



# 1 Soil CO<sub>2</sub> efflux from two mountain forests in the Eastern Himalayas 2 Bhutan: components and controls

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12

## 13 Abstract

14 The biogeochemistry of mountain forests in the Hindu Kush-Himalaya range is poorly studied although climate change  
15 is expected to disproportionately affect the region. We measured the soil CO<sub>2</sub> efflux (Rs) at a high elevation (3260 m)  
16 coniferous, and a lower elevation (2460 m) broadleaved forest in Bhutan, eastern Himalayas, during 2014 and 2015. Both  
17 sites experienced typical monsoon weather (cold-dry winters, warm-wet summers) during the study. Trenching was  
18 applied to estimate the contribution of autotrophic (Ra) and heterotrophic (Rh) soil respiration. The temperature ( $Q_{10}$ )  
19 and the moisture sensitivities of Rh were determined under controlled laboratory conditions and were used to model Rh  
20 in the field. The higher elevation coniferous forest had a higher standing tree stock, reflected in higher soil C stocks and  
21 basal soil respiration ( $R_{10}$ ). Rs was similar between the two forests (2015:  $14.5 \pm 1.2$  t C yr<sup>-1</sup> broadleaved;  $12.8 \pm 1.0$  t C  
22 yr<sup>-1</sup> coniferous). Modelled annual contribution of Ra was ~ 45% at both forests with a low autotrophic contribution during  
23 winter and high contribution during the monsoon season. Ra, estimated from trenching, was lower and highly variable,  
24 indicating that trenching poorly performed at these forests/soils. Rs neatly followed the annual course of soil temperature  
25 (field  $Q_{10}$  between 4 and 5) at both sites. Co-variation between soil temperature and moisture likely was the main cause  
26 for the high  $Q_{10}$  obtained from field Rs. Temperature sensitivity of Rh was lower ( $Q_{10} \sim 2.3$  at both sites). Under the  
27 preceding weather conditions, a simple temperature-driven model was able to explain more than 90% of the temporal  
28 variation in Rs. To predict and understand how Rs responds to infrequently occurring extreme climate conditions such as  
29 monsoon failures, however, longer Rs time series are required for a better integration of interactions between soil  
30 temperature, moisture, Ra and Rh.

31

32 **Keywords:** Himalaya, soil CO<sub>2</sub> efflux, autotrophic soil respiration, heterotrophic soil respiration, incubation,  
33 temperature sensitivity, moisture sensitivity



## 34 1 Introduction

35

36 Carbon dioxide (CO<sub>2</sub>) efflux from soil (= soil respiration; Rs) is one of the major fluxes in the global C cycle, affects  
37 atmospheric CO<sub>2</sub> concentrations and feeds back on global climate change (Schlesinger and Andrews, 2000;  
38 Reichstein et al., 2003; Hashimoto et al., 2015). Counteracting to C uptake via photosynthesis, Rs primarily  
39 determines whether forest ecosystems serve as C sink or source to the atmosphere (Dixon et al., 1994; Schlesinger  
40 and Andrews, 2000; Bolstad et al., 2004). The current function of forests as global C sink (Janssens et al., 2003;  
41 Stocker, 2014) could weaken or even turn into the opposite if climate change disproportionately accelerates respiratory  
42 processes such as Rs (Cox et al., 2000). Rs consists of an autotrophic component (Ra; root and rhizosphere  
43 respiration), which is closely linked to C gain by photosynthesis and a heterotrophic component (Rh), which is the  
44 respiratory product of soil organic matter (SOM) decomposition. While the source of Ra is recently assimilated CO<sub>2</sub>,  
45 Rh can release stored soil C to the atmosphere. For better prediction of the response of forest C cycling to climate  
46 change, it is crucial to understand how Rs and its components are affected by changing environmental parameters  
47 such as temperature and moisture (Davidson and Janssens, 2006). Rates and climate sensitivity of Rs, Ra and Rh can  
48 vary among forest ecosystem type and climatic region (Hashimoto et al., 2015). So far, research has primarily focused  
49 on the temperate and boreal areas of the northern hemisphere and remote forested areas are still largely uninvestigated  
50 (Bond-Lamberty and Thomson, 2010). The Hindu Kush-Himalaya range represents a region, where research on forest  
51 biogeochemistry is gaining momentum (Ohsawa, 1991; Wangda and Ohsawa, 2006a; Pandey et al., 2010; Sharma et  
52 al., 2010b; Verma et al., 2012; Sundarapandian and Dar, 2013; Dorji et al., 2014b; Tashi et al., 2016). It extends over  
53 4.3 million km<sup>2</sup> across eight countries with an average forest cover of approximately 20 % (Schild, 2008), ranging  
54 from lowland tropical forest to high altitudinal forests up to ~ 4900 m (Schickhoff, 2005; Liang et al., 2016). Situated  
55 in the south-eastern range of the Himalayas, Bhutan shows a forest cover of 70 % (DoFPS, 2011). Most forests in  
56 Bhutan are natural old growth (Ohsawa, 1987), store high amounts of C in biomass and soil (Sharma and Rai, 2007;  
57 Dorji et al., 2014a) and serve as an important regional C sink (FAO, 2010). As climate change is expected to intensify  
58 in the Himalaya region (Xu et al., 2009; Tsering et al., 2010; Singh, 2011; Shrestha et al., 2012; Xu and Grumbine,  
59 2014), the effects on forest C cycling could have implications not only regionally, but also on a global scale.  
60 With the objective of a better understanding of soil C cycling of mountain forest ecosystems of the eastern  
61 Himalayas, we studied Rs, its components (Ra, Rh), as well as the effects of environmental drivers such as  
62 temperature and moisture at a high altitude cool temperate conifer forest and a lower altitude cool broadleaved forest  
63 in Bhutan. We hypothesized that (I) overall rates of Rs were higher at the lower elevation and correspondingly  
64 warmer broadleaved forest site. As precipitation was expected to be non-limiting during the growing season (~  
65 monsoon season), we further hypothesized that (II) the seasonal course of Rs was mainly driven by soil temperature.  
66 The contribution of Ra was expected to be lowest during the cold and dry winter and to significantly increase during  
67 the growing season. We further expected that water logged soil showed decreased Rs during peak monsoon.



## 68 2 Materials and methods

69

### 70 2.1 Site description

71

72 Two representative forest ecosystems for the eastern Himalayas (Wikramanayake, 2002), a cool temperate mixed  
73 coniferous forest and a cool temperate broadleaved forest, were studied at Thimphu and Wangduephodrang districts,  
74 Bhutan. The cool temperate mixed coniferous forest (Grierson and Long, 1983) was situated on a south-east facing  
75 slope close to the top of a mountain ridge (elevation 3260 m a.s.l.). The cool temperate broadleaved forest was situated  
76 on an east facing gentle slope along the same mountain ridge ~ 11 km eastwards (elevation 2640 m a.s.l.). Sites will  
77 be referred to as “coniferous forest” and “broadleaved forest” in the further text. The coniferous forest was dominated  
78 by *Tsuga dumosa* along with *Picea spinulosa*, *Quercus semecarpifolia*, *Abies densa*, *Acer campbelli* and *Taxus*  
79 *baccata*. The broadleaved forest was dominated by *Quercus lanata* and *Quercus griffithii*. Soils at the coniferous  
80 forest were Cambisols. Soils at the broadleaved forest were Luvisols. A detailed site and soil description and the  
81 comparison are given in Table 1. The current study was aligned within a larger-scale throughfall manipulation  
82 experiment, which consisted of control and temporarily roofed areas within each forest type. For this study, we  
83 randomly distributed all our plots within the control areas (~ 1500 m<sup>2</sup> each) of the throughfall manipulation  
84 experiment.

