# Coral mortality induced by the 2015-2016 El-Niño in Indonesia: the effect of rapid sea level fall

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20 Abstract: The 2015-2016 El-Niño and related ocean warming has generated significant coral bleaching and mortality 21 worldwide. In Indonesia, first signs of bleaching were reported in April 2016. However, this El Niño has impacted 22 Indonesian coral reefs since 2015 through a different process than temperature-induced bleaching. In September 2015, 23 altimetry data shows that sea level was at its lowest in the past 12 years, affecting corals living in the bathymetric range 24 exposed to unusual emersion. In March 2016, Bunaken Island (North Sulawesi) displayed up to 85% mortality on reef 25 flats dominated by Porites, Heliopora and Goniastrea corals with differential mortality rates by coral genus. Almost all 26 reef flats showed evidence of mortality, representing 30% of Bunaken reefs. For reef flat communities which were living 27 at a depth close to the pre-El Niño mean low sea level, the fall induced substantial mortality likely by higher daily aerial 28 exposure a least during low tide periods. Altimetry data was used to map sea level fall throughout Indonesia, suggesting 29 that similar mortality could be widespread for shallow reef flat communities, which accounts for a vast percent of the 30 total extent of coral reefs in Indonesia. The altimetry historical records also suggest that such event was not unique in the 31 past two decades, therefore, rapid sea level fall could be more important in the dynamics and resilience of Indonesian reef 32 flat communities than previously thought. The clear link between mortality and sea level fall also calls for a refinement 33 of the hierarchy of El Niño impacts and their consequences on coral reefs.

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35 **Key-words:** ENSO; Absolute Dynamic Topography; Sea Level Anomaly, Coral reef; Indonesia; Coral Triangle

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#### 37 1. Introduction

38 El Niño-Southern Oscillation (ENSO) is the most important coupled ocean-atmosphere phenomenon impacting climate 39 variability at global and inter annual time scales (McPhaden, 2007). The consequences on coral reefs have been well 40 documented, especially since the 1997-1998 massive coral bleaching event, which reached planetary dimension (Hoegh-41 Guldberg, 1999). In short, El Niño increases temperature in several coral reef regions and induces zooxanthellae 42 expulsion from the coral polyp, resulting in coral colony looking white, hence "bleaching". If the situation persists the 43 coral colony eventually dies. Coral bleaching intensity has been related to different temperature thresholds, other 44 environmental factors and stressors, and type of zooxanthellae and corals (Baker et al., 2008). Bleaching episodes due to 45 ocean warming were recorded during the strong 1982-83 El Niño in Australia (Glynn, 2000) and have since been 46 reported worldwide in several instances (Guest et al., 2012; Wouthuyzen et al., 2015). The last bleaching episode has 47 occurred in 2015-2016 during what occurs to be the strongest El Niño event on record (Schiermeier, 2015). Bleaching 48 events were often global in the past, including Indonesia (Suharsono 1990; Guest et al., 2012; Wouthuyzen et al., 2015). 49 Last reports for Indonesia in 2016 are still under analysis, and Reef Check survey locations are presented at <del>50</del> http://reefcheck.or.id/bleaching-indonesia-peringatan/. It is thus assumed that coral bleaching induced by ocean warming 51 will be the main culprit if post-El Niño surveys report coral mortalities.

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53 While in Bunaken National Park in February 23<sup>rd</sup> – March 5<sup>th</sup> 2016 for a biodiversity survey, we noticed recent 54 mortalities on the upper part of many massive colonies on several reef flats. This prompted a systematic investigation of 55 the phenomenon's spatial distribution. We report here observations on what appears to be the first significant impact of 56 the 2015-2016 El Niño on Indonesia reefs. Unlike what is expected during such a strong event, the mortality was not 57 related to warm water induced-bleaching, but could be tracked to rapid sea level variations. Coral mortality data around

Bunaken Island are provided, and we investigate various altimetry and sea level anomaly data sets to explain mortality.
 The clear link between mortality and sea level fall calls for a refinement of the hierarchy of El Niño impacts and their sequences on coral reefs.

