

1 **The European forest sector: past and future carbon budget and fluxes**
2 **under different management scenarios**

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11 **1. Abstract**

12 The comprehensive analysis of carbon stocks and fluxes of managed European forests is a
13 prerequisite to quantify their role in biomass production and climate change mitigation. We applied
14 the Carbon Budget Model (CBM) to 26 European (EU) countries, parameterized with country
15 information on the historical forest age structure, management practices, harvest regimes and the
16 main natural disturbances. We modeled the C stocks for the five forest pools plus Harvested Wood
17 Products (HWP), and the fluxes among these pools, from 2000 to 2030. The aim is to quantify,
18 using a consistent modelling framework for all 26 countries, the main C fluxes as affected by land-
19 use changes, natural disturbances and forest management and to assess the impact of specific
20 harvest and afforestation scenarios after 2012 on the mitigation potential of the EU forest sector.
21 Substitution effects and the possible impacts of climate are not included in this analysis.

22 Results show that for the historical period (2000 – 2012) the net primary productivity (NPP) of the
23 forest pools at the EU level is on average equal to 639 Tg C yr⁻¹, the losses are dominated by
24 heterotrophic respiration (409 Tg C yr⁻¹) and removals (110 Tg C yr⁻¹), with direct fire emissions
25 being only 1 Tg C yr⁻¹, leading to a net carbon stock change (i.e. sink) of 110 Tg C yr⁻¹. Fellings
26 also transferred 28 Tg C yr⁻¹ of harvest residues from biomass to dead organic matter pools. The
27 average annual Net Sector Exchange (NSE) of the forest system, i.e. the carbon stock changes in
28 the forest pools including HWP, equals a sink of 122 Tg C yr⁻¹ (i.e., about 19% of the NPP) for
29 the historical period and in 2030 reaches 126 Tg C yr⁻¹, 101 Tg C yr⁻¹ and 151 Tg C yr⁻¹, assuming
30 respectively a constant, increasing (+20%) and decreasing (-20%) scenario of both harvest and
31 afforestation rates compared to the historical period. Under the constant harvest rate scenario, our
32 findings show an incipient aging process of the forests existing in 1990: although NPP is increasing
33 (+7%), heterotrophic respiration is increasing at a greater rate (+13%) and this leads to a decrease
34 of the sink in the forest pools (-6%) in 2030 compared to the historical period.

35 By comparing, for each country, the evolution of the biomass as a function of the NPP (i.e., the
36 turnover time) we highlighted at least three groups of countries and turnover times. This means
37 that, contrary to the assumptions proposed by other authors, this relationship cannot be assumed
38 as a constant for all the EU countries, but specific conditions, such as the harvest rate, the current
39 age structure and forest composition, may contribute to the country-specific evolution of biomass
40 stocks.

41 The detailed picture of the C fluxes condensed in this study, and their evolution under different
42 harvest scenarios, may represent both a benchmark for similar studies and a basis for broader
43 analyses (e.g. including substitution effects of wood) on the mitigation potential of the EU forest
44 sector.

45

46 **Keywords:** EU, Net Primary Production, C fluxes, Harvest scenarios, Carbon Budget Model

47

48 **1. Introduction**

49 Forest management in Europe has a long tradition that has strongly influenced the present species
50 composition (Spiecker, 2003) and it will continue to be the main driver affecting the productivity
51 of European forests for the next decades (Koehl et al., 2010). A comprehensive assessment of the
52 overall carbon stocks and fluxes of managed forests is required to complement the analyses of
53 climate change impacts on forest productivity and composition (e.g. Lindner et al., 2015). Several
54 studies analyzed the European forest carbon budget from different perspectives and over different
55 time periods (Kauppi et al., 1992, Karjalainen et al., 2003), using different approaches, such as
56 process-based ecosystem models (i.e., Valentini et al., 2000) or estimates based on forest
57 inventories (i.e., Liski et al., 2000). Each of these methods has its strengths and weaknesses
58 (Karjalainen et al., 2003).

59 Although several studies tried to harmonize different data sources (i.e., Böttcher et al., 2012) and
60 to link or compare the results from different approaches (i.e., Ľupek et al., 2010; Neumann et al.,
61 2015), relevant differences still exist between the national reported values and the calculations
62 from large-scale models (Groen et al., 2013). Atmospheric biogeochemical models focus on long-
63 term physiological responses to climate change, but are not suited for capturing the effect of
64 different management practices (Karjalainen et al., 2003; Ľupek et al., 2010). For analyzing the
65 impact of human activities on the current and near-future forest C stocks and fluxes, inventory-
66 based models are the most appropriate tool. Furthermore, there are still knowledge gaps which
67 should be addressed (Bellassen and Luysaert, 2014) while also addressing more complex
68 analyses, such as the challenges posed by increasing natural disturbances and other global changes
69 (Trumbore et al., 2015).

70 In 2003, Karjalainen et al., using an inventory-based model (EFISCEN, Sallnäs, 1990) applied to
71 data from National Forest Inventories (NFIs, mainly from the '90s), quantified forest carbon fluxes
72 at the country and the European level, looking both at the historical period 1990-2000 and at future
73 management and climate scenarios, up to 2050. This analysis can now be updated thanks to the
74 availability of new NFIs, further information from the UNFCCC countries' reports and data
75 provided by other studies (i.e., Luysaert et al., 2010; Schulze et al., 2010; Ľupek et al., 2010).

76 The aim of this study is to provide a comprehensive quantification of the carbon stocks and fluxes
77 of the EU forest sector, including country-level details. We used an inventory-based model
78 (Carbon Budget Model, CBM-CFS3, Kurz et al., 2009) and applied it to 26 EU countries for the
79 historical period 2000-2012 and for future scenarios of different harvest and afforestation rates (up
80 to 2030).

81 In particular, we focus on the effects of forest age-structure, natural disturbances, land-use change
82 and management activities on: (i) the amount of carbon stocked in the five forest C pools (i.e.,
83 above- and belowground biomass, dead wood, litter, and soil) and outside the forest (i.e., harvested
84 wood products, HWP), when possible further distinguishing between merchantable biomass,
85 branches, biomass used for energy, etc.; and (ii) the fluxes, i.e., the inputs to and the outputs from
86 each pool, and the exchanges between the forest sector and the atmosphere. Given the relatively
87 short timeframe analyzed in our study (30 years), we do not consider the effects of climate change
88 on forests. Other factors not covered by this study are substitution effects (Sathre and O'Connor,
89 2010; Smyth et al., 2016) and biophysical effects (Naudts et al., 2016, Alkama and Cescatti, 2016).

90

91 **2. Material and Methods**

92 **2.1. The Carbon Budget Model (CBM-CFS3) and NFI input data**

93 The CBM is an inventory-based, yield-curve driven model that simulates the stand- and landscape-
94 level C dynamics of above- and below-ground biomass, dead organic matter (DOM: litter and dead
95 wood) and mineral soil (Kurz et al., 2009). The model, developed by the Canadian Forest Service,
96 was recently applied to 26 EU countries mainly using NFI input data (Tab. 1), to estimate the EU
97 forest C dynamics from 2000 to 2012, including the effects of natural disturbances and land-use
98 change (Pilli et al., 2016a and b). Here we apply the same methods, data and assumptions as these
99 studies, with the exception of Bulgaria, Ireland, Poland and Romania, where we updated our input
100 data (see Tab. 1 for details). We refer the reader to Kurz et al. (2009) for details on the model and
101 to Pilli et al. (2016a and c) for details on its application to EU countries.

102 [Tab. 1]

103 The spatial framework applied in the CBM conceptually follows IPCC Reporting Method 1
104 (Penman et al. 2003) in which the spatial units are defined by their geographic boundaries and all
105 forest stands are geographically referenced to a spatial unit (SPU). Within a SPU, each forest stand
106 is characterized by age, area and 7 classifiers that provide administrative and ecological
107 information; the link to the appropriate yield curves; the parameters defining the silvicultural
108 system such as the forest composition (defined according to different forest types, FTs), the
109 management type (MT), and the main use of the harvest provided by each SPU, as fuelwood or
110 industrial roundwood. From the NFIs of each country we derived: (i) the original age-class
111 distribution (for the even aged forests), (ii) the main FTs based on the forest composition (each FT
112 was assumed to be composed of the main species reported in the NFI, i.e., it was assumed as a
113 pure FT); (iii) the average volume and current annual increment (if possible, defined for each FT)
114 and (iv) the main MTs. These last parameters may include even-aged high forests, uneven-aged
115 high forests, coppices and specific silvicultural systems such as clear-cuts (with different rotation
116 lengths for each FT), thinnings, shelterwood systems, partial cuttings, etc. In few cases, because
117 of the lack of country-specific information, some of these parameters were derived either from the
118 literature or from average values reported for other countries.

119 In the CBM, species-specific, stand-level equations (Boudewyn et al., 2007) convert merchantable
120 volume per hectare into aboveground biomass, partitioned into merchantable stemwood, other
121 (tops, branches, sub-merchantable size trees) and foliage components. Where additional
122 information provided by NFIs or by literature was available, country-specific equations were
123 selected to convert the merchantable volume into aboveground biomass (Pilli et al., 2013).

124 We used two sets of yield tables in these analyses (Pilli et al., 2013, Pilli et al., 2016a). Historical
125 yield tables, derived from the standing volumes per age class reported by the NFI, represent the
126 impacts of growth and partial disturbances during stand development. Current yield tables, derived
127 from the current annual increment reported in country NFIs, represent the stand-level volume
128 accumulation in the absence of natural disturbances and management practices.

129 For 22 countries, we also evaluated the impact of natural disturbance events including storms and
130 ice, fires and bark beetle attacks (Tab. 1). Specific information on the assumptions on natural
131 disturbances can be found in Pilli et al., 2016a and 2016c.

132 The CBM uses biomass turnover rates to represent mortality of biomass and litterfall rates and the
133 transfer of dead biomass to DOM pools (Kurz et al., 2009). Due to the lack of studies, in many
134 cases we could not define these parameters at the regional level. The decomposition rate for each
135 DOM pool, however, is modelled using a temperature-dependent decay rate that determines the
136 amount of organic matter that decomposes each year. For this reason, maps of temperature and
137 precipitation classes were projected over a CORINE map and over the European administrative
138 units, following the approach of Pilli (2012). The resulting combinations of precipitation and mean
139 temperature values were used to define 60 climatic land units (CLUs, as in Pilli, 2012) and, for
140 each country, a portion of the NFI forest area was associated with each CLU, on the basis of
141 CORINE data.

142 The model provides annual estimates of C stocks and fluxes, such as the annual C transfers
143 between pools, from pools to the atmosphere and to the forest product sector, as well as ecological
144 indicators such as the net primary production (NPP), heterotrophic respiration (R_h) or net biome
145 production (NBP). Afforestation (AR) and deforestation (D) can be represented as disturbance
146 types with their own disturbance matrices and transitions to and from forest land.

