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1 Coral mortality induced by the 2015-2016 El-Niño in Indonesia:

2 the effect of rapid sea level fall

4 Eghbert Elvan Ampou^{1,2,3}, Ofri Johan⁴, Christophe E. Menkes⁵, Fernando Niño³, Florence Birol³,

- 5 Sylvain Ouillon³, Serge Andréfouët^{1,2}
- 7 l'UMR9220 ENTROPIE, Institut de Recherche pour le Développement, Université de la Réunion, CNRS, B.P.A5, 98848,
- 8 Noumea ,New Caledonia
- 9 ²Institute for Marine Research and Observation. SEACORM / INDESO center. Jl. Baru Perancak, Negara-Jembrana, Bali
- 10 82251, Indonesia
- 11 ³Laboratoire d'Etudes en Géophysique et Océanographie Spatiales, Université de Toulouse, CNRS, IRD, CNES, UPS, 14
- 12 avenue Edouard-Belin, 31400 Toulouse, France
- 13 ⁴Research and Development Institute for Ornamental Fish Culture, Jl. Perikanan No. 13, Pancoran Mas, Kota Depok,
- 14 Jawa Barat 16436, Indonesia
- 15 Laboratoire d'Océanographie et du Climat: Expérimentations et Approches Numériques, Sorbonne Universités, UPMC
- 16 Université Paris 06, IPSL, UMR CNRS/IRD/MNHN, B.P.A5- 98848, Noumea, New Caledonia

18 Correspondence to: Serge Andréfouët,. E-mail: serge.andrefouet@ird.fr

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Abstract: The 2015-2016 El-Niño and related ocean warming has generated significant coral bleaching and mortality worldwide. In Indonesia, first signs of bleaching were reported in April 2016. However, this El Niño has impacted Indonesian coral reefs since 2015 through a different process than temperature-induced bleaching. In September 2015, altimetry data shows that sea level was at its lowest in the past 12 years, affecting corals living in the bathymetric range exposed to unusual emersion. In March 2016, Bunaken Island (North Sulawesi) displayed up to 85% mortality on reef flats dominated by *Porites, Heliopora* and *Goniastrea* corals with differential mortality rates by coral genus. Almost all reef flats showed evidence of mortality, representing 30% of Bunaken reefs. For reef flat communities which were living at a depth close to the pre-El Niño mean low sea level, the fall induced substantial mortality likely by higher daily aerial exposure a least during low tide periods. Altimetry data was used to map sea level fall throughout Indonesia, suggesting that similar mortality could be widespread for shallow reef flat communities, which accounts for a vast percent of the total extent of coral reefs in Indonesia. The altimetry historical records also suggest that such event was not unique in the past two decades, therefore rapid sea level fall could be more important in the dynamics and resilience of Indonesian reef flat communities than previously thought. The clear link between mortality and sea level fall also calls for a refinement of the hierarchy of El Niño impacts and their consequences on coral reefs.

Key-words: ENSO; Absolute Dynamic Topography; Sea Level Anomaly, Coral reef; Indonesia; Coral Triangle

1. Introduction

El Niño-Southern Oscillation (ENSO) is the most important coupled ocean-atmosphere phenomenon impacting climate variability at global and inter annual time scales (McPhaden, 2007). The consequences on coral reefs have been well documented, especially since the 1997-1998 massive coral bleaching event, which reached planetary dimension (Hoegh-Guldberg, 1999). In short, El Niño increases temperature in several coral reef regions and induces zooxanthellae expulsion from the coral polyp, resulting in coral colony looking white, hence "bleaching". If the situation persists the coral colony eventually dies. Coral bleaching intensity has been related to different temperature thresholds, other environmental factors and stressors, and type of zooxanthellae and corals (Baker et al., 2008). Bleaching episodes due to ocean warming were recorded during the strong 1982-83 El Niño in Australia (Glynn, 2000) and have since been reported worldwide in several instances (Guest et al., 2012; Wouthuyzen et al., 2015). The last bleaching episode has occurred in 2015-2016 during what occurs to be the strongest El Niño event on record (Schiermeier, 2015). Bleaching events were often global in the past, including Indonesia (Suharsono 1990; Guest et al., 2012; Wouthuyzen et al., 2015). Last reports for Indonesia in 2016 are still under analysis, and Reef Check survey locations are presented at http://reefcheck.or.id/bleaching-indonesia-peringatan/. It is thus assumed that coral bleaching induced by ocean warming will be the main culprit if post-El Niño surveys report coral mortalities.

