

Dear Prof. Robinson:

Thank you for spending effort to process our manuscript again. Please find our revised manuscript entitled, “The influence of episodic flooding on a pelagic ecosystem in the East China Sea” [manuscript no: bg-2016-246], from submission system of *Biogeosciences* (the tracked version has also been attached below where most of change are marked in red). We have substantially revised the manuscript in response to comments of the two external reviewers, and we are confident that it is now suitable for publication in *Biogeosciences*. Also, please find our detailed responses to the reviewer comments as listed below.

As you can, perhaps, see from the amount of detail included in our responses, we have taken the reviewers’ comments very seriously in preparing this revised manuscript. In general, we are confident that we have been able to respond clearly and reasonably to these comments. In addition, we have asked a native English speaker (Dr. Anderson Mayfield) to do a thorough editing to improve our manuscript before re-submission. Overall, we feel that the reviewer comments were very helpful and that they contributed to a greatly improved manuscript.

We look forward to your decision concerning our manuscript.

With Best regards,

Chung-Chi

Responses to reviewers' comments on ms no: bg-2016-246 "The influence of episodic flooding on pelagic ecosystem in the East China Sea" (Chen, Gong, Chou, Chung, Hsieh, Shiah, and Chiang)

Referee #1

General comments:

This study presents an interesting analysis of pelagic ecosystem responses in the East China Sea to the large flood event in the Changjiang River in 2010. This research is fundamentally focused on "an episodic event", so it would not be too surprising if the scope of relevant measurement is limited. Nonetheless, the authors conducted a fairly good job of synthesizing what they have learned based on their current and others' previous observations and various indices and metrics (e.g. volumetric values in surface water, averaged over the depth of euphotic zone, and depth-integrated values for the entire ECS and the Changjiang Diluted Water (CDW) region). Overall, the analysis is thorough, but I still have a few major suggestions and revisions in terms of statistics/statistical interpretation to suggest before I think this study is ready for publication. These include the status of nutrient limitations based on significant regression relationships (below comment #8) and the relative strength of coupling/control of one variable to another with the regression slope values (below comment #10). I also suggest authors to clarify their calculations on estimating the effect of freshwater discharge on $f\text{CO}_2$ using end-member mixing equations. Below are a range of suggestions, questions, and comments that should be addressed in the revision. Overall, I think this study would be of high interest to the readership after substantial revisions.

Thank you so much for your positive evaluation of our manuscript. We are pleased to see that you generally appreciated our presentation of the results. Indeed, this manuscript intends to explore how episodic flooding affects pelagic ecosystems in the East China Sea. As you commented, the results should be of interest to many readers since extreme rainfall events are predicted to increase globally due to climate change. We drew upon a comprehensive dataset to make our conclusions. We have substantially revised our manuscript following your recommendations, as well as those of the other reviewers, and appreciate the constructive and valuable comments we received. Please see our detailed responses to your comments below. We hope that we have sufficiently addressed your concerns, as they have greatly improved the manuscript. We are now confident that this manuscript is suitable for publication in *Biogeosciences*.

Specific Comments:

1. Abstract, line 43: The sentence “: : : which were not characterized by low SSS in 2009” is not correct. Table 1 indicates SSS in CDW zone was also lower than the entire area (the area for all sampling stations) in 2009.

We apologize for the confusion. Indeed, the SSS in the CDW zone was also lower than the entire ECS study area in 2009. However, we were specifically referring to stations at the CDW zone in 2010 that were *not* previously characterized by low SSS in 2009. To clarify this, this sentence has been slightly modified to become “*The higher CR in 2010 could be attributed to phytoplankton respiration, especially at stations in the CDW zone that were not previously characterized by low sea surface salinity in 2009.*” Please also refer to our response to your comment 10 for more details on this issue.

2. Keywords, line 51: It would be better to be more specific. Perhaps you could add “flooding”, “CDW”, “freshwater discharge” and also “Yangtze River”?

Thank you for the valuable suggestion. The suggested keywords have been added, with the exception of “CDW” since it is a non-standard abbreviation.

3. Comments on Figure 1: 1) The color of the SSS contour plot is confusing to read. Usually with salinity contours, the bluer the fresher and the redder the saltier, 2) you could add the color bars for Figures 1 and 2 both, and 3) please increase the font size.

Thank you for pointing out the common color code contours for salinity. We have now changed our figure to conform to the norms in the field. In addition, the following changes have also been made: 1) color bars were added, 2) the font size was increased per your suggestion, and 3) the symbols for the sampling stations were enlarged. Collectively, we believe your comments have aided in the creation of an improved and easier to read figure. Please also refer to our reply to comment 10 of Reviewer #2 on a similar issue.

4. Comments on Table 1: 1) I think it is critical to compare if there are significant differences between the entire area and CDW zone for all variables in each year as well as overall all 2 years combined, and 2) why zooplankton values are not reported? They are in Table 2, so it should be able to calculate them.

Thank you for the valuable suggestion. We do agree that it is important to rule out whether there was a difference between the CDW zone and the other

areas in the ECS in each year. However, we tended not to compare between the entire area and the CDW zone since they were dependent on each other. As you pointed out, significant differences were evident for almost all variables (Table 1) between the CDW zone and the other regions in the ECS in each year, except for nitrate and phosphate in 2009. To avoid confusion but still provide this information to the reader, we choose to portray this result in the table caption since the comparison found in Table 1 was already complicated enough. However, we will be happy to show it in Table 1 if you still feel that it is necessary.

As for zooplankton values, they were only collected for the whole water column, and not only for the surface water. Therefore, they were not presented in Table 1 in a comparable format.

5. Comments on Table 2: 1) To be consistent with Table 1, you could use brackets for values in the CDW zone instead of parentheses. Also, in Table 1 you could report average values for the entire area (what are in parentheses for now) without parentheses and their ranges in parentheses instead (e.g. SSS: 32.62+/-2.07 (23.80-34.11)).

Good point! For consistency, parentheses have been replaced by brackets in Table 2, as suggested.

As for the suggested format on Table 1, it has also been changed accordingly.

6. Results & discussion, line 226: Please clarify and write more clearly if 2003 was also an anomalous flooding year and how does its area of CDW zone compare with that in 2010.

Thank you for the valuable suggestion. To clarify, this sentence has been slightly modified to become “A low transparency value was documented in June 2003 in the ECS, during which the CDW area was $43.1 \times 10^3 \text{ km}^2$ (~40% of the CDW area of the 2010 flood; Chen et al., 2009), and the average transparency values for the ECS and the CDW were 70.9% and 66.0%, respectively (C.C. Chen, unpublished data).”

7. Results & discussion, line 235: Add the sentence what the response time of phytoplankton bloom is to flooding events if reference exists.

We do agree with your suggestion, and it indeed makes much more sense to add a sentence on this topic. There is, however, little data on this issue. Based on our own dataset in coastal lagoons and limited literature in estuary systems,

we have added the following sentence: “In estuarine and coastal regions, phytoplankton blooms normally occur within 2-3 weeks after a heavy rainfall event (e.g., Hsieh et al., 2012; Meng et al., 2015; Mulholland et al., 2009).”

8. Results & discussion, line 272: I think you need to be cautious about relying solely on N/P elemental ratio for discussing the status of nutrient limitation. The fact that during the 2010 flood chlorophyll was positively correlated with nitrate and silicate (lines 290) but not to (at $\alpha = 0.05$) phosphate (line 291) suggests limitations of nitrate and silicate predominantly, and to a lesser extent, of phosphate on phytoplankton productivity. This is opposite to your statement that 2010 was more likely affected by phosphate limitation. Similarly, phytoplankton might be limited by all three nutrients in 2009 (lines 294-296). Other suggestion is that it would better to focus only on the area in which nitrate and phosphate values were below detection limits as indicated in Table 1.

Good point! Yes, you are correct in noting that the insignificant relationship between Chl a and phosphate concentration suggests that biomass was not limited significantly by phosphate levels in the 2010 flood. Interestingly, it appears that the insignificant linear relationship ($p = 0.09$) between Chl a and [phosphate] in the surface water in 2010 was likely driven by one station with a low Chl a value ($1.1 \text{ mg Chl m}^{-3}$), but a high phosphate concentration ($1.7 \text{ }\mu\text{M}$; Fig. 1f). The linear regression (Chl a vs. phosphate) became statistically significant if this potential outlier was excluded from the analysis ($p < 0.001$). Furthermore, the phosphate concentration in the surface water during the 2010 flood was low, with a mean \pm SD value of $0.17\pm 0.30 \text{ }\mu\text{M}$, and it was similar to the value observed in 2009 ($0.13\pm 0.17 \text{ }\mu\text{M}$). In addition, the high N:P molar ratio in the CDW during the 2010 flood was found to be 40.4. Therefore, except for the high phosphate station, phytoplankton biomass in the 2010 flood was more likely limited by phosphate, in terms of both nutrient availability and the N:P molar ratio.

The areas where nitrate and phosphate were not present at detectable levels in 2010 were mostly outside of the CDW zone, and this is especially true for nitrate. Even though a significant linear relationship was observed between Chl a and nitrate concentration in 2010, the average nitrate value was still high ($10.3 \text{ }\mu\text{M}$) in the CDW zone. Based on the significant relationships observed between Chl a and nitrate and silicate, this suggests that nitrate and silicate might be the limiting factors for phytoplankton growth during the 2010 flood. However, in addition to nitrate and silicate, phosphate might be

the most important limiting factor for phytoplankton growth due to its low concentration level and high N:P molar ratio in 2010. In this manuscript, therefore, we try to emphasize that growth of phytoplankton was more likely limited by phosphate, especially in the CDW in 2010. To clarify, this sentence has been slightly modified to become *“Phytoplankton biomass might have also been limited by nitrate and silicate levels in 2010. Based on nutrient levels and the N/P molar ratio, however, phytoplankton growth was more likely limited by phosphate, especially in the CDW zone during the 2010 flood (please refer to Sect. 3.2 for details.)”* Hopefully, this explanation and these data can satisfy your inquiry.

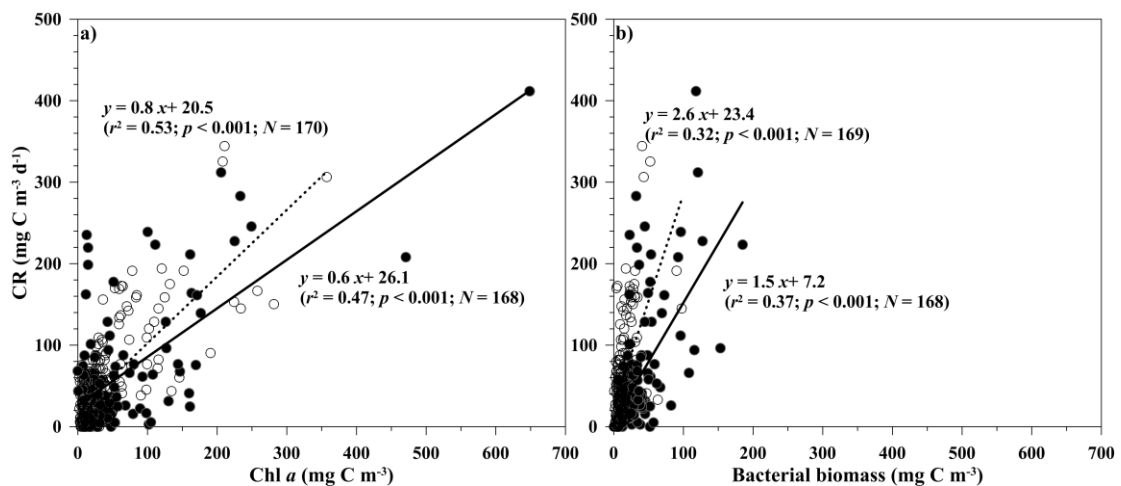
9. Results & discussion, line 413-414: I do not follow. Please see below comments on Figures 3-5.

We apologize for the confusion. In our previous version of the manuscript, we had simply tried to reduce the number of panels in the figure. Therefore, both data for 2009 and 2010 were combined into one panel in Fig. 3 for Chl *a* and bacteria. As for the results mentioned in the text, we might have jumped one step ahead to describe the results found in Fig. 5 (please refer to our response to your comment 10 for other details.). As you point out, Fig. 3 suggests that the CR might be dominated by phytoplankton and bacterioplankton in either 2009 or 2010. To clarify this, this sentence has been modified to *“Given the importance of phytoplankton and bacterioplankton to CR rates in both years, as well as their high densities measured herein, it seems likely that these microbial groupings contributed substantially to the CR rate in both 2009 and 2010.”*

10. Comments on Figures 3, 4, 5: When two variables are regressed onto each other, the slope of regression could be used to assess the strength of coupling between the two or control of one to another – the higher slope, the strong coupling/control, where even a small change in X-values results in a huge response in Y-values. In this regard, for Figure 3 I would consider stronger control of chlorophyll and bacterial biomass on CR in 2010 than in 2009 if you do inter-year comparisons. Figure 4 might also be similarly interpreted (the stronger control of PP on CR in 2010 than in 2009). The same goes for Figure 5. Additionally, if the unit of chlorophyll is converted to that of bacterial biomass, the relative strength of control by each on CR could also be inferred.

