

1 Thank you again to all reviewers whose comments have substantially improved our manuscript.
2 Final revisions are summarized below. Authors' responses to reviews are in italics.

3
4 **Reviewer 1**

5
6 I think the manuscript has improved a lot in clarity and focus after the revision. I am satisfied
7 with the response to the issues I raised, I only have a few suggestions for technical corrections
8 stated below.

- 9
10 1. Throughout the text, the word 'cyanobacteria' is sometimes written with a capital C and
11 sometimes with a lower case c. Please be consistent.

12
13 *Resolved*

- 14
15 2. Line 83: "HCO₃⁻" misses sub- or superscripts.

16
17 *Resolved*

- 18
19 3. Line 117: the use of the word 'monoculture' is incorrect here. A monoculture implies a
20 single species. Please adapt this sentence.

21
22 *Wording changed to: "...as community becomes dominated by phytoplankton using*
23 *CCM."*

- 24
25 4. Line 171/174: please give the definition of VPDB the first time it is used in the text.

26
27 *Resolved*

- 28
29 5. Figs 3-5: Could you please provide the equations of the regression curves in these
30 figures?

31
32 *We have included equations for figures 4 and 5 on the figures. We did not include a*
33 *linear equation for Figure 3 because it is a correlation.*

- 34
35 6. Lines 233-234: The regression curve through the data in Fig. 5 looks like a parabolic
36 curve that shows a negative relationship between the stable isotopic composition of the
37 DIC pool and photosynthetic fractionation at high delta 13DIC. This must be one of the
38 risks of using a nonlinear dynamic regression. Why not use a saturation function, or a
39 linear regression?

40
41 *We have updated this analysis, plot (Figure 5), and corresponding methods (L209) &*
42 *results (L237-239) using a linear regression.*

44 7. Supporting Information: Could you please use the same range for each x-axis and y-axis
45 (preferably consistent with the top panel of Fig. 4 of the main text)?

46
47 *We have edited the axes in the Supporting Information to be consistent with Fig. 4 in the*
48 *main text.*

49
50 **Reviewer 2**

51

52 I thank the authors for revising this MS. The topic on isotope fractionation by freshwater algae
53 is a complex one by itself and a multi-location study like the one presented combined with d13C
54 discussion does not make it easy for the reader to follow. Although I'm not an expert on
55 freshwater microalgae and fractionation processes I feel that some important aspects are
56 missing in the discussion.

57

58 1) Lakes are like semi-closed systems and the photosynthetic fractionation affects the
59 seawater d13C values and vice versa. The reason why fractionation is minimal when CO₂
60 gets depleted (no source material left). The authors should elaborate on these
61 processes.

62

63 *We have added a discussion of diffusive limitation at L309-317.*

64

65 2) Another general aspect should be addressed: In line 266-272 as well as in the following
66 discussion the authors describe the effect of biotic processes on the source C
67 (CO₂/HCO₃). How can one conclude on CCM fractionation processes and CO₂ sources
68 when the d13C values might be changing constantly due to the multitude of other
69 processes affecting the system?

70

71 *We have updated the Discussion section to clarify these points. In this manuscript*
72 *version and previous ones, we have attempted to constrain potential sources of DIC by*
73 *documenting literature ranges of sources and processes (i.e., atmosphere, mineral*
74 *dissolution, methanogenesis, microbial respiration of terrestrially-derived DOM) that*
75 *could be responsible for our measured range of d13C values. We have clarified in the*
76 *paragraph beginning at L287 that regardless of the source of DIC -- which cannot be*
77 *definitively identified, only constrained -- a heavy DIC pool combined with decreased*
78 *photosynthetic fractionation points to active uptake of bicarbonate when CO₂ is depleted*
79 *from the water column, particularly because in this pH range, geochemical processes*
80 *dictate that the dominant inorganic carbon species should be bicarbonate, which cannot*
81 *be taken up by passive diffusion.*

82

83 Other than these two general remarks/questions and a request to maybe simplify the paper
84 (which seems hard) I see no issue for publication.

85

86 *In addition to the changes described above, we have revised and attempted to simplify*
87 *the Discussion by reorganizing the order of paragraphs to improve the flow. Specifically, we*
88 *moved the paragraph previously beginning at line 285 to (new) line 281 so that the discussion of*
89 *patterns in northern temperate lakes follows more logically from discussion of other studies.*
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1 **Cyanobacterial carbon concentrating mechanisms facilitate sustained CO₂ depletion in**
2 **eutrophic lakes**

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22 **Abstract**

23 Phytoplankton blooms are increasing in frequency, intensity, and duration in aquatic
24 ecosystems worldwide. In many eutrophic lakes, these high levels of primary productivity
25 correspond to periods of CO₂ depletion in surface waters. Cyanobacteria and other groups of
26 phytoplankton have the ability to actively transport bicarbonate (HCO₃⁻) across their cell
27 membrane when CO₂ concentrations are limiting, possibly giving them a competitive advantage
28 over algae not using carbon concentrating mechanisms (CCMs). To investigate whether CCMs
29 can maintain phytoplankton bloom biomass under CO₂ depletion, we measured δ¹³C signatures
30 of dissolved inorganic carbon (δ¹³C_{DIC}) and phytoplankton particulate organic carbon (δ¹³C_{phyto})
31 in sixteen mesotrophic to hypereutrophic lakes during the ice-free season of 2012. We used mass
32 balance relationships to determine the dominant inorganic carbon species used by phytoplankton
33 under CO₂ stress. We found a significant positive relationship between phytoplankton biomass
34 and phytoplankton δ¹³C signatures, as well as a significant non-linear negative relationship
35 between water column ρCO₂ and isotopic composition of phytoplankton, indicating a shift from
36 diffusive uptake to active uptake by phytoplankton of CO₂ or HCO₃⁻ during blooms. Calculated
37 photosynthetic fractionation factors indicated that this shift occurs specifically when surface
38 water CO₂ drops below atmospheric equilibrium. Our results indicate active HCO₃⁻ uptake via
39 CCMs may be an important mechanism maintaining phytoplankton blooms when CO₂ is
40 depleted. Further increases in anthropogenic pressure, eutrophication, and cyanobacteria blooms
41 are therefore expected to contribute to increased bicarbonate uptake to sustain primary
42 production.

