

Response to the interactive comment on “Tree growth and its climate signal along latitudinal and altitudinal gradients: comparison of tree rings between Finland and Tibetan Plateau” by Lixin Lyu et al.

We have carefully revised the manuscript based on suggestions from the reviewers and editors and provide a response to the reviews comments that outline these changes. We express gratitude to the reviewers and editors for a strong review of our manuscript that has significantly improved its quality.

Anonymous Referee #1

Received and published: 17 February 2017

General comments: *In this manuscript, Lyu et al. showed that tree ring width has a pattern in both the growth (width) and its response to climate (majorly temperature) along with the latitude/altitude gradient. The creative way of using daily-step climate data implies the potential improvement in analyzing such type of time series data, e.g. tree ring analysis. It is interesting to compare/combine the latitude with altitude gradient, and would be very useful in understanding/predicting the change of tree growth in the future “warming” scenario. However, I think more efforts are needed in structuring and surmising of the paper. And I found a bit difficult to follow the results part.*

Specific comments:

1) *In terms of the climate data used in this paper, “local” meteorological station data was used in this paper, where temperature was adjusted using lapse rates in altitude gradients. Is this adjustment linearly correction? If so, this correction should have little effect on the correlation analysis. So what’s the point to do this adjustment, if no absolute value of the temperature, e.g. GDD, is considered.*

[Response]: Yes, the climate data was adjusted using lapse rates produced by linear regression models (Kattel et al., 2013; Kattel et al., 2015) and the adjustments do not affect the correlation analyses between tree rings and the climate variables. The main

purpose of the temperature adjustments is to obtain a consistent and comparable index (July temperature) to describe the temperature conditions of the stands among both the altitudinal and latitudinal gradients. We chose to use the mean July temperature because it largely represents the temperature conditions during the main growing period in each of the forest stands.

To avoid potential misunderstandings, we further clarified the usage of the adjusted temperatures in the MS as follows: “We also calculated the mean July temperature for each plot of the Tibetan Plateau data set. July temperatures were obtained based on the altitude differences between the plots and weather station and monthly temperature lapse rates were defined for the South Central Tibetan Plateau (used for SCTP) by Kattel *et al.* (2013) and for the South Eastern Tibetan Plateau (SETP) by Kattel *et al.* (2015).” (Page 4 Line 29-31 Page 5 Line 1)

2) *Multiple climate factors control on tree growth was mentioned in the ms. And a number of (different) temperature signals were explained from the effect of precipitation or soil moisture, which is either non-altitude-corrected or not analyzed. Is it possible to add some analysis or information about this? In the current ms, the mentioned potential drought limitation need more evidence to support.*

[Response]: Thank you for the comment. We agree with the reviewer that more information about the altitude effect of precipitation is needed. When the altitude increases 100 meters, the precipitation was estimated to increase 14.3 mm in the south central part (SCTP in this study) while decreased 21.7 mm in the southeast of the plateau (SETP), and there was substantial variability within each region (Lu *et al.* 2007) We added the above information in the revised MS to help better interpret our results.

To provide more evidence of drought limitations on tree growth at the Tibetan sites, we calculated daily vapor pressure deficit (VPD) to represent atmospheric drought conditions, and subsequently correlated it with our tree ring index series over 31-day sliding windows (the same method used for temperatures

and precipitation). Given that tree growth was more weakly limited by precipitation for the latitudinal transect (Mäkinen et al., 2000), we did not calculate VPD for the Finland sites. Our results also showed that the most correlated precipitation was usually in a negative way (Fig. 4). We put the above points in the MS as follows:

“To depict the potential drought limitations on tree growth, we calculated the daily vapour pressure deficit (VPD) based on vapour pressure (V) and relative air pressure (RH) records, using Equation (1) (Allen et al., 1998):

$$VPD = V \times \frac{1 - RH}{RH} \quad \text{Equation (1)}$$

Since absolute-value changes would not affect the results of the correlation analyses between tree-ring width indices and climate variables, the precipitation and VPD were not adjusted for each plot on the Tibetan Plateau. Given that tree growth was only weakly limited by precipitation on the latitudinal gradient (Mäkinen et al., 2000), VPD was not calculated for the Finnish sites.” (Page 5 Line 5-11)

The correlation results confirmed our expectations that the climatic drought had played an important role in limiting tree radial growth at the altitudinal gradients on the Tibetan Plateau, especially during the pre-growing season (January-April) and previous post-growing season (September-December of previous year) in the two lower altitudes of SCTP (Fig. 4j). The significantly positive correlations during July for the two higher altitudes in SETP (Fig. 4i), indicating potential temperature limitation on tree radial growth as for that lower VPD is usually accompanied with lower air temperature.

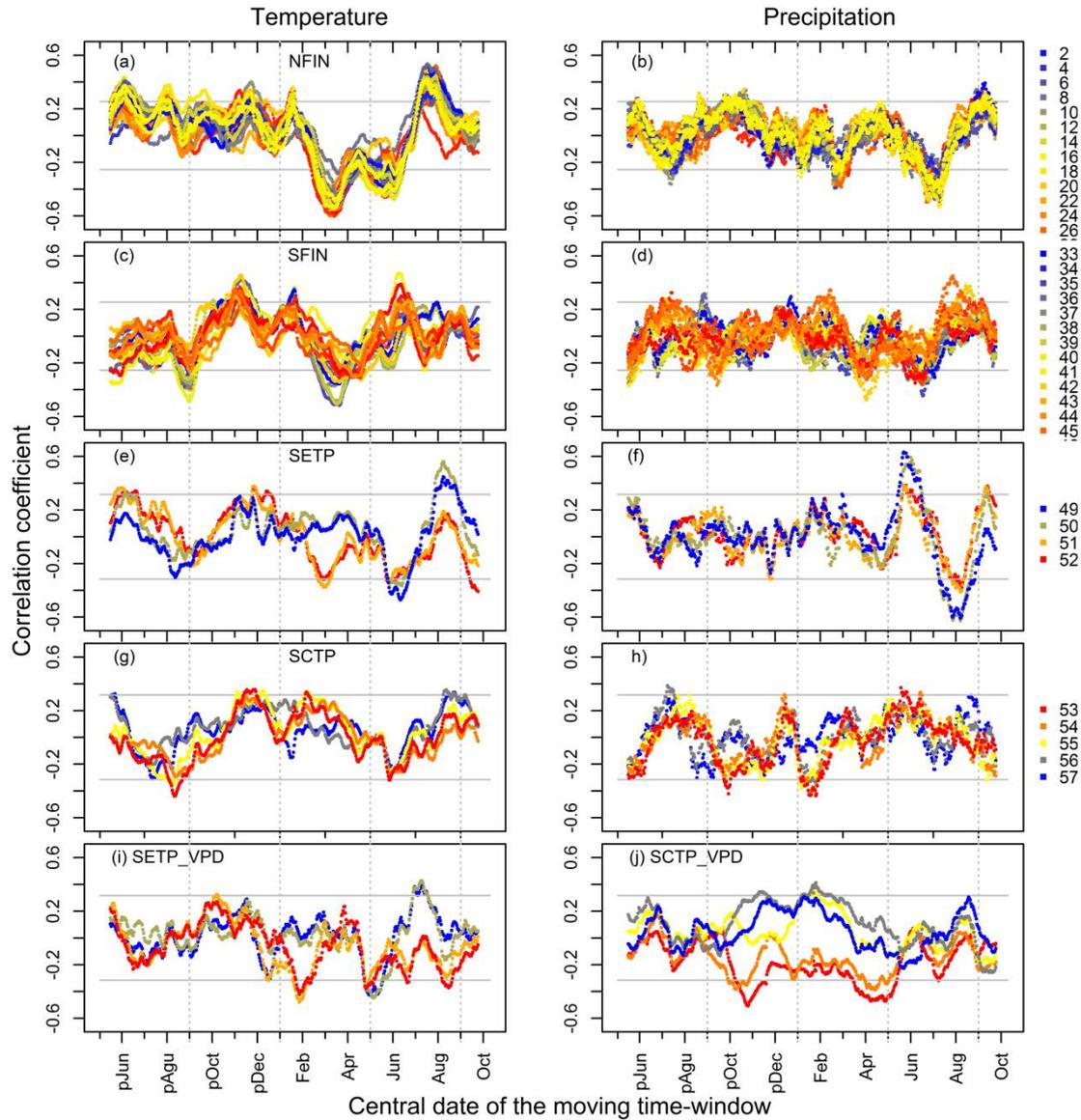


Fig. 4 Correlation coefficients between the ring-width index chronologies (separate sub-figures for each region, and 15 separate colors for each plot) and detrended series of mean temperature and precipitation sum in moving time-windows of 31 days. The X-axis is the central day of the time-window used in calculating the climate variables, with the first day of each month marked by ticks. The plot numbers are consistent with Table 1. Note that the color gradient legend for subpanels a-b is by 2 sites.

3) *Both the climate signal and the timing for the max signal are changing along with the two gradients. And in the ms, latitude and altitude gradients are both using (July) temperature to distinguish, which implies the changing temperature is one of the major reason for the change of these pattern. If that's the case, the increase of temperature during the record period should also have influence. Does this*

mean either the timing or the strength of the temperature signal would change with time? Would the warming have any effect on this analysis? Would this weaken the application of using daily climate data? Because it could be always changing year-by-year.

[Response]: Very good comment! Temperature is indeed an important factor affecting the phenology such as the timing of budburst in spring, and may affect tree growth subsequently. According to infield observations of temperatures at a treeline altitude (4390 m a.s.l.) on the southeastern Tibetan Plateau, the onset of the growing season had advanced by 6.6 days over the period 1960-2010 (Liu et al., 2012). Similarly, spring phenology had advanced by 3-4 days per century for Scots pine (Salminen & Jalkanen 2015), and by 3-11 days per century for eight boreal tree species (Linkosalo et al. 2009). The changes in the spring phenology in this study is thus likely to be far less than 10 days given that our study periods are 60 and 39 years for Finland and Tibetan Plateau, respectively.

We agree that the inter-annual weather variations cut back the detected climate signals especially if we use a small time-window (e.g. less than 10 days). However, the used window length, compared with potential changes in the spring phenology (being likely to be far less than 10 days), is rather long (31 days), and would significantly alleviate the effects from the potential changes in the spring phenology. On the other hand, an over-long time-window is not recommended either, because it would fail to detect the most critical period of radial growth. So, the sliding window of 31-day length in this study is a result of the tradeoff between stiffness (long-term time window) and noisiness (shorter time window). We added a paragraph in the end of the Discussion Section to demonstrate the choice of window length when daily climate data is used in future studies:

“The sliding window length of 31 days used in this study was a result of a trade-off between stiffness (longer time window) and noisiness (shorter time window). If a small time-window (e.g., below 10 days) was used, noisy correlation patterns were introduced due to year-by-year variations of climate conditions. However, an overly long time-window is not recommended either, as it fails to detect the most

critical period of radial growth. ” (Page 9 Line 15-18)

Compared with commonly used monthly metrics, the application of daily weather data should thus be advantageous in revealing more accurate information about the climate signals without introducing more noises caused by inter-annual variations of the weather conditions if a sliding time window of suitable length is used.

