

# The interaction of CO<sub>2</sub> concentrations and water stress in semi-arid areas causes diverging response in instantaneous water use efficiency and carbon isotope composition

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**Abstract.** In the context of global warming attributable to the increasing levels of CO<sub>2</sub>, severe drought can be anticipated in areas with chronic water shortages (semi-arid areas), which necessitates research on the interaction between elevated atmospheric concentrations of CO<sub>2</sub> and drought on plant photosynthetic discrimination. It is commonly surveyed that the <sup>13</sup>C fractionation derived from the CO<sub>2</sub> diffusion occurred from ambient air to sub-stomatal cavity, and little investigate the <sup>13</sup>C fractionation generated from the site of carboxylation to cytoplasm before sugars transportation outward the leaf, which may respond to the environmental conditions (i. e. CO<sub>2</sub> concentration and water stress) and their interactions. Therefore, saplings of typical species to a semi-arid area of Northern China that have similar growth status—*Platycladus orientalis* and *Quercus variabilis*—were selected and cultivated in growth chambers with orthogonal treatments (four CO<sub>2</sub> concentrations [CO<sub>2</sub>] × five soil volumetric water contents (SWC)). The δ<sup>13</sup>C of water-soluble compounds extracted from leaves of saplings was measured to determine the instantaneous water use efficiency (WUE<sub>cp</sub>) after cultivation. Instantaneous water use efficiency derived from gas exchange (WUE<sub>ge</sub>) was integrated to estimate differences in δ<sup>13</sup>C signal variation before leaf-exported translocation of primary assimilates. The WUE<sub>ge</sub> of the two species both decreased with increased soil moisture, and increased with elevated [CO<sub>2</sub>] at 35%–80% of field capacity (FC) by strengthening photosynthetic capacity and reducing transpiration. Differences in instantaneous water use efficiency (iWUE) according to distinct environmental changes differed between species. The WUE<sub>ge</sub> of *P. orientalis* was significantly greater than that of *Q. variabilis*, while the opposite results were obtained in a comparison of WUE<sub>cp</sub> in two species. Total <sup>13</sup>C fractionation from the site of carboxylation to cytoplasm before sugars transportation (total <sup>13</sup>C fractionation) was clearly species-specific, as demonstrated in the interaction of [CO<sub>2</sub>] and SWC. Rising [CO<sub>2</sub>] coupled with moistened soil generated increasing disparities of δ<sup>13</sup>C between the water soluble compounds (δ<sup>13</sup>C<sub>WSC</sub>) and estimated by gas-exchange observation (δ<sup>13</sup>C<sub>obs</sub>) in *P. orientalis* with amplitude of 0.0328‰–0.0472‰. Furthermore, differences between δ<sup>13</sup>C<sub>WSC</sub> and δ<sup>13</sup>C<sub>obs</sub> of *Q. variabilis* increased as CO<sub>2</sub> concentration and SWC increased (0.0384‰–0.0466‰). The <sup>13</sup>C fractionations from mesophyll conductance and post-carboxylation both contributed to the total <sup>13</sup>C fractionation determined by two measurements (1.06%–24.94% and 75.30%–98.9% of total <sup>13</sup>C fractionation, respectively). Total <sup>13</sup>C fractionations were linearly dependent on g<sub>s</sub>, indicating post-carboxylation fractionation was attributed to environmental variation. Thus, clear description of magnitude and environmental dependence of apparent post-carboxylation fractionation is worth our attention in photosynthetic fractionation.

**Key words:** Post-carboxylation fractionation; Carbon isotope fractionation; Elevated CO<sub>2</sub>

39 concentration; Soil volumetric water content; Instantaneous water use efficiency

## 40 1 Introduction

41 Since the onset of the industrial revolution, atmospheric CO<sub>2</sub> concentration has increased at an  
42 annual rate of 0.4%, and is expected to increase further to 700 μmol·mol<sup>-1</sup>, together with more frequent  
43 periods of low water availability (IPCC, 2014). Increasing atmospheric CO<sub>2</sub> concentrations that trigger  
44 an ongoing greenhouse effect will not only lead to fluctuations in global patterns of precipitation, but  
45 will amplify drought in arid regions, and lead to more frequent occurrences of extreme drought events  
46 in humid regions (Lobell et al., 2014). Accompanying the increasing concentration of CO<sub>2</sub>, the mean  
47 δ<sup>13</sup>C of atmospheric CO<sub>2</sub> is depleted by 0.02‰–0.03‰ year<sup>-1</sup> (data available from the  
48 CU-INSTAAR/NOAACMDL network for atmospheric CO<sub>2</sub>; <http://www.esrl.noaa.gov/gmd/>).

49 The carbon isotopic composition determined recently could respond more subtly to environmental  
50 changes and their influences on diffusion via plant physiological and metabolic processes (Gessler et  
51 al., 2014; Streit et al., 2013). While the depletion of δ<sup>13</sup>C<sub>CO<sub>2</sub></sub> has been shown in the atmosphere,  
52 variations in CO<sub>2</sub> concentration itself might also affect the δ<sup>13</sup>C of plant organs that, in turn, respond  
53 physiologically to climatic change (Gessler et al., 2014). The carbon discrimination (<sup>13</sup>Δ) of leaves  
54 could also provide timely feedback about the availability of soil moisture and the atmospheric vapor  
55 pressure deficit (Cemusak et al., 2012). Discrimination against <sup>13</sup>C in leaves relies mainly on  
56 environmental factors that affect the ratio of intercellular to ambient CO<sub>2</sub> concentration (C<sub>i</sub>/C<sub>a</sub>) and  
57 Rubisco activities, even the mesophyll conductance derived from the difference of CO<sub>2</sub> concentrations  
58 between intercellular site and chloroplast (Farquhar et al., 1982; Cano et al., 2014). As changes in  
59 environmental conditions affect photosynthetic discrimination, they are expected to be recorded  
60 differentially in the δ<sup>13</sup>C of water-soluble compounds (δ<sup>13</sup>C<sub>WSC</sub>) of the different plant organs.  
61 Meanwhile, several processes during photosynthesis alter the δ<sup>13</sup>C of carbon transported within plants.  
62 Carbon-fractionation during photosynthetic CO<sub>2</sub> fixation has been described and reviewed elsewhere  
63 (Farquhar et al., 1982; Farquhar and Sharkey, 1982).

64 Post-photosynthetic fractionation is derived from equilibrium and kinetic isotopic effects, which  
65 determines isotopic differences between metabolites and intramolecular reaction positions, defined as  
66 “post-photosynthetic” or “post-carboxylation” fractionation (Jäggi et al., 2002; Badeck et al., 2005;  
67 Gessler et al., 2008). Post-carboxylation fractionation in plants includes the carbon discriminations that  
68 follow carboxylation of ribulose-1, 5-bisphosphate, and internal diffusion (RuBP, 27%), as well as  
69 related transitory starch metabolism (Gessler et al., 2008; Gessler et al., 2014), fractionation in leaves,  
70 fractionation-associated phloem transport, remobilization or storage of soluble carbohydrates, and  
71 starch metabolism fractionation in sink tissue (tree rings). In the synthesis of soluble sugars,  
72 <sup>13</sup>C-depletions of triose phosphates occur during exportation from the cytoplasm, and during  
73 production of fructose-1, 6-bisphosphate by aldolase in transitory starch synthesis (Rossmann  
74 et al., 1991; Gleixner and Schmidt, 1997). Synthesis of sugars before transportation to the twig is  
75 associated with the post-carboxylation fractionation generated in leaves. Although these are likely to  
76 play a role, what should also be considered is the CO<sub>2</sub> concentration in the chloroplast (C<sub>c</sub>), not in the  
77 intercellular space, as used in the simplified equation of the Farquhar’s model (Evans et al., 1986;  
78 Farquhar et al., 1989) is actually defined as carbon isotope discrimination (δ<sup>13</sup>C). Indeed, difference  
79 between gas-exchange derived values and online measurements of δ<sup>13</sup>C has been widely used to  
80 estimate C<sub>i</sub>-C<sub>c</sub> and mesophyll conductance for CO<sub>2</sub> (Le Roux et al., 2001; Warren and Adams, 2006;  
81 Flexas et al., 2006; Evans et al., 2009; Flexas et al., 2012; Evans and von Caemmerer 2013). In this

82 regard, changes in mesophyll conductance could be partly responsible for the differences from two  
83 measurements, as it generally increases in the short term in response to elevated CO<sub>2</sub> (Flexas et al.,  
84 2014), whereas it tends to decrease under drought (Hommel et al., 2014; Th roux-Rancourt et al.,  
85 2014). Therefore, it is necessary to avoid confusion of carbon isotope discrimination derived from  
86 synthesis of soluble sugars or/and mesophyll conductance, and furthermore, whether and what  
87 magnitude of these carbon fractionations are related to environmental variation have not yet been  
88 investigated.

89 The simultaneous isotopic analysis of leaves is a recent refinement in isotopic studies that allows us  
90 to determine the temporal variation in isotopic fractionation (Rinne et al., 2016), which may help  
91 decipher environmental conditions more reliably. Newly assimilated carbohydrates can be extracted,  
92 and are defined as the water-soluble compounds (WSCs) in leaves (Brandes et al., 2006; Gessler et al.,  
93 2009), which can also be associated with an assimilation-weighted average of  $C_i/C_a$  (and  $C_c/C_a$ )  
94 photosynthesized over a period ranging from a few hours to 1–2 d (Pons et al., 2009). However, there  
95 is a dispute whether the fractionation stemmed from post-carboxylation or/and mesophyll resistance  
96 may alter the stable signatures of leaf carbon and thence influence instantaneous water use efficiency  
97 (iWUE). In addition, the way in which iWUE derived from these isotopic fractionations responds to  
98 different environmental factors, such as elevated [CO<sub>2</sub>] and/or soil water gradients, has yet to be  
99 observed.

