Experimental diagenesis: Insights into aragonite to calcite transformation of Arctica islandica shells by hydrothermal treatment

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- 43 Abstract. Biomineralised hard parts form the most important physical fossil record of past environmental
- 44 conditions. However, living organisms are not in thermodynamic equilibrium with their environment and create
- 45 local chemical compartments within their bodies where physiologic processes such as biomineralisation take place.
- 46 Generating their mineralised hard parts most marine invertebrates thus produce metastable aragonite rather than
- 47 the stable polymorph of CaCO₃, calcite. After death of the organism, the physiological conditions, which were
- 48 present during biomineralisation, are not sustained any further and the system moves toward inorganic equilibrium
- 49 with the surrounding inorganic geological system. Thus, during diagenesis the original biogenic structure of
- 50 aragonitic tissue disappears and is replaced by inorganic structural features.
- 51 In order to understand the diagenetic replacement of biogenic aragonite to non-biogenic calcite, we subjected 52 Arctica islandica mollusc shells to hydrothermal alteration experiments. Experimental conditions were between 53 100 °C and 175 °C with the main focus on 100 °C and 175 °C, reaction durations between one and 84 days, and 54 alteration fluids simulating meteoric and burial waters, respectively. Detailed microstructural and geochemical 55 data were collected for samples altered at 100 °C (and at 0.1 MPa pressure) for 28 days and for samples altered at 56 175 °C (and at 0.9 MPa pressure) for 7 and 84 days, respectively. During hydrothermal alteration at 100 °C for 28 57 days, most but not the entire biopolymer matrix was destroyed, while shell aragonite and its characteristic microstructure was largely preserved. In all experiments up to 174 °C there are no signs of a replacement reaction 58 59 of shell aragonite to calcite in X-ray diffraction bulk analysis. At 175 °C the replacement reaction started after a 60 dormant time of 4 days, and the original shell microstructure was almost completely overprinted by the aragonite 61 to calcite replacement reaction after 10 days. Newly formed calcite nucleated at locations, which were in contact 62 with the fluid, at the shell surface, in the open pore system, and along growth lines. In the experiments with fluids 63 simulating meteoric water, calcite crystals reached sizes up to 200 micrometres, while in the experiments with Mg-64 containing fluids the calcite crystals reached sizes up to one mm after 7 days of alteration. Aragonite is metastable 65 at all applied conditions. Only a small bulk thermodynamic driving force exists for the transition to calcite. We 66 attribute the sluggish replacement reaction to the inhibition of calcite nucleation in the temperature window from 67 ca. 50 °C to ca. 170 °C, or, additionally, to the presence of magnesium. Correspondingly, in Mg²⁺-bearing solutions the newly formed calcite crystals are larger than in Mg^{2+} -free solutions. Overall, the aragonite-calcite transition 68 69 occurs via an interface-coupled dissolution-reprecipitation mechanism, which preserves morphologies down to the 70 sub-micrometre scale and induces porosity in the newly formed phase. The absence of aragonite replacement by 71 calcite at temperatures lower than 175 °C contributes to explain why aragonitic or bimineralic shells and skeletons 72 have a good potential of preservation and a complete fossil record.
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- Key words. Biominerals, hydrothermal alteration experiments, bivalves, aragonite, calcite, EBSD, EPMA element
 maps
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79 1 Introduction

80 The skeletons of marine calcifiers are considered high-resolution archives of proxies to understand the evolution 81 of the Earth system. They are widespread in the fossil record and are sensitive to changes in seawater composition 82 (e.g. Brand et al., 2003; Parkinson et al., 2005; Schöne & Surge, 2012; Brocas et al., 2013). However, diagenetic 83 alteration of fossil biogenic carbonates is a significant obstacle in understanding past climate dynamics 84 (Grossmann et al., 1993; Richardson et al., 2001; Immenhauser et al., 2005; Korte et al., 2005). Despite more than 85 a century of research on carbonate diagenesis, many of the controlling processes are still only understood in a 86 qualitative manner (Brand and Veizer, 1980, 1981; Swart, 2015). One of the main problems is that diagenetically 87 altered carbonates occur as the product of a complex alteration pathway with an unknown number of intermediate 88 steps and controlling factors (Immenhauser et al., 2015; Swart, 2015; Ullmann and Korte, 2015). Motivated by the 89 lack of quantitative data on rates and products of marine, meteoric, and burial diagenesis, we performed laboratory-90 based alteration experiments with Arctica islandica shells with the aim to obtain time series data sets. The bivalve 91 A. islandica has been studied in several scientific disciplines, e.g. biology (Morton, 2011; Oeschger and Storey, 92 1993; Taylor, 1976; Strahl et al., 2011). Arctica islandica has also gained profound attention in paleoclimatology 93 due to its long lifespan and its use as a high-resolution long-term archive (e. g. Schöne, 2004; Schöne, 2005a, 94 2005b; Wanamaker et al., 2008; Marchitto et al., 2000, Butler et al., 2009, Wanamaker et al., 2011, Karney et al., 95 2012 Schöne, 2013, Butler et al., 2013). On the long-term perspective, A. islandica plays an important role in 96 palaeontology, not only as a Neogene palaeoecological and palaeoclimatic archive (e.g. Schöne, 2004; Schöne, 97 2005a, 2005b; Wanamaker et al., 2008; Marchitto et al., 2000, Butler et al., 2009, Wanamaker et al., 2011, Karney 98 et al., 2012 Schöne, 2013, Butler et al., 2013, Crippa et al., 2016), but also as a biostratigraphic tool. Formerly 99 considered a marker for the Pliocene-Pleistocene boundary (Raffi, 1986) in the Mediterranean region, its first 100 appearance is now regarded as an indicator of the Gelasian-Calabrian (Early Pleistocene) boundary, around 1.7 101 Ma (Crippa & Raineri, 2015). The potential of this species for palaeontology is strictly dependent on its 102 preservation, thus, the dynamics of diagenetic shell alteration.

- 103 At ambient conditions calcite is the stable and, thus, the least soluble polymorphic phase of CaCO₃ (Plummer & 104 Mackenzie, 1974; Plummer & Busenberg, 1982, Sass et al., 1983, Walter & Morse, 1984; Bischoff et al., 1987, 105 Redfern et al., 1989, Bischoff et al., 1993, Navrotsky, 2004; Morse et al., 2007; Gebauer at al., 2008, Gebauer & 106 Cölfen, 2011, Radha & Navrotsky, 2013), while at higher pressures aragonite forms the stable Ca-carbonate 107 polymorph (Redfern et al., 1989, Radha & Navrotsky, 2013). Accordingly, calcite crystallizes from aqueous 108 solutions below ca. 50 °C (if no calcite-inhibitors are present). However, even in pure Ca^{2+}/HCO_{3}^{-} solutions, at 109 temperatures above ca. 50 °C metastable aragonite rather than calcite is obtained (Kitano et al. 1962; Taft, 1967, 110 Ogino et al. 1987). There is no sharp tipping point but rather a gradual change of fraction of the precipitating
- 111 phases (Ogino et al., 1987, Balthasar and Cusack, 2015). Further, inhibitors of calcite nucleation and/or growth
- 112 decrease the temperature of this regime shift in precipitation even further, where in marine and diagenetic
- environments the most important inorganic inhibitor is Mg^{2+} (Kitano et al., 1972; Katz, 1973; Berner, 1975; Morse
- et al., 1997; Choudens-Sanchez, 2009; Radha et al. 2010, Balthasar and Cusack, 2015; Sun et al., 2015).
- 115 The replacement reaction of aragonite to calcite in aqueous systems was investigated by Metzger & Barnard
- 116 (1968), Bischoff & Fyfe (1968), Bischoff (1969), Katz (1973), Kitano et al. (1972, Yoshioka et al. (1986), Oomori
- et al. (1987), and more recently by Perdikouri et al. (2011, 2013). It was recognized by Fyfe & Bischoff (1965)

- 118 that the aragonite to calcite replacement reaction in aqueous environments occurs by dissolution and reprecipitation
- 119 reactions. Except for Metzger & Banard (1968) and Perdikouri et al. (2011, 2013), most authors used powdered
- 120 samples of geological or powdered synthetic aragonite. For these powdered samples, they claim a rapid
- 121 replacement reaction of aragonite to calcite within hours or very few days at temperatures of ca. 100°C or above,
- 122 depending on temperature and the Mg-content of the solution.
- 123 Metzger & Banard (1968) and Perdikouri et al. (2011, 2013) investigated aragonite blocks or single crystals and
- 124 report that temperatures *in excess* of 160-170 °C are required to transform the aragonite to calcite within a couple
- 125 of days, whereas *below* 160 °C aragonite remains present over many weeks.
- 126 The present study describes first experimental data of the replacement reaction of BIOGENIC aragonite to non-127 biogenic calcite and investigates the kinetics of the replacement reaction of aragonite to calcite in shell material, 128 geochemistry, nano- and microstructure alteration, and crystallographic texture variation. During 129 biomineralisation living organisms create local micro-environments for physiological generation of their 130 composite hard tissues. After the death of the organism all tissues become altered by equilibration with the 131 surrounding environment - part of the complex set of processes called diagenesis. Thus, as diagenetic alteration 132 proceeds, the species-specific fingerprint of the biogenic structure disappears and is replaced by inorganic features. 133 Despite the fact that the evolutionary line of A. islandica dates back to the Jurassic (Casey, 1952) only a limited 134 number of studies have dealt with A. islandica specimens due to the thermodynamically unstable nature of their 135 aragonitic shells. The aim of the present paper is to describe analysis-based detailed microstructural, geochemical, 136 phase, and texture data observed in the experimental simulation of diagenesis by hydrothermal treatment of modern 137 A. islandica shell samples. With this study we gain quantitative insight into processes that take place along 138 pathways from early marine porewater diagenesis to the pervasive recrystallisation under burial conditions. The 139 targets of the present study are the analysis of microstructural features, the preservation of the organic matrix in 140 the shell, and the kinetics of the replacement reaction of aragonite to calcite as investigated by X-ray diffraction, 141 SEM, and crystallographic microanalysis determined by Electron Backscatter Diffraction (EBSD).