43 **Key words:** Eutrophication, carbon cycling, cyanobacteria, CCM, stable isotopes

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45 **1. Introduction**

46 Cyanobacteria blooms resulting from anthropogenic eutrophication are among the greatest
47 current threats to inland water ecosystems, altering carbon cycling and ecosystem function,
48 impairing water quality, and endangering human health (Brooks et al., 2016; Paerl et al., 2011;
49 Visser et al., 2016). Forecasting models and macrosystem-scale analyses suggest the occurrence
50 of blooms is driven by the interactive effects of land use, nutrient inputs (nitrogen and
51 phosphorus), climate, weather, and in-lake processes (Anneville et al., 2015; Michalak et al.,
52 2013; Persaud et al., 2015; Rigosi et al., 2014). Mechanisms determining variability in timing
53 and duration of these events in lakes, however, remain poorly understood (Brooks et al., 2016),
54 and it is unclear what the large-scale feedbacks of sustained primary production are on lake
55 carbon cycling by phytoplankton. While temperate lakes have generally been considered net
56 sources of CO₂ to the atmosphere (Tranvik et al., 2009), eutrophic systems can maintain both
57 high levels of primary production and negligible concentrations of CO₂ in surface water (Balmer
58 and Downing, 2011; Gu et al., 2010; Laas et al., 2012), possibly increasing the flow of dissolved
59 inorganic C to organic C. Identifying drivers of the temporal variability of bloom formation and
60 maintenance will contribute to a better understanding of carbon dynamics in lakes with high
61 productivity.

62 Cyanobacteria have developed a suite of diverse strategies for obtaining and fixing carbon
63 and nutrients at growth-limiting concentrations. In addition to fixing atmospheric nitrogen, they
64 are able to maintain metabolic processes under severe CO₂ depletion by use of a carbon
65 concentrating mechanism (CCM; Badger and Price 2003; Raven et al. 2008). The cyanobacterial
66 CCM is not only the accumulation of inorganic carbon, but collectively active transport across
67 the cell membrane, partitioning of Rubisco into carboxysomes, and elevation of CO₂ around

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69 enzyme complexes (Price et al., 2008b). When water column pH exceeds 8.5, CO₂ is negligible
70 and HCO₃⁻ is the dominant carbon species. HCO₃⁻ cannot passively diffuse across phytoplankton
71 cell membranes, and therefore requires an active transport system. CCMs are present in many
72 groups of aquatic photoautotrophs including green algae (Spalding, 2008) and diatoms
73 (Hopkinson et al., 2016), as well as some higher plants. These mechanisms are thought to have
74 evolved independently in eukaryotic algae and the cyanobacteria, corresponding to a large
75 decrease in atmospheric CO₂ and doubling of O₂ approximately 400 million years ago (Badger
76 and Price, 2003; Raven et al., 2008). There are, however, many similarities between eukaryotic
77 and cyanobacteria CCMs which are not fully resolved, so it is unclear whether or not
78 cyanobacteria CCMs represent a more efficient, competitive advantage over other phytoplankton
79 taxa (Moroney and Ynalvez, 2007).

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80 The cyanobacterial CCM mechanism facilitates active transport of HCO₃⁻ across the
81 plasma membrane, where it is accumulated in the cytosol, transferred to Rubisco-containing
82 carboxysomes, and converted to CO₂ via carbonic anhydrases (Raven et al., 2008). Carboxysome
83 structures, unique to cyanobacteria CCMs, are thought to decrease CO₂ leakage rates via low
84 permeability for uncharged species (i.e., CO₂) across the carboxysome protein shell (Kaplan and
85 Reinhold, 1999; Price et al., 2008a). In an optimal CCM, diffusion of HCO₃⁻ across the
86 carboxysome shell is fast, and leakage of converted CO₂ is slow (Mangan and Brenner, 2014).
87 This results in reduced isotopic discrimination and an intracellular composition approaching that
88 of source material (Fielding et al., 1998).

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89 In freshwaters, cyanobacteria use form 1B Rubisco, which facilitates acclimation to
90 inorganic carbon depletion via high cellular affinity for CO₂ and HCO₃⁻ (Raven and Beardall,
91 2016; Raven et al., 2008; Shih et al., 2015). While this process is energetically costly, it is

93 essential to both increase photosynthetic efficiency and local bioavailability of inorganic carbon
94 when CO₂ is depleted. In addition to inorganic carbon availability, cyanobacterial CCMs are
95 triggered by photosynthetically active radiation (PAR) and nitrogen availability. Because CCMs
96 are energetically costly (Raven and Beardall, 2016), decreased PAR lowers cellular affinity for
97 inorganic carbon (Giordano et al., 2005). Affinity increases with depletion of nitrate and iron, but
98 decreases with depletion of NH₄⁺, and does not have a consistent response to phosphorus
99 limitation (Raven et al., 2008). CCM activation under carbon and nutrient stress thus may confer
100 a competitive advantage to cyanobacteria via efficient carbon fixation when CO₂ is low (Badger
101 and Price, 2003; Price et al., 2008b).

102 Shifts to alternative carbon assimilation strategies result in measureable changes in
103 isotopic fractionation. Stable isotopic signatures of phytoplankton are dependent both on the
104 isotopic composition of their DIC source and the physiological mechanism used to acquire it.
105 When phytoplankton use passive diffusion to take up ambient CO₂, photosynthetic fractionation
106 resembles that of C3 terrestrial plants (Yoshioka, 1997), resulting in typical mean δ¹³C signatures
107 between -27‰ to -30‰ (Bade et al., 2004; Erez et al., 1998; O’Leary, 1988). In cyanobacteria
108 and other phytoplankton, carbon fixation can be equally limited by carboxylation and active
109 inorganic carbon transport into the cell. Cyanobacteria and eukaryotic algae that are actively
110 concentrating inorganic carbon via HCO₃⁻ uptake can have elevated δ¹³C values as high as -8 to -
111 11‰ (Sharkey and Berry, 1985; Vuorio et al., 2006). This is largely attributable to the isotopic
112 signature of source material (Kaplan and Reinhold, 1999), as well as decreased carbon efflux
113 when CCMs are active, resulting in reduced photosynthetic fractionation (-1‰ to -3‰; Sharkey
114 and Berry 1985; Erez et al. 1998). Further, isotopic fractionation associated with active HCO₃⁻
115 uptake is negligible (Sharkey and Berry, 1985; Yoshioka, 1997). In other words, discrimination

116 due to passive diffusion is reduced or negligible when active HCO_3^- uptake is occurring
117 (Giordano et al., 2005). Thus, if CCMs are activated during cyanobacteria blooms in eutrophic
118 lakes, we would expect the $\delta^{13}\text{C}$ signature of the phytoplankton to increase as ambient CO_2 is
119 depleted, and photosynthetic fractionation factors to decrease as the community becomes
120 dominated by phytoplankton using CCM.

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121 The purpose of this study was to evaluate the importance of CCMs in maintaining high
122 phytoplankton biomass during CO_2 depletion in eutrophic and hypereutrophic lakes. We
123 hypothesized that photosynthetic fractionation would be tightly coupled with inorganic carbon
124 limitation, resulting in decreased fractionation with shifts from atmospheric CO_2 to mineral
125 HCO_3^- in the water column. We further hypothesized that phytoplankton isotopic composition
126 and photosynthetic fractionation would correspond to CO_2 depletion in the water column,
127 reflecting CCM activation during blooms that are intense enough to lower water column CO_2 .