147 In order to model land use changes (i.e., AR and D), we need to define a benchmark (i.e., a
148 baseline) for the forest area existing in a given year. To be consistent with other studies and to
149 provide more useful information (at the country level), we use 1990 as base year, which is also the
150 Kyoto Protocol base year (details in Pilli et al., 2016a). For simulations that started after 1990, this
151 area was decreased to account for the total amount of deforestation reported by each country (KP
152 CRF tables, 2014) between 1990 and time step 0, i.e., the beginning of the model run (which varies
153 by country, as reported in Tab. 1).

154 If the NFI reference year was after 2000, we rolled back by 10 years the original NFI age-class
155 distribution (for even-aged forests) in the inventory (Pilli et al., 2013, 2016a) to provide for all EU
156 countries a consistent dataset covering the period 2000–2012.

157 We considered the historical effect (i.e., up to 2012, depending on the available data) of the main
158 storms and ice damages (16 countries), fires (10 countries) and insect attacks (i.e., bark beetle
159 attacks, for 2 countries; see Tab. 1 and Pilli et al., 2016a).

160 AR was modeled through country-specific model runs, always beginning in 1990, applying the
161 historical annual rate of AR reported by each country up to 2012 (Pilli et al., 2016b). The total

162 amount of AR per year was distributed between different FTs, according to the proportional
163 amount of the FM area.

164 **2.2. Harvest demand and carbon flow**

165 The main fluxes modelled in our study are: (1) inputs of C from the atmosphere (i.e., NPP) to the
166 forest ecosystem; (2) outputs due to direct C emissions from the forest to the atmosphere and due
167 to harvest activities; (3) internal fluxes (not affecting the total C balance), mainly from the living
168 biomass to the DOM pool (see also Figure 1S in the Supplementary Materials for more details).
169 Carbon enters the forest as CO₂ absorbed from the atmosphere by living biomass (LB); a fraction
170 of this biomass returns to the atmosphere (through natural disturbances such as fires and storms)
171 or moves to the other forest pools (dead wood and litter) through natural mortality and disturbance
172 events. From these pools, C can be directly released to the atmosphere or transferred to the soil
173 pool where some of it can reside for centuries. All these ecosystem carbon fluxes are modeled in
174 CBM with a semi-empirical approach (Kurz et al., 2009).

175 From an ecosystem perspective (Kirschbaum et al., 2001), the sum of all biomass production,
176 during a year, represents the NPP, equal to the difference between the carbon assimilated by plants
177 through photosynthesis (i.e., the Gross Primary Production, GPP) and the carbon released by plants
178 through autotrophic respiration (R_a):

$$179 \quad NPP = GPP - R_a \quad \text{Eq. (1)}$$

180 Subtracting from this figure all the C losses due to the heterotrophic respiration (R_h , i.e.,
181 decomposition), we estimate Net Ecosystem Productivity (NEP):

$$182 \quad NEP = NPP - R_h \quad \text{Eq. (2)}$$

183 NBP is the difference between NEP and the direct losses due to harvest (H) and natural
184 disturbances (D , e.g., fires):

$$185 \quad NBP = NEP - H - D \quad \text{Eq. (3)}$$

186 Through the fellings, a fraction of the LB moves to the HWP pool (this is the amount of biomass
187 removed from the forest, i.e. the roundwood removals reported in Figure 1S). Another fraction of
188 biomass is left in the forest as forest residues (i.e., slash, varying according to the specific
189 silvicultural treatments). Fellings can also salvage a fraction of the standing dead trees and move

190 them from the dead wood pool to the roundwood pool. Adding to the NBP the total changes in the
191 HWP carbon stock ($HWP_{\Delta C}$), we estimate the Net Sector Exchange (NSE, Karjalainen et al.,
192 2003):

$$193 \quad NSE = NBP + HWP_{\Delta C} \quad \text{Eq. (4)}$$

194 In this study, we applied the CBM as a timber assessment model, i.e., we defined a certain harvest
195 level and implemented the model to (i) check if it is possible to harvest that amount and (ii) to
196 simulate the forest development under that harvest level (Schelhaas et al., 2007). The total fellings
197 were inferred, for each country, from the amount of roundwood removals reported by FAOSTAT
198 data (FAOSTAT, 2013), further distinguished between industrial roundwood (IRW, used for the
199 production of wood commodities and mainly provided by stems) and fuelwood (FW i.e., the wood
200 for energy use, mainly provided by residues, branches and coppices). To provide a consistent
201 estimate of the harvest demand for all the countries, these data were compared and, when needed,
202 corrected with other information from the literature (i.e., to account for the bark fraction or other
203 possible recognized biases; Pilli et al., 2015).

204 The EU-26 total past and three alternative future harvest demands considered in this study are
205 shown in Figure 1. For each country, the total harvest was further distinguished between four
206 compartments providing the total amount of wood expected each year: IRW conifers, IRW
207 broadleaves, FW conifers and FW broadleaves. For each compartment we defined: (i) the FTs (i.e.,
208 broadleaved species for IRW and FW, and coniferous species for IRW and FW), (ii) the MTs (for
209 example coppices for FW broadleaves) and (iii) the silvicultural practices (for example thinnings
210 for FW conifers). Original values of harvest demand expressed as cubic meter were converted to
211 tons of C using species-specific wood densities values and a constant C fraction equal to 0.50
212 (Penman et al., 2003). A further distribution between FTs and MTs associated with the same
213 compartment was based on the total stock of aboveground biomass available at the beginning of
214 the model run. The C annually stocked as harvested wood products (i.e., IRW) was directly derived
215 by the estimates provided by Pilli et al., 2015, based on the same input data used in this study.

216 During the model run, we also quantified the amount of FW provided by branches and other wood
217 components such as the amount of residues moved from the LB to the dead wood pool (see Figure
218 1S). A fraction of the LB due to the deforestation could be also used as FW or IRW, but due to the

219 lack of detailed information on this potential use, this amount was not included in the sum of the
220 total roundwood removals; instead it was assumed as direct emission of C to the atmosphere.

221 Three harvest scenarios were explored from 2013 onward (combined with the FM area and the
222 deforestation activities): (i) a constant harvest scenario based on the average historical harvest
223 (2000 – 2012) up to 2030; (ii) an increasing harvest scenario, based on a 20% increase to the 2030
224 constant harvest demand and a linear interpolation between 2013 and 2030; (iii) a decreasing
225 harvest scenario, based on a 20% decrease to the 2030 constant harvest demand and a linear
226 interpolation between 2013 and 2030 (Figure 1). For each future harvest scenario, we distributed
227 the total harvest demand between the four compartments (i.e., IRW and FW, Con. and Broad.),
228 assuming the same proportions as in the historical period, i.e, about 62% of the total harvest was
229 used as IRW coming from coniferous species, 19% was used as IRW coming from broadleaved
230 species, 6% was used as FW coming from coniferous species and 13% was used as FW coming
231 from broadleaved species.

232 [Figure 1]

233 We assumed that the harvest demand was entirely provided by the FM area, excluding potential
234 harvest from deforestation. For AR we estimated the maximum potential (and theoretical) harvest
235 from afforested areas, assuming a common set of silvicultural practices for all countries, with a
236 single 15% commercial thinning applied to broadleaved forests 15-years or older and a single 20%
237 commercial thinning applied to coniferous forests 20-years or older (Pilli et al., 2014b).

238 Tab. 2 summarizes all the assumptions on (i) the forest area, assumed as constant FM area minus
239 the annual rate of deforestation; (ii) the effect of natural disturbances, concentrated on the FM area;
240 (iii) the harvest demand, based on FAOSTAT statistics and concentrated on the FM area. After
241 2012, we applied a constant average annual rate of deforestation to the FM area combined with
242 three different harvest scenarios (i.e., constant average, +20% and -20%); for AR, we considered
243 three different annual rates of AR (i.e., constant average, +20% and -20%), and we estimated for
244 each scenario the maximum theoretical amount of harvest potentially provided by the AR area,
245 assuming constant silvicultural practices.

246 [Tab. 2]

247 **3. Results and discussion**

248 **3.1. Carbon balance at EU level**

249 The average total C stock estimated for EU-26, for the main FM pools is equal to 9,417 Tg C for
250 the living biomass; 1,536 Tg C for dead wood; 1,179 Tg C and 7,717 Tg C for litter and soil (to a
251 depth of 1 m), plus 1,843 Tg C, as average amount of C in the HWP pool during the same period
252 (based on the analysis provided by Pilli et al., 2015).

253 Figure 2 reports the historical (2000 – 2012) C fluxes modelled by CBM at EU level, for the forest
254 area existing in 1990 (i.e., the FM area) and for the HWP pool. Additional data for each C pool
255 and flux and for the area afforested from 1990 to 2012 (AR), are reported in Figure 1S and Tab.
256 1S in the Supplementary Materials. Living biomass and felling (including removals and harvest
257 primary residues), have a positive net C balance. We estimated a negative balance for dead wood
258 and litter, probably influenced by the (average) effect of the natural disturbances occurred during
259 2000 – 2012. These disturbances have moved part of the living biomass stock to the DOM (through
260 wind storms and fires) and from this pool to the atmosphere (through fires). At the same time,
261 however, through the salvage of logging residues, the same disturbances have also (indirectly)
262 moved part of this dead biomass to the HWP pool. Due to the short time frame considered by our
263 study (12 yrs. for the historical period), we could not highlight any significant variation of the soil
264 C stock. Indeed, the slightly negative C stock change reported for this pool ($-0.8 \text{ Tg C yr}^{-1}$) is
265 mainly due to the effect of deforestation that moves forested lands to other land-use categories
266 (i.e., it is not a soil C loss to the atmosphere, but it is a transfer to other land categories) and,
267 overall, the soil C stock is stable.

268 [Figure 2]

269 The estimated average NPP is equal to 620 Tg C yr^{-1} for the FM area (including the effect of
270 deforestation that occurred since 1990) plus 19 Tg C yr^{-1} for the afforestation that occurred since
271 1990. The total heterotrophic respiration (R_h) amounts to 403 Tg C yr^{-1} , mainly due to the decay
272 of the DOM and soil C pools, plus 6 Tg C yr^{-1} from the afforested area.

273 The direct C emissions related to fire disturbances are about 1 Tg C yr^{-1} (see Figure 1S for details)
274 and are consistent with the emissions reported by the countries to the UNFCCC (2014, KP CRF
275 tables, see Pilli et al., 2016b and 2016c for further details). Other losses from biomass pools are

276 related to fellings (about 138 Tg C yr⁻¹) and can be distinguished between wood removals (110 Tg
277 C yr⁻¹) and transfers of biomass residues to DOM pools, (28 Tg C yr⁻¹), which will decay over time
278 (see Figure 1S). A consistent fraction (about 20%) of the fellings are used as fuelwood and thus
279 its C content is directly released to the atmosphere (see Figure 1S and Tab. 1S). As suggested by
280 the 2013 IPCC KP LULUCF Supplement, we assumed the instantaneous oxidation of the amount
281 of harvest used as FW (Hiraishi et al., 2014). The remaining industrial roundwood component can
282 be further distinguished between the C annually stocked as harvested wood products (12 Tg C yr⁻¹
283 based on Pilli et al., 2015) and the C released to the atmosphere due to decomposition (70 Tg C
284 yr⁻¹).