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## 62 2. Material and Methods

63 Bunaken National Park (BNP) is located at the northwest tip of Sulawesi, Indonesia. The location is at the core of the 64 epicenter of marine biodiversity, the so-called Coral Triangle, a vast area spanning Malaysia to Solomon Island, where 65 the number of marine species is maximum (Hoeksema, 2007). BNP includes several islands with Bunaken Island 66 (1.62379°N, 124.76114°E) one of the most studied Indonesian reef site, Bunaken Island is surrounded by a simple 67 fringing reef system, comprising reef flats, several small enclosed lagoons and forereefs. The tide regime is semi-diurnal, 68 but with marked diurnal inequalities (Ray et al. 2005), with a maximum spring tidal range that can reach 2.52 m. 69 Bunaken Island is generally exposed to southwest wind from May to October, resulting in calm seas due to the short 70 fetch between mainland and the island, and to northwest wind from November to February, which can be strong at time 71 and generate large waves breaking on the west and north shores.

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73 Two previous BNP surveys for habitat mapping, in May-June 2014 and May-June 2015, did not show any significant 74 signs of widespread mortalities on reef flats. Different species of corals were frequently exposed above water level at 75 low spring tide, yet they were entirely alive (Fig. 1). Microatolls were present. They have not been studied in Bunaken 76 NP, but by similarity with other sites, their growth is likely constrained by a Mean Low Water (MLW), between Mean 77 Low Water Neaps (MLWN) and Mean Low Water Springs (MLWS) (Smithers and Woodroffre, 2000; Goodwin and 78 Harvey 2008). Several reef flats were characterized by compact communities of massive and semi-massive colonies that 79 could be described as keep-up communities limited in their vertical growth by the MLW (by analogy with the 80 terminology of Holocene reefs provided by Neumann and Macintyre, 1985).

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82 In contrast with the 2015 observations, in late February 2016, during a coral biodiversity census survey, we noticed the 83 widespread occurrences of dead massive corals and we performed a systematic investigation on the spatial distribution of 84 the phenomenon. All reef flats around Bunaken Island were visually surveyed and recent mortality was recorded 85 (presence/absence). Geographic coordinates of the presence of mortality were compiled to map its extent. Then, in 86 different locations around the island, mortality was measured on six reef flat locations characterized by high coral cover 87 and different dominant massive coral species, principally Porites lutea and the octocoral Heliopora coerulea, using six 88 10-meter long Line Intercept Transect (LIT) (English et al., 1997). We recorded the percent cover of live and dead tissue 89 for each coral, the species/genus for each coral, and substrate categories between colonies.

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A clear sharp horizontal limit of tissue mortality was present in impacted colonies. The distribution of dead tissue between colonies and among colonies (Fig. 1) suggested that mortality was related to sea level variations, with increased aerial exposure time during the last few months. In order to test this hypothesis, different sea level anomaly products were investigated, based on their temporal coverage and spatial resolution. First, we used gridded altimetry data in terms of Absolute Dynamic Topography (ADT), from the Archiving, Validation and Interpretation of Satellite Oceanographic 96 Data center (AVISO) at the spatial resolution of ¼°. ADT provides the sea level with respect to the geoid. Data is 97 available from 1993 to 2016, allowing a long-term comparison of the sea level trends. The mean ADT over the period 98 were extracted for a small box next to Bunaken Island (1.5-1.7° N; 124.5-124.8° E), a larger box (3 by 3 degrees around 99 the smaller box) centered on Bunaken Island and including the north of Sulawesi and Tomini Bay in the south, and for 100 the entire Indonesia (-14.9-10.0°S, 94.9-140.0°E). The difference between the minimum value (observed in September 2015) and the 2005-2014 mean or the 1993-2016 mean periods were also computed.