128 2. Methods

129 16 lakes were chosen based on Iowa State Limnology Laboratory long-term survey data
130 (total phosphorus and phytoplankton community composition, 2000-2010, data publically
131 available via the Iowa Department of Natural Resources Lake Information System:
132 <http://limnology.eeob.iastate.edu/lakereport/>) along an orthogonal gradient of watershed
133 permeability (Fraterrigo and Downing, 2008) and interannual variability in cyanobacteria
134 dominance. Long term survey data were used only for site selection. Duplicate stable isotope
135 samples for particulate organic and dissolved inorganic analyses were collected once following
136 ice off in 2012, weekly May-July, bi-weekly in August, and monthly September-November
137 ($n=196$). Standard physical, chemical, and biological parameters were measured at each
138 sampling event using US-EPA certified methods, including total nitrogen (TN), total phosphorus

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141 (TP), chlorophyll a (Chl a), alkalinity and pH. Samples for phytoplankton community
142 characterization were collected three times during the summer in each lake using a vertical
143 column sampler from the upper mixed layer. Aqueous carbon dioxide concentration was
144 measured at 1 m using a Vaisala GMT2220 probe modified for water measurements (Johnson et
145 al., 2009). Partial pressure of carbon dioxide (pCO₂) was determined using temperature, depth,
146 and pressure corrections described in Johnson et al. (2009). Specifically, because pressure and
147 temperature respectively increase and decrease sensor output relative to their calibration,
148 measurements were reduced by 0.15% per unit increase hPa relative to calibration (1013 hPa),
149 and increased 0.15% per unit hPa decrease. An additional correction for depth was added to the
150 barometric pressure correction, because pressure is increased 9.81 hPa per 10 cm depth.
151 Measurements were taken at 1 m, equivalent to a 98.1 hPa increase. Similarly, measurements
152 were increased by 0.3% per degree Celsius increase in water temperature above instrument
153 calibration (25°C).

154 All water chemistry was performed in the Iowa State Limnology Laboratory using United
155 States Environmental Protection Agency (US EPA) certified methods. Total nitrogen was
156 determined using the second derivative method described in Crumpton et al., (1989). Total
157 phosphorus was determined colorimetrically using the molybdate blue method (APHA, 2012).
158 Samples for Chl *a* analysis were filtered onto GF/C filters which were frozen then extracted and
159 sonicated in cold acetone under red light. Samples were then analyzed fluorometrically (Arar and
160 Collins, 1997; Jeffrey et al., 1997). Alkalinity was determined by acid titration and reported as
161 mg CaCO₃ L⁻¹ (APHA, 2012). Field measurements of temperature, DO, pH, and conductivity
162 were taken with a YSI multi-parameter probe.

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165 Phytoplankton community and biomass samples reported here were processed and
166 analyzed in the Iowa State Limnology Laboratory. These data can also be accessed via the Iowa
167 Department of Natural Resources Lake Information System. Samples were counted to 150
168 natural units of the most abundant genera, and biovolume determined following Hillebrand et al.
169 (1999). Biomass was determined from biovolume assuming cell density of 1.1 g cm^{-3} (Filstrup et
170 al., 2014; Holmes et al., 1969).

171 Samples collected for isotopic analysis of dissolved inorganic carbon ($\delta^{13}\text{C}_{\text{DIC}}$) were
172 filtered to $0.2 \mu\text{m}$ in the field using a syringe filter and cartridge containing a combusted GF/F
173 prefilter (Whatman) and $0.2 \mu\text{m}$ polycarbonate membrane filter (Millipore). Samples were then
174 injected into helium gas-flushed septa-capped vials with H_3PO_4 to cease biological activity and
175 to sparge CO_2 (Beirne et al., 2012; Raymond and Bauer, 2001). $\delta^{13}\text{C}_{\text{DIC}}$ samples were measured
176 via a Finnigan MAT Delta Plus XL mass spectrometer in continuous flow mode connected to a
177 Gas Bench with a CombiPAL autosampler. Reference standards (NBS-19, NBS-18, and LSVEC)
178 were used for isotopic corrections, and to assign the data to the appropriate isotopic scale
179 ([Vienna Pee Dee Belemnite](#), VPDB, for carbonates). Average analytical uncertainty (analytical
180 uncertainty and average correction factor) was $\pm 0.06 \text{ ‰}$ ([1 sigma, VPDB](#)). Samples were
181 analyzed by standard isotope ratio mass spectrometry methods (IRMS), and reported relative to
182 [VPDB](#) in ‰ (Equation 1).

$$183 \delta^{13}\text{C}_{\text{Sample}} = \left[\frac{(^{13}\text{C}/^{12}\text{C})_{\text{sample}}}{(^{13}\text{C}/^{12}\text{C})_{\text{VPDB}}} - 1 \right] \times 1000 \quad \text{Eq. 1}$$

184 To determine the isotopic composition of phytoplankton organic carbon ($\delta^{13}\text{C}_{\text{phyto}}$),
185 samples were filtered onto pre-combusted GF/C filters. Zooplankton and detritus were removed
186 manually from filtered samples using a dissecting microscope. Samples were gently fumed in a
187 desiccator for 24 h with 1N HCl to remove inorganic carbon, dried in a low temperature oven,

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190 then pulverized using a mortar and pestle and analyzed with standard methods (above IRMS
 191 connected to a Costech Elemental Analyzer). Calcification is common in marine phytoplankton,
 192 but not in eutrophic freshwater lakes and was not observed in our samples. For organic isotope
 193 samples, three reference standards (Caffeine [IAEA-600], Cellulose [IAEA-CH-3], and
 194 Acetanilide [laboratory standard]) were used for isotopic corrections, and to assign the data to
 195 the appropriate isotopic scale (VPDB for carbonates). The average combined uncertainty for
 196 $\delta^{13}\text{C}$ was $\pm 0.17\text{‰}$ (1 sigma, VPDB). For all isotopic measurements, at least one reference
 197 standard was used for every six samples.