85

### 86 2.2 Field measurements

87

88 Basic climate parameters were measured using automatic weather stations located at a distance of approx. one  
89 kilometer from the sites at the same elevation. Data was recorded at 15 min intervals on a Decagon-EM50 data logger  
90 (Decagon Devices Inc., Pullman, WA, USA). The automatic weather stations recorded precipitation with an ECRN-  
91 100 rain gauge (Decagon Devices Inc., Pullman, WA, USA), and air temperature and relative humidity with a VP-  
92 3 vapor pressure, temperature and relative humidity sensor (Decagon Devices Inc., Pullman, WA, USA).  
93 Stand and soil inventories were carried out in March and April 2014 at both forest types covering an area of ~ 1500 m<sup>2</sup>  
94 each. The location, height and the diameter at breast height of all trees having a dbh > 10 cm were assessed. The  
95 basal area was calculated for each tree species. Standing volume was estimated based on species specific volume  
96 equations developed by Paul Lawmans (1994), Forest Survey of India (1996) and Department. of Forests and Park  
97 Services, Bhutan (2005). Aboveground litter-fall was collected monthly (since December 2014) using mesh-traps (n  
98 = 10) per site, with an area of 1.0 m<sup>2</sup> (100 × 100 cm). Litter was dried at 80 °C and the C content was assumed to be  
99 50 % of the dry weight (de Wit et al., 2006). Soil samples were collected from the 0-10, 10-20 and 10-30 cm mineral  
100 soil layers of four locations at both sites in May 2014. Soil samples were sieved (2 mm) and dried (105 °C, 48 h).  
101 Soil organic C (SOC) of a grinded (Pulverisette 5, Fritsch, Germany), 0.1 g subsample was measured by means of  
102 the dry combustion technique using a CN Analyser (TruSpec® CN, LECO Inc., Michigan, USA). Soil organic C  
103 stocks (t ha<sup>-1</sup>) were calculated for each horizon by multiplying the SOC concentration (%) by the bulk density (g cm<sup>-3</sup>)  
104 and the depth of the horizon (cm). Samples from the forest floor layer were collected in September 2015 and SOC  
105 contents were determined as described above.



106 Rs was measured regularly in the two forest types (coniferous, broadleaved) once every three weeks, from May 2014  
107 to December 2014 and from April 2015 to December 2015. We randomly set 10 plots ( $n = 10$ ) at each forest type for  
108 Rs measurements. To cover the within-plot variability, Rs was measured at four positions within each plot (total 40  
109 positions per site). We used a portable infrared gas analyzer (EGM-4, PP-Systems, Amesbury, USA) with an attached  
110 soil respiration chamber (SRC-1, PP-Systems, Amesbury, USA) for Rs measurements. In spring 2015 we installed  
111 four permanent collars (total height 5 cm, 2-3 cm inserted into the soil, diameter 10 cm) at each plot which served as  
112 a base for Rs measurements thereafter. Due to logistic reasons, collars were not available in 2014. During the 2014  
113 season, the SRC-1 chamber was directly placed on the ground surface and slightly pressed into the soil to produce  
114 sufficient sealing during Rs measurements. Rs was estimated by a linear fit to the increasing headspace  $\text{CO}_2$   
115 concentration over time (chamber closure time 90 seconds). A soil respiration measurement campaign lasted for ~  
116 5 h at each site. Measurement order among plots and collars was fully random to avoid any error from temporal  
117 variations in Rs.

118 We installed two trenching plots at each site in 2014 to estimate the relative contributions of  $R_a$  and  $R_h$ . Two  
119 additional trenching plots per site were installed in 2015 to increase replication. Trenches (1.5 x 1.5 m squares) were  
120 dug down ~ 1 m, and all the roots within the trenches were cut. The trenches were sealed with double layered plastic  
121 foil in order to restrict tree root ingrowth. Adjoining to each trenched plot, a corresponding control plot of the same  
122 size was established. Each trenched and control plot hosted three collars for Rs measurements.

123 Volumetric soil water content (0 - 20 cm soil depth) was measured in the center of each plot using a portable Field  
124 Scout TDR meter (Spectrum Technologies, Inc. Aurora, USA) during Rs measurements. Soil temperature at 5 cm  
125 soil depth was measured with a handheld thermometer probe (Hanna Instruments, Germany) at each Rs measurement  
126 location during 2015. For 2014 only soil temperature records from permanently installed sensors were available. Soil  
127 temperature and soil moisture were measured continuously at soil profile pits (two pits per forest type) with five  
128 combined soil temperature- moisture sensors (TM-5; Decagon Devices, Inc., Pullman, WA, USA) at soil depths  
129 ranging from 5 to 120 cm. Data was recorded at 15 min intervals on Decagon-EM50 data loggers (Decagon Devices,  
130 Inc., Pullman, WA, USA).

131

### 132 **2.3 Laboratory incubation**

133

134 About 500 g of mineral soil (0-10 cm depth) and approximately 250 g of forest floor material were sampled at six  
135 random locations ( $n = 6$ ) at each forest type in mid of September 2015. The mineral soil was homogenized and sieved  
136 (2 mm mesh) and stored at 4 °C, at field moisture for one week prior to transport from Bhutan to Austria for further  
137 processing. Forest floor material was not sieved. Upon arrival in Austria, samples from mineral soil were further  
138 divided into 3 sub-samples to account for potential soil heterogeneity at the individual sampling locations. Samples  
139 were filled into 200  $\text{cm}^3$  stainless steel cylinders at approximate field bulk density (~ 0.5 g dry weight  $\text{cm}^{-3}$  for mineral  
140 soil; ~ 0.1 g dry weight  $\text{cm}^{-3}$  for forest floor). In total, we incubated 36 sub-samples (cylinders) for mineral soil and  
141 12 sub-samples for the forest floor. Filled cylinders were kept at 4 °C for 5 days for equilibration before incubation.  
142 During incubation,  $\text{CO}_2$  efflux (=  $R_h$ ) was measured using a fully automated incubation system. Samples were put



143 into 2 l containers and their CO<sub>2</sub> efflux was determined by a dynamic closed – chamber system (Pumpanen et al.,  
144 2009). For CO<sub>2</sub> measurements, containers were sequentially connected to an infrared gas analyzer (SBA-4, PP  
145 Systems International Inc., Amesbury, MA, USA) by means of a tubing system. In the meanwhile, disconnected  
146 containers were ventilated in order to prevent internal CO<sub>2</sub> enrichment. CO<sub>2</sub> concentration within connected  
147 containers were measured for 6 minutes with a recording interval of 10 sec. Rates of CO<sub>2</sub> efflux were calculated from  
148 the headspace CO<sub>2</sub> increase during 2 – 6 minutes, after Pumpanen et al. (2009).