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103 Second, to extract geophysical information from higher spatial resolution altimeter data, we used the along-track 104 measurements from SARAL/AltiKa Geophysical Data Records (GDRs) distributed by the AVISO service 105 (http://www.aviso.altimetry.fr/fr/). This data set was chosen because the new Ka-band instrument from SARAL has a 106 finer spatial resolution and enables a better observation of coastal zones (Verron et al., 2015). Data extends from March 107 2013 (cycle 1 of the satellite mission) to May 2016 (cycle 33), with a repeat period of 35 days. Over this period, we use 108 all altimeter observations located between 10°S-10°N and 105°E-140°E. Two tracks (#535 and #578) intersect the north 109 of Sulawesi Island and contain sampling points just off Bunaken Island. The data analysis is done in terms of sea level anomalies (SLA) computed from the 1-Hz altimeter measurements and geophysical corrections provided in GDRs 110 111 products. The SLA data processing and editing are described in details in Birol and Niño (2015). The 1-Hz SLA data 112 have a spatial resolution of ~7 km along the satellite tracks. In order to quantify the spatial variations of the regional sea 113 level change in March 2013-May 2016, a linear trend model is applied (using a simple linear regression) to the individual 114 SLA time series observed at the different points along the altimeter tracks crossing the area of interest. The trend is the 115 slope of the regression (in  $\text{cm.y}^{-1}$ ). The resulting 3-year sea level trend values can be represented on a map.

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## 117 **3. Results**

## 118 **3.1** Mortality rates per dominant coral genus

For all colonies found on the six stations, dead tissues were found on the top and upper-flank of the colonies, with the lower part of the colonies remaining healthy (Fig. 1). Mortality was not limited to microatoll-shaped colonies only. Round massive colonies were also impacted. On microatolls and other colonies that may have lived close to MLW, the width of dead tissue appeared to be around a maximum of 15 cm. Dead tissues were systematically covered by turf algae, with cyanobacteria in some cases, suggesting that the stressor responsible for the mortality occurred few months earlier. There were no obvious preferential directions in tissue damage at colony surface as it has been previously reported for intertidal reef flat corals in Thailand (Brown et al. 1994).

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127 The six surveyed reef flat locations were dominated by *H. coerulea* and *P. lutea*, while other genus and species occurred 128 less frequently (Table 1). When taking into account all genus, up to 85% of the colonies were dead (Site 5). The average 129 mortality was around 58% all sites included (Fig. 2). When it was present *Goniastrea minuta* colonies were the most 130 impacted, with a 82% mortality on average (Fig. 2). Highest mortalities were found on keep-up communities relative to 131 sea level (Fig. 1).

### 133 **3.2 Map of occurrences of mortality**

The survey around the island revealed presence of mortality all around the island except the north reef flats where corals were scarce and encrusting (Fig. 2). The same coral genus as listed in Table 1 were impacted, but mortality levels differed depending on colony heights. When colonies were clearly below the present minimum sea level, they remained healthy (Fig. 1). The map of positive observations shows that mortality has occurred mostly along the crest, which is expected to be the most vulnerable during sea level fall (Fig. 2). The survey suggests that nearly 163 hectares, or 30% of the entire reef system, has been impacted by some mortality. However, this does not mean that 30% of the reef has died.

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## 141 3.3 Absolute Dynamic Topography time series

The ADT time-series (Fig. 3) shows a significant sea level fall congruent with El Niño periods, at all spatial scales, although the pattern is not as pronounced at Indonesia-scale (Fig. 3). The 1997-1998 and the 2015-2016 years display the highest falls. The September 2015 value is the local minima, considering the last ten years (Fig. 3). The 8 cm fall in September 2015 compared to the previous 4 years, and the 15 cm fall compared to the 1993-2016 mean (Fig. 3) is consistent with the pattern of mortality following a maximum of ~15 cm width on the top of the impacted micro-atolls and colonies that were living close to the mean low sea-level before the event (Fig. 1).