198 Photosynthetic fractionation factors of biomass relative to ambient CO_2 (ϵ_p) were
 199 calculated using published temperature dependent fractionation factors between carbon species
 200 following methods described in Trimborn et al. 2009 (Mook, 1986; Trimborn et al., 2009),
 201 reflecting cumulative fractionation occurring during phytoplankton growth. Inorganic carbon
 202 fractions and total DIC concentration were calculated using discrete CO_2 , alkalinity, and pH
 203 measurements:

204

$$205 \delta^{13}\text{C}_{\text{HCO}_3^-} = \frac{\delta^{13}\text{C}_{\text{DIC}} [\text{DIC}] - (\epsilon_a [\text{CO}_2] + \epsilon_b [\text{CO}_3^{2-}])}{(1 + \epsilon_a * 10^{-3}) [\text{CO}_2] + [\text{HCO}_3^-] + (1 + \epsilon_b * 10^{-3}) [\text{CO}_3^{2-}]} \quad \text{Eq. 2}$$

$$206 \delta^{13}\text{C}_{\text{CO}_2} = \delta^{13}\text{C}_{\text{HCO}_3^-} (1 + \epsilon_a * 10^{-3}) + \epsilon_a \quad \text{Eq. 3}$$

$$207 \epsilon_p = (\delta^{13}\text{C}_{\text{CO}_2} - \delta^{13}\text{C}_{\text{phyto}}) / (1 + (\delta^{13}\text{C}_{\text{phyto}} / 1000)) \quad \text{Eq. 4}$$

208 where ϵ_a and ϵ_b are temperature dependent fractionation factors between CO_2 and HCO_3^- , and
 209 HCO_3^- and CO_3^{2-} , respectively (Trimborn et al. 2009, as referenced therein).

210 To test the hypothesized relationships between phytoplankton isotopic composition,
 211 photosynthetic fractionation, and ambient pCO_2 (n=196), we used a nonlinear dynamic
 212 regression and ran 199 model iterations (SigmaPlot 12, Systat Software) resulting in 100%

213 model convergence. ~~We used linear regression~~ to test the relationship between photosynthetic
214 fractionation (ϵ_p) and the isotopic composition of the DIC pool. The relationship between
215 phytoplankton biomass as chlorophyll *a* (Chl *a*) and phytoplankton isotopic composition using a
216 Pearson correlation. Prior to analyses, data were tested for normality using a Shapiro Wilk test.

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217 3. Results

218 Phytoplankton biomass during productive summer months (May-August) ranged from 4.3
219 mg L⁻¹ in Springbrook Lake in August to 4120.35 mg L⁻¹ in Lake Orient in June. Phytoplankton
220 communities were consistently dominated by cyanobacteria with the exceptions of East Lake
221 Osceola in June and August and Springbrook Lake in August, which were both dominated by
222 diatoms (Figures 1 and 2). Maximum cyanobacteria biomass was measured in Lake Orient in
223 June (4119.34 mg L⁻¹) and the minimum occurred in Silver Lake-D in August (3.70 mg L⁻¹).

224 Phytoplankton $\delta^{13}\text{C}$ signatures in this study ranged from -29.86 ‰ to -13.48 ‰ with an
225 average -25.26 ± 2.8 ‰. The highest values were measured when algal biomass peaked (i.e.,
226 during summer months, Table 2). Overall, pH increased slightly and CO₂ decreased during
227 blooms relative to non-bloom conditions (Tables 1 and 2). All lakes except Arrowhead and
228 George Wyth experienced cyanobacteria blooms. Phytoplankton $\delta^{13}\text{C}$ and phytoplankton
229 biomass inferred from Chl *a* concentration were positively correlated (Pearson correlation, μg
230 Chl *a* L⁻¹, $R = 0.60$, $P < 0.001$, Figure 3), suggesting a shift from diffusive to active uptake of
231 inorganic carbon during blooms. Over the course of this study, bloom conditions, defined as > 40
232 $\mu\text{g Chl } a \text{ L}^{-1}$ (Table 1; Bachmann et al. 2003), were observed in 46% of our observations with
233 varying degrees of intensity. TN and TP measured across the study were on average in the
234 eutrophic to hypereutrophic range (Table 1).

236 To evaluate the predicted shift in algal carbon assimilation strategies below atmospheric
237 equilibrium, we used a nonlinear dynamic model to analyze the relationships between ambient
238 pCO₂ and δ¹³C_{phyto} across lakes and sampling events. We found that while no relationship existed
239 between these variables above atmospheric equilibrium, there was a rapid, significant increase in
240 δ¹³C_{phyto} (Figure 4, top; R²=0.58, P<0.001) and decrease in fractionation (Figure 4, bottom;
241 R²=0.66, P<0.001) as CO₂ was depleted below atmospheric equilibrium (393 ppm, NOAA Earth
242 System Research Laboratory, <http://www.esrl.noaa.gov/>). We found a significant, positive, linear
243 relationship between the stable isotopic composition of the DIC pool and photosynthetic
244 fractionation (ε_p, R²=0.72, P<0.001, Figure 5). Relationships between pCO₂ and δ¹³C_{phyto} for
245 individual lakes can be found in supplemental information (Figures S1 and S2).

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246 4. Discussion

247 Our results indicate that alternative carbon assimilation strategies may be an important
248 mechanism sustaining cyanobacteria blooms in anthropogenically eutrophic and hypereutrophic
249 lakes. Here we demonstrate that the relationship between pCO₂ and photosynthetic fractionation
250 exists only when pCO₂ drops below atmospheric equilibrium during blooms. We found a similar
251 clear breakpoint below atmospheric equilibrium between pCO₂ and phytoplankton isotopic
252 composition, together suggesting that CCM mechanisms are switched on in phytoplankton
253 communities when ambient water column CO₂ is depleted below atmospheric levels.

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Moved down [2]: While previous studies found no predictive relationship between ambient pCO₂ and photosynthetic fractionation (Bade et al., 2006), others have shown long term relationships between pCO₂ and the isotopic composition of phytoplankton (Smyntek et al., 2012).

254 While previous models found no predictive relationship between ambient pCO₂ and
255 photosynthetic fractionation (Bade et al., 2006), other proxy-based studies have shown long term
256 relationships between pCO₂ and the isotopic composition of phytoplankton (Smyntek et al.,
257 2012). The range of values measured in our study for both δ¹³C_{phyto} and ε_p is consistent with
258 previous laboratory and marine field studies demonstrating shifts from diffusive to active

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269 inorganic carbon assimilation via CCM activation (Boller et al., 2011; Cassar, 2004; Erez et al.,
270 1998; Trimborn et al., 2009). Calculated photosynthetic fractionation was lowest during blooms,
271 consistent with phytoplankton CCM utilization. While previous freshwater studies have
272 demonstrated similar variability in phytoplankton isotopic composition (Vuorio et al., 2006),
273 ours is the first to demonstrate the co-occurrence of decreased fractionation with CO₂ depletion
274 during blooms in eutrophic and hypereutrophic lakes. The cellular mechanisms contributing to
275 the decrease in fractionation likely provide a competitive advantage to bloom-forming taxa when
276 high productivity depletes ambient CO₂.