143 2 Materials and Methods

144 2.1 Test materials

For this study, shells of *A. islandica* were collected from the recent shell middens of a fishing company in northern
Iceland and from Loch Etive waters in Scotland. On average, shells were between 8 and 10 cm in size and represent
adult specimens. Major morphological features of the shell of *Arctica islandica* are displayed in Fig. A1, see also
Schöne (2013).

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150 2.2 Methods applied

151 2.2.1 Organic matrix preparation by selective etching

To image the organic matrix in modern reference and hydrothermally altered shell samples as well as the mineral part in the reference specimens, i.e. geologic, and non-biological aragonite, shell or mineral pieces were mounted

- 154 on 3 mm thick cylindrical aluminium rods using super glue. The samples were first cut using a Leica Ultracut
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- 155 ultramicrotome with glass knives to obtain plane surfaces within the material. The cut pieces were then polished
- 156 with a diamond knife (Diatome) by stepwise removal of material in a series of 20 sections with successively
- 157 decreasing thicknesses (90 nm, 70 nm, 40 nm, 20 nm, 10 nm and 5 nm, each step was repeated 15 times) as reported
- 158 in Fabritius et al. (2005). The polished samples were etched for 180 seconds using 0.1 M HEPES (pH = 6.5)
- 159 containing 2.5 % glutaraldehyde as a fixation solution. The etching procedure was followed by dehydration in 100
- 160 % isopropanol 3 times for 10 seconds each, before the specimens were critical-point-dried in a BAL-TEC CPD
- 161 030 (Liechtenstein). The dried samples were rotary coated with 3 nm platinum and imaged using a Hitachi S5200
- 162 Field Emission-Secondary Electron Microscope (FE-SEM) at 4 kV.
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164 2.2.2 Hard tissue characterization methods

- 165 For FE-SEM and Electron Backscatter Diffraction (EBSD) analyses 5 x 5 mm thick pieces were cut out of the shell and embedded in epoxy resin. The surface of the embedded samples was subjected to several sequential 166 mechanical grinding and polishing steps down to a grain size of 1 µm. The final step was etch-polishing with 167 168 colloidal alumina (particle size $\sim 0.06 \,\mu$ m) in a vibratory polisher. For EBSD analysis the samples were coated 169 with 4-6 nm of carbon, and for SEM visualisation and Electron Probe Micro Analysis (EPMA) analyses with 15 170 nm, respectively. EBSD measurements were carried out on JEOL JSM 6400 field emission SEM, equipped with 171 a Nordlys EBSD detector. The SEM was operated at 20 kV and measurements were indexed with the CHANNEL 172 5 HKL software (Schmidt and Olesen, 1989; Randle and Engler, 2000). Information obtained from EBSD
- 173 measurements is presented as band contrast images, and as colour-coded crystal orientation maps with 174 corresponding pole figures.
- 175 The EBSD band contrast the signal strength of the EBSD-Kikuchi diffraction pattern and is displayed as a grey-176 scale component of EBSD scanning maps. The strength of the EBSD signal is high when a crystal is detected 177 (bright), while it is weak or absent when a polymer such as organic matter is scanned (dark/black).
- 178 Co-orientation statistics are derived from pole figures obtained by EBSD scans and are given by the MUD
- 179 (multiple of uniform (random) distribution) value. The MUD value is a measure of crystal co-orientation (texture 180 sharpness) in the scanned area. A high MUD values indicate a high crystal co-orientation (in this study calcite), 181 whereas low MUD values reflect a low to random co-orientation, respectively.
- 182 In order to trace the infiltration and percolation of fluids into and through the shells, pristine and hydrothermally 183 altered shell samples were scanned with EPMA (Goetz et al., 2014). Chemical data were obtained by using a 184 CAMECA SX100 EPMA system equipped with a LaB₆ cathode. An accelerating voltage of 15 keV at a current of 185 40 nA were used as operative settings. All elements were analysed with wavelength-dispersive X-ray
- spectrometers. The Sr-K α , Mg-K α , and Na-K α were measured on a TAP (thallium acid pthalate) crystal. Ca-K α , 187 and Ba-L α were measured on a PET (pentaerythritol) crystal, whereas K α emission lines of P, and Cl were
- 188 measured on a LPET (large pentaerythritol) crystal. L α emission lines of Mn, and Fe were detected with a LLIF
- 189 (large lithium fluoride) crystal. A step size in the range of $1-2 \,\mu m$ with a dwell time of 150 ms was chosen for the
- 190 element mappings. Celestine (Sr), dolomite (Ca, Mg), ilmenite (Mn), apatite (P), albite (Na), benitoite (Ba),
- 191 vanadinite (Cl), and hematite (Fe) were used as standard materials. Matrix correction was carried out using the
- 192 PAP procedure (Pouchou and Pichoir, 1984).
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195 2.2.3 Alteration experiments

- 196 Hydrothermal alteration experiments mimicked burial diagenetic (and meteoric) alteration of recent A. islandica
- 197 under controlled laboratory conditions. Chemical and experimental information on hydrothermal experiments
- utilised in the present study are given in Table 1. All fluids were spiked with ¹⁸O-depleted oxygen in order to trace
 fluid-solid exchange reactions and isotopic studies investigated by Ritter et al., 2016.
- Details of the experimental protocol can be found in Riechelmann et al. (2016). Briefly, pieces (2 x 1 cm) of recent *A. islandica* specimens were placed in a PTFE liner together with 25 mL of either the meteoric (10 mM NaCl
 aqueous solution) or burial fluid (100 mM NaCl + 10 mM MgCl₂ aqueous solution) and sealed with a PTFE lid.
 Each of the PTFE liners was placed in a stainless steel autoclave, sealed and kept in the oven at temperatures of
 100 °C, 125 °C, 150 °C and 175 °C for different periods of time ranging between one day and 84 days (see Table
 1, Fig. A11 and Table 2 for experiments, main focus was on 100 °C and 175 °C). Obviously, this temperature
- regime is far beyond natural meteoric diagenetic environments (Lavoie and Bourque, 1993) but are typical for the
- burial realm (Heydari, 1997). Nevertheless, elevated fluid temperatures were applied to meteoric experiments, too,
 as reaction rates under surface conditions are too slow for experimental approaches. After the selected time period,
 an autoclave was removed from the oven, cooled down to room temperature and then opened. The aqueous fluid
- that had passed through a 0.2 μm cellulose acetate filter was subjected to further chemical and isotopic analyses.
- 211 Recovered solids were dried at 40 °C overnight.
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214 2.2.4 X-ray diffraction analysis

215 X-ray diffraction analysis of pristine and hydrothermally treated samples was performed with Mo-K α_1 -radiation 216 in transmission geometry and with Cu-K α_1 -radiation in reflection geometry on a General Electric Inspection 217 Technologies XRD3003 X-ray diffractometer with an incident-beam Ge111 focussing monochromator and a 218 Meteor position-sensitive detector. The diffractograms were analysed by Rietveld analysis with the software 219 package FULLPROF (Rodriguez-Caravajal, 2001) using the aragonite structure data of Jarosch & Heger (1986) 220 and calcite structure data of Markgraf & Reeder (1985).

