

Dear Editor,

We greatly appreciate your helpful comments and suggestions for improving this manuscript. We have carefully looked at your comments and revised the manuscript accordingly. Please find below our responses to your comments and/or revisions to the manuscript.

We look forward to a possible publication of the manuscript bg-2016-480 in the special issue of BG, *Ecosystem processes and functioning across current and future dryness gradients in arid and semi-arid lands.*

**Kind regards,
Tianshan Zha**

Associate Editor Decision: Publish subject to minor revisions (Editor review) (27 Jul 2017) by Paul Stoy

Re: Thank you for your very helpful comments. We have revised the manuscript accordingly. (see a marked-up version enclosed below)

Comments to the Author:

The manuscript represents an improvement but requires further minor edits. Comments are based on the draft with tracked edits and focus on the introduction and discussion sections.

Re: We read the manuscript through and revised manuscript based on the editor's comments. (see a marked-up version enclosed below)

53: Js was just defined in the previous paragraph. (see also line 61 "sap-flow" with hyphen and other abbreviation inconsistency likewise elsewhere; please be consistent with abbreviations.)

Re: We revised all abbreviations consistently throughout text. (check in a marked-up version)

'escaped' on line 62 is too strong a word. Every plant dreams of escaping drought limitation but arid species can't. (I do note that it is used in Briollette et al., but for reproduction timing).

Re: The word 'escaped' was deleted. The sentence was revised accordingly like " This species acclimated to water limitations by invoking a water-conservation strategy....."(see line 63 in marked-up version)

Line 68 can be cut because no models are involved.

Re: The sentence referred to was cut.

Line 91 needs re-wording

Re: The sentence was reworded as "Transpiration maintains ecosystem balance is controlled by stomatal through changing its conductance and pores,.....". (see line 87-90 in marked-up version)

Lines 100-105 should be moved perhaps to the methods, they are a distraction here.

Re: The statements referred to were moved to the methods. (see line 193-196 in marked-

up version)

The paragraph on line 122 is actually informative. This is effective background that educates the reader and motivates the study. (line 127 can be moved to the next paragraph to "funnel" the flow of arguments toward the importance of studying *A. odorosica* (i.e. undo the change on line 151). Note that it may help to write *A. odorosica* henceforth for brevity.

Re: This sentence was moved to the next paragraph. (see line 132-134 in in marked-up version)

what is 'steep' on line 345? ('steep' is qualitative).

Re: The 'steep' was changed into 'larger'.(line 309)

sentences like that on 357 provide nice comparisons. But after that, is *Picea crassifolia* a dryland species? (note bolding/lack of italics in "*Caragana korshinskii*").

Re: The species *Picea crassifolia* was deleted. It is not really dryland species.

The gray banding in Fig. 2 is inconsistent if it refers to VWC or REW values below the indicated thresholds (unless there is a time-integrated aspect that is best to describe in the legend).

Re: The gray bandings in figure 2 show the long dry period with low soil moisture that is < 0.1 of VWC or 0.4 of REW.

1 **Soil moisture control on ~~sap-flow~~sap flow response to biophysical factors in a desert-**
2 **shrub species, *Artemisia ordosica***

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17 **Short title: Sap flow in *Artemisia ordosica***

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21

22 **Author Contribution Statement:**

23 Dr.'s Duo Qian and Tianshan Zha contributed equally to the design and implementation of
24 the field experiment, data collection and analysis, and writing the first draft of the manuscript.

25 Dr. Xin Jia gave helpful suggestions concerning the analysis of the field data and contributed
26 to the scientific revision and editing of the manuscript.

27 Prof. Bin Wu contributed to the design of the experiment.

28 Dr.'s Charles P.-A. Bourque and Heli Peltola contributed to the scientific revision and editing
29 of the manuscript.

30 Yujie Bai, Wei Feng, and Yun Tian were involved in the implementation of the experiment
31 and in the revision of the manuscript.

32

33 **Key Message:** This study provides a significant contribution to the understanding of
34 acclimation processes in desert-shrub species to drought-associated stress in dryland
35 ecosystems

36

37 **Conflict of Interest:**

38 This research was financially supported by grants from the National Natural Science
39 Foundation of China (NSFC No. 31670710, No. 31670708), the National Basic Research
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44 their help with the fieldwork. The authors declare that they have no conflict of interest.

45

46 **Abstract:** Current understanding of acclimation processes in desert-shrub species to drought
47 stress in dryland ecosystems is still incomplete. In this study, we measured sap flow in
48 *Artemisia ordosica* and associated environmental variables throughout the growing seasons
49 of 2013 and 2014 (May-September period of each year) to better understand the
50 environmental controls on the temporal dynamics of sap flow. We found that the occurrence
51 of drought in the dry year of 2013 during the leaf-expansion and leaf-expanded periods
52 caused sap flow per leaf area (J_s) to decline significantly, resulting in transpiration being 34%
53 lower in 2013 than in 2014. ~~J_s Sap flow per leaf area~~ correlated positively with radiation (R_s),
54 air temperature (T), and water vapor pressure deficit (VPD), when volumetric soil water
55 content (VWC) was $> 0.10 \text{ m}^3 \text{ m}^{-3}$. There was a time lag of as much as six hours between
56 ~~diurnal J_s was generally ahead of and R_s by as much as six hours.~~ This ~~time lag~~ hysteresis
57 effect, however, decreased with increasing VWC. Relative response of J_s to the
58 environmental variables (i.e., R_s , T , and VPD) varied with VWC, J_s being more strongly
59 controlled by plant-physiological processes during periods of dryness indicated by a low
60 decoupling coefficient and low sensitivity to the environmental variables. According to this
61 study, soil moisture is shown to control ~~J_s sap flow~~ (and, therefore, plant-transpiration)
62 response in *Artemisia ordosica* to diurnal variations in biophysical factors. This species
63 ~~escaped (acclimated to)~~ water limitations by invoking a water-conservation strategy with the
64 regulation of stomatal conductance and advancement of J_s peaking time, manifesting in a
65 hysteresis effect. The findings of this study add to the knowledge of acclimation processes in
66 desert-shrub species under drought-associated stress. This knowledge is essential in modeling
67 desert shrub ecosystem functioning under changing climatic conditions.

68 **Keywords:** sap flow; transpiration; cold-desert shrubs; environmental stress; volumetric soil
69 water content

70
71

72 1. Introduction

73 Due to the low amount of precipitation and high potential evapotranspiration in desert
74 ecosystems, low soil water availability limits both plant water- and gas-exchange and, as a
75 consequence, limits vegetation productivity (Razzaghi et al., 2011). Shrub and semi-shrub
76 species are replacing grass species in arid and semi-arid lands in response to ongoing
77 aridification of the land surface (Huang et al., 2011a). This progression is predicted to
78 continue under a changing climate (Houghton et al., 1999; Pacala et al., 2001; Asner et al.,
79 2003). Studies have shown that desert shrubs are able to adapt to hot-dry environments as a
80 result of their small plant-surface area, thick epidermal hairs, and large root-to-shoot ratios
81 (Eberbach and Burrows, 2006; Forner et al., 2014). Plant traits related to water use are likely
82 to adapt differentially with species and habitat type (Brouillette et al., 2014). Plants may
83 select water-acquisition or water-conservation strategies in response to water limitations
84 (Brouillette et al., 2014). Knowledge of physiological acclimation of changing species to
85 water shortages in deserts, particularly with respect to transpiration, is inadequate and, in the
86 context of plant adaptation to changing climatic conditions, is of immense interest (Jacobsen
87 et al., 2007; Huang et al., 2011a). Transpiration ~~maintains ecosystem balance~~ is controlled by
88 stomatal through changing its conductance and pores. ~~the soil-plant-atmosphere continuum~~
89 and its magnitude and timing is related to the prevailing biophysical factors (Jarvis 1976;
90 Jarvis and McNaughton, 1986).

91 Sap flow can be used to reflect species-specific water consumption by plants (Ewers et
92 al., 2002; Baldocchi, 2005; Naithani et al., 2012). Sap flow can also be used to continuously
93 monitor canopy conductance (g_s) ~~and its response to environmental variables (Ewers et al.,~~
94 ~~2007; Naithani et al., 2012). Biotic and abiotic effects on sap flow and transpiration are often~~
95 ~~interactive and confounded. The decoupling coefficient (Ω) was used to examine the relative~~
96 ~~contribution of plant control through stomatal regulation of transpiration (Jarvis and~~
97 ~~McNaughton, 1986). Stomatal regulation becomes stronger as Ω approaches zero. Stomatal~~
98 ~~conductance (g_s)~~ at the plant scale exerts a large biotic control on transpiration particularly
99 during dry conditions (Jarvis 1976; Jarvis and McNaughton, 1986). Stomatal conductance
100 couples photosynthesis and transpiration (Cowan and Farquhar, 1977), making this parameter
101 an important component of climate models in quantifying biospheric-atmospheric
102 interactions (Baldocchi et al., 2002).

103 Studies have shown that xylem hydraulic conductivity was closely correlated with
104 drought resistance (Cochard et al., 2008, 2010; Ennajeh et al., 2008). With increasing aridity,
105 trees can progressively lessen their stomatal conductance, resulting in lower transpiration
106 (McAdam et al., 2016). Generally, desert shrubs can close their stomata, reducing stomatal
107 conductance, and reduce their water consumption when exposed to dehydration stresses.
108 However, differences exist among shrub species in terms of their stomatal response to

109 changes in air and soil moisture deficits (Pacala et al., 2001).

