



1 Modelling spatial and temporal dynamics of GPP in the Sahel from 2 earth observation based photosynthetic capacity and quantum 3 efficiency

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17 **Abstract.** It has been shown that vegetation growth in semi-arid regions is an important sink for human induced fossil
18 fuel emissions of CO₂, which indicates the strong need for improved understanding, and spatially explicit estimates of
19 CO₂ uptake (gross primary productivity (GPP)) in semi-arid ecosystems. This study has three aims: 1) to evaluate the
20 MOD17A2H GPP (collection 6) product against eddy covariance (EC) based GPP for six sites across the Sahel. 2) To
21 find evidence on the relationships between spatial and temporal variability in EC based photosynthetic capacity (F_{opt})
22 and quantum efficiency (α) and earth observation (EO) based vegetation indices 3) To study the applicability of EO up-
23 scaled F_{opt} and α for GPP modelling purposes. MOD17A2H GPP (collection 6) underestimated GPP strongly, most
24 likely because the maximum light use efficiency is set too low for semi-arid ecosystems in the MODIS algorithm. The
25 intra-annual dynamics in F_{opt} was closely related to the shortwave infrared water stress index (SIWSI) closely coupled
26 to equivalent water thickness, whereas α was closely related to the renormalized difference vegetation index (RDVI)
27 affected by chlorophyll abundance. Spatial and inter-annual dynamics in F_{opt} and α were closely coupled to the
28 normalized difference vegetation index (NDVI) and RDVI, respectively. Modelled GPP based on F_{opt} and α up-scaled
29 using EO based indices reproduced in situ GPP well for all but a cropped site. The cropped site was strongly impacted
30 by intensive anthropogenic land use. This study indicates the strong applicability of EO as a tool for parameterising
31 spatially explicit estimates of photosynthetic capacity and efficiency; incorporating this into dynamic global vegetation
32 models could improve global estimations of vegetation productivity, ecosystem processes and biochemical and
33 hydrological cycles.

34



38 **Keywords:** Remote sensing, Gross Primary Productivity, MOD17A2H, light use efficiency, photosynthetic capacity,
39 quantum efficiency

40 **1 Introduction**

41 Vegetation growth in semi-arid regions is an important sink for human induced fossil fuel emissions. Semi-arid regions
42 are even the main biome driving long-term trends and inter-annual variability in carbon dioxide (CO₂) uptake by
43 terrestrial ecosystems (Ahlström et al., 2015; Poulter et al., 2014). It is thus important to understand the long-term
44 variability of vegetation growth in semi-arid areas and their response to environmental conditions to better quantify and
45 forecast the effects of climate change.

46 The Sahel is a semi-arid transition zone between the dry Sahara desert in the North and the humid Sudanian savanna
47 in the south. The region has experienced numerous severe droughts during the last decades that resulted in region-wide
48 famines in 1972–1973 and 1984–1985 and localized food shortages across the region in 1990, 2002, 2004, 2011 and
49 2012 (Abdi et al., 2014; United Nations, 2013). Vegetation productivity is thereby an important ecosystem service for
50 the people living in the Sahel, but it is under high pressure. The region experiences a strong population growth,
51 increasing the demand on the ecosystem services due to cropland expansion, increased pasture stocking rates and
52 fuelwood extraction (Abdi et al., 2014). Continuous cropping is practised to meet the demand of the growing population
53 and has resulted in reduced soil fertility, which affects vegetation productivity negatively (Samaké et al., 2005; Chianu
54 et al., 2006).

55 At the same time as we have reports of declining vegetation productivity, we have contradicting reports of greening of
56 the Sahel based on remote sensing data (Dardel et al., 2014; Fensholt et al., 2013). The greening of the Sahel has mainly
57 been attributed to alleviated drought stress conditions due to increased precipitation since the mid-1990s (Hickler et al.,
58 2005). Climate is thus another important factor regulating vegetation productivity and semi-arid regions, such as the
59 Sahel, are particularly vulnerable to climate fluctuations due to their vulnerability to moisture conditions.

60 Estimation of gross primary productivity (GPP), i.e. uptake of atmospheric CO₂ by vegetation, is still a major
61 challenge within remote sensing of ecosystem services. GPP is a main driver of ecosystem services such as climate
62 regulation, carbon (C) sequestration, C storage, food production, or livestock grassland production. Within earth
63 observation (EO), spatial quantification of GPP generally involves light use efficiency (LUE), defined as the efficiency
64 to convert absorbed solar light into CO₂ uptake (Monteith, 1972, 1977). It has been shown that LUE varies in space and
65 time due to factors such as plant functional type, drought and temperature, nutrient levels and physiological limitations
66 of photosynthesis (Garbulsky et al., 2010; Paruelo et al., 2004; Kergoat et al., 2008). The LUE concept has been applied
67 using various methods, either by using a biome-specific LUE constant (Ruijmy et al., 1994), or by modifying a
68 maximum LUE using meteorological variables (Running et al., 2004).

69 An example of an LUE based model is the standard GPP product from the Moderate Resolution Imaging
70 Spectroradiometer (MODIS) sensor (MOD17A2). Within the model, absorbed photosynthetically active radiation
71 (PAR) is estimated as a product of the fraction of PAR absorbed by the green vegetation (FPAR from MOD15A2)
72 multiplied with daily PAR from the meteorological data of the Global Modeling and Assimilation Office (GMAO). A
73 set of maximum LUE parameters specified for each biome are extracted from a Biome Properties Look-Up Table
74 (BPLUT). Then maximum LUE is modified depending on air temperature (T_{air}) and vapor pressure deficit (VPD) levels



75 (Running et al., 2004). Sjöström et al. (2013) evaluated the MOD17A2 product (collection 5.1) for Africa, and showed
76 that it was underestimating GPP for semi-arid savannas in the Sahel. Explanations for this underestimation were that the
77 assigned maximum LUE from the BPLUT is set too low and uncertainties in the FPAR (MOD15A2) product. Recently,
78 a new collection of MOD17A2 at 500 m spatial resolution was released (MOD17A2H; collection 6) with an updated
79 BPLUT, updated GMAO meteorological data, improved quality control and gap filling of the FPAR data from
80 MOD15A2 (Running and Zhao, 2015).

81 It has been shown that the LUE method does not perform well in arid conditions and at agricultural sites (Turner et
82 al., 2005). Additionally, the linearity assumed by the LUE model is usually not found as the response of GPP to
83 incoming light follows more of an asymptotic curve (Cannell and Thornley, 1998). Investigating other methods for
84 remotely determining GPP is thus of great importance, especially for semi-arid environments. Therefore, instead of
85 LUE we focus on the light response function of GPP at the canopy scale, and spatial and temporal variation of its two
86 main parameters: maximum GPP under light saturation (canopy-scale photosynthetic capacity; F_{opt}), and the initial
87 slope of the light response function (canopy-scale quantum efficiency; α) (Falge et al., 2001; Tagesson et al., 2015a).
88 Photosynthetic capacity is a measure of the maximum rate at which the canopy can fix CO₂ during photosynthesis
89 ($\mu\text{mol CO}_2 \text{ m}^{-2} \text{ s}^{-1}$) whereas α is the amount of CO₂ fixed per incoming PAR ($\mu\text{mol CO}_2 \mu\text{mol PAR}^{-1}$). Just to clarify the
90 difference in LUE and α in this study; LUE ($\mu\text{mol CO}_2 \mu\text{mol APAR}^{-1}$) is the slope of a linear fit between CO₂ uptake
91 and absorbed PAR, whereas α ($\mu\text{mol CO}_2 \mu\text{mol PAR}^{-1}$) is the initial slope of an asymptotic curve against incoming
92 PAR.

93 It has been proven that F_{opt} and α are closely related to chlorophyll abundance due to their coupling with the electron
94 transport rate (Ide et al., 2010). Additionally, in semi-arid ecosystems water availability is generally considered to be
95 the main limiting factor affecting intra-annual dynamics of vegetation growth (Fensholt et al., 2013; Hickler et al.,
96 2005; Tagesson et al., 2015b). Several remote sensing studies have established relationships between remotely sensed
97 vegetation indices and ecosystem properties such as chlorophyll abundance and equivalent water thickness (Yoder and
98 Pettigrew-Crosby, 1995; Fensholt and Sandholt, 2003). In this study we will analyse if EO vegetation indices can be
99 used for up-scaling F_{opt} and α and investigate if this could offer a promising way to map GPP in semi-arid areas. This
100 potential will be analysed by the use of detailed ground observations from six different measurement sites (eddy
101 covariance flux towers) across the Sahel.

102 The three aims of this study are:

- 103 1) To evaluate the recently released MOD17A2H GPP (collection 6) product and to investigate if it is better at
104 capturing GPP levels for the Sahel than collection 5.1. We hypothesise that MOD17A2H GPP (collection 6)
105 product will estimate GPP well for the six Sahelian measurement sites, because of the major changes done in
106 comparison to collection 5.1 (Running and Zhao, 2015).
- 107 2) To find evidence on the relationships between spatial and temporal variability in F_{opt} and α and remotely
108 sensed vegetation indices. We hypothesise that remotely sensed vegetation indices that are closely related to
109 chlorophyll abundance can be used for quantifying spatial and inter-annual dynamics in F_{opt} and α . Vegetation
110 indices closely related to equivalent water thickness are closely linked to intra-annual dynamics in F_{opt} and α
111 across the Sahel.
- 112 3) To evaluate the applicability of a GPP model based on the light response function using remotely sensed
113 vegetation indices and incoming PAR as input data.