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### 149 3.4 Sea Level Anomaly trends

Ð SARAL/AltiKa data in March 2013-May 2016 are shown in Figure 4 for a small area that includes Bunaken Island (top) 151 and a larger box (bottom) covering part of the western equatorial Pacific Ocean and Coral Triangle. A substantial sea 152 level fall is observed around Bunaken Island, with values ranging from 4 to 8 cm/year (12 to 24 cm accumulated over 3 153 years, Fig. 4). Further analysis of the individual sea level time series indicates that the overall trend is explained, and 154 accelerated, by the fall due to El Niño (not shown). This result agrees with findings from Luu et al. (2015) around 155 Malaysia and can be extended to much of the Coral Triangle. The Figure 4 shows that this phenomenon is consistent 156 over a large part of Indonesia and the warm water pool, with strong differences in sea level variations (up to -15 cm/year) 157 are observed between Asia and Micronesia, north of 5°N and east of 130°E).

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## 159 4. Discussion

160 We aimed here to document the spatial scale and the cause of an ecological event that could be easily overlooked when 161 documenting the 2016 El Niño impact on Indonesian coral reefs. Many studies have emphasized the role of hydrodynamics and sea level on the status and mortality of coral communities growing on reef flats (e.g., Anthony and 162 163 Kerswell, 2007; Hopley, 2011; Lowe et al., 2016). Here we emphasize, with altimetry data for one the first time for a <del>164</del> reef flat study (see Tartinville and Rancher 1997), that the 2015-2016 El Niño has generated such mortality, well before 165 any ocean warming-induced bleaching. The exact time of the mortality remains unknown, but it is likely congruent to the 166 lowest level in September 2015. The aspect of the colonies in February 2016, with algal turf covering the dead part (Fig. 167 1), is also consistent with a lowest sea level occurring few months earlier. The Figure 1 shows corals that were fine in May 2015 even when exposed to aerial exposure during low spring tide, without wave or wind, for several hours, during several days of spring tide. Thus, we assume the mortality was due to several weeks of lower water, including spring tide periods, which is compatible with the temporal resolution of the altimetry observations. The aerial exposure could have led to tissue heating, desiccation, photosystem or other cell functions damage (Brown, 1997). It is possible that colonies eould have look bleached during that period (Brown et al. 1994). Lack of wind-induced wave in the September period also prevented wave washing and water mixing which could have limited the damage (Anthony and Kerswell, 2007).

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The various satellite Sea Surface Temperature (SST) products for coral bleaching warning available at 175 176 http://coralreefwatch.noaa.gov/ do not suggest any bleaching risk in the Bunaken region before June 2016, hence the 177 wide mortality we observed can not be simply explained by ocean warming due to El Niño. We also verified on 178 http://earthquake.usgs.gov/ that between the May 2015 habitat mapping survey and the February 2016 coral survey, no 179 tectonic movement could generate such a 15 cm-uplift, with an upward shift of coral colonies relative to sea level as it 180 has been reported in different places in the past, including in Sumatra, Indonesia after the 2004 Sumatra Earthquake 181 (Meltzner et al. 2006). An uplift of that magnitude would be related to a significant event, but there are no reports higher than a 6.3 magnitude earthquake (16<sup>th</sup> September 2015, origin 1.884°N 126.429°E) in the north Sulawesi area for that 182 183 period.

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185 Altimetry data have been seldom used to study coral reef processes, even in a sea level rise era that may affect coral reef 186 communities and islands. They have been useful to assess the physical environment (wave, tide, circulation, lagoon 187 water renewal) around islands and reefs (e.g., Tartinville and Rancher, 1997; Andréfouët et al., 2001; Burradge et al., 188 2003; Andréfouët et al., 2012; Gallop et al., 2014), or explain larval connectivity and offshore physical transport between 189 reefs (e.g., Christie et al., 2010), but this is the first time to our knowledge that altimetry data, including the new 190 SARAL/AltiKa data, are related to a coral ecology event. Different measures of sea level and sea level anomalies 191 confirmed an anomalous situation following the development of the 2015-2016 El Niño, resulting in lower sea level 192 regionally averaging 8 cm in the north of Sulawesi compared to the previous 4 years (Figs. 3-5). Mortality patterns on 193 coral colonies strongly suggests that sea level fall is responsible of the coral die-off that could reach 80% of reef flat 194 colonies that were in a keep-up position relative to, usually, rising sea-level in this region (Fenoglio-Marc et al; 2012).

