

Gross primary production

1 **Modelling spatial and temporal dynamics of ~~GPP~~ in the Sahel from**  
2 **earth observation based photosynthetic capacity and quantum**  
3 **efficiency**

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20 **Abstract.** It has been shown that vegetation growth in semi-arid regions is important to the global terrestrial CO<sub>2</sub> sink,  
21 which indicates the strong need for improved understanding and spatially explicit estimates of CO<sub>2</sub> uptake (gross  
22 primary production (GPP)) in semi-arid ecosystems. This study has three aims: 1) to evaluate the MOD17A2H GPP  
23 (collection 6) product against eddy covariance (EC) based GPP for six sites across the Sahel; 2) to characterize  
24 relationships between spatial and temporal variability in EC based photosynthetic capacity ( $F_{opt}$ ) and quantum  
25 efficiency ( $\alpha$ ) and earth observation (EO) based vegetation indices (normalized difference vegetation index (NDVI);  
26 renormalized difference vegetation index (RDVI); enhanced vegetation index (EVI); and shortwave infrared water  
27 stress index (SIWSI)); and 3) to study the applicability of EO upscaled  $F_{opt}$  and  $\alpha$  for GPP modelling purposes.  
28 MOD17A2H GPP (collection 6) drastically underestimated GPP, most likely because maximum light use efficiency is  
29 set too low for semi-arid ecosystems in the MODIS algorithm. Intra-annual dynamics in  $F_{opt}$  were closely related to  
30 SIWSI being sensitive to equivalent water thickness, whereas  $\alpha$  was closely related to RDVI being affected by  
31 chlorophyll abundance. Spatial and inter-annual dynamics in  $F_{opt}$  and  $\alpha$  were closely coupled to NDVI and RDVI,  
32 respectively. Modelled GPP based on  $F_{opt}$  and  $\alpha$  upscaled using EO based indices reproduced in situ GPP well for all  
33 except a cropped site that was strongly impacted by anthropogenic land use. Upscaled GPP for the Sahel 2001-2014  
34 was  $736 \pm 39$  g C m<sup>-2</sup> y<sup>-1</sup>. This study indicates the strong applicability of EO as a tool for spatially explicit estimates of  
35 GPP,  $F_{opt}$  and  $\alpha$ ; incorporating EO based  $F_{opt}$  and  $\alpha$  in dynamic global vegetation models could improve global  
36 estimates of vegetation production, ecosystem processes and biogeochemical and hydrological cycles.

37 \* do you estimate  
processes?  
cycles

↑ global estimates of  
ecological processes...?

38 **Keywords:** Remote sensing, <sup>G</sup>Gross <sup>P</sup>Primary <sup>P</sup>Productivity, <sup>tion</sup>MOD17A2H, light use efficiency, photosynthetic capacity,  
39 quantum efficiency

↑  
meaningless to most!  
Can you pick up a better

40 **1 Introduction**

X

41 Vegetation growth in semi-arid regions is an important sink for fossil fuel emissions. Mean carbon dioxide (CO<sub>2</sub>)  
42 uptake by terrestrial ecosystems is dominated by highly productive lands, mainly tropical forests, whereas semi-arid  
43 regions are the main biome driving its inter-annual variability (Ahlström et al., 2015; Poulter et al., 2014). Semi-arid  
44 regions even contribute to 60% of the long-term trend in the global terrestrial C sink (Ahlström et al., 2015). It is thus  
45 important to understand long-term variability of vegetation growth in semi-arid areas and the response of vegetation to  
46 environmental conditions to better quantify and forecast effects of climate change.

Key word?

47 The Sahel is a semi-arid transition zone between the dry Sahara desert in the North and the humid Sudanian savanna  
48 in the South. The region has experienced numerous severe droughts over the last decades, which resulted in region-wide  
49 famines in 1972-1973 and 1984-1985 and localized food shortages across the region in 1990, 2002, 2004, 2011 and  
50 2012 (Abdi et al., 2014; United Nations, 2013). Vegetation production is thereby an important ecosystem service for  
51 livelihoods in the Sahel, but it is under threat. The region is experiencing strong population growth, increasing the  
52 demand on ecosystem services due to cropland expansion, increased pasture stocking rates and fuelwood extraction  
53 (Abdi et al., 2014).

54 At the same time as we have reports of declining vegetation production, we have contradicting reports of the greening  
55 of the Sahel based on earth observation (EO) data (Dardel et al., 2014; Fensholt et al., 2013). The greening of the Sahel  
56 has mainly been attributed to alleviated drought stress conditions due to increased precipitation since the mid-1990s  
57 (Hickler et al., 2005). Climate is thus another important factor regulating vegetation production. Semi-arid regions, such  
58 as the Sahel, are particularly vulnerable to climate fluctuations due to their dependency on moisture.

59 Estimation of gross primary production (GPP), i.e. uptake of atmospheric CO<sub>2</sub> by vegetation, is still a major challenge  
60 for the remote sensing of ecosystem services. Gross primary production is a main driver of ecosystem services such as  
61 climate regulation, carbon (C) sequestration, C storage, food production and livestock grassland production. Within EO,  
62 spatial quantification of GPP generally involves light use efficiency (LUE), defined as the conversion efficiency of  
63 absorbed solar light into CO<sub>2</sub> uptake (Monteith, 1972, 1977). It has been shown that LUE varies in space and time due  
64 to factors such as plant functional type, drought and temperature, nutrient levels and physiological limitations of  
65 photosynthesis (Garbulsy et al., 2010; Paruelo et al., 2004; Kergoat et al., 2008). The LUE concept has been applied  
66 through various methods, either by using a biome-specific LUE constant (Ruimy et al., 1994) or by modifying a  
67 maximum LUE using meteorological variables (Running et al., 2004).

68 An example of a LUE based model is the standard GPP product from the Moderate Resolution Imaging  
69 Spectroradiometer (MODIS) sensor (MOD17A2). Within the model, absorbed photosynthetically active radiation  
70 (PAR) is estimated as a product of the fraction of PAR absorbed by green vegetation (FPAR from MOD15A2)  
71 multiplied with daily PAR from the meteorological data of the Global Modeling and Assimilation Office (GMAO). A  
72 set of maximum LUE parameters specified for each biome are extracted from a Biome Properties Look-Up Table  
73 (BPLUT). Then maximum LUE is modified depending on air temperature (T<sub>air</sub>) and vapour pressure deficit (VPD)  
74 (Running et al., 2004). Sjöström et al. (2013) evaluated the MOD17A2 product (collection 5.1) for Africa and showed

75 that it underestimated GPP for semi-arid savannas in the Sahel. Explanations for this underestimation were that the  
76 assigned maximum LUE from BPLUT was set too low and that there were uncertainties in the FPAR product  
77 (MOD15A2). Recently, a new collection of MOD17A2 at a 500 m spatial resolution was released (MOD17A2H;  
78 collection 6) with an updated BPLUT, updated GMAO meteorological data, improved quality control and gap-filling of  
79 the FPAR data from MOD15A2 (Running and Zhao, 2015).

80 It has been shown that the LUE method does not perform well in arid conditions and at agricultural sites (Turner et  
81 al., 2005). Additionally, the linearity assumed by the LUE model is not usually found as the response of GPP to  
82 incoming light follows more ~~of~~ an asymptotic curve (Cannell and Thornley, 1998). Investigating other methods for  
83 remotely determining GPP is thus of great importance, especially for semi-arid environments. Therefore, instead of  
84 LUE, we focus on the light response function of GPP at the canopy scale, and spatial and temporal variation of its two  
85 main parameters: maximum GPP under light saturation (canopy-scale photosynthetic capacity;  $F_{opt}$ ) and the initial slope  
86 of the light response function (canopy-scale quantum efficiency;  $\alpha$ ) (Falge et al., 2001; Tagesson et al., 2015a).  
87 Photosynthetic capacity is a measure of the maximum rate at which the canopy can fix  $CO_2$  during photosynthesis  
88 ( $\mu mol CO_2 m^{-2} s^{-1}$ ), whereas  $\alpha$  is the amount of  $CO_2$  fixed per incoming PAR ( $\mu mol CO_2 \mu mol PAR^{-1}$ ). To clarify the  
89 difference in LUE and  $\alpha$  in this study, LUE ( $\mu mol CO_2 \mu mol APAR^{-1}$ ) is the slope of a linear fit between  $CO_2$  uptake  
90 and absorbed PAR, whereas  $\alpha$  ( $\mu mol CO_2 \mu mol PAR^{-1}$ ) is the initial slope of an asymptotic curve against incoming  
91 PAR.

92 It has been proven that  $F_{opt}$  and  $\alpha$  are closely related to chlorophyll abundance due to their coupling with the electron  
93 transport rate (Ide et al., 2010). Additionally, in semi-arid ecosystems, water availability is generally considered to be  
94 the main limiting factor affecting intra-annual dynamics of vegetation growth (Fensholt et al., 2013; Hickler et al.,  
95 2005; Tagesson et al., 2015b). Several remote sensing studies have established relationships between remotely sensed  
96 vegetation indices and ecosystem properties such as chlorophyll abundance and equivalent water thickness (Yoder and  
97 Pettigrew-Crosby, 1995; Fensholt and Sandholt, 2003). In this study, we will analyse whether EO vegetation indices  
98 can be used to upscale  $F_{opt}$  and  $\alpha$  and investigate whether this could offer a promising way to map GPP in semi-arid  
99 areas. This potential will be analysed by the use of detailed ground observations from six eddy covariance (EC) flux  
100 tower sites across the Sahel.

101 The three aims of this study are:

- 102 1) To investigate whether the recently released MOD17A2H GPP (collection 6) product is better at capturing  
103 GPP for the Sahel than collection 5.1. We hypothesize that the MOD17A2H GPP (collection 6) product will  
104 estimate GPP well for the six Sahelian EC sites because of major changes made in comparison to collection  
105 5.1 (Running and Zhao, 2015).
- 106 2) To characterize the relationships between spatial and temporal variability in  $F_{opt}$  and  $\alpha$  and remotely sensed  
107 vegetation indices. We hypothesise that EO vegetation indices that are closely related to chlorophyll  
108 abundance will be most strongly coupled with spatial and inter-annual dynamics in  $F_{opt}$  and  $\alpha$ , whereas  
109 vegetation indices closely related to equivalent water thickness will be most strongly coupled with intra-annual  
110 dynamics in  $F_{opt}$  and  $\alpha$  across the Sahel.
- 111 3) To evaluate the applicability of a GPP model based on the light response function using EO vegetation indices  
112 and incoming PAR as input data.

113



## 114 2 Materials and Methods

### 115 2.1 Site description

116 The Sahel stretches from the Atlantic Ocean in the west to the Red Sea in the east. The northern border towards the  
117 Sahara and the southern border towards the humid Sudanian Savanna are defined by the 150 and 700 mm isohyets,  
118 respectively (Fig. 1) (Prince et al., 1995). Tree and shrub canopy cover is now generally low (< 5%) and dominated by  
119 species of *Balanites*, *Acacia*, *Boscia* and *Combretaceae* (Rietkerk et al., 1996). Annual grasses such as *Schoenefeldia*  
120 *gracilis*, *Dactyloctenium aegypticum*, *Aristida mutabilis* and *Cenchrus biflorus* dominate the herbaceous layer, but  
121 perennial grasses such as *Andropogon gayanus*, *Cymbopogon schoenanthus* can also be found (Rietkerk et al., 1996; de  
122 Ridder et al., 1982). From the FLUXNET database (Baldocchi et al., 2001) we selected ~~the~~ <sup>the six available</sup> measurement  
123 sites with EC based CO<sub>2</sub> flux data from the Sahel (Table 1; Fig. 1). The sites represent a variety of ecosystems present  
124 in the region, from dry fallow bush savanna to seasonally inundated acacia forest. For a full description of the  
125 measurement sites, we refer to Tagesson et al. (2016a) and references in Table 1.

