The European forest sector: past and future carbon budget and fluxes 1 under different management scenarios 2 3 Roberto Pilli¹, Giacomo Grassi¹, Werner A. Kurz², Giulia Fiorese¹, Alessandro Cescatti¹ 4 5 6 ¹: European Commission, Joint Research Centre, Directorate D – Sustainable Resources - Bio-Economy Unit, Via E. 7 8 Fermi 2749, I-21027 Ispra (VA), Italy ²: Natural Resources Canada, Canadian Forest Service, Victoria, BC, V8Z 1M5, Canada 9 Correspondence author: roberto.pilli@jrc.ec.europa.eu 10

1. Abstract

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The comprehensive analysis of carbon stocks and fluxes of managed European forests is a prerequisite to quantify their role in biomass production and climate change mitigation. We applied the Carbon Budget Model (CBM) to 26 European (EU) countries, parameterized with country information on the historical forest age structure, management practices, harvest regimes and the main natural disturbances. We modeled the C stocks for the five forest pools plus Harvested Wood Products (HWP), and the fluxes among these pools, from 2000 to 2030. The aim is to quantify, using a consistent modelling framework for all 26 countries, the main C fluxes as affected by landuse changes, natural disturbances and forest management and to assess the impact of specific harvest and afforestation scenarios after 2012 on the mitigation potential of the EU forest sector. Substitution effects and the possible impacts of climate are not included in this analysis. Results show that for the historical period (2000 - 2012) the net primary productivity (NPP) of the forest pools at the EU level is on average equal to 639 Tg C yr⁻¹, the losses are dominated by heterotrophic respiration (409 Tg C yr⁻¹) and removals (110 Tg C yr⁻¹), with direct fire emissions being only 1 Tg C yr⁻¹, leading to a net carbon stock change (i.e. sink) of 110 Tg C yr⁻¹. Fellings also transferred 28 Tg C yr⁻¹ of harvest residues from biomass to dead organic matter pools. The average annual Net Sector Exchange (NSE) of the forest system, i.e. the carbon stock changes in the forest pools including HWP, equals a sink of 122 Tg C yr⁻¹ (i.e., about 19% of the NPP) for the historical period and in 2030 reaches 126 Tg C yr⁻¹, 101 Tg C yr⁻¹ and 151 Tg C yr⁻¹, assuming respectively a constant, increasing (+20%) and decreasing (-20%) scenario of both harvest and afforestation rates compared to the historical period. Under the constant harvest rate scenario, our findings show an incipient aging process of the forests existing in 1990: although NPP is increasing (+7%), heterotrophic respiration is increasing at a greater rate (+13%) and this leads to a decrease of the sink in the forest pools (-6%) in 2030 compared to the historical period. By comparing, for each country, the evolution of the biomass as a function of the NPP (i.e., the turnover time) we highlighted at least three groups of countries and turnover times. This means that, contrary to the assumptions proposed by other authors, this relationship cannot be assumed as a constant for all the EU countries, but specific conditions, such as the harvest rate, the current age structure and forest composition, may contribute to the country-specific evolution of biomass stocks.

- The detailed picture of the C fluxes condensed in this study, and their evolution under different harvest scenarios, may represent both a benchmark for similar studies and a basis for broader
- analyses (e.g. including substitution effects of wood) on the mitigation potential of the EU forest
- 44 sector.

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46 **Keywords**: EU, Net Primary Production, C fluxes, Harvest scenarios, Carbon Budget Model

1. Introduction

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49 Forest management in Europe has a long tradition that has strongly influenced the present species 50 composition (Spiecker, 2003) and it will continue to be the main driver affecting the productivity 51 of European forests for the next decades (Koehl et al., 2010). A comprehensive assessment of the 52 overall carbon stocks and fluxes of managed forests is required to complement the analyses of 53 climate change impacts on forest productivity and composition (e.g. Lindner et al., 2015). Several 54 studies analyzed the European forest carbon budget from different perspectives and over different 55 time periods (Kauppi et al., 1992, Karjalainen et al., 2003), using different approaches, such as 56 process-based ecosystem models (i.e., Valentini et al., 2000) or estimates based on forest 57 inventories (i.e., Liski et al., 2000). Each of these methods has its strengths and weaknesses 58 (Karjalainen et al., 2003). 59 Although several studies tried to harmonize different data sources (i.e., Böttcher et al., 2012) and 60 to link or compare the results from different approaches (i.e., Tupek et al., 2010; Neumann et al., 61 2015), relevant differences still exist between the national reported values and the calculations 62 from large-scale models (Groen et al., 2013). Atmospheric biogeochemical models focus on long-63 term physiological responses to climate change, but are not suited for capturing the effect of different management practices (Karjalainen et al., 2003; Tupek et al., 2010). For analyzing the 64 65 impact of human activities on the current and near-future forest C stocks and fluxes, inventorybased models are the most appropriate tool. Furthermore, there are still knowledge gaps which 66 should be addressed (Bellassen and Luyssaert, 2014) while also addressing more complex 67 68 analyses, such as the challenges posed by increasing natural disturbances and other global changes 69 (Trumbore et al., 2015). 70 In 2003, Karjalainen et al., using an inventory-based model (EFISCEN, Sallnäs, 1990) applied to 71 data from National Forest Inventories (NFIs, mainly from the '90s), quantified forest carbon fluxes 72 at the country and the European level, looking both at the historical period 1990-2000 and at future 73 management and climate scenarios, up to 2050. This analysis can now be updated thanks to the 74 availability of new NFIs, further information from the UNFCCC countries' reports and data 75 provided by other studies (i.e., Luyssaert et al., 2010; Schulze et al., 2010; Ťupek et al., 2010).

The aim of this study is to provide a comprehensive quantification of the carbon stocks and fluxes of the EU forest sector, including country-level details. We used an inventory-based model (Carbon Budget Model, CBM-CFS3, Kurz et al., 2009) and applied it to 26 EU countries for the historical period 2000-2012 and for future scenarios of different harvest and afforestation rates (up to 2030).

In particular, we focus on the effects of forest age-structure, natural disturbances, land-use change and management activities on: (i) the amount of carbon stocked in the five forest C pools (i.e., above- and belowground biomass, dead wood, litter, and soil) and outside the forest (i.e., harvested wood products, HWP), when possible further distinguishing between merchantable biomass, branches, biomass used for energy, etc.; and (ii) the fluxes, i.e., the inputs to and the outputs from each pool, and the exchanges between the forest sector and the atmosphere. Given the relatively short timeframe analyzed in our study (30 years), we do not consider the effects of climate change on forests. Other factors not covered by this study are substitution effects (Sathre and O'Connor, 2010; Smyth et al., 2016) and biophysical effects (Naudts et al., 2016, Alkama and Cescatti, 2016).

2. Material and Methods

2.1. The Carbon Budget Model (CBM-CFS3) and NFI input data

The CBM is an inventory-based, yield-curve driven model that simulates the stand- and landscape-level C dynamics of above- and below-ground biomass, dead organic matter (DOM: litter and dead wood) and mineral soil (Kurz et al., 2009). The model, developed by the Canadian Forest Service, was recently applied to 26 EU countries mainly using NFI input data (Tab. 1), to estimate the EU forest C dynamics from 2000 to 2012, including the effects of natural disturbances and land-use change (Pilli et al., 2016a and b). Here we apply the same methods, data and assumptions as these studies, with the exception of Bulgaria, Ireland, Poland and Romania, where we updated our input data (see Tab. 1 for details). We refer the reader to Kurz et al. (2009) for details on the model and to Pilli et al. (2016a and c) for details on its application to EU countries.

102 [Tab. 1]

The spatial framework applied in the CBM conceptually follows IPCC Reporting Method 1 (Penman et al. 2003) in which the spatial units are defined by their geographic boundaries and all forest stands are geographically referenced to a spatial unit (SPU). Within a SPU, each forest stand is characterized by age, area and 7 classifiers that provide administrative and ecological information; the link to the appropriate yield curves; the parameters defining the silvicultural system such as the forest composition (defined according to different forest types, FTs), the management type (MT), and the main use of the harvest provided by each SPU, as fuelwood or industrial roundwood. From the NFIs of each country we derived: (i) the original age-class distribution (for the even aged forests), (ii) the main FTs based on the forest composition (each FT was assumed to be composed of the main species reported in the NFI, i.e., it was assumed as a pure FT); (iii) the average volume and current annual increment (if possible, defined for each FT) and (iv) the main MTs. These last parameters may include even-aged high forests, uneven-aged high forests, coppices and specific silvicultural systems such as clear-cuts (with different rotation lengths for each FT), thinnings, shelterwood systems, partial cuttings, etc. In few cases, because of the lack of country-specific information, some of these parameters were derived either from the literature or from average values reported for other countries. In the CBM, species-specific, stand-level equations (Boudewyn et al., 2007) convert merchantable volume per hectare into aboveground biomass, partitioned into merchantable stemwood, other (tops, branches, sub-merchantable size trees) and foliage components. Where additional information provided by NFIs or by literature was available, country-specific equations were selected to convert the merchantable volume into aboveground biomass (Pilli et al., 2013). We used two sets of yield tables in these analyses (Pilli et al., 2013, Pilli et al., 2016a). Historical yield tables, derived from the standing volumes per age class reported by the NFI, represent the

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impacts of growth and partial disturbances during stand development. Current yield tables, derived from the current annual increment reported in country NFIs, represent the stand-level volume accumulation in the absence of natural disturbances and management practices.