221 3 Results

222 3.1 The shell ultrastructure of modern Arctica islandica

223 Figures 1 to 5 show characteristic ultrastructural features of the shell of modern A. islandica. Images of the pristine 224 shell are given in Figs. 1-3, while Figs. 4 and 5 present structural features of the hydrothermally altered shells. The 225 valve of A. islandica is layered, with various shell parts showing different internal structural features (Fig. 1). The 226 distribution patterns of porosity, pore sizes and the dimensions of basic aragonitic crystal units vary significantly 227 along the cross-section of the shell. The outer shell portion, indicated with yellow stars in Figs. 1A and 1B, consists 228 of aragonite crystal units in the 5 µm size range (Fig. 2A). This shell portion is highly porous (see the white dotted 229 features in Fig. 1B), pore diameters range within a few micrometers (Fig. A2). The inner shell portion, i.e., the 230 part very close to the soft tissue of the animal (indicated with white rectangles in Figs. 1A, 1C), is dense and is

- composed of very few small aragonite crystallites with pore sizes of less than 1 μ m (Fig. 2B). The dimension of pores in this shell region is in the 1 to 2 μ m range. However, the innermost shell layer, the layer that is in contact with the mantle tissue of the animal (white stars in Figs. 1A, 1C) contains large (up to 12 micrometre diameter) and elongated pores that are oriented perpendicular to the rim of the shell (see white arrows in Fig. 1C). Growth lines are clearly visible in the cross-section through the shell (white arrows in Fig. 1A) as thin layers characterised
- by higher accumulations of organic material (this study and Richardson, 2001).
- 237 Figures 2, and 3 show, at increasing magnification, structural features of modern A. islandica shells that were 238 made visible by slight etching of the mineral and simultaneous chemical fixation of the organic matrix. Structural 239 characteristics of the reference material (non-biologic aragonite grown from solution), treated chemically in a 240 similar way as the biogenic aragonite samples, are shown in the appendix, in Fig. A3. Fig. 2A shows features that 241 are characteristic of the outer shell layer, whereas Fig. 2B depicts internal characteristics of the tissue-adjacent 242 side of the shell (the region marked by white rectangles in Fig. 1). Etching brings out the outlines of the aragonite 243 grains, revealing the fabric of the biopolymer matrix within the hard tissue and its interlinkage with the mineral. 244 The mineral units (crystals) in the outer shell layer are highly irregular in shape with dimensions in the 1-5 µm 245 range (Fig. 2A). In contrast, although the mineral units (crystals) in the dense layer of the shell also have irregular 246 morphologies, they are of significantly smaller dimensions, mainly in the 1-2 micrometre range and below (Fig. 247 2B). The predominant fabric of the organic matrix in the shell of A. islandica is a network of intracrystalline fibrils 248 (Fig. 3, yellow arrows in Figs. 3A, 3B) that interconnect the mineral units across the grain boundaries. However, 249 organic membranes are occasionally also present and surround the mineral units (white arrows in Fig. 3A). Like 250 all other biological carbonate hard tissues, at the finest scale, the shell of A. islandica is composed of 251 nanoparticles that are a few tens of nanometres in diameter (white arrows in Fig. 3C). In order to check the 252 validity of nanoscale structural features observed in pristine Arctica islandica shells, we prepared non-biological 253 aragonite grown from solution in a similar way (microtome cut, polished, etched slightly, only for 180 seconds, 254 critical point dried). As it is well visible in Fig. A3 etch pits develop, the presence in aragonite grown from solution 255 and assembly of nanoparticles is not evident.
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259 3.2 The ultra-, and microstructure of experimentally altered A. islandica shells

Pieces of pristine *Arctica islandica* shells were altered at 100 °C, 125 °C, 150 °C and 175 ° for 1 to 84 days in
fluids simulating meteoric and burial (Mg-rich) fluids (Table 1). As XRD measurements in Fig. A11 show shell
aragonite remains stable for the first three days of alteration, even at alteration temperatures of 175 °C. Alteration
times up to 14 days at 125 °C do not cause the mineral replacement reaction of *Arctica islandica* shell aragonite
into calcite (Fig. A11). In our experiments calcite formation started on the fourth day of alteration.

In order to trace fluid infiltration into and their percolation through the shell we performed major and minor element chemical analyses by EPMA. The distribution patterns of sodium, chlorine and strontium are shown as characteristic examples (Figs. A4, A5, A6). Fluids enter the shell through pores and along growth lines, as demonstrated by the perfect correspondence between increased Na, Cl contents and the outlines of annual growth

- lines, indicated by elevated Sr contents (Fig. A4). These growth lines are readily detected by an increase in Sr
- contents in pristine (Figs. A4A) as well as in hydrothermally altered shell samples (Figs. A5, A6, see also Shirai
- et al. 2014). However, neither the temperature of hydrothermal alteration, nor the chemistry of the alteration fluid
- has an influence on the amount of Sr present along growth lines. Relative to neighbouring shell increments, the Sr
- 273 content along the growth lines is always higher (Shirai et al., 2014). Maximal concentrations (along annual growth
- 274 lines) in pristine and altered shells vary between 0.4 and 0.6 wt% Sr (Figs. A4, A5, A6).
- 275 FE-SEM images of Figs. 4 and 5 highlight the grain structure and remnants of the organic matrix in hydrothermally 276 altered A. islandica shells. In the case of the samples shown in Figs. 4 and 5, burial water was used as alteration 277 solution; the hydrothermal treatment conditions were 100 °C for 28 days (Figs. 4A, 4B, 5A, 5B) and 175 °C for 7 278 days (Figs. 4C, 4D, 5C, 5D), respectively. SEM images on the left hand side of Figs. 4 and 5 are taken from the 279 outer shell section, while SEM images on the right hand side of Figs. 4 and 5 are taken from the dense layer of the 280 inner shell layer. Alteration at 100 °C for 28 days did not change the internal ultrastructure of the shell significantly. 281 The shape and size of the mineral units are retained and they are still interconnected with a few organic fibres 282 (Figs. 4A, 4B, 5B). However, at 175 °C for 7 days, the formerly present network of biopolymer fibres and 283 membranes has vanished completely (Figs. 4C, 4D, 5C, 5D). At higher magnification a multitude of tiny holes 284 (indicated with yellow arrows in Figs. 5C, 5D and enlarged in Figs. A7A and A8B) become readily visible. In the 285 unaltered shell these holes were filled with the network of biopolymer fibrils interconnecting the mineral units 286 (e.g. Fig. 3B). The tiny holes in the mineral units start to become visible even in the samples altered at 100 °C 287 (yellow arrows in Fig. 5B). Although at 175 °C shell aragonite has transformed to large calcite crystals (see
- following the description of results), etching still outlines a grain fabric on the size-scale of the former bioaragonite
- crystal units (Figs. 4C, D). The newly formed fabric resembles that of a fine-grained inorganic ceramic material.
- 290 Aragonite crystal orientation patterns of modern A. islandica shells and those altered at 100 °C are presented in 291 Figs. 6, A9, A10 with EBSD grey-scale band contrast images (upper images of Figs. 6A, 6B, 6C, A9), EBSD 292 colour-coded orientation maps (lower images of Figs. 6A, 6B, 6C), and corresponding pole figures. Fig. 6E gives 293 grain area information deduced from the EBSD measurements that are shown in Figs. 6A to 6C. Alteration 294 occurred at 100 °C, over a period of 28 days, and took place in meteoric (Fig. 6B) and burial fluid (Figs. 6C, A9), 295 respectively. The microstructure and texture of pristine A. islandica shell material is shown in Fig. 6A. The 296 crystallographic co-orientation in pristine and altered A. islandica shells is axial with the c-axes (setting a = 4.96297 Å, b = 7.97 Å, c = 5.74 Å, space group Pmcn) pointing approximately perpendicular to the growth lines. Co-298 orientation of the aragonite crystallites in the outer shell portion, even in the modern A. islandica, is very low with 299 Multiple of Uniform Random Distribution (MUD) values of 12 (Fig. 6A) and 32 (Fig. A10A). Hydrothermal 300 treatment of A. islandica at 100 °C does not produce a significant change in aragonite co-orientation pattern, 301 texture, grain fabrics, and grain size distributions. The pristine and the hydrothermally treated shell materials 302 appear to be quite similar. The small changes in MUD values may be attributed to the fact that it was impossible 303 to locate the EBSD scan fields on the different samples in exactly corresponding spots with respect to the outer 304 shell margin and to the patterns of annual growth lines. Figures 7, A9B, A9C show microstructure and texture 305 characteristics deeper within the shell (Figs. 7A, A9, A10) and at the innermost margins next to the inner shell 306 layer (Figs. 7C, 7D; alteration in meteoric fluid: Figs. 7A to 7D; alteration in burial fluid: Figs. 7E, 7F). In the 307 EBSD band contrast map of Fig. 7A we clearly see the change in microstructure from the outer shell layer with