126 <Table 1>

127 <Figure 1>

128

### 129 2.2 Data collection

#### 130 2.2.1 Eddy covariance and hydrometeorological in situ data

131 Eddy covariance and hydrometeorological data originating from the years between 2005 and 2013 were collected from  
132 the principal investigators of the measurement sites (Tagesson et al., 2016a). The EC sensor setup consisted of open-  
133 path CO<sub>2</sub>/H<sub>2</sub>O infrared gas analysers and 3-axis sonic anemometers. Data were collected at 20 Hz ~~rate~~ and statistics  
134 were calculated for 30-minute periods. For a full description of the sensor setup and post processing of EC data, see the  
135 references in Table 1. Final fluxes were filtered according to quality flags provided by FLUXNET and outliers were  
136 filtered according to Papale et al. (2006). We extracted the original net ecosystem exchange (NEE) data without any  
137 gap-filling or partitioning of NEE to GPP and ecosystem respiration. The collected hydrometeorological data were: air  
138 temperature (T<sub>air</sub>; °C), rainfall (P; mm), relative air humidity (Rh; %), soil moisture at 0.1 m depth (SWC; % volumetric  
139 water content), incoming global radiation (R<sub>g</sub>; W m<sup>-2</sup>), incoming photosynthetically active radiation (PAR; μmol m<sup>-2</sup> s<sup>-1</sup>),  
140 VPD (hPa), peak dry weight biomass (g dry weight m<sup>-2</sup>), C3/C4 species ratio and soil conditions (nitrogen and C  
141 concentration; %). For a full description of the collected data and sensor setup, see Tagesson et al. (2016a).

142

#### 143 2.2.2 Earth Observation data and gridded ancillary data

144 Composite products from MODIS/Terra covering the Sahel were acquired at Reverb ECHO (NASA, 2016). Collected  
145 products were GPP (MOD17A2H; collection 6), nadir bidirectional reflectance distribution function adjusted  
146 reflectance (NBAR) (8-day composites; MCD43A4; collection 5.1) at 500×500 m<sup>2</sup> spatial resolution, the normalized  
147 difference vegetation index (NDVI) and the enhanced vegetation index (EVI) (16-day composites; MOD13Q1;  
148 collection 6) at 250×250 m<sup>2</sup> spatial resolution. The NBAR product was preferred over the reflectance product  
149 (MOD09A1) in order to avoid variability caused by varying sun and sensor viewing geometry (Huber et al., 2014;  
150 Tagesson et al., 2015c). We extracted the median of 3×3 pixels centred at the location of each EC tower. Time series of  
151 EO products were filtered according to MODIS quality control data; MOD17A2H is a gap-filled and filtered product,



152 QC data from MCD43A2 were used for filtering of MCD43A4; and bit 2-5 (highest –decreasing quality) was used for  
 153 MOD13Q1. Finally, data were gap-filled to daily values using linear interpolation.

154 We downloaded ERA Interim reanalysis PAR at the ground surface ( $W m^{-2}$ ) with a spatial resolution of  $0.25^{\circ} \times 0.25^{\circ}$   
 155 accumulated for each 3-hour period from 2000-2015 from the European Centre for Medium-Range Weather Forecasts  
 156 (ECMWF) (Dee et al., 2011; ECMWF, 2016a).

157

## 158 2.3 Data handling

### 159 2.3.1 Intra-annual dynamics in photosynthetic capacity and quantum efficiency

160 To estimate daily values of EC based  $F_{opt}$  and  $\alpha$ , the asymptotic Mitscherlich light-response function was fitted  
 161 between daytime NEE and incoming PAR using a 7-day moving window with a 1-day time step:

$$162 \quad NEE = -(F_{opt}) \times \left(1 - e^{\left(\frac{-\alpha \times PAR}{F_{opt}}\right)}\right) + R_d \quad (1)$$

163 where  $F_{opt}$  is  $CO_2$  uptake at light saturation (photosynthetic capacity;  $\mu mol CO_2 m^{-2} s^{-1}$ ),  $R_d$  is dark respiration  
 164 ( $\mu mol CO_2 m^{-2} s^{-1}$ ) and  $\alpha$  is the initial slope of the light response curve (quantum efficiency;  $\mu mol CO_2 \mu mol PAR^{-1}$ )  
 165 (Falge et al., 2001). By subtracting  $R_d$  from Eq. 1, the function was forced through zero and GPP was thereby  
 166 estimated. To ensure a high quality of fitted parameters, parameters were excluded from the analysis when fitting was  
 167 insignificant ( $p$ -value > 0.05) and when they were out of range ( $F_{opt}$  and  $\alpha$  > peak value of the rainy season times 1.2).  
 168 Additionally, outliers were filtered following the method by Papale et al. (2006) using a 30-day moving window with a  
 169 1-day time step.

170

### 171 2.3.2 Vegetation indices

172 The maximum absorption in red wavelengths generally occurs at 682 nm as this is the peak absorption for chlorophyll a  
 173 and b (Thenkabail et al., 2000), which makes vegetation indices that include the red band sensitive to chlorophyll  
 174 abundance. By far the most common vegetation index is NDVI (Rouse et al., 1974):

$$175 \quad NDVI = \frac{(\rho_{NIR} - \rho_{red})}{(\rho_{NIR} + \rho_{red})} \quad (2)$$

176 where  $\rho_{NIR}$  is the reflectance factor in the near infrared (NIR) band (band 2) and  $\rho_{red}$  is the reflectance factor in the red  
 177 band (band 1). Near infrared radiance is reflected by leaf cells since absorption of these wavelengths would result in  
 178 overheating of the plant, whereas red radiance is absorbed by chlorophyll and its accessory pigments (Gates et al.,  
 179 1965). Normalization is done to reduce effects of atmospheric errors, solar zenith angles and sensor viewing geometry,  
 180 as well as to increase the vegetation signal (Qi et al., 1994; Inoue et al., 2008).

181 A well-known deficiency of NDVI is problems of index saturation at high biomass because absorption of red light at  
 182 ~670 nm peaks at higher biomass loads, whereas NIR reflectance continues to increase due to multiple scattering effects  
 183 (Mutanga and Skidmore, 2004; Jin and Eklundh, 2014). By reducing atmospheric and soil background influences, EVI  
 184 is designed to increase the signal from the vegetation and maintain sensitivity in high biomass regions (Huete et al.,  
 185 2002).

$$186 \quad EVI = G \frac{(\rho_{NIR} - \rho_{red})}{(\rho_{NIR} + C_1 \rho_{red} - C_2 \rho_{bluc} + L)} \quad (3)$$

187 where  $\rho_{\text{blue}}$  is the reflectance factor in the blue band (band 3). The coefficients  $C_1=6$  and  $C_2=7.5$  correct for atmospheric  
188 influences, while  $L=1$  adjusts for the canopy background. The factor  $G=2.5$  is a gain factor.

189 Another attempt to overcome problems of NDVI saturation was proposed by Roujean and Breon (1995), who  
190 suggested the renormalized difference vegetation index (RDVI), which combines advantages of DVI (NIR-red) and  
191 NDVI for low and high vegetation cover, respectively:

$$192 \quad \text{RDVI} = \frac{(\rho_{\text{NIR}} - \rho_{\text{red}})}{\sqrt{(\rho_{\text{NIR}} + \rho_{\text{red}})}} \quad (4)$$

193 As a non-linear index, RDVI is not only less sensitive to variations in geometrical and optical properties of unknown  
194 foliage but also less affected by solar and viewing geometry (Broge and Leblanc, 2001). The vegetation index RDVI  
195 was calculated based on NBAR bands 1 and 2.

196 The NIR and SWIR bands are affected by the same ground properties, except that SWIR bands are also strongly  
197 sensitive to equivalent water thickness. Fensholt and Sandholt (2003) proposed a vegetation index, the shortwave  
198 infrared water stress index (SIWSI), using NIR and SWIR bands to estimate drought stress for vegetation in semi-arid  
199 environments:

$$200 \quad \text{SIWSI}_{12} = \frac{(\rho_{\text{NIR}} - \rho_{\text{SWIR}_{12}})}{(\rho_{\text{NIR}} + \rho_{\text{SWIR}_{12}})} \quad (5)$$

$$201 \quad \text{SIWSI}_{16} = \frac{(\rho_{\text{NIR}} - \rho_{\text{SWIR}_{16}})}{(\rho_{\text{NIR}} + \rho_{\text{SWIR}_{16}})} \quad (6)$$

202 where  $\rho_{\text{swir}_{12}}$  is NBAR band 5 (1230-1250 nm) and  $\rho_{\text{swir}_{16}}$  is NBAR band 6 (1628-1652 nm). As the vegetation water  
203 content increases, reflectance in SWIR decreases, indicating that low and high SIWSI values point to sufficient water  
204 conditions and drought stress, respectively.

205

### 206 2.3.3 Incoming PAR across the Sahel

207 A modified version of the ERA Interim reanalysis PAR was used in the current study as there was an error in the code  
208 producing these PAR estimates; the estimates were generally too low (ECMWF, 2016b). Accordingly, incoming PAR  
209 at the ground surface from ERA Interim was systematically underestimated even though it followed the pattern of PAR  
210 measured at the six Sahelian EC sites (Fig. S1 in supplementary material). In order to correct for this error, we fitted  
211 and applied an ordinary least squares linear regression between in situ PAR and ERA Interim PAR (Fig. S1). The PAR  
212 produced from this relationship is at the same level as in situ PAR and should be at a correct level even though the  
213 original ERA Interim PAR is actually produced from the red and near infrared part of the spectrum.

214

## 215 2.4 Data analysis

### 216 2.4.1 Coupling temporal and spatial dynamics in photosynthetic capacity and quantum efficiency with 217 explanatory variables

218 The coupling between intra-annual dynamics in  $F_{\text{opt}}$  and  $\alpha$  and the vegetation indices for the different measurement sites  
219 were studied using Pearson correlation analysis. As part of the correlation analysis, we used a bootstrap simulation  
220 methodology with 200 iterations from which the mean and the standard deviation of the correlation coefficients were  
221 calculated (Richter et al., 2012). Relationships between intra-annual dynamics in  $F_{\text{opt}}$  and  $\alpha$  and the vegetation indices



222 for all sites combined were also analysed. In the analysis for all sites, data were normalized to avoid influence of spatial  
 223 and inter-annual variability. Time series of ratios of  $F_{opt}$  and  $\alpha$  ( $F_{opt\_frac}$  and  $\alpha_{frac}$ ) against the annual peak values ( $F_{opt\_peak}$   
 224 and  $\alpha_{peak}$ ; see below for calculation of annual peak values) were estimated for all sites:

$$225 \quad F_{opt\_frac} = \frac{F_{opt}}{F_{opt\_peak}} \quad (7)$$

$$226 \quad \alpha_{frac} = \frac{\alpha}{\alpha_{peak}} \quad (8)$$

227 The same standardization procedure was used for all vegetation indices ( $VI_{frac}$ ):

$$228 \quad VI_{frac} = \frac{VI}{VI_{peak}} \quad (9)$$

229 where  $VI_{peak}$  is the annual peak values of the vegetation indices (14-day running mean with highest annual value). The  
 230  $\alpha_{frac}$  and  $F_{opt\_frac}$  were correlated with the different  $VI_{frac}$  to investigate the coupling between intra-annual dynamics in  
 231  $F_{opt}$  and  $\alpha$  and the vegetation indices for all sites.

