# To The Associate Editor

Sub: Submission of manuscript "Quantification of multiple simultaneously occurring nitrogen flows in the euphotic ocean" for publication in *Biogeosciences*.

Dear Prof. Middelburg,

Thank you for your assistance on the process of our manuscript.

To more clearly present the capability of our method, we reorganized the manuscript and enhanced the discussion. We followed reviewer's suggestions introducing the simple (low nutrient) first and then the complex case (high nutrient). Such sequence remains throughout the manuscript.

We modified the model slightly to explore more processes associated with  $NH_{4^+}$ , including remineralization and ammonium uptake by bacteria, both were previously found important in incubation experiments. However,  $NO_2^-$  release from PON pool was removed by assuming nitrate reduction is minor among N processes. Since nitrate reduction is an intra cellular process, we also assume nitrite release would follow the r value of nitrate pool not influencing the isotopic composition of  $NO_x^-$  (nitrite and nitrate were pulled into one compartment,  $NO_x^-$ , in the low nutrient case), thus, the determination of other  $NO_x^-$  pool associated processes. Nitrite release was removed due to similar reasons for the high nutrient case. After removing one and adding two unknowns, both low and high nutrient cases can be expressed by matrix equations with unique solutions according to <sup>14</sup>N and <sup>15</sup>N mass balances of system level.

Many questions were raised by reviewers due to our under-description and mathematical notation regarding equations and derivation. All the equations were re-written by replacing dC/dt with  $\Delta C/\Delta t$ . We clarify all points raised and introduced details about rate derivations. We applied the first two points to calculate process rates, differing from that via ordinary differential equation (ODE, time course required). Although unique solution can be obtained, we still applied ODE for comparison (results in new tables). We did sensitivity test for the unique solution, however, the

results were shown in the reply not in the updated main text to avoid distractions. According to Reviewer#3's comments, we also added one more experimental case of low light water (2% sPAR) to reveal more ecological implications. More discussions in comparisons with previous models in terms of model structure and rate numbers were added into Discussion. The time course projection provided by STELLA was termed as validation rather than back calculation since we predict the temporal variation for 24 hours by using the rate numbers derived from the first two time points. More biogeochemical implications, such as remineralization and phytoplankton community succession, the contribution of nitrification to new production, nutrient preference and the ammonium consumption pathways, were made separately in the Section 2 of Discussion.

According to additional descriptions and discussions, the total length of this version was similar to that of the old version. However, the scientific level of this paper was promoted due to constructive comments from reviewers. We believe the current version is qualified for publication in Biogeosciences.

Yours sincerely,

Shuh-Ji Kao

高村圣

December 9, 2016

#### Referee #1, comment #1

The authors present a ms that utilizes sets of linear equations (as a matrix model) to describe nitrogen transformations in seawater from 25 meter depth. The authors argue that 'conventional methods' for calculating rates, including nitrification, do not consider that multiple nitrogen processes are occurring simultaneously. The authors present the model, and then illustrate 2 manipulations where enriched <sup>15</sup>NH<sub>4</sub><sup>+</sup> was added to determine what nitrogen pools it ends up in. They use the program STELLA to estimate parameters of their model. They conclude that NH<sub>4</sub><sup>+</sup> regeneration is likely an important process through isotope dilution, that their model can give differing results from the 'traditional model', and that DON is likely very important. They were able to solve for multiply occurring processes. This is an interesting ms and the community will be interested in the approach. The authors, however, need to address a number of comments to make this a more significant ms.

#### Author response:

Thanks for reviewer's appreciation of the merit of our method.

#### Referee #1, comment #2

The recognition that there are multiple nitrogen transformations is an important one, and the coupling of the model to an enrichment assay is a strong approach. Although I appreciate what the authors are doing here, the statement that they are the first to do needs to be amended, given the recent publication of Pfister et al. in BGS. Biogeosciences, 13, 3519-3531, 2016, http://www.biogeosciences.net/13/3519/2016/ ("To our knowledge, this is the first and most convenient method designed to quantitatively and simultaneously resolve complicated nitrogen transformation rates, albeit with some uncertainties."). Thus, throughout the discussion it would be appropriate to see their model compared with the differential equation model used by Pfister et al. to model multiple nitrogen transformations. The authors also need to compare their conclusions with the above study. For example, I note that this study follows processes in seawater only, while Pfister et al. includes benthic species. It would be useful for the authors to comment on the comparisons.

### Author response:

This is a very constructive suggestion. Indeed, we missed the paper by Pfister et al. (2016) while we submitted our manuscript in July. Pfister et al. (2016) applied similar approach to resolve the N cycle processes in a tidal pool. In this version, we introduced the paper by Pfister et al. (2016) in Introduction. As suggested also by Reviewer #2, we made discussions and comparisons with their method for tidal pool.

The similarity is the coupled monitoring of changes in isotopic composition and concentration in multiple pools ( $NH_4^+$ ,  $NO_2^-$  and  $NO_3^-$ ). However, dissimilarities include: (1) we focused on water column and all operationally defined nitrogen pools were measured, (2) the benthic biomass, which equals to particulate organic nitrogen

in our case, were not measured in their tidal pools; thus, system level mass conservation cannot be made. Accordingly, their case did not allow discussions of DON release, which is an important process in water column; (3) they applied ODE to derive the mean rate constant by fitting parameter combination over the monitoring time course; by contrast, we use matrix (or linear programming in old version) to obtain rate/rate constant for the first two data points and then predict latter on changes.

We thoroughly revised our manuscript basing on three reviewers' comments. In this version, we modified our model structure slightly (see the reply for Reviewer #2) and discussed more in term of model structure and method for rate derivation. The rates derived by using ODE were also added into our tables for comparison. Meanwhile, we reorganized the manuscript in sequence from simple (low nutrient assay) to complex case (high nutrient assay). We believe that such a re-arrangement will be easier for readers to understand our method.

We attempt to resolve rates in water column, more specifically, in sun-lit ocean where intensive substrate competition occurs, thus, we modified our original statement to "This is a convenient method in euphotic zone to quantitatively and simultaneously resolve complicated nitrogen transformation rates, albeit with some uncertainties.".

#### Referee #1, comment #3

The ms would benefit from more direct discussion about the comparison of the models presented in this paper and other models and approaches. Nitrogen processing rates don't seem to differ much based on methodology (Table 3), with values at least being within a similar range. I find this surprising, especially given the authors' recognition of error sources (L576). The abstract states: "comparisons with conventional labeling methods are discussed" (L28) and this is too vague. Similarly, the Conclusions could be stronger and more direct.

#### Author response:

The significance of our method is to resolve multiple rates by adding one single tracer in one bottle for incubation. This cannot be achieved by conventional methods. As mentioned above, Pfister et al. (2016) did not include DON in their discussion according to under-identified benthic biomass.

We highlighted the significance of our method; however, we do not criticize traditional methods. For example, the traditional method for nitrification was usually conducted in the dark or deep water, thus, the consumption of substrate (ammonium) and product (nitrate) by phytoplankton was minimized presumably. In the dark, the traditional approach is ok; however, bias could be significant in the euphotic zone where phytoplankton competition appears. Meanwhile, similar rate values (less biased) can be obtained by traditional methods when one or two specific processes dominate the system.

As mentioned in Elskens et al. (2005), none model was perfect. For example, in a simple system without phytoplankton and light there will be no need to apply our approach. As mentioned in manuscript already, the traditional estimate for nitrification does not work under simulated *in situ* light in the euphotic zone since the end-product, nitrate, drops quickly due to intensive phytoplankton consumption. Such drop in end-product violates the assumption "end-product increase" in traditional method. Thus, dark incubation is required to limit phytoplankton uptake. The incubation in the dark, of course, does not represent "*in situ*" condition. The advantages of our method are (1) to explore the transformation of pathways for *in situ* condition, particularly, in euphotic ocean and at around the transition zones (e.g., nitracline and thermocline in the field) and (2) to examine responses of multiple metabolic pathways via manipulation experiments (e.g., pH, temperature and light).

Finally, the rate numbers for ammonium, nitrate uptakes and nitrification in Table 3 revealed difference. Ours values are 3-20% higher than those by traditional methods. Moreover, nitrate uptake rate by our method was ~6 times higher (in Table 3) than that derived from the equation suggested by Santoro et al. (2010) although nitrification rate was within a similar range. In this version, we pointed out explicitly the reasons for the offset between ours and conventional methods. We enhanced comparisons among methods in Discussion part and made a stronger statement in Conclusions. We found the sentence "comparisons with conventional labeling methods are discussed" to be improper in the Abstract. We eliminated this sentence.

### Referee #1, comment #4

The ms would benefit from adhering more strongly to a clear separation of methods, results, discussion. The paragraph starting L395 is a good example where this needs to be done. It might help to shorten the ms too.

### Author response:

Follow this suggestion, the manuscript was reorganized. Examples were given together now in Methods from the simple to the complex case. Details for matrix solution and sensitivity test were now mentioned in Methods first and then appeared in Results. Yet, the entire length was expanded due to additional data presentation (we added 2% light incubation for comparison as requested), methodology comparison (we added results from ODE as requested; see reply to reviewer #2) and in-depth discussions.

#### Referee #1, comment #5

Finally, although I greatly appreciate the enrichment assay, it appears to be done once. I cannot be sure based on the description given, but it appears unreplicated and that does limit the interpretation the authors can make. Starting L314, more detail is needed including how many incubations, and whether they were replicates or uniquely treated. Having the high and low nutrient assay immediately next to each other in the methods would also lend better comparison. As is, it is looks like these

assays are unreplicated and water was collected at different depths, etc.

### Author response:

We do have replicates. We added descriptions for replicates in this version. In the old version, two data points were representative of replicates. We now used regular and inverse triangles, which give a clearer image of data distribution (see panels below). Moreover, we provided a new case incubated under 2% light.

Instead of discussing the biogeochemical significance of specific processes, the scope of this paper is to propose a convenient method in the field for multiple rate measures. We agree with reviewer that replicates will be helpful indeed if we attempt to probe ecosystem biogeochemistry, however, not necessarily be helpful for a new method establishment. The rate uncertainty, in fact, was largely sourced from heterogeneity of water sample and analytical errors for isotopic composition and concentration, rather than the estimator itself.

The two assays, in fact, are for two very different yet commonly seen conditions. The simple one is for oligotrophic ocean (nitrite and nitrate were pulled together in one nitrogen pool,  $NO_x^{-}$ ). The complex one is for estuary and coastal water where nitrite concentrations are relatively high. According to this suggestion, we illustrated both assays together in the Methods and reorganized the manuscript from the simple case to the complex case. To convince readers the applicability of our method, we presented additional data in this version to discuss these N transformation pathways under different light conditions (see panel (a) and (b) below). All the fluxes were derived from the matrix solution for the first two time points. The full time courses were generated via equation-based simulation by using Stella. We successfully and precisely predicted the observed time courses further illustrating the performance of our method.

(a) High nutrient case -80% sPAR



(b) High nutrient case -2% sPAR



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# **Referee #1, specific comments:**

Line 50, explain what is meant by the 'inventory method'

# Author response:

We followed B. B. Ward (2011). The description is now "The inventory method (monitoring substrate and/or product changes over time) is often used ...".

Line 57"mainly"

# Author response:

Corrected.

L105, 210 - be specific about what 'new method' means

# Author response:

We changed to "isotope matrix" method.

L106, is STELLA a model or a method? What is meant by "The method was also validated using the STELLA model".

# Author response:

STELLA is user-friendly software for box model construction. We realized the appearance of Stella here in Introduction is improper, thus, this sentence was removed. The descriptions about STELLA will be in Methods.

L150, omit 'basically'

# Author response:

Omitted.

L210, what is the 'incubation system'?

# Author response:

We changed to incubation bottle.

L243, "approximately"

# Author response:

### Corrected.

L416, the depth of water collection for the experiment is unclear. Here it says 25 m, while elsewhere it states 3 m.

### Author response:

We presented two samples collected from different locations. One was taken from the western North Pacific (low nutrient case) and the other was from a coastal bay in southern China (high nutrient case). In this version, we mentioned the two cases together in Methods to avoid confusion.

L419, the final enrichment value should be given.

#### Author response:

The description is now "...to achieve a final tracers concentration of 30 nM."

Methods – What were the dissolved oxygen levels? Is the assumption that this is a well-oxygenated system and loss of  $^{15}$ N as gas is irrelevant?

## Author response:

Yes. We made assumptions for oxygenated water column and short term incubation. Such assumption is common and well accepted. In this version, we provided DO saturation values for all cases.

L482 'result'

#### Author response:

Corrected.

Though I could read eqns 5, 6, 7, they are reprinted poorly due to some 'translation' issue.

### Author response:

We tried several times and even asked editorial office's help for file translation during our initial submission. The problem was due to version of software. We will work it out.

L552 - Is there good evidence for light inhibition? Many studies find high rates of nitrification with normal light.

#### Author response:

Light depresses nitrification efficiency by either direct inhibition on AMO or resource reallocation for damage recovery. Similar to previous studies, such as Merbt et al. (2012), Smith et al. (2014) and Peng et al. (2016), we found light inhibition also in coastal China seas although some recent evidences showed that some taxa of marine AOA hold genetic capabilities to reduce oxidative stress and to repair ultraviolet damage (Luo et al., 2014; Santoro et al., 2015). In the photic ocean, besides photo damage nitrifiers need to compete with phytoplankton for substrate. This is why the abundance of AOA/B increased downward in genera and also why we establish this method to explore competing processes under in situ light condition.

According to this question and reviewer #3's suggestion, we presented additional data for the high nutrient case. For high nutrient case, actually, water from 80% sPAR and 2% sPAR were sampled for incubation. We measured fluxes for multiple pathways for different light environments, and then discussed effects of light on nitrification.

The authors do not need to comment so much on inhibitors – which they did not use.

#### Author response:

We did not criticize the usefulness of inhibitor method since to block unwanted process is the only way to obtain a more accurate rate measure for specific process while using the traditional source-product method. Although inhibitor addition was not used in isotope labelling method, similar concept was applied to reduce the interference from unwanted pathway; such as nitrification rate measurement needs to be conducted in the dark to minimize ammonium and nitrate consumptions by phytoplankton. In this version, we removed the statement of inhibitor application.

Table 1 caption – explain "different  $r_{NH4+}$  variation". What seems to be meant here is that the authors are manipulating the values of  $r_{NH4+}$  to mimic the effects of isotope dilution as a consequence of regeneration.