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While mortality due to sea level fall was characterized opportunistically in Bunaken NP, the impact remains unquantified elsewhere. However, we speculate that similar events have occurred throughout the Indonesian seas when considering ADT values for this region (Fig. 5). Particularly impacted by sea level fall could have been the stretch of reefs and islands between South Sumatra, South Java, the Flores Sea and Timor, and the domain centered by Seram island and comprised between East Sulawesi, West Papua and the Banda Sea. These areas have substantial reef flat presence (e.g., for the Lesser Sunda region comprised between Bali, Maluku and Timor islands, see maps in Torres-Pulliza et al., 2013).

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Specifically for Bunaken NP, the event we have witnessed helps explaining-long term observations of reef flat dynamics and resilience. Indeed, our surveys and historical very high resolution satellite imagery show around Bunaken Island the fast colonization of reef flats by *Heliopora coerula* and by carpets of branching *Montipora* in the years 2004-2012, a period congruent to substantial rising sea level (Fig. 3) (Fenoglio-Marc et al. 2012). Rising seas has allowed these corals,
especially fast growing and opportunistic like *H. coerula* (Babcock, 1990; Yasuda et al., 2012) to cover previously bare
reef flats by taking advantage of the additional accommodation space. A similar process occurred in Heron Island reef
flats in Australia, with an artificially-induced sea level rise due to local engineering work (Scopélitis et al., 2011). In
Bunaken, and probably elsewhere in Indonesia and the Coral Triangle, the 2015-2016 El Niño event counter-balances
this period of coral growth with rising seas.

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213 The ADT time-series (Fig. 3) suggests that similar low level situations have probably previously occurred, and almost 214 certainly at least in 1997-1998, the highest anomaly on altimetry record. Reef flat coral mortality reported in the Coral 215 Triangle as the consequences of bleaching in these years is thus most likely also the consequences of sea level fall. The 216 discrimination between thermal and sea level fall-induced mortality could be difficult to pinpoint on reef flats, if surveys 217 had occurred several months after the thermally-induced bleaching. In Bunaken NP, mortality due to sea level fall 218 preceded by nearly 7 months the first occurrences of bleaching in Indonesia, reported in April 2016. The real impact of 219 sea level fall could have been largely underestimated during all El Niño episodes and especially in Asia. The 220 implications for coral reef monitoring in the Coral Triangle are substantial. Surveys that may have started in April 2016 221 may be confused and assigned reef flat mortalities to coral bleaching. In future years, monitoring SLA may be as 222 important as monitoring SST. While there are several SST-indices specifically used as early-warning signals for potential 223 coral bleaching (Teneva et al., 2012), there are no sea level indices specific for coral reef flats. However, several ENSO 224 indices can help tracking the likelihood of similar events for Indonesia. The high correlation between the NINO3\_4 225 index and ADT over the 1993-2016 period (monthly mean minus seasonal baseline, Fig. 6) shows this potential. Other 226 indices, such as the Southern Oscillation Index (SOI, computed as the pressure difference between Darwin and Tahiti), 227 or the Equatorial SOI (defined by the pressure difference between the Indonesia-SLP, standardized anomalies of sea 228 level, and the Equatorial Eastern Pacific SLP) appears to be even more suitable over Indonesia and the Coral Triangle to 229 develop suitable early-warning signals related to sea level variations.

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## 231 5. Conclusion

232 This study reports coral mortality in Indonesia after a El Niño-induced sea level fall. The fact that sea level fall, or 233 extremely low tides, induces coral mortality is not new, but this study demonstrates that through rapid sea level fall, the 234 2015-2016 El Niño has impacted Indonesian shallow coral reefs well before that high sea surface temperature could 235 trigger any coral bleaching. Sea level fall appear as a major mortality factor for Bunaken Island in North Sulawesi, and 236 altimetry suggests similar impact throughout Indonesia. Our findings confirm that El Niño impacts are multiple and the 237 different processes need to be understood for an accurate diagnostic of the vulnerability of Indonesian coral reefs to 238 climate disturbances. This study also illustrates how to monitor local sea level to interpret changes in a particular coastal 239 location. For Indonesia coral reefs, in addition to sea level fall depending on the ENSO situation, further changes can be 240 expected, due to coral bleaching, diseases, predator outbreaks, storms and sea level rise (Baird et al., 2013; Johan et al., 241 2014). Considering the level of services that shallow coral reefs offer, in coastal protection, food security and tourism, 242 the tools presented here offer valuable information to infer the proper diagnostic, after elimate-induced disturbances.