232 Regression trees were used to fill gaps in the daily estimates of  $F_{opt}$  and  $\alpha$ . One hundred tree sizes were chosen based  
 233 on 100 cross-validation runs, and these trees were then used to estimate  $F_{opt}$  and  $\alpha$  following the method in De'ath and  
 234 Fabricius (2000). We used SWC, VPD,  $T_{air}$ , PAR and the vegetation index with the strongest correlation with intra-  
 235 annual dynamics as explanatory variables in the analysis. In the analysis for all sites, the same standardization  
 236 procedure as done for  $F_{opt}$ ,  $\alpha$ , and the vegetation indices was done for the hydrometeorological variables. The 100  $F_{opt}$   
 237 and  $\alpha$  output subsets from the regression trees were averaged and used for filling gaps in the times series of  $F_{opt}$  and  $\alpha$ .  
 238 From these time series, we estimated annual peak values of  $F_{opt}$  and  $\alpha$  ( $F_{opt\_peak}$  and  $\alpha_{peak}$ ) as the 14-day running mean  
 239 with the highest annual value. To investigate spatial and inter-annual variability in  $F_{opt}$  and  $\alpha$  across the measurement  
 240 sites of the Sahel,  $F_{opt\_peak}$  and  $\alpha_{peak}$  were correlated with the annual sum of P; yearly means of  $T_{air}$ , SWC, RH, VPD and  
 241  $R_g$ ; annual peak values of biomass; soil nitrogen and C concentrations; the C3/C4 ratio; and  $VI_{peak}$ .

#### 243 2.4.2 Parameterization and evaluation of the GPP model and evaluation of the MODIS GPP

244 On the basis of Eq. 1 and the outcome of the statistical analysis previously described under subsection 2.4.1 (for results,  
 245 see subsect. 3.2), a model for estimating GPP across the Sahel was created:

$$246 \quad GPP = -F_{opt} \times \left(1 - e^{\left(\frac{-\alpha \times PAR}{F_{opt}}\right)}\right) \quad (10)$$

247 Firstly,  $F_{opt\_peak}$  and  $\alpha_{peak}$  were estimated spatially and inter-annually using linear regression functions fitted against the  
 248 vegetation indices with strongest relationships to spatial and inter-annual variability in  $F_{opt\_peak}$  and  $\alpha_{peak}$  for all sites.  
 249 Secondly, exponential regression functions were established for  $F_{opt\_frac}$  and  $\alpha_{frac}$  with the vegetation index with the  
 250 strongest relationships to intra-annual variability of  $F_{opt\_frac}$  and  $\alpha_{frac}$  for all sites. By combining these relationships,  $F_{opt}$   
 251 and  $\alpha$  can be calculated for any day of year and for any point in space across the Sahel:

$$252 \quad F_{opt} = F_{opt\_peak} \times F_{opt\_frac} = \left(k_{F_{opt}} \times NDVI_{peak} + m_{F_{opt}}\right) \left(n_{F_{opt}} \times e^{(l_{F_{opt}} \times RDVI_{frac})}\right) \quad (11)$$

$$253 \quad \alpha = \alpha_{peak} \times \alpha_{frac} = \left(k_{\alpha} \times RDVI_{peak} + m_{\alpha}\right) \left(n_{\alpha} \times e^{(l_{\alpha} \times RDVI_{frac})}\right) \quad (12)$$

254 where  $k_{F_{opt}}$  and  $k_{\alpha}$  are slopes and  $m_{F_{opt}}$  and  $m_{\alpha}$  are intercepts of the linear regressions giving  $F_{opt\_peak}$  and  $\alpha_{peak}$ ,  
 255 respectively;  $l_{F_{opt}}$  and  $l_{\alpha}$  are coefficients and  $n_{F_{opt}}$  and  $n_{\alpha}$  are intercepts of the exponential regressions giving  $F_{opt\_frac}$  and  
 256  $\alpha_{frac}$ , respectively. Equations 11 and 12 were inserted into Eq. 10, and GPP was thereby estimated as:

$$\begin{aligned}
 \text{GPP} = & -\left(F_{opt\_peak} \times F_{opt\_frac}\right) \times \left(1 - e^{\left(\frac{-\left(\alpha_{peak} \times \alpha_{frac}\right) \times PAR}{F_{opt\_peak} \times F_{opt\_frac}}\right)}\right) = -\left(\left(k_{F_{opt}} \times NDVI_{peak} + m_{F_{opt}}\right) \left(n_{F_{opt}} \times e^{\left(F_{opt} \times RDVI_{frac}\right)}\right)\right) \\
 & \times \left(1 - e^{\left(\frac{-\left(k_{\alpha} \times RDVI_{peak} + m_{\alpha}\right) \left(n_{\alpha} \times e^{\left(l_{\alpha} \times RDVI_{frac}\right)}\right) \times PAR}{\left(k_{F_{opt}} \times NDVI_{peak} + m_{F_{opt}}\right) \left(F_{opt} \times RDVI_{frac} + n_{F_{opt}}\right)}\right)}\right) \quad (13)
 \end{aligned}$$

258 <sup>A</sup> bootstrap simulation methodology was used when fitting the least squares regression functions for  
 259 parameterization of the GPP model (Richter et al., 2012). For each of the iterations, some of the EC sites were included  
 260 and some were omitted. The bootstrap simulations generated 200 sets of  $k_{F_{opt}}$ ,  $k_{\alpha}$ ,  $m_{F_{opt}}$ ,  $m_{\alpha}$ ,  $l_{F_{opt}}$ ,  $l_{\alpha}$ ,  $n_{F_{opt}}$ ,  $n_{\alpha}$  and  
 261 coefficient of determination ( $R^2$ ). Possible errors (e.g. random sampling errors, aerosols, electrical sensor noise, filtering  
 262 and gap-filling errors, clouds and satellite sensor degradation) can be present in both the predictor and the response  
 263 variables. Hence, we selected reduced major axis regressions to account for errors in both predictor and response  
 264 variables when fitting the regression functions. The regression models were validated against the omitted sites within  
 265 the bootstrap simulation methodology by calculating the root mean square error (RMSE), and by fitting an ordinary  
 266 least squares linear regression between modelled and independent variables.

267 Similarly, the MODIS GPP product (MOD17A2H; collection 6) was evaluated against independent GPP from the EC  
 268 sites by calculating the RMSE and by fitting an ordinary least squares linear regression.

269

### 270 3 Results

#### 271 3.1 Evaluation of the MODIS GPP product

272 There was a strong linear relationship between the MODIS GPP product (MOD17A2H; collection 6) and independent  
 273 GPP (slope=0.17; intercept=0.11 g C m<sup>-2</sup> d<sup>-1</sup>; R<sup>2</sup>=0.69; n=598). However, MOD17A2H strongly underestimated  
 274 independent GPP (Fig. 2), resulting in a high RMSE (2.69 g C m<sup>-2</sup> d<sup>-1</sup>). It can be seen that some points for the Kelma  
 275 site were quite low for MOD17A2H, whereas they were relatively high for the independent GPP (Fig. 2). Kelma is an  
 276 inundated Acacia forest located in a clay soil depression. These differentiated values were found in the beginning of the  
 277 dry season, when the depression was still inundated, whereas the larger area was turning dry.

278 <Figure 2>

279

#### 280 3.2 Intra-annual dynamics in photosynthetic capacity and quantum efficiency

281 Intra-annual dynamics in  $F_{opt}$  and  $\alpha$  differed in amplitude, but were otherwise similar across the measurement sites in  
 282 the Sahel (Fig. 3). There was no green ground vegetation during the dry season, and the low photosynthetic activity was  
 283 due to few evergreen trees. This resulted in low values for both  $F_{opt}$  and  $\alpha$  during the dry season. The vegetation  
 284 responded strongly to rainfall, and both  $F_{opt}$  and  $\alpha$  increased during the early phase of the rainy season. Generally,  $F_{opt}$   
 285 peaked slightly earlier than  $\alpha$  (average± 1 standard deviation: 7±10 days) (Fig. 3).

286 <Figure 3>



287 All vegetation indices described intra-annual dynamics in  $F_{opt}$  reasonably well at all sites (Table 2). The vegetation  
288 index SIWSI<sub>12</sub> had the highest correlation for all sites except Wankama Millet, where it was RDVI. When all sites were  
289 combined, all indices described well seasonality in  $F_{opt}$ , but RDVI had the strongest correlation (Table 2).

290 Intra-annual dynamics in  $\alpha$  were also closely coupled to intra-annual dynamics in the vegetation indices for all sites  
291 (Table 2). For  $\alpha$ , RDVI was the strongest index describing intra-annual dynamics, except for Wankama Fallow, where it  
292 was EVI. When all sites were combined, all indices described well intra-annual dynamics in  $\alpha$ , but RDVI was still the  
293 index with the strongest relationship (Table 2).

294 <Table 2>

295 The regression trees used for gap-filling explained the intra-annual dynamics in  $F_{opt}$  and  $\alpha$  well for all sites (Table 3;  
296 Fig. S2 in Supplementary material). The regression trees explained intra-annual dynamics in  $F_{opt}$  better than in  $\alpha$ , and  
297 multi-year sites were better predicted than single year sites (Fig. S2). The main explanatory variables coupled to intra-  
298 annual dynamics in  $F_{opt}$  for all sites across the Sahel were in the order of RDVI, SWC, VPD,  $T_{air}$ , and PAR; and for  $\alpha$ ,  
299 they were RDVI, SWC, VPD and  $T_{air}$  (Table 3). The strong relationship to SWC and VPD indicates drought stress  
300 during periods of low rainfall. For all sites across the Sahel, incorporating hydrometeorological variables increased the  
301 ability to determine intra-annual dynamics in  $F_{opt}$  and  $\alpha$  compared to the ordinary least squares linear regressions against  
302 vegetation indices (Table 2, data given as  $r$ ; Table 3; Fig. 3 and Fig. S2). For all sites, incorporation of these variables  
303 increased  $R^2$  from 0.81 to 0.87 and from 0.74 to 0.84 for  $F_{opt}$  and  $\alpha$ , respectively.

