

Comments to reviewers. Manuscript bg-2016-244

We would like to thank the 2 anonymous reviewers for their useful and constructive comments. They have helped us improve our manuscript.

Please note that reference to Lines in our responses correspond to the track-change free manuscript.

Some general comments before entering starting with the detailed responses to the reviewers:

1. The title of the manuscript has been modified to clarify its focusing on the tropics: **'Multi-gas and multi-source comparisons of six land use emission datasets and AFOLU estimates in the Fifth Assessment Report, for the tropics for 2000-2005'**.
2. The affiliation of the first author has been modified to match its latest update.
3. A last author has been included, which was erroneously missing.
4. We would kindly request to include this manuscript, if finally accepted, under the special issue: **'Hotspots of greenhouse emissions from terrestrial ecosystems on global and regional scales'**

Referee 1

1. **Table 2a is missing, which makes hard to understand part of the results:** Sorry about this mistake. The full table is now inserted.
2. **Table 3 is really not easy to understand even with the explanations in the main text. It is necessary to think about rearranging it in a clearer way. For example, gas-specific sub-tables might be used, and sources could be list in the table instead of using numbers.** We agree with the reviewer that this table is complex. We have changed the numbers by the name of the datasets and have clarified in the table caption that degradation is formed by wood harvesting and fire. We have, however, kept the superindices because when we tried to reformat it as text, the final tables per gas were enormous
3. **Sect. 3.2.2: Forest degradation is not shown in the figures / tables (e.g., indicated with a 'f' as the sum of fire and wood harvesting). But suddenly as a parallel section as wood harvesting and fire, it might confuse readers.** Yes, we see the reviewer;s point. We believe that some definition of forest degradation was useful, as an introductory section to the emissions that lead to forest degradation in our research: fire and wood harvesting. To avoid confusions we have inserted 'wood harvesting and fire emissions' in the caption of section 3.2.2, and have changed the captions of Fire emissions and wood harvesting to 3.2.2.1 and 3.2.2.2. We have changed the remaining captions of this section accordingly.
4. **I. 570: Please further justify the 'least reliable' emission sources for each dataset? For example, which criteria(s) the assessment is(are) based on?** The reviewer is right here. We have explained better what 'least reliable' means to us, which should be understood after reading the differences among databases in section 3.2 (line 575-577)
5. **I. 594-612: The discussion on RF is not the objective of this manuscript, which appears to unnecessary given the already long main text.** Agreed, shortened (l.603-613)

Technical corrections:

6. **I. 216-218: Duplication of I.211-212:** Agreed, removed.
7. **I. 323: It might be better to replace the 'our' by the name of dataset, since the objective of this manuscript is comparison rather than presenting a dataset.** Agreed, we have removed 'our' from the text and substitute it by 'the Hotspots database'.
8. **I. 341: 2000-2009 is indicated for the value of 4.03 PgCO2 yr-1.** We have eliminated the year 2010 and changed it for the period 2000-2009 which is the way how it appears in Figure Fig. 11.2 in Chapter 11 of WGIII, IPCC AR5. Source: https://www.ipcc.ch/pdf/assessment-report/ar5/wg3/ipcc_wg3_ar5_chapter11.pdf. (line 345).
9. **I. 474: ',' is redundant or maybe there are more reference?** Corrected.

Referee 2

1. **Estimations of country level emissions: this is not clear to me. For the 3 data sets this would results into a sample of $n=3$ for which you calculated the coefficient of variation. Did you then calculate the percentiles of the coefficients? Does this not imply a false sense of agreement/disagreement? Lets say country 1 results into emissions of 20, 21, and 19, while country 2 has emissions of 2,3 and 1. The coefficient of variation leads to much higher uncertainty in country 2, although the absolute emissions are exactly the same. Perhaps the author could discuss the possibility of other metrics such as the variability of per area emissions (per country) among data sets.** This comment is very useful because we had not noticed it. There is indeed a methodological bias that makes countries with smaller emissions show larger variability (lower agreement among databases) than countries with higher emissions. Offering emission intensities (area rated emissions) would respond to a different question and we have preferred to exclude it. We have re-estimated variability among datasets at the country level using standard deviations, and have included a section in the Supplement, to discuss the differences between statistical choices to contrast data dispersion. There we briefly explain the use of three statistics: coefficient of variation, standard deviation and adjusted standard deviation - considering a correction factor that accounts for a country's contribution to the tropical emission budget-. We include here some example pictures that visually present these differences.

2.
3.

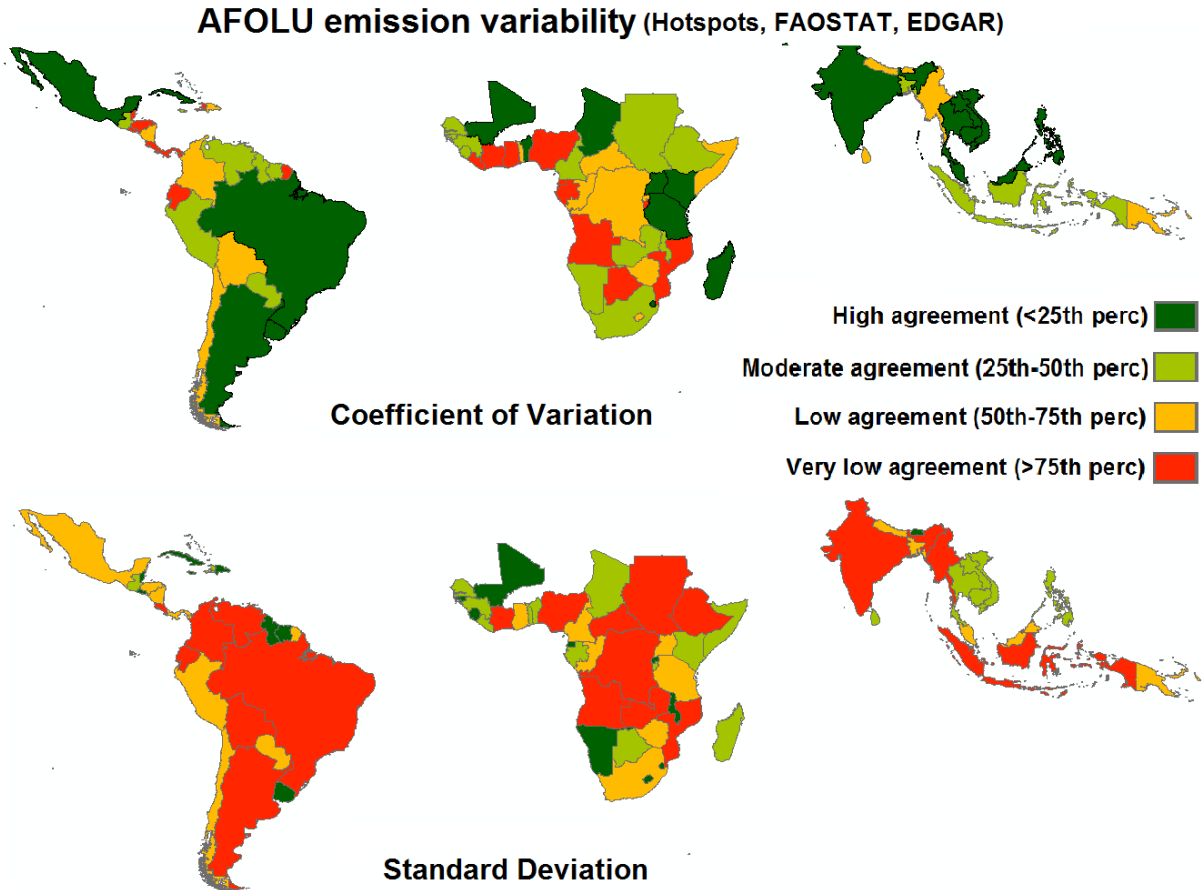


Figure 1: Country emission variability for AFOLU emissions, for the Hotspots, FAOSTAT and EDGAR datasets, using the coefficient of variation or standard deviations as statistics for data dispersion.

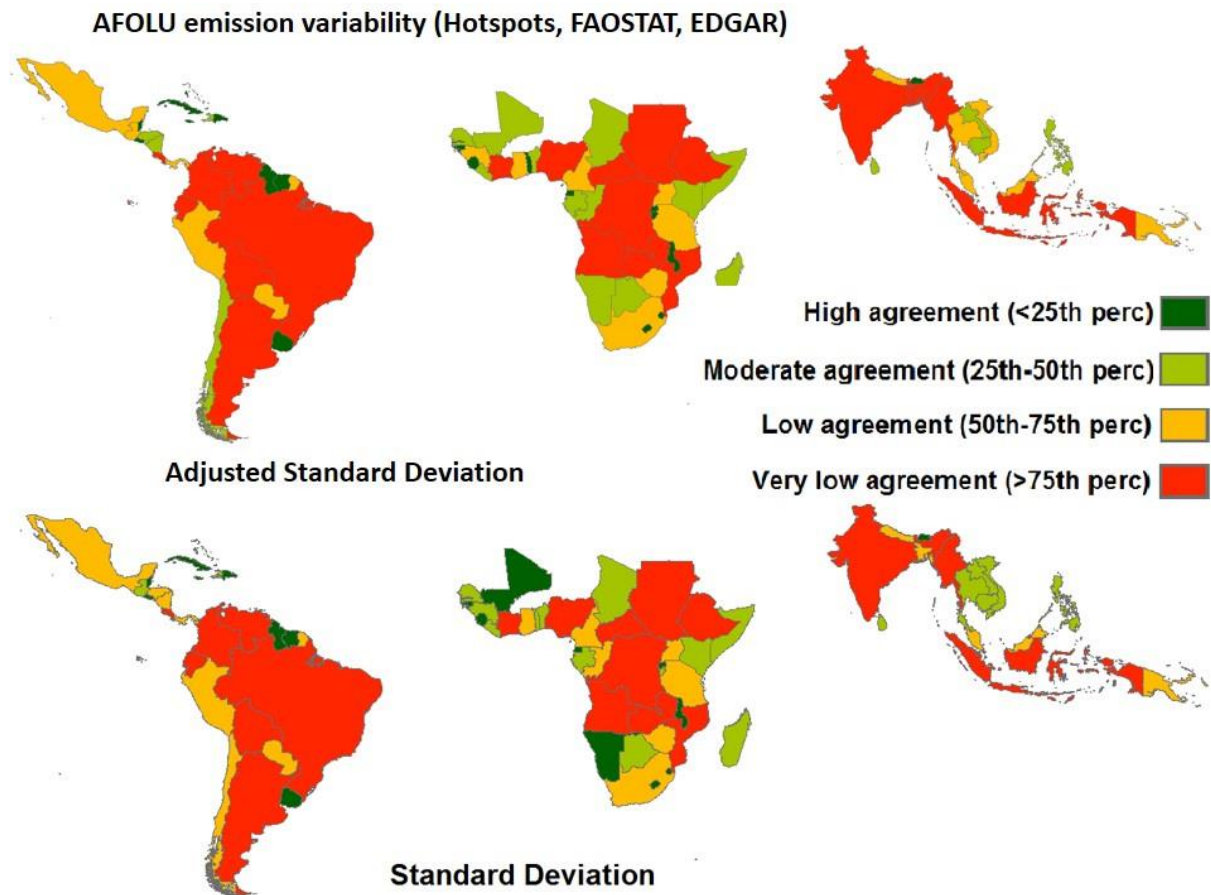


Figure 2: Country emission variability for AFOLU emissions; for the Hotspots, FAOSTAT and EDGAR datasets, using standard deviations or an adjusted standard deviation as statistics for data dispersion.

4. ***I have trouble to find where degradation fits in. It is not included in any of the graphs or tables, yet the authors spend a lot of time describing it in the methods. In other places it is put in the same bucket as fire and wood harvest. I suggest to refine either the result or the method section to put degradation into the correct context. Similarly, the figures show data for deforestation, and although this is intuitive to many readers, I think a good definition (and how it is being used in context with the data set and this analysis) is important.*** Degradation is defined in lines 399-407. We have addressed this claim by re-arranging the captions of fire and wood harvesting in the results/discussion (lines 398, 427, 486) so that they are part of the section of degradation. We have also reminded readers in the graphs, and table captions that degradation in this comparative assessment would be the sum of fire and wood harvesting emissions. We have chosen, however, to retain the degradation section in the results, since we believe that the description of what is degradation in forests and how the datasets are including it, or not, by means of fire and wood harvesting emissions (and other excluded sources) offers interesting information to the readers. Deforestation is explained in the results-discussion and it is not defined as a single concept since different datasets define it differently (see lines 374-376)
5. ***Table 5 is not referenced in the text. But it seems an important table. A paragraph in the results/discussion or in the conclusion could really help summarizing in which category the datasets excel and where they are less reliable:*** Please note that there is no table 5. Table 4 is referred in lines 575 in the text. We subjectively suggest which are the least reliable sources of emissions (see comment 3, Referee 1) but we do not include best performing emission sources because they are difficult to identify. Thus, methods can differ but be correct.

Minor comments and editorial suggestions

L37: Suggest “anthropogenic gas emissions”. Sorry, the abstract is word limited. Not included.

L39: “Global comparison. . .” This is a somewhat awkward sentence – rephrase. Thank you, changed to ‘comparisons of global AFOLU emissions’...

L41: suggest i.e. instead of e.g. Done

L52: instead of paranthesis you may use “with fire leading the difference”. Done

L55: How much of the disagreement stems from incompleteness of the data C2. We dont follow well this comment. Have not acted upon it.

L65: suggest “Modelling studies suggest that to keep . . .” Already written as so in the original version.

L74: Reading the Anderson, 2015 text, I am not sure whether Anderson made that claim (while he is sceptic about "optimism" in fossil fuel mitigation strategy - suggest reformulation. Yes, we see the reviewer’s point. The reference has been eliminated in L74.

L80: This may be the decision also for copy editing, but I think the abbreviation should be preceded by the full Agriculture, Forestry, and other Land Use, although it is explained in the abstract. Done, l79

L80: unit PgCO₂.e.yr-1: I am wondering whether the e for the equivalent should be clarified. We believe there is no clarification needed. It is accepted as an standing alone ‘e’, or so has it been in our previous publication in the same journal.

L81: Abbreviation GHG needs to be properly introduced. Done

L115: Is this PgC or PgCO₂? It was correctly written as PgC and we have included the PgCO₂e estimate to compare.

L119: The statement starting with “These datasets . . .” could benefit with a reference. Agreed, included in L120 and 122.

L138: I suggest to mention here why the focus in on tropics, instead of burying the rationale in the methods. This is explained a bit later, and would rather keep it where it is. Please go to the study area section (lines 149-153)

L142: In the beginning: Delete the lonely “)” Done

L149: The discussion about source and sink, net vs. gross can be tightened here. It appears that several statements are repeated. Agreed. This topic was extensively debated in our accompanying paper so we have now reshaped/shortened this paragraph (l 157-167) and referred the readers to Roman-Cuesta et al. 2016.

L172: I think it may be worthwile to briefly (a couple of sentences) explain what the tiers are. I agree with the reviewer, but since the paper is already very long, we have included a definition in the Supplement and referred the readers there.

L216: The sentence starting with “Unlike other” is a repetition – check L 211 Thank you. Eliminated

L261: “some of the datasets used”, please specify all the datasets that derive their emissions from remote sensing Only deforestation emissions fully rely on remote sensing. Other emissions use remote sensing (fire, wood harvesting, agriculture...but apply emission models. We have changed the sentence (l. 258)

L271: “To facilitate. . .” I have a hard time understanding this sentence – possible to rephrase? Paragraph has been rewritten (l. 270-275)

L386: Please define CWD abbreviation (or just use coarse woody debris since it is only used once) Done, l.389

L566: Use in SOC, also is the abbreviation properly explained? Done, L. 570

L580: What are the units for the numbers? We have changed paragraph. It was hard to understand as it was written.

L587: It is not clear what the FAOSTAT omissions are. We have referred readers to section 3.2.2.1 (fire differences among datasets) to clarify this point. L. 427-449

L589: try to rephrase “excluding CO₂ from aboveground biomass”. – “FAOSTAT does not include CO₂ emissions from burned biomass” – is this FAOSTAT assumes that fire

frequencies are constant through time, and thus the CO2 budget remains unaffected? No, FAOSTAT fully excludes aboveground CO2 fire emissions, to place them instead in net deforestation. Please read fire section, lines 433-435. We have clarified this section a bit.

L618: “In detriment to sectorial comparisons” – is this a reference to analysis presented in this manuscript? We have rewritten the material section for the country comparisons to make it more comprehensible. See section 2.5 Country emissions. Line 289-298. We have also redone Sect. 3.4 Lines 615-620

L640: I suggest to use “added” instead of “coming”: done

L640: Doesn’t the A in FRA is assessment? – suggest to delete assessments: this has been clarified. Assessments referred to the different FRAs (1990,2000,2005, 2010, etc) since FAOSTAT gets updated with each new FRA.

L667: Missing reference: Corrected. Lin. 656

L706: direct data on forest degradation is missing (see also my comment above): we do not use direct data on degradation since there are no degradation emission datasets spatially explicit, to our knowledge. Instead, we add the emissions from fire and wood harvesting and consider them to be our forest degradation emissions. See comment 4 of Reviewer 2.

L708: Isn’t the lifetime of CO2 included in the CO2 equivalent calculation? Only warming potentials are considered in the transformation to equivalents. However, this section refers to the uncertainty of CO2 emissions not to emission estimates.

L712: What is meant with variability? Also the use of “most” may not be appropriate since there are only two non-CO2 greenhouse gases. Overall, I think this bullet point C4 should be rephrased: Yes, we understand the reviewer’s confusion. We have improved the conclusions of the gases (CO2 and N2O) by referring to the correspondent figures. L. 733-740

L717: I guess the authors mean that differences among the data sets are as big (or bigger) than the differences among sectors/categories. Rewritten. Lines 743-749

Figure 1: Why is there suddenly a reference to EDGAR JRC, while in the main text it is referred only to EDGAR. The figure also offers to explain the reader a bit more about the peculiarities if the data. Hotspot is the only data set that has error estimate. EPA has no FOLU emissions calculated while Houghton has not calculated Ag emissions. Agreed, done.

Figure 2: why is the Baccini data included here, but not in figure 1 or 3? The reviewer is right. We have redone all pictures. Figure 1 was wrongly missing Baccini’s data. We have now corrected it. Baccini’s data are, however, not offered in a disaggregated spatial manner so they can only be part of figures at tropical scale (i.e. Fig 1 and 2, but not 3).

Figure 4 caption: typo “peatland” Thanks, corrected

Figure 1-3 why are the AR5 data not included – I know they are gleaned from the report’s figure, but they could stack up against your summary data? Yes, the reviewer’s got a point here but we originally decided to exclude the AR5 data in the graphs because they require too many explanations (i.e. net emissions instead of gross but sinks are only partial since they do not include forest sinks of standing forests if not disturbed or undergoing shifting cultivation recovery), data are for a different period (2000-2009) and we do not have spatially disaggregated data from where we could exactly extract our tropical study area. Some tropical data are offered in graphs within the AR5 Chapter 11, so the data can be more or less derived for a text discussion, but not so good for a numeric comparison.

Table 2a is missing: Corrected.

Table 3: This seems to be an important table, but highly cryptic. I suggest to use the acronym of the datasets instead of the numbers. Yes, the table is still complex, but we improved the caption to expand its comprehension and changed the numbers by the datasets.