- 308 the larger aragonite crystals (yellow star in Fig. 7A) to the inward shell portion where aragonite crystals become
- 309 small to minute (white star in Figs. 7A, A9B, A9C). As the pole figures and MUD values demonstrate, the axial 310 c- and a-axes co-orientation increases gradually towards the inner shell layer where MUD values of almost 100 311
- are reached (Figs. 7D, 7F, A9, A10).
- 312 Using X-ray diffraction (XRD) we obtained an overview of the kinetics of the A. islandica biogenic aragonite to
- 313 calcite transition under hydrothermal conditions up to 175 °C in artificial burial solution (Figs. 8A, 8B, A11). A
- 314 representative Rietveld-plot of the analysis of the XRD data obtained for the six days alteration is given in Fig.
- 315 A12. As Fig. A9 demonstrates, experiments below 175 °C show no signs of a replacement reaction of bioaragonite
- 316 to calcite in the XRD bulk measurements. At 175 °C in burial solution, calcite formation starts after a passive 317 period of about 4 days (Figs. 8A, 8B, A11) and then proceeds rapidly. After 7 days only a few patches of aragonite
- 318 in the dense shell layer are not yet completely transformed to calcite (as seen in the EBSD investigations, unaltered
- 319 shell portions are indicated with white rectangles in Fig. 1A). After 8 days the transition to calcite is complete.
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321 EBSD data clearly show that after a hydrothermal treatment at 175 °C, with either, meteoric or burial fluid, shell 322 aragonite is transformed to calcite (Figs. 9, 10 and 11). In the outer shell layer the replacement reaction to calcite 323 is complete with the development of large crystal grains, some reaching sizes of hundreds of micrometres (see 324 EBSD maps in Figs. 9 and 10). In contrast, dense shell regions devoid of pores still retain patches of the original 325 aragonitic microstructure and texture (coloured EBSD maps in Figs. 11A, 11B). The MUD values for the newly 326 formed calcite material are high (Figs. 9, 10), but this is related to the fact that within the range of the EBSD scan 327 just a small number of large, newly formed, individual crystals is encountered. Figure 11 shows shell regions 328 where patches of aragonite have survived which contain first-formed calcite. Calcite nucleation sites are the 329 locations where the experimental fluid has access to the shell: at its outer and inner surfaces (yellow stars in Fig. 330 11B) and at growth lines (yellow arrows in Fig. 11A). Fig. 11A demonstrates how calcite crystals form strings 331 along linear features, which correspond to growth lines in the pristine shell material.

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334 **4** Discussion

335 4.1 Driving force in comparison to nucleation barrier

336 In sedimentary environments the fate of metastable biogenic aragonite or high-Mg calcite can follow two 337 scenarios: (1) the metastable biogenic matter can be completely dissolved and removed by fluid transport to form 338 molds that are later filled by cement or other neogenic minerals or (2) the metastable minerals may be replaced by 339 stable low-Mg calcite in-situ, by a process which involves dissolution of the metastable phase into a nano- to 340 micro-scale local fluid volume (e.g. a thin fluid film) from which the stable low-Mg calcite precipitates without 341 long-range transport (Brand & Veizer, 1980, 1981; Brand, 1991, 1994; Bathurst, 1994; Maliva 1995, 1998; Maliva 342 et al., 2000; Titschak et al., 2009, Brand et al., 2010).). The latter process may preserve original morphological 343 boundaries and microstructures such as prisms, tablets and fibres in bivalve shells. The replacement reaction from 344 aragonite to stable low-Mg calcite is driven by the higher solubility (free energy) of the metastable phase compared to the the stable phase. Thus, as the replacement reaction proceeds, the reactive, percolating experimental or diagenetic pore fluid becomes undersaturated with respect to aragonite owing to its relative supersaturation with respect to calcite, the less soluble mineral phase in the system. The maximal supersaturation Ω_{max} with respect to calcite, which can be obtained in a fluid, which draws its calcium and carbonate ions from the dissolution of aragonite, can be described as:

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$$\Omega_{max} = \frac{K_{sp} (Aragonite)}{K_{sp} (Calcite)}$$
(1)

, where K_{sp} stands for the ion activity products of the respective phase in the relevant pore fluid. The free energy 351 352 difference or thermodynamic driving force is given by $\Delta G_{max} = - RT \ln \Omega_{max}$. To obtain an estimate we used the data of Plummer & Busenberg (1982) and calculated the solubility products for calcite and aragonite for 25 °C, 353 354 100 °C, and 175 °C (Fig. 12). The maximal supersaturations Ω_{max} thus obtained are 1.39 (25 °C), 1.26 (100 °C), 355 and 1.18 (175 °C). The replacement reaction first requires a nucleation step: the formation of the first calcite 356 crystallites larger than the critical size r* (Morse et al, 2007). Empirical nucleation theory relates the activation 357 energy $\Delta G_A(r^*)$ necessary to form a nucleus of critical size to the specific surface energy σ needed to form the 358 interface between the nucleating phase and the matrix phase as

359
$$\Delta G_A(r^*) \propto \frac{\sigma^3}{(-RTln\Omega)^2}$$
 (2)

360 Only supercritical nuclei or pre-existing seed crystals of size $r > r^*$ of calcite can lower their free energy as their 361 volume free energy gained by growth exceeds the adverse energy contributions of increasing interface area. To 362 obtain a significant number of supercritical nuclei a critical supersaturation needs to be reached (Morse et al., 2007, 363 Gebauer et al., 2008, Nindiyasari et al., 2014, Sun et al. 2015). Reported values for critical supersaturation levels 364 $\Omega_{\rm crit}$ required for calcite nucleation in various conditions range from the order of 3.7 (Lebron & Suarez, 1996, Zeppenfeld, 2003) to the order of 30 (Morse et al., 2007; Gebauer et al., 2008) or even several hundreds e.g. in 365 hydrogel matrices (Nindiyasari et al., 2014). The DFT study of Sun et al. (2015) arrives at $\Omega_{crit} = 5$ for systems 366 free of inhibitors such as Mg, and $\Omega_{crit} = 35$ for modern sea-water. Accordingly, the supersaturation produced by 367 368 the dissolution of aragonite is very small compared to supersaturation levels typically required for the nucleation 369 of calcite. Thus, we can expect that nucleation is a critical kinetic step in the replacement reaction of aragonite by 370 calcite.

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373 4.2 Aragonite metastability at 100 °C up to 160 °C

374 In our laboratory-based hydrothermal alteration experiments at 100 °C in both meteoric and burial fluids, the 375 aragonite mineral as well as the characteristic biological microstructure survive the hydrothermal treatment up to 376 at least 28 days. In experiments at 12 5°C, and 150 °C we did not see any calcite formation from the bioaragonite 377 either. This is consistent with the findings of Ritter et al. (2016) who analysed the light stable isotope signatures 378 (δ^{13} C, δ^{18} O) of hydrothermally treated samples. In the 100 °C alteration experiments using isotope-doped 379 experimental fluids, Ritter et al. (2016) found that the carbon and oxygen isotope ratios of the treated shells are 380 within the same range as those measured in the pristine samples. Furthermore, no obvious patterns emerge from 381 the comparison of sub-samples exposed to seawater, meteoric, and burial fluids. Most of the extensive literature 382 on aragonite precipitation from aqueous solutions and aragonite-calcite replacement reactions in aqueous

- environments, as reviewed in the introduction, makes clear that both temperatures around the boiling point of water
- and the presence of Mg^{2+} inhibit calcite nucleation. Thus, the inhibition of calcite nucleation favours the growth
- 385 of aragonite if the solution is supersaturated with respect to the Ca-carbonate phases. If supersaturation is
- exceedingly high and rapidly generated, vaterite or even amorphous calcium carbonate will precipitate and reduce
- the supersaturation below the levels required for aragonite or calcite nucleation (Gebauer et al., 2008, 2012;
- 388 Navrotsky, 2004; Radha et al., 2010). However, it is unlikely that these levels of supersaturation are reached in
- 389 our case, as aragonite is already present. We, thus, conclude that the absence of an aragonite to calcite replacement
- reaction in our 100 $^{\circ}$ C 150 $^{\circ}$ C treatments is related to inhibition of calcite nucleation (Sun et al., 2015), a mechanism that has rarely been rigorously explored.
- 392
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394 4.3 Dormant period followed by rapid reaction at 175 °C

At 175 °C the replacement reaction of biological aragonite to coarse-grained calcite occurs rapidly; it starts after a dormant period of about 4 days and proceeds rapidly almost to completion after 3 more days (Figs. 8, A11). However, even after 84 days about 5 % of residual aragonite is still present. Calcite nucleation occurs (and replacement reaction proceeds) where the experimental fluid is in contact with the bio-aragonite: at the surfaces of the shell, in pores and along growth lines (Figs. 9B, 11, A4-A6).

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402 4.4 Nucleation and the time lag of the aragonite to calcite replacement reaction at 175 $^{\circ}C$

403 A certain time lag in the hydrothermal treatment experiments is expected for the initial dissolution of shell 404 aragonite to build-up a sufficiently high ion activity product in the solution to precipitate any calcite. However, 405 the several-day dormant period followed by the rapid growth of calcite indicates that the nucleation of calcite is 406 inhibited, at least initially. We discussed in the previous section that the thermodynamic potential (supersaturation) 407 for the formation of calcite from a fluid, which is able to dissolve aragonite, is smaller than the critical 408 supersaturation required to obtain a discernible nucleation rate for calcite in normal laboratory experiments. The 409 presence of magnesium in the solution further inhibits calcite nucleation and likewise do high temperatures 410 between 70 °C and 160 °C (Kitano et al., 1962; Taft, 1967; Kitano et al., 1972; Katz, 1973; Berner, 1975; Morse 411 et al., 1997; Choudens-Sánchez, 2009; Radha et al. 2010, Balthasar & Cusack, 2015; Sun et al., 2015, Perdikouri 412 et al., 2011, 2013), which is supported by the lack of calcite formation in our experiments between 100°C and 150 413 °C (Table 1, Fig. A11). A possible scenario explaining the dormant period could be simply that the nucleation rate 414 of calcite is extremely small due to the limited supersaturation, but non-zero. Once a few nuclei formed after a few 415 days, the actual growth process proceeds rapidly from these few nuclei. Another scenario may be the initial, rapid 416 formation of a passivation layer on the surface of the aragonite or on the surface of any calcite nuclei; the dormant 417 period is then the time that is needed to dissolve this passivation layer, at least in some places, where subsequently 418 calcite nuclei of critical size can form. In order to explain this second scenario we can only speculate that after 419 initial dissolution of the biogenic aragonite with excess free energy due to its hybrid nanoscale composite structure 420 an inorganic aragonite precipitates first on the surface of the biogenic aragonite.

