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27 **Running Title:** Land carbon storage dynamics

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36 **Abstract** Terrestrial ecosystems have absorbed roughly 30% of anthropogenic CO<sub>2</sub> emissions  
37 over the past decades, but it is unclear whether this carbon (C) sink will endure into the future.  
38 Despite extensive modeling, experimental, and observational studies, what fundamentally  
39 determines transient dynamics of terrestrial C storage under global change is still not very clear.  
40 Here we develop a new framework for understanding transient dynamics of terrestrial C storage  
41 through mathematical analysis and numerical experiments. Our analysis indicates that the  
42 ultimate force driving ecosystem C storage change is the C storage capacity, which is jointly  
43 determined by ecosystem C input (e.g., net primary production, NPP) and residence time. Since  
44 both C input and residence time vary with time, the C storage capacity is time-dependent and  
45 acts as a moving attractor that actual C storage chases. The rate of change in C storage is  
46 proportional to the C storage potential, the difference between the current storage and the storage  
47 capacity. The C storage capacity represents instantaneous responses of the land C cycle to  
48 external forcing, whereas the C storage potential represents the internal capability of the land C  
49 cycle to influence the C change trajectory in the next time step. The influence happens through  
50 redistribution of net C pool changes in a network of pools with different residence times.

51       Moreover, this and our other studies have demonstrated that one matrix equation can  
52 exactly replicate simulations of most land C cycle models (i.e., physical emulators). As a result,  
53 simulation outputs of those models can be placed into a three-dimensional (3D) parameter space  
54 to measure their differences. The latter can be decomposed into traceable components to track  
55 the origins of model uncertainty. In addition, the physical emulators make data assimilation  
56 computationally feasible so that both C flux- and pool-related datasets can be used to better  
57 constrain model predictions of land C sequestration. Overall, this new mathematical framework  
58 offers new approaches to understand, evaluate, diagnose, and improve land C cycle models.

## 59 **1 Introduction**

60 Terrestrial ecosystems have been estimated to sequester approximately 30% of anthropogenic  
61 carbon (C) emission in the past three decades (Canadell et al., 2007). Cumulatively, land  
62 ecosystems have sequestered more than 160 Gt C from 1750 to 2015 (Le Quéré et al., 2015).  
63 Without land C sequestration, the atmospheric CO<sub>2</sub> concentration would have increased by  
64 additional 95 parts per million and result in more climate warming (Le Quéré et al., 2015).  
65 During one decade from 2005 to 2014, terrestrial ecosystems sequestered  $3 \pm 0.8$  Gt C per year  
66 (Le Quéré et al., 2015), which would cost billion dollars if the equivalent amount of C was  
67 sequestered using C capture and storage techniques (Smith et al., 2016). Thus, terrestrial  
68 ecosystems effectively mitigate global change through natural processes with minimal cost.  
69 Whether this terrestrial C sequestration would endure into the future, however, is not clear,  
70 making the mitigation of global change greatly uncertain. To predict future trajectories of C  
71 sequestration in the terrestrial ecosystems, it is essential to understand fundamental mechanisms  
72 that drive terrestrial C storage dynamics.

73 To predict future land C sequestration, the modeling community has developed many C  
74 cycle models. According to a review by Manzoni and Porporato (2009), approximately 250  
75 biogeochemical models have been published over a time span of 80 years to describe carbon and  
76 nitrogen mineralization. The majority of those 250 models follow some mathematical  
77 formulations of ordinary differential equations. Moreover, many of those biogeochemical models  
78 incorporate more and more processes in an attempt to simulate C cycle processes as realistically  
79 as possible (Oleson et al., 2013). As a consequence, terrestrial C cycle models have become  
80 increasingly complicated and less tractable. Almost all model intercomparison projects (MIPs),  
81 including those involved in the last three IPCC assessments, indicate that C cycle models have

82 consistently projected widely spread trajectories of land C sinks and were also found to fit  
83 observations poorly (Todd-Brown et al., 2013; Luo et al., 2015). The lack of progress in  
84 uncertainty analysis urges us to understand mathematical foundation of those terrestrial C models  
85 so as to diagnose causes of model spreads and improve model predictive skills.

86         Meanwhile, many countries have made great investments on various observational and  
87 experimental networks (or platforms) in hope to quantify terrestrial C sequestration. For  
88 example, FLUXNET has been established about 20 years ago to quantify net ecosystem  
89 exchange (NEE) between the atmosphere and biosphere (Baldocchi et al., 2001). Orbiting  
90 Carbon Observatory 2 (OCO-2) satellite was launched in 2014 to quantify carbon dioxide  
91 concentrations and distributions in the atmosphere at high spatiotemporal resolution to constrain  
92 land surface C sequestration (Hammerling et al., 2012). Networks of global change experiments  
93 have been designed to uncover processes that regulate ecosystem C sequestration (Rustad et al.,  
94 2001; Luo et al., 2011; Fraser et al., 2013; Borer et al., 2014). Massive data have been generated  
95 from those observational systems and experimental networks. They offer an unprecedented  
96 opportunity for advancing our understanding of ecosystem processes and constraining model  
97 prediction of ecosystem C sequestration. Indeed, many of those networks were initiated with one  
98 goal to improve our predictive capability. Yet the massive data have been rarely integrated into  
99 earth system models to constrain their predictions. It is a grand challenge in our era to develop  
100 innovative approaches to integration of big data into complex models so as to improve prediction  
101 of future ecosystem C sequestration.

102         From a system perspective, ecosystem C sequestration occurs only when the terrestrial C  
103 cycle is in a transient state, under which C influx into one ecosystem is larger than C efflux from  
104 the ecosystem. Olson (1963) is probably among the first to examine organic matter storage in

105 forest floors from the system perspective. His analysis approximated steady-state storage of  
106 organic matter as a balance of litter producers and decomposers for different forest types.  
107 However, global change differentially influences various C cycle processes in ecosystems and  
108 results in transient dynamics of terrestrial C storage (Luo and Weng, 2011). For example, rising  
109 atmospheric CO<sub>2</sub> concentration primarily stimulates photosynthetic C uptake while climate  
110 warming likely enhances decomposition. When ecosystem C uptake increases in a unidirectional  
111 trend under elevated [CO<sub>2</sub>], terrestrial C cycle is at disequilibrium, leading to net C storage. The  
112 net gained C is first distributed to different pools, each of which has a different turnover rate (or  
113 residence time) before C is eventually released back to the atmosphere via respiration.  
114 Distribution of net C exchange to multiple pools with different residence times is an intrinsic  
115 property of an ecosystem to gradually equalize C efflux with influx (i.e. internal recovery force  
116 toward an attractor). In contrast, global change factors that causes changes in C input and  
117 decomposition is considered external forces that create disequilibrium through altering internal C  
118 processes and pool sizes. The transient dynamics of terrestrial C cycle at disequilibrium is  
119 maintained by interactions of internal processes and external forces (Luo and Weng, 2011).  
120 Although the transient dynamics of terrestrial C storage have been conceptually discussed, we  
121 still lack a quantitative formulation to estimate transient C storage dynamics in the terrestrial  
122 ecosystems.

123         This paper was designed to address a question: what determines transient dynamics of C  
124 storage in terrestrial ecosystems from a system perspective? We first reviewed the major  
125 processes that most models have incorporated to simulate terrestrial C sequestration. The review  
126 helps establish that terrestrial C cycle can be mathematically represented by a matrix equation.  
127 We also described the Terrestrial ECOsystem (TECO) model with its numerical experiments in

128 support of the mathematical analysis. We then presented results of mathematical analysis on  
129 determinants of the terrestrial C storage, direction and magnitude of C storage at a given time  
130 point, and numerical experiments to illustrate climate impacts on terrestrial C storage. We  
131 carefully discussed assumptions of those terrestrial C cycle models as represented by the matrix  
132 equation, the validity of this analysis, and two new concepts introduced in this study, which are  
133 the C storage capacity and C storage potential. We also discussed the potential applications of  
134 this analysis to model uncertainty analysis and data-model integration. Moreover, we proposed  
135 that the C storage potential be a targeted variable for research, trading, and government  
136 negotiation for C credit.

137

## 138 **2 Methods**

### 139 **2.1 Mathematical representation of terrestrial C cycle**

140 This study was conducted mainly with mathematical analysis. We first established the basis of  
141 this analysis, which is that the majority of terrestrial C cycle models can be represented by a  
142 matrix equation.

143       Hundreds of models have been developed to simulate terrestrial C cycle (Manzoni and  
144 Porporato, 2009). All the models have to simulate processes of photosynthetic C input, C  
145 allocation and transformation, and respiratory C loss. It is well understood that photosynthesis is  
146 a primary pathway of C flow into land ecosystems. Photosynthetic C input is usually simulated  
147 according to carboxylation and electron transport rates (Farquhar et al., 1980). Ecosystem C  
148 influx varies with time and space mainly due to variations in leaf photosynthetic capacity, leaf  
149 area index of canopy, and a suite of environmental factors such as temperature, radiation, and  
150 relative humidity (or other water-related variables) (Potter et al., 1993; Sellers et al., 1996;

151 Keenan et al., 2012; Walker et al., 2014, Parolari and Porporato 2016).

152           Photosynthetically assimilated C is partly used for plant biomass growth and partly  
153 released back into the atmosphere through plant respiration. Plant biomass in leaves and fine  
154 roots usually lives for several months up to a few years before death, while woody tissues may  
155 persist for hundreds of years in forests. Dead plant materials are transferred to litter pools and  
156 decomposed by microorganisms to be partially released through heterotrophic respiration and  
157 partially stabilized to form soil organic matter (SOM). SOM can store C in the soil for hundreds  
158 or thousands of years before it is broken down to CO<sub>2</sub> through microbial respiration (Luo and  
159 Zhou, 2006). This series of C cycle processes has been represented in most ecosystem models  
160 with multiple pools linked by C transfers among them (Jenkinson et al., 1987; Parton et al., 1987;  
161 1988; 1993), including those embedded in Earth system models (Ciais et al., 2013).

