015; Tian et al.,  
130 2016). The land use sector plays a prominent role in the Paris Agreement (Art.5), with many  
131 countries including it as mitigation targets in their Nationally Determined Contributions  
132 (NDCs) (Grassi and Dentener, 2015; Richards et al., 2015; Streck, 2015). It is then urgent to  
133 understand how much and why different AFOLU datasets differ in their emissions estimates,  
134 so that we can better navigate countries' land-based mitigation efforts, and help to validate their  
135 proposed claims under the UNFCCC.

136

137 Here we compare gross AFOLU emissions estimates for the tropics, for 2000-2005, from six  
138 datasets: FAOSTAT, EDGAR, "Houghton", "Baccini", the US Environmental Protection  
139 Agency data (EPA), and a recently produced, spatially explicit AFOLU dataset, that we will  
140 hereon call "Hotspots" (Roman-Cuesta et al., 2016). We aim to identify differences and  
141 plausible explanations behind: i) aggregated AFOLU, FOLU and Agricultural gross emissions,  
142 ii) disaggregated contributions of the emission sources for the different datasets, iii)  
143 disaggregated contribution of the different gases ( $\text{CO}_2$ ,  $\text{CH}_4$ ,  $\text{N}_2\text{O}$ ), and iv) national scale  
144 disagreements among datasets.

145

## 146 2. METHODS

### 147 2.1 Study area

148 Our study area covers the tropics and the subtropics, including the more temperate regions of  
149 South America (33° N to 54° S, 161° E to 117° W). Land use change occurs nowhere more  
150 rapidly than in the tropics (Poorter et al., 2016) so its study has global importance. Moreover,  
151 the tropics suffer from the largest data and capacity gaps (Romijn et al., 2012; 2015) and their  
152 need to access AFOLU data and understand their differences is more crucial. We selected the  
153 period 2000-2005 for being the common temporal range for all the datasets. This period is not  
154 for the recent past but that does not affect the comparative nature of this research. Our study  
155 area focuses at the country level and includes eighty countries, following Harris et al., (2012).  
156 We ran the comparisons on gross emissions. While gross and net emissions are equally  
157 important, they offer different information (Richter and Houghton, 2011; Houghton et al.,  
158 2012). Net land use emissions consider the emissions by the sources and the removals by the  
159 sinks (i.e. forest growth, forest regrowth after disturbances, organic matter stored in soils) in a  
160 final emission balance where the removals are discounted from the emissions. Gross  
161 assessments can consider both the emissions produced by the sources (gross emissions) and the  
162 removals absorbed by the sinks (gross removals), but they are not balanced out. Gross emissions  
163 are useful to navigate mitigation implementation since they offer direct information on the  
164 sources and sinks that need to be acted upon through policies and measures to enhance and  
165 promote mitigation (see further information on net and gross alternatives in Roman-Cuesta et  
166 al., 2016).

167

### 168 2.2 AFOLU datasets

169 *Hotspots* (Roman-Cuesta et al., 2016): this is a multi-gas (CO<sub>2</sub>, CH<sub>4</sub>, N<sub>2</sub>O) spatially explicit  
170 (0.5°) database on gross AFOLU emissions and associated uncertainties for the tropics and

171 subtropics for the period 2000-2005, at Tier 2 and Tier 3 levels (see Supplement for the  
172 definition of Tiers). This database ~~It helps~~ locates the hotspots of tropical AFOLU emissions,  
173 which should help to estimate mitigation potentials, and ~~to help~~ prioritize the areas and the  
174 land activities that require mitigation most urgent actions mitigation action. It combines  
175 available published GHG datasets for the key sources of emissions in the AFOLU sector, as  
176 identified by the Fifth Assessment Report of the Intergovernmental Panel on Climate Change  
177 (AR5, (Smith et al., 2014): deforestation, forest degradation (fire, wood harvesting), crop soils,  
178 paddy rice, and livestock (enteric fermentation and manure management). It also includes  
179 emission estimates of agricultural peatland decomposition using Tier 1 emission factors (see  
180 details in Roman-Cuesta et al., 2016). ~~are also included~~. Forest emissions ~~mainly report~~ focus  
181 on aboveground biomass, with the exception of peat fires ~~(except fire that also reports on soils)~~.  
182 More detailed methodological information is available in Roman-Cuesta et al., (2016).

183

184 *FAOSTAT*: covers agriculture, forestry and other land uses and their associated emissions of  
185 CO<sub>2</sub>, CH<sub>4</sub> and N<sub>2</sub>O, following IPCC, 2006 Guidelines at Tier 1 (Tubiello et al., 2013, 2014).  
186 Emissions are estimated for nearly 200 countries, annually, for the reference period of 1961–  
187 2012 (agriculture) and 1990–2012 (FOLU), based on national activity data submitted by  
188 countries and further collated by FAO. Projected emission data are available for 2030 and 2050.  
189 FAOSTAT includes estimates of emissions from biomass fires, peatland drainage and fires,  
190 based on geo-spatial information, as well as on forest carbon stock changes (both emissions and  
191 removals) based on national-level FAO Forest Resources Assessment data (FRA 2010).

192

193 *EDGAR*: The Emissions Database for Global Atmospheric Research (EDGAR) provides global  
194 GHG emissions from multiple gases (CO<sub>2</sub>, CH<sub>4</sub>, N<sub>2</sub>O, HFCs, PFCs and SF<sub>6</sub>) at 0.1° and country  
195 levels. The EDGAR database covers all IPCC sectors (energy, industry, waste management,

196 and AFOLU), mostly applying IPCC 2006 guidelines for emission estimations (EDGAR 2012).  
197 We downloaded the EDGAR's 4.2 Fast Track 2010 (FT 2010). FT 2010 emissions cover the  
198 period 2000-2010 in an annual basis, at the country level.

199

200 "*Houghton*": Houghton's bookkeeping model calculates the net and gross fluxes of carbon  
201 (CO<sub>2</sub> only) between land and atmosphere that result from land management (Houghton, 1999,  
202 2012; Houghton and Hackler, 2001; Houghton et al., 2012). The net estimate includes emissions  
203 of CO<sub>2</sub> from deforestation, shifting cultivation, wood harvesting, wood debris decay, biomass  
204 burning (for deforestation fires only, peatland fires were not included in our version of their  
205 data), and soil organic matter from cultivated soils. It also includes sinks of carbon in forests  
206 recovering from harvest and agricultural abandonment under shifting cultivation. ~~Unlike the~~  
207 ~~other datasets, all pools are included: live vegetation, soil, slash (woody debris produced during~~  
208 ~~disturbance), and wood products.~~ The model does, however, not include forests that are not  
209 logged, cleared or cultivated. Rates of growth and decomposition are ecosystem specific and  
210 do not vary in response to changes in climate, CO<sub>2</sub> concentrations, or other elements of  
211 environmental change. Therefore, forests grow (and wood decays) at the same rates in 1850  
212 and 2015. Unlike other databases all carbon in the ecosystem considered is accounted for: live  
213 vegetation, soil, slash (woody debris produced during disturbance), and wood products. We  
214 downloaded regional annual emissions from the TRENDS (1850-2005) dataset for the tropics:  
215 Central and South (CS) America, tropical Africa and South and South East Asia. Only net  
216 emissions were available. No spatially disaggregated data were offered (i.e. countries).  
217 Houghton's data are, unlike all the other datasets, net aggregated FOLU estimates, for CO<sub>2</sub>-  
218 only.

219

220 "*Baccini*": These are gross FOLU tropical emissions ~~that derive from Houghton's bookkeeping~~  
221 ~~model and~~ published by Baccini et al., (2012). Data are gross emissions for the period 2000-  
222 2010 disaggregated into: deforestation (4.18 PgCO<sub>2</sub>.yr<sup>-1</sup>), wood harvesting (1.69 PgCO<sub>2</sub>.yr<sup>-1</sup>),  
223 biomass burning (2.86 PgCO<sub>2</sub>.yr<sup>-1</sup>) and, wood debris decay (3.04 PgCO<sub>2</sub>.yr<sup>-1</sup>). We excluded  
224 this last variable to make it more comparable to the other datasets, where CWD is frequently  
225 excluded (Table 1). Baccini's estimates refer, ~~however~~, to a tropical area slightly smaller than  
226 our study region and they are offered as an aggregated value (no continental or country data  
227 available):-

228

229 *The US Environmental Protection Agency (EPA)*: global non-CO<sub>2</sub> projected emissions for the  
230 period 1990-2030 for the Agriculture, Energy, Industrial Processes and Waste sectors, for more  
231 than twenty gases. EPA uses future net emissions projections of non-CO<sub>2</sub> GHGs as a basis for  
232 understanding how future policy and short-term, cost-effective mitigation options can affect  
233 these emissions. EPA follows the Global Emissions Report, which uses a combination of  
234 country-prepared, publicly-available reports consistent with IPCC guidelines and guidance  
235 (USEPA, 2013). When national emissions estimates were unavailable, EPA produced its own  
236 non-CO<sub>2</sub> emissions using IPCC methodologies (i.e., international statistics for activity data, and  
237 the default IPCC Tier 1 emission factors). Deviations to this methodology are discussed in each  
238 of the source-specific methodology sections of USEPA (2012). No FOLU estimates are  
239 included in this dataset. We downloaded agricultural emissions offered as 5-year intervals at  
240 country level, disaggregated by gas (N<sub>2</sub>O and CH<sub>4</sub>), and by ~~emission~~ sources.

241

242 *IPCC AR5*: The AR5 is a synthesis report, not a repository of global data. However, new  
243 AFOLU data are produced by the merging of peer-reviewed data such as Figures 11.2, 11.4,  
244 11.5 and 11.8 in chapter 11 of the AR5 (Smith et al., 2014). We will contrast our six datasets

245 against the data from these newly produced figures.

246

247 Table 1 shows a summary of key similarities and differences of the assessed AFOLU datasets  
248 and the data from the AR5. The exact variables used for each database, are described in Table  
249 S1 in the [Ssupplement](#). Datasets can be downloaded at the websites described in the reference  
250 section.

251

### 252 ***2.3 Estimating comparable gross AFOLU emissions for all datasets***

253 We focus on human-induced gross emissions only, excluding fluxes from unmanaged land  
254 (i.e. natural wetlands). We focus on direct emissions excluding indirect emissions whenever  
255 possible (i.e. nitrate leaching and surface runoff from croplands). Delayed fluxes (legacies)  
256 are important (i.e. underestimations of up to 62% of the total emissions when recent legacy  
257 fluxes are excluded) (Houghton et al., 2012) but are frequently omitted in GHG assessments  
258 that derive from remote sensing, such as ~~some of the datasets used in this comparison (i.e. the~~  
259 ~~deforestation emissions~~ [used in the Hotspots database, which relies on](#) ~~from~~ Harris et al.,  
260 ~~(2012)~~). Wood harvesting emissions also excluded legacy fluxes. We assumed instantaneous  
261 emissions of all carbon that is lost from the land after human action (Tier 1, IPCC 2006) (i.e.  
262 deforested and harvested wood), with no transboundary considerations (i.e. the emissions are  
263 assigned wherever the disturbance takes place, particularly important for Harvested Wood  
264 Products). Life-cycle substitution effects were neither considered for harvested wood (Peters  
265 et al., 2012). Some exceptions were allowed when data were already aggregated (i.e. for  
266 Houghton's and EPA's datasets we could not exclude indirect emissions linked to forest  
267 decay and agriculture, respectively), or because their legacy (past decay) estimates  
268 corresponded to an important source (i.e. EDGAR's post burned decay and decomposition  
269 emissions represent deforestation) (Tubiello et al., 2015). [Databases include a diversity of](#)



270 emission sources -and gases under AFOLU, not always following IPCC requirements (some  
271 exclude peatland emissions, some include energy into the AFOLU emissions, some exclude  
272 non CO2emissions, etc). However, to compare the AFOLU emission estimates among  
273 databases we choose exactly the same sources: deforestation, wood harvesting, fire, livestock  
274 (enteric fermentation + manure management), cropland soil emissions, rice emissions,  
275 emissions from drained histosols), and the same gases CO<sub>2</sub>, CH<sub>4</sub>, and N<sub>2</sub>O, and documented  
276 what was included in each case (See Table S1 the Supplement). For the case of fire, for all the  
277 databases, we excluded -CO<sub>2</sub> emissions that came from biomass burning in non-woody  
278 vegetation such as-savannas and agriculture, since —as they are assumed to be in equilibrium  
279 with annual regrowth processes (for CO<sub>2</sub> gases only) (IPCC 2003, 2006).