#### Author response:

Yes, we did not measure isotopic compositions for  $NH_4^+$ . Thus, after obtaining fluxes (or rate constants) we set  $r_{NH4+}$  as variable to examine the significance of remineralization in short term incubation. Results showed that remineralization would be effective in our case when incubation is prolonged over 12 hours. According to the validation by consecutive observations, remineralization is limited in all our cases in the first few hours. We added more discussions about the sensitivity test for remineralization.

We mentioned in the manuscript that once the technique for isotopic composition of low concentration  $NH_4^+$  is mature (open ocean case) or in any case  $r_{NH4+}$  time course was measured (coastal ocean case), all rates including remineralization can be

obtained directly. Here in our case, we simulated the time courses of different nitrogen pools and assessed the importance of regeneration by manipulating  $r_{NH4+}$ .

Same for Table 2 caption. Table 3 – Provide a citation for the Traditional Rate Calculation (Collos, 1987) and cite the equation numbers used for each.

# Author response:

Reference was added.

Suppl. fig 1 and 2 are STELLA figs which can be confusing without equations. I did not get much out of these figs, other than the recognition that the authors used this model structure.

# Author response:

We added equations into the two panels in this version.

Throughout the ms, the authors need to check that chemical terminology is reprinted accurately. Similarly, when subscripts are used.

# Author response:

Thanks for reminding. We checked these terms carefully and will try our best to solve problems caused by format translation.

# Dear Editor,

We found all comments are constructive. However, some questions regarding methods were raised due to our under-descriptions in old version. We also modified slightly the model structure for both low and high nutrient cases in order to examine the processes of remineralization, DON release and ammonium uptake by microbes (< 0.7 um). As replied below, our method was simply the integration of the first two time points (trapezoid method) and unique solution can be obtained. According to comments below, we applied ODE and present the ODE-derived results in tables for comparison. The differences in rate or rate constants were caused by length for time integration. However, we need to emphasize this paper is not a model paper. The constructed box model was based on our questions just to project these full time courses of oft-measured pools for validation. Addition to the model extrapolation, we ran sensitivity test for these rate numbers to convince readers the reliability of matrix-derived values. The manuscript had been reorganized and the part of methodology was thoroughly revised.

On behalf of all the authors

Sincerely,

Shuh Ji Kao

# Reviewer #2, comment #1

Min Nina Xu and co-workers present an original experimental design to quantify multiple nitrogen transformation processes (rates of ammonium, nitrite and nitrate uptake, ammonia oxidation; nitrite oxidation; nitrite excretion; DON release; and potentially remineralization) by adding a single <sup>15</sup>N-labelled ammonium substrate into a single incubation system. No inhibitors were used and special attention was given to minimize the alteration of the system by adding a limited amount of tracer. Examples of field measurements are presented and different calculation methods are discussed. The article is written in a clear and understandable manner and fits well with the scope of Biogeosciences (BG). The study is worthy of publication but the authors need to address a number of comments to improve their manuscript (ms).

# Author response:

Thanks.

#### Reviewer #2, comment #2

I have a concern about the method used to solve the rate law equations. Here the mass balance differential equations for determining the N-transformation rates are not integrated, neither analytically or numerically. This is rather unusual and in opposition with standard methods acclaimed for the treatment of chemical reaction kinetics. Such an approach, using rates instead of the generated profile of concentrations versus time, presents serious drawbacks, namely regarding the uncertainty on the estimated parameters (rates or rate constants). Unfortunately, this point is not addressed in the ms. The authors should therefore convince the reader that their method is at least as good as conventional integration methods in terms of accuracy and precision, and this requires an uncertainty assessment (see specific comments).

### Author response:

To avoid confusion, we change dC/dt into  $\Delta C/\Delta t$ .

The rate constants were determined by using the measurements at time zero and the first time point after that. The matrix equations were not constructed for calculating derivatives but to integrate the differential equation between the first two time points, and then to estimate the "instant flux" (F or k\*  $\overline{C}$ , if time for incubation is short enough). Note that the use of "instant" here is just to make it distinguishable from the longer time incubation (or > two time points). The method we used was a second-order Runge-Kutta method, more specifically, the improved Euler method, to carry out the integration numerically. In our case, we inverted the solutions to solve for the fluxes or rate constants that would give us the correct answers at the first time point. Because the fluxes and rate constants are determined entirely from the data at time zero and the first time point, our method is equivalent to integrating the functions (trapezoid method).

After having the "instant rate" for the first time interval, we constructed a box model (equation-based input-output box model) to predict (i.e., extrapolation) the full time courses for all nitrogen pools. In previous version, the model structures and the numbers of equations and unknowns for the two cases were different (see below). However, we did not describe clearly in old version. More details will be given regarding the derivation procedure. The number of equation equals the number of unknown. Thus, no uncertainty exists for the matrix solution. However, reviewer is correct for the uncertainty induced by limited data points for derivation. The major uncertainty will be sourced from analytical uncertainties and sample heterogeneity. However, in all our cases, these extrapolations agreed well with consecutive observations, suggesting a good performance of our estimator for rate or rate constant with good measurements. In this version, we stated explicitly that researchers can applied our approach by using more observational data (enlarged trapezoid) to get an

average rate for longer duration if ignorable community change can be assured (see example blow for low nutrient case).

According to reviewers' comments, we modified the model slightly (see revised model structures below for comment #4) and described the two cases together in Methods.

# Reviewer #2, comment #3

The authors are not the first to propose a mass balance approach to derive multiple N-transformation rates. As far as I know, such an approach was used and discussed at least in three previous publications. 1. Elskens et al., Global Biogeochemical Cycles, vol. 19, gb4028, doi:10.1029/2004gb002332, GBC-2005 2. De Brauwere et al. Chemometrics and Intelligent Laboratory Systems 76, 163-173, CILS-2005 3. Pfister et al., Biogeosciences, 13, 3519–3531, BGS-2016. In the GBC approach, the rate law equations are analytically integrated while in the BGS, the differential equations are solved numerically using an ODE function. Currently the use of the ODE function for solving ordinary differential equations is easy implement to (see https://cran.rproject.org/web/packages/deSolve/deSolve.pdf) and the generated profile of concentrations versus time can be fitted using least squares methods (see GBC, CILS and BGS papers). It would be appropriate to address these points in the introduction, and throughout the discussion, the authors should argue why their simplified approach can be an asset when compared to the aforementioned papers.

# Author response:

Following this comment, we changed our statement about "mass balance". The statement is now "This is a convenient method specifically for euphotic zone to quantitatively and simultaneously resolve complicated nitrogen transformation rates, albeit with some uncertainties.". Above mentioned models had been referred in revision.

The rate derived from ODE is a mean of integration over time that requires a concentration time course (three points at least) for iteration and integration, thereby differs from our "instant rate" determined by two time points as replied above. Although our method is simple mathematically, we do integration. We agree with reviewer that ODE may have advantages with the support of longer time course, however, our two-point matrix solution also gave good performance for extrapolation (see figures for comment #5 by Reviewer #1).

Nevertheless, we applied ODE and made a comparison for fixed  $r_{NH4+}$  condition (see the example below for high nutrient case with 80% sPAR). The rate values obtained by matrix and ODE were consistent. The difference in rate, if any, was caused by the duration for integration, i.e., shorter time (two points for the first ~2 hours) for ours

and longer time (5 points for ~15 hours) for ODE. Since time series monitoring in prolonged on-deck incubation is inconvenient and inappropriate due to rapid nutrient turnover and microbial community change. Thus, we select the first two time points for integration. The model was constructed to reduce the potential bias in traditional source-product method caused by <sup>15</sup>N flows among boxes. Our aim is to provide a less biased and convenient (in term of on-deck implementation and post-hoc data processing) measure for multiple transformation rates (more specifically, the "instant rate" researchers are eager to know). As indicated by Elskens et al. (2005), over complex models can misinterpret part of the random noise as relevant processes. These boxes, i.e. PN, nitrate, nitrite and ammonium, were the most often measured species and these exchanges we applied among pools were the most fundamental processes.

		The nerce	ntage of p	uu decre	ase in 15	h	
Rate $(k \times C)$	0		1%	10%	20%	50%	
nmol $L^{-1} h^{-1}$	ODE*	Isotope	Isotope	Isotope	Isotope	Isotope	
		Matrix	Matrix	Matrix	Matrix	Matrix	
NH4 <sup>+</sup> uptake (F1)	361	397	397	399	401	408	
Remineralization (F2)	0	0	21	211	424	1043	
$NO_2^-$ uptake (F3)	28	29	29	29	29	29	
NH <sub>4</sub> <sup>+</sup> oxidation (F4)	1.1	0.4	0.4	0.4	0.4	0.4	
NO <sub>3</sub> <sup>-</sup> uptake (F5)	189	149	149	149	149	149	
NO <sub>2</sub> <sup>-</sup> oxidation (F6)	1.1	0	0	0	0	0	
DON release (F7)	0	0	0	0	0	0	
Bacteria uptake NH <sub>4</sub> <sup>+</sup> (F8)	268	282	303	490	701	1314	

Table 2a. Results of high nutrient case under 80% PAR.

\*Ordinary Differential Equation

### Reviewer #2, comment #4

Also I'm not convinced that adding a single <sup>15</sup>N-labelled ammonium tracer into the incubation system allows an accurate determination of the ammonium, nitrite and nitrate uptake rates. According to me the kinetic reactions corresponding to the matrix expressions (Eqns 16-17) with the labelling of a single ammonium substrate is underidentified. Under this condition, the <sup>15</sup>N-labelling of PN proceeds via the uptake of ammonium and/or via nitrification and the subsequent uptakes of nitrite and nitrate. These processes are thus not independent, and may result in a multimodal optimization problem, i.e., multiple solutions providing similar responses. The authors

should address this point, especially because little information is available in the ms regarding the method used to solve Eqns. (16-17).

# Author response:

Reviewer is correct about the optimization problem. We did not make clear and correct descriptions in our old version. The problem no longer exists after our modification.

In previous version for the simple case (low nutrient open ocean, include  $NO_2^-$  into  $NO_x^-$  as one pool), we set nitrite release from the PN pool along with  $r_{PN}$  (F5 in panel (a) of Figure r1 below). We found this not reasonable since nitrite release occurs during intra-cell nitrate reduction. Meanwhile, this flux should be minor relative to other fluxes. In this version, we modified the model structure, of which the nitrite release was included as an internal cycle inside the  $NO_x^-$  pool, which can be precisely measured by bacteria method. On the other hand, the remineralization input of  $NH_4^+$  (F2) was connected to the DON pool instead of PN to more realistically reflect the dilution effect. As mentioned in our manuscript, once the isotopic composition of ammonium at the end-point can be measured accurately, no assumption or sensitivity test for  $r_{NH4+}$  is needed. Currently, we manipulated  $r_{NH4+}$  values to examine the effect of remineralization. Via our extrapolation process, the effect of remineralization was evaluated.

According to other reviewer's suggestion, we discussed the missing nitrogen for both high and low nutrient cases (see the example of F5 and F6 in panel (b) of Figure r1 below for low nutrient case), which had been pointed out yet unresolved in previous study by Laws (1985). Here in this version, F5 in low nutrient case was the DON release from PN following the isotope ratio of PN and F6 was defined as ammonium uptake by microbes that passed through the GF/F filter (0.7  $\mu$ m).

The modified model structure is shown below in (b) accompanied with the old one in (a) for comparison. According to this modification, unique solution can be obtained by matrix (6 unknowns and 6 independent equations).



Figure r1. The old (a) and revised (b) model structures for low nutrient case.

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Equations for the low nutrient case:

$$\frac{\Delta \begin{bmatrix} {}^{15}NH_4^{+} \\ \Delta T \end{bmatrix}}{\Delta T} = \overline{F_2} \times 0.00366 - \overline{F_1} \times \overline{r_{NH_4^+}} - \overline{F_3} \times \overline{r_{NH_4^+}} - \overline{F_6} \times \overline{r_{NH_4^+}}$$

$$\frac{\Delta \begin{bmatrix} {}^{15}NO_x^{-} \\ \Delta T \end{bmatrix}}{\Delta T} = \overline{F_3} \times \overline{r_{NH_4^+}} - \overline{F_4} \times \overline{r_{NO_x^-}}$$

$$\frac{\Delta \begin{bmatrix} {}^{15}PN \\ \Delta T \end{bmatrix}}{\Delta T} = \overline{F_1} \times \overline{r_{NH_4^+}} + \overline{F_4} \times \overline{r_{NO_x^-}} - \overline{F_5} \times \overline{r_{PN}}$$

$$\frac{\Delta \begin{bmatrix} {}^{14}NH_4^{+} \\ \Delta T \end{bmatrix}}{\Delta T} = \overline{F_2} \times (1 - 0.00366) - \overline{F_1} \times (1 - \overline{r_{NH_4^+}}) - \overline{F_3} \times (1 - \overline{r_{NH_4^+}}) - \overline{F_6} \times (1 - \overline{r_{NH_4^+}})$$

$$\frac{\Delta \begin{bmatrix} {}^{14}NO_x^{-} \\ \Delta T \end{bmatrix}}{\Delta T} = \overline{F_3} \times (1 - \overline{r_{NH_4^+}}) - \overline{F_4} \times (1 - \overline{r_{NO_x^-}})$$

$$\frac{\Delta \begin{bmatrix} {}^{14}PN \\ \Delta T \end{bmatrix}}{\Delta T} = \overline{F_1} \times (1 - \overline{r_{NH_4^+}}) + \overline{F_4} \times (1 - \overline{r_{NO_x^-}}) - \overline{F_5} \times (1 - \overline{r_{PN}})$$

For the high nutrient complex case  $(NO_2^- \text{ and } NO_3^- \text{ in separable pools})$ , we indeed encountered equifinality problem in old version since we have 6 independent equations and 7 unknowns.

In previous version, we applied linear programming (Excel solver) to obtain the optimal solution for 7 unknowns. The non-linear GRG (Generalized Reduced Gradient Algorithm) was selected. The target function is the root mean square error for all six equations. When the value of target function reaches minimum the optimal solution was provided. After obtaining the optimal solution, we simulate time courses by using the constructed Stella model. Time course extrapolation provided by Stella were surprisingly good, thus, we overlooked the multimodel optimization problem pointed out by reviewer.

The old and revised model are shown below in (a) and (b), respectively, for high nutrient case. Similar to the simple case, in this version we removed F7, nitrite excretion (see panel (a) below in Figure r2). In high  $NH_4^+$  estuary and coastal sea, nitrate assimilation may be inhibited in oxygenated water and subsequently, the nitrite release. Thus, the ignorance of nitrite release from PN (F7 in lower panel (a)) should be acceptable. In old version, equations for PN pool were not applied independently. In order to discuss the missing ammonium, we now introduced PN into equations a unique parameter combination can be obtained (see equations below). During the revision, we compared with ODE-derived results (see reply to comment #3 above, new Table 2a). We also examined the sensitivity of parameters in accordance with the target function (see reply below to the last specific comment) and found all rates converged to unique solutions.