285 We compare our results with figures from the literature (Table 3). Luyssaert et al. (2010) analyzed
286 the results of different methodologies for EU-25 during 1990-2005 and estimated an average
287 annual NPP lower than our estimates (520 ± 75 Tg C yr⁻¹). Karjalainen et al. (2003), estimated an
288 average NPP equal to 409 Tg C yr⁻¹, for 27 EU countries during 1995-2000. The average R_h
289 estimated with CBM (403 Tg C yr⁻¹) is in the range of values reported in Luyssaert et al. (2010),
290 but it is 40% higher than the figure in Karjalainen et al. (2003), probably because of the higher
291 fine turnover rates used in CBM than those used in the Karjalainen et al. study. However, if we
292 compare the relative emissions due to R_h with the total NPP, the estimates are not so different:
293 59% of the NPP is lost as heterotrophic respiration according to Karjalainen et al. (2009), and 65%
294 according to our study. The total emissions from harvested wood products reported by Luyssaert
295 et al. (2010), equal to 87±16 Tg C yr⁻¹, is similar to our estimate. However, applying the IPCC Tier
296 2 method (Hiraishi et al., 2014; Pilli et al., 2015) we estimated a larger C sink for the HWP pool,
297 equal to 12 Tg C yr⁻¹ compared to 5 ± 3 Tg C yr⁻¹ in Luyssaert et al. (2010). The net-emissions
298 from HWP estimated in our study at the country and EU levels are consistent with the historical
299 (i.e., until 2009) net-emissions reported by Rüter (2011), using a similar modelling approach.

300 Finally, if we scale our estimates to units of area (see Tab. 2S in the Supplementary Materials),
301 results for NPP and harvest (4.5 Mg C ha⁻¹ yr⁻¹ and 0.8 Mg C ha⁻¹) are similar to the estimates
302 presented by Schulze et al. (2010) in a study based on a network of eddy-covariance sites across
303 Europe: 5.2 ± 0.7 Mg C ha⁻¹ and 0.6±0.1 Mg C ha⁻¹, respectively.

304 Taking into account all these fluxes, we estimated a total NBP equal to 98 Tg C yr⁻¹ and 12 Tg C
305 yr⁻¹ for the FM area and the afforested area (146 M ha in total), respectively. Adding to these NBP

306 estimates the C stock increases in the HWP pool, we estimate a Net Sector Exchange (NSE) for
307 the total forest sector of 122 Tg C yr⁻¹. Luysaert et al. (2010) reported a NBP value of 109 ± 30
308 Tg C yr⁻¹ that is similar to our estimate of 110 Tg C yr⁻¹ for the FM area.

309 [Tab. 3]

310 The NPP of the FM area in 2030 increases from 620 Tg C yr⁻¹ (average 2000 – 2012) to 661 Tg C
311 yr⁻¹ (i.e., +6%), 653 Tg C yr⁻¹ (+5%) and 669 Tg C yr⁻¹ (+8%), assuming a constant, increasing
312 and decreasing harvest scenario, respectively (Figure 3). In 2030, the area of lands afforested since
313 1990 contributes about 39 Tg C yr⁻¹ more to the NPP than the average of the period 1990 to 2012
314 and NBP increases from 12 Tg C yr⁻¹ (average 2000 – 2012) to about 26 Tg C yr⁻¹ in 2030 for all
315 the AR scenarios. As expected, in 2030, the decreasing harvest scenario (combined with a
316 decreasing AR rate) has the highest total NBP (FM+AR), equal to 151 Tg C yr⁻¹. (see Carbon Sink,
317 in Figure 3).

318 [Figure 3]

319 The natural turnover rate (panel B) and the emissions to the atmosphere in 2030 (panel E) for all
320 scenarios are higher than the average historical turnover rate (272 Tg C yr⁻¹ for DOM). The forest
321 living biomass and DOM stocks are in fact increasing from 2013 to 2030, under all harvest
322 scenarios because the average age of forests continues to increase even under the higher harvest
323 scenario (see Tab. 1S).

324 Further losses of C (panel A) are due to fires (on average, about 1 Tg C yr⁻¹ for all our scenarios,
325 i.e. about 0.3% of the total NPP in 2030) and deforestation (about 11 Tg C yr⁻¹, i.e., 1.7% of the
326 total NPP in 2030).

327 The total amount of harvest removals from the FM area (panel C) varies among the harvest
328 scenarios and equals (in 2030) 108 Tg C yr⁻¹, 128 Tg C yr⁻¹ and 88 Tg C yr⁻¹ for the constant,
329 increasing and decreasing harvest scenarios, respectively.

330 Harvest removals are reported as FW and IRW (panel D). Using the approach of the 2013 IPCC
331 KP LULUCF Supplement (Hiraishi et al., 2014), we estimated a direct emission of C from the FW
332 harvest equal to 26 Tg C yr⁻¹, 29 Tg C yr⁻¹ and 20 Tg C yr⁻¹ for the constant, increasing and
333 decreasing harvest scenarios, respectively. These emissions represent about 4% of the total NPP.

334 The C transferred to IRW can be further partitioned into the amount of C stocked as HWP and the

335 amount released to the atmosphere due to the decay of these products (Hiraishi et al., 2014). The
336 C stock increase of the HWP pool under different future harvest scenarios is reported on the
337 positive y-axis of Figure 3 (panel D). The IRW emissions vary in proportion to the different harvest
338 rates, and represent about 11% of the total NPP. In contrast, the IRW C sink, equal to 12 Tg C yr⁻¹
339 ¹ for the historical period, decreases when assuming a constant (8 Tg C yr⁻¹) or a decreasing (2 Tg
340 C yr⁻¹) harvest scenario. When we assume an increasing harvest, the HWP C sink in 2030 increases
341 slightly from 12 to 13 Tg C yr⁻¹.

342 Subtracting from the initial NPP the emissions due to the natural turnover rate (panel E), natural
343 disturbances and deforestation (panel A) and fellings (panel D), we can estimate the final C sink
344 of (i) the FM area (including the effect of deforestation), (ii) the HWP pool (stored outside the
345 forest), (iii) the AR that occurred from 1990 to 2030 and (iv) the total forest sector sink. The C
346 sink of the FM area (excluding HWP) varies from 98 Tg C yr⁻¹ for the historical period, to 92 Tg
347 C yr⁻¹, 61 Tg C yr⁻¹ and 123 Tg C yr⁻¹ assuming a constant, increasing and decreasing harvest
348 scenario. This means that, even maintaining a constant harvest rate from 2013 to 2030, the final
349 NBP of forests existing in 1990 decreases by 6% in 2030, compared with the historical period.
350 Increasing the harvest demand by 20%, the NBP decreases by 37% in 2030, but in all cases the
351 NBP estimates a C sink. Only when the harvest demand decreases, will the NBP increase by 25%.
352 The declining C sink estimated in the constant harvest scenario, is the results of an increasing NPP
353 (+7%, if compared with the historical period, see Tab. 1S for details), combined, but with an
354 opposite effect, with an increasing natural turnover and consequent emissions from DOM pools to
355 the atmosphere (+13%). This confirms an age-related decline in the productivity of the European
356 forests (Zaehle et al., 2006), and it is consistent with the results from other studies in the literature,
357 suggesting some signs of C sink saturation in existing European forest (Nabuurs et al., 2013).

358 Overall, for the historical period, the NBP of the FM area equals 16% of the NPP (i.e., the input
359 to the forests). This means that about 84% of the NPP is lost due to natural and human activities.
360 In 2030, the proportion of NBP in NPP varies considerably: from 9%, for the increasing harvest
361 scenario, to 18%, for the decreasing harvest scenario. Since a fraction of the NPP is still stocked
362 in the HWP products, adding this amount to the FM NBP we can estimate the total C sink, i.e., the
363 Net Sector Exchange. In this case, the NSE increases to 110 Tg C yr⁻¹ (i.e., about 18% of the NPP)
364 for the historical period 2000 – 2012. This value is considerably higher than the NSE reported by
365 Karjalainen et al. (2003), equal to 87 Tg C yr⁻¹, but for a lower area (128 Mha compared to 138

366 Mha) and a slightly different period (1995 – 2000). In 2030, the NSE varies from 100 Tg C yr⁻¹ to
367 74 Tg C yr⁻¹ and 126 Tg C yr⁻¹ assuming a constant, increasing and decreasing harvest scenarios,
368 respectively (excluding AR). This means that, excluding the substitution benefits and avoided
369 emissions from the use of harvested wood products (Lemprière et al. 2013, Kurz et al. 2016, and
370 Smyth et al. 2016):

371 (a) With a 20% harvest reduction, the NSE increases by 15% compared to the historical period,
372 but the ratio between NSE and NPP remains the same (i.e., the efficiency of the system,
373 equal to about 18%).

374 (b) With a constant harvest, the NSE decreases by 9% compared to the historical period and
375 the ratio with NPP decreases to 15%.

376 (c) With a 20% harvest increase, the NSE decreases by 32% compared to the historical period
377 and the ratio with NPP decreases to 11%.

378 FW varies proportionally to the harvest scenarios, according to the historical data 2000 – 2012.
379 Therefore, reducing the harvest by 20% will decrease the energy potential of the FW proportionally
380 and, vice versa, increasing the harvest by 20% will increase the energy potential of the FW.

381 Several studies suggest a significant increase in harvest removals at EU level for the next decades,
382 mainly due to increasing wood demand for renewable energy production, i.e., the FW demand
383 (Mantau et al., 2010; UN, UNECE, FAO, 2011; EC, 2013). The EU Reference Scenario 2016 (EC,
384 2016) anticipates a harvest increase of 9% in 2030 compared to 2005, with a share of wood
385 removed for energy production increasing from 18% in 2005 to 28% in 2030. According to the
386 same study, because of ageing managed forests, this would result in a 30% decline of the forest C
387 sink in 2030, compared to 2005. In our study, increasing the harvest by 20% resulted in a slightly
388 larger reduction of the C sink, equal to about 38%. Since, in the increased harvest scenario, the
389 HWP C sink equals 13 Tg C yr⁻¹, reducing the share of IRW, further increases in the FW
390 production, would also further reduce the total C sink.