For 22 countries, we also evaluated the impact of natural disturbance events including storms and ice, fires and bark beetle attacks (Tab. 1). Specific information on the assumptions on natural disturbances can be found in Pilli et al., 2016a and 2016c.

- 132 The CBM uses biomass turnover rates to represent mortality of biomass and litterfall rates and the 133 transfer of dead biomass to DOM pools (Kurz et al., 2009). Due to the lack of studies, in many 134 cases we could not define these parameters at the regional level. The decomposition rate for each 135 DOM pool, however, is modelled using a temperature-dependent decay rate that determines the 136 amount of organic matter that decomposes each year. For this reason, maps of temperature and 137 precipitation classes were projected over a CORINE map and over the European administrative 138 units, following the approach of Pilli (2012). The resulting combinations of precipitation and mean 139 temperature values were used to define 60 climatic land units (CLUs, as in Pilli, 2012) and, for 140 each country, a portion of the NFI forest area was associated with each CLU, on the basis of 141 CORINE data.
- The model provides annual estimates of C stocks and fluxes, such as the annual C transfers between pools, from pools to the atmosphere and to the forest product sector, as well as ecological indicators such as the net primary production (NPP), heterotrophic respiration (R_h) or net biome production (NBP). Afforestation (AR) and deforestation (D) can be represented as disturbance types with their own disturbance matrices and transitions to and from forest land.
- In order to model land use changes (i.e., AR and D), we need to define a benchmark (i.e., a baseline) for the forest area existing in a given year. To be consistent with other studies and to provide more useful information (at the country level), we use 1990 as base year, which is also the Kyoto Protocol base year (details in Pilli et al., 2016a). For simulations that started after 1990, this area was decreased to account for the total amount of deforestation reported by each country (KP CRF tables, 2014) between 1990 and time step 0, i.e., the beginning of the model run (which varies by country, as reported in Tab. 1).
- 154 If the NFI reference year was after 2000, we rolled back by 10 years the original NFI age-class 155 distribution (for even-aged forests) in the inventory (Pilli et al., 2013, 2016a) to provide for all EU 156 countries a consistent dataset covering the period 2000–2012.
- We considered the historical effect (i.e., up to 2012, depending on the available data) of the main storms and ice damages (16 countries), fires (10 countries) and insect attacks (i.e., bark beetle attacks, for 2 countries; see Tab. 1 and Pilli et al., 2016a).
- AR was modeled through country-specific model runs, always beginning in 1990, applying the historical annual rate of AR reported by each country up to 2012 (Pilli et al., 2016b). The total

amount of AR per year was distributed between different FTs, according to the proportional amount of the FM area.

2.2. Harvest demand and carbon flow

- The main fluxes modelled in our study are: (1) inputs of C from the atmosphere (i.e., NPP) to the
- 166 forest ecosystem; (2) outputs due to direct C emissions from the forest to the atmosphere and due
- to harvest activities; (3) internal fluxes (not affecting the total C balance), mainly from the living
- biomass to the DOM pool (see also Figure 1S in the Supplementary Materials for more details).
- 169 Carbon enters the forest as CO₂ absorbed from the atmosphere by living biomass (LB); a fraction
- of this biomass returns to the atmosphere (through natural disturbances such as fires and storms)
- or moves to the other forest pools (dead wood and litter) through natural mortality and disturbance
- events. From these pools, C can be directly released to the atmosphere or transferred to the soil
- pool where some of it can reside for centuries. All these ecosystem carbon fluxes are modeled in
- 174 CBM with a semi-empirical approach (Kurz et al., 2009).
- 175 From an ecosystem perspective (Kirschbaum et al., 2001), the sum of all biomass production,
- during a year, represents the NPP, equal to the difference between the carbon assimilated by plants
- through photosynthesis (i.e., the Gross Primary Production, GPP) and the carbon released by plants
- through autotrophic respiration (R_a):

$$NPP = GPP - R_a \quad \text{Eq. (1)}$$

- Subtracting from this figure all the C losses due to the heterotrophic respiration (Rh, i.e.,
- decomposition), we estimate Net Ecosystem Productivity (*NEP*):

$$NEP = NPP - R_h \quad \text{Eq. (2)}$$

- 183 NBP is the difference between NEP and the direct losses due to harvest (H) and natural
- disturbances (*D*, e.g., fires):

$$NBP = NEP - H - D \qquad \text{Eq. (3)}$$

- 186 Through the fellings, a fraction of the LB moves to the HWP pool (this is the amount of biomass
- removed from the forest, i.e. the roundwood removals reported in Figure 1S). Another fraction of
- biomass is left in the forest as forest residues (i.e., slash, varying according to the specific
- silvicultural treatments). Fellings can also salvage a fraction of the standing dead trees and move

them from the dead wood pool to the roundwood pool. Adding to the NBP the total changes in the HWP carbon stock (HWP $_{\Delta C}$), we estimate the Net Sector Exchange (NSE, Karjalainen et al., 2003):

 $NSE = NBP + HWP_{AC} \qquad \text{Eq. (4)}$

In this study, we applied the CBM as a timber assessment model, i.e., we defined a certain harvest level and implemented the model to (i) check if it is possible to harvest that amount and (ii) to simulate the forest development under that harvest level (Schelhaas et al., 2007). The total fellings were inferred, for each country, from the amount of roundwood removals reported by FAOSTAT data (FAOSTAT, 2013), further distinguished between industrial roundwood (IRW, used for the production of wood commodities and mainly provided by stems) and fuelwood (FW i.e., the wood for energy use, mainly provided by residues, branches and coppices). To provide a consistent estimate of the harvest demand for all the countries, these data were compared and, when needed, corrected with other information from the literature (i.e., to account for the bark fraction or other possible recognized biases; Pilli et al., 2015).

The EU-26 total past and three alternative future harvest demands considered in this study are shown in Figure 1. For each country, the total harvest was further distinguished between four compartments providing the total amount of wood expected each year: IRW conifers, IRW broadleaves, FW conifers and FW broadleaves. For each compartment we defined: (i) the FTs (i.e., broadleaved species for IRW and FW, and coniferous species for IRW and FW), (ii) the MTs (for example coppices for FW broadleaves) and (iii) the silvicultural practices (for example thinnings for FW conifers). Original values of harvest demand expressed as cubic meter were converted to tons of C using species-specific wood densities values and a constant C fraction equal to 0.50 (Penman et al., 2003). A further distribution between FTs and MTs associated with the same compartment was based on the total stock of aboveground biomass available at the beginning of the model run. The C annually stocked as harvested wood products (i.e., IRW) was directly derived by the estimates provided by Pilli et al., 2015, based on the same input data used in this study. During the model run, we also quantified the amount of FW provided by branches and other wood

components such as the amount of residues moved from the LB to the dead wood pool (see Figure

- 219 lack of detailed information on this potential use, this amount was not included in the sum of the
- 220 total roundwood removals; instead it was assumed as direct emission of C to the atmosphere.
- Three harvest scenarios were explored from 2013 onward (combined with the FM area and the
- deforestation activities): (i) a constant harvest scenario based on the average historical harvest
- 223 (2000 2012) up to 2030; (ii) an increasing harvest scenario, based on a 20% increase to the 2030
- 224 constant harvest demand and a linear interpolation between 2013 and 2030; (iii) a decreasing
- harvest scenario, based on a 20% decrease to the 2030 constant harvest demand and a linear
- interpolation between 2013 and 2030 (Figure 1). For each future harvest scenario, we distributed
- the total harvest demand between the four compartments (i.e., IRW and FW, Con. and Broad.),
- assuming the same proportions as in the historical period, i.e, about 62% of the total harvest was
- used as IRW coming from coniferous species, 19% was used as IRW coming from broadleaved
- species, 6% was used as FW coming from coniferous species and 13% was used as FW coming
- from broadleaved species.
- 232 [Figure 1]
- We assumed that the harvest demand was entirely provided by the FM area, excluding potential
- harvest from deforestation. For AR we estimated the maximum potential (and theoretical) harvest
- from afforested areas, assuming a common set of silvicultural practices for all countries, with a
- single 15% commercial thinning applied to broadleaved forests 15-years or older and a single 20%
- commercial thinning applied to coniferous forests 20-years or older (Pilli et al., 2014b).
- Tab. 2 summarizes all the assumptions on (i) the forest area, assumed as constant FM area minus
- 239 the annual rate of deforestation; (ii) the effect of natural disturbances, concentrated on the FM area;
- 240 (iii) the harvest demand, based on FAOSTAT statistics and concentrated on the FM area. After
- 241 2012, we applied a constant average annual rate of deforestation to the FM area combined with
- three different harvest scenarios (i.e., constant average, +20% and -20%); for AR, we considered
- 243 three different annual rates of AR (i.e., constant average, +20% and -20%), and we estimated for
- 244 each scenario the maximum theoretical amount of harvest potentially provided by the AR area,
- assuming constant silvicultural practices.
- 246 [Tab. 2]

3. Results and discussion

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3.1. Carbon balance at EU level