114 **2 Materials and Methods**

115 **2.1 Site description**

116 The Sahel stretches from the Atlantic Ocean in the west to the Red Sea in the east. The northern border towards the
117 Sahara and the southern border towards the humid Sudanian Savanna are defined by the 150 and 700 mm isohytes,
118 respectively (Fig. 1) (Prince et al., 1995). Tree and shrub canopy cover is now generally low (< 5%) and dominated by
119 species of *Balanites*, *Acacia*, *Boscia* and *Combretaceae* (Rietkerk et al., 1996). Annual grasses such as *Schoenfeldia*
120 *gracilis*, *Dactyloctenium aegypticum*, *Aristida mutabilis*, and *Cenchrus biflorus* dominate the herbaceous layer, but
121 perennial grasses such as *Andropogon gayanus*, *Cymbopogon schoenanthus* can also be found (Rietkerk et al., 1996; de
122 Ridder et al., 1982). From the FLUXNET database (Baldocchi et al., 2001), we selected the six available measurement
123 sites with eddy covariance based CO₂ flux data from the Sahel (Table 1; Fig. 1). The sites represent a variety of the
124 ecosystems present in the region, from dry fallow bush savanna to seasonally inundated acacia forest. For a full
125 description of the measurement sites, we refer to (Tagesson et al., 2016) and the references in Table 1.

126 <Table 1>

127 <Figure 1>

128

129 **2.2 Data collection**

130 **2.2.1 Eddy covariance, hydrological and meteorological in situ data**

131 Eddy covariance (EC), hydrological and meteorological data originating from the years between 2005 and 2013 were
132 collected from the principal investigators of the measurement sites (Tagesson et al., 2016). The EC sensor set-up
133 consisted of open-path CO₂/H₂O infrared gas analysers and 3-axis sonic anemometers. Data were collected at 20 Hz rate
134 and statistics were calculated for 30-min periods. For a full description of sensor set up and post processing of the EC
135 data, see references in Table 1. Final fluxes were filtered according quality flags provided by FLUXNET and outliers
136 were filtered according Papale et al. (2006). We extracted the original net ecosystem exchange (NEE) data without any
137 gap-filling or partitioning of NEE to GPP and ecosystem respiration. We also collected hydrological and meteorological
138 data: air temperature (T_{air}; °C), rainfall (P; mm), relative air humidity (Rh; %), soil moisture at 0.1 m depth (SWC; %
139 volumetric water content), incoming global radiation (R_g; W m⁻²), incoming photosynthetically active radiation (PAR;
140 μmol m⁻² s⁻¹), VPD (hPa), peak dry weight biomass (g dry weight m⁻²), C3/C4 species ratio, and soil conditions
141 (nitrogen and C concentration; %). For a full description of the collected data and sensor set-up, see Tagesson et al.
142 (2016).

143

144 **2.2.2 Earth Observation data and gridded ancillary data**

145 Remotely sensed composite products from the MODIS/Terra L4 from the Sahel were collected at Reverb ECHO
146 (NASA, 2016). The collected products were GPP (MOD17A2H; collection 6) and the Nadir Bidirectional Reflectance
147 Distribution Function (BRDF) adjusted reflectance (NBAR) (8-day composites; MCD43A4; collection 5.1) at 500*500
148 m² spatial resolution, and the normalized difference vegetation index (NDVI), and the enhanced vegetation index (EVI)
149 (16-day composites; MOD13Q1; collection 6) at 250*250 m² spatial resolution. The NBAR product was preferred over
150 the reflectance product (MOD09A1), in order to avoid variability caused by varying sun and sensor viewing geometry
151 (Huber et al., 2014; Tagesson et al., 2015c). We extracted the median of the 3x3 pixels centred at the location of the EC
152 towers. The time series of the remotely sensed products were filtered according the MODIS quality control data;



153 MOD17A2H is a gap-filled and filtered product, QC data from MCD43A2 were used for the filtering of MCD43A4;
154 and bit 2-5 (highest –decreasing quality) was used for MOD13Q1. Finally, data were gap-filled to daily values using
155 linear interpolation.

156 For a GPP model to be applicable on a larger spatial scale, a gridded data set of incoming PAR is needed. We
157 downloaded ERA Interim reanalysis PAR at the ground surface (W m^{-2}) with a spatial resolution of $0.25^\circ \times 0.25^\circ$
158 accumulated for each 3-hour period 2000-2015 from the European Centre for Medium-Range Weather Forecasts
159 (ECMWF) (Dee et al., 2011; ECMWF, 2016).

160

161 **2.3 Data handling**

162 **2.3.1 Intra-annual dynamics in photosynthetic capacity and quantum efficiency**

163 Both linear and hyperbolic equations have been used for investigating the response of GPP to incoming light (Wall and
164 Kanemasu, 1990; Campbell et al., 2001). However, they do not represent the lower part of the light response function
165 particularly well, and we thereby instead choose to use the asymptotic Mitscherlich light-response function (Inoue et al.,
166 2008; Falge et al., 2001). The Mitscherlich light-response function was fitted between daytime NEE and incoming
167 PAR:

$$168 \text{NEE} = -(\text{F}_{\text{opt}}) \times (1 - e^{\left(\frac{-\alpha \times \text{PAR}}{\text{F}_{\text{opt}}} \right)}) + \text{R}_d \quad (1)$$

169 where F_{opt} is the CO_2 uptake at light saturation (photosynthetic capacity; $\mu\text{mol CO}_2 \text{ m}^{-2} \text{ s}^{-1}$), R_d is dark respiration
170 ($\mu\text{mol CO}_2 \text{ m}^{-2} \text{ s}^{-1}$), and α is the initial slope of the light response curve (quantum efficiency; $\mu\text{mol CO}_2 \mu\text{mol PAR}^{-1}$)
171 (Falge et al., 2001). By subtracting R_d from Eq. 1, the function is forced through zero and GPP is thereby estimated. We
172 fitted Eq. 1 using 7-day moving windows with 1 day time steps and generating daily values of F_{opt} and α . To assure high
173 quality of the fitted parameters, parameters were excluded from the analysis when the fitting was insignificant (p-
174 value < 0.05), and when they were out of range (F_{opt} and α > peak value of the rainy season times 1.2). Additionally,
175 outliers were filtered following the method by Papale et al. (2006) using 30-day moving windows with 1 day time steps.

176

177 **2.3.2 Vegetation indices**

178 We analysed the relationship between F_{opt} , α and some commonly applied vegetation indices:

179 The maximum absorption in the red wavelengths generally occurs at 682 nm as this is the peak absorption for
180 chlorophyll a and b (Thenkabail et al., 2000), which makes vegetation indices that include the red band sensitive to
181 chlorophyll abundance. By far the most common vegetation index is the NDVI (Rouse et al., 1974):

$$182 \text{NDVI} = \frac{(\rho_{\text{NIR}} - \rho_{\text{red}})}{(\rho_{\text{NIR}} + \rho_{\text{red}})} \quad (2)$$

183 where ρ_{NIR} is the reflectance factor in the near infrared (NIR) band (band 2) and ρ_{red} is the reflectance factor in the red
184 band (band 1). The NIR radiance is scattered by the air-water interfaces between the cells whereas red radiance is
185 absorbed by chlorophyll and its accessory pigments (Gates et al., 1965). Normalization is done to reduce effects of
186 atmospheric errors, solar zenith angles, and sensor viewing geometry, as well as increasing the vegetation signal (Qi et
187 al., 1994; Inoue et al., 2008).



188 A well-known issue with the NDVI is that it saturates at high biomass because the absorption of red light at ~670 nm
 189 peaks at higher biomass loads whereas NIR reflectance continues to increase due to multiple scattering effects
 190 (Mutanga and Skidmore, 2004; Jin and Eklundh, 2014). By reducing atmospheric and soil background influences, EVI
 191 increases the signal from the vegetation and maintain sensitivity in high biomass regions (Huete et al., 2002).

$$192 \quad \text{EVI} = G \frac{(\rho_{\text{NIR}} - \rho_{\text{red}})}{(\rho_{\text{NIR}} + C_1 \rho_{\text{red}} - C_2 \rho_{\text{blue}} + L)} \quad (3)$$

193 where ρ_{blue} is the reflectance factor in the blue band (band 3). The coefficients $C_1=6$ and $C_2=7.5$ correct for atmospheric
 194 influences, while $L=1$ adjust for the canopy background. The factor $G=2.5$ is the gain factor.

195 Another attempt to overcome the issue of NDVI saturation was proposed by Roujean and Breon (1995), which
 196 combines the advantages of the DVI (NIR-red) and the NDVI for low and high vegetation cover, respectively:

$$197 \quad \text{RDVI} = \frac{(\rho_{\text{NIR}} - \rho_{\text{red}})}{\sqrt{(\rho_{\text{NIR}} + \rho_{\text{red}})}} \quad (4)$$

198 As a non-linear index, RDVI is not only less sensitive to variations in the geometrical and optical properties of
 199 unknown foliage but also less affected by the solar and viewing geometry (Broge and Leblanc, 2001).

