

1 **Multi-gas and multi-source comparisons of six land use emission datasets**
2 **and AFOLU estimates in the Fifth Assessment Report, for the tropics for**
3 **2000-2005.**

4
5 **Short title:** AFOLU dataset comparisons

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34 Forestry, LULUCF, mitigation, Fifth Assessment Report, gross emissions flux.

35

36 **ABSTRACT**

37 The Agriculture, Forestry and Other Land Use (AFOLU) sector contributes with ca. 20-25%
38 of global anthropogenic emissions (2010), making it a key component of any climate change
39 mitigation strategy. AFOLU estimates remain, however, highly uncertain, jeopardizing the
40 mitigation effectiveness of this sector. Comparisons of global AFOLU emissions have shown
41 divergences of up to 25%, urging for improved understanding on the reasons behind these
42 differences. Here we compare a diversity of AFOLU emission datasets (i.e. FAOSTAT,
43 EDGAR, the newly developed AFOLU “Hotspots”, “Houghton”, “Baccini”, and EPA) and
44 estimates given in the Fifth Assessment Report, for the tropics (2000-2005), to identify
45 plausible explanations for the differences in: i) aggregated gross AFOLU emissions, and ii)

46 disaggregated emissions by sources, and by gases (CO₂, CH₄, N₂O). We also aim to iii)
47 identify countries with low agreement among AFOLU datasets, to navigate research efforts.
48 Aggregated gross emissions were similar for all databases for the AFOLU: 8.2 (5.5-12.2), 8.4
49 and 8.0 Pg CO₂e.yr⁻¹ (Hotspots, FAOSTAT and EDGAR respectively), Forests: 6.0 (3.8-10),
50 5.9, 5.9 and 5.4 PgCO₂e.yr⁻¹ (Hotspots, FAOSTAT, EDGAR, and Houghton), and
51 Agricultural sectors: 1.9 (1.5-2.5), 2.5, 2.1, and 2.0 PgCO₂e.yr⁻¹ (Hotspots, FAOSTAT,
52 EDGAR, and EPA). However, this agreement was lost when disaggregating by sources,
53 continents, and gases, particularly for the forest sector, with fire leading the differences.
54 Agricultural emissions were more homogeneous, especially livestock, while croplands were
55 the most diverse. CO₂ showed the largest differences among datasets. Cropland soils and
56 enteric fermentation led the smaller N₂O and CH₄ differences. Disagreements are explained
57 by differences in conceptual frameworks (i.e. carbon-only vs multi-gas assessments,
58 definitions, land use versus land cover, etc), in methods (Tiers, scales, compliance with
59 Intergovernmental Panel on Climate Change (IPCC) guidelines, legacies, etc) and in
60 assumptions (i.e. carbon neutrality of certain emissions, instantaneous emissions release, etc)
61 that call for more complete and transparent documentation for all the available datasets.
62 Enhanced dialogue between the carbon (CO₂) and the AFOLU (multi-gas) communities is
63 needed to reduce discrepancies of land use estimates.

64

65 **1. INTRODUCTION**

66 Modelling studies suggest that to keep the global mean temperature increase to less than 2°C
67 and to remain under 450 ppm of CO₂ by 2100, CO₂ emissions must be cut 41-72% below
68 2010 levels by 2050 (IPCC, 2014), and global emissions levels must be reduced to zero (a
69 balance between sources and sinks) before 2070 and below zero, through removal processes,
70 after that (Anderson, 2015; UNEP, 2015). To reach these ambitious goals, tremendously rapid

71 improvements in energy efficiency and nearly a quadrupling of the share of zero and low
72 carbon energy supply (i.e. renewables, nuclear energy, and carbon dioxide capture and storage
73 (CCS), including bioenergy (BECCS)) would be needed by 2050 (IPCC, 2014; Friedlingstein
74 et al., 2014; UNEP, 2015). Since there is no scientific evidence on the feasibility of CCS
75 technologies (Anderson, 2015), renewables and the land use sector are among the most
76 plausible options (Canadell and Schulze, 2014). Optimistic estimates suggest that the AFOLU
77 sector (here indistinctively also called land use sector) could contribute from 20 to 60% of the
78 total cumulative abatement to 2030 including bioenergy (Smith et al., 2014).

79

80 The Agriculture, Forestry, and other Land Use (AFOLU) sector roughly contributes with a
81 quarter (10-12 PgCO₂e.yr⁻¹) of the total anthropogenic greenhouse gas (GHG) emissions (50
82 PgCO₂e.yr⁻¹) (Smith et al., 2014) through a few human activities: deforestation, forest
83 degradation, and agriculture including cropland soils, paddy rice, and livestock (Smith et al.,
84 2014). Despite the acknowledged importance of the emissions from the land use sector in
85 global mitigation strategies, assessing GHG emissions and removals from this sector remains
86 technically and conceptually challenging (Abad-Viñas et al., 2014; Ciais et al., 2014). This
87 challenge relates to an incomplete understanding of the processes that control the emissions
88 from the land use sector (Houghton et al., 2012), especially post-disturbance dynamics (Frank
89 et al., 2015; Poorter et al., 2016) and to various sources of error that range from inconsistent
90 definitions, methods, and technical capacities (Romijn et al., 2012, 2015; Abad-Viñas et al.,
91 2014), to special features of the land use sector such as legacy and reversibility/non-
92 permanence effects (Estrada et al., 2014), or to the difficulty to separate anthropogenic from
93 natural emissions (Estrada et al., 2014; Smith et al., 2014). As a result, the AFOLU emissions
94 are the most uncertain of the all the sectors in the global budget, reaching up to 50 percent of
95 the emissions mean (Houghton et al., 2012; Smith et al., 2014; Tubiello et al., 2015). This is

96 important since uncertainties jeopardize the effectiveness of the AFOLU sector to contribute
97 to climate change mitigation. Thus, country compliances to their mitigation targets are likely
98 to be controversial when the uncertainty is equal to or greater than the pledged emission
99 reductions (Grassi et al., 2008; Pelletier et al., 2015).

100

101 Currently, data on AFOLU emissions are available through national greenhouse gas
102 inventories, which are submitted to the United Nations Framework Convention on Climate
103 Change (UNFCCC), but these national estimates cannot be objectively compared due to
104 differences in definitions, methods, and data completeness (Houghton et al., 2012; Abad-
105 Viñas et al., 2014). More comparable AFOLU data are offered in global emission databases
106 such as EDGAR or FAOSTAT (Smith et al., 2014; Tubiello et al., 2015), or more sectorial
107 datasets such as the Houghton's Forestry and other Land Use (FOLU) data (Houghton et al.,
108 2012), and the US Environmental Protection Agency non-CO₂ emissions for agriculture -
109 including livestock (USEPA, 2013). While national inventories and global databases are
110 currently the best bottom up emissions data we count on, their utility to inform on what the
111 atmosphere receives has been contested. Late research shows disagreements between the
112 trends of reported emissions and atmospheric growth since 1990 for CO₂ (Francey et al.,
113 2010, 2013a, 2013b), for CH₄ (Montzka et al., 2011), and for N₂O (Francey et al., 2013b). In
114 the case of CO₂, Francey *et al.* conclude that the differences between atmospheric and
115 emission trends for CO₂ might be more related to under-reported emissions (~9 PgC = 33
116 PgCO₂ for the period 1994-2005), than to adjustments in the terrestrial sinks (i.e. increased
117 CO₂ removals in oceans and forests). On the other hand, global AFOLU databases suffer from
118 inconsistencies that lead to global CO₂e emissions differences of up to 25% (2000-2009)
119 (Tubiello et al., 2015): 12.7 vs 9.9 PgCO₂e.yr⁻¹ for EDGAR and FAOSTAT, respectively.
120 These datasets also disagreed in the contribution of the AFOLU sector to the total

121 anthropogenic budget in 2010 (i.e. 21% and 24% for FAOSTAT vs EDGAR) (Tubiello et al.,
122 2015), and on the relative share of the emissions from agriculture versus FOLU since 2010
123 Tubiello et al., 2015). Thus, while EDGAR implies a relatively equal contribution (IPCC,
124 2014), FAOSTAT reports agricultural emissions being larger contributors to the total
125 anthropogenic budget ($11.2\pm 0.4\%$) than forestry and other land uses ($10\pm 1.2\%$) (Tubiello et
126 al., 2015), with a steady growth trend of 1% since 2010.

127

128 Understanding the inconsistencies among AFOLU datasets is an urgent task since they
129 preclude our accurate understanding of land-atmosphere interactions, GHG effects on climate
130 forcing and, consequently, the utility of modelling exercises and policies to mitigate climate
131 change (Houghton et al., 2012; Grace et al., 2014; Smith et al., 2014; Sitch et al., 2015; Tian
132 et al., 2016). The land use sector plays a prominent role in the Paris Agreement (Art.5), with
133 many countries including it as mitigation targets in their Nationally Determined Contributions
134 (NDCs) (Grassi and Dentener, 2015; Richards et al., 2015; Streck, 2015). It is then urgent to
135 understand how much and why different AFOLU datasets differ in their emissions estimates,
136 so that we can better navigate countries' land-based mitigation efforts, and help to validate
137 their proposed claims under the UNFCCC.

138

139 Here we compare gross AFOLU emissions estimates for the tropics, for 2000-2005, from six
140 datasets: FAOSTAT, EDGAR, "Houghton", "Baccini", the US Environmental Protection
141 Agency data (EPA), and a recently produced, spatially explicit AFOLU dataset, that we will
142 hereon call "Hotspots" (Roman-Cuesta et al., 2016). We aim to identify differences and
143 plausible explanations behind: i) aggregated AFOLU, FOLU and Agricultural gross
144 emissions, ii) disaggregated contributions of the emission sources for the different datasets,

145 iii) disaggregated contribution of the different gases (CO₂, CH₄, N₂O), and iv) national scale
146 disagreements among datasets.

147

148 **2. METHODS**

149 *2.1 Study area*

150 Our study area covers the tropics and the subtropics, including the more temperate regions of
151 South America (33° N to 54° S, 161° E to 117° W). Land use change occurs nowhere more
152 rapidly than in the tropics (Poorter et al., 2016) so its study has global importance. Moreover,
153 the tropics suffer from the largest data and capacity gaps (Romijn et al., 2012; 2015) and their
154 need to access AFOLU data and understand their differences is more crucial. We selected the
155 period 2000-2005 for being the common temporal range for all the datasets. This period is not
156 for the recent past but that does not affect the comparative nature of this research. Our study
157 area focuses at the country level and includes eighty countries, following Harris et al., (2012).
158 We ran the comparisons on gross emissions. While gross and net emissions are equally
159 important, they offer different information (Richter and Houghton, 2011; Houghton et al.,
160 2012). Net land use emissions consider the emissions by the sources and the removals by the
161 sinks (i.e. forest growth, forest regrowth after disturbances, organic matter stored in soils) in a
162 final emission balance where the removals are discounted from the emissions. Gross
163 assessments can consider both the emissions produced by the sources (gross emissions) and
164 the removals absorbed by the sinks (gross removals), but they are not balanced out. Gross
165 emissions are useful to navigate mitigation implementation since they offer direct information
166 on the sources and sinks that need to be acted upon through policies and measures to enhance
167 and promote mitigation (see further information on net and gross alternatives in Roman-
168 Cuesta et al., 2016).

169

170 **2.2 AFOLU datasets**

171 *Hotspots* (Roman-Cuesta et al., 2016): this is a multi-gas (CO₂, CH₄, N₂O) spatially explicit
172 (0.5°) database on gross AFOLU emissions and associated uncertainties for the tropics and
173 subtropics for the period 2000-2005, at Tier 2 and Tier 3 levels (see Supplement for the
174 definition of Tiers). This database locates the hotspots of tropical AFOLU emissions, which
175 should help to estimate mitigation potentials, and prioritize the areas and the land activities
176 that require most urgent mitigation action. It combines available published GHG datasets for
177 the key sources of emissions in the AFOLU sector as identified by the Fifth Assessment
178 Report of the Intergovernmental Panel on Climate Change (AR5, Smith et al., 2014):
179 deforestation, forest degradation (fire, wood harvesting), crop soils, paddy rice, and livestock
180 (enteric fermentation and manure management). It also includes agricultural peatland
181 decomposition using Tier 1 emission factors (see details in Roman-Cuesta et al., 2016). .
182 Forest emissions focus on aboveground biomass, with the exception of peat fires. More
183 detailed methodological information is available in Roman-Cuesta et al., (2016).