We do agree that the slope of the regression line could be used to assess the

strength of coupling between two parameters. As in our reply to your previous comment regarding Fig. 3, we tried to analyze and understand whether or not the CR rates were related to phytoplankton and/or bacterioplankton biomass. Even though significant relationships were found between CR and 1) Chl *a* and 2) bacteria, this still could not explain why the CR was higher in 2010 than in 2009. Therefore, Fig. 5 (now Fig. 4) was used to further explore whether differences in phytoplankton or bacterioplankton biomass contributed to the higher CR observed in 2010. Interestingly, the extent of the inter-annual (2010 minus 2009) difference in CR was significantly related to differences in Chl *a* concentration ($p < 0.001$) and bacterial biomass ($p < 0.01$). Among the positive CR differences (i.e., 20 of 33 stations), 15 stations were also characterized by positive differences in Chl *a* concentrations, but only two stations had positive differences in bacterial biomass. Interestingly, the stations with positive Chl *a* concentration differences were mostly located within the CDW region in 2010. These results suggest that the higher CR during the 2010 flood might be attributed to phytoplankton, especially in the CDW. As for Fig. 4 (i.e., CR vs. PP), it has been removed from this revision since we only have PP data for 2010, as you pointed out. Based on these analyses, therefore, we try to state in the Abstract that *“The higher CR in 2010 could be attributed to phytoplankton respiration, especially at stations in the CDW zone that were not previously characterized by low sea surface salinity in 2009.”* For your reference, the modified Fig. 3 with Chl *a* converted to carbon units (C:Chl *a* of 52.9; Chang et al., 2003) is presented below:



Chang, J, F.-K. Shiah, G.-W. Gong, K.-P. Chiang. (2003). Cross-shelf variation in carbon-to-chlorophyll *a* ratios in the East China Sea, summer 1998. *Deep-Sea Res. II*, 50: 1237-1247.

11. Results & discussion, line 468: This is only true relative to global average values in coastal oceans. I do not think you could say this unless you compare with P/R value in the non-flooding year, which you do not have the data for.

Yes, you are correct that we do not have P/R data for 2009. Therefore, this paragraph has been removed per your suggestion.

12. Results & discussion, lines 487-499: I suggest you provide a full summary of values calculated from endmember mixing equations, including equations and how to calculate uncertainties, RMSE, etc. Also, you might want to more emphasize that the calculations presented in this paragraph give you estimates that are expected by purely physical processes, without taking biological effect into account. Deviation from what is estimated based on endmember mixing model is due to biological effect, which you nicely described in the following paragraph.

The following new paragraph has been added to the revised manuscript to clearly explain the simulation of $f\text{CO}_2$ under a conservative mixing model, including equations and uncertainty analysis: “Provided that the proportional contributions from freshwater and seawater endmembers are f_1 and f_2 ($f_1+f_2=1$), respectively, the conservative mixing TA and DIC values for a given water sample can be expressed by the following equations:

$$TA_{\text{mix}}=TA_{\text{fw}}\times f_1+TA_{\text{sw}}\times f_2$$

$$DIC_{\text{mix}}=DIC_{\text{fw}}\times f_1+DIC_{\text{sw}}\times f_2$$

where the subscripts “mix”, “fw”, “and “sw” represent values of conservative mixing, freshwater, and seawater endmembers, respectively. The TA and DIC data reported by Zhai et al. (2007) for the Changjiang River in summer were used as the freshwater endmember (both TA_{fw} and $DIC_{\text{fw}}=1743 \mu\text{mol kg}^{-1}$), and the surface data at station K in July 2009 and 2010 were chosen to represent the seawater endmember ($TA_{\text{sw}}=2241 \mu\text{mol kg}^{-1}$ and $DIC_{\text{sw}}=1909 \mu\text{mol kg}^{-1}$ in 2009; $TA_{\text{sw}}=2240 \mu\text{mol kg}^{-1}$ and $DIC_{\text{sw}}=1904 \mu\text{mol kg}^{-1}$ in 2010). Subsequently, the hypothetical $f\text{CO}_2$ from conservative mixing was calculated from the TA_{mix} and DIC_{mix} data using CO2SYS version 2.1 (Pierrot et al. 2006), in which the carbonic acid dissociation constants were adopted from Mehrbach et al. (1973) and refitted by Dickson and Millero (1987). The uncertainty in this simulation mainly derives from errors in the estimations of TA_{mix} and DIC_{mix} . Assuming the errors of the calculated TA_{mix} and DIC_{mix} are $\pm 5 \mu\text{mol kg}^{-1}$, this may result in an uncertainty of $\pm 13 \mu\text{atm}$ in the simulated $f\text{CO}_2$.”

Technical Corrections:

1. Line 129: Replace “estimated” with “calculated”

Thank you for so thoroughly reviewing our manuscript and providing many valuable and constructive suggestions. It has been revised accordingly, and we hope you will find a superior manuscript.

2. Line 159: Replace “multiple” with “multiplied”

Thanks! The suggested change has been made.

3. Line 189: Avoid subjective words like “devastating”.

Thanks! The suggested change has been made. We have used “great” in place of “devastating” in this revision.

4. Line 240: “immense” to “large”

Thanks! The suggested change has been made.

5. Line 264: Replace “16” with canonical Redfield ratio for N:P of 16 or so.

Thanks! The suggested change has been made.

6. Line 418: Awkward phrase “to gain greater insight”.

This phrase has been replaced by “In a further analysis” in this revision.

7. Lines 433-444: Please be specific.

A short sentence has been added to point out that CR might be attributed to variable phytoplankton assemblages.

8. Line 463: I think it is “overestimated” given the vertical profile of P and R?

Yes, you are right. Thank you for pointing out this error! Please also refer to our reply to your specific comment 11 for other details.

9. Line 484-486: Please be specific and give numbers from the calculation.

The temperature effect on $f\text{CO}_2$ variation has been specifically explained in the revised sentence: “The effect of temperature on the large variation in $f\text{CO}_2$ observed between the 2009 non-flooding period and the 2010 flood was trivial; the SST difference of 0.7°C between 2009 and 2010 would only equal a $f\text{CO}_2$ decrease of approximately $10 \mu\text{atm}$ (Table 1).”

Referee #2

General comments:

Review of Chung-Chi Chen et al submitted to Biogeosciences. The aim of this paper is stated to ‘reveal the effects of riverine input of dissolved inorganic nutrients on the plankton communities that support heterotrophic processes in the East China Sea shelf ecosystem between periods of non-flooding and flooding. Generally the topic of the paper is clearly introduced as a comparison of data collected during summer surveys of the ECS in July 2009 and 2010 with 2010 being a year when exceptional river flows from the Changjiang river impacted the coast waters of the ECS.

The methods are reasonably clearly described with references to several previous papers by the research team. However the collection of zooplankton needs more explanation – if they were vertical hauls through the water column give the depth range. Were the zooplankton preserved in formalin prior to counting? . . .Also it is rather non-standard to use GF/F filters to collect ¹⁴C labelled phytoplankton following incubations. How significant was the loss of small phytoplankton ie <1um on the ¹⁴C uptake rates. Also as this ¹⁴C data was only collected during the 2010 survey I suggest it could be removed from the paper. Determining oxygen respiration rates from dark incubation of enclosed water samples by difference between initial fixed samples and final incubated bottles using the Winkler method to analyse for dissolved oxygen is a standard approach. However based on only two initial and two final replicates I suggest will yield low precision measurements. It is standard practise to use at least 4 replicates of initial and final bottle measurements. The precision stated is only really the difference divided by the mean of two replicates and I would suggest rather unreliable.

We appreciate that you so thoroughly reviewed our manuscript, and you have provided many valuable and constructive suggestions. We also thank you for agreeing with our dataset’s ability to be used to compare between flooding and non-flooding periods. We have taken your comments, as well as those of the other reviewer, very seriously in preparing this revised manuscript.

Overall, we feel that the comments were very helpful and contributed to a greatly improved manuscript.

We also apologize for the unclear statements found within the “Materials and Methods” section, and we have done our best to clarify these in the revision. For example, the depth range of sampling and the preservation (with 10% buffered formalin) of zooplankton have now been mentioned. As for primary production, we do agree with your suggestion. Therefore, the related treatise of PP has been removed from the manuscript (including what was previously

Fig. 4).

Per your first major concern, it is tedious and labor-intensive to make community respiration (CR) measurements *in situ*. We did include 672 and 692 CR measurements (initial + dark incubation) made in 2009 and 2010, respectively. Based on our previous measurements, our duplicate measurements have high precision (e.g., Chen et al. 2003). Therefore, duplicate, instead of triplicate, samples were incubated at each sampling depth. Hopefully, this is understandable.

My main problem with this paper however is the section labelled Results and Discussion. This section of the paper is 18 pages long! If the paper is to be resubmitted I strongly recommend that the results and discussion are presented as two different sections and the discussion section greatly shortened. The discussion and interpretation of the data currently included in the paper is at best speculative and in many places vague with the word 'might' used very frequently in numerous sentences. For example Page 19 lines 326-328 Page 19 line 340 Page 21 lines 323-375 Page 22 line 392 plus many more scattered throughout this section.

Thank you for your constructive suggestion. In the previous version, we indeed intended to discuss our results by analyzing them in concert with those of the primary literature. This was because that we did not want to rule out any potential causal factors for the observed outcomes in this study. Therefore, as you correctly pointed out, the manuscript was long and speculative; there are so many potential relationships that could have explained our data. We have tried to make it seem less speculative. In this revision, the ambiguous statements have been largely removed, as evidenced by a dramatic reduction in the page number (from 20 to 15 pages, even including the addition of two pages requested by Reviewer #1). In addition and per your next comment, the Conclusions section has also been greatly shortened (from 3 to 1.5 pages) by removing less significant findings.

The conclusion section also needs to be much shorter and report the studies main findings without including too many references to other studies. In summary I strongly recommend this paper only be considered for publication if following resubmission the results and discussion are rewritten as separate sections and the discussion is greatly shortened and written less speculatively.

Wow! This is amongst the toughest comments we have ever received, and we struggled for a few days to respond. We understand that the long (20 pages)

Results and Discussion was difficult to get through in the previous version of the manuscript. Although a combination of these two sections is permitted by *Biogeosciences*, we appreciate your concern and want to make this dataset and manuscript as accessible as possible. Rather than dividing the two sections, we have instead made an effort to shorten this combined section by removing the most speculative sections. Therefore, a significant amount of text has been removed, including: 1) almost all of the vaguely worded statements in the discussion and 2) text, tables, and figures related to primary production. This can be evident by a dramatic reduction in the page number (from 20 to 15 pages, despite the inclusion of two pages at the request of Reviewer #1) in this combined section. We also greatly shortened the conclusions per your suggestion (from 3 to 1.5 pages). Hopefully, you will now find that the Results and Discussion of this revision is less speculative than the previous version. If, however, you still find this section to be too long and continue to deem it necessary to split into a traditional Results section followed by a short Discussion section, we will be pleased to do so in the next incarnation of the manuscript.

For your reference, the removed text of previous version included lines 161-171, 301-305, 313-328, 353-365, 373-375, 390-393, 400-410, 460-476, and 541-550.

Specific Comments:

1. Page 2 line 42; *‘vigorous plankton metabolic activities especially phytoplankton’* – rather vague- be more specific eg *respiration? Production?*

We had somewhat deliberately worded it this way because we don't actually know why CR differed. To clarify, this sentence has been modified to *“The higher CR in 2010 could be attributed to phytoplankton respiration, especially at stations in the CDW zone that were not previously characterized by low sea surface salinity in 2009.”* in this revision.

2. Page 2 line 43 define *‘SSS’*

Thanks! We have now defined *“SSS”* (*“sea surface salinity”*) at its first usage.

3. Page 2 line 44 *‘. . .zooplankton might be . . .’* far too vague in abstract.

You are right, and we do agree with your comment. This sentence has been clarified to become *“...zooplankton were...”* in this revision.

4. Page 5 line 72 line avoid using the word 'tremendous'

The word "tremendous" has been replaced by "large" in the revised manuscript.