110 In *Elaeagnus angustifolia*, transpiration is observed to peak at noon, i.e., just before
111 stomatal closure under water-deficit conditions (Liu et al., 2011), peaking earlier than
112 radiation, temperature, and water vapor pressure deficit. This response lag or hysteresis effect
113 ~~have~~ has been widely noticed in dryland species (Du et al., 2011; Naithani et al., 2012), but
114 its function is not completely understood. Transpiration in *Hedysarum scoparium* peaks
115 multiple times during the day. ~~During dry periods of the year, sap flow in *Artemisia ordosica*~~
116 ~~has been observed to be controlled by VWC at about a 30-cm depth in the soil (Li et al.,~~
117 ~~2014).~~ For other shrubs, sap flow has been observed to decrease rapidly when the volumetric
118 soil water content (~~VWC~~) is lower than the water ~~loss~~ lost through evapotranspiration
119 (Buzkova et al., 2015). Sap flow in *Caragana korshinskii* and *Hippophae rhamnoides* has
120 been found to increase with increasing rainfall intensity (Jian et al., 2016), whereas in
121 *Haloxylon ammodendron*, it was found to vary in response to rainfall, from an immediate
122 decline after a heavy rainfall to no observable change after a small rainfall event (Zheng and
123 Wang, 2014). Drought-insensitive shrubs have relatively strong stomatal regulation and,
124 therefore, tend to be insensitive to soil water deficits and rainfall, unlike their drought-
125 sensitive counterparts (Du et al., 2011). Support for the relationship between sap flow in
126 desert shrubs and prevailing environmental factors is decidedly variable (McDowell et al.,
127 2013; Sus et al., 2014), potentially varying with plant habitat and species (Liu et al., 2011).

128 *Artemisia ordosica*, a shallow-rooted desert shrub, is the dominant species in the Mu Us
129 Desert of northwestern China. It plays an important role in combating desertification and in
130 stabilizing sand dunes (Li et al., 2010). Increases in air temperature and precipitation
131 variability and associated shorter wet and longer dry periods are expected to ensue under
132 changing climate change (Lioubimtseva and Henebry, 2009). Sap flow in *Artemisia ordosica*
133 has been observed to be controlled by soil water content at about a 30-cm depth in the soil
134 during dry periods of the year (Li et al., 2014). However, our understanding of the
135 mechanisms of desert-shrub acclimation during periods of water shortage remains incomplete.
136 Questions needing answering from our research include (1) how do changes in sap flow relate
137 to changes in biotic and abiotic factors, and (2) whether *Artemisia ordosica* selects a strategy
138 of water-conservation or water-acquisition under conditions of drought? To attend to these
139 questions, we continuously measured stem sap flow in *Artemisia ordosica* and associated
140 environmental variables *in situ* throughout the growing seasons of 2013 and 2014 (May-
141 September period of each year). Our findings ~~will present~~ lead to insights concerning the
142 main environmental factors affecting transpiration in *Artemisia ordosica*, e.g., optimal
143 temperature, VPD water vapor pressure deficit, and VWC soil water content. This
144 understanding can lead to improving phytoremediation practices in desert-shrub ecosystems.

145

146 2. Materials and Methods

147 2.1 Experimental site

148 Continuous sap-flow measurements were made at the Yanchi Research Station (37°42' 31"
149 N, 107°13' 47" E, 1530 m above mean sea level), Ningxia, northwestern China. The
150 research station is located between the arid and semi-arid climatic zones along the southern
151 edge of the Mu Us Desert. The sandy soil in the upper 10 cm of the soil profile has a bulk
152 density of $1.54 \pm 0.08 \text{ g cm}^{-3}$ (mean \pm standard deviation, $n=16$). Mean annual precipitation
153 in the region is about 287 mm, of which 62% falls between July and September. Mean annual
154 potential evapotranspiration and air temperature are about 2,024 mm and 8.1°C based on
155 meteorological data (1954-2004) from the Yanchi County weather station. Normally, shrub
156 leaf-expansion, leaf-expanded, and leaf-coloration stages begin in April, June, and September,
157 respectively (Chen et al., 2015).

158

159 2.2 Environmental measurements

160 Shortwave radiation (R_s in W m^{-2} ; CMP3, Kipp & Zonen, Netherland), air temperature (T in
161 °C), wind speed (u in m s^{-1} , 034B, Met One Instruments Inc., USA), and relative humidity
162 (RH in %; HMP155A, Vaisälä, Finland) were measured simultaneously near the **sap-flow**
163 **flow** measurement plot. Half-hourly data were recorded by data logger (CR3000 data logger,
164 Campbell Scientific Inc., USA). Volumetric soil water content (VWC) at a 30-cm depth were
165 measured using three ECH₂O-5TE soil moisture probes (Decagon Devices, USA). In the
166 analysis, we used half-hourly averages of VWC from the three soil moisture probes. Water
167 vapor pressure deficit (VPD in kPa) was calculated from recorded RH and T .

168

169 2.3 Measurements of sap flow, leaf area and stomatal conductance

170 The experimental plot (10 m \times 10 m) was located on the western side of Yanchi Research
171 Station in an *Artemisia ordosica*-dominated area. Mean age of the *Artemisia ordosica* was
172 10-years old. Maximum monthly mean leaf area index (LAI) for plant specimens with full
173 leaf expansion was about $0.1 \text{ m}^2 \text{ m}^{-2}$ (Table 1). Over 60% of their roots were distributed in the
174 first 60 cm of the soil complex (Zhao et al., 2010; Jia et al., 2016). Five stems of *Artemisia*
175 *ordosica* were randomly selected within the plot as replicates for **sap-flow**
176 **flow** measurement. Mean height and sapwood area of sampled shrubs were 84 cm and 0.17 cm^2 ,
177 respectively. Sampled stems represented the average size of stems in the plot. A heat-balance
178 sensor (Flow32-1K, Dynamax Inc., Houston, USA) was installed at about 15 cm above the
179 ground surface on each of the five stems (Dynamax, 2005). Sap-flow measurements from
180 each stem were taken once per minute. Half-hourly data were recorded by a Campbell
181 CR1000 data logger from May 1 to September 30, for both 2013 and 2014 (Campbell
182 Scientific, Logan, UT, USA).

183 Leaf area was estimated for each stem every 7-10 days by sampling about 50-70 leaves
 184 from five randomly sampled neighboring shrubs with similar characteristics to the shrubs
 185 being used for sap-flow measurements. Leaf area was measured immediately at the station
 186 laboratory with a portable leaf-area meter (LI-3000, Li-Cor, Lincoln, NE, USA). Leaf area
 187 index (LAI) was measured on a weekly basis from a 4 × 4 grid of 16 quadrats (10 m × 10 m
 188 each) within a 100 m × 100 m plot centered on a flux tower using measurements of sampled
 189 leaves and allometric equations (Jia et al., 2014). Stomatal conductance (g_s) was measured *in*
 190 *situ* for three to four leaves on each of the sampled shrubs with a LI-6400 portable
 191 photosynthesis analyzer (Li-Cor Inc., Lincoln, USA). The g_s measurements were made every
 192 two hours from 7:00 to 19:00 h every ten days from May to September, 2013 and 2014.

193 Biotic and abiotic effects on sap flow and transpiration are often interactive and
 194 confounded. The decoupling coefficient (Ω) was used to examine the relative contribution of
 195 plant biotic control through stomatal regulation of transpiration (Jarvis and McNaughton,
 196 1986). Stomatal regulation becomes stronger as Ω approaches zero. ~~The degree of coupling~~
 197 ~~between the ecosystem surface and the atmospheric boundary layer was estimated with the~~
 198 ~~decoupling coefficient (Ω).~~ The decoupling coefficient varies from zero (i.e., leaf
 199 transpiration is mostly controlled by g_s) to one (i.e., leaf transpiration is mostly controlled by
 200 radiation). The Ω was calculated as described by Jarvis and McNaughton (1986), i.e.,

$$201 \quad \Omega = \frac{\Delta + \gamma}{\Delta + \gamma \left(1 + \frac{g_a}{g_s} \right)}, \quad (1)$$

202 where Δ is the rate of change of saturation vapor pressure vs. temperature (kPa K⁻¹), γ is the
 203 psychrometric constant (kPa K⁻¹), and g_a is the aerodynamic conductance (m s⁻¹; Monteith
 204 and Unsworth, 1990),

$$205 \quad g_a = \left(\frac{u}{u^*{}^2} + 6.2u^{*-0.67} \right)^{-1}, \quad (2)$$

206 where u is the wind speed (m s⁻¹) at 6 m above the ground, and u^* is the friction velocity (m
 207 s⁻¹), measured by a nearby eddy covariance system (Jia et al., 2014).

208

209 **2.4 Data analysis**

210 In our analysis, drought days were defined as those days with daily mean VWC < 0.1 m³ m⁻³.
 211 This is based on a VWC threshold of 0.1 m³ m⁻³ for J_s (Fig. 1), with J_s increasing as VWC
 212 increased, saturating at VWC of 0.1 m³ m⁻³, and decreasing as VWC continued to increase.
 213 The VWC threshold of 0.1 m³ m⁻³ is equivalent to a relative extractable soil water (REW) of
 214 0.4 for drought conditions (Granier et al., 1999 and 2007; Zeppel et al., 2004 and 2013; Fig.
 215 2d, e). Duration and severity of ‘drought’ were defined based on a VWC threshold and REW

216 of 0.4. REW was calculated with

$$217 \quad REW = \frac{WVC - WVC_{\min}}{WVC_{\max} - WVC_{\min}}, \quad (3)$$

218 where WVC is the specific daily soil water content ($\text{m}^3 \text{m}^{-3}$), WVC_{\min} and WVC_{\max} are the
219 minimum and maximum WVC during the measurement period in each year, respectively.