149 Incubation proceeded in two steps. We first incubated at different soil temperatures to assess the temperature  
150 sensitivity of Rh. In a second step, we incubated under different soil moisture contents to assess the sensitivity of Rh  
151 to changes in soil moisture. In addition, we repeated the temperature-runs with wet (140 % Grav.) and dry (30 %  
152 Grav.) soil in order to test for effects of soil moisture on the temperature sensitivity of Rh.

153 Temperature-incubation started with mineral soil. Soil temperature was increased from 5 °C until 25 °C in 5 °C steps,  
154 with each temperature step lasting for 6 h. At each temperature step, efflux measurements were repeated three times  
155 for each cylinder; to account for a warm up period between the individual temperature steps only a calculated mean  
156 value of the latter two measurements was used for further analysis. After finishing the temperature run, we re-  
157 measured Rh at 10 °C to assess and correct for potential effects of labile C loss during the ~ 30 h incubation. The  
158 forest floor was incubated under the same procedure as mineral soil.

159 After the temperature-incubations, we set soil moisture of all mineral soil sub-samples to 80 % (gravimetric),  
160 incubated at constant 15 °C for 6 h and measured Rh as described above. Afterwards, the three sub-samples from  
161 each sampling location were split into (i) a sub-sample was kept at constant soil moisture (80 % Grav.), (ii) a sub-  
162 sample was allowed to dry out (60 %, 40 % and 20 % Grav.), and (iii) a sub-sample was progressively watered (100  
163 %, 120 % and 140 % Grav.). In-between repeated incubations (all at 15 °C for 6 h) cylinders were stored at 4 °C.  
164 The whole moisture-incubation procedure lasted for 10 weeks with ~ two-weekly intervals between incubations (time  
165 limiting step was soil drying). We used Rh from the sub-samples which had been kept at constant moisture to correct  
166 for potential decreases in Rh due to a loss in labile C throughout the experiment. After finishing incubations, samples  
167 were dried and actual bulk density, as well as gravimetric and volumetric soil moisture of each sub-sample (cylinder),  
168 was calculated and their total C content was determined (TruSpec® CN, LECO Inc., Michigan, USA). Rh rates were  
169 expressed as μmol CO<sub>2</sub> kgC<sup>-1</sup> s<sup>-1</sup>.

### 170 3 Data analysis

171

172 Effects of forest type on field Rs, soil temperature and moisture were tested by means of repeated-measures ANOVA  
173 with a mixed-effects model structure (Pinheiro and Bates, 2000) separately for each year. The significance level for  
174 this and all other analyses was set at P < 0.05. The relationship between field soil temperature and Rs was fitted by  
175 an exponential function (Janssens and Pilegaard, 2003):

176

$$177 R = R_{10} \times Q_{10}^{(T-10)/10} \quad (1)$$

178



179 where  $R$  ( $\mu\text{mol CO}_2 \text{ m}^{-2} \text{ s}^{-1}$ ) is the measured  $R_s$ ,  $T$  ( $^{\circ}\text{C}$ ) is the soil temperature at 5 cm depth,  $R_{10}$  ( $\mu\text{mol CO}_2 \text{ m}^{-2} \text{ s}^{-1}$ )  
 180 is the  $R_s$  rate at  $10^{\circ}\text{C}$  and  $Q_{10}$  is the apparent temperature sensitivity ( $R_s$  change with a proportional change of  $10^{\circ}\text{C}$   
 181 in soil temperature). Equation (1) was fitted to the individual plot data for 2014 and 2015 separately for calculating  
 182  $Q_{10}$  and  $R_{10}$ . One sampling date (2015 Jul 16) was excluded from this analysis because heavy rain occurred during  
 183 measurements. The relationship between  $R_s$  and soil moisture was tested by linear regression analyses. To investigate  
 184 the influence of both, soil temperature and soil moisture on  $R_s$ , and to account for a possible correlation between  
 185 these variables, we used a structural equation modelling approach (Grace, 2006). To consider an exponential relation  
 186 between soil temperature and  $R_s$ , the latter was log transformed prior to analysis. Data from both years were  
 187 incorporated in this analysis.

188 Cumulative annual  $R_s$  of both sites and both years were calculated by linear interpolation of field  $R_s$  between  
 189 measurement dates of each individual plot (the area beneath the curves in Fig. 1 d). In addition, model parameters of  
 190 Eq. (1), together with daily field soil temperatures at 5 cm depth were used to calculate daily field  $R_s$ . To account for  
 191 a spatial variation in soil temperature, continuously measured data were adjusted to discontinuously measured plot-  
 192 data by linear modelling. Cumulative annual  $R_s$  rates were calculated by averaging the summed-up daily plot  $R_s$   
 193 values. Since full season  $R_s$  data and continuous soil temperature data were only available for 2015, annual  $R_s$  sums  
 194 were only determined for 2015 using this approach.

195 Average  $R_h$  rates from laboratory incubations were calculated for each site, soil horizon (mineral soil, forest floor)  
 196 and temperature step ( $5 - 25^{\circ}\text{C}$ ), respectively. Equation 1 was fitted to the temperature-incubation data to determine  
 197  $Q_{10}$  and  $R_{10}$  of  $R_h$ . To determine the relationship between soil moisture and  $R_h$ , we fitted a Gaussian function to the  
 198 moisture-incubation data:

199

$$200 \quad R = \beta_0 + \beta_1 e^{(-0.5 \left( \frac{VWC - \beta_2}{\beta_3} \right)^2)} \quad (2)$$

201

202 where  $R$  is the measured  $\text{CO}_2$  efflux from soil samples ( $R_h$ ),  $\beta_i$  are model coefficients and  $VWC$  is the volumetric  
 203 water content of the samples. This specific function showed the best fit when compared to a set of other response  
 204 functions tested.

205 We followed two approaches to estimate the contribution of  $R_a$  and  $R_h$  in the field. In a first approach, we used the  
 206 trenching data, assuming that the  $\text{CO}_2$  efflux from the trenched plots represented solely  $R_h$ , while the  $\text{CO}_2$  efflux  
 207 from adjacent control plots represented  $R_s$ , and accordingly, the difference between trenched and control plot  $\text{CO}_2$   
 208 efflux represented  $R_a$ . As trenched plots lack water uptake by tree roots, they were regularly wetter than control plots.  
 209 We accounted for that by correcting the soil  $\text{CO}_2$  efflux for the difference in soil moisture by using Eq. (2).