Table 4: “Other” is really only Forest Sinks – so perhaps use “Forest Sinks” as category. Done

1 **Multi-gas and multi-source comparisons of six land use emission datasets**
2 **and AFOLU estimates in the Fifth Assessment Report, for the tropics for**
3 **2000-2005.**

4
5 **Short title:** AFOLU dataset comparisons

6
7 **Authors affiliation:**

8 Rosa Maria Roman-Cuesta^{1,2*}, Martin Herold², Mariana C. Rufino¹, Todd S. Rosenstock³,
9 Richard A. Houghton⁴, Simone Rossi⁵, Klaus Butterbach-Bahl^{6,7}, Stephen Ogle⁸, Benjamin
10 Poulter⁹, Louis Verchot^{10,11}, Christopher Martius¹, Sytze de Bruin².

11
12 ¹ Center for International Forestry Research (CIFOR), P.O Box 0113 BOCBD, Bogor 16000,
13 Indonesia.

14 ² Laboratory of Geo-Information Science and Remote Sensing - Wageningen University &
15 Research. -Droevendaalsesteeg 3, 6708PB. Wageningen. The Netherlands.

16 ³ World Agroforestry Centre (ICRAF). PO Box 30677-00100, Nairobi. Kenya.

17 ⁴ Woods Hole Reseach Center. 149 Woods Hole Road Falmouth, MA, 02540-1644, US.

18 ⁵ Global Environmental Monitoring Unit, Institute for Environment and Sustainability,
19 European Commission, Joint Research Centre, TP. 440 21020 Ispra, Varese 21027, Italy,

20 ⁶ International Livestock Research Institute (ILRI) P.O. Box 30709. Nairobi 00100, Kenya

21 ⁷ Karlsruhe Institute of Technology, Institute of Meteorology and Climate Research (IMK-
22 IFU), Garmisch-Partenkirchen, Germany

23 ⁸ Natural Resource Ecology Laboratory, Campus Delivery 1499, Colorado State University,
24 Fort Collins, Colorado 80523-1499, USA.

25 ⁹ Ecosystem Dynamics Laboratory. Montana State University. P.O. Box 172000. Bozeman,
26 MT 59717-2000. USA.

27 ¹⁰ International Center for Tropical Agriculture, Km17 Recta Cali-Palmira, Apartado Aéreo
28 6713, Cali, Colombia.

29 ¹¹ Earth Institute Center for Environmental Sustainability, Columbia University, New York,
30 USA.

31 * Corresponding author. Telephone: +31317485919, Fax: Email: rosa.roman@wur.nl

32

33 **Keywords:** AFOLU, Land use greenhouse gas emissions, Land Use Land Cover Change and
34 Forestry, LULUCF, mitigation, Fifth Assessment Report, gross emissions flux.

35

36 **ABSTRACT**

37 The Agriculture, Forestry and Other Land Use (AFOLU) sector contributes with ca. 20-25% of
38 global anthropogenic emissions (2010), making it a key component of any climate change
39 mitigation strategy. AFOLU estimates remain, however, highly uncertain, jeopardizing the
40 mitigation effectiveness of this sector. Comparisons of global AFOLU emissions have shown
41 divergences of up to 25%, urging for improved understanding on the reasons behind these
42 differences. Here we compare a diversity of AFOLU emission datasets (i.e. FAOSTAT,
43 EDGAR, the newly developed AFOLU “Hotspots”, “Houghton”, “Baccini”, and EPA) and
44 estimates given in the Fifth Assessment Report, for the tropics (2000-2005), to identify
45 plausible explanations for the differences in: i) aggregated gross AFOLU emissions, and ii)

46 disaggregated emissions by sources, and by gases (CO₂, CH₄, N₂O). We also aim to iii) identify
47 countries with low agreement among AFOLU datasets, to navigate research efforts. Aggregated
48 gross emissions were similar for all databases for the AFOLU: 8.2 (5.5-12.2), 8.4 and 8.0 Pg
49 CO₂e.yr⁻¹ (Hotspots, FAOSTAT and EDGAR respectively), Forests: 6.0 (3.8-10), 5.9, 5.9 and
50 5.4 PgCO₂e.yr⁻¹ (Hotspots, FAOSTAT, EDGAR, and Houghton), and Agricultural sectors: 1.9
51 (1.5-2.5), 2.5, 2.1, and 2.0 PgCO₂e.yr⁻¹ (Hotspots, FAOSTAT, EDGAR, and EPA). However,
52 this agreement was lost when disaggregating by sources, continents, and gases, particularly for
53 the forest sector, with fire leading the differences. Agricultural emissions were more
54 homogeneous, especially livestock, while croplands were the most diverse. CO₂ showed the
55 largest differences among datasets. Cropland soils and enteric fermentation led the smaller N₂O
56 and CH₄ differences. Disagreements are explained by differences in conceptual frameworks
57 (i.e. carbon-only vs multi-gas assessments, definitions, land use versus land cover, etc), in
58 methods (Tiers, scales, compliance with Intergovernmental Panel on Climate Change (IPCC)
59 guidelines, legacies, etc) and in assumptions (i.e. carbon neutrality of certain emissions,
60 instantaneous emissions release, etc) that call for more complete and transparent documentation
61 for all the available datasets. Enhanced dialogue between the carbon (CO₂) and the AFOLU
62 (multi-gas) communities is needed to reduce discrepancies of land use estimates.

63

64 **1. INTRODUCTION**

65 Modelling studies suggest that to keep the global mean temperature increase to less than 2°C
66 and to remain under 450 ppm of CO₂ by 2100, CO₂ emissions must be cut 41-72% below 2010
67 levels by 2050 (IPCC, 2014), and global emissions levels must be reduced to zero (a balance
68 between sources and sinks) before 2070 and below zero, through removal processes, after that
69 (Anderson, 2015; UNEP, 2015). To reach these ambitious goals, tremendously rapid
70 improvements in energy efficiency and nearly a quadrupling of the share of zero and low carbon

71 energy supply (i.e. renewables, nuclear energy, and carbon dioxide capture and storage (CCS),
72 including bioenergy (BECCS)) would be needed by 2050 (IPCC, 2014; Friedlingstein et al.,
73 2014; UNEP, 2015). Since there is no scientific evidence on the feasibility of CCS technologies
74 (Anderson, 2015), renewables and the land use sector are among the most plausible options
75 (Canadell and Schulze, 2014). Optimistic estimates suggest that the AFOLU sector (here
76 indistinctively also called land use sector) could contribute from 20 to 60% of the total
77 cumulative abatement to 2030 including bioenergy (Smith et al., 2014).

78

79 The Agriculture, Forestry, and other Land Use (AFOLU) sector roughly contributes with a
80 quarter (10-12 PgCO₂e.yr⁻¹) of the total anthropogenic greenhouse gas (GHG) emissions (50
81 PgCO₂e.yr⁻¹) (Smith et al., 2014) through a few human activities: deforestation, forest
82 degradation, and agriculture including cropland soils, paddy rice, and livestock (Smith et al.,
83 2014). Despite the acknowledged importance of the emissions from the land use sector in global
84 mitigation strategies, assessing GHG emissions and removals from this sector remains
85 technically and conceptually challenging (Abad-Viñas et al., 2014; Ciais et al., 2014). This
86 challenge relates to an incomplete understanding of the processes that control the emissions
87 from the land use sector (Houghton et al., 2012), especially post-disturbance dynamics (Frank
88 et al., 2015; Poorter et al., 2016) and to various sources of error that range from inconsistent
89 definitions, methods, and technical capacities (Romijn et al., 2012, 2015; Abad-Viñas et al.,
90 2014), to special features of the land use sector such as legacy and reversibility/non-permanence
91 effects (Estrada et al., 2014), or to the difficulty to separate anthropogenic from natural
92 emissions (Estrada et al., 2014; Smith et al., 2014). As a result, the AFOLU emissions are the
93 most uncertain of the all the sectors in the global budget, reaching up to 50 percent of the
94 emissions mean (Houghton et al., 2012; Smith et al., 2014; Tubiello et al., 2015). This is
95 important since uncertainties jeopardize the effectiveness of the AFOLU sector to contribute to

96 climate change mitigation. Thus, country compliances to their mitigation targets are likely to
97 be controversial when the uncertainty is equal to or greater than the pledged emission reductions
98 (Grassi et al., 2008; Pelletier et al., 2015).

99

100 Currently, data on AFOLU emissions are available through national greenhouse gas
101 inventories, which are submitted to the United Nations Framework Convention on Climate
102 Change (UNFCCC), but these national estimates cannot be objectively compared due to
103 differences in definitions, methods, and data completeness (Houghton et al., 2012; Abad-Viñas
104 et al., 2014). More comparable AFOLU data are offered in global emission databases such as
105 EDGAR or FAOSTAT (Smith et al., 2014; Tubiello et al., 2015), or more sectorial datasets
106 such as the Houghton's Forestry and other Land Use (FOLU) data (Houghton et al., 2012), and
107 the US Environmental Protection Agency non-CO₂ emissions for agriculture -including
108 livestock (USEPA, 2013). While national inventories and global databases are currently the
109 best bottom up emissions data we count on, their utility to inform on what the atmosphere
110 receives has been contested. Late research shows disagreements between the trends of reported
111 emissions and atmospheric growth since 1990 for CO₂ (Francey et al., 2010, 2013a, 2013b), for
112 CH₄ (Montzka et al., 2011), and for N₂O (Francey et al., 2013b). In the case of CO₂, Francey *et*
113 *al.* conclude that the differences between atmospheric and emission trends for CO₂ might be
114 more related to under-reported emissions (~9 PgC = 33 PgCO₂ for the period 1994-2005), than
115 to adjustments in the terrestrial sinks (i.e. increased CO₂ removals in oceans and forests). On
116 the other hand, global AFOLU databases suffer from inconsistencies that lead to global CO₂e
117 emissions differences of up to 25% (2000-2009) (Tubiello et al., 2015): 12.7 vs 9.9 PgCO₂e.yr⁻¹
118 ¹ for EDGAR and FAOSTAT, respectively. These datasets also disagreed in the contribution of
119 the AFOLU sector to the total anthropogenic budget in 2010 (i.e. 21% and 24% for FAOSTAT
120 vs EDGAR) (Tubiello et al., 2015), and on the relative share of the emissions from agriculture

121 versus FOLU since 2010 Tubiello et al., 2015). Thus, while EDGAR implies a relatively equal
122 contribution (IPCC, 2014), FAOSTAT reports agricultural emissions being larger contributors
123 to the total anthropogenic budget ($11.2\pm 0.4\%$) than forestry and other land uses ($10\pm 1.2\%$)
124 (Tubiello et al., 2015), with a steady growth trend of 1% since 2010.

125

126 Understanding the inconsistencies among AFOLU datasets is an urgent task since they preclude
127 our accurate understanding of land-atmosphere interactions, GHG effects on climate forcing
128 and, consequently, the utility of modelling exercises and policies to mitigate climate change
129 (Houghton et al., 2012; Grace et al., 2014; Smith et al., 2014; Sitch et al., 2015; Tian et al.,
130 2016). The land use sector plays a prominent role in the Paris Agreement (Art.5), with many
131 countries including it as mitigation targets in their Nationally Determined Contributions
132 (NDCs) (Grassi and Dentener, 2015; Richards et al., 2015; Streck, 2015). It is then urgent to
133 understand how much and why different AFOLU datasets differ in their emissions estimates,
134 so that we can better navigate countries' land-based mitigation efforts, and help to validate their
135 proposed claims under the UNFCCC.

136

137 Here we compare gross AFOLU emissions estimates for the tropics, for 2000-2005, from six
138 datasets: FAOSTAT, EDGAR, "Houghton", "Baccini", the US Environmental Protection
139 Agency data (EPA), and a recently produced, spatially explicit AFOLU dataset, that we will
140 hereon call "Hotspots" (Roman-Cuesta et al., 2016). We aim to identify differences and
141 plausible explanations behind: i) aggregated AFOLU, FOLU and Agricultural gross emissions,
142 ii) disaggregated contributions of the emission sources for the different datasets, iii)
143 disaggregated contribution of the different gases (CO_2 , CH_4 , N_2O), and iv) national scale
144 disagreements among datasets.

145

146 2. METHODS

147 *2.1 Study area*

148 Our study area covers the tropics and the subtropics, including the more temperate regions of
149 South America (33° N to 54° S, 161° E to 117° W). Land use change occurs nowhere more
150 rapidly than in the tropics (Poorter et al., 2016) so its study has global importance. Moreover,
151 the tropics suffer from the largest data and capacity gaps (Romijn et al., 2012; 2015) and their
152 need to access AFOLU data and understand their differences is more crucial. We selected the
153 period 2000-2005 for being the common temporal range for all the datasets. This period is not
154 for the recent past but that does not affect the comparative nature of this research. Our study
155 area focuses at the country level and includes eighty countries, following Harris et al., (2012).
156 We ran the comparisons on gross emissions. While gross and net emissions are equally
157 important, they offer different information (Richter and Houghton, 2011; Houghton et al.,
158 2012). Net land use emissions consider the emissions by the sources and the removals by the
159 sinks (i.e. forest growth, forest regrowth after disturbances, organic matter stored in soils) in a
160 final emission balance where the removals are discounted from the emissions. Gross
161 assessments can consider both the emissions produced by the sources (gross emissions) and the
162 removals absorbed by the sinks (gross removals), but they are not balanced out. Gross emissions
163 are useful to navigate mitigation implementation since they offer direct information on the
164 sources and sinks that need to be acted upon through policies and measures to enhance and
165 promote mitigation (see further information on net and gross alternatives in Roman-Cuesta et
166 al., 2016).

167

168 *2.2 AFOLU datasets*

169 *Hotspots* (Roman-Cuesta et al., 2016): this is a multi-gas (CO₂, CH₄, N₂O) spatially explicit
170 (0.5°) database on gross AFOLU emissions and associated uncertainties for the tropics and

171 subtropics for the period 2000-2005, at Tier 2 and Tier 3 levels (see Supplement for the
172 definition of Tiers). This database ~~It helps~~ locates the hotspots of tropical AFOLU emissions,
173 which should help to estimate mitigation potentials, and ~~to help~~ prioritize the areas and the
174 land activities that require mitigation most urgent actions mitigation action. It combines
175 available published GHG datasets for the key sources of emissions in the AFOLU sector, as
176 identified by the Fifth Assessment Report of the Intergovernmental Panel on Climate Change
177 (AR5, (Smith et al., 2014): deforestation, forest degradation (fire, wood harvesting), crop soils,
178 paddy rice, and livestock (enteric fermentation and manure management). It also includes
179 emission estimates of agricultural peatland decomposition using Tier 1 emission factors (see
180 details in Roman-Cuesta et al., 2016). ~~are also included~~. Forest emissions ~~mainly report~~ focus
181 on aboveground biomass, with the exception of peat fires ~~(except fire that also reports on soils)~~.
182 More detailed methodological information is available in Roman-Cuesta et al., (2016).

183

184 *FAOSTAT*: covers agriculture, forestry and other land uses and their associated emissions of
185 CO₂, CH₄ and N₂O, following IPCC, 2006 Guidelines at Tier 1 (Tubiello et al., 2013, 2014).
186 Emissions are estimated for nearly 200 countries, annually, for the reference period of 1961–
187 2012 (agriculture) and 1990–2012 (FOLU), based on national activity data submitted by
188 countries and further collated by FAO. Projected emission data are available for 2030 and 2050.
189 FAOSTAT includes estimates of emissions from biomass fires, peatland drainage and fires,
190 based on geo-spatial information, as well as on forest carbon stock changes (both emissions and
191 removals) based on national-level FAO Forest Resources Assessment data (FRA 2010).

192

193 *EDGAR*: The Emissions Database for Global Atmospheric Research (EDGAR) provides global
194 GHG emissions from multiple gases (CO₂, CH₄, N₂O, HFCs, PFCs and SF₆) at 0.1° and country
195 levels. The EDGAR database covers all IPCC sectors (energy, industry, waste management,

196 and AFOLU), mostly applying IPCC 2006 guidelines for emission estimations (EDGAR 2012).
197 We downloaded the EDGAR's 4.2 Fast Track 2010 (FT 2010). FT 2010 emissions cover the
198 period 2000-2010 in an annual basis, at the country level.

199

200 "*Houghton*": Houghton's bookkeeping model calculates the net and gross fluxes of carbon
201 (CO₂ only) between land and atmosphere that result from land management (Houghton, 1999,
202 2012; Houghton and Hackler, 2001; Houghton et al., 2012). The net estimate includes emissions
203 of CO₂ from deforestation, shifting cultivation, wood harvesting, wood debris decay, biomass
204 burning (for deforestation fires only, peatland fires were not included in our version of their
205 data), and soil organic matter from cultivated soils. It also includes sinks of carbon in forests
206 recovering from harvest and agricultural abandonment under shifting cultivation. ~~Unlike the~~
207 ~~other datasets, all pools are included: live vegetation, soil, slash (woody debris produced during~~
208 ~~disturbance), and wood products.~~ The model does, however, not include forests that are not
209 logged, cleared or cultivated. Rates of growth and decomposition are ecosystem specific and
210 do not vary in response to changes in climate, CO₂ concentrations, or other elements of
211 environmental change. Therefore, forests grow (and wood decays) at the same rates in 1850
212 and 2015. Unlike other databases all carbon in the ecosystem considered is accounted for: live
213 vegetation, soil, slash (woody debris produced during disturbance), and wood products. We
214 downloaded regional annual emissions from the TRENDS (1850-2005) dataset for the tropics:
215 Central and South (CS) America, tropical Africa and South and South East Asia. Only net
216 emissions were available. No spatially disaggregated data were offered (i.e. countries).
217 Houghton's data are, unlike all the other datasets, net aggregated FOLU estimates, for CO₂-
218 only.

219

220 "*Baccini*": These are gross FOLU tropical emissions ~~that derive from Houghton's bookkeeping~~
221 ~~model and~~ published by Baccini et al., (2012). Data are gross emissions for the period 2000-
222 2010 disaggregated into: deforestation (4.18 PgCO₂.yr⁻¹), wood harvesting (1.69 PgCO₂.yr⁻¹),
223 biomass burning (2.86 PgCO₂.yr⁻¹) and, wood debris decay (3.04 PgCO₂.yr⁻¹). We excluded
224 this last variable to make it more comparable to the other datasets, where CWD is frequently
225 excluded (Table 1). Baccini's estimates refer, ~~however~~, to a tropical area slightly smaller than
226 our study region and they are offered as an aggregated value (no continental or country data
227 available):-

228

229 *The US Environmental Protection Agency (EPA)*: global non-CO₂ projected emissions for the
230 period 1990-2030 for the Agriculture, Energy, Industrial Processes and Waste sectors, for more
231 than twenty gases. EPA uses future net emissions projections of non-CO₂ GHGs as a basis for
232 understanding how future policy and short-term, cost-effective mitigation options can affect
233 these emissions. EPA follows the Global Emissions Report, which uses a combination of
234 country-prepared, publicly-available reports consistent with IPCC guidelines and guidance
235 (USEPA, 2013). When national emissions estimates were unavailable, EPA produced its own
236 non-CO₂ emissions using IPCC methodologies (i.e., international statistics for activity data, and
237 the default IPCC Tier 1 emission factors). Deviations to this methodology are discussed in each
238 of the source-specific methodology sections of USEPA (2012). No FOLU estimates are
239 included in this dataset. We downloaded agricultural emissions offered as 5-year intervals at
240 country level, disaggregated by gas (N₂O and CH₄), and by ~~emission~~ sources.