304 <Table 3>

305

### 306 3.3 Spatial and inter-annual dynamics in photosynthetic capacity and quantum efficiency

307 Large spatial and inter-annual variability in  $F_{opt\_peak}$  and  $\alpha_{peak}$  were found across the six measurement sites in the Sahel;  
308  $F_{opt\_peak}$  ranged between 10.1  $\mu\text{mol CO}_2 \text{ m}^{-2} \text{ s}^{-1}$  (Wankama Millet 2005) and 50.0  $\mu\text{mol CO}_2 \text{ m}^{-2} \text{ s}^{-1}$  (Dahra 2010), and  
309  $\alpha_{peak}$  ranged between 0.020  $\mu\text{mol CO}_2 \mu\text{mol PAR}^{-1}$  (Demokeya 2007) and 0.064  $\mu\text{mol CO}_2 \mu\text{mol PAR}^{-1}$  (Dahra 2010)  
310 (Table 4). The average 2-week running mean peak values of  $F_{opt}$  and  $\alpha$  for all sites were 26.4  $\mu\text{mol CO}_2 \text{ m}^{-2} \text{ s}^{-1}$  and  
311 0.040  $\mu\text{mol CO}_2 \mu\text{mol PAR}^{-1}$ , respectively. All vegetation indices determined spatial and inter-annual dynamics well in  
312 both  $F_{opt\_peak}$  and  $\alpha_{peak}$  (Table 5);  $F_{opt\_peak}$  was most closely coupled with  $\text{NDVI}_{peak}$ , whereas  $\alpha_{peak}$  was coupled more  
313 closely with  $\text{RDVI}_{peak}$  (Fig. 4).  $F_{opt\_peak}$  also correlated well with peak dry weight biomass, C content in the soil, and  
314 RH, whereas  $\alpha_{peak}$  correlated better with peak dry weight biomass and C content in the soil (Table 5).

315 <Table 4>

316 <Table 5>

317 <Figure 4>

318

### 319 3.4 Spatially extrapolated photosynthetic capacity, quantum efficiency and gross primary production across the 320 Sahel and evaluation of the GPP model

321 The spatially extrapolated  $F_{opt}$ ,  $\alpha$  and GPP averaged over the Sahel for 2001-2014 were  $22.5 \pm 1.7 \mu\text{mol CO}_2 \text{ m}^{-2} \text{ s}^{-1}$ ,  
322  $0.030 \pm 0.002 \mu\text{mol CO}_2 \mu\text{mol PAR}^{-1}$  and  $736 \pm 39 \text{ g C m}^{-2} \text{ y}^{-1}$ , respectively. At a regional scale, it can be seen that  $F_{opt}$ ,  $\alpha$   
323 and GPP decreased substantially with latitude (Fig. 5). The highest values were found in south-eastern Senegal, western  
324 Mali, in parts of southern Sudan and on the border between Sudan and South Sudan. Lowest values were found along  
325 the northernmost parts of the Sahel on the border to the Sahara in Mauritania, in northern Mali and in northern Niger.

326 Modelled GPP was similar to independent GPP on average, and there was a strong linear relationship between  
327 modelled GPP and independent GPP for all sites (Fig. 6; Table 6). However, when separating the evaluation between  
328 measurement sites, it can be seen that the model reproduced some sites better than others (Fig. 7; Table 6). Wankama  
329 Millet was generally overestimated, whereas the model worked well ~~on average~~ for Demokeya but underestimated high  
330 values (Fig. 7; Table 6). Variability of independent GPP at the other sites was ~~well~~ reproduced by the model (Fig. 7;  
331 Table 6). The final parameters of the GPP model (Eq. 13) are shown in Table 7.

332 <Figure 5>

333 <Figure 6>

334 <Figure 7>

335 <Table 6>

336 <Table 7>

337

#### 338 4 Discussion

339 Our hypothesis that vegetation indices closely related to equivalent water thickness (SIWSI) would be most strongly  
340 coupled with intra-annual dynamics in  $F_{opt}$  and  $\alpha$  was not rejected for  $F_{opt}$ , since this was the case for all sites except for  
341 Wankama Millet (Table 2). However, our hypothesis was rejected for  $\alpha$ , since it was more closely related to vegetation  
342 indices of chlorophyll abundance (RDVI and EVI). In the Sahel, soil moisture conditions in the early rainy season are  
343 important for vegetation growth and during this phase vegetation is especially vulnerable to drought conditions  
344 (Rockström and de Rouw, 1997; Tagesson et al., 2016a; Mbow et al., 2013). Photosynthetic capacity ( $F_{opt}$ ) peaked  
345 earlier in the rainy season than  $\alpha$  did (Fig. 3), thereby explaining the close relationship of  $F_{opt}$  to SIWSI. Leaf area index  
346 increased over the growing season and leaf area index is closely coupled with vegetation indices related to chlorophyll  
347 abundance (Tagesson et al., 2009). The increase in leaf area index increased canopy level quantum efficiency ( $\alpha$ ),  
348 thereby explaining the closer relationship of  $\alpha$  to RDVI.

349 Our hypothesis that vegetation indices closely related to chlorophyll abundance would be most strongly coupled with  
350 spatial and inter-annual dynamics in  $F_{opt}$  and  $\alpha$  was not rejected for either  $F_{opt}$  or  $\alpha$ ; NDVI, EVI and RDVI all correlated  
351 with spatial and inter-annual dynamics in  $F_{opt}$  and  $\alpha$  (Table 5). However, it was surprising that NDVI<sub>peak</sub> had the  
352 strongest correlation with spatial and inter-annual variability ~~for~~  $F_{opt}$  (Table 5). Both EVI and RDVI should be less  
353 sensitive to saturation effects than NDVI (Huete et al., 2002; Roujean and Breon, 1995), and based on this it can be  
354 assumed that peak values of these indices should have stronger relationships to peak values of  $F_{opt}$  and  $\alpha$ . However,  
355 vegetation indices with a high sensitivity to changes in green biomass at high biomass loads become less sensitive to  
356 green biomass changes at low biomass loads (Huete et al., 2002). The peak leaf area index for ecosystems across the  
357 Sahel is generally  $\sim 2 \text{ m}^2 \text{ m}^{-2}$  or less, whereas the saturation issue of NDVI generally starts at a leaf area index of about  
358  $2\text{-}5 \text{ m}^2 \text{ m}^{-2}$  (Haboudane et al., 2004).

359 The  $F_{opt\_peak}$  estimates from Agoufou, Demokeya and the Wankama sites were similar, whereas Dahra and Kelma  
360 values were high in relation to previously reported canopy-scale  $F_{opt\_peak}$  from the Sahel ( $\sim -8$  to  $-23 \mu\text{mol m}^{-2} \text{ sec}^{-1}$ )  
361 (Hanan et al., 1998; Merbold et al., 2009; Moncrieff et al., 1997; Boulain et al., 2009; Levy et al., 1997; Monteny et  
362 al., 1997). These previous studies reported much lower  $F_{opt}$  at canopy scale than at leaf scale (e.g. Levy et al. (1997):  $10 \text{ vs.}$   
363  $44 \mu\text{mol m}^{-2} \text{ sec}^{-1}$ ; Boulain et al. (2009):  $8 \text{ vs. } 50 \mu\text{mol m}^{-2} \text{ sec}^{-1}$ ). The leaf area index at Dahra and Kelma peaked at 2.1  
364 and 2.7, respectively (Timouk et al., 2009; Tagesson et al., 2015a), and it was substantially higher than at the above-



365 mentioned sites. A possible explanation for high  $F_{opt}$  estimates at Dahra and Kelma could therefore be the higher leaf  
366 area index. Tagesson et al. (2016b) performed a quality check of the EC data due to the high net  $CO_2$  exchange  
367 measured at the Dahra field site and explained the high values by a combination of moderately dense herbaceous C4  
368 ground vegetation, high soil nutrient availability, and a grazing pressure resulting in compensatory growth and  
369 fertilization effects. Another possible explanation could be that the West African Monsoon brings a humid layer of  
370 surface air from the Atlantic, possibly increasing vegetation production for the most western part of the Sahel (Tagesson  
371 et al., 2016a).

372 Our model substantially overestimated GPP for Wankama Millet (Fig. 7f). Being a crop field, this site differed from  
373 the other sites in its species composition and ecosystem structure, as well as land and vegetation management. Crop  
374 fields in southwestern Niger are generally characterized by rather low production, resulting from decreased fertility and  
375 soil loss caused by intensive land use (Cappelaere et al., 2009). These specifics of the Wankama Millet site may cause  
376 the model, parameterized with observations from the other study sites without this strong anthropogenic influence, to  
377 overestimate GPP at this site. Similar results were found by Boulain et al. (2009) when applying an upscaling model  
378 using leaf area index for Wankama Millet and Wankama Fallow. It worked well for Wankama fallow, whereas it was  
379 less conclusive for Wankama Millet. The main explanation for this difference was low leaf area index in millet fields  
380 because of a low density of millet stands due to agricultural practice. There is extensive savanna clearing for food  
381 production in the Sahel (Leblanc et al., 2008; Boulain et al., 2009; Cappelaere et al., 2009). To further understand  
382 impacts of this land cover change on vegetation production and land-atmosphere exchange processes, there is an urgent  
383 need for more study sites covering cropped areas in this region.

384 In Demokeya, GPP was slightly underestimated for ~~the year~~ 2008 (Fig. 7c) because modelled  $F_{opt}$  was much lower - X  
385 than the actual measured value in 2008 (the thick black line in Fig. 4). An improvement of the model could be to  
386 incorporate some parameters that constrain or enhance  $F_{opt}$  depending on environmental stress. Indeed, the regression  
387 tree analysis indicated that incorporating hydrometeorological variables increased the ability to predict both  $F_{opt}$  and  $\alpha$ .  
388 On the other hand, for spatial upscaling purposes, it has been shown that including modelled hydrometeorological  
389 constraints on LUE decreases the ability to predict vegetation production due to the incorporated uncertainty in these  
390 modelled variables (Fensholt et al., 2006; Ma et al., 2014). For spatial upscaling to regional scales, it is therefore better  
391 to simply use relationships to EO data. This is particularly the case for the Sahel, one of the largest dryland areas in the  
392 world, which includes only a few sites of hydrometeorological observations.

393 The pattern seen in the spatially explicit GPP budgets (Fig. 5c) may be influenced by a range of biophysical and  
394 anthropogenic factors. The clear North-South gradient is expected given the strong North-South rainfall gradient in the  
395 Sahel. The West African Monsoon mentioned above could also be an explanation of high GPP values in the western  
396 part of the Sahel, where values were relatively high in relation to GPP at similar latitudes in the central and eastern  
397 Sahel (Fig. 5c). The areas with highest GPP are sparsely populated woodlands or shrubby savanna with a relatively  
398 dense tree cover (Brandt et al., 2016). However, the maps produced <sup>here</sup> should be used with caution as they are based  
399 on upscaling of ~~the data~~ <sup>from</sup> six available EC sites ~~in the region~~ <sup>at</sup>; especially given the issues related to the cropped  
400 fields discussed earlier. Still, the average GPP budget for the entire Sahel 2001-2014 was close to an average annual  
401 GPP budget ~~as~~ <sup>estimated</sup> for these six sites ( $692 \pm 89 \text{ g C m}^{-2} \text{ y}^{-1}$ ) (Tagesson et al., 2016a). The range of GPP budgets in  
402 Fig. 5c is also similar to previous annual GPP budgets reported from other savanna <sup>sites</sup> across the world (Veenendaal - X  
403 et al., 2004; Chen et al., 2003; Kanniah et al., 2010; Chen et al., 2016).

data collected at

if in

404 Although MOD17A2 GPP has previously been shown to capture GPP in several ecosystems types well (Turner et al.,  
405 2006; Turner et al., 2005; Heinsch et al., 2006; Sims et al., 2006; Kanniah et al., 2009), it has been shown to  
406 underestimate ~~it for~~ others (Coops et al., 2007; Gebremichael and Barros, 2006; Sjöström et al., 2013). GPP of Sahelian  
407 drylands have not been captured well by MOD17A2 (Sjöström et al., 2013; Fensholt et al., 2006), and as we have  
408 shown, this underestimation persists in the latest MOD17A2H GPP (collection 6) product (Fig. 2). The main reason for  
409 this pronounced underestimation is that maximum LUE is set to 0.84 g C MJ<sup>-1</sup> (open shrubland; Demokeya) and 0.86 g  
410 C MJ<sup>-1</sup> (grassland; Agoufou, Dahra, Kelma; Wankama Millet and Wankama Fallow) in the BPLUT, i.e., much lower  
411 than maximum LUE measured at the Sahelian measurement sites of this study (average: 2.47 g C MJ<sup>-1</sup>; range: 1.58-3.50  
412 g C MJ<sup>-1</sup>) (Sjöström et al., 2013; Tagesson et al., 2015a), a global estimate of ~1.5 g C MJ<sup>-1</sup> (Garbulsky et al., 2010) and  
413 a savanna site in Australia (1.26 g C MJ<sup>-1</sup>) (Kanniah et al., 2009).