100 Consequently, we investigated the  $\delta^{13}\text{C}$  of fast-turnover carbohydrate pool in leaves from saplings of  
101 two typical species to semi-arid areas of China—*Platycladus orientalis* and *Quercus*  
102 *variabilis*—together with simultaneous gas exchange measurements in control-environment of growth  
103 chambers (FH-230). Our goals are to differentiate the  $^{13}\text{C}$  fractionation from the site of carboxylation to  
104 cytoplasm before sugars transportation (total  $^{13}\text{C}$  fractionation) of *P. orientalis* and *Q. variabilis*, which  
105 were determined from the  $\delta^{13}\text{C}$  of water-soluble compounds and gas-exchange measurements, and then  
106 to discuss the potential causes for the observed divergence, estimate the contributions of  
107 post-photosynthetic and mesophyll resistance on these differences, and describe how these carbon  
108 isotopic fractionations respond to the interactive effects of elevated [CO<sub>2</sub>] and water stress.

## 109 2 Material and Methods

### 110 2.1 Study site and design

111 Saplings of *P. orientalis* and *Quercus variabilis* were selected as experimental material from the  
112 Capital Circle forest ecosystem station, a part of Chinese Forest Ecosystem Research Network  
113 (CFERN, 40°03'45"N, 116°5'45"E) in Beijing, China. This region is populated by trees of *Platycladus*  
114 *orientalis* (L.) Franco and *Quercus variabilis* Bl. Saplings of two species that have similar ground  
115 diameters, heights, and growth statuses were selected. One sapling from two species was placed in one  
116 pot (22 cm in diameter and 22 cm in height). Undisturbed soil samples were collected from the field,  
117 sieved (with all particles >10 mm removed), and placed into the pots. The soil bulk density in each pot  
118 was maintained at 1.337–1.447 g cm<sup>-3</sup>. After the rejuvenation for one month, potted-saplings were  
119 placed into chambers for orthogonal cultivation.

120 The controlled experimental treatments were conducted in growth chambers (FH-230, Taiwan  
121 Hipoint Corporation, Kaohsiung City, Taiwan). To imitate the meteorological factors of growth  
122 seasons in the research region, the daytime temperature in chambers was set to 25 ± 0.5°C from 07:00  
123 to 17:00, and the night-time temperature was 18 ± 0.5°C from 17:00 to 07:00. Relative humidity was

124 maintained at 60% and 80% during the daytime and night, respectively. The light system was activated  
125 in the daytime and shut down at night. The average daytime light intensity was maintained at 200–240  
126  $\mu\text{mol m}^{-2} \text{s}^{-1}$ . The central controlling system of the chambers (FH-230) can timely monitor and control  
127 the CO<sub>2</sub> concentration. Two growth chambers (A and B) were used in our study. Chamber A was  
128 switched in turn to maintain the CO<sub>2</sub> concentration of 400 ppm (C<sub>400</sub>) and 500 ppm (C<sub>500</sub>). The other  
129 one was adjusted to maintain the CO<sub>2</sub> concentration of 600 ppm (C<sub>600</sub>) and 800 ppm (C<sub>800</sub>). The target  
130 concentrations of CO<sub>2</sub> in the chambers were permitted the standard deviation of  $\pm 50$  ppm during  
131 cultivation. Thus, the gradient of four CO<sub>2</sub> concentrations in our study was formed. Detectors inside the  
132 chambers monitored and maintained the target concentrations of CO<sub>2</sub>.

133 We designed a device to irrigate the potted saplings automatically and avoid heterogeneity caused by  
134 interruptions in watering process (Fig. 1). It consisted of a water storage tank, holder, controller, soil  
135 moisture sensors, and drip irrigation components. Prior to use, the water tank was filled with water, and  
136 the soil moisture sensor was inserted to a uniform depth in the soil. After connecting the controller to  
137 an AC power supply, target soil volumetric water content (SWC) could be set and monitored by soil  
138 moisture sensors. Since timely SWC could be sensed by the sensors, the automatic irrigation device can  
139 be regulated to water or stop watering the plants. One drip irrigation device was installed per chamber.  
140 Based on the average field capacity (FC) of potted soil determined (30.70%), five levels of SWC were  
141 maintained before the orthogonal cultivations, as follows: 100% FC (or CK) (SWC approximately  
142 27.63%–30.70%), 70%–80% of FC (SWC approximately 21.49%–24.56%), 60%–70% of FC (SWC  
143 approximately 18.42%–21.49%), 50%–60% of FC (SWC approximately 15.35%–18.42%), and 35%–  
144 45% of FC (SWC approximately 10.74%–13.81%).

145 While undergoing 20 groups of orthogonal treatments for [CO<sub>2</sub>]  $\times$  SWC, the saplings were ready for  
146 sampling. Due to one chamber only containing five plant-pots (per species) and one pot one SWC level  
147 under one CO<sub>2</sub> concentration, two saplings per specie in one orthogonal treatment were replicated for  
148 two periods, respectively. Each period per orthogonal treatment continued for 7 days. Pots were  
149 rearranged periodically to minimize non-uniform illumination. All orthogonal tests were formed as:  
150 elevated CO<sub>2</sub> concentration gradient for C<sub>400</sub> (during June 2–9, June 12–19, June 21–28, and July 2–9,  
151 2015, C<sub>400</sub>), C<sub>500</sub> (during July 11–18, July 22–29, August 4–11, and August 15–22, 2015, C<sub>500</sub>), C<sub>600</sub>  
152 (during June 2–9, June 12–19, June 21–28, and July 2–9, 2015, C<sub>600</sub>), and C<sub>800</sub> (during July 11–18, July  
153 22–29, August 4–11, and August 15–22, 2015, C<sub>800</sub>), combined with a soil-water gradient for 35%–45%  
154 of FC, 50%–60% of FC, 60%–70% of FC, and 70%–80% of FC and 100% FC (CK).

## 155 2.2 Foliar gas exchange measurement

156 Fully expanded primary annual leaves of the saplings were measured with a portable infrared gas  
157 photosynthesis system (LI-6400, Li-Cor, Lincoln, US) before and after the 7-day cultivation. Two  
158 saplings per specie were replicated per treatment (SWC  $\times$  [CO<sub>2</sub>]). For each sapling, four leaves were  
159 chosen and then four measurements were conducted on each leaf. The main photosynthetic parameters,  
160 such as net photosynthetic rate ( $P_n$ ) and transpiration rate ( $T_r$ ), were measured. Based on the theories  
161 proposed by Von Caemmerer and Farquhar (1981), stomatal conductance ( $g_s$ ) and intercellular CO<sub>2</sub>  
162 concentration ( $C_i$ ) were calculated by the Li-Cor software. Instantaneous water use efficiency via gas  
163 exchange (WUE<sub>ge</sub>) was calculated as the ratio of  $P_n$  to  $T_r$ .

## 164 2.3 Plant material collection and leaf water soluble compounds extraction

165 Recently-expanded, eight sun leaves per sapling were selected and homogenized in liquid nitrogen  
166 since the gas-exchange measurements accomplished. For the extraction of the water-soluble

167 compounds (WSCs) from the leaves (Gessler et al., 2004), 50 mg of ground leaves and 100 mg of  
 168 PVPP (polyvinylpyrrolidone) were mixed and incubated in 1 mL double demineralized water for  
 169 60 min at 5°C in a centrifuge tube. Each leaf was replicated two times. Two saplings per specie were  
 170 chosen for each orthogonal treatment. The tubes containing above mixture were heated in 100°C  
 171 water for 3 min. Waiting for cooling to the room temperature, the supernatant of the mixture was  
 172 centrifuged (12000 ×g for 5 min, g represents one gravity) and transferred 10 µL supernatant into tin  
 173 capsule to be dried at 70°C. Folded capsules were then ready for δ<sup>13</sup>C analysis of WSCs. The samples  
 174 of WSCs from leaves were combusted in an elemental analyzer (EuroEA, HEKAtech GmbH,  
 175 Wegberg, Germany) and analyzed with a mass spectrometer (DELTA<sup>plus</sup>XP, ThermoFinnigan).

176 Carbon isotope signatures are expressed in δ-notation in parts per thousand, relative to the  
 177 international Pee Dee Belemnite (PDB):

$$178 \delta^{13}\text{C} = \left( \frac{R_{\text{sample}}}{R_{\text{standard}}} - 1 \right) \times 1000 \quad (1)$$

179 where δ<sup>13</sup>C is the heavy isotope and  $R_{\text{sample}}$  and  $R_{\text{standard}}$  refer to the isotope ratio between the particular  
 180 substance and the corresponding standard, respectively. The precision of the repeated measurements  
 181 was 0.1 ‰.