While in Bunaken National Park in February 23^{rd} – March 5^{th} 2016 for a biodiversity survey, we noticed recent mortalities on the upper part of many massive colonies on several reef flats. This prompted a systematic investigation of the phenomenon's spatial distribution. We report here observations on what appears to be the first significant impact of the 2015-2016 El Niño on Indonesia reefs. Unlike what is expected during such a strong event, the mortality was not





related to warm water induced-bleaching, but could be tracked to rapid sea level variations. Coral mortality data around
Bunaken Island are provided, and we investigate various altimetry and sea level anomaly data sets to explain mortality.

The clear link between mortality and sea level fall calls for a refinement of the hierarchy of El Niño impacts and their

60 sequences on coral reefs.

2. Material and Methods

Bunaken National Park (BNP) is located at the northwest tip of Sulawesi, Indonesia. The location is at the core of the epicenter of marine biodiversity, the so-called Coral Triangle, a vast area spanning Malaysia to Solomon Island, where the number of marine species is maximum (Hoeksema, 2007). BNP includes several islands with Bunaken Island (1.62379°N, 124.76114°E) one of the most studied Indonesian reef site. Bunaken Island is surrounded by a simple fringing reef system, comprising reef flats, several small enclosed lagoons and forereefs. The tide regime is semi-diurnal, but with marked diurnal inequalities (Ray et al. 2005), with a maximum spring tidal range that can reach 2.52 m. Bunaken Island is generally exposed to southwest wind from May to October, resulting in calm seas due to the short fetch between mainland and the island, and to northwest wind from November to February, which can be strong at time and generate large waves breaking on the west and north shores.

Two previous BNP surveys for habitat mapping, in May-June 2014 and May-June 2015, did not show any significant signs of widespread mortalities on reef flats. Different species of corals were frequently exposed above water level at low spring tide, yet they were entirely alive (Fig. 1). Microatolls were present. They have not been studied in Bunaken NP, but by similarity with other sites, their growth is likely constrained by a Mean Low Water (MLW), between Mean Low Water Neaps (MLWN) and Mean Low Water Springs (MLWS) (Smithers and Woodroffre, 2000; Goodwin and Harvey 2008). Several reef flats were characterized by compact communities of massive and semi-massive colonies that could be described as keep-up communities limited in their vertical growth by the MLW (by analogy with the terminology of Holocene reefs provided by Neumann and Macintyre, 1985).

In contrast with the 2015 observations, in late February 2016, during a coral biodiversity census survey, we noticed the widespread occurrences of dead massive corals and we performed a systematic investigation on the spatial distribution of the phenomenon. All reef flats around Bunaken Island were visually surveyed and recent mortality was recorded (presence/absence). Geographic coordinates of the presence of mortality were compiled to map its extent. Then, in different locations around the island, mortality was measured on six reef flat locations characterized by high coral cover and different dominant massive coral species, principally *Porites lutea* and the octooral *Heliopora coerulea*, using six 10-meter long Line Intercept Transect (LIT) (English et al., 1997). We recorded the percent cover of live and dead tissue for each coral, the species/genus for each coral, and substrate categories between colonies.

A clear sharp horizontal limit of tissue mortality was present in impacted colonies. The distribution of dead tissue between colonies and among colonies (Fig. 1) suggested that mortality was related to sea level variations, with increased aerial exposure time during the last few months. In order to test this hypothesis, different sea level anomaly products were investigated, based on their temporal coverage and spatial resolution. First, we used gridded altimetry data in terms of Absolute Dynamic Topography (ADT), from the Archiving, Validation and Interpretation of Satellite Oceanographic





Data center (AVISO) at the spatial resolution of ½°. ADT provides the sea level with respect to the geoid. Data is available from 1993 to 2016, allowing a long-term comparison of the sea level trends. The mean ADT over the period were extracted for a small box next to Bunaken Island (1.5-1.7° N; 124.5-124.8° E), a larger box (3 by 3 degrees around the smaller box) centered on Bunaken Island and including the north of Sulawesi and Tomini Bay in the south, and for the entire Indonesia (-14.9-10.0°S, 94.9-140.0°E). The difference between the minimum value (observed in September 2015) and the 2005-2014 mean or the 1993-2016 mean periods were also computed.