210 In a second approach, we applied the response functions of  $R_h$  which we had derived during laboratory incubations  
 211 together with field soil C stocks and field climate data. This allowed an alternative way to estimate the contribution  
 212 of  $R_h$  in the field (Gough et al., 2007; Kutsch et al., 2010). Equation (1) and Eq. (2) of each site and soil horizon  
 213 were combined:

214

$$215 \quad R = f(T) f(VWC) \quad (3)$$



216

217 in order to account for both a Rh response to temperature ( $f(T)$ ) and moisture ( $f(VWC)$ ). The moisture term in Eq.  
218 (3) was rescaled to relative Rh rates between 0 and 1 (Fig. S1). For that, Eq. (2) was used to predict Rh for a moisture  
219 range between 10 – 70 Vol.%. Predicted Rh rates were then scaled to the asymptote of the curve which represents a  
220 maximum Rh rate. Since no specific moisture response function was obtained for the litter layers, we applied the  
221 same parameters as for mineral soil, combined with  $R_{10}$  and  $Q_{10}$  parameters for the litter layer. In a second step,  
222 model parameters derived from Eq. (3), together with continuously measured temperature and moisture data from 5  
223 cm soil depth were used to model daily Rh from the litter layer and from the mineral soil in 0 – 10 cm depth  
224 respectively. Model parameters for mineral soils together with continuous measurements of soil temperature and  
225 moisture in 20 cm depth were further used to model daily Rh from the mineral soil in 10 – 30 cm depth. In the last  
226 step, predicted Rh rates ( $\mu\text{mol CO}_2 \text{ kgC}^{-1}$ ) were multiplied by the C stocks ( $\text{kg C m}^{-2}$ ) of the respective soil layer,  
227 which enabled us to upscale Rh to the whole soil profile in the field (Kutsch et al., 2010).

228



#### 229 4. Results

230

231 Air and soil temperatures were ~4°C higher at the lower elevation broadleaved forest (Table 1) with a stable trend  
232 throughout both study years (Fig. 1). Air temperatures reached a maximum of 29.6 °C and 22.6 °C at the broadleaved  
233 and coniferous forest, respectively. Winter air temperatures dropped slightly below freezing at the coniferous forest  
234 which showed ephemeral snow cover. Soil temperatures remained above freezing at both sites during the full study  
235 period (Fig. 1). Precipitation was higher at the coniferous forest (coniferous 883 mm; broadleaved 688 mm) during  
236 precipitation measurements in 2014 (12th Jun – 31st Dec). Annual precipitation in 2015 was similar at both forest  
237 types (coniferous 1167 mm, broadleaved 1120 mm). Both sites received the maximum rainfall (60-75 % of annual  
238 precipitation) during the peak monsoon months (Jun, Jul and Aug). Soil moisture remained at a similar range (~40  
239 Vol. %) at both sites during the summer of 2014, whereas soil moisture was significantly higher at the broadleaved  
240 forest during summer 2015 (Fig. 1). During the dry season (Nov – Apr), manually measured soil moisture decreased  
241 to < 20 Vol. % at both sites. Continuous soil moisture records indicated accelerated drying at the broadleaved forest  
242 (Fig. 1).

243 Aboveground and below ground C stocks were markedly higher in the coniferous forest (Table 1). Standing volume  
244 was 1066 and 464 m<sup>3</sup> ha<sup>-1</sup>, at the coniferous and broadleaved forest, respectively. Mineral soil organic C stocks down  
245 to 30 cm soil depth were 127 and 91 t ha<sup>-1</sup> and leaf litter inputs (2015) were 3.5 and 3.4 t C ha<sup>-1</sup> at the coniferous and  
246 broadleaved forest, respectively. Fine root biomass (0-30 cm mineral soil) was lower at the coniferous forest (2.3 t C  
247 ha<sup>-1</sup>) when compared to the broadleaved forest (3.2 t C ha<sup>-1</sup>).

248 Rs did not differ significantly among the two forest types during both years. Rs was generally higher during 2014  
249 (mean Rs broadleaved: 6.7 ± 1.2 μmol CO<sub>2</sub>-C m<sup>-2</sup> s<sup>-1</sup>, coniferous: 5.6 ± 0.9 μmol CO<sub>2</sub>-C m<sup>-2</sup> s<sup>-1</sup>) than during 2015  
250 (mean Rs broadleaved: 4.2 ± 0.7 μmol CO<sub>2</sub>-C m<sup>-2</sup> s<sup>-1</sup>, coniferous: 4.0 ± 0.6 μmol CO<sub>2</sub>-C m<sup>-2</sup> s<sup>-1</sup>). This difference was  
251 not explained by soil climate, nor did we observe any variations in specific above ground dynamics or in litter input  
252 during the two study years. We, therefore, attribute the higher Rs rates in 2014 to the methodological differences in  
253 Rs measurements. In 2014, Rs was measured without the use of base-collars by inserting the soil respiration chamber  
254 into the soil surface. Chamber insertion could have pumped CO<sub>2</sub> out of the soil thereby overestimating Rs. We  
255 therefore only refer to the cumulative annual Rs from 2015 for further site comparison. Cumulative annual (2015)  
256 Rs were 14.3 ± 0.5 t C ha<sup>-1</sup> for broadleaved and 13.0 ± 0.5 t C ha<sup>-1</sup> for the coniferous forest when calculated by linear  
257 interpolation between measurement dates. These values were very close to the ones obtained by the modeling  
258 approach (Eq. (1)), (14.5 ± 1.2 t C ha<sup>-1</sup> for broadleaved and 12.8 ± 1.0 t C ha<sup>-1</sup> for coniferous forest) and indicate that  
259 a three-week measurement interval is sufficient to explain most of the temporal variability in Rs. Rs showed a higher  
260 spatial variability at the coniferous forest (21 - 87 % CV) than at the broadleaved forest (23 - 46 % CV). Between 89  
261 and 96 % of the annual temporal variation in measured Rs could be explained by field soil temperature (Eq. 1, Fig.  
262 2). Q<sub>10</sub> values of Rs ranged between 3.95 and 5.03 (Fig. 2). Rs showed a weak linear relationship with soil moisture  
263 at the broadleaved forest, whereas there was no significant correlation between Rs and soil moisture at the coniferous  
264 forest (Fig. 2). For both sites structural equation modelling revealed a strong influence of soil temperature on Rs, but  
265 no influence of soil moisture (Fig. 3). At both sites, soil temperature and moisture were strongly correlated with each  
266 other during both years (Fig. 3).



267 Laboratory incubations showed a strong positive, exponential, relationship between soil temperature and Rh (Fig. 2).  
268 Temperature sensitivity of mineral soil Rh was similar among forest types (coniferous  $Q_{10} = 2.32$ ,  
269 broadleaved  $Q_{10} = 2.36$ ; Fig. 2) and slightly lower for forest floor material (coniferous  $Q_{10} = 1.97$ ;  
270 broadleaved  $Q_{10} = 2.28$ ).  $Q_{10}$  values of dry soil (coniferous, 1.59; broadleaved, 1.60) were significantly lower than  
271  $Q_{10}$  from the soil which had been kept at intermediate moisture content ( $P < 0.05$ , Table 2).  $Q_{10}$  values obtained from  
272 dry and wet soil did not differ significantly among the two forest sites (Table 2). Rh and soil moisture showed a  
273 unimodal relationship with highest rates of Rh at intermediate soil moisture (35 - 45 % Vol.) and decreasing rates at  
274 lower and higher moisture levels (Fig. 2). Soil from both forest types responded overall similarly to changes in soil  
275 moisture. Coniferous forest soil showed a slightly sharper decrease in Rh at lower and at higher soil moisture (Fig. 2).  
276 Plots which had been trenched in spring 2014 indicated an average autotrophic contribution of 24 and 30 % at the  
277 coniferous and broadleaved forest during the 2015 season, respectively (Fig. 4). The additional plots, which had been  
278 trenched during spring 2015, did not produce any meaningful Ra values, as the trenched plots showed similar or even  
279 higher CO<sub>2</sub> efflux rates than the untreated control plots.