## 182 2.4 Isotopic calculation

### 183 2.4.1 <sup>13</sup>C fractionation from the site of carboxylation to cytoplasm before sugars transportation

184 Based on the linear model developed by Farquhar and Sharkey (1982), the isotope discrimination, Δ,  
 185 is calculated as:

$$186 \Delta = (\delta^{13}\text{C}_a - \delta^{13}\text{C}_{\text{WSC}}) / (1 + \delta^{13}\text{C}_{\text{WSC}}) \quad (2)$$

187 where δ<sup>13</sup>C<sub>a</sub> is the isotope signature of ambient [CO<sub>2</sub>] in chambers; δ<sup>13</sup>C<sub>WSC</sub> is the carbon isotopic  
 188 composition of water soluble compounds extracted from leaves. The C<sub>i</sub>:C<sub>a</sub> is determined by:

$$189 C_i:C_a = (\Delta - a) / (b - a) \quad (3)$$

190 where C<sub>i</sub> is the intercellular CO<sub>2</sub> concentration, and C<sub>a</sub> is the ambient CO<sub>2</sub> concentration in chambers;  
 191 a is the fractionation occurring CO<sub>2</sub> diffusion in still air (4‰) and b refers to the discrimination during  
 192 CO<sub>2</sub> fixation by ribulose 1,5- biphosphate carboxylase/oxygenase (Rubisco) and internal diffusion  
 193 (30‰). Instantaneous water use efficiency by gas-exchange measurements (WUE<sub>ge</sub>) is calculated as:

$$194 \text{WUE}_{\text{ge}} = P_n: T_r = (C_a - C_i) / 1.6\Delta e \quad (4)$$

195 where 1.6 is the diffusion ratio of stomatal conductance to water vapor to CO<sub>2</sub> in chambers and Δe is  
 196 the difference between e<sub>lf</sub> and e<sub>atm</sub> that represent the extra- and intra-cellular water vapor pressure,  
 197 respectively:

$$198 \Delta e = e_{lf} - e_{atm} = 0.611 \times e^{17.502T / (240.97 + T)} \times (1 - \text{RH}) \quad (5)$$

199 where T and RH are the temperature and relative humidity on leaf surface, respectively. Combining  
 200 Eqns. (2, 3 and 4), the instantaneous water use efficiency could be determined by the δ<sup>13</sup>C<sub>WSC</sub> of leaves,  
 201 defined as WUE<sub>cp</sub>:

$$202 \text{WUE}_{\text{cp}} = \frac{P_n}{T_r} = (1 - \varphi) (C_a - C_i) / 1.6\Delta e = C_a (1 - \varphi) \left[ \frac{b - \delta^{13}\text{C}_a + (b+1)\delta^{13}\text{C}_{\text{WSC}}}{(b-a)(1 + \delta^{13}\text{C}_{\text{WSC}})} \right] / 1.6\Delta e \quad (6)$$

203 where φ is the respiratory ratio of leaf carbohydrates to other organs at night (0.3).

204 Then the  $^{13}\text{C}$  fractionation from the site of carboxylation to cytoplasm before sugars transportation  
 205 (total  $^{13}\text{C}$  fractionation) can be estimated by the observed  $\delta^{13}\text{C}$  of water soluble compounds from leaves  
 206 ( $\delta^{13}\text{C}_{WSC}$ ) and the modeled  $\delta^{13}\text{C}$  calculated from gas-exchange ( $\delta^{13}\text{C}_{model}$ ). The  $\delta^{13}\text{C}_{model}$  is calculated  
 207 from  $\Delta_{model}$  from Eqn. (2). The  $\Delta_{model}$  can be determined by Eqns. (3 and 4) as:

$$208 \quad \Delta_{model} = (b - a) \left( 1 - \frac{1.6\Delta eWUE_{ge}}{c_a} \right) + a \quad (7)$$

$$209 \quad \delta^{13}\text{C}_{model} = \frac{c_a - \Delta_{model}}{1 + \Delta_{model}} \quad (8)$$

$$210 \quad \text{Total } ^{13}\text{C fractionation} = \delta^{13}\text{C}_{WSC} - \delta^{13}\text{C}_{model} \quad (9)$$

#### 211 2.4.2 Methodology of calculating mesophyll conductance and estimating contribution of post- 212 carboxylation fractionation

213 Actually, the carbon isotope discrimination is generated from the relative contribution of diffusion  
 214 and carboxylation, reflected by the ratio of  $\text{CO}_2$  concentration at the site of carboxylation ( $C_c$ ) to that in  
 215 the ambient environment surrounding plants ( $C_a$ ). The carbon isotopic discrimination ( $\Delta$ ) could be  
 216 presented as (Farquhar et al. 1982):

$$217 \quad \Delta = a_b \frac{c_a - c_s}{c_a} + a \frac{c_s - c_i}{c_a} + (e_s + a_l) \frac{c_i - c_c}{c_a} + b \frac{c_c}{c_a} - \frac{eR_D + f\Gamma^*}{c_a} \quad (10)$$

218 Where  $C_a$ ,  $C_s$ ,  $C_i$ , and  $C_c$  indicate the  $\text{CO}_2$  concentrations in the ambient environment, at the boundary  
 219 layer of leaf, in the intercellular air spaces before entrancing into solution, and at the sites of  
 220 carboxylation, respectively;  $a_b$  is the fractionation for the  $\text{CO}_2$  diffusion at the boundary layer (2.9‰);  
 221  $e_s$  is the discrimination of  $\text{CO}_2$  diffusion when  $\text{CO}_2$  enters in solution (1.1‰, at 25 °C);  $a_l$  is the  
 222 fractionation derived from diffusion in the liquid phase (0.7‰);  $e$  and  $f$  are carbon discrimination  
 223 derived in dark respiration ( $R_D$ ) and photorespiration, respectively;  $k$  is the carboxylation efficiency,  
 224 and  $\Gamma^*$  is the  $\text{CO}_2$  compensation point in the absence of dark respiration (Brooks and Farquhar, 1985).

225 When the gas in the cuvette could be well stirred during measurements of carbon isotopic  
 226 discrimination and gas exchange, the diffusion in the boundary layer could be neglected and Equation  
 227 10 could be shown:

$$228 \quad \Delta = a \frac{c_a - c_i}{c_a} + (e_s + a_l) \frac{c_i - c_c}{c_a} + b \frac{c_c}{c_a} - \frac{eR_D + f\Gamma^*}{c_a} \quad (11)$$

229 There was no agreement about the value of  $e$ , although recent measurements estimated it as 0-4‰.  
 230 Value of  $f$  has been estimated ranging at 8-12‰ (Gillon and Griffiths, 1997; Iqambariev et al., 2004;  
 231 Lanigan et al., 2008). As the most direct factor, the value of  $b$  would influence the calculation for  $g_m$ ,  
 232 had been thought to be close to 30‰ in higher plants (Guy et al., 1993).

233 The difference of  $\text{CO}_2$  concentration between the substomatal cavities and the chloroplast is omitted  
 234 while diffusion discrimination related with dark-respiration and photorespiration is negligible, Equation  
 235 11 could be simplified as:

$$236 \quad \Delta_i = a + (b - a) \frac{c_i}{c_a} \quad (12)$$

237 Equation 12 presents the linear relationship between carbon discrimination and  $C_i/C_a$  that is used  
 238 normally in carbon isotopic fractionation. That underlines the subsequent comparison between the

239 expected  $\Delta$  (originated from gas-exchange,  $\Delta_i$ , and those actually measured  $\Delta_{obs}$ ), that is the  $^{13}\text{C}$   
 240 fractionation from mesophyll conductance, could evaluate the differences of  $\text{CO}_2$  concentration  
 241 between the intercellular air and the sites of carboxylation that generated by mesophyll resistance.  
 242 Consequently,  $g_m$  can be estimated by performing the  $\Delta_{obs}$  by isotope ratio mass spectrometry and  
 243 expected  $\Delta_i$  from  $C_i/C_a$  by gas exchange measurements.

244 Then the  $^{13}\text{C}$  fractionation from mesophyll conductance is calculated by subtracting  $\Delta_{obs}$  of  
 245 Equation 11 from  $\Delta_i$  (Equation 12):

$$246 \quad \Delta_i - \Delta_{obs} = (b - e_s - a_l) \frac{C_i - C_c}{C_a} + \frac{eR_D + f\Gamma^*}{C_a} \quad (13)$$

247 and the  $P_n$  from the first Fick's law is presented by:

$$248 \quad P_n = g_m (C_i - C_c) \quad (14)$$

249 Substitute Equation 14 into Equation 13 we obtain:

$$250 \quad \Delta_i - \Delta_{obs} = (b - e_s - a_l) \frac{P_n}{g_m C_a} + \frac{eR_D + f\Gamma^*}{C_a} \quad (15)$$

$$251 \quad g_m = \frac{(b - e_s - a_l) \frac{P_n}{C_a}}{(\Delta_i - \Delta_{obs}) - \frac{eR_D + f\Gamma^*}{C_a}} \quad (16)$$

252 In calculation of  $g_m$ , the respiratory and photorespiratory terms could be ignored or be given the  
 253 specific constant values. Here,  $e$  and  $f$  are assumed to be zero or be cancelled out in the calculation of  
 254  $g_m$ .

255 Then Equation 16 can be transformed into:

$$256 \quad g_m = \frac{(b - e_s - a_l) \frac{P_n}{C_a}}{\Delta_i - \Delta_{obs}} \quad (17)$$

257 Therefore, the contribution of post-carboxylation fractionation could be estimated by:

$$258 \quad \text{Contribution of post-carboxylation fractionation} = \frac{(\text{Total } ^{13}\text{C fractionation} - \text{fractionation from mesophyll conductance})}{\text{Total } ^{13}\text{C fractionation}} \times 100\% \quad (18)$$

## 260 3 Results

### 261 3.1 Foliar gas exchange measurements

262 Saplings of *P. orientalis* and *Q. variabilis* were exposed to the orthogonal treatments. When SWC  
 263 increased,  $P_n$ ,  $g_s$  and  $T_r$  in *P. orientalis* and *Q. variabilis* peaked at 70%–80% of FC or/and 100% FC  
 264 (Fig. 2). The  $C_i$  in *P. orientalis* rose as SWC increased, while it peaked at 60%–70% of FC and  
 265 declined thereafter with increased SWC in *Q. variabilis*. The capacity of carbon uptake and  $C_i$  were  
 266 improved significantly by elevated  $[\text{CO}_2]$  at any given SWC for two species ( $p < 0.5$ ). Furthermore,  
 267 greater increments of  $P_n$  in *P. orientalis* were found at 50%–70% of FC from  $C_{400}$  to  $C_{800}$ , which was at  
 268 35%–45% of FC in *Q. variabilis*. As the water stress was alleviated (at 70%–80% of FC and 100% FC),  
 269 the reduction of  $g_s$  in *P. orientalis* was more pronounced with elevated  $[\text{CO}_2]$  at a given SWC ( $p < 0.01$ ).  
 270 Nevertheless,  $g_s$  of *Q. variabilis* in  $C_{400}$ ,  $C_{500}$ , and  $C_{600}$  was significantly higher than that in  $C_{800}$  at  
 271 50%–80% of FC ( $p < 0.01$ ). Coordinated with  $g_s$ ,  $T_r$  of two species in  $C_{400}$  and  $C_{500}$  was significantly



272 higher than that in C<sub>600</sub> and C<sub>800</sub> except for 35%–60% of FC ( $p < 0.01$ , Figs. 2g and 2h). Larger  $P_n$ ,  $g_s$ ,  $C_i$   
273 and  $T_r$  of *Q. variabilis* was significantly presented than that of *P. orientalis* ( $p < 0.01$ , Fig. 2).