241

242 *IPCC AR5*: The AR5 is a synthesis report, not a repository of global data. However, new
243 AFOLU data are produced by the merging of peer-reviewed data such as Figures 11.2, 11.4,
244 11.5 and 11.8 in chapter 11 of the AR5 (Smith et al., 2014). We will contrast our six datasets

245 against the data from these newly produced figures.

246

247 Table 1 shows a summary of key similarities and differences of the assessed AFOLU datasets
248 and the data from the AR5. The exact variables used for each database, are described in Table
249 S1 in the [Ssupplement](#). Datasets can be downloaded at the websites described in the reference
250 section.

251

252 ***2.3 Estimating comparable gross AFOLU emissions for all datasets***

253 We focus on human-induced gross emissions only, excluding fluxes from unmanaged land
254 (i.e. natural wetlands). We focus on direct emissions excluding indirect emissions whenever
255 possible (i.e. nitrate leaching and surface runoff from croplands). Delayed fluxes (legacies)
256 are important (i.e. underestimations of up to 62% of the total emissions when recent legacy
257 fluxes are excluded) (Houghton et al., 2012) but are frequently omitted in GHG assessments
258 that derive from remote sensing, such as ~~some of the datasets used in this comparison (i.e. the~~
259 ~~deforestation emissions~~ [used in the Hotspots database, which relies on](#) ~~from~~ Harris et al.,
260 ~~(2012)~~). Wood harvesting emissions also excluded legacy fluxes. We assumed instantaneous
261 emissions of all carbon that is lost from the land after human action (Tier 1, IPCC 2006) (i.e.
262 deforested and harvested wood), with no transboundary considerations (i.e. the emissions are
263 assigned wherever the disturbance takes place, particularly important for Harvested Wood
264 Products). Life-cycle substitution effects were neither considered for harvested wood (Peters
265 et al., 2012). Some exceptions were allowed when data were already aggregated (i.e. for
266 Houghton's and EPA's datasets we could not exclude indirect emissions linked to forest
267 decay and agriculture, respectively), or because their legacy (past decay) estimates
268 corresponded to an important source (i.e. EDGAR's post burned decay and decomposition
269 emissions represent deforestation) (Tubiello et al., 2015). [Databases include a diversity of](#)

270 emission sources -and gases under AFOLU, not always following IPCC requirements (some
271 exclude peatland emissions, some include energy into the AFOLU emissions, some exclude
272 non CO2emissions, etc). However, to compare the AFOLU emission estimates among
273 databases we choose exactly the same sources: deforestation, wood harvesting, fire, livestock
274 (enteric fermentation + manure management), cropland soil emissions, rice emissions,
275 emissions from drained histosols), and the same gases CO₂, CH₄, and N₂O, and documented
276 what was included in each case (See Table S1 the Supplement). For the case of fire, for all the
277 databases, we excluded -CO₂ emissions that came from biomass burning in non-woody
278 vegetation such as-savannas and agriculture, since —as they are assumed to be in equilibrium
279 with annual regrowth processes (for CO₂ gases only) (IPCC 2003, 2006).

280

281 ***2.4 Correcting known differences among datasets estimates***

282 Tubiello *et al.* (2015) identified four main differences that resulted in larger estimates for the
283 EDGAR data than for FAOSTAT, under the AFOLU estimates of the AR5 (Smith et al., 2014):
284 1. The inclusion of energy emissions under the agriculture budget, 2. Inclusion of savannah
285 burning, 3. Higher rice emissions due to the use of the IPCC 1996 guidelines instead of the
286 IPCC 2006 guidance, 4. FOLU's unresolved differences due to unclear metadata on EDGAR's
287 proxy for deforestation (post burned decay and decomposition). We have corrected for the first
288 two in our data comparison. No energy, and no CO₂ for savannah burning have been included
289 in the AFOLU estimates in any of our analyses.

290

291 ***2.5 Country emissions***

292 To characterize countries emissions' variability we estimated the standard deviations for the
293 different emission sectors: i. forest (deforestation + fire + wood harvesting), ii. agriculture
294 (cropland soils + paddy rice), iii. livestock, and the aggregated AFOLU emissions, for the three

295 most complete datasets (Hotspots, FAOSTAT, EDGAR), per country. We grouped the standard
296 deviations into four percentiles to aggregate countries into levels of emission variability: high
297 agreement (=low variability, low standard deviations, <25thpercentile), moderate agreement
298 (25th-50th percentiles), low agreement (25th-50th percentiles), and very low agreement (= very
299 high variability, very high standard deviations, >75th percentile). See Supplement for a further
300 discussion on issues regarding countries' emissions variability.

303 3. RESULTS AND DISCUSSION

304 3.1 Aggregated AFOLU, FOLU and Agricultural emissions

305 We found good agreement among datasets for the aggregated tropical scales with AFOLU
306 values of 8.0 (5.5-12.2) (5th-95th percentiles), 8.4 and 8.0 PgCO_{2e}.yr⁻¹ (for the Hotspots,
307 FAOSTAT and EDGAR, respectively). FOLU (deforestation and forest degradation)
308 contributed with 6.0 (3.8-10), 5.9, 5.9 and 5.4 PgCO_{2e}.yr⁻¹ for the Hotspots, FAOSTAT,
309 EDGAR, and Houghton datasets respectively. Agriculture (livestock, cropland soils and rice
310 emissions) reached 1.9 (1.5-2.5), 2.5, 2.1, and 2.0 PgCO_{2e}.yr⁻¹ for the Hotspots, FAOSTAT,
311 EDGAR, and EPA datasets respectively (Figure 1, Table 2). Forest emissions represented ≥70%
312 of the tropical AFOLU gross mean annual budget for 2000-2005 (~~our~~ the Hotspots database
313 and Houghton showing the highest and the lowest estimates), and agriculture represented the
314 remaining 25-30% AFOLU emissions (FAOSTAT and Hotspots showing the highest and the
315 lowest values). Houghton's FOLU value (5.4 PgCO_{2e}.yr⁻¹) is a net estimate that includes carbon
316 dynamics associated to forest land use changes, and forest removals from areas under logging
317 and shifting cultivation and it is, as expected, lower than the forest gross emissions. Its value
318 for the tropics was, however, higher than the net FOLU value used in the IPCC AR5 (4.03
319 PgCO_{2e}.yr⁻¹ for 2000-2009) (Houghton *et al.* 2012). Since boreal and temperate forest sinks are

320 reported to be quasi-neutral (Houghton et al., 2012), these differences are unclear. There is a
321 variety of Houghton' net FOLU estimates in current bibliography (i.e. 4.03 PgCO₂e.yr⁻¹ for
322 2000-2009 in Smith *et al.* (2012), 4.9 for 2000 and 4.2 for 2010 (Tubiello et al., 2015) that
323 likely correspond to different updates of the same dataset, but create confusion and would call
324 for verified official values that could be consistently used.

325

326 The IPCC AR5 offers a FOLU gross value for the tropics of ca. 8.4 PgCO₂.yr⁻¹ (2000-2007)
327 (Fig 11.8 in AR5, Smith et al., 2014) (Fig S1, [Supplement](#)) which corresponds to Baccini's
328 estimates using Houghton's bookkeeping model. This value is in the upper range of [the](#)
329 [Hotspots](#) ~~our~~ gross FOLU emissions: 6 (3.8-10) PgCO₂e.yr⁻¹ (2000-2005), and higher than the
330 mean gross FOLU emissions from all the other datasets (approx. 6 PgCO₂e.yr⁻¹) (Table 2). The
331 time periods are not identical and we do not compare the same gases (i.e. the bookkeeping
332 model focuses on CO₂ only, while we run a multi-gas assessment). However, the differences
333 mainly relate to unreported choices behind the inclusion/exclusion of emission sources and the
334 description of their methods, in the AR5. Thus, the 8.4 PgCO₂.yr⁻¹ gross estimate does not
335 include fire, and has larger contributions from shifting cultivation (2.35 PgCO₂.yr⁻¹) and wood-
336 harvesting (2.49 PgCO₂.yr⁻¹), than the deforestation and wood-harvesting emissions in [the](#)
337 [Hotspots](#) ~~our~~ selected datasets (Figure 2). Numbers used in Figure 11.8 also exclude other gross
338 emissions offered in Baccini et al. (2012), which is the citation used in Fig. 11.8. Explicit,
339 complete, and transparent documentation is encouraged for the next AFOLU figures in the
340 IPCC Assessment Reports. Another consideration of AFOLU estimates in the Assessment
341 Reports relates to the use of the bookkeeping model to estimate land use, land use change and
342 forest (LULUCF) emissions. As useful as this model is, its framework does not follow the IPCC
343 AFOLU guidelines (IPCC, 2006), particularly regarding the concept of managed land. Thus,
344 forests that are on managed land but are not suffering from direct human activities are

345 considered carbon neutral (Houghton *pers. comm.*). Partly because of that, the net emission
346 estimates of LULUCF from Houghton et al., (2012) used in the AR5 (4.03 PgCO₂.yr⁻¹; 2010-
347 2009) ~~contrast with~~ differ from the LULUCF estimates produced by LULUCF country reports
348 ~~submitted to the UNFCCC~~ for the same year period, which are close to zero (Grassi and
349 Dentener, 2015; Federici et al., 2016). The use of IPCC compliant models for the IPCC
350 Assessment Reports, or/and some documentation that warned about these inconsistencies,
351 would be useful in future assessments.

352

353 Emissions in the agricultural sector are mostly net, since sink effects in the soils are small and
354 frequently temporal (USEPA, 2013; Smith et al., 2014). Comparisons against global
355 agricultural emissions show that for the year 2000, global estimates more than doubled ~~our~~ the
356 Hotspots values (i.e. 5 and 5.5 PgCO₂e.yr⁻¹ vs ca. 2 PgCO₂e.yr⁻¹ in all datasets) (Tubiello et al.,
357 2015) (Table 2), suggesting larger contributions of agricultural emissions from non-tropical
358 countries. Unexplained methodological differences such as the inclusion or not of indirect
359 emissions and the lack of an exhaustive list of the variables included in the agricultural
360 emissions, difficult further comparisons.

361

362 ***3.2 Disaggregated gross emissions: contributions of the emission sources***

363 While the gross aggregated estimates suggested a good level of agreement among datasets
364 (Figure 1), differences occur when comparing the emissions sources leading the AFOLU
365 budgets (Figure 2). The FOLU sector showed the largest differences, mainly due to the
366 estimates of forest degradation, and particularly fire (FAOSTAT and EDGAR showed the
367 lowest and highest values). The forest sector is the most uncertain term in the AFOLU emissions
368 due to both uncertainties in areas affected by land use changes and other disturbances, and by
369 uncertain forest carbon densities (Houghton et al., 2012; Grace et al., 2014; Smith et al., 2014).

370 Agricultural sources were more homogeneous (ca. 2 PgCO₂e.yr⁻¹ for all datasets) (Figure 1),
371 with livestock and cropland soil emissions as the most and least similar (Figure 2). The
372 homogeneity in livestock emissions was expected since most datasets use common statistics
373 (FAO) to derive herd numbers per country.

374

375 3.2.1 Deforestation

376 Deforestation emissions were 2.9 (1.0-10.1), 3.7, and 2.5 and 4.2 PgCO₂.yr⁻¹ (Hotspots,
377 FAOSTAT, EDGAR, and Baccini, respectively), with Baccini and EDGAR showing the
378 highest and the lowest values. Their values represent, however, very different scenarios: gross
379 deforestation for the Hotspots and Baccini datasets ([forest losses only](#)), net deforestation for
380 FAOSTAT ([forest losses minus forest gains](#)), and forest fire and post-burn decay for EDGAR
381 (Table 3). The Hotspots (Harris et al., 2012) and Baccini et al., (2012) datasets offer gross
382 deforestation estimates that rely on Hansen et al., (2010)'s forest cover loss areas. However,
383 they report different tropical emissions (0.81 and 1.14 PgC.yr⁻¹) because they use different
384 carbon density maps: Harris *et al.*(2012) rely on Saatchi *et al.*(2011) and Baccini rely on Baccini
385 *et al.*(2010). EDGAR does not provide a category for deforestation, and their Forest Fire and
386 Decay category (5F) (Table 3, and Table S1 in [the Supplement](#)) is used as a proxy for
387 deforestation (Tubiello et al., 2015). Such an approximation leads to underestimations since not
388 all carbon losses from deforestation are necessarily associated with the use of fire (Tubiello et
389 al., 2015). In spite of being net emissions, the deforestation estimates for FAOSTAT were
390 higher than the gross estimates of Hotspots and Baccini. This is partly due to FAOSTAT's
391 inclusion of fire emissions from humid tropical forests (see section 3.2.3), which the other
392 datasets did not. Baccini's larger estimates of gross deforestation included more carbon pools
393 than the other datasets (i.e. soil, [Coarse Woody Debris \(CWD\)](#), litter). Baccini *et al.* (2012)
394 reported that their estimated gross and net emissions from tropical deforestation were the same

395 value (4.2 Pg CO₂.yr⁻¹). The difference with Houghton's net emissions (5.4 PgCO₂.yr⁻¹) (Figure
396 2) corresponds, then, to non-offset carbon emissions from other land uses and activities
397 included in the bookkeeping model: degradation by logging and shifting cultivation,
398 decomposition and decay, and cultivated soils. Houghton's tropical net emissions for 2000-
399 2005 are high, but lower than Houghton's reported net estimates in the 80's (7 PgCO₂.yr⁻¹)
400 (Houghton, 1999).

401

402 3.2.2 Forest degradation: wood harvesting and fire emissions

403 Forest degradation can be defined in many ways (Simula, 2009), but no single operational
404 definition has been agreed upon by the international community (Herold et al., 2011a). It
405 typically refers to a sustained human-induced loss of carbon stocks within forest land that
406 remains forest land. In this study, similarly to Federici et al., (2015), we consider degradation
407 any annual removal of carbon stocks that does not account for deforestation, without temporal
408 scale considerations (i.e. time needed for disturbance recovery, or time to guarantee a sustained
409 reduction of the biomass). We assessed two major degradation sources: wood harvesting and
410 fire. Soil degradation is poorly captured in many datasets, and mainly focuses on fire in
411 equatorial Asian peatland forests and drained peatlands (Hooijer et al., 2010). Better
412 understanding of the processes and emissions behind forest degradation, would be key for
413 climate mitigation efforts not only because forest degradation is a wide spread phenomenon
414 (i.e. affects much larger areas than deforestation (Herold et al., 2011b)) but also because the
415 lack of knowledge of net carbon effects frequently results in assumptions of carbon neutrality
416 of the affected standing forests, particularly for fire (Houghton et al., 2012; Le Quéré et al.,
417 2014), which is likely leading to an underestimation of forest and AFOLU emissions (Brando
418 et al., 2014; Turetsky et al., 2015; Roman-Cuesta et al., 2016).

419

420 Gross emissions from forest degradation were larger than deforestation for the Hotspots,
421 EDGAR and Baccini's datasets, with degradation-to-deforestation ratios of 108%, 120%, and
422 128%, respectively. FAOSTAT had degradation emissions of 60% of the deforestation, partly
423 due to its anomalously low fire contribution (see next section). Houghton et al., (2012) pointed
424 out that global FOLU net fluxes were led by deforestation with a smaller fraction attributable
425 to forest degradation, while the opposite was true for gross emissions (degradation being 267%
426 of deforestation emissions). This large ratio relates to their inclusion of shifting cultivation
427 under degradation. This is a definition issue, which would not fit the definition of degradation
428 chosen in this study, where a complete forest cover loss would represent deforestation and not
429 degradation.

430

431 3.2.32.1 Fire

432 Fire led the gross forest degradation emissions in the tropics in 2000-2005 (Figure 2): 2 (1.1-
433 2.7), 0.2, 3.4, 2.9 PgCO₂e.yr⁻¹ for the Hotspots, FAOSTAT, EDGAR, and Baccini datasets,
434 respectively) (Figure 2). ~~Our~~ The Hotspots estimates are conservative compared to Van der
435 Werf et al., (2010)'s global emissions of 7.7 PgCO₂e.yr⁻¹ for 2002-2007, due to ~~our~~ the removal
436 of CO₂ from deforestation fires (to avoid double counting with deforestation emissions), to the
437 exclusion of fires in grasslands and agricultural residues, and to ~~our~~ the Hotspots' smaller study
438 area. FAOSTAT and EDGAR had the lowest and the highest fire values. FAOSTAT lowest
439 values relate to omissions that are currently in the process of being corrected (Rossi *pers.*
440 *comm.*): 1. the complete exclusion of CO₂ from fire in humid tropical forests and other forests
441 (Table 3, Table S1), which FAOSTAT relocated as net forest conversion emissions, partly
442 explaining their larger deforestation values (FAOSTAT kept, however, CH₄ and N₂O for fire
443 in humid tropical forests and other forests), and 2. The use of default parameters for fuel in
444 peats from the IPCC 2006 Guidelines instead of the new IPCC Wetland supplement which offer

445 considerable higher values (Rossi et al., 2016). Moreover, FAOSTAT uses GFED3.0-burned
446 area (Giglio et al., 2010) in their estimates while the other datasets use GFED3.0-emissions
447 (Van der Werf et al., 2010). EDGAR fire emissions were the largest most likely because they
448 included decay. Their dataset considers some undefined “forest fires” (5A) and
449 “wetland/peatland fires and decay” (5D) (Table 3; Table S1 in [the SupplementSI](#)). Peatland
450 decay probably explains EDGAR’s larger emissions in Asia, while we assume that EDGAR’s
451 highest fire emissions for CS America might respond to deforestation fires which were not
452 included in the Hotspots to avoid double counting with deforestation, and relocated in
453 FAOSTAT to deforestation emissions (Figure 3, Table 3). ~~Our~~ [The](#) Hotspots dataset showed
454 higher gross fire emissions for Africa due to the inclusion of woodland fire, which EDGAR and
455 FAOSTAT probably excluded. Baccini et al., (2012)’s fire emissions: $2.9 \text{ PgCO}_2\text{e.yr}^{-1}$ (2000-
456 2010) derive from Houghton’s bookkeeping but it is unclear how these emissions were
457 estimated.

458 In spite of the importance of fire as a degradation source, this variable is frequently
459 incompletely included, either through unaccounted gases (i.e. CH_4 and N_2O are excluded in the
460 carbon community but their omission represent 17-34% of the gross CO_2 fire emissions)
461 (Valentini et al., 2014; Roman-Cuesta et al., 2016), or to unaccounted components (i.e fires in
462 tropical temperate forests such as conifers or dry forests such as woodlands, are frequently
463 excluded) (Houghton et al., 2012). Unaccounted fire emissions also derive from methodological
464 choices (i.e. only inter-annual fire anomalies being considered) (Le Quéré et al., 2014), from
465 poor satellite observations such as understory fires in humid closed canopy forests) (Alencar et
466 al., 2006; 2012, Morton et al., 2013), or satellite fire omissions in certain regions (i.e. high
467 Andean fires) (Bradley and Millington, 2006; Oliveras et al., 2014). Other omissions relate to
468 the current exclusion of non-Asian peatland fires (i.e American tropical montane cloud forest

469 peatland fires) (Asbjornsen et al., 2005; Roman-Cuesta et al., 2011; Oliveras et al., 2013;
470 Turetsky et al., 2015).