5. Page 5 line 78 and elsewhere delete 'psu' salinity has no units now.

Thank you for pointing this out; "psu" has been deleted throughout this revision.

6. Page 12 line 211 'previously documented values' – be more specific ie when?

We agree with your suggestion, and the actual comparison time (i.e., summer) has been specifically mentioned in this revision.

7. Page 13 line 230 change 'trailing' to 'previous'.

Thank you! The suggested change has been made.

8. Page 15 line 261 the single high phosphate concentration also evident on figure 1 looks to be an analytical anomaly.

We have re-checked our logbook and did not find any calculation or instrument setting errors that could have resulted in this anomalous data point. Indeed, we seriously considered removing it, as doing so would facilitate our explanation of the data. We discuss this matter above, as the first reviewer also noted this strange result. For example, the linear regression (Chl *a* vs. phosphate) became statistically significant if this outlier was excluded from the analysis ($p < 0.001$; please also refer to our reply to comment 8 of reviewer #1 for more details.). To avoid data tampering/mining, we have opted to keep this data point in this revision, even though it heavily influences the regression analysis.

9. Page 17 line 304 and table 2 data. I do not believe it is useful or that accurate to estimate the total chlorophyll *a* etc in the ECS. I suggest deleting table 2.

Reviewer #1 stated "Nonetheless, the authors conducted a fairly good job of synthesizing what they have learned based on their current and others' previous observations and various indices and metrics (e.g. volumetric values in surface water, averaged over the depth of euphotic zone, and depth-integrated values for the entire ECS and the Changjiang Diluted Water (CDW) region)". Indeed, we tried to provide as much oceanographic data as possible to elucidate how pelagic ecosystems respond to flooding. In Table 1,

the average values of Chl a and bacterioplankton have been presented for 2009 and 2010. There was no effect of year on Chl a levels due to large variation in the dataset. As the region influenced by the river was much larger in 2010, it is difficult to know if the differences between mean values resulted from differences in ecosystem composition and function within this region or from the differences in the total area affected. Table 2 then provides another viewpoint to examine the effect of flooding on the response of the total biomass and other biological variables over the entire ECS and in the CDW zone. To provide the best estimation of total biomass, Surfer 11 (Golden Software, Inc.) was used. In addition, zooplankton was one of the important contributors to CR during the flooding period, and the zooplankton data are only presented in Table 2 in this manuscript. For these reasons, it seems necessary to keep Table 2 in this revision. However, to respond to your concern, the chlorophyll data have been removed from Table 2. Hopefully, our explanation can satisfy your concerns.

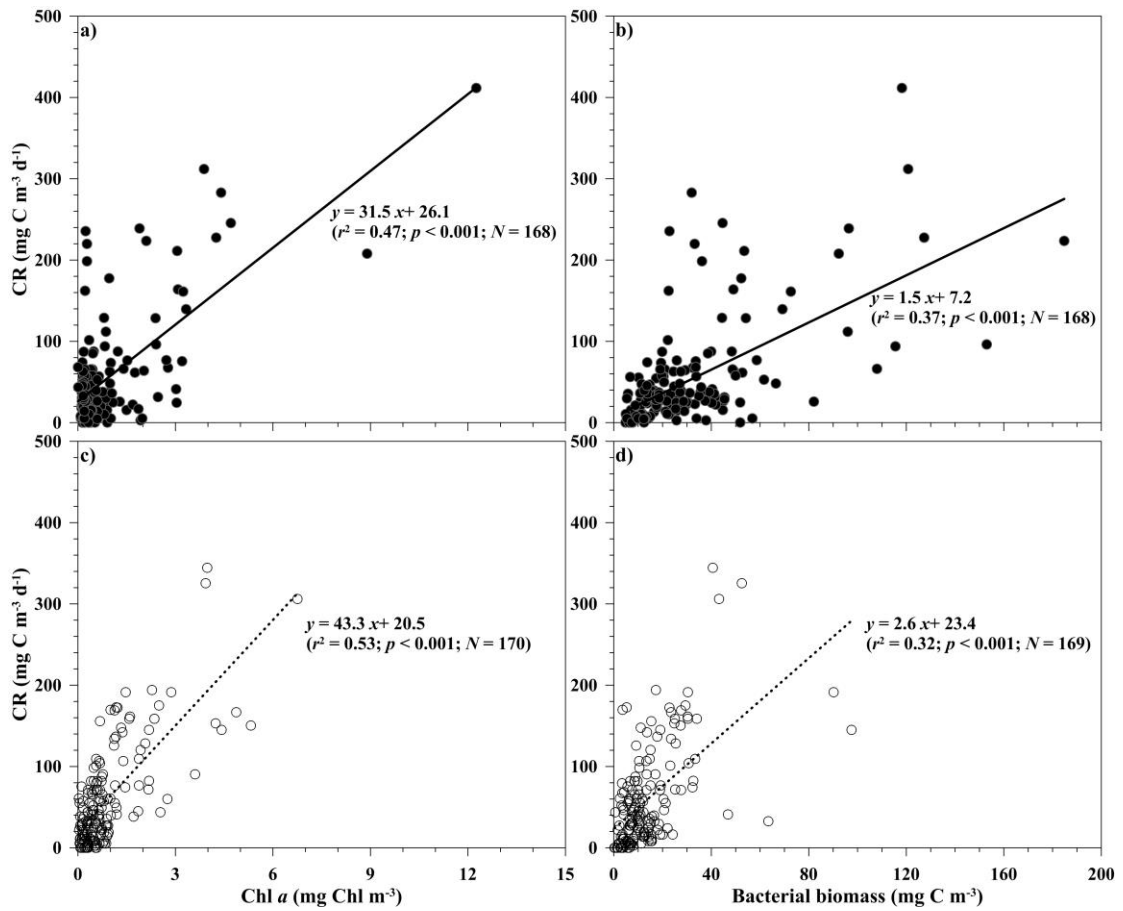
10. Page 46 and 47 Figure 1 and 2. The contour plots are not very clear. The sampling locations need to be more clearly indicated by larger clear symbols.

Thank you for pointing out the lack of clarity in the contour plots; reviewer 1 also raised a similar issue. These figures have been modified to be easier to read. For instance, the color code for salinity was changed, color bars were added, the font size was increased, and the sampling station symbols were enlarged. Collectively, we now feel that Figures 1 and 2 look much better, and we hope you do, as well.

11. Figure 3 Although the relationships shown apparently are significant- the considerable scatter is not very convincing. If the one high chlorophyll point is removed from figure 3a is the relationship still significant? The relationships might be more usefully illustrated if the data from each year is shown on separate plots ie 2009 in upper figure and 2010 on lower figure with axis ranges the same on both figures.

Even though we expected quite a degree of scatter in our data due to extensive temporal and spatial variation found in nature, we have still taken this suggestion as an opportunity to re-examine our dataset. The positive, linear relationship between CR and Chl a was still statistically significant even after the one high chlorophyll data point was removed from this analysis: $y = 31.5x + 26.1$ ($r^2 = 0.36$; $p < 0.001$; $N = 167$).

We had plotted data from both surveys years together to reduce the number of panels, and, more importantly, to more readily allow for a visual comparison. For your reference, a new figure has been created per your suggestion below. We will be glad to replace the original figure with this new one if you still deem it necessary.



ABSTRACT

31
32 This study was designed to determine the effects of flooding on a pelagic ecosystem in the East
33 China Sea (ECS), with a focus on plankton community respiration (CR). In July 2010, a flood
34 occurred in the Changjiang River. As a comparison, a variety of abiotic and biotic parameters
35 were monitored both during this flooding event, as well as during a non-flooding period (July
36 2009). During the flood, the Changjiang diluted water (CDW) zone covered almost two thirds of
37 the ECS, which was approximately six times the area covered during the non-flooding period.
38 The mean nitrate concentration was 3-fold higher during the 2010 flood (6.2 vs. 2.0 μM in 2009).
39 CR was also higher in the 2010 flood: 105.6 $\text{mg C m}^{-3} \text{d}^{-1}$ vs. only 73.2 $\text{mg C m}^{-3} \text{d}^{-1}$ in 2009.
40 The higher CR in 2010 could be attributed to phytoplankton **respiration**, especially at stations in
41 the CDW zone **that were not previously characterized by low sea surface salinity in 2009**. In
42 addition, zooplankton **were** another important component contributing to the high CR rate
43 observed during the 2010 flood, a period also associated with a significant degree of $f\text{CO}_2$
44 drawdown. These results collectively suggest that the 2010 flood had a significant effect on the
45 carbon balance in the ECS; this effect might become more pronounced in the future, as extreme
46 rainfall and flooding events are predicted to increase in both frequency and magnitude due to
47 climate change.

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49 Keywords: Bacteria; Dissolved inorganic nutrients; East China Sea; **Flooding; Freshwater**

50 **discharge**; Phytoplankton; Plankton community respiration; **Yangtze River**

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1 INTRODUCTION

Riverine run-off has a profound effect on the production and consumption of organic carbon in coastal ecosystems (e.g., Dagg et al., 2004; Hedges et al., 1997 and references therein). Accompanying freshwater discharge, a substantial amount of dissolved inorganic nutrients (DIN) is routinely dispensed into coastal regions, thus enhancing primary productivity (PP; e.g., Dagg et al., 2004; Nixon et al., 1996). In addition, a large quantity of particulate and dissolved organic matter is discharged via riverine input (e.g., Wang et al., 2012), and high rates of microbial metabolism associated with this discharge have been observed in marine environments (e.g., Hedges et al., 1994; Malone and Ducklow, 1990). River plumes can extend for hundreds of kilometers along the continental shelf, as in the case of the Amazon River (e.g., Müller-Karger et al., 1988).

Overall, the effects of river plumes on coastal ecosystems are strongly related to the volume of the freshwater discharged (e.g., Chen et al., 2009; Dagg et al., 2004; Tian et al., 1993). Thus, understanding how freshwater discharge influences coastal ecological processes is an important factor in modeling global carbon cycling in the ocean. Under projected climate change scenarios, such heavy freshwater discharge events are predicted to become even more pronounced in the near future because of the dramatic frequency and magnitude increases in extreme rainfall events and floods predicted to occur throughout the world in the coming decades (Christensen and

70 Christensen, 2003; Knox, 1993; Milly et al., 2002; Palmer and Ralsanen, 2002).

71 The East China Sea (ECS) has an approximate area of $0.5 \times 10^6 \text{ km}^2$ and is the largest
72 marginal sea in the Western Pacific. A **large** amount of freshwater ($956 \text{ km}^3 \text{ yr}^{-1}$) is discharged
73 annually into the ECS, notably by the Changjiang (a.k.a Yangtze) River, which is the fifth largest
74 river in the world in terms of volume discharge (Liu et al., 2010). On average, the maximum
75 amount of discharge occurs in July, and mean monthly discharge has ranged from 33,955 to
76 $40,943 \text{ m}^3 \text{ s}^{-1}$ in years of normal weather during the past decade (Gong et al., 2011; Xu and
77 Milliman, 2009). After having been discharged into the ECS, freshwater mixes with seawater to
78 form the Changjiang diluted water (CDW) zone, the sea surface salinity (SSS) of which is ≤ 31
79 (e.g., Beardsley et al., 1985; Gong et al., 1996). In the CDW, especially in summer, the regional
80 carbon balance is regulated by high rates of plankton community respiration (CR) and PP (Chen
81 et al., 2006; Gong et al., 2003). The rates of CR are positively associated with riverine flow rates
82 (Chen et al., 2009).

83 In July 2010, a large flood occurred in the Changjiang River (Gong et al., 2011). This event
84 provided an opportunity to understand how flooding affects the ECS shelf ecosystem.
85 Comparative analyses were conducted in which number of physical, chemical, and biological
86 parameters (notably CR) were measured not only during this flood, but also during a period (July
87 2009) when the riverine flow was relatively low. The main objective of this study was to reveal

88 the effects of riverine input, particularly the associated DIN, on the plankton communities that
89 support heterotrophic processes in the ECS shelf ecosystem between periods of non-flooding and
90 flooding. In addition, the relationship between CR and the fugacity of CO₂ ($f\text{CO}_2$) was examined
91 to determine the contribution of the plankton communities to variations in $f\text{CO}_2$ in periods of
92 non-flooding and flooding.