220 ~~Sap flow~~Sap flow analysis was conducted using mean data from five sensors. Sap flow
221 per leaf area (J_s , ~~$\text{kg m}^{-2} \text{h}^{-1}$ or $\text{kg m}^{-2} \text{d}^{-1}$~~) was calculated according to

$$222 \quad J_s = \left(\sum_{i=1}^n E_i / A_{li} \right) / n, \quad (4)$$

223 where ~~J_s is the sap flow per leaf area ($\text{kg m}^{-2} \text{h}^{-1}$ or $\text{kg m}^{-2} \text{d}^{-1}$)~~, E is the measured sap flow of
224 a stem (g h^{-1}), A_l is the leaf area of the ~~sap flow~~sap flow stem, and “ n ” is the number of stems
225 sampled ($n = 5$).

226 Transpiration per ground area (T_r) was estimated in this study according to:

$$227 \quad T_r = \left(\sum_{i=1}^n J_s \times LAI \right) / n, \quad (5)$$

228 where T_r is transpiration per ground area (mm d^{-1}).

229 Linear and non-linear regressions were used to analyze abiotic control on sap flow. In
230 order to minimize the effects of different phenophases and rainfall, we only used data from
231 the mid-growing season, non-rainy days, and daytime hours from 8:00-20:00, i.e., from June
232 1 to August 31, with hourly shortwave radiation $> 10 \text{ W m}^{-2}$. Relations between mean sap
233 flow at specific times over a period of 8:00-20:00 and corresponding environmental factors
234 from June 1 to August 31 were derived from linear regression ($p < 0.05$; Fig. 3). Regression
235 slopes were used as indicators of ~~sap flow~~sap flow sensitivity (degree of response) to the
236 various environmental variables (see e.g., Zha et al., 2013). All statistical analyses were
237 performed with SPSS v. 17.0 for Windows software (SPSS Inc., USA). Significance level
238 was set at 0.05.

239

240 3. Results

241 3.1 Seasonal variations in environmental factors and sap flow

242 The range of daily means (24-hour mean) for R_s , T , VPD, and WVC during the 2013 growing
243 season (May-September) were $31.1\text{-}364.9 \text{ W m}^{-2}$, $8.8\text{-}24.4^\circ\text{C}$, $0.05\text{-}2.3 \text{ kPa}$, and $0.06\text{-}0.17$
244 $\text{m}^3 \text{m}^{-3}$ (Fig. 2a, b, c, d), respectively, annual means being 224.8 W m^{-2} , 17.7°C , 1.03 kPa ,
245 and $0.08 \text{ m}^3 \text{m}^{-3}$. Corresponding range of daily means for 2014 were $31.0\text{-}369.9 \text{ W m}^{-2}$, 7.1-
246 25.8°C , $0.08\text{-}2.5 \text{ kPa}$, and $0.06\text{-}0.16 \text{ m}^3 \text{m}^{-3}$ (Fig. 2a, b, c, d), respectively, annual means being
247 234.9 W m^{-2} , 17.2°C , 1.05 kPa , and $0.09 \text{ m}^3 \text{m}^{-3}$.

248 Total precipitation and number of days with rainfall events during the 2013

249 measurement period (257.2 mm and 46 days) were about 5.6% and 9.8% lower than those
250 during 2014 (272.4 mm and 51 days; Fig. 2d), respectively. More irregular rainfall events
251 occurred in 2013 than in 2014, with 45.2% of rainfall falling in July and 8.8% in August.

252 Drought mainly occurred in May, June, and August of 2013 and in May and June of
253 2014 (shaded sections in Fig. 2d, e). Both years had dry springs. Over a one-month period of
254 summer drought occurred in 2013.

255 The range of daily J_s during the growing season was 0.01-4.36 kg m⁻² d⁻¹ in 2013 and
256 0.01-2.91 kg m⁻² d⁻¹ in 2014 (Fig. 2f), with annual means of 0.89 kg m⁻² d⁻¹ in 2013 and 1.31
257 kg m⁻² d⁻¹ in 2014. Mean daily J_s over the growing season of 2013 was 32% lower than that
258 of 2014. Mean daily T_r were 0.05 mm d⁻¹ and 0.07 mm d⁻¹ over the growing season of 2013
259 and 2014 (Fig. 2f), respectively, being 34% lower in 2013 than in 2014. The total T_r over the
260 growing season (May 1-September 30) of 2013 and 2014 were 7.3 mm and 10.9 mm,
261 respectively. Seasonal fluctuations in J_s and T_r corresponded with seasonal patterns in VWC
262 (Fig. 2d, f). Daily mean J_s and T_r decreased or remained nearly constant during dry-soil
263 periods (Fig. 2d, f), with the lowest J_s and T_r observed in spring and mid-summer (August)
264 of 2013.

265

266 **3.2 Sap flow response to environmental factors**

267 In summer, J_s increased with increasing VWC, R_s , T , and VPD (Fig. 2d, f; Fig. 3). Sap flow
268 increased more rapidly with increases in R_s , T , and VPD under high VWC (i.e., VWC > 0.1
269 m³ m⁻³ in both 2013 and 2014; Fig. 4) compared with periods with lower VWC (i.e., VWC <
270 0.1 m³ m⁻³ in both 2013 and 2014; Fig. 4). J_s Sap flow was more sensitive to R_s , T , and VPD
271 under high VWC (Fig. 4), which coincided with a steeper regression slope under high VWC
272 conditions.

273 Sensitivity of J_s to environmental variables (in particular, R_s , T , VPD, and VWC) varied
274 depending on time of day (Fig. 5). Regression slopes for the relations of J_s - R_s , J_s - T , and J_s -
275 VPD were greater in the morning before 11:00 h, and lower during mid-day and early
276 afternoon (12:00-16:00 h). In contrast, regression slopes of the relation of J_s -VWC were
277 lower in the morning (Fig. 5), increasing thereafter, peaking at ~13:00 h, and subsequently
278 decreasing in late afternoon. Regression slopes of the response of J_s to R_s , T , and VPD in
279 2014 were steeper than those in 2013.

280

281 **3.3 Diurnal changes and hysteresis between sap flow and environmental factors**

282 Diurnal patterns of J_s were similar in both years (Fig. 6), initiating at 7:00 h and increasing
283 thereafter, peaking before noon (12:00 h), and subsequently decreasing thereafter and
284 remaining near zero from 20:00 to 6:00 h. Diurnal changes in g_s were similar to J_s , but
285 peaking about 2 and 1 h earlier than J_s in July and August, respectively (Fig. 6).

286 There were pronounced time lags between J_s and R_s over the two years (Fig. 7), J_s

287 peaking earlier than R_s and, thus, earlier than either VPD or T . These time lags differed
288 seasonally. For example, mean time lag between J_s and R_s was 2 h during July, 5 h during
289 May, and 3 h during June, August, and September of 2013. However, the time lags in 2014
290 were generally shorter than those observed in 2013 (Table 2).

291 Clockwise hysteresis loops between J_s and R_s during the growing period were observed
292 (Fig. 7). As R_s increased in the morning, J_s increased until it peaked at ~10:00 h. J_s Sap flow
293 declined with decreasing R_s during the afternoon. Sap flow (J_s) was higher in the morning
294 than in the afternoon.

295 Diurnal time lag in the relation of J_s - R_s were influenced by VWC (Fig. 8, 9). For example,
296 J_s peaked about 2 h earlier than R_s on days with low VWC (Fig. 8a), 1 h earlier than R_s on
297 days with moderate VWC (Fig. 8b), and at the same time as R_s on days with high VWC (Fig.
298 8c). Lag hours between J_s and R_s over the growing season were negatively and linearly related
299 to VWC (Fig. 9: Lag (h) = $-133.5 \times \text{VWC} + 12.24$, $R^2 = 0.41$). The effect of VWC on time lags
300 between J_s and R_s was smaller in 2014, with evenly distributed rainfall during the growing
301 season, than in 2013, with a pronounced summer drought (Fig. 9). Variables g_s and Ω showed
302 a significantly increasing trend with increasing VWC in 2013 and 2014 (Fig. 10). This trend
303 was more obvious in the dry year of 2013 than in 2014.