200 The NIR and SWIR bands are affected by the same ground properties, except that SWIR bands are also strongly
 201 sensitive to equivalent water thickness. Fensholt and Sandholt (2003) proposed a vegetation index, the shortwave
 202 infrared water stress index (SIWSI), using NIR and SWIR bands to estimate drought stress for vegetation in semi-arid
 203 environments:

$$204 \quad \text{SIWSI}_{12} = \frac{(\rho_{\text{NIR}} - \rho_{\text{SWIR12}})}{(\rho_{\text{NIR}} + \rho_{\text{SWIR12}})} \quad (5)$$

$$205 \quad \text{SIWSI}_{16} = \frac{(\rho_{\text{NIR}} - \rho_{\text{SWIR16}})}{(\rho_{\text{NIR}} + \rho_{\text{SWIR16}})} \quad (6)$$

206 where ρ_{swir12} is NBAR band 5 (1230-1250 nm) and ρ_{swir16} is NBAR band 6 (1628-1652 nm). As the vegetation water
 207 content increases, the reflectance in the SWIR decreases indicating that low and high SIWSI values point to sufficient
 208 water conditions and drought stress, respectively.

209

210 2.3.3 Incoming PAR across the Sahel

211 Incoming PAR at the ground surface from ERA Interim followed the pattern of PAR measured at the six sites in situ
 212 closely, but it was systematically underestimated (Fig. 2). An ordinary least square linear regression was thereby fitted
 213 between ERA Interim PAR and PAR measured in situ ($\text{PAR}_{\text{in situ}} = 3.09 * \text{PAR}_{\text{ERA interim}} + 23.07$; coefficient of
 214 determination (R^2)=0.93; $n=37976$). The regression line was used for converting ERA Interim PAR to the same level as
 215 in situ measured PAR.

216 <Figure 2>

217

218 2.4 Data analysis

219 2.4.1 Coupling temporal and spatial dynamics in photosynthetic capacity and quantum efficiency with 220 explanatory variables



221 In a first step, the coupling between intra-annual dynamics in F_{opt} and α and the vegetation indices for the different
 222 measurement sites were studied using Pearson correlation analysis. Relationships between intra-annual dynamics in F_{opt}
 223 and α and the vegetation indices for all sites combined were also analysed. In order to avoid influence of the spatial and
 224 inter-annual variability, time series of ratios of F_{opt} and α (F_{opt_frac} and α_{frac}) against the annual peak values (F_{opt_peak} and
 225 α_{peak} ; see below for calculation of annual peak values) were estimated for all sites:

$$226 \quad F_{opt_frac} = \frac{F_{opt}}{F_{opt_peak}} \quad (7)$$

$$227 \quad \alpha_{frac} = \frac{\alpha}{\alpha_{peak}} \quad (8)$$

228 The same standardisation procedure was used for all vegetation indices (VI_{frac}):

$$229 \quad VI_{frac} = \frac{VI}{VI_{peak}} \quad (9)$$

230 where VI_{peak} is the annual peak values of the vegetation indices (14 days running mean with highest annual value). Such
 231 a standardisation gives fractions of how F_{opt} , α and VI varies over the season in relationship to the annual peak value,
 232 and it removes the spatial and inter-annual variation, and mainly intra-annual dynamics remains. The coupling between
 233 α_{frac} and F_{opt_frac} and the different VI_{frac} were examined using Pearson correlation analysis for all sites. The robustness of
 234 the correlation coefficients was estimated by using a bootstrap simulation with 200 iterations in the correlation analysis
 235 (Richter et al., 2012).

236 In order to investigate spatial and inter-annual variability in F_{opt} and α for the measurement sites, gaps needed to be
 237 filled. Regression trees were used to fill gaps in the daily estimates of F_{opt} and α . One hundred tree sizes were chosen
 238 based on 100 cross validation runs, and these trees were then used for estimating the F_{opt} and α (De'ath and Fabricius,
 239 2000). We used SWC, VPD, T_{air} , PAR, and the vegetation index with strongest correlation with intra-annual dynamics
 240 as explanatory variables in the analysis. In the analysis for all sites, the same standardisation procedure as done for F_{opt} ,
 241 α , and the vegetation indices was done for the hydrological and meteorological variables. The 100 F_{opt} and α output
 242 subsets from the regression trees were averaged and used for filling the gaps in F_{opt} and α .

243 To investigate spatial and inter-annual variability in F_{opt} and α across the measurement sites of the Sahel, annual peak
 244 values of F_{opt} and α (F_{opt_peak} and α_{peak} ; 14 days running mean with highest annual value) were correlated with the annual
 245 sum of P, yearly means of T_{air} , SWC, RH, VPD, R_g , annual peak values of biomass, soil nitrogen and C concentrations,
 246 C3/C4 ratio, and VI_{peak} using Pearson linear correlations. Again, we used a bootstrap simulation methodology with 200
 247 iterations in order to estimate the robustness of the correlations.

248

249 **2.4.2 The GPP model**

250 Based on Eq. 1 and the outcome of the statistical analysis previously described under subsection 2.4.1 (for results see
 251 subsect. 3.2), a model for estimating GPP across the Sahel was created:

$$252 \quad GPP = -F_{opt} \times (1 - e^{\left(\frac{-\alpha \times PAR}{F_{opt}} \right)}) \quad (10)$$



253 The model is applicable for each point in space and time. Firstly, F_{opt_peak} and α_{peak} were estimated spatially and inter-
 254 annually using linear regression functions fitted against the vegetation indices with the strongest relationships to spatial
 255 and inter-annual variability in F_{opt_peak} and α_{peak} :

$$256 F_{opt_peak} = k_{Fopt} \times NDVI_{peak} + m_{Fopt} \quad (11)$$

$$257 \alpha_{peak} = k_{\alpha} \times RDVI_{peak} + m_{\alpha} \quad (12)$$

258 where k_{Fopt} and k_{α} are the slopes of the lines and m_{Fopt} and m_{α} are the intercepts. Secondly, to estimate the F_{opt_frac} and
 259 α_{frac} for each day of the year, linear regression functions were established for F_{opt_frac} and α_{frac} with the vegetation index
 260 with the strongest relationships to intra-annual variability of F_{opt_frac} and α_{frac} for all sites, as follows:

$$261 F_{opt_frac} = l_{Fopt} \times RDVI_{frac} + n_{Fopt} \quad (13)$$

$$262 \alpha_{frac} = l_{\alpha} \times RDVI_{frac} + n_{\alpha} \quad (14)$$

263 where l_{Fopt} and l_{α} are the slopes of the lines and n_{Fopt} and n_{α} are the intercepts. Eq. 11-14 provide the relationships to
 264 estimate F_{opt} and α for any day of the year and for any point in space across the Sahel:

$$265 F_{opt} = F_{opt_peak} \times F_{opt_frac} = (k_{Fopt} \times NDVI_{max} + m_{Fopt}) (l_{Fopt} \times RDVI_{frac} + n_{Fopt}) \quad (15)$$

$$266 \alpha = \alpha_{peak} \times \alpha_{frac} = (k_{\alpha} \times RDVI_{max} + m_{\alpha}) (l_{\alpha} \times RDVI_{frac} + n_{\alpha}) \quad (16)$$

267 Eq. 15 and 16 can be put into Eq. 10 and GPP is thereafter estimated as:

$$268 GPP = - (F_{opt_peak} \times F_{opt_frac}) \times (1 - e^{\left(\frac{-(\alpha_{peak} \times \alpha_{frac}) \times PAR}{F_{opt_peak} \times F_{opt_frac}} \right)}) \quad (17)$$

269 generating a final model as:

$$270 GPP = - \left((k_{Fopt} \times NDVI_{max} + m_{Fopt}) (l_{Fopt} \times RDVI_{frac} + n_{Fopt}) \right) \times (1 - e^{\left(\frac{-(k_{\alpha} \times RDVI_{max} + m_{\alpha}) (l_{\alpha} \times RDVI_{frac} + n_{\alpha}) \times PAR}{(k_{Fopt} \times NDVI_{max} + m_{Fopt}) (l_{Fopt} \times RDVI_{frac} + n_{Fopt})} \right)}) \quad (18)$$

271

272 2.4.3 Parameterisation and evaluation of modelled GPP and evaluation of the MODIS GPP product

273 In order to estimate the robustness of the GPP model and its parameters, we used a bootstrap simulation methodology
 274 when fitting the empirical relationships. We used 200 iterations and different measurement sites were used in the
 275 different runs when fitting the empirical relationships (Richter et al., 2012). The runs generated 200 sets of slopes,
 276 intercepts, and R^2 , from which the medians and the standard deviations were estimated. Possible errors (e.g. random
 277 sampling errors, aerosols, electrical sensor noise, filtering and gap-filling errors, clouds, and satellite sensor
 278 degradation) can be present in both the predictor and the response variables. Hence, we selected reduced major axis
 279 linear regressions to account for errors in both predictor and response variables when fitting the regression functions.
 280 The regression models were validated against the left-out subsamples within the bootstrap simulation methodology by
 281 calculating the root-mean-square-error (RMSE), and by fitting an ordinary least squares linear regression between
 282 modelled and in situ variables.