Figure r2. The old (a) and revised (b) model structures for high nutrient case.

Equations for the high nutrient case:

$$\frac{\Delta \begin{bmatrix} 1^{5}NH_{4}^{+} \end{bmatrix}}{\Delta T} = \overline{F_{2}} \times 0.00366 - \overline{F_{1}} \times \overline{r_{NH_{4}^{+}}} - \overline{F_{4}} \times \overline{r_{NH_{4}^{+}}} - \overline{F_{8}} \times \overline{r_{NH_{4}^{+}}} \\ \frac{\Delta \begin{bmatrix} 1^{5}NO_{2}^{-} \end{bmatrix}}{\Delta T} = \overline{F_{4}} \times \overline{r_{NH_{4}^{+}}} - \overline{F_{3}} \times \overline{r_{NO_{2}^{-}}} - \overline{F_{6}} \times \overline{r_{NO_{2}^{-}}} \\ \frac{\Delta \begin{bmatrix} 1^{5}NO_{3}^{-} \end{bmatrix}}{\Delta T} = \overline{F_{6}} \times \overline{r_{NO_{2}^{-}}} - \overline{F_{5}} \times \overline{r_{NO_{3}^{-}}} \\ \frac{\Delta \begin{bmatrix} 1^{5}PN \end{bmatrix}}{\Delta T} = \overline{F_{1}} \times \overline{r_{NH_{4}^{+}}} + \overline{F_{3}} \times \overline{r_{NO_{2}^{-}}} + \overline{F_{5}} \times \overline{r_{NO_{3}^{-}}} - \overline{F_{7}} \times \overline{r_{PN}} \\ \frac{\Delta \begin{bmatrix} 1^{4}NH_{4}^{+} \end{bmatrix}}{\Delta T} = \overline{F_{2}} \times (1 - 0.00366) - \overline{F_{1}} \times (1 - \overline{r_{NH_{4}^{+}}}) - \overline{F_{4}} \times (1 - \overline{r_{NH_{4}^{+}}}) - \overline{F_{8}} \times (1 - \overline{r_{NH_{4}^{+}}}) \\ \frac{\Delta \begin{bmatrix} 1^{4}NO_{2}^{-} \end{bmatrix}}{\Delta T} = \overline{F_{4}} \times (1 - \overline{r_{NH_{4}^{+}}}) - \overline{F_{3}} \times (1 - \overline{r_{NO_{2}^{-}}}) - \overline{F_{6}} \times (1 - \overline{r_{NO_{2}^{-}}}) \\ \frac{\Delta \begin{bmatrix} 1^{4}NO_{3}^{-} \end{bmatrix}}{\Delta T} = \overline{F_{6}} \times (1 - \overline{r_{NO_{4}^{-}}}) - \overline{F_{5}} \times (1 - \overline{r_{NO_{3}^{-}}}) \\ \frac{\Delta \begin{bmatrix} 1^{4}NO_{3}^{-} \end{bmatrix}}{\Delta T} = \overline{F_{6}} \times (1 - \overline{r_{NO_{4}^{-}}}) + \overline{F_{3}} \times (1 - \overline{r_{NO_{3}^{-}}}) + \overline{F_{5}} \times (1 - \overline{r_{NO_{3}^{-}}}) - \overline{F_{7}} \times (1 - \overline{r_{PN}}) \\ \frac{\Delta \begin{bmatrix} 1^{4}PN \end{bmatrix}}{\Delta T} = \overline{F_{1}} \times (1 - \overline{r_{NH_{4}^{+}}}) + \overline{F_{3}} \times (1 - \overline{r_{NO_{2}^{-}}}) + \overline{F_{5}} \times (1 - \overline{r_{NO_{3}^{-}}}) - \overline{F_{7}} \times (1 - \overline{r_{PN}}) \\ \frac{\Delta \begin{bmatrix} 1^{4}PN \end{bmatrix}}{\Delta T} = \overline{F_{1}} \times (1 - \overline{r_{NH_{4}^{+}}}) + \overline{F_{3}} \times (1 - \overline{r_{NO_{2}^{-}}}) + \overline{F_{5}} \times (1 - \overline{r_{NO_{3}^{-}}}) - \overline{F_{7}} \times (1 - \overline{r_{PN}}) \\ \frac{\Delta \begin{bmatrix} 1^{4}PN \end{bmatrix}}{\Delta T} = \overline{F_{1}} \times (1 - \overline{r_{NH_{4}^{+}}}) + \overline{F_{3}} \times (1 - \overline{r_{NO_{2}^{-}}}) + \overline{F_{5}} \times (1 - \overline{r_{NO_{3}^{-}}}) - \overline{F_{7}} \times (1 - \overline{r_{PN}}) \\ \frac{\Delta \begin{bmatrix} 1^{4}PN \end{bmatrix}}{\Delta T} = \overline{F_{1}} \times (1 - \overline{r_{NH_{4}^{+}}}) + \overline{F_{3}} \times (1 - \overline{r_{NO_{2}^{-}}}) + \overline{F_{5}} \times (1 - \overline{r_{NO_{3}^{-}}}) - \overline{F_{7}} \times (1 - \overline{r_{PN}}) \\ \frac{\Delta \begin{bmatrix} 1^{4}PN \end{bmatrix}}{\Delta T} = \overline{F_{1}} \times (1 - \overline{r_{NH_{4}^{+}}) + \overline{F_{3}} \times (1 - \overline{r_{NO_{2}^{-}}}) + \overline{F_{5}} \times (1 - \overline{r_{NO_{3}^{-}}}) - \overline{F_{7}} \times (1 - \overline{r_{PN}}) \\ \frac{\Delta \begin{bmatrix} 1^{4}PN \end{bmatrix}}{\Delta T} = \overline{F_{1}} \times (1 - \overline{r_{NH_{4}^{+}}) + \overline{F_{3}} \times (1 - \overline{r_{NO_{2}^{-}}}) + \overline{F_{5}} \times (1 - \overline{r_{NO_{3}^{-}}$$

Our approach differs from that in Pfister et al. (2016), in which the ratio of ammonium uptake to nitrate uptake was fixed by trial and error. According to comments below and from other reviewers, we also presented additional case and discussed the light effect.

# **Specific comments**

Line 47 - p3: What is meant by the inventory method?

**Author response** – We followed B. B. Ward (2011). We made a much clearer description. The sentence is now "The inventory method (monitoring substrate and/or product change over time) is often used ...".

Line 100 - p6: The term validation is not appropriate since the Stella model is based on the reaction kinetics outlined in Fig.1, and thereby submitted to the same underlying hypotheses than Eqns (16-17). At best we can say that the matrix solutions are consistent with a model run generating concentration versus time curves through back calculation.

Author response – We partly agree with reviewer. This question was raised due to the under-descriptions of our method. For both cases, the "instant rate" for the first time interval was obtained and then served as prescribed values in Stella box model to predict the time course and to compare with consecutive observations. Since the rate is concentration dependent (first order reaction), the rate constant derived from the first time interval would not guarantee a good performance for the full time course due to decline of substrate and contemporary community change. The extrapolation is a kind of validation.

In the ocean, the rate we are eager to know is the *in situ* rate (or the instant rate at the time of sampling) before microbial community changes. Thus, short-term incubation was suggested in our previous version. Stand on this point, "validation" is a proper term.

Lines 280/281/397/399/417. Please pay attention to the number of significant decimals when reporting data (e.g.  $22.3 \pm 4.3 \mu$ M or 5376.4 nM). **Author response** – Carefully checked and corrected.

Line 348/354: How did the authors define 'undetectable' or 'below detection limit' in their ms?

Author response – We change to "below the detection limit".

Line 420 - p23: In Fig.4 a nonlinear behavior for the concentration versus time doesn't demonstrate that the rate laws follow first order.

**Author response** – Reviewer is correct. Now an assumption of first order reaction was made explicitly instead of by the judgement from apparent non-linear behavior.

Line 438 - p23: What is meant by 'this positive offset was compensated for by organic nitrogen utilization'.

**Author response** – We admit the old sentence was confusing. The sentence is now "Since both ammonium and  $NO_x$ " fitted well within 12 hours, the extra non-fitted PN at the time point of 12 hours in observation likely indicated an additional nitrogen source, such as organic nitrogen, was utilized by phytoplankton when inorganic nitrogen reached threshold levels (Sunda and Ransom, 2007)." In fact, our flow cytometry data (see panel below) showed clearly the cell abundance of pico-eukaryotes increased within the first 24 hours and then decreased rapidly, very likely due to nutrient limitation. By contrast, the *Synechococcus* grew continuously even when ammonium and nitrate was around the limiting level. *Synechococcus* may thus uptake DON or recycled nitrogen for growth. Such result is not only supportive of the importance of short-term incubation also indicative of rate might change

rapidly due to community change.



Figure r3. The variations of cell abundances of *Pico-eukaryote* and *Synechococcus* determined by flow-cytometry.

Line 518 – p27: I guess it is rather an 'accurate measurement of...' **Author response** – Changed as suggested.

Line 544 – p 29: 'The uncertainty estimate for this isotope matrix method is not a simple statistical question'. Yet the authors have the means to do so. If they build rate profiles from their concentration measurements, and optimize values for Fi or ki (Eqns 16- 17) using a least squares method, they will get access to the uncertainty on these parameters via the variance-covariance matrix.

**Author response** – This question was raised due to more equations for unknowns. As replied above, after revision unique solution can be obtained via the matrix method. Thus, this specific uncertainty question does not exist. We mentioned uncertainties in previous version since we also cannot deny uncertainties caused by chemical analyses. Meanwhile, errors along the time course might possibly come from the community change as replied above.

According to this suggestion, we applied a sensitivity test (see Figure r4 below) by using Excel for the low nutrient case under  $r_{NH4+}$  constant condition. We set reasonable ranges for parameters and then conducted 10000 times random selection for individual parameters within the given range to generate 10000 sets of parameter combination for RMSE estimate. We can see clearly randomly selected parameters converge toward the unique solution we obtained (red inverse triangle). The RMSE is near zero. Such consistency suggests uncertainties will be sourced from chemical analyses and the heterogeneity of water for incubation rather than method itself.



Figure r4. The sensitivity test of parameters. Root mean square error was applied as performance measure. Inverse triangle stands for unique solution from isotope matrix method.

# Reviewer #3, Comment #1

The manuscript would be stronger if a wider range of environments with varying relative importance of the different processes were examined. At present, the manuscript really just addresses two incubation experiments taken from high light environments.

# Author response:

The coastal case, in fact, we sampled two layers with different light intensity, 80% and 2% sPAR, and bottles were incubated in neutral density-screened incubator to simulate original light. In the old version, we did not presente entire data since the scope of this paper is to provide a convenient method.

According to this suggestion, we presented additional data. We saw higher rates of ammonium, nitrite and nitrate uptake for the high light layer. While nitrite and ammonium oxidation were both low compared with phytoplankton uptake. The overall low ammonium oxidation rate was likely attributable to the low temperature in winter. The amount of ammonium uptake by microbes was similar to that by phytoplankton in both cases underscoring the importance of ammonium flow to < 0.7  $\mu$ m particle fraction.

We do not add further cases we have. Our next paper will be focusing on the application of this method to discuss the temperature and light effect on multiple processes in an estuary along salinity gradient.

# Reviewer #3, Comment #2

The manuscript should include a deeper discussion of the results beyond just the new method, extending to the actual ecology of the processes being examined. For example, the finding that varying the remineralization rate does not affect the nitrification rate seems significant, though potentially an artifact of the samples chosen for investigation (see #1 above).

# Author response:

We agree with reviewer, the results might be very different in other environments. We included the layer with 2% sPAR for discussion. According to this comment, we modified the model structure (see reply to comment #4 by Reviewer #2) to discuss the missing ammonium. In old version, the unbalanced nitrogen was assigned as a leakage to DON from PN. As indicated in our manuscript, PON was operationally defined (on GF/F filter pore size of 0.7  $\mu$ m). The nitrogen leakage, in fact, had been observed elsewhere. As pointed out by Laws (1985), the leakage from PON to DON

or bacterial ammonium uptake (<0.7 $\mu$ m, absence on filter) may account for the vanishing  $^{15}NH_4^+$  on PON. In this version, we separated the missing nitrogen into account for the vanishing ammonium in incubation bottle. Thus, variable remineralization rate (variable  $r_{NH4+}$ ) was assigned to test the dilution effect.

Basing on our observational data, the continuously decreasing ammonium over time was obvious, suggesting that remineralization was insufficiently high to maintain the ammonium at steady state. Such rapid drop in ammonium was supportive of low remineralization rate deduced from time course extrapolation. As indicated by Pfister et al. (2016), benthic mussels play a critical role in ammonium supply in tidal ponds. In our both cases, micro-zooplankton in sampled water may not present in high abundance. Limited zooplankton (animals) in sampled water is likely the key for insignificant remineralization. More discussions will be made for ecological implications.

### **Specific comments**

There is an over emphasis on the novelty of this work being 'abandoning inhibitors', as most stable isotope labeling papers in the last decade have not used inhibitors to actually calculate rates, but rather to inform specific groups of organisms that might be contributing to a specific process. This is the case for many of the papers incorrectly cited in lines 61-63.

**Author response** – We do not mention 'abandoning inhibitors' in this version. References were carefully checked and cited accordingly.

80% surface light intensity is a very high light intensity for trying to measure nitrification. I would suggest noting in the discussion that the contribution from nitrification to <sup>15</sup>N uptake might be considerably different at lower (e.g. 1-10%) surface irradiance. This is somewhat alluded to in lines 381-384, but the implications could be discussed more explicitly.

Author response – We totally agree with this comment. We provide low light case in this version. However, nitrification rate was still low due to low temperature in winter. We described the light effect on nitrification and referred to papers about light inhibition and substrate competition (Smith et al. 2014; Peng et al. 2016). We also explicitly stated these flows or rates in low light environment could be very different from results we presented in this study.

Line 539: Are rates (nmol  $L^{-1} h^{-1}$ ) or rate constants ( $h^{-1}$ ) being compared here? Clarify language. Also, the phrase 'their nitrate uptake rate' is confusing . . .I think what is meant is 'nitrate uptake calculated using their method'

**Author response** – Corrected. All units in tables were carefully checked. Both rate values and rate constant will be presented clearly.

Line 562: The discussion about the relevance of this research to PNM dynamics is not warranted based on the results presented here.

Author response – We removed PNM relevant discussions.