93 2 MATERIALS AND METHODS

94 **2.1 Study area and sampling protocol.** This study is part of the Long-term Observation
95 and Research of the East China Sea (LORECS) program. Samples were collected from the ECS
96 in the summers of 2009 (June 29 to July 13) and 2010 (July 6 to 18) during two cruises on the
97 *R/V Ocean Researcher I*. The sample stations were located throughout the ECS shelf region (Fig.
98 1). In July 2010, the discharge from the Changjiang River reached $60,527 \text{ m}^3 \text{ s}^{-1}$, which was
99 significantly higher than in the non-flooding year of 2009 (Gong et al., 2011; Yu et al., 2009).
100 Water samples were collected using Teflon-coated Go-Flo bottles (20 L, General Oceanics Inc.,
101 USA) mounted on a General Oceanic Rosette® assembly (Model 1015, General Oceanics Inc.).
102 At each station, six to nine samples were taken at depths of 3 to 50 m, depending on the depth of
103 the water column. Sub-samples were taken for immediate analysis of DIN, chlorophyll *a* (Chl *a*),
104 and bacterial abundance. Plankton CR was also measured on board from seawater sub-samples.
105 The methods used to collect the hydrographic data and analyze the aforementioned response

106 variables followed Chen et al. (2006; 2013; 2009). Descriptions of the methods used are
107 presented briefly in the following sections. It should also be noted that portions of these results
108 were published by Chung et al. (2014) and Gong et al. (2011).

109 **2.2 Physical and chemical hydrographics.** Seawater temperature, salinity, and
110 transparency were recorded throughout the water column using a SeaBird CTD (USA).
111 Photosynthetically active radiation (PAR) was measured throughout the water column using an
112 irradiance sensor (4 π ; QSP-200L). The depth of the euphotic zone (Z_E) was taken as the
113 penetration depth of 1% of the surface light. The mixed layer depth (M_D) was based on the
114 potential density criterion of 0.125 units (Levitus, 1982).

115 A custom-made flow-injection analyzer was used for dissolved inorganic nutrient (e.g.,
116 nitrate, phosphate, and silicate) analysis (Gong et al., 2003). Integrated values for the nitrates and
117 other variables assessed in the water column above the Z_E were estimated using the trapezoidal
118 method, in which depth-weighted means are computed from vertical profiles and then multiplied
119 by Z_E (e.g., Smith and Kemp, 1995). The average nitrate concentration over Z_E was **calculated**
120 from the vertically integrated value divided by Z_E . This calculation was adopted to determine the
121 values of the other measured variables.

122 The fugacity of CO_2 ($f\text{CO}_2$) in the surface waters was calculated from dissolved inorganic
123 carbon (DIC) and total alkalinity (TA) data using a program designed by Lewis and Wallace

124 (1998). For details of the TA and DIC measurements, please see Chou et al. (2007).

125 **2.3 Biological variables.** The water samples taken for Chl *a* analysis were immediately
126 filtered through GF/F filter paper (Whatman, 47 mm) and stored in liquid nitrogen. The Chl *a*
127 retained on the GF/F filters was quantified fluorometrically (Turner Design 10-AU-005; Parsons
128 et al., 1984). When applicable, Chl *a* was converted to carbon units using a C:Chl ratio of 52.9,
129 which was previously estimated from shelf waters of the ECS (Chang et al., 2003). Surfer 11
130 (Golden Software, Inc.) was used to estimate total Chl *a* content integrated over Z_E for both the
131 ECS and the CDW (please see below for details.). This estimation was also adopted to determine
132 the total quantities for heterotrophic bacteria and zooplankton across Z_E .

133 Heterotrophic bacteria samples were fixed in paraformaldehyde at a final concentration of
134 0.2% (w/v) in the dark for 15 min. They were then immediately frozen in liquid nitrogen and
135 kept at -80°C prior to analysis. The heterotrophic bacteria were stained with the nucleic
136 acid-specific dye SYBR® Green I (emission = 530 ± 30 nm) at a 10^4 -fold diluted commercial
137 solution (Molecular Probes, Oregon, USA; (Liu et al., 2002). They were then identified and
138 enumerated using a flow cytometer (FACSAria, Becton-Dickinson, New Jersey, USA). Known
139 numbers of fluorescent beads (TruCOUNT Tubes, Becton-Dickinson) were simultaneously used
140 to calculate the original cell abundance in each sample. Bacterial abundance was converted to
141 carbon units using a conversion factor of 20×10^{-15} g C cell⁻¹ (Hobbie et al., 1977; Lee and

142 Fuhrman, 1987).

143 Zooplankton samples were collected across the whole water column (ranging from 20 to
144 198 m, depending on the station), at selected stations using a 330- μ m mesh net with a 160-cm
145 diameter opening. Upon retrieval of the net, the contents of the cod-end were immediately
146 preserved in 10% buffered formalin. Zooplankton samples were digitized to extract size
147 information (i.e., body width and length) using the ZooScan integrated system, and the size
148 information was used to calculate the ellipsoidal bio-volume of zooplankton (Garcia-Comas,
149 2010). The biomass (carbon units) of zooplankton was then calculated using the estimated
150 bio-volume following equations of Alcaraz et al. (2003). To estimate the biomass over Z_E , the
151 total biomass of zooplankton over the whole water column was multiplied by the fraction of “ Z_E
152 relative to depth of the water column” at all stations.

153 The plankton CR, which was calculated as the decrease in dissolved oxygen (O_2) during
154 dark incubation (Gaarder and Grann, 1927), was measured in samples collected from most
155 stations, with two initial and two dark treatment samples taken from 4-6 depths (depth intervals
156 of 3, 5, 10, 15, 20, and/or 25 m depending on the depth of the water column) within the Z_E at
157 each station. The treatment samples were siphoned into 350-mL biological oxygen demand
158 (BOD) bottles and incubated for 24 hrs in a dark chamber filled with running surface water.
159 Maximum temperature changes were 1.33 ± 0.81 and $2.70 \pm 1.43^\circ\text{C}$ (mean \pm SD) during each

160 incubation in 2009 and 2010, respectively. The concentration of O₂ was measured by a direct
161 spectrophotometry method (Pai et al., 1993). The precision of this method was calculated as the
162 root-mean square of the difference between the duplicate samples and was found to be 0.02 and
163 0.03 mg L⁻¹ in 2009 and 2010, respectively. The precision for initial samples in both periods was
164 < 0.01 mg L⁻¹. The difference in O₂ concentration between the initial and the dark treatment was
165 used to compute the CR. A respiration quotient of 1 was assumed in order to convert the
166 respiration from oxygen units to carbon units (Hopkinson Jr., 1985; Parsons et al., 1984).

167 3 RESULTS and DISCUSSION

168 3.1 Comparison of hydrographic patterns between flooding and non-flooding periods

169 In 2010, the Changjiang River began to flood in late May or early June. The mean monthly
170 water discharge was 60,527 m³ s⁻¹, and the threshold discharge rate was 4-6 x 10⁴ m³ s⁻¹, making
171 it the largest recorded flooding of the Changjiang River over the last decade
172 (<http://yu-zhu.vicp.net/>). This rate was almost two times larger than that recorded in the
173 non-flooding period in July 2009 (33,955 m³ s⁻¹; (Gong et al., 2011; Yu et al., 2009). During the
174 flood, a tremendous quantity of freshwater was delivered into the ECS, and the low salinity of
175 the sea surface (SSS ≤ 31) covered almost two thirds of the continental shelf (Fig. 1b). The SSS
176 in the ECS during the 2010 flood was significantly lower than during the 2009 non-flooding
177 survey period; the mean (± SD for this and all parameters discussed henceforth) values were

178 30.32 (± 3.60) and 32.62 (± 2.07), respectively (Table 1). During periods of high discharge from
179 the river, particularly during the summer, the CDW zone is generally distributed within the 60-m
180 isobath region between the latitudes of 27 and 32° N along the coast (e.g., Beardsley et al., 1985;
181 Gong et al., 1996). During the 2010 flood, the CDW dispersed towards the south and east and
182 reached as far as the 100-m isobath (Fig. 1b). The substantial quantity of freshwater discharged
183 into the ECS is also reflected in the coverage area of the CDW (e.g., Gong et al., 2011); in the
184 2010 flood, the CDW area ($111.7 \times 10^3 \text{ km}^2$) was approximately six times larger than in the 2009
185 non-flooding period ($19.0 \times 10^3 \text{ km}^2$).

186 Although the mean SSS differed significantly between the flooding and non-flooding
187 periods, there was no difference in the temperature of the sea surface (SST; Table 1). The mean
188 values of SST in 2009 (26.8 ± 1.7) and 2010 ($26.1 \pm 2.2^\circ\text{C}$) were within the range of the
189 mean SST of the ECS in summer (Chen et al., 2009). The mixed layer depth (M_D) did not
190 significantly vary between survey periods: $13.7 (\pm 7.3)$ m in 2009 and $11.3 (\pm 6.6)$ m in 2010
191 (Table 1). However, the average M_D was shallower than documented previously in the summer in
192 the ECS (range: from 16.8 to 28.2 m; Chen et al., 2009). The euphotic depth (Z_E) was not
193 significantly deeper in 2009 (38.9 ± 36.4 m) than in 2010 (33.4 ± 17.3 m; Table 1). Regarding
194 the M_D , the average Z_E in the ECS was also shallower than in a previous study conducted during
195 the summer (Chen et al., 2009). The shallower Z_E could have been indirectly influenced by the

196 transparency of the seawater. The average transparency in summer in the ECS over the
197 2003-2008 period was 81.9% (C.C. Chen, unpublished data). The average transparency values of
198 the ECS in 2009 and 2010 were 76.7% and 80.5%, respectively (Table 1). The average
199 transparency for the CDW zone was lower in 2009 (70.0%) and higher high in 2010 (78.4%)
200 compared to the **previous** 6-year average (72.7%; C.C. Chen, unpublished data). This might also
201 explain why Z_E in the CDW in 2009 was only 16.8 m (Table 1).

202 These findings suggest that the growth of phytoplankton might be limited by the availability
203 of light, especially in the CDW zone in 2009. Generally, the transparency of the coastal ocean
204 might be low during flooding periods due to riverine discharge of terrestrial matter. **A low**
205 **transparency value was documented in June 2003 in the ECS, during which the CDW area was**
206 **$43.1 \times 10^3 \text{ km}^2$ (~40% of the CDW area of the 2010 flood; Chen et al., 2009), and the average**
207 **transparency values for the ECS and the CDW were 70.9% and 66.0%, respectively (C.C. Chen,**
208 **unpublished data).** The average transparency in the CDW in 2010 (78.4%) was higher than the
209 **previous** 6-year average (72.7%). This could be partially explained by the fact that most large
210 particulates from terrestrial sources might have been confined to and precipitated in the coastal
211 region, not in the expanded CDW region (e.g., Chung et al., 2012). Furthermore, it should also
212 be noted that the 2010 sampling period was one month after the beginning of this flood. **In**
213 **estuarine and coastal regions, phytoplankton blooms normally occur within 2-3 weeks after a**

214 heavy rainfall event (e.g., Hsieh et al., 2012; Meng et al., 2015; Mulholland et al., 2009).

215 Therefore, it is reasonable to speculate that plankton communities were in the late phase of
216 succession in this flood event. The transparency during the 2010 sampling period might, then,
217 have increased due to organic matter (particulate and dissolved) having been uptaken and
218 transferred to higher trophic levels.

219 In general, a large quantity of dissolved inorganic nutrients is delivered from the Chinese
220 coast to the ECS during the wet season (May to September; Chen et al., 2013; Chen et al., 2009;
221 Gong et al., 1996). A high concentration of nitrates in the fluvial discharge of the Changjiang
222 River was documented in the ECS during the 2010 flood. Furthermore, there was 1) a negative
223 linear relationship between SSS and nitrate concentration ($r^2 = 0.37$, $p < 0.001$, $n = 37$), 2) a
224 negative linear relationship between SSS and silicate concentration ($r^2 = 0.60$, $p < 0.001$, $n = 37$),
225 and 3) no correlation between SSS and phosphate concentration. Nitrate concentration (Table 1)
226 was significantly higher in the surface waters of the ECS in the 2010 ($6.2 \pm 9.8 \mu\text{M}$) flood than in
227 the 2009 non-flooding period ($2.0 \pm 5.3 \mu\text{M}$), and similar nitrate concentration differences were
228 perpetuated between sampling times over Z_E (data not shown). During the 2010 flood, the mean
229 nitrate concentration, either in the surface water or averaged over Z_E , was higher or comparable
230 to that documented during periods of high riverine discharge in the ECS (Chen et al., 2009; Gong
231 et al., 1996). Nitrate levels reached $37.6 \mu\text{M}$ in the surface water during the 2010 flood, and the

232 highest nitrate concentrations were observed within the CDW (Fig. 1d).