283 Similarly, the MODIS GPP product (MOD17A2H, collection 6) was evaluated against in situ GPP by calculating
 284 RMSE, and by fitting an ordinary least squares linear regression.

285



286 **3 Results**

287 **3.1 Evaluation of the MODIS GPP product**

288 There was a strong linear relationship between the MODIS GPP product (MOD17A2H; collection 6) and the in situ
289 GPP (slope 0.17; intercept 0.11 $\text{g C m}^{-2} \text{d}^{-1}$; R^2 0.69; $n=598$). However, MOD17A2H strongly underestimated in situ
290 GPP (Fig. 3) resulting in high RMSE (2.69 $\text{g C m}^{-2} \text{d}^{-1}$).

291 <Figure 3>

292

293 **3.2 Intra-annual dynamics in photosynthetic capacity and quantum efficiency**

294 Intra-annual dynamics in F_{opt} and α differed in amplitude, but were otherwise similar across the measurement sites in
295 the Sahel (Fig. 4). There is no green ground vegetation during the dry season, and the low photosynthetic activity is due
296 to few evergreen trees. This results in low values for both F_{opt} and α during the dry season. The vegetation responded
297 strongly to rainfall, and both F_{opt} and α increased during the early phase of the rainy season. Generally, F_{opt} peaked
298 slightly earlier than α (average \pm 1 standard deviation: 7 \pm 10 days) (Fig. 4).

299 <Figure 4>

300 All vegetation indices described intra-annual dynamics in F_{opt} well for all sites (Table 2). SIWSI₁₂ had the highest
301 correlation for all sites except Wankama Millet, where it was RDVI. When all sites were combined, all indices
302 described seasonality in F_{opt} well, but RDVI had the strongest correlation (Table 2).

303 The intra-annual dynamics in α were also closely coupled to intra-annual dynamics in the vegetation indices for all
304 sites (Table 2). For α , RDVI was the strongest index describing intra-annual dynamics, except for Wankama Fallow
305 where it was EVI. When all sites were combined all indices described intra-annual dynamics in α well, but RDVI was
306 still the index with the strongest relationship (Table 2).

307 <Table 2>

308 The regression trees used for gap-filling explained the intra-annual dynamics in F_{opt} and α well for all sites (Table 3).
309 The main explanatory variables coupled to intra-annual dynamics in F_{opt} for all sites across the Sahel were in the order
310 of RDVI, SWC, VPD, T_{air} , and PAR; and for α they were RDVI, SWC, VPD and T_{air} . For all sites across Sahel,
311 incorporating hydrological and meteorological variables increased the ability to determine intra-annual dynamics in F_{opt}
312 and α compared to the ordinary least squares linear regressions against the RDVI (Table 2, data given as r ; Table 3).
313 The incorporation of these variables increased the R^2 from 0.81 to 0.87 and from 0.74 to 0.84, for F_{opt} and α
314 respectively.

315 <Table 3>

316

317 **3.3 Spatial and inter-annual dynamics in photosynthetic capacity and quantum efficiency**

318 Large spatial and inter-annual variability in $F_{\text{opt,peak}}$ and α_{peak} were found across the six measurement sites in the Sahel
319 (Table 4). The average two week running mean peak values of F_{opt} and α for all sites were 26.4 $\mu\text{mol CO}_2 \text{ m}^{-2} \text{ s}^{-1}$ and
320 0.040 $\mu\text{mol CO}_2 \mu\text{mol PAR}^{-1}$, respectively. However, the ranges were large; $F_{\text{opt,peak}}$ ranged between 10.1 $\mu\text{mol CO}_2 \text{ m}^{-2} \text{ s}^{-1}$
321 (Wankama Millet 2005) and 50.0 $\mu\text{mol CO}_2 \text{ m}^{-2} \text{ s}^{-1}$ (Dahra 2010), and α_{peak} ranged between 0.020
322 $\mu\text{mol CO}_2 \mu\text{mol PAR}^{-1}$ (Demokeya 2007) and 0.064 $\mu\text{mol CO}_2 \mu\text{mol PAR}^{-1}$ (Dahra 2010) (Table 4). All vegetation
323 indices determined spatial and inter-annual dynamics in $F_{\text{opt,peak}}$ and α_{peak} well (Table 5). NDVI_{peak} was most closely
324 coupled with $F_{\text{opt,peak}}$ whereas RDVI_{peak} was closest coupled with α_{peak} (Fig. 5). $F_{\text{opt,peak}}$ also correlated well with peak



325 dry weight biomass, C content in the soil, and RH, whereas α_{peak} also correlated well with peak dry weight biomass, and
326 C content in the soil (Table 5).

327 <Table 4>

328 <Table 5>

329 <Figure 5>

330

331 **3.4 Evaluation of the GPP model**

332 Modelled GPP was similar to in situ GPP on average, and there was a strong linear relationship between modelled GPP
333 and in situ GPP for all sites (Fig. 6; Table 6). However, when separating the evaluation between measurement sites, it
334 can be seen that the model reproduced some sites better than others (Figure 7; Table 6). Wankama Millet is generally
335 overestimated whereas the model works on average well for Demokeya but underestimates high values (Fig. 7; Table
336 6). Variability of in situ GPP at the other sites is well reproduced by the model (Fig. 7; Table 6). The final parameters
337 of the GPP model (Eq. 18) are given in Table 7.

338 <Figure 6>

339 <Figure 7>

340 < Table 6>

341 < Table 7>

342

343 **4 Discussion**

344 Vegetation productivity of semi-arid savanna ecosystems is primarily driven by intra-annual rainfall distribution
345 (Eamus et al., 2013; Brümmer et al., 2008; Moncrieff et al., 1997), and in the Sahel soil moisture conditions at the early
346 rainy season are especially important (Rockström and de Rouw, 1997; Tagesson et al., 2016; Mbow et al., 2013). We
347 thereby hypothesised that vegetation indices closely related to equivalent water thickness (SIWSI) would be strongly
348 linked to intra-annual dynamics in F_{opt} and α . Our hypothesis was not rejected for F_{opt} , since this was also the case for
349 all sites except for Wankama Millet (Table 2). The Wankama millet is a cropped agricultural site whereas all other sites
350 are savanna ecosystems. However, our hypothesis was rejected for α , since it was more closely related to vegetation
351 indices related to chlorophyll abundance (RDVI and EVI). Leaf area index increases over the growing season and it is
352 closely related to the vegetation indices coupled with chlorophyll abundance (Tagesson et al., 2009). This increases the
353 canopy level quantum efficiency (α) which explains the close relationship of α to RDVI. However, F_{opt} peaked earlier in
354 the rainy season than α (Fig. 4). Vegetation during this phase is vulnerable to drought conditions explaining the close
355 relationship of F_{opt} to SIWSI. F_{opt} can only increase up to a certain level due to other constraining factors (nutrient,
356 water and meteorological conditions) which could explain its closer relationship with SIWSI₁₂ than with RDVI.

357 We hypothesised that remotely sensed vegetation indices closely related to chlorophyll abundance can be used for
358 quantifying spatial and inter-annual dynamics in F_{opt} and α . Indeed, NDVI, EVI, and RDVI all had close correlations
359 with the spatial and inter-annual dynamics in F_{opt} and α (Table 5). It was surprising that NDVI_{peak} had the strongest
360 correlation with spatial and inter-annual variability for F_{opt} (Table 5). Both EVI and RDVI should be less sensitive to
361 saturation effects than NDVI (Huete et al., 2002; Roujeau and Breon, 1995), and based on this we assumed that peak
362 values of these indices should have stronger relationships to peak values of F_{opt} and α . However, vegetation indices with
363 a high sensitivity to changes in green biomass at high biomass loads, gets less sensitive to green biomass changes at low



364 biomass loads (Huete et al., 2002). Peak leaf area index for ecosystems across the Sahel is approximately 2, whereas the
365 saturation issue of NDVI generally starts at an leaf area index of about 2-5 (Haboudane et al., 2004). Additionally,
366 atmospheric scattering is much higher in the shorter wavelengths making EO-based vegetation indices including blue-
367 band information very sensitive to the atmospheric correction (Fensholt et al., 2006b), possibly explaining the lower
368 correlation for EVI.

369 Our model substantially overestimates GPP for Wankama Millet (Fig. 7f). As a crop field, this site differs in particular
370 from the other studied sites by its species composition, ecosystem structure, as well as land and vegetation management.
371 Crop fields in southwestern Niger are generally characterized by a rather low productivity resulting from decreased
372 fertility and soil loss caused by intensive land use (Cappelaere et al., 2009). These specifics of the Wankama Millet site
373 may cause the model parameterised with observations from the other study sites to overestimate GPP at this site. The
374 model parameterised using observation from the other measurement sites without this strong anthropogenic influence
375 thus overestimates GPP. Similar results were found by Boulain et al. (2009) when applying an up-scaling model using
376 leaf area index for Wankama Millet and Wankama Fallow. It worked well for Wankama fallow whereas it was less
377 conclusive for Wankama Millet. The main explanation was low leaf area index in millet fields because of a low density
378 of millet stands due to agricultural practice. There is extensive savanna clearing for food production in the Sahel
379 (Leblanc et al., 2008; Boulain et al., 2009; Cappelaere et al., 2009). To further understand the impacts of this land cover
380 change on vegetation productivity and land atmosphere exchange processes, it is of urgent need for more study sites
381 covering cropped areas in this region.