423 4.5 Grain size and chemistry of the newly formed calcite

424 Compared to the nano- to microscale grain fabric of the original aragonite material the newly formed calcite 425 crystals are remarkably large. In meteoric solutions the grain size of the newly formed calcite reaches 200 426 micrometres (e.g. Figs. 9C) while in the Mg-bearing burial solution newly formed calcite crystals reach sizes in 427 the 1 mm range, in both, the 7- and 84-day treatments (e.g. Figs. 10B, 10C).). The large calcite grains obtained

428 can very likely be the result of the formation of very few calcite nuclei.

429 Other explanations for the formation of large calcite grains from the original nano- to microscale grain fabric may

430 be Ostwald-ripening or strain-driven grain growth of the newly formed calcite. The latter could be expected due

431 to the 8.44 % volume increase when the denser aragonite transforms to calcite. To elucidate this possibility we

432 determined the *local misorientation* within the calcite crystals from the EBSD data sets. Maps showing small

433 lattice orientation changes between neighbouring measurement points highlight high dislocation densities and

434 subgrain boundaries, which may have been introduced during the replacement reaction by stresses.

435 Figure 13 depicts the distribution pattern of local misorientation within five selected EBSD maps (Fig. 13B, 13E,

436 13H, 13K, 13N). Legends accompany all local misorientation maps (Figs. 13C, 13F, 13I, 13L, 13O). Blue colours

437 indicate the absence of measurable internal misorientation, while light green to yellow colours highlight areas

438 where local misorientation is larger than experimental resolution. Grains in Fig. 1 are defined by a *critical*

439 *misorientation* selected as 5 $^{\circ}$ (i.e. tilts smaller than 5 $^{\circ}$ are counted as subgrain boundaries in the mosaic structure

440 of the crystals).

441 For the better visualization of individual grains we outlined these with white lines. In Figs. 13G, J, M the mosaic

442 structure in the grains is visible in inverse pole figure colouring reflecting lattice orientation. In all five investigated 443 data sets the grain-internal local misorientation reaches up to 2 degrees, thus, neither alteration time, nor the 444 chemical composition of the used alteration solution show any discernible influence on the degree of strain 445 accumulation within the calcite grains. Figure 14 compares the subgrain (mosaic) structure of two large calcite 446 grains obtained in the same experimental fluid at 175 °C, where one grain is from the 7 days treatment, and the 447 other from the 84 days treatment. The grains are marked by stars in Fig. 13K and N, respectively. In these maps

448 of Fig. 14 the colour codes for misorientation relative to a common reference point, rather than for local

449 misorientation. Corresponding legends are given below the grains. The internal misorientation (mosaic spread) for

450 the grain obtained in the 84 days treatment is much higher than that in the grain obtained in the 7 days treatment.

451 We find that the local misorientations are mainly curvilinear structures in the cross section (white arrows in Figs.

452 14A, 14C) and correspond to subgrain boundaries within the newly formed calcite crystals. These boundaries do

453 not appear to heal or to disappear with an increased alteration time, an indication again of the negligible effect of

454 alteration duration on the fabric and internal structure of calcite grains crystallised from *Arctica islandica* shell

455 bioaragonite.

456 To further investigate potential grain growth patterns, we took a statistical approach in the analysis of the EBSD

457 measurements shown in Figs. 9 and 10 (alterations experiments carried out for 7 and 84 days at 175 °C in meteoric

458 and burial solution, respectively). Figures 14A and 14B show the statistics of grain area (again, we define a grain

- 459 by a critical misorientation of 5 °) versus *mean* misorientation within a grain. Based on these statistics, we do not
- 460 see major evidence for a specific calcite grain growth phenomenon with an increase in alteration time between 7

- 461 and 84 days, with the exception of three extremely large grains in the 84 days treatment in burial solution. However,
- 462 we find that experiments conducted with the Mg-containing burial solution yield larger calcite crystals (black
- 463 arrows in Fig. 15B) in comparison to the size of the grains obtained from experiments carried out with meteoric
- 464 water (Fig. 15A). Grains obtained from alteration experiments with meteoric fluid show a significantly higher
- 465 degree of mean misorientation (up to 10 degrees, black arrows in Fig. 15A), compared to the grains that grew in burial solution. Large mean misorieantations of >4 $^{\circ}$ occur notably in the grains grown in the 7 days treatment in
- 467 meteoric solution, while the corresponding 84 days treatment does not show a significant increase in grain area
- 468 compared to the 7 days treatment.
- 469 In summary, the observations do not support scenarios of Ostwald-ripening or strain-driven anomalous grain 470 growth as the reasons of the large calcite grains. We attribute the large calcite grains to the nucleation rate: The 471 crystals growing from each nucleus consume the aragonite educt (the precursor, original aragonite) until they 472 abutted each other. Thus, larger crystals in the experiment with burial solution result from a smaller number of 473 calcite nuclei, which may be attributed to the presence of aqueous Mg in the experimental fluid. Note here, that 474 both the reduction of Mg concentration in the reactive fluid, compare to that in the initial burial fluid (see Table 475 1), as well as speciation calculations, suggest that the formation of Mg-bearing carbonate minerals (magnesite 476 and/or dolomite) is likely possible to occur at the experimental conditions. Indeed, we observe small patches of 477 newly formed Mg-rich carbonates (Fig. A13). The formation of such minerals occurs at lower rates compared to 478 pure Ca-bearing carbonates owing to the slow dehydration of aqueous Mg that is required prior to its incorporation
- 479 in the crystal (e.g. Mavromatis et al., 2013) even at temperature as high as 200 °C (Saldi et al., 2009; 2012).
- 480 The newly formed calcite contains only small amounts of magnesium (Table A1) in the order of 0.1 wt % (or 0.006
- 481 in the formula unit), while the strontium content of the original aragonite in the order of 0.4 wt.% is retained in the
- 482 calcite (0.005 in the formula unit). The local formation of Mg-rich carbonates occurs at some places at the rim of
- 483 the sample, where it is in direct contact with the bulk of the experimental fluid (Fig. A13B and Table A1). In these
- 484 patches measured Mg-contents reach up to 19.7 wt % (0.716 in the formula unit, encountered in scan field 3 at the
- 485 outer rim of the sample). The averaged composition in scan fields 4 and 9 in Fig. A13B may indicate dolomite,
- 486 but like scan field 3, which has a Mg content exceeding that of dolomite, we more likely have magnesite with
- 487 some calcite present, as judged from the EPMA map (Fig. A13B).
- 488

489 4.6 The calcite to aragonite replacement reaction kinetics

490 Inorganic experiments on aragonite to calcite transition at 108 °C in hydrothermal conditions were reported by 491 Bischoff & Fyfe (1968) and by Bischoff (1969). These authors used fine-grained powders as educts (the precursor, 492 original material) and observed a comparatively rapid transition to calcite that was complete within 48 hours, 493 depending on the composition of the fluid. For example, larger CO₂ partial pressure (leading to lower pH and thus 494 larger solubility of the carbonates) accelerated, while the presence of Mg-ions retarded the process. This rapid 495 reaction kinetics as reported by Bischoff & Fyfe (1968) and by Bischoff (1969) is discrepant to our observations. 496 We do not see a replacement reaction of the biogenic aragonite to calcite at 100 °C even within 28 days. 497 Hydrothermal experiments by Metzger & Barnard (1968) and by Perdikouri and co-workers (2011, 2013), 498 however, who used aragonite single crystals in their experiments, report reaction kinetics which correspond very 499 well to our observations. They do not observe any evidence of the replacement reaction at 160 °C even within 1 500 month, but a partial replacement of their aragonite crystals by calcite within 4 weeks at 180 °C. We observed that 501 the fluids used (artificial meteoric and/or burial fluids) cause only a minor difference in replacement reaction 502 kinetics in our experiments, with the MgCl₂-bearing artificial burial fluid reducing the nucleation rate of calcite, 503 thus, leading to the observed significantly larger calcite crystals in the product. As compared to the work of 504 Perdikouri et al. (2011, 2013) on aragonite single crystals, shell-aragonite does not crack during the replacement 505 of the aragonite by calcite. The reason for this difference may be ascribed to the porosity of the bioaragonite, which 506 results from the loss of its organic component. As Figs. 5C - D and the band contrast and orientation maps of Figs. 507 6A - C illustrate, the (newly formed) calcite product reveals an internal structure that is very reminiscent of the 508 original bioaragonite/biopolymer composite. The structure arises as the solution penetrates along former sites of 509 organic matrix (former aragonite grain boundaries), such that the structural features obtained after alteration still 510 outline the former aragonite grains. Thus, limited grain size of the bioaragonite together with the formerly 511 biopolymer-filled spaces reduce any stresses that may be built up by the specific volume change of the CaCO₃ 512 during the replacement reaction. The replacement process preserves original morphological features. Several 513 studies (Putnis & Putnis, 2007, Xia et al., 2009, Putnis and Austrheim, 2010, Kasioptas et al., 2010, Pollok et al., 514 2011) experimentally investigated mineral replacement reactions creating pseudomorphs, even reproducing 515 exquisite structures such as the cuttlebone of Sepia officinalis. These studies conclude that the essential factor in 516 producing pseudomorphs is the dissolution of the replaced parent material as the rate-limiting step once the 517 replacement reaction proceeds, while the precipitation of the product phase and the transport of solution to the 518 interface must be comparatively fast. The preservation of morphology - even as observed on the nano- to 519 microscale - is ensured if nucleation and growth of the product immediately take place at the surface of the replaced 520 material when the interfacial fluid film between the dissolving and the precipitating phase becomes supersaturated 521 in the product after dissolution of the educt: an interface-coupled dissolution-reprecipitation mechanism (Putnis & 522 Putnis, 2007). If dissolution of the educt is fast and precipitation of product is slow, more material is dissolved 523 than precipitated, and the solutes can be transported elsewhere. This would create not only an increased pore space 524 which potentially collapses under pressure, but the dissolved material would eventually precipitate elsewhere with 525 its own characteristic (inorganic) morphology rather than reproducing the educt morphology. The fact that some 526 aragonite survives in the dense layers of the shell even after 84 days also points to a slow dissolution rate of 527 aragonite at least in some parts of the shell. New medium-resolution techniques which are capable of mapping 528 the space-dependence of dissolution rates in-situ (Fischer & Lüttge, 2016) may be able to shed some light on the 529 different behaviour of different shell parts in the future.