Second, to extract geophysical information from higher spatial resolution altimeter data, we used the along-track measurements from SARAL/AltiKa Geophysical Data Records (GDRs) distributed by the AVISO service (http://www.aviso.altimetry.fr/fr/). This data set was chosen because the new Ka-band instrument from SARAL has a finer spatial resolution and enables a better observation of coastal zones (Verron et al., 2015). Data extends from March 2013 (cycle 1 of the satellite mission) to May 2016 (cycle 33), with a repeat period of 35 days. Over this period, we use all altimeter observations located between 10°S-10°N and 105°E-140°E. Two tracks (#535 and #578) intersect the north of Sulawesi Island and contain sampling points just off Bunaken Island. The data analysis is done in terms of sea level anomalies (SLA) computed from the 1-Hz altimeter measurements and geophysical corrections provided in GDRs products. The SLA data processing and editing are described in details in Birol and Niño (2015). The 1-Hz SLA data have a spatial resolution of ~7 km along the satellite tracks. In order to quantify the spatial variations of the regional sea level change in March 2013-May 2016, a linear trend model is applied (using a simple linear regression) to the individual SLA time series observed at the different points along the altimeter tracks crossing the area of interest. The trend is the slope of the regression (in cm.y¹). The resulting 3-year sea level trend values can be represented on a map.

3. Results

3. 1 Mortality rates per dominant coral genus

- For all colonies found on the six stations, dead tissues were found on the top and upper-flank of the colonies, with the lower part of the colonies remaining healthy (Fig. 1). Mortality was not limited to microatoll-shaped colonies only.
- Round massive colonies were also impacted. On microatolls and other colonies that may have lived close to MLW, the
- width of dead tissue appeared to be around a maximum of 15 cm. Dead tissues were systematically covered by turf algae,
- with cyanobacteria in some cases, suggesting that the stressor responsible for the mortality occurred few months earlier.
- There were no obvious preferential directions in tissue damage at colony surface as it has been previously reported for
- intertidal reef flat corals in Thailand (Brown et al. 1994).

The six surveyed reef flat locations were dominated by *H. coerulea* and *P. lutea*, while other genus and species occurred less frequently (Table 1). When taking into account all genus, up to 85% of the colonies were dead (Site 5). The average mortality was around 58% all sites included (Fig. 2). When it was present *Goniastrea minuta* colonies were the most impacted, with a 82% mortality on average (Fig. 2). Highest mortalities were found on keep-up communities relative to sea level (Fig. 1).

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3.2 Map of occurrences of mortality

The survey around the island revealed presence of mortality all around the island except the north reef flats where corals were scarce and encrusting (Fig. 2). The same coral genus as listed in Table 1 were impacted, but mortality levels differed depending on colony heights. When colonies were clearly below the present minimum sea level, they remained healthy (Fig. 1). The map of positive observations shows that mortality has occurred mostly along the crest, which is expected to be the most vulnerable during sea level fall (Fig. 2). The survey suggests that nearly 163 hectares, or 30% of the entire reef system, has been impacted by some mortality. However, this does not mean that 30% of the reef has died.

3.3 Absolute Dynamic Topography time series

The ADT time-series (Fig. 3) shows a significant sea level fall congruent with El Niño periods, at all spatial scales, although the pattern is not as pronounced at Indonesia-scale (Fig. 3). The 1997-1998 and the 2015-2016 years display the highest falls. The September 2015 value is the local minima, considering the last ten years (Fig. 3). The 8 cm fall in September 2015 compared to the previous 4 years, and the 15 cm fall compared to the 1993-2016 mean (Fig. 3) is consistent with the pattern of mortality following a maximum of ~15 cm width on the top of the impacted micro-atolls and colonies that were living close to the mean low sea-level before the event (Fig. 1).