Reference:

Liu, B., Y. Li, D. Eckstein, L. Zhu, B. Dawadi, and E. Liang. Has an extending growing season any effect on the radial growth of Smith fir at the timberline on the southeastern Tibetan Plateau? *Trees* 27:441-446, 2013.

Linkosalo, T., Häkkinen, R., Terhivuo, J., Tuomenvirta, H., and P. Hari. The time series of flowering and leaf bud burst of boreal trees (1846–2005) support the direct temperature observations of climatic warming. *Agricultural and Forest Meteorology* 149, 453-461. 2009

Salminen, H., and R. Jalkanen. Modeling of bud break of Scots pine in northern Finland in 1908-2014. *Frontiers in Plant Science* 6, 104. 2015.

4) *There are lots of places mentioned “growing season”, e.g. in the abstract, page 5 line 25, page line4 and line17, page 9 line3. However, there is no clear definition about it. If we treat the growing season as May-Aug, which was mentioned in page8 line4, it has both very strong negative and positive correlation between ring width and temperature. However, only positive correlation was mentioned in the ms. Definition and what value was used need to be cleared.*

[Response]: We are grateful to the reviewer for pointing that out. We added a definition of the “growing season” to the Method section as follows: “To illustrate and emphasize potential regional differences in climatic signals among the seasons, we defined four seasons (previous growing season: May to August of the previous year; previous post-growing season: September to December of the previous year; current pre-growing season: January to April of the growth year; current growing season: May to August of the growth year) and separately chose the most correlated periods within each season to arrive at a more general picture of the growth-climate relationships.” (Page 5 Line 24-28)

We agree with the reviewer that both the positive and negative correlations should be addressed accordingly. In the revised MS, we added more descriptions on the negative correlations during the growing season as follows: “On the Tibetan Plateau, these negative correlations were accompanied by positive correlations with precipitation (Figs. 4g and 5h) and negative correlations with VPD (Fig. 4i and 4j) during the early part of the growing season, especially for the two lower altitude plots of SCTP.”. (Page 6 Line 10-13)

5) *Results, especially in page 5, are difficult to follow. It is hard to get consistent described results via reading the figures (Fig. 5 and 6). And the climate signals of “growing season”/“summer” made it more confusing. Does this mean a period of several months, or only one month/peak?*

[Response]: Thanks for pointing out this ambiguous point in the text. We wanted to find the peaks (not the averages) of correlations over 31-day windows within each predefined season (such as growing season: May-August) and compare these peaks along the altitudinal and latitudinal gradients. We replaced the “summer” by “growing season” throughout the text.

To avoid potential misunderstandings, we also made the clarification in the Method Section as follows: “To illustrate and emphasize potential regional differences in climatic signals among the seasons, we defined four seasons (previous growing season: May to August of the previous year; previous post-growing season: September to December of the previous year; current pre-growing season: January to April of the growth year; current growing season: May to August of the growth year) and separately chose the most correlated periods within each season to arrive at a more general picture of the growth-climate relationships.” (Page 5 Line 24-28)

Other comments:

1) *Page 3 line 27: Why the special sampling method was applied in these altitude gradient sites.*

[Response]: To obtain a well-replicated tree ring width chronology, at least 30 trees

were sampled from each stand. Enough trees could be easily sampled from a smaller area in the middle and lower altitude stands due to larger stand densities, compared with the treeline stands. A single rectangular plot (like at treeline) with a relatively smaller areal coverage might have some exceptional conditions, such as microclimate or landform. Therefore, we sampled six groups of trees at the same altitude to avoid potential exceptional conditions for the two lower altitudes. We added these explanations in the MS as follows:

“For each of the remaining two altitudinal plots, using a single rectangular plot over a relatively small areal range (due to larger stand densities) might reflect very specific conditions, such as particular microclimates and landforms of the plot. To increase the representativeness of forest stands, six random groups of *A. georgei* were sampled. Each group consisted of a central tree and four nearest trees in different compass directions around the central tree.” (Page 4 Line 4-7)

2) *Page 4 line 16: the altitude of these meteorological stations should be supplied, especially for the altitude gradient sites. This would be helpful for understanding the process of altitude adjustment, and could be helpful to evaluate the consistence between the local precipitation and met record.*

[Response]: Thanks for the comment. The coordinates and altitudes of the stations were added as follows: “We used the Sodankylä (E 26°37', N 67°21', 179 m a.s.l.), Jyväskylä (N 62°24', E 25°40', 139 m a.s.l.), and Heinola (N 61°12', E 26°3', 92 m a.s.l.) weather stations from the northern, central, and southern parts of Finland and the weather stations Nielamu (N 28°11', E 85°58', 3810 m a.s.l.) and Linzhi (N 29°40', E 94°20', 2992 m a.s.l.) from the SCTP and the SETP data, respectively (Fig. 2)”. (Page 4 Line 22-25)

3) *Page 5 line 2: what is the difference between plot-wise mean increment chronologies and RWI.*

[Response]: Actually, they are the same thing. Sorry for the confusions caused by this. We replaced “plot-wise mean increment chronologies” by “RWIs”. (Page 5 Line 18)

4) *Not sure whether Fig. 4 is necessary. The results show more location/site dependent, which is more obvious than these gradients. And this makes sense, because RWI of similar locations should have similar pattern. And in fact, no composite cluster result is used in the following analysis.*

[Response]: Yes, the cluster results were not used in the subsequent analysis, so we removed the Fig. 4 and all the related descriptions and discussion in the revised MS.

5) *More caption is needed to describe what is the gray horizontal line in fig.5. If this is the significance test level, why all of the sites have the same test level, considering the different length of climate record for different sites.*

[Response]: Thanks for the comment. Actually, the correlations have different test levels between Finland ($N = 60$) and Tibetan ($N = 39$) regions. We added more descriptions on the correlation periods: “The correlation periods for the Finnish and the Tibetan subsets are 1938-1997 and 1968-2006, respectively. The gray horizontal lines denote the 5% significance levels.” (Page 17 Line 15-16)

Anonymous Referee #2

Received and published: 10 April 2017

The manuscript, “Tree growth and its climate signal along latitudinal and altitudinal gradients: comparison of tree rings between Finland and Tibetan Plateau” has good potential to reveal some insightful ways in which trees and tree populations respond to climate over gradients. I enjoy the potential in the data and some of the findings. It is written well enough for most audiences; some places could use some clarification. While many of the results generally follow prior work, the examination of the sub-monthly response is particularly novel, especially at such large scales.

1) *One major concern I have is how do the authors control for differences in sunlight or day length in comparing to two regions? There are significant differences between the two and can affect the results. How do they ensure that some of the differences they see, perhaps with the response to precipitation, is not related to day length?*

Response: Yes, there is a significant difference in sunlight and day length between our study regions. Within the latitudinal gradient, the day length gradually changes towards higher latitudes, while no trends exist in the altitudinal transect. Increment onset indicates a tree status driven by the past winter chilling, photoperiod and thermal forcing. Development of tree status integrates signals over longer periods, but the actual onset of height and radial increment usually depends on thermal thresholds. The previous Finnish studies suggested a thermal threshold around 100 d.d. for the actual onset of radial increment. In a recent study, we found that the onset of tracheid production in Scots pine and Norway spruce varied from late May in southern Finland to mid-June in northern Finland (Jyske et al. 2014). However, no latitudinal trend was found in the temperature accumulated by the onset of tracheid production.

In the northern regions, the stimulus for increment cessation is considered to be controlled by photoperiod (e.g., Tranquillini and Unterholzner 1968; Allona et al. 2008). The variation among the years in the cessation of tracheid production indicates that other factors in addition to photoperiod determine the cessation date (e.g., Rathgeber et al. 2011; Kalliokoski et al. 2013). Recently, some studies (e.g., Tanino et

al. 2010) have shown that temperature may mediate this photoperiod response. Thus, while the difference in day length could not be avoided in the comparisons of tree growth-climate relationships between the two regions, we believe that it is one minor underlying reason for the response differences between the studied regions.

Reference:

Allona I, Ramnos A, Ibanez C, Contreras A, Casado R, Aragoncillo C. Molecular control of dormancy establishment in trees. *Span J Agric Res* 6:201–210, 2008.

Jyske T, Mäkinen H, Kalliokoski T, Nöjd P. Intra-annual xylem formation of Norway spruce and Scots pine across latitudinal gradient in Finland. *Agric For Meteorol* 194:241–254, 2014.

Kalliokoski T, Mäkinen H, Jyske T, Nöjd P, Linder S. Effects of nutrient optimisation on intra-annual wood formation in Norway spruce. *Tree Physiol* 33:1145–1155, 2013.

Rathgeber CBK, Rossi S, Bontemps J-D. Cambial activity related to tree size in a mature silver-fir plantation. *Ann Bot* 108:429–438, 2011.

Tanino KK, Kalcsits L, Silim S, Kendall E, Gray GR. Temperature-driven plasticity in growth cessation and dormancy development in deciduous woody plants: a working hypothesis suggesting how molecular and cellular function is affected by temperature during dormancy induction. *Plant Mol Biol* 73:49–65, 2010.

Tranquillini W, Unterholzner R. Dürresistenz und Anpflanzungserfolg von Junglärchen verschiedenen Entwicklungszustandes. *Centralblatt für das gesamte Forstwesen* 85(2):97–110, 1968.

2) *The second smaller concern is that because the authors go to sub-monthly climate responses, Figures 5 or 6 (and much of the discussion related to those figures) are almost unnecessary. The findings are not too unexpected, but the sub-monthly analysis gives more insight. If choosing one, Figure 5 might be preferable over Figure 6. It is easier to read and provides more information.*

Response: We agree with the reviewer that the information of Fig. 6 is embedded in Fig. 5. However, Fig. 6 gives a more straightforward depiction of the most correlated periods along the gradients and a better comparability to the monthly correlation results (original Fig. 8). So we retained Fig. 6 in the manuscript.

Suggestion: as this is an international paper, perhaps use only Latin names throughout the manuscript.

Response: Thanks for the suggestion. We replaced the English names by Latin names through the MS. Specifically, we replaced “Norway spruce”, by “*Picea abies* (L.) Karst.”), and kept the Latin names “*Abies spectabilis* (D. Don) Spach” and “*Abies georgei* var. *smithii* Viguie and Gausсен” in the MS. (e.g. Page 3 Line 21, 22)

There are some concerns with the current status of the work. They are detailed below.