382 In Demokeya, GPP is slightly underestimated for the year 2008 (Fig. 7c) because modelled F_{opt} (the thick black line in
383 Fig. 5) is much lower than the actual measured value in 2008. An improvement of the model could be to incorporate
384 some parameters that constrain or enhance F_{opt} depending on environmental stress. Indeed, the regression tree analysis
385 indicated that incorporating climatic and hydrological variables increased the ability to predict both F_{opt} and α . On the
386 other hand, for spatial upscaling purposes, it has been shown that including modelled climatic constraints on LUE
387 decreases the ability to predict vegetation productivity due to the incorporated uncertainty in these modelled
388 meteorological variables (Fensholt et al., 2006a; Ma et al., 2014). For spatial upscaling to regional scales it is therefore
389 better to simply use relationships to EO data. This is particularly the case for the Sahel, one of the largest dryland areas
390 in the world that is characterised by few sites of meteorological observations.

391 Although MOD17A2 GPP has previously been shown to capture GPP relatively well in several different ecosystems
392 (Turner et al., 2006; Turner et al., 2005; Heinsch et al., 2006; Sims et al., 2006; Kanniah et al., 2009), it has been shown
393 to be underestimated for others (Coops et al., 2007; Gebremichael and Barros, 2006; Sjöström et al., 2013). GPP of
394 Sahelian drylands have not been well captured by MOD17A2 (Sjöström et al., 2013; Fensholt et al., 2006a), and as we
395 have shown, this underestimation persists in the latest MOD17A2H GPP (collection 6) product. The main reason for
396 this major underestimation is that maximum LUE is set to 0.84 g C MJ^{-1} (open shrubland; Demokeya) and 0.86 g C MJ^{-1}
397 (grassland; Agofou, Dahra, Kelma; Wankama Millet and Wankama Fallow) in the BPLUT, i.e. much lower than
398 maximum LUE measured at the Sahelian measurement sites of this study (average: 2.47 g C MJ^{-1} ; range: $1.58\text{--}3.50 \text{ g C}$
399 MJ^{-1}) (Sjöström et al., 2013; Tagesson et al., 2015a), a global estimate of $\sim 1.5 \text{ g C MJ}^{-1}$ (Garbulsky et al., 2010), and a
400 savanna site in Australia (1.26 g C MJ^{-1}) (Kanniah et al., 2009).

401 Several state of the art dynamic global vegetation models have been used for decades to quantify GPP at different
402 spatial and temporal scales (Dickinson, 1983; Sellers et al., 1997). These models are generally based on the



403 photosynthesis model by Farquhar et al. (1980), a model particularly sensitive to uncertainty in photosynthetic capacity
404 (Zhang et al., 2014). This and several previous studies have shown that both photosynthetic capacity and efficiency
405 (both α and LUE) can considerably vary seasonally and spatially, both within and between vegetation types (Eamus et
406 al., 2013; Garbulsky et al., 2010; Ma et al., 2014; Tagesson et al., 2015a). This variability is difficult to estimate using
407 broad values based on land cover classes, yet most models apply a constant value which can cause substantial
408 inaccuracies in the estimates of seasonal and spatial variability in GPP. This is particularly a problem in savannas that
409 comprises of several plant functional types (C3 and C4 species, and a large variability in tree/herbaceous vegetation
410 fractions) (Scholes and Archer, 1997). This study indicates the strong applicability of EO as a tool for parameterising
411 spatially explicit estimates of plant physiological variables, which could improve our ability to simulate GPP. Spatially
412 explicit estimates of GPP at a high temporal and spatial resolution are essential for current global change studies and
413 would be advantageous in the analysis of changes in GPP, its relationship to climatic change and anthropogenic forcing,
414 and estimations of ecosystem processes and biochemical and hydrological cycles.

415

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423

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Tables

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Table 1. Description of the six measurement sites including location, soil type, ecosystem type and dominant species.

Measurement site	Coordinates	Soil type	Ecosystem	Dominant species
Agoufou ^a (ML-AgG, Mali)	15.34°N, 1.48°W	Sandy ferruginous Arenosol	Open woody savannah (4% tree cover)	Trees: <i>Acacia spp.</i> , <i>Balanites aegyptiaca</i> , <i>Combretum glutinosum</i> Herbs: <i>Zornia glochidiata</i> , <i>Cenchrus biflorus</i> , <i>Aristida mutabilis</i> , <i>Tragus berteronianus</i>
Dahra ^b (SN-Dah, Senegal)	15.40°N, 15.43°W	Sandy luvic arenosol	Grassland/shrubland Savanna (3% tree cover)	Trees: <i>Acacia spp.</i> , <i>Balanites aegyptiaca</i> Herbs: <i>Zornia latifolia</i> , <i>Aristida adscensionis</i> , <i>Cenchrus biflorus</i>
Demokeya ^c (SD-Dem, Sudan)	13.28°N, 30.48°E	Cambic Arenosol	Sparse acacia savannah (7% tree cover)	Trees: <i>Acacia spp.</i> , Herbs: <i>Aristida pallida</i> , <i>Eragrostis tremula</i> , <i>Cenchrus biflorus</i>
Kelma ^a (ML-Kem, Mali)	15.22°N, 1.57°W	Clay soil depression	Open acacia forest (90% tree cover)	Trees: <i>Acacia seyal</i> , <i>Acacia nilotica</i> , <i>Balanites aegyptiaca</i> Herbs: <i>Sporobolus hevolvus</i> , <i>Echinochloa colona</i> , <i>Aeschynomene sensitive</i>
Wankama Fallow ^d (NE-WaF, Niger)	13.65°N, 2.63°E	Sandy ferruginous Arenosol	Fallow bush	<i>Guiera senegalensis</i>
Wankama Millet ^e (NE-WaM, Niger)	13.64°N, 2.63°E	Sandy ferruginous Arenosol	Millet crop	<i>Pennisetum glaucum</i>

688

^a(Timouk et al., 2009)

689

^b(Tagesson et al., 2015b)

690

^c(Sjöström et al., 2009)

691

^d(Velluet et al., 2014)

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^e(Boulain et al., 2009)



Table 2. Correlation between dynamics in photosynthetic capacity (F_{opt}), quantum efficiency (α), and the different vegetation indices for the six measurement sites (Fig. 1). Values are averages \pm 1 standard deviation from 200 bootstrapping runs. The bold values are the indices with the strongest correlation. EVI is the enhanced vegetation index, NDVI is the normalized difference vegetation index, RDVI is the renormalized difference vegetation index, SIWSI is the shortwave infrared water stress index. SIWSI₁₂ is based on the MODIS Bidirectional Reflectance Distribution Functions (NBAR) band 2 and band 5, whereas SIWSI₁₆ is based on MODIS NBAR band 2 and band 6.

Measurement site	EVI	NDVI	F_{opt}	RDVI	SIWSI ₁₂	SIWSI ₁₆	EVI	NDVI	α	RDVI	SIWSI ₁₂	SIWSI ₁₆
ML-AgG	0.89 \pm 0.02	0.87 \pm 0.02	0.95 \pm 0.01	-0.95\pm0.01	-0.93 \pm 0.02	0.92 \pm 0.02	0.91 \pm 0.01	0.96\pm0.01	-0.94 \pm 0.01	-0.94 \pm 0.01	-0.88 \pm 0.02	
SN-Dah	0.92 \pm 0.005	0.91 \pm 0.01	0.96 \pm 0.003	-0.96\pm0.004	-0.93 \pm 0.01	0.89 \pm 0.01	0.90 \pm 0.01	0.93\pm0.01	-0.92 \pm 0.01	-0.87 \pm 0.01		
SD-Dem	0.81 \pm 0.01	0.78 \pm 0.01	0.91 \pm 0.01	-0.93\pm0.01	-0.90 \pm 0.01	0.76 \pm 0.02	0.73 \pm 0.02	0.86\pm0.01	-0.82 \pm 0.02	-0.79 \pm 0.02		
MA-Kem	0.77 \pm 0.02	0.83 \pm 0.02	0.95 \pm 0.01	-0.95\pm0.01	-0.90 \pm 0.02	0.69 \pm 0.05	0.73 \pm 0.04	0.80\pm0.03	-0.77 \pm 0.03	-0.76 \pm 0.03		
NE-WaF	0.87 \pm 0.02	0.81 \pm 0.02	0.78 \pm 0.02	-0.90\pm0.01	-0.80 \pm 0.02	0.89\pm0.01	0.84 \pm 0.01	0.85 \pm 0.01	-0.88 \pm 0.01	-0.79 \pm 0.01		
NE-WaM	0.41 \pm 0.05	0.50 \pm 0.04	0.72\pm0.03	-0.55 \pm 0.04	-0.43 \pm 0.05	0.72 \pm 0.02	0.76 \pm 0.02	0.81\pm0.01	-0.75 \pm 0.01	-0.72 \pm 0.01		
All sites	0.86 \pm 0.0	0.79 \pm 0.0	0.90\pm0.0	0.75 \pm 0.0	0.70 \pm 0.0	0.83 \pm 0.01	0.80 \pm 0.01	0.86\pm0.01	0.62 \pm 0.01	0.54 \pm 0.01		



Table 3. Statistics for the regression tree analysis studying relationships between intra-annual dynamics in the photosynthetic capacity (F_{opt}) and quantum efficiency (α) and the explanatory variables for the six measurement sites (Fig. 1). The pruning level is the number of splits of the regression tree and an indication of complexity of the system.