162           The majority of the published 250 terrestrial C cycle models use ordinary differential  
163 equations to describe C transformation processes among multiple plant, litter, and soil pools  
164 (Manzoni and Porporato, 2009). Those ordinary differential equations can be summarized into a  
165 matrix formula (Luo et al., 2001; 2003; Luo and Weng, 2011; Luo et al., 2015; 2016; Sierra and  
166 Müller 2015) as:

$$167 \quad \begin{cases} X'(t) = Bu(t) - A\xi(t)KX(t) \\ X(t = 0) = X_0 \end{cases} \quad (1)$$

168 where  $X'(t)$  is a vector of net C pool changes at time  $t$ ,  $X(t)$  is a vector of pool sizes,  $B$  is a  
169 vector of partitioning coefficients from C input to each of the pools,  $u(t)$  is C input rate,  $A$  is a  
170 matrix of transfer coefficients (or microbial C use efficiency) to quantify C movement along the  
171 pathways,  $K$  is a diagonal matrix of exit rates (mortality for plant pools and decomposition  
172 coefficients of litter and soil pools) from donor pools,  $\xi(t)$  is a diagonal matrix of environmental  
173 scalars to represent responses of C cycle to changes in temperature, moisture, nutrients, litter

174 quality, and soil texture, and  $X_0$  is a vector of initial values of pool sizes of  $X$ . In eq. 1, all the  
175 off-diagonal elements of matrix  $A$ ,  $a_{ji}$ , are negative to reverse the minus sign and indicate  
176 positive C influx to the receiving pools. The equation describes net C pool change,  $X'(t)$ , as a  
177 difference between C input,  $u(t)$ , distributed to different plant pools via partitioning coefficients,  
178  $B$ , and C loss through the C transformation matrix,  $A\xi(t)K$ , among individual pools,  $X(t)$ .  
179 Elements in vector  $B$ , matrices  $A$  and  $K$  could vary with many factors, such as vegetation types,  
180 soil texture, microbial attributes, and litter chemistry. For example, vegetation succession may  
181 influence elements in vector  $B$ , matrices  $A$  and  $K$  in addition to C input,  $u(t)$ , and forcing that  
182 affects C dynamics through environmental scalars,  $\xi(t)$ .

183         After synthesis of all the possible soil C cycle models based on six principles (mass  
184 balance, substrate dependence of decomposition, heterogeneity of decay rates, internal  
185 transformations of organic matter, environmental variability effects, and substrate interactions),  
186 Sierra and Müller (2015) concluded that this form of matrix equation such as eq. 1 represents the  
187 majority of terrestrial C cycle models. Similarly, Manzoni and Porporato (2009) concluded their  
188 review of 250 models that the majority of them use ordinary differential equations, which can be  
189 summarized by eq. 1, to describe land C cycle. Our mathematical analysis in this study used  
190 matrix operations of eq. 1 to reveal determinants of transient dynamics of terrestrial C cycle,  
191 including direction and rate of C storage changes, in response to global change. We examined  
192 assumptions underlying this equation and the validity of our analysis in the Discussion section.

193

## 194 **2.2 TECO Model, its physical emulator, and numerical experiments**

195 We conducted numerical experiments to support the mathematical analysis and thus help  
196 understand the characteristics of terrestrial C storage dynamics using the Terrestrial ECOSystem

197 (TECO) model. TECO has five major components: canopy photosynthesis, soil water dynamics,  
198 plant growth, litter and soil carbon decomposition and transformation, and nitrogen dynamics as  
199 described in detail by Weng and Luo (2008) and Shi et al. (2016). Canopy photosynthesis is  
200 referred from a two-leaf (sunlit and shaded) model developed by Wang and Leuning (1998). This  
201 submodel simulates canopy conductance, photosynthesis, and partitioning of available energy.  
202 The model combines the leaf photosynthesis model developed by Farquhar et al. (1980) and a  
203 stomatal conductance model (Harley et al., 1992). In the soil water dynamic submodel, soil is  
204 divided into 10 layers. The surface layer is 10 cm deep and the other 9 layers are 20 cm deep.  
205 Soil water content (SWC) in each layer results from the mass balance between water influx and  
206 efflux. The plant growth submodel simulates C allocation and phenology. Allocation of C among  
207 three plant pools, which are leaf, fine root, and wood, depends on their growth rates (Fig. 1a).  
208 Phenology dynamics are related to leaf onset, which is triggered by growing degree days, and  
209 leaf senescence, which is determined by temperature and soil moisture. The C transformation  
210 submodel estimates carbon transfer from plants to two litter pools and three soil pools (Fig. 1a).  
211 The nitrogen (N) submodel is fully coupled with C processes with one additional mineral N pool.  
212 Nitrogen is absorbed by plants from mineral soil and then partitioned among leaf, woody tissues  
213 and fine roots. Nitrogen in plant detritus is transferred among different ecosystem pools (i.e.  
214 litter, coarse wood debris, fast, slow and passive SOM) (Shi et al., 2016). The model is driven by  
215 climate data, which include air and soil temperature, vapor-pressure deficit, relative humidity,  
216 incident photosynthetically active radiation, and precipitation at hourly steps.

217         We first calibrated TECO with eddy flux data collected at Harvard Forest from 2006-  
218 2009. The calibrated model was spun up to the equilibrium state in pre-industrial environmental  
219 conditions by recycling a 10-year climate forcing (1850-1859). Then the model was used to

220 simulate C dynamics from year 1850 to 2100 with the historical forcing scenario for 1850-2005  
221 and RCP8.5 scenario for 2006-2100 as in the Community Land Model 4.5 (Oleson et al., 2013)  
222 in the grid cell where Harvard Forest is located.

223 To support the mathematical analysis using eq. 1, we first developed a physical emulator  
224 (i.e., the matrix representation of eq. 1) of the TECO model and then verified that the physical  
225 emulator can exactly represent simulations of the original TECO model. We first identified those  
226 parameter values in each of the C balance equations in the TECO model that are corresponding  
227 to elements in matrices  $A$  and  $K$  in eq. 1. The time-dependent variables for  $u(t)$ , elements in  
228 vector  $B$ , and elements in matrix  $\xi(t)$  in the physical emulator were directly from outputs of the  
229 original TECO model. Then those parameter values and time-dependent variables were  
230 organized into matrices  $A$ ,  $\xi(t)$ , and  $K$ ; vectors  $X(t)$ ,  $X_0$ , and  $B$ ; and variable  $u(t)$ . Note that  
231 values of  $u(t)$ ,  $B$ , and  $\xi(t)$  could be different among different climate scenarios. Those matrices,  
232 vectors, and variable were entered to matrix calculation to compute  $X'(t)$  using eq. 1. The sum  
233 of elements in calculated  $X'(t)$  is a 100% match with simulated net ecosystem production (NEP)  
234 with the TECO model (Fig. 1b).

235 Once eq. 1 was verified to exactly replicate TECO simulations, we used TECO to  
236 generate numerical experiments to support the mathematical analysis on the transient dynamics  
237 of terrestrial C storage. To analyze the seasonal patterns of C storage dynamics, we averaged 10  
238 series of three-year seasonal dynamics from 1851-1880. Then we used a 7-day moving window  
239 to further smooth the data.

240

### 241 **3. Results**

242

243 **3.1 Determinants of C storage dynamics**

244 The transient dynamics of terrestrial carbon storage are determined by two components: the C  
245 storage capacity and the C storage potential. The two components of C storage dynamics can be  
246 mathematically derived from multiplying both sides of eq. 1 by  $(A\xi(t)K)^{-1}$  as:

247 
$$X(t) = (A\xi(t)K)^{-1}Bu(t) - (A\xi(t)K)^{-1}X'(t) \quad (2)$$

248 The first term on the right side of eq. 2 is the C storage capacity and the second term is the C  
249 storage potential. Fig. 2a shows time courses of C storage and its capacity over one year for the  
250 leaf pool of Harvard Forest.