1) *Page 2, lines 1-2: it is likely better to emphasize these are potential natural laboratories. Space for time doesn't really equal time, especially given that less than 100 years are analyzed here. Environmental variability increases with greater periods of time. So removing that from the introduction would be ok. Along these lines, the authors do not fully come back to that concept.*

Response: We added “potential” before the “natural laboratories”, and removed “differences, they can serve as natural laboratories to infer forest responses to global warming, using the concept of space-for-time substitution” from the MS. Thanks for pointing out this issue. (Page 2 Line 4-5)

2) *Page 2, Line 7: perhaps “or” instead of “and” between reproduction and survival. Also, here and throughout: the Oxford comma will aid clarity in the manuscript.*

Response: We replaced “and” by “or” and added a comma before it in the text. We also checked the whole text and added a comma in similar occasions. Thanks for this comment. (Page 2 Line 13)

3) *Page 2, Lines 8-9: There are updates to the Loehle reference in the region with greater replication at a larger spatial scale. It also indicates the same concept in this sentence. Related: explain here how a negative correlation to temperature and a positive response to precipitation together equals drought.*

Response: We cited the latest research (Loehle et al., 2016) in the MS. (Page 2 Line

9)

Higher temperature would increase the evapotranspiration and thus tend to increase drought stress on tree growth in regions with annual or seasonal water deficits (Fan et al., 2013). So, tree growth is usually negatively correlated with temperatures and positively correlated with precipitation in such environments. We added this point in the revised MS. Following sentences were added:

“In regions with annual or seasonal water deficits, higher temperatures would increase the evapotranspiration, thus increasing drought stress on tree growth (Fan et al., 2013). Therefore, in such environments, tree growth is typically negatively correlated with temperature and positively correlated with precipitation.”(Page 2 Line 13-15)

Reference:

Loehle, C., C. Idso, and T. Bently Wigley. 2016. Physiological and ecological factors influencing recent trends in United States forest health responses to climate change. *Forest Ecology and Management* **363**:179-189.

Fan, Z.-X., and A. Thomas. 2013. Spatiotemporal variability of reference evapotranspiration and its contributing climatic factors in Yunnan Province, SW China, 1961–2004. *Climatic Change* **116**:309-325.

4) *Page 2, Lines 26-27: (Kim and Siccama 1986) is a great forerunner of this idea and deserves recognition. It was well ahead of its time.*

Response: We have added the reference “Kim and Siccama 1987” in the MS. Thanks for pointing out this pioneer research. (Page 2 Line 32)

Reference:

Kim, E., and Siccama, T.G.: The influence of temperature and soil moisture on the radial growth of northern hardwood tree species at Hubbard Brook Experimental Forest, New Hampshire, USA. In *Proceedings, International Symposium on Ecological Aspects of Tree-Ring Analysis*. Edited by G.C. Jacoby and J.W. Hornbeck. U.S. Dep. Energy Publ. CONF-8608144-26-37. pp. 26–37. 1987.

Materials and Methods:

5) *Page 3, Lines 16-28: how might sampling in belts alter the climatic response? Does differing densities impact the observed climatic response? See, for instance, (SánchezSalguero et al. 2013, Sohn et al. 2013, Aldea et al. 2017).*

Response: Thanks for the comment. Due to the changes in local climate conditions (such as temperatures and precipitation) along with increasing altitude, tree growth-climate relationships have been found to be altitude-dependent on the Tibetan Plateau (Lv & Zhang 2012; Liang et al., 2009).

We agree with the reviewer that the tree growth-climate relationships could be affected by stand densities too. The neighbor trees could modify the microclimate around a tree and subsequently affect its radial growth. We thus added some discussions on stand densities in the revised paper. (Page 7 Line 10-13)

6) *Page 4, Line 4 etcetera: the proper convention is crossdate, crossdating, crossdated. Please use this convention.*

Response: We replaced “cross-dated”, “cross-dating”, and “cross-dated” by “crossdate”, “crossdating”, and “crossdated” throughout the text. (Page 4 Line 9, 11, 12)

7) *Page 4, Lines 20-24: it is not likely a serious issue, but how might the inferred temperature with elevation impact the results?*

Response: The elevational adjustments will not affect the latter correlation analysis results. The reason why we corrected the temperatures is that we want to compare the correlations and tree-ring statistics along a common gradient (mean July temperature, in this study) to explore the potential patterns regarding tree growth-climate relationships.

8) *Page 4, Lines 26-29: although long in use, mean sensitivity is not a useful for comparing tree-ring records. See (Bunn et al. 2013). Please remove the MS analysis and comparison from the manuscript. Suggestion: an interesting comparison might be the coherence within each population over space. Because*

many of these samples were collected in plots or were aimed to be representative of the forest, perhaps a box plot or something similar expressing the strength of inter-series correlation would be compelling and insightful instead of MS.

Response: Very good comment! We removed the mean sensitivity results and relevant descriptions. To depict the representativeness of the samples to the forest stands, we calculated and compared the mean inter-series correlations of all the sampling sites (Fig. 3c).

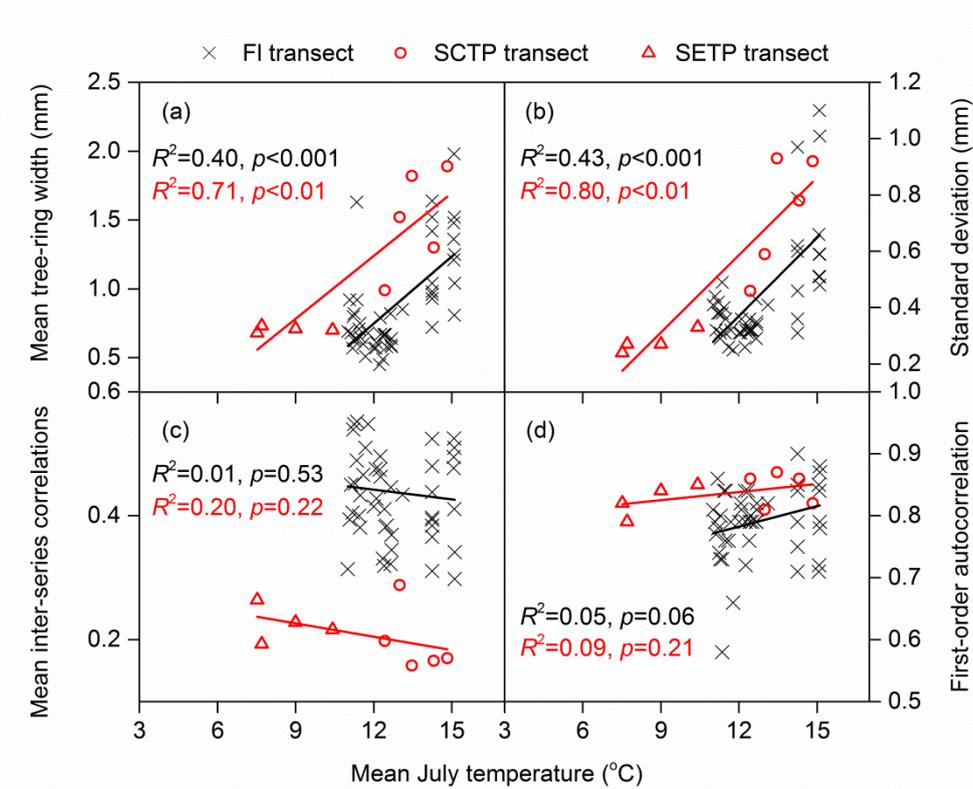


Figure 3: Stand level statistics of raw tree-ring widths along the latitudinal gradient in Finland (FI) and the altitudinal gradients on the Tibetan Plateau (TP). The lines are linear regression lines. The black colour marks the data for the latitudinal gradient, and the red denotes the data for the altitudinal gradients.

9) Page 5, Lines 4-6: why 31 day windows? Did the authors experiment with narrower windows?

Response: The sliding window of 31-day length in this study is a result of the tradeoff between stiffness (long-term time window) and noisiness (shorter time window). If we use a small time-window (e.g. less than 10 days) to diagnose the climate signals of

tree growth, potential noises might be introduced due to the year-by-year variations of climate conditions. However, an over-long time-window is not recommended either, because it would fail to detect the most critical period of radial growth. A justification about the window length was added in the end of the Discussion Section as follows:

“The sliding window length of 31 days used in this study was a result of a trade-off between stiffness (longer time window) and noisiness (shorter time window). If a small time-window (e.g., below 10 days) was used, noisy correlation patterns were introduced due to year-by-year variations of climate conditions. However, an overly long time-window is not recommended either, as it fails to detect the most critical period of radial growth.” (Page 9 Line 15-18)

Actually, we have calculated correlations over the whole spectrum of window lengths from 5 days up to 180 days. But the comparisons and the presentations of these large amount of information among sampling sites along the temperature transects of this study would be a challenge and they are not shown because of the redundancy. For instance, the correlation results over 29-day window would resemble most of that of 30-day window. So, we pick out the correlation results over 31-day windows to demonstrate the strength of the usage of daily climate data over sliding windows, compared with commonly used monthly climate data.

10) Page 5, Lines 8-11: *given the submonthly work, it is not clear the use or need for these analyses. Why focus on seasons?*

Response: We wanted to illustrate and emphasize potential regional differences in climatic signal among the seasons. So we defined four seasons and picked out the most correlated periods within each season separately to get a more general picture of the growth-climate relationships. (Page 5 Line 24-28)

11) Page 5, Lines 16-19: *suggest removing the MS results.*

Response: We removed these results regarding MS as suggested.

12) Page 5, Lines 20-24, Figure 4: *Did the authors conduct cluster analysis within*

each region? Might the analysis on all populations force artificial grouping within each region? The analyses in Figures 7 & 8 somewhat supersede the scale of analysis in Figure 4, correct?

Response: The separated cluster analyses for each of the regions kept the within-region structure of the previous results. However, given that the results of the cluster analysis were not used in the latter growth-climate relationships, and the gradient patterns were well revealed in the latter figures as the reviewer also pointed out, we removed Fig. 4 from the revised MS.

13) *Suggestion for Figure 7 and most figures: consider choosing a consistent color scheme for Finland and the Tibetan Plateau and use it throughout the paper. In Figure 4 the TP is black, but by Figure 6, Finland is black. Maintaining the same colors for regions will make it easier on the reader.*

Response: In the revised MS, we used consistent colors and symbols in Figs 3, 6 and 7. The original Fig 4 was removed from the revised MS.

14) *Page 5, Lines 25-30, Figure 5: the authors write about growing season, but their information here is more specific. Suggest that in the Results the authors should be more specific. The authors make much of a negative correlation to temperature in early summer, but it appears this response is much stronger in February and March in northern Finland? Am I interpreting this incorrectly? If so, I apologize. If not, consider re-emphasizing these results. They do not seem as similar as suggested in the text. There appears to be negative correlations in northern Finland in May & June, but they are much weaker compared to earlier in the year.*

Response: We reorganized the description of the results and were more specific within the seasons.