277 In eutrophic lakes, both phytoplankton isotopic composition and fractionation appear to be
278 strongly related to pCO₂ availability below a critical equilibrium point. In less productive
279 northern temperate lakes, however, CO₂ is a poor predictor of photosynthetic fractionation
280 (Bade et al., 2006). Our lowest modeled fractionation values reflected active uptake of HCO₃⁻,
281 supported by elevated phytoplankton isotopic values. In contrast, northern temperate lakes had a
282 narrower range of phytoplankton isotopic composition (lower on average), and overall higher
283 ambient CO₂ concentrations, both attributable to heterotrophic degradation of terrestrial carbon.
284 These results suggest an important distinction in carbon cycling between these two regions,
285 where inorganic carbon availability appears to drive photosynthetic fractionation in eutrophic
286 lakes, but is likely controlled by other processes (e.g., temperature) in low-nutrient ones.

287 Phytoplankton stable isotopic composition is dependent on both on the isotopic
288 composition of DIC source material and fractionation during cellular uptake and assimilation. In
289 our study, the DIC source material ($\delta^{13}\text{C}_{\text{DIC}}$) was enriched in ¹³C across all lakes and sampling
290 events, with values ranging from -12.5 to + 5.8 ‰, within the range of previously measured
291 values for eutrophic lakes in the same region (de Kluijver et al., 2014). Source values in this

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293 range are likely attributable to dissolution of mineral bicarbonate (Mook 1986; Boutton 1991;
 294 Bade et al. 2004), but could also be sourced from the atmosphere or biogenic methane
 295 production via acetate fermentation (Drimmie et al., 1991; Simpkins and Parkin, 1993; Stiller
 296 and Magaritz, 1974). In northern temperate lakes, $\delta^{13}\text{C}_{\text{DIC}}$ values are generally lower than those
 297 measured in our study (e.g., $< -25\text{‰}$; Bade et al., 2006), attributable to heterotrophic
 298 degradation of terrestrial organic matter (Bade et al., 2007), which is negligible relative to
 299 autochthonous organic matter in the eutrophic surface waters of our study sites (authors'
 300 unpublished data; in review). Collectively, the active uptake by phytoplankton of DIC source
 301 material enriched in ^{13}C combined with decreased photosynthetic fractionation due to CCM
 302 processes result in an increase in the carbon stable isotopic signature of the phytoplankton
 303 community.
 304 We found a significant positive relationship between photosynthetic fractionation and
 305 $\delta^{13}\text{C}_{\text{DIC}}$. Across trophic gradients (i.e., $\delta^{13}\text{C}_{\text{DIC}}$ values between $-30 \sim +5\text{‰}$; Bade et al. 2004; de
 306 Kluijver et al. 2014, this study), these relationships are driven by decreases in $\delta^{13}\text{C}_{\text{DIC}}$ values with
 307 increasing biomass (i.e., blooms), and decreased fractionation as CCMs are induced (Sharkey
 308 and Berry, 1985). Our results suggest that CCMs are functioning and fractionation is lowest
 309 when the DIC pool is enriched in ^{13}C (~ -15 to 0‰ ; Boutton 1991). In addition to CCMs, it is
 310 possible that observed decreases in photosynthetic fractionation are attributable in part to
 311 diffusive limitation, i.e., photosynthetic fractionation decreases because ^{12}C is depleted from the
 312 water column and predominantly ^{13}C remains (Raven et al., 2005). During blooms in these very
 313 productive systems, however, pH consistently exceeds 8.3 (Table 1), making the dominant
 314 inorganic carbon species HCO_3^- due to geochemical carbonate equilibria processes. While rapid
 315 diffusive uptake of atmospheric CO_2 near the air-water interface is possible for surface blooms,

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Moved up [1]: biogenic methane production via acetate fermentation (Drimmie et al., 1991; Simpkins and Parkin, 1993; Stiller and Magaritz, 1974).

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355 an active uptake mechanism (CCM) is necessary for HCO₃⁻ utilization and to sustain blooms for
356 weeks to months at a time, as was observed in our study.

357 Our results have important implications for how cyanobacteria blooms may be sustained
358 in anthropogenically eutrophic systems. It is well established that high nutrient concentrations
359 result in high phytoplankton biomass (Heisler et al., 2008). It is less clear, however, what
360 mechanisms cause variability in timing and duration of blooms among eutrophic and
361 hypereutrophic lakes. CCMs may provide a competitive advantage to cyanobacteria when high
362 primary productivity depletes ambient CO₂. This mechanism may allow blooms to be sustained
363 for weeks to months at a time with negligible concentrations of CO₂ in the water column
364 (Cotovicz et al., 2015). While nutrient reduction is ultimately critical in the prevention of blooms
365 (Heisler et al., 2008; Rigosi et al., 2014), the mechanism presented here provides insight into
366 causes of bloom duration and intensity at high nutrient concentrations.

367 Our results show that eutrophic lakes function substantially differently than less impacted
368 surface waters. Temperate lakes are generally considered sources of CO₂ to the atmosphere
369 (Tranvik et al., 2009). We demonstrate that phytoplankton CCM use allows dense phytoplankton
370 to grow at low CO₂ concentrations and may facilitate extended periods of high primary
371 production, CO₂ depletion, and atmospheric CO₂ uptake in surface waters. These processes may
372 increase sediment C burial and the export of autochthonous organic C (Heathcote and Downing,
373 2011; Pacheco et al., 2014), and may have the potential to increase methane emissions from
374 anoxic sediments (Hollander and Smith, 2001). Our work demonstrates fundamental differences
375 in inorganic carbon utilization between northern temperate and agricultural, eutrophic lakes.
376 Because the extent of impacted, high nutrient lakes is predicted to increase with the food
377 demands of a growing human population (Foley et al., 2005), understanding mechanisms driving

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395 carbon cycling in these systems will be critical in evaluating the impact of cyanobacteria blooms
396 on global carbon cycles.

397

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592 **Author contributions** AMMW and JAD jointly conceived the study. AMMW wrote the
593 manuscript, conducted field sampling and laboratory analysis, and analyzed data. ADW
594 contributed stable isotope methodology and laboratory analyses. JAD supervised the project. The
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603 1021525.

604 **Figure legends**

605 **Figures 1-2.** Community composition (division level) and biomass for three summer sampling
606 points in each lake.

607 **Figure 3.** Correlation between phytoplankton $\delta^{13}\text{C}$ and chlorophyll *a*, indicating isotopic
608 enrichment increased with phytoplankton biomass. Dashed line indicates phytoplankton bloom
609 conditions, defined here as $>40 \mu\text{g Chl } a \text{ L}^{-1}$ (Bachmann et al., 2003).

610 **Figure 4. Top.** [Non-linear](#) relationship between the stable isotopic ambient pCO_2 concentration
611 in surface water and the stable carbon isotopic signature of the phytoplankton community.