### 274 3.2 $\delta^{13}\text{C}$ of water-soluble compounds in leaves

275 After the observations of the photosynthetic traits in two species, the same leaf was frozen  
276 immediately and the water-soluble compounds (WSCs) were extracted for all orthogonal treatments.  
277 The carbon isotope composition of WSCs ( $\delta^{13}\text{C}_{\text{WSC}}$ ) of two species both increased as soil moistened  
278 (Figs. 3a and 3b,  $p < 0.01$ ). The average ( $\pm$  SD)  $\delta^{13}\text{C}_{\text{WSC}}$  of *P. orientalis* and *Q. variabilis* ranged from  
279  $-27.44 \pm 0.155\text{‰}$  to  $-26.71 \pm 0.133\text{‰}$ , and from  $-27.96 \pm 0.129\text{‰}$  to  $-26.49 \pm 0.236\text{‰}$ , respectively.  
280 Similarly with the photosynthetic capacity varying with increased SWC, average  $\delta^{13}\text{C}_{\text{WSC}}$  of two  
281 species reached their maxima at 70%–80% of FC. Together with the gradual enrichment of  $[\text{CO}_2]$ ,  
282 average  $\delta^{13}\text{C}_{\text{WSC}}$  in two species declined while  $[\text{CO}_2]$  exceeded 600 ppm ( $p < 0.01$ ). Except for C<sub>400</sub> at  
283 50%–100% of FC,  $\delta^{13}\text{C}_{\text{WSC}}$  of *P. orientalis* was significantly larger than that of *Q. variabilis* in any  
284  $[\text{CO}_2] \times \text{SWC}$  treatment ( $p < 0.01$ , Fig. 3).

### 285 3.3 Estimations of WUE<sub>ge</sub> and WUE<sub>cp</sub>

286 Figure 4a showed that increments of WUE<sub>ge</sub> in *P. orientalis* under severe drought (i.e., 35%–45% of  
287 FC) were highest at any given  $[\text{CO}_2]$ , ranging from 90.70% to 564.65%. The WUE<sub>ge</sub> in *P. orientalis*  
288 decreased as SWC increased, while they increased as  $[\text{CO}_2]$  increased. Differing from variation in  
289 WUE<sub>ge</sub> of *P. orientalis* with soil moistened, WUE<sub>ge</sub> in *Q. variabilis* were improved slightly at 100% FC  
290 in C<sub>600</sub> or C<sub>800</sub> (Fig. 4b). The maximum of WUE<sub>ge</sub> thus occurred at 35%–45% of FC in C<sub>800</sub> among all  
291 orthogonal treatments for *P. orientalis*; this was also observed in *Q. variabilis*. Furthermore, elevated  
292  $[\text{CO}_2]$  enhanced the WUE<sub>ge</sub> of *Q. variabilis* clearly at any SWC except that at 60%–80% of FC.  
293 Thirty-two saplings of *P. orientalis* had greater WUE<sub>ge</sub> than did *Q. variabilis* between the same  $[\text{CO}_2] \times$   
294 SWC treatments ( $p < 0.5$ ).

295 The instantaneous water use efficiency could be determined from Eqn. (6) by the  $\delta^{13}\text{C}_{\text{WSC}}$  of leaves  
296 of two species, defined as WUE<sub>cp</sub>. As illustrated in Fig. 5a, WUE<sub>cp</sub> of *P. orientalis* in C<sub>600</sub> or C<sub>800</sub>  
297 climbed up as water stress alleviated beyond 50%–60% of FC, as well as that in C<sub>400</sub> or C<sub>500</sub> while  
298 SWC exceeding 60%–70% of FC. *Q. variabilis* exhibited no uniform trend of WUE<sub>cp</sub> with soil wetting  
299 (Fig. 5b). Except for C<sub>400</sub>, WUE<sub>cp</sub> of *Q. variabilis* decreased abruptly at 50%–60% of FC, and then rose  
300 as soil moisture improved in C<sub>500</sub>, C<sub>600</sub>, and C<sub>800</sub>. In contrast to the results of WUE<sub>ge</sub> in two species,  
301 WUE<sub>cp</sub> of *Q. variabilis* was more pronounced than that of *P. orientalis* among all orthogonal  
302 treatments.

### 303 3.4 $^{13}\text{C}$ fractionation from the site of carboxylation to cytoplasm before sugars transportation

304 We evaluated the total  $^{13}\text{C}$  fractionation from the site of carboxylation to cytoplasm by gas exchange  
305 measurements and  $\delta^{13}\text{C}$  of water-soluble compounds from leaf (Table 1), which can retrace  $^{13}\text{C}$   
306 fractionation before carboxylation transport to the twig. Comparing  $\delta^{13}\text{C}_{\text{WSC}}$  with  $\delta^{13}\text{C}_{\text{model}}$  from Eqns.  
307 (4, 7–9), total  $^{13}\text{C}$  fractionation of *P. orientalis* ranged from 0.0328‰ to 0.0472‰, which was smaller  
308 than that of *Q. variabilis* (0.0384‰ to 0.0466‰). The total fractionations of *P. orientalis* were  
309 magnified with soil wetting especially that reached 35%–80% of FC from C<sub>400</sub> to C<sub>800</sub> (increased by  
310 21.30%–42.04%). The total fractionation under C<sub>400</sub> and C<sub>500</sub> were amplified as SWC increased until  
311 50%–60% of FC in *Q. variabilis*, whereas it was increased at 50%–80% of FC and decreased at 100%  
312 FC under C<sub>600</sub> and C<sub>800</sub>. Elevated  $[\text{CO}_2]$  enhanced the average total fractionation of *P. orientalis*, while  
313 those of *Q. variabilis* declined sharply from C<sub>600</sub> to C<sub>800</sub>. Total  $^{13}\text{C}$  fractionation in *P. orientalis*  
314 increased faster than did those of *Q. variabilis* with increased soil moisture.



### 315 **3.5 $g_m$ imposed on the interaction of CO<sub>2</sub> concentration and water stress**

316 According to comparison between online leaf  $\delta^{13}\text{C}_{\text{WSC}}$  and the values of gas exchange measurements,  
317  $g_m$  over all treatments was presented in Fig. 6 (Eqns. 10–17). Significant increment trend of  $g_m$  was  
318 observed with water stress alleviated in *P. orientalis*, ranging from 0.0091–0.0690 mol CO<sub>2</sub> m<sup>-2</sup> s<sup>-1</sup>  
319 ( $p < 0.5$ ), which reached the maximum at 100% FC under a given [CO<sub>2</sub>]. Yet increases in  $g_m$  of *Q.*  
320 *variabilis* with increasing SWC become unremarkable except that under C<sub>400</sub>. With CO<sub>2</sub> concentration  
321 elevated,  $g_m$  of two species was increased in different degrees. Comparing with *P. orientalis* under C<sub>400</sub>,  
322  $g_m$  was increased gradiently and reached its maximum under C<sub>800</sub> at 35%–60% of FC and 100% FC  
323 ( $p < 0.5$ ), however, that was maximized under C<sub>600</sub> ( $p < 0.5$ ) and slipped down under C<sub>800</sub> at 60%–80% of  
324 FC. The maximum increment of  $g_m$  (8.2%–58.4%) occurred at C<sub>800</sub> at any given SWC in *Q. variabilis*.  
325 It is evidently shown that  $g_m$  of *Q. variabilis* was larger than that of *P. orientalis* in the same treatment.

### 326 **3.6 The contribution of post-carboxylation fractionation**

327 Here, the difference between  $\Delta_i$  and  $\Delta_{obs}$  presented the <sup>13</sup>C fractionation derived from mesophyll  
328 conductance. So the post-photosynthetic fractionation after carboxylation can be calculated by  
329 subtracting the fractionation derived from mesophyll conductance from the total <sup>13</sup>C fractionation that  
330 is generated from the site of carboxylation to cytoplasm before sugars transportation (Table 1). The  
331 fractionation from  $g_m$  had less contribution on total <sup>13</sup>C fractionation than that from synthesis of sugars  
332 belonging to post-carboxylation fractionation in any given treatment (Table 1). The contributions of  
333 fractionation from  $g_m$  in two species were illustrated different variations with soil water increasing,  
334 which declined at 50%–80% of FC and rose up at 100% FC in *P. orientalis*, yet it was shown  
335 increasing with water stress alleviated at 50%–80% of FC and then decreased at 100% FC in *Q.*  
336 *variabilis*. Nevertheless, the fractionations from synthesis of sugars in leaf and these contributions to  
337 total fractionation were all increased as soil moistened in two species. Considering the effects of  
338 enriched [CO<sub>2</sub>] on  $g_m$ , fractionation from  $g_m$  reached its average peak under C<sub>600</sub> in *P. orientalis*, which  
339 occurred under C<sub>800</sub> with *Q. variabilis*. Post-carboxylation fractionations were increased along with  
340 [CO<sub>2</sub>] increased in *P. orientalis*, which reached those maxima under C<sub>600</sub> and then slipped down under  
341 C<sub>800</sub> differing in degrees.