As for the climate response in northern Finland, the negative correlations in February and March were stronger than in May and June. We put more emphasis on these strongest correlations in the Results section: “[During the current pre-growing season, significant negative correlations between the RWIs and February temperatures](#)

were found for most Finnish plots (Figs. 4, 5g). These negative correlation peaks showed a latitudinal pattern, with stronger correlations for northern plots (Fig. 5g).”

(Page 6 Line 14-16)

15) *To make Figure 5 easier to interpret, suggest putting the months on the top of the top 2 plots. Also, perhaps make the symbols in Figure 5 smaller or replace with lines so a clearer interpretation can be made.*

Response: We replaced the symbols with smaller ones to avoid overlaps as much as possible. Meanwhile, we kept the month names downside because moving upward did not improve the readability after we had tried. But we did add ticks to the top axis to help for better interpretation of the figure. Please see the updated figure in the page 4.

16) *Page 6, Lines 10-14: why might the Finland plots have larger variability*

Response: We believe that the larger spatial variability of the temperature regimes (the July temperature ranges are 11.1-15.1 °C, 12.4-14.8 °C, 7.5-10.4 °C for the Finland, SETP, and SCTP respectively) might be the reason why larger variability occurred in the critical timing of climate signal in Finland.

Discussion:

17) *Page 6, Line 20: “ring-widths are lower” than what?*

Response: We rephrased the sentence as follows: “Our results confirmed that ring-widths are lower at the cold ends of both latitudinal and altitudinal gradients than at the warm ends”. (Page 7 Line 8-9)

18) *Page 6, Lines 21-23: remove MS discussion per above*

Response: Removed.

19) *Page 6, Lines 25-29: samples were collected in plots. Density, diversity, and their impact could presumably be investigated here instead of suggesting they might be at work in the results.*

Response: Yes, the comment is relevant. However, stand density and other local factors change along the gradient with temperature. Thus, it is difficult to quantitatively separate them from each other. We rephrased the sentences as follows:

“We suggest that the temperature gradients might be influenced by local factors, such as drought and plant-plant interactions. Local factors such as stand density and landform could shape diversified habitats with varying limiting conditions beyond temperatures. Previous studies showed tree growth on the Tibetan Plateau to be affected by drought conditions (Liang et al., 2014) as well as by competition from both trees and shrubs (Lyu et al., 2016b; Liang et al., 2016)”. (Page 7 Line 15-18)

20) Page 7, Lines 15-19: *there is a growing and now somewhat large body work finding or examining the relation between winter temperatures and tree growth. A review of this work would help contextualize the findings here. It might help signify the importance or the continuing line of evidence created by the findings in this study.*

Response: We added a review of the winter temperature effects on tree growth as follows: “...Low temperatures were often reported to affect tree growth through bud damaging and frost desiccation (Hawkins, 1993). Increasing temperatures may cause less damage to leaves and buds and thus, be less limiting for subsequent radial growth (Liang et al 2006; Fan et al., 2009)...”. (Page 8 Line 6-8)

Reference:

Hawkins, B.J. Photoperiod and night frost influence on the frost hardness of *Chamaecyparis nootkatensis*. Canadian Journal of Forest Research 23, 1408–1414, 1993.

Fan, Z., A. Bräuning, K. Cao, and S. Zhu. Growth-climate responses of high-elevation conifers in the central Hengduan Mountains, southwestern China. Forest Ecology and Management 258:306-313, 2009.

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21) *Page 8, Lines 9-16: this is one of the most novel aspects of the study here and should be emphasized earlier and more prominently. Interesting findings.*

Response: Thanks for the comment. To stress these findings, we moved the sentence describing the strength of the usage of daily data (“For instance ... but this association was not detected when when calendar months were used (Fig. 7d).”) to the Result Section. (Page 7 Line 3-5)

To better support our findings here, we also added a short review of the potential mechanisms behind these results in the Discussion part as follows:

“...In northern Finland, the onset of the growing season is approximately mid-June (Jyske et al., 2014), while the growing season starts in late May in southern Finland (Henttonen et al., 2009). Our results show that the timing of the most influential time period for tree growth is bounded by the onset of the growing seasons and is gradually delayed from south to north along the latitudinal gradient. Due to the critical nature of the early part of the growing season for the volume growth of trees (Cuny et al., 2015), an earlier start of the growing season means an earlier critical period of radial growth along the gradients.” (Page 9 Line 6-11)

Reference:

Jyske, T., Mäkinen, H., Kalliokoski, T., and Nöjd, P.: Intra-annual tracheid production of Norway spruce and Scots pine across a latitudinal gradient in Finland, *Agricultural and Forest Meteorology*, 194: 241-254, 2014.

Henttonen, H. M., Mäkinen, H., and Nöjd, P.: Seasonal dynamics of the radial increment of Scots pine and Norway spruce in the southern and middle boreal zones in Finland, *Canadian Journal of Forest Research*, 39, 606-618, 2009.

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22) *Page 8, Lines 21-23: why might this be? Is there literature that could help account for this finding?*

Response: The moisture limitation on tree growth was found for lower altitude plots in SCTP in our previous study (Lv and Zhang, 2012). Compared with the Finnish

plots, the Tibetan sites might have higher evapotranspiration due to higher solar radiance (Leuschner, 2000), especially at lower altitudes due to higher temperatures. We integrated these points in the MS to better contextualize our study as follows:

“The moisture limitation on tree growth was found for lower altitude plots in SCTP in our previous study (Lv and Zhang, 2012). Compared to the Finnish plots, the Tibetan plots might have higher evapotranspiration due to higher solar radiance (Leuschner, 2000), particularly at lower altitudes due to higher temperatures.” (Page 8 Line 25-27)

Reference:

Leuschner, C. Are high elevations in tropical mountains arid environments for plants? *Ecology* 81:1425-1436, 2000.

Lv, L.-X., and Q.-B. Zhang. Asynchronous recruitment history of *Abies spectabilis* along an altitudinal gradient in the Mt. Everest region. *Journal of Plant Ecology* 5:147-156, 2012.

23) Page 8, Lines 24-28: *Masting comes out from nowhere in this manuscript. Do the species study mast? If so, how regularly? If there cannot be a better tie between the species studied and masting, it is suggested that this section be dropped.*

Response: We do not have long-term data on the masting behavior for both of the data sets and this part was thus removed from the revised MS.

24) References:

Aldea, J., F. Bravo, A. Bravo-Oviedo, R. Ruiz-Peinado, F. Rodríguez, and M. del Río. 2017. Thinning enhances the species-specific radial increment response to drought in Mediterranean pine-oak stands. *Agricultural and Forest Meteorology* 237:371-383.

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Sohn, J. A., T. Gebhardt, C. Ammer, J. Bauhus, K.-H. Häberle, R. Matyssek, and T. E. Grams. 2013. *Mitigation of drought by thinning: short-term and long-term effects on growth and physiological performance of Norway spruce (Picea abies). Forest Ecology and Management* 308:188-197.

Response: We are very grateful to the reviewer for the insightful comments and the related references.

In addition to these above mentioned changes, we also sent our MS to a British editor to improve the English language of the text through a professional language service agency (MogoEdit, <http://en.mogoedit.com/>) and attached the certificate of English Editing at the end of this response letter. Note that the mark-up MS was attached below the response letter.

Yours sincerely,

Lixin Lyu on behalf of all co-authors

Tree growth and its climate signal along latitudinal and altitudinal gradients: comparison of tree rings between Finland and Tibetan Plateau

Lixin Lyu¹, Susanne Suvanto², Pekka Nöjd², Helena M. Henttonen³, Harri Mäkinen², Qi-Bin Zhang¹

¹State Key Laboratory of Vegetation and Environmental Change, Institute of Botany, Chinese Academy of Sciences, Beijing, 100093, China

²Natural Resources Institute Finland, Bio-Based Business and Industry, [c/o Aalto University, P.O. Box 16200, 00076 Espoo](https://www.naturalresources.fi), Finland

³Natural Resources Institute Finland, Economics and Society, PO Box 2, 00791 Helsinki, Finland

Correspondence to: Lixin Lyu (lixinlv@ibcas.ac.cn)

Abstract: Latitudinal and altitudinal gradients can be utilized to forecast the impact of climate change on forests. To improve the understanding on how these gradients impact forest dynamics, we tested two hypotheses: (1) the change of the tree-growth/climate relationship is similar along both latitudinal and altitudinal gradients, and (2) the time periods during which climate affects growth the most occur later towards higher latitudes and altitudes. To address this, we utilized tree-ring data from a latitudinal gradient in Finland and from two altitudinal gradients of the Tibetan Plateau. We analysed the latitudinal and altitudinal growth patterns in tree rings and investigated the growth-climate relationship of trees by correlating ring-width index chronologies with climate variables, calculated with flexible time-windows, and using daily-resolution climate data. High latitude and altitude plots showed higher correlations between tree-ring chronologies and [growing season](#) temperature. However, the effects of winter temperature showed contrasting patterns for the gradients. The timing of highest correlation with temperatures during the growing season at southern sites was approximately one month ahead of that at northern sites in the latitudinal gradient. In one out of two altitudinal gradients, the timing for strongest negative correlation with temperature at low-altitude sites was ahead of treeline sites during the growing season, possibly due to differences in moisture limitation. Mean values and the standard deviation of tree-ring width increased with increasing mean July temperatures on both types of gradients. Our results showed similarities of tree growth responses to increasing seasonal temperature between latitudinal and altitudinal gradients. However, differences in climate-growth relationships were also found between gradients, due to differences in other factors, such as moisture conditions. Changes in the timing of the most critical climate variables demonstrated the necessity for the use of daily resolution climate data in environmental gradient studies.

1 Introduction

Understanding how tree growth responds to temperature changes is crucial for an accurate prediction of future changes in forest dynamics, caused by the continuing global warming. Since both altitudinal and latitudinal gradients are associated with consistent temperature differences, both can serve as potential natural laboratories to infer forest responses to global warming (Jump et al., 2009; Stevens, 1992; Blois et al., 2013). A temperature decline of approximately 5 to 6.5 °C per km of elevation on an altitudinal gradient corresponds to moving approximately 1000 km poleward on a latitudinal gradient (Jump et al., 2009).

Tree growth responds to temperature changes along latitudinal gradients that correspond to changes along altitudinal gradients at mountain areas. Toward the cold end of the gradients, low temperature limits tree growth and ultimately prevents the growth, reproduction, or survival of trees at the treeline (Henttonen et al., 1986; Körner, 1998; Jobbágy and Jackson, 2000; Lyu et al., 2016b). In contrast, at low latitude or altitude areas, competition and drought are typical factors

that limit tree growth and recruitment (Loehle, 1998; Mäkinen et al., 2003; Lv and Zhang, 2012; Di Filippo et al., 2007; Loehle et al., 2016). In regions with annual or seasonal water deficits, higher temperatures would increase the evapotranspiration, thus increasing drought stress on tree growth (Fan et al., 2013). Therefore, in such environments, tree growth is typically negatively correlated with temperature and positively correlated with precipitation.