184

185 *FAOSTAT*: covers agriculture, forestry and other land uses and their associated emissions of
186 CO₂, CH₄ and N₂O, following IPCC, 2006 Guidelines at Tier 1 (Tubiello et al., 2013, 2014).
187 Emissions are estimated for nearly 200 countries, annually, for the reference period of 1961–
188 2012 (agriculture) and 1990–2012 (FOLU), based on national activity data submitted by
189 countries and further collated by FAO. Projected emission data are available for 2030 and
190 2050. FAOSTAT includes estimates of emissions from biomass fires, peatland drainage and
191 fires, based on geo-spatial information, as well as on forest carbon stock changes (both
192 emissions and removals) based on national-level FAO Forest Resources Assessment data
193 (FRA 2010).

194

195 *EDGAR*: The Emissions Database for Global Atmospheric Research (EDGAR) provides
196 global GHG emissions from multiple gases (CO₂, CH₄, N₂O, HFCs, PFCs and SF₆) at 0.1°
197 and country levels. The EDGAR database covers all IPCC sectors (energy, industry, waste
198 management, and AFOLU), mostly applying IPCC 2006 guidelines for emission estimations
199 (EDGAR 2012). We downloaded the EDGAR's 4.2 Fast Track 2010 (FT 2010). FT 2010
200 emissions cover the period 2000-2010 in an annual basis, at the country level.

201

202 "*Houghton*": Houghton's bookkeeping model calculates the net and gross fluxes of carbon
203 (CO₂ only) between land and atmosphere that result from land management (Houghton, 1999,
204 2012; Houghton and Hackler, 2001; Houghton et al., 2012). The net estimate includes
205 emissions of CO₂ from deforestation, shifting cultivation, wood harvesting, wood debris
206 decay, biomass burning (for deforestation fires only, peatland fires were not included in our
207 version of their data), and soil organic matter from cultivated soils. It also includes sinks of
208 carbon in forests recovering from harvest and agricultural abandonment under shifting
209 cultivation.. The model does, however, not include forests that are not logged, cleared or
210 cultivated. Rates of growth and decomposition are ecosystem specific and do not vary in
211 response to changes in climate, CO₂ concentrations, or other elements of environmental
212 change. Therefore, forests grow (and wood decays) at the same rates in 1850 and 2015.
213 Unlike other databases all carbon in the ecosystem considered is accounted for: live
214 vegetation, soil, slash (woody debris produced during disturbance), and wood products. We
215 downloaded regional annual emissions from the TRENDS (1850-2005) dataset for the tropics:
216 Central and South (CS) America, tropical Africa and South and South East Asia. Only net
217 emissions were available. No spatially disaggregated data were offered (i.e. countries).
218 Houghton's data are, unlike all the other datasets, net aggregated FOLU estimates, for CO₂-
219 only.

220

221 *"Baccini"*: These are gross FOLU tropical emissions published by Baccini et al., (2012). Data
222 are gross emissions for the period 2000-2010 disaggregated into: deforestation (4.18
223 PgCO₂.yr⁻¹), wood harvesting (1.69 PgCO₂.yr⁻¹), biomass burning (2.86 PgCO₂.yr⁻¹) and,
224 wood debris decay (3.04 PgCO₂.yr⁻¹). We excluded this last variable to make it more
225 comparable to the other datasets, where CWD is frequently excluded (Table 1). Baccini's
226 estimates refer to a tropical area slightly smaller than our study region and they are offered as
227 an aggregated value (no continental or country data available)

228

229 *The US Environmental Protection Agency (EPA)*: global non-CO₂ projected emissions for the
230 period 1990-2030 for the Agriculture, Energy, Industrial Processes and Waste sectors, for
231 more than twenty gases. EPA uses future net emissions projections of non-CO₂ GHGs as a
232 basis for understanding how future policy and short-term, cost-effective mitigation options
233 can affect these emissions. EPA follows the Global Emissions Report, which uses a
234 combination of country-prepared, publicly-available reports consistent with IPCC guidelines
235 and guidance (USEPA, 2013). When national emissions estimates were unavailable, EPA
236 produced its own non-CO₂ emissions using IPCC methodologies (i.e., international statistics
237 for activity data, and the default IPCC Tier 1 emission factors). Deviations to this
238 methodology are discussed in each of the source-specific methodology sections of USEPA
239 (2012). No FOLU estimates are included in this dataset. We downloaded agricultural
240 emissions offered as 5-year intervals at country level, disaggregated by gas (N₂O and CH₄),
241 and by emission sources.

242

243 *IPCC AR5*: The AR5 is a synthesis report, not a repository of global data. However, new
244 AFOLU data are produced by the merging of peer-reviewed data such as Figures 11.2, 11.4,

245 11.5 and 11.8 in chapter 11 of the AR5 (Smith et al., 2014). We will contrast our six datasets
246 against the data from these newly produced figures.

247

248 Table 1 shows a summary of key similarities and differences of the assessed AFOLU datasets
249 and the data from the AR5. The exact variables used for each database are described in Table
250 S1 in the Supplement.

251

252 ***2.3 Estimating comparable gross AFOLU emissions for all datasets***

253 We focus on human-induced gross emissions only, excluding fluxes from unmanaged land
254 (i.e. natural wetlands). We focus on direct emissions excluding indirect emissions whenever
255 possible (i.e. nitrate leaching and surface runoff from croplands). Delayed fluxes (legacies)
256 are important (i.e. underestimations of up to 62% of the total emissions when recent legacy
257 fluxes are excluded) (Houghton et al., 2012) but are frequently omitted in GHG assessments
258 that derive from remote sensing, such as the deforestation emissions used in the Hotspots
259 database, which relies on Harris et al., 2012). Wood harvesting emissions also excluded
260 legacy fluxes. We assumed instantaneous emissions of all carbon that is lost from the land
261 after human action (Tier 1, IPCC 2006) (i.e. deforested and harvested wood), with no
262 transboundary considerations (i.e. the emissions are assigned wherever the disturbance takes
263 place, particularly important for Harvested Wood Products). Life-cycle substitution effects
264 were neither considered for harvested wood (Peters et al., 2012). Some exceptions were
265 allowed when data were already aggregated (i.e. for Houghton's and EPA's datasets we could
266 not exclude indirect emissions linked to forest decay and agriculture, respectively), or because
267 their legacy (past decay) estimates corresponded to an important source (i.e. EDGAR's post
268 burned decay and decomposition emissions represent deforestation) (Tubiello et al., 2015).
269 Databases include a diversity of emission sources and gases under AFOLU, not always

270 following IPCC requirements (some exclude peatland emissions, some include energy into the
271 AFOLU emissions, some exclude non CO₂ emissions, etc). However, to compare the AFOLU
272 emission estimates among databases we choose exactly the same sources: deforestation, wood
273 harvesting, fire, livestock (enteric fermentation + manure management), cropland soil
274 emissions, rice emissions, emissions from drained histosols), and the same gases CO₂, CH₄,
275 and N₂O, and documented what was included in each case (See Table S1 the Supplement).
276 For the case of fire, for all the databases, we excluded CO₂ emissions that came from biomass
277 burning in non-woody vegetation such as savannas and agriculture, since they are assumed to
278 be in equilibrium with annual regrowth processes (for CO₂ gases only) (IPCC 2003, 2006).

279

280 ***2.4 Correcting known differences among datasets estimates***

281 Tubiello *et al.* (2015) identified four main differences that resulted in larger estimates for the
282 EDGAR data than for FAOSTAT, under the AFOLU estimates of the AR5 (Smith *et al.*,
283 2014): 1. The inclusion of energy emissions under the agriculture budget, 2. Inclusion of
284 savannah burning, 3. Higher rice emissions due to the use of the IPCC 1996 guidelines instead
285 of the IPCC 2006 guidance, 4. FOLU's unresolved differences due to unclear metadata on
286 EDGAR's proxy for deforestation (post burned decay and decomposition). We have corrected
287 for the first two in our data comparison. No energy, and no CO₂ for savannah burning have
288 been included in the AFOLU estimates in any of our analyses.

289

290 ***2.5 Country emissions***

291 To characterize countries emissions' variability we estimated the standard deviations for the
292 different emission sectors: i. forest (deforestation + fire + wood harvesting), ii. agriculture
293 (cropland soils + paddy rice), iii. livestock, and the aggregated AFOLU emissions, for the
294 three most complete datasets (Hotspots, FAOSTAT, EDGAR), per country. We grouped the

295 standard deviations into four percentiles to aggregate countries into levels of emission
296 variability: high agreement (=low variability, low standard deviations, <25thpercentile),
297 moderate agreement (25th-50th percentiles), low agreement (25th-50th percentiles), and very
298 low agreement (= very high variability, very high standard deviations, >75th percentile). See
299 Supplement for a further discussion on issues regarding countries' emissions variability.

300

301 **3. RESULTS AND DISCUSSION**

302 ***3.1 Aggregated AFOLU, FOLU and Agricultural emissions***

303 We found good agreement among datasets for the aggregated tropical scales with AFOLU
304 values of 8.0 (5.5-12.2) (5th-95th percentiles), 8.4 and 8.0 PgCO₂e.yr⁻¹ (for the Hotspots,
305 FAOSTAT and EDGAR, respectively). FOLU (deforestation and forest degradation)
306 contributed with 6.0 (3.8-10), 5.9, 5.9 and 5.4 PgCO₂e.yr⁻¹ for the Hotspots, FAOSTAT,
307 EDGAR, and Houghton datasets respectively. Agriculture (livestock, cropland soils and rice
308 emissions) reached 1.9 (1.5-2.5), 2.5, 2.1, and 2.0 PgCO₂e.yr⁻¹ for the Hotspots, FAOSTAT,
309 EDGAR, and EPA datasets respectively (Figure 1, Table 2). Forest emissions represented
310 ≥70% of the tropical AFOLU gross mean annual budget for 2000-2005 (the Hotspots database
311 and Houghton showing the highest and the lowest estimates), and agriculture represented the
312 remaining 25-30% AFOLU emissions (FAOSTAT and Hotspots showing the highest and the
313 lowest values). Houghton's FOLU value (5.4 PgCO₂.yr⁻¹) is a net estimate that includes
314 carbon dynamics associated to forest land use changes, and forest removals from areas under
315 logging and shifting cultivation and it is, as expected, lower than the forest gross emissions.
316 Its value for the tropics was, however, higher than the net FOLU value used in the IPCC AR5
317 (4.03 PgCO₂e.yr⁻¹ for 2000-2009) (Houghton *et al.* 2012). Since boreal and temperate forest
318 sinks are reported to be quasi-neutral (Houghton et al., 2012), these differences are unclear.
319 There is a variety of Houghton' net FOLU estimates in current bibliography (i.e. 4.03

320 PgCO_{2e}.yr⁻¹ for 2000-2009 in Smith *et al.* (2012), 4.9 for 2000 and 4.2 for 2010 (Tubiello et
321 al., 2015) that likely correspond to different updates of the same dataset, but create confusion
322 and would call for verified official values that could be consistently used.

323

324 The IPCC AR5 offers a FOLU gross value for the tropics of ca. 8.4 PgCO₂.yr⁻¹ (2000-2007)
325 (Fig 11.8 in AR5, Smith et al., 2014) (Fig S1, Supplement) which corresponds to Baccini's
326 estimates using Houghton's bookkeeping model. This value is in the upper range of the
327 Hotspots gross FOLU emissions: 6 (3.8-10) PgCO_{2e}.yr⁻¹ (2000-2005), and higher than the
328 mean gross FOLU emissions from all the other datasets (approx. 6 PgCO_{2e}.yr⁻¹) (Table 2).
329 The time periods are not identical and we do not compare the same gases (i.e. the
330 bookkeeping model focuses on CO₂ only, while we run a multi-gas assessment). However, the
331 differences mainly relate to unreported choices behind the inclusion/exclusion of emission
332 sources and the description of their methods, in the AR5. Thus, the 8.4 PgCO₂.yr⁻¹ gross
333 estimate does not include fire, and has larger contributions from shifting cultivation (2.35
334 PgCO₂.yr⁻¹) and wood-harvesting (2.49 PgCO₂.yr⁻¹), than the deforestation and wood-
335 harvesting emissions in the Hotspots selected datasets (Figure 2). Numbers used in Figure
336 11.8 also exclude other gross emissions offered in Baccini et al. (2012), which is the citation
337 used in Fig. 11.8. Explicit, complete, and transparent documentation is encouraged for the
338 next AFOLU figures in the IPCC Assessment Reports. Another consideration of AFOLU
339 estimates in the Assessment Reports relates to the use of the bookkeeping model to estimate
340 land use, land use change and forest (LULUCF) emissions. As useful as this model is, its
341 framework does not follow the IPCC AFOLU guidelines (IPCC, 2006), particularly regarding
342 the concept of managed land. Thus, forests that are on managed land but are not suffering
343 from direct human activities are considered carbon neutral (Houghton *pers. comm.*). Partly
344 because of that, the net emission estimates of LULUCF from Houghton et al. (2012) used in

345 the AR5 (4.03 PgCO₂.yr⁻¹ 2000-2009) differ from LULUCF country reports for the same
346 period, which are close to zero (Grassi and Dentener, 2015; Federici et al., 2016). The use of
347 IPCC compliant models for the IPCC Assessment Reports, or/and some documentation that
348 warned about these inconsistencies, would be useful in future assessments.