3.4 Sea Level Anomaly trends

SARAL/AltiKa data in March 2013-May 2016 are shown in Figure 4 for a small area that includes Bunaken Island (top) and a larger box (bottom) covering part of the western equatorial Pacific Ocean and Coral Triangle. A substantial sea level fall is observed around Bunaken Island, with values ranging from 4 to 8 cm/year (12 to 24 cm accumulated over 3 years, Fig. 4). Further analysis of the individual sea level time series indicates that the overall trend is explained, and accelerated, by the fall due to El Niño (not shown). This result agrees with findings from *Luu et al.* (2015) around Malaysia and can be extended to much of the Coral Triangle. The Figure 4 shows that this phenomenon is consistent over a large part of Indonesia and the warm water pool, with strong differences in sea level variations (up to -15 cm/year are observed between Asia and Micronesia, north of 5°N and east of 130°E).

4. Discussion

We aimed here to document the spatial scale and the cause of an ecological event that could be easily overlooked when documenting the 2016 El Niño impact on Indonesian coral reefs. Many studies have emphasized the role of hydrodynamics and sea level on the status and mortality of coral communities growing on reef flats (e.g., Anthony and Kerswell, 2007; Hopley, 2011; Lowe et al., 2016). Here we emphasize, with altimetry data for one the first time for a reef flat study (see Tartinville and Rancher 1997), that the 2015-2016 El Niño has generated such mortality, well before any ocean warming-induced bleaching. The exact time of the mortality remains unknown, but it is likely congruent to the lowest level in September 2015. The aspect of the colonies in February 2016, with algal turf covering the dead part (Fig. 1), is also consistent with a lowest sea level occurring few months earlier. The Figure 1 shows corals that were fine in





May 2015 even when exposed to aerial exposure during low spring tide, without wave or wind, for several hours, during several days of spring tide. Thus, we assume the mortality was due to several weeks of lower water, including spring tide periods, which is compatible with the temporal resolution of the altimetry observations. The aerial exposure could have led to tissue heating, desiccation, photosystem or other cell functions damage (Brown, 1997). It is possible that colonies could have look bleached during that period (Brown et al. 1994). Lack of wind-induced wave in the September period also prevented wave washing and water mixing which could have limited the damage (Anthony and Kerswell, 2007).

The various satellite Sea Surface Temperature (SST) products for coral bleaching warning available at http://coralreefwatch.noaa.gov/ do not suggest any bleaching risk in the Bunaken region before June 2016, hence the wide mortality we observed can not be simply explained by ocean warming due to El Niño. We also verified on http://earthquake.usgs.gov/ that between the May 2015 habitat mapping survey and the February 2016 coral survey, no tectonic movement could generate such a 15 cm-uplift, with an upward shift of coral colonies relative to sea level as it has been reported in different places in the past, including in Sumatra, Indonesia after the 2004 Sumatra Earthquake (Meltzner et al. 2006). An uplift of that magnitude would be related to a significant event, but there are no reports higher than a 6.3 magnitude earthquake (16th September 2015, origin 1.884°N 126.429°E) in the north Sulawesi area for that period.

Altimetry data have been seldom used to study coral reef processes, even in a sea level rise era that may affect coral reef communities and islands. They have been useful to assess the physical environment (wave, tide, circulation, lagoon water renewal) around islands and reefs (e.g., Tartinville and Rancher, 1997; Andréfouët et al., 2001; Burradge et al., 2003; Andréfouët et al., 2012; Gallop et al., 2014), or explain larval connectivity and offshore physical transport between reefs (e.g., Christie et al., 2010), but this is the first time to our knowledge that altimetry data, including the new SARAL/AltiKa data, are related to a coral ecology event. Different measures of sea level and sea level anomalies confirmed an anomalous situation following the development of the 2015-2016 El Niño, resulting in lower sea level regionally averaging 8 cm in the north of Sulawesi compared to the previous 4 years (Figs. 3-5). Mortality patterns on coral colonies strongly suggests that sea level fall is responsible of the coral die-off that could reach 80% of reef flat colonies that were in a keep-up position relative to, usually, rising sea-level in this region (Fenoglio-Marc et al; 2012).