For instance, Mäkinen *et al.* (2003) reported that the correlation between the radial increment of *Picea abies* (L.) Karst. and the summer temperature changes from positive near the Arctic Circle to negative within Central Europe. Similarly, using a multi-species data set from the International Tree-Ring Data Bank, Wettstein *et al.* (2011) showed that high-latitude ring-width series were more likely to positively correlate with summer temperatures, while low-latitude sites commonly showed negative correlation. Similar correlation patterns between ring-width series and summer temperatures have been reported on altitudinal gradients; examples can be found in Andreassen *et al.* (2006) for *P. abies* and Shen *et al.* (2016) for *Larix olgensis* A. Henry.

In addition to the gradually changing relationship between temperature and growth, the timing of radial increment shifts along the latitudinal and altitudinal gradients exerts a further influence (Henttonen et al., 2009; Jyske et al., 2014). The period of cambial activity and xylem cell growth gradually shortens towards colder areas due to thermal limits in wood formation (Rossi et al., 2007). As the timing of growth changes, the time-window, in which climate conditions affect growth changes most with increasing latitude (Henttonen *et al.*, 2014).

Studies on climate-growth relationships are typically based on monthly average values of climate variables (Briffa et al., 2002; Mäkinen et al., 2002; Andreassen et al., 2006). Since time periods that influence tree growth may not correspond to calendar months, information about climatic effects on tree growth will potentially be lost if monthly averages would be used (Hordo et al., 2011; Korpela et al., 2011; Henttonen et al., 2014). To better understand the climatic drivers of tree growth, higher resolution climate data and more flexible time frames should therefore be utilized (Kim and Siccama, 1987; Vaganov et al., 1999; Henttonen et al., 2014). In studies along temperature gradients (such as altitudinal and latitudinal

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gradients), this may be even more critical because the calendar month time-window may better suit some parts of the temperature gradient than others and therefore, the detected gradient patterns might partly be artefacts of the time-windows that were utilized.

In this study, we examined annual ring widths and their climatic signals along two types of temperature gradients using daily-resolution climate data: one latitudinal gradient in Finland and two altitudinal gradients on the Tibetan Plateau. Our starting hypotheses were: (1) the change of the growth-climate relationship is similar along both latitudinal and altitudinal gradients, and (2) the time periods during which climate maximally affects radial growth occur later towards higher latitudes and altitudes and consequently, the use of daily climate data instead of monthly averages will reveal more detailed climatic signals of tree growth.

2 Materials and Methods

2.1 The Finnish sites

In Finland, study sites were selected across a gradient of more than 850 km from southern Finland to the northern timberline of *P. abies* in Lapland (Fig. 1). A total of 48 pure *P. abies* stands were sampled in both national parks and private forests without visible logging activities (Table 1). All plots were on mineral soil, which is typical for *P. abies* in the study area. All sampling sites represent rather similar altitudes (115 m in southern Finland and up to 410 m in northern Finland). On each plot, 15 dominant trees without visible damage were cored at breast height (1.3 m). The number of sample trees was lower in some cases due to a limited number of available healthy dominant trees (Table 1). The method of sampling and measurement of the data set are described in detail in Mäkinen *et al.* (2000) for sites 1–40 and in Mäkinen *et al.* (2001) for sites 41–48.

2.2 The Tibetan Plateau sites

The data from altitudinal gradients on the Tibetan Plateau consisted of two gradients. The first gradient was sampled in summer 2007 and consisted of four *Abies spectabilis* (D. Don) Spach plots on the South Central Tibetan Plateau (SCTP), ranging from the lower (3410 m) to the upper limit (3920 m) of this *A. spectabilis* forest (Fig. 1, Table 1). The plots were subjectively located to represent spots regarding topography and stand structure at each altitude level. The plots extended 60 m along the slope and 30 m along the contour line. All trees with at least 10 cm diameter at breast height (corresponding to a height of 1.3 m) were cored. The data are described in detail by Lv and Zhang (2012). To fill the altitude gap between the uppermost and second uppermost plot, one more plot was established at an altitude of 3800 m where 34 mature trees were cored along a 10 m wide altitudinal belt (see Table 1).

The other gradient in an *Abies georgei* var. *smithii* Viguie and Gausсен on the South Eastern Tibetan Plateau (SETP) was sampled during the summer of 2013. Four plots were sampled at altitudes ranging from 3900 m to 4390 m (Fig. 1, Table 1).

The two highest plots were located along the treeline (SE4390 and SE4360) and the two remaining plots were located at the

medium (SE4140) and lower (SE3900) altitudes of this *A. georgei* forest (Fig. 1). For the treeline plots, increment cores were obtained from at least 30 trees within a belt of 30 m in width along the treeline, and these were cored for each plot. The lengths of the sampled treeline belts were 260 m and 380 m for SE4390 and SE4360, respectively, according to the stand density. For each of the remaining two altitudinal plots, using a single rectangular plot over a relatively small areal range (due to larger stand densities) might reflect very specific conditions, such as particular microclimates and landforms of the plot. To increase the representativeness of forest stands, six random groups of *A. georgei* were sampled. Each group consisted of a central tree and four nearest trees in different compass directions around the central tree.

2.3 Tree-ring data sets

Ring widths were visually crossdated and measured to an accuracy of at least 0.01 mm and crossdating was verified via COFECHA software (Holmes, 1983). For the Finnish data set, a total of 820 tree-ring width series from 48 *P. abies* stands were successfully crossdated. For the Tibetan Plateau data set, 401 tree-ring width series from nine *Abies* stands (247 trees from five stands in SCTP and 154 trees from four stands in SETP) were successfully crossdated. Mean segment length (MSL) ranged from 67 to 262 in the Finnish data set, and from 74 to 195 in the Tibetan Plateau data set (Table 1).

To remove age-related trends, the ring-width series were detrended with a spline function with a 50% frequency response cutoff at 30 years using the ARSTAN software (Cook and Peters, 1981). Ring-width indices (RWI) were subsequently calculated as the ratio between measured and estimated values. Mean site chronologies were calculated for each plot from the RWIs using the robust bi-weight mean (Cook, 1985).

2.4 Climate data sets

Daily climatic data (mean temperature and precipitation sum) were obtained from the Finnish Meteorological Institute for the Finnish gradient and from the China Meteorological Data Service Centre (<http://data.cma.cn/>) for the gradients of the Tibetan Plateau. Weather stations with a long measurement series were used to cover as much of the tree-ring chronologies as possible. We used the Sodankylä (E 26°37', N 67°21', 179 m a.s.l.), Jyväskylä (N 62°24', E 25°40', 139 m a.s.l.), and Heinola (N 61°12', E 26°3', 92 m a.s.l.) weather stations from the northern, central, and southern parts of Finland and the weather stations Nielamu (N 28°11', E 85°58', 3810 m a.s.l.) and Linzhi (N 29°40', E 94°20', 2992 m a.s.l.) from the SCTP and the SETP data, respectively (Fig. 2).

To ensure comparability of the latitudinal and the altitudinal gradients, we analysed the relationships of tree growth and climatic variation on a gradient of mean temperature of the warmest month (July). For the Finnish data set, we derived the mean July temperature for each site from the interpolated climate data set at a spatial resolution of 10 × 10 km (Venäläinen et al., 2005). We also calculated the mean July temperature for each plot of the Tibetan Plateau data set. July temperatures were obtained based on the altitude differences between the plots and weather station and monthly temperature lapse rates were defined for the South Central Tibetan Plateau (used for SCTP) by Kattel et al. (2013) and for the South Eastern Tibetan

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Plateau (SETP) by Kattel et al. (2015). In contrast to temperatures, the altitudinal changes in precipitation on the Tibetan plateau strongly varied between different parts of the region. The precipitation increased by 14.3 mm in the south central part (SCTP), while it decreased by 21.7 mm in the southeast of the plateau (SETP) as altitude increase 100 m; however, a substantial variability existed within each region (Lu et al. 2007).

To depict the potential drought limitations on tree growth, we calculated the daily vapour pressure deficit (VPD) based on vapour pressure (V) and relative air pressure (RH) records, using Equation (1) (Allen et al., 1998):

$$VPD = V \times \frac{1 - RH}{RH} \quad \text{Equation (1)}$$

Since absolute-value changes would not affect the results of the correlation analyses between tree-ring width indices and climate variables, the precipitation and VPD were not adjusted for each plot on the Tibetan Plateau. Given that tree growth was only weakly limited by precipitation on the latitudinal gradient (Mäkinen et al., 2000), VPD was not calculated for the Finnish sites.

2.5 Statistical methods

Mean and standard deviation (SD) of ring-widths, as well as mean inter-series correlation (Rbar) and first-order autocorrelation (AR1) of the increment index series were calculated for each plot. Mean inter-series correlations measure the synchrony of radial growth variations among trees within a forest stand and first-order autocorrelation is a correlation between subsequent increment indices (Fritts, 1976).

To study the relationship between climate variables and ring-width indices, we calculated Pearson product-moment correlation coefficients between the RWIs with temperature and precipitation for both the current year and the previous year.

Instead of using monthly means, mean temperature and precipitation sum were calculated in moving time-windows of 31 days, by moving the period forward at a resolution of one day. Correlations were calculated between the RWIs and climate variables for all possible 31-day-windows from the start of the previous May to the end of the August of the growth year. The correlation periods were 1938-1997 and 1968-2006 for both the Finnish and the Tibetan Plateau gradients, respectively.

The critical time periods of temperature and precipitation were identified for each plot based on the best correlation with mean RWI chronologies. To illustrate and emphasize potential regional differences in climatic signals among the seasons, we defined four seasons (previous growing season: May to August of the previous year; previous post-growing season: September to December of the previous year; current pre-growing season: January to April of the growth year; current growing season: May to August of the growth year) and separately chose the most correlated periods within each season to arrive at a more general picture of the growth-climate relationships. The correlation analysis was conducted using the statistical software R 3.23 (R Core Team, 2015).

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3 Results

The means and SD of stand level ring widths increased towards south for Finland and towards lower elevations for the Tibetan Plateau (Fig. 3). Similarly, mean inter-series correlations (\bar{R}) decreased towards the warm end of latitudinal or altitudinal gradients; however, without reaching a 5% significance level. In addition, weak increasing trends were observed for first-order autocorrelations towards the cold ends of both gradients.