349

350 Emissions in the agricultural sector are mostly net, since sink effects in the soils are small and
351 frequently temporal (USEPA, 2013; Smith et al., 2014). Comparisons against global
352 agricultural emissions show that for the year 2000, global estimates more than doubled the
353 Hotspots values (i.e. 5 and 5.5 PgCO_{2e}.yr⁻¹ vs ca. 2 PgCO_{2e}.yr⁻¹ in all datasets) (Tubiello et
354 al., 2015) (Table 2), suggesting larger contributions of agricultural emissions from non-
355 tropical countries. Unexplained methodological differences such as the inclusion or not of
356 indirect emissions and the lack of an exhaustive list of the variables included in the
357 agricultural emissions, difficult further comparisons.

358

359 ***3.2 Disaggregated gross emissions: contributions of the emission sources***

360 While the gross aggregated estimates suggested a good level of agreement among datasets
361 (Figure 1), differences occur when comparing the emissions sources leading the AFOLU
362 budgets (Figure 2). The FOLU sector showed the largest differences, mainly due to the
363 estimates of forest degradation, and particularly fire (FAOSTAT and EDGAR showed the
364 lowest and highest values). The forest sector is the most uncertain term in the AFOLU
365 emissions due to both uncertainties in areas affected by land use changes and other
366 disturbances, and by uncertain forest carbon densities (Houghton et al., 2012; Grace et al.,
367 2014; Smith et al., 2014). Agricultural sources were more homogeneous (ca. 2 PgCO_{2e}.yr⁻¹
368 for all datasets) (Figure 1), with livestock and cropland soil emissions as the most and least
369 similar (Figure 2). The homogeneity in livestock emissions was expected since most datasets

370 use common statistics (FAO) to derive herd numbers per country.

371

372 3.2.1 Deforestation

373 Deforestation emissions were 2.9 (1.0-10.1), 3.7, and 2.5 and 4.2 PgCO₂.yr⁻¹ (Hotspots,
374 FAOSTAT, EDGAR, and Baccini, respectively), with Baccini and EDGAR showing the
375 highest and the lowest values. Their values represent, however, very different scenarios: gross
376 deforestation for the Hotspots and Baccini datasets (forest losses only), net deforestation for
377 FAOSTAT (forest losses minus forest gains), and forest fire and post-burn decay for EDGAR
378 (Table 3). The Hotspots (Harris et al., 2012) and Baccini et al., (2012) datasets offer gross
379 deforestation estimates that rely on Hansen et al., (2010)'s forest cover loss areas. However,
380 they report different tropical emissions (0.81 and 1.14 PgC.yr⁻¹) because they use different
381 carbon density maps: Harris *et al.* (2012) rely on Saatchi *et al.*(2011) and Baccini rely on
382 Baccini *et al.*(2010). EDGAR does not provide a category for deforestation, and their Forest
383 Fire and Decay category (5F) (Table 3, and Table S1 in the Supplement) is used as a proxy for
384 deforestation (Tubiello et al., 2015). Such an approximation leads to underestimations since
385 not all carbon losses from deforestation are necessarily associated with the use of fire
386 (Tubiello et al., 2015). In spite of being net emissions, the deforestation estimates for
387 FAOSTAT were higher than the gross estimates of Hotspots and Baccini. This is partly due to
388 FAOSTAT's inclusion of fire emissions from humid tropical forests (see section 3.2.3), which
389 the other datasets did not. Baccini's larger estimates of gross deforestation included more
390 carbon pools than the other datasets (i.e. soil, Coarse Woody Debris (CWD), litter). Baccini *et*
391 *al.* (2012) reported that their estimated gross and net emissions from tropical deforestation
392 were the same value (4.2 Pg CO₂.yr⁻¹). The difference with Houghton's net emissions (5.4
393 PgCO₂.yr⁻¹) (Figure 2) corresponds, then, to non-offset carbon emissions from other land uses
394 and activities included in the bookkeeping model: degradation by logging and shifting

395 cultivation, decomposition and decay, and cultivated soils. Houghton's tropical net emissions
396 for 2000-2005 are high, but lower than Houghton's reported net estimates in the 80's (7
397 PgCO₂.yr⁻¹) (Houghton, 1999).

398

399 *3.2.2 Forest degradation: wood harvesting and fire emissions*

400 Forest degradation can be defined in many ways (Simula, 2009), but no single operational
401 definition has been agreed upon by the international community (Herold et al., 2011a). It
402 typically refers to a sustained human-induced loss of carbon stocks within forest land that
403 remains forest land. In this study, similarly to Federici et al., (2015), we consider degradation
404 any annual removal of carbon stocks that does not account for deforestation, without temporal
405 scale considerations (i.e. time needed for disturbance recovery, or time to guarantee a
406 sustained reduction of the biomass). We assessed two major degradation sources: wood
407 harvesting and fire. Soil degradation is poorly captured in many datasets, and mainly focuses
408 on fire in equatorial Asian peatland forests and drained peatlands (Hooijer et al., 2010).
409 Better understanding of the processes and emissions behind forest degradation would be key
410 for climate mitigation efforts not only because forest degradation is a wide spread
411 phenomenon (i.e. affects much larger areas than deforestation (Herold et al., 2011b)) but also
412 because the lack of knowledge of net carbon effects frequently results in assumptions of
413 carbon neutrality of the affected standing forests, particularly for fire (Houghton et al., 2012;
414 Le Quéré et al., 2014), which is likely leading to an underestimation of forest and AFOLU
415 emissions (Brando et al., 2014; Turetsky et al., 2015; Roman-Cuesta et al., 2016).

416

417 Gross emissions from forest degradation were larger than deforestation for the Hotspots,
418 EDGAR and Baccini's datasets, with degradation-to-deforestation ratios of 108%, 120%, and
419 128%, respectively. FAOSTAT had degradation emissions of 60% of the deforestation, partly

420 due to its anomalously low fire contribution (see next section). Houghton et al., (2012)
421 pointed out that global FOLU net fluxes were led by deforestation with a smaller fraction
422 attributable to forest degradation, while the opposite was true for gross emissions (degradation
423 being 267% of deforestation emissions). This large ratio relates to their inclusion of shifting
424 cultivation under degradation. This is a definition issue, which would not fit the definition of
425 degradation chosen in this study, where a complete forest cover loss would represent
426 deforestation and not degradation.

427

428 3.2.2.1 Fire

429 Fire led the gross forest degradation emissions in the tropics in 2000-2005 (Figure 2): 2 (1.1-
430 2.7), 0.2, 3.4, 2.9 PgCO₂e.yr⁻¹ for the Hotspots, FAOSTAT, EDGAR, and Baccini datasets,
431 respectively) (Figure 2). The Hotspots estimates are conservative compared to Van der Werf
432 et al., (2010)'s global emissions of 7.7 PgCO₂e.yr⁻¹ for 2002-2007, due to the removal of CO₂
433 from deforestation fires (to avoid double counting with deforestation emissions), to the
434 exclusion of fires in grasslands and agricultural residues, and to the Hotspots' smaller study
435 area. FAOSTAT and EDGAR had the lowest and the highest fire values. FAOSTAT lowest
436 values relate to omissions that are currently in the process of being corrected (Rossi *pers.*
437 *comm.*): 1. the complete exclusion of CO₂ from fire in humid tropical forests and other forests
438 (Table 3, Table S1), which FAOSTAT relocated as net forest conversion emissions, partly
439 explains their larger deforestation values (FAOSTAT kept, however, CH₄ and N₂O for fire in
440 humid tropical forests and other forests), and 2. The use of default parameters for fuel in peats
441 from the IPCC 2006 Guidelines instead of the new IPCC Wetland supplement which offer
442 considerable higher values (Rossi et al., 2016). Moreover, FAOSTAT uses GFED3.0-burned
443 area (Giglio et al., 2010) in their estimates while the other datasets use GFED3.0-emissions
444 (Van der Werf et al., 2010). EDGAR fire emissions were the largest most likely because they

445 included decay. Their dataset considers some undefined “forest fires” (5A) and
446 “wetland/peatland fires and decay” (5D) (Table 3; Table S1 in the Supplement). Peatland
447 decay probably explains EDGAR’s larger emissions in Asia, while we assume that EDGAR’s
448 highest fire emissions for CS America might respond to deforestation fires which were not
449 included in the Hotspots to avoid double counting with deforestation, and relocated in
450 FAOSTAT to deforestation emissions (Figure 3, Table 3). The Hotspots dataset showed
451 higher gross fire emissions for Africa due to the inclusion of woodland fire, which EDGAR
452 and FAOSTAT probably excluded. Baccini et al., (2012)’s fire emissions: $2.9 \text{ PgCO}_2\text{e.yr}^{-1}$
453 (2000-2010) derive from Houghton’s bookkeeping but it is unclear how these emissions were
454 estimated.

455 In spite of the importance of fire as a degradation source, this variable is frequently
456 incompletely included, either through unaccounted gases (i.e. CH_4 and N_2O are excluded in
457 the carbon community but their omission represent 17-34% of the gross CO_2 fire emissions)
458 (Valentini et al., 2014; Roman-Cuesta et al., 2016), or to unaccounted components (i.e fires in
459 tropical temperate forests such as conifers or dry forests such as woodlands, are frequently
460 excluded) (Houghton et al., 2012). Unaccounted fire emissions also derive from
461 methodological choices (i.e. only inter-annual fire anomalies being considered) (Le Quéré et
462 al., 2014), from poor satellite observations such as understory fires in humid closed canopy
463 forests) (Alencar et al., 2006; 2012, Morton et al., 2013), or satellite fire omissions in certain
464 regions (i.e. high Andean fires) (Bradley and Millington, 2006; Oliveras et al., 2014). Other
465 omissions relate to the current exclusion of non-Asian peatland fires (i.e American tropical
466 montane cloud forest peatland fires) (Asbjornsen et al., 2005; Roman-Cuesta et al., 2011;
467 Oliveras et al., 2013; Turetsky et al., 2015).

468

469 Fire suffers, moreover, from a series of assumptions that do not apply so easily to other types
470 of degradation: 1. Assuming a non-human nature of the fires (deforestation fire vs wildfires),
471 which in tropical areas contrasts with multiple citations referring to the 90% human causality
472 of fires (Cochrane et al., 1999; Roman-Cuesta et al., 2003; Alencar et al., 2006; Van der Werf
473 et al., 2010). 2. Assuming *force-majeure* conditions that lead to non-controllable fires due to
474 extreme climate conditions, which frequently results in incomplete assessment and reporting
475 of emissions. This assumption contrasts with research on how human activities have seriously
476 increased fire risk and spread in the tropics (Uhl and Kauffman, 1990; Laurance and
477 Williamson, 2001; Roman-Cuesta et al., 2003; Hooijer et al., 2010), and clearly expose how
478 most of the fires in the humid tropics would not occur in the absence of human influences
479 over the landscape (Roman-Cuesta et al., 2003). 3. Assuming carbon neutrality and full
480 biomass recovery after fire in standing forests. This is a generous assumption that contrasts
481 with numerous studies on tropical forest die-back following fire events in non-fire adapted
482 humid tropical forests (Cochrane et al., 1999; Barlow et al., 2008; Roman-Cuesta et al., 2011;
483 Brando et al., 2012; Oliveras et al., 2013; Balch et al., 2015). All these phenomena casts
484 doubts on the robustness of these assumptions and call for a much more comprehensive
485 inclusion of fire emissions into forest degradation budgets.

486

487 3.2.2.2 *Wood harvesting*

488 There is not a unique way to estimate wood harvesting emissions as exposed in the guidelines
489 for harvested wood products of the IPCC (IPCC 2006). Assumptions regarding the final use
490 of the wood products, decay times, substitution effects, international destination of the
491 products and time needed for forests to recover their lost wood, can fully change the emission
492 budgets. In our study, wood harvesting emissions were 1.2 (0.7-1.6), 2.0, 1.7 PgCO₂.yr⁻¹ for
493 the Hotspots, FAOSTAT and Baccini data, respectively (Tables 3, Table S1 in the

494 Supplement). Harvested wood products derive from FAO's country reports (i.e. FAOSTAT
495 forest products). All datasets included fuel wood and industrial roundwood (Tables 3, Table
496 S1). EDGAR excluded fuelwood from the AFOLU budget and placed it instead into the
497 energy budget (EDGAR, 2012), which explains its absence in Figure 2. Wood harvesting
498 emissions were larger in FAOSTAT than in the Hotspot data (Figure 2) partly due to the
499 inclusion of some extra categories of fuels (i.e. charcoal and residues) that were not included
500 in the Hotspot database (Table 3, Table S1 in the Supplement). Charcoal represents 26% of
501 the total wood-harvesting emissions in FAOSTAT. Differences on wood harvesting affected
502 more Asia and CS America (where the Hotspot data were half of FAOSTAT's), whilst Africa
503 presented almost identical values (Figure 3), reasons for these continental differences are
504 unclear. Baccini's high emissions on wood harvesting could partly relate to their inclusion of
505 extra biomass due to felling damages (i.e. 20-67% of the AGB is damaged, and 20% is left
506 dead in BGB) (Houghton, 1999).