414 Several dynamic global vegetation models have been used for decades to quantify GPP at different spatial and  
415 temporal scales (Dickinson, 1983; Sellers et al., 1997). These models are generally based on the photosynthesis model  
416 of Farquhar et al. (1980), a model particularly sensitive to uncertainty in photosynthetic capacity (Zhang et al., 2014).  
417 This and several previous studies have shown that both photosynthetic capacity and efficiency (both  $\alpha$  and LUE) can  
418 vary considerably between seasons as well as spatially, and both within and between vegetation types (Eamus et al.,  
419 2013; Garbulsky et al., 2010; Ma et al., 2014; Tagesson et al., 2015a). This variability is difficult to estimate using  
420 broad values based on land cover classes, yet most models apply a constant value, which can cause substantial  
421 inaccuracies in the estimates of seasonal and spatial variability in GPP. This is particularly a problem in savannas that  
422 consists of several plant functional types (C3 and C4 species, and a large variability in tree/herbaceous vegetation  
423 fractions) (Scholes and Archer, 1997). This study indicates the ~~strong~~ applicability of EO as a tool for parameterizing  
424 spatially explicit estimates of plant physiological variables, which could improve our ability to simulate GPP. Spatially  
425 explicit estimates of GPP at a high temporal and spatial resolution are essential for environmental change studies in the  
426 Sahel and can contribute to increased knowledge regarding changes in GPP, its relationship to climatic change and  
427 anthropogenic forcing, and <sup>simulation</sup> estimations of ecosystem processes and <sup>biochemical and hydrological</sup> cycles.

428  
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436  
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719 **Tables**720 **Table 1.** Description of ~~the~~ six measurement sites including location, soil type, ecosystem type and dominant species. X

Measurement site	Coordinates	Soil type	Ecosystem	Dominant species
Agoufou <sup>a</sup> (ML-AgG, Mali)	15.34°N, 1.48°W	Sandy ferruginous Arenosol	Open woody savannah (4% tree cover)	Trees: <i>Acacia spp.</i> , <i>Balanites aegyptiaca</i> , <i>Combretum glutinosum</i> Herbs: <i>Zornia glochidiata</i> , <i>Cenchrus biflorus</i> , <i>Aristida mutabilis</i> , <i>Tragus berteronianus</i>
Dahra <sup>b</sup> (SN-Dah, Senegal)	15.40°N, 15.43°W	Sandy luvisc arenosol	Grassland/shrubland Savanna (3% tree cover)	Trees: <i>Acacia spp.</i> , <i>Balanites aegyptiaca</i> Herbs: <i>Zornia latifolia</i> , <i>Aristida adscensionis</i> , <i>Cenchrus biflorus</i>
Demokeya <sup>c</sup> (SD-Dem, Sudan)	13.28°N, 30.48°E	Cambic Arenosol	Sparse acacia savannah (7% tree cover)	Trees: <i>Acacia spp.</i> , Herbs: <i>Aristida pallida</i> , <i>Eragrostis tremula</i> , <i>Cenchrus biflorus</i>
Kelma <sup>a</sup> (ML-Kem, Mali)	15.22°N, 1.57°W	Clay soil depression	Open acacia forest (90% tree cover)	Trees: <i>Acacia seyal</i> , <i>Acacia nilotica</i> , <i>Balanites aegyptiaca</i> Herbs: <i>Sporobolus hevolvus</i> , <i>Echinochloa colona</i> , <i>Aeschinomene sensitive</i> <i>Guiera senegalensis</i>
Wankama Fallow <sup>d</sup> (NE-WaF, Niger)	13.65°N, 2.63°E	Sandy ferruginous Arenosol	Fallow bush	<i>Guiera senegalensis</i>
Wankama Millet <sup>c</sup> (NE-WaM, Niger)	13.64°N, 2.63°E	Sandy ferruginous Arenosol	Millet crop	<i>Pennisetum glaucum</i>

721 <sup>a</sup>(Timouk et al., 2009)722 <sup>b</sup>(Tagesson et al., 2015b)723 <sup>c</sup>(Sjöström et al., 2009)724 <sup>d</sup>(Velluet et al., 2014)725 <sup>c</sup>(Boulain et al., 2009)



**Table 2.** Correlation between intra-annual dynamics in photosynthetic capacity ( $F_{opt}$ ;  $F_{opt\_frac}$  for all sites), quantum efficiency ( $\alpha$ ;  $\alpha_{frac}$  for all sites) and the different vegetation indices for the six measurement sites (Fig. 1). Values are averages  $\pm$  1 standard deviation from 200 bootstrapping runs. The bold values are the indices with the strongest correlation. EVI is the enhanced vegetation index, NDVI is the normalized difference vegetation index, RDVI is the renormalized difference vegetation index, SIWSI is the shortwave infrared water stress index. SIWSI<sub>12</sub> is based on the MODIS Bidirectional Reflectance Distribution Functions (NBAR) band 2 and band 5, whereas SIWSI<sub>16</sub> is based on MODIS NBAR band 2 and band 6.

Measurement site	$F_{opt}$												$\alpha$		
	EVI	NDVI	RDVI	SIWSI <sub>12</sub>	SIWSI <sub>16</sub>	EVI	NDVI	RDVI	SIWSI <sub>12</sub>	SIWSI <sub>16</sub>	RDVI	SIWSI <sub>12</sub>	SIWSI <sub>16</sub>		
ML-AgG	0.89 $\pm$ 0.02	0.87 $\pm$ 0.02	0.95 $\pm$ 0.01	<b>-0.95<math>\pm</math>0.01</b>	-0.93 $\pm$ 0.02	0.92 $\pm$ 0.02	0.91 $\pm$ 0.01	<b>0.96<math>\pm</math>0.01</b>	-0.94 $\pm$ 0.01	0.96 $\pm$ 0.01	0.96 $\pm$ 0.01	-0.94 $\pm$ 0.01	-0.88:		
SN-Dah	0.92 $\pm$ 0.005	0.91 $\pm$ 0.01	0.96 $\pm$ 0.003	<b>-0.96<math>\pm</math>0.004</b>	-0.93 $\pm$ 0.01	0.89 $\pm$ 0.01	0.90 $\pm$ 0.01	<b>0.93<math>\pm</math>0.01</b>	-0.92 $\pm$ 0.01	<b>0.93<math>\pm</math>0.01</b>	0.93 $\pm$ 0.01	-0.92 $\pm$ 0.01	-0.87:		
SD-Dem	0.81 $\pm$ 0.01	0.78 $\pm$ 0.01	0.91 $\pm$ 0.01	<b>-0.93<math>\pm</math>0.01</b>	-0.90 $\pm$ 0.01	0.76 $\pm$ 0.02	0.73 $\pm$ 0.02	<b>0.86<math>\pm</math>0.01</b>	-0.82 $\pm$ 0.02	<b>0.86<math>\pm</math>0.01</b>	0.86 $\pm$ 0.01	-0.82 $\pm$ 0.02	-0.79:		
MA-Kem	0.77 $\pm$ 0.02	0.83 $\pm$ 0.02	0.95 $\pm$ 0.01	<b>-0.95<math>\pm</math>0.01</b>	-0.90 $\pm$ 0.02	0.69 $\pm$ 0.05	0.73 $\pm$ 0.04	<b>0.80<math>\pm</math>0.03</b>	-0.77 $\pm$ 0.03	<b>0.80<math>\pm</math>0.03</b>	0.80 $\pm$ 0.03	-0.77 $\pm$ 0.03	-0.76:		
NE-WaF	0.87 $\pm$ 0.02	0.81 $\pm$ 0.02	0.78 $\pm$ 0.02	<b>-0.90<math>\pm</math>0.01</b>	-0.80 $\pm$ 0.02	<b>0.89<math>\pm</math>0.01</b>	0.84 $\pm$ 0.01	0.85 $\pm$ 0.01	-0.88 $\pm$ 0.01	0.85 $\pm$ 0.01	0.85 $\pm$ 0.01	-0.88 $\pm$ 0.01	-0.79:		
NE-WaM	0.41 $\pm$ 0.05	0.50 $\pm$ 0.04	<b>0.72<math>\pm</math>0.03</b>	-0.55 $\pm$ 0.04	-0.43 $\pm$ 0.05	0.72 $\pm$ 0.02	0.76 $\pm$ 0.02	<b>0.81<math>\pm</math>0.01</b>	-0.75 $\pm$ 0.01	<b>0.81<math>\pm</math>0.01</b>	0.81 $\pm$ 0.01	-0.75 $\pm$ 0.01	-0.72:		
All sites	0.86 $\pm$ 0.0	0.79 $\pm$ 0.0	<b>0.90<math>\pm</math>0.0</b>	0.75 $\pm$ 0.0	0.70 $\pm$ 0.0	0.83 $\pm$ 0.01	0.80 $\pm$ 0.01	<b>0.86<math>\pm</math>0.01</b>	0.62 $\pm$ 0.01	<b>0.86<math>\pm</math>0.01</b>	0.86 $\pm$ 0.01	0.62 $\pm$ 0.01	0.54:		

**Table 3.** Statistics for the regression tree analysis. ~~The~~ regression tree analysis was used to study relationships between intra-annual dynamics in ~~the~~ photosynthetic capacity ( $F_{opt}$ ;  $F_{opt\_frac}$  for all sites) and quantum efficiency ( $\alpha$ ;  $\alpha_{frac}$  for all sites) and ~~the~~ explanatory variables ~~for the six measurement sites (Fig. 1)~~. The pruning level is the number of splits of the regression tree and an indication of complexity of the system.

Measurement site	Explanatory variables:					Pruning level	$R^2$
	1	2	3	4	5		
$F_{opt}$							
ML-AgG	SIWSI <sub>12</sub>	Tair	PAR	SWC		16	0.98
SN-Dah	SIWSI <sub>12</sub>	SWC	VPD	Tair	PAR	84	0.98
SD-Dem	SIWSI <sub>12</sub>	VPD	SWC	Tair	PAR	33	0.97
ML-Kem	SIWSI <sub>12</sub>	PAR	Tair	VPD		22	0.98
NE-WaF	SIWSI <sub>12</sub>	SWC	VPD	Tair		14	0.92
NE-WaM	RDVI	SWC	VPD	Tair		18	0.75
All sites	RDVI	SWC	Tair	VPD		16	0.87
$\alpha$							
ML-AgG	RDVI					3	0.95
SN-Dah	RDVI	VPD	SWC	Tair	PAR	21	0.93
SD-Dem	RDVI	SWC	PAR	Tair		16	0.93
ML-Kem	RDVI	Tair				4	0.75
NE-WaF	EVI	SWC	VPD			10	0.90
NE-WaM	RDVI	SWC	VPD	Tair		15	0.86
All sites	RDVI	SWC	VPD	Tair		16	0.84



**Table 4.** Annual peak values of quantum efficiency ( $\alpha_{\text{peak}}$ ;  $\mu\text{mol CO}_2 \mu\text{mol PAR}^{-1}$ ) and photosynthetic capacity ( $F_{\text{opt\_peak}}$ ;  $\mu\text{mol CO}_2 \text{m}^{-2} \text{s}^{-1}$ ) for the six measurement sites (Fig. 1). The peak values are the 2-week running mean with highest annual value.