### 342 **3.7 Relationship between $g_s$ , $g_m$ and total <sup>13</sup>C fractionation**

343 Total <sup>13</sup>C fractionation after carboxylation may be correlated with the resistances derived from  
344 stomata and mesophyll cells. Here, we performed linear regressions between  $g_s/g_m$  and total <sup>13</sup>C  
345 fractionation for *P. orientalis* and *Q. variabilis*, respectively (Fig. 7 and 8). It was apparent that total  
346 <sup>13</sup>C fractionation was linearly dependent on the  $g_s$  ( $p < 0.01$ ) that controls the exchange of CO<sub>2</sub> and H<sub>2</sub>O,  
347 and responds to environmental variation. Subsequently, the linear relationships between  $g_m$  and total  
348 <sup>13</sup>C fractionation were shown ( $p < 0.01$ ), which reflected the variation of CO<sub>2</sub> concentration through the  
349 chloroplast was correlated with carbon discrimination happened after photosynthesis in the leaf.

## 350 **4 Discussion**

### 351 **4.1 Photosynthetic traits**

352 The exchange of CO<sub>2</sub> and water vapor via stomata is modulated in part by the soil/leaf water  
353 potential (Robredo et al., 2010). Saplings of *P. orientalis* reached their maxima of  $P_n$  and  $g_s$  at 70%–80%  
354 of FC irrespective of [CO<sub>2</sub>] treatments. As SWC exceeded this water threshold, elevated CO<sub>2</sub> would  
355 cause a greater reduction in  $g_s$ , as has been reported for barley and wheat (Wall et al., 2011). The

356 decrease of  $g_s$  responding to elevated  $[\text{CO}_2]$  could be mitigated by the coupling effects of soil wetting.  
357 In addition,  $C_i$  of *Q. variabilis* peaked at 60%–70% of FC and followed declines as soil moisture  
358 increased (Wall et al., 2006; Wall et al., 2011). This is interpreted as stomata having the tendency to  
359 maintain a constant  $C_i$  or  $C_i/C_a$  when ambient  $[\text{CO}_2]$  increased, which would determine the  $\text{CO}_2$  used  
360 directly in chloroplast (Yu et al., 2010). On the basis of theories (Farquhar and Sharkey, 1982) and  
361 common experimental technologies (Xu, 1997), this could be explained as the stomatal limitation.  
362 However,  $C_i$  of *P. orientalis* was increased considerably while SWC exceeded 70%–80% of FC, as  
363 found by Mielke et al. (2000). One factor that can account for that is plants close their stomata to  
364 reduce the loss of water during the synthesis of organic matter, simultaneously decreasing the  
365 availability of  $\text{CO}_2$  and generating respiration of organic matter (Robredo et al., 2007). Another  
366 explanation is the limited root volume in potted experiments may not be able to absorb sufficient water  
367 to support full growth of shoots (Leakey et al., 2009; Wall et al., 2011). In our study, the coupling of  
368 increasing  $[\text{CO}_2]$  may cause nonstomatal limitation as SWC exceeding the threshold (70%–80% of FC),  
369 i.e., accumulation of nonstructural carbohydrates in leaf tissue that induces mesophyll-based and/or  
370 biochemical-based transient inhibition of photosynthetic capacity (Farquhar and Sharkey, 1982). Xu  
371 and Zhou (2011) developed a five-level SWC gradient to examine the effect of water on the  
372 physiological characteristics of perennial *Leymus chinensis*, demonstrating that there was a clear  
373 irrigation maximum of SWC below which the plant could manage itself to adjust changing  
374 environment. Miranda Apodaca et al. (2015) also concluded that, in suitable water conditions, elevated  
375  $\text{CO}_2$  augmented  $\text{CO}_2$  assimilation in herbaceous plants.

376 The  $P_n$  of two species increased with elevated  $[\text{CO}_2]$  in our study, similarly with the results from  $C_3$   
377 woody plants (Kgope et al., 2010). Furthermore, increasing  $[\text{CO}_2]$  alleviated severe drought and heavy  
378 irrigation, which suggests that photosynthetic inhibition produced by water stress or excess may be  
379 mediated by increased  $[\text{CO}_2]$  (Robredo et al., 2007; Robredo et al., 2010) and meliorate the adverse  
380 effects of drought stress by decreasing plant transpiration (Kirkham, 2016; Kadam et al., 2014;  
381 Miranda Apodaca et al., 2015; Tausz-Posch et al., 2013).

#### 382 4.2 Differences between $\text{WUE}_{ge}$ and $\text{WUE}_{cp}$

383 The increments of  $\text{WUE}_{ge}$  in *P. orientalis* and *Q. variabilis* that resulted from the combination of an  
384 increase in  $P_n$  and decrease in  $g_s$ , followed by a reduction in  $T_r$  (Figs. 2a, 2g, 2b and 2h), were also  
385 demonstrated by Ainsworth and McGrath (2010). Combining  $P_n$  and  $T_r$  of two species in the same  
386 treatment, lower  $\text{WUE}_{ge}$  in *Q. variabilis* is obtained due to its physiological and morphological traits,  
387 such as larger leaf area, rapid growth, and higher stomatal conductance than that of *P. orientalis*  
388 (Adiredjo et al., 2014). Medlyn et al. (2001) reported that the stomatal conductance of broadleaved  
389 species is more sensitive to elevated  $\text{CO}_2$  concentrations than in conifers. Moreover, there has been no  
390 consensus on the patterns of  $i\text{WUE}$  with related SWC at the leaf level, although some have discussed  
391 this topic (Yang et al., 2010). The  $\text{WUE}_{ge}$  of *P. orientalis* and *Q. variabilis* was enhanced with soil  
392 drying, as presented by Parker and Pallardy (1991), DeLucia and Heckathorn (1989), Reich et al.  
393 (1989), and Leakey (2009).

394 Bögelein et al. (2012) confirmed that  $\text{WUE}_{cp}$  was more consistent with daily mean  $\text{WUE}_{ge}$  than  
395  $\text{WUE}_{phloem}$ . The  $\text{WUE}_{cp}$  of two species demonstrated similar variation to those  $\delta^{13}\text{C}_{WSC}$ , which  
396 differentiated with that of  $\text{WUE}_{ge}$ . Pons et al. (2009) reviewed that  $A$  of leaf soluble sugar is coupled  
397 with environmental dynamics over a period ranging from a few hours to 1–2 d. The  $\text{WUE}_{cp}$  of our  
398 materials could respond to  $[\text{CO}_2] \times \text{SWC}$  treatments over cultivated days, whereas  $\text{WUE}_{ge}$  is  
399 characterized as the instantaneous physiology of plants to conditions. In addition, species-specific

400  $\delta^{13}\text{C}_{\text{WSC}}$  were observed in the same environmental treatment. Consequently,  $\text{WUE}_{\text{cp}}$  and  $\text{WUE}_{\text{gc}}$  have  
401 different variable curves according to different treatments.

#### 402 **4.3 The influence of mesophyll conductance on the fractionation after carboxylation**

403 The consensus has been reached that the routine of  $\text{CO}_2$  diffusion into photosynthetic site includes  
404 two main procedures, which are  $\text{CO}_2$  moving from ambient air surrounding the leaf ( $C_a$ ) to the  
405 sub-stomatic cavities ( $C_i$ ) through stomata, and from there to the site of carboxylation within the  
406 chloroplast stroma ( $C_c$ ) of leaf mesophyll. The latter procedure of diffusion is defined as mesophyll  
407 conductance ( $g_m$ ) (Flexas et al., 2008). Moreover,  $g_m$  has been identified to coordinate with  
408 environmental factors more faster than stomatal conductance (Galmés et al., 2007; Tazoe et al., 2011;  
409 Flexas et al., 2007). During our 7-day cultivations of  $\text{SWC} \times [\text{CO}_2]$ ,  $g_m$  was increased and  $\text{WUE}_{\text{gc}}$  was  
410 decreased as soil moistened, which has been verified that  $g_m$  as an important factor, could improve  
411  $\text{WUE}$  under drought pretreatment (Han et al., 2016). There has been a dispute how  $g_m$  responds to the  
412 fluctuation of  $\text{CO}_2$  concentration. Terashima *et al.* (2006) have confirmed that  $\text{CO}_2$  permeable  
413 aquaporin, located in the plasma membrane and inner envelope of chloroplasts (Uehlein et al. 2008),  
414 could regulate the change of  $g_m$ . In our study,  $g_m$  is specific-special to the gradient of  $[\text{CO}_2]$ . The  $g_m$  of  
415 *P. orientalis* was significantly decreased by 9.08% -44.42% from  $C_{600}$  to  $C_{800}$  at 60% -80% of FC, being  
416 similar to the results obtained by Flexas *et al.* (2007). Although larger  $g_m$  of *Q. variabilis* under  $C_{800}$   
417 was observed, it made almost no difference.

418 Furthermore,  $g_m$  contributed to total  $^{13}\text{C}$  fractionation that followed the carboxylation while  
419 photosynthate has not been transported to the twigs of sapling. The  $^{13}\text{C}$  fractionation of  $\text{CO}_2$  from the  
420 air surrounding leaf to sub-stomatic cavity may be simply considered, whereas the fractionation  
421 induced by mesophyll conductance from sub-stomatic cavities to the site of carboxylation in the  
422 chloroplast cannot be neglected (Pons et al., 2009; Cano et al., 2014). As estimating the  
423 post-carboxylation fractionation, carbon isotope fractionation derived from  $g_m$  must be subtracted from  
424 the total  $^{13}\text{C}$  fractionation (the difference between  $\delta^{13}\text{C}_{\text{WSC}}$  and  $\delta^{13}\text{C}_{\text{model}}$ ), which was closely associated  
425 with  $g_m$  (Fig. 8,  $p=0.01$  or  $p<0.01$ ). Similar variations of  $^{13}\text{C}$  fractionations derived from  $g_m$  were  
426 presented with that of  $g_m$  under orthogonal treatments on Table 1.