Table 3: The column title 'Santoro et al.' should be clarified to say 'Rate calculation of Santoro et al' and units should be clarified for all columns (see comment above about rates versus rate constants). Table 2 has the NOx uptake rate constant (k) as  $0.059 \text{ h}^{-1}$ , but this same value is listed as a rate (nM h<sup>-1</sup>) in Table 3.

Author response – The column title is corrected. We carefully checked for rate and rate constants throughout the manuscript and tables. The units and associated descriptions are now consistent.

Line 57: 'manily' should be 'mainly'.

Author response – Corrected.

Line 182: is sulfamic, not sulfanilic meant here? **Author response** – Corrected.

Line 482: 'resut' should be 'result'. **Author response** – Corrected.

1	Quantification of multiple simultaneously occurring nitrogen
2	flows in the euphotic ocean
3 4	Min Nina Xu <sup>1</sup> , Yanhua Wu <sup>2</sup> , Li Wei Zheng <sup>1</sup> , Zhenzhen Zheng <sup>1</sup> , Huade Zhao <sup>3</sup> , Edward A Laws <sup>4</sup> , Shuh-Ji Kao <sup>1</sup> *
5	<sup>1</sup> State Key Laboratory of Marine Environmental Science, Xiamen University, Xiamen,
7	<sup>2</sup> Shenzhen Marine Environment Monitoring Center Station, Shenzhen, China
8	<sup>3</sup> National Marine Environmental Monitoring Center, Dalian, China
9	<sup>4</sup> School of the Coast & Environment, Louisiana State University, USA
10	Correspondence to: Shuh-Ji Kao (sjkao@xmu.edu.cn)

### 12 Abstract

13 The general features of the N cycle in the sunlit ocean have been recognized, but 14 quantitative information about multiple transformation rates among nitrogen pools, i.e., 15 ammonium (NH4<sup>+</sup>), nitrite (NO2<sup>-</sup>), nitrate (NO3<sup>-</sup>) and particulate/dissolved organic 16 nitrogen (PN/DON), are insufficient due to methodological difficulties. Recent advances in analytical methods for isotopic composition of oft-measured nitrogen 17 18 species allowed us to establish a convenient isotope labelling method to quantify in 19 *situ* dynamic nitrogen flows for euphotic water. By adding a single <sup>15</sup>N-labelled NH<sub>4</sub><sup>+</sup> 20 tracer, we monitored the changes in concentration and isotopic composition of the total dissolved nitrogen (TDN), PN,  $NH_4^+$ ,  $NO_2^-$ , and  $NO_3^-$  pools to trace the  $^{15}N$  and  $^{14}N$ 21 22 flows. Based on mass and isotope conservations of every individual pool as well as the 23 whole system, we formulated matrix equations with unique solution to simultaneously 24 derive multiple nitrogen transformation rates, such as rates of NH<sub>4</sub><sup>+</sup>, NO<sub>2</sub><sup>-</sup>, and NO<sub>3</sub><sup>-</sup> uptake; ammonia oxidation; nitrite oxidation; DON release and NH4<sup>+</sup> uptake by 25 26 bacteria. This isotope matrix method was designed specifically for euphotic water 27 column incubation under simulated in situ condition. With consideration of multi-flows 28 among pools, we minimized potential biases caused by non-targeted processes in 29 traditional source-product method. The proposed isotope matrix method is convenient 30 in terms of on-deck incubation and post-hoc data analysis and is feasible to probe

- 31 effects of environmental factors (e.g., pH, temperature and light) on multiple
- 32 processes under manipulated conditions.

# 33 Keywords

- 34 Ammonium oxidation, isotope, new production, nitrification, regenerated production
- 35

# 36 **1. Introduction**

37 Nitrogen (N), which is an essential element in organisms' metabolic processes, 38 regulates productivity in the surface waters of many parts of the ocean (Falkowski, 39 1997; Zehr and Kudela, 2011; Casciotti, 2016). As a limiting nutrient in the euphotic 40 zone, nitrogen rapidly interconverts among five major N compartments: particulate 41 organic nitrogen (PN), dissolved organic nitrogen (DON), ammonium (NH<sub>4</sub><sup>+</sup>), nitrite 42 (NO<sub>2</sub><sup>-</sup>), and nitrate (NO<sub>3</sub><sup>-</sup>) (Fig. 1). Quantitative information on transformation rates in 43 the marine N-cycle may advance our understanding of the coupling of autotrophic and 44 heterotrophic processes involving carbon and nitrogen as well as the efficiency of the 45 biological pump. Such information would also facilitate evaluation of ecosystem 46 functions. However, the dynamic nature and complexity of the reactions involving 47 nitrogen make it a difficult task to resolve the rates of multiple simultaneous nitrogen 48 transformations. Inventory and isotope tracer methods have often been used to measure 49 rate of specific process in previous studies (Ward, 2008, 2011; Lipschultz, 2008 and 50 references therein).

The inventory method (monitoring the change of substrate and/or product concentrations over time) was often used to determine the uptake rates of ammonium, nitrite, nitrate, and urea (McCarthy and Eppley, 1972; Harvey and Caperon, 1976; Harrison and Davis, 1977; Howard et al., 2007) and to examine the occurrence and rate of nitrification (Wada and Hatton, 1971; Pakulski et al., 1995; Ward, 2011). However, 56 unwanted processes may bias the result. For example, the substrate (ammonium) pool 57 is controlled simultaneously by consumptions via phytoplankton (PN as the product), 58 nitrifier (nitrite/nitrate as the product) and bacteria (operationally defined DON as 59 product) and by additions via remineralization from heterotrophic bacterial metabolism, 60 zooplankton excretion, and viral lysis. Similarly, the product ( $NO_x^-$ ) pool of 61 nitrification is consumed contemporarily by phytoplankton during incubation.

The <sup>15</sup>N-labeled tracer technique has been widely used as a direct measure for 62 63 specific nitrogen processes since the emergence of isotope ratio mass spectrometry (IRMS). For example, the addition of <sup>15</sup>N-labeled nitrate has been applied to estimate 64 65 new production (Dugdale and Goering, 1967; Chen, 2005; Painter et al., 2014). Likewise, by incubating water to which <sup>15</sup>NH<sub>4</sub><sup>+</sup> has been added, nitrification rate 66 67 (<sup>15</sup>NO<sub>3</sub><sup>-</sup> as product; e.g. Newell et al., 2013; Hsiao et al., 2014; Peng et al., 2016) and ammonium uptake rate (<sup>15</sup>N<sub>PN</sub> as product; e.g. Dugdale and Goering, 1967; Dugdale 68 69 and Wilkerson, 1986; Bronk et al., 1994, 2014) can be measured, respectively, with 70 dark and light incubation. However, isotope-labelling encounters similar bias problem 71 in the inventory method, i.e., multiple processes occur simultaneously involving either 72 source or product terms in the incubation bottle. In fact, these transformations among 73 pools have significant implications in biogeochemical cycle. For instance, Yool et al. 74 (2007) synthesized available global data and indicated that the fractional contribution 75 of nitrate derived from nitrification to nitrate uptake can be as high as 19-33% in the

76	euphotic zone. However, nitrate uptake rates were determined under light conditions,
77	and nitrification was determined under dark conditions (e.g. Grundle et al., 2013),
78	which are not comparable in terms of their effects on these processes. To overcome this
79	problem, 24-h incubations have been used to compensate for the diel cycle of
80	light-sensitive processes (Beman et al., 2012). Yet, 24-h incubations may cause
81	calculation artifacts due to the interference from significant transfers of <sup>15</sup> N and <sup>14</sup> N
82	among pools. A new method is needed to reconcile the above-mentioned biases and
83	the incomparable parallel incubations.
84	Marchant et al. (2016) have reviewed recent method advances in marine N-cycle
85	studies using <sup>15</sup> N-labeling substrates combined with nanoSIMS, FISH, or HISH. These
86	methods provide qualitative information for N transfers at cellular and molecular level
87	but no quantitative rates at community level. A comprehensive review of oft-used
88	models for rate derivation was conducted by Elskens et al. (2005), who concluded that
89	oversimplified models would risk bias when their underlying assumptions are violated;
90	nevertheless, overly complex models could misinterpret part of the random noise as
91	relevant processes. Therefore, a model selection procedure was subsequently proposed
92	(De Brauwere et al., 2005). More recently, Pfister et al. (2016) applied isotope tracer
93	technique and mass conservation model onto tidal ponds study to explore nitrogen
94	flows among dissolved nitrogen pools (NH <sub>4</sub> <sup>+</sup> , NO <sub>2</sub> <sup>-</sup> and NO <sub>3</sub> <sup>-</sup> ) and found that benthic
95	macrobiota plays important role in regulating remineralization flow. They also proved

96 that the dilution effect significantly biased the results obtained by source-product
97 models. Nevertheless, for the euphotic zone where competing processes co-occur, an
98 innovative and convenient method for on-deck incubation to measure *in situ* multiple N
99 flows is needful.

100 In this study, we propose an "isotope matrix method". To avoid perturbations, the 101 concentration of the tracer was limited to < 10% or 20 % of the substrate concentration, 102 as suggested by previous researchers (Raimbault and Garcia, 2008; Middelburg and Nieuwenhuize, 2000; Painter et al., 2014). One single tracer, <sup>15</sup>NH<sub>4</sub><sup>+</sup>, was added into 103 incubation bottle to trace the <sup>15</sup>N flow among the nitrogen pools under simulated *in situ* 104 105 conditions. Almost all the most fundamental processes in the N cycle can be quantified 106 with this newly proposed method. To demonstrate the applicability of the method, we 107 conducted incubation experiments for low-nutrient water in the western North Pacific 108 and for high-nutrient coastal water off southeastern China coast. Thank for recent 109 advances in these analytical methods for concentration and isotopic composition of 110 various nitrogen species, this isotope matrix method becomes applicable to quantify in 111 situ dynamic nitrogen flows for euphotic water.

112 **2. Isotope matrix method** 

#### 113 **2.1 Framework of the inter-connections among nitrogen pools**

In the oxygenated and well-lit euphotic zone, the transformations of N among
NH<sub>4</sub><sup>+</sup>, NO<sub>2</sub><sup>-</sup>, NO<sub>3</sub><sup>-</sup>, PN, and DON are shown in Fig. 1. The PN is operationally defined

as the particulate organic nitrogen trapped on a GF/F filter (>  $0.7\mu$ m). Dissolved inorganic nitrogen (DIN) and DON are the inorganic and organic nitrogen, respectively, in the dissolved fraction that passes through a polycarbonate membrane with a  $0.22 \mu$ m pore size. Since DON includes the N in numerous dissolved organic N compounds, including unidentified organics, urea, amino acids, amines, and amides, DON represents the "bulk" DON and is calculated by subtracting the concentrations of NH<sub>4</sub><sup>+</sup>, NO<sub>2</sub><sup>-</sup>, and NO<sub>3</sub><sup>-</sup> (DIN) from the total dissolved N (TDN).

We consider two different types of schemes in our method: low nitrogen and high nitrogen (Fig. 1a and 1b). The low nutrient scheme is for the open ocean. The high nutrient scheme is for estuary and coastal environments where three dissolved inorganic nitrogen species co-exist. Below, we describe the rationale of model structures for the two cases.

128 The consumption of reactive inorganic nitrogen  $(NH_4^+, NO_2^-, and NO_3^-)$  is 129 dominated by photosynthetic uptake by phytoplankton (F1 and F4 in Fig. 1a; F1, F3, 130 and F5 in Fig. 1b). Heterotrophic bacteria may also be important actors for NH<sub>4</sub><sup>+</sup> 131 assimilation (Laws, 1985), and was confirmed by studies later on (e.g. Middelburg and 132 Nieuwenhuize, 2000; Veuger et al., 2004). We took it into account as well (F6 in Fig. 133 1a and F8 in Fig. 1b) to explore its importance. Though  $NO_2^-$  may be released during 134  $NO_3^-$  uptake (Lomas and Lipschultz, 2006), little  $NO_2^-$  production from  $NO_3^-$  was 135 detected (Santoro et al., 2013), especially in high NH<sub>4</sub><sup>+</sup> estuary and coastal sea, nitrate

136	assimilation may be inhibited in oxygenated water and, subsequently, so is the nitrite
137	release. Thus, the nitrite release was ignored in our model. Due to DIN assimilation by
138	phytoplankton, the PN pool may increase, but DON may be released during
139	assimilation (F5 in Fig. 1a and F7 in Fig. 1b) as indicated by previous studies (Bronk et
140	al., 1994; Bronk and Ward, 2000; Varela et al., 2005). On the other hand,
141	remineralization may refuel the NH4 <sup>+</sup> pool (F2 in both Fig. 1a and 1b). Meanwhile,
142	ammonium pool is reduced by nitrification process, which consists of two basic steps:
143	the ammonia oxidation by archaea/bacteria (AOA/AOB) to nitrite (F4 in Fig. 1b) and
144	the nitrite oxidation to nitrate by nitrite-oxidizing bacteria (NOB) (F6 in Fig. 1b).
145	Although recent studies have revealed a single microorganism that may completely
146	oxidize $NH_4^+$ to $NO_3^-$ (comammox) (Daims et al., 2015; van Kessel et al., 2015), its
147	importance in the marine environment remains unclear.
148	Specific mechanisms or processes such as grazing and viral lysis may alter the
149	concentrations of NH4 <sup>+</sup> , nitrite, and DON. However, the scope of this study is to
150	determine the nitrogen flows and exchanges among the often measured and

operationally defined nitrogen pools. In this context, grazers and viruses belong to the
operationally defined PN and DON pools, respectively. Thus, the resultance of specific
process such as grazing and viral lysis has been incorporated in the paradigm depicted
in Figure 1.

# 155 **2.2** Analytical methods to determine the amounts of <sup>15</sup>N/<sup>14</sup>N in various pools

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To trace the <sup>15</sup>N movement among pools, our isotope matrix method couples the <sup>15</sup>N-labelling and inventory methods through considering both concentration and isotopic composition changes. Analytical methods to determine the concentrations and isotopic compositions of both high and low levels of inorganic/organic nitrogen are in most cases well established and have been reported elsewhere. We determined all of the mentioned concentrations and isotopic compositions except the isotopic composition of NH<sub>4</sub><sup>+</sup>.