530

531 4.7 A paleontological perspective of our laboratory-based hydrothermal alteration experiments

The alteration experiments of recent *A. islandica* under controlled laboratory conditions are very important from a palaeontological perspective as they reproduce burial diagenetic conditions. The understanding of the diagenetic processes which control organism hard tissue preservation is in fact a fundamental prerequisite to taxonomic, taphonomic, palaeoecological, and biostratigraphic studies (e.g. Tucker, 1990). Most organisms have hard tissues composed of calcium carbonate, and its metastable form, aragonite, is one of the first biominerals produced at the Precambrian-Cambrian boundary (Runnegar & Bengtson, 1990), as well as one of the most widely used skeleton-

- forming minerals in the Phanerozoic record and today; in fact, aragonitic shells/skeletons are produced byhyolithids, cnidarians, algae, and by the widespread and diversified molluscs.
- 540 Several studies (Cherns & Wright, 2000; Wright et al., 2003; Wright & Cherns, 2004; James et al., 2005) have 541 underscored that Phanerozoic marine faunas seem to be dominated by calcite-shelled taxa, the labile aragonitic or 542 bimineralic groups being lost during early diagenesis (in the soft sediment, before lithification), potentially causing 543 a serious taphonomic loss. Considering that most molluses are aragonitic or bimineralic, this loss could be 544 particularly detrimental both for palaeoecological and biostratigraphic studies. However, it has been shown that 545 the mollusc fossil record is not so biased as expected (Harper, 1998; Cherns et al., 2008). This is due to high 546 frequency taphonomic processes (early lithification/hardgrounds, storm plasters, anoxic bottoms, high 547 sedimentation rates) that. throughout the control of organic matter content and residence time in the 548 taphonomically active zone, produce taphonomic windows allowing mollusc preservation (James et al. 2005; 549 Cherns et al., 2008). Even if the factors that control aragonite dissolution are multiple and their interpretation is 550 complex.
- The laboratory-based hydrothermal alteration experiments performed here offer very interesting insights into the fate of the aragonitic or bimineralic hard tissues that escape early dissolution during shallow burial and have the potential to enter the fossil record. In particular, the resistance of biogenic aragonite to replacement by calcite up to temperature of 175 °C during hydrothermal alteration offers an additional explanation for the preservation of aragonitic shells/skeletons once they have escaped early dissolution. The results of our experiments neatly explain the observation that the mollusc fossil record is good and allows restoration of evolutionary patterns.
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558 5 Conclusions

- 559 1. Aragonite crystallite size, porosity, and pore size varies across the cross-section of the valve of modern Arctica 560 islandica. While the outer shell layer is highly porous, with pore sizes in the range of a few micrometres, and 561 contains mineral units in the 1-5 µm size range, the inner shell layers are characterised by a dense shell structure 562 with small (1 µm) mineral units and a very low porosity. The innermost section of the shell is penetrated by 563 elongated pores oriented perpendicular to the shell inner surface. At annual growth lines Sr contents are always 564 high, relative to shell increments between the growth lines in both pristine and experimentally altered shell 565 samples. The chemistry of the alteration fluid and the duration of the alteration experiment do not exert a major 566 effect on the concentration of Sr along the growth lines.
- 567 2. During hydrothermal alteration at 100 °C for 28 days, most but not the entire biopolymer matrix is destroyed,
 568 while shell aragonite and its microstructure are largely preserved.
- 569 3. During hydrothermal alteration at 175 °C for 7 days or more, the biopolymer shell fraction is destroyed, such
 570 that pathways for fluid penetration are created. At this temperature and time shell aragonite is almost
 571 completely transformed to calcite.
- 4. When meteoric solution is used for alteration, newly formed calcite crystal units reach sizes up to 200 micrometres, while alteration in burial solution induces the formation of calcite crystals that grow up to 1 mm in 7 days. We attribute the latter, larger grains to the Mg-content of the burial solution, which inhibits calcite nucleation. The formation of fewer nuclei leads to the growth of larger calcite crystals.

576	5.	Geochemical results show that calcite nucleates and replacement reaction proceeds where the experimental
577		fluid is in contact with the aragonite: at the two shell surfaces, in pores, and at growth lines, which are thin,
578		formerly organic-filled layers.
579	6.	The replacement reaction of bioaragonite to calcite does not proceed at temperatures much lower than 175 °C.
580		At 175 °C we observe a dormant time of about 4 days during which no XRD-detectable calcite is formed. The
581		replacement reaction then proceeds within 2-3 days to almost completion with small amounts of aragonite still
582		surviving after 84 days in the dense, proximal layer of the shell. The dormant period can be attributed to the
583		low available driving force for calcite nucleation, but further studies dedicated to the nucleation process are
584		necessary.
585	7.	Between two tipping points, one between 50 and 60 °C (Kitano et al. 1962; Taft, 1967, Ogino et al. 1987,
586		Balthasar and Cusack, 2015), the other between 160 and 180 °C (Perdikouri et al, 2011, 2013, this paper),
587		aragonite appears to precipitate from supersaturated aqueous solutions rather than calcite, such that the
588		hydrothermal treatments of aragonite within this temperature bracket do not yield calcite.
589	8.	The tardy kinetics of aragonite replacement by calcite at temperatures lower than 175 °C contributes to explain
590		why aragonitic or bimineralic shells and skeletons have a good potential of preservation and a complete fossil
591		record.
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594		
595	7 (Competing interests
596	Tł	e authors declare that they have no conflict of interest.
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598		
550		

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1021 Fig. 1. SEM image showing ultrastructure characteristics of the shell of modern Arctica islandica (A), its high porosity in shell layers facing seawater (yellow stars in A, B) and the 1022 denser shell portions (white stars in A, C) close to the soft tissue of the animal. The innermost 1023 shell portions contain elongated pores (white stars in C) with the long axis of the pores oriented 1024 1025 perpendicular to the inner surface of the shell (white arrows in C). Highly dense shell parts are also present (white rectangles in A, C), in which pore density and size is very low and where 1026 minute aragonite crystals are closely packed. White arrows in A indicate the location of growth 1027 1028 lines.

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Fig. 2. FE-SEM micrograph of microtome cut, microtome polished, etched, and critical-pointdried surface of the shell of modern *Arctica islandica*. (A) the outer shell portion, (B) inner shell layer. Etching occurred for 180 seconds and was applied to remove aragonite in order to visualise the spatial distribution of (glutaraldehyde-stabilised) biopolymers within the shell. The outer shell portion consists of large and irregular mineral units, connected to each other and infiltrated by a network of organic fibrils. The inner shell layers consists of significantly smaller mineral units. These are also interconnected by organic fibrils.

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Fig. 3. FE-SEM micrographs of cut, microtome polished, etched, and critical-point-dried surfaces of modern *Arctica islandica* next to seawater (A) and close to the soft tissue of the animal (B, C). Etching occurred for 180 seconds and slightly removed aragonite in order to visualise the spatial distribution of (glutaraldehyde-stabilised) biopolymers within the shell. Readily visible is the nano-particulate consistency of the aragonitic hard tissue (white arrows in C) and the presence of biopolymer membranes (white arrows in A) and fibrils (yellow arrows in A, B) between and within the mineral units.