280

#### 281 ***2.4 Correcting known differences among datasets estimates***

282 Tubiello *et al.* (2015) identified four main differences that resulted in larger estimates for the  
283 EDGAR data than for FAOSTAT, under the AFOLU estimates of the AR5 (Smith et al., 2014):  
284 1. The inclusion of energy emissions under the agriculture budget, 2. Inclusion of savannah  
285 burning, 3. Higher rice emissions due to the use of the IPCC 1996 guidelines instead of the  
286 IPCC 2006 guidance, 4. FOLU's unresolved differences due to unclear metadata on EDGAR's  
287 proxy for deforestation (post burned decay and decomposition). We have corrected for the first  
288 two in our data comparison. No energy, and no CO<sub>2</sub> for savannah burning have been included  
289 in the AFOLU estimates in any of our analyses.

290

#### 291 ***2.5 Country emissions***

292 To characterize countries emissions' variability we estimated the standard deviations for the  
293 different emission sectors: i. forest (deforestation + fire + wood harvesting), ii. agriculture  
294 (cropland soils + paddy rice), iii. livestock, and the aggregated AFOLU emissions, for the three

295 most complete datasets (Hotspots, FAOSTAT, EDGAR), per country. We grouped the standard  
296 deviations into four percentiles to aggregate countries into levels of emission variability: high  
297 agreement (=low variability, low standard deviations, <25<sup>th</sup>percentile), moderate agreement  
298 (25<sup>th</sup>-50<sup>th</sup> percentiles), low agreement (25<sup>th</sup>-50<sup>th</sup> percentiles), and very low agreement (= very  
299 high variability, very high standard deviations, >75<sup>th</sup> percentile). See Supplement for a further  
300 discussion on issues regarding countries' emissions variability.

### 303 3. RESULTS AND DISCUSSION

#### 304 3.1 Aggregated AFOLU, FOLU and Agricultural emissions

305 We found good agreement among datasets for the aggregated tropical scales with AFOLU  
306 values of 8.0 (5.5-12.2) (5<sup>th</sup>-95<sup>th</sup> percentiles), 8.4 and 8.0 PgCO<sub>2</sub>e.yr<sup>-1</sup> (for the Hotspots,  
307 FAOSTAT and EDGAR, respectively). FOLU (deforestation and forest degradation)  
308 contributed with 6.0 (3.8-10), 5.9, 5.9 and 5.4 PgCO<sub>2</sub>e.yr<sup>-1</sup> for the Hotspots, FAOSTAT,  
309 EDGAR, and Houghton datasets respectively. Agriculture (livestock, cropland soils and rice  
310 emissions) reached 1.9 (1.5-2.5), 2.5, 2.1, and 2.0 PgCO<sub>2</sub>e.yr<sup>-1</sup> for the Hotspots, FAOSTAT,  
311 EDGAR, and EPA datasets respectively (Figure 1, Table 2). Forest emissions represented ≥70%  
312 of the tropical AFOLU gross mean annual budget for 2000-2005 (~~our~~ the Hotspots database  
313 and Houghton showing the highest and the lowest estimates), and agriculture represented the  
314 remaining 25-30% AFOLU emissions (FAOSTAT and Hotspots showing the highest and the  
315 lowest values). Houghton's FOLU value (5.4 PgCO<sub>2</sub>.yr<sup>-1</sup>) is a net estimate that includes carbon  
316 dynamics associated to forest land use changes, and forest removals from areas under logging  
317 and shifting cultivation and it is, as expected, lower than the forest gross emissions. Its value  
318 for the tropics was, however, higher than the net FOLU value used in the IPCC AR5 (4.03  
319 PgCO<sub>2</sub>e.yr<sup>-1</sup> for 2000-2009) (Houghton *et al.* 2012). Since boreal and temperate forest sinks are

320 reported to be quasi-neutral (Houghton et al., 2012), these differences are unclear. There is a  
321 variety of Houghton' net FOLU estimates in current bibliography (i.e. 4.03 PgCO<sub>2</sub>e.yr<sup>-1</sup> for  
322 2000-2009 in Smith *et al.* (2012), 4.9 for 2000 and 4.2 for 2010 (Tubiello et al., 2015) that  
323 likely correspond to different updates of the same dataset, but create confusion and would call  
324 for verified official values that could be consistently used.

325

326 The IPCC AR5 offers a FOLU gross value for the tropics of ca. 8.4 PgCO<sub>2</sub>.yr<sup>-1</sup> (2000-2007)  
327 (Fig 11.8 in AR5, Smith et al., 2014) (Fig S1, [Supplement](#)) which corresponds to Baccini's  
328 estimates using Houghton's bookkeeping model. This value is in the upper range of [the](#)  
329 [Hotspots](#) ~~our~~ gross FOLU emissions: 6 (3.8-10) PgCO<sub>2</sub>e.yr<sup>-1</sup> (2000-2005), and higher than the  
330 mean gross FOLU emissions from all the other datasets (approx. 6 PgCO<sub>2</sub>e.yr<sup>-1</sup>) (Table 2). The  
331 time periods are not identical and we do not compare the same gases (i.e. the bookkeeping  
332 model focuses on CO<sub>2</sub> only, while we run a multi-gas assessment). However, the differences  
333 mainly relate to unreported choices behind the inclusion/exclusion of emission sources and the  
334 description of their methods, in the AR5. Thus, the 8.4 PgCO<sub>2</sub>.yr<sup>-1</sup> gross estimate does not  
335 include fire, and has larger contributions from shifting cultivation (2.35 PgCO<sub>2</sub>.yr<sup>-1</sup>) and wood-  
336 harvesting (2.49 PgCO<sub>2</sub>.yr<sup>-1</sup>), than the deforestation and wood-harvesting emissions in [the](#)  
337 [Hotspots](#) ~~our~~ selected datasets (Figure 2). Numbers used in Figure 11.8 also exclude other gross  
338 emissions offered in Baccini et al. (2012), which is the citation used in Fig. 11.8. Explicit,  
339 complete, and transparent documentation is encouraged for the next AFOLU figures in the  
340 IPCC Assessment Reports. Another consideration of AFOLU estimates in the Assessment  
341 Reports relates to the use of the bookkeeping model to estimate land use, land use change and  
342 forest (LULUCF) emissions. As useful as this model is, its framework does not follow the IPCC  
343 AFOLU guidelines (IPCC, 2006), particularly regarding the concept of managed land. Thus,  
344 forests that are on managed land but are not suffering from direct human activities are

345 considered carbon neutral (Houghton *pers. comm.*). Partly because of that, the net emission  
346 estimates of LULUCF from Houghton et al., (2012) used in the AR5 (4.03 PgCO<sub>2</sub>.yr<sup>-1</sup>; 2010-  
347 2009) ~~contrast with~~ differ from the LULUCF estimates produced by LULUCF country reports  
348 ~~submitted to the UNFCCC~~ for the same year period, which are close to zero (Grassi and  
349 Dentener, 2015; Federici et al., 2016). The use of IPCC compliant models for the IPCC  
350 Assessment Reports, or/and some documentation that warned about these inconsistencies,  
351 would be useful in future assessments.

352

353 Emissions in the agricultural sector are mostly net, since sink effects in the soils are small and  
354 frequently temporal (USEPA, 2013; Smith et al., 2014). Comparisons against global  
355 agricultural emissions show that for the year 2000, global estimates more than doubled ~~our~~ the  
356 Hotspots values (i.e. 5 and 5.5 PgCO<sub>2e</sub>.yr<sup>-1</sup> vs ca. 2 PgCO<sub>2e</sub>.yr<sup>-1</sup> in all datasets) (Tubiello et al.,  
357 2015) (Table 2), suggesting larger contributions of agricultural emissions from non-tropical  
358 countries. Unexplained methodological differences such as the inclusion or not of indirect  
359 emissions and the lack of an exhaustive list of the variables included in the agricultural  
360 emissions, difficult further comparisons.

361

### 362 ***3.2 Disaggregated gross emissions: contributions of the emission sources***

363 While the gross aggregated estimates suggested a good level of agreement among datasets  
364 (Figure 1), differences occur when comparing the emissions sources leading the AFOLU  
365 budgets (Figure 2). The FOLU sector showed the largest differences, mainly due to the  
366 estimates of forest degradation, and particularly fire (FAOSTAT and EDGAR showed the  
367 lowest and highest values). The forest sector is the most uncertain term in the AFOLU emissions  
368 due to both uncertainties in areas affected by land use changes and other disturbances, and by  
369 uncertain forest carbon densities (Houghton et al., 2012; Grace et al., 2014; Smith et al., 2014).

370 Agricultural sources were more homogeneous (ca. 2 PgCO<sub>2</sub>e.yr<sup>-1</sup> for all datasets) (Figure 1),  
371 with livestock and cropland soil emissions as the most and least similar (Figure 2). The  
372 homogeneity in livestock emissions was expected since most datasets use common statistics  
373 (FAO) to derive herd numbers per country.

374

### 375 3.2.1 Deforestation

376 Deforestation emissions were 2.9 (1.0-10.1), 3.7, and 2.5 and 4.2 PgCO<sub>2</sub>.yr<sup>-1</sup> (Hotspots,  
377 FAOSTAT, EDGAR, and Baccini, respectively), with Baccini and EDGAR showing the  
378 highest and the lowest values. Their values represent, however, very different scenarios: gross  
379 deforestation for the Hotspots and Baccini datasets ([forest losses only](#)), net deforestation for  
380 FAOSTAT ([forest losses minus forest gains](#)), and forest fire and post-burn decay for EDGAR  
381 (Table 3). The Hotspots (Harris et al., 2012) and Baccini et al., (2012) datasets offer gross  
382 deforestation estimates that rely on Hansen et al., (2010)'s forest cover loss areas. However,  
383 they report different tropical emissions (0.81 and 1.14 PgC.yr<sup>-1</sup>) because they use different  
384 carbon density maps: Harris *et al.*(2012) rely on Saatchi *et al.*(2011) and Baccini rely on Baccini  
385 *et al.*(2010). EDGAR does not provide a category for deforestation, and their Forest Fire and  
386 Decay category (5F) (Table 3, and Table S1 in [the Supplement](#)) is used as a proxy for  
387 deforestation (Tubiello et al., 2015). Such an approximation leads to underestimations since not  
388 all carbon losses from deforestation are necessarily associated with the use of fire (Tubiello et  
389 al., 2015). In spite of being net emissions, the deforestation estimates for FAOSTAT were  
390 higher than the gross estimates of Hotspots and Baccini. This is partly due to FAOSTAT's  
391 inclusion of fire emissions from humid tropical forests (see section 3.2.3), which the other  
392 datasets did not. Baccini's larger estimates of gross deforestation included more carbon pools  
393 than the other datasets (i.e. soil, [Coarse Woody Debris \(CWD\)](#), litter). Baccini *et al.* (2012)  
394 reported that their estimated gross and net emissions from tropical deforestation were the same

395 value (4.2 Pg CO<sub>2</sub>.yr<sup>-1</sup>). The difference with Houghton's net emissions (5.4 PgCO<sub>2</sub>.yr<sup>-1</sup>) (Figure  
396 2) corresponds, then, to non-offset carbon emissions from other land uses and activities  
397 included in the bookkeeping model: degradation by logging and shifting cultivation,  
398 decomposition and decay, and cultivated soils. Houghton's tropical net emissions for 2000-  
399 2005 are high, but lower than Houghton's reported net estimates in the 80's (7 PgCO<sub>2</sub>.yr<sup>-1</sup>)  
400 (Houghton, 1999).

401

### 402 3.2.2 Forest degradation: wood harvesting and fire emissions

403 Forest degradation can be defined in many ways (Simula, 2009), but no single operational  
404 definition has been agreed upon by the international community (Herold et al., 2011a). It  
405 typically refers to a sustained human-induced loss of carbon stocks within forest land that  
406 remains forest land. In this study, similarly to Federici et al., (2015), we consider degradation  
407 any annual removal of carbon stocks that does not account for deforestation, without temporal  
408 scale considerations (i.e. time needed for disturbance recovery, or time to guarantee a sustained  
409 reduction of the biomass). We assessed two major degradation sources: wood harvesting and  
410 fire. Soil degradation is poorly captured in many datasets, and mainly focuses on fire in  
411 equatorial Asian peatland forests and drained peatlands (Hooijer et al., 2010). Better  
412 understanding of the processes and emissions behind forest degradation, would be key for  
413 climate mitigation efforts not only because forest degradation is a wide spread phenomenon  
414 (i.e. affects much larger areas than deforestation (Herold et al., 2011b)) but also because the  
415 lack of knowledge of net carbon effects frequently results in assumptions of carbon neutrality  
416 of the affected standing forests, particularly for fire (Houghton et al., 2012; Le Quéré et al.,  
417 2014), which is likely leading to an underestimation of forest and AFOLU emissions (Brando  
418 et al., 2014; Turetsky et al., 2015; Roman-Cuesta et al., 2016).