233 The phosphate concentration in the surface water (Table 1) did not differ between the 2009
234 non-flooding period ($0.13 \pm 0.17 \mu\text{M}$) and the 2010 flood ($0.17 \pm 0.30 \mu\text{M}$), nor did it differ in
235 the CDW zone between study years (0.23 and $0.13 \mu\text{M}$, respectively). However, it should be
236 noted that there was one station with extremely high phosphate concentration ($1.71 \mu\text{M}$) in the
237 surface water in the CDW zone during the 2010 flood (Fig. 1f), during which the mean molar
238 ratio of nitrate to phosphate (N/P) was 22.3 ± 20.9 . The high N/P molar ratio was even more
239 pronounced in the CDW; it was higher than the Redfield ratio for N:P (i.e., 16) at 14 of the 20
240 stations and averaged $40.4 (\pm 22.6)$. This value was comparable to that of the CDW during high
241 riverine flow periods in the ECS in summer (Chen et al., 2006). During the non-flooding period,
242 the N/P molar ratio was lower than 16, with a mean value of $11.5 (\pm 20.8)$.

243 It has been suggested that phytoplankton growth might be regulated by the availability of
244 nutrients, or the N/P ratio of the available nutrient pool, in the ECS (Gong et al., 1996; Harrison
245 et al., 1990). The results of this study indicate that in the 2009 non-flooding period,
246 phytoplankton biomass might have been regulated by the availability of dissolved inorganic
247 nitrogen to a greater extent than it was during the 2010 flood. Phytoplankton biomass might have
248 also been limited by nitrate and silicate levels in 2010. Based on nutrient levels and the N/P
249 molar ratio, however, phytoplankton growth was more likely limited by phosphate, especially in

250 the CDW zone during the 2010 flood (please refer to Sect. 3.2 for details.). Phytoplankton
251 growth limited by different inorganic nutrients has been observed in estuaries and coastal regions,
252 such as Chesapeake Bay in the United States (Fisher et al., 1992; Harding, 1994). In the ECS,
253 phosphates have been frequently found as a factor limiting phytoplankton growth, especially in
254 the CDW (Chen et al., 2004; Gong et al., 1996; Harrison et al., 1990).

255 **3.2 Plankton activity associated with the Changjiang River flood**

256 Following the discharge of fluvial nutrients into the ECS, phytoplankton are generally
257 abundant in the CDW region. The Chl *a* concentration in the CDW even reached bloom criteria
258 ($> 20 \text{ mg Chl m}^{-3}$) in past years in the ECS (Chen et al., 2009; Chen et al., 2003). Surprisingly,
259 the phytoplankton biomass was not as high as expected in this study, even though a high nitrate
260 concentration was observed during the 2010 flood. The mean values of Chl *a* in the surface water
261 of the ECS in 2009 and 2010 were $0.98 (\pm 1.52)$ and $1.26 (\pm 1.27) \text{ mg Chl m}^{-3}$, respectively
262 (Table 1). However, these mean values were still at the high end of the Chl *a* concentration range
263 normally documented in the ECS in the mid-summer through July/August period (Chen et al.,
264 2009). In both periods, the phytoplankton biomass in the surface water was generally higher in
265 the CDW than in other regions of the ECS (Fig. 1g and h). For example, in the 2010 flood, the
266 maximum Chl *a* value reached $5.32 \text{ mg Chl m}^{-3}$ in the CDW (Table 1; Fig. 1h). In the 2010 flood,
267 the Chl *a* values were positively correlated with nitrate and silicate concentrations (all $p < 0.001$),

268 but not phosphate concentrations ($p = 0.09$), in the surface water. The linear relationship between
269 Chl *a* and phosphate values in the surface water, however, became significant ($p < 0.001$) if one
270 outlier with a markedly high phosphate concentration ($1.71 \mu\text{M}$) was excluded from the analysis
271 (Fig. 1f). In the 2009 non-flooding period, the Chl *a* concentration was significantly, positively,
272 and linearly correlated with concentrations of all measured nutrients: nitrate, silicate, and
273 phosphate ($p < 0.01$ in all cases).

274 The spatial distribution pattern of Chl *a* documented in this study was similar to that found
275 in previous studies of the ECS (Gao and Song, 2005; Gong et al., 2011), and phytoplankton
276 biomass in the surface water (Table 1), or averaged over Z_E (data not shown), did not differ
277 significantly between 2009 and 2010. In the 2010 flood, primary production (PP) in the surface
278 water was $62.1 (\pm 33.8) \text{ mg C m}^{-3} \text{ d}^{-1}$, comparable to values documented in the ECS in summer
279 by (Chen et al., 2009). In contrast, the PP:Chl *a* value was higher in the 2010 flood (27.1 ± 17.2
280 $\text{mg C mg Chl}^{-1} \text{ d}^{-1}$) compared to that documented value ($19.7 \pm 5.5 \text{ mg C mg Chl}^{-1} \text{ d}^{-1}$) by Chen
281 et al. (2009). Gong et al. (2011) estimated that over the past decade, the average rate of carbon
282 fixation during flooding periods was about three times higher than during non-flooding periods,
283 and the carbon fixation rate reached $176.0 \times 10^3 \text{ tons C d}^{-1}$ in the CDW during the 2010 flood
284 (Gong et al., 2011).

285 In summer, heterotrophic bacterioplankton are generally more abundant in the CDW of the

286 ECS than in other regions (Chen et al., 2006; Chen et al., 2009). Chen et al. (2006) suggested
287 that the growth of bacteria along the coast might be stimulated by the substantial amount of
288 organic matter derived from both autochthonous marine production and fluvial runoff. This
289 spatial distribution pattern was also observed in 2009 and 2010. In the 2009 non-flooding period,
290 the mean bacterial biomass in the surface water of the CDW was $77.5 (\pm 55.7) \text{ mg C m}^{-3}$, over
291 2-fold higher than in all other areas ($31.0 \pm 18.6 \text{ mg C m}^{-3}$). Their mean values in the 2010 flood
292 were $24.4 (\pm 18.6)$ and $15.0 (\pm 11.5) \text{ mg C m}^{-3}$ in the CDW and other regions, respectively.
293 Further analyses revealed that the bacterial biomass in the surface water was positively and
294 linearly associated with Chl *a* concentrations in both 2009 ($p < 0.01$) and 2010 ($p < 0.05$). This
295 finding applies to the values averaged over Z_E in both periods (both $p < 0.01$). However, the
296 mean Chl *a* concentrations in the surface water were slightly higher in 2010 than in 2009 (Table
297 1).

298 In general, an increased amount of organic matter is delivered through fluvial discharge into
299 the ECS during periods of high riverine flow (e.g., Wang et al., 2012). Although these results
300 suggest that the bacterial biomass might be higher in the flooding period than in the non-flooding
301 period, this difference was not verified when using averaged bacterial biomass values in this
302 study. The bacterial biomass in the surface water was significantly higher in the 2009
303 non-flooding period than during the 2010 flood, with mean values of $39.8 (\pm 33.7)$ and $20.4 (\pm$

304 16.5) mg C m⁻³, respectively (Table 1). The average bacterial biomass over Z_E was even more
305 pronounced in 2009 than in 2010 (data not shown). However, the total bacterial biomass in the
306 CDW zone was two times higher in 2010 than in 2009, with values of 47.7 and 21.0 x 10⁶ kg C,
307 respectively (Table 2).

308 Zooplankton are amongst the most important contributors to plankton CR (Calbet and
309 Landry, 2004; Hernández-León and Ikeda, 2005; Hopkinson Jr. et al., 1989). In this study,
310 zooplankton were only sampled across the whole water column. However, the average biomass
311 of zooplankton over Z_E can be still estimated, and mean values for the 2010 flood and 2009
312 non-flooding period were calculated as ,105.7 (± 144.4) and 22.6 (± 25.7) mg C m⁻³, respectively;
313 this differences was statistically significant (*p* < 0.01). The average zooplankton biomass over Z_E
314 for the CDW zone was 90-fold higher in 2010 than in 2009 (Table 2), suggesting that the flood
315 may have had a significant effect on zooplankton biomass.

316 **3.3 Effects of the Changjiang River flooding on plankton community respiration**

317 Plankton CR is typically defined as the integrated rate of organic carbon consumption by
318 plankton communities (e.g., Hopkinson Jr. et al., 1989; Rowe et al., 1986). In summer, the mean
319 CR rate in the surface waters of the ECS ranges from 52.2 to 128.4 mg C m⁻³ d⁻¹ (Chen et al.,
320 2006; Chen et al., 2009), and it is significantly correlated with fluvial discharge from the
321 Changjiang River (Chen et al., 2009). In this study, the CR in the surface water ranged from 2.7

322 to 311.9 mg C m⁻³ d⁻¹, with a mean value of 73.2 (± 76.9) mg C m⁻³ d⁻¹ in the 2009 non-flooding
323 period (Table 1). During the 2010 flood, the mean rate in the surface water of 105.6 (± 66.7) mg
324 C m⁻³ d⁻¹ was significantly higher than in 2009 ($p < 0.01$; Table 1), and CR ranged from
325 10.9-325.3 mg C m⁻³ d⁻¹ (Table 1). The CR rate averaged over the Z_E was statistically similar in
326 both years ($p = 0.08$), with mean values of 76.8 (±53.0) and 66.8 (±68.4) mg C m⁻³ d⁻¹,
327 respectively. In terms of spatial distribution, higher CR rates were mostly observed in the CDW
328 region in both sampling periods, especially along the coast (Fig. 2). Nevertheless, it should be
329 noted that the CDW zone was much larger in 2010 than in 2009.

330 CR rates were regressed against biomass of phytoplankton, heterotrophic bacteria, and
331 zooplankton, and CR was significantly correlated with both Chl *a* concentration and bacterial
332 biomass for both periods in surface water and when averaged over Z_E (all $p < 0.01$; Fig. 3). The
333 contribution of phytoplankton and/or bacterioplankton to CR is substantial in the ECS, even
334 though the relative contribution varies spatially and temporally (Chen et al., 2006; Chen et al.,
335 2009; Chen et al., 2003) Given the importance of **phytoplankton and bacterioplankton to CR**
336 **rates in both years, as well as their high densities measured herein, it seems likely that these**
337 **microbial groupings contributed substantially to the CR rate in both 2009 and 2010.**

338 Surprisingly, the mean Chl *a* concentration was slightly higher in 2010 than in 2009, though
339 bacterial biomass was significantly lower in 2010 than in 2009 (Table 1). However, the CR rate

340 was still higher in 2010 than in 2009. **In a further analysis**, the differences (i.e., 2010 minus 2009)
341 in the average CR, Chl *a* concentration, and bacterial biomass over Z_E at the same station were
342 calculated. The extent of such differences in CR was significantly related to differences in Chl *a*
343 concentration ($p < 0.001$) and bacterial biomass ($p < 0.01$; Fig. 4). The linear relationships were
344 also statistically significant if the values of the differences in the surface water were used (all $p <$
345 0.01 ; data not shown). Among the positive CR difference values (i.e., 20 of 33), 15 stations were
346 also characterized by positive differences in Chl *a* concentrations; only 2 stations had positive
347 differences in bacterial biomass. Interestingly, the stations with positive Chl *a* concentration
348 difference values were mostly located within the CDW region in 2010, with the exception of the
349 CDW in 2009. These results suggest that the higher CR in the 2010 flood might be attributed to
350 phytoplankton, especially in the CDW. The mean Chl *a* concentration was only slightly higher in
351 2010 than in 2009. Therefore, it is reasonable to speculate that the differences in CR rate in both
352 periods might have been partially caused by variation in the composition of the phytoplankton
353 communities. Although the CR attributed to different components of the phytoplankton
354 community was not measured in this study, it was been documented elsewhere; for instance,
355 **dinoflagellates have higher carbon-specific respiration rates that many other phytoplankton types**
356 (e.g., Lopez-Sandoval et al., 2014).

357 In addition, zooplankton might also be amongst the potential contributors to the higher CR

358 rate observed in 2010 than in 2009. As stated above, the biomass of zooplankton was
359 significantly higher in 2010 than in 2009. However, the linear relationships between CR and
360 zooplankton biomass over Z_E were not statistically significant in 2009 or 2010. To further
361 explore how plankton communities contributed to CR, the CR rate was regressed against total
362 plankton biomass (i.e., summed biomass of phytoplankton, bacterioplankton, and zooplankton)
363 for both periods, and the linear relationships between CR and total plankton biomass (mg C m^{-3})
364 over Z_E were significant in both 2009 ($p < 0.001$) and 2010 ($p < 0.01$; Fig. 5).