471
472 Fire suffers, moreover, from a series of assumptions that do not apply so easily to other types
473 of degradation: 1. Assuming a non-human nature of the fires (deforestation fire *vs* wildfires),
474 which in tropical areas contrasts with multiple citations referring to the 90% human causality
475 of fires (Cochrane et al., 1999; Roman-Cuesta et al., 2003; Alencar et al., 2006; Van der Werf
476 et al., 2010). 2. Assuming *force-majeure* conditions that lead to non-controllable fires due to
477 extreme climate conditions, which frequently results in incomplete assessment and reporting of
478 emissions. This assumption contrasts with research on how human activities have seriously
479 increased fire risk and spread in the tropics (Uhl and Kauffman, 1990; Laurance and
480 Williamson, 2001; Roman-Cuesta et al., 2003; Hooijer et al., 2010), and clearly expose how
481 most of the fires in the humid tropics would not occur in the absence of human influences over
482 the landscape (Roman-Cuesta et al., 2003). 3. Assuming carbon neutrality and full biomass
483 recovery after fire in standing forests. This is a generous assumption that contrasts with
484 numerous studies on tropical forest die-back following fire events in non-fire adapted humid
485 tropical forests (Cochrane et al., 1999; Barlow et al., 2008; Roman-Cuesta et al., 2011; Brando
486 et al., 2012; Oliveras et al., 2013; Balch et al., 2015). All these phenomena casts doubts on the
487 robustness of these assumptions and call for a much more comprehensive inclusion of fire
488 emissions into forest degradation budgets.

489

490 3.2.42.2 *Wood harvesting*

491 There is not a unique way to estimate wood harvesting emissions as exposed in the guidelines
492 for harvested wood products of the IPCC (IPCC 2006). Assumptions regarding the final use of
493 the wood products, decay times, substitution effects, international destination of the products

494 and time needed for forests to recover their lost wood, can fully change the emission budgets.
495 In our study, wood harvesting emissions were 1.2 (0.7-1.6), 2.0, 1.7 PgCO₂.yr⁻¹ for the Hotspots,
496 FAOSTAT and Baccini data, respectively (Tables 3, Table S1 in [the Supplement](#)). Harvested
497 wood products derive from FAO's country reports (i.e. FAOSTAT forest products). All datasets
498 included fuel wood and industrial roundwood (Tables 3, Table S1). EDGAR excluded fuelwood
499 from the AFOLU budget and placed it instead into the energy budget (EDGAR, 2012), which
500 explains its absence in Figure 2. Wood harvesting emissions were larger in FAOSTAT than in
501 the Hotspot data (Figure 2) partly due to the inclusion of some extra categories of fuels (i.e.
502 charcoal and residues) that were not included in the Hotspot database (Table 3, Table S1 in [the](#)
503 [Supplement](#)). Charcoal represents 26% of the total wood-harvesting emissions in FAOSTAT.
504 Differences on wood harvesting affected more Asia and CS America (where ~~our~~ [the](#) Hotspot
505 data were half of FAOSTAT's), whilst Africa presented almost identical values (Figure 3),
506 reasons for these continental differences are unclear. Baccini's high emissions on wood
507 harvesting could partly relate to their inclusion of extra biomass due to felling damages (i.e. 20-
508 67% of the AGB is damaged, and 20% is left dead in BGB) (Houghton, 1999).

509

510 [3.2.5-3](#) *Livestock*

511 Livestock emissions were the most homogeneous among the emissions sources (Figure 2) with
512 estimates of 1.2 (0.8-1.5), 1.1, 1.2, 1.1 PgCO_{2e}.yr⁻¹ for the Hotspots, FAOSTAT, EDGAR and
513 EPA respectively, in range with the estimates in the AR5 (Fig 11.5 in Smith et al., 2014). Values
514 were similar in spite of deriving from different Tiers (i.e. Tier 3 for Herrero et al., (2013), Tier
515 1 for FAOSTAT and EDGAR. EPA used Tier 3 but for incomplete data series, otherwise Tier
516 1 was applied (USEPA, 2013)). All datasets included enteric fermentation (CH₄) and manure
517 management (N₂O, CH₄). All of them relied on FAO data for livestock heads, although they
518 used different years (i.e. 2000 for Herrero et al., (2013) data in the Hotspots, and 2007-2010 for

519 EDGAR). From a continental perspective, FAOSTAT and EDGAR estimates were the closest
520 while the Hotspots and EPA's were less similar. The Hotspots showed higher emissions for
521 Africa and Asia and lower for CS America, than the other three datasets. Divergences likely
522 relate to different Tiers. CS America and Asia showed the highest values, with Africa following
523 closely (Figure 3), similar to what is reported in the AR5 (Smith et al., 2014). Globally,
524 livestock is the largest source of CH₄ emissions, with three-fourth of the emissions coming from
525 developing countries, particularly Asia (USEPA, 2013, Tubiello et al., 2014). Three out of the
526 top-5 emitting countries are in the tropics: Pakistan, India and Brazil (USEPA, 2013) and while
527 Asia hosts the largest livestock emissions, the fastest growing trends in 2011 correspond to
528 Africa (Tubiello et al., 2014).

529

530 ~~3.2.6~~ 3.2.4 *Cropland emissions*

531 The estimates of cropland emissions reached values of 0.18 (0.16-0.19), 0.56, 0.6 and 0.64
532 PgCO₂e.yr⁻¹ for the Hotspots, FAO, EDGAR and EPA datasets respectively, for N₂O and CO₂
533 from changes in soil organic carbon content. Cropland soil emissions (N₂O and soil organic
534 carbon stocks (CO₂) heavily depend on land management practices (i.e. tillage, fertilization and
535 irrigation practices) and climate (Crowther et al., 2015). We chose exactly the same land
536 practices in all datasets to allow comparisons (Table 3,S1 in [the Supplement](#)). For this reason,
537 we excluded N₂O emissions from grassland soils, drainage of organic soils, and restoration of
538 degraded lands (Table 3). This restrictions resulted in lower emissions than those estimated for
539 cropland soils in the AR5 (Fig. 11.5 in Smith et al., 2014). The Hotspots and EPA showed the
540 lowest and the highest estimates (Figures 2,3). With the exception of the Hotspots, the other
541 datasets agreed well at the tropical scale, with FAOSTAT and EDGAR being almost identical,
542 also at continental scales. EPA disagreed more than the other datasets at the continental scales,
543 with underestimations for Asia, probably related to the parameterization of their emission

544 model. All three datasets used FAO's activity data, and for EDGAR and FAOSTAT the same
545 emission factors must have been used. The Hotspot showed anomalously low emissions partly
546 because it only included six major crop types (maize, soya, sorghum, wheat, barley, millet) for
547 which the emission model (DAYCENT) counted on reliable parametrization (*Ogle pers.*
548 *comm*). Emissions from other important crops in the tropics (i.e. sugar cane, tobacco, tea, etc)
549 were excluded, as well as emissions from croplands in organic soils, due to model constraints.

550

551 3.2.57 Peatland drainage for agriculture

552 Estimates of drained peatlands (mainly for agricultural purposes) suggest large omissions in the
553 Hotspots database with emissions one order of magnitude lower (28 TgCO_{2e}.yr⁻¹) than
554 FAOSTAT (ca. 500 TgCO_{2e}.yr⁻¹) and one order of magnitude lower than the values reported
555 for peatland drainage in Asia alone (Hooijer et al. 2010) (355-855 TgCO_{2e}.yr⁻¹). The lower
556 values in the Hotspots dataset relate to much smaller agricultural areas with histosols (0.4 mill
557 ha) than those reported by FAOSTAT for the same countries (7mill ha). This area difference is
558 partly due to the methodological approach used by Ogle et al. (2013) where only six major crop
559 covers are considered: maize, wheat, sorghum, soya beans, millet and barley), and partly to the
560 unmatching spatial scales of histosols and croplands (i.e. 1km for histosols and 50km for
561 croplands) which result in underestimations of the final area.

562

563 3.2.68 Paddy rice

564 When paddy fields are flooded, decomposition of organic material gradually depletes the
565 oxygen present in the soil and floodwater, causing anaerobic conditions in the soil that favour
566 methanogenic bacteria that produce CH₄. Some of this CH₄ is dissolved in the floodwater, but
567 the remainder is released to the atmosphere, primarily through the rice plants themselves. Net
568 emission estimates for paddy rice were 0.55 (0.4-0.833), 0.33, 0.37, 0.30 PgCO_{2e}.yr⁻¹ for the

569 Hotspots, FAOSTAT, EDGAR and EPA datasets, respectively. The Hotspots showed the
570 highest emissions (Figure 2), but only in Asia (Figures 3). Part of the reason behind these
571 differences refers to the final gases estimated in Li et al., (2013)'s which included CH₄, N₂O
572 and decomposition of Soil Organic Carbon (SOC) (CO₂) (Table 3, S1), while the others only
573 focused on CH₄. In Li et al., (2013)'s estimates, N₂O were 48% of the CH₄ emissions,
574 explaining the doubled emissions in ~~our~~ the Hotspots database. SOC was a sink, with -0.076
575 PgCO₂.yr⁻¹.

576

577 Based on the explanations above, Table 4 ~~offers~~ points out the likely least reliable emission
578 sources ~~for~~ each dataset considering disagreements among emission estimates due to
579 biased/divergent/incomplete definitions and methods. ~~Houghton's sinks are suggested as least~~
580 reliable since they suffer from compatibility issued with IPCC guidance and exclude sinks from
581 non-disturbed areas and sinks from forests undergoing disturbances other than wood harvesting
582 or recovery from shifting cultivation (Grassi and Dentener 2015; Federici et al., 2016).

583

584 ***3.3 Differences in the relative contribution of greenhouse gases (CO₂, CH₄, N₂O)***

585 GHG emissions (CO₂, CH₄, N₂O) showed good agreement at the sectoral level (FOLU and
586 agriculture) (Figure 5), that disappeared at the disaggregated level (Figure 6). CO₂ showed the
587 largest disagreements among datasets and gases, led by forests emissions and particularly fire.
588 SOC accumulation was reported in the Hotspots data (Li et al., 2013) but it is uncertain if it is
589 included in the other datasets.

590

591 Non-CO₂ emissions showed lower variability than were much more homogeneous, with
592 differences among datasets that were approximately 5 times lower than CO₂ variability (i.e. 0.3
593 vs 1.5) (Figure 6a). Livestock led CH₄ emissions and showed the largest differences among

594 datasets, with the Hotspot data (Herrero et al., (2013) having the lowest CH₄ emissions, which
595 were compensated with larger N₂O than the other datasets (Figure 6b,c).

596 At a global level, wetlands dominates natural CH₄ emissions, while agriculture and fossil fuels
597 represent 2/3 of all human emissions, with smaller contributions coming from biomass burning,
598 the oceans, and termites (Montzka et al., 2011). Fire non-CO₂ emissions were quite similar
599 among datasets, confirming that FAOSTAT omissions were CO₂ related ([see section 3.2.3](#)).
600 Thus, as exposed in FAOSTAT's metadata, -only N₂O and CH₄ are considered in forest fires,
601 excluding CO₂ from aboveground biomass. As expected, N₂O emissions in crops showed large
602 differences, with ~~our~~ [the](#) Hotspots having the lowest values (3 times lower). Rice N₂O emissions
603 were omitted in all datasets except the Hotspots (Li et al., 2013), which also included SOC.

604

605 The importance of multigas assessments relates to their role in [climate change mitigation due](#)
606 [to their](#) radiative forcing (RF)₂ understood as a measure of the warming strength of different
607 ~~human and natural~~ agents (gases and not gases) in causing global warming (W.m⁻²). CO₂ is
608 the most ~~abundant 379 ppm in 2005 ((400ppm in 2015) and longest living gas, which makes it~~
609 [the leading force of global warming \(Anderson 2012\)](#) ~~leading to an RF of 1.66±0.17 Wm⁻².~~
610 ~~Fossil fuels and cement production have contributed about three-quarters of that RF, with the~~
611 ~~remainder caused by land use changes (AR4). The growth rate of CO₂ in the atmosphere in~~
612 ~~1995-2005 (1.9 ppm. yr⁻¹) increased the CO₂ RF by 20%, being the largest change observed or~~
613 ~~inferred for any decade in the last 200 years (AR4).~~ Non-CO₂ GHG are less abundant in the
614 atmosphere (1,774 ppb and 319 ppb for CH₄ and N₂O in 2005 respectively) but have larger
615 warming potentials (x 28 for CH₄) and (x 265 for N₂O) ~~(0.48±0.05 and 0.16±0.02 Wm⁻² in~~
616 ~~2005, respectively) (AR4) but and shorter lifetimes than CO₂ (~9 and ~120 years, respectively).~~
617 [In spite of their shorter lifespans they](#) ~~offering~~ an additional opportunity to ~~lessen future~~ [mitigate](#)
618 climate change ~~(Montzka et al., 2011) partly because they play a role in atmospheric chemistry~~

619 that contributes to short-term warming (Montzka et al., 2011) and partly because their presence
620 counteracts CO₂ terrestrial sinks (Tian et al., 2016). Growth rates in the atmosphere differ
621 among gases with CO₂ and N₂O showing quasi-linear increases while CH₄ shows peculiar
622 patterns that are not fully resolved (Montzka et al., 2011). The sensitivity of CH₄ emissions
623 from wetlands to warmer and wetter climates suggests a positive feedback between emissions
624 and climate change that is visible in ice core records (Montzka et al., 2011). In the case of N₂O,
625 and contrarily to the large contribution of non-human CH₄ emissions, anthropogenic emissions
626 currently account for most of them (40%) primarily from agricultural activities.

627

628 **3.4 Country level emissions**

629 Country comparisons showed poor agreement among datasets for all the emission sectors,
630 particularly for the largest emitters (i.e. Brazil, Argentina, India, Indonesia) (Figures 7, 8).
631 Forests led the AFOLU disagreements (as observed by the similarity of Figure 7 a,b). From a
632 continental perspective, Central and South America showed more countries with high levels
633 of disagreement, suggesting the need for further data research.

634

635 **3.5 Some reflections on the datasets**

636 **3.5.1 Original goals**

637 Different datasets were developed for different purposes that have influenced the methods and
638 approaches chosen to estimate their land use GHGs. Thus, EDGAR was created with an air
639 pollution focus making its land emissions weaker. Contrastingly, FAOSTAT carries FAO's
640 focus on land, particularly agriculture (data available since the 60s), with forest data coming
641 added later, through the FRA assessments (1990, 2005, 2010, 2015). The 'Hotspot' database was
642 created to identify the areas with the largest land use emissions in the tropics (emissions
643 hotspots), while Houghton's accent is on historical LULUCF emission trends (since 1850).

644 EPA concentrates on industrial, energy, and agricultural emissions -forests are excluded- with
645 an interest on human health and mitigation. Moreover, due to its long existence, several datasets
646 rely on FAOSTAT's long-term agricultural data, which is probably the reason behind -the
647 higher homogeneity of agricultural emission estimates (i.e. crops, rice, and livestock) among
648 datasets -are more homogeneous (crops, rice, and livestock). FAOSTAT's forest emissions use
649 FRA data, which get updated every 5 years. Different FRA versions strongly influence forest
650 emission estimates -which makes it important to and must acknowledge the FRA version used
651 when contrasting FAOSTAT emissions. be considered when comparing estimates (i.e.
652 differences up to 22% between the forest sink estimates using FRA2015 and FRA2010 have
653 been reported by Federici et al., 2015). Similarly, official different updates versions of
654 Houghton's bookkeeping TRENDS data, as well as researchers' self-tuned versions of his
655 model, result in emission differences that are difficult to track.

656
657 *3.5.2 IPCC guidelines and guidance:* Under the UNFCCC, countries are requested to use the
658 latest IPCC AFOLU guidelines to estimate their GHG emissions (i.e. IPCC 2006 and 2003 for
659 developed and developing countries, respectively). The use of different guidelines, Tiers, and
660 approaches influences the final emission estimates. Compliance with IPCC has two main
661 consequences: 1. the total area selected to report emissions, and 2. the choice of *land use* over
662 *land cover*. In the first case, under IPCC guidance, the total area selected to report emissions
663 would include all the land under human influence (the *managed land* concept, which includes
664 areas under active and non-active management). Houghton's bookkeeping model (and the
665 carbon modelling community in general) do not comply well with the *managed land* concept,
666 resulting in different net emissions from forest land uses and land use changes (LULUCF) than
667 IPCC compliant country emissions (Grassi and Dentener, 2015; Federici et al., 2016). In the
668 second case, the selection of *land uses* instead of *land covers* has partly been behind the recent

669 controversy between FAO and the Global Forest Watch’s reported estimates on deforestation
670 trends ([REFHolmgren 2015](#)). Estimates of deforestation that rely on *land cover* are higher than
671 those using *land use*, since forest losses under forest land uses -that remain forest land use- are
672 not considered deforestation (i.e. logged areas will regrow). In our analysis, FAO –and
673 Houghton relies on *land use* for deforestation, while the ‘Hotspots’ and EDGAR rely on *land*
674 *cover*. FAOSTAT and the ‘Hotspots’ rely on the 2006 IPCC Guidelines for National
675 Greenhouse Gas Inventories (IPCC, 2006). FAOSTAT uses Tier 1 and standard emission
676 factors, while the ‘Hotspots’ use a combination of Tiers (Tier 3 for all emissions except wood
677 harvesting and cropland emissions over histosols that rely on Tier 1). EDGAR reports the use
678 of 2006 IPCC Guidelines for the selection of the emission factors but some of their
679 methodological approaches are not always consistent with IPCC guidelines (i.e. deforestation
680 expressed as the decay of burned forests, wood-harvesting is part of the energy sector,
681 agricultural energy balances are included in the AFOLU budget). EPA methods are reported to
682 be consistent with IPCC guidelines and guidance, with Tier 1 methodologies used to fill in
683 missing or unavailable data (USEPA, 2013).

684

685 **4. CONCLUSIONS**

686 The Paris Agreement (COP21) counts on the Nationally Determined Contributions (NDCs) as
687 the core of its negotiations to fight climate change. As March 2016, 188 countries had
688 submitted their NDCs under the UNFCCC (FAO, 2016) with agriculture (crops, livestock,
689 fishery and aquaculture) and forests as prominent features in meeting the countries’ mitigation
690 and adaptation goals (86% percent of the countries include AFOLU measures in their NDCs,
691 placing it second after the energy sector) (FAO, 2016). However, there exists large variability
692 in the way countries present their mitigation goals, and quantified sector-specific targets are
693 rare (FAO, 2016). Variability relates not only to the lack of a standardized way to report

694 mitigation commitments under the NDCs, but also to uncertainties and gaps in the AFOLU
695 data. The Paris Agreement relies on a 5-year cycle stock-taking process to enhance mitigation
696 ambition, and to keep close to the 2°C target. To be effective and efficient, stock-taking needs
697 robust, transparent and certain numbers (at least with known uncertainties). This is true both
698 for national emission reports and NDCs, but also for the global datasets that can be used to
699 review the feasibility of countries' mitigation claims, and the real space for further mitigation
700 commitments. We have here compared the gross AFOLU emissions of six datasets to search
701 for disagreements, gaps, and uncertainties, focusing on the tropical region. Conclusions
702 depend on the spatial scale. At the tropical scale:

- 703 • Data aggregation offers ~~much closer~~ more homogeneous emission estimates than
704 disaggregated data (i.e. continental level, gas level, emission source level).
- 705 • Forest emissions are the most uncertain of the AFOLU sector, with deforestation
706 having the highest uncertainties.
- 707 • Agricultural emissions, particularly livestock, are the most homogeneous of the
708 AFOLU emissions.
- 709 • Forest degradation, both fire and wood harvesting, show the largest variabilities
710 among databases.
- 711 • CO₂ is the gas with longer-term influence in climate change trends, but it remains the
712 most uncertain ~~among of~~ among the AFOLU gases and the most variable, in absolute value,
713 among datasets (Figure 5)– Fire leads this variability (Figure 6).
- 714 • ~~In absolute values, GHG disaggregation shows the largest differences for CO₂ in fire~~
715 ~~emissions.~~
- 716 • Among the non-CO₂ gases, N₂O showed the most variable emission estimates, in
717 absolute value, in all the emission sources and for all the datasets (Figure 6).