304

305 4. Discussion and conclusions

306 4.1 Sap flow response to environmental factors

307 Drought tolerance of some plants may be related to lower overall sensitivity of plant
308 physiological attributes to environmental stress and/or stomatal regulation (Huang et al.,
309 2011b; Naithani et al., 2012). In this study, steep larger regression slopes between J_s and
310 the environmental variables (R_s , VPD, and T) in the morning indicated that J_s sap flow was
311 less sensitive to variations in R_s , VPD, and T during the drier and hotter part of the day (Fig.
312 5). The lower sensitivity combined with lower g_s stomatal conductances led to lower J_s sap
313 flow, and, thus, lower transpiration (water consumption) during hot mid-day summer hours,
314 pointing to a water-conservation strategy in plant acclimation during dry and hot conditions.
315 When R_s peaked during mid-day (13:00-14:00 h) in summer, there was often insufficient
316 soil water to meet the atmospheric demand, causing g_s to be limited by available soil
317 moisture and making J_s more responsive to VWC at noon, but less responsive to R_s and T .
318 Similarly, sap flow in *Hedysarum mongolicum* and some other shrubs in a nearby region
319 was positively correlated with VWC at noon (Qian et al., 2015). For instance, sap flow in
320 *Picea crassifolia* peaked at noon (12:00 and 14:00) and then decreased, heightening by
321 increasing R_s , T , and VPD, when $R_s < 800 \text{ W m}^{-2}$, $T < 18.0^\circ\text{C}$, and VPD $< 1.4 \text{ kPa}$ (Chang
322 et al., 2014); sap flow in *Caragana korshinskii* was significantly lower during the stress

323 period, its conductance decreasing linearly after the wilting point (She et al., 2013). The
324 fact that J_s was less sensitive to meteorological variables when $VWC < 0.10 \text{ m}^3 \text{ m}^{-3}$,
325 highlights the water-conservation strategy taken by drought-afflicted *Artemisia ordosica*.
326 The positive linear relationship between g_s and VWC in this study further supports this
327 conclusion.

328 Precipitation, being the most important source of soil moisture and, thus, VWC, affected
329 transpiration directly. Frequent small rainfall events ($< 5 \text{ mm}$) are crucially important to the
330 survival and growth of desert plants (Zhao and Liu, 2010). Variations in J_s were clearly
331 associated with the intermittent supply of water to the soil during rainfall events (see Fig. 2d,
332 f). Reduced J_s during rainy days can be largely explained by a reduction in incident R_s and
333 liquid water-induced saturation of the leaf surface, which led to a decrease in leaf turgor and
334 stomatal closure. After each rainfall event, J_s increased quickly when soil moisture was
335 replenished. Schwinning and Sala (2004) have previously shown that VWC contributed the
336 most to the post-rainfall response in plant transpiration at similar sites. The study shows that
337 *Artemisia ordosica* responded differently to wet and dry conditions. In the mid-growing
338 season, high J_s in July was related to rainfall-fed soil moisture, which increased the rate of
339 transpiration. However, dry soil conditions combined with high T and R_s led to a reduction
340 in J_s in August of 2013 (Fig. 2). In some deep-rooting desert shrubs, groundwater may
341 replenish water lost by transpiration (Yin et al., 2014). *Artemisia ordosica* roots are generally
342 distributed in the upper 60 cm of the soil (Zhao et al., 2010), and as a result the plant usually
343 depends on water directly supplied by precipitation because groundwater levels in drylands
344 can often be below the rooting zone of many shrub species, typically at depths $\geq 10 \text{ m}$ as
345 witnessed at our site. Similar findings regarding the role of rainfall and VWC in desert
346 vegetation is reported by Wang et al. (2017).

347

348 **4.2 Hysteresis between sap flow and environmental factors**

349 Diurnal patterns in J_s corresponded with those of R_s from sunrise until diverging later in the
350 day (Fig. 7), suggesting that R_s was a primary controlling factor of diurnal J_s . As an initial
351 energy source, R_s also can force T and VPD to increase, causing a phase difference in time
352 lags among the relations of J_s - R_s , J_s - T , and J_s -VPD.

353 The hysteresis effect reflects plant acclimation to water limitations, due to g_s stomatal
354 conductance being inherently dependent on plant hydrodynamics (Matheny et al. 2014). The
355 large g_s in the morning promoted higher rates of transpiration (Fig. 6, 7), while lower g_s in
356 the afternoon reduced transpiration. Therefore, diurnal curves (hysteresis) were mainly
357 caused by a g_s -induced hydraulic process (Fig. 7). The finding that hysteresis varied
358 seasonally, decreasing with increasing VWC, further reflects the acclimation to water
359 limitation causing J_s to peak in advance of the environmental factors. At our site, dry soils

360 accompanied with high VPD in summer, led to a decreased g_s and a more significant control
361 of the stomata on J_s relative to the environmental factors. The result that g_s increased with
362 increasing VWC (Fig. 10a), along with the synchronization of J_s and g_s , suggests that J_s is
363 more sensitive to g_s in low VWC and less so to R_s . Due to the incidence of small rainfall
364 events in drylands, soil water supplied by rainfall pulses was largely insufficient to meet the
365 transpiration demand under high mid-day R_s , resulting in clockwise loops. Lower Ω values
366 (< 0.4) at our site also support the idea that g_s have a greater control on transpiration than R_s
367 under situations of water limitation (Fig. 10).

368 Altogether, stomatal control on the diurnal evolution of J_s by reducing g_s combined with
369 lower sensitivity to meteorological variables during the mid-day dry hours help to reduce
370 water consumption in *Artemisia ordosica*. Seasonally, plant-moderated reductions in g_s and
371 increased hysteresis, leads to reduced J_s and acclimation to drought conditions. It is suggested
372 here that water limitation invokes a water-conservation strategy in *Artemisia ordosica*.
373 Contrary to our findings, counterclockwise hysteresis has been observed to occur between J_s
374 and R_s in tropical and temperate forests (Meinzer et al., 1997; O'Brien et al., 2004; Zeppel et
375 al., 2004), which is reported to be consistent with the capacitance of the particular soil-plant-
376 atmosphere system being considered. Unlike short-statured vegetation, it usually takes more
377 time for water to move up and expand vascular elements in tree stems during the transition
378 from night to day.

379

380 4.3. Conclusions

381 The relative influence of R_s , T , and VPD on J_s in *Artemisia ordosica* was modified by soil
382 water, indicating J_s 's lessened sensitivity to the environmental variables during dry periods.
383 ~~J_s Sap-flow~~ was constrained by soil water deficits, causing J_s to peak several hours prior to the
384 peaking of R_s . Diurnal hysteresis between J_s and R_s varied seasonally and was mainly
385 controlled by hydraulic stresses. Soil moisture controlled ~~sap- J_s flow~~ response in *Artemisia*
386 *ordosica* to meteorological factors. This species ~~escaped and~~ acclimated to water limitations
387 by invoking a water-conservation strategy through ~~hysteresis effect and~~ stomatal regulation,
388 producing a hysteresis effect. Our findings add to our understanding of acclimation in desert-
389 shrub species under stress of dehydration. ~~The information advanced here can assist in~~
390 ~~modeling desert shrub ecosystem functioning under changing climatic conditions.~~

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402

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523

524

525 **Table 1** Seasonal changes in monthly transpiration (T_r), leaf area index (LAI), and stomatal
 526 conductance (g_s) in *Artemisia ordosica* during the growing seasons (May-September period)
 527 of 2013 and 2014.

	T_r (mm mon ⁻¹)		LAI (m ² m ⁻²)		g_s (mol m ⁻² s ⁻¹)	
	2013	2014	2013	2014	2013	2014
May	0.57	1.59	0.02	0.04	0.07	0.18
June	1.03	2.28	0.05	0.06	0.08	0.13
July	3.36	3.46	0.10	0.06	0.09	0.14
August	1.04	2.45	0.08	0.06	0.10	0.08
September	1.23	1.13	0.05	0.04	0.15	0.05

528

529

530

531 **Table 2** Mean monthly diurnal cycles of sap flow (J_s) response to shortwave radiation (R_s),
 532 air temperature (T), and water vapor pressure deficit (VPD), including time lag-times (h) as
 533 a function of R_s , T , and VPD.

534

Relationship	May		June		July		August		September	
	2013	2014	2013	2014	2013	2014	2013	2014	2013	2014
J_s - R_s	5	2	3	0	2	1	3	1	3	2
J_s - T	8	6	7	4	4	4	6	5	6	6
J_s -VPD	8	5	7	4	6	4	6	5	6	5

535

536

537

538 **Figure captions:**

539 **Fig. 1** Sap flow per leaf area (J_s) as a function of soil water content (VWC) at 30-cm depth
540 in non-rainy, daytime hours during the mid-growing period from June 1-August 31, 2013 and
541 2014. Data points are binned values from pooled data over two years at a VWC increment of
542 $0.003 \text{ m}^3 \text{ m}^{-3}$. Dotted line represents the VWC threshold for J_s .

543

544 **Fig. 2** Seasonal changes in daily (24-hour) mean shortwave radiation (R_s ; a), air temperature
545 (T ; b), water vapor pressure deficit (VPD; c), volumetric soil water content (VWC; d), relative
546 extractable water (REW; e), daily total precipitation (PPT; d), and daily sap flow per leaf area
547 (J_s ; f), and daily transpiration (T_r , mm d^{-1} ; f) from May to September for both 2013 and 2014.
548 Horizontal dash lines (d, e) represent VWC and REW threshold of $0.1 \text{ m}^3 \text{ m}^{-3}$ and 0.4 ,
549 respectively. Shaded bands indicate periods of drought.

550

551 **Fig. 3** Relationships between sap flow per leaf area (J_s) and environmental factors [shortwave
552 radiation (R_s), air temperature (T), water vapor pressure deficit (VPD), and soil water content
553 at 30-cm depth (VWC)] in non-rainy days between 8:00-20:00 h during the mid-growing
554 season of June 1-August 31 for 2013 and 2014. Data points are binned values from pooled
555 data over two years at increments of 40 W m^{-2} , 1.2°C , 0.3 kPa , and $0.005 \text{ m}^3 \text{ m}^{-3}$ for R_s , T ,
556 VPD and VWC, respectively.