Measurement site	Year	$\alpha_{\text{peak}}$	$F_{\text{opt\_peak}}$
ML-AgG	2007	0.0396	24.5
SN-Dah	2010	0.0638	50.0
	2011	0.0507	42.3
	2012	0.0480	39.2
	2013	0.0549	40.0
SD-Dem	2007	0.0257	16.5
	2008	0.0327	21.0
	2009	0.0368	16.5
ML-Kem	2007	0.0526	33.5
NE-WaF	2005	0.0273	18.2
	2006	0.0413	21.0
NE-WaM	2005	0.0252	10.6
	2006	0.0200	10.1
Average		0.0399	26.4

**Table 5.** Correlation matrix between annual peak values of photosynthetic capacity ( $F_{opt\_peak}$ ) and quantum efficiency ( $\alpha_{peak}$ ) and measured environmental variables. P is annual rainfall;  $T_{air}$  is yearly averaged air temperature at 2-m height; SWC is yearly averaged soil water content (% volumetric water content) measured at 0.1 m depth; Rh is yearly averaged relative humidity; VPD is yearly averaged vapour pressure deficit;  $R_g$  is yearly averaged incoming global radiation; N and C cont. are soil nitrogen and carbon contents;  $NDVI_{peak}$  is annual peak normalized difference vegetation index (NDVI);  $EVI_{peak}$  is annual peak enhanced vegetation index (EVI);  $RDVI_{peak}$  is annual peak renormalized difference vegetation index (RDVI);  $SIWSI_{12peak}$  is annual peak short-wave infrared water stress index based on MODIS NBAR band 2 and band 5; and  $SIWSI_{16peak}$  is annual peak short-wave infrared water stress index based on MODIS NBAR band 2 and band 6. Sample size was 13 for all except the marked explanatory variables.

Explanatory variable	$F_{opt\_peak}$	$\alpha_{peak}$
<b>Meteorological data</b>		
P (mm)	0.24±0.26	0.13±0.27
$T_{air}$ (°C)	-0.07±0.25	-0.01±0.25
SWC (%) <sup>a</sup>	0.33±0.25	0.16±0.27
Rh (%)	0.73±0.16*	0.60±0.19
VPD (hPa)	0.20±0.26	0.15±0.30
$R_g$ ( $W\ m^{-2}$ )	-0.48±0.21	-0.41±0.24
<b>Biomass and edaphic data</b>		
Biomass ( $g\ DW\ m^{-2}$ ) <sup>a</sup>	0.77±0.15*	0.74±0.14*
C3/C4 ratio	-0.05±0.26	0.06±0.30
N cont. (%) <sup>b</sup>	0.22±0.11	0.35±0.14
C cont. (%) <sup>b</sup>	0.89±0.06**	0.87±0.07**
<b>Earth observation data</b>		
$NDVI_{peak}$	0.94±0.05**	0.87±0.07**
$EVI_{peak}$	0.93±0.04**	0.87±0.07**
$RDVI_{peak}$	0.93±0.04**	0.89±0.07**
$SIWSI_{12peak}$	0.85±0.08**	0.84±0.08**
$SIWSI_{16peak}$	0.67±0.12*	0.65±0.15*
<b>Photosynthetic variables</b>		
$F_{opt}$	-	0.94±0.03**

<sup>a</sup>sample size equals 11.

<sup>b</sup>sample size equals 9.

\* significant at 0.05 level.

\*\* significant at 0.01 level

lots of repetition this can be simplified

This can be done by grouping variables of the same type.



**Table 6.** Statistics regarding the evaluation of the gross primary production (GPP) model for the six measurement sites (Fig. 1). In situ and modelled GPP are averages  $\pm 1$  standard deviation. RMSE is the root mean square error, and slope, intercept and  $R^2$  are from the fitted ordinary least squares linear regression.

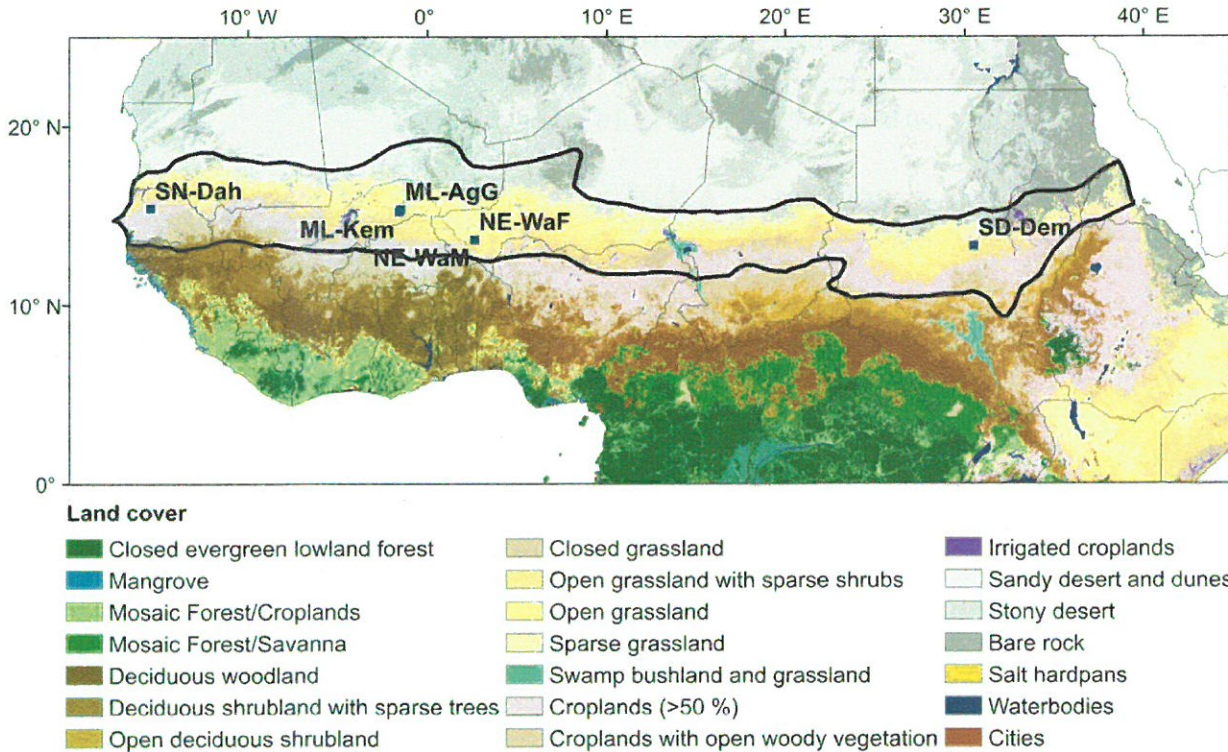
Measurement site	In situ GPP ( $\mu\text{mol CO}_2 \text{ m}^{-2} \text{ s}^{-1}$ )	Modelled GPP ( $\mu\text{mol CO}_2 \text{ m}^{-2} \text{ s}^{-1}$ )	RMSE ( $\mu\text{mol CO}_2 \text{ m}^{-2} \text{ s}^{-1}$ )	slope	Intercept ( $\mu\text{mol CO}_2 \text{ m}^{-2} \text{ s}^{-1}$ )	$R^2$
ML-AgG	5.35 $\pm$ 6.38	5.97 $\pm$ 5.80	2.48 $\pm$ 0.10	0.84 $\pm$ 0.003	1.46 $\pm$ 0.01	0.86 $\pm$ 0.002
SN-Dah	9.39 $\pm$ 10.17	8.87 $\pm$ 9.67	3.99 $\pm$ 1.34	0.88 $\pm$ 0.002	0.62 $\pm$ 0.01	0.85 $\pm$ 0.001
SD-Dem	4.26 $\pm$ 4.55	3.98 $\pm$ 3.90	3.15 $\pm$ 1.06	0.63 $\pm$ 0.003	1.31 $\pm$ 0.007	0.54 $\pm$ 0.02
ML-Kem	11.16 $\pm$ 8.02	10.52 $\pm$ 9.22	4.35 $\pm$ 1.23	1.02 $\pm$ 0.003	-0.82 $\pm$ 0.03	0.78 $\pm$ 0.002
NE-WaF	5.77 $\pm$ 4.17	6.63 $\pm$ 3.53	2.47 $\pm$ 1.05	0.70 $\pm$ 0.005	2.58 $\pm$ 0.02	0.69 $\pm$ 0.003
NE-WaM	3.04 $\pm$ 1.93	6.35 $\pm$ 3.47	4.12 $\pm$ 0.99	1.31 $\pm$ 0.004	2.37 $\pm$ 0.02	0.53 $\pm$ 0.003
Average	6.73 $\pm$ 7.72	7.02 $\pm$ 7.39	3.68 $\pm$ 0.55	0.83 $\pm$ 0.07	1.34 $\pm$ 0.82	0.84 $\pm$ 0.07

**Table 7.** The parameters for Eq. 13 that were used in the final gross primary production (GPP) model. RMSE is the root mean square error, and  $R^2$  is the coefficient of determination for the regression models predicting the different variables.

Parameter	Value	RMSE	$R^2$
$k_{Fopt}$	$79.6 \pm 6.3$	$5.1 \pm 1.3$	$0.89 \pm 0.05$
$m_{Fopt}$	$-7.3 \pm 3.2$		
$l_{Fopt}$	$3.51 \pm 0.19$		
$n_{Fopt}$	$0.03 \pm 0.006$		
$\alpha$	$0.16 \pm 0.02$	$0.0069 \pm 0.0021$	$0.81 \pm 0.10$
$m_\alpha$	$-0.014 \pm 0.007$		
$l_\alpha$	$3.75 \pm 0.27$		
$n_\alpha$	$0.02 \pm 0.007$		