163 Concentrations of NH<sub>4</sub><sup>+</sup> higher than 0.5  $\mu$ M were measured manually by using the 164 colorimetric phenol hypochlorite technique (Koroleff, 1983). Nanomolar NH4<sup>+</sup> 165 concentrations were measured by using the fluorometric o-phthaldialdehyde (OPA) 166 method (Zhu et al., 2013). Concentrations of  $NO_2^-$  and  $NO_x^-$  ( $NO_2^- + NO_3^-$ ) were 167 determined with the chemiluminescence method following the protocol of Braman and Hendrix (1989). The detection limits of  $NO_2^-$  and  $NO_x^-$  were both ~ 10 nmol L<sup>-1</sup>, and 168 169 the corresponding relative precision was better than 5% within the range of 170 concentrations that we measured. By using persulfate as an oxidizing reagent, we 171 oxidized TDN and PN separately to nitrate (Knapp et al., 2005) and then measured the 172 nitrate by using the analytical method for  $NO_x^-$  described above.

173 We determined the  $\delta^{15}$ N of NO<sub>2</sub><sup>-</sup> with the azide method by following the detailed 174 procedures in McIlvin and Altabet (2005). The  $\delta^{15}$ N of NO<sub>x</sub><sup>-</sup> was determined by using a 175 distinct strain of bacteria that lacked N<sub>2</sub>O reductase activity to quantitatively convert 176  $NO_x^-$  to nitrous oxide (N<sub>2</sub>O), which we then analyzed by IRMS (denitrifier method; (Sigman et al., 2001; Casciotti et al., 2002). The isotopic composition of NO<sub>3</sub><sup>-</sup> was 177 determined from isotope mass balance (NO<sub>x</sub><sup>-</sup> minus NO<sub>2</sub><sup>-</sup>) or measured by the 178 179 denitrifier method after eliminating preexisting NO<sub>2</sub><sup>-</sup> with sulfamic acid (Granger and 180 Sigman, 2009). To determine the  $\delta^{15}N$  of TDN and PN, both species were first converted to NO<sub>3</sub><sup>-</sup> with the denitrifier method, and then the  $\delta^{15}$ N of the NO<sub>3</sub><sup>-</sup> was 181 determined as described above. The detection limit of  $\delta^{15}N_{PN}$  can be reduced to 182 183 nanomole level (absolute amount of nitrogen), which is significantly lower than that 184 by using high temperature combustion with an elemental analyzer connected to 185 IRMS.

The most popular way to determine the N isotopic composition of NH<sub>4</sub><sup>+</sup> is the 186 187 "diffusion method", which involves conversion of dissolved NH<sub>4</sub><sup>+</sup> to NH<sub>3</sub> gas by 188 raising the sample pH to above 9 with magnesium oxide (MgO) and subsequently 189 trapping the gas quantitatively as (NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub> on a glass fiber (GF) filter; the isotope ratios of the  ${}^{15}N/{}^{14}N$  are then measured using a coupled elemental analyzer with an 190 191 IRMS (Holmes et al., 1998; Hannon and Böhlke, 2008). Alternatively, after removing 192 the preexisting  $NO_2^-$  from the seawater samples using sulfamic acid,  $NH_4^+$  is first 193 quantitatively oxidized to  $NO_2^-$  by hypobromite (BrO<sup>-</sup>) at pH ~12 (BrO<sup>-</sup> oxidation 194 method), and the protocol of McIlvin and Altabet (2005) is then used to reduce the 195  $NO_2^-$  to  $N_2O$  (Zhang et al., 2007). Unfortunately, neither of these methods has been

196 established in our lab yet. The isotope matrix method requires the isotopic composition of NH<sub>4</sub><sup>+</sup> as well, but this requirement can be circumvented by making certain 197 198 assumptions, as illustrated in our case studies below.

- We estimated the amount of <sup>14</sup>N and <sup>15</sup>N atoms in every individual pool for which 199
- we knew the concentration and  $\delta^{15}N$  ( $\delta^{15}N$  % = [( $R_{sample} R_{atmN2}$ )/ $R_{atmN2}$ ] ×1000). By 200
- assuming the <sup>15</sup>N content of standard atmospheric nitrogen to be 0.365%, we calculated 201

 $R_{sample}$  (<sup>15</sup>N/<sup>14</sup>N). By defining  $r_{sample}$  as <sup>15</sup>N/(<sup>14</sup>N+<sup>15</sup>N), we directly derived the <sup>15</sup>N and 202

- <sup>14</sup>N concentrations of all forms of N, with the exception of NH<sub>4</sub><sup>+</sup> and DON. The r value 203 of the NH<sub>4</sub><sup>+</sup> was assumed to equal either its initial value or an arbitrarily chosen fraction
- thereof, and the <sup>15</sup>N and <sup>14</sup>N content of the NH<sub>4</sub><sup>+</sup> was then determined. 205
- 206

204

### **2.3 Formation of matrix equations**

In this isotope matrix method, we added limited amount of <sup>15</sup>NH<sub>4</sub><sup>+</sup> into incubation 207 bottles at the very beginning and then monitored the changes of <sup>15</sup>N and <sup>14</sup>N in the 208 209 measured pools every a few hours. We assumed isotopic mass balance at every time point in the incubation bottle. In other words, the sums of the variations in the total N, 210 <sup>15</sup>N, and <sup>14</sup>N concentrations were zero for any time interval. We assumed no 211 fractionation between <sup>15</sup>N and <sup>14</sup>N for all the transfer reactions among the pools. The 212 fluxes of <sup>15</sup>N and <sup>14</sup>N were therefore equal to the total flux multiplied, respectively, by 213 214  $r_{substrate}$  and  $(1 - r_{substrate})$ . Note that we did not consider isotope fractionation, though it could easily be introduced into the equations if necessary, i.e., dividing <sup>14</sup>N flux by  $\alpha$ 215
216 (the ratio of specific rate constant of  ${}^{14}$ N to  ${}^{15}$ N), and the flux of  ${}^{15}$ N is obtained. Below,

## 217 we illustrated equations for the two model cases.

According to mass balance, the net changes of the <sup>15</sup>N (or <sup>14</sup>N) concentration of 218 219 individual N pool in certain time interval are determined by the inflow and outflow of 220 <sup>15</sup>N (or <sup>14</sup>N) (see Fig. 1 and Eqs. below). In the low-nitrogen case, the changes of the 221 <sup>15</sup>N concentrations of the  $NH_4^+$ ,  $NO_x^-$ , and PN pools were expressed by Eq. 1, 2 and 3, respectively. Similarly, the temporal dependence of <sup>14</sup>N-NH<sub>4</sub><sup>+</sup>, <sup>14</sup>N-NO<sub>x</sub><sup>-</sup>, and <sup>14</sup>N-PN 222 were expressed by Eq. 4, 5 and 6, respectively. The mean rate of change in nitrogen 223 224 pool, i.e. the left side of the equation, was determined from the data at time zero and the first time point. For example, when sampling time interval is short,  $\Delta [^{14}NH_4^+]/\Delta t$  at the 225 first time point was approximately  $\{[^{14}NH_4^+]_{t1} - [^{14}NH_4^+]_{t0}\}/(t1\text{-}t0)$  where the 226 227 subscripts indicate the times at which the concentrations were measured. The r value 228 applied in the equation for substrate was the average of the r values at time zero and the 229 first time point after that for measured pool.

230 
$$\frac{\Delta \left[ {}^{15}NH_4^{+} \right]}{\Delta T} = \overline{F_2} \times 0.00366 - \overline{F_1} \times \overline{F_{NH_4^+}} - \overline{F_3} \times \overline{F_{NH_4^+}} - \overline{F_6} \times \overline{F_{NH_4^+}}$$
(1)

231 
$$\frac{\Delta \left[ {}^{15}NO_{x}^{-} \right]}{\Delta T} = \overline{F_{3}} \times \overline{F_{NH_{4}^{+}}} - \overline{F_{4}} \times \overline{F_{NO_{x}^{-}}}$$
(2)

232 
$$\frac{\Delta \left[ {}^{15}PN \right]}{\Delta T} = \overline{F_1} \times \overline{r_{_{NH_4^+}}} + \overline{F_4} \times \overline{r_{_{NO_x^-}}} - \overline{F_5} \times \overline{r_{_{PN}}}$$
(3)

233 
$$\frac{\Delta \left[ {}^{14}NH_4^{+} \right]}{\Delta T} = \overline{F_2} \times (1 - 0.00366) - \overline{F_1} \times (1 - \overline{r_{NH_4^+}}) - \overline{F_3} \times (1 - \overline{r_{NH_4^+}}) - \overline{F_6} \times (1 - \overline{r_{NH_4^+}})$$
(4)

234 
$$\frac{\Delta \left[ {}^{14}NO_{x}^{-} \right]}{\Delta T} = \overline{F_{3}} \times (1 - \overline{F_{NH_{4}^{+}}}) - \overline{F_{4}} \times (1 - \overline{F_{NO_{x}^{-}}})$$
(5)

235 
$$\frac{\Delta \left[ {}^{14}PN \right]}{\Delta T} = \overline{F_1} \times (1 - \overline{F_{NH_4^+}}) + \overline{F_4} \times (1 - \overline{F_{NO_x^-}}) - \overline{F_5} \times (1 - \overline{F_{PN}})$$
(6)

In this study, we conducted a time series monitoring for over 24 hours, however, we took the first two time points for the rate calculation since such rate derivations might be more close to the instant rates in the original environments. Note: researchers may apply this method onto longer time interval, however, rates may vary as a result of substrate consumption and/or community change, shorter-term incubation is suggested (see below).

Since the total number of equations and unknowns are equal, a unique solutiontherefore can be obtained via matrix solution for the low nutrient model.

In high nutrient cases, similarly, equations (Eqs. 7-14) can be constructed by using transformations among  $NH_4^+$ ,  $NO_2^-$ ,  $NO_3^-$  and PN (Fig. 1b).

246 
$$\frac{\Delta \left[ {}^{15}NH_4^{+} \right]}{\Delta T} = \overline{F_2} \times 0.00366 - \overline{F_1} \times \overline{F_{NH_4^{+}}} - \overline{F_4} \times \overline{F_{NH_4^{+}}} - \overline{F_8} \times \overline{F_{NH_4^{+}}}$$
(7)

247 
$$\frac{\Delta \left[ {}^{15}NO_2^{-} \right]}{\Delta T} = \overline{F_4} \times \overline{r_{NH_4^+}} - \overline{F_3} \times \overline{r_{NO_2^-}} - \overline{F_6} \times \overline{r_{NO_2^-}}$$
(8)

248 
$$\frac{\Delta \left[ {}^{15}NO_{3}^{-} \right]}{\Delta T} = \overline{F_{6}} \times \overline{r_{NO_{2}^{-}}} - \overline{F_{5}} \times \overline{r_{NO_{3}^{-}}}$$
(9)
$$38 / 78$$

249 
$$\frac{\Delta \left[ {}^{15}PN \right]}{\Delta T} = \overline{F_1} \times \overline{F_{NH_4^+}} + \overline{F_3} \times \overline{F_{NO_2^-}} + \overline{F_5} \times \overline{F_{NO_3^-}} - \overline{F_7} \times \overline{F_{PN}}$$
(10)

250 
$$\frac{\Delta \left[ {}^{14}NH_4^{+} \right]}{\Delta T} = \overline{F_2} \times (1 - 0.00366) - \overline{F_1} \times (1 - \overline{r_{NH_4^+}}) - \overline{F_4} \times (1 - \overline{r_{NH_4^+}}) - \overline{F_8} \times (1 - \overline{r_{NH_4^+}})$$
(11)

251 
$$\frac{\Delta \left[ {}^{14}NO_2^{-} \right]}{\Delta T} = \overline{F_4} \times (1 - \overline{r_{NH_4^+}}) - \overline{F_3} \times (1 - \overline{r_{NO_2^-}}) - \overline{F_6} \times (1 - \overline{r_{NO_2^-}})$$
(12)

252 
$$\frac{\Delta \left[ {}^{14}NO_3^{-} \right]}{\Delta T} = \overline{F_6} \times (1 - \overline{r_{NO_2^{-}}}) - \overline{F_5} \times (1 - \overline{r_{NO_3^{-}}})$$
(13)

253 
$$\frac{\Delta \left\lfloor {}^{14}PN \right\rfloor}{\Delta T} = \overline{F_1} \times (1 - \overline{F_{NH_4^+}}) + \overline{F_3} \times (1 - \overline{F_{NO_2^-}}) + \overline{F_5} \times (1 - \overline{F_{NO_3^-}}) - \overline{F_7} \times (1 - \overline{F_{PN}})$$
(14)

Again, a unique solution can be obtained since the numbers of equations and unknowns are equal.

256 In the above matrix equations,  $r_{NH4+}$ , which we did not measure in this study, is 257 necessary for the solution. Here we set various degrees of remineralization to test the 258 effect of isotope dilution (NH4<sup>+</sup> addition) in our experimental cases. We reduced r<sub>NH4+</sub> 259 values at a constant reduction rate and the total reduction of r<sub>NH4+</sub> was 0%, 1%, 10%, 20% 260 and 50% for the full time span of incubation (r<sub>NH4+</sub> of remineralization is assumed to be 261 0.00366). The F2 coupled with given r<sub>NH4+</sub> values allowed us to resolve rates under 262 different remineralization conditions, and the derived F2 was introduced into STELLA 263 model for extrapolations (see below). We compared the observed and

remineralization-associated simulations to reveal the effect of remineralization on ratemeasure for time series incubations.

266

# 2.4 Validation by STELLA

As the aforementioned, the "instant rate" at the original condition is what 267 researchers pursue. Note that the use of "instant" here is just to make it distinguishable 268 269 from the longer time incubation or more than two time points. To evaluate the 270 applicability of matrix-derived instant rate, here we applied STELLA 9.1.4 software 271 (Isee systems, Inc.) to construct box models that were consistent with the scenarios depicted in Figure 1. The constructed STELLA model contained two modules (Figs. S1 272 and S2), one for <sup>15</sup>N and the other for <sup>14</sup>N. The connection between these two modules 273 was through the <sup>15</sup>N atom % (rN), which was a measured parameter in the incubation 274 275 experiment. The model started to run with these measured initial values for nitrogen 276 pools at time zero and to project continuous changes of corresponding nitrogen pools. 277 Since the rate numbers based on the first two time points may not guarantee a good 278 performance for the full time course due to system variation, i.e., changes in substrate 279 concentration and microorganism community, we took this model practice 280 (extrapolation) as a validation.

In this study, we assumed the first order reaction for both cases, thus, the initial rate constant "k" can be derived via dividing matrix-derived F by  $\overline{C}$  (the average substrate concentration of the first two time points). After setting the initial concentrations of <sup>15</sup>N and <sup>14</sup>N to every pool, the model ran for 24 h according to matrix-derived short-term k values. As depicted in Figure 1, all these monitored N pools are regulated by F, which is concentration dependent according to our assumption (Figs. S1 and S2). The output includes the time courses of the <sup>15</sup>N and <sup>14</sup>N concentrations and the <sup>15</sup>N atom % ( $r_N$ ) of each N species. Through this comparison, we could observe the course evolution of the isotopic composition in various N pools.