While mortality due to sea level fall was characterized opportunistically in Bunaken NP, the impact remains unquantified elsewhere. However, we speculate that similar events have occurred throughout the Indonesian seas when considering ADT values for this region (Fig. 5). Particularly impacted by sea level fall could have been the stretch of reefs and islands between South Sumatra, South Java, the Flores Sea and Timor, and the domain centered by Seram island and comprised between East Sulawesi, West Papua and the Banda Sea. These areas have substantial reef flat presence (e.g., for the Lesser Sunda region comprised between Bali, Maluku and Timor islands, see maps in Torres-Pulliza et al., 2013).

Specifically for Bunaken NP, the event we have witnessed helps explaining long term observations of reef flat dynamics and resilience. Indeed, our surveys and historical very high resolution satellite imagery show around Bunaken Island the fast colonization of reef flats by *Heliopora coerula* and by carpets of branching *Montipora* in the years 2004-2012, a





period congruent to substantial rising sea level (Fig. 3) (Fenoglio-Marc et al. 2012). Rising seas has allowed these corals, especially fast growing and opportunistic like *H. coerula* (Babcock, 1990; Yasuda et al., 2012) to cover previously bare reef flats by taking advantage of the additional accommodation space. A similar process occurred in Heron Island reef flats in Australia, with an artificially-induced sea level rise due to local engineering work (Scopélitis et al., 2011). In Bunaken, and probably elsewhere in Indonesia and the Coral Triangle, the 2015-2016 El Niño event counter-balances this period of coral growth with rising seas.

The ADT time-series (Fig. 3) suggests that similar low level situations have probably previously occurred, and almost certainly at least in 1997-1998, the highest anomaly on altimetry record. Reef flat coral mortality reported in the Coral Triangle as the consequences of bleaching in these years is thus most likely also the consequences of sea level fall. The discrimination between thermal and sea level fall-induced mortality could be difficult to pinpoint on reef flats, if surveys had occurred several months after the thermally-induced bleaching. In Bunaken NP, mortality due to sea level fall preceded by nearly 7 months the first occurrences of bleaching in Indonesia, reported in April 2016. The real impact of sea level fall could have been largely underestimated during all El Niño episodes and especially in Asia. The implications for coral reef monitoring in the Coral Triangle are substantial. Surveys that may have started in April 2016 may be confused and assigned reef flat mortalities to coral bleaching. In future years, monitoring SLA may be as important as monitoring SST. While there are several SST-indices specifically used as early-warning signals for potential coral bleaching (Teneva et al., 2012), there are no sea level indices specific for coral reef flats. However, several ENSO indices can help tracking the likelihood of similar events for Indonesia. The high correlation between the NINO3_4 index and ADT over the 1993-2016 period (monthly mean minus seasonal baseline, Fig. 6) shows this potential. Other indices, such as the Southern Oscillation Index (SOI, computed as the pressure difference between Darwin and Tahiti), or the Equatorial SOI (defined by the pressure difference between the Indonesia-SLP, standardized anomalies of sea level, and the Equatorial Eastern Pacific SLP) appears to be even more suitable over Indonesia and the Coral Triangle to develop suitable early-warning signals related to sea level variations.

5. Conclusion

This study reports coral mortality in Indonesia after a El Niño-induced sea level fall. The fact that sea level fall, or extremely low tides, induces coral mortality is not new, but this study demonstrates that through rapid sea level fall, the 2015-2016 El Niño has impacted Indonesian shallow coral reefs well before that high sea surface temperature could trigger any coral bleaching. Sea level fall appear as a major mortality factor for Bunaken Island in North Sulawesi, and altimetry suggests similar impact throughout Indonesia. Our findings confirm that El Niño impacts are multiple and the different processes need to be understood for an accurate diagnostic of the vulnerability of Indonesian coral reefs to climate disturbances. This study also illustrates how to monitor local sea level to interpret changes in a particular coastal location. For Indonesia coral reefs, in addition to sea level fall depending on the ENSO situation, further changes can be expected, due to coral bleaching, diseases, predator outbreaks, storms and sea level rise (Baird et al., 2013; Johan et al., 2014). Considering the level of services that shallow coral reefs offer, in coastal protection, food security and tourism, the tools presented here offer valuable information to infer the proper diagnostic after climate-induced disturbances.