Figures

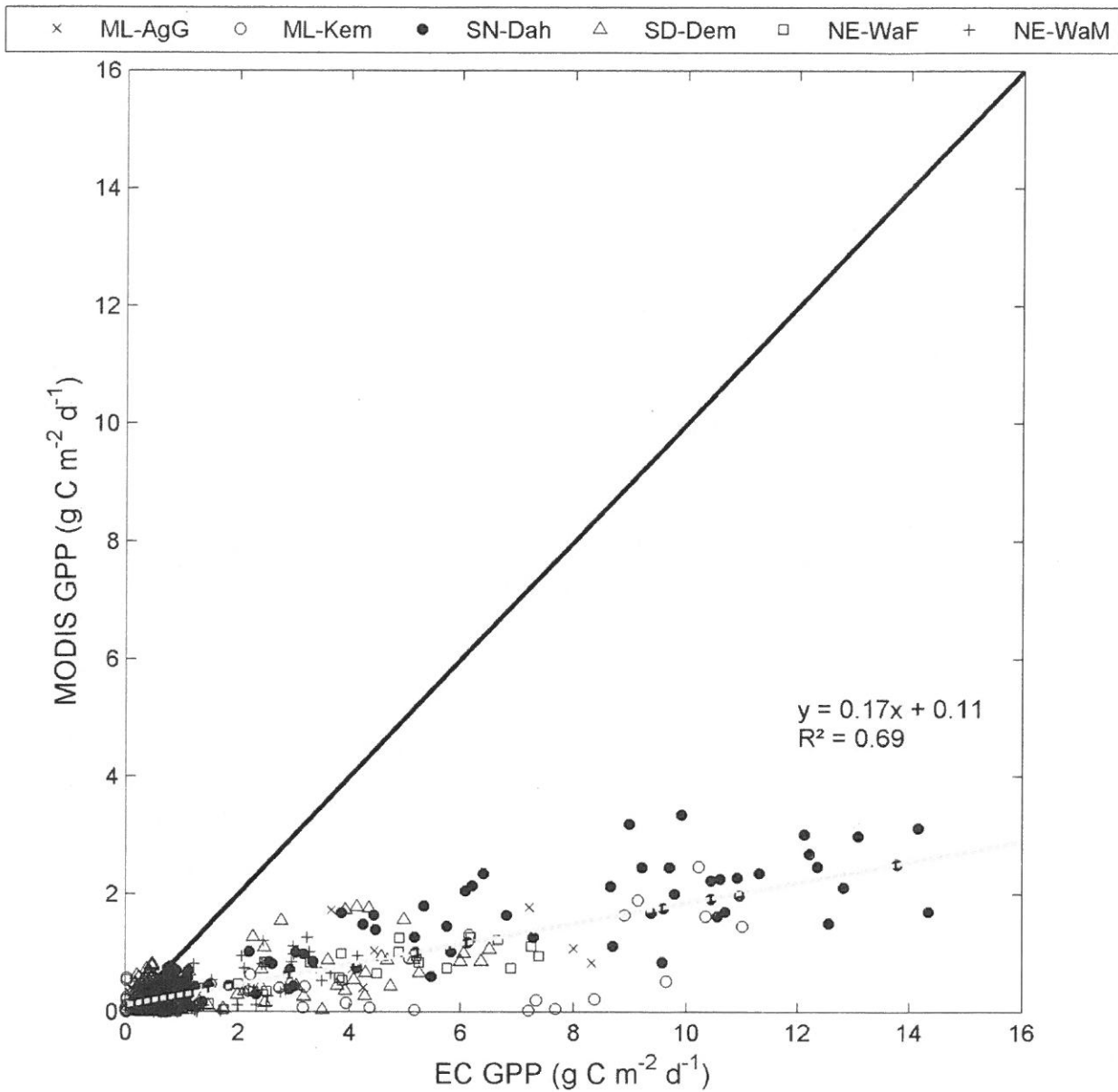


5 **Figure 1.** Land cover classes for the Sahel and the location of the six measurement sites <sup>of this</sup> included in the study. The land cover classes are based on multi-sensor satellite observations (Mayaux et al., 2003). The sites are Agoufou (ML-AgG), Dahra (SN-Dah), Demokeya (SD-Dem), Kelma (ML-Kem), Wankama Fallow (NE-WaF) and Wankama Millet (NE-WaM). The thick black line <sup>are the</sup> borders of the Sahel based on the <sup>annual</sup> isohyets 150 and 700 mm of annual precipitation (Prince et al., 1995).

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**Figure 2.** Evaluation of the MODIS based GPP product MOD17A2H (collection 6) against eddy covariance based GPP from the six measurement sites (Fig. 1) across the Sahel. The thick black line shows the one-to-one ratio and the grey dotted line is the fitted ordinary least squares linear regression.

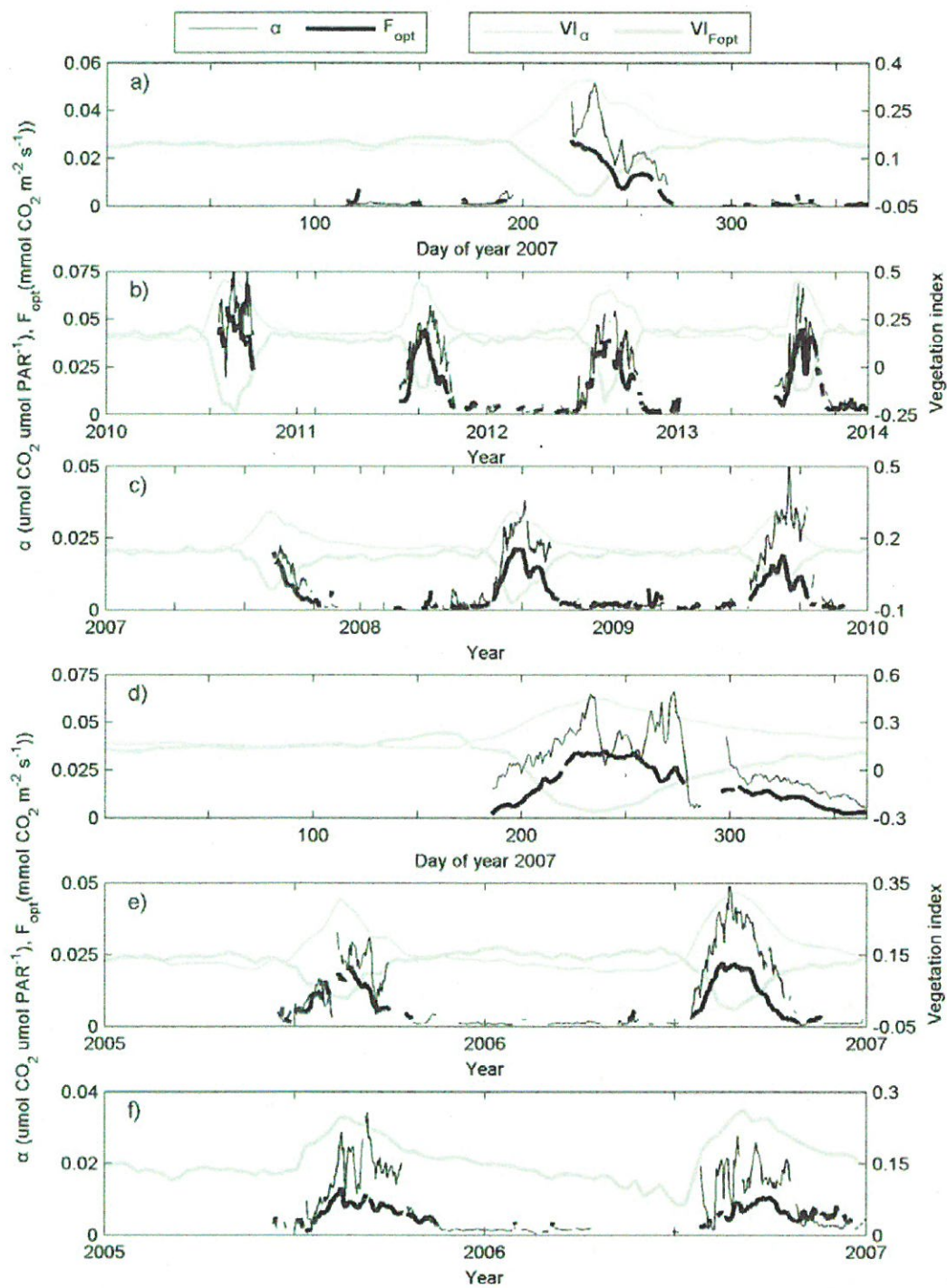
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↑  
 a line suggest a "linear" relationship.

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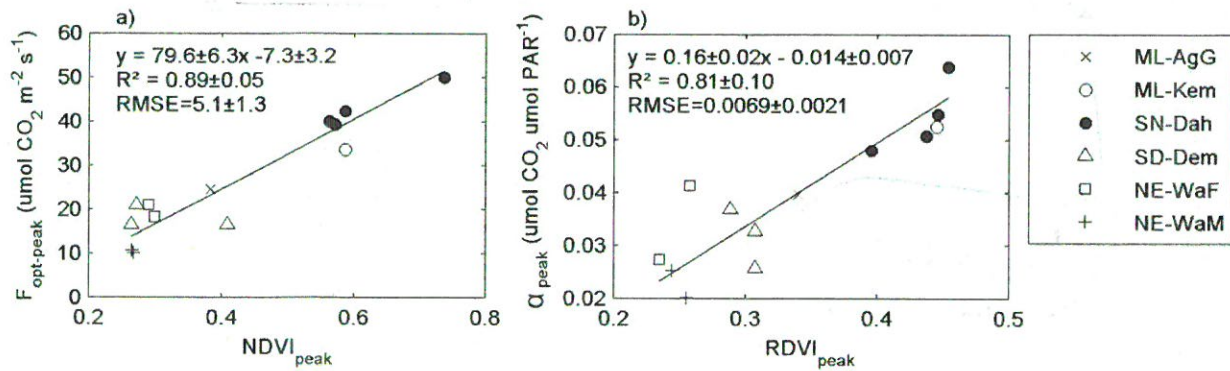
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**Figure 3.** Dynamics ~~in~~ photosynthetic capacity ( $F_{opt}$ ) and quantum efficiency ( $\alpha$ ) for the six measurement sites. Also included are dynamics in the vegetation indices with highest correlation <sup>with</sup> to the intra-annual dynamics ~~of~~  $F_{opt}$  ( $VI_{F_{opt}}$ ) and ~~of~~ quantum efficiency ( $VI_{\alpha}$ ) (Table 2). The sites are a) Agoufou (ML-AgG), b) Dahra (SN-Dah), c) Demokeya (SD-Dem), d) Kelma (ML-Kem), e) Wankama Fallow (NE-WaF) and f) Wankama Millet (NE-WaM). -X