Measurement site	Explanatory variables:					Pruning level	R^2
F_{opt}	1	2	3	4	5		
ML-AgG	SIWSI ₁₂	Tair	PAR	SWC		16	0.98
SN-Dah	SIWSI ₁₂	SWC	VPD	Tair	PAR	84	0.98
SD-Dem	SIWSI ₁₂	VPD	SWC	Tair	PAR	33	0.97
ML-Kem	SIWSI ₁₂	PAR	Tair	VPD		22	0.98
NE-WaF	SIWSI ₁₂	SWC	VPD	Tair		14	0.92
NE-WaM	RDVI	SWC	VPD	Tair		18	0.75
All sites	RDVI	SWC	Tair	VPD		16	0.87
α							
ML-AgG	RDVI					3	0.95
SN-Dah	RDVI	VPD	SWC	Tair	PAR	21	0.93
SD-Dem	RDVI	SWC	PAR	Tair		16	0.93
ML-Kem	RDVI	Tair				4	0.75
NE-WaF	EVI	SWC	VPD			10	0.90
NE-WaM	RDVI	SWC	VPD	Tair		15	0.86
All sites	RDVI	SWC	VPD	Tair		16	0.84



Table 4. Annual peak values of quantum efficiency (α_{peak} ; $\mu\text{mol CO}_2 \mu\text{mol PAR}^{-1}$) and photosynthetic capacity ($F_{\text{opt_peak}}$; $\mu\text{mol CO}_2 \text{ m}^{-2} \text{ s}^{-1}$) for the six measurement sites (Fig. 1). The peak values are the 2 week running mean with highest annual value.

Measurement site	Year	α_{peak}	$F_{\text{opt_peak}}$
ML-AgG	2007	0.0396	24.5
SN-Dah	2010	0.0638	50.0
	2011	0.0507	42.3
	2012	0.0480	39.2
	2013	0.0549	40.0
SD-Dem	2007	0.0257	16.5
	2008	0.0327	21.0
	2009	0.0368	16.5
ML-Kem	2007	0.0526	33.5
NE-WaF	2005	0.0273	18.2
	2006	0.0413	21.0
NE-WaM	2005	0.0252	10.6
	2006	0.0200	10.1
Average		0.0399	26.4



Table 5. Correlation matrix between annual peak values of photosynthetic capacity ($F_{\text{opt_peak}}$) and quantum efficiency (α_{peak}) and measured environmental variables. P is annual rainfall; T_{air} is yearly averaged air temperature at 2 m height; SWC is yearly averaged soil water content (% volumetric water content) measured at 0.1 m depth; Rh is yearly averaged relative humidity; VPD is yearly averaged vapour pressure deficit; R_g is yearly averaged incoming global radiation; N and C cont. are soil nitrogen and carbon contents; NDVI_{peak} is annual peak normalized difference vegetation index (NDVI); EVI_{peak} is annual peak enhanced vegetation index (EVI); RDVI_{peak} is annual peak renormalized difference vegetation index (RDVI); SIWSI_{12peak} is annual peak short wave infrared water stress index based on MODIS NBAR band 2 and band 5; and SIWSI_{16peak} is annual peak short wave infrared water stress index based on MODIS NBAR band 2 and band 6. Sample size was 13 for all except the marked explanatory variables.

Explanatory variable	$F_{\text{opt_peak}}$	α_{peak}
Meteorological data		
P (mm)	0.24±0.26	0.13±0.27
T_{air} (°C)	-0.07±0.25	-0.01±0.25
SWC (%) ^a	0.33±0.25	0.16±0.27
Rh (%)	0.73±0.16*	0.60±0.19
VPD (hPa)	0.20±0.26	0.15±0.30
R_g (W m ⁻²)	-0.48±0.21	-0.41±0.24
Biomass and edaphic data		
Biomass (g DW m ⁻²) ^a	0.77±0.15*	0.74±0.14*
C3/C4 ratio	-0.05±0.26	0.06±0.30
N cont. (%) ^b	0.22±0.11	0.35±0.14
C cont. (%) ^b	0.89±0.06**	0.87±0.07**
Earth observation data		
NDVI _{peak}	0.94±0.05**	0.87±0.07*
EVI _{peak}	0.93±0.04**	0.87±0.07**
RDVI _{peak}	0.93±0.04**	0.89±0.07**
SIWSI _{12peak}	0.85±0.08**	0.84±0.08**
SIWSI _{16peak}	0.67±0.12*	0.65±0.15*
Photosynthetic variables		
F_{opt}	-	0.94±0.03**

^asample size equals 11.

^bsample size equals 9.

* significant at 0.05 level.

** significant at 0.01 level



Table 6. Statistics regarding the evaluation of the gross primary productivity (GPP) model for the six measurement sites (Fig. 1). In situ and modelled GPP are averages \pm 1 standard deviation. RMSE is the root-mean-squares-error, and slope, intercept and R^2 is from the fitted ordinary least squares linear regression.

Measurement site	In situ GPP ($\mu\text{mol CO}_2 \text{ m}^{-2} \text{ s}^{-1}$)	Modelled GPP ($\mu\text{mol CO}_2 \text{ m}^{-2} \text{ s}^{-1}$)	RMSE ($\mu\text{mol CO}_2 \text{ m}^{-2} \text{ s}^{-1}$)	slope ($\mu\text{mol CO}_2 \text{ m}^{-2} \text{ s}^{-1}$)	Intercept ($\mu\text{mol CO}_2 \text{ m}^{-2} \text{ s}^{-1}$)	R^2
ML-AgG	3.55 \pm 5.45	3.91 \pm 5.54	1.83 \pm 0.10	0.97 \pm 0.06	0.50 \pm 0.03	0.90 \pm 0.01
SN-Dah	9.14 \pm 10.12	8.60 \pm 10.72	3.85 \pm 1.34	0.99 \pm 0.07	-0.44 \pm 1.11	0.87 \pm 0.04
SD-Dem	3.83 \pm 4.42	3.61 \pm 4.51	3.05 \pm 1.06	0.79 \pm 0.18	0.61 \pm 0.75	0.59 \pm 0.11
ML-Kem	11.17 \pm 7.98	10.73 \pm 10.50	5.06 \pm 1.23	1.16 \pm 0.20	-2.29 \pm 1.65	0.79 \pm 0.12
NE-WaF	3.91 \pm 4.08	5.38 \pm 3.97	2.55 \pm 1.05	0.85 \pm 0.15	2.08 \pm 1.48	0.75 \pm 0.08
NE-WaM	2.25 \pm 2.00	5.51 \pm 3.93	4.13 \pm 0.99	1.63 \pm 0.45	1.84 \pm 1.01	0.68 \pm 0.05
Average	5.31 \pm 7.15	5.80 \pm 7.53	3.56 \pm 0.60	0.94 \pm 0.07	0.85 \pm 0.92	0.84 \pm 0.08

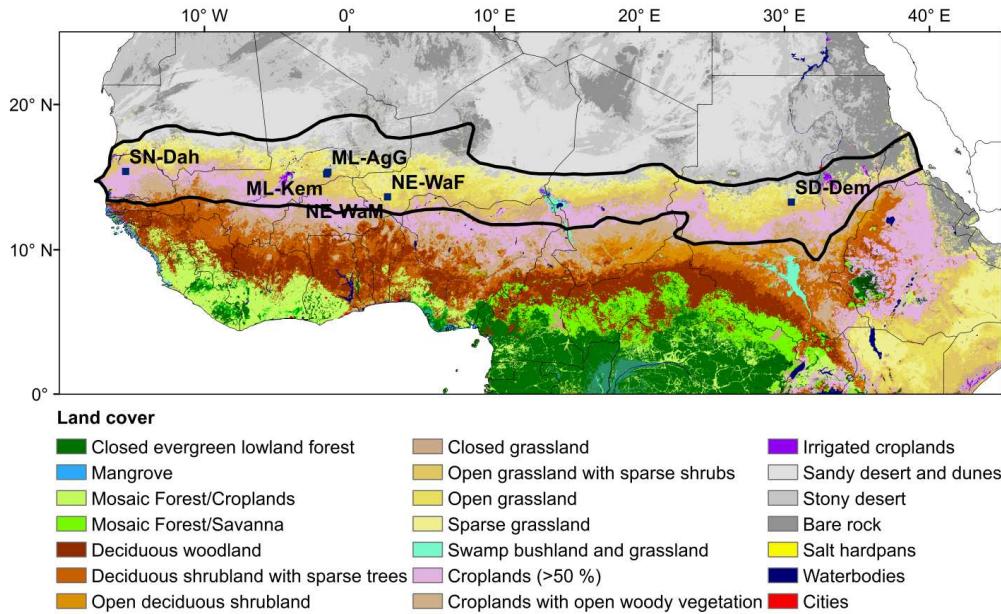


Table 7. The parameters for Eq. 18 that was used in the final gross primary productivity (GPP) model. RMSE is the root mean square error, and R^2 is the coefficient of determination of the linear regression models predicting the different variables.