251 In eq. 2, we name the term  $(A\xi(t)K)^{-1}$  the chasing time,  $\tau_{ch}(t)$ , with a time unit used in  
252 exit rate  $K$ . The chasing time is defined as:

253 
$$\tau_{ch}(t) = (A\xi(t)K)^{-1} \quad (3)$$

254  $\tau_{ch}(t)$  is a matrix of C residence times through the network of individual pools each with a  
255 different residence time and fractions of received C connected by pathways of C transfer.  
256 Analogous to the fundamental matrix measuring life expectancies in demographic models  
257 (Caswell, 2000), the matrix,  $\tau_{ch}(t)$ , here measures expected residence time of a C atom in pool  $i$   
258 when it has entered from pool  $j$ . We call this matrix the fundamental matrix of chasing times to  
259 represent the time scale at which the net C pool change,  $X'(t)$ , is redistributed in the network.  
260 Meanwhile, the residence times of individual pools in network,  $\tau_N(t)$ , can be estimated by  
261 multiplying the fundamental matrix of chasing times,  $(A\xi(t)K)^{-1}$ , with a vector of partitioning  
262 coefficients,  $B$  as:

263 
$$\tau_N(t) = (A\xi(t)K)^{-1}B \quad (4a)$$

264 Ecosystem residence time,  $\tau_E(t)$ , is the sum of the residence time of all individual pools in  
265 network as:

266  $\tau_E(t) = (1 \quad 1 \quad \dots \quad 1)\tau_N(t)$  (4b)

267 Thus, the C storage capacity can be defined by:

268  $X_C(t) = (A\xi(t)K)^{-1}Bu(t)$  (5a)

269 Or it can be estimated from C input,  $u(t)$ , and residence time,  $\tau_N(t)$ , as:

270  $X_C(t) = \tau_N(t)u(t)$  (5b)

271 As C input (e.g., Gross or Net Primary Productions, GPP or NPP) and residence times vary with  
 272 time, the C storage capacity varies with time. It represents instantaneous responses of the  
 273 terrestrial C cycle to the external forcing. The modeled C storage capacity in the leaf pool (Fig.  
 274 2a), for example, increases in spring, reaches the peak at summer, declines in autumn, and  
 275 becomes minimal in winter largely due to strong seasonal changes in C input (Fig. 2b). Note that  
 276 either GPP or NPP can be used as C input for analysis of transient C dynamics. Estimated  
 277 residence times, however, are smaller with GPP as C input than those with NPP as input. In this  
 278 paper, we mostly used NPP as C input as that fraction of C is distributed among pools.

279 The C storage potential at time  $t$ ,  $X_p(t)$ , can be mathematically described as:

280  $X_p(t) = (A\xi(t)K)^{-1}X'(t)$  (6a)

281 Or it can be estimated from net C pool change,  $X'(t)$ , and chasing time,  $\tau_{ch}(t)$  as:

282  $X_p(t) = \tau_{ch}(t)X'(t)$  (6b)

283 Eqs. 6a and 6b suggest that the C storage potential represents re-distribution of net C pool  
 284 change,  $X'(t)$ , of individual pools through a network of pools with different residence times as  
 285 connected by C transfers from one pool to the others through all the pathways. As time evolves,  
 286 the net C pool change,  $X'(t)$ , is redistributed again and again through the network of pools. The  
 287 network of redistribution of next C pool change, thus, represents the potential of an ecosystem to  
 288 store additional C when it is positive and lose C when it is negative. The C storage potential can

289 also be estimated from the difference between the C storage capacity and the C storage itself at  
290 time  $t$  as:

$$291 \quad X_p(t) = X_c(t) - X(t) \quad (6c)$$

292 The C storage potential in the leaf pool, for example, is about zero in winter and early spring  
293 when the C storage capacity is very close to the storage itself (Fig. 2a). The C storage potential is  
294 positive when the capacity is larger than the storage itself from late spring to summer and early  
295 fall. As the storage capacity decreases to the point when the storage equals the capacity on the  
296 265<sup>th</sup> day of year (DOY), the C storage potential is zero. After that day, the C storage potential  
297 becomes negative.

298 Dynamics of ecosystem C storage,  $X(t)$ , can be characterized by three parameters: C  
299 influx,  $u(t)$ , residence times,  $\tau_N(t)$ , and the C storage potential  $X_p(t)$  as:

$$300 \quad X(t) = \tau_N(t)u(t) - X_p(t) \quad (7)$$

301 Eq. 7 represents a three-dimensional (3D) parameter space within which model simulation  
302 outputs can be placed to measure how and how much they diverge.

303 Note that sums of elements in vectors  $X(t)$ ,  $X_c(t)$ ,  $X_p(t)$ , and  $X'(t)$  are corresponding,  
304 respectively, to the whole ecosystem C stock, ecosystem C storage capacity, ecosystem C storage  
305 potential, and net ecosystem production (NEP). In this paper, we describe them wherever  
306 necessary rather than use a separate set of symbols to represent those sums.

307

### 308 **3.2 Direction and rate of C storage change at a given time**

309 Like studying any moving object, quantifying dynamics of land C storage needs to determine  
310 both the direction and the rate of its change at a given time. To determine the direction and rate  
311 of C storage change, we re-arranged eq. 2 to be:

312 
$$\tau_{ch}X'(t) = X_c(t) - X(t) = X_p(t) \quad (8a)$$

313 or re-arranging eq. 6a leads to:

314 
$$X'(t) = A\xi(t)KX_p(t) \quad (8b)$$

315 As all the elements in  $\tau_{ch}$  are positive, the sign of  $X'(t)$  is the same as for  $X_p(t)$ . That means  
 316  $X'(t)$  increases when  $X_c(t) > X(t)$ , does not change when  $X_c(t) = X(t)$ , and decreases when  
 317  $X_c(t) < X(t)$  at the ecosystem scale. Thus, the C storage capacity,  $X_c(t)$ , is an attractor and  
 318 hence determines the direction toward which the C storage,  $X(t)$ , chases at any given time point.  
 319 The rate of C storage change,  $X'(t)$ , is proportional to  $X_p(t)$  and also regulated by  $\tau_{ch}$ .

320 When we study C cycle dynamics, we are interested in understanding dynamics of not  
 321 only a whole ecosystem but also individual pools. Eq. 8a can be used to derive equations to  
 322 describe C storage change for an  $i^{\text{th}}$  pool as:

323 
$$\sum_{j=1}^n f_{ij} \tau_i x'_j(t) = \sum_{j=1}^n f_{ij} \tau_i b_j u(t) - x_i(t) = x_{p,i}(t) \quad (9a)$$

324 where  $n$  is the number of pools in a C cycle model,  $f_{ij}$  is a fraction of C transferred from pool  $j$   
 325 to  $i$  through all the pathways,  $\tau_i$  measures residence times of individual pools in isolation (in  
 326 contrast to  $\tau_N$  in the network),  $x'_j$  is the net C change in the  $j^{\text{th}}$  pool,  $b_j$  is a partitioning  
 327 coefficient of C input to the  $j^{\text{th}}$  pool,  $x_i(t)$  is the C storage in the  $i^{\text{th}}$  pool, and  $x_{p,i}(t)$  is the C  
 328 storage potential in the  $i^{\text{th}}$  pool. Eq. 9a means that the C storage potential of each pool at time  $t$ ,  
 329  $x_{p,i}(t)$ , is the sum of all the individual net C pool change,  $x'_j$ , multiplied by corresponding  
 330 residence time spent in pool  $i$  coming from pool  $j$ . Through re-arrangement, eq. 9a can be solved  
 331 for each individual pool net C change as a function of C storage potential of all the pools as:

332 
$$x'_i(t) = \frac{x_{c,i,u}(t) - x_{c,i,p}(t) - x_i(t)}{f_{ii}\tau_i} \quad (9b)$$

333 where  $x_{c,i,u}(t) = \sum_{j=1}^n f_{ij} \tau_i b_j u(t)$  for the maximal amount of C that can transfer from C input  
 334 to the  $i^{\text{th}}$  pool.  $x_{c,i,p}(t) = \sum_{j=1, j \neq i}^n f_{ij} \tau_i x'_j(t)$  for the maximal amount of C that can transfer from  
 335 all the other pools to the  $i^{\text{th}}$  pool.  $f_{ii} = 1$  for all the pools if there is no feedback of C among soil  
 336 pools.  $f_{ii} < 1$  when there are feedbacks of C among soil pools.

337 As plant pools get C only from photosynthetic C input,  $u(t)$ , but not from other pools,  
 338 the direction and rate of C storage change in the  $i^{\text{th}}$  plant pool is determined by:

$$339 \quad \begin{cases} x'_i(t) = \frac{x_{c,i}(t) - x_i(t)}{\tau_i} = \frac{X_{p,i}(t)}{\tau_i} \\ x_{c,i}(t) = b_i u(t) \tau_i \end{cases} \quad \text{for } i = 1, 2, 3 \quad (10)$$

340 The C storage capacity of plant pools equals the product of plant C input,  $u(t)$  (i.e., net primary  
 341 production, NPP), partitioning coefficient,  $b_i$ , and residence time,  $\tau_i$ , of its own pool (Fig. 2b-d).  
 342 Thus, the C storage capacities of the leaf, root, and wood pools are high in summer and low in  
 343 winter. Plant C storage,  $x_i(t)$ , still chases the storage capacity,  $x_{c,i}(t)$ , of its own pool at a rate  
 344 that is proportional to  $X_{p,i}(t)$ . For the leaf pool, the C storage,  $x_1(t)$ , increases when  $x_{c,1}(t) >$   
 345  $x_1(t)$  (or  $x_{p,1}(t) > 0$ ) from late spring until early fall on the 265<sup>th</sup> day of year (DOY) and then  
 346 decreases when  $x_{c,1}(t) < x_1(t)$  (or  $x_{p,1}(t) < 0$ ) from DOY of 265 until 326 during fall (Fig. 2a).