- 718 • Emissions from histosols/peatlands remain incomplete or fully omitted in most
719 datasets.

720 For the country and continental scales:

- 721 • Large emitters show the highest levels of data disagreement in the tropics, enhancing
722 the need for data improvement to guarantee effective mitigation action.

- 723 • Forest lead the emission disagreement in the total AFOLU emissions.

724 Central and South America showed the largest continental disagreements on emission data
725 for all the land sectors.

726

727 **4.1 Next steps**

728 *4.1.1 Enhancing dialogue between the carbon and the AFOLU research communities*

729 Research ran by the carbon community is pivotal for AFOLU assessments and while these two
730 research communities overlap, they do not focus on exactly the same topics. The carbon
731 community works with CO₂ emissions-only, fully excluding non-CO₂ gases, particularly N₂O.
732 It moreover rather focuses on forests and associated land use changes, excluding emissions
733 from agriculture. The AFOLU community has, contrarily, a multi-gas approach (CO₂, CH₄,
734 N₂O) and includes- emissions from both forests and agriculture. For these reasons,- estimates
735 of the carbon community cannot be considered as AFOLU estimates, and certain confusion
736 appears in the IPCC's AR5 with an incorrect AFOLU labelling (Table 11.1, -Fig S2 in [the](#)
737 [SupplementS1](#)). There is great space for these two communities to cooperate but further
738 dialogue is needed to promote closer -and more coordinated action. Future steps might include
739 the adoption of the *managed land* concept by the carbon community; and ways to include legacy
740 emissions by the AFOLU community.

741

742 *4.2.2 Improving data quality*

743 The quality of the reported AFOLU emissions can be assessed through the UNFCCC principles:
744 completeness, comparability, consistency, accuracy and transparency, which can help navigate
745 the improvements of national monitoring systems. From these principles, the reviewed datasets
746 performed well in *consistency* (they applied similar methods and assumptions over time, with
747 the exception of ‘Hotspots’ that did not include temporal data). *Transparency* was excellent
748 for FAOSTAT with well elaborated and publicly available metadata linked to their offered data,
749 while EDGAR performed poorly due to insufficient metadata. Improving transparency is an
750 urgent call for future action. *Accuracy and uncertainty* are also urgent calls. Thus, in spite of
751 their importance to fully understand the emission trends and dynamics, only Houghton and the
752 ‘Hotspots’ provided uncertainties. FAO offered uncertainties as a percent value for each
753 emission source. *Completeness and omissions* are also urgent tasks because all datasets are
754 incomplete (Table 1) (i.e. missing pools, missing gases) and omissions affect all datasets.
755 Complete emission reporting should consider the importance of:

- 756 • Forest soil CO₂ and N₂O emissions (Werner et al., 2007) (i.e. N₂O tropical forest soil
757 emissions of 0.7 PgCO₂e.yr⁻¹).
- 758 • Emissions from CH₄ and N₂O from drained peatland soils, and from wetlands over
759 managed land (i.e. conservation).
- 760 • All forest fire types (i.e. temperate conifers and woodlands; understory fires over humid
761 closed canopy forests (Alencar et al., 2006; Morton et al., 2013) (i.e. 85,500 km², 1999-
762 2010 in southern Brazilian Amazon); fire emissions over peatland soils and peatland
763 forests out of Asia (Román-Cuesta et al., 2011; Oliveras et al., 2014) (i.e. 4-8 TgCO₂e,
764 1982-1999, for the tropical high Andes from Venezuela to Bolivia)
- 765 • CO₂ emissions from other components of wood harvesting other than fuel and industrial
766 roundwood (i.e. charcoal, residues).

- 767 • CO₂ emissions from tree biomass loss due to fragmentation (Numata et al., 2010; Pütz
768 et al., 2014) (i.e 0.2 Pg C y⁻¹)
- 769 • CO₂ due to decomposition and decay of forests under extreme events: hurricanes (Read
770 and Lawrence, 2003; Negron-Juarez et al., 2010) (i.e the 2005 convective storm, the
771 Amazon basin suffered from an estimated tree mortality of 542±121 million trees);
772 intense droughts (Phillips et al., 2009, 2010; Brienen et al., 2015) (i.e. the 2005
773 Amazonian drought resulted in 1.2-1.6 PgC emissions and the atmosphere has yet to see
774 13.9 PgCO₂ (3.8 PgC) of the Amazon necromass carbon produced since 1983);
775

776 Further suggestions on improving data gaps and knowledge for the AFOLU sector have been
777 reported by Smith *et al.* (2014); Houghton *et al.* (2012); USEPA (2013) and Sist *et al.* (2015),
778 with a focus on soil data and crop production systems, as well as an improved understanding of
779 the mitigation potentials, costs and consequences of land use mitigation options.

780

781 5. REFERENCES

- 782 Abad-Viñas, R., Blujdea, V., Federici, S., Hiederer, R., Pilli, R., Grassi, G.: Analysis and
783 proposals for enhancing Monitoring, Reporting, and Verification of greenhouse gases from
784 Land Use, Land Use Change and Forestry in the EU. Technical Report
785 071201/2011/211111/CLIMA.A2. Joint Research Centre, Ispra, Italy, 2015. Available at:
786 <http://publications.jrc.ec.europa.eu/repository/bitstream/JRC91414/lb-na-26813-en-n.pdf>
- 787 Achard, F., Beuchle, R., Mayaux, P., Stibig, H. J., Bodart, C., Brink, A., Carboni, S., Desclée,
788 B., Donnay, F., Eva, H. D., Lupi, A., Raši, R., Seliger, R. and Simonetti, D.: Determination
789 of tropical deforestation rates and related carbon losses from 1990 to 2010. *Global Change*
790 *Biology*, 20, 2540-2554, 2014.

791 Alencar, A., Nepstad, D., Vera-Diaz, MC.: Forest Understory Fire in the Brazilian Amazon in
792 ENS and non-ENSO years: Area Burned and Committed Carbon Emissions. *Earth*
793 *Interactions*, 10, 1-17, 2006.

794 Anderson, K.: The inconvenient truth of carbon offsets. *Nature News*, 484, 7, 2012.

795 Anderson, K.: Duality in climate science. *Nature Geoscience*, 8, 898–900, 2015.

796 Asbjornsen, H., Gallardo-Hernández, C., Velázquez-Rosas, N., García-Soriano, R.: Deep
797 ground fires cause massive above- and below-ground biomass losses in tropical montane
798 cloud forests in Oaxaca, Mexico. *Journal of Tropical Ecology*, 21, 427-434, 2005.

799 Baccini, A., Goetz, SJ., Walker, WS., Laporte, N. T., Sun, M., Sulla-Menashe, D., Hackler, J.,
800 Beck, P. S. A., Dubayah, R., Friedl, M. A., Samanta, S., Houghton, RA.: Estimated carbon
801 dioxide emissions from tropical deforestation improved by carbon-density maps. *Nature*
802 *Climate Change*, 2, 182-185, 2012.

803 Balch, J., Brando, P., Nepstad, D. Coe, M., Silverio, D., Massad, T., Davidson, E., Lefebvre,
804 P., Oliveira-Santos, C., Rocha, W., Cury, R., Parsons, A., Carvalho, K.: The susceptibility of
805 Southeastern Amazon Forests to Fire: Insights from a Large Scale Burn Experiment.
806 *BioScience*, 65, 893-905, 2015.

807 Barlow, J., Peres, C.: Fire-mediated dieback and compositional cascade in an Amazonian
808 forest. *Philosophical Transactions of the Royal Society*, 363, 1787-1794, 2008.

809 Bellassen, V., Luyssaert, S.: Managing forests in uncertain times. *Nature*, 506, 153-156, 2014.

810 Brando, PM., Nepstad, DC., Balch, JK., Bolker, B., Christman, MC., Coe, M., Putz, F.: Fire-
811 induced tree mortality in a neotropical forest: the roles of bark traits, tree size, wood density,
812 and fire behaviour. *Global Change Biology*, 18, 630-641, 2012.

813 Brando, PM., Balch, JK., Nepstad, DC., Morton, D., Putz, F., Coe, M., Silverio, D., Macedo,
814 M., Davidson, E., Nobrega, C., Alencar, A., Soares-Filho, B.: Abrupt increases in Amazonian

815 tree mortality due to drought-fire interactions. *Proceedings of the National Academy of*
816 *Sciences*, 11, 6347-6352, 2014.

817 Brienen, R., Phillips, O., Feldspausch, T., Gloor, E., Lloyd, J., Lopez-Gonzalez, G.,
818 Morteagudo-Mendoza, A., Malhi, Y., Lewis, S., Vasquez Martinez, R., Alexiades, M.,
819 Alvarez, E., Alvarez-Loayzada, P., Zagt, R.: Long term decline of the Amazon carbon sink.
820 *Nature*, 519, 344-361, 2015.

821 Canadell, J., Schulze, D. Global potential of biospheric carbon management for climate
822 mitigation. *Nature Communications*, 5, 5282-5293, 2014.

823 Ciais, P., Dolman, J., Bombelli, A., Duren, A., Pregon, A., Rayner, P., Miller, C., Gobron,
824 N., et al.: Current systematic carbon-cycle observations and the need for implementing a
825 policy-relevant carbon observing system. *Biogeosciences*, 11, 3547-3602, 2014.

826 Cochrane, M., Alencar, A., Schulze, M., Souza, C., Nepstad, D., Lefebvre, P., Davidson, E.:
827 Positive feedbacks in the fire dynamics of closed canopy tropical forests. *Science*, 284, 1832-
828 1835, 1999.

829 Crowther, T., Thomas, S., Maynard, D., Baldrian, P., Covey, K., Frey, S., van Diepen, L.,
830 Bradford, M.: Biotic interactions mediate soil microbial feedbacks to climate change.
831 *Proceedings of the National Academy of Science*, 112, 7033-7038, 2015.

832 EDGAR. The Emissions Database for Global Atmospheric Research (2012) Part III:
833 Greenhouse gas emissions. http://edgar.jrc.ec.europa.eu/docs/IEA_PARTIII.pdf

834 Estrada, M., Lee, D., Murray, B., O'Sullivan, R., Penman, J., Streck, C.: Land Use in a Future
835 Climate Agreement. # S-LMAQM-13-CA-1128 U.S. Department of State, 2014. Available
836 at: <http://merid.org/land-use-in-ADP/>

837 FAO. The Agriculture sectors in the intended nationally determined contributions. Analysis.
838 Environmental and natural resource management working paper 61. Rome, Italy. 2016.
839 Available at: <http://www.fao.org/3/a-i5687e.pdf>

840 Federici, S., Tubiello, F., Salvatore, M., Jacobs, H., Schmidhuber, J.: New estimates of CO₂
841 forest emissions and removals: 1990-2015. *Forest Ecol.Manag.*, 3, 89-98, 2015.

842 Federici, S., Grassi, G., Harris, N., Lee, D., Neeff, T., Penman, J., Sanz-Sanchez, M., Wolosin
843 M.: GHG fluxes from forests: an assessment of national reporting and independent science in
844 the context of the Paris Agreement. Working Paper. UCLA, San Francisco, 2016. Available
845 at: [http://www.climateandlandusealliance.org/wp-content/uploads/2016/06/
846 GHG_Fluxes_From_Forests_Working_Paper.pdf](http://www.climateandlandusealliance.org/wp-content/uploads/2016/06/GHG_Fluxes_From_Forests_Working_Paper.pdf)

847 Friedlingstein, P., Andrew, R., Rogelj, J., Peters G., Canadell J., Knutti, R., Luderer, G.,
848 Raupach, M., Schaeffer, M., van Vuuren, D., Le Quéré, C.: Persistent growth of CO₂
849 emissions and implications for reaching climate targets. *Nature geoscience*, 7, 709-715, 2014.

850 Forest Resources Assessment (FRA) (2005) <http://www.fao.org/forestry/fra/fra2005/en/>
851 Forest Resources Assessment (FRA) (2010). <http://www.fao.org/forestry/fra/fra2010/en/>

852 Frank, D., Reichstein, M., Bahn, M., Thonicke, K., Frank. D., Mahecha, M., Smith, P., van der
853 Velde, M. et al. Effects of climate extremes on the terrestrial carbon cycle: concepts, processes
854 and potential future impacts. *Global Change Biology*, 21, 2861-2880, 2015.

855 Francey, R., Trudinger, C., Van der Schoot, M., Krummel, P., Steele, L., Langenfelds, L.:
856 Differences between trends in atmospheric CO₂ and the reported trends in anthropogenic CO₂
857 emissions. *Tellus*, 62B, 316-328, 2010.

858 Francey, R., Trudinger, C., Van der Schoot, M., Law, M., Krummel, P., Langenfelds, R., Steele,
859 P., Allison, C., Stavert, A., Andres, R., Rödenbeck, C.: Atmospheric verification of
860 anthropogenic CO₂ emission trends. *Nature Climate Change*, 3, 520-525, 2013a.

861 Francey, R., Trudinger, C., Van der Schoot, M., Law, M., Krummel, P., Langenfelds, R., Steele,
862 P., Allison, C., Stavert, A., Andres, R., Rödenbeck, C.: Reply to Anthropogenic CO₂
863 emissions. *Nature Climate Change*, 3, 603-604, 2013b.

864 Giglio, L., Randerson, J., van der Werf, G., Kasibhatla, P., Collatz, G., Morton D., DeFries, R.:
865 Assessing variability and long-term trends in burned area by merging multiple satellite fire
866 products. *Biogeosciences*, 7, 1171–1186, 2010.

867 Grace, J., Mitchard, E., Gloor, E.: Perturbations in the carbon budget of the tropics. *Global*
868 *Change Biology*, 20, 3238-3255, 2014.

869 Grassi, G., Dentener, F.: Quantifying the contribution of the Land Use Sector to the Paris
870 Climate Agreement. The LULUCF sector within the Intended Nationally Determined
871 Contributions. EUR 27561.JRC Science for Policy Report. Ispra, Italy, 2015. Available at:
872 <http://publications.jrc.ec.europa.eu/repository/bitstream/JRC98451/jrc%20lulucf->
873 [indc%20report.pdf](http://publications.jrc.ec.europa.eu/repository/bitstream/JRC98451/jrc%20lulucf-indc%20report.pdf)

874 Grassi, G., Monni, S., Federici, S., Achard, F., Mollicone, D.: Applying the conservativeness
875 principle to REDD to deal with uncertainties of the estimates. *Environmental Research*
876 *Letters*, 3, 035005, 2008.

877 Hansen, M., Stehman, S., Potapov, P.: Quantification of global gross forest cover loss.
878 *Proceedings of the National Academy of Sciences*, 107, 8650-8655, 2010.

879 Harris, N., Brown, S., Hagen, S., Saatchi, S., Petrova, S., Salas, W., Hansen, M., Potapov, P.,
880 Lotsch, A.: Baseline Map of Carbon Emissions from Deforestation in Tropical Regions.
881 *Science*, 336, 1576-1578, 2012.

882 Herrero, M., Havlik, P., Valin, H., Notenbaert, A., Rufino, M., Thornton, P., Blümmel, M.,
883 Weiss, F., Grace, D., Obesteiner, M.: Biomass use, production, feed efficiencies, and
884 greenhouse gas emissions from global livestock systems. *Proc. Natl. Acad. Sci.*, 110, 20888-
885 20893, 2013.

886 Herold, M., Roman-Cuesta, RM., Heymell, V., Hirata, Y., Van Laake, P., Asner, G., Souza, C.,
887 Avitabile, V., MacDicken, K.: A review of methods to measure and monitor historical carbon

888 emissions from forest degradation. FAO. Unasylva, 238, 16-24, 2011a. Available at:
889 <http://www.fao.org/docrep/015/i2560e/i2560e04.pdf>

890 Herold, M., Roman-Cuesta, RM., Mollicone, D., Hirata, Y., Van Laake, P., Asner, G., Souza,
891 C., Skutch, M., Avitabile, V., MacDicken, K.: Options for monitoring and estimating historic
892 carbon emissions from forest degradation in the context of REDD+. Carbon Balance and
893 Management, 6, 13-20, 2011b.

894 [Holmgren, P. Can we trust country-level data from global forest assessments? Available at:](http://blog.cifor.org/34669/can-we-trust-country-level-data-from-global-forest-assessments?fnl=en)
895 [assessments?fnl=en](http://blog.cifor.org/34669/can-we-trust-country-level-data-from-global-forest-
896 assessments?fnl=en) Last view September 2016.

897 Hooijer, A., Page, S., Canadell, J., Silvius, M., Kwadijk, J., Wosten, H., Jauhiainen, J.: Current
898 and future CO2 emissions from drained peatlands in Southeast Asia. Biogeosciences, 7, 1505-
899 1514, 2010.

900 Houghton, RA.: The annual net flux of carbon to the atmosphere from changes in land use
901 1850-1990. Tellus B, 51, 298-313, 1999.

902 Houghton, RA.: How well do we know the flux of CO2 from land-use change? Tellus B, 62,
903 337-351, 2010.

904 Houghton, RA.: Carbon emissions and the drivers of deforestation and forest degradation in the
905 tropics. Current Opinion in Environmental Sustainability, 4, 597-603, 2012.

906 Houghton, RA., Hackler, JL.: Carbon Flux to the Atmosphere from Land-Use Changes: 1850
907 to 1990. ORNL/CDIAC-131, NDP-050/R1. Carbon Dioxide Information Analysis Center,
908 U.S. Department of Energy, Oak Ridge National Laboratory, Oak Ridge, Tennessee, U.S.A,
909 2001.

910 Houghton, RA., House, JI., Pongratz, J., van der Werf, G., DeFries, R., Hansen, M., Le Quere,
911 C., Ramankutty, R.: Carbon emissions from land use and land-cover change. Biogeosciences,
912 9, 5125-5142, 2012.

913 IPCC. Intergovernmental Panel on Climate Change.: Good Practice Guidance for Land Use,
914 Land Use and Forestry.. IPCC National Greenhouse Gas Inventory Programme. (ed. Penman
915 J, Gytarsky M, Hiraishi T, Krug T, Kruger D, Ppatti R, Buendia L, Miwa K, Ngara T, Tanabe
916 K, Wagner F) IGES. Kanagawa, Japan, 2003 [http://www.ipcc-](http://www.ipcc-nggip.iges.or.jp/public/gpplulucf/gpplulucf_files/GPG_LULUCF_FULLL.pdf)
917 [nggip.iges.or.jp/public/gpplulucf/gpplulucf_files/GPG_LULUCF_FULLL.pdf](http://www.ipcc-nggip.iges.or.jp/public/gpplulucf/gpplulucf_files/GPG_LULUCF_FULLL.pdf)

918 IPCC. Intergovernmental Panel on Climate Change.: AFOLU Guidelines for National
919 Greenhouse gas Inventories. Vol. 4: Agriculture, Forestry and Other Land Use (eds Eggleston
920 S, Buendia L, Miwa K, Ngara T, Tanabe K). IGES, Kanagawa, Japan. 2006. [http://www.ipcc-](http://www.ipcc-nggip.iges.or.jp/public/2006gl/vol4.htm)
921 [nggip.iges.or.jp/public/2006gl/vol4.htm](http://www.ipcc-nggip.iges.or.jp/public/2006gl/vol4.htm)

922 IPCC. Intergovernmental Panel on Climate Change.: Summary for Policymakers. In: Climate
923 Change 2014: Mitigation of Climate Change. Contribution of Working Group III to the Fifth
924 Assessment Report of the Intergovernmental Panel on Climate Change (eds Edenhofer O,
925 Pichs-Madruga R, Sokona Y, E, Farahani E, Kadner S, Seyboth K, Adler A, Baum I, Brunner
926 S, Eickemeier P, Kriemann B, Savolainen J, Schlomer S, von Stechow C, Zwickel T, Minx
927 JC). Cambridge University Press, Cambridge, United Kingdom and New York, NY, USA,
928 2014.