557

558 **Fig. 4** Sap flow per leaf area (J_s) in non-rainy, daytime hours during the mid-growing season
559 of June 1-August 31 for both 2013 and 2014 as a function of shortwave radiation (R_s), air
560 temperature (T), ~~vapor pressure~~ vapor pressure deficit (VPD) under high volumetric soil
561 water content ($\text{VWC} > 0.10 \text{ m}^3 \text{ m}^{-3}$ both in 2013 and 2014) and low VWC ($< 0.10 \text{ m}^3 \text{ m}^{-3}$,
562 2013 and 2014). J_s is given as binned averages according to R_s , T , and VPD, based on
563 increments of 100 W m^{-2} , 1°C , and 0.2 kPa , respectively. Bars indicate standard error.

564

565 **Fig. 5** Regression slopes of linear fits between sap flow per leaf area (J_s) in non-rainy days
566 and shortwave radiation (R_s), water vapor pressure deficit (VPD), air temperature (T), and
567 volumetric soil water content (VWC) between 8:00-20:00 h during the mid-growing season
568 of June 1-August 31 for 2013 and 2014.

569

570 **Fig. 6** Mean monthly diurnal changes in sap flow per leaf area (J_s) and stomatal conductance
571 (g_s) in *Artemisia ordosica* during the growing season (May-September period) for both 2013
572 and 2014. Each point is given as the mean at specific times during each month.

573

574 **Fig. 7** Seasonal variation in hysteresis loops between sap flow per leaf area (J_s) and shortwave

575 radiation (R_s) using normalized plots for both 2013 and 2014. The y-axis represents the
576 proportion of maximum J_s (dimensionless), and the x-axis represents the proportion of
577 maximum R_s (dimensionless). The curved arrows indicate the clockwise direction of response
578 during the day.

579

580 **Fig. 8** Sap flow per leaf area (J_s) and shortwave radiation (R_s) over consecutive three days in
581 2013, i.e., (a) under low volumetric soil water content (VWC) and high [water](#) vapor pressure
582 deficit (VPD; DOY 153-155, VWC=0.064 m³ m⁻³, REW=0.025, VPD=2.11 kPa), (b)
583 moderate VWC and VPD (DOY 212-214, VWC=0.092 m³ m⁻³, REW=0.292, VPD=1.72
584 kPa), and (c) high VWC and low VPD (DOY 192-194, VWC=0.152 m³ m⁻³, REW=0.865,
585 VPD= 0.46 kPa); REW is the relative extractable soil water. VWC, REW, and VPD are the
586 3-day mean value.

587

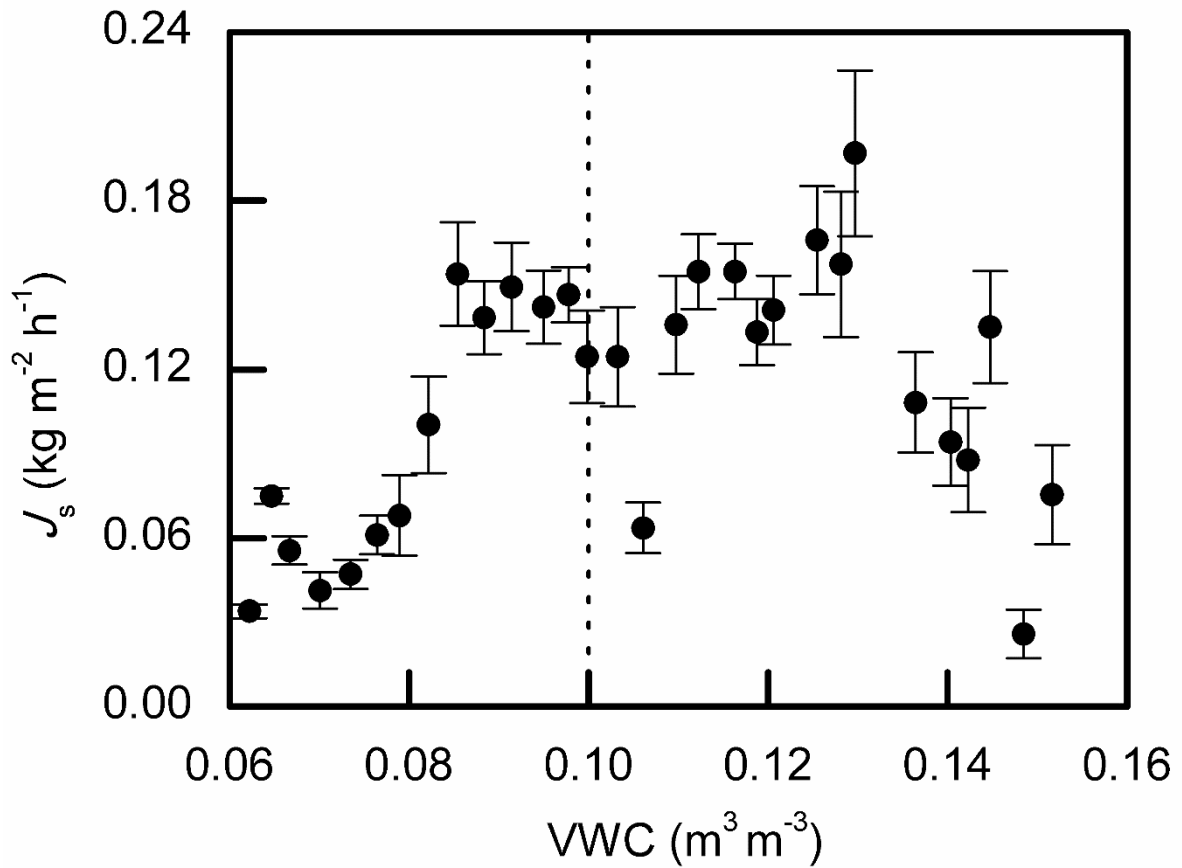
588 **Fig. 9** Time lag between sap flow per leaf area (J_s) and short wave radiation (R_s) in relation
589 to volumetric soil water content (VWC). Hourly data in non-rainy days during the mid-
590 growing season of June 1-August 31 for 2013 and 2014. The lag hours were calculated by a
591 cross-correlation analysis using a three-day moving window with a one-day time step. Rainy
592 days were excluded. The solid line is based on exponential regression ($p<0.05$).

593

594 **Fig. 10** Relationship between volumetric soil water content (VWC) and (a) stomatal
595 conductance (g_s) in *Artemisia ordosica*, and (b) decoupling coefficient (Ω) for 2013 and 2014.
596 Hourly values are given as binned averages based on a VWC-increment of 0.005 m³ m⁻³.
597 Bars indicate standard error. Only statistically significant regressions (with p -values < 0.05)
598 are shown.

599

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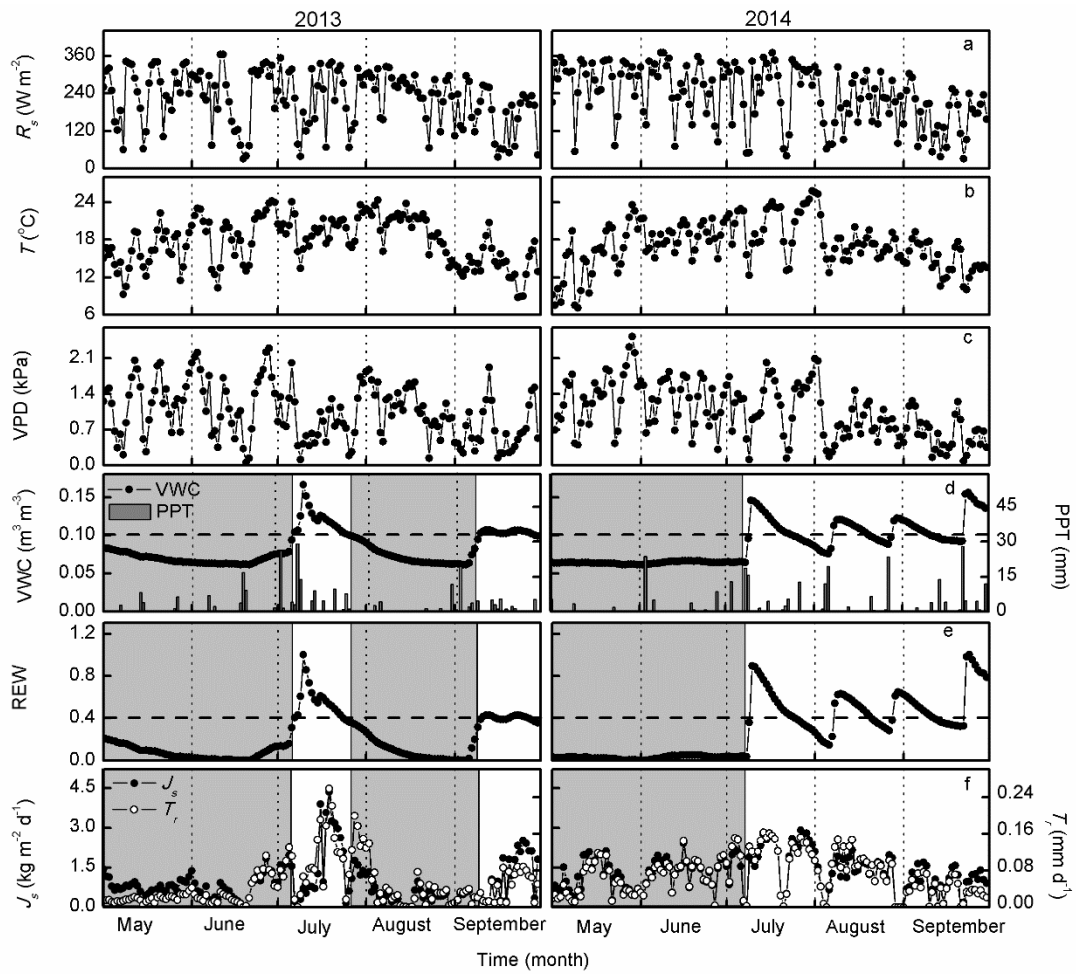
601

602 **Fig. 1** Sap flow per leaf area (J_s) as a function of soil water content (VWC) at 30-cm depth
603 in non-rainy, daytime hours during the mid-growing period from June 1-August 31, 2013 and
604 2014. Data points are binned values from pooled data over two years at a VWC increment of
605 $0.003 \text{ m}^3 \text{m}^{-3}$. Dotted line represents the VWC threshold for J_s .