391 The average annual NBP on AR lands from 1990 to 2012 is equal to 12 Tg C yr⁻¹, i.e., about 62%
392 of the AR NPP. Assuming different afforestation rates from 2012 to 2030, the final NBP in 2030
393 is equal to 26 Tg C yr⁻¹, 27 Tg C yr⁻¹ and 25 Tg C yr⁻¹, with a constant, increasing and decreasing
394 AR rate, respectively (Table 3). Compared with the historical period, the ratio between NPP and
395 NBP considerably decreases (about -46%), because the potential amount of harvest on AR lands

396 increases from 1 Tg C yr⁻¹ for the historical period, to about 6 Tg C yr⁻¹ in 2030 for all three AR
397 scenarios. While the amount of wood available for harvest until 2012 is negligible (because of the
398 young age of the new forests established since 1990), in 2030, the potential amount of harvest
399 from AR increases, but even then it can only provide less than 6% of the total EU harvest. In our
400 study, we assumed that this amount was mainly used as FW, i.e., the C was immediately oxidized.
401 A further potential amount of harvest, eventually used as FW or IRW, can be provided by the
402 biomass removed from deforested areas, equal on average to about 5 Tg C yr⁻¹ for the historical
403 period. Due to the lack of detailed information on this use, this amount, equal to about 20 M m³
404 yr⁻¹ (i.e., about 4% of the average amount of harvest from 2000 to 2012), was quantified but not
405 accounted in the sum of the total roundwood removals and included in the total emissions due to
406 deforestation (see Figure 2 and Figure 1S). This simplified assumption is consistent with the 2013
407 IPCC KP LULUCF Supplement (Hiraishi et al., 2014), which suggests to assume an instantaneous
408 oxidation of the harvest originating from deforestation. On the opposite, when assuming that this
409 amount is used as FW or IRW, we should reduce the amount of living biomass removed through
410 other management practices (see Figure 1S, arrows (E), (F), (G)). This would slightly increase the
411 living biomass C stock (see Tab 1S: from 7,228 Tg C to 7,233 Tg C, i.e., + 0.07% yr⁻¹) and, as a
412 consequence, the NBP of the FM area, but it would not affect the direct emissions due to FW and
413 to the decay process affecting IRW, since the absolute amount of FW and IRW would not change.
414 Adding to the previous estimates the C sink related to AR, the total NSE of the forest system in
415 2030 is equal to 126 Tg C yr⁻¹, 101 Tg C yr⁻¹ and 151 Tg C yr⁻¹, assuming a constant (harvest and
416 AR rate), increasing and decreasing scenario (see Table 1S). Compared with the historical period
417 (with a total NSE equal to 122 Tg C yr⁻¹) these values are slightly higher (+3%), lower (-17%) and
418 higher (+23%), for the constant, increasing and decreasing harvest and AR scenarios, respectively.
419 Looking at the constant harvest and AR scenarios, these results suggest that the decreasing C sink
420 detected on the FM area is partly compensated by the increasing C sink on the afforested area.
421 These results are based on the assumption that the highest harvest demand is combined with an
422 increasing AR rate, and vice versa. Different combinations of harvest and AR rate however may
423 also be possible (see the Tab. 4) but, excluding the FW energy potential, the maximum C sink is
424 always linked to a reduction of the amount of harvest provided by FM and the minimum C sink to
425 an increasing harvest scenario. Of course, different assumptions about the share of FW and IRW,
426 a detailed analysis of the FW mitigation potential and of the substitution of other materials with

427 wood products (Sathre and O'Connor, 2010, Lemprière et al., 2013, Smyth et al., 2014 and 2016;
428 Kurz et al. 2016), not considered by our study, may yield different results.
429 [Tab. 4]

430 **3.2. Carbon balance at country level**

431 Figure 4 shows, for each country, the average forest ecosystem balance (i.e., the difference
432 between the NPP and R_h , harvest and natural disturbances) estimated by CBM for the FM area, for
433 the historical period 2000 – 2012. The NPP (represented by the green background in Figure 4)
434 ranges from 2.7 Mg C ha⁻¹ yr⁻¹ for Finland to 9.4 Mg C ha⁻¹ yr⁻¹ for Ireland; the EU average is 4.5
435 Mg C ha⁻¹ yr⁻¹. The lower values estimated for Finland and Spain (3.1 Mg C ha⁻¹ yr⁻¹) are probably
436 due to specific climatic constraints, that limit the growing season in northern Europe and in the
437 Mediterranean area (Jarvis and Linder, 2000; Kramer et al., 2000). For Ireland, the high estimated
438 NPP is probably due to the favorable climate as well as the use of intensive silviculture and fast
439 growing species, such as Sitka spruce (Ireland, 2014).

440 The total losses due to natural processes, such as the decomposition of organic matter, fires and
441 human activities (i.e., harvest, orange slice of each external pie in Figure 4) vary between -2.2 Mg
442 C ha⁻¹ yr⁻¹ in Finland and -8.2 Mg C ha⁻¹ yr⁻¹ in Ireland. The EU average is -3.8 Mg C ha⁻¹ yr⁻¹. As
443 expected, these losses vary proportionally to the absolute NPP value, and on average the total
444 losses amount to about 83% of the NPP. The highest proportion of losses was estimated for
445 Belgium (>95% of the NPP) and the lowest for the UK (<70% of the NPP).

446 The average NBP (white internal pie in Figure 4) is equal to the difference between the average
447 NPP minus the losses due to respiration (R_h), harvest (H) and disturbances (D) and varies between
448 0.1 Mg C ha⁻¹ yr⁻¹ estimated for Belgium and 2.4 Mg C ha⁻¹ yr⁻¹ for UK. Adding to the NBP the
449 HWP net sink (also highlighted by the external orange pies on Figure 4), we can estimate the NSE
450 (labels in Figure 4). This amount varies between 0.1 Mg C ha⁻¹ yr⁻¹ in Belgium and 2.7 Mg C ha⁻¹
451 yr⁻¹ in the UK.

452 Since forest losses are due to the combined effect of natural processes and harvest and they directly
453 affect the final NEP, a more detailed analysis of these parameters may provide useful information.
454 [Figure 4]

455 [Figure 5]

456 In Figure 5 we distinguished the relative amount of C losses due to 9 different processes, including
457 natural (i.e., fires and release of C due to the decomposition of DOM and soil pools) and human
458 factors (i.e., harvest activities) and we estimated the percentage loss of the total NPP due to each
459 process. The largest release of C to the atmosphere from the forest ecosystem is due to the natural
460 decomposition of dead wood and litter pools (i.e., DOM → atmosphere). In all countries, this
461 covers at least 37% of total losses while at the EU level it equals 51% of total NPP.

462 The second factor contributing to the total absolute amount of losses is generally represented by
463 human activities, i.e., the use of the merchantable wood components as industrial roundwood.
464 Unlike the previous factor, the relative contribution of this factor varies considerably among
465 countries. In some cases, this may represent more than 20% of the total NPP (e.g., Belgium), but
466 in other countries this share may be less than 3% (i.e., Greece and Italy). At the EU level,
467 merchantable wood use represents about 12% of total NPP.

468 Releases of C from soil to the atmosphere represent the third factor contributing to the total losses
469 (on average 13% of the total NPP). Of course, due to the lack of data, and similarly to other soil
470 models (UN, UNECE-FAO, 2011), the results provided by CBM may be influenced by uncertainty
471 in the model initialization that may directly affect the estimate of the C stock change on this pool
472 (Kurz et al., 2009; Pilli et al., 2013). The carbon balance at the country level, in particular for soil
473 and DOM, is also affected by local climatic conditions. In our modelling framework, we linked
474 the forest area to specific CLUs, associated with values of mean annual temperature and total
475 annual precipitation (the CLU's mean annual temperatures range from -7.5 to +17.5°). In CBM
476 the decomposition rate for each DOM pool is modelled using a temperature-dependent decay rate
477 (Kurz et al., 2009) which allowed us to consider the effect of regional climatic on decay. Due to
478 the lack of data, we did not differentiate biomass turnover rates by region.

479 For all EU countries, further losses are due to the use of wood for energy. While the IRW is
480 generally provided by the merchantable wood components (or, in some cases, by salvage logging
481 after storms). based on our assumptions (see also Figure 1S), the FW may be provided through
482 three different sources of materials: merchantable components (e.g., from coppices or early
483 thinnings), other wood components (mainly branches harvested simultaneously with merchantable
484 wood used as IRW) or standing dead trees (i.e., snags, even as salvage logging after fires). The

485 relative share of these three sources varies considerably among countries but it is generally < 5%.
486 In few countries, the total losses due to the use of wood for energy exceeds 8% of the total NPP
487 (e.g., France), but at the EU level equals, on average, 4%.

488 The total losses due to natural disturbances were only accounted for in 22 countries, while 4
489 countries do not report natural disturbance events. At the EU level, for the historical period 2000
490 – 2012, these represent about 1% of the total NPP. In some countries, however, this percentage
491 may represent, on average, more than 2%. This is the case of Austria, due to the effect of storms
492 and insect attacks, and Portugal due to fires. Natural disturbances may cause direct losses, due to
493 the biomass and dead organic matter burned by fires (i.e., a direct emission of C to the atmosphere)
494 or indirect losses from the forest ecosystem, due to the salvage of logging residues, after the
495 disturbance events or the decay of biomass that was killed during the natural disturbance and
496 transferred to the DOM pools (Pilli et al., 2016b).

497 We also report the relative amount of losses due to deforestation on the FM area. At the EU level,
498 deforestation represents less than 2% of the total NPP and, for the majority of the countries, less
499 than <1%. In few cases, however, due to the relative large amount of deforestation compared with
500 the total FM area (based on the KP CRF tables, 2014), the deforestation losses may be higher than
501 4% (France and Luxemburg) and, for Netherlands, equal to 19% of the total NPP. This country
502 reports an annual rate of deforestation equal to 2,000 ha yr⁻¹ (KP CRF, 2014), i.e., about 6% of the
503 FM area.