# 290 **2.5 Study sites and incubation experiments**

Incubation experiments were conducted in two environments with very different nutrient levels. The low nutrient case was conducted on-deck of the R/V Dongfanghong 2 on a cruise to the Western North Pacific (WNP) (33.3 N, 145.9 E) in spring 2015. The site for the high nutrient case is in the Wuyuanwan Bay (WYW) (24.5 N, 118.2 E) in the southern coast of China.

296 The water samples at WNP station were collected using a 24-bottle rosette 297 sampler. The sampling depth was 25 m with moderate light intensity (12% the surface 298 water irradiance). Two pre-washed 10-L polycarbonate carboys (Nalgene, USA) were used for the incubation. A total of 1.5 mL of 200  $\mu$ M <sup>15</sup>N-labelled NH<sub>4</sub>Cl tracers 299 300 containing 98 atom% 15N (Sigma-Aldrich, USA) was injected into each incubation 301 bottle separately to achieve a final concentration of 30 nM. Incubation was carried out immediately with a constant simulated light intensity (35  $\mu$ mol E m<sup>-2</sup> s<sup>-1</sup>) in a 302 303 thermostatic incubator (GXZ-250A, Ningbo) at in situ temperature.

The WYW station is an inner bay with a regular semidiurnal tide. As a coastal bay, Wuyuanwan suffers from anthropogenic influences that result in high nutrient concentrations analogous to other coastal zones in China. However, the bay water is still well ventilated and constantly saturated with dissolved oxygen due to tidally induced water exchange. It is an ideal research site to study the dynamic transformation processes of the coastal nitrogen cycle.

310 The WYW samples were taken on 19 January, 2014 from water depth of 0.3 m and 311 2.3 m, respectively, with a light intensity of 80 % and 2% of the surface water 312 irradiance. Duplicate water samples were collected for each depth by using submersible pump into pre-washed 10-L polycarbonate bottles (Nalgene, USA). <sup>15</sup>N-labeled NH<sub>4</sub>Cl 313 (98 atom % <sup>15</sup>N, Sigma-Aldrich, USA) was added to the incubation bottles with final 314 315 concentration of  $1 \mu M$  (~4 % of the ambient concentration). The incubations were 316 carried out immediately in the field. Neutral density-screen that allows 80% and 2% 317 light penetration was applied, respectively, for incubation bottles of shallow and deep 318 samples. The temperature was maintained at ~13.7  $^{\circ}$ C by continuously pumped 319 seawater throughflow.

320 Sample of the first time point (t0) was taken immediately after tracer addition. 321 Subsequent samples were taken at approximately 2–4 h interval for DIN and PN 322 analyses. An aliquot of 200 mL was filtered through a 47-mm polycarbonate membrane 323 with a 0.22  $\mu$ m pore size (Millipore, USA), and the filtrates were frozen at –20 °C for

324	chemical analyses in the lab. Particulate matter was collected by filtering 500 ml
325	seawater through pre-combusted (450 $^\circ C$ for 4 h) 25 mm GF/F filters (Whatman, GE
326	Healthcare, USA), under a pressure of <100 mm Hg. The GF/F filters were freeze-dried
327	and stored in a desiccator for PN concentration and isotopes.

## 328 **3. Results**

# 329 **3.1** Ambient condition and initial concentrations

330 The water temperature and salinity of the WNP low nutrient case from 25m was 18.4 °C and 34.8, respectively. The dissolved oxygen (DO) was 7.3 mg L<sup>-1</sup>. The 331 concentration of NH<sub>4</sub><sup>+</sup>, NO<sub>x</sub><sup>-</sup> and phosphate was 113  $\pm$  5 nmol L<sup>-1</sup>, 521  $\pm$  18 nmol L<sup>-1</sup> 332 333 and 74  $\pm$  2 nmol L<sup>-1</sup>, respectively. The N/P ratio was lower than 16, indicating the 334 system is N limited. 335 The water temperature and salinity of the WYW whole water column for high 336 nutrient case was 13.5  $\pm$  0.1 °C and 29.5  $\pm$  0.1, respectively. The DO saturation ranged 135-140%. The concentrations of nitrogenous species were relatively high with 337 inorganic nutrient concentrations of 30.9  $\pm$  0.7  $\mu$ mol L<sup>-1</sup> for NO<sub>3</sub><sup>-</sup>, 22.3  $\pm$  4.3  $\mu$ mol L<sup>-1</sup> 338 for NH<sub>4</sub><sup>+</sup>, 5.4  $\pm$  0.2  $\mu$ mol L<sup>-1</sup> for NO<sub>2</sub><sup>-</sup>, and 9.3  $\pm$  0.7  $\mu$ mol L<sup>-1</sup> for PN. Phosphate was 339

340 1.5  $\pm 0.1 \,\mu \text{mol L}^{-1}$ .

## 341 **3.2 Time-courses of incubations**

## 342 **3.2.1 Low nutrient case**

343	The observed variation patterns for the bulk $NH_4^+$ , $NO_x^-$ , PN, and TDN
344	concentrations and $\delta^{15}N$ of $NO_x^-$ and PN during incubation are shown in Figure 2.
345	Concentrations of NH <sub>4</sub> <sup>+</sup> and NO <sub>x</sub> <sup>-</sup> decreased rapidly from 143 $\pm 5$ to 48 $\pm 5$ nM and 521
346	$\pm$ 18 to 127 $\pm$ 11 nM, respectively (Figs. 2a and 2b). In contrast, PN concentration
347	increased from 437 $\pm$ 9 to 667 $\pm$ 14 nM (Fig. 2c), and the TDN concentration remained
348	stable, with an average of 6511 $\pm209$ nM (Fig. 2d). Opposite to the trend of $NO_x^-$
349	concentration, $\delta^{15}$ N-NO <sub>x</sub> <sup>-</sup> increased from 8.9 ±0.2 to 171 ±2 ‰ (Fig. 2e). In addition,
350	$\delta^{15}$ N-PN exhibited great changes, increasing from 46.8 ±0.2 to 6950 ±314 ‰ (Fig. 2f).

351 **3.2.2 High nutrient cases** 

352 The time-series of observational parameters for samples from depths of 80% and 2% sPAR exhibited similar variation trends during incubation (Fig. 3). During the course of 353 354 incubation,  $NH_4^+$  decreased significantly and continuously from 26.6  $\pm$  0.1 (initial 355 concentration) to 17.4  $\pm$  0.1  $\mu$ mol L<sup>-1</sup> with a mean reduction rate of 0.63  $\mu$ mol L<sup>-1</sup> h<sup>-1</sup> 356 for 80% sPAR sample (Fig. 3a). Compared with that of 80% sPAR, NH<sub>4</sub><sup>+</sup> of 2% sPAR 357 sample decreased slower from 24.6  $\pm 0.1$  (initial concentration) to 18.2  $\pm 1.0 \,\mu$ mol L<sup>-1</sup> with a mean reduction rate of 0.47  $\mu$ mol L<sup>-1</sup> h<sup>-1</sup> (Fig. 3a). NO<sub>3</sub><sup>-</sup> in 80% and 2% sPAR 358 decreased from 30.1  $\pm$ 0.1 to 28.3  $\pm$ 0.1  $\mu$ mol L<sup>-1</sup> and from 31.1  $\pm$ 0.1 to 29.7  $\pm$ 0.1  $\mu$ mol 359  $L^{-1}$ , respectively (Fig. 3c). Overall, the nitrate reduction rates were much lower than 360 361 that of NH4<sup>+</sup>. Compared to nitrate, NO2<sup>-</sup> displayed even slower declining trends yet 362 with significantly higher rate for 80% sPAR sample relative to that of 2% sPAR sample

(Fig. 3b). Similar to the low nutrient case, PN increased steadily from 8.8  $\pm 0.1$  to 17.7 363  $\pm 0.9 \,\mu\text{mol} \,L^{-1}$  with a mean rate of 0.61  $\mu\text{mol} \,L^{-1} \,h^{-1}$  for 80% sPAR sample and from 364 9.9 ±0.1 to 16.0 ±2.0  $\mu$ mol L<sup>-1</sup> with a mean rate of 0.44  $\mu$ mol L<sup>-1</sup> h<sup>-1</sup> in 2% sPAR (Fig. 365 366 3d). The increase rates in PN concentration were very close to the decrease rates of NH4<sup>+</sup> indicating ammonium was the major nitrogen source for growth. The TDN 367 concentration decreased from 78.7  $\pm$  1.6 to 68.4  $\pm$  0.1  $\mu$ mol L<sup>-1</sup> and form 72.8  $\pm$  2.5 to 368 67.1  $\pm$  0.8  $\mu$ mol L<sup>-1</sup> for 80% and 2% sPAR samples, respectively (Fig. 3e). 369 370 The  $\delta^{15}$ N-NO<sub>2</sub><sup>-</sup> increased from -9.0 ±0.1 to 12.1 ±0.1 ‰ and -8.8 ±0.1 to 23.3 ± 371 0.6 ‰ in 80% and 2% sPAR incubation, respectively (Fig. 3g); Since nitrate pool was relatively large, the values of  $\delta^{15}$ N-NO<sub>3</sub><sup>-</sup> ranged from 6.8 to 10.1 ‰ with no significant 372 trend over time (Fig. 3h). In addition,  $\delta^{15}$ N-PN increased from 14.8 ±0.3 to 3078 ±180 ‰ 373 374 and from 15.0  $\pm$  0.5 to 2738  $\pm$  66 ‰ for 80% and 2% sPAR sample, respectively (Fig. 3i). These significant changes in both concentration and isotopic compositions of 375 nitrogen pools over time suggested that nitrogen transformed significantly among 376 377 pools and the labelled <sup>15</sup>N in NH<sub>4</sub><sup>+</sup> flowed through the system except nitrate.

# 378 **3.3 Solutions of the matrix equation and STELLA extrapolation**

### 379 **3.3.1 Low nutrient case**

The matrix-derived rate constants (ki) and rates (Fi) are shown in Table 1(A) and 1(B), respectively. Under no remineralization condition (i.e.  $r_{NH4+}$  decreased 0% within 24 hours), the NO<sub>x</sub><sup>-</sup> uptake (k4= 0.059 h<sup>-1</sup>; F4 = 27.2 nmol L<sup>-1</sup> h<sup>-1</sup>) was the highest among all in terms of flux, followed by  $NH_4^+$  uptake (k1 = 0.038 h<sup>-1</sup>; F1 = 4.9 nmol L<sup>-</sup>

<sup>1</sup> h<sup>-1</sup>) and DON release (k5= 0.024 h<sup>-1</sup>; F5 = 11.5 nmol L<sup>-1</sup> h<sup>-1</sup>). NH<sub>4</sub><sup>+</sup> uptake by bacteria (k6 = 0.007 h<sup>-1</sup>; F6 = 1.0 nmol L<sup>-1</sup> h<sup>-1</sup>) was much lower than that by phytoplankton. The rate constant for nitrification (k3 = 0.0005 h<sup>-1</sup>) was the lowest among all fluxes (F3 = 0.07 nmol L<sup>-1</sup> h<sup>-1</sup>).

By introducing initial <sup>15</sup>N and <sup>14</sup>N concentrations of NH<sub>4</sub><sup>+</sup>, NO<sub>x</sub><sup>-</sup>, PN and DON 388 389 and the calculated rate constants (k1 to k6) into STELLA (Fig. S1), we obtained full 390 time courses for all parameters (Fig. 4). Generally, the model outputs fitted well with the measured values except the last time point for PN associated <sup>15</sup>N concentration, 391  $\delta^{15}$ N, and r<sub>N</sub> (Figs. 4 c, k and o). In general, the rates of the first time interval can well 392 393 predict the following up observations, demonstrating a good predictive performance by 394 using the matrix method instant rate. Since both substrates, e.g., ammonium and NO<sub>x</sub><sup>-</sup>, 395 fitted well within 24 hours, the extra non-fitted PN in observation after the time point of 396 12 hours likely indicated the participation of an additional nitrogen source, i.e., 397 dissolved organic nitrogen that was utilized by phytoplankton (see discussion below) 398 when inorganic nitrogen reached threshold levels (Sunda and Ransom, 2007). 399 In these test runs of regeneration with  $r_{NH4+}$  reduction by a total amount of 1 %, 400 10 %, 20 % and 50 %, we found that the  $NH_4^+$  consumption rates (k1 and k6) increased

402 regeneration-induced isotope dilution indeed altered the original results (Table 1 and

401

as the regeneration (k2) increased (Table 1). As indicated in previous studies, such

403 Fig. 4). More specifically, greater NH<sub>4</sub><sup>+</sup> regeneration resulted in larger differences between these three PN-associated values (<sup>15</sup>N-PN,  $\delta^{15}$ N-PN, and  $r_{PN}$ ) and the 404 STELLA-projected data (Figs. 4 c, k and o). Meanwhile, the dilution effect was more 405 406 significant after 12 hours of incubation. On the other hand, the effect of r<sub>NH4+</sub> on NO<sub>x</sub><sup>-</sup> 407 -associated parameters was trivial (Figs. 4 b, f, j, n and r). The comparison between the 408 simulation and observation suggested that NH4<sup>+</sup> regeneration needs to be considered for PN (i.e., uptake) when remineralization rate is high and incubation prolongs. 409 410 Besides remineralization, offsets along the time course might possibly be induced by 411 the community change as incubation prolongs.

#### 412 **3.3.2 High nutrient cases**

The results of 80% sPAR and 2% sPAR under the assumption of fixed r<sub>NH4+</sub> are 413 414 shown in Table 2(A) and 2(B), respectively. For the high light sample (80 % sPAR), the 415  $NH_4^+$  uptake by phytoplankton (F1, 397 nmol L<sup>-1</sup> h<sup>-1</sup>) and by bacteria (F8, 282 nmol L<sup>-</sup>  $^{1}$  h<sup>-1</sup>) were much higher than the other rates and were followed by the NO<sub>3</sub><sup>-</sup> uptake rate 416 (F5, 149 nmol  $L^{-1}$  h<sup>-1</sup>). The NO<sub>2</sub><sup>-</sup> uptake (F3) rate was 29 nmol  $L^{-1}$  h<sup>-1</sup>, much lower 417 418 than that of  $NH_4^+$  and  $NO_3^-$  uptake. The ammonia oxidation rate (F4) was 0.4 nmol L<sup>-</sup> 419 <sup>1</sup> h<sup>-1</sup>, and the nitrite oxidation rate (F6) was zero (Table 2A). Since this incubation was 420 conducted in winter with low temperature and under 80% sPAR light conditions, low 421 rates of ammonium and nitrite oxidation were reasonable because both nitrifiers and 422 NOB are sensitive to light (e.g. Olson, 1981a, 1981b; Horrigan et al., 1981; Ward, 2005; 423 Merbt et al., 2012; Smith et al., 2014). The DON release rate by phytoplankton (F7)
424 was zero in this case.