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612 **Fig. 2** Seasonal changes in daily (24-hour) mean shortwave radiation (R_s ; a), air temperature

613 (T ; b), water vapor pressure deficit (VPD; c), volumetric soil water content (VWC; d), relative

614 extractable water (REW; e), daily total precipitation (PPT; d), and daily sap flow per leaf area

615 (J_s ; f), and daily transpiration (T_r , mm d^{-1} ; f) from May to September for both 2013 and 2014.

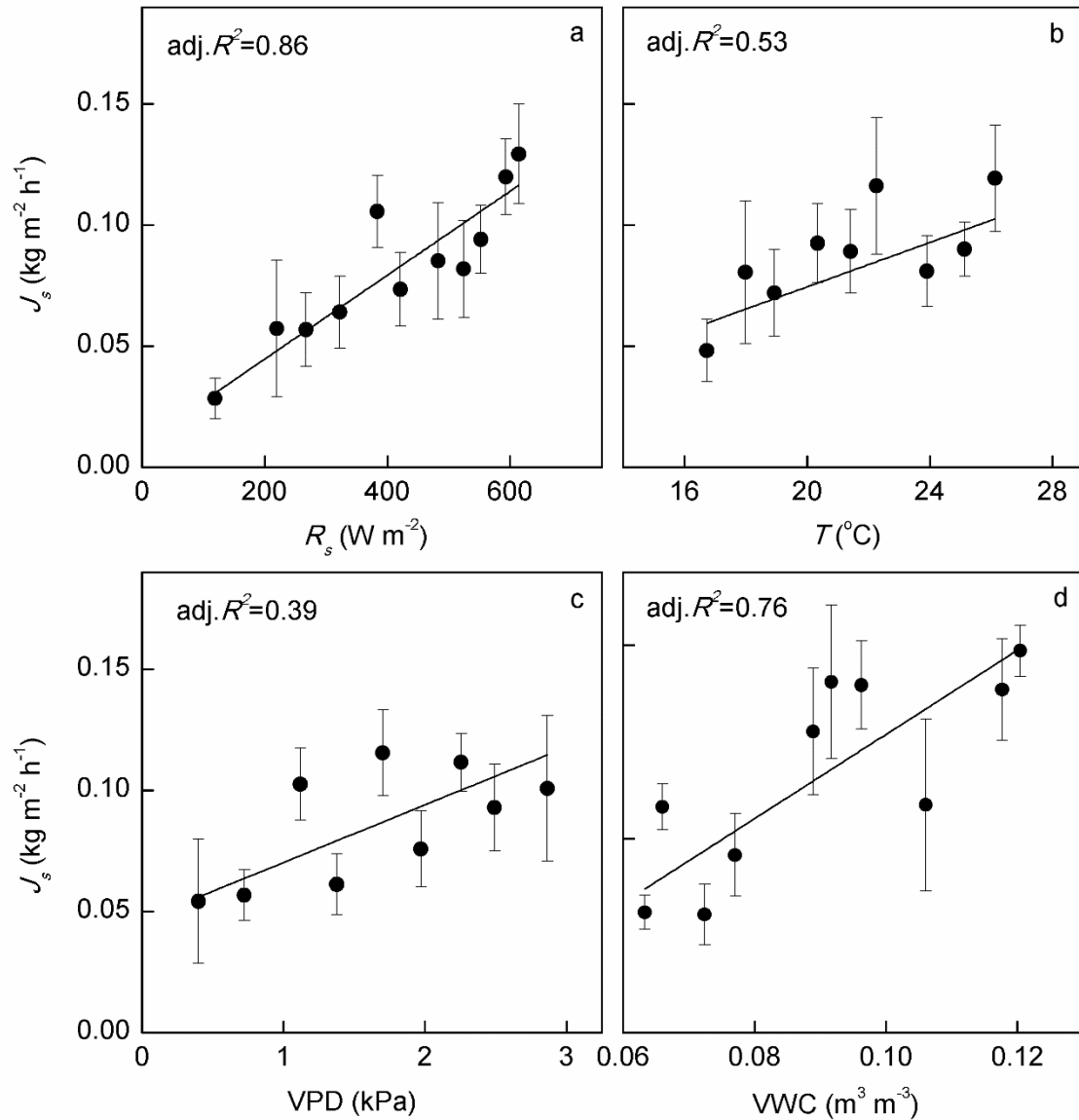
616 Horizontal dash lines (d, e) represent VWC and REW threshold of $0.1 \text{ m}^3 \text{ m}^{-3}$ and 0.4 ,

617 respectively. Shaded bands indicate periods of drought.

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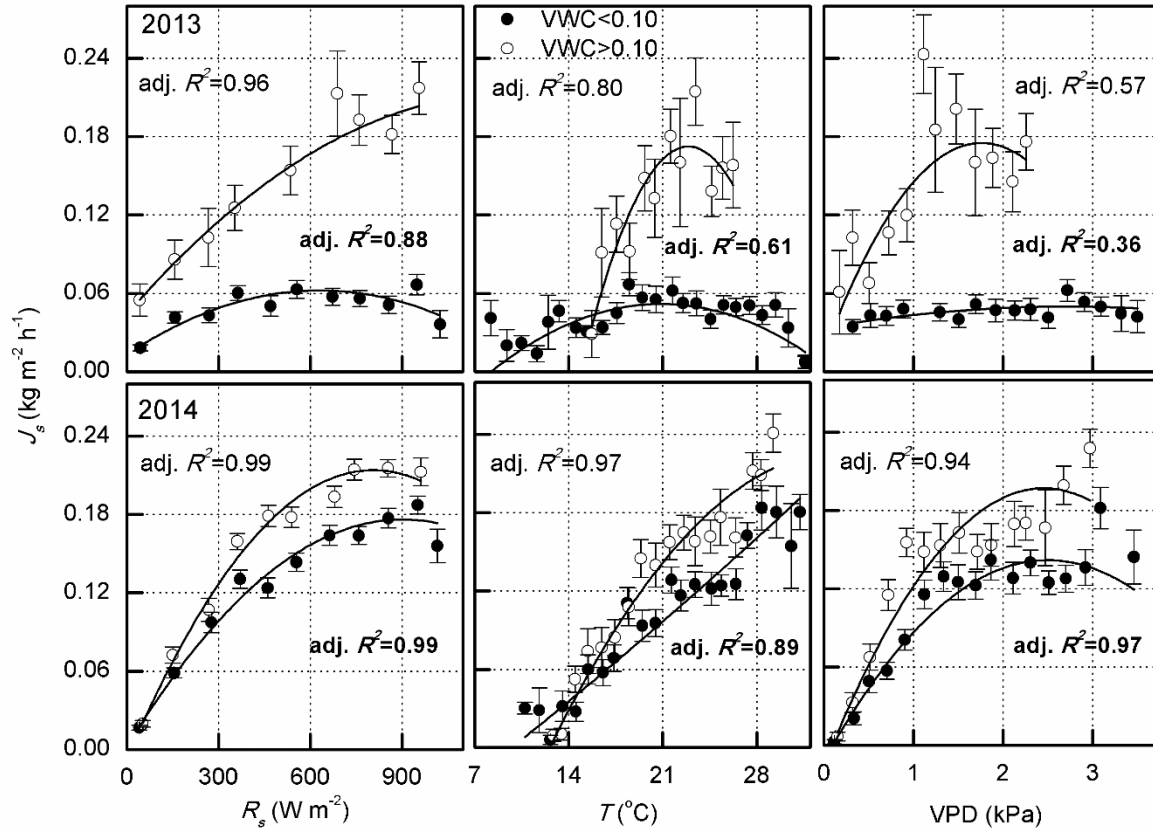
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Fig. 3 Relationships between sap flow per leaf area (J_s) and environmental factors [shortwave radiation (R_s), air temperature (T), water vapor pressure deficit (VPD), and soil water content at 30-cm depth (VWC)] in non-rainy days between 8:00-20:00 h during the mid-growing season of June 1-August 31 for 2013 and 2014. Data points are binned values from pooled data over two years at increments of 40 W m^{-2} , 1.2°C , 0.3 kPa , and $0.005 \text{ m}^3 \text{ m}^{-3}$ for R_s , T , VPD and VWC, respectively.