347 However, the direction of C storage change in litter and soil pools are no longer solely  
 348 determined by the storage capacity,  $x_{c,i}(t)$ , of their own pools or at a rate that is proportional to  
 349  $X_{p,i}(t)$ . The C storage capacity of one litter or soil pool has two components. One component,  
 350  $x_{c,i,u}(t)$  is set by the amount of plant C input,  $u(t)$ , going through all the possible pathways,  
 351  $f_{ij} b_j$ , multiplied by residence time,  $\tau_i$ , of its own pool. The second component measures the C  
 352 exchange of one litter or soil pool with other pools according to net C pool change,  $x'_j(t)$ ,  
 353 through pathways,  $f_{ij}, j \neq i$ , weighed by residence time,  $\tau_i$ , of its own pool. For example, C  
 354 input to the litter pool is a combination of C transfer from C input through the leaf, root, and

355 wood pools (Fig. 3c, 3d, and 3e) and C transfer due to the net C pool changes in the leaf, root,  
356 and wood pools (Fig. 3f, 3g, and 3h). Thus the first capacity component of the litter pool to store  
357 C is the sum of three products of NPP, C partitioning coefficient, and network residence time,  
358 respectively, through the leaf, root, and wood pools (Fig. 3c, 3d, and 3e). The second capacity  
359 component is the sum of other three products of C transfer coefficient along all the possible  
360 pathways, network residence time, and net C pool changes, respectively, in the leaf, root, and  
361 wood pools (Fig. 3f, 3g, and 3h). Thus, C storage in the  $i^{\text{th}}$  pool,  $x_i(t)$ , chases an attractor,  
362  $(\sum_{j=1}^n f_{ij} b_j u(t) - \sum_{j=1, j \neq i}^n f_{ij} \tau_i x'_j(t)) \tau_i$ , for litter and soil pools (Fig. 4).

363 In summary, due to the network of C transfer, C storage in litter and soil pools does not  
364 chase the C storage capacities of their own pools in a multiple C pool model (Fig. 4). The  
365 capacities for individual litter and soil pools measure the amounts of C that is transferred from  
366 photosynthetic C input through plant pools to be stored in those pools. However, those litter and  
367 soil pools also exchange C with other pools according to transfer coefficients along pathways of  
368 C movement multiplying net C pool change in those pools. Integration of the C input and C  
369 exchanges together still sets as a moving attractor toward which individual pool C storage  
370 approaches (Fig. 4).

371

### 372 **3.3 C storage dynamics under global change**

373 In response to a global change scenario that combines historical change and simulated RCP8.5 in  
374 the TECO experiment, the modeled ecosystem C storage capacity (the sum of all elements in  
375 vector  $X_c(t)$ ) at Harvard Forest increases from 27 kg C m<sup>-2</sup> in 1850 to approximately 38 kg C m<sup>-2</sup>  
376 in 2100 with strong interannual variability (Fig. 5a). The increasing capacity results from a  
377 combination of a nearly 44% increase in NPP with a ~2% decrease in ecosystem residence times

378 (the sum of all elements in vector  $\tau_E(t)$ ) during that period (Fig. 5b). The strong interannual  
379 variability in the modeled capacity is attributable to the variability in NPP and residence times,  
380 both of which directly respond to instantaneous variations in environmental factors. In  
381 comparison, the ecosystem C storage (the sum of all elements in vector  $X(t)$ ) itself gradually  
382 increases, lagging behind the capacity, with much dampened interannual variability (Fig. 5a).  
383 The dampened interannual variability is due to smoothing effects of pools with various residence  
384 times. In response to global change scenario RCP8.5, the ecosystem C storage potential (the sum  
385 of all elements in vector  $X_p(t)$ ) in the Harvard Forest ecosystem increases from zero at 1980 to  
386 3.5 kg C m<sup>-2</sup> in 2100 with strong fluctuation over years (Fig. 5a). Over seasons, the potential is  
387 high during the summer and low in winter, similarly with the seasonal cycle of the C storage  
388 capacity.

389         Since chasing time,  $\tau_{ch}$ , is a matrix and net C pool change,  $X'(t)$ , is a vector, eq. 6a or 6b  
390 (i.e., the C storage potential) can not be analytically separated into the chasing time and net C  
391 pool change as can the capacity into C input and residence time in eq. 5a or 5b for traceability  
392 analysis. The relationships among the three quantities can be explored by regression analysis.  
393 The ecosystem C storage potential fluctuates in a similar phase with NEP from 1850 to 2100  
394 (Fig. 5c). Consequently, the C storage potential is well correlated with NEP at the whole  
395 ecosystem scale (Fig. 5d). The slope of the regression line is a statistical representation of  
396 ecosystem chasing time. In this study, we find that  $r^2$  of the relationship between the storage  
397 potential and NEP is 0.79. The regression slope is 28.1 years in comparison with the ecosystem  
398 residence time of approximately 22 years (Fig. 5b).

399         The capacity and storage itself of individual pools display similar long-term trends and  
400 interannual variability to those for the total ecosystem C storage dynamics (Fig. 6). Noticeably,

401 the deviation of the C storage from the capacity, which is the C storage potential, is much larger  
402 for pools with long residence times than those with short residence times. For individual pools,  
403 the potential is nearly zero for those fast turnover pools and becomes very large for those pools  
404 with long residence time (Fig. 6).

405 For individual plant pools, eq. 10 describes the dependence of the C storage potential,  
406  $x_{p,i}(t)$ , on the pool-specific residence time,  $\tau_i$ ,  $i = 1, 2$ , and 3, and net C pool change of their  
407 own pools,  $x'_i(t)$ ,  $i = 1, 2$ , and 3. Thus, one value of  $x_{p,i}(t)$  is exactly corresponding to one  
408 value of  $x'_i(t)$  at slope of  $\tau_i$ , leading to the correlation coefficient in Fig. 7 being 1.00 for leaf,  
409 root, and wood pools. For a litter or soil pool, however, the C storage potential is not solely  
410 dependent on the residence time and net C pool change of its own pool but influenced by several  
411 other pools. Thus, the potential of one litter or soil pool is correlated with net C pool changes of  
412 several pools with different regression slopes (Fig. 7).

413

## 414 **4 Discussion**

### 415 4.1 Assumptions of the C cycle models and validity of this analysis

416 This analysis is built upon eq. 1, which represents the majority of terrestrial C cycle  
417 models developed in the past decades (Manzoni and Porporato, 2009; Sierra and Müller, 2015).  
418 Those models have several assumptions, which may influence the validity of this analysis. First,  
419 those models assume that donor pools control C transfers among pools and decomposition  
420 follows 1<sup>st</sup>-order decay functions (Assumption 1). This assumption is built upon observations  
421 from litter and SOC decomposition. Analysis of data from nearly 300 studies of litter  
422 decomposition (Zhang et al., 2008), about 500 studies of soil incubation (Schädel et al., 2014;  
423 Xu et al., 2016), more than 100 studies of forest succession (Yang et al., 2011), and restoration

424 (Matamala et al., 2008) almost all suggests that the 1<sup>st</sup>-order decay function captures  
425 macroscopic patterns of land C dynamics. Even so, its biological, chemical and physical  
426 underpinnings need more study (Luo et al., 2016). This assumption has recently been challenged  
427 by a notion that microbes are actively involved in decomposition processes. To describe the  
428 active roles of microbes in organic C decomposition, a suite of nonlinear microbial models has  
429 been proposed using Michaelis-Menten or reverse Michaelis-Menten equations (Allison et al.,  
430 2010; Wieder et al., 2013). Those nonlinear models exhibit unique behaviors of modeled  
431 systems, such as damped oscillatory responses of soil C dynamics to small perturbations and  
432 insensitivity of the equilibrium pool sizes of litter or soil carbon to inputs (Li et al., 2014; Wang  
433 et al., 2014; 2016). Oscillations have been documented for single enzymes at timescales between  
434  $10^{-4}$  to 10 seconds (English et al., 2006; Goldbeter, 2013; Xie, 2013). Over longer timescales  
435 with mixtures of large diversity of enzyme-substrate complexes in soil, oscillations may be likely  
436 averaged out so that the 1<sup>st</sup> order decay functions may well approximate these average dynamics  
437 of organic matter decomposition (Sierra and Müller, 2015).

438         Second, those models all assume that multiple pools can adequately approximate  
439 transformation, decomposition, and stabilization of SOC in the real world (Assumption 2). The  
440 classic SOC model, CENTURY, uses three conceptual pools, active, slow, and passive SOC, to  
441 represent SOC dynamics (Parton et al., 1987). Several models define pools that are  
442 corresponding to measurable SOC fractions to match experimental observation with modeling  
443 analysis (Smith et al., 2002; Stewart et al., 2008). Carbon transformation in soil over time has  
444 also been described by a partial differential function of SOM quality (Bosatta and Ågren, 1991;  
445 Ågren and Bosatta, 1996). The latter quality model describes the external inputs of C with  
446 certain quality, C loss due to decomposition, and the internal transformations of the quality of

447 soil organic matter. It has been shown that multi-pool models can approximate the partial  
448 differential function or continuous quality model as the number of pools increases (Bolker et al.,  
449 1998; Sierra and Müller, 2015).