During the current growing season, the positive correlation peaks between radial growth and temperatures were typically significant at high latitudes (northern Finland) and high altitudes (plots 49-50 of SETP and 53-54 of SCTP); whereas, the correlations were either weaker or non-significant for lower altitudes or latitude plots (Figs. 4 and 5d). In addition, the RWIs from high latitudes and altitudes negatively correlated with early summer (May-June) temperatures, but the correlations were weaker or non-significant for plots at the warmer end of the latitudinal gradient (Figs. 4 and 5). On the Tibetan Plateau, these negative correlations were accompanied by positive correlations with precipitation (Figs. 4g and 5h) and negative correlations with VPD (Fig. 4i and 4j) during the early part of the growing season, especially for the two lower altitude plots of SCTP.

During the current pre-growing season, significant negative correlations between the RWIs and February temperatures were found for most Finnish plots (Figs. 4, 5g). These negative correlation peaks showed a latitudinal pattern, with stronger correlations for northern plots (Fig. 5g). On the Tibetan Plateau, the correlations between the RWIs and winter temperatures were mainly non-significant (Figs. 4 and 5g), although negative correlation peaks with late winter temperatures occurred on one low-elevation plot in the SETP gradient.

During the previous post-growing season, significant positive correlations were found between RWIs and temperatures around November for most Finland sites (Fig. 4) without clear latitudinal trends (Fig. 5b). Furthermore, positive correlations also occurred at the Tibetan sites (Fig. 4), but these only reached a 5% significance level at the two lower sites of SETP and two sites of SCTP (Fig. 5b). Moreover, significant and negative correlations with temperatures in late October were observed at the two lower sites of SCTP.

During the previous growing season, positive correlations between temperatures and the RWI chronologies were found in both Finnish and Tibetan gradients (Fig. 4); however, these lacked clear patterns in the magnitudes of the correlation peaks (Fig. 5b). Negative correlations with previous growing season temperatures were found for many of the plots on the Tibetan Plateau and in southern Finland, but not for high latitude plots in Finland (Figs. 4 and 5e). On the Tibetan Plateau, the magnitude of the negative correlation peaks between RWIs and temperatures during the previous growing season did not reveal an altitudinal trend (Fig. 5e).

The timing of the highest correlation varied between the plots throughout all seasons (Fig. 6). While in some cases time-windows with the highest correlation did not differ notably (e.g., Tibetan Plateau plots in Fig. 6b and d). In other cases, time-windows with the highest correlations were spread throughout the whole season (e.g., Finnish plots in Fig. 6a and b). The Finnish plots seemed to show a larger variability in this respect.

The time-windows with the highest correlations between the RWI chronologies and climate variables were mostly found outside of calendar months (Fig. 6). A correlation analysis with monthly climate data resulted in weaker correlation coefficients and fewer significant correlations than if daily climate data was used (Figs. 5 and 7). For instance, tree growth on both high altitude plots (SC3920 and SC3800) in SCTP significantly correlated with temperatures during the current growing season (Fig. 5d), but this association was not detected when calendar months were used (Fig. 7d).

4 Discussion

4.1 Radial growth variation

Our results confirmed that ring-widths were thinner at the cold ends of both latitudinal and altitudinal gradients than at the warm ends. Moreover, radial-growth variations (as indicated by SD) also decreased with increasing latitude and altitude.

Interestingly, slightly declining trends were detected for mean inter-series correlation (R_{bar}) towards the warm end of the gradients. This pattern corresponded with the expectation that tree growth in general is more sensitive to environmental changes towards the harsher end of an environmental gradient and will respond similarly to the common driver (Fritts, 1976). However, the pattern that inter-tree synchrony of radial growth decreased with increasing altitude was also found in a recent study on the southern Tibetan Plateau (Lyu et al., 2016a). The underlying mechanism accountable for this pattern remains largely unknown. We suggest that the temperature gradients might be influenced by local factors, such as drought and plant-plant interactions. Local factors such as stand density and landform could shape diversified habitats with varying limiting conditions beyond temperatures. Previous studies showed tree growth on the Tibetan Plateau to be affected by drought conditions (Liang et al., 2014) as well as by competition from both trees and shrubs (Lyu et al., 2016b; Liang et al., 2016).

4.2 Climate signals of tree radial growth

Our results suggest that positive correlations between tree radial growth and growing season temperatures are stronger towards the cold end of the gradients. This suggestion is supported by earlier studies on latitudinal and altitudinal gradients (Mäkinen et al., 2003; Andreassen et al., 2006; Shen et al., 2016). The observed negative correlations with temperatures during May and June also showed a gradient pattern, with significant correlations mainly occurring on high altitude and latitude plots (Figs. 4 and 5h). In the SETP gradient, this negative correlation was accompanied by a positive correlation with precipitation (Fig. 5f), thus indicating a drought limitation of tree growth. The negative correlations between the RWI with vapour pressure deficit (VPD) further confirmed this suggested drought limitation on tree radial growth (Fig. 4), which is also supported by a previous study in the area (Lv and Zhang, 2012). However, the RWIs of the Finnish plots were not positively correlated with precipitation during the time periods of negative correlations with temperatures of the early growing season. This suggests that these negative correlations were not related to a lack of moisture in Finland. Indeed, droughts during spring are rare in Finland due to abundant moisture from the melting snow. Consequently, similar

temperature correlation patterns in the early summer for the latitudinal and altitudinal gradients are caused by different underlying mechanisms.

The correlation peaks of temperature and tree growth during previous post-growing season were found to be significant and positive throughout all gradients, but with relatively weaker strength compared to correlations of the current growing season.

5 This could be related to carbohydrate production during the autumns being stored and used for growth in the following growing season (Rammig et al., 2015). [Low temperatures were often reported to affect tree growth through bud damaging and frost desiccation \(Hawkins, 1993\). Increasing temperatures may cause less damage to leaves and buds and thus, be less limiting for subsequent radial growth \(Liang et al 2006; Fan et al., 2009\).](#) However, positive correlations in our results with temperature in November to December were also found for Finland, when light levels were low for photosynthesis and trees were likely to be in winter dormancy (Repo 1992, Beuker et al. 1998). The underlying mechanism still remains unclear.

10 Our results demonstrate the effect of February temperatures on radial growth in the latitudinal gradient of Finland, but not for altitudinal gradients. Significant and negative correlations were found across the whole latitudinal gradient, particularly on the northern plots. Previous studies in these high-latitude regions revealed similar results for *P. abies* forests (Miina, 2000; Mäkinen et al., 2003; Andreassen et al., 2006). Reduced growth during years with mild winters could be related to the timing of spring activation. Early activation from dormancy during warm winters may lead to a net carbon loss if respiration losses exceeded the photosynthetic production due to low light levels (Skre and Nes, 1996; Linkosalo et al., 2014). Early activation in spring also increases the risk of frost damage (Cannell and Smith, 1986; Hannerz, 1994). In contrast, the correlations between the RWIs and winter temperatures were mainly non-significant on the Tibetan Plateau. Only one low-altitude plot of the SETP gradient had a significant negative correlation between the RWIs and temperature around March.

15 20 Latitudinal and altitudinal gradients shared a similar decreasing trend in temperature during the current growing season, but many other factors, such as precipitation and light availability, were not necessarily consistent between gradients (Körner, 2007; Jump et al., 2009). Despite avoiding drought prone locations in the selection of the study sites for both the Finnish and Tibetan data sets, moisture conditions still differed between Finland and the Tibetan Plateau. For instance, negative correlations with temperature, combined with positive correlations with precipitation during early summer, indicate moisture limitation of tree growth in the Tibetan sites. [The moisture limitation on tree growth was found for lower altitude plots in SCTP in our previous study \(Lv and Zhang, 2012\). Compared to the Finnish plots, the Tibetan plots might have higher evapotranspiration due to higher solar radiance \(Leuschner, 2000\), particularly at lower altitudes due to higher temperatures.](#)

4.3 The critical time-windows for climatic influence on tree growth

30 Our results support the hypothesis that the timing of the most influential time-window during which climatic conditions influence tree growth changes along both latitudinal and altitudinal gradients. In the southernmost sites of the latitudinal gradient, time-windows with the strongest positive correlations between the RWIs and current growing season temperature (May-August) were about one month ahead of the northernmost sites, while no obvious trend could be detected for

altitudinal gradients. However, the strongest negative correlation between the RWIs and temperature during the current growing season occurred about 15 days earlier at the lowest altitude site in SETP than at the treeline sites, indicating likely alleviation of moisture limitation with increasing altitude.

Our results demonstrate that the use of daily resolution climate data can provide more details in the study of tree growth climate-relationships. The timing of tree growth changes along altitudinal and latitudinal gradients (Rossi et al., 2007; Henttonen et al., 2009; Jyske et al., 2014) and therefore, the timing of the most influential period is bound to change. In northern Finland, the onset of the growing season is approximately mid-June (Jyske et al., 2014), while the growing season starts in late May in southern Finland (Henttonen et al., 2009). Our results show that the timing of the most influential time period for tree growth is bounded by the onset of the growing seasons and is gradually delayed from south to north along the latitudinal gradient. Due to the critical nature of the early part of the growing season for the volume growth of trees (Cuny et al., 2015), an earlier start of the growing season means an earlier critical period of radial growth along the gradients. The strength of the relationship between tree growth and climatic variables could be underestimated due to a failure in identifying the most influential periods outside of calendar months. Usage of daily-resolution climate data is thus recommended in future studies that investigate growth-climate relationships along environmental gradients.

The sliding window length of 31 days used in this study was a result of a trade-off between stiffness (longer time window) and noisiness (shorter time window). If a small time-window (e.g., below 10 days) was used, noisy correlation patterns were introduced due to year-by-year variations of climate conditions. However, an overly long time-window is not recommended either, as it fails to detect the most critical period of radial growth.

5 Conclusions

Our results support the hypothesis that the change in the growth-climate relationship is similar along both latitudinal and altitudinal gradients, particularly for the effects of growing season temperature on growth. In addition to temperature, other factors such as moisture availability affect growth variation, consequently adding uncertainties to a comparison of temperature gradients. Therefore, a combined analysis that incorporates the effects of these gradient-type-related features with temperature trends merits further investigation.

We demonstrated that the use of daily resolution climatic data reveals more accurate information about the climatic signals in tree-ring data than monthly data. The critical time-windows for climatic effects on radial growth occurred earlier at lower latitudes and altitudes than at the cold ends of the gradients. Therefore, the use of daily climatic data may disclose gradient patterns that could not be detected if monthly climate data were used.

6 Acknowledgements

This study was supported by the National Natural Science Foundation of China (No. 31361130339, 31330015, and 31300409) and by grants from the Academy of Finland (No. 257641 and 265504). The climatic data were obtained from the China Meteorological Data Service Centre and the Finnish Meteorological Institute. All data resulting from this study are available from the authors upon request (qbzhang@ibcas.ac.cn for the Tibetan subset; harri.makinen@luke.fi for the Finnish subset).

References

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Table 1. Information on the plots, tree-ring samples, and the climate conditions along the latitudinal gradient in Finland and the altitudinal gradients on the southern Tibetan Plateau.