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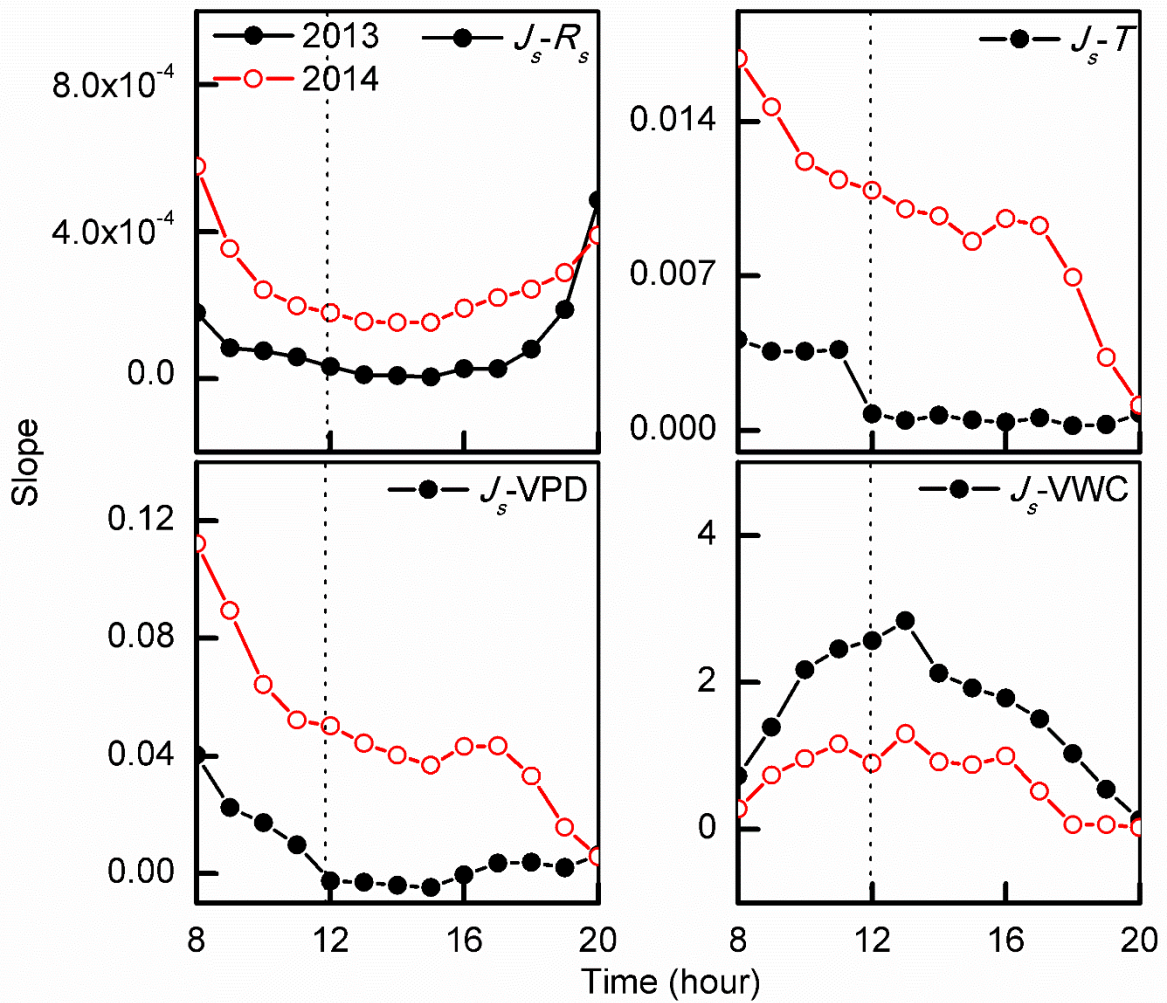
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635 **Fig. 4** Sap flow per leaf area (J_s) in non-rainy, daytime hours during the mid-growing season
 636 of June 1-August 31 for both 2013 and 2014 as a function of shortwave radiation (R_s), air
 637 temperature (T), ~~vapor pressure~~ vapor pressure water vapor pressure deficit (VPD) under high volumetric soil
 638 water content ($\text{VWC} > 0.10 \text{ m}^3 \text{ m}^{-3}$ both in 2013 and 2014) and low $\text{VWC} (< 0.10 \text{ m}^3 \text{ m}^{-3}$,
 639 2013 and 2014). J_s is given as binned averages according to R_s , T , and VPD, based on
 640 increments of 100 W m^{-2} , 1°C , and 0.2 kPa , respectively. Bars indicate standard error.

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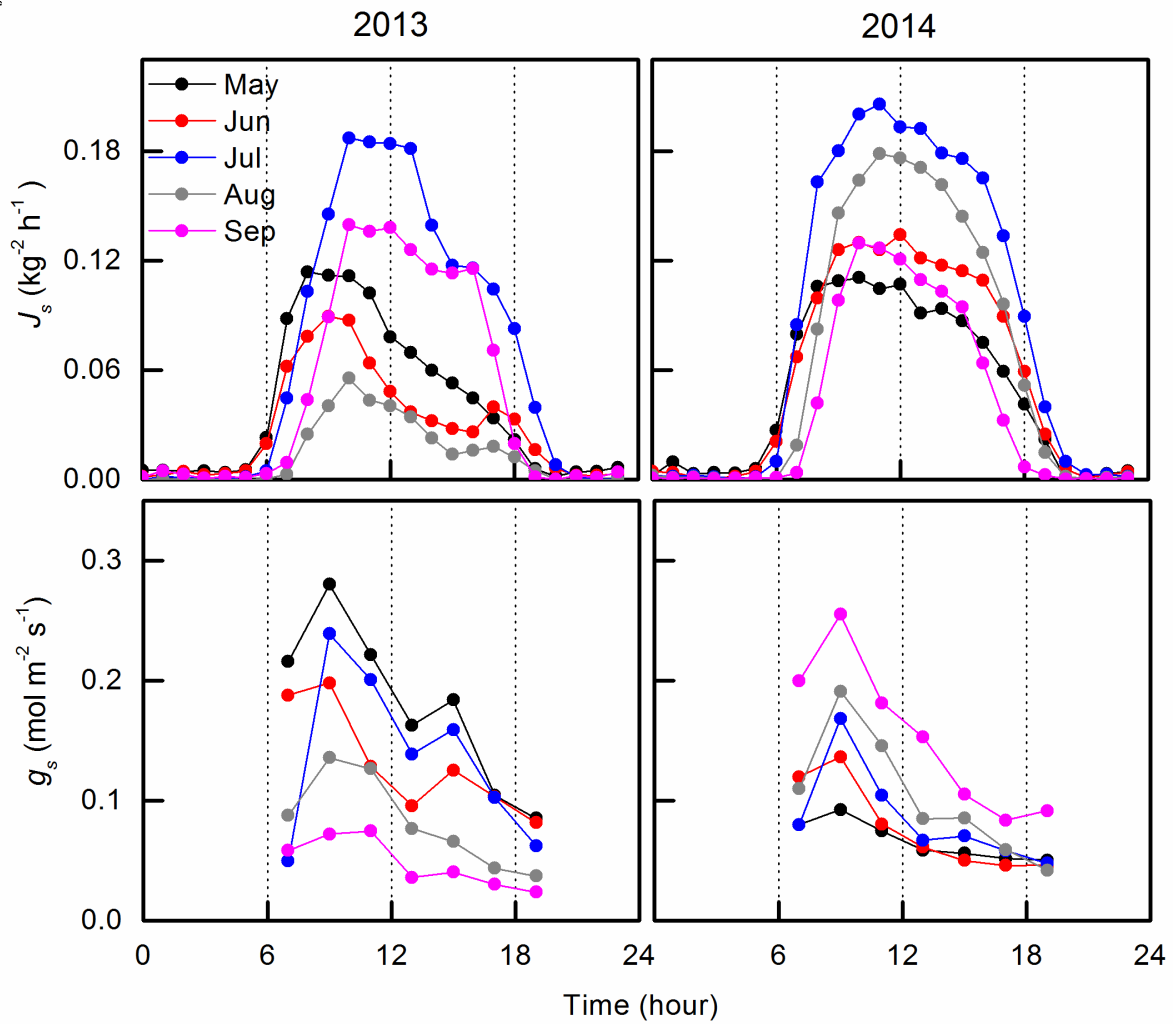
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Fig. 5 Regression slopes of linear fits between sap flow per leaf area (J_s) in non-rainy days and shortwave radiation (R_s), ~~vapor pressure~~water vapor pressure deficit (VPD), air temperature (T), and volumetric soil water content (VWC) between 8:00-20:00 h during the mid-growing season of June 1-August 31 for 2013 and 2014.