450 Assumption 3 is on partitioning coefficients of C input (i.e., elements in vector  $B$ ) and C  
451 transformation among plant, litter, and soil pools (i.e., elements in the matrix,  $A\xi(t)K$ ). Some of  
452 the terrestrial C cycle models assume that elements in vector  $B$ , and matrices  $A$  and  $K$  are  
453 constants. All the factors or processes that vary with time are represented in the diagonal matrix  
454  $\xi(t)$ . In the real world, C transformation are influenced by environmental variables (e.g.,  
455 temperature, moisture, oxygen, N, phosphorus, and acidity varying with soil profile, space, and  
456 time), litter quality (e.g., lignin, cellulose, N, or their relative content), organomineral properties  
457 of SOC (e.g., complex chemical compounds, aggregation, physiochemical binding and  
458 protection, reactions with inorganic, reactive surfaces, and sorption), and microbial attributes  
459 (e.g., community structure, functionality, priming, acclimation, and other physiological  
460 adjustments) (Luo et al., 2016). It is not practical to incorporate all of those factors and processes  
461 into one model. Only a subset of them is explicitly expressed while the majority is implicitly  
462 embedded in the C cycle models. Empirical studies have suggested that temperature, moisture,  
463 litter quality, and soil texture are primary factors that control C transformation processes of  
464 decomposition and stabilization (Burke et al., 1989; Adair et al., 2008; Zhang et al., 2008; Xu et  
465 al., 2012; Wang et al., 2013). Nitrogen influences C cycle processes mainly through changes in  
466 photosynthetic C input, C partitioning, and decomposition. It is yet to identify how other major  
467 factors and processes, such as microbial activities and organomineral protection, regulate C  
468 transformation.

469 Assumption 4 is that terrestrial C cycle models use different response functions (i.e.,  
470 different  $\xi(t)$  in eq. 1) to represent C cycle responses to external variables. As temperature  
471 modifies almost all processes in the C cycle, different formulations, including exponential,  
472 Arrhenius, and optimal response functions, have been used to describe C cycle responses to  
473 temperature changes in different models (Lloyd and Taylor, 1994; Jones et al., 2005; Sierra and  
474 Müller, 2015). Different response functions are used to connect C cycle processes with moisture,  
475 nutrient availability, soil clay content, litter quality, and other factors. Different formulations of  
476 response functions may result in substantially different model projections (Exbrayat et al., 2013)  
477 but unlikely change basic dynamics of the model behaviors.

478 Assumption 5 is that disturbance events are represented in models in different ways  
479 (Grosse et al., 2011; West et al., 2011; Goetz et al., 2012; Hicke et al., 2012). Fire, extreme  
480 drought, insect outbreaks, land management, and land cover and land use change influence  
481 terrestrial C dynamics via 1) altering rate processes, for example, gross primary productivity  
482 (GPP), growth, tree mortality, or heterotrophic respiration; 2) modifying microclimatic  
483 environments; 3) transferring C from one pool to another (e.g., from live to dead pools during  
484 storms or release to the atmosphere with fire) (Kloster et al., 2010; Thonicke et al., 2010; Luo  
485 and Weng, 2011; Prentice et al., 2011; Weng et al., 2012). Those disturbance influences can be  
486 represented in terrestrial C cycle models through changes in parameter values, environmental  
487 scalars, and/or discrete C transfers among pools of eq. 1 (Luo and Weng 2011). While eq. 1 does  
488 not explicitly incorporate disturbances for their influences on land C cycle, Weng et al. (2012)  
489 developed a disturbance regime model that combines eq. 1 with frequency distributions of  
490 disturbance severity and intervals to quantify net biome exchanges.

491 The sixth assumption that those models make is that the lateral C fluxes through erosion

492 or local C drainage is negligible so that eq (1) can approximate terrestrial C cycle over space. If  
493 soil erosion is substantial enough to be modeled with horizontal movement of C, a third  
494 dimension should be added in addition to two-dimensional transfers in classic models.

495 Our analysis on transient dynamics of terrestrial C cycle is valid unless some of the  
496 assumptions are violated. Assumption 1 on the 1<sup>st</sup>-order decay function of decomposition  
497 appears to be supported by thousands of datasets. It is a burden on microbiologists to identify  
498 empirical evidence to support the nonlinear microbial models. Assumption 2 may not affect the  
499 validity of our analysis no matter how C pools are divided in the ecosystems. Our analysis in this  
500 study is applicable no matter whether elements are time-varying or constant in vector  $B$  and  
501 matrices  $A$  and  $K$  as in assumption 3. Neither assumption 4 nor 5 would affect the analysis in this  
502 study. The environmental scalar,  $\xi(t)$ , as related to assumption 4 can be any forms in the derived  
503 equations (e.g., eq. 2). Disturbances of fire, land use, and extreme drought change rate processes  
504 but do not alter the basic formulation of eq. 1. If soil erosion and lateral transportation of C  
505 become a major research objective, Eq. (1) can no longer be analyzed to understand the  
506 mathematical foundation underlying transient dynamics of terrestrial C cycle.

507

## 508 **4.2 Carbon storage capacity**

509 One of the two components this analysis introduces to understand transient dynamics of  
510 terrestrial C storage is the C storage capacity (Eq. 2). Olson (1963) is probably among the first  
511 who systematically analyzed C storage dynamics at forest floor as functions of litter production  
512 and decomposition. He collected data of annual litter production and approximately steady-state  
513 organic C storage at forest floor, from which decomposition rates were estimated for a variety of  
514 ecosystems from Ghana in the tropics to alpine forests in California. Using the relationships

515 among litter production, decomposition, and C storage, Olson (1963) explored several issues,  
516 such as decay without input, accumulation with continuous or discrete annual litter fall, and  
517 adjustments in production and decay parameters during forest succession. His analysis  
518 approximated the steady-state C storage as the C input times the inverse of decomposition (i.e.,  
519 residence time). The steady-state C storage is also considered the maximal amount of C that a  
520 forest can store.

521 This study is not only built upon Olson's analysis but also expands it at least in two  
522 aspects. First, we similarly define the C storage capacity (i.e., eqs. 5a and 5b). Those equations  
523 can be applied to a whole ecosystem with multiple C pools while Olson's analysis is for one C  
524 pool. Second, Olson (1963) treated the C input and decomposition rate as yearly constants at a  
525 given location even though they varied with locations. This study considers both C input and rate  
526 of decomposition being time dependent. A dynamical system with its input and parameters being  
527 time dependent mathematically becomes a nonautonomous system (Kloeden and Rasmussen,  
528 2011). As terrestrial C cycle under global change is transient, we need to treat it a  
529 nonautonomous system to better understand the properties of transient dynamics. Olson (1963)  
530 approximated the non-autonomous system at the yearly time scale without global change so as to  
531 effectively understand properties of the steady-state C storage at the forest floor. In comparison,  
532 eqs. 5a and b are not only more general but also essential for understanding transient dynamics  
533 of the terrestrial C cycle in response to global change.

534 Under the transient dynamics, the C storage capacity as defined by eqs 5a and b still sets  
535 the maximal amount of C that one ecosystem can store at time  $t$ . This capacity represents  
536 instantaneous responses of ecosystem C cycle to external forcing via changes in both C input and  
537 residence time, and thus varies within one day, over seasons of a year, and interannually over

538 longer time scales as forcings vary. The variation of the C storage capacity can result from cyclic  
539 environmental changes (e.g., diel and seasonal changes), directional global change (e.g., rising  
540 atmospheric CO<sub>2</sub>, nitrogen deposition, altered precipitation, and warming), disturbance events,  
541 disturbance regime shifts, and changing vegetation dynamics (Luo and Weng, 2011). As the  
542 capacity sets the maximal amount of C storage (Fig. 2a), it is a moving attractor toward which  
543 the current C storage chases. When the capacity is larger than the C storage itself, C storage  
544 increases. Otherwise, the C storage decreases.

545

#### 546 **4.3 Carbon storage potential**

547 The C storage potential represents the internal capability to equilibrate the current C storage with  
548 the capacity. Biogeochemically, the C storage potential represents re-distribution of net C pool  
549 change,  $X'(t)$ , of individual pools through a network of pools with different residence times as  
550 connected by C transfers from one pool to the others through all the pathways. The potential is  
551 conceptually equivalent to the magnitude of disequilibrium as discussed by Luo and Weng  
552 (2011).

553 Extensive studies have been done to quantify terrestrial C sequestration. The most  
554 commonly estimated quantities for C sequestration include net ecosystem exchange (NEE), C  
555 stocks in ecosystems (i.e., plant biomass and SOC) and their changes (Baldocchi et al., 2001; Pan  
556 et al., 2013). This study, for the first time, offers the theoretical basis to estimate the terrestrial C  
557 storage potential in at least two approaches: (1) the product of chasing time and net C pool  
558 change with eqs. 6a and 6b; and (2) the difference between the C storage capacity and the C  
559 storage itself with eqs. 6c. Since the time-varying C storage capacity is fully defined by  
560 residence time and C input at any given time, C storage potential can be estimated from three

561 quantities: C input, residence time, and C storage.

562 To effectively quantify the C storage potential in terrestrial ecosystems, we need various  
563 data sets from experimental and observatory studies to be first assimilated into models. For  
564 example, data from Harvard Forest were first used to constrain the TECO model. The  
565 constrained model was used to explore changes in ecosystem C storage in response to global  
566 change scenario, RCP8.5. That scenario primarily stimulated NPP, which increased from 1.06 to  
567 1.8 kg C m<sup>-2</sup> yr<sup>-1</sup> in the Harvard Forest (Fig. 5b). Although climate warming decreased residence  
568 time in the Harvard Forest, the substantial increases in NPP resulted in increases in the C storage  
569 potential over time.