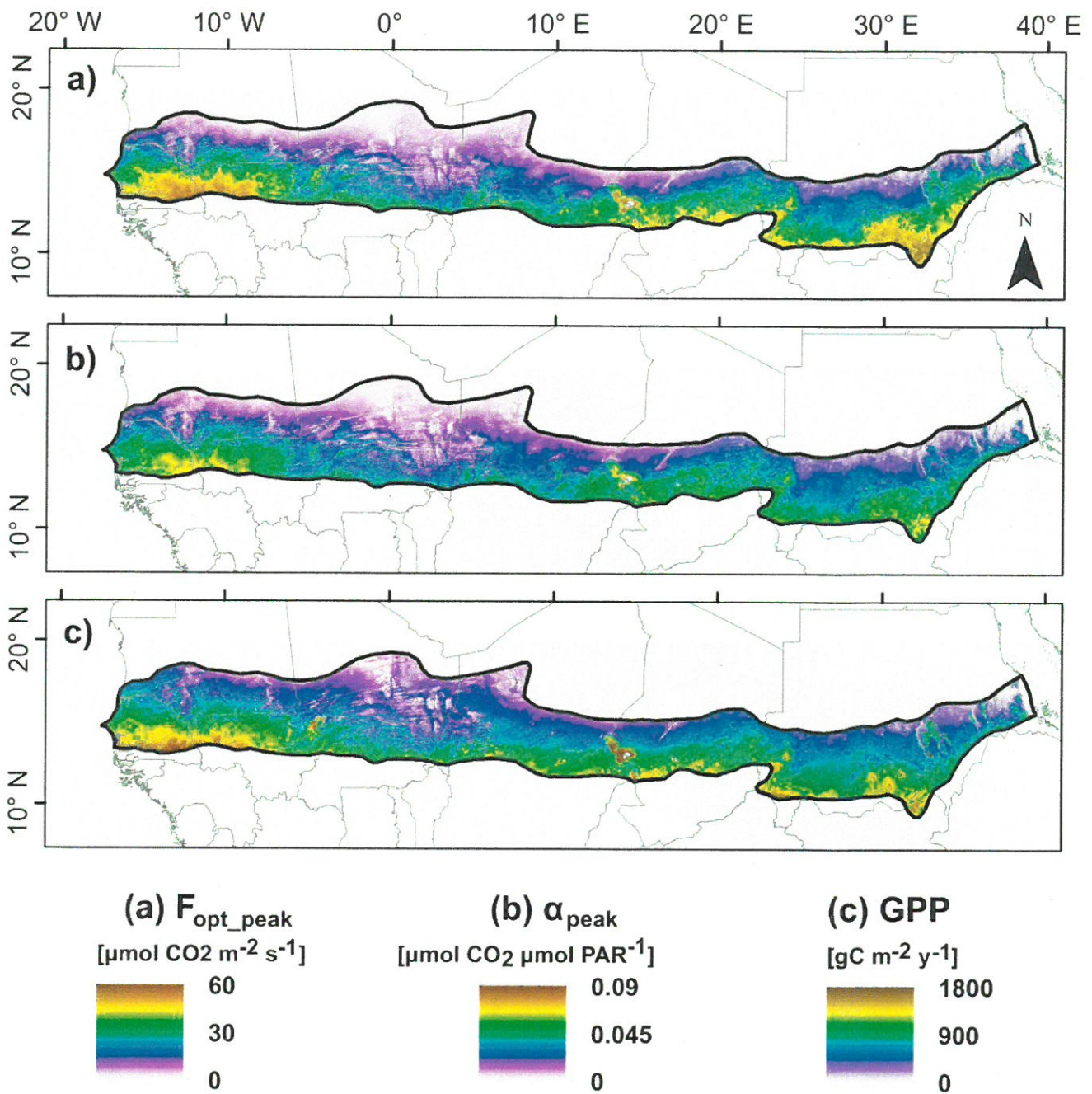
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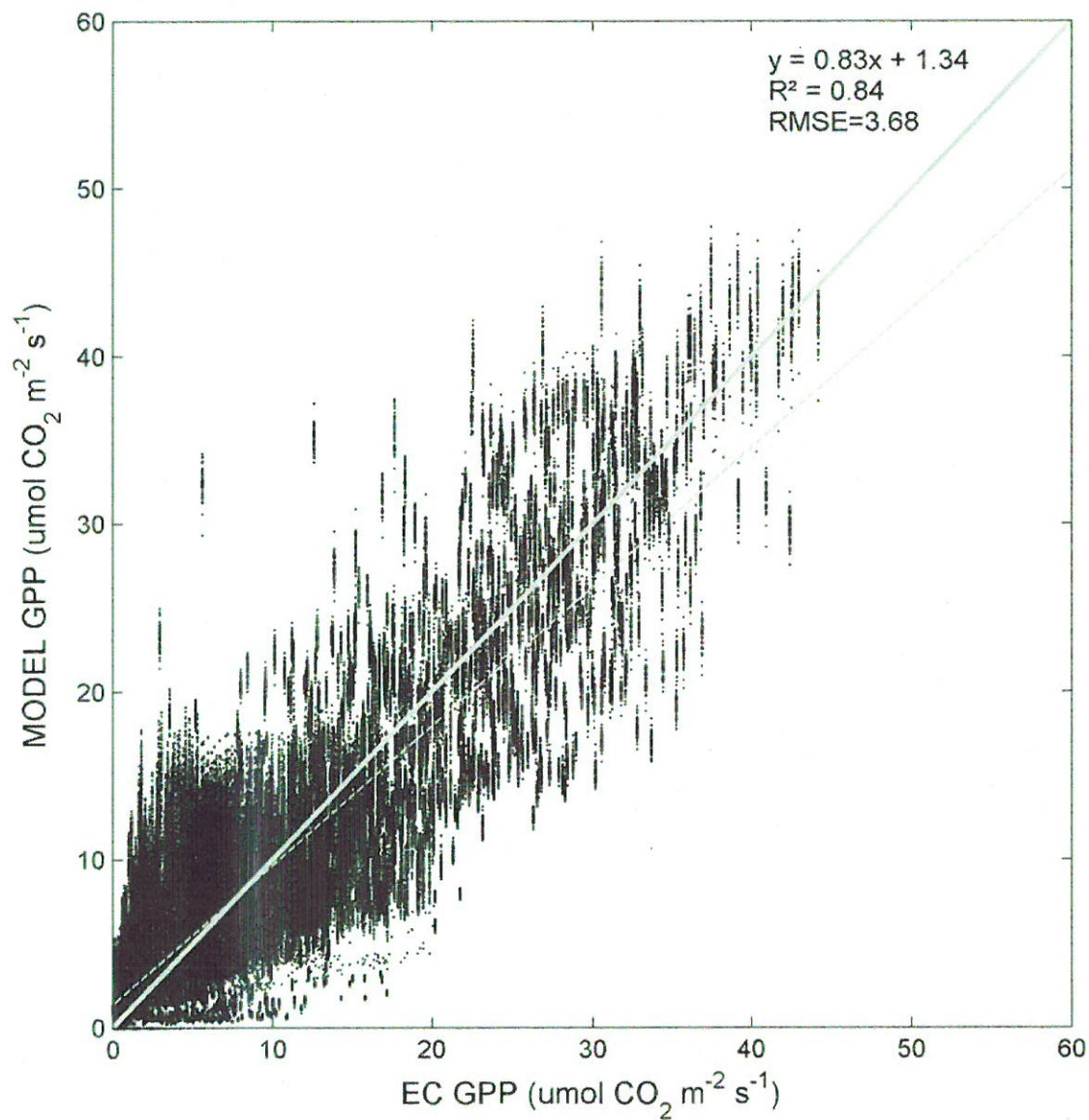


**Figure 4.** Scatter plots of annual peak values for the six measurement sites (Fig. 1) of a) photosynthetic capacity ( $F_{opt\_peak}$ ) and b) quantum efficiency ( $\alpha_{peak}$ ) against peak values of normalized difference vegetation index ( $\text{NDVI}_{peak}$ ) and renormalized difference vegetation index ( $\text{RDVI}_{peak}$ ), respectively. The annual peak values were estimated by taking the annual maximum of a 2-week running mean.

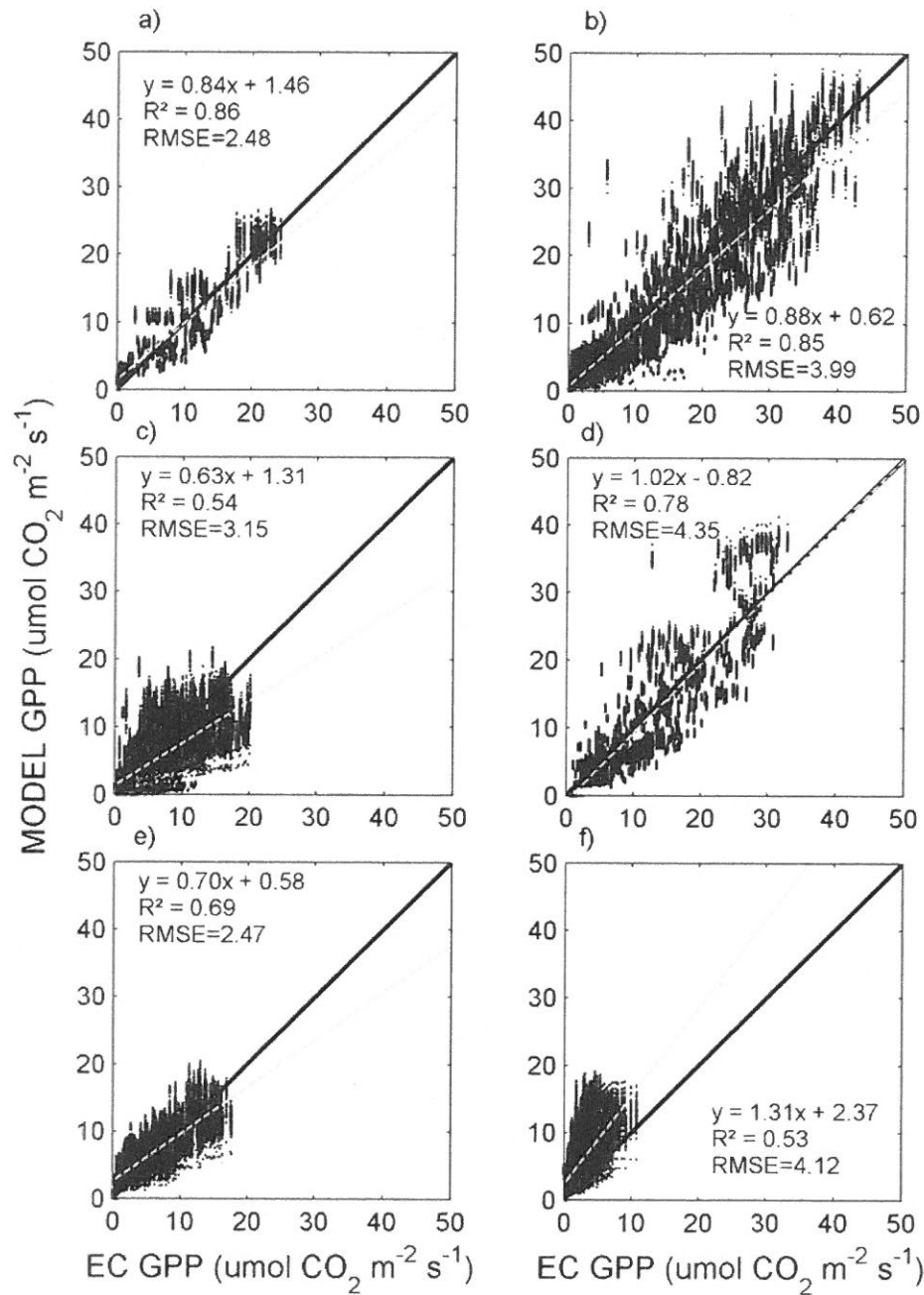


**Figure 5.** Maps of a) peak values of photosynthetic capacity ( $F_{opt\_peak}$ ) averaged for 2001-2014, b) peak values of quantum efficiency ( $\alpha_{peak}$ ) averaged for 2001-2014, and c) annual budgets of GPP averaged for 2001-2014.





**Figure 6.** Evaluation of the modelled gross primary production (GPP) (Eq. 13) against in situ GPP from all six measurement sites across the Sahel. The thick grey line shows the one-to-one ratio, whereas the thin dotted grey line is the fitted ordinary least squares ~~1664~~ regression.



**Figure 7.** Evaluation of the modelled gross primary production (GPP) (Eq. 13) against in situ GPP for the six sites ~~across~~ ~~Sahel~~ (Fig. 1). The thick black line shows the one-to-one ratio, whereas the dotted thin grey line is the fitted ordinary least

no need  
to tell us  
that the sites  
are in the Sahel.



squares ~~linear~~ regression. The sites are a) Agoufou (ML-AgG), b) Dahra (SN-Dah), c) Demokeya (SD-Dem), d) Kelma (ML-Kem), e) Wankama Fallow (NE-WaF) and f) Wankama Millet (NE-WaM).