419

420 Gross emissions from forest degradation were larger than deforestation for the Hotspots,  
421 EDGAR and Baccini's datasets, with degradation-to-deforestation ratios of 108%, 120%, and  
422 128%, respectively. FAOSTAT had degradation emissions of 60% of the deforestation, partly  
423 due to its anomalously low fire contribution (see next section). Houghton et al., (2012) pointed  
424 out that global FOLU net fluxes were led by deforestation with a smaller fraction attributable  
425 to forest degradation, while the opposite was true for gross emissions (degradation being 267%  
426 of deforestation emissions). This large ratio relates to their inclusion of shifting cultivation  
427 under degradation. This is a definition issue, which would not fit the definition of degradation  
428 chosen in this study, where a complete forest cover loss would represent deforestation and not  
429 degradation.

430

### 431 3.2.32.1 Fire

432 Fire led the gross forest degradation emissions in the tropics in 2000-2005 (Figure 2): 2 (1.1-  
433 2.7), 0.2, 3.4, 2.9 PgCO<sub>2</sub>e.yr<sup>-1</sup> for the Hotspots, FAOSTAT, EDGAR, and Baccini datasets,  
434 respectively) (Figure 2). ~~Our~~ The Hotspots estimates are conservative compared to Van der  
435 Werf et al., (2010)'s global emissions of 7.7 PgCO<sub>2</sub>e.yr<sup>-1</sup> for 2002-2007, due to ~~our~~ the removal  
436 of CO<sub>2</sub> from deforestation fires (to avoid double counting with deforestation emissions), to the  
437 exclusion of fires in grasslands and agricultural residues, and to ~~our~~ the Hotspots' smaller study  
438 area. FAOSTAT and EDGAR had the lowest and the highest fire values. FAOSTAT lowest  
439 values relate to omissions that are currently in the process of being corrected (Rossi *pers.*  
440 *comm.*): 1. the complete exclusion of CO<sub>2</sub> from fire in humid tropical forests and other forests  
441 (Table 3, Table S1), which FAOSTAT relocated as net forest conversion emissions, partly  
442 explaining their larger deforestation values (FAOSTAT kept, however, CH<sub>4</sub> and N<sub>2</sub>O for fire  
443 in humid tropical forests and other forests), and 2. The use of default parameters for fuel in  
444 peats from the IPCC 2006 Guidelines instead of the new IPCC Wetland supplement which offer

445 considerable higher values (Rossi et al., 2016). Moreover, FAOSTAT uses GFED3.0-burned  
446 area (Giglio et al., 2010) in their estimates while the other datasets use GFED3.0-emissions  
447 (Van der Werf et al., 2010). EDGAR fire emissions were the largest most likely because they  
448 included decay. Their dataset considers some undefined “forest fires” (5A) and  
449 “wetland/peatland fires and decay” (5D) (Table 3; Table S1 in [the SupplementSI](#)). Peatland  
450 decay probably explains EDGAR’s larger emissions in Asia, while we assume that EDGAR’s  
451 highest fire emissions for CS America might respond to deforestation fires which were not  
452 included in the Hotspots to avoid double counting with deforestation, and relocated in  
453 FAOSTAT to deforestation emissions (Figure 3, Table 3). ~~Our~~ The Hotspots dataset showed  
454 higher gross fire emissions for Africa due to the inclusion of woodland fire, which EDGAR and  
455 FAOSTAT probably excluded. Baccini et al., (2012)’s fire emissions:  $2.9 \text{ PgCO}_2\text{e.yr}^{-1}$  (2000-  
456 2010) derive from Houghton’s bookkeeping but it is unclear how these emissions were  
457 estimated.

458 In spite of the importance of fire as a degradation source, this variable is frequently  
459 incompletely included, either through unaccounted gases (i.e.  $\text{CH}_4$  and  $\text{N}_2\text{O}$  are excluded in the  
460 carbon community but their omission represent 17-34% of the gross  $\text{CO}_2$  fire emissions)  
461 (Valentini et al., 2014; Roman-Cuesta et al., 2016), or to unaccounted components (i.e fires in  
462 tropical temperate forests such as conifers or dry forests such as woodlands, are frequently  
463 excluded) (Houghton et al., 2012). Unaccounted fire emissions also derive from methodological  
464 choices (i.e. only inter-annual fire anomalies being considered) (Le Quéré et al., 2014), from  
465 poor satellite observations such as understory fires in humid closed canopy forests) (Alencar et  
466 al., 2006; 2012, Morton et al., 2013), or satellite fire omissions in certain regions (i.e. high  
467 Andean fires) (Bradley and Millington, 2006; Oliveras et al., 2014). Other omissions relate to  
468 the current exclusion of non-Asian peatland fires (i.e American tropical montane cloud forest



469 peatland fires) (Asbjornsen et al., 2005; Roman-Cuesta et al., 2011; Oliveras et al., 2013;  
470 Turetsky et al., 2015).

471  
472 Fire suffers, moreover, from a series of assumptions that do not apply so easily to other types  
473 of degradation: 1. Assuming a non-human nature of the fires (deforestation fire *vs* wildfires),  
474 which in tropical areas contrasts with multiple citations referring to the 90% human causality  
475 of fires (Cochrane et al., 1999; Roman-Cuesta et al., 2003; Alencar et al., 2006; Van der Werf  
476 et al., 2010). 2. Assuming *force-majeure* conditions that lead to non-controllable fires due to  
477 extreme climate conditions, which frequently results in incomplete assessment and reporting of  
478 emissions. This assumption contrasts with research on how human activities have seriously  
479 increased fire risk and spread in the tropics (Uhl and Kauffman, 1990; Laurance and  
480 Williamson, 2001; Roman-Cuesta et al., 2003; Hooijer et al., 2010), and clearly expose how  
481 most of the fires in the humid tropics would not occur in the absence of human influences over  
482 the landscape (Roman-Cuesta et al., 2003). 3. Assuming carbon neutrality and full biomass  
483 recovery after fire in standing forests. This is a generous assumption that contrasts with  
484 numerous studies on tropical forest die-back following fire events in non-fire adapted humid  
485 tropical forests (Cochrane et al., 1999; Barlow et al., 2008; Roman-Cuesta et al., 2011; Brando  
486 et al., 2012; Oliveras et al., 2013; Balch et al., 2015). All these phenomena casts doubts on the  
487 robustness of these assumptions and call for a much more comprehensive inclusion of fire  
488 emissions into forest degradation budgets.

489

#### 490 3.2.42.2 *Wood harvesting*

491 There is not a unique way to estimate wood harvesting emissions as exposed in the guidelines  
492 for harvested wood products of the IPCC (IPCC 2006). Assumptions regarding the final use of  
493 the wood products, decay times, substitution effects, international destination of the products

494 and time needed for forests to recover their lost wood, can fully change the emission budgets.  
495 In our study, wood harvesting emissions were 1.2 (0.7-1.6), 2.0, 1.7 PgCO<sub>2</sub>.yr<sup>-1</sup> for the Hotspots,  
496 FAOSTAT and Baccini data, respectively (Tables 3, Table S1 in [the Supplement](#)). Harvested  
497 wood products derive from FAO's country reports (i.e. FAOSTAT forest products). All datasets  
498 included fuel wood and industrial roundwood (Tables 3, Table S1). EDGAR excluded fuelwood  
499 from the AFOLU budget and placed it instead into the energy budget (EDGAR, 2012), which  
500 explains its absence in Figure 2. Wood harvesting emissions were larger in FAOSTAT than in  
501 the Hotspot data (Figure 2) partly due to the inclusion of some extra categories of fuels (i.e.  
502 charcoal and residues) that were not included in the Hotspot database (Table 3, Table S1 in [the](#)  
503 [Supplement](#)). Charcoal represents 26% of the total wood-harvesting emissions in FAOSTAT.  
504 Differences on wood harvesting affected more Asia and CS America (where ~~our~~ [the](#) Hotspot  
505 data were half of FAOSTAT's), whilst Africa presented almost identical values (Figure 3),  
506 reasons for these continental differences are unclear. Baccini's high emissions on wood  
507 harvesting could partly relate to their inclusion of extra biomass due to felling damages (i.e. 20-  
508 67% of the AGB is damaged, and 20% is left dead in BGB) (Houghton, 1999).

509

### 510 [3.2.5-3](#) *Livestock*

511 Livestock emissions were the most homogeneous among the emissions sources (Figure 2) with  
512 estimates of 1.2 (0.8-1.5), 1.1, 1.2, 1.1 PgCO<sub>2e</sub>.yr<sup>-1</sup> for the Hotspots, FAOSTAT, EDGAR and  
513 EPA respectively, in range with the estimates in the AR5 (Fig 11.5 in Smith et al., 2014). Values  
514 were similar in spite of deriving from different Tiers (i.e. Tier 3 for Herrero et al., (2013), Tier  
515 1 for FAOSTAT and EDGAR. EPA used Tier 3 but for incomplete data series, otherwise Tier  
516 1 was applied (USEPA, 2013)). All datasets included enteric fermentation (CH<sub>4</sub>) and manure  
517 management (N<sub>2</sub>O, CH<sub>4</sub>). All of them relied on FAO data for livestock heads, although they  
518 used different years (i.e. 2000 for Herrero et al., (2013) data in the Hotspots, and 2007-2010 for

519 EDGAR). From a continental perspective, FAOSTAT and EDGAR estimates were the closest  
520 while the Hotspots and EPA's were less similar. The Hotspots showed higher emissions for  
521 Africa and Asia and lower for CS America, than the other three datasets. Divergences likely  
522 relate to different Tiers. CS America and Asia showed the highest values, with Africa following  
523 closely (Figure 3), similar to what is reported in the AR5 (Smith et al., 2014). Globally,  
524 livestock is the largest source of CH<sub>4</sub> emissions, with three-fourth of the emissions coming from  
525 developing countries, particularly Asia (USEPA, 2013, Tubiello et al., 2014). Three out of the  
526 top-5 emitting countries are in the tropics: Pakistan, India and Brazil (USEPA, 2013) and while  
527 Asia hosts the largest livestock emissions, the fastest growing trends in 2011 correspond to  
528 Africa (Tubiello et al., 2014).

529

### 530 ~~3.2.6~~ 3.2.4 *Cropland emissions*

531 The estimates of cropland emissions reached values of 0.18 (0.16-0.19), 0.56, 0.6 and 0.64  
532 PgCO<sub>2</sub>e.yr<sup>-1</sup> for the Hotspots, FAO, EDGAR and EPA datasets respectively, for N<sub>2</sub>O and CO<sub>2</sub>  
533 from changes in soil organic carbon content. Cropland soil emissions (N<sub>2</sub>O and soil organic  
534 carbon stocks (CO<sub>2</sub>) heavily depend on land management practices (i.e. tillage, fertilization and  
535 irrigation practices) and climate (Crowther et al., 2015). We chose exactly the same land  
536 practices in all datasets to allow comparisons (Table 3,S1 in [the Supplement](#)). For this reason,  
537 we excluded N<sub>2</sub>O emissions from grassland soils, drainage of organic soils, and restoration of  
538 degraded lands (Table 3). This restrictions resulted in lower emissions than those estimated for  
539 cropland soils in the AR5 (Fig. 11.5 in Smith et al., 2014). The Hotspots and EPA showed the  
540 lowest and the highest estimates (Figures 2,3). With the exception of the Hotspots, the other  
541 datasets agreed well at the tropical scale, with FAOSTAT and EDGAR being almost identical,  
542 also at continental scales. EPA disagreed more than the other datasets at the continental scales,  
543 with underestimations for Asia, probably related to the parameterization of their emission

544 model. All three datasets used FAO's activity data, and for EDGAR and FAOSTAT the same  
545 emission factors must have been used. The Hotspot showed anomalously low emissions partly  
546 because it only included six major crop types (maize, soya, sorghum, wheat, barley, millet) for  
547 which the emission model (DAYCENT) counted on reliable parametrization (*Ogle pers.*  
548 *comm*). Emissions from other important crops in the tropics (i.e. sugar cane, tobacco, tea, etc)  
549 were excluded, as well as emissions from croplands in organic soils, due to model constraints.

550

### 551 3.2.57 Peatland drainage for agriculture

552 Estimates of drained peatlands (mainly for agricultural purposes) suggest large omissions in the  
553 Hotspots database with emissions one order of magnitude lower (28 TgCO<sub>2e</sub>.yr<sup>-1</sup>) than  
554 FAOSTAT (ca. 500 TgCO<sub>2e</sub>.yr<sup>-1</sup>) and one order of magnitude lower than the values reported  
555 for peatland drainage in Asia alone (Hooijer et al. 2010) (355-855 TgCO<sub>2e</sub>.yr<sup>-1</sup>). The lower  
556 values in the Hotspots dataset relate to much smaller agricultural areas with histosols (0.4 mill  
557 ha) than those reported by FAOSTAT for the same countries (7mill ha). This area difference is  
558 partly due to the methodological approach used by Ogle et al. (2013) where only six major crop  
559 covers are considered: maize, wheat, sorghum, soya beans, millet and barley), and partly to the  
560 unmatching spatial scales of histosols and croplands (i.e. 1km for histosols and 50km for  
561 croplands) which result in underestimations of the final area.