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Fig. 4. SEM micrographs of cut, microtome polished, etched, and critical-point-dried surfaces of experimentally altered *Arctica islandica* shell materials: (A, C) sweater-adjacent layer, and (B, D) shell layer close to the soft tissue of the animal. Etching occurred for 180 seconds and was applied for the visualisation of the spatial distribution of (glutaraldehyde-stabilised) biopolymers within the shell. 10 mM NaCl + 10 mM MgCl₂ aqueous solution (burial fluid) was used for alteration at 100 °C for 28 days (A, B) and at 175 °C for 7 days (C, D). Mineral units are indicated by yellow stars in A and B.

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Fig. 5. FE-SEM micrographs that zoom into experimentally altered Arctica islandica shell 1058 material shown in Fig. 4. 10 mM NaCl + 10 mM MgCl₂ aqueous solution (burial fluid) was 1059 used for alteration at 100 °C for 28 days (A, B) and at 175 °C for 7 days (C, D). Figs. A and C 1060 1061 show portions from the seawater-adjacent shell layers; B and D depict material from shell layers at the soft tissue of the animal. For the material treated at 175 °C (C and D) the biopolymers 1062 have decomposed and dissolved. Readily observable are minute round holes within the mineral 1063 units (yellow arrows in B, C, D) that were filled in the pristine shell, prior to alteration, by 1064 biopolymer fibrils. For further details concerning the interlinkage between mineral units and 1065 nanoparticles with organic matrices see Figs. A7 and A8. 1066

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Fig. 6. EBSD band contrast images (grey scale) and orientation maps (colored, color code given 1069 in D) with corresponding pole figures of pristine (A) and experimentally altered (B, C) Arctica 1070 1071 *islandica* shell material. In the pole figures colour codes for pole density, with the maximum in red corresponding to the given MUD value for each set of pole figures, respectively. All EBSD 1072 1073 measurements were taken at the seawater side of the shell. Alteration temperature was 100 °C 1074 and was applied for 28 days. The solutions used were artificial meteoric fluid in (B) and 1075 artificial burial fluid in (C). As the pole figures show, in comparison to the microstructure of pristine Arctica islandica (A), the crystal orientation pattern in the skeleton is not affected by 1076 treatment with the solutions. (E) Grain diameter statistics for pristine and experimentally altered 1077 Arctica islandica shell material obtained from the EBSD measurements are shown in Figures 1078 A to C. There is no significant difference in grain size between pristine and hydrothermally 1079 altered Arctica islandica shells. 1080

Fig. 7. EBSD band contrast images (grey scale) and corresponding pole figures of 1083 hydrothermally altered (100 °C for 28 days) Arctica islandica shell material with artificial 1084 meteoric fluid (A, B, C, D) and artificial burial fluid (E, F). In Fig. A the change in shell 1085 microstructure is visible from the seawater-adjacent shell layer that contains large aragonite 1086 crystals (yellow star in 7A) and many pores, to shell portions closer to the soft tissue of the 1087 animal, which consist of densely packed small aragonite crystallites (white star in 7A). In C 1088 and E band contrast maps and pole figures are shown that were taken at the shell portion next 1089 1090 to the soft tissue of the animal. As the pole figures and the high MUD values in D and F highlight, this part of the shell remains almost unaltered and the pristine Arctica islandica 1091 1092 microstructure is kept. In (A) the two yellow arrows and the two dashed lines indicate the location of former growth lines where, in pristine shells, an increased amount of organic 1093 1094 material is present. As the latter is destroyed during hydrothermal alteration, space becomes available for infiltration of fluids. For further details, see Appendix Figure A9. 1095

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Fig. 8. (A) Selected x-ray diffractograms for three to 84 days of alteration of *Arctica islandica*shell material. Alteration took place in artificial burial solution at 175 °C. (B) Newly formed calcite content relative to alteration time (days) calculated from Rietveld analyses of the XRD measurements.

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Fig. 9. EBSD band contrast maps, colour-coded orientation maps, and corresponding pole figures highlight the microstructure and texture of altered *Arctica islandica* shells at 175 °C in artificial meteoric solution. EBSD measurements shown in (A, B) were taken on shells that were subject to hydrothermal alteration for 7 days. Measurements shown in image C refer to shells where alteration lasted for 84 days. At 175 °C for both alteration times aragonite was almost completely replaced by calcite, and the shell microstructure is characterised by large and randomly oriented calcite crystals. The initial growth of calcite is visible at the location of

randomly oriented calcite crystals. The initial growth of calcite is visible at the location of
former growth lines (yellow arrows in B). For further microstructural details of the pristine shell
material see Appendix Figure A9.

Fig. 10. EBSD band contrast maps and colour-coded orientation maps with corresponding pole figures for hydrothermally altered *Arctica islandica* shells at 175 °C in water simulating burial diagenesis. EBSD measurements shown in A and B were taken on shells that were subject to hydrothermal alteration for 7 days, while the measurement shown in C was performed on shells where alteration lasted for 84 days. At 175 °C for both alteration times most of the aragonite has transformed to calcite.

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Fig. 11. EBSD band contrast (in grey), crystal orientation (colour-coded for orientation) maps, and corresponding pole figures of altered *Arctica islandica* shells at 175 °C in artificial meteoric (A) and burial (B) solution, respectively. Clearly visible is the initial formation of calcite at the location of former growth lines (yellow arrows in A) and the growth of large calcite crystals (yellow stars in B) that formed at the shell portion that is in direct contact with the alteration solution. Note that some pristine aragonite in the dense shell portion is still present.

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Fig. 12. Solubility products (SP) of aragonite and calcite calculated from the data of Plummer 1130 & Busenberg (1982). The labels at the ordinate give the powers of ten, the numbers in the plot 1131 give the mantissa of the SP. Ω_{max} is the difference between the value for aragonite (red) and 1132 calcite (green), respectively, and it is the upper bound of the supersaturation available to drive 1133 calcite precipitation from aragonite dissolution (thermodynamic driving force $\Delta_{max} = RT \ln t$ 1134 Ω_{max}). To drive dissolution of aragonite and precipitation of calcite at non-zero rates, the pore 1135 fluid needs to be undersaturated with respect to aragonite and supersaturated with respect to 1136 1137 calcite.

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Fig. 13. Calcite grain structure (A, D, G, J, M, IPF colours as indicated in the insert in C) and maps of grain-internal local misorientation distribution (B, E, H, K, N, scales and probability distributions given in C, F, I, L, O) for experimentally altered shells of *A. islandica* carried out in simulated meteoric solution at 175 °C for 7 (A to C) and 84 days (D, E, F), and in burial solution at 175 °C for 7 (G to L) and 84 days (M to O), respectively. Grains are defined by using a critical misorientation of 5 °. Local misorientation reaches up to 2-3 degrees (see

legends in C, F, I, L, O), irrespective of alteration duration and solution. The white star in K
marks stress-free shell portions, while the yellow star in N indicates the location of an increased
stress accumulation.

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Fig. 14. Colour-coded visualisation (A, C) and degree of internal misorientation (B, D) within two large, mm-sized grains that grew in simulated burial solution at 175 °C for 7 (A) and 84 (C) days. The grain shown in A contains some stress-free portions within its centre (indicated by blue colours and the white star in A), while internal misorientation in the grain shown in C is highly increased and occurs everywhere within the grain (D). The yellow star in C points to the region where, in this grain, stress accumulation is highest.

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Fig. 15. Grain area versus mean misorientation within individual grains obtained for newly formed calcite at alteration of *Arctica islandica* aragonite in artificial meteoric (A) and in burial (B) solutions at 175 °C and for 7 and 84 days, respectively. The Mg-containing (burial) alteration fluid induces the formation of large calcite grains that show a low degree of misorientation within the grains (B), while with artificial meteoric solution, the solution that is devoid of Mg, significantly smaller grains are obtained. However, the latter occur with a high mean misorientation within the individual, newly formed grains.

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Appendix Fig. A1. Morphological characteristics of the shell of the bivalve *Arctica islandica*.
A detailed description is given in Schöne (2013).

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Appendix Fig. A2. Accumulation of pores (whitish circular features) within the outer shell
portions (A). Yellow stars in B point to the location of two, a few nanometre-sized pores.

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Appendix Fig. A3. FE-SEM image of microtome cut, polished, etched and critical-point-dried
surface of non-biologic aragonite grown from solution.

Appendix Fig. A4. Sr²⁺, Na⁺, and Cl⁻ concentrations along annual growth lines in a hydrothermally altered shell portion of *Arctica islandica*. The alteration fluid is NaCl-rich, simulating meteoric waters. The degree of fluid infiltration into and through the shell is well traceable with Na+ and Cl⁻-concentrations. Infiltration occurs, in addition through pores, along growth lines that act as conduits for fluid circulation.

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Appendix Fig. A5. Sr^{2+} concentrations along annual growth lines in pristine (A, B) and hydrothermally altered (C, D) *Arctica islandica* shell portions. White stars indicate regions of the outer shell layer, while yellow stars point to the inner shell parts. Fluids enter the shell at its two surfaces (see enrichment in Sr^{2+} in Fig. A5D) and, especially along growth lines. Neither the degree of hydrothermal alteration, nor the chemistry of the alteration fluid changes significantly the Sr^{2+} contents along the growth lines. Maximal values for both, pristine and altered samples, range between 0.4 and 0.6 wt% Sr.