249 The average total C stock estimated for EU-26, for the main FM pools is equal to 9,417 Tg C for 250 the living biomass; 1,536 Tg C for dead wood; 1,179 Tg C and 7,717 Tg C for litter and soil (to a 251 depth of 1 m), plus 1,843 Tg C, as average amount of C in the HWP pool during the same period 252 (based on the analysis provided by Pilli et al., 2015). 253 Figure 2 reports the historical (2000 – 2012) C fluxes modelled by CBM at EU level, for the forest area existing in 1990 (i.e., the FM area) and for the HWP pool. Additional data for each C pool 254 255 and flux and for the area afforested from 1990 to 2012 (AR), are reported in Figure 1S and Tab. 256 1S in the Supplementary Materials. Living biomass and felling (i.e., the C contained in all removed 257 harvested wood products, plus harvest primary residues), have a positive net C balance. We 258 estimated a negative balance for dead wood and litter, probably influenced by the increasing effect 259 of natural disturbances that occurred during the last decades (Seidl et al., 2014). Although our 260 results focus on the historical period 2000-2012, for 20 out of 26 countries our model's simulations 261 started before 2000 (depending by the NFI reference year, as reported in Tab. 1). Therefore, the 262 DOM C balance implicitly considers the effect of natural disturbances that occurred over a longer 263 period, including the main storms affecting central and northern European countries in 1999 and 264 2005 and the large wildfires that occurred in 2007 in the Mediterranean countries. From 2000 to 2012, we estimated that, on average, 8 Tg C yr⁻¹ were moved from the living biomass to DOM due 265 266 to natural disturbances, and, apart from direct CO₂ emissions due to wildfires (about 1 Tg C yr⁻¹), 267 these processes also increased the indirect emissions due to heterotrophic decomposition of fire-268 killed biomass (Ghimire et al., 2012). Due to the short time frame considered in our study, we 269 could not identify any significant variation of the soil C stock. The slightly negative C stock change reported for this pool (-0.7 Tg C yr⁻¹) is mainly due to the effect of deforestation that moves 270 271 forested lands to other land-use categories (i.e., as reported in Figure 2, it is not a soil C loss to the 272 atmosphere, but it is a C transfer to other land-use categories). Overall, the soil C stock is stable. 273 [Figure 2]

The estimated average NPP is equal to 620 Tg C yr⁻¹ for the FM area (including the effect of

deforestation that occurred since 1990) plus 19 Tg C yr⁻¹ for the afforestation that occurred since

276 1990. The total heterotrophic respiration (*Rh*) amounts to 403 Tg C yr⁻¹, mainly due to the decay 277 of the DOM and soil C pools, plus 6 Tg C yr⁻¹ from the afforested area.

The direct C emissions related to fire disturbances are about 1 Tg C yr⁻¹ (see Figure 1S for details) and are consistent with the emissions reported by the countries to the UNFCCC (2014, KP CRF tables, see Pilli et al., 2016b and 2016c for further details). Other losses from biomass pools are related to fellings (about 138 Tg C yr⁻¹) and can be distinguished between wood removals (110 Tg C yr⁻¹) and transfers of biomass residues to DOM pools, (28 Tg C yr⁻¹), which will decay over time (see Figure 1S). A consistent fraction (about 20%) of the fellings are used as fuelwood and thus its C content is directly released to the atmosphere (see Figure 1S and Tab. 1S). As suggested by the 2013 IPCC KP LULUCF Supplement, we assumed the instantaneous oxidation of the amount of harvest used as FW (Hiraishi et al., 2014). The remaining industrial roundwood component can be further distinguished between the C annually stocked as harvested wood products (12 Tg C yr⁻¹ based on Pilli et al., 2015) and the C released to the atmosphere due to decomposition (70 Tg C yr⁻¹).

We compare our results with figures from the literature (Table 3). Luyssaert et al. (2010) analyzed the results of different methodologies for EU-25 during 1990-2005 and estimated an average annual NPP lower than our estimates ($520 \pm 75 \text{ Tg C yr}^{-1}$). Karjalainen et al. (2003), estimated an average NPP equal to 409 Tg C yr⁻¹, for 27 EU countries during 1995-2000. The average R_h estimated with CBM (403 Tg C yr⁻¹) is in the range of values reported in Luyssaert et al. (2010), but it is 40% higher than the figure in Karjalainen et al. (2003), probably because of the higher fine turnover rates used in CBM than those used in the Karjalainen et al. study . However, if we compare the relative emissions due to R_h with the total NPP, the estimates are not so different: 59% of the NPP is lost as heterotrophic respiration according to Karjalainen et al. (2009), and 65% according to our study. The total emissions from harvested wood products reported by Luyssaert et al. (2010), equal to 87 ± 16 Tg C yr⁻¹, is similar to our estimate. However, applying the IPCC Tier 2 method (Hiraishi et al., 2014; Pilli et al., 2015) we estimated a larger C sink for the HWP pool, equal to 12 Tg C yr⁻¹ compared to 5 ± 3 Tg C yr⁻¹ in Luyssaert et al. (2010). The net-emissions from HWP estimated in our study at the country and EU levels are consistent with the historical (i.e., until 2009) net-emissions reported by Rüter (2011), using a similar modelling approach.

- Finally, if we scale our estimates to units of area (see Tab. 2S in the Supplementary Materials),
- results for NPP and harvest (4.5 Mg C ha⁻¹ yr⁻¹ and 0.8 Mg C ha⁻¹) are similar to the estimates
- presented by Schulze et al. (2010) in a study based on a network of eddy-covariance sites across
- 308 Europe: $5.2 \pm 0.7 \text{ Mg C ha}^{-1}$ and $0.6 \pm 0.1 \text{ Mg C ha}^{-1}$, respectively.
- Taking into account all these fluxes, we estimated a total NBP equal to 98 Tg C yr⁻¹ and 12 Tg C
- 310 yr⁻¹ for the FM area and the afforested area (146 M ha in total), respectively. Adding to these NPB
- 311 estimates the C stock increases in the HWP pool, we estimate a Net Sector Exchange (NSE) for
- 312 the total forest sector of 122 Tg C yr⁻¹. Luyssaert et al. (2010) reported a NBP value of 109 ± 30
- Tg C yr⁻¹ that is similar to our estimate of 110 Tg C yr⁻¹ for the FM area.
- 314 [Tab. 3]
- The NPP of the FM area in 2030 increases from 620 Tg C yr⁻¹ (average 2000 2012) to 661 Tg C
- 316 yr⁻¹ (i.e., +6%), 653 Tg C yr⁻¹ (+5%) and 669 Tg C yr⁻¹ (+8%), assuming a constant, increasing
- and decreasing harvest scenario, respectively (Figure 3). In 2030, the area of lands afforested since
- 318 1990 contributes about 39 Tg C yr⁻¹ more to the NPP than the average of the period 1990 to 2012
- and NBP increases from 12 Tg C yr⁻¹ (average 2000 2012) to about 26 Tg C yr⁻¹ in 2030 for all
- 320 the AR scenarios. As expected, in 2030, the decreasing harvest scenario (combined with a
- decreasing AR rate) has the highest total NBP (FM+AR), equal to 151 Tg C yr⁻¹. (see Carbon Sink,
- 322 in Figure 3).
- 323 [Figure 3]
- The natural turnover rate (panel B) and the emissions to the atmosphere in 2030 (panel E) for all
- scenarios are higher than the average historical turnover rate (272 Tg C vr⁻¹ for DOM). The forest
- 326 living biomass and DOM stocks are in fact increasing from 2013 to 2030, under all harvest
- 327 scenarios because the average age of forests continues to increase even under the higher harvest
- 328 scenario (see Tab. 1S).
- Further losses of C (panel A) are due to fires (on average, about 1 Tg C yr⁻¹ for all our scenarios,
- i.e. about 0.3% of the total NPP in 2030) and deforestation (about 11 Tg C yr⁻¹, i.e., 1.7% of the
- 331 total NPP in 2030).

scenarios and equals (in 2030) 108 Tg C yr⁻¹, 128 Tg C yr⁻¹ and 88 Tg C yr⁻¹ for the constant, 333 334 increasing and decreasing harvest scenarios, respectively. 335 Harvest removals are reported as FW and IRW (panel D). Using the approach of the 2013 IPCC 336 KP LULUCF Supplement (Hiraishi et al., 2014), we estimated a direct emission of C from the FW harvest equal to 26 Tg C yr⁻¹, 29 Tg C yr⁻¹ and 20 Tg C yr⁻¹ for the constant, increasing and 337 decreasing harvest scenarios, respectively. These emissions represent about 4% of the total NPP. 338 339 The C transferred to IRW can be further partitioned into the amount of C stocked as HWP and the 340 amount released to the atmosphere due to the decay of these products (Hiraishi et al., 2014). The 341 C stock increase of the HWP pool under different future harvest scenarios is reported on the 342 positive y-axis of Figure 3 (panel D). The IRW emissions vary in proportion to the different harvest 343 rates, and represent about 11% of the total NPP. In contrast, the IRW C sink, equal to 12 Tg C yr ¹ for the historical period, decreases when assuming a constant (8 Tg C yr⁻¹) or a decreasing (2 Tg 344 C yr⁻¹) harvest scenario. When we assume an increasing harvest, the HWP C sink in 2030 increases 345 346 slightly from 12 to 13 Tg C yr⁻¹. 347 Subtracting from the initial NPP the emissions due to the natural turnover rate (panel E), natural 348 disturbances and deforestation (panel A) and fellings (panel D), we can estimate the final C sink 349 of (i) the FM area (including the effect of deforestation), (ii) the HWP pool (stored outside the 350 forest), (iii) the AR that occurred from 1990 to 2030 and (iv) the total forest sector sink. The C 351 sink of the FM area (excluding HWP) varies from 98 Tg C yr⁻¹ for the historical period, to 92 Tg C yr⁻¹, 61 Tg C yr⁻¹ and 123 Tg C yr⁻¹ assuming a constant, increasing and decreasing harvest 352 353 scenario. This means that, even maintaining a constant harvest rate from 2013 to 2030, the final 354 NBP of forests existing in 1990 decreases by 6% in 2030, compared with the historical period. Increasing the harvest demand by 20%, the NBP decreases by 37% in 2030, but in all cases the 355 356 NBP estimates a C sink. Only when the harvest demand decreases, will the NBP increase by 25%. 357 The declining C sink estimated in the constant harvest scenario, is the results of an increasing NPP 358 (+7%, if compared with the historical period, see Tab. 1S for details), combined, but with an 359 opposite effect, with an increasing natural turnover and consequent emissions from DOM pools to 360 the atmosphere (+13%). This confirms an age-related decline in the productivity of the European 361 forests (Zaehle et al., 2006), and it is consistent with the results from other studies in the literature, 362 suggesting some signs of C sink saturation in existing European forest (Nabuurs et al., 2013).