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244 Competing interests

The authors declare that they have no conflict of interest.

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Table 1: Mortality rates (mean ± standard deviation, n=6) of all corals for the 6 reef flat sites. The three dominant species were Porites lutea, Heliopora coerulea, and Goniastrea minuta. Several species and genus were found only once. Standard deviation is not shown when only one measurement per type of

coral could be achieved (i.e., one colony per site).

						•	Coral					
		Porites	Heliopora	Heliopora Goniastrea Acropora Galaxea Cyphastrea Montipora	Acropora	Galaxea	Cyphastrea	Montipora	Porites	Porites Lobophyllia Pocillopora	Pocillopora	Mean
		lutea							cylindrica			
	1	44±36	52±24						42±40			46
	2	39±16	18±8	100∓0							100	57
	3	54±5		100∓0	100±0	100	100					58
	4	20±17		100	25					100		55
	S	61±13	29±18			<i>L</i> 9		100∓0				85
	9	52±23	8∓0 <i>L</i>	46±51		100						47
Mean	an	44	42	82	45	68	001	001	42	001	001	58





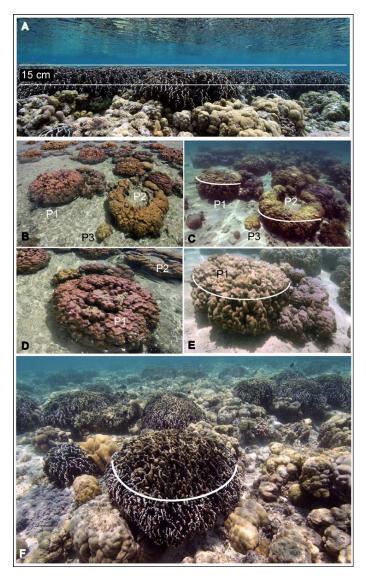


Figure 1: Bunaken reef flats. A: a living *Heliopora coerula* (blue coral) community in 2015 in a keep-up position relative to mean low sea level, with almost all the space occupied by corals. In that case, a 15-cm sea level fall will impact most of the reef flat. B: Healthy *Porites lutea* (yellow and pink massive corals) reef flat colonies in May 2014 observed at low spring tide. The upper part of colonies is above water, yet healthy. C: Same colonies in February 2016. The white line visualizes tissue mortality limit. Large *Porites* colonies (P1, P2) at low tide levels in 2014 are affected, while lower colonies (P3) are not. D: P1 colony in 2014. E: viewed from another angle, P1 colony in February 2016. E: Reef flat community with scattered *Heliopora* colonies in February 2016, with tissue mortality and algal turf overgrowth.





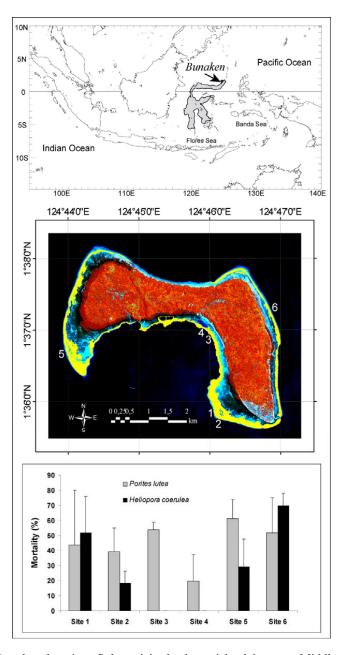
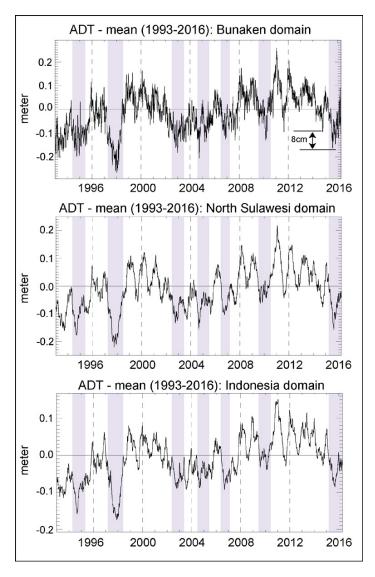