365 Similarly significant relationships between CR and total planktonic biomass have also been
366 observed in the summer in the ECS, and phytoplankton and bacterioplankton might be the most
367 important components contributing to CR at such times (Chen et al., 2006). In this study,
368 autotrophic plankton biomass (i.e., phytoplankton) accounted for 41.3% and 45.6% of total
369 planktonic biomass in 2009 and 2010, respectively. As for heterotrophic plankton biomass,
370 bacterioplankton attributed to 38.7% and 11.3% and zooplankton contributed for 20.0% and
371 43.1% of total plankton biomass in 2009 and 2010, respectively. This suggests that
372 phytoplankton and bacterioplankton might be the most important components attributing to CR
373 in the 2009 non-flooding period. In contrast, during the 2010 flood, the CR rate might have been
374 mostly driven by phytoplankton and zooplankton metabolic activity.

375 All such conjectures are based on stocks, and biomass might not be directly related to the

376 concurrent CR rate. By using physiological and allometric relationships of variant plankton
377 communities, the plankton CR rate could be estimated from stock values, and significant
378 correlations have indeed been found between measured and estimated rates (Chen et al., 2009).
379 Furthermore, it also should be noted that microzooplankton might be another important
380 contributor to CR, though they were unfortunately not assessed herein.

381 **3.4 Implications of plankton community respiration on coastal ecosystems of the ECS**

382 A further comparative analysis was conducted to determine whether the CR rate affected the
383 fugacity of CO₂ ($f\text{CO}_2$) in the seawater. In 2009, the $f\text{CO}_2$ in the surface water was in the range of
384 118.7-599.8 μatm , with mean values of $362.9 \pm 101.2 \mu\text{atm}$ (Table 1). This mean value is close
385 to the mean (369.6 μatm) observed in the ECS in August in prior years (Chen et al., 2006). In the
386 2010 flood, the mean value (297.6 μatm) of $f\text{CO}_2$ in the surface water was significantly lower
387 than in 2009, and ranged from 178.7 to 454.2 μatm (Table 1). It is well known that $f\text{CO}_2$ is
388 temperature dependent, and it increases as the temperature increases (e.g., Goyet et al., 1993).

389 **The effect of temperature on the large variation in $f\text{CO}_2$ observed between the 2009 non-flooding**
390 **period and the 2010 flood was trivial; the SST difference of 0.7°C between 2009 and 2010 would**
391 **only equal a $f\text{CO}_2$ decrease of approximately 10 μatm (Table 1).**

392 The effect of freshwater input on $f\text{CO}_2$ in the surface water in the ECS has also been
393 suggested to be relatively minor compared to the inter-annual variation of $f\text{CO}_2$ (Chen et al.,

394 2013). To evaluate this, conservative mixing was applied by using TA and DIC data between
395 freshwater and seawater end-members. Provided that the proportional contributions from
396 freshwater and seawater endmembers are f_1 and f_2 ($f_1+f_2=1$), respectively, the conservative
397 mixing TA and DIC values for a given water sample can be expressed by the following
398 equations:

$$399 \quad \text{TA}_{\text{mix}} = \text{TA}_{\text{fw}} \times f_1 + \text{TA}_{\text{sw}} \times f_2$$

$$400 \quad \text{DIC}_{\text{mix}} = \text{DIC}_{\text{fw}} \times f_1 + \text{DIC}_{\text{sw}} \times f_2$$

401 where the subscripts “mix”, “fw”, and “sw” represent values of conservative mixing, freshwater,
402 and seawater endmembers, respectively. The TA and DIC data reported by Zhai et al. (2007) for
403 the Changjiang River in summer were used as the freshwater endmembers (both TA_{fw} and
404 $\text{DIC}_{\text{fw}}=1743 \mu\text{mol kg}^{-1}$), and the surface data at station K in July 2009 and 2010 were chosen to
405 represent the seawater endmembers ($\text{TA}_{\text{sw}}=2241 \mu\text{mol kg}^{-1}$ and $\text{DIC}_{\text{sw}}=1909 \mu\text{mol kg}^{-1}$ in 2009;
406 $\text{TA}_{\text{sw}}=2240 \mu\text{mol kg}^{-1}$ and $\text{DIC}_{\text{sw}}=1904 \mu\text{mol kg}^{-1}$ in 2010). Subsequently, the hypothetical $f\text{CO}_2$
407 from conservative mixing was calculated from the TA_{mix} and DIC_{mix} data using CO2SYS version
408 2.1 (Pierrot et al., 2006), in which the carbonic acid dissociation constants were adopted from
409 Mehrbach et al. (1973) and refitted by Dickson and Millero (1987). The uncertainty in this
410 simulation mainly derives from errors in the estimations of TA_{mix} and DIC_{mix} . Assuming the
411 errors of the calculated TA_{mix} and DIC_{mix} are $\pm 5 \mu\text{mol kg}^{-1}$, this may result in an uncertainty of

412 $\pm 13 \mu\text{atm}$ in the simulated $f\text{CO}_2$. The simulated results show that the effect of mixing freshwater
413 and seawater on $f\text{CO}_2$ was nearly the same in both periods. However, a large variation in $f\text{CO}_2$ in
414 the surface water was estimated; it varied from 375.4 to 439.8 μatm within a salinity range of
415 20.38 to 33.96. This finding implies that surface water $f\text{CO}_2$ in the ECS might increase
416 dramatically, especially during the devastating flood of 2010 where low SSS (≤ 31) characterized
417 almost 70% of the ECS shelf (Fig. 1b). However, in the 2010 flood, surface water with low $f\text{CO}_2$
418 was observed in the ECS. Therefore, vigorous photosynthetic processes might be a potential
419 cause for the reduction of $f\text{CO}_2$ in the surface water during periods of flooding. Compared to PP
420 values observed in summer in the ECS in previous years (Chen et al., 2009), PP was indeed high
421 during the 2010 flood (Table 1; Chen et al., 2009). Gong et al. (2011) also estimated that over the
422 past decade, the carbon fixation rate during flooding was about three times higher than during
423 non-flooding periods. However, no significant correlation was found between $f\text{CO}_2$ and PP in the
424 2010 flood, though this may simply be due to having a small sample size for PP. Nevertheless,
425 $f\text{CO}_2$ was significantly correlated with Chl *a* concentration in the pooled 2010 flood dataset ($p <$
426 0.001). This significant relationship indirectly supports the hypothesis that the reduction in $f\text{CO}_2$
427 in the 2010 flood might be associated with vigorous phytoplankton metabolic activity.

428 Furthermore, negative linear relationships were observed between $f\text{CO}_2$ and CR in the
429 surface water during both the 2009 non-flooding period ($p < 0.01$) and the 2010 flood ($p < 0.001$;

430 Fig. 6). Significant linear relationships were also found using pooled data from each period (all p
431 < 0.001). CR has been assumed to be an integrated response of overall plankton activity. These
432 results imply that $f\text{CO}_2$ in the surface water (or the entire water column) is related to plankton
433 activities. To explore the variation in $f\text{CO}_2$ between the non-flooding and flooding period, the
434 difference in $f\text{CO}_2$ and CR at the same station was estimated. Surprisingly, a negative linear
435 relationship was found between the difference in $f\text{CO}_2$ and CR of the flooding and non-flooding
436 periods ($p = 0.001$; Fig. 7). As previously stated, compared to the 2009 non-flooding period, the
437 increase in CR rate in the 2010 flood might be associated with the increase in phytoplankton
438 biomass (Fig. 4a). These results indicate that the significant amount of $f\text{CO}_2$ absorption in the
439 2010 flood was related to the strength of plankton activity, particularly phytoplankton at stations
440 that were not characterized by low SSS in the 2009 non-flooding period.

441 **4 CONCLUSIONS**

442 Riverine run-off has a profound effect on organic carbon production and consumption in
443 coastal ecosystems across the globe, and these effects will become even more pronounced as
444 storm frequency and magnitude increase in the coming decades. During the 2010 flooding of the
445 Changjiang River, a large quantity of freshwater was discharged into the ECS, and the CDW
446 zone covered almost two thirds of the continental shelf; this represents a 6-fold greater area than
447 during a more typical, non-flooding period (2009). Higher nitrate concentrations, mostly in the

448 river's fluvial discharge, were also measured in the ECS during the flood. Although the
449 phytoplankton biomass showed no significant difference between 2009 and 2010, bacterial
450 biomass in the surface water was significantly higher in the 2009 non-flooding period. Despite
451 this, CR was still higher during the 2010 flood than in the 2009 non-flooding period. The
452 temporal difference (2010 minus 2009) in CR was significantly related to the respective
453 differences in Chl *a* concentration, suggesting that higher CR in the 2010 flood might have been
454 attributed to a higher biomass of phytoplankton, especially at stations located within the CDW
455 region (most of which were not characterized by low SSS in the 2009 non-flooding period). In
456 addition to phytoplankton, zooplankton may also have contributed significantly to the high CR
457 rate observed in the 2010 flood. This could be evidenced from the fact that zooplankton biomass
458 in 2010 accounted for 43.1% of the total plankton biomass. Finally, a negative linear relationship
459 was found between the temporal differences (i.e., 2010 minus 2009) in CR vs. $f\text{CO}_2$. This finding
460 implies that a tremendous quantity of $f\text{CO}_2$ was uptaken during phytoplankton photosynthesis
461 during the flood period. Overall, these results suggest that plankton activity increased due to the
462 substantial input of dissolved inorganic nutrients discharged by the river during the flood. This
463 effect was especially pronounced at stations not previously characterized by low SSS, indicating
464 that the effects of flooding on the ECS shelf ecosystem might be scaled to the magnitude of the
465 flood.

466

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641

642 Table 1. The mean \pm SD values for different variables measured in the surface water of the
643 ECS during non-flooding (2009) and flooding (2010) periods, with range of values
644 in parentheses. The mean \pm SD values for stations in the area of the Changjiang
645 Diluted Water (CDW) region are in brackets. Variables include transparency
646 (CTD_{TM}; %), salinity (SSS), temperature (SST; °C), fugacity of CO₂ (*f*CO₂; μ atm),
647 nitrate concentration (NO₃⁻; μ M), phosphate concentration (PO₄³⁻; μ M), silicate
648 concentration (SiO₄⁻; μ M), chlorophyll *a* concentration (Chl *a*; mg Chl m⁻³),
649 bacterial biomass (BB; mg C m⁻³), and plankton community respiration (CR; mg C
650 m⁻³ d⁻¹). The euphotic depth (Z_E; m) and mixed layer depth (M_D; m) are also shown
651 for each year. Mann-Whitney rank-sum test were used to test temporal differences.
652 For reference, it should be noted that the difference between the CDW zone and the
653 other region in the ECS in each year was significant for most of variables ($p <$
654 0.05), except nitrate and phosphate in 2009.

Variable	2009 (non-flooding period)	2010 (flood)
Z _E	38.9 \pm 36.4 (1.3–190.6) [16.8 \pm 7.4]	33.4 \pm 17.3 (10.1–82.2) [24.8 \pm 10.7]
M _D	13.7 \pm 7.3 (5–37) [7.3 \pm 3.6]	11.3 \pm 6.6 (4–35) [7.9 \pm 2.6]
CTD _{TM}	76.7 \pm 12.2 (37.2–86.3) [70.0 \pm 4.9]	80.5 \pm 5.4 (67.7–88.5) [78.4 \pm 4.3]**
SSS	32.62 \pm 2.07 (23.80–34.11) [29.24 \pm 2.52]	30.32 \pm 3.60 (19.33–34.27)* [27.95 \pm 3.03]
SST	26.8 \pm 1.7 (23.3–29.6) [25.0 \pm 0.9]	26.1 \pm 2.2 (21.0–30.0) [25.1 \pm 1.7]
<i>f</i> CO ₂	362.9 \pm 101.2 (118.7–599.8) [230.4 \pm 105.3]	297.6 \pm 79.0 (178.7–454.2)* [248.6 \pm 54.5]
NO ₃ ⁻	2.0 \pm 5.3 (0.0–24.3)	6.2 \pm 9.8 (0.0–37.6)*

	[4.0±9.1]	[10.3±11.3]*
PO ₄ ³⁻	0.13±0.17 (0.00–0.83) [0.13±0.07]	0.17±0.30 (0.00–1.71) [0.23±0.37]
SiO ₄ ⁻	5.8±5.9 (1.5–24.5) [9.8±7.2]	6.4±7.8 (0.6–36.4) [9.1±9.2]
Chl <i>a</i>	0.98±1.52 (0.12–4.41) [2.23±1.46]	1.26±1.27 (0.03–5.32) [1.83±1.35]
BB	39.8±33.7 (10.6–184.8) [54.9±39.6]	20.4±16.5 (3.6–90.2)** [24.4±18.6]**
CR	73.2±76.9 (2.7–311.9) [172.0±109.2]	105.6±66.7 (10.9–325.3)* [142.0±61.2]

656

*: $p < 0.01$; **: $p < 0.001$

657

658 Table 2. Total area ($\times 10^3 \text{ km}^2$) of the East China Sea (ECS) and Changjiang Diluted Water
 659 (CDW) region (in brackets), as well as bacterial (BB; $\times 10^6 \text{ kg C}$) and zooplankton
 660 (Zoo; $\times 10^6 \text{ kg C}$) biomass over the euphotic depth integrated for the entire ECS
 661 and the CDW region (in brackets) during non-flooding (2009) and flooding (2010)
 662 periods.