#### 244 Competing interests

245 The authors declare that they have no conflict of interest.

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330 Table 1: Mortality rates (mean  $\pm$  standard deviation, n=6) of all corals for the 6 reef flat sites. The three dominant species were *Porites lutea*, *Heliopora* 

*coerulea*, and *Goniastrea minuta*. Several species and genus were found only once. Standard deviation is not shown when only one measurement per type of

coral could be achieved (i.e., one colony per site).

		Coral										
		Porites	Heliopora	Goniastrea	Acropora	Galaxea	Cyphastrea	Montipora	Porites	Lobophyllia	Pocillopora	Mean
		lutea							cylindrica			
	1	44±36	52±24						42±40			46
	2	39±16	18±8	100±0							100	57
Site	3	54 <u>+</u> 5		100±0	100±0	100	100					58
	4	20±17		100	25					100		55
	5	61±13	29±18			67		100±0				85
	6	52±23	70±8	46±51		100						47
	Mean	44	42	82	45	89	100	100	42	100	100	58



336 Figure 1: Bunaken reef flats. A: a living Heliopora coerula (blue coral) community in 2015 in a keep-up position relative to mean low sea level, with almost all the space occupied by corals. In that <del>337</del> case, a 15-cm sea level fall will impact most of the reef flat. B: Healthy Porites lutea (yellow and 338 pink massive corals) reef flat colonies in May 2014 observed at low spring tide. The upper part of 339 colonies is above water, yet healthy. C: Same colonies in February 2016. The white line visualizes 340 tissue mortality limit. Large Porites colonies (P1, P2) at low tide levels in 2014 are affected, while 341 lower colonies (P3) are not. D: P1 colony in 2014. E: viewed from another angle, P1 colony in 342 February 2016. E: Reef flat community with scattered Heliopora colonies in February 2016, with 343 tissue mortality and algal turf overgrowth. 344



Figure 2: Top: Bunaken location. Sulawesi is the large island in grey. Middle: The yellow area shows where coral mortality occurred around Bunaken reef flats, with the position of six sampling stations. Dark areas between the yellow mask and the land are seagrass beds. Blue-cyan areas are slopes and reef flats without mortality. Bottom: Mortality rates for the 6 sites for two dominant species *Porites lutea* and *Heliopora coerulea*. The latter is not found on Sites 3 and 4.



Figure 3: Time series of ADT, minus the mean over the 1993-2016 period, for Bunaken Island (top), North Sulawesi (middle), and Indonesia (bottom). The corresponding spatial domains are shown Figure 5. El Nino periods (http://www.cpc.ncep.noaa.gov/products/analysis\_monitoring/ensostuff/ensoyears.shtml) are depicted with light shadings. The September 2015 minimum corresponds to a 8 cm fall compared to the minima the four previous years, and a 14 cm fall compared to the 1993-2016 mean. The 1998 El Niño displays the largest sea level fall.



Figure 4: Top: Map of along-track SLA trend (in cm.year<sup>-1</sup>), 2013-2016, for the north Sulawesi area.
The position of Bunaken Island is shown (BNK). Bottom: Map of along-track SLA trend (1-Hz),
2013-2016, for Indonesia. The domain on the top panel is the rectangle in the Indonesia map.



Figure 5: Top: Map of the 2005-2014 Absolute Dynamic Topography (ADT, in centimeters) average over Indonesia. Middle: Map of the September 2015 ADT mean value over Indonesia. The two squares indicate the domain just around Bunaken Island (arrow on top panel) and the north Sulawesi domain used for the ADT time-series presented in Figure 3. Bottom: Map of correlation between ADT and the Nino3-4 index (1993-2016, monthly average minus seasonal cycle).