The total amount of harvest removals from the FM area (panel C) varies among the harvest

363 Overall, for the historical period, the NBP of the FM area equals 16% of the NPP (i.e., the input 364 to the forests). This means that about 84% of the NPP is lost due to natural and human activities. 365 In 2030, the proportion of NBP in NPP varies considerably: from 9%, for the increasing harvest 366 scenario, to 18%, for the decreasing harvest scenario. Since a fraction of the NPP is still stocked 367 in the HWP products, adding this amount to the FM NBP we can estimate the total C sink, i.e., the Net Sector Exchange. In this case, the NSE increases to 110 Tg C yr⁻¹ (i.e., about 18% of the NPP) 368 369 for the historical period 2000 - 2012. This value is considerably higher than the NSE reported by Karjalainen et al. (2003), equal to 87 Tg C yr⁻¹, but for a lower area (128 Mha compared to 138 370 Mha) and a slightly different period (1995 – 2000). In 2030, the NSE varies from 100 Tg C yr⁻¹ to 371 74 Tg C yr⁻¹ and 126 Tg C yr⁻¹ assuming a constant, increasing and decreasing harvest scenarios, 372 373 respectively (excluding AR). This means that, excluding the substitution benefits and avoided 374 emissions from the use of harvested wood products (Lemprière et al. 2013, Kurz et al. 2016, and 375 Smyth et al. 2016):

(a) With a 20% harvest reduction, the NSE increases by 15% compared to the historical period, but the ratio between NSE and NPP remains the same (i.e., the efficiency of the system, equal to about 18%).

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- (b) With a constant harvest, the NSE decreases by 9% compared to the historical period and the ratio with NPP decreases to 15%.
- (c) With a 20% harvest increase, the NSE decreases by 32% compared to the historical period and the ratio with NPP decreases to 11%.
- FW varies proportionally to the harvest scenarios, according to the historical data 2000 2012.

 Therefore, reducing the harvest by 20% will decrease the energy potential of the FW proportionally
- and, vice versa, increasing the harvest by 20% will increase the energy potential of the FW.
- 386 Several studies suggest a significant increase in harvest removals at EU level for the next decades,
- mainly due to increasing wood demand for renewable energy production, i.e., the FW demand
- 388 (Mantau et al., 2010; UN, UNECE, FAO, 2011; EC, 2013). The EU Reference Scenario 2016 (EC,
- 389 2016) anticipates a harvest increase of 9% in 2030 compared to 2005, with a share of wood
- removed for energy production increasing from 18% in 2005 to 28% in 2030. According to the
- 391 same study, because of ageing managed forests, this would result in a 30% decline of the forest C
- sink in 2030, compared to 2005. In our study, increasing the harvest by 20% resulted in a slightly

HWP C sink equals 13 Tg C yr⁻¹, reducing the share of IRW, further increases in the FW 394 395 production, would also further reduce the total C sink. 396 The average annual NBP on AR lands from 1990 to 2012 is equal to 12 Tg C yr⁻¹, i.e., about 62% 397 of the AR NPP. Assuming different afforestation rates from 2012 to 2030, the final NBP in 2030 is equal to 26 Tg C yr⁻¹, 27 Tg C yr⁻¹ and 25 Tg C yr⁻¹, with a constant, increasing and decreasing 398 399 AR rate, respectively (Table 3). Compared with the historical period, the ratio between NPP and 400 NBP considerably decreases (about -46%), because the potential amount of harvest on AR lands 401 increases from 1 Tg C yr⁻¹ for the historical period, to about 6 Tg C yr⁻¹ in 2030 for all three AR 402 scenarios. While the amount of wood available for harvest until 2012 is negligible (because of the 403 young age of the new forests established since 1990), in 2030, the potential amount of harvest 404 from AR increases, but even then it can only provide less than 6% of the total EU harvest. In our 405 study, we assumed that this amount was mainly used as FW, i.e., the C was immediately oxidized. 406 A further potential amount of harvest, eventually used as FW or IRW, can be provided by the biomass removed from deforested areas, equal on average to about 5 Tg C yr-1 for the historical 407 408 period. Due to the lack of detailed information on this use, this amount, equal to about 20 M m³ yr⁻¹ (i.e., about 4% of the average amount of harvest from 2000 to 2012), was quantified but not 409 410 accounted in the sum of the total roundwood removals and included in the total emissions due to 411 deforestation (see Figure 2 and Figure 1S). This simplified assumption is consistent with the 2013 412 IPCC KP LULUCF Supplement (Hiraishi et al., 2014), which suggests to assume an instantaneous 413 oxidation of the harvest originating from deforestation. On the opposite, when assuming that this 414 amount is used as FW or IRW, we should reduce the amount of living biomass removed through 415 other management practices (see Figure 1S, arrows (E), (F), (G)). This would slightly increase the living biomass C stock (see Tab 1S: from 7,228 Tg C to 7,233 Tg C, i.e., +0.07% yr⁻¹) and, as a 416 417 consequence, the NBP of the FM area, but it would not affect the direct emissions due to FW and 418 to the decay process affecting IRW, since the absolute amount of FW and IRW would not change. 419 Adding to the previous estimates the C sink related to AR, the total NSE of the forest system in 2030 is equal to 126 Tg C yr⁻¹, 101 Tg C yr⁻¹ and 151 Tg C yr⁻¹, assuming a constant (harvest and 420 AR rate), increasing and decreasing scenario (see Table 1S). Compared with the historical period 421 (with a total NSE equal to 122 Tg C yr⁻¹) these values are slightly higher (+3%), lower (-17%) and 422

larger reduction of the C sink, equal to about 38%. Since, in the increased harvest scenario, the

423 higher (+23%), for the constant, increasing and decreasing harvest and AR scenarios, respectively. 424 Looking at the constant harvest and AR scenarios, these results suggest that the decreasing C sink 425 detected on the FM area is partly compensated by the increasing C sink on the afforested area. 426 These results are based on the assumption that the highest harvest demand is combined with an 427 increasing AR rate, and vice versa. Different combinations of harvest and AR rate however may 428 also be possible (see the Tab. 4) but, excluding the FW energy potential, the maximum C sink is 429 always linked to a reduction of the amount of harvest provided by FM and the minimum C sink to 430 an increasing harvest scenario. Of course, different assumptions about the share of FW and IRW, 431 a detailed analysis of the FW mitigation potential and of the substitution of other materials with 432 wood products (Sathre and O'Connor, 2010, Lemprière et al., 2013, Smyth et al., 2014 and 2016; 433 Kurz et al. 2016), not considered by our study, may yield different results. 434 [Tab. 4]

3.2. Carbon balance at country level

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436 Figure 4 shows, for each country, the average forest ecosystem balance (i.e., the difference 437 between the NPP and R_h , harvest and natural disturbances) estimated by CBM for the FM area, for 438 the historical period 2000 – 2012. The NPP (represented by the green background in Figure 4) ranges from 2.7 Mg C ha⁻¹ vr⁻¹ for Finland to 9.4 Mg C ha⁻¹ vr⁻¹ for Ireland; the EU average is 4.5 439 Mg C ha⁻¹ yr⁻¹. The lower values estimated for Finland and Spain (3.1 Mg C ha⁻¹ yr⁻¹) are probably 440 441 due to specific climatic constrains, that limit the growing season in northern Europe and in the 442 Mediterranean area (Jarvis and Linder, 2000; Kramer et al., 2000). For Ireland, the high estimated 443 NPP is probably due to the favorable climate as well as the use of intensive silviculture and fast 444 growing species, such as Sitka spruce (Ireland, 2014). 445 The total losses due to natural processes, such as the decomposition of organic matter, fires and 446 human activities (i.e., harvest, orange slice of each external pie in Figure 4) vary between -2.2 Mg C ha⁻¹ yr⁻¹ in Finland and -8.2 Mg C ha⁻¹ yr⁻¹ in Ireland. The EU average is -3.8 Mg C ha⁻¹ yr⁻¹. As 447 expected, these losses vary proportionally to the absolute NPP value, and on average the total 448 449 losses amount to about 83% of the NPP. The highest proportion of losses was estimated for 450 Belgium (>95% of the NPP) and the lowest for the UK (<70% of the NPP).