425 In comparison, all the rates in the condition of 2% sPAR showed a very similar 426 pattern (Table 2B). The only difference was that all the uptake rates were lower for the 427 2% sPAR except for ammonia oxidation, which was higher in the low light. 428 By introducing initial concentrations and calculated rate constants (k1-k8) into the STELLA model (Fig. S2), we obtained successive variations of <sup>15</sup>N and <sup>14</sup>N 429 430 concentrations and r<sub>N</sub> of NH<sub>4</sub><sup>+</sup>, NO<sub>2</sub><sup>-</sup>, NO<sub>3</sub><sup>-</sup>, PN and DON over time (Fig. 5). In general, 431 the modeled and measured values remained consistent throughout the 15 h incubation, 432 demonstrating the capability of the isotope matrix method. 433 Similar to the low nutrient case, we evaluated the effect of regeneration (see Table 434 2 and Fig. 5A and 5B). Since ammonium uptake was the dominant process, the 435 alteration of the PN pool was more significant in comparison with the other pools (Figs. 436 5 d, n and s). We found again, as F2 increased, F1 and F8 increased to maintain a 437 constant reduction of the measured NH4<sup>+</sup> concentration (Table 2). Similar to low nutrient case, as regeneration increased the projected course of <sup>15</sup>N-PN deviated more 438

439 from observation and the turning point also appeared earlier, resulting in a larger

440 curvature of r-PN and  $\delta^{15}$ N-PN (Fig. 5d and 5s). This model exercise confirmed the

442 stage of incubation.

441

influence of the isotope dilution effect; however, the effect is insignificant in the early

# 443 **4. Discussion**

### 444 **4.1 Method comparisons**

# 445

# 4.1.1 Model structure and rate derivation

446 The most widespread <sup>15</sup>N model was proposed by Dugdale and Goering (1967), 447 who assumed the isotopic and mass balances in the particulate fraction, resulting in the 448 commonly used formula for nitrogenous nutrient uptake. Dugdale and Wilkerson 449 (1986) modified their rate equations further and highlighted the importance of 450 short-term incubation. Although short-term incubation was requested, Collos (1987) 451 demonstrated that the formula based on the concentration of particles at the end of the 452 experiment, rather than at the beginning, is more reliable when more than one N source 453 are simultaneously incorporated by the phytoplankton population. That is, the equation 454 by Collos (1987) corrected the bias caused by unlabeled multiple N utilization.

455 Different from the above mentioned equations, Blackburn (1979) and Caperon et al. (1979) proposed <sup>15</sup>N isotope dilution models based on the substrate rather than 456 457 product. By measuring the isotope values and concentrations of the substrate, e.g. NH<sub>4</sub><sup>+</sup>, 458 and then both NH<sub>4</sub><sup>+</sup> consumptions (DON and/or PN as product) and regeneration rate 459 can be obtained. Glibert et al. (1982) further modified the isotope dilution method and 460 calculated the uptake rate into PN fraction by substituting the exponential average r<sub>NH4+</sub> at the beginning and at the end of incubation to correct the isotope dilution existing in 461 462 the model of Dugdale and Goering (1967). Besides method improvements, imbalance

463 was often observed between the substrate reduction and the increase in particulate 464 phase in field studies. Laws (1985) introduced a new model with consideration of the 465 imbalance and calculated the "net uptake rate" (into PN). Later on, Bronk and Glibert 466 (1991) revised Law's model on the basis of the model proposed by Glibert et al. (1982) 467 to calculate the "gross uptake rate" (substrate incorporation into PON plus DON). 468 Overall speaking, none of the above models considered mass balance at whole system 469 scale. Although rates were obtained via analytical solutions, the bias potential due to 470 multiple flows was not completely solved.

471 To solve multiple co-occurring N processes, Elskens et al. (2002) formulated a new model, containing 3n+1 equations (n stands for the number of labelled N 472 substrate) and 3n+1 flux rates, by taking multiple co-occurring N fluxes in natural 473 474 system into account. Approximate rates in their model were resolved by a weighted 475 least squares technique. Additionally, Elskens et al. (2005) created a process-oriented 476 model (PROM) accounting for as many N processes as needed to quantify how 477 specific underlying assumptions affect the estimation behavior of all above-mentioned 478 models. The authors concluded uncertainties may increase as the incubation prolongs 479 and oversimplified models may risk bias when their underlying assumptions are 480 violated. The most recent attempt to resolve simultaneous N processes was conducted by Pfister et al. (2016) who applied parallel incubations ( $^{15}N$  labelled  $NH_4^+$  and  $NO_3^-$ ) 481 482 in tidepools to measure multiple flows among benthic, ammonium, nitrite and nitrate

483 pools. In their experiment, six differential equations (with seven unknowns) were 484 constructed basing on mass and isotope balances and solved by using the ODE 485 function of R language. Since benthic algae were not measured due to difficulty in 486 sampling and spatial heterogeneity in biomass, the whole system scale mass balance 487 cannot be reached; thus, the flux of DON release cannot be obtained.

488 Compared with methods or models mentioned above, the advantages of isotope 489 matrix method include (1) the potential biases caused by multi-flows were considered 490 under the circumstance of mass balance at system scale; (2) one tracer addition for 491 multiple *in situ* flows (parallel incubations, i.e., dark and light or  $^{15}NH_4^+$  and  $^{15}NO_x^-$ , 492 were not needed); (3) simple post-hoc data processing and unique solution can be 493 obtained via matrix derivation; (4) no extra laboratory work is demanded (see below).

494

### 4.1.2 Rate comparisons

495 Following Pfister et al. (2016), we estimated all N transformation rates via ODE 496 for the three cases on the assumption that there is no remineralization for comparison 497 (see Table 1-3). In general, the rate values obtained by the matrix and ODE were 498 consistent. The rate difference, if any, was caused by the duration for integration, i.e., 499 shorter time (the first two time points for calculation) for isotope matrix method and 500 longer time (4 or 5 points for the entire incubation) for ODE. In Pfister et al. (2016), 3 501 monitoring points within 5 hours were implemented for ODE. Unfortunately, such 502 intensive sampling for on-deck incubation is not practical; however, we still strongly recommend the short-term incubation for water column study as previously suggested.
With proper duration, two time points for integration may be more convenient and
realistic for instant rate measure.

506 Below, we present a comparison with conventional source-product rate 507 measurements (Collos, 1987) of ammonium oxidation and uptake (Table 3). The 508 matrix-derived NH4<sup>+</sup> uptake rates for all experimental cases were consistent with those 509 (difference < 8%) from the traditional source-product method when the final PN 510 concentration was applied for calculation. The deviations were larger (13-21%) when 511 the initial PN was applied, which was supported by the conclusion of previous studies 512 that estimate involving the final PN concentration more reliable. Obviously, deviation 513 could be higher when the phytoplankton growth rate was higher.

514 On the other hand, the end-products of ammonium oxidation or nitrification are 515 consumed by phytoplankton continuously, particularly in euphotic layer full of 516 photosynthetic autotrophs. In many cases, nitrate uptake occurs in both light and dark 517 conditions (e.g. Dugdale and Goering, 1967; Lipschultz, 2002; Mulholland and Lomas, 518 2008). The significant consumption of end-products ( $NO_x^-$  and  $NO_2^-$ ) apparently 519 violate the underlying assumption of source-product rate calculation. Therefore, the 520 NH4<sup>+</sup> oxidation/nitrification rate could not be obtained, such as all cases in our study 521 since phytoplankton consumption resulted in a net reduction of  $NO_x^-$  (Figs. 2b, 3b and 522 3c) (see Table 3).

523 In most cases, the final isotopic composition rather than final concentration of  $NO_x^-$  was measured; as such, researchers may not be aware of the greater  ${}^{15}NO_x^-$ 524 525 outflow than inflow. For dark incubation, researchers may also assume insignificant 526 NO<sub>x</sub><sup>-</sup> consumption. However, the "net decrease in end-product" is almost unavoidable 527 when incubation is conducted under simulated in situ light condition for ammonium 528 oxidation. To overcome this consumption effect induced by the first-order reaction, 529 Santoro et al. (2010, 2013) took NO<sub>x</sub><sup>-</sup> removal into account and formulated a new 530 equation, a function of nitrification rate (F) and  $NO_x^-$  uptake rate (k). Following 531 Santoro et al. (2010), we calculated the nitrification rate for the low-nutrient case (via a 532 nonlinear least-squares curve-fitting routine in Matlab by using the first three time points of the <sup>15</sup>N-NO<sub>x</sub><sup>-</sup> /<sup>14</sup>N- NO<sub>x</sub><sup>-</sup> measurements) to be 0.05 nmol L<sup>-1</sup> h<sup>-1</sup> (Table 3), 533 which was (~30%) lower than the matrix-derived rate (0.07 nmol  $L^{-1} h^{-1}$ ). By contrast, 534 their nitrate uptake rate (k =  $0.010 \text{ h}^{-1}$ ) was only one-sixth of that (0.059 h<sup>-1</sup>) derived 535 536 from the matrix method, although a comparable nitrification rate was obtained when the consumption term was taken into account. 537

Surprisingly, when we introduced the two parameters by using the method of Santoro et al. (2010) into STELLA to generate the time courses of parameters, we found simulations of  $\delta^{15}NO_x^{-}$  and  $r_{NOx^{-}}$  agreed well with that of isotope matrix method (Figs. 4j and 4n), yet, much slower decreasing trends were found for  ${}^{15}NO_x^{-}$ ,  ${}^{14}NO_x^{-}$ , and  $NO_x^{-}$  (Figs. 4 b, f and r). Finally, we realized that the formula produced by Santoro et al. (2010) is constrained only by the ratio changes rather than the individual concentration changes in  ${}^{15}NO_x^-$  and  ${}^{14}NO_x^-$ . Thus, the nonlinear curve-fitting method by Matlab may only provide a correct simulation for the ratio change. This implies that the nitrate uptake rate derived from the non-linear curve-fitting method in Matlab should be validated also by the final concentration of nitrate, as was done by Santoro et al. (2013).

In summary, (1) an accurate measurement of concentration time series is vital for all kinds of transformation rate estimate including the isotope matrix method and (2) the isotope matrix method overcame various biases that traditional methods might encounter.

### 553 **4.2 Implications for nitrogen biogeochemical processes**

Through the isotope matrix method, biogeochemical implications were obtainedfrom various aspects.

556 **4.2.1 Remineralization, regeneration and community succession** 

557 The matrix solution fit well with the model runs with variable r-NH4<sup>+</sup> in early 558 stage, suggesting that dilution effect was negligible during the early incubation period 559 at least in our case. Dilution effect could be significant when remineralization is 560 intensive and incubation prolongs. Pfister et al. (2016) found macrofauna (mussel) 561 play an important role in remineralization. While zooplankton in the water column of our sampled cases was not abundant, it might be a reason for low remineralizationrates in our short-term incubation.

564 In the WNP low nutrient case, after 24-hour incubation the levels of nitrate and 565 ammonium approached the concentration threshold for phytoplankton utilization (e.g., 566 <30–40 nM NH<sub>4</sub><sup>+</sup> for *Emiliania huxleyi*; Sunda and Ransom, 2007). In Figure 4, 567 STELLA projection fitted well with PN parameters only for the first 12 hours. In this 568 case, in fact, we have observed phytoplankton succession. Our flow cytometry data 569 (shown in authors reply for Reviewer #2) demonstrated that the cell number of living 570 eukaryotes (4 times higher than Synechococcus) increased in the first 24 hours and 571 started to drop rapidly after 24 hours. On the contrary, the growth of Synechococcus 572 continued throughout 94 hours under constantly low nitrogen nutrient situation. Such 573 phenomenon suggested that phytoplankton community competed for nitrogen source 574 and a major community shift started at around 24 hours. After the time point of 12 575 hours, observed parameters associated with PN was higher than the projection by 576 STELLA. The most intriguing phenomenon among PN associated parameters was the 577 additional <sup>15</sup>N, which should not come from <sup>15</sup>NH<sub>4</sub><sup>+</sup>, in PN. The most likely nitrogen source candidate with enriched <sup>15</sup>N to support *Synechococcus* growth was the nitrogen 578 released from dead eukaryotes, which contained freshly consumed <sup>15</sup>N tracer, rather 579 580 than the ambient DON. More studies are needed to explore nutrient thresholds for different phytoplankton species. Nevertheless, our results suggested that short-termincubation is crucial for nitrogen uptake studies in oligotrophic ocean.

583

# 4.2.2 Evaluate the contribution of nitrification to new production

584 Nitrification in the sunlit ocean drew not much attention until recent decades after 585 the widespread ammonia oxidizing archaea (AOA) discovery in the perspective of 586 molecular evidence (Francis et al., 2005; Santoro et al., 2010, 2013; Smith et al., 2014) 587 and rate measurements based on isotope (Ward, 2011; Santoro et al., 2010; Grundle et 588 al., 2013; Smith et al., 2014). As mentioned in Introduction, the conventional "new" 589 production has been overestimated 19-33% on a global scale due to the "regenerated" 590 nitrate via nitrification process. However, a more realistic evaluation for the fractional 591 contribution of nitrification to NO<sub>3</sub><sup>-</sup> uptake can only be achieved when the incubation is conducted in the same bottle under in situ light conditions instead of parallel 592 593 incubations in dark and light. The isotope matrix method is so far the most convenient 594 and suitable method for evaluating the relative importance of co-occuring nitrification and new production in the euphotic ocean. In all our experimental cases, the 595 596 contributions of nitrification to new production were < 1% (Table 4). The relatively 597 low contribution was probably due to the light inhibition on nitrifiers for the WNP case 598 and because of the low temperature in the sampling season.

Nevertheless, light effect in our case studies is significant. Light suppresses
nitrification (Ward, 2005; Merbt et al., 2012; Peng et al., 2016). NH<sub>4</sub><sup>+</sup> oxidation rate in

601 80% sPAR reduced by 36% relative to that in 2% sPAR. Results agreed with current 602 knowledge although some recent evidences showed that some taxa of marine AOA 603 hold genetic capabilities to reduce oxidative stress and to repair ultraviolet damage 604 (Luo et al., 2014; Santoro et al., 2015). More study cases are needed in the future to 605 explore vertical distributions of the relative contribution of nitrification to new 606 production in euphotic zone.