570

#### 571 **4.4 Novel approaches to model evaluation and improvement**

572 Our analysis of transient C cycle dynamics offers new approaches to understand,  
573 evaluate, diagnose, and improve land C cycle models. We have demonstrated that many global  
574 land C cycle models can be exactly represented by the matrix equation (Eqs. 1 and 2) (i.e.,  
575 physical emulators). As a consequence, outputs of all those models can be placed into a three  
576 dimensional (3D) space (Eq. 7) to measure their differences. In addition, components of land C  
577 cycle models are simulated in a mutually independent fashion so that modeled C storage can be  
578 decomposed into traceable components for traceability analysis. Moreover, the physical  
579 emulators computationally enable data assimilation to constrain complex models.

580 *Physical Emulators of land C cycle models* We have developed matrix representations  
581 (i.e., physical emulators) of CABLE, LPJ-GUESS, CLM3.5, CLM 4.0, CLM4.5, BEPS, and  
582 TECO (Xia et al., 2013; Hararuk et al., 2014; Ahlström et al., 2015; Chen et al., 2015). The  
583 emulators can exactly replicate simulations of C pools and fluxes with their original models

584 when driven by a limited set of inputs from the full model (GPP, soil temperature, and soil  
585 moisture) (Fig. 1b and 1c). However, the physical emulators differ for different models as the  
586 elements of each matrix could be differently parameterized or formulized in different models.  
587 Also, different models usually have different pool-flux structures, leading to different non-zero  
588 elements in the  $A$  matrix. Nonetheless, the physical emulators make complex models analytically  
589 clear and, therefore, give us a way to understand the effects of forcing, model structures, and  
590 parameters on modeled ecosystem processes. They greatly simplify the task of understanding the  
591 dynamics of submodels and interactions between them. The emulators allow us to analyze model  
592 results in the 3D parameter space and the traceability framework.

593 *Parameter space of C cycle dynamics* Eq. 7 indicates that transient dynamics of modeled  
594 C storage are determined by three parameters: C input, residence time, and C storage potential.  
595 The 3D parameter space offers one novel approach to uncertainty analysis of global C cycle  
596 models. As global land models incorporate more and more processes to simulate C cycle  
597 responses to global change, it becomes very difficult to understand or evaluate complex model  
598 behaviors. As such, differences in model projections cannot be easily diagnosed and attributed to  
599 their sources (Chatfield, 1995; Friedlingstein et al., 2006; Luo et al., 2009). Eq. 7 can help  
600 diagnose and evaluate complex models by placing all modeling results within one common  
601 parameter space in spite of the fact that individual global models may have tens or hundreds of  
602 parameters to represent C cycle processes as affected by many abiotic and biotic factors (Luo et  
603 al., 2016). The 3D space can be used to measure how and how much the models diverge.

604 *Traceability analysis* The two terms on the right side of eq. 2 can be decomposed into  
605 traceable components (Xia et al., 2013) so as to identify sources of uncertainty in C cycle model  
606 projections. Model intercomparison projects (MIPs) all illustrate great spreads in projected land

607 C sink dynamics across models (Todd-Brown et al., 2013; Tian et al., 2015). It has been  
608 extremely challenging to attribute the uncertainty to sources. Placing simulation results of a  
609 variety of C cycle models within one common parameter space can measure how much the  
610 model differences are in a common metrics (Eq. 7). The measured differences can be further  
611 attributed to sources in model structure, parameter, and forcing fields with traceability analysis  
612 (Xia et al., 2013; Rafique et al., 2014; Ahlström et al., 2015; Chen et al., 2015). The traceability  
613 analysis also can be used to evaluate effectiveness of newly incorporated modules into existing  
614 models, such as adding the N module on simulated C dynamics (Xia et al., 2013) and locate the  
615 origin of model ensemble uncertainties to external forcing vs. model structures and parameters  
616 (Ahlström et al., 2015).

617 *Constrained estimates of terrestrial C sequestration* Traditionally, global land C sink is  
618 indirectly estimated from airborne fraction of C emission and ocean uptake. Although many  
619 global land models have been developed to estimate land C sequestration, a variety of MIPs  
620 indicate that model predictions widely vary among them and do not fit observations well  
621 (Schwalm et al., 2010; Luo et al., 2015; Tian et al., 2015). Moreover, the prevailing practices in  
622 the modeling community, unfortunately, may not lead to significant enhancements in our  
623 confidence on model predictions. For example, incorporating an increasing number of processes  
624 that influence the C cycle may represent the real-world phenomena more realistically but makes  
625 the models more complex and less tractable. MIPs have effectively revealed the extent of the  
626 differences between model predictions (Schwalm et al., 2010; Keenan et al., 2012; De Kauwe et  
627 al., 2013) but provide limited insights into sources of model differences (but see Medlyn et al.  
628 2015). The physical emulators make data assimilation computationally feasible for global C  
629 cycle models (Hararuk *et al.* 2014; 2015) and thus offer the possibility to generate independent

630 yet constrained estimates of global land C sequestration to be compared with the indirect  
631 estimate from the airborne fraction of C emission and ocean uptake. With the emulators, we can  
632 assimilate most of the C flux- and pool-related datasets into those models to better constrain  
633 global land C sink dynamics.

634

## 635 **5 Concluding remarks**

636 In this study we theoretically explored the transient dynamics of terrestrial C storage. Our  
637 analysis indicates that transient C storage dynamics can be partitioned into two components: the  
638 C storage capacity and the C storage potential. The capacity, which is the product of C input and  
639 residence time, represents their instantaneous responses to a state of external forcing at a given  
640 time. Thus, the C storage capacity quantifies the maximum amount of C that an ecosystem can  
641 store at the given environmental condition at a point of time. Thus it varies diurnally, seasonally,  
642 and interannually as environmental condition changes.

643         The C storage potential is the difference between the capacity and the current C storage  
644 and thus measures the magnitude of disequilibrium in the terrestrial C cycle (Luo and Weng,  
645 2011). The storage potential represents the internal capability (or recovery force) of the  
646 terrestrial C cycle to influence the change in C storage in the next time step through  
647 redistribution of net C pool changes in a network of multiple pools with different residence  
648 times. The redistribution drives the current C storage towards the capacity and thus equilibrates  
649 C efflux with influx.

650         The two components of land C storage dynamics represent interactions of external forces  
651 (via changes in the capacity) and internal capability of the land C cycle (via changes in the C  
652 storage potential) to generate complex phenomena of C cycle dynamics, such as fluctuations,

653 directional changes, and tipping points, in the terrestrial ecosystems. From a system perspective,  
654 these complex phenomena could not be generated by relatively simple internal processes but are  
655 mostly caused by multiple environmental forcing variables interacting with internal processes  
656 over different temporal and spatial scales as explained by Luo and Weng (2011) and Luo et al.  
657 (2015). Note that while those internal processes can be mathematically represented with a  
658 relatively simple formula, their ecological and biological underpinnings can be very complex.

659         The theoretical framework developed in this study has the potential to revolutionize  
660 model evaluation. Our analysis indicates that the matrix equation as in eq. 1 or 2 can adequately  
661 emulate most of the land C cycle models. Indeed, we have developed physical emulators of  
662 several global land C cycle models. In addition, predictions of C dynamics with complex land  
663 models can be placed in a 3D parameter space as a common metric to measure how much model  
664 predictions are different. The latter can be traced to its source components by decomposing  
665 model predictions to a hierarchy of traceable components. Moreover, the physical emulators  
666 make it computationally possible to assimilate multiple sources of data to constrain predictions  
667 of complex models.

668         The theoretical framework we developed in this study can well explain dynamics of C  
669 storage in response to cyclic seasonal change in external forcings (e.g., Figs. 2 and 3), climate  
670 change, and rising atmospheric CO<sub>2</sub> (Fig. 5). It can also explain responses of ecosystem C  
671 storage to disturbances and other global change factors, such as nitrogen deposition, land use  
672 changes, and altered precipitation. The theoretical framework is simple and straightforward but  
673 able to characterize the direction and rate of C storage change, which are arguably among the  
674 most critical issues for quantifying terrestrial C sequestration. Future research should explicitly  
675 incorporate stochastic disturbance regime shifts (e.g., Weng et al., 2012) and vegetation

676 dynamics (Moorcroft et al., 2001; Purves and Pacala, 2008; Fisher et al., 2010; Weng et al.,  
677 2015) into this theoretical framework to explore their theoretical issues related to  
678 biogeochemistry.

679

## 680 **6 Code availability**

681 Computer code of the TECO model and its physical emulator are available at  
682 <http://ecolab.ou.edu/download/TECO%20Emulator.php>

683

684

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693

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968 **Fig. 1** The Terrestrial ECOsystem (TECO) model and its outputs. Panel a is a schematic  
 969 representation of C transfers among multiple pools in plant, litter and soil in the TECO model.  
 970 TECO has feedback loops of C among soil pools. CWD = coarse wood debris, SOM = Soil  
 971 Organic Matter. Panel b compares the original TECO model outputs with those from matrix  
 972 equations for net ecosystem production (NEP = the sum of elements in  $X'(t)$  from eq. 1). The  
 973 perfect match between the TECO outputs and NEP from eq. 1 is due to the fact that they are  
 974 mathematically equivalent. Panel c compares the original TECO model outputs with those from  
 975 matrix equations for ecosystem C storage (= the sum of elements in  $X(t)$  from eq. 2). The C  
 976 storage values calculated with eq. 2 are close to 1:1 line with  $r^2 = 0.998$  with the modeled values  
 977 (panel c). The minor mismatch in estimated C storage between the matrix equation calculation  
 978 and TECO outputs is due to numerical errors via inverse matrix operation with some small  
 979 numbers.