#### 427 **4.4 Post-carboxylation fractionation generated before photosynthate leaving leaves**

428 Photosynthesis, a biochemical and physiological process (Badeck et al., 2005), is characterized by  
429 discrimination against  $^{13}\text{C}$ , which leaves an isotopic signature in the photosynthetic apparatus. There is  
430 a classic review of the carbon-fractionation in leaves that covers the significant aspects of  
431 photosynthetic carbon isotope discrimination (Farquhar et al., 1989). The  
432 post-carboxylation/photosynthetic fractionation associated with the metabolic pathways of  
433 non-structural carbohydrates (NSC; defined here as soluble sugars + starch) within leaves, and  
434 fractionation during translocation, storage, and remobilization prior to tree ring formation remain  
435 unclear (Epron et al., 2012; Gessler et al., 2014; Rinne et al., 2016). The synthetic processes of sucrose  
436 and starch before transportation to the twig are within the domain of post-carboxylation fractionation  
437 generated in leaves. Hence, we hypothesized that the  $^{13}\text{C}$  fractionation might exist. When we finished  
438 the leaf gas-exchange measurements, the leaf samples were collected immediately to determine the  
439  $\delta^{13}\text{C}$  of water-soluble compounds ( $\delta^{13}\text{C}_{\text{WSC}}$ ). Presumably, the  $^{13}\text{C}$  fractionation generated in the  
440 synthetic processes of sucrose and starch was approximately contained within the  $^{13}\text{C}$  fractionation  
441 from the site of carboxylation to cytoplasm before sugars transportation as total  $^{13}\text{C}$  fractionation.  
442 When comparing  $\delta^{13}\text{C}_{\text{WSC}}$  with  $\delta^{13}\text{C}_{\text{obs}}$ , total  $^{13}\text{C}$  fractionation of *P. orientalis* ranged from 0.0328‰ to

443 0.0472‰, less than that of *Q. variabilis* (from 0.0384‰ to 0.0466‰). The post-carboxylation  
444 fractionation contributed 75.30%-98.9% on total  $^{13}\text{C}$  fractionation, which was determined by  
445 subtracting the fractionation of mesophyll conductance from total  $^{13}\text{C}$  fractionation. Recently, Gessler  
446 et al. (2004) reviewed the environmental drivers of variation in photosynthetic carbon isotope  
447 discrimination in terrestrial plants. Total  $^{13}\text{C}$  fractionation of *P. orientalis* was enhanced by soil  
448 moistening, consistent with that of *Q. variabilis*, except at 100% FC. The  $^{13}\text{C}$  isotope signature of *P.*  
449 *orientalis* was dampened by elevated  $[\text{CO}_2]$ . Yet,  $^{13}\text{C}$ -depletion was weakened in *Q. variabilis* at  $\text{C}_{600}$   
450 and  $\text{C}_{800}$ . Linear regressions between  $g_s$  and total  $^{13}\text{C}$  fractionation indicated that the post-carboxylation  
451 fractionation in leaves depended on the variation of  $g_s$  and stomata aperture correlated with  
452 environmental change.

## 453 5 Conclusions

454 Through orthogonal treatments of four  $[\text{CO}_2]$ s  $\times$  five SWCs,  $\text{WUE}_{\text{cp}}$  calculated by  $\delta^{13}\text{C}$  of  
455 water-soluble compound and  $\text{WUE}_{\text{ge}}$  derived from simultaneous leaf gas exchange were estimated to  
456 differentiate the  $\delta^{13}\text{C}$  signal variation before leaf-exported translocation of primary assimilates. The  
457 influence of mesophyll conductance on the difference of  $^{13}\text{C}$  fractionation between the sub-stomatic  
458 cavities and the ambient environment need to be considered, while testing the hypothesis that the  
459 post-carboxylation will contribute on the  $^{13}\text{C}$  fractionation from the site of carboxylation to cytoplasm  
460 before sugars transportation. In response to the interactive effects of  $[\text{CO}_2]$  and SWC,  $\text{WUE}_{\text{ge}}$  of two  
461 species both decreased with soil moistening, and increased with elevated  $[\text{CO}_2]$  at 35%–80% of FC.  
462 We concluded that relative soil drying, coupled with elevated  $[\text{CO}_2]$ , could improve  $\text{WUE}_{\text{ge}}$  by  
463 strengthening photosynthetic capacity and reducing transpiration.  $\text{WUE}_{\text{ge}}$  of *P. orientalis* was  
464 significantly greater than that of *Q. variabilis*, while the opposite was the case for  $\text{WUE}_{\text{cp}}$  in two  
465 species. Mesophyll conductance and post-carboxylation were manifested both contributing on the  $^{13}\text{C}$   
466 fractionation from the site of carboxylation to cytoplasm before sugars transportation determined by  
467 gas-exchange and carbon isotopic measurements. Rising  $[\text{CO}_2]$  and/or soil moistening generated  
468 increasing disparities between  $\delta^{13}\text{C}_{\text{WSC}}$  and  $\delta^{13}\text{C}_{\text{model}}$  in *P. orientalis*; nevertheless, the differences  
469 between  $\delta^{13}\text{C}_{\text{WSC}}$  and  $\delta^{13}\text{C}_{\text{model}}$  in *Q. variabilis* increased as  $[\text{CO}_2]$  being less than 600 ppm and/or water  
470 stress alleviated. Total  $^{13}\text{C}$  fractionation in leaf was linearly dependent on  $g_s$ . With respect to carbon  
471 isotope fractionation in post-carboxylation and transportation processes, we cannot neglect that the  $^{13}\text{C}$   
472 fractionation derived from the synthesis of sucrose and starch were influenced inevitably by  
473 environmental changes. Thus, clear description of the magnitude and environmental dependence of  
474 apparent post-carboxylation fractionation are worth our attention in photosynthetic fractionation.

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#### 679 **Author contribution**

680 Na Zhao and Yabing He collected field samples, and performed the experiment. Na Zhao engaged in  
681 data analysis and writing this paper. Ping Meng proposed the suggestions on the theory and practice of  
682 experiment. Xinxiao Yu revised the paper and contributed to edit the manuscript.

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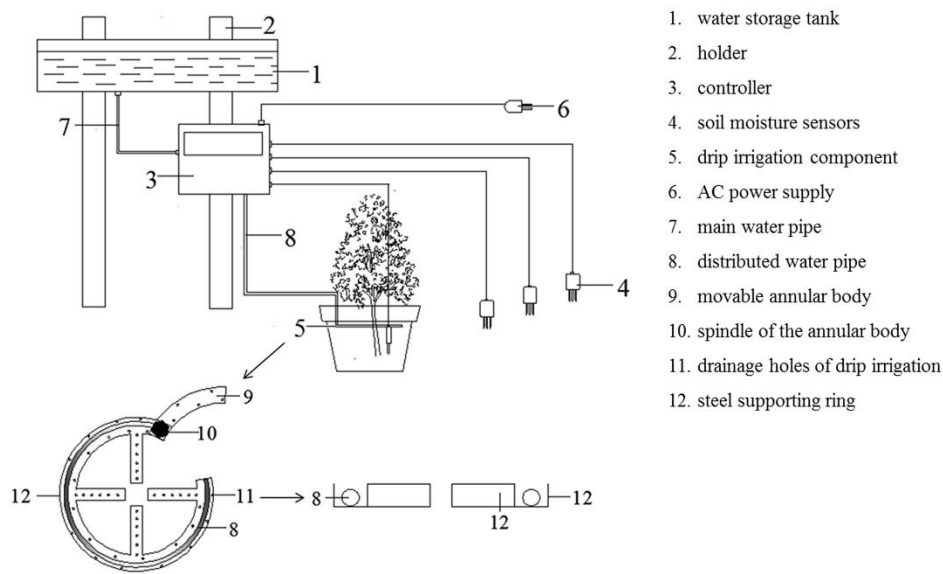
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### Figure



- 1. water storage tank
- 2. holder
- 3. controller
- 4. soil moisture sensors
- 5. drip irrigation component
- 6. AC power supply
- 7. main water pipe
- 8. distributed water pipe
- 9. movable annular body
- 10. spindle of the annular body
- 11. drainage holes of drip irrigation
- 12. steel supporting ring

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706 **Figure 1.** Structural diagram of the device for automatic drip irrigation

707 Arabic numerals indicate the individual parts of the automatic drip irrigation device (No. 1–7). The  
 708 lower-left corner of this figure presents the detailed schematic for the drip irrigation components (No.  
 709 8–12). The lower-right corner of this figure shows the schematic for the drip irrigation component in  
 710 profile.