280 Modelled Rh (Eq. 3) was slightly lower than field Rs during the cold season (Fig. 4). The gap between Rh and Rs  
281 (measured and modelled) became larger during the growing season, implying highest contribution of Ra during the  
282 warm monsoon months at both sites (Fig. 4 and 5). The modelling approach yielded generally higher annual  
283 autotrophic contribution (Ra = 43 %, coniferous, 45 % broadleaved) when compared to the trenching experiment. At  
284 the broadleaved forest, a larger fraction of Rh was attributed to the 0-10 cm mineral soil layer, whereas all three  
285 layers (organic, 0-10, and 10-30) contributed similarly to Rh at the coniferous forest (Fig. 5). Modelled cumulative  
286 annual (2015) Rh and Ra were 7.3 and 5.5 t C ha<sup>-1</sup> at the coniferous and 8.0 and 6.5 t C ha<sup>-1</sup> at the broadleaved forest  
287 respectively.



## 288 5. Discussion

289

290 Our hypothesis, that  $R_s$  was higher at the lower elevation broadleaved forest site was not confirmed although soils  
291 had been consistently about 4°C warmer than at the higher elevation coniferous forest. Annual  $R_s$  was similar among  
292 both forest types (12.8 – 14.5 t C ha<sup>-1</sup>) and was in the range of values reported for similar ecosystems (10.1-13 t C  
293 ha<sup>-1</sup> (Dar et al., 2015); 10-12 t C ha<sup>-1</sup> (Li et al., 2008); 13.7 t C ha<sup>-1</sup> (Yang et al., 2007) and 14.7 t C ha<sup>-1</sup> (Wang et al.,  
294 2010)). The higher altitude coniferous forest had double tree basal area and standing stock, indicating that this specific  
295 forest type is exceptionally productive (Singh et al., 1994; Wangda and Ohsawa, 2006b; Sharma et al., 2010a; Tashi  
296 et al., 2016). Soil C stocks of ~ 127 t ha<sup>-1</sup> (0-30 cm depth mineral soil) indicate that these mixed coniferous forests  
297 are likely among those ecosystems with the highest C storage capacity in the eastern Himalayas (Wangda and  
298 Ohsawa, 2006a; Sheikh et al., 2009; Dorji et al., 2014a; Tashi et al., 2016). High soil C contents and stocks were  
299 reflected in generally higher basal respiration ( $R_{10}$ ) at the coniferous forest explaining the comparatively high annual  
300  $R_s$  rates at this cooler, higher altitude, site.

301 At both forests,  $R_s$  followed the seasonal course of soil temperature and showed high apparent temperature  
302 sensitivities (field  $Q_{10}$  between 4 and 5). Field  $Q_{10}$  values, however, not only reflect the effects of soil temperature  
303 but manifest all interacting drivers of  $R_s$  throughout the season (Davidson and Janssens, 2006; Schindlbacher et al.,  
304 2009; Ruehr and Buchmann, 2010). Soil temperature and soil moisture co-varied during both study years with dry  
305 and cold winters and optimal soil moisture during the warm summer months. To account for this co-variation, we  
306 normalized all  $R_s$  measurements using Eq. (2), to the corresponding optimal soil moisture of the sites (39 and 43 %)   
307 and re-calculated the  $Q_{10}$  values. Moisture normalization  $R_s$  had a  $Q_{10}$  of ~ 3 at both sites which show that co-variation  
308 between soil temperature and moisture was one reason for the high apparent temperature sensitivity of  $R_s$ . The  
309 moisture normalized field  $Q_{10}$  of ~ 3 came already closer to the intrinsic temperature sensitivity of  $R_h$  ( $Q_{10}$  ~ 2.3 at  
310 both sites) which was determined under controlled lab conditions at soil temperatures from 5 to 25 °C. Since  $Q_{10}$  tend  
311 to decrease with decreasing temperatures (Leifeld and Fuhrer, 2005; Tuomi et al., 2008; Schindlbacher et al., 2010),  
312 we further calculated lab  $Q_{10}$  at temperature ranges which came closest to the soil temperature range in the field (5-  
313 15 °C coniferous, 5-20 °C broadleaved). As expected,  $Q_{10}$  were slightly higher ( $2.6 \pm 0.5$  coniferous,  $2.7 \pm 0.3$   
314 broadleaved) as the ones calculated over the whole 5-25 °C range, but were still below the moisture normalized field  
315  $Q_{10}$  values. The remaining difference between lab ( $R_h$ ) and field ( $R_s$ )  $Q_{10}$  can result from a higher apparent  
316 temperature sensitivity of  $R_a$  (Boone et al., 1998) driven by accelerated below ground transport of labile C during  
317 the growing season (Schindlbacher et al., 2009). Enhanced priming of SOM decomposition (Bader and Cheng, 2007;  
318 Dijkstra and Cheng, 2007; Kuzyakov, 2010; Bengtson et al., 2012) during the growing season could further add to  
319 the strong apparent temperature response of  $R_s$ .

320  $Q_{10}$  of  $R_h$  was similar between sites but decreased when the soil became dry. Such dry conditions were only reached  
321 during winter, during which  $R_s$  was generally low. Our simple empirical temperature-driven  $R_s$  model explained  
322 most of the temporal variation in  $R_s$  under typical monsoon weather patterns during 2014 and 2015. However,  
323 monsoon failures and drought periods have occurred in the past and may even increase in frequency and/or severity  
324 of climate change (Cook et al., 2010; Schewe and Levermann, 2012; Menon et al., 2013; Sharmila et al., 2015). To  
325 model such drought effects, it is necessary to further develop the model by integrating potential soil moisture response



326 of  $R_s$  (as we already did for  $R_h$ ). To do so, longer  $R_s$  time series which include dry years and/or data from artificial  
327 drought experiments are needed for model parameterization and testing. It is intended to continue  $R_s$  measurements  
328 at both sites and  $R_s$  data from the ongoing throughfall manipulation experiment is in preparation for further model  
329 development. Our last hypotheses that  $R_s$  decreased during water logging at peak monsoon was not confirmed as  
330 soils at both sites were well drained and water logging did not occur even during periods of high precipitation (Fig.  
331 1).