663

Variables	2009 (non-flooding period)	2010 (flood)
Area	186.0 [19.0]	182.7 [111.7]
BB	222.5 [21.0]	87.3 [47.7]
Zoo	410.3 [6.2]	920.6 [560.8]

664

FIGURE LEGENDS

665
666 Fig. 1. Contour plots of salinity (SSS) and concentrations of nitrate (NO_3^-), phosphate
667 (PO_4^{3-}), and chlorophyll *a* (Chl *a*) in the surface water (2-3 m) in the ECS during
668 non-flooding (2009; left most panels) and flooding (2010; right-most panels) periods.
669 Bottom depth contours are shown as dashed lines both here and in Fig. 2. The
670 sampling stations in both periods are marked by an ex (x) both here and in Fig. 2.
671 The contour intervals of SSS and concentrations of nitrate, phosphate, and Chl *a* are
672 0.5, 1.0 μM , 0.1 μM , and 0.5 mg Chl m^{-3} , respectively, and the values of the
673 respective contour lines (bold) are = 31, 3.0 μM , 1.0 μM , and 1.0 mg Chl m^{-3} ,
674 respectively The range for each parameter is shown at the top of each panel.

675 Fig. 2. Contour plots of plankton community respiration (CR; $\text{mg C m}^{-3} \text{d}^{-1}$) over the
676 euphotic zone of the ECS during a) non-flooding (2009) and b) flooding (2010)
677 periods. The contour interval is 10 $\text{mg C m}^{-3} \text{d}^{-1}$. The CR range is shown at the top of
678 each panel.

679 Fig. 3. Relationships between plankton community respiration (CR; $\text{mg C m}^{-3} \text{d}^{-1}$) and a)
680 chlorophyll *a* concentration (Chl *a*; mg Chl m^{-3}) and b) bacterial biomass (mg C m^{-3})
681 for all data from non-flooding (2009; ●) and flooding (2010; ○) periods. Linear
682 regressions of data from 2009 (solid lines) and 2010 (dashed lines), as well as the
683 respective r^2 and p values, have also been included.

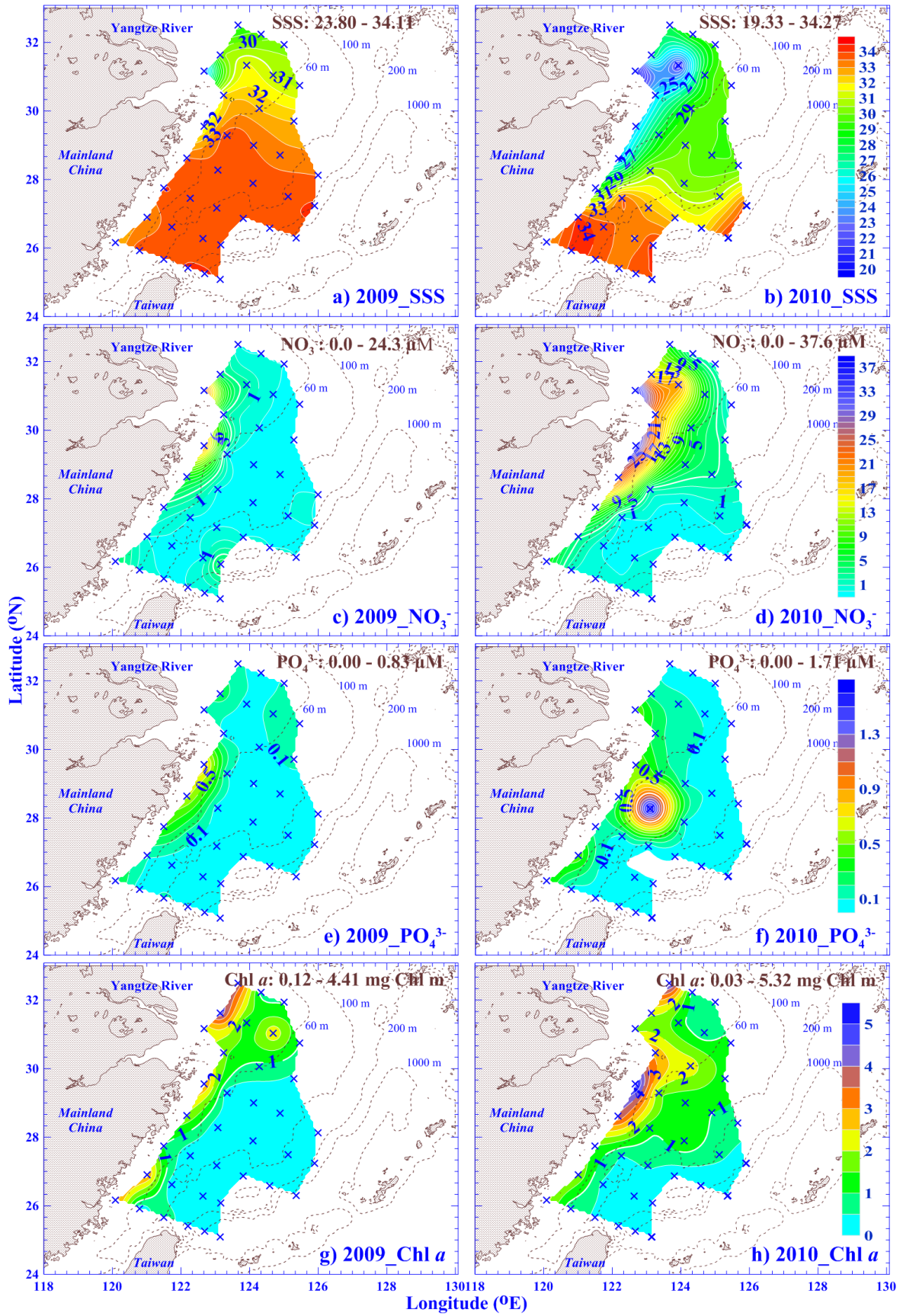
684 Fig. 4. Differences (Δ) between 2010 and 2009 in plankton community respiration (CR; mg
685 $\text{C m}^{-3} \text{d}^{-1}$) versus a) chlorophyll *a* (Chl *a*; mg Chl m^{-3}) and b) bacterial biomass (mg
686 C m^{-3}) over the euphotic zone at the same station. The r^2 and p values have been
687 shown for the best-fit linear regression line (solid line). For reference, the vertical
688 and horizontal dashed lines represent inter-year differences of zero (i.e., $\Delta = 0$).

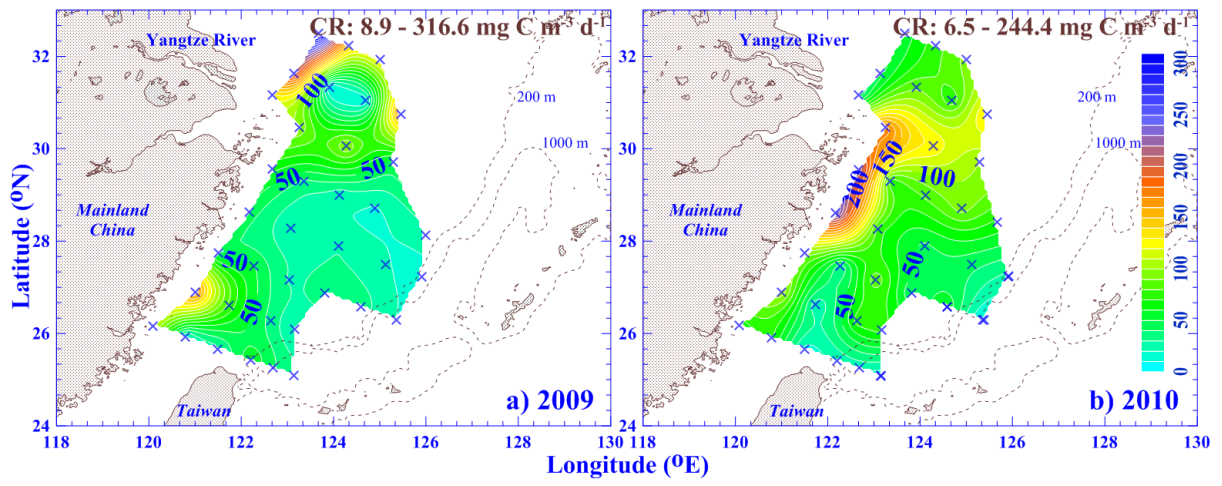
689 Fig. 5. Relationship between plankton community respiration (CR) and total plankton
690 biomass (expressed per carbon unit) over Z_E in 2009 (●; solid line) and 2010 (○;

691 dashed line). The respective r^2 and p values are shown for each linear regression line.
692 Total plankton biomass was the summed biomass of phytoplankton, bacterioplankton,
693 and zooplankton. Please refer to the “Materials and Methods” for details of the
694 carbon conversion for plankton communities.

695 Fig. 6. Relationships between the fugacity of CO₂ ($f\text{CO}_2$) and plankton community
696 respiration (CR) in the surface water in 2009 (●; solid line) and 2010 (○; dashed
697 line). The respective r^2 and p values are shown for each linear regression line.

698 Fig. 7. Differences (Δ) between 2010 and 2009 in $f\text{CO}_2$ (μatm) and plankton community
699 respiration (CR; $\text{mg C m}^{-3} \text{d}^{-1}$) in the surface water at the same station. For reference,
700 the vertical and horizontal dashed lines represent the inter-annual differences of zero
701 (i.e., $\Delta = 0$).

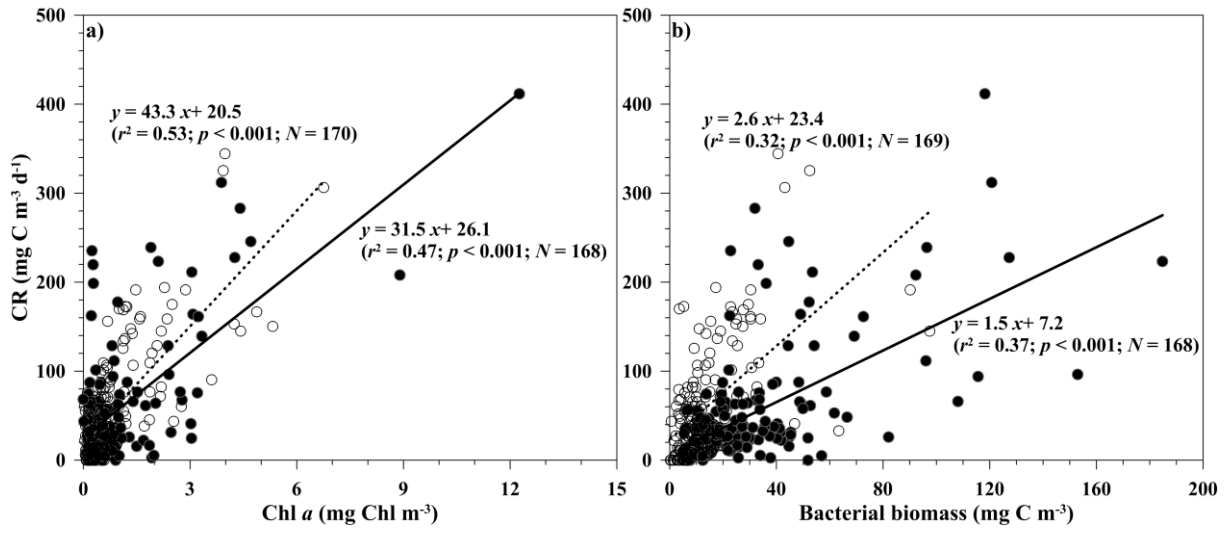




703

Fig. 2

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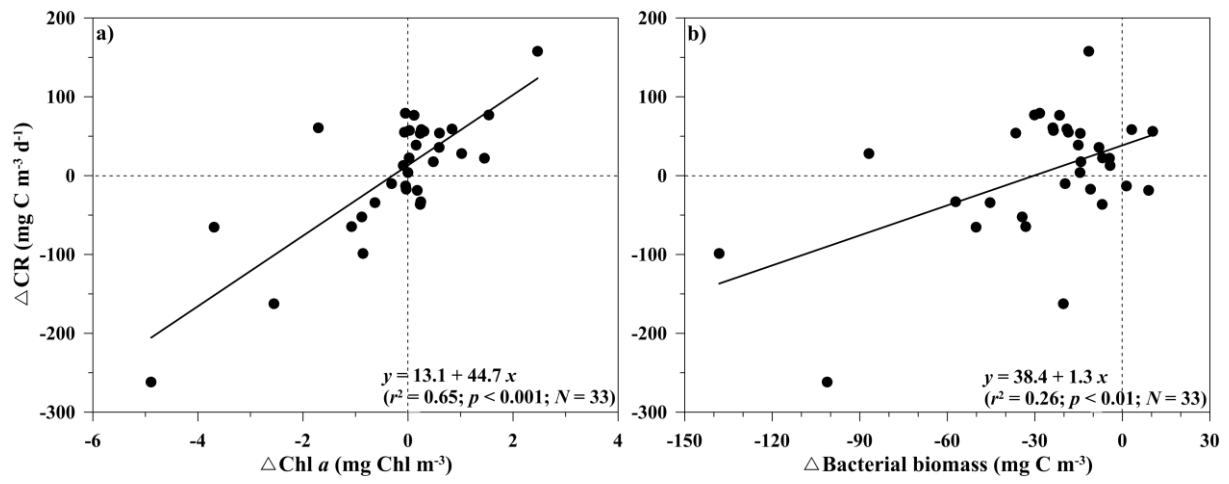