507

508 *3.2.3 Livestock*

509 Livestock emissions were the most homogeneous among the emissions sources (Figure 2)
510 with estimates of 1.2 (0.8-1.5), 1.1, 1.2, 1.1 PgCO₂e.yr⁻¹ for the Hotspots, FAOSTAT,
511 EDGAR and EPA respectively, in range with the estimates in the AR5 (Fig 11.5 in Smith et
512 al., 2014). Values were similar in spite of deriving from different Tiers (i.e. Tier 3 for Herrero
513 et al., (2013), Tier 1 for FAOSTAT and EDGAR. EPA used Tier 3 but for incomplete data
514 series, otherwise Tier 1 was applied (USEPA, 2013)). All datasets included enteric
515 fermentation (CH₄) and manure management (N₂O, CH₄). All of them relied on FAO data for
516 livestock heads, although they used different years (i.e. 2000 for Herrero et al., (2013) data in
517 the Hotspots, and 2007-2010 for EDGAR). From a continental perspective, FAOSTAT and
518 EDGAR estimates were the closest while the Hotspots and EPA's were less similar. The

519 Hotspots showed higher emissions for Africa and Asia and lower for CS America, than the
520 other three datasets. Divergences likely relate to different Tiers. CS America and Asia showed
521 the highest values, with Africa following closely (Figure 3), similar to what is reported in the
522 AR5 (Smith et al., 2014). Globally, livestock is the largest source of CH₄ emissions, with
523 three-fourth of the emissions coming from developing countries, particularly Asia (USEPA,
524 2013, Tubiello et al., 2014). Three out of the top-5 emitting countries are in the tropics:
525 Pakistan, India and Brazil (USEPA, 2013) and while Asia hosts the largest livestock
526 emissions, the fastest growing trends in 2011 correspond to Africa (Tubiello et al., 2014).

527

528 *3.2.4 Cropland emissions*

529 The estimates of cropland emissions reached values of 0.18 (0.16-0.19), 0.56, 0.6 and 0.64
530 PgCO_{2e}.yr⁻¹ for the Hotspots, FAO, EDGAR and EPA datasets respectively, for N₂O and CO₂
531 from changes in soil organic carbon content. Cropland soil emissions (N₂O and soil organic
532 carbon stocks (CO₂) heavily depend on land management practices (i.e. tillage, fertilization
533 and irrigation practices) and climate (Crowther et al., 2015). We chose exactly the same land
534 practices in all datasets to allow comparisons (Table 3, S1 in the Supplement). For this reason,
535 we excluded N₂O emissions from grassland soils, drainage of organic soils, and restoration of
536 degraded lands (Table 3). This restrictions resulted in lower emissions than those estimated
537 for cropland soils in the AR5 (Fig. 11.5 in Smith et al., 2014). The Hotspots and EPA showed
538 the lowest and the highest estimates (Figures 2, 3). With the exception of the Hotspots, the
539 other datasets agreed well at the tropical scale, with FAOSTAT and EDGAR being almost
540 identical, also at continental scales. EPA disagreed more than the other datasets at the
541 continental scales, with underestimations for Asia, probably related to the parameterization of
542 their emission model. All three datasets used FAO's activity data, and for EDGAR and
543 FAOSTAT the same emission factors must have been used. The Hotspot showed anomalously

544 low emissions partly because it only included six major crop types (maize, soya, sorghum,
545 wheat, barley, millet) for which the emission model (DAYCENT) counted on reliable
546 parametrization (*Ogle pers. comm*). Emissions from other important crops in the tropics (i.e.
547 sugar cane, tobacco, tea, etc) were excluded, as well as emissions from croplands in organic
548 soils, due to model constraints.

549

550 *3.2.5 Peatland drainage for agriculture*

551 Estimates of drained peatlands (mainly for agricultural purposes) suggest large omissions in
552 the Hotspots database with emissions one order of magnitude lower ($28 \text{ TgCO}_2\text{e.yr}^{-1}$) than
553 FAOSTAT (ca. $500 \text{ TgCO}_2\text{e.yr}^{-1}$) and one order of magnitude lower than the values reported
554 for peatland drainage in Asia alone (Hooijer et al. 2010) ($355\text{-}855 \text{ TgCO}_2\text{e.yr}^{-1}$). The lower
555 values in the Hotspots dataset relate to much smaller agricultural areas with histosols (0.4 mill
556 ha) than those reported by FAOSTAT for the same countries (7mill ha). This area difference
557 is partly due to the methodological approach used by Ogle et al. (2013) where only six major
558 crop covers are considered: maize, wheat, sorghum, soya beans, millet and barley, and partly
559 to the unmatching spatial scales of histosols and croplands (i.e. 1km for histosols and 50km
560 for croplands) which result in underestimations of the final area.

561

562 *3.2.6 Paddy rice*

563 When paddy fields are flooded, decomposition of organic material gradually depletes the
564 oxygen present in the soil and floodwater, causing anaerobic conditions in the soil that favour
565 methanogenic bacteria that produce CH_4 . Some of this CH_4 is dissolved in the floodwater, but
566 the remainder is released to the atmosphere, primarily through the rice plants themselves. Net
567 emission estimates for paddy rice were 0.55 (0.4-0.833), 0.33, 0.37, $0.30 \text{ PgCO}_2\text{e.yr}^{-1}$ for the
568 Hotspots, FAOSTAT, EDGAR and EPA datasets, respectively. The Hotspots showed the

569 highest emissions (Figure 2), but only in Asia (Figures 3). Part of the reason behind these
570 differences refers to the final gases estimated in Li et al., (2013)'s which included CH₄, N₂O
571 and decomposition of Soil Organic Carbon (SOC) (CO₂) (Table 3, S1), while the others only
572 focused on CH₄. In Li et al., (2013)'s estimates, N₂O were 48% of the CH₄ emissions,
573 explaining the doubled emissions in the Hotspots database. SOC was a sink, with -0.076
574 PgCO₂.yr⁻¹.

575
576 Based on the explanations above, Table 4 points out the likely least reliable emission sources
577 for each dataset considering disagreements among emission estimates due to
578 biased/divergent/incomplete definitions and methods. Houghton's sinks are suggested as least
579 reliable since they suffer from compatibility issued with IPCC guidance and exclude sinks
580 from non-disturbed areas and sinks from forests undergoing disturbances other than wood
581 harvesting or recovery from shifting cultivation (Grassi and Dentener 2015; Federici et al.,
582 2016).

583

584 ***3.3 Differences in the relative contribution of greenhouse gases (CO₂, CH₄, N₂O)***

585 GHG emissions (CO₂, CH₄, N₂O) showed good agreement at the sectoral level (FOLU and
586 agriculture) (Figure 5), that disappeared at the disaggregated level (Figure 6). CO₂ showed the
587 largest disagreements among datasets and gases, led by forests emissions and particularly fire.
588 SOC accumulation was reported in the Hotspots data (Li et al., 2013) but it is uncertain if it is
589 included in the other datasets.

590

591 Non-CO₂ emissions showed lower variability than CO₂ (Figure 6). Livestock led CH₄
592 emissions and showed the largest differences among datasets, with the Hotspot data (Herrero
593 et al., (2013) having the lowest CH₄ emissions, which were compensated with larger N₂O than

594 the other datasets (Figure 6 b,c). At a global level, wetlands dominates natural CH₄ emissions,
595 while agriculture and fossil fuels represent 2/3 of all human emissions, with smaller
596 contributions coming from biomass burning, the oceans, and termites (Montzka et al., 2011).
597 Fire non-CO₂ emissions were quite similar among datasets, confirming that FAOSTAT
598 omissions were CO₂ related (see section 3.2.3). Thus, as exposed in FAOSTAT's metadata,
599 only N₂O and CH₄ are considered in forest fires, excluding CO₂ from aboveground biomass.
600 As expected, N₂O emissions in crops showed large differences, with the Hotspots having the
601 lowest values (3 times lower). Rice N₂O emissions were omitted in all datasets except the
602 Hotspots (Li et al., 2013), which also included SOC.

603

604 The importance of multigas assessments relates to their role in climate change mitigation due
605 to their radiative forcing (RF), understood as a measure of the warming strength of different
606 agents (gases and not gases) in causing global warming (W.m⁻²). CO₂ is the most (400ppm in
607 2015) and longest living gas which makes it the leading force of global warming (Anderson
608 2012). Non-CO₂ GHG are less abundant in the atmosphere (1,774 ppb and 319 ppb for CH₄
609 and N₂O in 2005 respectively) but have larger warming potentials (x 28 for CH₄) and (x 265
610 for N₂O) (AR4) but shorter lifetimes than CO₂ (~9 and ~120 years, respectively). In spite of
611 their shorter lifespans they offer an additional opportunity to mitigate climate change
612 (Montzka et al., 2011) partly because they play a role in atmospheric chemistry that
613 contributes to short-term warming (Montzka et al., 2011) and partly because their presence
614 counteracts CO₂ terrestrial sinks (Tian et al., 2016),

615

616 ***3.4 Country level emissions***

617 Country comparisons showed poor agreement among datasets for all the emission sectors,
618 particularly for the largest emitters (i.e. Brazil, Argentina, India, Indonesia) (Figures 7, 8).

619 Forests led the AFOLU disagreements (as observed by the similarity of Figure 7 a,b). From a
620 continental perspective, Central and South America showed more countries with high levels
621 of disagreement, suggesting the need for further data research.

622

623 *3.5 Some reflections on the datasets*

624 *3.5.1 Original goals*

625 Different datasets were developed for different purposes that have influenced the methods and
626 approaches chosen to estimate their land use GHGs. Thus, EDGAR was created with an air
627 pollution focus making its land emissions weaker. Contrastingly, FAOSTAT carries FAO's
628 focus on land particularly agriculture (data available since the 60s), with forest data added
629 later through the FRA assessments (1990, 2005, 2010, 2015). The 'Hotspot' database was
630 created to identify the areas with the largest land use emissions in the tropics (emissions
631 hotspots), while Houghton's accent is on historical LULUCF emission trends (since 1850).
632 EPA concentrates on industrial, energy, and agricultural emissions -forests are excluded- with
633 an interest on human health and mitigation. Moreover, due to its long existence, several
634 datasets rely on FAOSTAT's long-term agricultural data, which is probably the reason behind
635 the higher homogeneity of agricultural emission estimates (i.e. crops, rice, and livestock)
636 among datasets). FAOSTAT's forest emissions use FRA data, which get updated every 5
637 years. Different FRA versions strongly influence forest emission estimates which makes it
638 important to acknowledge the FRA version used when contrasting FAOSTAT emissions.
639 when comparing estimates (i.e. differences up to 22% between the forest sink estimates using
640 FRA2015 and FRA2010 have been reported by Federici et al., 2015). Similarly, official
641 updates of Houghton's bookkeeping TRENDS data, as well as researchers' self-tuned
642 versions of his model, result in emission differences that are difficult to track.

643

644 *4.2 IPCC guidelines and guidance:* Under the UNFCCC, countries are requested to use the
645 latest IPCC AFOLU guidelines to estimate their GHG emissions (i.e. IPCC 2006 and 2003 for
646 developed and developing countries, respectively). The use of different guidelines, Tiers, and
647 approaches influences the final emission estimates. Compliance with IPCC has two main
648 consequences: 1. the total area selected to report emissions, and 2. the choice of *land use* over
649 *land cover*. In the first case, under IPCC guidance, the total area selected to report emissions
650 would include all the land under human influence (the *managed land* concept, which includes
651 areas under active and non-active management). Houghton’s bookkeeping model (and the
652 carbon modelling community in general) do not comply well with the *managed land* concept,
653 resulting in different net emissions from forest land uses and land use changes (LULUCF)
654 than IPCC compliant country emissions (Grassi and Dentener, 2015; Federici et al., 2016). In
655 the second case, the selection of *land uses* instead of *land covers* has partly been behind the
656 recent controversy between FAO and the Global Forest Watch’s reported estimates on
657 deforestation trends (Holmgren 2015). Estimates of deforestation that rely on *land cover* are
658 higher than those using *land use*, since forest losses under forest land uses -that remain forest
659 land use- are not considered deforestation (i.e. logged areas will regrow). In our analysis,
660 FAO and Houghton relies on *land use* for deforestation, while the ‘Hotspots’ and EDGAR
661 rely on *land cover*. FAOSTAT and the ‘Hotspots’ rely on the 2006 IPCC Guidelines for
662 National Greenhouse Gas Inventories (IPCC, 2006). FAOSTAT uses Tier 1 and standard
663 emission factors, while the ‘Hotspots’ use a combination of Tiers (Tier 3 for all emissions
664 except wood harvesting and cropland emissions over histosols that rely on Tier 1). EDGAR
665 reports the use of 2006 IPCC Guidelines for the selection of the emission factors but some of
666 their methodological approaches are not always consistent with IPCC guidelines (i.e.
667 deforestation expressed as the decay of burned forests, wood-harvesting is part of the energy
668 sector, agricultural energy balances are included in the AFOLU budget). EPA methods are

669 reported to be consistent with IPCC guidelines and guidance, with Tier 1 methodologies used
670 to fill in missing or unavailable data (USEPA, 2013).