332 The two approaches to estimate the autotrophic contribution to  $R_s$  performed differently. While the trenching method  
333 showed ambivalent outcome, the modeling approach did well. Modelled  $R_h$  in the field remained slightly below  $R_s$   
334 during the cold season. This can be expected as the contribution of  $R_a$  is generally lower during the dormant season  
335 than during the growing season (Hanson et al., 2000; Rey et al., 2002). During the growing season, the two-  
336 component model, Eq. (3) predicted an increase in the contribution of  $R_a$ . Such a pattern has frequently been observed  
337 in other forest ecosystems and reflects the higher downward allocation of labile C during the growing season. Our  
338 model estimated ~ 45 % contribution of  $R_a$  to  $R_s$  falls well within estimates from other forest sites. The modeling  
339 approach holds some uncertainty. C stocks from deeper soil layers were not accounted for and a single  $Q_{10}$  (0-10 cm  
340 depth, lab incubation) was used for the whole 10 - 30 cm mineral soil layer. Furthermore, potential effects of priming  
341 were not accounted for in our modelling approach. In contrast to our modeling approach, which was based on  
342 incubation results and soil C stocks, trenching was applied as an attempt to estimate  $R_a$  *in situ*. The trenching method,  
343 although highly invasive, can provide reasonable estimates of  $R_a$  for several forest types (Hanson et al., 2000; Subke  
344 et al., 2006) considered that all the caveats of the method were accounted for. Our trenching approach, however,  
345 largely failed at both study sites. There might be several reasons, albeit the trenching effects on soil moisture, which  
346 we had accounted for. Fine roots can maintain respiration for a comparatively long time after cutting (Lee et al.,  
347 2003) and if roots die, their decomposition adds to the soil  $CO_2$  efflux (Hanson et al., 2000). This was likely the main  
348 reason for the absence of any effect at plots which had been trenched during spring of the same year as of subsequent  
349  $R_s$  measurements (year 2015). Plots which had been trenched one year earlier, already showed decreased  $R_s$ , but the  
350 estimated autotrophic contribution was on average < 30 % and highly variable. Considering a dead fine root mass  
351 loss of roughly one-third during the second year after trenching (Díaz-Pinés et al., 2010) and accounting for the  
352 corresponding effects on soil  $CO_2$  efflux (additional efflux ~ 1 t C ha<sup>-1</sup>), the estimated contribution of  $R_a$  increased  
353 to ~ 40 % which is in the range of our modeling results.

354 Soil C input via aboveground litter-fall was almost similar between forest types (~ 3.5 t C ha<sup>-1</sup>) although tree basal  
355 area was substantially lower at the broadleaved forest. This can be attributed to a generally higher leaf litter  
356 production in broadleaved ecosystems (Bisht et al., 2014; Tiwari and Joshi, 2015). Fine root stocks at both forest  
357 types fall within the upper range of estimates from other surveys in the Himalayan region (Adhikari et al., 1995;  
358 Usman et al., 1999; Garkoti, 2008; Rana et al., 2015), especially if it is considered that fine root contents in this study  
359 were estimated solely for 0-30 cm mineral soil depth. Assuming a mean fine root turnover time of one year (Brunner  
360 et al., 2013), the annual fine root litter input from 0-30 cm soil layer was ~ 2 and ~ 3 t C ha<sup>-1</sup> at the coniferous and  
361 broadleaved forest, respectively. During 2015, the estimated soil C input (leaf litter and fine root litter of the top 30  
362 cm soil) was, therefore, ~ 1.5 tons lower than the estimated annual gaseous soil C loss via  $R_h$ . This, however, is only



363 a first rough approximation of the real soil C budget, since fine root turnover was not adequately determined and  
364 important C fluxes, such as for instance, DOC leaching, root litter production below 30 cm depth, and C input from  
365 vigorously growing herbaceous ground vegetation were not accounted for in our study, which primarily aimed at a  
366 detailed characterization of the soil CO<sub>2</sub> efflux.  
367

## 368 **6. Conclusion** 369

370 The monsoon climate allows for highly productive mountain forests in the eastern Himalayas. Such forests can store  
371 high amounts of C in plant biomass and soil, which was particularly evident in the high altitude coniferous forest in  
372 our study. The high-temperature sensitivity of Rs ( $Q_{10}$  4-5) suggests that soil C cycling could react particularly  
373 vulnerable to global warming. Deeper analyses, however, showed that Rh had similar temperature sensitivities as  
374 other forest soils ( $Q_{10}$  2-3) and that co-variation of soil moisture and Ra led to the high field  $Q_{10}$ . At both forests  
375 studied, a simple temperature-driven model was sufficient to explain most of the temporal variation in Rs during the  
376 two study years. Both study years had typical monsoon climate with dry and cold winters and monsoon rain during  
377 the warm season. Further research and model development is, however, warranted to better understand how  
378 infrequent/extreme events such as monsoon failure and drought affect soil/ecosystem C cycling and Rs in these forest  
379 ecosystems.

380

## 381 **7. Author contribution** 382

383 N. Wangdi carried out the research and data analysis and drafted the manuscript. M P. Nirola carried out the  
384 incubation experiment and analysed the data. N. Zangmo and K. Orong collected the data and continuously monitored  
385 the research sites. I.U Ahmed carried out the root and the soil sampling study within our research sites. M. Mayer  
386 performed modelling and contributed to writing the manuscript. A. Darabant, R. Jandl, G. Gratzner designed the  
387 experiment and provided feedback on the manuscript. A. Schindlbacher supervised the overall work, designed the  
388 experiment and critically revised the manuscript.  
389

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399

400 **9. Disclaimer**

401

402 The views and opinions expressed in this article are those of the authors and do not necessarily reflect the views of

403 any institutions of the Royal Government of Bhutan or the Government of Austria.

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577 **Table 1 Site characteristics of the two studied forests types**

Parameter	Coniferous forest	Broadleaved forest
Elevation (m)	3260	2460
Latitude	27°28' 00" N	28°28'51.06" N
Longitude	89°44'30.79"E	89°51'27.73" E
Annual Precipitation 2015 (mm)	1167	1120
Mean Air Temperature 2015 (°C)	7.8	12.0
Dominant Overstorey species	<i>Tsuga dumosa</i> (59.5%)	<i>Quercus lanata</i> (63.5%) <i>Quercus griffithii</i> (29.6%)
	<i>Quercus semecarpifolia</i> (29.3%)	
	<i>Picea spinulosa</i> (6.3%) <i>Abies densa</i> (4.1%) <i>Taxus baccata</i> (0.3%)	
Dominant Understorey species	<i>Ilex dipreyana</i> (0.2%) <i>Rhododendron arboreum</i> (0.1%)	<i>Symplocos sp.</i> (0.8%) <i>Lyonia ovalifolia</i> , (2.2%) <i>Rhododendron arboreum</i> (3.4%)
Tree density (No. ha <sup>-1</sup> )	364 ± 50	569 ± 19
Mean Tree height (m) Overstorey	24.4 ± 2.1	23.6 ± 1.4
Mean Tree Height (m) Understorey	7.8 ± 3.5	9.8 ± 0.4
Mean DBH (cm) Overstorey	50.7 ± 5.8	37.8 ± 2.3
Mean DBH (cm) Understorey	13.8 ± 1.4	16.1 ± 0.9
Tree basal area (m <sup>2</sup> ha <sup>-1</sup> )	77.5 ± 4.6	39.9 ± 4.4
Standing volume (m <sup>3</sup> ha <sup>-1</sup> )	1066 ± 2.3	464 ± 25
Soil organic carbon (t ha <sup>-1</sup> ) 0-30 cm	127.2 ± 17.4	91.2 ± 6.2
Soil organic nitrogen (t ha <sup>-1</sup> ) 0-30 cm	6.8 ± 0.6	4.2 ± 0.1
pH (0-10 cm)	5.2 ± 0.1	5.0 ± 0.1
Bulk density (g cm <sup>-3</sup> ) 0-10 cm	0.61 ± 0.02	0.61 ± 0.01
Fine Root biomass (t C ha <sup>-1</sup> ) 0-30 cm	2.3 ± 0.3	3.2 ± 0.5
Litter input (t C ha <sup>-1</sup> yr <sup>-1</sup> )	3.5 ± 0.10	3.4 ± 0.03

578 \*All stand and soil parameters are expressed as the mean ± standard error.