929 Laurance, W., Williamson, G.: Positive feedbacks among forest fragmentation, drought and
930 climate change in the Amazon. *Conservation Biology*, **15**, 1529–1535, 2001.

931 Le Quéré, C., Peters, G.P., Andres, R. J., Andrew, R. M., Boden, T. A., Ciais, P.,
932 Friedlingstein, P., Houghton, R.A., Marland, G., Moriarty, R., Sitch, S., Tans, P., Arneeth,
933 A., Arvanitis, A., Bakker, D. C.E., Bopp, L., Canadell, J. G., Chini, L. P., Doney, S. C.,
934 Harper, A., Harris, I., House, J.I., Jain, A. K., Jones, S. D., Kato, E., Keeling, R. F., Klein
935 Goldewijk, K., Körtzinger, A., Koven, C., Lefèvre, N., Maignan, F., Omar, A., Ono, T.,
936 Park, G.-H., Pfeil, B., Poulter, B., Raupach, M. R., Regnier, P., Rödenbeck, C., Saito, S.,
937 Schwinger, J., Segschneider, J., Stocker, B. D., Takahashi, T., Tilbrook, B., van Heuven, S.,

938 Viovy, N., Wanninkhof, R., Wiltshire, A., and Zaehle, S. Global carbon budget 2013, Earth
939 System Science Data, 6, 235-263, 2014.

940 Li, C., Salas, W., DeAngelo, B., Rose, S.: DNDC9.5 in EPA (2013) Global Mitigation of non-
941 CO₂ Greenhouse Gases: 2010-2030. EPA Technical Report-430-R-13-011, US, 2013.
942 Country data available at: [http://www.epa.gov/climatechange/EPAactivities/economics/
943 nonco2projections.html](http://www.epa.gov/climatechange/EPAactivities/economics/nonco2projections.html)

944 Montzka, S.A., Dlugokencky, E.J., Butler, J.H.: Non-CO₂ greenhouse gases and climate change.
945 Nature, 476, 43-51, 2011.

946 Morton, D.C., Le Page, Y., DeFries, R., Collatz, G.J., Hurtt, G.C.: Understory fire frequency and
947 the fate of burned forests in southern Amazonia. Philosophical Transactions of the Royal
948 Society B, 368, 20120163, 2013.

949 Negron-Juarez, R.I., Chambers, J., Guimaraes, G., Zeng, H., Raupp, C., Marra, D., Ribeiro, G.,
950 Saatchi, S., Nelson, B., Higuchi, N.: Widespread Amazon forest tree mortality from a single
951 cross-basin squall line event. Geophysical Research Letters, 37, L16701, 2010.

952 Numata, I., Cochrane, M., Roberts, D., Soares, J., Souza, C., Sales, M.: Biomass collapse and
953 carbon emissions from forest fragmentation in the Brazilian Amazon. Journal of Geophysical
954 Research, 115, G03027, 2010.

955 Ogle, S. et al. in EPA (2013) Global Mitigation of non-CO₂ Greenhouse Gases: 2010-2030.
956 EPA Technical Report-430-R-13-011, 2013.(data available upon request) Country data
957 available at:[http://www.epa.gov/climatechange/EPAactivities/economics/nonco2projections.
958 html](http://www.epa.gov/climatechange/EPAactivities/economics/nonco2projections.html)

959 Oliveras, I., Malhi, Y., Salinas, N., Huaman, V., Urquiaga-Flores, E., Kala-Mamani, J.,
960 Quintano-Loaiza, J.A., Cuba-Torres, I., Lizarraga-Morales, N., Roman-Cuesta, R.M.: Changes
961 in forest structure and composition after fire in tropical montane cloud forests near the Andean
962 treeline. Plant Ecology and Diversity, 7, 329-340, 2013.

963 Oliveras, I. Anderson, D., Malhi, Y.: Application of remote sensing to understanding fire
964 regimes and biomass burning emissions of the tropical Andes. *Global Biogeochemical Cy*
965 *cles*, 28, 480-496, 2014.

966 Pelletier, J., Busch, J., Potvin, C.: Addressing uncertainty upstream or downstream of
967 accounting for emissions reductions from deforestation and forest degradation. *Climatic*
968 *Change*, 130, 635-648, 2015.

969 Peters, G., Davis, J., Andrew, R.: A synthesis of carbon in international trade. *Biogeosciences*,
970 9, 3247-3276, 2012.

971 Phillips, O., Aragao, L., Lewis, S., et al.: Drought Sensitivity of the Amazon Rainforest.
972 *Science*, 323, 1344-1347, 2009.

973 Phillips, O., van der Heijden, G., Lewis, S., et al.: Drought-mortality relationships for tropical
974 forests. *New Phytologist*, 187, 631-646, 2010.

975 Phillips, O., Lewis, S.: Evaluating the tropical forest carbon sink. *Global Change Biology*, 20,
976 2039-2041, 2014.

977 Poorter, L., Bongers, F., Aide et al.: Biomass resilience of Neotropical secondary forests.
978 *Nature*, 530, 211-214, 2016.

979 Pütz, S., Groeneveld, J., Henle, K., Knogge, C., Martensen, A., Metz, M.: Long-term carbon
980 loss in fragmented Neotropical forests. *Nature communications*, 5, 5037-5045, 2014.

981 Read, L., Lawrence, D.: Recovery of biomass following shifting cultivation in dry tropical
982 forests of the Yucatan. *Ecological Applications*, 13, 85–97, 2003.

983 Richards, M., Bruun, T., Campbell, BM., Gregersen, L., Huyer, S., Kuntze, V., Madsen, T.,
984 Oldvig, M., Vasileiou, I.: How countries plan to address agricultural adaptation and
985 mitigation: An analysis of Intended Nationally Determined Contributions. *CGIAR Research*
986 *Program on Climate Change, Agriculture and Food Security (CCAFS)*, Copenhagen, 2015.

987 Richter, D., Houghton, RA.: Gross CO₂ fluxes from land-use change: implications for reducing
988 global emissions and increasing sinks. *Carbon management*, 2, 41-47, 2011.

989 Roman-Cuesta, RM., Gracia, M., Retana, J.: Environmental and human factors influencing fire
990 trends in Enso and non-Enso years in tropical Mexico. *Ecological Applications*, 13, 1177–
991 1192, 2003.

992 Roman-Cuesta, RM., Salinas, N., Asbjornsen, H. et al.: Implications of fires on carbon budgets
993 in Andean cloud montane forest: The importance of peat soils and tree resprouting. *Forest
994 Ecology and Management*, 261, 1987–1997, 2011

995 Roman-Cuesta, RM., Rufino, M., Herold, M., Butterbach-Bahl, K., Rosenstock, T., Ogle, S.,
996 Li, S., Herrero, M., Poulter, B., Verchot, L., Martius, C., Stuiver, J., De Bruin, S.: Hotspots of
997 tropical land use emissions: patterns, uncertainties, and leading emission sources for the
998 period 2000-2005. *Biogeosciences*, 13, 4253–4269, 2016.

999 Romijn, E., Herold, M., Koistra, L., Murdiyarso, D., Verchot, L.: Assessing capacities of non-
1000 Annex I countries for national forest monitoring in the context of REDD+. *Environmental
1001 Science Policy*, 19–20, 33–48, 2012.

1002 Romijn, E., Lantican, C., Herold, M., Lindquist, E., Ochieng, R., Wijaya, A., Murdiyarso, D.,
1003 Verchot, L.: Assessing change in national forest monitoring capacities of 99 tropical countries.
1004 *Forest Ecology and Management*, 352, 109–123, 2015.

1005 Saatchi, S., Harris, N., Brown, S., et al.: Benchmark map of forest carbon stocks in tropical
1006 regions across three continents. *Proceedings of the National Academy of Sciences*, 108, 9899-
1007 9904, 2012.

1008 Simula, M.: Towards defining forest degradation: comparative analysis of existing definitions.
1009 *Forest Resources Assessment. Working Paper 154*. FAO. Rome, Italy, 2009. Available at:
1010 <ftp://ftp.fao.org/docrep/fao/012/k6217e/k6217e00.pdf>

1011 Sist, P., Rutishauser, E., Peña-Claros, M., et al.: The Tropical Managed Forests Observatory: a
1012 research network addressing the future of logged forests. *Applied Vegetation Science*, 18,
1013 171-174, 2015.

1014 Smith, P., Martino, D., Cai, Z., et al.: Greenhouse gas mitigation in agriculture. *Philosophical
1015 Transactions of the Royal Society B: Biological Sciences*, 363, 789-813, 2008.

1016 Smith, P., Bustamante, M., Ahammad, H., et al.: Agriculture, Forestry and Other Land Use
1017 (AFOLU). In: *Climate Change 2014: Mitigation of Climate Change. Contribution of Working
1018 Group III to the Fifth Assessment Report of the Intergovernmental Panel on Climate Change*
1019 (eds Edenhofer O, Pichs-Madruga R, Sokona Y, E, Farahani E, Kadner S, Seyboth K, Adler
1020 A, Baum I, Brunner S, Eickemeier P, Kriemann B, Savolainen J, Schlomer S, von Stechow
1021 C, Zwickel T, Minx JC). Cambridge University Press, Cambridge, United Kingdom and New
1022 York, NY, USA, 2014.

1023 Streck, C.: Forests and Land Use in the Paris Agreement. *Climate Focus*. 2015. Available at:
1024 [http://www.climatefocus.com/sites/default/files/20151223%20Land%20Use%20and%20the
1025 %20Paris%20Agreement%20FIN.pdf](http://www.climatefocus.com/sites/default/files/20151223%20Land%20Use%20and%20the%20Paris%20Agreement%20FIN.pdf)

1026 Tian, H., Lu, C., Ciais, P., Michalak, A., Canadell, J., Saikawa, E., Huntzinger, D., Gurney, K.,
1027 Sitch, S., Zhang, B., Yang, J., Bousquet, P., Bruhwiler, L., Chen, G., Dlugokencky, E., Wofsy,
1028 S.: The terrestrial biosphere as a net source of greenhouse gases to the atmosphere, *Nature*,
1029 531, 225–228, 2016.

1030 Tubiello, F., Salvatore, M., Rossi, S., Ferrara, A., Fitton, N., Smith, P.: The FAOSTAT database
1031 of greenhouse gas emissions from agriculture. *Environmental Research Letters*, 8, 015009-
1032 19, 2013.

1033 Tubiello, F., Salvatore, M., Córdor Golec, R., Ferrara, A., Rossi, S., Biancalani, R., Federici,
1034 S., Jacobs, H., Flammini, A.: Agriculture, Forestry and Other Land Use Emissions by Sources
1035 and Removals by Sinks 1990 – 2011 Analysis. Working Paper Series ESS/14-02.FAO

1036 Statistical Division. Rome, Italy, 2014. Available at: <http://www.fao.org/docrep/019/>
1037 [i3671e/i3671e.pdf](http://www.fao.org/docrep/019/i3671e/i3671e.pdf)

1038 Tubiello, F., Salvatore, M., Ferrara, A., House, J., Federici, S., Rossi, S., Biancalani, R., Condor
1039 Golec, R., Jacobs, H., Flammini, A., Prosperi, P., Cardenas-Galindo, P., Schmidhuber, J., Sanz
1040 Sanchez, MJ., Srivastava, N., Smith, P. The contribution of Agriculture, Forestry and other
1041 Land Use Activities to Global Warming, 1990-2012. *Global Change Biol.*, 21, 2655–2660,
1042 2015.

1043 Turetsky, M., Benscoter, B., Page, S., Rein, G., Van der Werf, G., Watts, A.: Global
1044 vulnerability of peatlands to fire and carbon loss. *Nature Geosciences*, 8, 11-14, 2015.

1045 Uhl, C., Kauffman, J.: Deforestation effects on fire susceptibility and the potential response of
1046 the tree species to fire in the rainforest of the eastern Amazon. *Ecology*, 71, 437–449, 1990.

1047 USEPA. United States Environmental Protection Agency. Global Anthropogenic Non-CO₂
1048 Greenhouse Gas Emissions: 1990-2030. EPA 430-R-12-006. Washington, DC. 2012.
1049 Available at: <http://www.epa.gov/climatechange/economics/international.html>

1050 USEPA. United States Environmental Protection Agency. Global Mitigation of non-CO₂
1051 Greenhouse Gases: 2010-2030. Technical Report-430-R-13-011, 2013. Available at:
1052 [http://www.epa.gov/climate change/Downloads/EPAactivities/MAC_Report_2013.pdf](http://www.epa.gov/climate%20change/Downloads/EPAactivities/MAC_Report_2013.pdf)

1053 Valentini, R., Arneeth, A., Bombelli, A. et al.: A full greenhouse gases budget of Africa:
1054 synthesis, uncertainties and vulnerabilities. *Biogeosciences*, 11, 381-407, 2014.

1055 Van der Werf, G., Randerson, J., Giglio, L., Collatz, G., Mu, M., Kasibhatla, P., Morton, D.,
1056 DeFries, R., Jin, Y., van Leeuwen, T.: Global fire emissions and the contribution of
1057 deforestation, savannah, forest, agricultural, and peat fires (1997–2009). *Atmospheric*
1058 *Chemistry and Physics*, 10, 11707–11735, 2010.

1059 Werner, C., Butterbach-Bahl, K., Haas, E., Hickler, T., Kiese, R.: A global inventory of N₂O
1060 emissions from tropical rainforest soils using a detailed biogeochemical model. Global
1061 biogeochemical Cycles, 21, GB3010, 2007.

1062

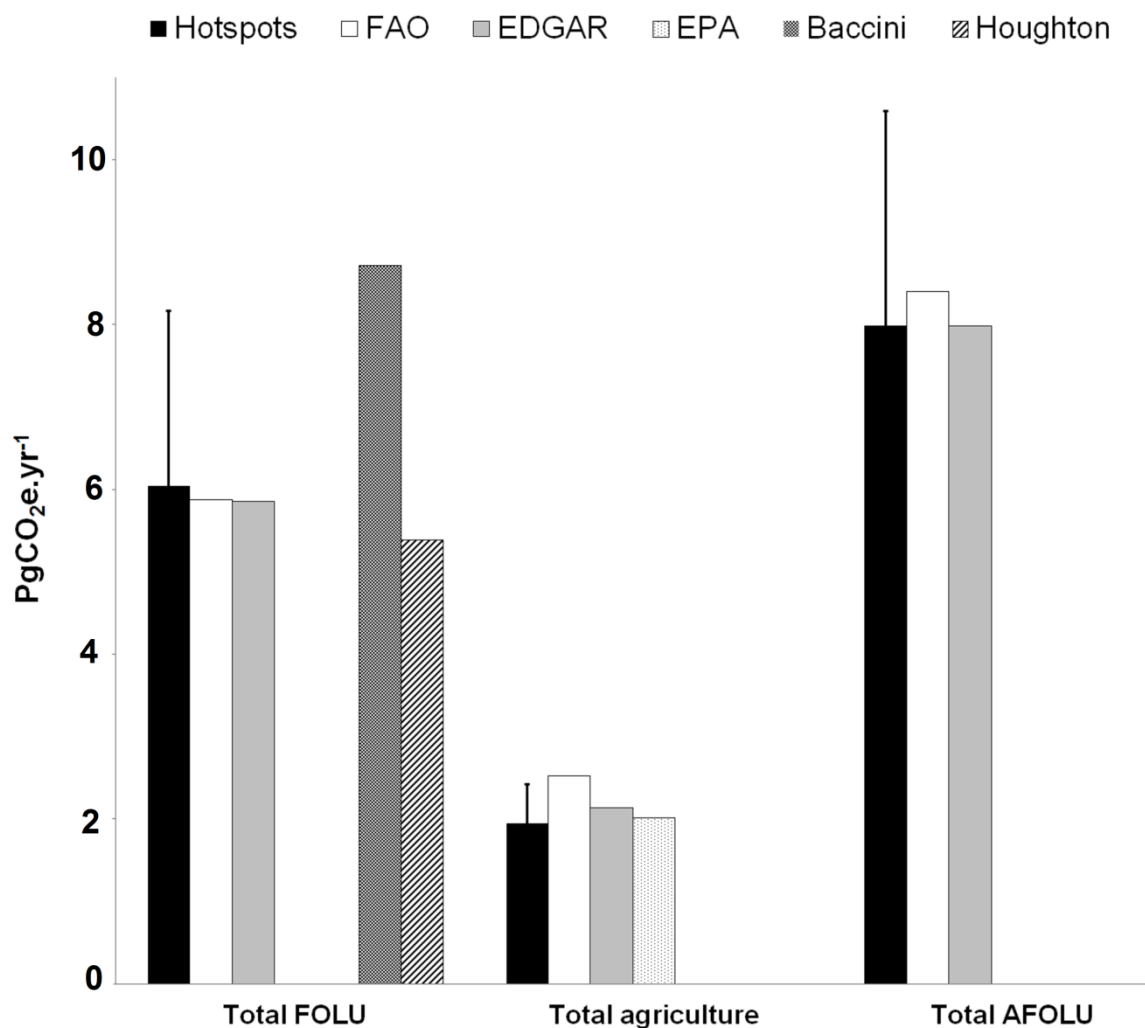
1063 **6. CONTRIBUTIONS**

1064 RMRC, MR, MH designed the study. SO, BP provided data and ran quality controls of the
1065 data. RMRC, MR, MH, KBB, TR, LV, CM, SR, RH, SO, BP discussed the results and
1066 contributed to writing.

1067

1068 **7. ACKNOWLEDGEMENTS**

1069 This research was generously funded by the Standard Assessment of Mitigation Potential and
1070 Livelihoods in Smallholder Systems (SAMPLES) Project as part of the CGIAR Research
1071 Program Climate Change, Agriculture, and Food Security (CCAFS). Funding also came from
1072 two European Union FP7 projects: GEOCarbon (283080) and Independent Monitoring of
1073 GHG Emissions-N° CLIMA.A.2/ETU/2014/0008. Partial funds came through CIFOR from
1074 the governments of Australia (Grant Agreement # 46167) and Norway (Grant Agreement
1075 #QZA-10/0468). In the memory of Changsheng Li.