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Appendix Fig. A6. Sr^{2+} concentrations along annual growth lines in hydrothermally altered *Arctica islandica* shell portions. Alteration temperature was 175 °C; meteoric water was used as alteration fluid; the alteration experiments lasted for 7 and 84 days. Sr^{2+} concentration scatters for both alteration times around 0.4 wt% Sr^{2+} and is similar to the value measured in the pristine *Arctica islandica* reference samples (see Figs. A5A, A5B).

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Appendix Fig. A7. Hydrothermally altered *Arctica islandica* shell portions. Burial fluid was used for alteration at 100 °C and for 28 days. A: As the organic membranes and fibrils are destroyed by alteration, large gaps appear between and numerous minute holes within the mineral units. B: Even though, at an alteration temperature of 100°C and alteration times of 28 days biological aragonite of *Arctica islandica* retains its nanoparticulate appearance.

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Appendix Fig. A8. Pristine (A) and hydrothermally altered (B) shell portion of *Arctica islandica*. Alteration occurred in burial fluid at 175 °C and lasted for 7 days. Well visible in A is the network of biopolymer fibrils between and within pristine aragonite nanoparticles and mineral units. This is destroyed at alteration and umerous voids (B) become visible within the mineral units.

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Appendix Fig. A9. EBSD band contrast images taken along a cross section from different parts
of the shell of pristine *Arctica islandica*. (A) Outer shell layer, (B) central shell section, and (C)
inner shell. Well visible is the difference in crystallite size. In contrast to the outer shell layer
(A), the innermost shell section is highly dense and consists of minute aragonite crystals.

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Appendix Fig. A10. Pole figures obtained from EBSD measurements shown in Figure A9.
Measurements are done on pristine *Arctica islandica*. SEM images on the left hand side indicate
the location of EBSD maps; (A) outer shell layer, (B) central shell portion, (C) inner shell part.
The pole figures and MUD values indicate clearly that aragonite co-orientaion increases
significantly towards innermost shell sections.

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Appendix Fig. A11. XRD measurements of experimentally altered *Arctica islandica* samples
subjected to alteration temperatures between 125 °C and 175 °C for various lengths of time (1,
2, 3, 4 and 14 days). Calcite formation starts at 175 °C and an alteration time of four days. Red
Miller indices (Cc): calcite and black Miller indices: aragonite.

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Appendix Fig. A12. Representative Rietveld plot for the product of the alteration experiment at 175 °C for 6 days (A) and 84 days (B) in artificial burial solution measured with MoK_{α 1} in transmission and with CuK_{α 1} in reflection, respectively. The diffuse amorphous signal peaking near 12.5° 2 θ is due to the Lindemann glass capillary (Ø 0.3 mm) containing the sample.

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^{Appendix Fig. A13. BSE image (A) and Mg concentrations (B) of hydrothermally altered} *Arctica islandica* shell portion. Alteration occurred in burial solution at 175°C for 84 days. The

1243	yellow rectangle in A indicates the shell portion that is shown in B and that was scanned with
1244	EPMA. White rectangles in B highlight the extent of shell portions that was used for the
1245	determination of mean Mg concentrations given in yellow within each rectangle. Note the
1246	formation of magnesium-rich carbonates (see Table A1) along the outer rim of the sample.
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1286	Table 1.

Table 1. Detailed conditions used in hydrothermal alteration experiments of modern *Arctica islandica*.
Major and minor element chemical data of pristine *Arctica islandica* aragonite and the calcite obtained after treatment are given in table A1.

Sample name	Fluid type	NaCl content [mM]	MgCl ₂ content [mM]	Temperature [°C]	Experimental time	Alkalinity [mM]	рН	Mg-content of fluid after experiment [mg/L]
CHA-M-040 AI21 B2	meteoric	10	-	100	28 days	1.69	7.91	3
CHA-M-042 AI 23 B2	meteoric	10	-	175	7 days	7.72	-	0
CHA-M-046 AI27 B1	meteoric	10	-	175	84 days	10.75	7.78	1
CHA-M-043 AI24 B2	burial	100	10	100	28 days	2.02	8.39	112
CHA-M-041 AI22 B2	burial	100	10	175	7 days	9.96	-	84
CHA-M-046 AI 27 B2	burial	100	10	175	84 days	6.99	7.51	165
CHA-M-044 AI29 L1	burial	100	10	125	1 day			
CHA-M-044 AI29 L2	burial	100	10	125	14 days			
CHA-M-044 AI29 L3	burial	100	10	150	2 days			
CHA-M-044 AI26 L1	burial	100	10	175	1 day			
CHA-M-044 AI20 L3	burial	100	10	175	3 days			
CHA-M-044 AI28 L2	burial	100	10	175	4 days			
CHA-M-044 AI28 L1	burial	100	10	175	4 ¼ days			
CHA-M-044 AI28 L2	burial	100	10	175	4 ¾ days			
CHA-M-044 AI20 L1	burial	100	10	175	5 days			
CHA-M-044 AI20 L2	burial	100	10	175	6 days			

Table 2. Crystal co-orientation (texture) strength expressed as multiple of uniform (random) distribution
 (MUD) of modern and experimentally altered *Arctica islandica* shells. Ar: aragonite, Cc: calcite.

Sample name	Fluid type	Temperature [°C]	Experimental time	MUD value of the outermost shell part	MUD value of the central shell part	MUD value of the innermost shell part
modern reference	-	-	-	12 Ar/32 Ar	58 Ar	88 Ar
altered specimen CHA-M-040 AI21 B2	meteoric	100	28 days	7 Ar	27 Ar	94 Ar
altered specimen CHA-M-043 AI24 B2	burial	100	28 days	4 Ar	-	99 Ar
altered specimen CHA-M-042 AI23 B2	meteoric	175	7 days	18 Cc	15 Cc	-
altered specimen CHA-M-046 AI27 B1	meteoric	175	84 days	25 Cc	32 Cc	-
altered specimen CHA-M-041 AI22 B2	burial	175	7 days	36 Cc	90 Cc	80/81 Cc
altered specimen CHA-M-046 AI27 B2	burial	175	84 days	64 Cc	62 Cc	-
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Table A1. Electron microprobe analyses (CAMECA SX100 system and procedures described in Goetz
et al., 2014) of the original pristine *Arctica islandica* aragonite and of the treated sample CHA-M046
AI27 B2 near the outer rim of the specimen. The analysed regions are shown in Fig. A13B. The [CO₃]content is nominal.

Analysed R	egion	Mg	Ca	Mn	Na	Р	Sr	Fe(II)	С	0	Σ Cations (except P and C)
1	wt%	8.91	25.53	0.1	0.06	0.02	0.3	0.15	51.58	13.29	
	Formula	0.3425	0.596	0.0015	0.0025	0.0005	0.003	0.0025	3.018	1.034	0.9480
2	wt%	8.91	2.53	0.1	0.06	0.02	0.3	0.14	51.33	13.29	
	Formula	0.385	0.584	0.0015	0.002	0.0005	0.003	0.0025	3.007	1.014	0.9780
3	wt%	19.74	11.08	0.07	0.28	0.05	0.25	0.17	54.46	13.82	
	Formula	0.716	0.2445	0.001	0.011	0.0015	0.0025	0.003	3.007	1.015	0.9775
4	wt%	14.31	18.62	0.09	0.16	0.04	0.28	0.15	52.84	13.44	
	Formula	0.5305	0.4285	0.0015	0.006	0.001	0.003	0.0025	3.010	1.018	0.9720
5	wt%	9.46	25.49	0.1	0.06	0.02	0.29	0.16	51.29	13.05	
	Formula	0.365	0.5965	0.002	0.0025	0.0005	0.003	0.0025	3.01	1.019	0.9715
6	wt%	0.1	38.19	0.11	0.13	0.02	0.43	0.15	48.43	12.36	
	Formula	0.004	0.948	0.002	0.0055	0.0005	0.005	0.0025	3.011	1.022	0.9670
7	wt%	2.48	31.32	0.1	0.12	0.03	0.36	0.14	51.44	13.94	
	Formula	0.095	0.751	0.0015	0.005	0.001	0.004	0.0025	3.047	1.094	0.8590
8	wt%	0.15	38.26	0.11	0.12	0.02	0.43	0.15	48.37	12.32	
	Formula	0.006	0.949	0.002	0.005	0.0005	0.005	0.0025	3.010	1.020	0.9695
9	wt%	14.4	18.03	0.09	0.17	0.03	0.28	0.15	53.15	13.62	
	Formula	0.534	0.411	0.0015	0.0065	0.001	0.003	0.0025	3.013	1.027	0.9585
Original	wt%	0.07	39.24	0.11	0.46	0.02	0.43	0.15	47.44	11.76	0.07
Aragonite	Formula	0.003	0.988	0.002	0.02	0.0005	0.005	0.0025	2.989	0.987	1.02