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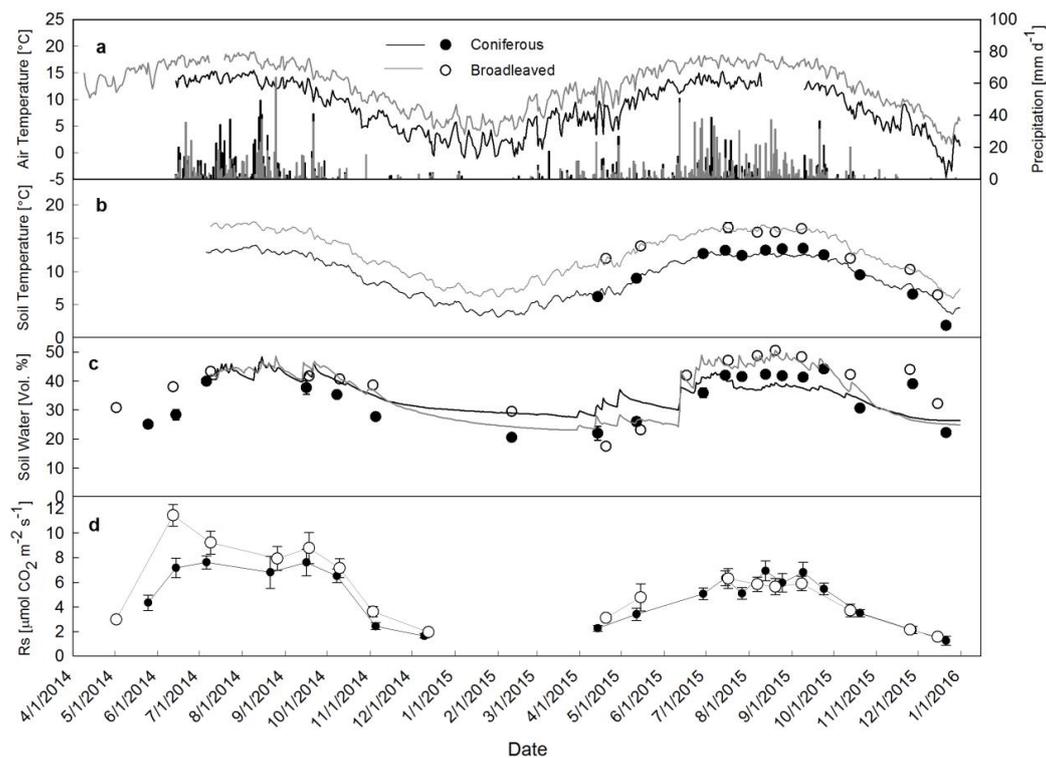
Site	Soil moisture levels	Temperature Range (°C)	$Q_{10}$	$R_{10}$ ( $\mu\text{mol CO}_2$ $\text{kgC}^{-1} \text{S}^{-1}$ )
<b>Coniferous forest</b>	Intermediate (32 Vol. %)	5.0 - 25.0	$2.58 \pm 0.22^a$	$0.09 \pm 0.01^a$
	Dry (12 Vol. %)	5.0 - 25.0	$1.59 \pm 0.07^b$	$0.07 \pm 0.00^a$
	Wet (56 Vol. %)	5.0 - 25.0	$2.12 \pm 0.14^a$	$0.12 \pm 0.01^b$
<b>Broadleaved forest</b>	Intermediate (31 Vol. %)	5.0 - 25.0	$2.35 \pm 0.09^a$	$0.13 \pm 0.01^a$
	Dry (12 Vol. %)	5.0 - 25.0	$1.60 \pm 0.11^b$	$0.09 \pm 0.01^a$
	Wet (55 Vol. %)	5.0 - 25.0	$2.05 \pm 0.14^a$	$0.16 \pm 0.01^b$

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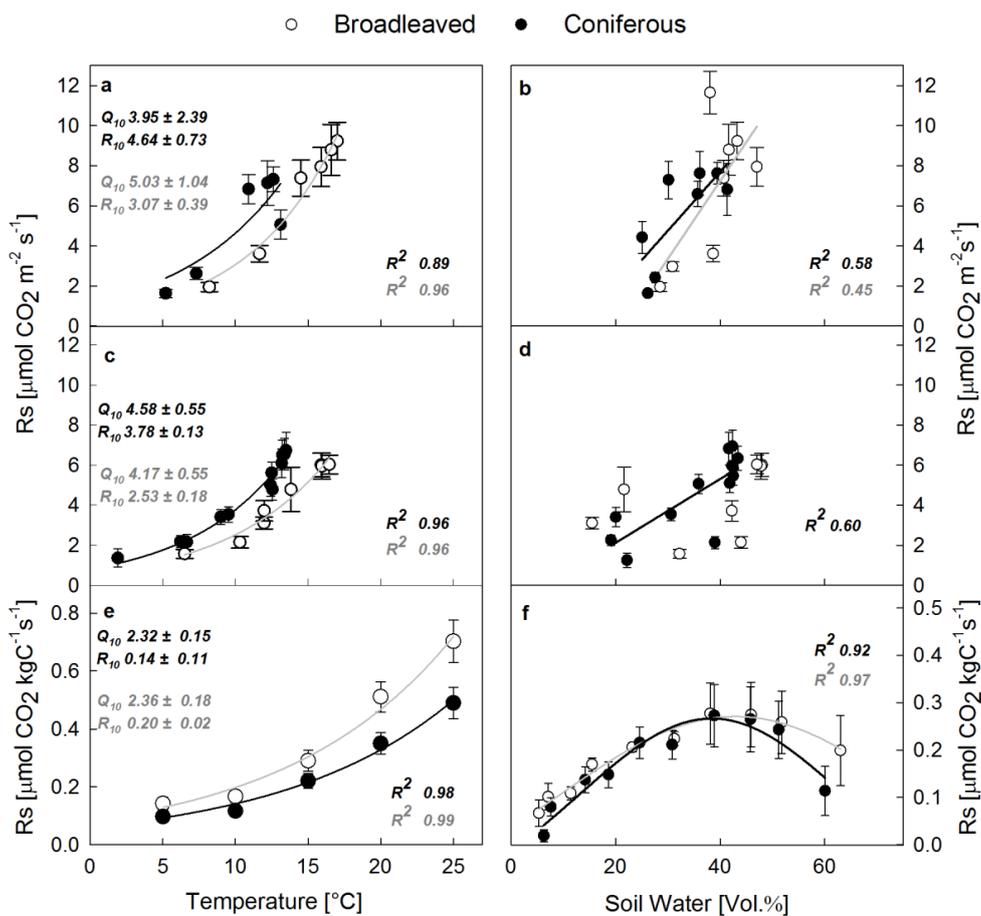
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**Table 2.**  $Q_{10}$  and  $R_{10}$  parameters (Eq. (1)) derived from laboratory incubation. Letters indicate significant differences in  $R_{10}$  and  $Q_{10}$  between soil moisture levels of the mineral soil samples.