Parameter	Value	RMSE	R^2
$k_{F_{opt}}$	79.6 ± 6.3		
$m_{F_{opt}}$	-7.3 ± 3.2	5.1 ± 1.3	0.89 ± 0.05
$l_{F_{opt}}$	1.81 ± 0.07		
$n_{F_{opt}}$	-0.85 ± 0.07	0.33 ± 0.04	0.79 ± 0.04
k_a	0.16 ± 0.02		
m_a	-0.014 ± 0.007	0.0069 ± 0.0021	0.81 ± 0.10
l_a	1.20 ± 0.05		
n_a	-0.98 ± 0.06	0.38 ± 0.04	0.71 ± 0.04



Figures



5 **Figure 1.** Land use cover classes for the Sahel and the location of the six measurement sites included in the study. The land cover classes are based on multi-sensor satellite observations (Mayaux et al., 2003). The sites are Agoufou (ML-AgG), Dahra (SN-Dah), Demokeya (SD-Dem), Kelma (ML-Kem), Wankama Fallow (NE-WaF), and Wankama Millet (NE-WaM). The thick black line is the borders of the Sahel based on the isohytes 150 and 700 mm of annual precipitation (Prince et al., 1995)

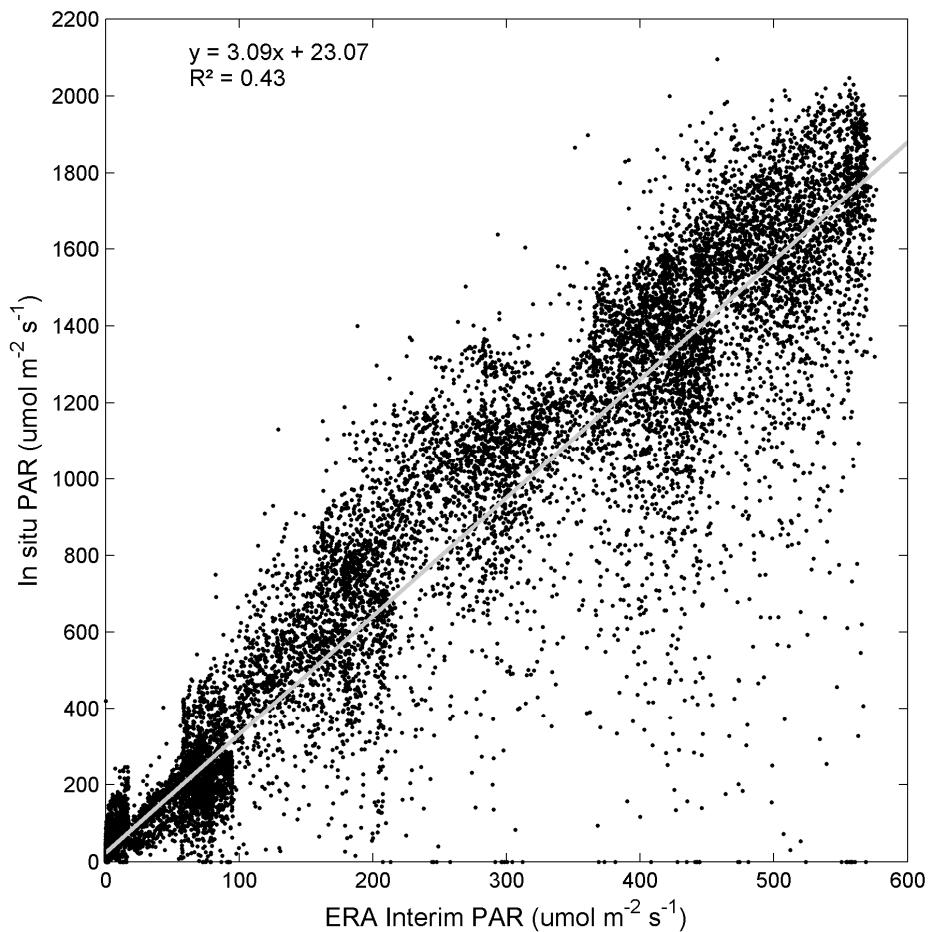


Figure 2. Photosynthetically active radiation (PAR) measured in situ against gridded ERA Interim ground surface PAR extracted for the six measurement sites (Figure 1) across the Sahel from European Centre for Medium-Range Weather Forecasts, ECMWF (2016). The grey line is the ordinary least square linear regression.

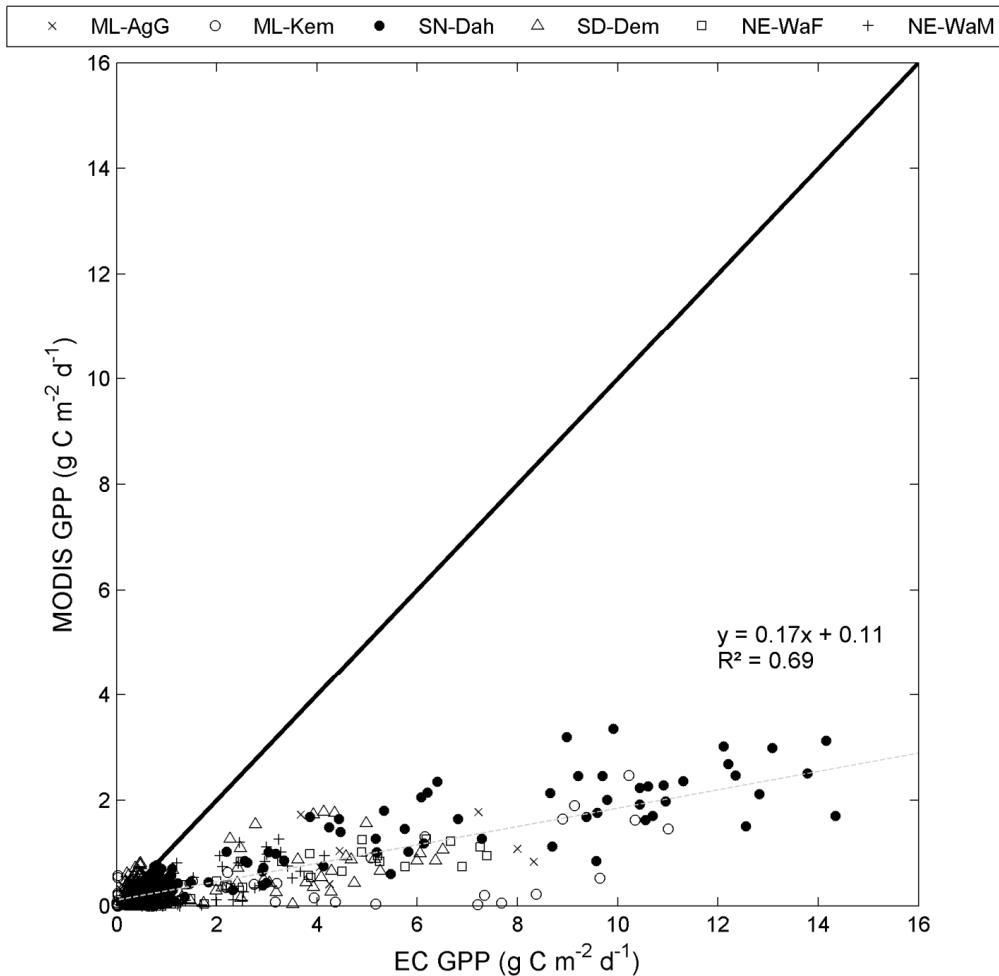


Figure 3. Evaluation of the MODIS based GPP product MOD17A2H collection 6 against eddy covariance based GPP from the six measurement sites (Figure 1) across the Sahel. The thick black line shows the one-to-one ratio, and the thin grey dotted line is the fitted ordinary least square linear regression.