612 **Bottom.** Non-linear relationship between photosynthetic fractionation (ϵ_p , biomass relative to
613 ambient CO_2) and pCO_2 . The vertical line indicates atmospheric equilibrium when samples were
614 collected (393 ppm). Color of points indicates Chl *a* concentration: white = $0-40 \mu\text{g Chl } a \text{ L}^{-1}$;
615 grey = $41-100 \mu\text{g Chl } a \text{ L}^{-1}$; black = $>100 \mu\text{g Chl } a \text{ L}^{-1}$. Vertical line indicates atmospheric CO_2
616 equilibrium when study was conducted (393 ppm).

617

618 **Figure 5.** Linear relationship between the stable isotopic signature of the ambient DIC pool and
619 photosynthetic carbon fractionation (ϵ_p , biomass relative to ambient CO_2). Color of points
620 indicates Chl *a* concentration: white = 0-40 $\mu\text{g Chl } a \text{ L}^{-1}$; grey = 41- 100 $\mu\text{g Chl } a \text{ L}^{-1}$; black= >
621 100 $\mu\text{g Chl } a \text{ L}^{-1}$.

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<i>Lake</i>	<i>n</i>	<i>Latitude</i>	<i>Longitude</i>	<i>TP ($\mu\text{g L}^{-1}$)</i>	<i>TN (mg L^{-1})</i>	<i>Chl <i>a</i> ($\mu\text{g L}^{-1}$)</i>	<i>TA ($\text{mg CaCO}_3 \text{L}^{-1}$)</i>	<i>pH</i>	<i>$\delta^{13}\text{DIC}$ (‰ VPBD)</i>
Arrowhead	13	42.297218	-95.051228	25 ± 8	0.8 ± 0.1	10 ± 6	190 ± 8	8.4 ± 0.1	-1.68 ± 1.08
Badger	13	42.586161	-94.192562	58 ± 35	9.4 ± 5.7	33 ± 34	166 ± 33	8.3 ± 0.4	-2.60 ± 1.96
Beeds	12	42.770320	-93.236436	75 ± 48	7.4 ± 4.5	48 ± 40	193 ± 37	8.4 ± 0.3	-3.12 ± 1.31
Big Spirit	11	43.479377	-95.083424	46 ± 22	1.1 ± 0.3	22 ± 22	168 ± 7	8.6 ± 0.1	0.51 ± 1.03
Black Hawk	12	42.296334	-95.029191	225 ± 118	2.4 ± 0.5	78 ± 35	188 ± 12	8.8 ± 0.2	2.61 ± 1.25
Center	13	43.412607	-95.136293	104 ± 50	1.8 ± 0.2	41 ± 36	163 ± 4	8.5 ± 0.2	2.97 ± 1.70
East Osceola	11	41.032548	-93.742649	195 ± 77	1.9 ± 0.4	80 ± 47	111 ± 27	8.8 ± 0.6	-4.92 ± 2.00
Five Island	14	43.145274	-94.658204	106 ± 50	2.1 ± 0.3	67 ± 37	165 ± 10	8.4 ± 0.2	2.58 ± 1.48
George Wyth	13	42.534834	-92.400362	62 ± 22	1.0 ± 0.2	26 ± 7	141 ± 26	8.4 ± 0.2	-1.63 ± 1.54
Keomah	13	41.295123	-92.537482	106 ± 105	1.4 ± 0.6	44 ± 52	117 ± 15	8.6 ± 0.4	-4.70 ± 1.44
Orient	12	41.196669	-94.436084	397 ± 286	2.3 ± 1.2	144 ± 105	98 ± 22	9.4 ± 0.4	-5.01 ± 5.36
Lower Gar	11	43.352299	-95.120186	95 ± 35	1.6 ± 0.2	50 ± 23	186 ± 14	8.6 ± 0.1	0.19 ± 1.59
Rock Creek	12	41.736936	-92.851859	115 ± 44	1.7 ± 0.4	52 ± 49	148 ± 7	8.5 ± 0.2	-1.43 ± 1.64
Silver-D	12	43.439162	-95.336799	161 ± 85	2.1 ± 0.9	35 ± 58	174 ± 17	8.4 ± 0.2	-2.52 ± 1.23
Silver-PA	12	43.030775	-94.883701	339 ± 206	2.5 ± 0.6	117 ± 60	163 ± 32	8.8 ± 0.3	3.25 ± 1.62
Springbrook	12	41.775930	-94.466736	38 ± 25	1.8 ± 0.9	17 ± 14	181 ± 20	8.3 ± 0.3	-3.66 ± 1.08

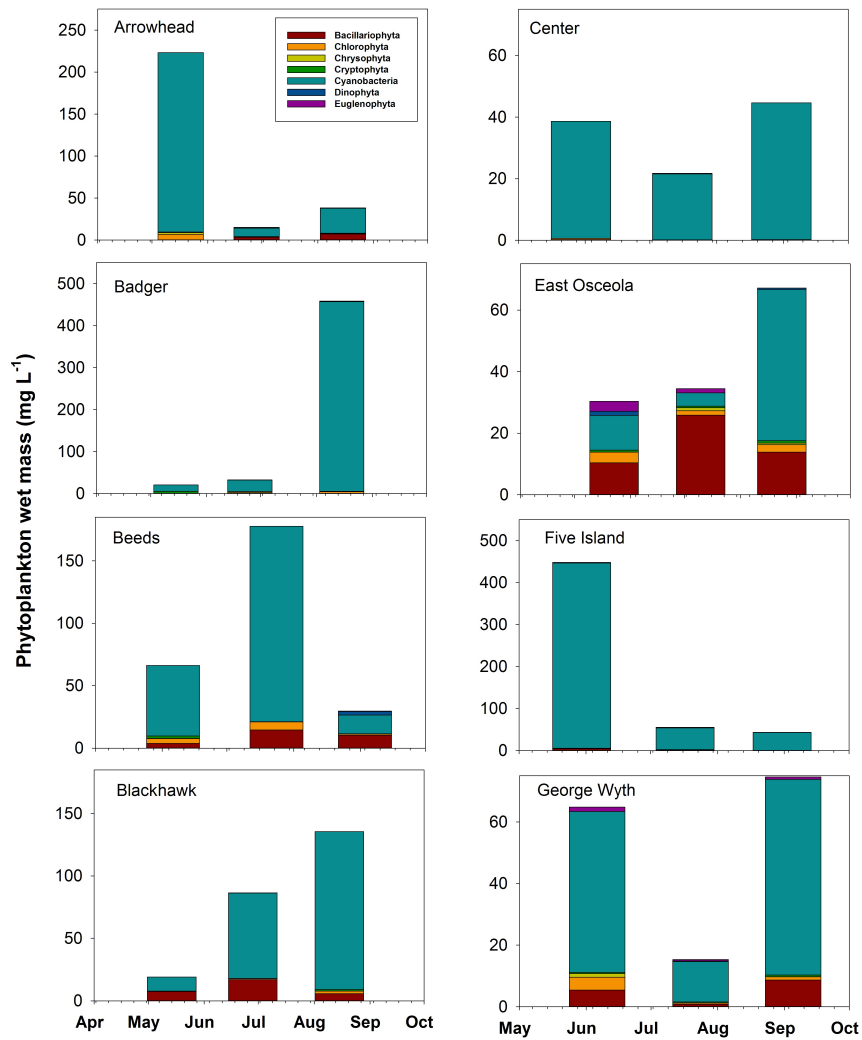
625 Table 1. Summary data for lakes included in this study. Total phosphorus (TP), total nitrogen (TN), chlorophyll *a* (Chl *a*), total
626 alkalinity (TA), pH, and $\delta^{13}\text{DIC}$ are reported as average values of all sampling events (ice free season, April to November 2012) ±
627 standard deviation; n represents the number of observations per lake.