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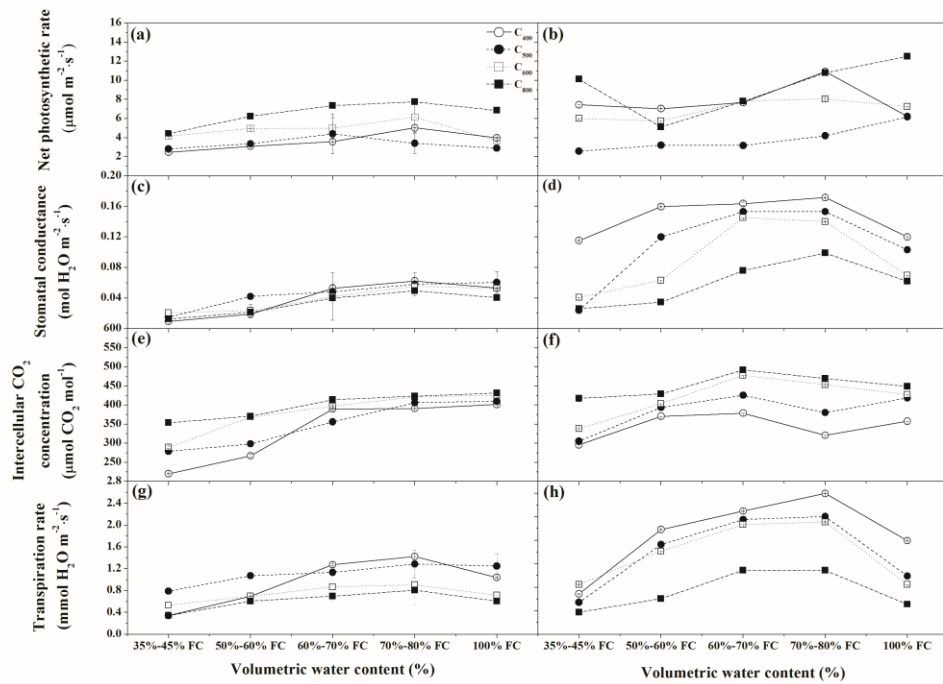
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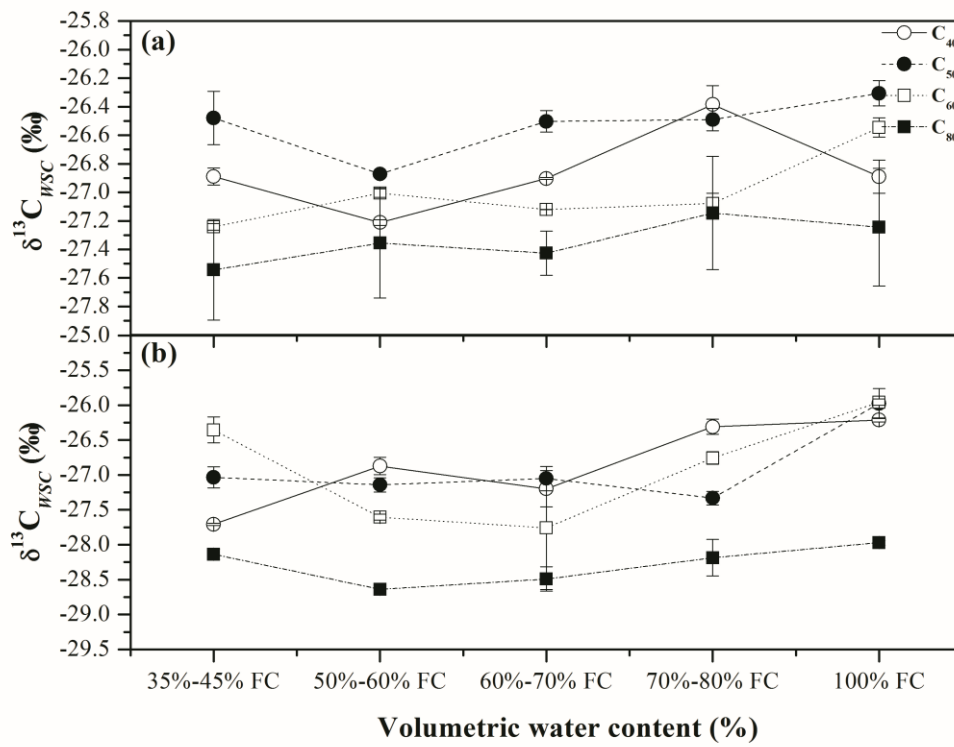
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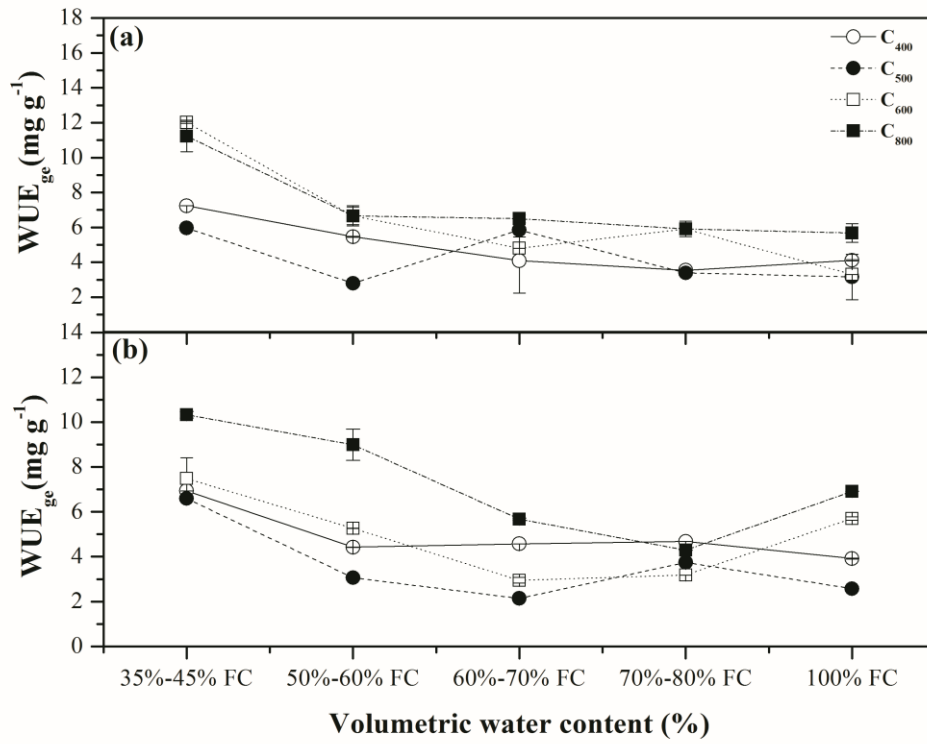
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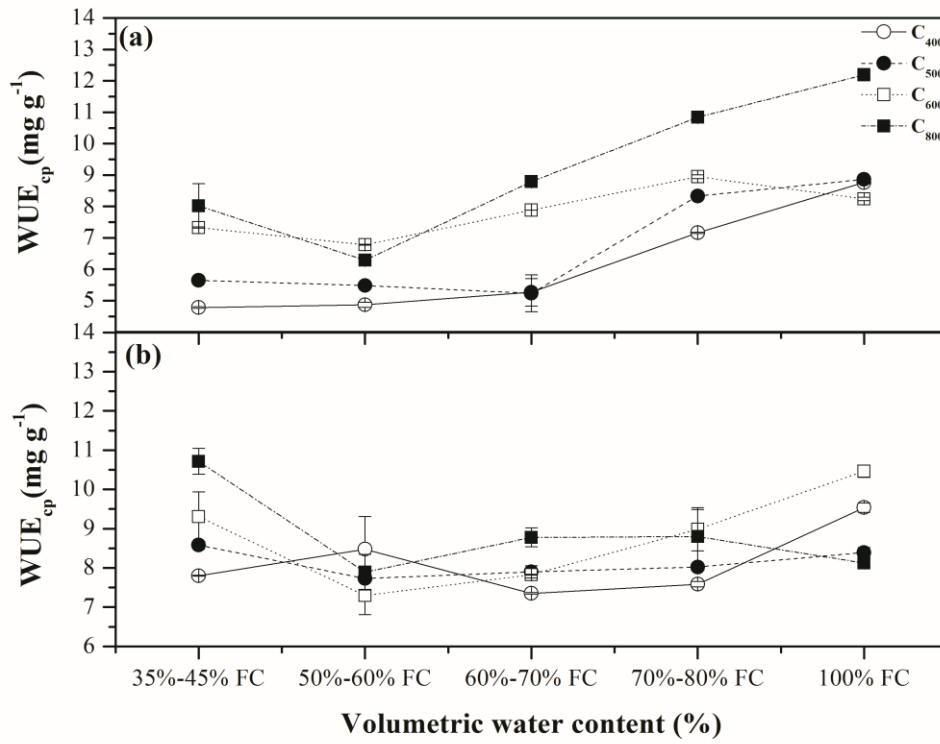
719 **Figure 2.** Net photosynthetic rates ( $P_n$ ,  $\mu\text{mol m}^{-2} \text{s}^{-1}$ , a and b), stomatal conductance ( $g_s$ ,  $\text{mol H}_2\text{O m}^{-2}$   
720  $\text{s}^{-1}$ , c and d), intercellular  $\text{CO}_2$  concentration ( $C_i$ ,  $\mu\text{mol CO}_2 \text{mol}^{-1}$ , e and f), and transpiration rates ( $T_r$ ,  
721  $\text{mmol H}_2\text{O m}^{-2} \text{s}^{-1}$ , g and h) of *P. orientalis* and *Q. variabilis* for four  $\text{CO}_2$  concentrations  $\times$  five soil  
722 volumetric water contents. Means  $\pm$ SDs,  $n = 32$ .



723 **Figure 3.** Carbon isotope composition of water-soluble compounds ( $\delta^{13}C_{WSC}$ ) extracted from leaves of  
 724 *P. orientalis* (a) and *Q. variabilis* (b) for four CO<sub>2</sub> concentrations  $\times$  five soil volumetric water contents.  
 725 Means  $\pm$  SDs, n = 32.  
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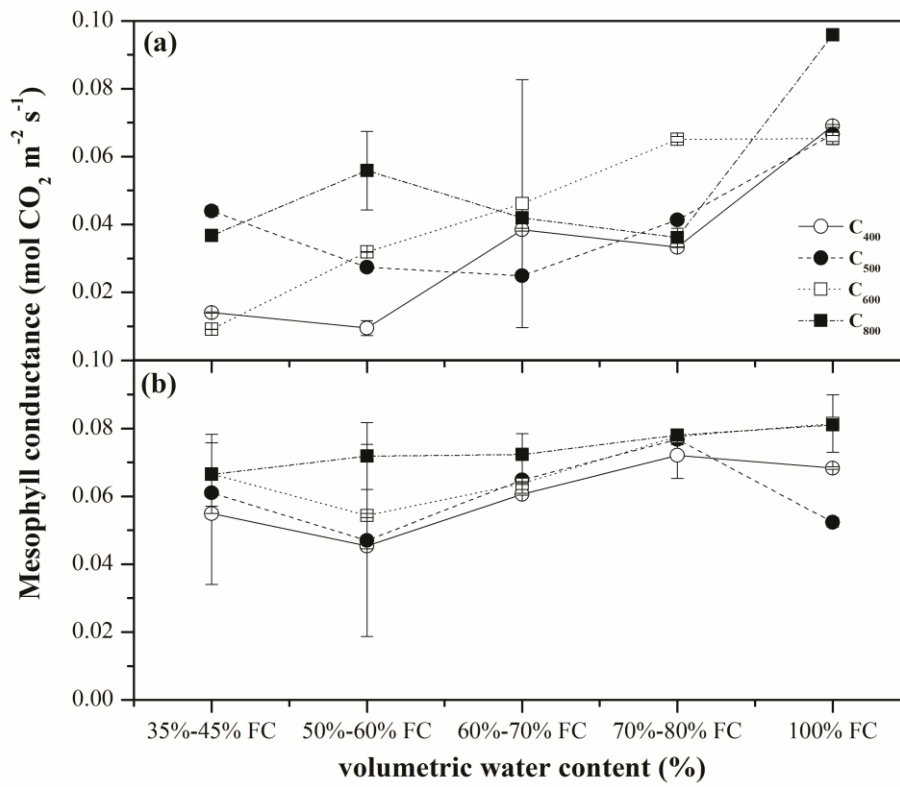