562

### 563 3.2.68 Paddy rice

564 When paddy fields are flooded, decomposition of organic material gradually depletes the  
565 oxygen present in the soil and floodwater, causing anaerobic conditions in the soil that favour  
566 methanogenic bacteria that produce CH<sub>4</sub>. Some of this CH<sub>4</sub> is dissolved in the floodwater, but  
567 the remainder is released to the atmosphere, primarily through the rice plants themselves. Net  
568 emission estimates for paddy rice were 0.55 (0.4-0.833), 0.33, 0.37, 0.30 PgCO<sub>2e</sub>.yr<sup>-1</sup> for the

569 Hotspots, FAOSTAT, EDGAR and EPA datasets, respectively. The Hotspots showed the  
570 highest emissions (Figure 2), but only in Asia (Figures 3). Part of the reason behind these  
571 differences refers to the final gases estimated in Li et al., (2013)'s which included CH<sub>4</sub>, N<sub>2</sub>O  
572 and decomposition of Soil Organic Carbon (SOC) (CO<sub>2</sub>) (Table 3, S1), while the others only  
573 focused on CH<sub>4</sub>. In Li et al., (2013)'s estimates, N<sub>2</sub>O were 48% of the CH<sub>4</sub> emissions,  
574 explaining the doubled emissions in ~~our~~ the Hotspots database. SOC was a sink, with -0.076  
575 PgCO<sub>2</sub>.yr<sup>-1</sup>.

576

577 Based on the explanations above, Table 4 ~~offers~~ points out the likely least reliable emission  
578 sources ~~for~~ each dataset considering disagreements among emission estimates due to  
579 biased/divergent/incomplete definitions and methods. ~~Houghton's sinks are suggested as least~~  
580 reliable since they suffer from compatibility issued with IPCC guidance and exclude sinks from  
581 non-disturbed areas and sinks from forests undergoing disturbances other than wood harvesting  
582 or recovery from shifting cultivation (Grassi and Dentener 2015; Federici et al., 2016).

583

### 584 ***3.3 Differences in the relative contribution of greenhouse gases (CO<sub>2</sub>, CH<sub>4</sub>, N<sub>2</sub>O)***

585 GHG emissions (CO<sub>2</sub>, CH<sub>4</sub>, N<sub>2</sub>O) showed good agreement at the sectoral level (FOLU and  
586 agriculture) (Figure 5), that disappeared at the disaggregated level (Figure 6). CO<sub>2</sub> showed the  
587 largest disagreements among datasets and gases, led by forests emissions and particularly fire.  
588 SOC accumulation was reported in the Hotspots data (Li et al., 2013) but it is uncertain if it is  
589 included in the other datasets.

590

591 Non-CO<sub>2</sub> emissions showed lower variability than were much more homogeneous, with  
592 differences among datasets that were approximately 5 times lower than CO<sub>2</sub> variability (i.e. 0.3  
593 vs 1.5) (Figure 6a). Livestock led CH<sub>4</sub> emissions and showed the largest differences among

594 datasets, with the Hotspot data (Herrero et al., (2013) having the lowest CH<sub>4</sub> emissions, which  
595 were compensated with larger N<sub>2</sub>O than the other datasets (Figure 6b,c).

596 At a global level, wetlands dominates natural CH<sub>4</sub> emissions, while agriculture and fossil fuels  
597 represent 2/3 of all human emissions, with smaller contributions coming from biomass burning,  
598 the oceans, and termites (Montzka et al., 2011). Fire non-CO<sub>2</sub> emissions were quite similar  
599 among datasets, confirming that FAOSTAT omissions were CO<sub>2</sub> related (see section 3.2.3).  
600 Thus, as exposed in FAOSTAT's metadata, -only N<sub>2</sub>O and CH<sub>4</sub> are considered in forest fires,  
601 excluding CO<sub>2</sub> from aboveground biomass. As expected, N<sub>2</sub>O emissions in crops showed large  
602 differences, with ~~our~~ the Hotspots having the lowest values (3 times lower). Rice N<sub>2</sub>O emissions  
603 were omitted in all datasets except the Hotspots (Li et al., 2013), which also included SOC.

604

605 The importance of multigas assessments relates to their role in climate change mitigation due  
606 to their radiative forcing (RF)<sub>2</sub> understood as a measure of the warming strength of different  
607 ~~human and natural~~ agents (gases and not gases) in causing global warming (W.m<sup>-2</sup>). ~~CO<sub>2</sub> is~~  
608 ~~the most abundant 379 ppm in 2005 ((400ppm in 2015) and longest living gas, which makes it~~  
609 ~~the leading force of global warming (Anderson 2012) leading to an RF of 1.66±0.17 Wm<sup>-2</sup>.~~  
610 ~~Fossil fuels and cement production have contributed about three-quarters of that RF, with the~~  
611 ~~remainder caused by land use changes (AR4). The growth rate of CO<sub>2</sub> in the atmosphere in~~  
612 ~~1995-2005 (1.9 ppm. yr<sup>-1</sup>) increased the CO<sub>2</sub> RF by 20%, being the largest change observed or~~  
613 ~~inferred for any decade in the last 200 years (AR4).~~ Non-CO<sub>2</sub> GHG are less abundant in the  
614 atmosphere (1,774 ppb and 319 ppb for CH<sub>4</sub> and N<sub>2</sub>O in 2005 respectively) but have larger  
615 warming potentials (x 28 for CH<sub>4</sub>) and (x 265 for N<sub>2</sub>O) ~~(0.48±0.05 and 0.16±0.02 Wm<sup>-2</sup> in~~  
616 ~~2005, respectively) (AR4) but and shorter lifetimes than CO<sub>2</sub> (~9 and ~120 years, respectively).~~  
617 In spite of their shorter lifespans they offering an additional opportunity to ~~lessen future~~ mitigate  
618 climate change (Montzka et al., 2011) partly because they play a role in atmospheric chemistry

619 that contributes to short-term warming (Montzka et al., 2011) and partly because their presence  
620 counteracts CO<sub>2</sub> terrestrial sinks (Tian et al., 2016). Growth rates in the atmosphere differ  
621 among gases with CO<sub>2</sub> and N<sub>2</sub>O showing quasi linear increases while CH<sub>4</sub> shows peculiar  
622 patterns that are not fully resolved (Montzka et al., 2011). The sensitivity of CH<sub>4</sub> emissions  
623 from wetlands to warmer and wetter climates suggests a positive feedback between emissions  
624 and climate change that is visible in ice core records (Montzka et al., 2011). In the case of N<sub>2</sub>O,  
625 and contrarily to the large contribution of non-human CH<sub>4</sub> emissions, anthropogenic emissions  
626 currently account for most of them (40%) primarily from agricultural activities.

### 627

### 628 **3.4 Country level emissions**

629 Country comparisons showed poor agreement among datasets for all the emission sectors,  
630 particularly for the largest emitters (i.e. Brazil, Argentina, India, Indonesia) (Figures 7, 8).  
631 Forests led the AFOLU disagreements (as observed by the similarity of Figure 7 a,b). From a  
632 continental perspective, Central and South America showed more countries with high levels  
633 of disagreement, suggesting the need for further data research.

### 634

### 635 **3.5 Some reflections on the datasets**

#### 636 **3.5.1 Original goals**

637 Different datasets were developed for different purposes that have influenced the methods and  
638 approaches chosen to estimate their land use GHGs. Thus, EDGAR was created with an air  
639 pollution focus making its land emissions weaker. Contrastingly, FAOSTAT carries FAO's  
640 focus on land, particularly agriculture (data available since the 60s), with forest data coming  
641 added later, through the FRA assessments (1990, 2005,2010,2015). The 'Hotspot' database was  
642 created to identify the areas with the largest land use emissions in the tropics (emissions  
643 hotspots), while Houghton's accent is on historical LULUCF emission trends (since 1850).

644 EPA concentrates on industrial, energy, and agricultural emissions -forests are excluded- with  
645 an interest on human health and mitigation. Moreover, due to its long existence, several datasets  
646 rely on FAOSTAT's long-term agricultural data, which is probably the reason behind -the  
647 higher homogeneity of agricultural emission estimates (i.e. crops, rice, and livestock) among  
648 datasets -are more homogeneous (crops, rice, and livestock). FAOSTAT's forest emissions use  
649 FRA data, which get updated every 5 years. Different FRA versions strongly influence forest  
650 emission estimates -which makes it important to and must acknowledge the FRA version used  
651 when contrasting FAOSTAT emissions. be considered when comparing estimates (i.e.  
652 differences up to 22% between the forest sink estimates using FRA2015 and FRA2010 have  
653 been reported by Federici et al., 2015). Similarly, official different updates versions of  
654 Houghton's bookkeeping TRENDS data, as well as researchers' self-tuned versions of his  
655 model, result in emission differences that are difficult to track.

656  
657 *3.5.2 IPCC guidelines and guidance:* Under the UNFCCC, countries are requested to use the  
658 latest IPCC AFOLU guidelines to estimate their GHG emissions (i.e. IPCC 2006 and 2003 for  
659 developed and developing countries, respectively). The use of different guidelines, Tiers, and  
660 approaches influences the final emission estimates. Compliance with IPCC has two main  
661 consequences: 1. the total area selected to report emissions, and 2. the choice of *land use* over  
662 *land cover*. In the first case, under IPCC guidance, the total area selected to report emissions  
663 would include all the land under human influence (the *managed land* concept, which includes  
664 areas under active and non-active management). Houghton's bookkeeping model (and the  
665 carbon modelling community in general) do not comply well with the *managed land* concept,  
666 resulting in different net emissions from forest land uses and land use changes (LULUCF) than  
667 IPCC compliant country emissions (Grassi and Dentener, 2015; Federici et al., 2016). In the  
668 second case, the selection of *land uses* instead of *land covers* has partly been behind the recent



669 controversy between FAO and the Global Forest Watch’s reported estimates on deforestation  
670 trends ([REFHolmgren 2015](#)). Estimates of deforestation that rely on *land cover* are higher than  
671 those using *land use*, since forest losses under forest land uses -that remain forest land use- are  
672 not considered deforestation (i.e. logged areas will regrow). In our analysis, FAO –and  
673 Houghton relies on *land use* for deforestation, while the ‘Hotspots’ and EDGAR rely on *land*  
674 *cover*. FAOSTAT and the ‘Hotspots’ rely on the 2006 IPCC Guidelines for National  
675 Greenhouse Gas Inventories (IPCC, 2006). FAOSTAT uses Tier 1 and standard emission  
676 factors, while the ‘Hotspots’ use a combination of Tiers (Tier 3 for all emissions except wood  
677 harvesting and cropland emissions over histosols that rely on Tier 1). EDGAR reports the use  
678 of 2006 IPCC Guidelines for the selection of the emission factors but some of their  
679 methodological approaches are not always consistent with IPCC guidelines (i.e. deforestation  
680 expressed as the decay of burned forests, wood-harvesting is part of the energy sector,  
681 agricultural energy balances are included in the AFOLU budget). EPA methods are reported to  
682 be consistent with IPCC guidelines and guidance, with Tier 1 methodologies used to fill in  
683 missing or unavailable data (USEPA, 2013).

684

#### 685 **4. CONCLUSIONS**

686 The Paris Agreement (COP21) counts on the Nationally Determined Contributions (NDCs) as  
687 the core of its negotiations to fight climate change. As March 2016, 188 countries had  
688 submitted their NDCs under the UNFCCC (FAO, 2016) with agriculture (crops, livestock,  
689 fishery and aquaculture) and forests as prominent features in meeting the countries’ mitigation  
690 and adaptation goals (86% percent of the countries include AFOLU measures in their NDCs,  
691 placing it second after the energy sector) (FAO, 2016). However, there exists large variability  
692 in the way countries present their mitigation goals, and quantified sector-specific targets are  
693 rare (FAO, 2016). Variability relates not only to the lack of a standardized way to report

694 mitigation commitments under the NDCs, but also to uncertainties and gaps in the AFOLU  
695 data. The Paris Agreement relies on a 5-year cycle stock-taking process to enhance mitigation  
696 ambition, and to keep close to the 2°C target. To be effective and efficient, stock-taking needs  
697 robust, transparent and certain numbers (at least with known uncertainties). This is true both  
698 for national emission reports and NDCs, but also for the global datasets that can be used to  
699 review the feasibility of countries' mitigation claims, and the real space for further mitigation  
700 commitments. We have here compared the gross AFOLU emissions of six datasets to search  
701 for disagreements, gaps, and uncertainties, focusing on the tropical region. Conclusions  
702 depend on the spatial scale. At the tropical scale:

- 703 • Data aggregation offers much closer more homogeneous emission estimates than  
704 disaggregated data (i.e.continental level, gas level, emission source level).
- 705 • Forest emissions are the most uncertain of the AFOLU sector, with deforestation  
706 having the highest uncertainties.
- 707 • Agricultural emissions, particularly livestock, are the most homogeneous of the  
708 AFOLU emissions.
- 709 • Forest degradation, both fire and wood harvesting, show the largest variabilities  
710 among databases.
- 711 • CO<sub>2</sub> is the gas with longer-term influence in climate change trends, but it remains the  
712 most uncertain among of the AFOLU gases and the most variable, in absolute value,  
713 among datasets (Figure 5)– Fire leads this variability (Figure 6).
- 714 • ~~In absolute values, GHG disaggregation shows the largest differences for CO<sub>2</sub> in fire~~  
715 ~~emissions.~~
- 716 • Among the non-CO<sub>2</sub> gases, N<sub>2</sub>O showed the most variable emission estimates, in  
717 absolute value, in all the emission sources and for all the datasets (Figure 6).