671

672 **4. CONCLUSIONS**

673 The Paris Agreement (COP21) counts on the Nationally Determined Contributions (NDCs) as
674 the core of its negotiations to fight climate change. As March 2016, 188 countries had
675 submitted their NDCs under the UNFCCC (FAO, 2016) with agriculture (crops, livestock,
676 fishery and aquaculture) and forests as prominent features in meeting the countries' mitigation
677 and adaptation goals (86% percent of the countries include AFOLU measures in their NDCs,
678 placing it second after the energy sector) (FAO, 2016). However, there exists large variability
679 in the way countries present their mitigation goals, and quantified sector-specific targets are
680 rare (FAO, 2016). Variability relates not only to the lack of a standardized way to report
681 mitigation commitments under the NDCs, but also to uncertainties and gaps in the AFOLU
682 data. The Paris Agreement relies on a 5-year cycle stock-taking process to enhance mitigation
683 ambition, and to keep close to the 2°C target. To be effective and efficient, stock-taking needs
684 robust, transparent and certain numbers (at least with known uncertainties). This is true both
685 for national emission reports and NDCs, but also for the global datasets that can be used to
686 review the feasibility of countries' mitigation claims, and the real space for further mitigation
687 commitments. We have here compared the gross AFOLU emissions of six datasets to search
688 for disagreements, gaps, and uncertainties, focusing on the tropical region. Conclusions
689 depend on the spatial scale. For the tropical scale:

- 690 • Data aggregation offers more homogeneous emission estimates than disaggregated
691 data (i.e.continental level, gas level, emission source level).
- 692 • Forest emissions are the most uncertain of the AFOLU sector, with deforestation
693 having the highest uncertainties.

- 694 • Agricultural emissions, particularly livestock, are the most homogeneous of the
695 AFOLU emissions.
- 696 • Forest degradation, both fire and wood harvesting, show the largest variabilities
697 among databases.
- 698 • CO₂ is the gas with longer-term influence in climate change trends, but it remains the
699 most uncertain among the AFOLU gases and the most variable, in absolute value,
700 among datasets (Figure 5) Fire leads this variability (Figure 6).
- 701 • Among the non-CO₂ gases, N₂O showed the most variable emission estimates, in
702 absolute value, in all the emission sources and for all the datasets (Figure 6).
- 703 • Emissions from histosols/peatlands remain incomplete or fully omitted in most
704 datasets.

705 For the country and continental scales:

- 706 • Large emitters show the highest levels of data disagreement in the tropics, enhancing
707 the need for data improvement to guarantee effective mitigation action.
- 708 • Forest lead the emission disagreement in the total AFOLU emissions.
- 709 • Central and South America showed the largest continental disagreements on emission
710 data for all the land sectors.

711

712 ***4.1 Next steps***

713 *4.1.1 Enhancing dialogue between the carbon and the AFOLU research communities*

714 Research ran by the carbon community is pivotal for AFOLU assessments and while these
715 two research communities overlap, they do not focus on exactly the same topics. The carbon
716 community works with CO₂ emissions-only, fully excluding non-CO₂ gases, particularly N₂O.
717 It moreover rather focuses on forests and associated land use changes, excluding emissions
718 from agriculture. The AFOLU community has, contrarily, a multi-gas approach (CO₂, CH₄,

719 N₂O) and includes emissions from both forests and agriculture. For these reasons, estimates of
720 the carbon community cannot be considered as AFOLU estimates, and certain confusion
721 appears in the IPCC's AR5 with an incorrect AFOLU labelling (Table 11.1, Fig S2 in the
722 Supplement). There is great space for these two communities to cooperate but further
723 dialogue is needed to promote closer and more coordinated action. Future steps might include
724 the adoption of the *managed land* concept by the carbon community; and ways to include
725 legacy emissions by the AFOLU community.

726

727 4.2.2 Improving data quality

728 The quality of the reported AFOLU emissions can be assessed through the UNFCCC
729 principles: completeness, comparability, consistency, accuracy and transparency, which can
730 help navigate the improvements of national monitoring systems. From these principles, the
731 reviewed datasets performed well in *consistency* (they applied similar methods and
732 assumptions over time, with the exception of 'Hotspots' that did not include temporal data).
733 *Transparency* was excellent for FAOSTAT with well elaborated and publicly available
734 metadata linked to their offered data, while EDGAR performed poorly due to insufficient
735 metadata. Improving transparency is an urgent call for future action. *Accuracy and*
736 *uncertainty* are also urgent calls. Thus, in spite of their importance to fully understand the
737 emission trends and dynamics, only Houghton and the 'Hotspots' provided uncertainties.
738 FAO offered uncertainties as a percent value for each emission source. *Completeness and*
739 *omissions* are also urgent tasks because all datasets are incomplete (Table 1) (i.e. missing
740 pools, missing gases) and omissions affect all datasets. Complete emission reporting should
741 consider the importance of:

- 742 • Forest soil CO₂ and N₂O emissions (Werner et al., 2007) (i.e. N₂O tropical forest soil
743 emissions of 0.7 PgCO₂e.yr⁻¹).

- 744 • Emissions from CH₄ and N₂O from drained peatland soils, and from wetlands over
745 managed land (i.e. conservation).
- 746 • All forest fire types (i.e. temperate conifers and woodlands; understory fires over
747 humid closed canopy forests (Alencar et al., 2006; Morton et al., 2013) (i.e. 85,500
748 km², 1999-2010 in southern Brazilian Amazon); fire emissions over peatland soils and
749 peatland forests out of Asia (Román-Cuesta et al., 2011; Oliveras et al., 2014) (i.e. 4-8
750 TgCO₂e, 1982-1999, for the tropical high Andes from Venezuela to Bolivia)
- 751 • CO₂ emissions from other components of wood harvesting other than fuel and
752 industrial roundwood (i.e. charcoal, residues).
- 753 • CO₂ emissions from tree biomass loss due to fragmentation (Numata et al., 2010; Pütz
754 et al., 2014) (i.e 0.2 Pg C y⁻¹)
- 755 • CO₂ due to decomposition and decay of forests under extreme events: hurricanes
756 (Read and Lawrence, 2003; Negron-Juarez et al., 2010) (i.e the 2005 convective
757 storm, the Amazon basin suffered from an estimated tree mortality of 542±121 million
758 trees); intense droughts (Phillips et al., 2009, 2010; Brienen et al., 2015) (i.e. the 2005
759 Amazonian drought resulted in 1.2-1.6 PgC emissions and the atmosphere has yet to
760 see 13.9 PgCO₂ (3.8 PgC) of the Amazon necromass carbon produced since 1983);

761

762 Further suggestions on improving data gaps and knowledge for the AFOLU sector have been
763 reported by Smith *et al.* (2014); Houghton *et al.* (2012); USEPA (2013) and Sist *et al.* (2015),
764 with a focus on soil data and crop production systems, as well as an improved understanding
765 of the mitigation potentials, costs and consequences of land use mitigation options.

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767 **5. REFERENCES**

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1049

1050 **6. CONTRIBUTIONS**

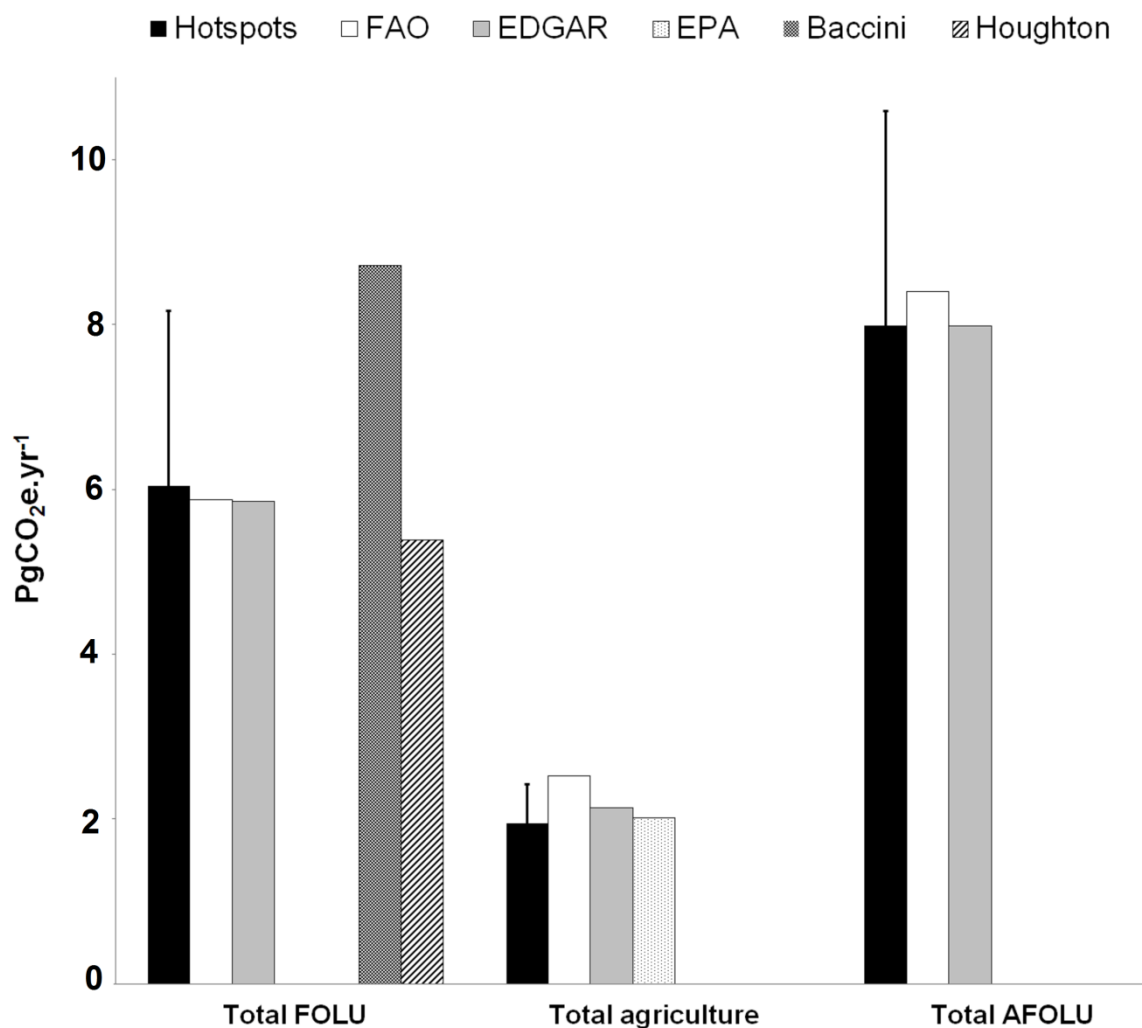
1051 RMRC, MR, MH designed the study. SO, BP provided data and ran quality controls of the
1052 data. RMRC, MR, MH, KBB, TR, LV, CM, SR, RH, SO, BP, SdB discussed the results and
1053 contributed to writing. SdB advised on statistical choices.