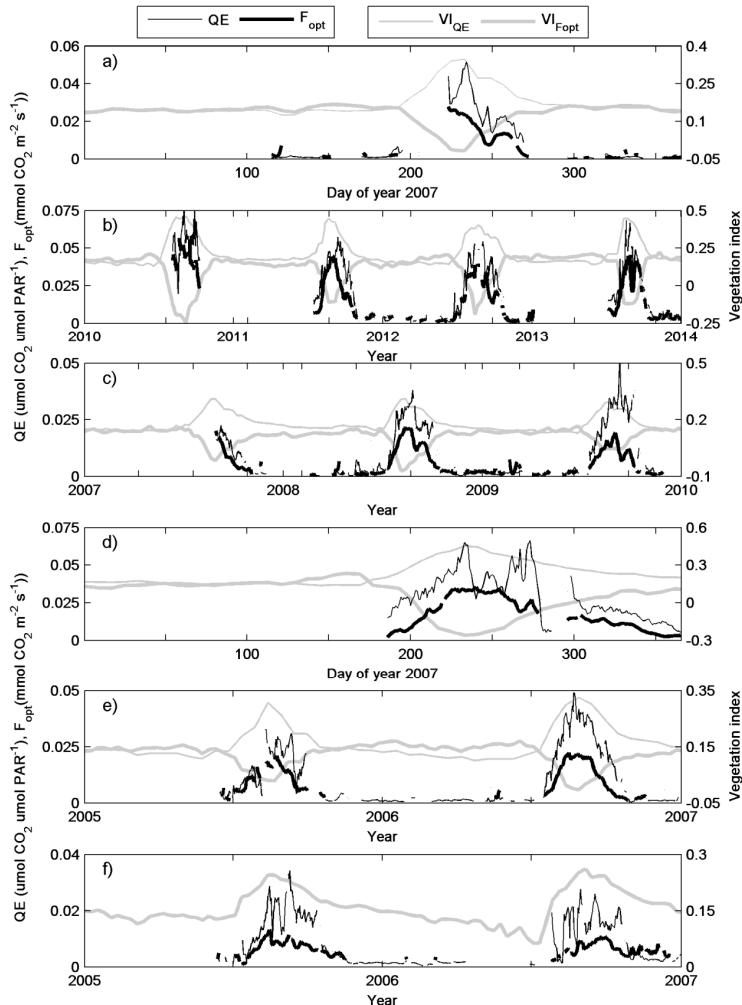


Figure 4. Dynamics in photosynthetic capacity (F_{opt}) and quantum efficiency (QE, a) for the six measurement sites. Included is also dynamics in the vegetation indices with highest correlation to the intra-annual dynamics in F_{opt} (VI_{Fopt}) and to quantum efficiency (VI_{QE}) (Table 2). The sites are a) Agoufou (ML-AgG), b) Dahra (SN-Dah), c) Demokeya (SD-Dem), d) Kelma (ML-Kem), e) Wankama Fallow (NE-WaF), and f) Wankama Millet (NE-WaM).

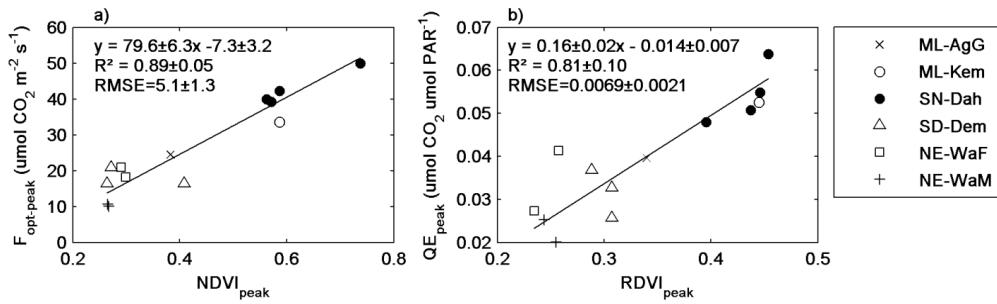


Figure 5. Scatter plots of annual peak values for the six measurement sites (Figure 1) of a) photosynthetic capacity ($F_{opt-peak}$) and b) quantum efficiency (QE_{peak} ; α_{peak}) against peak values of normalized difference vegetation index ($NDVI_{peak}$) and renormalized difference vegetation index ($RDVI_{peak}$), respectively. The annual peak values were estimated by taking the annual maximum of a two week running mean.

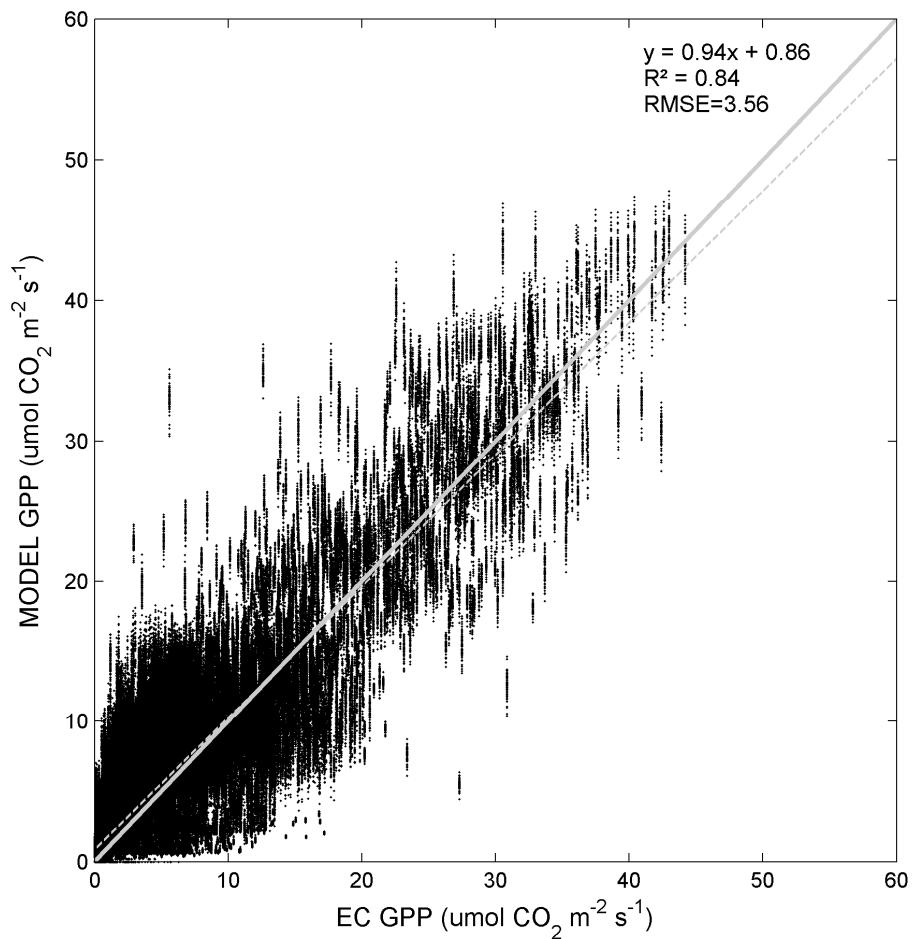


Figure 6. Evaluation of the modelled gross primary productivity (GPP) (Eq. 18) against in situ GPP from all six measurement sites across the Sahel. The thick grey line shows the one-to-one ratio, whereas the dotted thin grey line is the fitted ordinary least square linear regression.

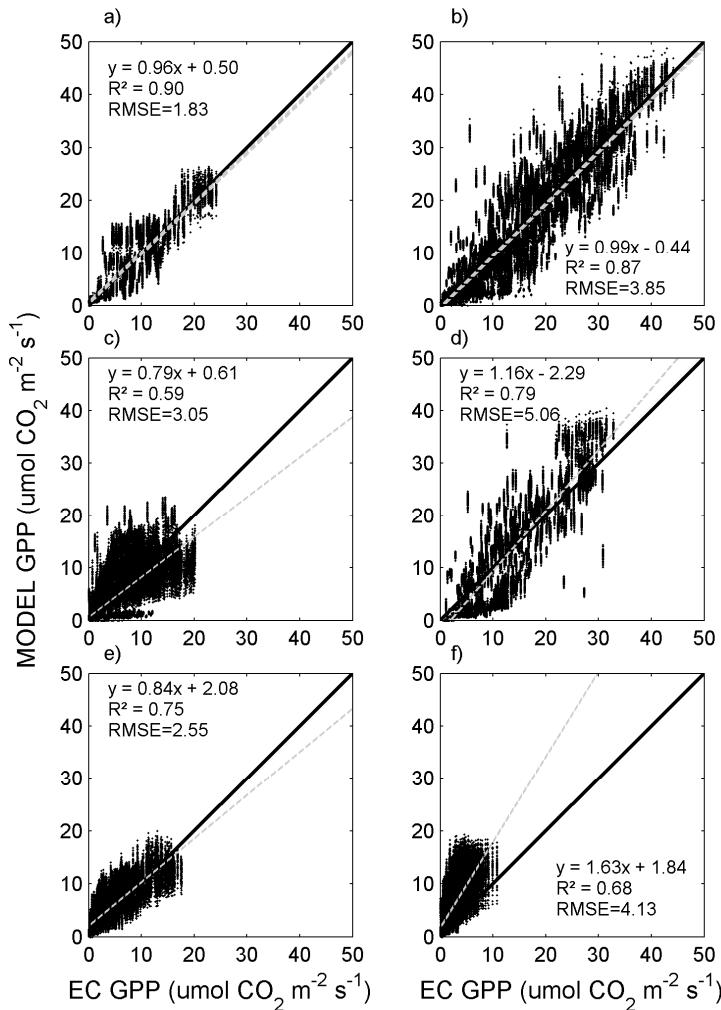


Figure 7. Evaluation of the modelled gross primary productivity (GPP) (Eq. 18) against in situ GPP for the six sites across Sahel (Figure 1). The thick black line shows the one-to-one ratio, whereas the dotted thin grey line is the fitted ordinary least square regression. The sites are a) Agoufou (ML-AgG), b) Dahra (SN-Dah), c) Demokeya (SD-Dem), d) Kelma (ML-Kem), e) Wankama Fallow (NE-WaF), and f) Wankama Millet (NE-WaM).