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706

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Fig. 3

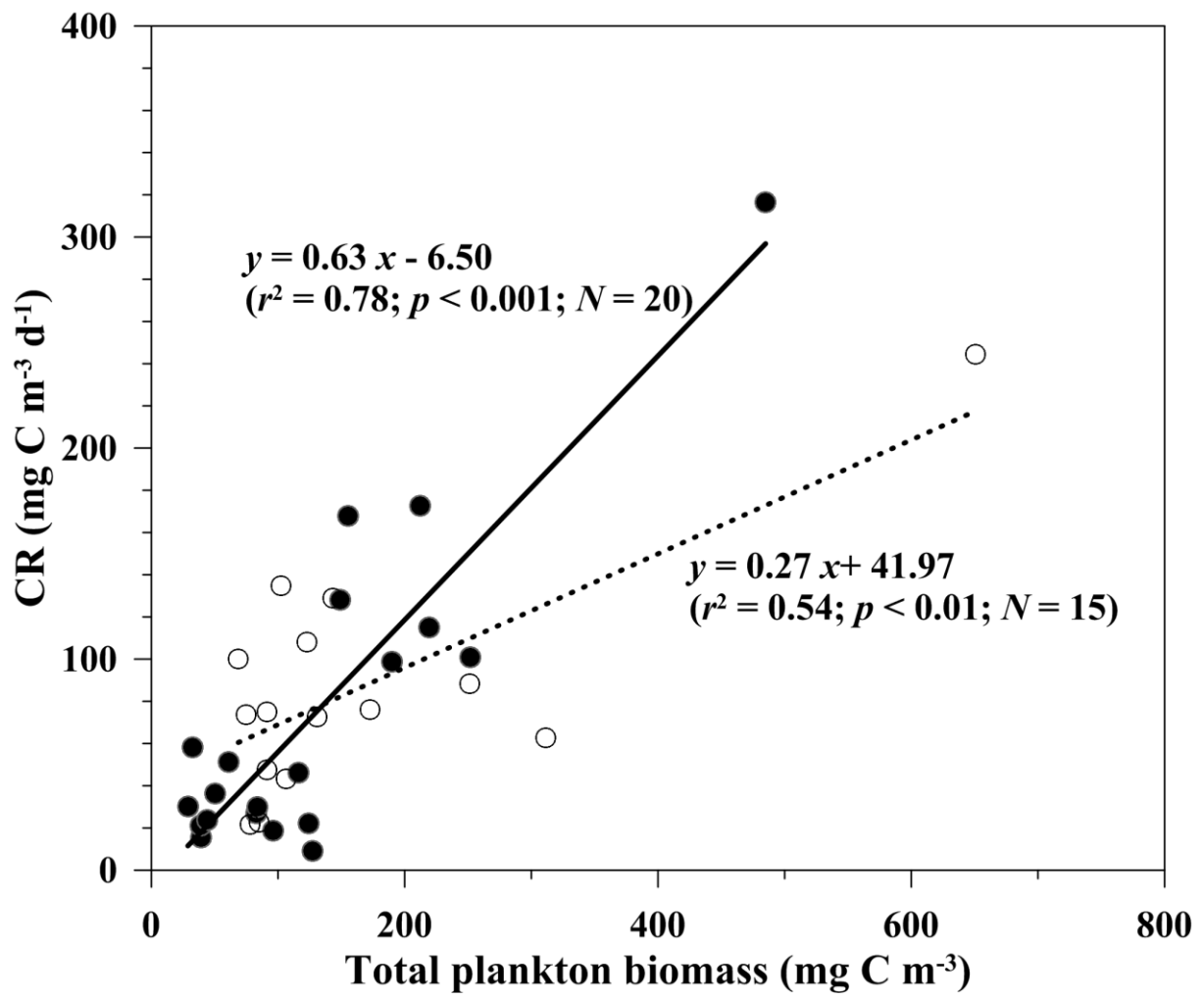


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Fig. 4

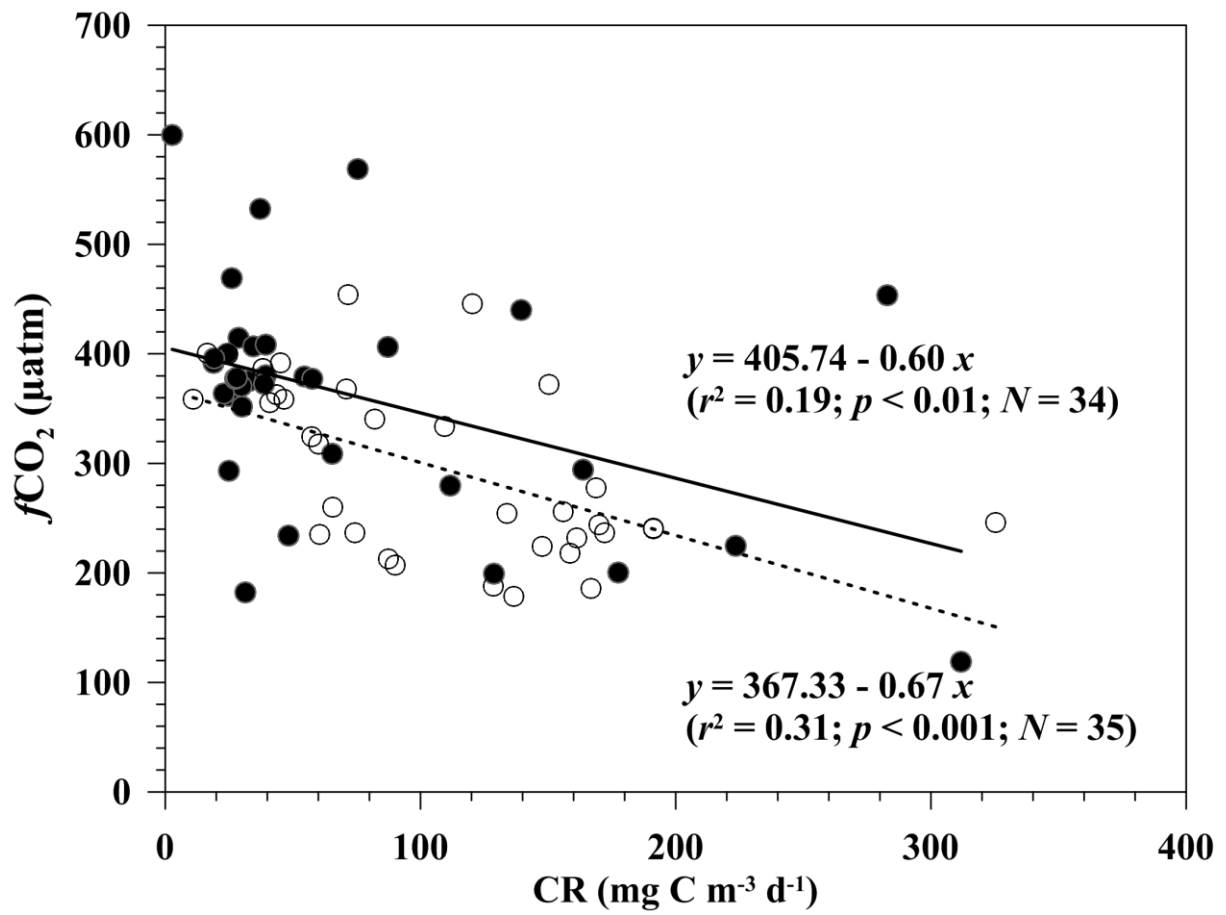


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Fig. 5

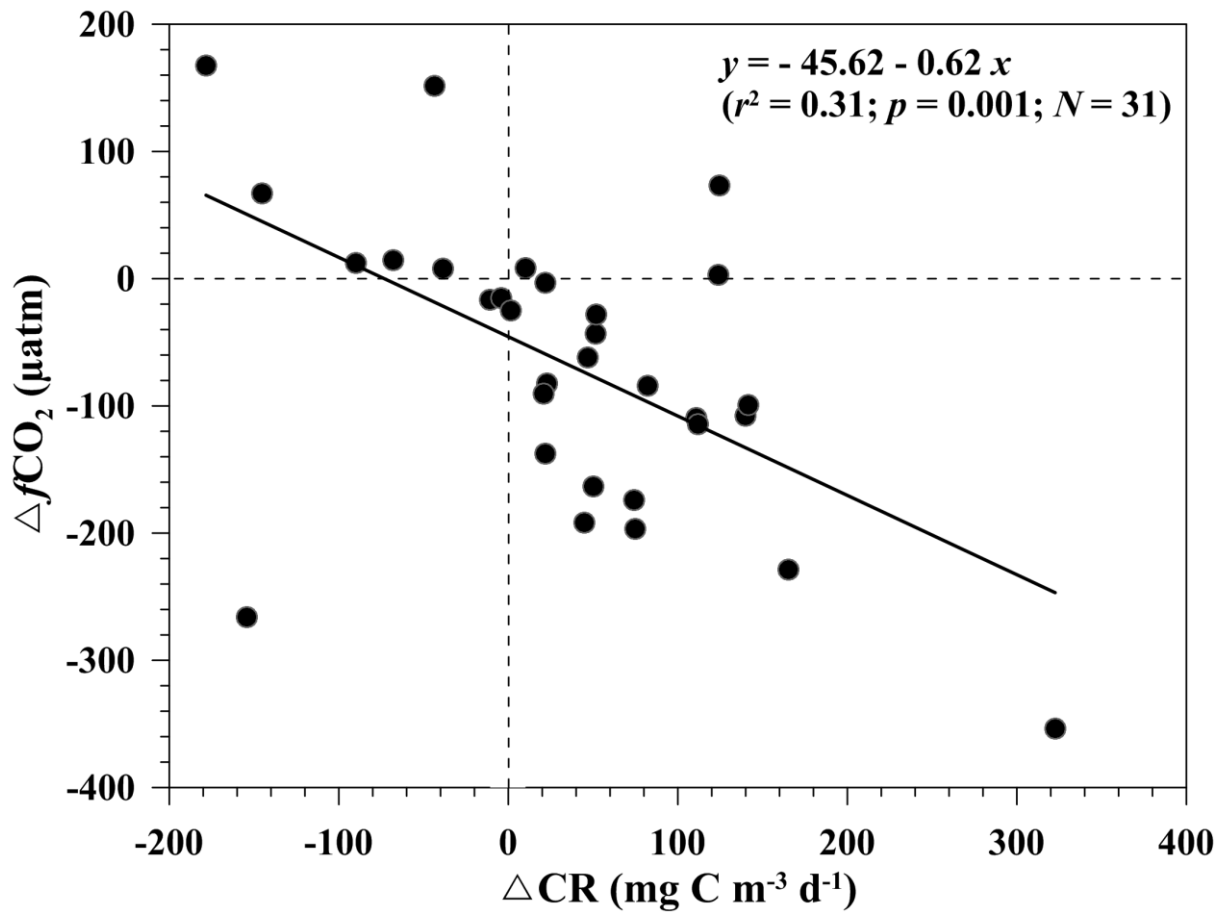


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Fig. 6



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Fig. 7