504 **3.3. Carbon turnover time**

505 Overall, our study suggests that, in the majority of European countries, the build-up of biomass
506 stocks results from woody NPP exceeding losses by harvest and natural disturbances, as
507 highlighted by Ciais et al. (2008). While some estimate biomass carbon stocks as a function of
508 NPP minus removals by harvest, this simplified assumption does not take into account the effect
509 of deforestation and other natural disturbances. Some authors highlighted the long-time historical
510 evolution (about 50 years) of this relationship at the EU level, assuming that the slope of the
511 regression line between carbon stocks and NPP was similar between different countries (Ciais et
512 al., 2008; Luyssaert et al., 2010). However, looking at this relationship at the country level, our
513 study shows some interesting differences. The relation between biomass (y) and NPP (x) can be
514 described by a simple linear model: $y = a + \tau * x$, where τ represents the evolution of the dependent

515 variable as a function of the NPP and the time that carbon resides in the forest system, i.e. the
516 turnover time (in yr., as described by Carvalhais et al., 2014). Through a statistical analysis, using
517 the R^2 selection method to identify the model with the largest coefficient of determination for each
518 number of variables considered, we can estimate both a and τ (and their $\pm 95\%$ confidence
519 intervals) at country level, considering both the aboveground living biomass and the total standing
520 stock (including living biomass, DOM and soil). Looking at the living biomass (Figure 6, panel A
521 and B), we can identify at least three groups of countries and turnover times: the largest group
522 includes 20 countries with τ between 5 and 70 yrs. (for the majority of these countries, $20 \leq \tau \leq 50$,
523 with no statistical difference). All these countries have both an increasing NPP and biomass stock
524 from 2000 to 2012, such as an increasing turnover time during the same period. For three countries
525 (Italy, Lithuania and UK) we estimated a turnover rate > 70 , statistically different from the
526 previous group. For Belgium, France and Hungary, the turnover time < 5 yrs (in two cases negative)
527 highlights the countries where we estimated a decreasing NPP (and for Belgium a decreasing
528 biomass against time) and a quite constant turnover time from 2000 to 2012. As expected, the
529 turnover time estimated for the total C stock is on average 16% higher than the biomass turnover
530 time (Figure 6, panel C and D). For the Mediterranean countries, where climatic conditions and
531 the effect of fires may reduce the turnover time of the dead wood and litter pool and for a few other
532 countries (i.e., Denmark and Ireland, due to the young age structure) the turnover time of the total
533 biomass is lower than the previous one. For 17 out of 25 countries (for Belgium the analysis was
534 not significant), τ was between 10 and 80 years and in two cases it was again < 0 . Due to the effect
535 of management practices and natural turnover rate (i.e., self-thinnings), the average turnover time
536 estimated for the living biomass, equal to 16.4 yrs. (± 0.6 yrs.) is significantly lower than the
537 average turnover time estimated for the total stock (25.9 ± 0.8 yrs.). This last value is consistent
538 with the overall mean global turnover rate estimated by Carvalhais et al. (2014), equal to $23 \pm \frac{7}{4}$
539 years. Despite the similarities identified for many countries, we highlighted some statistical
540 difference of the turnover time, suggesting that contrary to the assumptions by Ciais et al. (2008)
541 and Luyssaert et al. (2010) this relationship cannot be assumed constant for all European countries.
542 Country-specific forest conditions related to management practices, harvest rates, past age
543 structures and forest composition, have varying impacts on the evolution of biomass stock and
544 NPP. Above all, the turnover time estimated for the living biomass seems to be related to the age
545 structure and management practices. Indeed, countries with older forests (such as UK) and longer

546 rotation lengths applied to clearcuts, have the highest τ (>80 yrs). In Italy, where clearcuts are often
547 replaced by other silvicultural practices such as thinnings or partial cuts and where a large part of
548 the forest area (mainly coppices) is aging because of a relative low harvest demand (Pilli et al.,
549 2013), τ is also over 80 years. An increasing harvest demand, generally combined with a larger use
550 of final cuts and shorter rotation lengths, gradually reduces the turnover time and the average age
551 of the forests. Moreover, exceptional natural disturbances, such as windstorms or fires, may further
552 modify this parameter. Due to the complex interaction between these variables, further analyses
553 are needed.

554 [Figure 6]

555 **3.4. Uncertainties**

556 Quantifying the overall uncertainty of these estimates is challenging because of the complexity of
557 our analysis. Indeed, the EU estimate is obtained by summing up 26 country level estimates. For
558 each country, the C stock of each pool is obtained by multiplying the area of each age class (further
559 distinguished between different FTs and administrative units) with the corresponding volume and
560 by applying a species-specific equation to convert the merchantable volume to total aboveground
561 biomass (used as a biomass expansion factor). Therefore, we first consider the uncertainty related
562 to the area, the volume and the equation applied to each FT.

563 The uncertainty of the area estimates varies among countries. Generally, the information from east
564 European countries have a higher uncertainty because of low updating frequency or heterogeneous
565 data sources (e.g. for forest in Romania, Blujdea, pers. com.), while the most recent NFIs have
566 lower uncertainty (e.g., <1%, at the country level, e.g. for Germany or Italy). Considering that the
567 average reference year of the NFIs applied by our analysis is 2003 (see Tab. 1) we assume that the
568 uncertainty of the area (at the country level) is equal to 2%.

569 The volume reported by the yield tables applied by CBM derives from a linear interpolation of the
570 volume and increment data reported in each NFI. The uncertainty on these data (when reported)
571 may vary considerably, depending on the relative abundance of each FT (i.e., by the number of
572 plots) but, based on an overview of the NFIs applied to our analysis, we may assume that it is equal
573 to 5% (in most cases, however, the uncertainty estimate is missing).

574 Estimating the uncertainty related to the biomass equations applied to each FT is even more
575 challenging. These equations were preliminarily selected comparing some values available at
576 country level (for 8 out of 26 countries, considering the main FTs and biomass compartments)
577 with the values estimated through specific multinomial models developed by Boudewyn et al.
578 (2007). For each FT, administrative region and biomass compartment, we selected the equation
579 that minimizes the average sum of squares of the differences between the values predicted by the
580 equations and reported in the literature (see Pilli et al., 2013). Therefore, the uncertainty on this
581 component is related to both the uncertainty of the original values reported in the literature and of
582 the multinomial model selected by our analysis. The first uncertainty may vary considerably,
583 depending on the original data source selected for each country. For example, based on NFI data
584 reported for Italy, the standard error of the aboveground biomass estimated at the regional level
585 may vary between less than 3% to more than 100% (Gasparini and Tabacchi, 2011). For Germany,
586 and for other countries where no detailed information on the biomass was available and this
587 parameter was estimated through allometric equations applied to the original NFI data, the
588 uncertainty may also be higher.

589 The uncertainty related to the capacity of each model to represent the original values was estimated
590 through the mean percentage difference between the predicted and observed values. This may vary
591 considerably, depending on the forest compartment and the species. For Italy, the mean percentage
592 difference between the total aboveground biomass estimated using the selected stand-level
593 equations and the biomass reported by NFI was $\pm 3.8\%$ (Pilli et al., 2013). For other countries, we
594 obtained similar results. Where no data were provided by the literature (i.e., for 18 out of 26
595 countries), we applied the same equations selected for other countries, for similar FTs. Of course,
596 this may further increase the uncertainty of our estimates.

597 Attributing an overall uncertainty equal to 2% (U_A), 5% (U_V) and 3.8% (U_B) to the input data on
598 the area, the volume and the expansion of the volume to total living biomass, respectively, and
599 without considering further possible uncertainties (i.e., of the original input data reported by NFIs
600 and of singular FTs and regions), and actual correlations between NFI measured variables, the
601 overall uncertainty on the living biomass stock may be estimated as (Penman et al., 2003):

602
$$U = \sqrt{U_A^2 + U_V^2 + U_B^2} = 6.6\% \quad \text{Eq. (4)}$$

603 The estimates on the C stock change and, indirectly on the fluxes, are affected by additional
604 uncertainties about the amount of harvest and the amount of area affected by natural disturbances.
605 Comparing different data sources such as NFIs or FAOSTAT data, Pilli et al. (2015) highlighted
606 the inconsistencies of harvest statistics and the uncertainties of these data, which may vary
607 considerably among countries. For example, the Italian NFI reports a 13.3% uncertainty on the
608 amount of harvest, while the German NFI reports a 1.2% overall uncertainty. This also affects the
609 uncertainty on the net-emissions associated to the HWP pool, which also depends on the
610 initialization and on the decay rate for each wood commodity (i.e., sawnwoods, wood based panels
611 and paper and paper board), on the relative fraction of HWP coming from domestic forests and on
612 other sources of uncertainty (described in detail by the 2013 IPCC KP LULUCF Supplement,
613 Hiraishi et al., 2014).

614 Quantifying the uncertainty of the input data for natural disturbances is even more challenging.
615 Due to the lack of data, the uncertainty of land-use change (i.e., afforestation and deforestation),
616 dead organic matter and soil C pools is even higher. Based on the information reported in the
617 countries' Greenhouse Gas Inventories, for the forest land category, the uncertainty reported by
618 the individual EU member states ranges between 15-77% for the living biomass, between 22-113%
619 for dead organic matter and between 13-62% for mineral soils (Blujdea et al., 2015).

620 Due to the high number of variables and countries considered by our study, the only way to
621 estimate the overall uncertainty would be through a Monte Carlo approach, as proposed for British
622 Columbia by Metsaranta et al. (2010). However, this would require further data at country level.
623 Unfortunately, much of this information is often not available or simply does not exist. The yield
624 curves used in CBM are based on field observations, and thus some impacts of environmental
625 changes are represented in the model. However, many of these curves are based on plot
626 measurements over the past decades, and we therefore cannot make any assumptions about how
627 representative the existing yield curves will be for future (2030) environmental conditions. Since
628 CBM does not account for changes in climate, CO₂ concentration, N deposition etc., there is an
629 additional source of uncertainty in the projections due to missing representation of processes that
630 may lead to an increasing or decreasing trend of NPP and R_h , depending on the initial climatic
631 conditions (Smith et al., 2016, Kurz et al., 2013).

632 Our NPP estimates may be compared with other values reported in the literature. Āupek et al.
633 (2010) report the NPP for 24 EU countries (Greece and Croatia were not considered by that study),
634 based on the estimates provided by four different models, for the period 2000 – 2005 (see Tab.
635 3S). Between these models, EFISCEN, i.e. an inventory-based model conceptually similar to CBM
636 (Verkerk et al., 2011), generally estimated a NPP higher than CBM for all countries except Ireland,
637 Slovenia and Spain; the average NPP estimated by this model is 17% higher than our estimate but
638 it is also combined with a higher contribution of R_h , equal on average to 72% in EFISCEN against
639 64% in CBM. ORCHIDEE, a process-oriented model, and BIOME-BGC a climate-based
640 ecosystem model, generally reported a higher NPP than CBM: on average +8% and +16%, for
641 BIOME-BGC and ORCHIDEE, respectively. JULES, i.e. a process-based surface exchange
642 scheme similar to ORCHIDEE, generally estimated a lower NPP than CBM (on average -24% at
643 EU level). Many reasons, such as the use of different data sources, different assumptions on the
644 forest area, the effect of the main natural disturbances (generally not considered by EFISCEN) and
645 silvicultural practices (generally neglected by climate-based ecosystem models) may explain these
646 differences. Looking to the standard deviation estimated by these data series, however, the average
647 NPP estimated by these models ($5.54 \pm 1.19 \text{ Mg C ha}^{-1} \text{ yr}^{-1}$) is not statistically different from the
648 average value estimated by CBM ($5.15 \pm 1.42 \text{ Mg C ha}^{-1} \text{ yr}^{-1}$).
649 Further studies will focus on a specific assessment of these uncertainties, but, in the meantime, to
650 overcome these limitations, we successfully validated our results at the country (for Lithuania) and
651 regional level (Pilli et al., 2014a) and against independent data sources (Pilli et al., 2016a; Pilli et
652 al., 2013).