- 718 • Emissions from histosols/peatlands remain incomplete or fully omitted in most  
719 datasets.

720 For the country and continental scales:

- 721 • Large emitters show the highest levels of data disagreement in the tropics, enhancing  
722 the need for data improvement to guarantee effective mitigation action.

- 723 • Forest lead the emission disagreement in the total AFOLU emissions.

724 Central and South America showed the largest continental disagreements on emission data  
725 for all the land sectors.

726

727 **4.1 Next steps**

728 *4.1.1 Enhancing dialogue between the carbon and the AFOLU research communities*

729 Research ran by the carbon community is pivotal for AFOLU assessments and while these two  
730 research communities overlap, they do not focus on exactly the same topics. The carbon  
731 community works with CO<sub>2</sub> emissions-only, fully excluding non-CO<sub>2</sub> gases, particularly N<sub>2</sub>O.  
732 It moreover rather focuses on forests and associated land use changes, excluding emissions  
733 from agriculture. The AFOLU community has, contrarily, a multi-gas approach (CO<sub>2</sub>, CH<sub>4</sub>,  
734 N<sub>2</sub>O) and includes- emissions from both forests and agriculture. For these reasons,- estimates  
735 of the carbon community cannot be considered as AFOLU estimates, and certain confusion  
736 appears in the IPCC's AR5 with an incorrect AFOLU labelling (Table 11.1, -Fig S2 in [the](#)  
737 [SupplementS1](#)). There is great space for these two communities to cooperate but further  
738 dialogue is needed to promote closer -and more coordinated action. Future steps might include  
739 the adoption of the *managed land* concept by the carbon community; and ways to include legacy  
740 emissions by the AFOLU community.

741

742 *4.2.2 Improving data quality*

743 The quality of the reported AFOLU emissions can be assessed through the UNFCCC principles:  
744 completeness, comparability, consistency, accuracy and transparency, which can help navigate  
745 the improvements of national monitoring systems. From these principles, the reviewed datasets  
746 performed well in *consistency* (they applied similar methods and assumptions over time, with  
747 the exception of ‘Hotspots’ that did not include temporal data). *Transparency* was excellent  
748 for FAOSTAT with well elaborated and publicly available metadata linked to their offered data,  
749 while EDGAR performed poorly due to insufficient metadata. Improving transparency is an  
750 urgent call for future action. *Accuracy and uncertainty* are also urgent calls. Thus, in spite of  
751 their importance to fully understand the emission trends and dynamics, only Houghton and the  
752 ‘Hotspots’ provided uncertainties. FAO offered uncertainties as a percent value for each  
753 emission source. *Completeness and omissions* are also urgent tasks because all datasets are  
754 incomplete (Table 1) (i.e. missing pools, missing gases) and omissions affect all datasets.  
755 Complete emission reporting should consider the importance of:

- 756 • Forest soil CO<sub>2</sub> and N<sub>2</sub>O emissions (Werner et al., 2007) (i.e. N<sub>2</sub>O tropical forest soil  
757 emissions of 0.7 PgCO<sub>2</sub>e.yr<sup>-1</sup>).
- 758 • Emissions from CH<sub>4</sub> and N<sub>2</sub>O from drained peatland soils, and from wetlands over  
759 managed land (i.e. conservation).
- 760 • All forest fire types (i.e. temperate conifers and woodlands; understory fires over humid  
761 closed canopy forests (Alencar et al., 2006; Morton et al., 2013) (i.e. 85,500 km<sup>2</sup>, 1999-  
762 2010 in southern Brazilian Amazon); fire emissions over peatland soils and peatland  
763 forests out of Asia (Román-Cuesta et al., 2011; Oliveras et al., 2014) (i.e. 4-8 TgCO<sub>2</sub>e,  
764 1982-1999, for the tropical high Andes from Venezuela to Bolivia)
- 765 • CO<sub>2</sub> emissions from other components of wood harvesting other than fuel and industrial  
766 roundwood (i.e. charcoal, residues).

- 767 • CO<sub>2</sub> emissions from tree biomass loss due to fragmentation (Numata et al., 2010; Pütz  
768 et al., 2014) (i.e 0.2 Pg C y<sup>-1</sup>)
- 769 • CO<sub>2</sub> due to decomposition and decay of forests under extreme events: hurricanes (Read  
770 and Lawrence, 2003; Negron-Juarez et al., 2010) (i.e the 2005 convective storm, the  
771 Amazon basin suffered from an estimated tree mortality of 542±121 million trees);  
772 intense droughts (Phillips et al., 2009, 2010; Brienen et al., 2015) (i.e. the 2005  
773 Amazonian drought resulted in 1.2-1.6 PgC emissions and the atmosphere has yet to see  
774 13.9 PgCO<sub>2</sub> (3.8 PgC) of the Amazon necromass carbon produced since 1983);  
775

776 Further suggestions on improving data gaps and knowledge for the AFOLU sector have been  
777 reported by Smith *et al.* (2014); Houghton *et al.* (2012); USEPA (2013) and Sist *et al.* (2015),  
778 with a focus on soil data and crop production systems, as well as an improved understanding of  
779 the mitigation potentials, costs and consequences of land use mitigation options.

780

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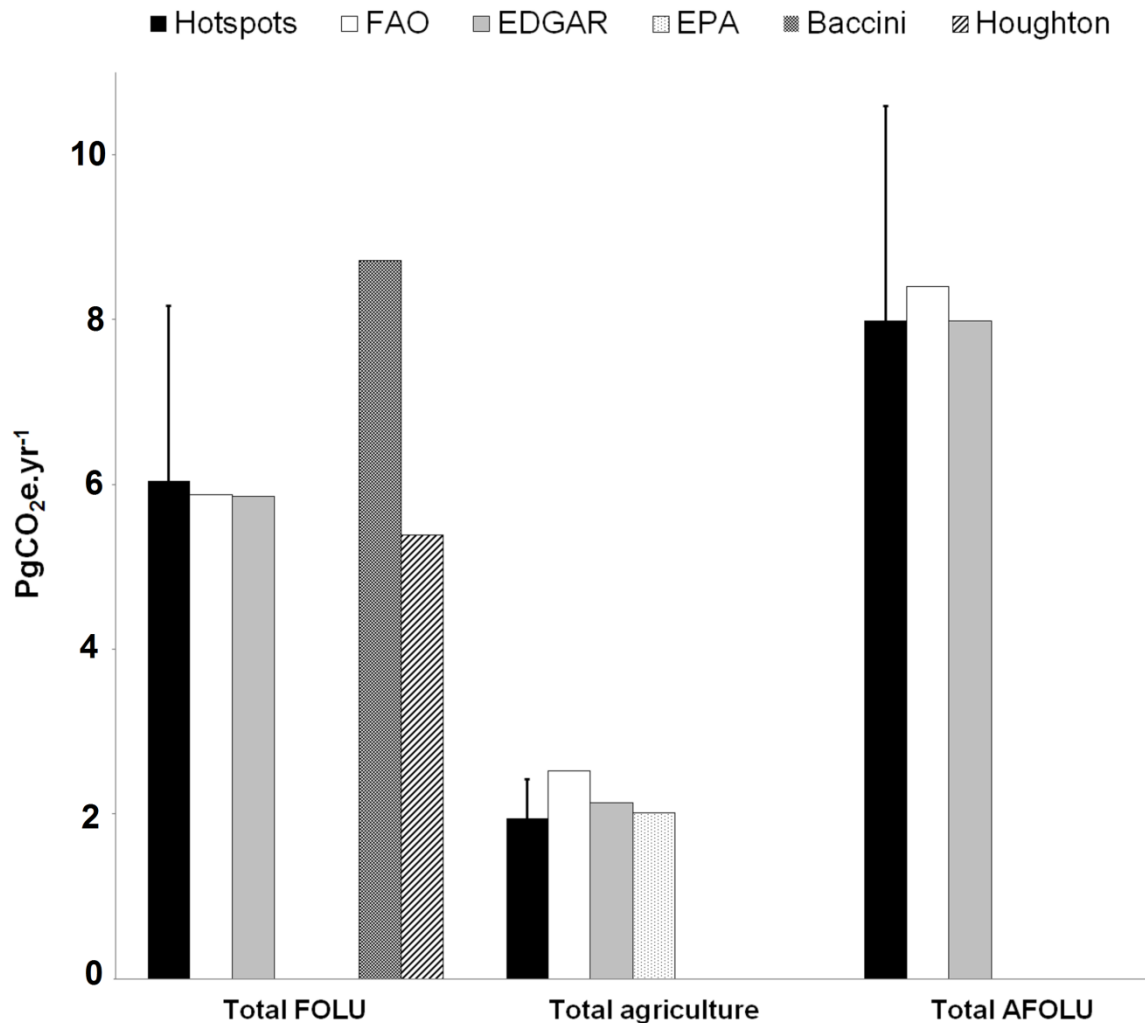
## 1063 **6. CONTRIBUTIONS**

1064 RMRC, MR, MH designed the study. SO, BP provided data and ran quality controls of the  
1065 data. RMRC, MR, MH, KBB, TR, LV, CM, SR, RH, SO, BP discussed the results and  
1066 contributed to writing.

1067

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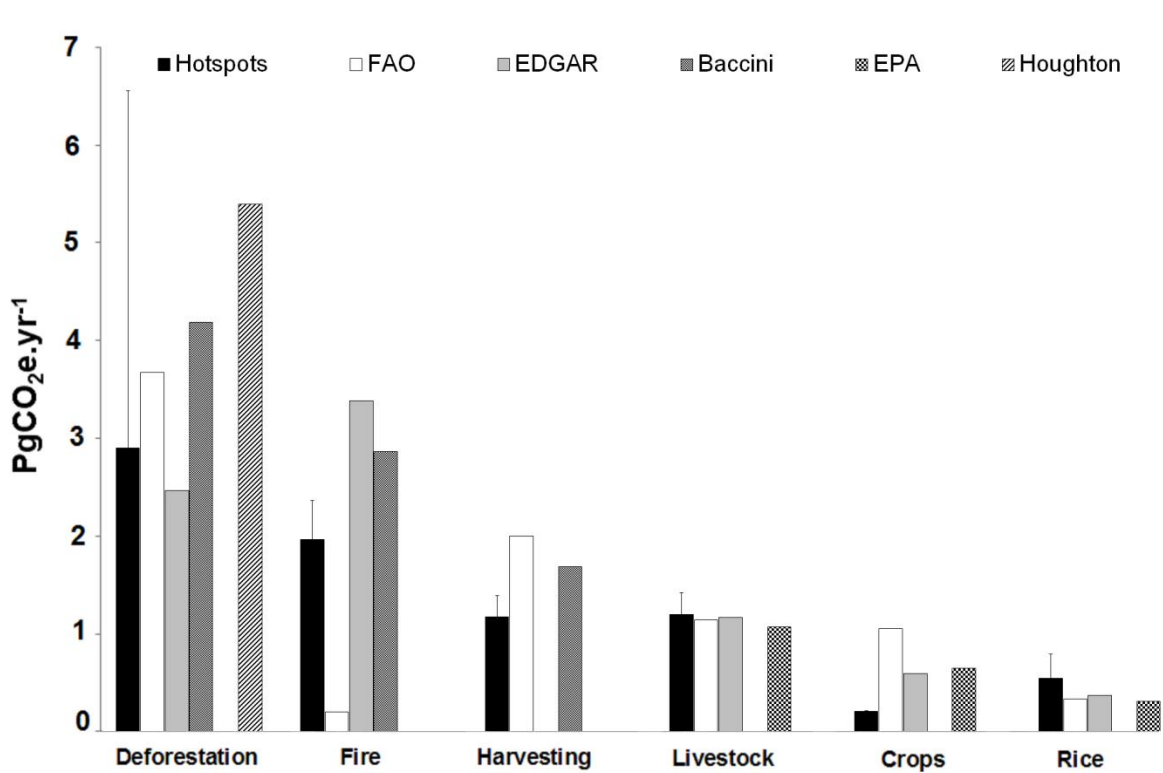
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1079 **Figure 1:** AFOLU (Agriculture, Forestry and Other Land Use) emissions estimates  
 1080 ( $\text{PgCO}_2\text{e.yr}^{-1}$ ) for the period 2000-2005, for the tropics, for six datasets (Hotspots, FAO  
 1081 (FAOSTAT), EDGAR, EPA, Baccini and Houghton), disaggregated into FOLU (Forestry and  
 1082 Other Land Use) and Agricultural emissions. Uncertainties are only provided in the Hotspot  
 1083 dataset. EPA data do not include a FOLU sector. Houghton and Baccini's are FOLU,  $\text{CO}_2$ -only,  
 1084 datasets and do not include agricultural emissions. Houghton offers net emissions while  
 1085 Baccini's data are gross emissions for deforestation + fire + wood harvesting (Baccini et al.,  
 1086 2012).