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<i>Lake</i>	<i>n</i>	<i>Chl a</i> ($\mu\text{g L}^{-1}$)	<i>TA</i> (mg L^{-1} <i>CaCO3-</i>)	<i>pH</i>	$\delta^{13}\text{DIC}$ (‰ VPDB)	$\delta^{13}\text{POC}$ (‰ VPDB)	ϵ_p	<i>pCO2 (ppm)</i>
Arrowhead	0	NA	NA	NA	NA	NA	NA	NA
Badger	4	71 ± 20	133 ± 28	8.7 ± 0.4	-1.31 ± 1.40	-25.55 ± 2.66	22.70 ± 2.23	234 ± 289
Beeds	4	101 ± 49	170 ± 40	8.6 ± 0.2	-2.23 ± 1.00	-24.07 ± 1.52	20.28 ± 2.32	240 ± 195
Big Spirit	3	68 ± 28	168 ± 10	8.7 ± 0.1	1.43 ± 0.60	-27.04 ± 1.20	26.99 ± 0.83	227 ± 29
Black Hawk	9	86 ± 32	184 ± 10	8.8 ± 0.3	2.75 ± 0.91	-22.34 ± 1.32	23.56 ± 1.36	221 ± 107
Center	8	73 ± 27	164 ± 4	8.7 ± 0.2	4.11 ± 0.90	-22.51 ± 1.23	25.05 ± 1.01	172 ± 92
East Osceola	9	69 ± 24	107 ± 26	8.9 ± 0.6	-5.08 ± 2.23	-24.79 ± 3.55	18.07 ± 4.88	241 ± 457
Five Island	10	84 ± 32	163 ± 9	8.4 ± 0.1	2.92 ± 1.54	-24.65 ± 0.98	26.23 ± 1.67	451 ± 224
George								
Wyth	0	NA	NA	NA	NA	NA	NA	NA
Keomah	4	63 ± 22	103 ± 11	9.0 ± 0.3	-4.36 ± 1.58	-24.79 ± 1.57	18.53 ± 3.18	29 ± 34
Orient	9	175 ± 77	90 ± 20	9.5 ± 0.5	-5.80 ± 5.90	-18.38 ± 3.13	10.73 ± 8.33	42 ± 53
Lower Gar	7	66 ± 17	177 ± 7	8.7 ± 0.1	1.03 ± 0.87	-25.84 ± 1.04	25.44 ± 0.74	293 ± 86
Rock Creek	7	70 ± 19	148 ± 8	8.6 ± 0.2	-0.78 ± 1.61	-25.42 ± 2.08	23.19 ± 1.47	266 ± 146
Silver-D	3	96 ± 62	168 ± 12	8.7 ± 0.2	-0.92 ± 0.91	-27.65 ± 0.44	25.22 ± 0.71	208 ± 78
Silver-PA	11	135 ± 69	163 ± 34	8.8 ± 0.4	3.59 ± 1.24	-24.27 ± 1.90	26.32 ± 1.39	234 ± 177
Springbrook	1	48	174	8.0	-2.50	-28.57	24.71	375

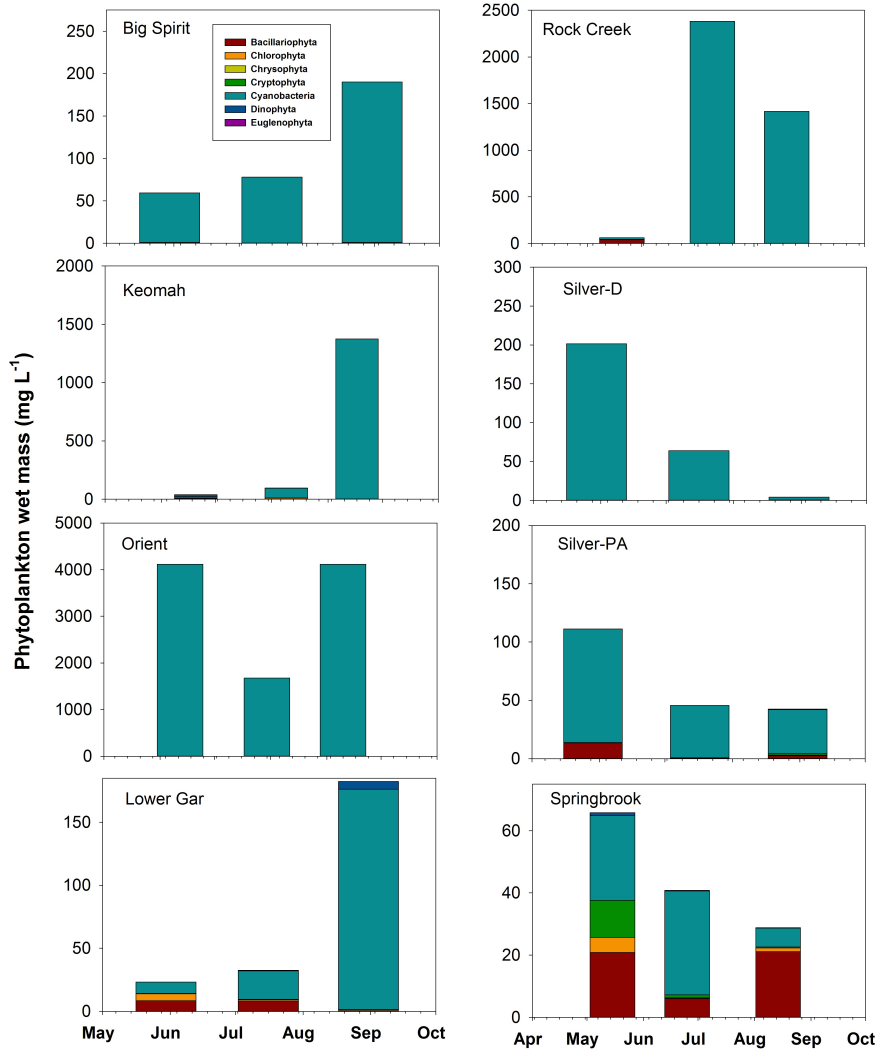
630 Table 2. Average chemical conditions during bloom events (*Chl a* > 40 $\mu\text{g L}^{-1}$). Values are average \pm standard deviation of *n* observations
631 occurring when *Chl a* exceeded 40 $\mu\text{g L}^{-1}$. Values are not reported for Arrowhead and George Wyth Lakes because *Chl a* values never exceeded
632 this threshold.