653

654 **4. Conclusions**

655 This study provides a comprehensive analysis of the main carbon stocks and fluxes in the European
656 forest sector, including country-level details, accounting for forest land-use change, forest
657 management, carbon storage in HWP, and the effects of the main natural disturbances. In
658 comparison to two previous studies based on the same model (Pilli et al., 2016a and b), the present
659 work quantifies in detail the C fluxes and stocks between the forest pools and with the atmosphere,
660 including NPP, NSE and R_h , up to 2030 and under different model scenarios. For the historical

661 period (2000 – 2012 average), we estimated an NPP of 639 Tg C yr⁻¹ for total EU forests,
662 consistently with estimates from other studies, and a NSE of 122 Tg C yr⁻¹ (i.e., about 19% of the
663 NPP) for the whole forest system, including HWP. Compared with the historic period, the NSE in
664 2030 is similar (+3%), lower (-17%) and higher (+23%), when assuming a constant, increasing
665 and decreasing scenario for both harvest and afforestation rates. In this study we did not quantify
666 the avoided emissions from the use of wood products and fire wood, and changes in NSE may not
667 be indicative of the overall changes in GHG balance resulting from changes in harvest rates.
668 Increased harvest rates will reduce NSE but provide more wood products that can be used to
669 substitute other emissions-intensive materials and fossil fuels.

670 For the forest area existing in 1990 (i.e., the FM area), we show a decline in the C sink, assuming
671 a constant harvest scenario, due to increasing releases from decomposition (R_h +13%) as DOM
672 pools increase with increasing biomass stocks. This confirms the results of earlier studies,
673 suggesting some signs of C sink saturation in European forest biomass-(Nabuurs et al. 2013). This
674 result, however, should be combined with further analysis, accounting for the ongoing
675 environmental changes, which could have impacts on NPP and R_h that are not represented in the
676 inventory-based model used in this analysis (Kurz et al. 2013). The non-proportional effect of
677 different harvest scenarios on the 2030 C sink of the FM area suggests that the overall growth of
678 the European forests is slightly decreasing, and by increasing the harvest demand by 20%, we are
679 approaching the maximum harvest potential of the pre-1990 forest area.

680 Overall, our study shows that forest management succeeds in capturing, on average, 12% of NPP,
681 as merchantable wood components, while still allowing ecosystem C stocks to increase. At the
682 country level, we highlighted some statistical differences, suggesting that the relationship between
683 biomass stock and NPP cannot be assumed constant for all EU countries. Specific forest
684 conditions, such as the harvest rate, the age structure and forest composition, may affect the
685 country-specific evolution of biomass, dead organic matter and soil stocks.

686 Modelling the wide variety of forest structures and management practices in EU forest is
687 challenging. Most of earlier studies focused on specific aspects, e.g. the impact of different policies
688 (e.g., Böttcher et al., 2012), the effect of climate change and management on even-aged forests
689 (Schelhaas et al., 2015), the biomass potential in relation to ecosystem services (Verkerk et al.,
690 2011; Verkerk et al., 2014) and the effect of natural disturbances (i.e., Seidl et al., 2014). By using

691 a flexible model, which allows to accommodate a wide variety of management practices, input
692 data requirements and natural disturbance events, we managed to explore the forest C dynamics
693 under different management scenarios with a consistent approach in 26 different countries.

694 Along with results provided by other models, the detailed picture of the C fluxes condensed in this
695 study may represent both a benchmark for similar studies and the basis for broader analyses (e.g.
696 including substitution effects of wood) on the mitigation potential of the EU forest sector.

697

698 **5. Author contribution**

699 RP carried out the data analysis, in collaboration with GG. WAK and AC helped in the design of
700 the study and the interpretation of results and together with RP and GG wrote the manuscript, in
701 collaboration with GF. All authors read and approved the final manuscript.

702 **6. Competing interests**

703 The authors declare that they have no competing interests.

704 **7. Disclaimer**

705 The views expressed are purely those of the authors and may not in any circumstances be regarded
706 as stating an official position of the European Commission or Natural Resources Canada.

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Tab. 1: Main parameters applied in the Carbon Budget Model (CBM). Detailed information are in Pilli et al. (2016a) with the exception of Bulgaria, Ireland, Poland and Romania (see the table's notes). The table reports: the National Forest Inventory (NFI) original reference year; the starting year of model application; the base Forest Management area (FM, i.e., area of the existing forests in 1990); the additional natural disturbance events considered in the model (F, fire; S storms and ice sleets; I insect attacks).

COUNTRY	Original NFI year	Time Step 0 (yr)	CBM FM area (Mha)²	Natural Disturbances
Austria	2008	1998	3.2	S + I
Belgium	1999	1999	0.7	-
Bulgaria³	2010	2000	3.6	S
Croatia	2006 ¹	1996	2.0	F
Czech Republic	2000	2000	2.6	-
Denmark	2004	1994	0.5	S
Estonia	2000	2000	2.1	S
Finland	1999	1999	21.7	S
France	2008	1998	14.6	S
Germany	2002	1992	10.6	S
Greece	1992 ¹	1992	1.2	F
Hungary	2008	1998	1.6	-
Ireland³	2005	1995	0.5	F
Italy	2005	1995	7.4	F
Latvia	2009	1999	3.2	S
Lithuania	2006	1996	2.0	S + F+I
Luxembourg	1999	1999	0.1	S
Netherlands	1997	1997	0.3	S
Poland⁴	2010	2000	9.1	S
Portugal	2005	1995	3.6	F
Romania³	2010	1990	6.3	-
Slovakia	2000	2000	1.9	S + F
Slovenia	2000	2000	1.1	S + F
Spain	2002	1992	12.6	F
Sweden	2006	1996	22.6	S
United Kingdom	1997	1997	2.5	S + F
EU			138.0	22 countries
1: analysis based on data from Forest Management Plans.				
2: FM area used by CBM at time step 0 (see Pilli et al., 2016a for further details).				
3: new NFI input data (directly provided by the countries) and methodological assumptions (see Pilli et al., 2016c for details) were applied for Bulgaria, Ireland and Romania, as compared to Pilli et al. 2016b.				
4: new NFI input data, reported by the second NFI cycle (2010-2014, Bureau for Forest Management and Geodesy, 2015) were used for Poland, as compared to Pilli et al. 2016b.				

Tab. 2: assumptions and main parameters for the model scenarios. FM: Forest Management area, i.e., area of the existing forests in 1990. AR: Afforestation and Reforestation occurred since 1990).

SCENARIOS	Area	Nat Disturbances	Harvest	Deforestation
Constant Harvest	Constant FM area – Def.	Yes, if relevant, from 2000 to 2011 + average constant fire from 2013 to 2030	Historical + Constant from 2013	Yes, historical + constant since 2013
Harvest +20%			Historical + increasing to +20% in 2030	
Harvest -20%			Historical + decreasing to -20% in 2030	
Constant AR	Historical AR rate since 1990 + Constant average AR rate 2013 - 2030	No	Maximum theoretical amount of harvest provided by AR, with constant management practices	No
AR +20%	Historical AR rate since 1990 + increasing to +20% in 2030			
AR -20%	Historical AR rate since 1990 + decreasing to -20% in 2030			

Tab. 3: assumptions and main parameters for the model scenarios, compared with figures from Luysaert et al. (2010) and Karjalainen et al. (2003). FM: Forest Management area, i.e., area of the existing forests in 1990. AR: Afforestation and Reforestation occurred since 1990.

Comparison between		CBM (Tg C yr ⁻¹)	Luysaert ^a (Tg C yr ⁻¹)	Karjalainen ^b (Tg C yr ⁻¹)
NPP	FM	620	520 ± 75	409
	AR	19	-	-
R_h	FM	403	287-527	245
	AR	6	-	-
FELLINGS		138	92 ± 16	79.5
HWP		12	5 ± 3	-
NBP FM (with HWP)	Tot	110	109	-

^a Average for 1990-2005, EU-25

^b Average for 1995-2005, EU-27

Tab. 4: total C sink estimated by our study for the historical period (average 2000-2012) and for 2030 resulting from combining (i) different harvest scenarios (Constant, +20% and -20% in 2030, compared with the historical period) applied to the FM area with (ii) different AR scenarios (Constant, +20% and -20% in 2030, compared with the historical period). Grey cells highlight other possible scenarios, not directly considered by our study. FM: Forest Management area, i.e., area of the existing forests in 1990. AR: Afforestation and Reforestation occurred since 1990. HWP: harvested wood products.

C sink (Tg C yr ⁻¹)		AR			
		Historical (avg 2000- 2012)	Constant (2030)	+20% (2030)	-20% (2030)
FM (including HWP)		12	26	27	25
Historical (avg 2000- 2012)	110	122			
Constant harvest (2030)	100		126	127	125
+20% harvest (2030)	74		100	101	99
-20% harvest (2030)	126		152	153	151

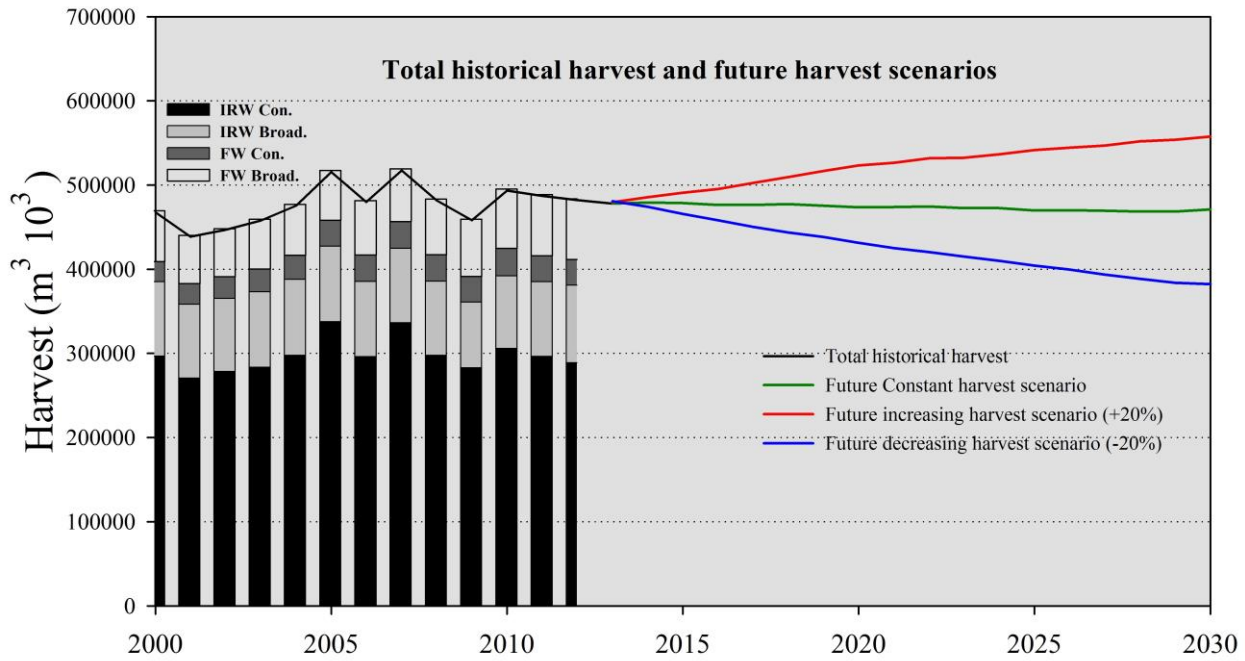


Figure 1: total harvest demand for EU26 ($\text{m}^3 10^3$) for the historical period (2000 – 2012) and for three future scenarios (2013 – 2030), assuming: average constant harvest, increasing harvest demand (i.e., +20% in 2030) and decreasing harvest demand (i.e., -20% in 2030). For the historical period, bars show the share of harvest distinguished between industrial roundwood (IRW) and fuelwood (FW), conifers (Con) and broadleaves (Broad). The same ratios, corrected in proportion to the total harvest demand, were applied to each future harvest scenario.