- The average NBP (white internal pie in Figure 4) is equal to the difference between the average
- NPP minus the losses due to respiration (R_h) , harvest (H) and disturbances (D) and varies between
- 453 0.1 Mg C ha⁻¹ yr⁻¹ estimated for Belgium and 2.4 Mg C ha⁻¹ yr⁻¹ for UK. Adding to the NBP the
- 454 HWP net sink (also highlighted by the external orange pies on Figure 4), we can estimate the NSE
- (labels in Figure 4). This amount varies between 0.1 Mg C ha⁻¹ yr⁻¹ in Belgium and 2.7 Mg C ha⁻¹
- 456 yr^{-1} in the UK.
- Since forest losses are due to the combined effect of natural processes and harvest and they directly
- affect the final NEP, a more detailed analysis of these parameters may provide useful information.
- 459 [Figure 4]
- 460 [Figure 5]
- In Figure 5 we distinguished the relative amount of C losses due to 9 different processes, including
- natural (i.e., fires and release of C due to the decomposition of DOM and soil pools) and human
- factors (i.e., harvest activities) and we estimated the percentage loss of the total NPP due to each
- process. The largest release of C to the atmosphere from the forest ecosystem is due to the natural
- decomposition of dead wood and litter pools (i.e., DOM \rightarrow atmosphere). In all countries, this
- covers at least 37% of total losses while at the EU level it equals 51% of total NPP.
- The second factor contributing to the total absolute amount of losses is generally represented by
- human activities, i.e., the use of the merchantable wood components as industrial roundwood.
- 469 Unlike the previous factor, the relative contribution of this factor varies considerably among
- countries. In some cases, this may represent more than 20% of the total NPP (e.g., Belgium), but
- in other countries this share may be less than 3% (i.e., Greece and Italy). At the EU level,
- 472 merchantable wood use represents about 12% of total NPP.
- Releases of C from soil to the atmosphere represent the third factor contributing to the total losses
- 474 (on average 13% of the total NPP). Of course, due to the lack of data, and similarly to other soil
- 475 models (UN, UNECE-FAO, 2011), the results provided by CBM may be influenced by uncertainty
- in the model initialization that may directly affect the estimate of the C stock change on this pool
- 477 (Kurz et al., 2009; Pilli et al., 2013). The carbon balance at the country level, in particular for soil
- and DOM, is also affected by local climatic conditions. In our modelling framework, we linked
- 479 the forest area to specific CLUs, associated with values of mean annual temperature and total
- annual precipitation (the CLU's mean annual temperatures range from -7.5 to +17.5°). In CBM

482 (Kurz et al., 2009) which allowed us to consider the effect of regional climatic on decay. Due to 483 the lack of data, we did not differentiate biomass turnover rates by region. 484 For all EU countries, further losses are due to the use of wood for energy. While the IRW is 485 generally provided by the merchantable wood components (or, in some cases, by salvage logging 486 after storms). Based on our assumptions (see also Figure 1S), the FW may be provided through 487 three different sources of materials: merchantable components (e.g., from coppices or early 488 thinnings), other wood components (mainly branches harvested simultaneously with merchantable 489 wood used as IRW) or standing dead trees (i.e., snags, even as salvage logging after fires). The 490 relative share of these three sources varies considerably among countries but it is generally < 5%. 491 In few countries, the total losses due to the use of wood for energy exceeds 8% of the total NPP 492 (e.g., France), but at the EU level equals, on average, 4%. 493 The total losses due to natural disturbances were only accounted for in 22 countries, while 4 494 countries do not report natural disturbance events. At the EU level, for the historical period 2000 495 - 2012, these represent about 1% of the total NPP. In some countries, however, this percentage 496 may represent, on average, more than 2%. This is the case of Austria, due to the effect of storms 497 and insect attacks, and Portugal due to fires. Natural disturbances may cause direct losses, due to 498 the biomass and dead organic matter burned by fires (i.e., a direct emission of C to the atmosphere) 499 or indirect losses from the forest ecosystem, due to the salvage of logging residues, after the 500 disturbance events or the decay of biomass that was killed during the natural disturbance and 501 transferred to the DOM pools (Pilli et al., 2016b). 502 We also report the relative amount of losses due to deforestation on the FM area. At the EU level, 503 deforestation represents less than 2% of the total NPP and, for the majority of the countries, less 504 than <1%. In few cases, however, due to the relative large amount of deforestation compared with 505 the total FM area (based on the KP CRF tables, 2014), the deforestation losses may be higher than 506 4% (France and Luxemburg) and, for Netherlands, equal to 19% of the total NPP. This country reports an annual rate of deforestation equal to 2,000 ha yr⁻¹ (KP CRF, 2014), i.e., about 6% of the 507 508 FM area.

the decomposition rate for each DOM pool is modelled using a temperature-dependent decay rate

3.3. Carbon turnover time

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Overall, our study suggests that, in the majority of European countries, the build-up of biomass stocks results from woody NPP exceeding losses by harvest and natural disturbances, as highlighted by Ciais et al. (2008). While some estimate biomass carbon stocks as a function of NPP minus removals by harvest, this simplified assumption does not take into account the effect of deforestation and other natural disturbances. Some authors highlighted the long-time historical evolution (about 50 years) of this relationship at the EU level, assuming that the slope of the regression line between carbon stocks and NPP was similar between different countries (Ciais et al., 2008; Luyssaert et al., 2010). However, looking at this relationship at the country level, our study shows some interesting differences. The relation between biomass (y) and NPP (x) can be described by a simple linear model: $y = a + \tau * x$, where τ represents the evolution of the dependent variable as a function of the NPP and the time that carbon resides in the forest system, i.e. the turnover time (in yr., as described by Carvalhais et al., 2014). Through a statistical analysis, using the R² selection method to identify the model with the largest coefficient of determination for each number of variables considered, we can estimate both a and τ (and their $\pm 95\%$ confidence intervals) at country level, considering both the aboveground living biomass and the total standing stock (including living biomass, DOM and soil). Looking at the living biomass (Figure 6, panel A and B), we can identify at least three groups of countries and turnover times: the largest group includes 20 countries with τ between 5 and 70 yrs. (for the majority of these countries, $20 \le \tau \le 50$, with no statistical difference). All these countries have both an increasing NPP and biomass stock from 2000 to 2012, such as an increasing turnover time during the same period. For three countries (Italy, Lithuania and UK) we estimated a turnover rate > 70, statistically different from the previous group. For Belgium, France and Hungary, the turnover time <5 yrs (in two cases negative) highlights the countries where we estimated a decreasing NPP (and for Belgium a decreasing biomass against time) and a quite constant turnover time from 2000 to 2012. As expected, the turnover time estimated for the total C stock is on average 16% higher than the biomass turnover time (Figure 6, panel C and D). For the Mediterranean countries, where climatic conditions and the effect of fires may reduce the turnover time of the dead wood and litter pool and for a few other countries (i.e., Denmark and Ireland, due to the young age structure) the turnover time of the total biomass is lower than the previous one. For 17 out of 25 countries (for Belgium the analysis was

not significant), τ was between 10 and 80 years and in two cases it was again < 0. Due to the effect of management practices and natural turnover rate (i.e., self-thinnings), the average turnover time estimated for the living biomass, equal to 16.4 yrs. (±0.6 yrs.) is significantly lower than the average turnover time estimated for the total stock (25.9±0.8 yrs.). This last value is consistent with the overall mean global turnover rate estimated by Carvalhais et al. (2014), equal to 23^{+7}_{-4} years. Despite the similarities identified for many countries, we highlighted some statistical difference of the turnover time, suggesting that contrary to the assumptions by Ciais et al. (2008) and Luyssaert et al. (2010) this relationship cannot be assumed constant for all European countries. Country-specific forest conditions related to management practices, harvest rates, past age structures and forest composition, have varying impacts on the evolution of biomass stock and NPP. Above all, the turnover time estimated for the living biomass seems to be related to the age structure and management practices. Indeed, countries with older forests (such as UK) and longer rotation lengths applied to clearcuts, have the highest τ (>80 yrs). In Italy, where clearcuts are often replaced by other silvicultural practices such as thinnings or partial cuts and where a large part of the forest area (mainly coppices) is aging because of a relative low harvest demand (Pilli et al., 2013), τ is also over 80 years. An increasing harvest demand, generally combined with a larger use of final cuts and shorter rotation lengths, gradually reduces the turnover time and the average age of the forests. Moreover, exceptional natural disturbances, such as windstorms or fires, may further modify this parameter. Due to the complex interaction between these variables, further analyses are needed.

559 [Figure 6]

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3.4. Uncertainties

Quantifying the overall uncertainty of these estimates is challenging because of the complexity of our analysis. Indeed, the EU estimate is obtained by summing up 26 country level estimates. For each country, the C stock of each pool is obtained by multiplying the area of each age class (further distinguished between different FTs and administrative units) with the corresponding volume and by applying a species-specific equation to convert the merchantable volume to total aboveground biomass (used as a biomass expansion factor). Therefore, we first consider the uncertainty related to the area, the volume and the equation applied to each FT.