980  
 981 **Fig. 2** Seasonal cycles of the C storage capacity and C storage dynamics for the leaf pool (i.e.,  
 982 pool 1 as shown in Fig. 1). All the components are showed in panels b-d to calculate  $x_{c,1}(t) =$   
 983  $b_1 u(t) \tau_1$  through multiplication, where  $u(t) = NPP$  and  $\tau_1 = 1/k_1$  for leaf.

984  
 985 **Fig. 3** Seasonal cycles of the C storage capacity and C storage dynamics for the litter pool (i.e.,  
 986 pool 4 as shown in Fig. 1). All the components are showed to calculate  
 987  $x_{c,4,u}(t) = \sum_{j=1}^n f_{4j} \tau_4 b_j u(t)$  in panels b-e and  $x_{c,4,p}(t) = \sum_{j=1, j \neq 4}^n f_{4j} \tau_4 x'_j(t)$  in panels f-i for  
 988 litter.  $x_{c,4,u}(t)$  is the maximal amount of C that can transfer from C input to the litter pool.  
 989  $x_{c,4,p}(t)$  is the maximal amount of C that can transfer from all the other pools to the litter pool.  
 990 This figure is to illustrate the network of pools through which C is distributed.

991

992 **Fig. 4** Components of the C storage capacity for litter pool (i.e., pool 4 as shown in Fig. 1).

993 Component,  $x_{c,4,u}(t)$ , is the C from C input and component,  $x_{c,4,p}(t)$ , is the C from all the other  
994 pools to the litter pool. The sum of them is the attractor that determines the direction of C storage  
995 change in pool 4.

996

997 **Fig. 5** Transient dynamics of ecosystem C storage in response to global change in Harvard

998 Forest. Panel a shows the time courses of the ecosystem C storage capacity, the ecosystem C

999 storage potential, and ecosystem C storage (i.e., C stock) from 1850 to 2100. Panel b shows time

1000 courses of NPP(t) as C input and ecosystem residence times. Panel c shows correlated changes in

1001 ecosystem C storage potential and net ecosystem production (NEP). Panel d illustrates the

1002 regression between the C storage potential and NEP.

1003

1004 **Fig. 6** The C storage capacity ( $x_{c,i}(t)$ ), the C storage potential ( $x_{p,i}(t)$ ), and C storage ( $x_i(t)$ ) of

1005 individual pools. The potential is nearly zero for those fast turnover pools with short residence

1006 times but very large for those pools with long residence times.

1007

1008 **Fig. 7** The C storage potential of individual pools ( $x_{p,i}$ ) as influenced by net C pool change of

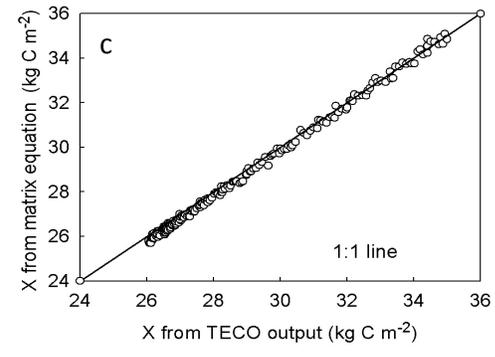
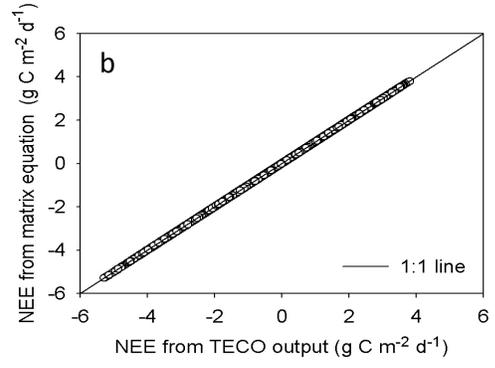
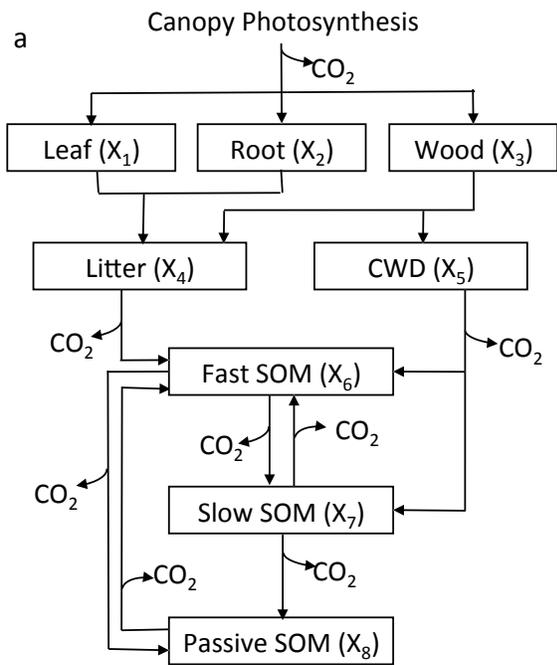
1009 different pools ( $x'_i$ ) in their corresponding rows. The correlation coefficients show the degree of

1010 influences of net C pool change in one pool on the C storage potential of the corresponding pool

1011 through the network of C transfer. Those empty cells indicate no pathways of C transfer between

1012 those pools as indicated in Fig. 1.

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Fig. 1

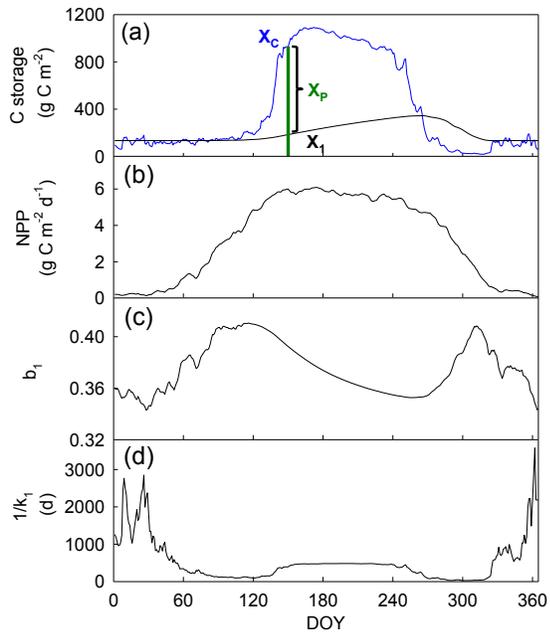
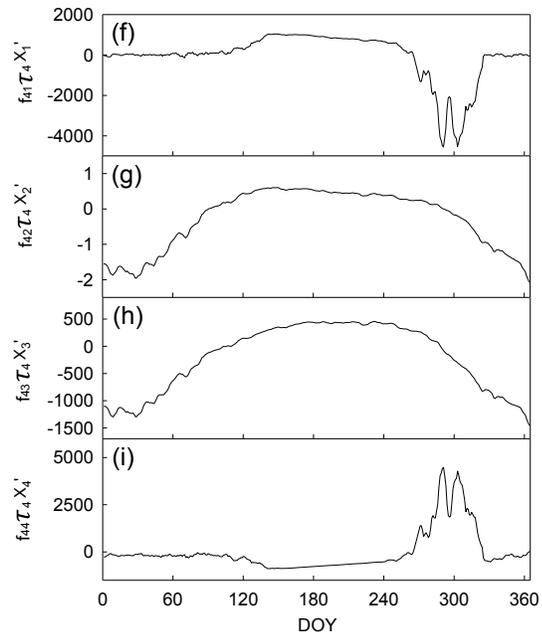
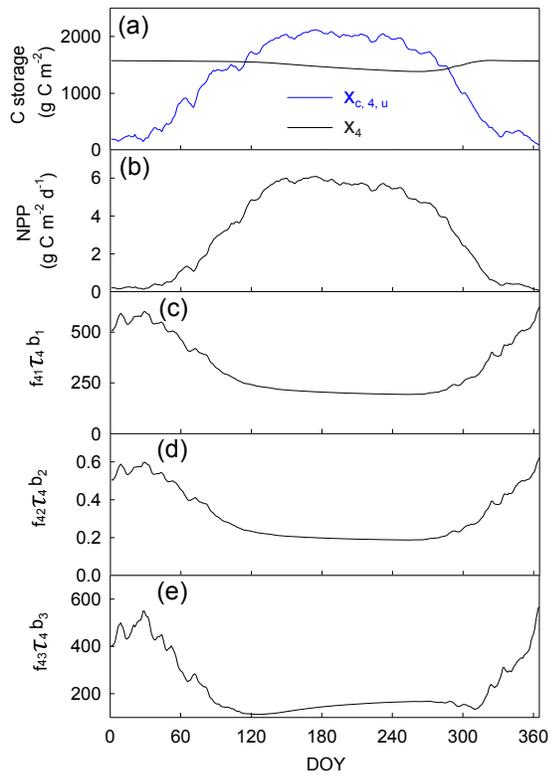