Site	Plot No.	Region code*	Latitude (N)	Longitude (E)	Altitude (m)	No. of trees	No. of cores	MSL #	MAT § (°C)	MAP § (mm)	T _{July} § (°C)
Finland											
Vuotso	1	NFIN	68° 13'	27° 11'	300	9	18	163	-1.77	483	11.1
Pokka	2	NFIN	68° 08'	25° 43'	285	10	20	146	-1.65	484	11.2
Pokka	3	NFIN	68° 03'	25° 39'	295	11	21	152	-0.35	543	11.2
Pallastunturi	4	NFIN	68° 02'	24° 04'	410	11	11	211	-0.94	519	11.0
Pallastunturi	5	NFIN	68° 00'	24° 08'	370	11	11	180	-0.7	519	11.2
Kittilä, Tieva	6	NFIN	68° 00'	25° 43'	275	10	20	138	-0.38	543	11.4
Vuotso	7	NFIN	68° 00'	26° 55'	305	11	22	189	-1.89	491	11.3
Pallasjärvi	8	NFIN	67° 59'	24° 14'	330	11	11	67	-1.74	486	11.3
Vuotso	9	NFIN	67° 59'	26° 35'	270	10	19	148	-1.5	488	11.5
Pallas	10	NFIN	67° 58'	24° 05'	405	8	8	173	-1.67	492	11.1
Kittilä, Kiistala	11	NFIN	67° 58'	25° 39'	305	10	20	124	-1.09	500	11.3
Soukkavaara	12	NFIN	67° 51'	24° 51'	305	10	10	191	-0.36	543	11.6
Jerisjärvi	13	NFIN	67° 50'	23° 59'	335	9	9	153	-1.57	487	11.5
Pomokaira	14	NFIN	67° 50'	26° 25'	265	11	22	183	-1.9	495	11.4
Kittilä, Saattopora	15	NFIN	67° 47'	24° 21'	270	10	19	177	-1.78	493	11.7
Kittilä, Kumputunturi	16	NFIN	67° 38'	25° 32'	205	6	12	204	-1.17	499	12.0
Sodankylä, Mosku	17	NFIN	67° 37'	27° 11'	210	10	20	131	-1.38	494	11.8
Kittilä, Tepsa	18	NFIN	67° 35'	25° 32'	202	10	19	167	-0.9	521	12.1
Ristonmännikkö	19	NFIN	67° 10'	26° 19'	245	10	20	209	-1.9	493	12.1
Niesi, Karhukuru	20	NFIN	66° 59'	25° 54'	215	13	13	187	-1.87	480	12.4
Niesi, Kunetti	21	NFIN	66° 59'	25° 53'	270	13	13	258	-0.9	521	12.2
Niesi, Kutuselkä	22	NFIN	66° 55'	25° 52'	255	11	11	262	0.04	539	12.3

Niesi, Kutuselkä	23	NFIN	66° 55'	25° 56'	275	13	13	170	-2.14	481	12.3
Niesi, Turhapuro	24	NFIN	66° 55'	25° 56'	275	10	20	149	-1.89	482	12.3
Kivalo	25	NFIN	66° 19'	26° 40'	285	11	11	241	-2.07	478	12.5
Kivalo	26	NFIN	66° 19'	26° 42'	240	10	20	202	-2.27	477	12.7
Kivalo	27	NFIN	66° 18'	25° 42'	240	10	20	190	-0.56	543	12.7
Kivalo	28	NFIN	66° 18'	25° 42'	250	9	9	169	-0.39	543	12.6
Kivalo	29	NFIN	66° 18'	25° 42'	245	12	12	192	-0.81	521	12.7
Lamu, Mäsäjärvi	30	NFIN	66° 18'	25° 31'	160	13	13	157	-1.06	511	13.1
Kivalo	31	NFIN	66° 18'	26° 45'	315	10	20	173	-0.65	544	12.4
Kivalo	32	NFIN	66° 18'	26° 43'	310	10	20	199	-0.64	544	12.4
Pyhä-Häkki	33	SFIN	62° 50'	25° 29'	175	10	20	185	2.4	630	14.2
Pyhä-Häkki	34	SFIN	62° 49'	25° 29'	162	10	20	86	2.4	630	14.2
Pyhä-Häkki	35	SFIN	62° 49'	25° 29'	162	10	20	124	2.4	630	14.2
Pyhä-Häkki	36	SFIN	62° 49'	25° 29'	166	10	20	96	2.4	630	14.2
Pyhä-Häkki	37	SFIN	62° 49'	25° 29'	166	10	20	134	2.4	630	14.2
Pyhä-Häkki	38	SFIN	62° 49'	25° 29'	162	9	18	126	2.4	630	14.2
Pyhä-Häkki	39	SFIN	62° 49'	25° 29'	172	10	20	120	2.4	630	14.2
Pyhä-Häkki	40	SFIN	62° 49'	25° 29'	172	9	18	92	2.4	630	14.2
Tammela	41	SFIN	60° 44'	23° 43'	110	10	20	82	4.29	635	15.1
Tammela	42	SFIN	60° 43'	23° 41'	110	10	20	80	4.29	636	15.1
Tammela	43	SFIN	60° 41'	23° 50'	120	10	20	126	4.26	640	15.1
Tammela	44	SFIN	60° 41'	23° 49'	120	10	20	122	4.26	640	15.1
Tammela	45	SFIN	60° 41'	23° 50'	120	10	20	108	4.27	641	15.1
Tammela	46	SFIN	60° 40'	23° 52'	115	10	20	172	4.3	642	15.1
Tammela	47	SFIN	60° 39'	23° 52'	115	10	20	147	4.31	643	15.1
Tammela	48	SFIN	60° 39'	23° 53'	115	10	20	171	4.31	643	15.1
Southern Tibetan Plateau											
SE4390	49	SETP	29° 39'	94° 43'	4390	52	52	146	-3.09	786	6.4
SE4360	50	SETP	29° 36'	94° 36'	4360	41	41	153	-2.83	786	6.6

SE4140	51	SETP	29° 39'	94° 43'	4140	31	31	195	-0.97	786	8.0
SE3900	52	SETP	29° 39'	94° 43'	3900	30	30	160	1.06	786	9.5
SC3920	53	SCTP	27°50'	87°28'	3920	50	50	119	3.05	1113	12.0
SC3800	54	SCTP	27°50'	87°28'	3800	32	32	141	3.67	1113	12.6
SC3700	55	SCTP	27°50'	87°28'	3700	45	45	109	4.18	1113	13.1
SC3520	56	SCTP	27°50'	87°28'	3520	83	83	93	5.11	1113	14.0
SC3410	57	SCTP	27°50'	87°27'	3410	37	37	74	5.67	1113	14.6

* NFIN northern Finland; SFIN southern Finland; SETP Southeastern Tibetan Plateau; SCTP south-central Tibetan Plateau.

MSL mean segment length (years) of tree-ring samples. It indicates the average breast height age of trees for each plot.

§ MAT mean annual temperature, MAP mean annual precipitation, T_{July} mean July temperature. These three statistics were calculated over the period 1966-1995 and 1971-2000 for the Finnish and the Tibetan Plateau data sets, respectively.

Figure legends

Figure 1: Location map of the sample plots and weather stations in Finland and on the Tibetan Plateau.

Figure 2: Monthly mean temperature and precipitation sum at the weather stations used in the study: Heinola (a), Jyväskylä (b) and Sodankylä (c) in Finland, and Linzhi (d) and Nielamu (e) on the Tibetan Plateau. The shaded area around the marked lines and the error bars of the columns are the 1 SD (standard deviation) of the monthly mean temperatures and the monthly precipitation sum over the recording period, respectively.

Figure 3: Stand level statistics of raw tree-ring widths along the latitudinal gradient in Finland (FI) and the altitudinal gradients on the Tibetan Plateau (TP). The lines are linear regression lines. The black colour marks the data for the latitudinal gradient, and the red denotes the data for the altitudinal gradients.

Figure 4: Correlation coefficients between the ring-width index chronologies (separate sub-figures for each region, and separate colours for each plot) and mean temperature (left column apart from the last row), precipitation sum (right column apart from the last row) and vapour pressure deficit (VPD) (the bottom row, for SCTP and SETP) in moving time-windows of 31 days. The X-axis is the central day of the time-window used in calculating the climate variables, with the first day of each month marked by ticks. The plot numbers are consistent with Table 1. Note that the colour gradient legend for subpanels a-b is by 2 sites. The correlation periods for the Finnish and the Tibetan subsets are 1938-1997 and 1968-2006, respectively. The grey horizontal lines denote the 5% significance levels.

Figure 5: The magnitude of the maximum (largest positive) (a-d, upper panel) and minimum (largest negative) (e-h, lower panel) correlations between the RWIs and temperature against the mean July temperature of each plot. The correlations are shown separately in four seasons, so that the central dates of time-window used for calculating the climate variables is located in (1) previous growing season (previous year May to August, 1st column), (2) previous post-growing season (previous year September to December, 2nd column), (3) current pre-growing season (growth year January to April, 3rd column) and (4) current growing season (growth year May to August, 4th column). The black X's denote the plots in Finland; the red circles and triangles denote the plots of the altitudinal gradients on the south-central Tibetan Plateau (SCTP) and southeastern Tibetan Plateau (SETP), respectively. The grey colour indicates correlations not significant at the 5% level.

Figure 6: The central dates of the 31-day time-windows with maximum (largest positive) (a-d) and minimum (largest negative) (e-h) correlations between the RWIs and temperature in Fig. 4. The black X mark denotes the plots in Finland and the plots on the south-central Tibetan Plateau (SCTP) are marked with red circles and on the southeastern Tibetan Plateau (SETP) with red triangles. The grey colour indicates correlations not significant at the 5% level.

Figure 7: The magnitude of the maximum (largest positive) (a-d, upper panel) and minimum (largest negative) (e-h, lower panel) correlations between the RWIs and monthly mean temperatures in different seasons (columns) against the mean July temperature of each plot. The black X's denote the plots in Finland and the plots on the south-central Tibetan Plateau (SCTP)

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are marked with red circles and on the southeastern Tibetan Plateau (SETP) with red triangles. The grey colour indicates correlations not significant at the 5% level.