727 **Figure 4.** Instantaneous water use efficiency through gas exchange measurements ( $WUE_{ge}$ ) for leaves  
 728 of *P. orientalis* (a) and *Q. variabilis* (b) for four CO<sub>2</sub> concentrations × five soil volumetric water  
 729 contents. Means ±SDs, n = 32.  
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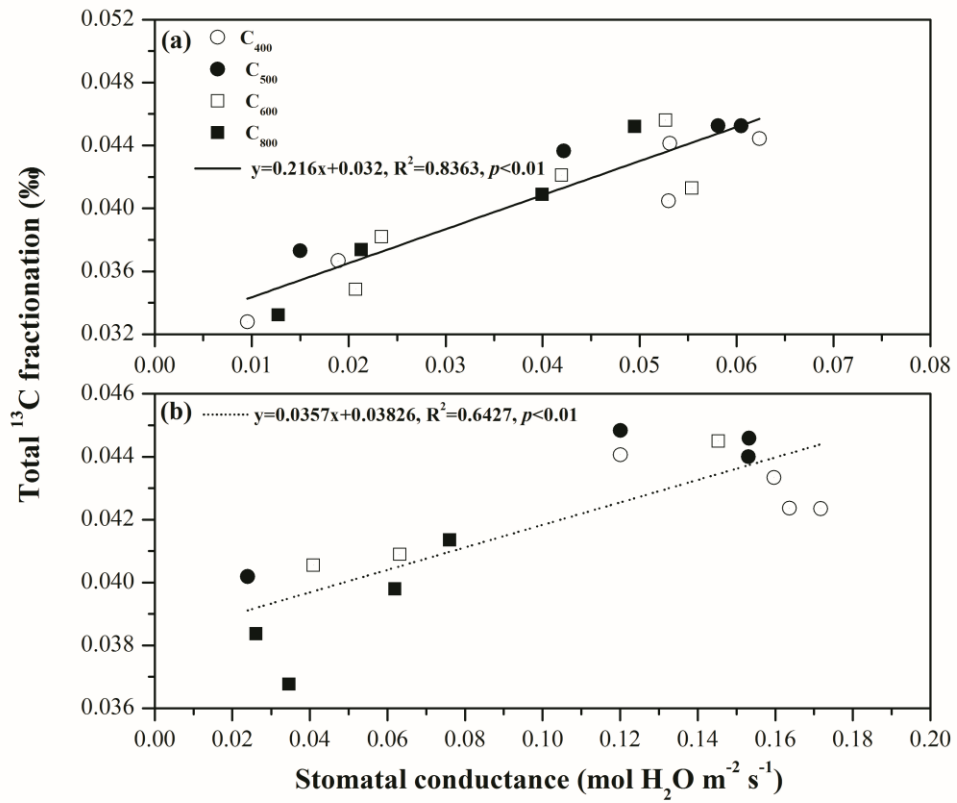


731 **Figure 5.** Instantaneous water use efficiency estimated by  $\delta^{13}\text{C}$  of water-soluble compounds ( $\text{WUE}_{\text{cp}}$ )  
 732 from leaves of *P. orientalis* (a) and *Q. variabilis* (b) for four  $\text{CO}_2$  concentrations  $\times$  five soil volumetric  
 733 water contents. Means  $\pm$ SDs,  $n = 32$ .  
 734





735 **Figure 6.** Mesophyll conductance of *P. orientalis* (a) and *Q. variabilis* (b) for four CO<sub>2</sub> concentrations  
 736 × five soil volumetric water contents. Means ±SDs, n = 32.  
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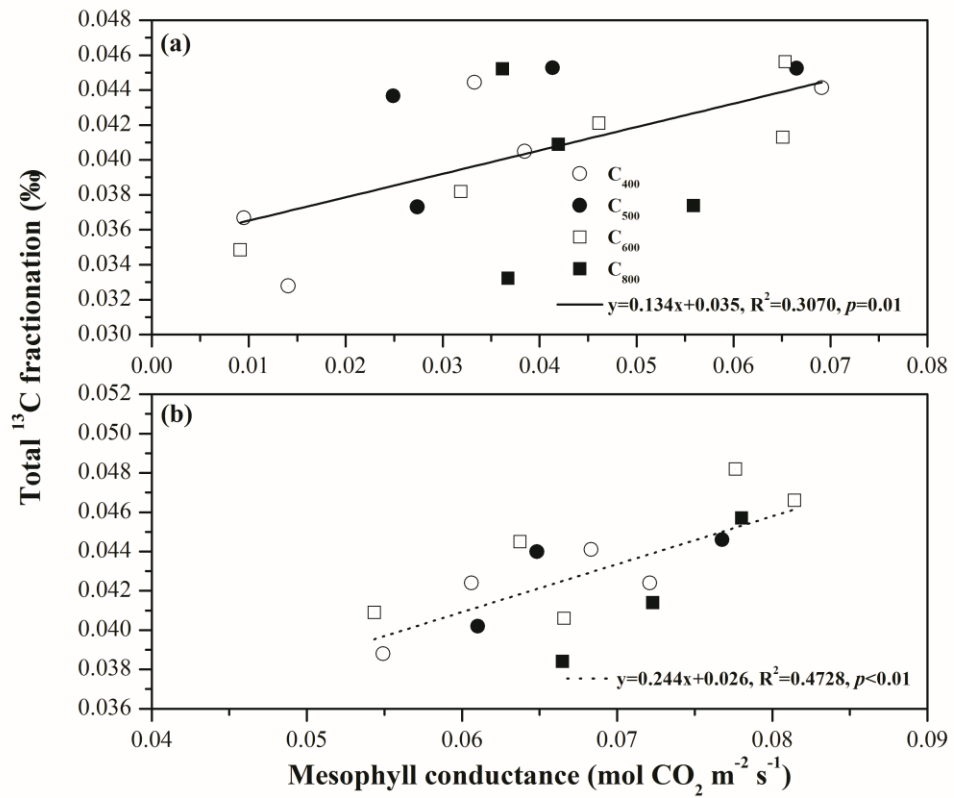


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740 **Figure 7.** Regression between stomatal conductance and total  $^{13}\text{C}$  fractionation of *P. orientalis* (a) and

741 *Q. variabilis* (b) for four  $\text{CO}_2$  concentrations  $\times$  five soil volumetric water contents ( $p=0.01$ ,  $n = 32$ ).

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744 **Figure 8.** Regression between mesophyll conductance and total  $^{13}\text{C}$  fractionation of *P. orientalis* (a)  
 745 and *Q. variabilis* (b) for four  $\text{CO}_2$  concentrations  $\times$  five soil volumetric water contents ( $p=0.01$ ,  $n =$   
 746 32).

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**Table**748 **Table 1.** Carbon-13 isotope fractionation of *P. orientalis* and *Q. variabilis* for four CO<sub>2</sub> concentrations × five soil volumetric water contents.

Species	SWC (of FC)	CO <sub>2</sub> concentration (ppm)													
		<sup>13</sup> C				<sup>13</sup> C									
		400	500	600	800	fractionation (‰)	400	500	600	800	fractionation (‰)	400	500	600	800
<i>P. orientalis</i>	35%–45%	0.0328	0.0373	0.0349	0.0332		0.0081	0.0030	0.0034	0.0072		0.0247	0.0343	0.0315	0.0260
	50%–60%	0.0367	0.0437	0.0382	0.0374		0.0018	0.0058	0.0094	0.0004		0.0349	0.0379	0.0288	0.0370
	60%–70%	0.0405	0.0366	0.0421	0.0409		0.0018	0.0050	0.0026	0.0007		0.0387	0.0316	0.0395	0.0402
	70%–80%	0.0444	0.0453	0.0413	0.0452		0.0044	0.0052	0.0103	0.0013		0.0400	0.0401	0.0310	0.0439
	100%	Total <sup>13</sup> C fractionation (‰)	0.0441	0.0453	0.0456	0.0472	Mesophyll conductance	0.0057	0.0040	0.0025	0.0039	Post- photosynthesis	0.0384	0.0413	0.0431
<i>Q. variabilis</i>	35%–45%	0.0388	0.0402	0.0406	0.0384		0.0007	0.0025	0.0006	0.0091		0.0381	0.0377	0.0400	0.0293
	50%–60%	0.0433	0.0448	0.0409	0.0368		0.0061	0.0084	0.0023	0.0018		0.0372	0.0364	0.0386	0.0350
	60%–70%	0.0424	0.0440	0.0445	0.0414		0.0066	0.0086	0.0078	0.0041		0.0358	0.0354	0.0367	0.0373
	70%–80%	0.0424	0.0446	0.0482	0.0457		0.0034	0.0016	0.0074	0.0028		0.0390	0.0430	0.0408	0.0429
	100%		0.0441	0.0466	0.0466	0.0398		0.0027	0.0076	0.0022	0.0125		0.0414	0.0390	0.0444

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