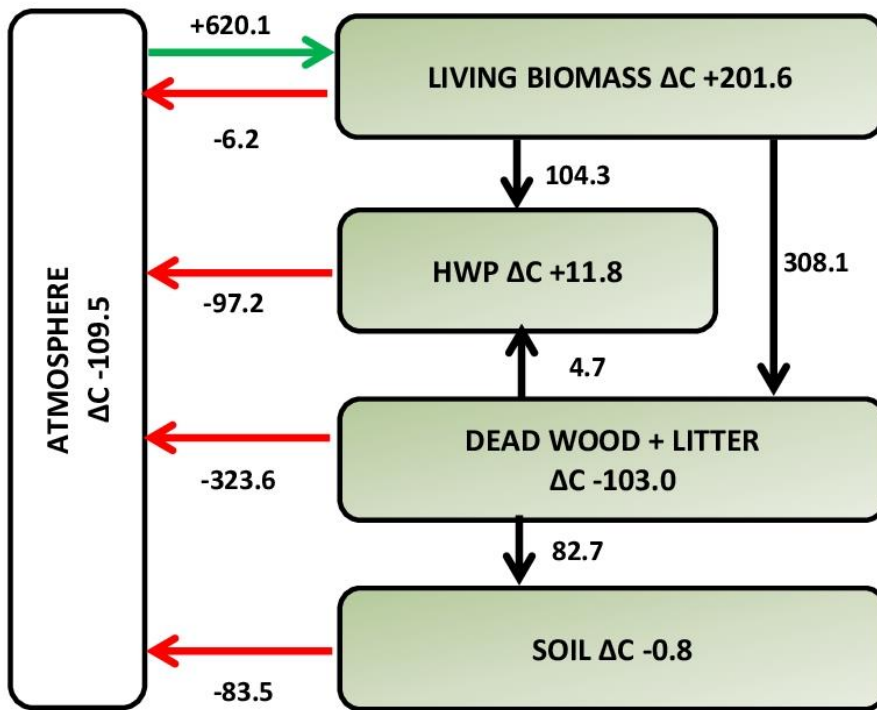


Figure 2: summary of the average C increment and transfers between forest pools and with the atmosphere for the FM area (in Tg C yr⁻¹, for the historical period 2000 – 2012). The pool increments are shown in each box as ΔC , transfers between pools are reported by black arrows and transfers from/to the atmosphere are reported by green and red arrows, respectively (with positive or negative values, reported from a forest perspective).

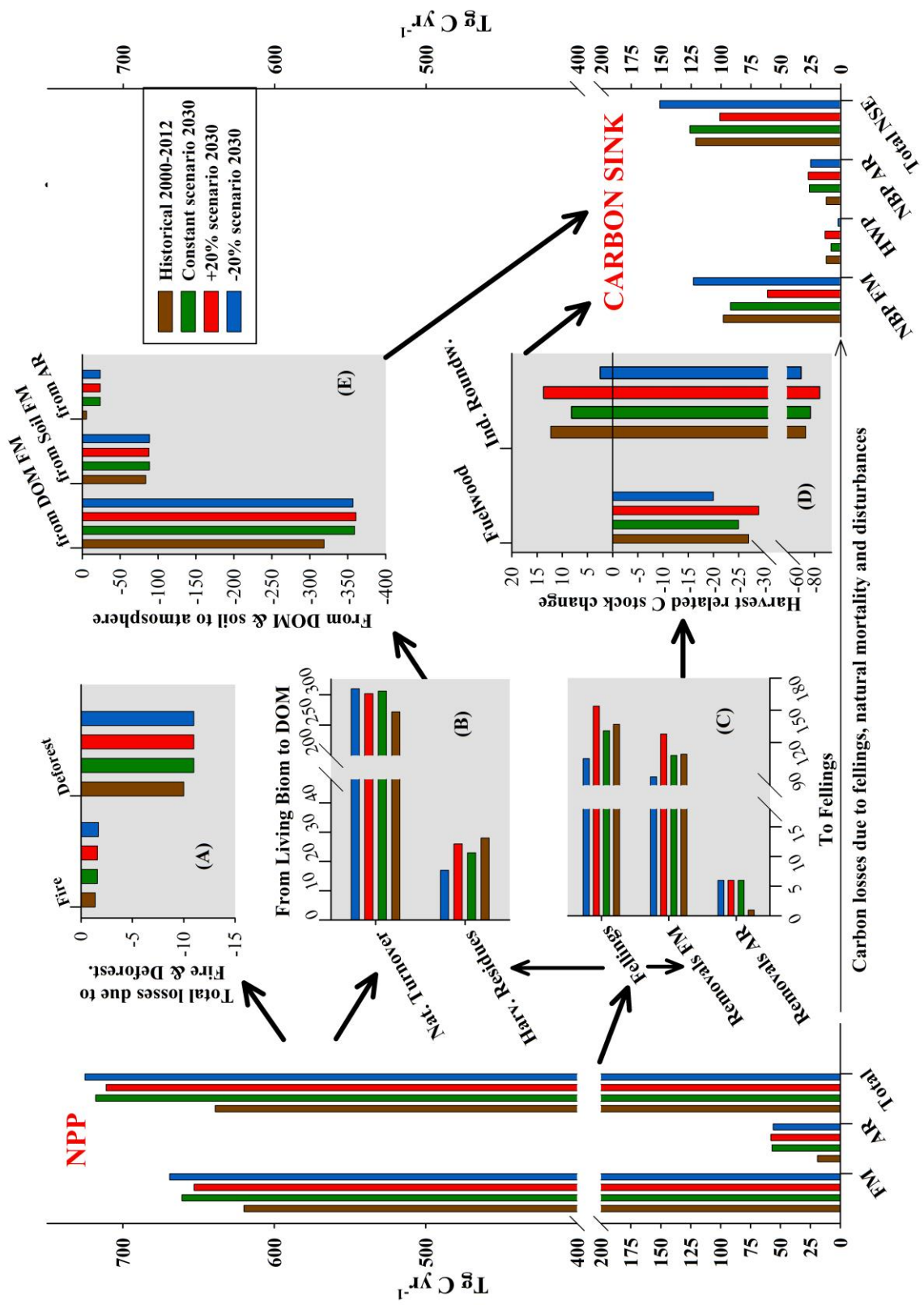


Figure 3: C fluxes for the scenarios: (i) the historical period (average values 2000 – 2012); (ii) the constant scenario (i.e., constant harvest and AR rate); (iii) the increasing scenario (i.e., +20% amount of harvest and AR rate compared to the average historical harvest and AR rate); (iv) the decreasing scenario (i.e., -20% amount of harvest and AR rate compared to the average historical harvest and AR rate). For each scenario, the fluxes were further distinguished between (all values in Tg C yr⁻¹): (*NPP*) the Net Primary Production contributed by the FM area (including deforestation), AR, and total (FM+AR); (*A*) the total losses due to natural disturbances and deforestation (i.e., direct emissions to the atmosphere); (*B*) the fluxes of C from the living biomass to DOM pools (i.e., internal fluxes for the forest ecosystem), further distinguished between fluxes due to self-thinnings and to fellings (i.e., the harvest residues, equal to the difference between fellings and harvest removals); (*C*) the total fluxes of C due to fellings and the harvest C removals provided by the FM area and by different AR scenarios; (*D*) this last flux moves from the forest ecosystem to HWP and may be further distinguished between fuelwood (FW, with a direct emission to the atmosphere, reported with negative values) and industrial roundwood removals (IRW), with negative values referred to the C emissions to the atmosphere (due to the decay rate of IRW products and industrial losses) and positive values referred to the HWP C sink, estimated by Pilli et al. (2015a); (*E*) the total C emissions from DOM and soil pools to the atmosphere (for the FM area) and from the afforested area (AR, including both DOM and soil); (*CARBON SINK*) the final C sink, equal to the NPP minus the emissions reported in panels (*A + D + E*), further distinguished between FM area, HWP (i.e., IRW removals), AR and Total. Positive values refer to an input of C to the forest sector (e.g., NPP) or internal fluxes (e.g., from living biomass to DOM), negative values refer to C losses from the forest sector to the atmosphere (e.g., from DOM and soil to the atmosphere).