Figure 2: Top: Bunaken location. Sulawesi is the large island in grey. Middle: The yellow area shows where coral mortality occurred around Bunaken reef flats, with the position of six sampling stations. Dark areas between the yellow mask and the land are seagrass beds. Blue-cyan areas are slopes and reef flats without mortality. Bottom: Mortality rates for the 6 sites for two dominant species *Porites lutea* and *Heliopora coerulea*. The latter is not found on Sites 3 and 4.







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Figure 3: Time series of ADT, minus the mean over the 1993-2016 period, for Bunaken Island (top), North Sulawesi (middle), and Indonesia (bottom). The corresponding spatial domains are shown Figure 5. El Nino periods (http://www.cpc.ncep.noaa.gov/products/analysis_monitoring/ensostuff/ensoyears.shtml) are depicted with light shadings. The September 2015 minimum corresponds to a 8 cm fall compared to the minima the four previous years, and a 14 cm fall compared to the 1993-2016 mean. The 1998 El Niño displays the largest sea level fall.





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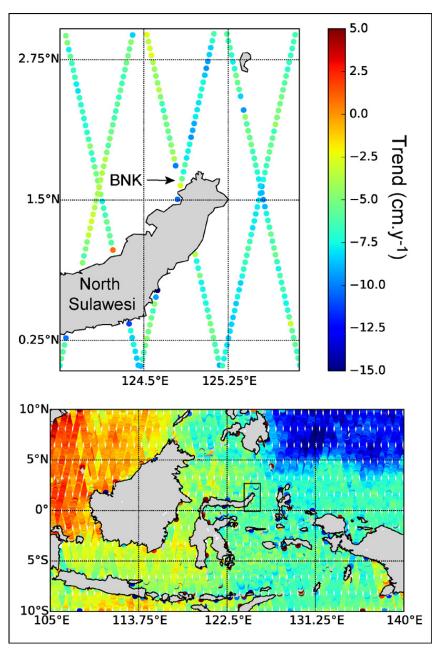


Figure 4: Top: Map of along-track SLA trend (in cm.year⁻¹), 2013-2016, for the north Sulawesi area. The position of Bunaken Island is shown (BNK). Bottom: Map of along-track SLA trend (1-Hz), 2013-2016, for Indonesia. The domain on the top panel is the rectangle in the Indonesia map.





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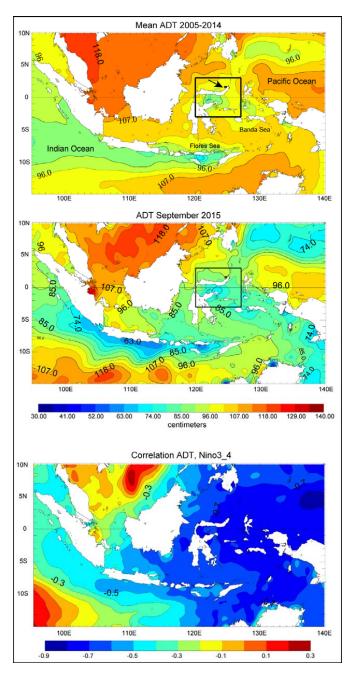


Figure 5: Top: Map of the 2005-2014 Absolute Dynamic Topography (ADT, in centimeters) average over Indonesia. Middle: Map of the September 2015 ADT mean value over Indonesia. The two squares indicate the domain just around Bunaken Island (arrow on top panel) and the north Sulawesi domain used for the ADT time-series presented in Figure 3. Bottom: Map of correlation between ADT and the Nino3-4 index (1993-2016, monthly average minus seasonal cycle).