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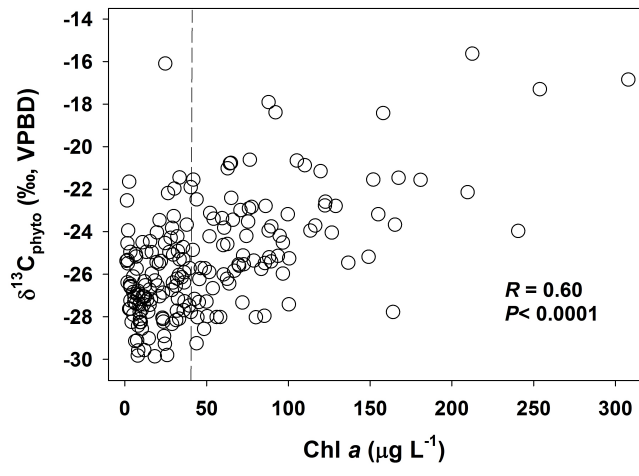
634 **Figure 1.**

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636 Figure 2.



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638 **Figure 3.**

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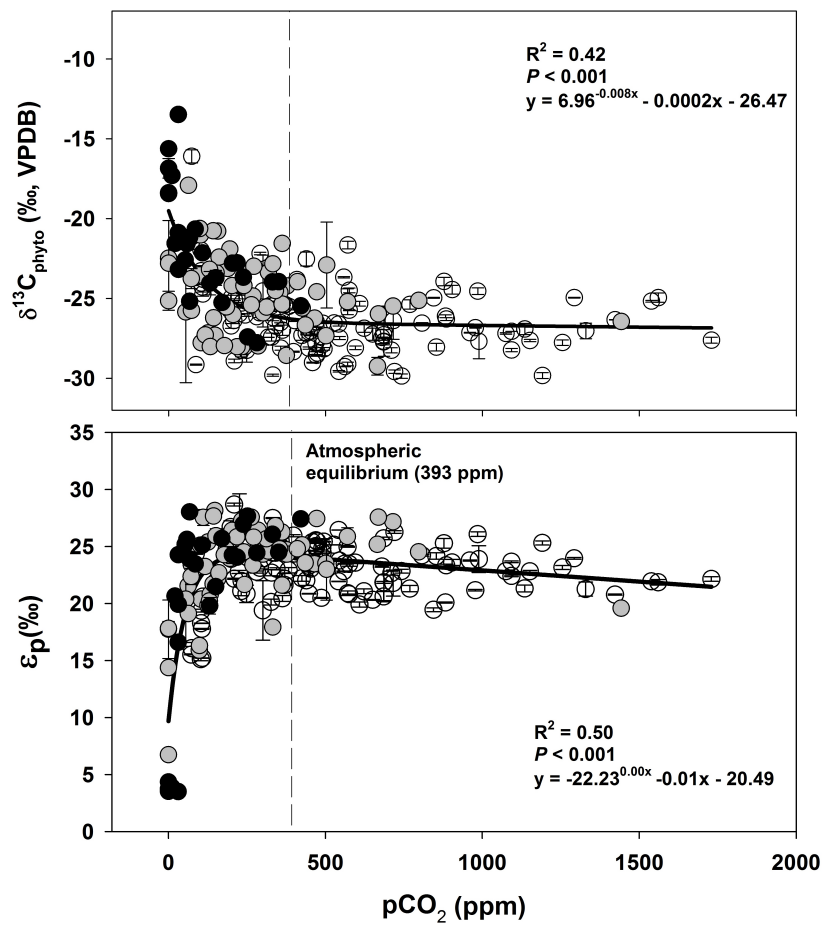
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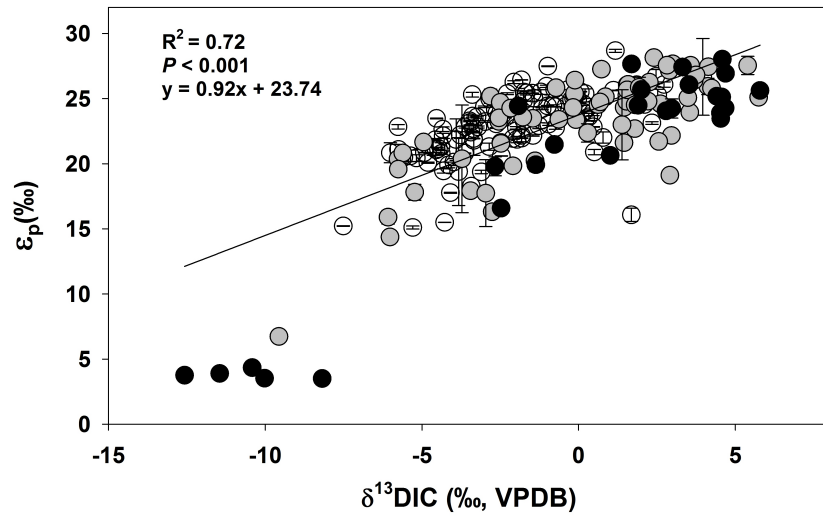
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647 Figure 4.



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649 **Figure 5.**

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We found a significant, positive, non-linear relationship between the stable isotopic composition of the DIC pool and photosynthetic fractionation (ϵ_p , $R^2=0.72$, $P<0.001$, Figure 5). Specifically, the lowest ϵ_p was observed when the $\delta^{13}\text{C}_{\text{DIC}}$ values were less than -8 ‰, or atmospheric levels. Below this level, ϵ_p decreased exponentially toward zero.

In eutrophic lakes, both phytoplankton isotopic composition and fractionation appear to be strongly related to pCO_2 availability below a critical equilibrium point. In less productive northern temperate lakes, however, CO_2 is a poor predictor of photosynthetic fractionation (Bade et al., 2006). Our lowest modeled fractionation values reflected active uptake of HCO_3^- , supported by elevated phytoplankton isotopic values. In contrast, northern temperate lakes had a narrower range of phytoplankton isotopic composition (more negative/lower on average), and much higher ambient CO_2 concentrations, both attributable to heterotrophic degradation of terrestrial carbon. These results indicate inorganic carbon availability drives photosynthetic fractionation in eutrophic lakes, but that other processes likely control it (e.g., temperature) in low-nutrient ones.