1054

1055 **7. ACKNOWLEDGEMENTS**

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1060 GHG Emissions-N° CLIMA.A.2/ETU/2014/0008. Partial funds came through CIFOR from
1061 the governments of Australia (Grant Agreement # 46167) and Norway (Grant Agreement

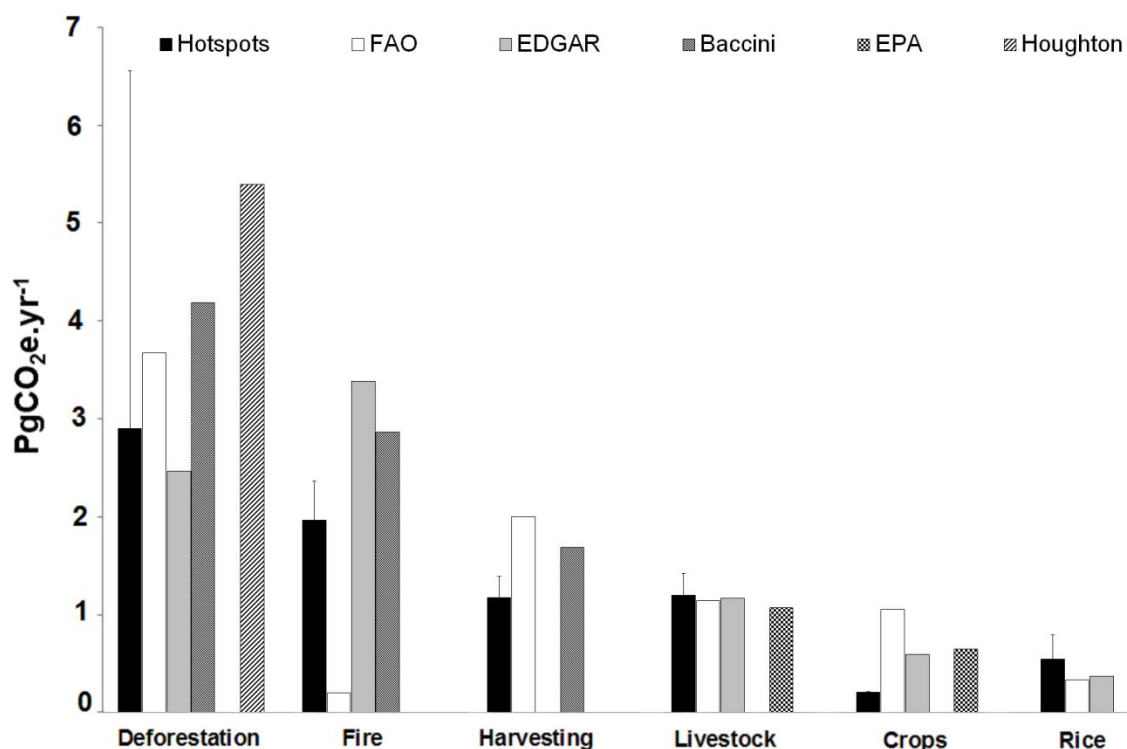
1062 #QZA-10/0468). In the memory of Changsheng Li.



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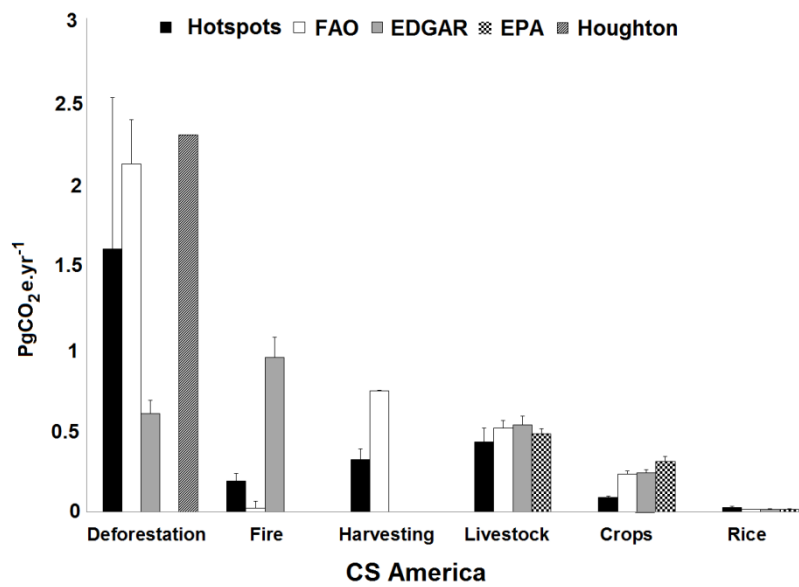
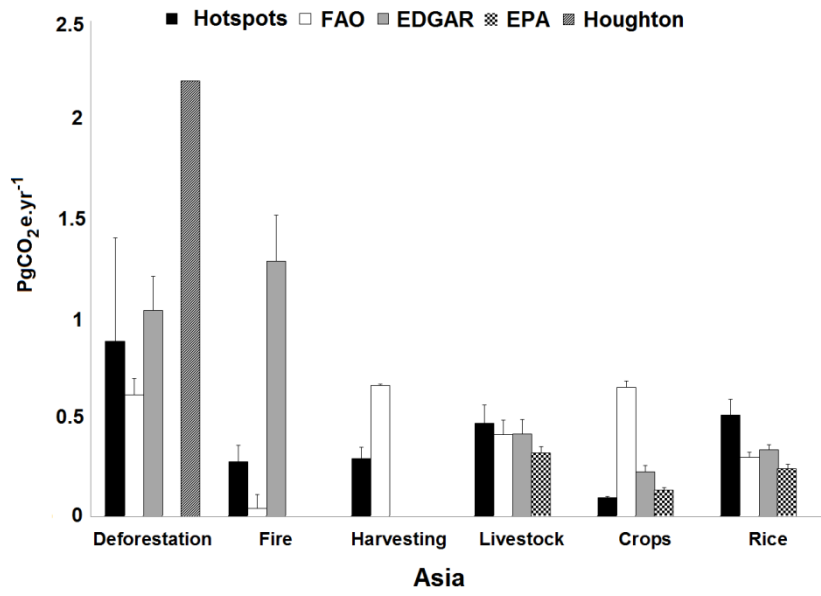
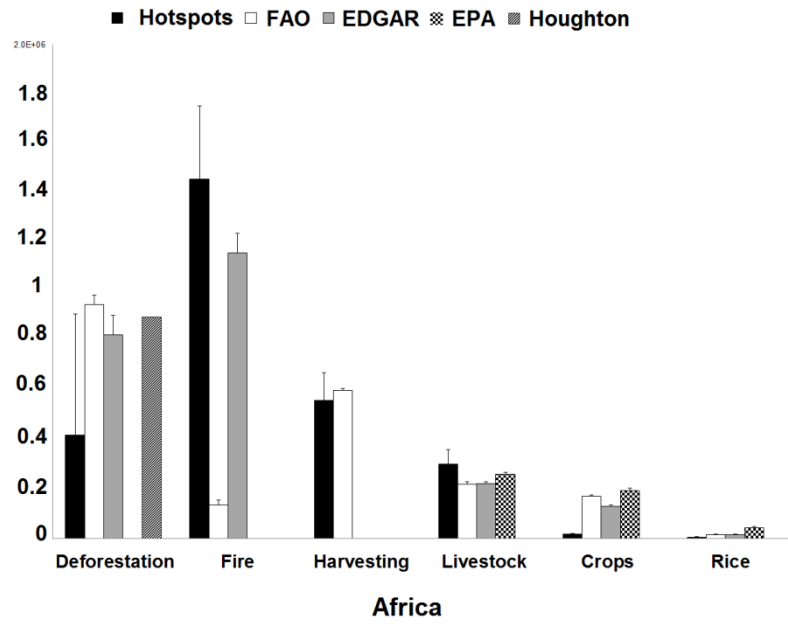
1065

1066 **Figure 1:** AFOLU (Agriculture, Forestry and Other Land Use) emissions estimates
 1067 (PgCO₂e.yr⁻¹) for the period 2000-2005 for the tropics, for six datasets (Hotspots, FAO
 1068 (FAOSTAT), EDGAR, EPA, Baccini and Houghton), disaggregated into FOLU (Forestry and
 1069 Other Land Use) and Agricultural emissions. Uncertainties are only provided in the Hotspot
 1070 dataset. EPA data do not include a FOLU sector. Houghton and Baccini's are FOLU, CO₂-
 1071 only, datasets and do not include agricultural emissions. Houghton offers net emissions while
 1072 Baccini's data are gross emissions for deforestation + fire + wood harvesting (Baccini et al.,
 1073 2012).



1075

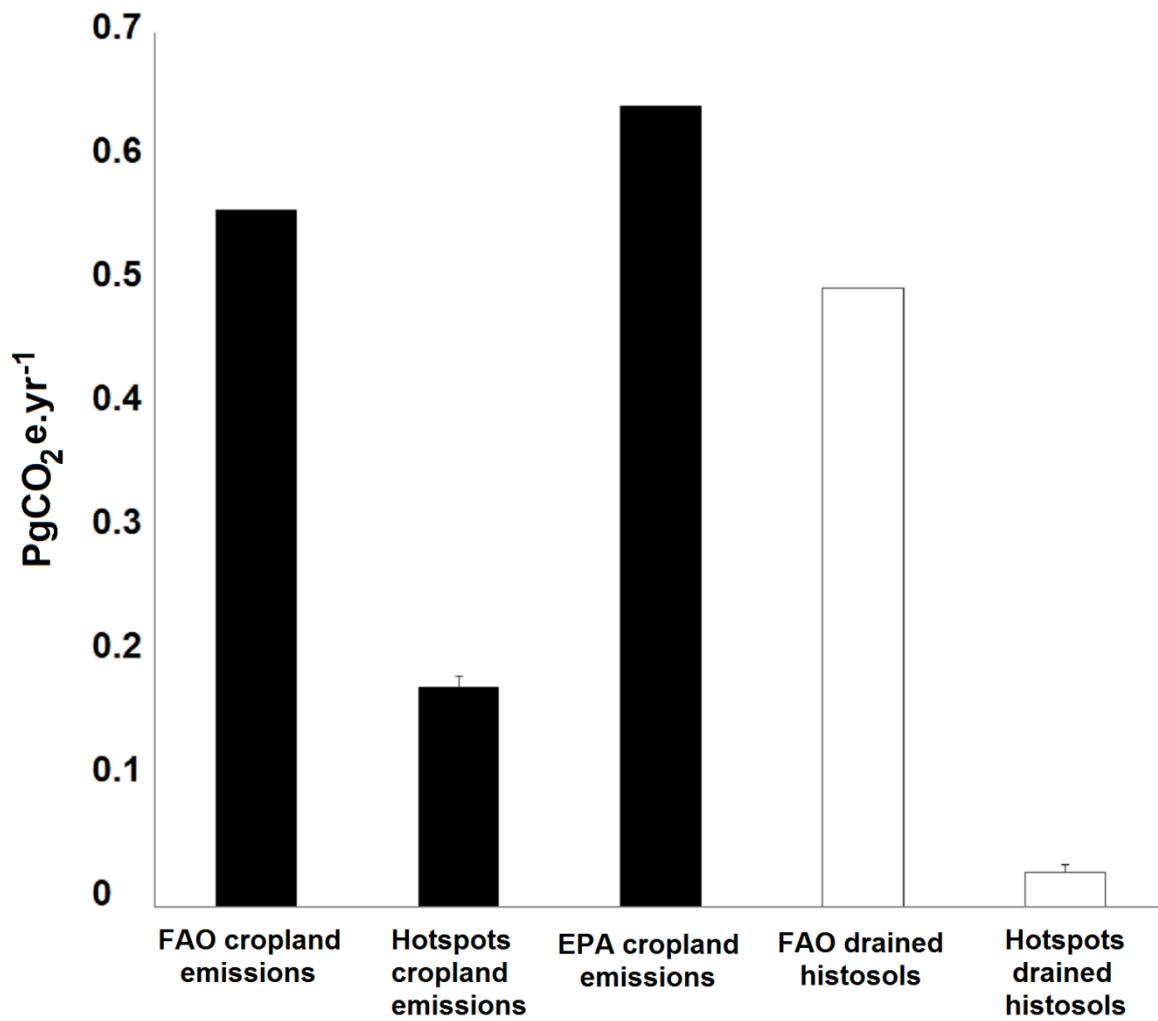
1076 **Figure 2:** Tropical gross annual emissions (2000-2005) comparisons, for the leading emission
 1077 sources in the AFOLU sector, for the Hotspots, FAOSTAT, EDGAR, Baccini, EPA and
 1078 Houghton datasets). Bars indicate uncertainty estimates (1σ from mean). No uncertainty
 1079 estimates are available for the other datasets. Houghton’s data are net land use emissions
 1080 rather than deforestation and are offered for visual comparisons against Baccini’s gross
 1081 deforestation estimate which includes gross deforestation + fire + wood harvesting.
 1082 Uncertainties are only provided in the Hotspot dataset. EPA data do not include a FOLU
 1083 sector. Forest degradation would be the sum of fire and harvesting emissions.



1085 **Figure 3:** Continental disaggregated emissions for the individual emission sources
1086 ($\text{PgCO}_2\text{e.yr}^{-1}$). Bars indicate uncertainty estimates (1σ from mean). No uncertainty estimates
1087 are available for the other datasets.