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**Figure 2:** Tropical gross annual emissions (2000-2005) comparisons, for the leading emission

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sources in the AFOLU sector, for the Hotspots, FAOSTAT, EDGAR, Baccini, EPA and

1092

Houghton datasets, in this order. Bars indicate uncertainty estimates ( $1\sigma$  from mean). No

1093

uncertainty estimates are available for the other datasets. Houghton's data are net land use

1094

emissions rather than deforestation and are offered for visual comparisons against Baccini's

1095

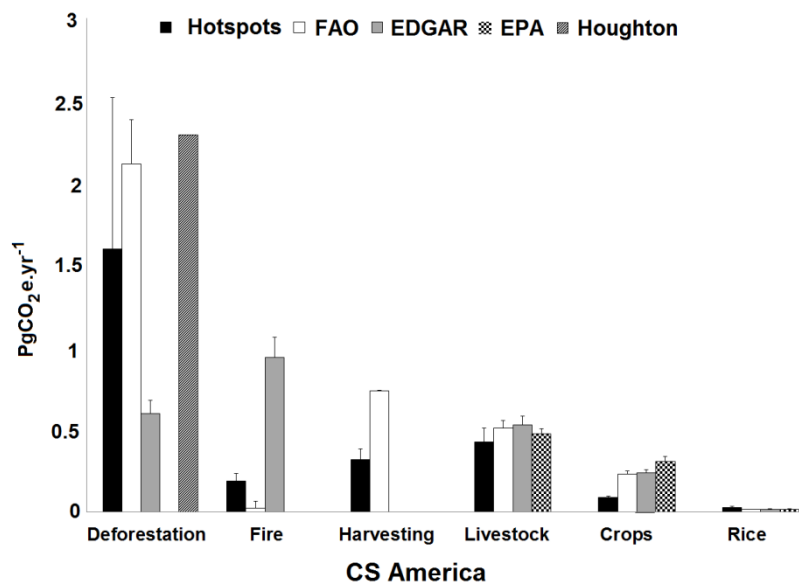
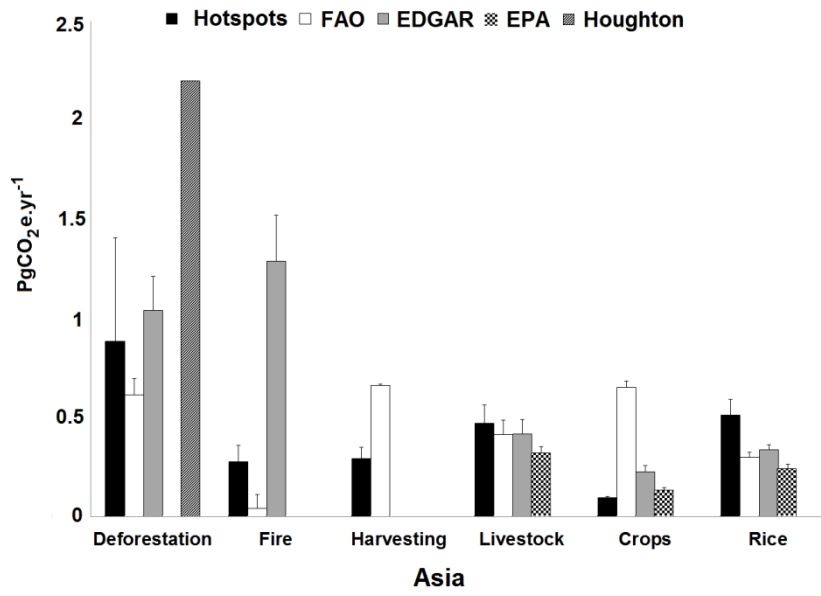
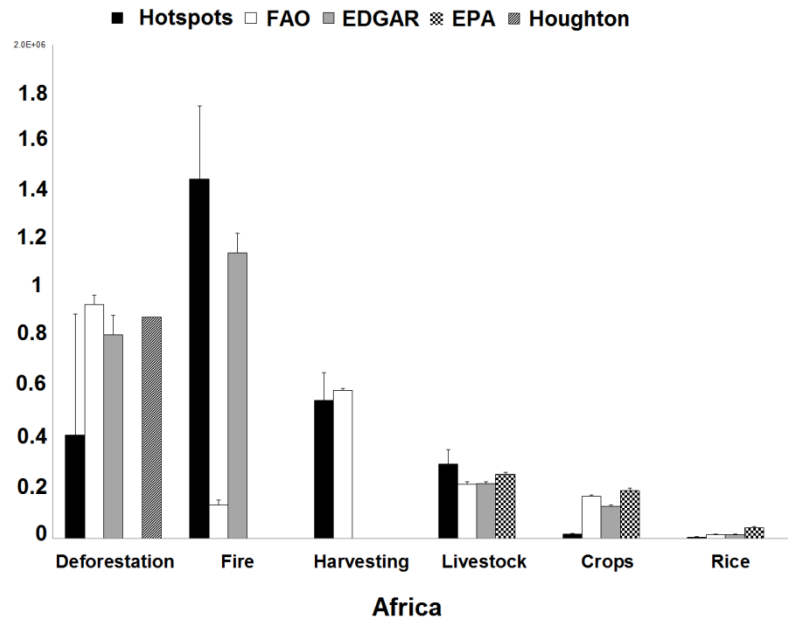
gross deforestation estimate which includes gross deforestation + fire + wood harvesting.

1096

Uncertainties are only provided in the Hotspot dataset. EPA data do not include a FOLU sector.

1097

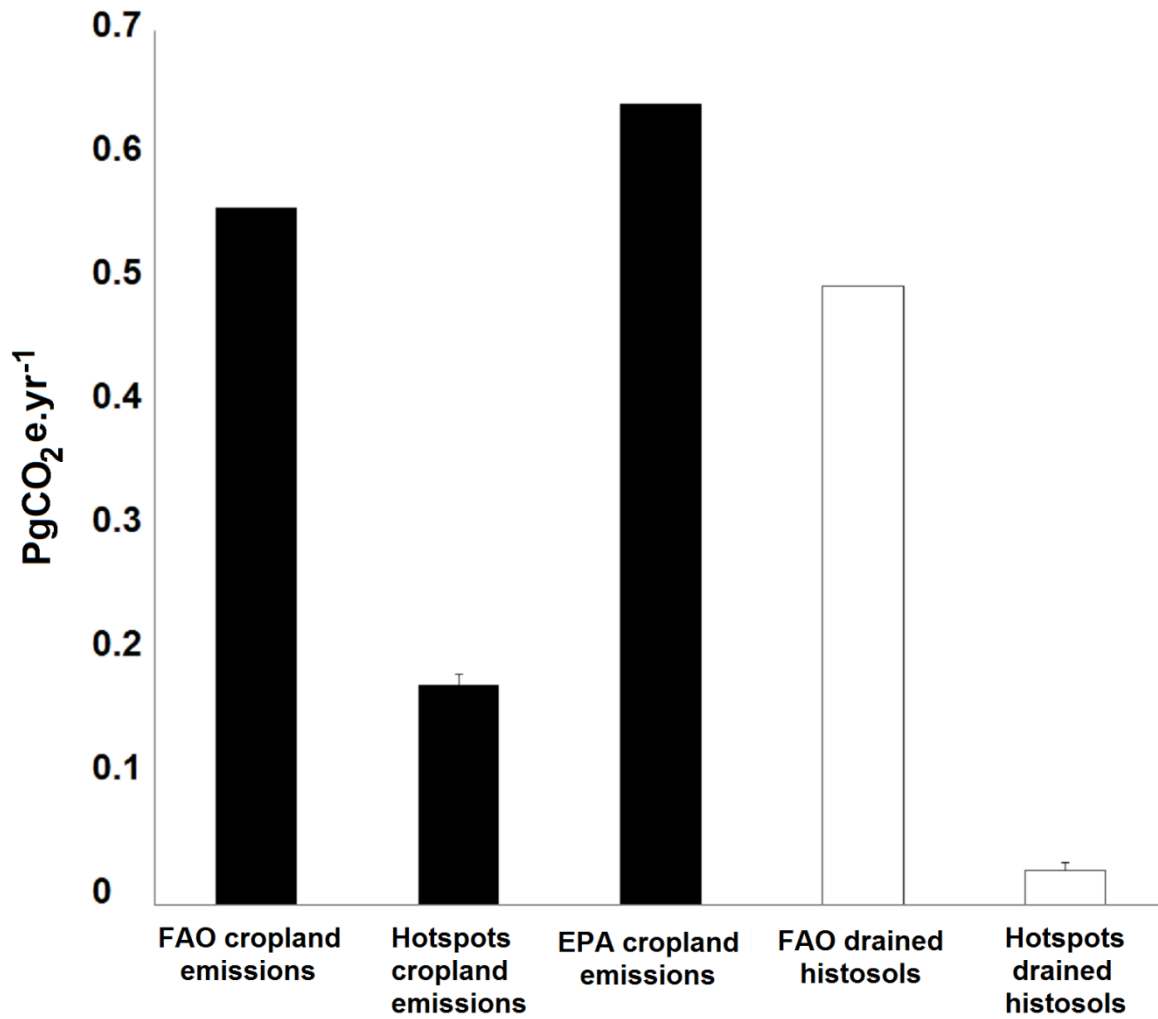
Forest degradation would be the sum of fire and harvesting emissions.



1099 **Figure 3:** Continental disaggregated emissions for the individual emission sources ( $\text{PgCO}_2\text{e.yr}^{-1}$ ). Bars indicate uncertainty estimates ( $1\sigma$  from mean). No uncertainty estimates are  
1100  
1101 available for the other datasets.