The uncertainty of the area estimates varies among countries. Generally, the information from east European countries have a higher uncertainty because of low updating frequency or heterogeneous data sources (e.g. for forest in Romania, Blujdea, pers. com.), while the most recent NFIs have lower uncertainty (e.g., <1%, at the country level, e.g. for Germany or Italy). Considering that the average reference year of the NFIs applied by our analysis is 2003 (see Tab. 1) we assume that the uncertainty of the area (at the country level) is equal to 2%. The volume reported by the yield tables applied by CBM derives from a linear interpolation of the volume and increment data reported in each NFI. The uncertainty on these data (when reported) may vary considerably, depending on the relative abundance of each FT (i.e., by the number of plots) but, based on an overview of the NFIs applied to our analysis, we may assume that it is equal to 5% (in most cases, however, the uncertainty estimate is missing). Estimating the uncertainty related to the biomass equations applied to each FT is even more challenging. These equations were preliminarily selected comparing some values available at country level (for 8 out of 26 countries, considering the main FTs and biomass compartments) with the values estimated through specific multinomial models developed by Boudewyn et al. (2007). For each FT, administrative region and biomass compartment, we selected the equation that minimizes the average sum of squares of the differences between the values predicted by the equations and reported in the literature (see Pilli et al., 2013). Therefore, the uncertainty on this component is related to both the uncertainty of the original values reported in the literature and of the multinomial model selected by our analysis. The first uncertainty may vary considerably, depending on the original data source selected for each country. For example, based on NFI data reported for Italy, the standard error of the aboveground biomass estimated at the regional level may vary between less than 3% to more than 100% (Gasparini and Tabacchi, 2011). For Germany, and for other countries where no detailed information on the biomass was available and this parameter was estimated through allometric equations applied to the original NFI data, the uncertainty may also be higher. The uncertainty related to the capacity of each model to represent the original values was estimated through the mean percentage difference between the predicted and observed values. This may vary considerably, depending on the forest compartment and the species. For Italy, the mean percentage difference between the total aboveground biomass estimated using the selected stand-level

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equations and the biomass reported by NFI was $\pm 3.8\%$ (Pilli et al., 2013). For other countries, we obtained similar results. Where no data were provided by the literature (i.e., for 18 out of 26 countries), we applied the same equations selected for other countries, for similar FTs. Of course, this may further increase the uncertainty of our estimates.

Attributing an overall uncertainty equal to 2% (U_A), 5% (U_V) and 3.8% (U_B) to the input data on the area, the volume and the expansion of the volume to total living biomass, respectively, and without considering further possible uncertainties (i.e., of the original input data reported by NFIs and of singular FTs and regions), and actual correlations between NFI measured variables, the overall uncertainty on the living biomass stock may be estimated as (Penman et al., 2003):

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$$U = \sqrt{U_A^2 + U_V^2 + U_B^2} = 6.6\%$$
 Eq. (4)

The estimates on the C stock change and, indirectly on the fluxes, are affected by additional uncertainties about the amount of harvest and the amount of area affected by natural disturbances. Comparing different data sources such as NFIs or FAOSTAT data, Pilli et al. (2015) highlighted the inconsistencies of harvest statistics and the uncertainties of these data, which may vary considerably among countries. For example, the Italian NFI reports a 13.3% uncertainty on the amount of harvest, while the German NFI reports a 1.2% overall uncertainty. This also affects the uncertainty on the net-emissions associated to the HWP pool, which also depends on the initialization and on the decay rate for each wood commodity (i.e., sawnwoods, wood based panels and paper and paper board), on the relative fraction of HWP coming from domestic forests and on other sources of uncertainty (described in detail by the 2013 IPCC KP LULUCF Supplement, Hiraishi et al., 2014).

Quantifying the uncertainty of the input data for natural disturbances is even more challenging. Due to the lack of data, the uncertainty of land-use change (i.e., afforestation and deforestation), dead organic matter and soil C pools is even higher. Based on the information reported in the countries' Greenhouse Gas Inventories, for the forest land category, the uncertainty reported by the individual EU member states ranges between 15-77% for the living biomass, between 22-113% for dead organic matter and between 13-62% for mineral soils (Blujdea et al., 2015).

Due to the high number of variables and countries considered by our study, the only way to estimate the overall uncertainty would be through a Monte Carlo approach, as proposed for British Columbia by Metsaranta et al. (2010). However, this would require further data at country level.

Unfortunately, much of this information is often not available or simply does not exist. The yield curves used in CBM are based on field observations, and thus some impacts of environmental changes are represented in the model. However, many of these curves are based on plot measurements over the past decades, and we therefore cannot make any assumptions about how representative the existing yield curves will be for future (2030) environmental conditions. Since CBM does not account for changes in climate, CO₂ concentration, N deposition etc., there is an additional source of uncertainty in the projections due to missing representation of processes that may lead to an increasing or decreasing trend of NPP and R_h , depending on the initial climatic conditions (Smith et al., 2016, Kurz et al., 2013). Our NPP estimates may be compared with other values reported in the literature. Tupek et al. (2010) report the NPP for 24 EU countries (Greece and Croatia were not considered by that study), based on the estimates provided by four different models, for the period 2000 – 2005 (see Tab. 3S). Between these models, EFISCEN, i.e. an inventory-based model conceptually similar to CBM (Verkerk et al., 2011), generally estimated a NPP higher than CBM for all countries except Ireland, Slovenia and Spain; the average NPP estimated by this model is 17% higher than our estimate but it is also combined with a higher contribution of R_h , equal on average to 72% in EFISCEN against 64% in CBM. ORCHIDEE, a process-oriented model, and BIOME-BGC a climate-based ecosystem model, generally reported a higher NPP than CBM: on average +8% and +16%, for BIOME-BGC and ORCHIDEE, respectively. JULES, i.e. a process-based surface exchange scheme similar to ORCHIDEE, generally estimated a lower NPP than CBM (on average -24% at EU level). Many reasons, such as the use of different data sources, different assumptions on the forest area, the effect of the main natural disturbances (generally not considered by EFISCEN) and silvicultural practices (generally neglected by climate-based ecosystem models) may explain these differences. Looking to the standard deviation estimated by these data series, however, the average NPP estimated by these models $(5.54 \pm 1.19 \text{ Mg C ha}^{-1} \text{ yr}^{-1})$ is not statistically different from the average value estimated by CBM (5.15±1.42 Mg C ha⁻¹ yr⁻¹). Further studies will focus on a specific assessment of these uncertainties, but, in the meantime, to overcome these limitations, we successfully validated our results at the country (for Lithuania) and regional level (Pilli et al., 2014a) and against independent data sources (Pilli et al., 2016a; Pilli et al., 2013).

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4. Conclusions

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This study provides a comprehensive analysis of the main carbon stocks and fluxes in the European forest sector, including country-level details, accounting for forest land-use change, forest management, carbon storage in HWP, and the effects of the main natural disturbances. In comparison to two previous studies based on the same model (Pilli et al., 2016a and b), the present work quantifies in detail the C fluxes and stocks between the forest pools and with the atmosphere, including NPP, NSE and R_h , up to 2030 and under different model scenarios. For the historical period (2000 – 2012 average), we estimated an NPP of 639 Tg C yr⁻¹ for total EU forests, consistently with estimates from other studies, and a NSE of 122 Tg C yr⁻¹ (i.e., about 19% of the NPP) for the whole forest system, including HWP. Compared with the historic period, the NSE in 2030 is similar (+3%), lower (-17%) and higher (+23%), when assuming a constant, increasing and decreasing scenario for both harvest and afforestation rates. In this study we did not quantify the avoided emissions from the use of wood products and fire wood, and changes in NSE may not be indicative of the overall changes in GHG balance resulting from changes in harvest rates. Increased harvest rates will reduce NSE but provide more wood products that can be used to substitute other emissions-intensive materials and fossil fuels. For the forest area existing in 1990 (i.e., the FM area), we show a decline in the C sink, assuming a constant harvest scenario, due to increasing releases from decomposition $(R_h + 13\%)$ as DOM pools increase with increasing biomass stocks. This confirms the results of earlier studies, suggesting some signs of C sink saturation in European forest biomass-(Nabuurs et al. 2013). This result, however, should be combined with further analysis, accounting for the ongoing environmental changes, which could have impacts on NPP and R_h that are not represented in the inventory-based model used in this analysis (Kurz et al. 2013). The non-proportional effect of different harvest scenarios on the 2030 C sink of the FM area suggests that the overall growth of the European forests is slightly decreasing, and by increasing the harvest demand by 20%, we are approaching the maximum harvest potential of the pre-1990 forest area.

Overall, our study shows that forest management succeeds in capturing, on average, 12% of NPP,

as merchantable wood components, while still allowing ecosystem C stocks to increase. At the

country level, we highlighted some statistical differences, suggesting that the relationship between biomass stock and NPP cannot be assumed constant for all EU countries. Specific forest conditions, such as the harvest rate, the age structure and forest composition, may affect the country-specific evolution of biomass, dead organic matter and soil stocks.

Modelling the wide variety of forest structures and management practices in EU forest is challenging. Most of earlier studies focused on specific aspects, e.g. the impact of different policies (e.g., Böttcher et al., 2012), the effect of climate change and management on even-aged forests (Schelhaas et al., 2015), the biomass potential in relation to ecosystem services (Verkerk et al., 2011; Verkerk et al., 2014) and the effect of natural disturbances (i.e., Seidl et al., 2014). By using a flexible model, which allows to accommodate a wide variety of management practices, input data requirements and natural disturbance events, we managed to explore the forest C dynamics under different management scenarios with a consistent approach in 26 different countries.