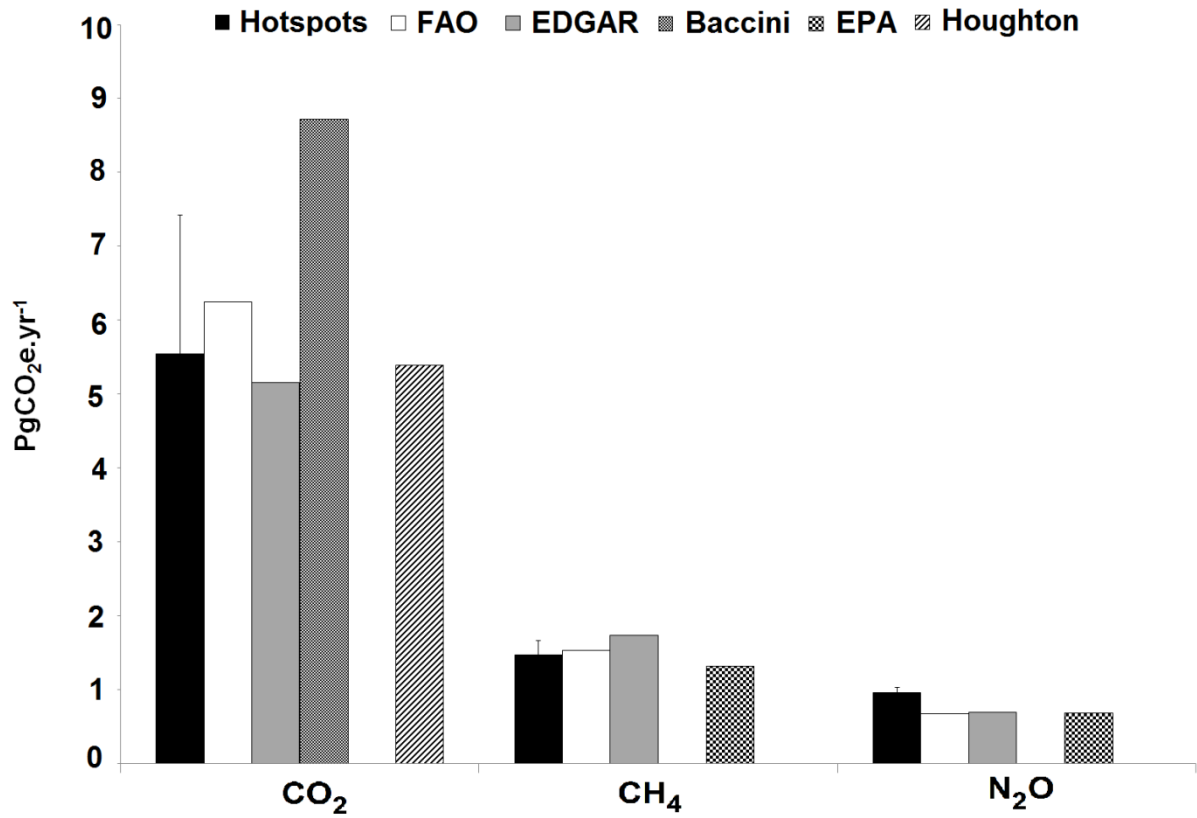
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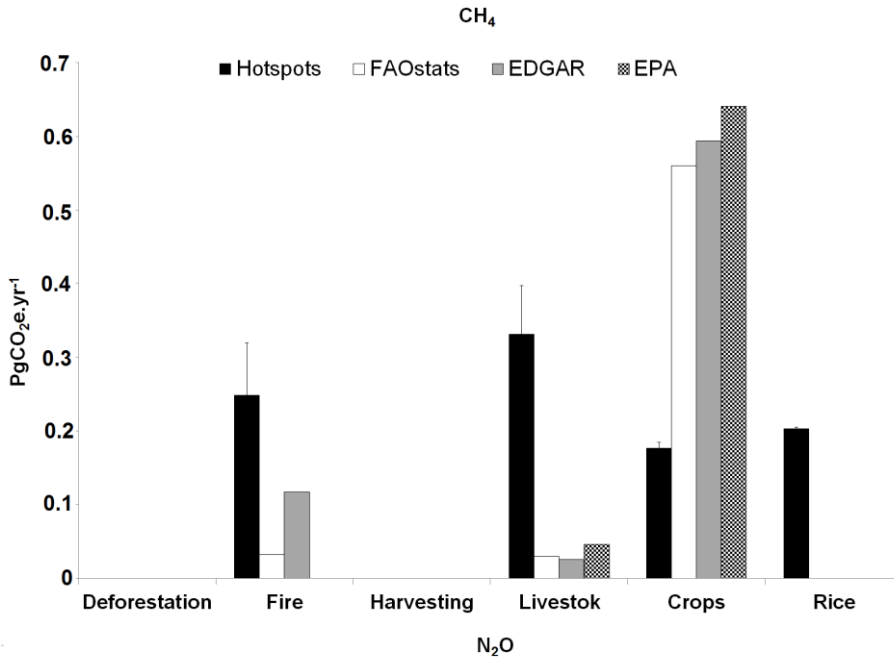
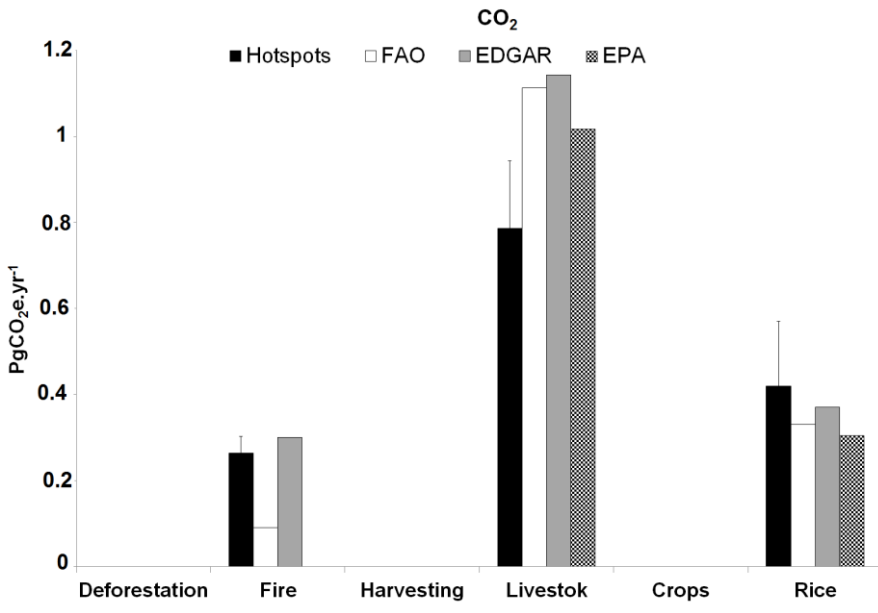
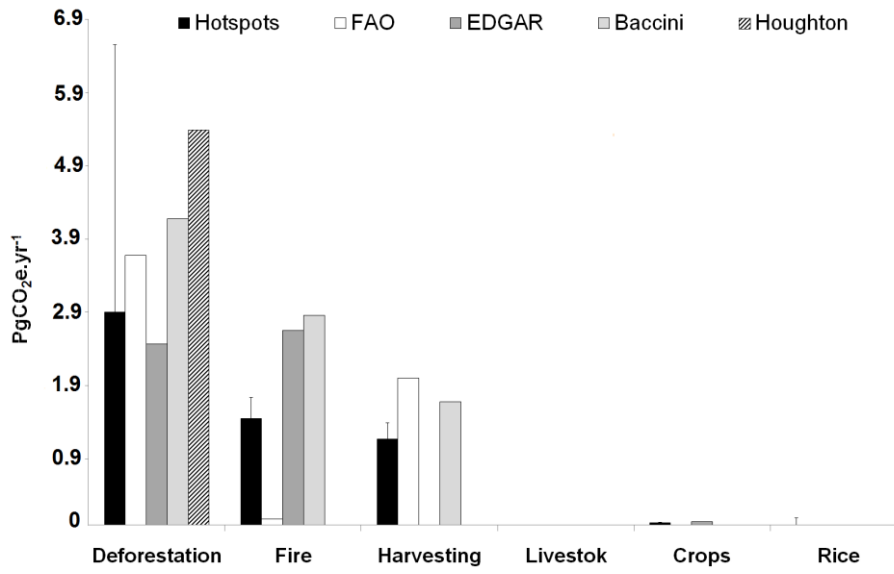
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1091 **Figure 4:** Disaggregation of cropland soil emissions from drained peatlands for datasets with
 1092 available data: FAOSTAT and Hotspots. Organic soils are excluded in EPA’s cropland
 1093 emissions.



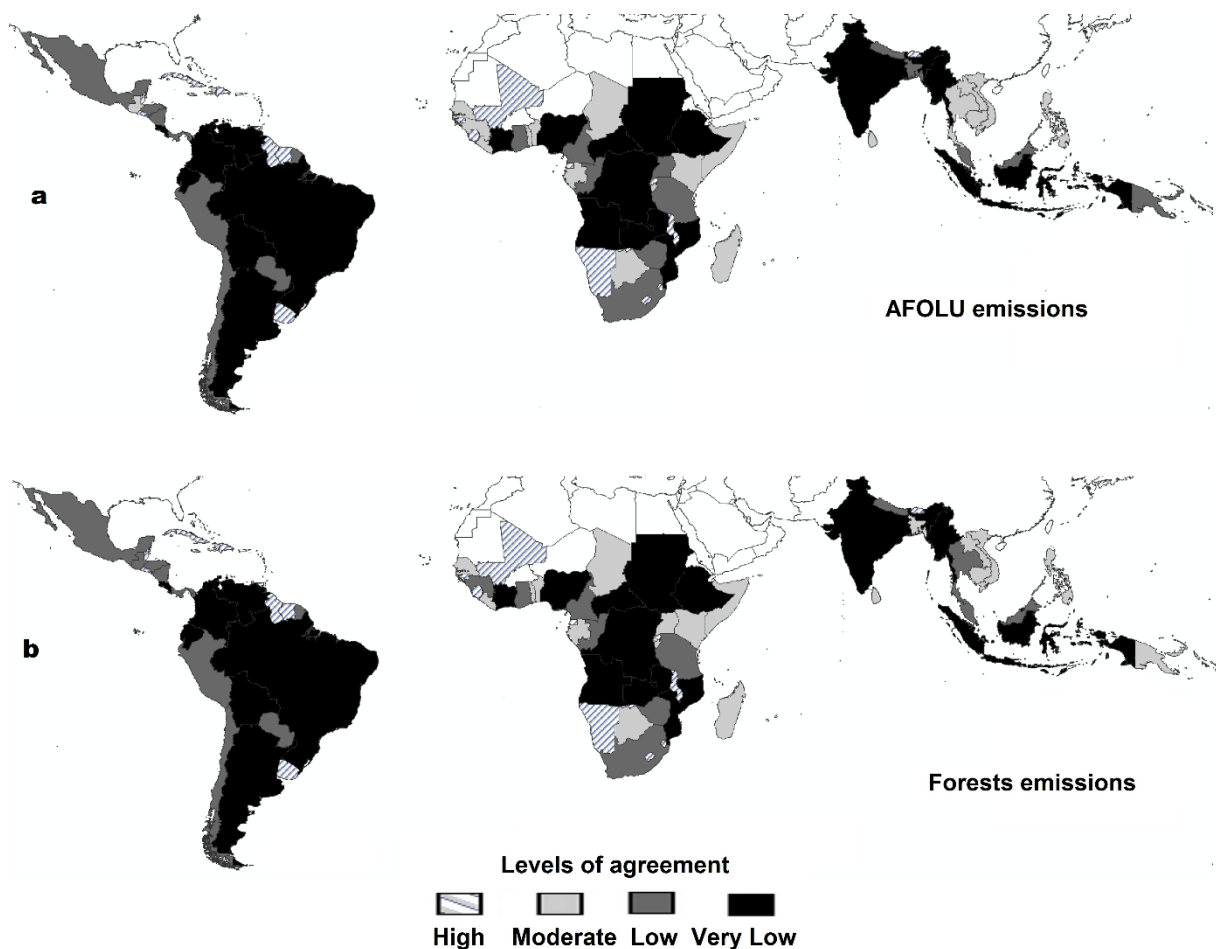
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1095 **Figure 5:** Contribution of the different AFOLU GHGs (CO₂, CH₄ and N₂O) for the different
 1096 datasets. Bars indicate uncertainty estimates (1σ from mean). No uncertainty estimates are
 1097 available for the other datasets.



1099 **Figure 6:** GHG emission contribution (CO₂, CH₄ and N₂O) of the leading AFOLU emission
1100 sources. Bars indicate uncertainty estimates (1σ from mean). No uncertainty estimates are
1101 available for the other datasets.