Fig. 2

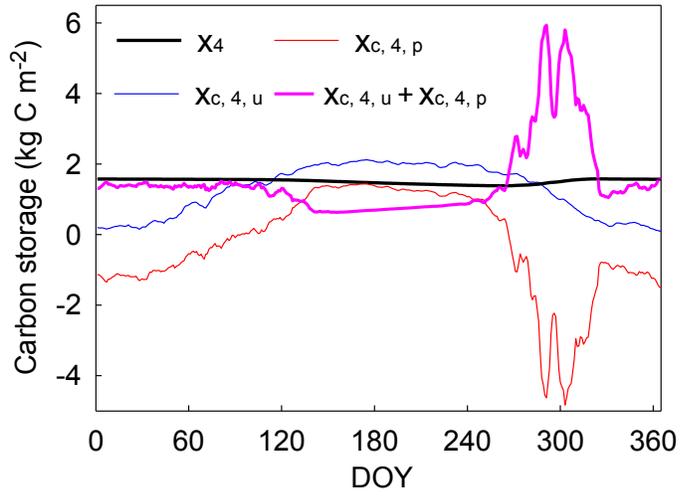
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Fig. 3

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Fig. 4

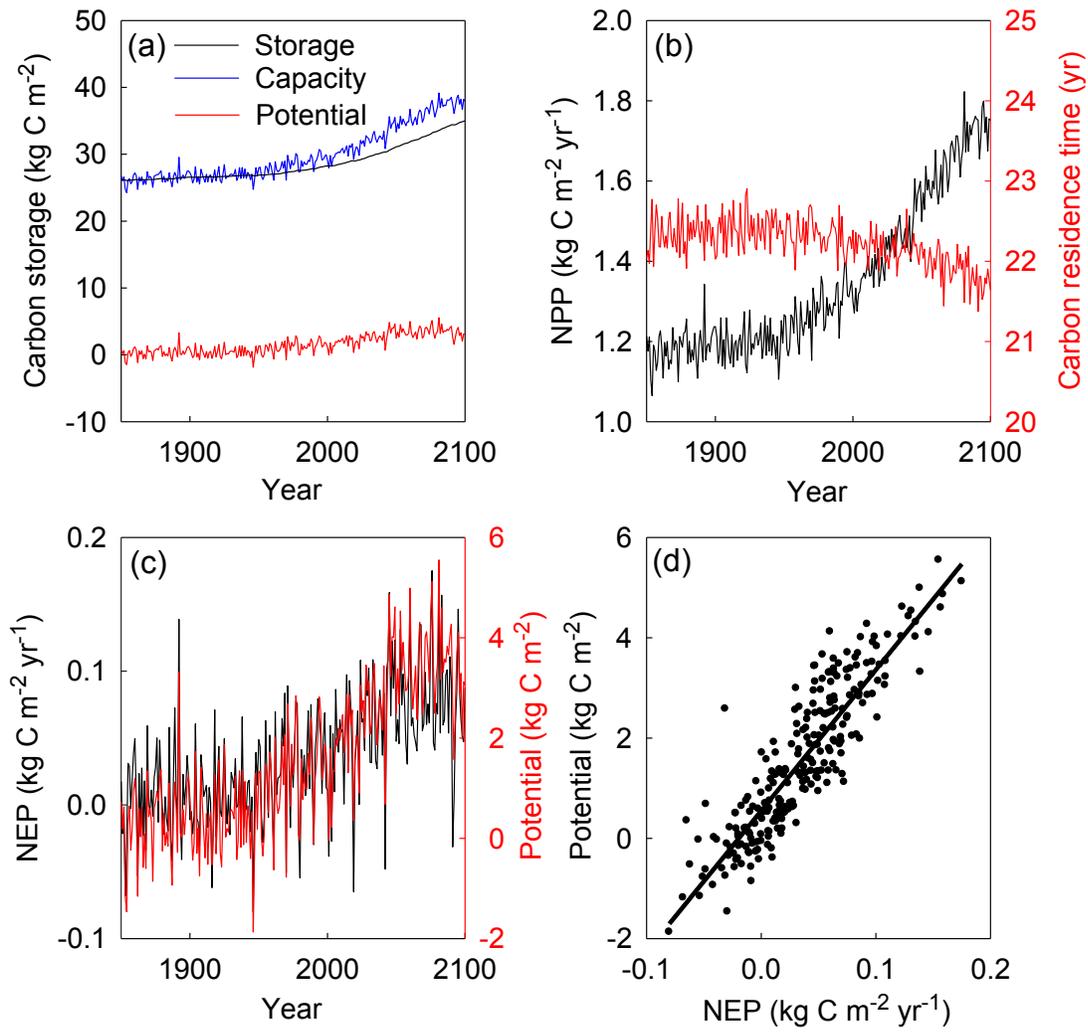


Fig. 5

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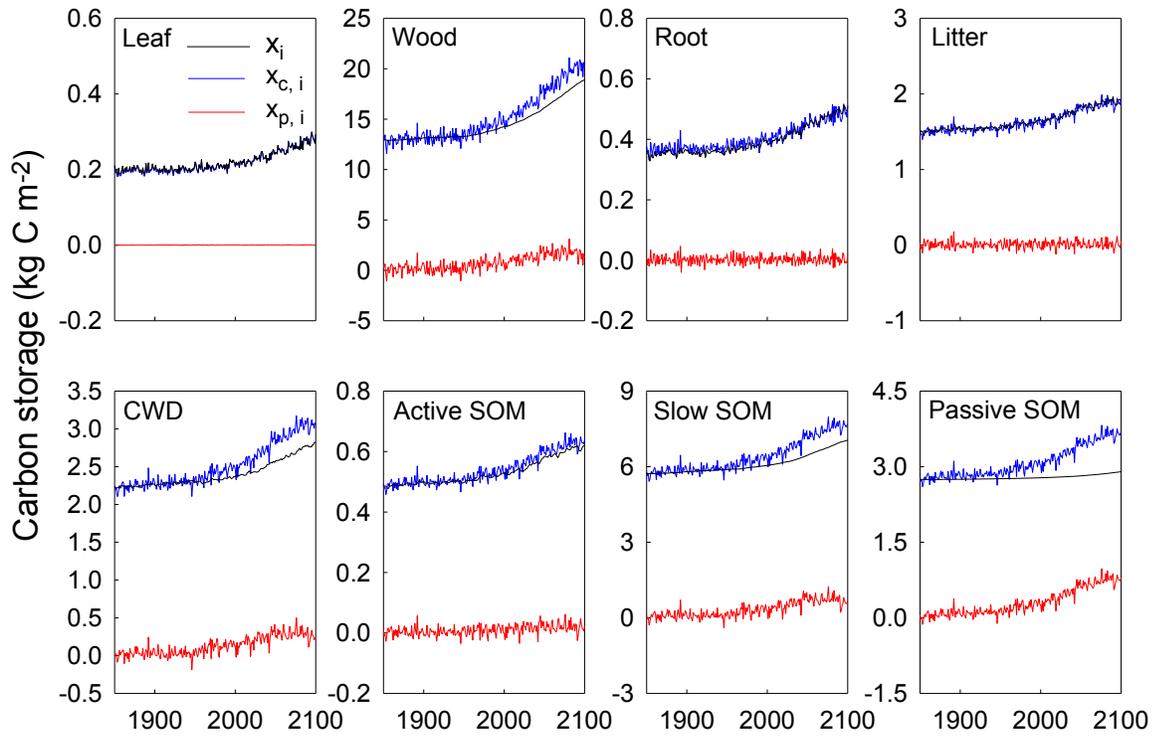
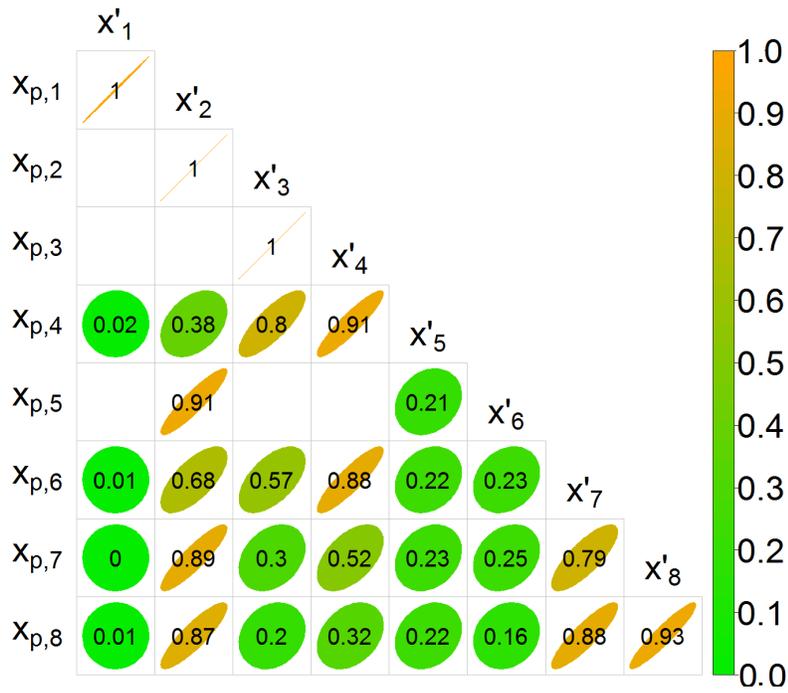


Fig. 6

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Fig. 7