Along with results provided by other models, the detailed picture of the C fluxes condensed in this study may represent both a benchmark for similar studies and the basis for broader analyses (e.g. including substitution effects of wood) on the mitigation potential of the EU forest sector.

5. Author contribution

RP carried out the data analysis, in collaboration with GG. WAK and AC helped in the design of the study and the interpretation of results and together with RP and GG wrote the manuscript, in collaboration with GF. All authors read and approved the final manuscript.

6. Competing interests

The authors declare that they have no competing interests.

7. Disclaimer

The views expressed are purely those of the authors and may not in any circumstances be regarded as stating an official position of the European Commission or Natural Resources Canada.

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Tab. 1: Main parameters applied in the Carbon Budget Model (CBM). Detailed information are in Pilli et al. (2016a) with the exception of Bulgaria, Ireland, Poland and Romania (see the table's notes). The table reports: the National Forest Inventory (NFI) original reference year; the starting year of model application; the base Forest Management area (FM, i.e., area of the existing forests in 1990); the additional natural disturbance events considered in the model (F, fire; S storms and ice sleets; I insect attacks).

COUNTRY	Original NFI	Time Step 0	CBM FM area	Natural
COCIVIRI	year	(yr)	(Mha) ²	Disturbances
Austria	2008	1998	3.2	S + I
Belgium	1999	1999	0.7	-
Bulgaria ³	2010	2000	3.6	S
Croatia	2006^{1}	1996	2.0	\mathbf{F}
Czech Republic	2000	2000	2.6	-
Denmark	2004	1994	0.5	\mathbf{S}
Estonia	2000	2000	2.1	\mathbf{S}
Finland	1999	1999	21.7	\mathbf{S}
France	2008	1998	14.6	\mathbf{S}
Germany	2002	1992	10.6	\mathbf{S}
Greece	1992^{1}	1992	1.2	\mathbf{F}
Hungary	2008	1998	1.6	-
Ireland ³	2005	1995	0.5	\mathbf{F}
Italy	2005	1995	7.4	\mathbf{F}
Latvia	2009	1999	3.2	\mathbf{S}
Lithuania	2006	1996	2.0	S + F + I
Luxembourg	1999	1999	0.1	\mathbf{S}
Netherlands	1997	1997	0.3	\mathbf{S}
Poland ⁴	2010	2000	9.1	\mathbf{S}
Portugal	2005	1995	3.6	F
Romania ³	2010	1990	6.3	-
Slovakia	2000	2000	1.9	S + F
Slovenia	2000	2000	1.1	S + F
Spain	2002	1992	12.6	\mathbf{F}
Sweden	2006	1996	22.6	S
United Kingdom	1997	1997	2.5	S + F
EU			138.0	22 countries

^{1:} analysis based on data from Forest Management Plans.

^{2:} FM area used by CBM at time step 0 (see Pilli et al., 2016a for further details).

^{3:} new NFI input data (directly provided by the countries) and methodological assumptions (see Pilli et al., 2016c for details) were applied for Bulgaria, Ireland and Romania, as compared to Pilli et al. 2016b.

^{4:} new NFI input data, reported by the second NFI cycle (2010-2014, Bureau for Forest Management and Geodesy, 2015) were used for Poland, as compared to Pilli et al. 2016b.

Tab. 2: assumptions and main parameters for the model scenarios. FM: Forest Management area, i.e., area of the existing forests in 1990. AR: Afforestation and Reforestation occurred since 1990).

SCENARIOS	Area	Nat Disturbances	Harvest	Deforestation
Constant Harvest		Yes, if relevant, from	Historical + Constant from 2013	
Harvest +20%	Constant FM area – Def.	2000 to 2011 + average constant fire	Historical + increasing to +20% in 2030	Yes, historical + constant since 2013
Harvest -20%		from 2013 to 2030	Historical + decreasing to -20% in 2030	
Constant AR	Historical AR rate since 1990 + Constant average AR rate 2013 - 2030		Maximum theoretical amount of	
AR +20%	Historical AR rate since 1990 + increasing to +20% in 2030	No	harvest provided by AR, with constant	No
AR -20%	Historical AR rate since 1990 + decreasing to -20% in 2030		management practices	

Tab. 3: assumptions and main parameters for the model scenarios, compared with figures from Luyssaert et al. (2010) and Karjalainen et al. (2003). FM: Forest Management area, i.e., area of the existing forests in 1990. AR: Afforestation and Reforestation occurred since 1990.

Comparison		CBM	Luyssaerta	Karjalainen ^b
between		$(Tg C yr^{-1})$	(Tg C yr ⁻¹)	$(Tg C yr^{-1})$
NPP	FM	620	520 ± 75	409
	AR	19	-	-
R_h	FM	403	287-527	245
	AR	6	-	-
FELLINGS		138	92 ± 16	79.5
HWP		12	5 ± 3	-
NBP FM (with HWP)	Tot	110	109	-

^a Average for 1990-2005, EU-25

^b Average for 1995-2005, EU-27

Tab. 4: total C sink estimated by our study for the historical period (average 2000-2012) and for 2030 resulting from combining (i) different harvest scenarios (Constant, +20% and -20% in 2030, compared with the historical period) applied to the FM area with (ii) different AR scenarios (Constant, +20% and -20% in 2030, compared with the historical period). Grey cells highlight other possible scenarios, not directly considered by our study. FM: Forest Management area, i.e., area of the existing forests in 1990. AR: Afforestation and Reforestation occurred since 1990. HWP: harvested wood products.

C sink		AR			
C sink (Tg C yr ⁻¹)	· · · · · · · · · · · · · · · · · · ·	Historical (avg 2000- 2012)	Constant (2030)	+20% (2030)	-20% (2030)
FM (including HWP)		12	26	27	25
Historical (avg 2000- 2012)	110	122			
Constant harvest (2030)	100		126	127	125
+20% harvest (2030) 74			100	101	99
-20% harvest (2030) 126			152	153	151

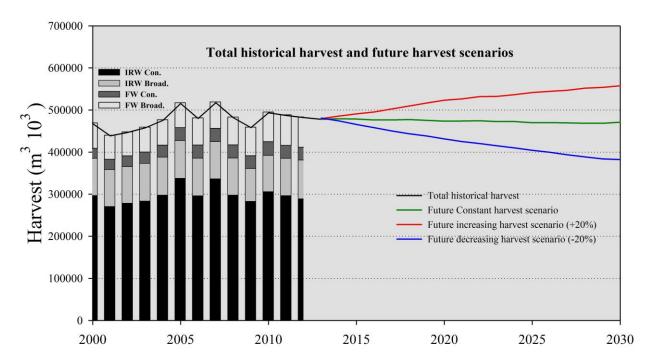


Figure 1: total harvest demand for EU26 (m³ 10³) for the historical period (2000 – 2012) and for three future scenarios (2013 – 2030), assuming: average constant harvest, increasing harvest demand (i.e., +20% in 2030) and decreasing harvest demand (i.e., -20% in 2030). For the historical period, bars show the share of harvest distinguished between industrial roundwood (IRW) and fuelwood (FW), conifers (Con) and broadleaves (Broad). The same ratios, corrected in proportion to the total harvest demand, were applied to each future harvest scenario.

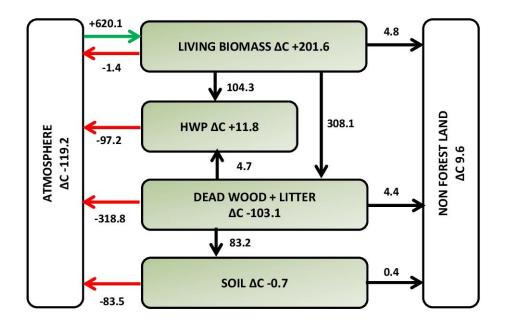


Figure 2: summary of the average C increment and transfers between forest pools and with the atmosphere and non-forest land, for the FM area (in Tg C yr⁻¹, for the historical period 2000 - 2012). The pool increments are shown in each box as Δ C, transfers between pools are reported by black arrows and transfers from/to the atmosphere are reported by green and red arrows, respectively (with positive or negative values, reported from a forest perspective). Further details are reported in Figure 1S.