607

# 7 **4.2.3 Nutrient preference**

608 Phytoplankton takes different nitrogenous species as nutrients for growth. 609 McCarthy et al. (1977) introduced a relative preference index (PRI) to assess the 610 relative utilization of a specific N form, and when RPI value >1 indicates a preference 611 for the specific substrate over the other N forms. As shown in Table 4, in the NO<sub>3</sub><sup>-</sup> 612 prevailed low nutrient case, the PRI (NO<sub>3</sub><sup>-</sup>) was very close but slightly higher to PRI 613  $(NH_4^+)$ , which was probably due to the phytoplankton community structure as 614 mentioned above. This result was in line with the result in the Sargasso Sea (Fawcett et 615 al., 2011). While in the high  $NH_4^+$  bay, the PRI ( $NH_4^+$ ) > 1 > PRI ( $NO_3^-$ ) > PRI ( $NO_2^-$ ), 616 suggesting that phytoplankton preferred NH<sub>4</sub><sup>+</sup> over NO<sub>3</sub><sup>-</sup> and NO<sub>2</sub><sup>-</sup>, similar to the result 617 in Chesapeake Bay (McCarthy et al., 1977).

# 618 **4.2.4 Quantify various ammonium consumption pathways**

619 In the upper ocean, NH4<sup>+</sup> cycles rapidly due to various microorganisms's metabolic pathways competing for ammonium. Ammonium may serve as nitrogen 620 621 source for phytoplankton assimilation, and as energy source for ammonia oxidizing 622 organisms (AOM). Moreover, many studies have shown that bacteria also play a part in 623 NH4<sup>+</sup> utilization (Middelburg and Nieuwenhuize, 2000; Veuger et al., 2004). Our result 624 in the low nutrient case showed that phytoplankton was the main NH4<sup>+</sup> consumer (82% of the total  $NH_4^+$  consumption), bacteria accounted for another ~17% and AOM 625 626 utilized the rest 1%. While in the eutrophic WYW bay, phytoplankton and bacteria 627 each consumed ~50% of the total  $NH_4^+$  (Table 4).

## 628 **5.** Conclusion

629 This isotope matrix method was designed specifically for euphotic water column 630 incubation under simulated in situ condition. By considering multi-flows among pools 631 on the assumption of mass balance at the whole system level, we minimized potential 632 biases caused by non-targeted processes in traditional source-product methods. Given 633 the progress in analytical techniques for concentration and isotopic composition of 634 nitrogen species, the isotope matrix method presents a promising avenue for the study 635 of rates of nitrogen processes with a system-wide perspective. Furthermore, the 636 matrix method is also appropriate for probing the effects of environmental factors (e.g., CO<sub>2</sub>, pH, temperature, and light intensity) on the interactive N processes in one single 637 incubation bottle. 638

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**Table 1**. The isotope matrix results for (A) the specific rates and (B) average rates of N processes in the low-nutrient case during the first interval under different  $r_{NH4+}$ variation conditions. And all N transformation rates via ODE following Pfister et al. (2016) on the assumption of no remineralization were estimated for comparison. Note  $r_{NH4+}$  variation was manipulated artificially by decreasing  $r_{NH4+}$  values at a constant reduction rate and the total reduction of rNH4+ was 0%, 1%, 10%, 20% and 50% of the full time span (24 h) of incubation.

881 (A)

	The percentage of $r_{NH4+}$ decrease in 24 h							
Rate constant $(k)$ h <sup>-1</sup>	0		1%	10%	20%	50%		
	ODE		Isotope Matrix					
NH <sub>4</sub> <sup>+</sup> uptake (k1)	0.040	0.038	0.038	0.038	0.038	0.039		
Remineralization (k2)	0	0	0.00001	0.0001	0.0002	0.001		
NH4 <sup>+</sup> oxidation (k3)	0.0004	0.0005	0.0005	0.0005	0.0005	0.0005		
NO <sub>x</sub> <sup>-</sup> uptake (k4)	0.060	0.059	0.059	0.059	0.059	0.059		
DON release (k5)	0.017	0.024	0.024	0.024	0.024	0.024		
Bacteria uptake NH <sub>4</sub> <sup>+</sup> (k6)	0.005	0.007	0.008	0.011	0.015	0.028		

882 **(B**)

	The percentage of r <sub>NH4+</sub> decrease in 24 h								
Rate $(k \times C)$	0		1%	10%	20%	50%			
	ODE		Isotope Matrix						
NH4 <sup>+</sup> uptake (F1)	3.8	4.9	4.9	4.9	5.0	5.1			
Remineralization (F2)	0.0	0.0	0.1	0.6	1.2	3.0			
NH <sub>4</sub> <sup>+</sup> oxidation (F3)	0.04	0.07	0.1	0.1	0.1	0.7			
NO <sub>x</sub> <sup>-</sup> uptake (F4)	19.3	27.2	27.2	27.2	27.2	27.2			
DON release (F5)	9.6	11.5	11.5	11.6	11.6	11.8			
Bacteria uptake NH4 <sup>+</sup> (F6)	0.5	1.0	1.0	1.5	2.0	3.7			

**Table 2.** The isotope matrix results for the rates of N processes in the high-nutrient case at the depth of (A) 80% sPAR and (B) 2% sPAR under different  $r_{NH4+}$  variation conditions. And all N transformation rates via ODE following Pfister et al. (2016) on the assumption of no remineralization were estimated for comparison. Note:  $r_{NH4+}$ variation was manipulated artificially by decreasing  $r_{NH4+}$  values at a constant reduction rate and the total reduction of  $r_{NH4+}$  was 0%, 1%, 10%, 20% and 50% of the full time span (15 h) of incubation.

890 (A)

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		The percentage of r <sub>NH4+</sub> decrease in 15 h					
Rate $(k^* C)$	0		1%	10%	20%	50%	
nmol L <sup>-1</sup> h <sup>-1</sup>	ODE			Isotope M	atrix		
NH4 <sup>+</sup> uptake (F1)	360	397	397	399	401	408	
Remineralization (F2)	0	0	21	211	424	1043	
$NO_2^-$ uptake (F3)	27	29	29	29	29	29	
NH4 <sup>+</sup> oxidation (F4)	1.1	0.4	0.4	0.4	0.4	0.4	
NO <sub>3</sub> <sup>-</sup> uptake (F5)	190	149	149	149	149	149	
$NO_2^-$ oxidation (F6)	1.7	0	0	0	0	0	
DON release (F7)	0	0	0	0	0	0	
Bacteria uptake NH4 <sup>+</sup> (F8)	268	282	303	490	701	1314	

891 **(B**)

		The per	centage of			
Rate $(k^* C)$	0	I	1%	10%	20%	50%
nmol $L^{-1} h^{-1}$	ODE		trix			
NH <sub>4</sub> <sup>+</sup> uptake (F1)	228	208	208	209	211	216
Remineralization (F2)	0	0	18.1	179	361	895
$NO_2^-$ uptake (F3)	7.3	3.1	3.1	3.1	3.1	3.1
NH <sub>4</sub> <sup>+</sup> oxidation (F4)	1.1	0.7	0.7	0.7	0.7	0.7
NO <sub>3</sub> <sup>-</sup> uptake (F5)	106	72	72	72	72	72
NO <sub>2</sub> <sup>-</sup> oxidation (F6)	2.0	0	0	0	0	0
DON release (F7)	0	0	0	0	0	0
Bacteria uptake NH <sub>4</sub> <sup>+</sup> (F8)	202	265	283	442	623	1152

- 892 **Table 3.** Comparison of the  $NH_4^+/NO_x^-$  uptake and  $NH_4^+$  oxidation/nitrification rates
- 893 derived from different methods.

Process	Case	Depth (m)	Isotope Matrix method (this study)	Rates based on Ref A*	Traditional method Ref B*	Rates followed Ref C*	
			$(nmol L^{-1} h^{-1})$				
NH4 <sup>+</sup> uptake	Low nutrient	25	4.9	3.8	4.6		
Nitrification	Low nutrient	25	0.07	0.04	—	0.05	
NO <sub>x</sub> <sup>-</sup> uptake	Low nutrient	25	27.2	19.3		4.6	
NH4 <sup>+</sup> uptake	High -80%sPAR	0.2	397	360	387		
NH4 <sup>+</sup> oxidation	High -80% sPAR	0.2	0.4	1	—		
NH4 <sup>+</sup> uptake	High -2% sPAR	2.3	208	228	192		
NH <sub>4</sub> <sup>+</sup> oxidation	High -2% sPAR	2.3	0.7	1	_		

894 Ref A\* stands of rates calculation by ODE followed Pfister et al. (2016)

895 Ref B\* stands of rates calculation followed Collos (1987)

896 Ref C\* stands of rates calculation followed Santoro et al. (2010)

897

898 **Table 4.** The contribution of nitrification derived  $NO_x^-$  to  $NO_x^-$  uptake (%), N

899 preference index, and the proportion of  $NH_{4^+}$  consumption by phytoplankton, bacteria

	Depth (m)	nitrification	RPI	RPI	RPI	*A/TNH4 <sup>+</sup>	*B/TNH4 <sup>+</sup>	$*C/TNH_4^+$
Case		to NO <sub>3</sub> <sup>-</sup>	for	for	for	consumption	consumption	consumption
		uptake (%)	$NH_4^+$	$NO_2^-$	$NO_3^-$	(%)	(%)	(%)
Low nutrient	25	0.3	0.9		1.0	82.1	16.8	1.2
High -80%sPAR	0.2	0.3	1.6	0.6	0.5	58.4	41.5	0.1
High -2% sPAR	2.3	0.9	1.8	0.1	0.5	43.9	56.0	0.1

and nitrifier to total  $NH_4^+$  consumption in low and high nutrient cases.

901 \*A, \*B, \*C stands for NH4<sup>+</sup> utilized by phytoplankton, bacteria and nitrifier,

902 respectively.  $TNH_4^+$  consumption stands for total  $NH_4^+$  consumption.

903

## 904 **Figure Captions**

905 **Fig. 1.** Model schemes with the most fundmental nitrogen transformation processes in 906 low- (a) and high- (b) nutrient aquatic environments. Arrows stand for the transfer 907 flux/rate from the reactant to product pool. The structure and inter-exchanges in the 908 high-nutrient case (Fig. 1b) are the same as in (a), except that  $NO_x^-$  is divided into  $NO_2^-$ 909 and  $NO_3^-$ .

910 **Fig. 2.** The observational data in the low-nutrient case for (a)  $[NH_4^+]$ , (b)  $[NO_x^-]$ , (c) 911 [PN], (d) [TDN], (e)  $\delta^{15}N$ -NO<sub>x</sub><sup>-</sup>, (f)  $\delta^{15}N$ -PN. The regular and inverse open triangles 912 stand for the paralled samples and the analytical errors are shown.

913 **Fig. 3.** The observational data in the high-nutrient case for (a)  $[NH_4^+]$ , (b)  $[NO_2^-]$ , (c) 914  $[NO_3^-]$ , (d) [PN], (e) [TDN], (f) [PN+TDN], (g)  $\delta^{15}N-NO_2^-$ , (h)  $\delta^{15}N-NO_3^-$  and (i) 915  $\delta^{15}N-PN$ . The light and dark red diamonds stand for the paralled samples in 80% 916 sPAR case and the black regular and inverse open triangles stand for the paralled 917 samples in 2% sPAR case. The analytical errors are shown in figures.

918 Fig. 4. The observed and STELLA-derived values in the low-nutrient case for (a)  $[^{15}NH_4^+]$ , (b)  $[^{15}NO_x^-]$ , (c)  $[^{15}N-PN]$ , (d)  $[^{15}N-DON]$ , (e)  $[^{14}NH_4^+]$ , (f)  $[^{14}NO_x^-]$ , (g) 919  $[^{14}N-PN]$ , (h)  $[^{14}N-DON]$ , (i)  $r_{NH4+}$ , (j)  $r_{NOx-}$ , (k)  $r_{PN}$ , (l)  $r_{DON}$ , (m)  $\delta^{15}N-NH_4^+$ , (n) 920  $\delta^{15}$ N-NO<sub>x</sub><sup>-</sup>, (o)  $\delta^{15}$ N-PN, (p)  $\delta^{15}$ N-DON, (q) [NH<sub>4</sub><sup>+</sup>], (r) [NO<sub>x</sub><sup>-</sup>], (s) [PN] and (t) 921 922 [DON]. The black regular and inverse open triangles represent the paralleled observed 923 values; the black, green, blue, magenta and pink solid lines stand for the STELLA 924 model simulations when r<sub>NH4+</sub> decreases 0%, 1%, 10%, 20% and 50% in 24 h, 925 respectively. The dashed lines in (b), (f), (j), (n) and (r) were generated from nonlinear 926 least-squares curve-fitting by Matlab following Santoro et al. (2010).

**Fig. 5.** The observed and STELLA-derived values in the high-nutrient case of (A) 80% sPAR depth and (B) 2% sPAR depth for (a)  $[^{15}NH_4^+]$ , (b)  $[^{15}NO_2^-]$ , (c)  $[^{15}NO_3^-]$ , (d)

- 929 [ $^{15}$ N-PN], (e) [ $^{15}$ N-DON], (f) [ $^{14}$ NH<sub>4</sub><sup>+</sup>], (g) [ $^{14}$ NO<sub>2</sub><sup>-</sup>], (h) [ $^{14}$ NO<sub>3</sub><sup>-</sup>], (i) [ $^{14}$ N-PN], (j)
- 930 [<sup>14</sup>N-DON], (k)  $r_{NH4+}$ , (l)  $r_{NO2-}$ , (m)  $r_{NO3-}$ , (n)  $r_{PN}$ , (o)  $r_{DON}$ , (p)  $\delta^{15}N-NH_{4^+}$ , (q)
- 931  $\delta^{15}$ N-NO<sub>2</sub><sup>-</sup>, (r)  $\delta^{15}$ N-NO<sub>3</sub><sup>-</sup>, (s)  $\delta^{15}$ N-PN, (t)  $\delta^{15}$ N-DON, (u) [NH<sub>4</sub><sup>+</sup>], (v) [NO<sub>2</sub><sup>-</sup>], (w)
- 932 [NO<sub>3</sub><sup>-</sup>] (x) [PN] and (y) [DON]. The black regular and inverse open triangles
- 933 represent the duplicate observational values; the black, green, blue, magenta and pink
- solid lines represent the STELLA model simulations of  $r_{NH4+}$  decreases 0%, 1%, 10%,
- 935 20% and 50% in 15 h, respectively.

936
937 **Fig. 1** 



940 Fig. 2







945

**Fig. 4** 





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