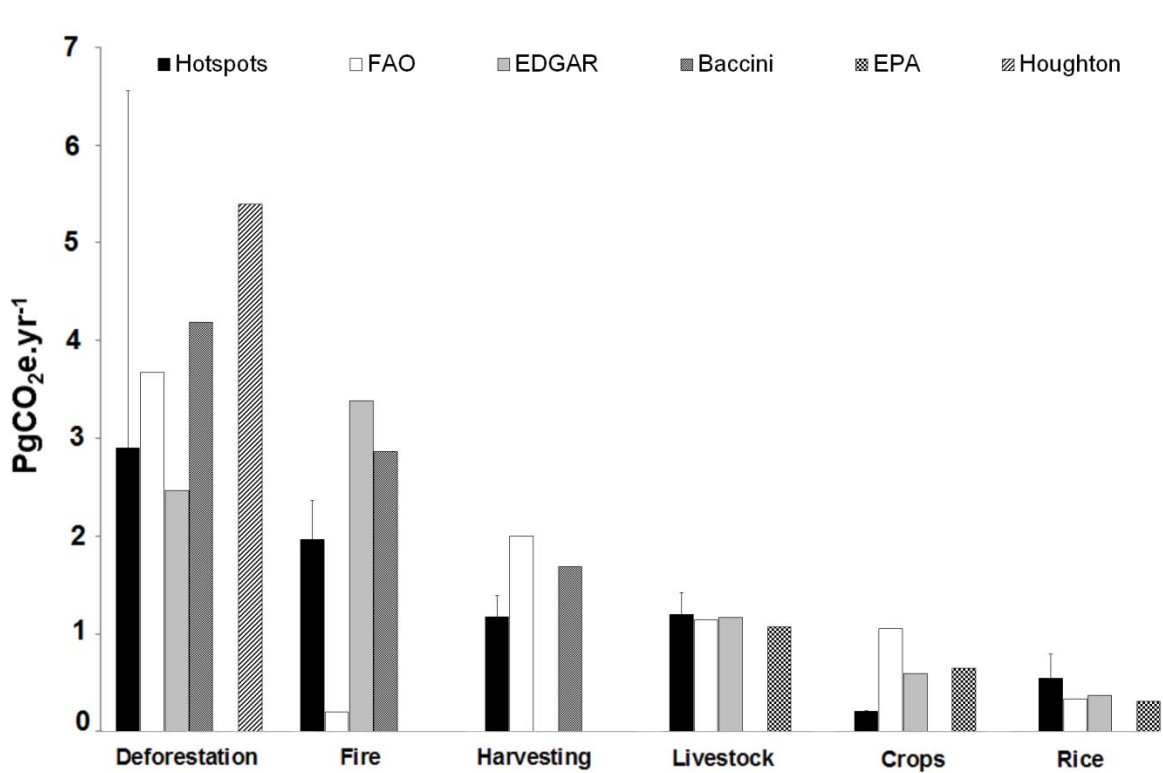
1077

1078

1079 **Figure 1:** AFOLU (Agriculture, Forestry and Other Land Use) emissions estimates
 1080 ($\text{PgCO}_2\text{e.yr}^{-1}$) for the period 2000-2005, for the tropics, for six datasets (Hotspots, FAO
 1081 (FAOSTAT), EDGAR, EPA, Baccini and Houghton), disaggregated into FOLU (Forestry and
 1082 Other Land Use) and Agricultural emissions. Uncertainties are only provided in the Hotspot
 1083 dataset. EPA data do not include a FOLU sector. Houghton and Baccini's are FOLU, CO₂-only,
 1084 datasets and do not include agricultural emissions. Houghton offers net emissions while
 1085 Baccini's data are gross emissions for deforestation + fire + wood harvesting (Baccini et al.,
 1086 2012).

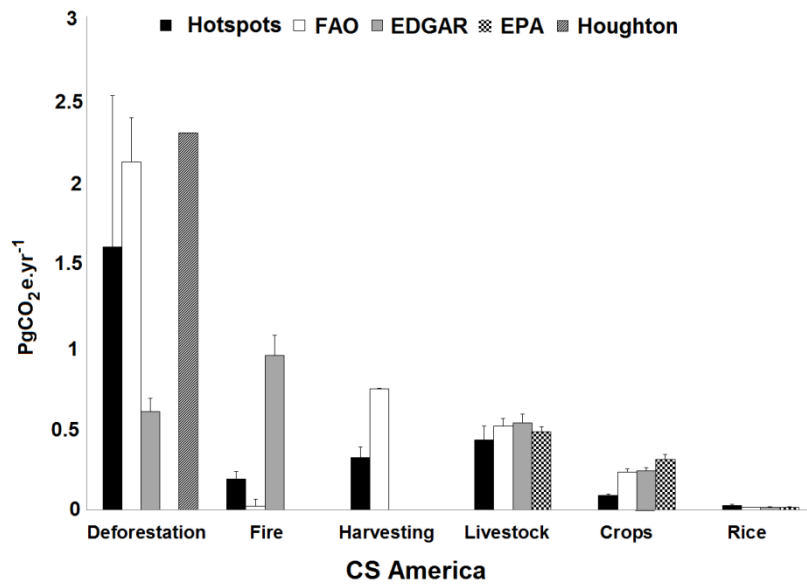
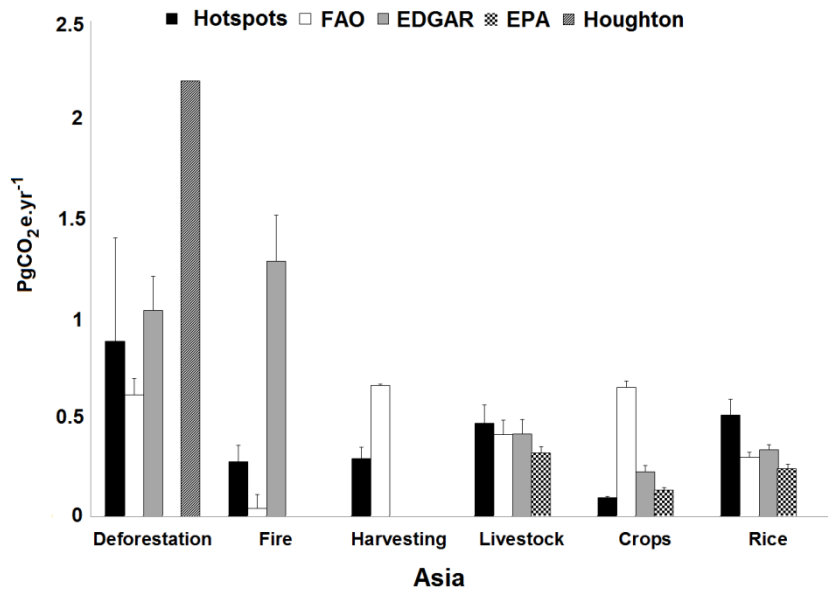
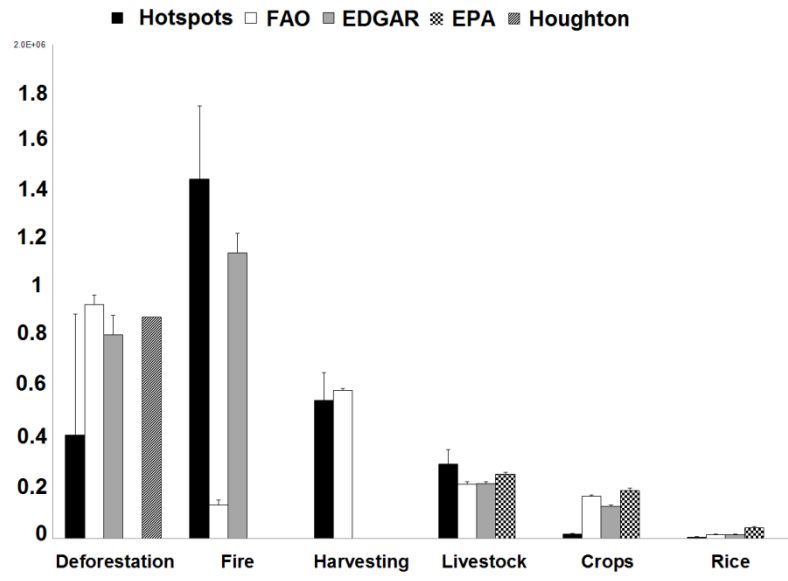
1087

1088



1089

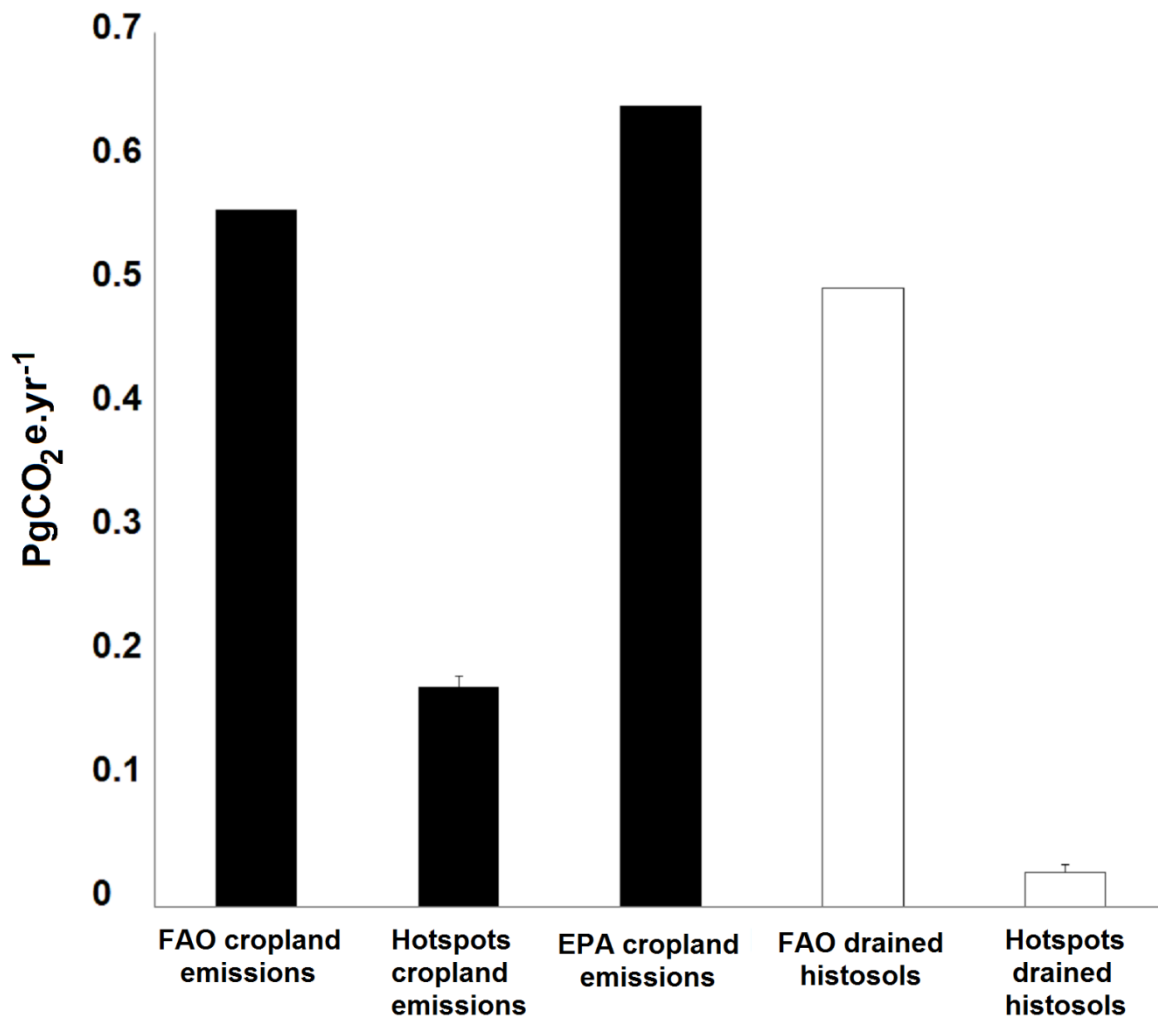
1090 **Figure 2:** Tropical gross annual emissions (2000-2005) comparisons, for the leading emission
1091 sources in the AFOLU sector, for the Hotspots, FAOSTAT, EDGAR, Baccini, EPA and
1092 Houghton datasets, in this order. Bars indicate uncertainty estimates (1σ from mean). No
1093 uncertainty estimates are available for the other datasets. Houghton's data are net land use
1094 emissions rather than deforestation and are offered for visual comparisons against Baccini's
1095 gross deforestation estimate which includes gross deforestation + fire + wood harvesting.
1096 Uncertainties are only provided in the Hotspot dataset. EPA data do not include a FOLU sector.
1097 Forest degradation would be the sum of fire and harvesting emissions.



1099 **Figure 3:** Continental disaggregated emissions for the individual emission sources ($\text{PgCO}_2\text{e.yr}^{-1}$). Bars indicate uncertainty estimates (1σ from mean). No uncertainty estimates are
1100
1101 available for the other datasets.

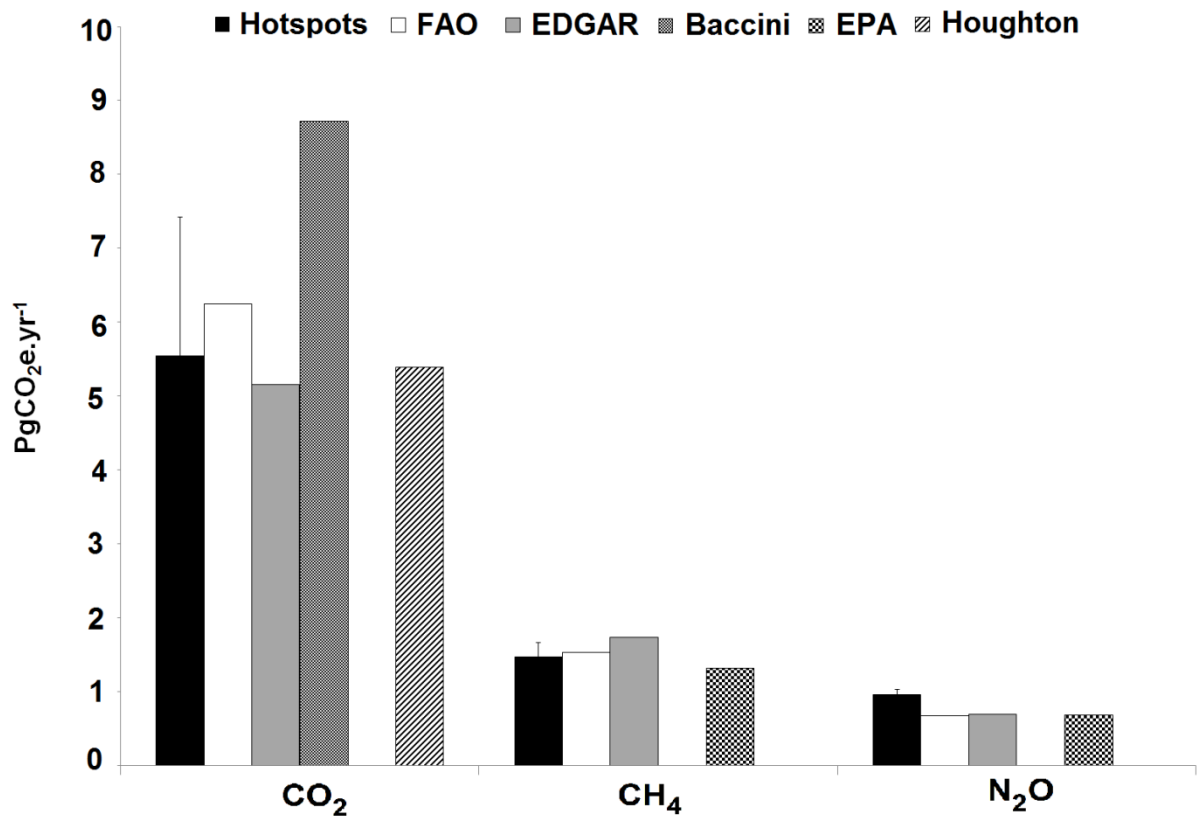
1102

1103



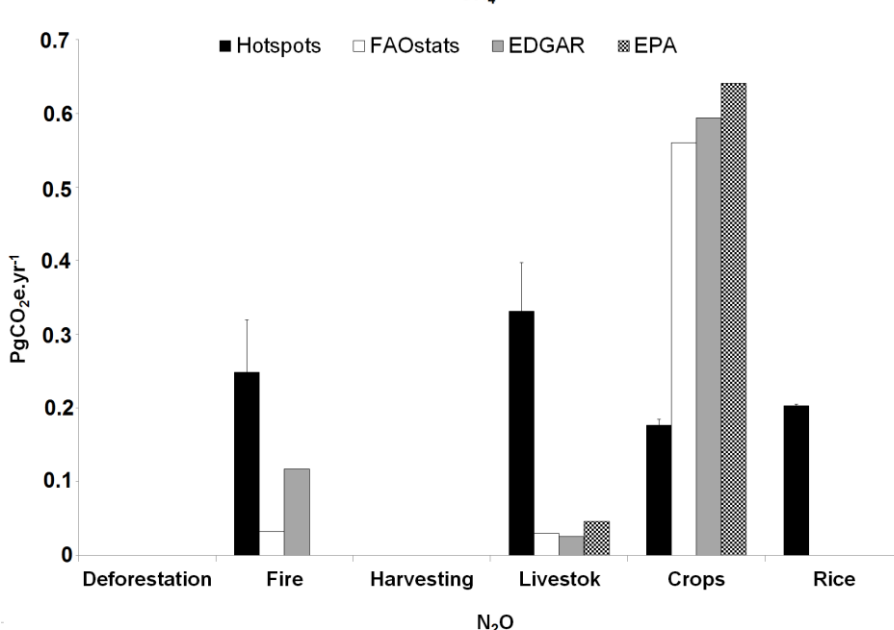
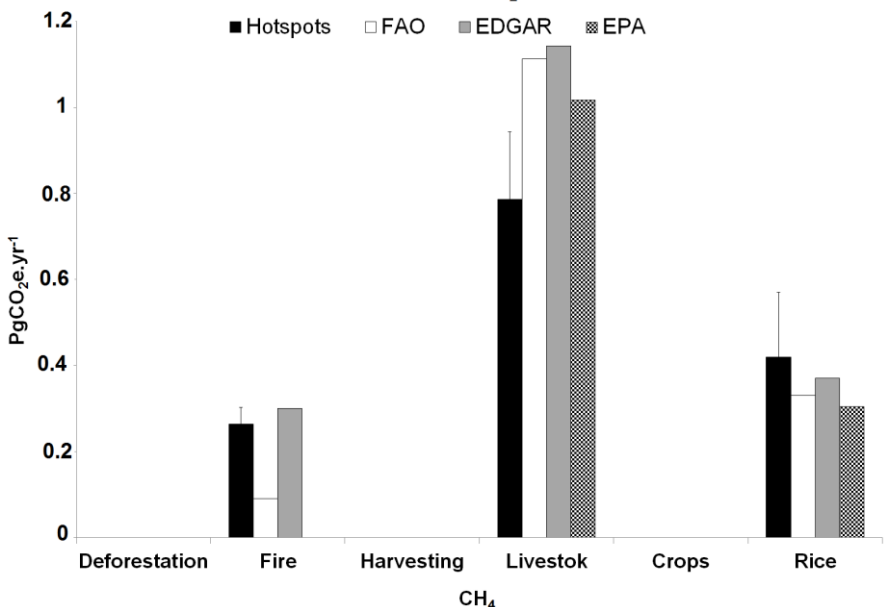
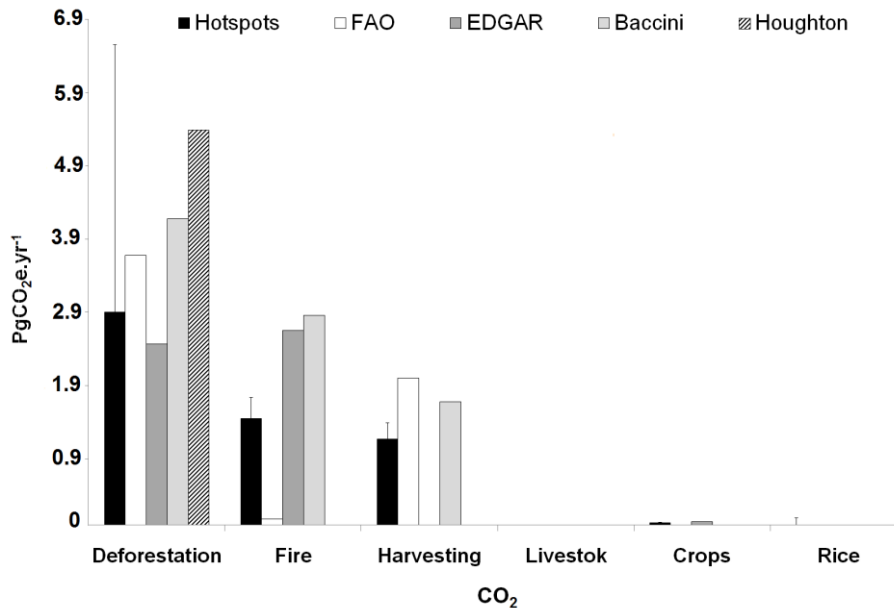
1104

1105 **Figure 4:** Disaggregation of cropland soil emissions from drained peatlands for ~~the~~ datasets
 1106 ~~where data were~~with available data: (FAOSTAT and Hotspots). Organic soils are ~~were~~
 1107 excluded in EPA's cropland emissions.