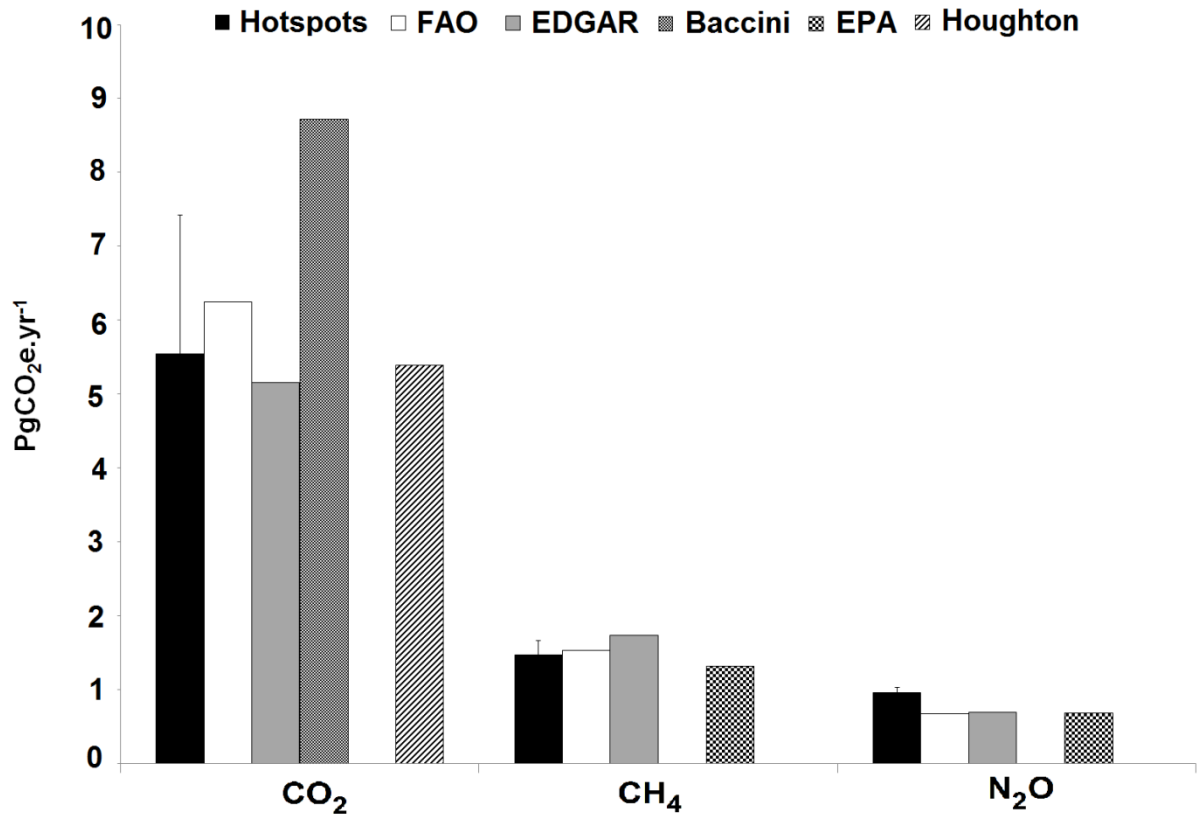
1102

1103



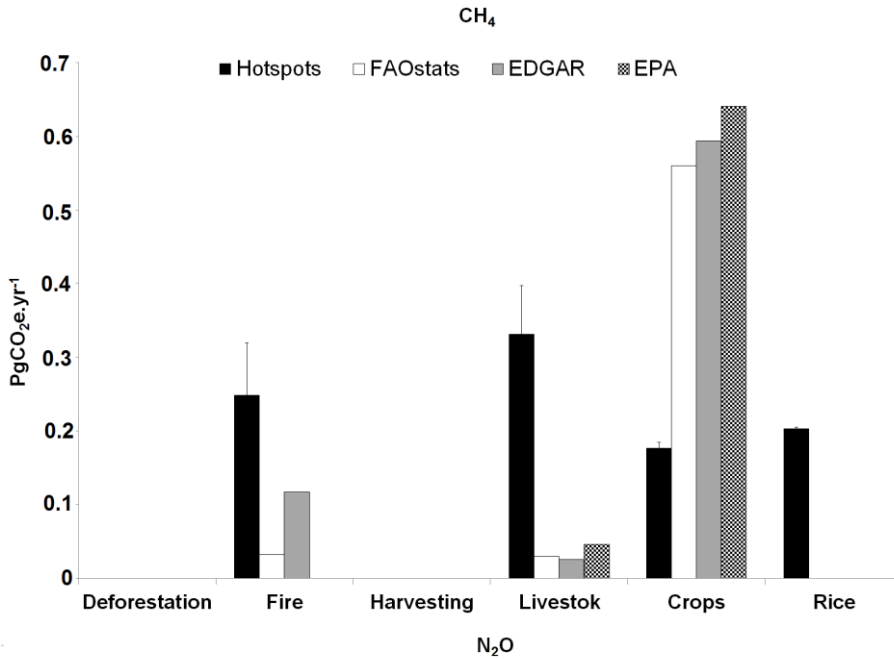
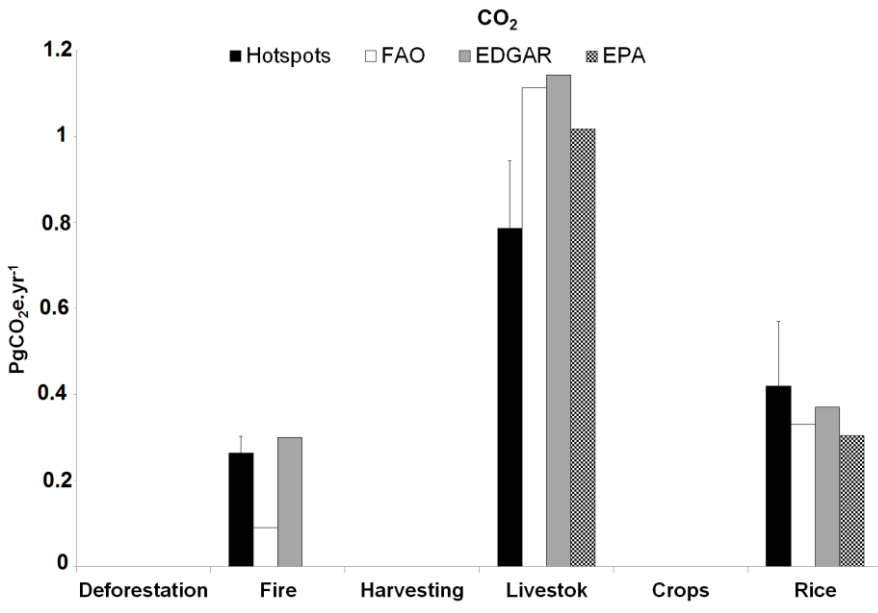
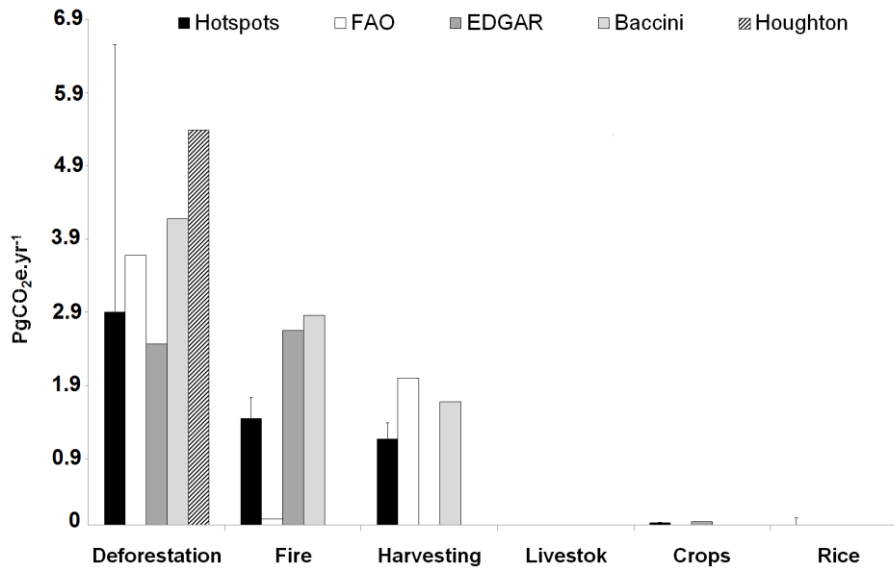
1104

1105 **Figure 4:** Disaggregation of cropland soil emissions from drained peatlands for ~~the~~ datasets  
 1106 ~~where data were~~with available data: (FAOSTAT and Hotspots). Organic soils are ~~were~~  
 1107 excluded in EPA's cropland emissions.



1108

1109 **Figure 5:** Contribution of the different AFOLU GHGs (CO<sub>2</sub>, CH<sub>4</sub> and N<sub>2</sub>O) for the different  
 1110 datasets. Bars indicate uncertainty estimates (1σ from mean). No uncertainty estimates are  
 1111 available for the other datasets.

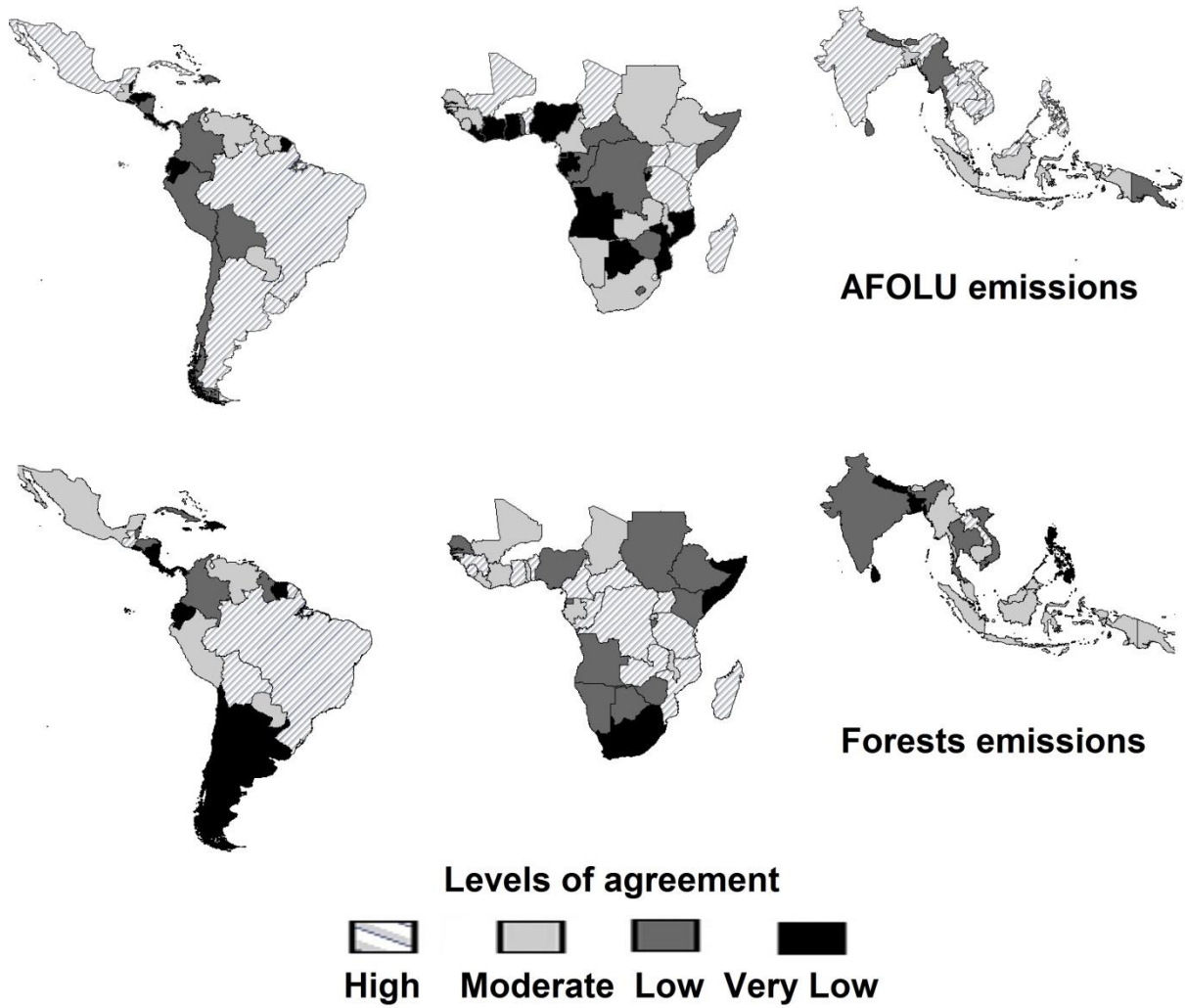




1113 **Figure 6:** GHG emission contribution (CO<sub>2</sub>, CH<sub>4</sub> and N<sub>2</sub>O) of the leading AFOLU emission  
1114 sources. Bars indicate uncertainty estimates (1σ from mean). No uncertainty estimates are  
1115 available for the other datasets.

1116

1117

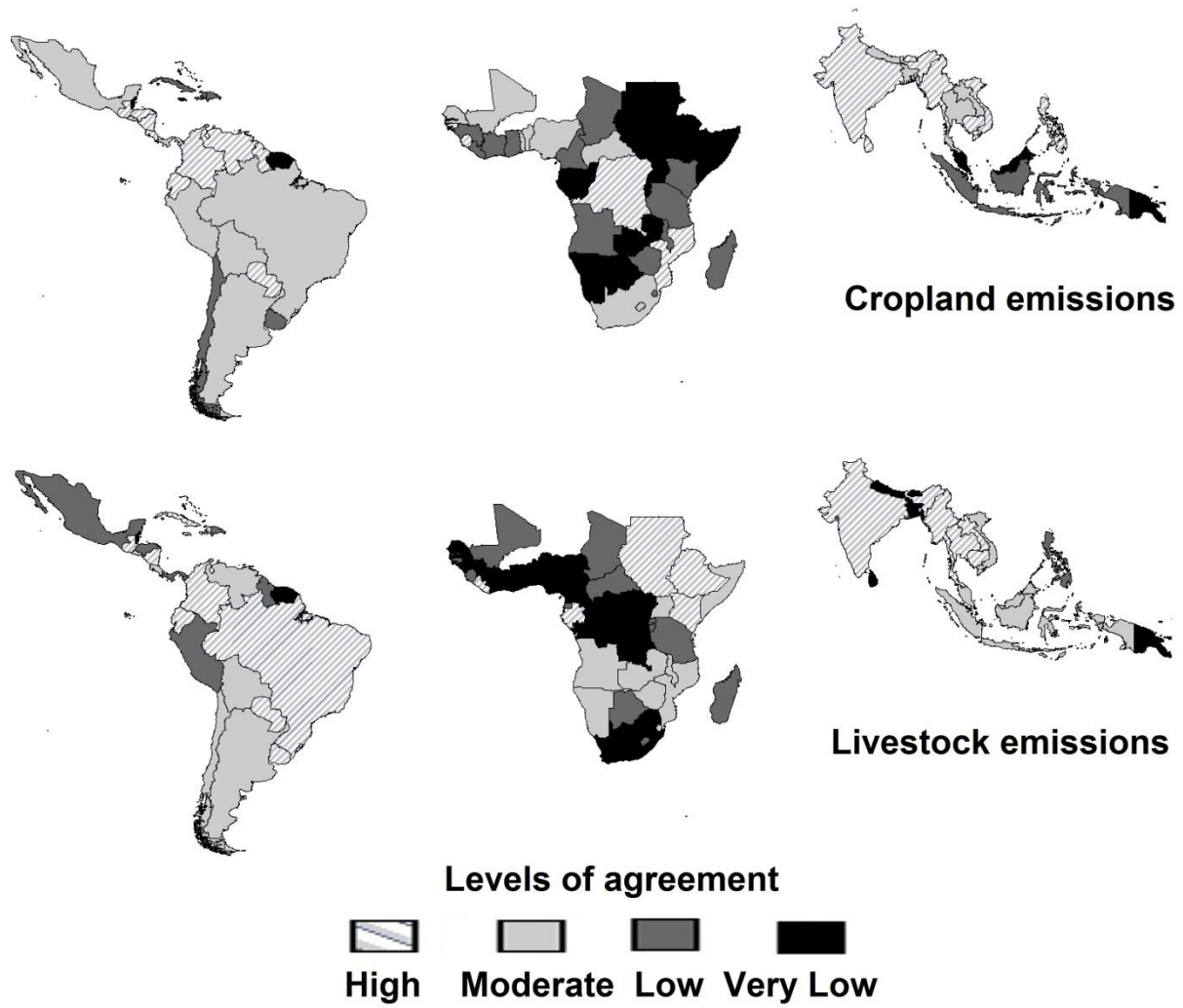


1118

1119 **Figures 7:** Country level agreement for AFOLU and forest emissions for the FAOSTAT,

1120 EDGAR and 'Hotspots' databases. The categories of agreement are percentiles of the

1121 coefficient of variation of the emission data (i.e.