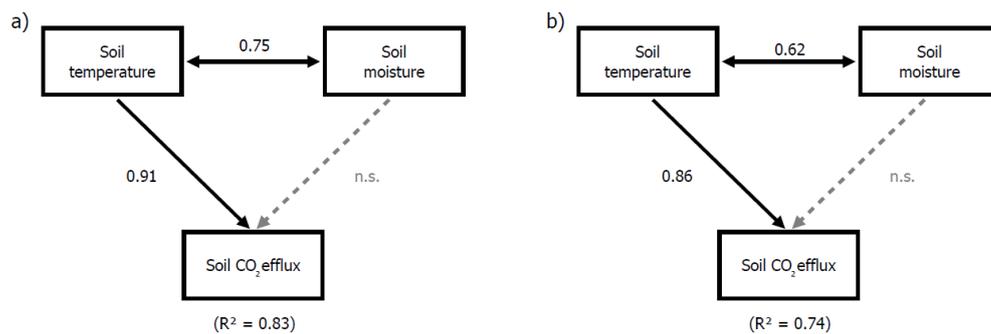
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593 **Figure 1. Seasonal course of air temperature and precipitation (a), soil temperature (b), volumetric soil water content (c),**  
 594 **and soil respiration (d) measured at a coniferous and a broadleaved forest in Bhutan Himalayas in 2014 and 2015. Circles**  
 595 **represent daily mean values of manual measurements. Solid lines (a, b, c) represent daily mean values of continuous**  
 596 **measurements. Error bars indicate standard error of the mean.**



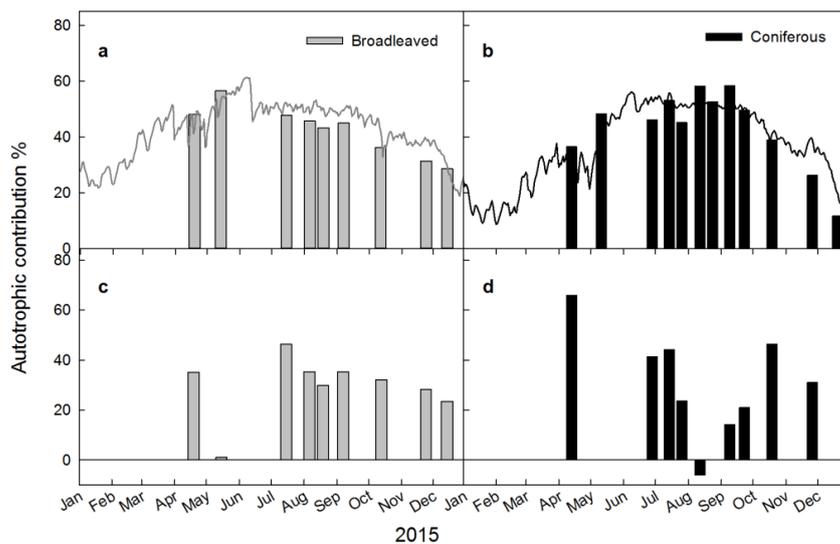
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598 **Figure 2. Relationship between soil CO<sub>2</sub> efflux and soil temperature and soil water content for a broadleaved forest and a**  
 599 **coniferous forest in Bhutan Himalayas, measured during field campaigns in 2014 (a, b) and 2015 (c, d), and during a**  
 600 **laboratory incubation experiment (e, f). Relations between CO<sub>2</sub> efflux and temperature were fitted with an exponential**  
 601 **function, Eq. (1) and model parameters ( $R_{10}$ ,  $Q_{10}$ ) are shown. Relations between CO<sub>2</sub> efflux and volumetric water content**  
 602 **were fitted with linear and Gaussian functions Eq. (2). Error bars represent standard error of the mean.**



603

604 **Figure 3. Structural equation models for a broadleaved (a) and a coniferous (b) forest in Bhutan Himalayas, describing**  
605 **the soil climatic drivers of soil CO<sub>2</sub> efflux during measurement campaigns in 2014 and 2015.**



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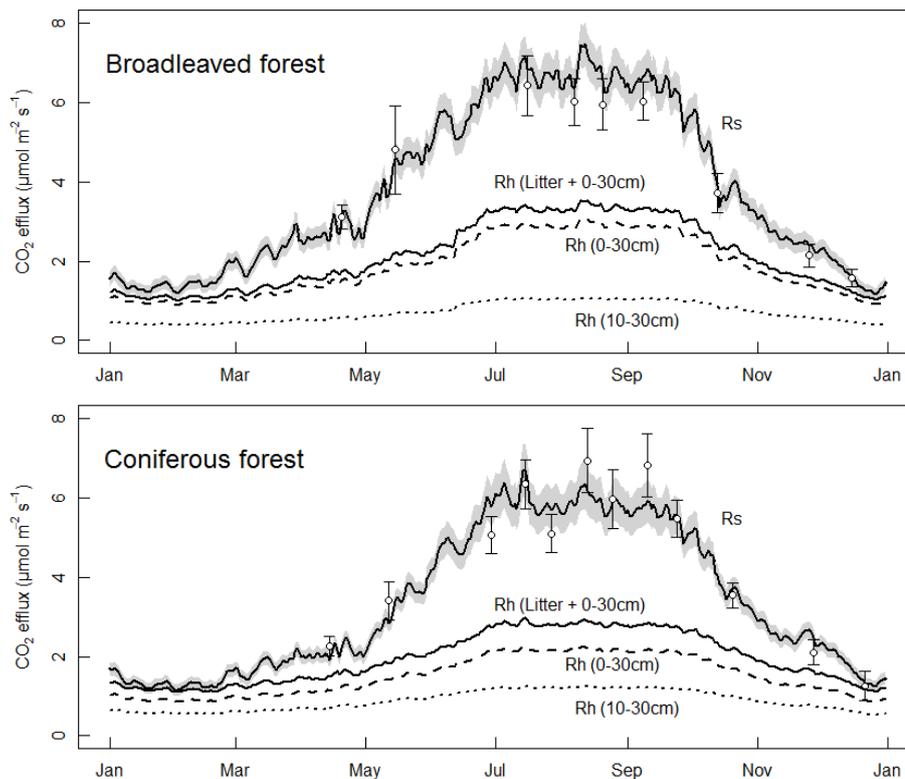
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**Figure 4: Monthly contribution of autotrophic soil respiration to total soil CO<sub>2</sub> efflux at a broadleaved and coniferous forest in Bhutan Himalayas. Data on autotrophic respiration are derived from the difference of modelled daily soil CO<sub>2</sub> efflux and modelled heterotrophic soil respiration rates (a, b, solid lines), measured soil CO<sub>2</sub> efflux and modelled heterotrophic soil respiration rates (a, b, bars), and measured soil CO<sub>2</sub> efflux from control and trenched plots (c, d) of the respective site.**



612

613 **Figure 5: Seasonal course of modelled soil CO<sub>2</sub> efflux (Rs) and heterotrophic soil respiration rates (Rh) from different soil**

614 **layers at a broadleaved and coniferous forest in Bhutan Himalayas in 2015. Open circles are measured soil CO<sub>2</sub> efflux**

615 **rates. Error bars and shaded area represent standard error of the daily mean.**