1108

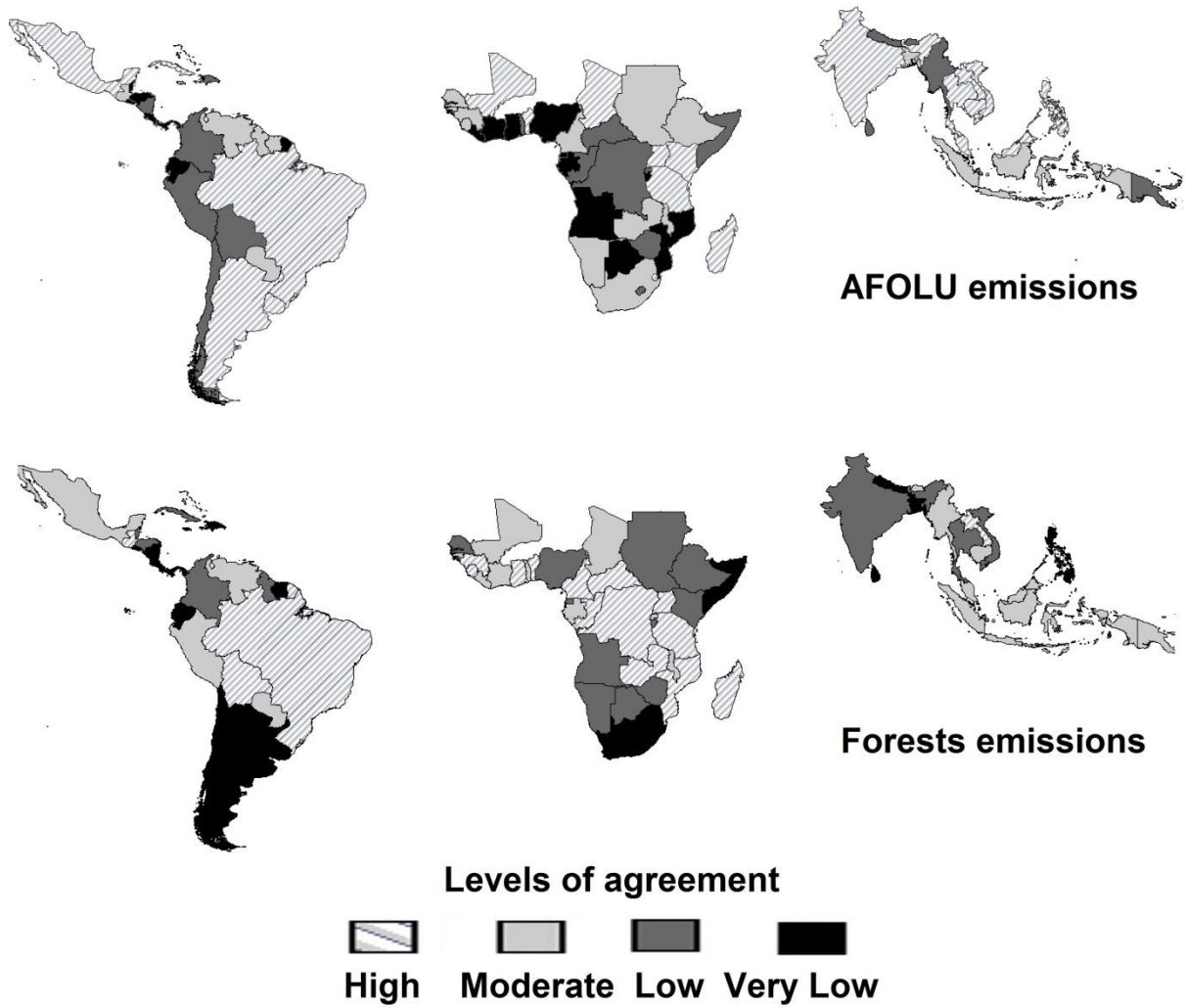
1109 **Figure 5:** Contribution of the different AFOLU GHGs (CO₂, CH₄ and N₂O) for the different
 1110 datasets. Bars indicate uncertainty estimates (1σ from mean). No uncertainty estimates are
 1111 available for the other datasets.



1113 **Figure 6:** GHG emission contribution (CO₂, CH₄ and N₂O) of the leading AFOLU emission
1114 sources. Bars indicate uncertainty estimates (1σ from mean). No uncertainty estimates are
1115 available for the other datasets.

1116

1117

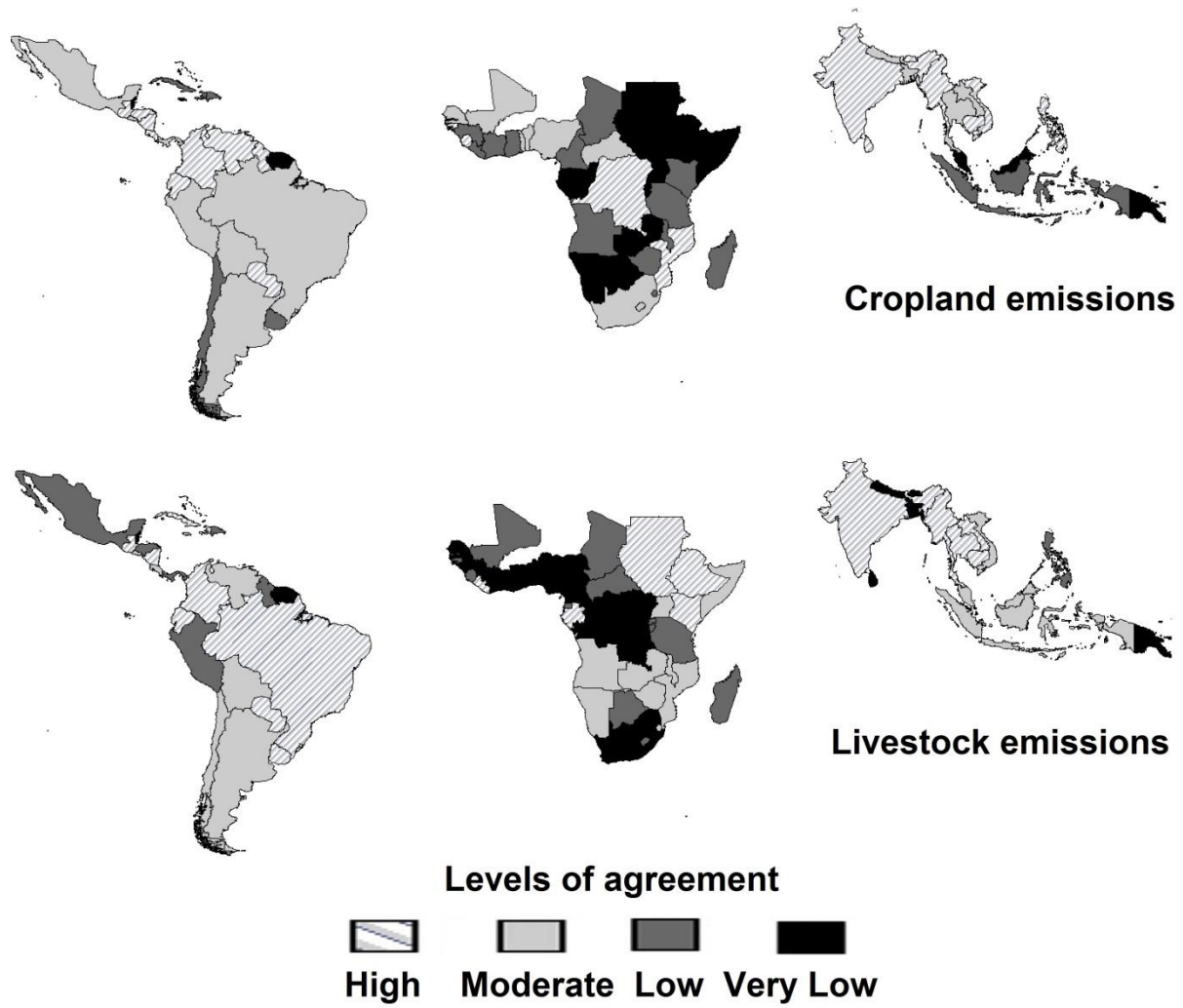


1118

1119 **Figures 7:** Country level agreement for AFOLU and forest emissions for the FAOSTAT,

1120 EDGAR and 'Hotspots' databases. The categories of agreement are percentiles of the

1121 coefficient of variation of the emission data (i.e.



1122

1123 **Figures 8:** Country level agreement for croplands (cropland soils including histosols and rice)

1124 and livestock emissions, for the FAOSTAT, EDGAR and 'Hotspots' databases.

Tables

	Hotspots	FAOSTAT	EDGAR	Houghton	Baccini	EPA	AR5
Gross/Net emissions	Gross	Gross	Gross	Net	Gross	Gross	Net
Uncertainty^a	√	No	No	No	No	No	√
Transparency	High	High	Low ^b	Low	Low	Intermediate	Low
IPCC compliant	√	√	√	Not fully ^c	Not fully ^d	√	Not fully ^e
Forest carbon Pools	AGB + BGB	AGB + BGB	AGB	AGB+BGB+Soil +CWD+Litter	AGB+BGB+Soil +CWD+Litter	Soil	AGB+BGB+Soil +CWD+Litter
Gases	CO ₂ ,CH ₄ , N ₂ O	CO ₂ ,CH ₄ , N ₂ O	CO ₂ ,CH ₄ , N ₂ O	CO ₂	CO ₂	CO ₂ ,CH ₄ , N ₂ O	CO ₂ for forests. CO ₂ ,CH ₄ , N ₂ O for agriculture and peatlands.
Tier 1	√	√	√			√	-
Tier 2, 3	√			√	√	√	-
Spatial Disaggregation^f	Pixel (0.5°)	Country	Country ^g	Region	Region	Country	Region
Peatlands	√	√	√	No	No	No	√

1127 **Table 1:** differences and similarities of the assessed AFOLU datasets.

1128 a Uncertainty at the level of disaggregation at which data are available to download.

1129 b Low means there is no metadata available, or metadata does not properly document the processes followed to estimate the emissions.

1130 c EDGAR data on deforestation emissions does not follow IPCC guidelines.

1131 d The bookkeeping approach does not follow the concept of managed land, and does not include the sink of forests remaining forests in managed

1132 land other than logged forests and those regrowing after shifting cultivation.

1133 e Based on Houghton et al. (2012).

1134 f Available disaggregated data.

1135 g We selected data at the country scale to favour comparability with other datasets (i.e. FAOSTAT) even though data are available at pixel level
1136 (0.1°).

1137

	Gross Tropical (PgCO₂e.yr⁻¹)						
(a)	2000-2005					2000-2007	
	<u>Hotspots</u>	<u>FAOSTAT</u>	<u>EDGAR-JRC</u>	<u>Houghto n</u>	<u>EPA</u>	<u>Baccini</u>	<u>AR5</u>
Agriculture	<u>1.9 (1.5-2.5)</u>	<u>2.5</u>	<u>2.1</u>	<u>-</u>	<u>2.0</u>	<u>-</u>	
FOLU	<u>6 (3.8-10)</u>	<u>5.9</u>	<u>5.9</u>	<u>5.4*</u>	<u>-</u>	<u>12.3**</u>	<u>8.2***</u>
AFOLU	<u>8 (5.5-12.2)</u>	<u>8.4</u>	<u>8</u>	<u>-</u>	<u>-</u>	<u>-</u>	
	Net Global PgCO₂e.yr⁻¹						
(b)	2000			2010			2000/09
	<u>FAOSTAT</u>	<u>EDGAR- JRC</u>	<u>Houghton</u>	<u>FAOSTAT</u>	<u>EDGAR- JRC</u>	<u>Houghto n</u>	<u>AR5</u>
Agriculture	<u>5</u>	<u>5.5</u>	<u>-</u>	<u>5.2</u>	<u>5.8</u>	<u>-</u>	<u>5</u>
FOLU	<u>4.9</u>	<u>6.5</u>	<u>4.9</u>	<u>4.9</u>	<u>5.5</u>	<u>4.2</u>	<u>5</u>
AFOLU	<u>9.9</u>	<u>12</u>	<u>-</u>	<u>10.1</u>	<u>11.3</u>	<u>-</u>	<u>10</u>

1138

1139 **Table 2:** Summary of (a) tropical gross emissions estimates for agriculture, FOLU (Forestry and Other Land Use) and AFOLU (Agriculture,
1140 Forestry and Other Land Use) for all the datasets (Hotspots, FAOSTAT, EDGAR, EPA, Houghton) (2000-2005) and published data (Baccini et
1141 al., 2012, AR5 (Smith et al., 2014)) (2000-2007), and of (b) net global estimates as reported by Tubiello et al., (2015). Houghton and EPA offer
1142 FOLU and agricultural data only, respectively, and therefore estimates for AFOLU are not complete.

1143 *Data exposed in Figure 11.2 in Chapter 11 Smith et al. (2014), it corresponds to a net FOLU estimate without agriculture.

1144 ** Baccini et al., (2012) reported gross estimates for the FOLU components.

1145 *** Baccini et al., (2012) estimates selected for the AR5 FOLU values in Figure 11.8, Chapter 11, WG-III.

1146

	Deforestation	Wood Harvesting	Fire	Enteric Fermentation	Manure management	Agricultural soils	Cropland over histosols	Rice	Others
CO₂	Hotspots ¹ FAOSTAT ² Houghton ³ Baccini ¹	Hotspots ⁴ FAOSTAT ⁵ Houghton ⁴ Baccini ⁴	Hotspots ⁶ FAOSTAT ⁷ EDGAR ⁸ Houghton ⁹ Baccini ⁹				Hotspots ¹⁰ FAOSTAT ¹¹		EDGAR ¹²
CH₄			Hotspots ¹³ FAOSTAT ¹⁴ EDGAR ¹⁵	Hotspots FAOSTAT EDGAR EPA	Hotspots FAOSTAT EDGAR EPA			Hotspots FAOSTAT EDGAR EPA	
N₂O			Hotspots ¹³ FAOSTAT ¹⁴ EDGAR ¹⁵		Hotspots FAOSTAT EDGAR EPA	Hotspots ^{16,17} FAOSTAT ^{16,18} EDGAR ^{16,17,19} EPA ^{16,19}	Hotspots FAOSTAT	Hotspots	
dSOC						Hotspots		Hotspots	

1147 **Table 3:** Characteristics of the emission sources used in this comparative assessment, disaggregated by greenhouse gases GHG gases for the
1148 period 2000-2005, for the Hotspots, FAOSTAT, EDGAR, EPA, Houghton-, and Baccini's datasets (based in gross emissions from Baccini et al.,
1149 2012). -EPA offers only non-CO₂ emissions for agriculture (cropland, paddy rice and livestock). Houghton offers only CO₂ FOLU emissions.
1150 Baccini's gross emissions included in this analysis include deforestation, fire and wood harvesting only. dSOC refers to changes in Soil Carbon

1151 stocks. Wood harvesting and fire are considered as forest degradation. Superindices specify differences among -datasets and/or indicate the exact
1152 data included in our database comparisons.-

1153 ¹ Gross deforestation.

1154 ² Net deforestation. Forest fire emissions included in deforestation

1155 ³ Houghton net CO₂-only estimates are not deforestation emissions, but land use and land use change fluxes including deforestation, forest
1156 degradation, and cropland, abandoned land, and agricultural soil organic carbon (SOC).

1157 ⁴ Nationally reported fuel wood and industrial roundwood.

1158 ⁵ Nationally reported fuel wood, charcoal, fuel residues and industrial roundwood.

1159 ⁶ Long-term CO₂ emissions only (i.e savannas/agricultural fires excluded). Peat, forests and woodland fires are included (as defined by Van der
1160 Werf et al., 2010). Deforestation fires excluded

1161 ⁷ CO₂ from the combustion of organic soils. Forest fire emissions excluded.

1162 ⁸ CO₂ Forest fires + wetland/peatland fires and decay (5A, and 5D classes).

1163 ⁹ Humid forest deforestation fires, and peatland fires + decay.

1164 ¹⁰ CO₂ emissions from organic soils. Tier 1 approach. EF=20 tC.ha⁻¹.yr⁻¹ (IPCC 2006). Only for the six crop types reported by the agricultural
1165 soils (maize, soya, sorghum, wheat, barley, millet). N₂O emissions not included.

- 1166 ¹¹ CO₂ emissions from organic soils. Tier 1 approach. EF=20 tC.ha⁻¹.yr⁻¹ (IPCC 2006). N₂O emissions not included.
- 1167 ¹² CO₂ for fuelwood is part of the energy balance.
- 1168 ¹³ CH₄ and N₂O emissions for peat, forests and woodland, savannahs and agriculture fires.
- 1169 ¹⁴ CH₄, N₂O emissions from fire in humid tropical forests and other forests, as well as CH₄, N₂O from the combustion of organic soils.
- 1170 ¹⁵ CH₄, N₂O for forest fires + wetland/peatland fires and decay (5A, and 5D classes).
- 1171 ¹⁶ Direct agricultural emissions only
- 1172 ¹⁷ Fertilizers, manure, crop residues
- 1173 ¹⁸ Synthetic fertilizers + Manure applied to soils + Crop residues + Manure applied to pastures.
- 1174 ¹⁹ Indirect emissions

1175

	Hotspots	FAOSTAT	EDGAR	Houghton*	Baccini	EPA	AR5*
Deforestation							
Fire							
Wood-harvesting							
Livestock							
Cropland							
Paddy Rice							
Peatland							
Forest sinks							

1177 **Table 4:** identification of the least reliable emission sources for each dataset considering
1178 disagreements among emission estimates due to biased/divergent/incomplete definitions and
1179 methods.