1122

1123 **Figures 8:** Country level agreement for croplands (cropland soils including histosols and rice)

1124 and livestock emissions, for the FAOSTAT, EDGAR and 'Hotspots' databases.

**Tables**

	<b>Hotspots</b>	<b>FAOSTAT</b>	<b>EDGAR</b>	<b>Houghton</b>	<b>Baccini</b>	<b>EPA</b>	<b>AR5</b>
<b>Gross/Net emissions</b>	Gross	Gross	Gross	Net	Gross	Gross	Net
<b>Uncertainty<sup>a</sup></b>	√	No	No	No	No	No	√
<b>Transparency</b>	High	High	Low <sup>b</sup>	Low	Low	Intermediate	Low
<b>IPCC compliant</b>	√	√	√	Not fully <sup>c</sup>	Not fully <sup>d</sup>	√	Not fully <sup>e</sup>
<b>Forest carbon Pools</b>	AGB + BGB	AGB + BGB	AGB	AGB+BGB+Soil +CWD+Litter	AGB+BGB+Soil +CWD+Litter	Soil	AGB+BGB+Soil +CWD+Litter
<b>Gases</b>	CO <sub>2</sub> ,CH <sub>4</sub> , N <sub>2</sub> O	CO <sub>2</sub> ,CH <sub>4</sub> , N <sub>2</sub> O	CO <sub>2</sub> ,CH <sub>4</sub> , N <sub>2</sub> O	CO <sub>2</sub>	CO <sub>2</sub>	CO <sub>2</sub> ,CH <sub>4</sub> , N <sub>2</sub> O	CO <sub>2</sub> for forests. CO <sub>2</sub> ,CH <sub>4</sub> , N <sub>2</sub> O for agriculture and peatlands.
<b>Tier 1</b>	√	√	√			√	-
<b>Tier 2, 3</b>	√			√	√	√	-
<b>Spatial Disaggregation<sup>f</sup></b>	Pixel (0.5°)	Country	Country <sup>g</sup>	Region	Region	Country	Region
<b>Peatlands</b>	√	√	√	No	No	No	√

1127 **Table 1:** differences and similarities of the assessed AFOLU datasets.

1128 a Uncertainty at the level of disaggregation at which data are available to download.

1129 b Low means there is no metadata available, or metadata does not properly document the processes followed to estimate the emissions.

1130 c EDGAR data on deforestation emissions does not follow IPCC guidelines.

1131 d The bookkeeping approach does not follow the concept of managed land, and does not include the sink of forests remaining forests in managed

1132 land other than logged forests and those regrowing after shifting cultivation.

1133 e Based on Houghton et al. (2012).

1134 f Available disaggregated data.

1135 g We selected data at the country scale to favour comparability with other datasets (i.e. FAOSTAT) even though data are available at pixel level  
1136 (0.1°).

1137

<b>Gross Tropical (PgCO<sub>2</sub>e.yr<sup>-1</sup>)</b>							
(a)	<b>2000-2005</b>					<b>2000-2007</b>	
	<u>Hotspots</u>	<u>FAOSTAT</u>	<u>EDGAR-JRC</u>	<u>Houghto n</u>	<u>EPA</u>	<u>Baccini</u>	<u>AR5</u>
<b>Agriculture</b>	<u>1.9 (1.5-2.5)</u>	<u>2.5</u>	<u>2.1</u>	<u>-</u>	<u>2.0</u>	<u>-</u>	
<b>FOLU</b>	<u>6 (3.8-10)</u>	<u>5.9</u>	<u>5.9</u>	<u>5.4*</u>	<u>-</u>	<u>12.3**</u>	<u>8.2***</u>
<b>AFOLU</b>	<u>8 (5.5-12.2)</u>	<u>8.4</u>	<u>8</u>	<u>-</u>	<u>-</u>	<u>-</u>	
<b>Net Global PgCO<sub>2</sub>e.yr<sup>-1</sup></b>							
(b)	<b>2000</b>			<b>2010</b>			<b>2000/09</b>
	<u>FAOSTAT</u>	<u>EDGAR- JRC</u>	<u>Houghton</u>	<u>FAOSTAT</u>	<u>EDGAR- JRC</u>	<u>Houghto n</u>	<u>AR5</u>
<b>Agriculture</b>	<u>5</u>	<u>5.5</u>	<u>-</u>	<u>5.2</u>	<u>5.8</u>	<u>-</u>	<u>5</u>
<b>FOLU</b>	<u>4.9</u>	<u>6.5</u>	<u>4.9</u>	<u>4.9</u>	<u>5.5</u>	<u>4.2</u>	<u>5</u>
<b>AFOLU</b>	<u>9.9</u>	<u>12</u>	<u>-</u>	<u>10.1</u>	<u>11.3</u>	<u>-</u>	<u>10</u>

1138

1139 **Table 2:** Summary of (a) tropical gross emissions estimates for agriculture, FOLU (Forestry and Other Land Use) and AFOLU (Agriculture,  
1140 Forestry and Other Land Use) for all the datasets (Hotspots, FAOSTAT, EDGAR, EPA, Houghton) (2000-2005) and published data (Baccini et  
1141 al., 2012, AR5 (Smith et al., 2014)) (2000-2007), and of (b) net global estimates as reported by Tubiello et al., (2015). Houghton and EPA offer  
1142 FOLU and agricultural data only, respectively, and therefore estimates for AFOLU are not complete.

1143 \*Data exposed in Figure 11.2 in Chapter 11 Smith et al. (2014), it corresponds to a net FOLU estimate without agriculture.

1144 \*\* Baccini et al., (2012) reported gross estimates for the FOLU components.

1145 \*\*\* Baccini et al., (2012) estimates selected for the AR5 FOLU values in Figure 11.8, Chapter 11, WG-III.

1146

	Deforestation	Wood Harvesting	Fire	Enteric Fermentation	Manure management	Agricultural soils	Cropland over histosols	Rice	Others
<b>CO<sub>2</sub></b>	Hotspots <sup>1</sup> FAOSTAT <sup>2</sup> Houghton <sup>3</sup> Baccini <sup>1</sup>	Hotspots <sup>4</sup> FAOSTAT <sup>5</sup> Houghton <sup>4</sup> Baccini <sup>4</sup>	Hotspots <sup>6</sup> FAOSTAT <sup>7</sup> EDGAR <sup>8</sup> Houghton <sup>9</sup> Baccini <sup>9</sup>				Hotspots <sup>10</sup> FAOSTAT <sup>11</sup>		EDGAR <sup>12</sup>
<b>CH<sub>4</sub></b>			Hotspots <sup>13</sup> FAOSTAT <sup>14</sup> EDGAR <sup>15</sup>	Hotspots FAOSTAT EDGAR EPA	Hotspots FAOSTAT EDGAR EPA			Hotspots FAOSTAT EDGAR EPA	
<b>N<sub>2</sub>O</b>			Hotspots <sup>13</sup> FAOSTAT <sup>14</sup> EDGAR <sup>15</sup>		Hotspots FAOSTAT EDGAR EPA	Hotspots <sup>16,17</sup> FAOSTAT <sup>16,18</sup> EDGAR <sup>16,17,19</sup> EPA <sup>16,19</sup>	Hotspots FAOSTAT	Hotspots	
<b>dSOC</b>						Hotspots		Hotspots	

1147 **Table 3:** Characteristics of the emission sources used in this comparative assessment, disaggregated by greenhouse gases GHG gases for the  
1148 period 2000-2005, for the Hotspots, FAOSTAT, EDGAR, EPA, Houghton-, and Baccini's datasets (based in gross emissions from Baccini et al.,  
1149 2012). -EPA offers only non-CO<sub>2</sub> emissions for agriculture (cropland, paddy rice and livestock). Houghton offers only CO<sub>2</sub> FOLU emissions.  
1150 Baccini's gross emissions included in this analysis include deforestation, fire and wood harvesting only. dSOC refers to changes in Soil Carbon

1151 stocks. Wood harvesting and fire are considered as forest degradation. Superindices specify differences among -datasets and/or indicate the exact  
1152 data included in our database comparisons.-

1153 <sup>1</sup> Gross deforestation.

1154 <sup>2</sup> Net deforestation. Forest fire emissions included in deforestation

1155 <sup>3</sup> Houghton net CO<sub>2</sub>-only estimates are not deforestation emissions, but land use and land use change fluxes including deforestation, forest  
1156 degradation, and cropland, abandoned land, and agricultural soil organic carbon (SOC).

1157 <sup>4</sup> Nationally reported fuel wood and industrial roundwood.

1158 <sup>5</sup> Nationally reported fuel wood, charcoal, fuel residues and industrial roundwood.

1159 <sup>6</sup> Long-term CO<sub>2</sub> emissions only (i.e savannas/agricultural fires excluded). Peat, forests and woodland fires are included (as defined by Van der  
1160 Werf et al., 2010). Deforestation fires excluded

1161 <sup>7</sup> CO<sub>2</sub> from the combustion of organic soils. Forest fire emissions excluded.

1162 <sup>8</sup> CO<sub>2</sub> Forest fires + wetland/peatland fires and decay (5A, and 5D classes).

1163 <sup>9</sup> Humid forest deforestation fires, and peatland fires + decay.

1164 <sup>10</sup> CO<sub>2</sub> emissions from organic soils. Tier 1 approach. EF=20 tC.ha<sup>-1</sup>.yr<sup>-1</sup> (IPCC 2006). Only for the six crop types reported by the agricultural  
1165 soils (maize, soya, sorghum, wheat, barley, millet). N<sub>2</sub>O emissions not included.



- 1166 <sup>11</sup> CO<sub>2</sub> emissions from organic soils. Tier 1 approach. EF=20 tC.ha<sup>-1</sup>.yr<sup>-1</sup> (IPCC 2006). N<sub>2</sub>O emissions not included.
- 1167 <sup>12</sup> CO<sub>2</sub> for fuelwood is part of the energy balance.
- 1168 <sup>13</sup> CH<sub>4</sub> and N<sub>2</sub>O emissions for peat, forests and woodland, savannahs and agriculture fires.
- 1169 <sup>14</sup> CH<sub>4</sub>, N<sub>2</sub>O emissions from fire in humid tropical forests and other forests, as well as CH<sub>4</sub>, N<sub>2</sub>O from the combustion of organic soils.
- 1170 <sup>15</sup> CH<sub>4</sub>, N<sub>2</sub>O for forest fires + wetland/peatland fires and decay (5A, and 5D classes).
- 1171 <sup>16</sup> Direct agricultural emissions only
- 1172 <sup>17</sup> Fertilizers, manure, crop residues
- 1173 <sup>18</sup> Synthetic fertilizers + Manure applied to soils + Crop residues + Manure applied to pastures.
- 1174 <sup>19</sup> Indirect emissions

1175

	Hotspots	FAOSTAT	EDGAR	Houghton*	Baccini	EPA	AR5*
Deforestation							
Fire							
Wood-harvesting							
Livestock							
Cropland							
Paddy Rice							
Peatland							
Forest sinks							

1177 **Table 4:** identification of the least reliable emission sources for each dataset considering  
 1178 disagreements among emission estimates due to biased/divergent/incomplete definitions and  
 1179 methods.