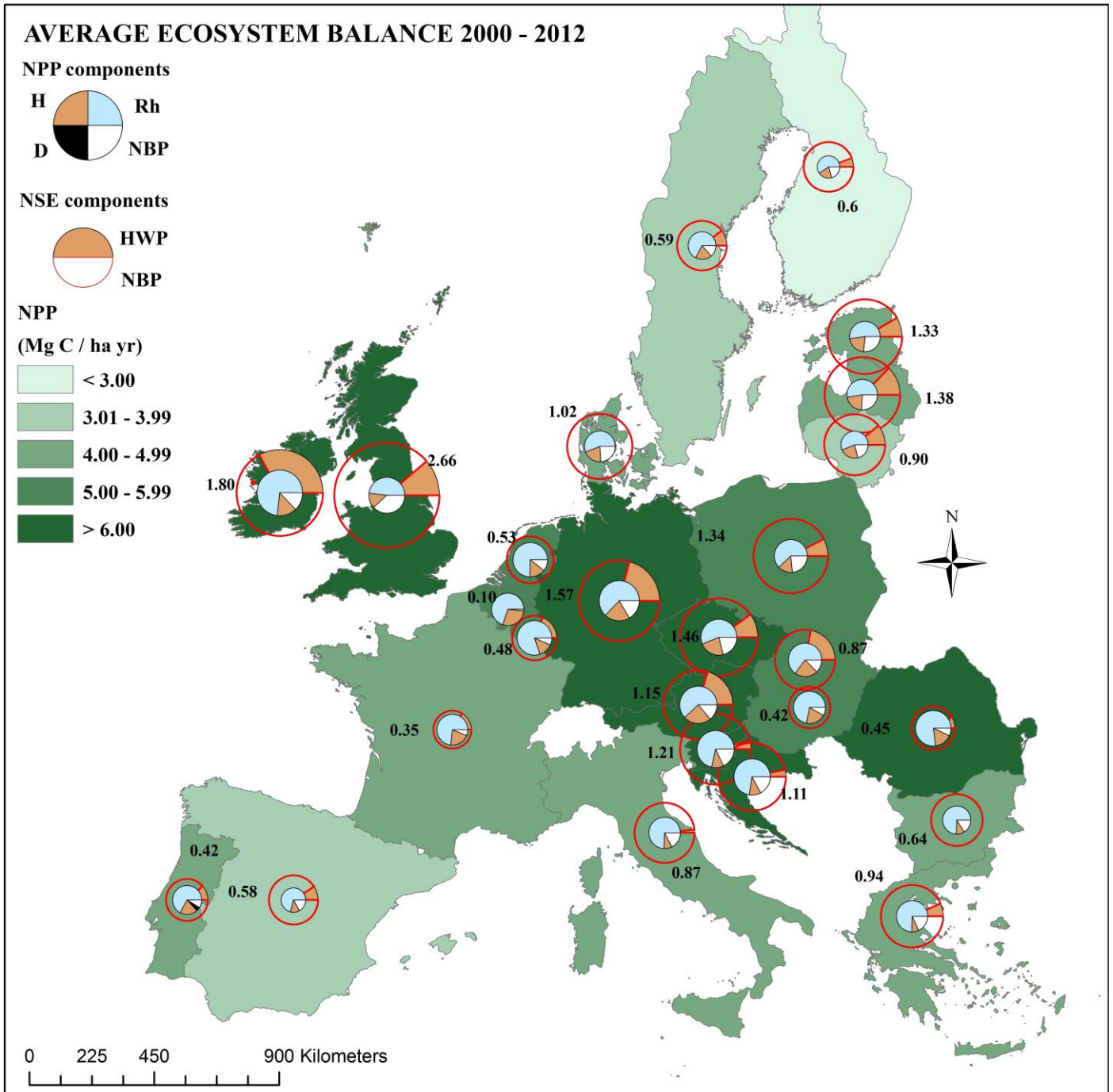


Figure 4: average ecosystem balance of the FM area for the historical period 2000 – 2012. For each country the pies of the internal circles highlight the total losses due to respiration (R_h), harvest (H) and natural disturbances (D), while the average NPP, reported by the green background (in $\text{Mg C ha}^{-1} \text{ yr}^{-1}$) is proportional to the radius of the inner circle. The remaining white internal pie, equal to the difference between the NPP and losses, quantifies the Net Biomass Production (NBP). Adding to this amount the HWP net sink, reported by the external orange pie, we can estimate the Net Sector Exchange (NSE) reported by the black labels (in $\text{Mg C ha}^{-1} \text{ yr}^{-1}$) and proportional to the radius of the external circle.

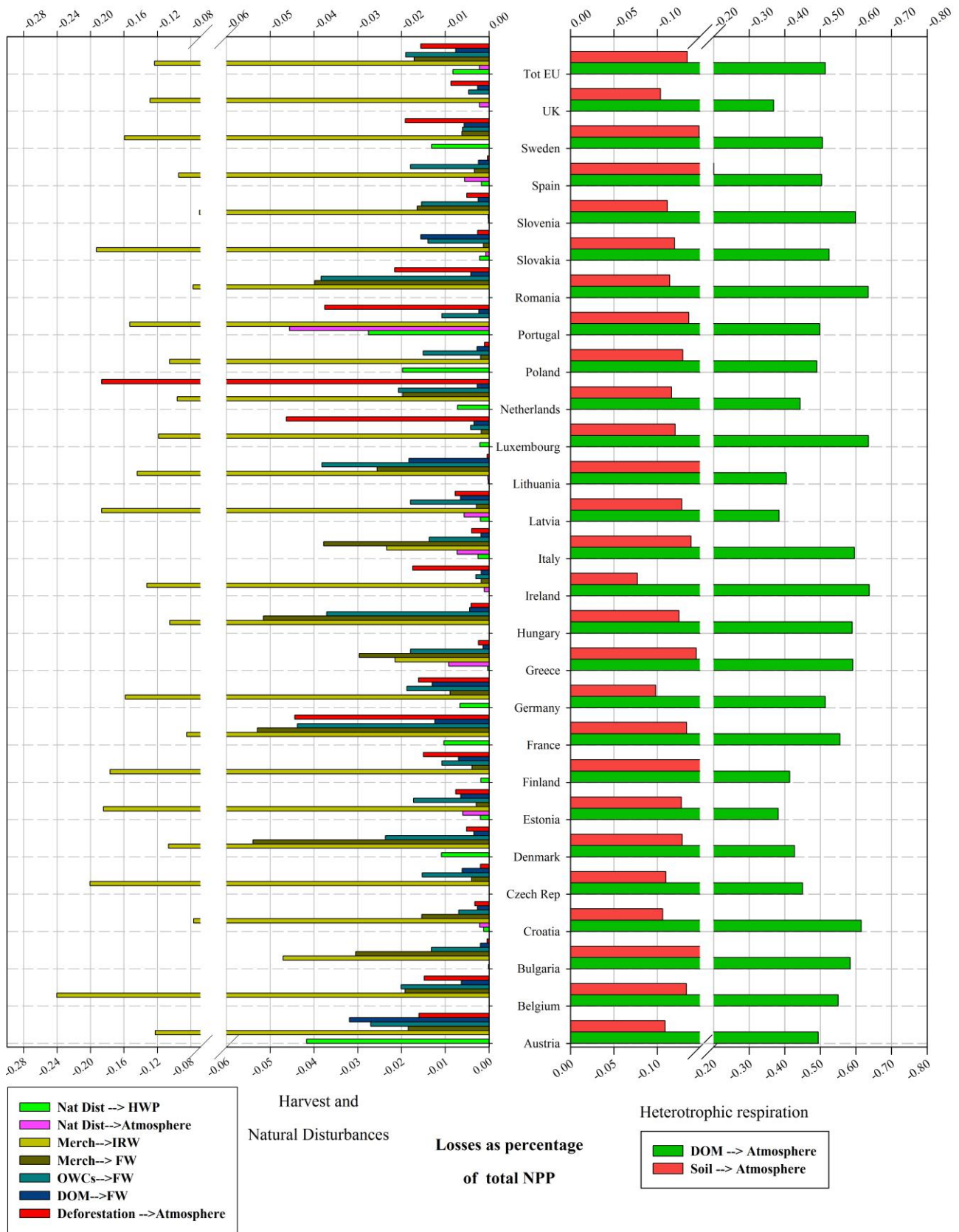


Figure 5: relative amount of C losses estimated as percentage of the total NPP due to (i) the release of C to the atmosphere for the decomposition of DOM and soil pools, on the right panel; and (ii) natural disturbances (i.e., fires), human activities (harvest) and deforestation, on the left panel. Here we report the relative share of losses due to: (i) salvage logging after natural disturbances (Nat Dist → HWP); (ii) release of C to the atmosphere due to natural disturbances

(Nat Dist → Atmosphere); (iii) Merchantable wood used as IRW (Merch → IRW); (iv) merchantable wood used as FW (Merch → FW); (v) other wood components (i.e., branches, tops) used as FW (OWCs → FW); (vi) snags used as FW (DOM → FW); (vii) release of C to the atmosphere due to deforestation (Deforestation → Atmosphere).

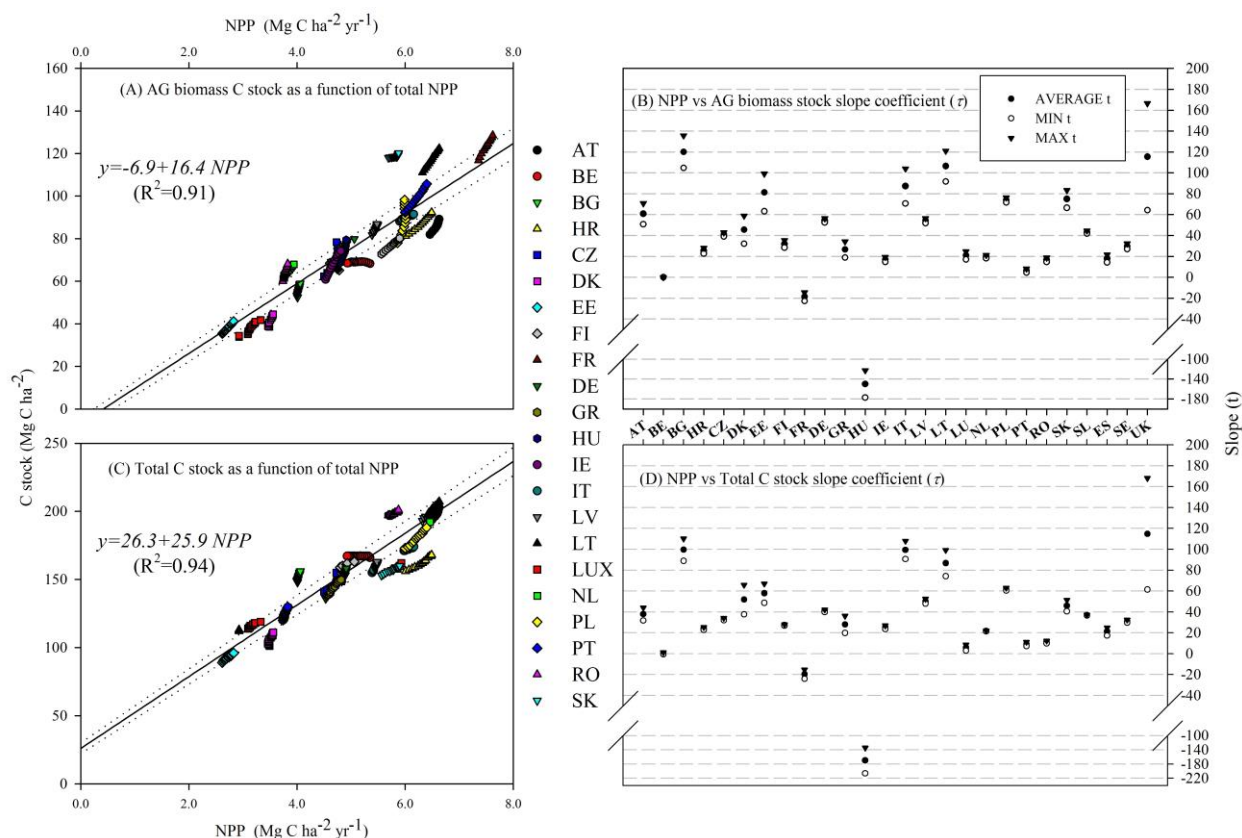


Figure 6: yearly aboveground living biomass (on panel A) and total (on panel C) C stock (Mg C ha^{-1}) as a function of total NPP ($\text{Mg C ha}^{-1} \text{ yr}^{-1}$), for the historical period 2000 – 2012, excluding possible outliers (i.e., years with a distance greater than 3 interquartile ranges from the median (SAS Institute Inc., 1990)) due to extreme events such as exceptional disturbances. Plots B and D report, for each country, the slope ($\tau \pm 95\%$ confidence interval) of the linear regression model ($y = a + \tau x$) applied to the previous values for each country (reported on the x axis). On plots A and C, we also highlighted the regression model estimated, at EU level, including all the countries, with the corresponding equation and coefficient of regression (R^2).