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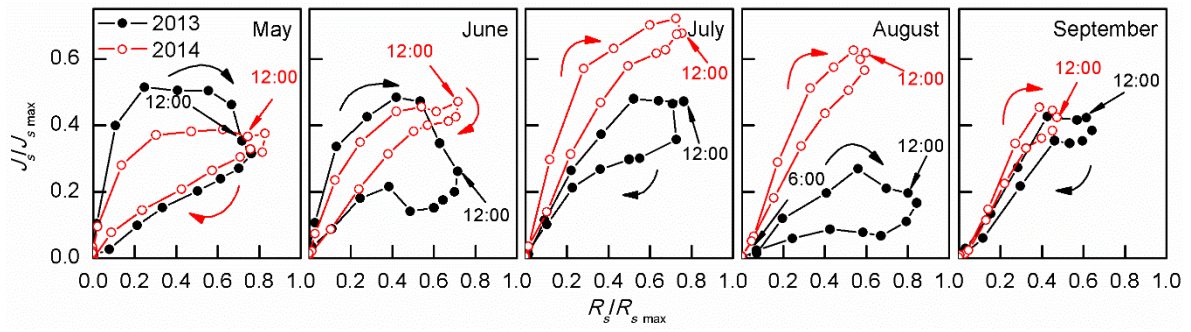
655 **Fig. 6** Mean monthly diurnal changes in sap flow per leaf area (J_s) and stomatal conductance
 656 (g_s) in *Artemisia ordosica* during the growing season (May-September period) for both 2013
 657 and 2014. Each point is given as the mean at specific times during each month.

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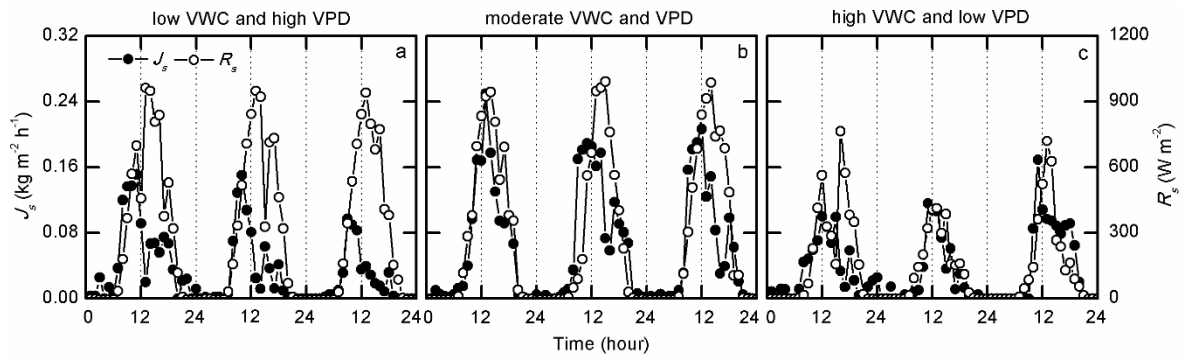
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Fig. 7 Seasonal variation in hysteresis loops between sap flow per leaf area (J_s) and shortwave radiation (R_s) using normalized plots for both 2013 and 2014. The y-axis represents the proportion of maximum J_s (dimensionless), and the x-axis represents the proportion of maximum R_s (dimensionless). The curved arrows indicate the clockwise direction of response during the day.

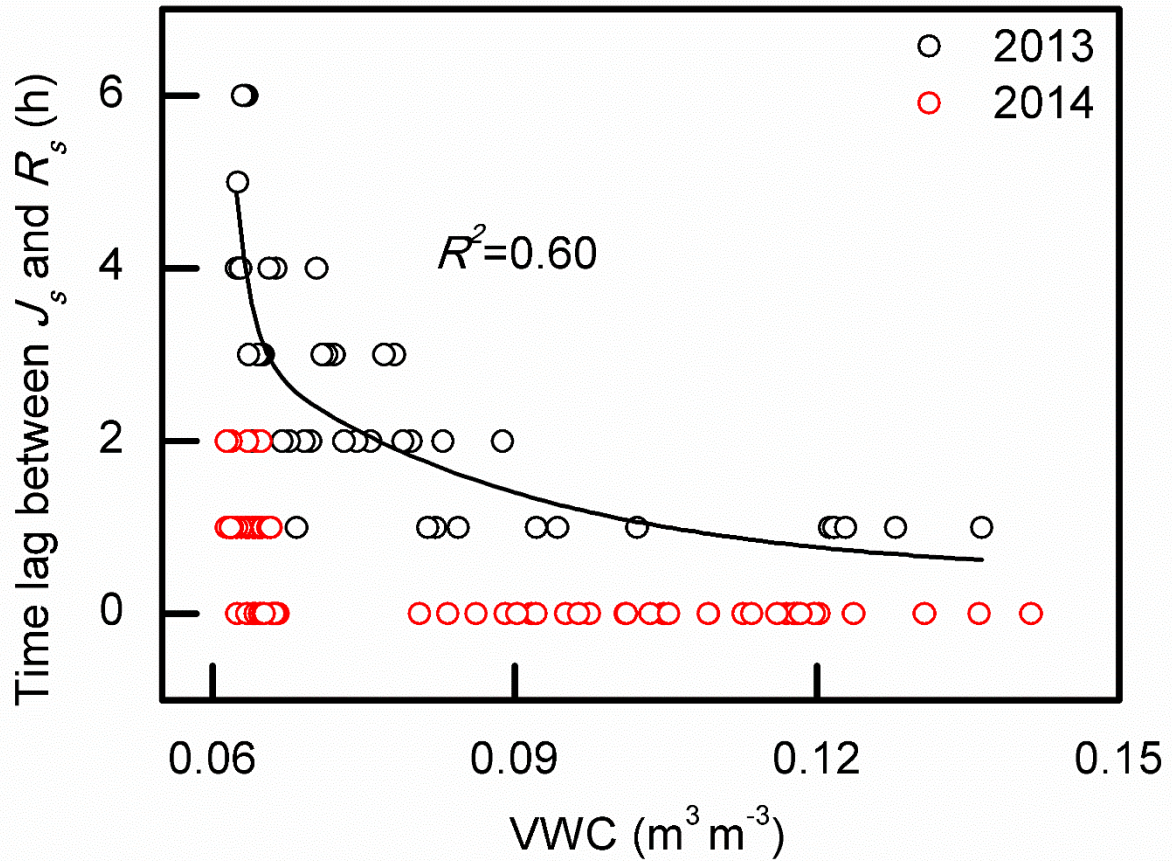


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676 **Fig. 8** Sap flow per leaf area (J_s) and shortwave radiation (R_s) over consecutive three days in
 677 2013, i.e., (a) under low volumetric soil water content (VWC) and high vapor pressure
 678 deficit (VPD; DOY 153-155, VWC=0.064 m³ m⁻³, REW=0.025, VPD=2.11
 679 kPa), (b) moderate VWC and VPD (DOY 212-214, VWC=0.092 m³ m⁻³, REW=0.292,
 680 VPD=1.72 kPa), and (c) high VWC and low VPD (DOY 192-194, VWC=0.152 m³ m⁻³,
 681 REW=0.865, VPD= 0.46 kPa); REW is the relative extractable soil water. VWC, REW, and
 682 VPD are the 3-day mean value.

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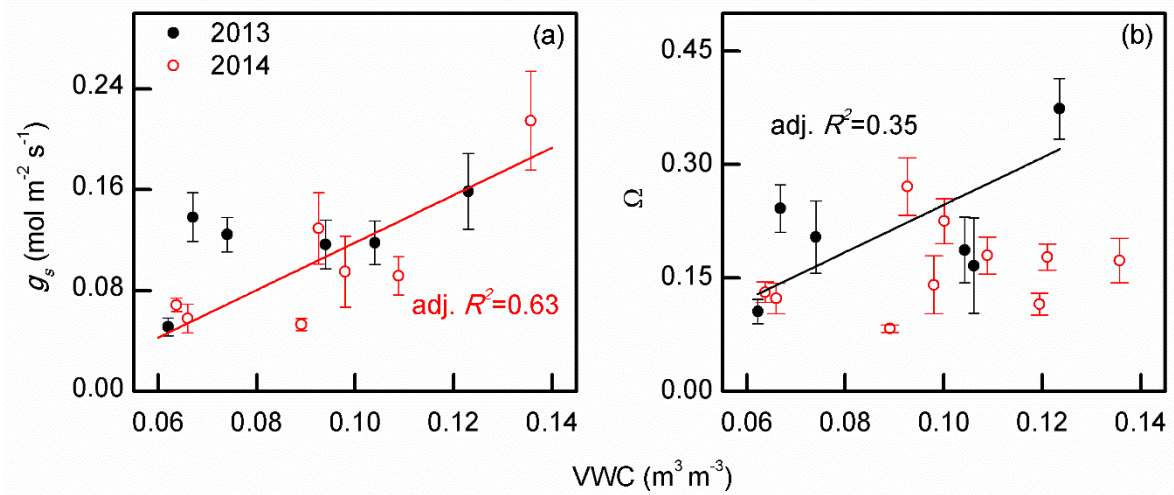
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686 **Fig. 9** Time lag between sap flow per leaf area (J_s) and short wave radiation (R_s) in relation
 687 to volumetric soil water content (VWC). Hourly data in non-rainy days during the mid-
 688 growing season of June 1-August 31 for 2013 and 2014. The lag hours were calculated by a
 689 cross-correlation analysis using a three-day moving window with a one-day time step. Rainy
 690 days were excluded. The solid line is based on exponential regression ($p < 0.05$).

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696 **Fig. 10** Relationship between volumetric soil water content (VWC) and (a) stomatal
697 conductance (g_s) in *Artemisia ordosica*, and (b) decoupling coefficient (Ω) for 2013 and 2014.
698 Hourly values are given as binned averages based on a VWC-increment of 0.005 m³ m⁻³.
699 Bars indicate standard error. Only statistically significant regressions (with p -values < 0.05)
700 are shown.

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