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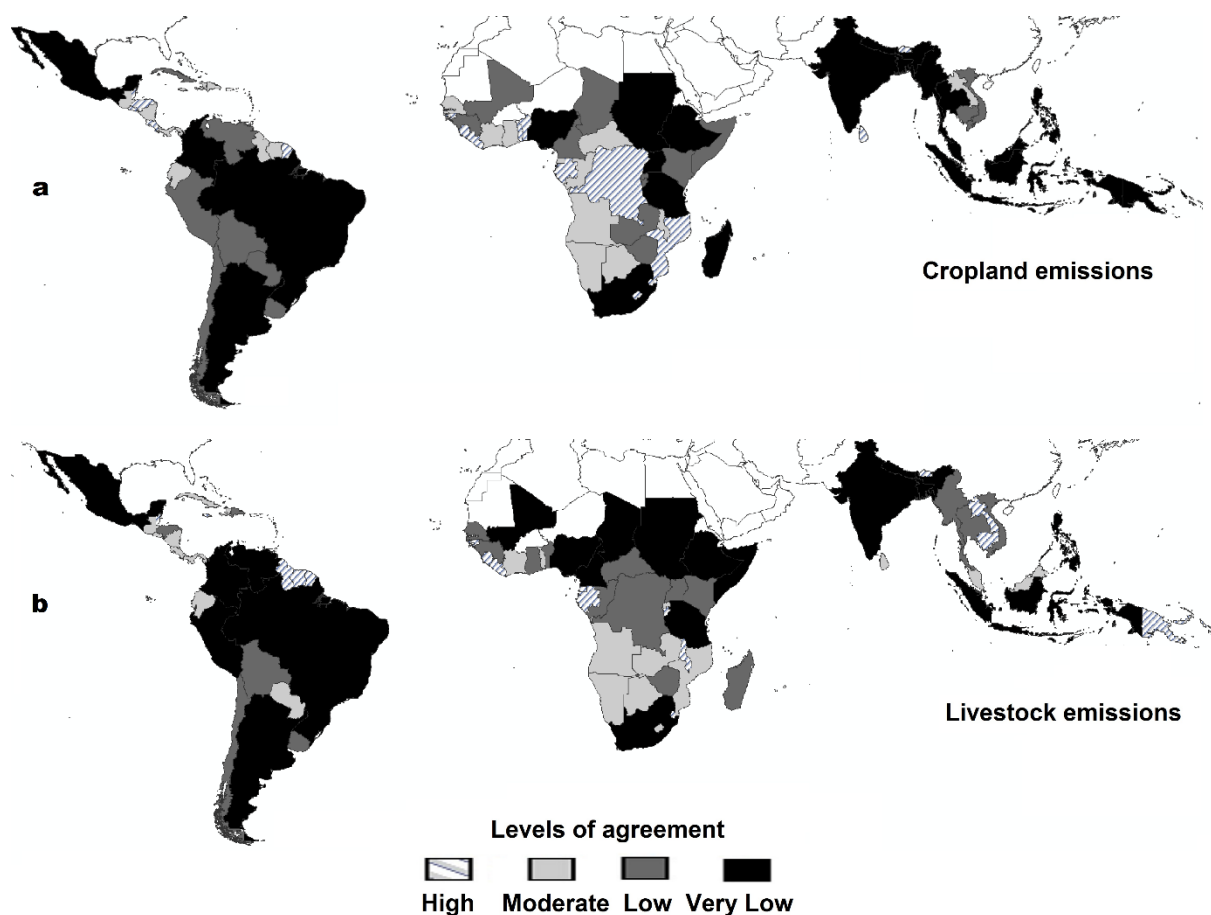
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Figure 7: Country level agreement for (a) AFOLU and (b) forest emissions for the FAOSTAT, EDGAR and ‘Hotspots’ databases. The categories of agreement are percentiles of the standard deviations and represent data dispersion = data variability (i.e. High agreement = low data variability = low data dispersion $\leq 25^{\text{th}}$ percentile, Moderate agreement: 25^{th} - 50^{th} percentiles, Low agreement: 50^{th} - 75^{th} percentiles, and Very Low agreement = high data variability $\geq 75^{\text{th}}$ percentile)



1110

1111 **Figure 8:** Country level agreement for (a) Cropland and (b) livestock emissions for the
 1112 FAOSTAT, EDGAR and ‘Hotspots’ databases. The categories of agreement are percentiles of
 1113 the standard deviations and represent data dispersion = data variability (i.e. High agreement =
 1114 low data variability = low data dispersion $\leq 25^{\text{th}}$ percentile, Moderate agreement: $25^{\text{th}}-50^{\text{th}}$
 1115 percentiles, Low agreement: $50^{\text{th}}-75^{\text{th}}$ percentiles, and Very Low agreement = high data
 1116 variability $\geq 75^{\text{th}}$ percentile)

1117

1118

	Hotspots	FAOS TAT	EDGAR	Houghton	Baccini	EPA	AR5
Gross/Net emissions	Gross	Gross	Gross	Net	Gross	Gross	Net
Uncertainty^a	√	No	No	No	No	No	√
Transparency	High	High	Low ^b	Low	Low	Intermediate	Low
IPCC compliant	√	√	√	Not fully ^c	Not fully ^d	√	Not fully ^e
Forest carbon Pools	AGB + BGB	AGB + BGB	AGB	AGB+BGB +Soil +CWD+Litter	AGB+BGB +Soil +CWD+Litter	Soil	AGB+BGB +Soil +CWD+Litter
Gases	CO ₂ ,C H ₄ , N ₂ O	CO ₂ ,C H ₄ , N ₂ O	CO ₂ ,C H ₄ , N ₂ O	CO ₂	CO ₂	CO ₂ ,CH ₄ , N ₂ O	CO ₂ for forests. CO ₂ ,CH ₄ , N ₂ O for agriculture and peatlands.
Tier 1	√	√	√			√	-
Tier 2, 3	√			√	√	√	-
Spatial Disaggregation^f	Pixel (0.5°)	Country	Country ^g	Region	Region	Country	Region
Peatlands	√	√	√	No	No	No	√

1120 **Table 1:** differences and similarities of the assessed AFOLU datasets.

1121 a Uncertainty at the level of disaggregation at which data are available to download.

1122 b Low means there is no metadata available, or metadata does not properly document the
1123 processes followed to estimate the emissions.

1124 c EDGAR data on deforestation emissions does not follow IPCC guidelines.

1125 d The bookkeeping approach does not follow the concept of managed land, and does not
1126 include the sink of forests remaining forests in managed land other than logged forests and
1127 those regrowing after shifting cultivation.

1128 e Based on Houghton et al. (2012).

1129 f Available disaggregated data.

1130 g We selected data at the country scale to favour comparability with other datasets (i.e.
1131 FAOSTAT) even though data are available at pixel level (0.1°).

1132

	Gross Tropical (PgCO ₂ e.yr ⁻¹)						
(a)	2000-2005					2000-2007	
	Hotspots	FAOSTAT	EDGAR-JRC	Houghton	EPA	Baccini	AR5
Agriculture	1.9 (1.5-2.5)	2.5	2.1	-	2.0	-	
FOLU	6 (3.8-10)	5.9	5.9	5.4*	-	12.3**	8.2***
AFOLU	8 (5.5-12.2)	8.4	8	-	-	-	
	Net Global PgCO ₂ e.yr ⁻¹						
(b)	2000			2010			2000/09
	FAOSTAT	EDGAR-JRC	Houghton	FAOSTAT	EDGAR-JRC	Houghton	AR5
Agriculture	5	5.5	-	5.2	5.8	-	5
FOLU	4.9	6.5	4.9	4.9	5.5	4.2	5
AFOLU	9.9	12	-	10.1	11.3	-	10

1133

1134 **Table 2:** Summary of (a) tropical gross emissions estimates for agriculture, FOLU (Forestry and Other Land Use) and AFOLU (Agriculture,
1135 Forestry and Other Land Use) for all the datasets (Hotspots, FAOSTAT, EDGAR, EPA, Houghton) (2000-2005) and published data (Baccini et
1136 al. (2012), AR5 (Smith et al., 2014)) (2000-2007), and of (b) net global estimates as reported by Tubiello et al., (2015). Houghton and EPA offer
1137 FOLU and agricultural data only, respectively, and therefore estimates for AFOLU are not complete.

1138 *Data exposed in Figure 11.2 in Chapter 11 Smith et al. (2014), it corresponds to a net FOLU estimate without agriculture.

1139 ** Baccini et al., (2012) reported gross estimates for the FOLU components.

1140 *** Baccini et al., (2012) estimates selected for the AR5 FOLU values in Figure 11.8, Chapter 11, WG-III.

1141

	Deforestation	Wood Harvesting	Fire	Enteric Fermentation	Manure management	Agricultural soils	Cropland over histosols	Rice	Others
CO₂	Hotspots ¹ FAOSTAT ² Houghton ³ Baccini ¹	Hotspots ⁴ FAOSTAT ⁵ Houghton ⁴ Baccini ⁴	Hotspots ⁶ FAOSTAT ⁷ EDGAR ⁸ Houghton ⁹ Baccini ⁹				Hotspots ¹⁰ FAOSTAT ¹¹		EDGAR ¹²
CH₄			Hotspots ¹³ FAOSTAT ¹⁴ EDGAR ¹⁵	Hotspots FAOSTAT EDGAR EPA	Hotspots FAOSTAT EDGAR EPA			Hotspots FAOSTAT EDGAR EPA	
N₂O			Hotspots ¹³ FAOSTAT ¹⁴ EDGAR ¹⁵		Hotspots FAOSTAT EDGAR EPA	Hotspots ^{16,17} FAOSTAT ^{16,18} EDGAR ^{16,17,19} EPA ^{16,19}	Hotspots FAOSTAT	Hotspots	
dSOC						Hotspots		Hotspots	

1142 **Table 3:** Characteristics of the emission sources used in this comparative assessment disaggregated by greenhouse gases for the period 2000-
1143 2005, for the Hotspots, FAOSTAT, EDGAR, EPA, Houghton, and Baccini’s datasets (based in gross emissions from Baccini et al. (2012)).
1144 Superindices specify differences among datasets and/or indicate the exact data included in our database comparisons. EPA offers only non-CO₂
1145 emissions for agriculture. Houghton offers only CO₂ FOLU emissions. Baccini’s gross emissions include deforestation, fire and wood harvesting
1146 only. dSOC refers to changes in Soil Carbon stocks. Wood harvesting and fire are considered as forest degradation.

1147 ¹ Gross deforestation.

1148 ² Net deforestation. Forest fire emissions included in deforestation

1149 ³ Houghton net CO₂-only estimates are not deforestation emissions, but land use and land use change fluxes including deforestation, forest
1150 degradation, and cropland, abandoned land, and agricultural soil organic carbon (SOC).

1151 ⁴ Nationally reported fuel wood and industrial roundwood.

1152 ⁵ Nationally reported fuel wood, charcoal, fuel residues and industrial roundwood.

1153 ⁶ Long-term CO₂ emissions only (i.e savannas/agricultural fires excluded). Peat, forests and woodland fires are included (as defined by Van der
1154 Werf et al., 2010). Deforestation fires excluded

1155 ⁷ CO₂ from the combustion of organic soils. Forest fire emissions excluded.

1156 ⁸ CO₂ Forest fires + wetland/peatland fires and decay (5A, and 5D classes).

1157 ⁹ Humid forest deforestation fires, and peatland fires + decay.

1158 ¹⁰ CO₂ emissions from organic soils. Tier 1 approach. EF=20 tC.ha⁻¹.yr⁻¹ (IPCC 2006). Only for the six crop types reported by the agricultural
1159 soils (maize, soya, sorghum, wheat, barley, millet). N₂O emissions not included.

- 1160 ¹¹ CO₂ emissions from organic soils. Tier 1 approach. EF=20 tC.ha⁻¹.yr⁻¹ (IPCC 2006). N₂O emissions not included.
- 1161 ¹² CO₂ for fuelwood is part of the energy balance.
- 1162 ¹³ CH₄ and N₂O emissions for peat, forests and woodland, savannahs and agriculture fires.
- 1163 ¹⁴ CH₄, N₂O emissions from fire in humid tropical forests and other forests, as well as CH₄, N₂O from the combustion of organic soils.
- 1164 ¹⁵ CH₄, N₂O for forest fires + wetland/peatland fires and decay (5A, and 5D classes).
- 1165 ¹⁶ Direct agricultural emissions only
- 1166 ¹⁷ Fertilizers, manure, crop residues
- 1167 ¹⁸ Synthetic fertilizers + Manure applied to soils + Crop residues + Manure applied to pastures.
- 1168 ¹⁹ Indirect emissions
- 1169

1170

	Hotspots	FAOSTAT	EDGAR	Houghton*	Baccini	EPA	AR5*
Deforestation							
Fire							
Wood-harvesting							
Livestock							
Cropland							
Paddy Rice							
Peatland							
Forest sinks							

1171 **Table 4:** Identification of the least reliable emission sources for each dataset considering
 1172 disagreements among emission estimates due to biased/divergent/incomplete definitions and
 1173 methods.