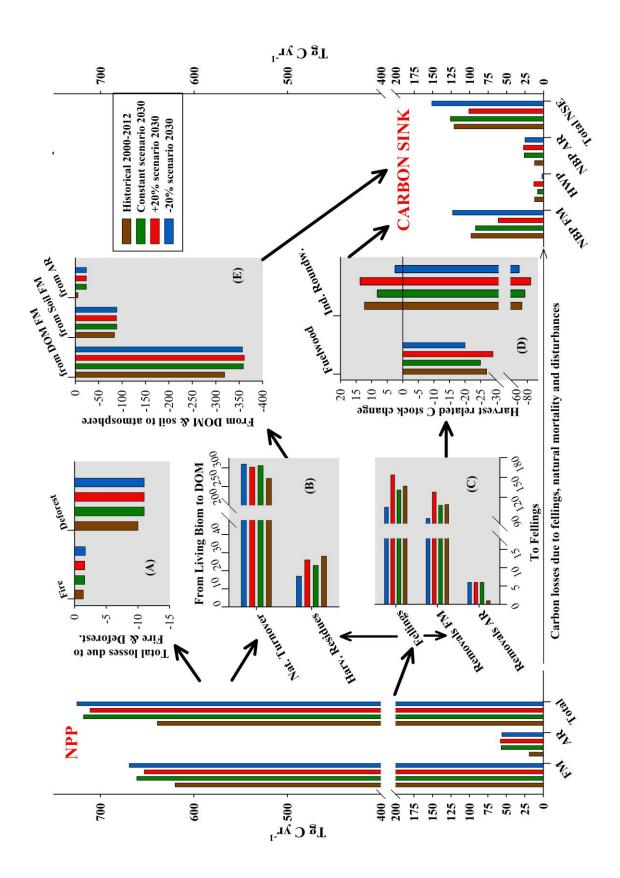


Figure 3: C fluxes for the scenarios: (i) the historical period (average values 2000 – 2012); (ii) the constant scenario (i.e., constant harvest and AR rate); (iii) the increasing scenario (i.e., +20% amount of harvest and AR rate compared to the average historical harvest and AR rate); (iv) the decreasing scenario (i.e., -20% amount of harvest and AR rate compared to the average historical harvest and AR rate). For each scenario, the fluxes were further distinguished between (all values in Tg C yr⁻¹): (NPP) the Net Primary Production contributed by the FM area (including deforestation), AR, and total (FM+AR); (A) the total losses due to natural disturbances and deforestation (i.e., direct emissions to the atmosphere); (B) the fluxes of C from the living biomass to DOM pools (i.e., internal fluxes for the forest ecosystem), further distinguished between fluxes due to self-thinnings and to fellings (i.e., the harvest residues, equal to the difference between fellings and harvest removals); (C) the total fluxes of C due to fellings and the harvest C removals provided by the FM area and by different AR scenarios; (D) this last flux moves from the forest ecosystem to HWP and may be further distinguished between fuelwood (FW, with a direct emission to the atmosphere, reported with negative values) and industrial roundwood removals (IRW), with negative values referred to the C emissions to the atmosphere (due to the decay rate of IRW products and industrial losses) and positive values referred to the HWP C sink, estimated by Pilli et al. (2015a); (E) the total C emissions from DOM and soil pools to the atmosphere (for the FM area) and from the afforested area (AR, including both DOM and soil); (CARBON SINK) the final C sink, equal to the NPP minus the emissions reported in panels (A + D + E), further distinguished between FM area, HWP (i.e., IRW removals), AR and Total. Positive values refer to an input of C to the forest sector (e.g., NPP) or internal fluxes (e.g., from living biomass to DOM), negative values refer to C losses from the forest sector to the atmosphere (e.g., from DOM and soil to the atmosphere).

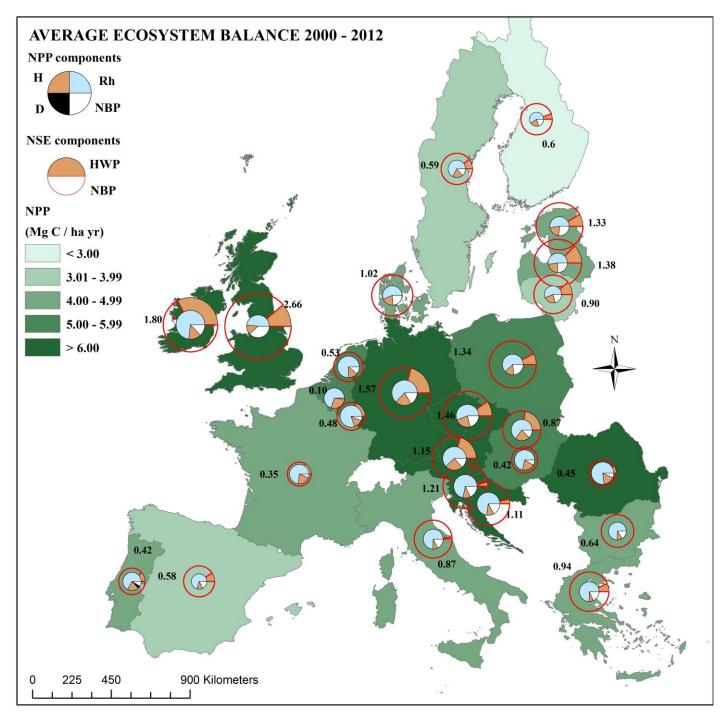


Figure 4: average ecosystem balance of the FM area for the historical period 2000 - 2012. For each country the pies of the internal circles highlight the total losses due to respiration (R_h), harvest (H) and natural disturbances (D), while the average NPP, reported by the green background (in Mg C ha⁻¹ yr⁻¹) is proportional to the radius of the inner circle. The remaining white internal pie, equal to the difference between the NPP and losses, quantifies the Net Biomass Production (NBP). Adding to this amount the HWP net sink, reported by the external orange pie, we can estimate the Net Sector Exchange (NSE) reported by the black labels (in Mg C ha⁻¹ yr⁻¹) and proportional to the radius of the external circle.

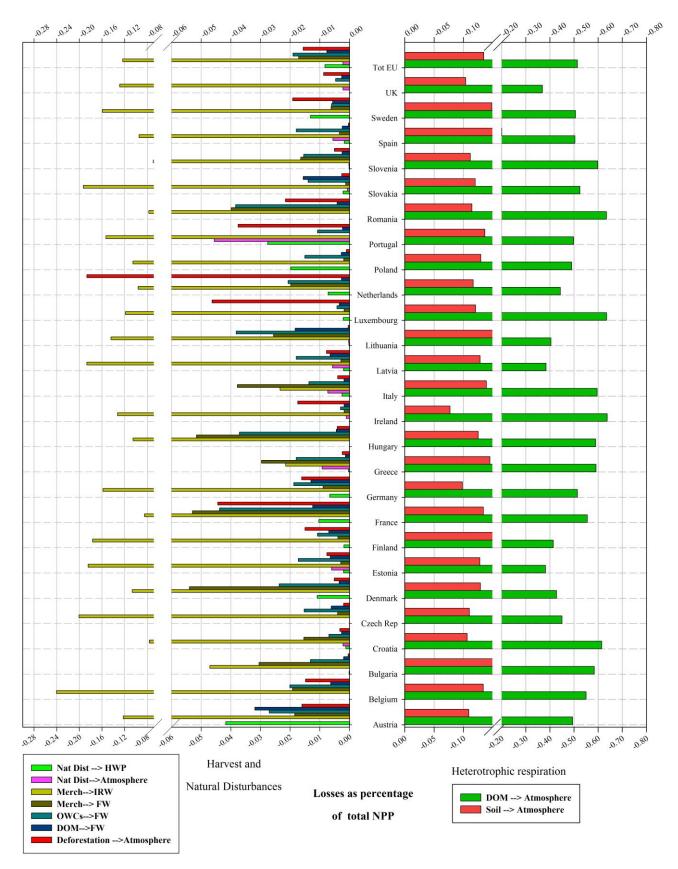


Figure 5: relative amount of C losses estimated as percentage of the total NPP due to (i) the release of C to the atmosphere for the decomposition of DOM and soil pools, on the right panel; and (ii) natural disturbances (i.e., fires), human activities (harvest) and deforestation, on the left panel. Here we report the relative share of losses due to: (i) salvage logging after natural disturbances (Nat Dist \rightarrow HWP); (ii) release of C to the atmosphere due to natural disturbances

(Nat Dist \rightarrow Atmosphere); (iii) Merchantable wood used as IRW (Merch \rightarrow IRW); (iv) merchantable wood used as FW (Merch \rightarrow FW); (v) other wood components (i.e., branches, tops) used as FW (OWCs \rightarrow FW); (vi) snags used as FW (DOM \rightarrow FW); (vii) release of C to the atmosphere due to deforestation (Deforestation \rightarrow Atmosphere).

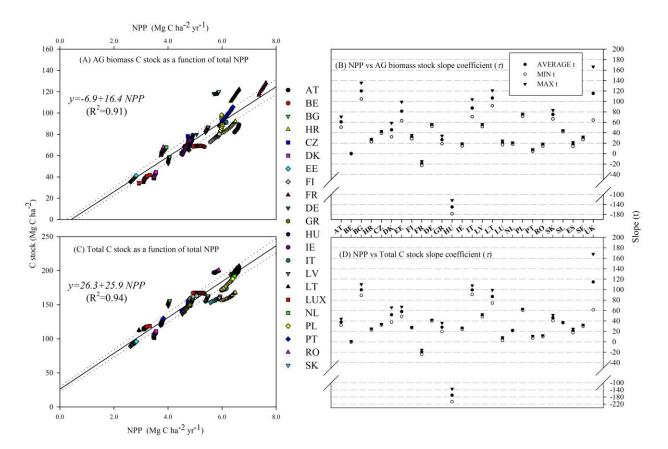


Figure 6: yearly aboveground living biomass (on panel A) and total (on panel C) C stock (Mg C ha⁻¹) as a function of total NPP (Mg C ha⁻¹ yr⁻¹), for the historical period 2000 – 2012, excluding possible outliers (i.e., years with a distance greater than 3 interquartile ranges from the median (SAS Institute Inc., 1990)) due to extreme events such as exceptional disturbances. Plots B and D report, for each country, the slope ($\tau \pm 95\%$ confidence interval) of the linear regression model ($y = a + \tau x$) applied to the previous values for each country (reported on the x axis). On plots A and C, we also highlighted the regression model estimated, at EU level, including all the countries, with the corresponding equation and coefficient of regression (R^2).