Figure 1

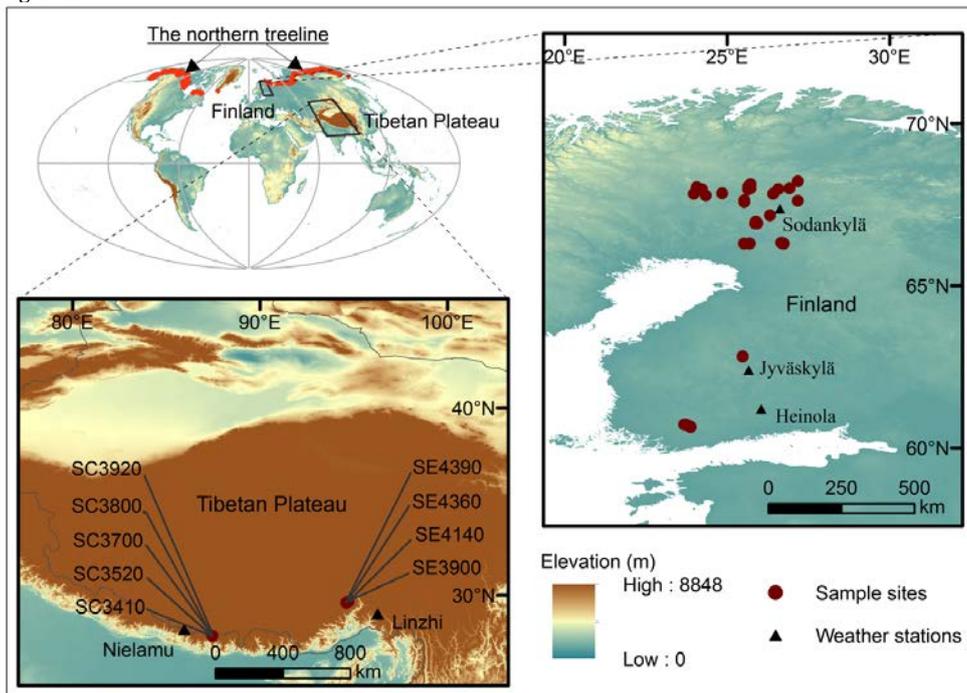


Figure 2

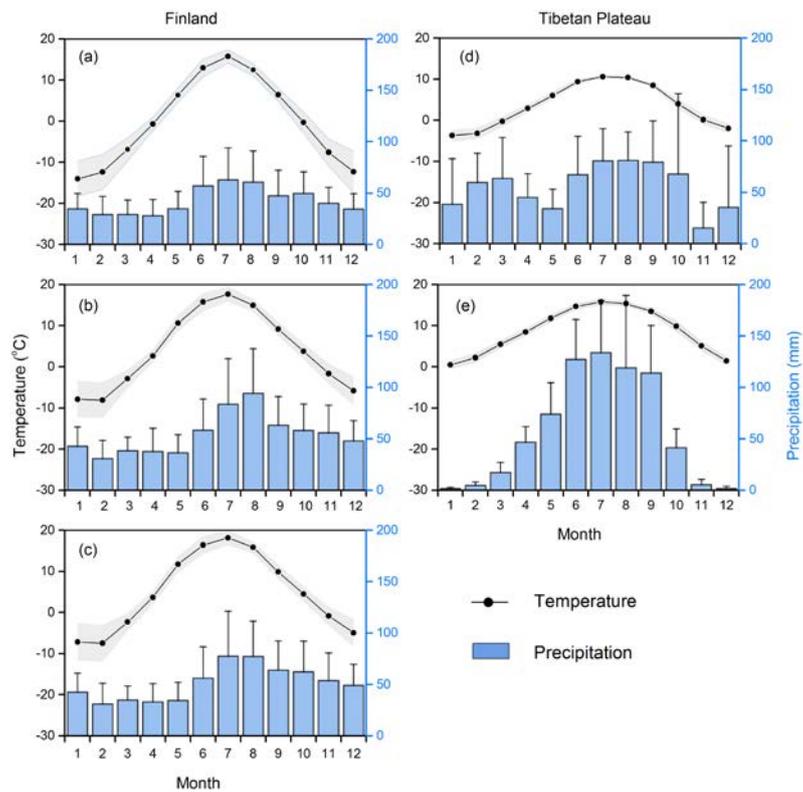


Figure 3

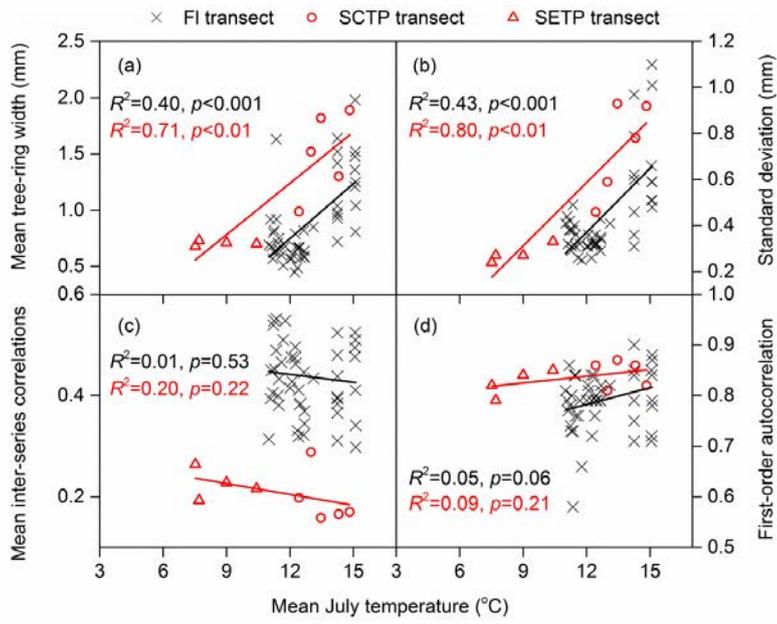


Figure 4

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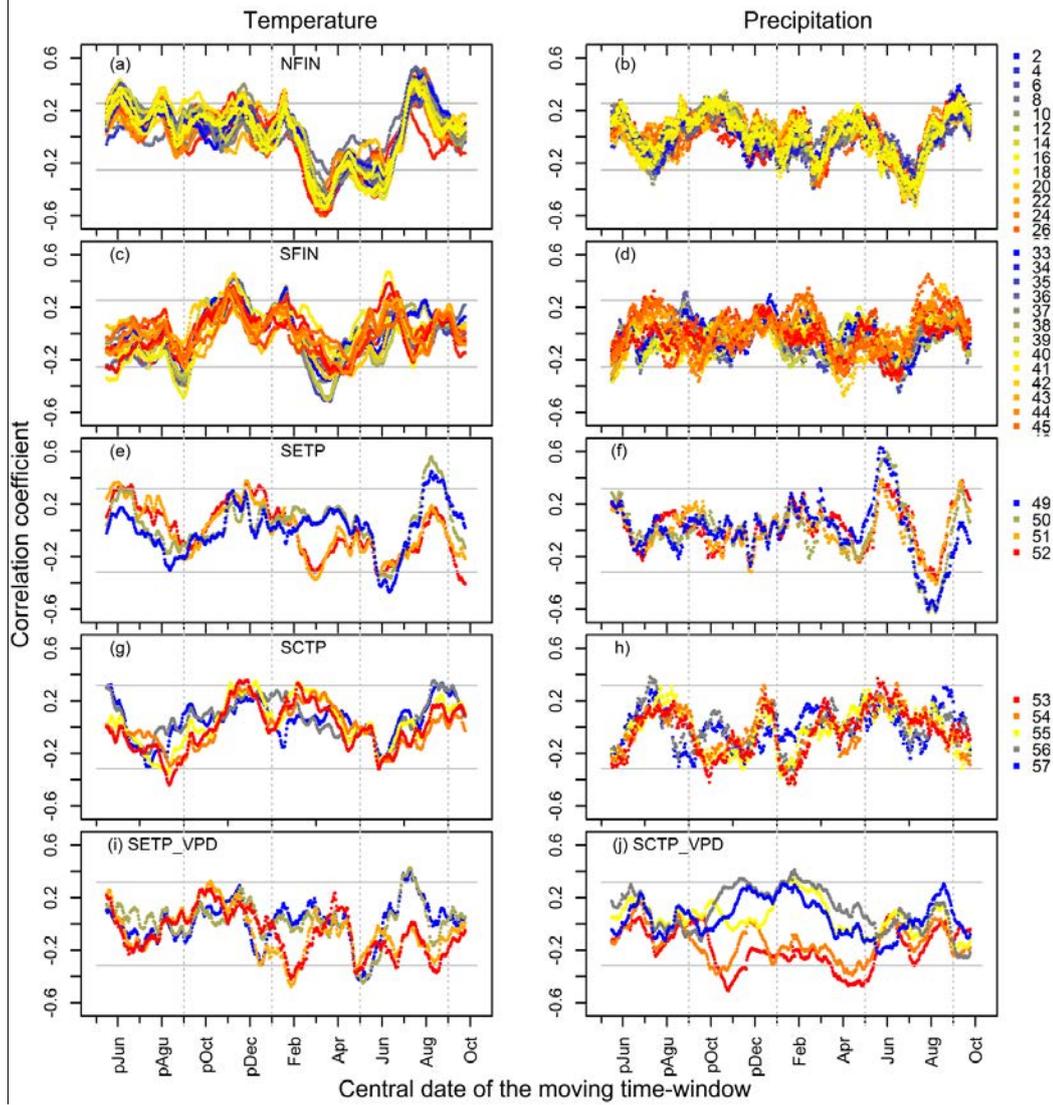


Figure 5

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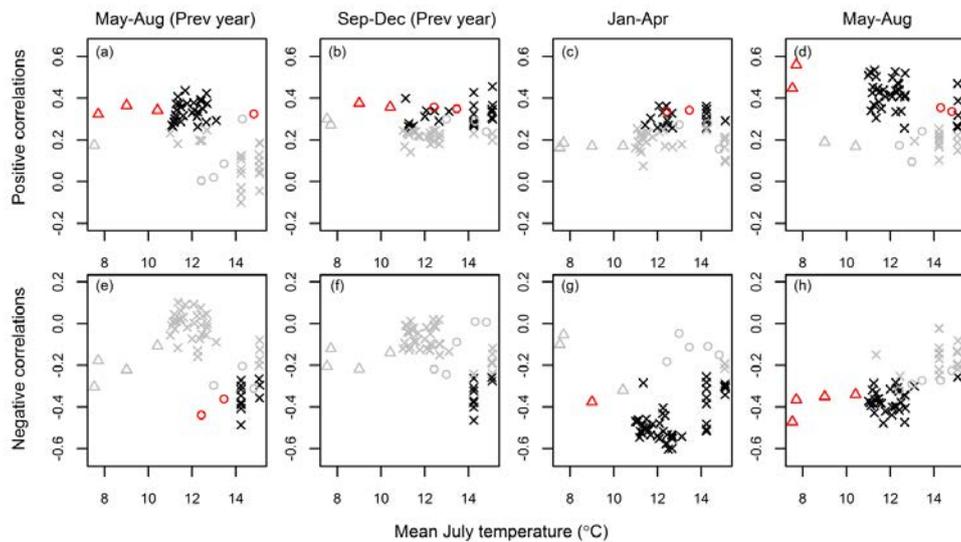


Figure 6

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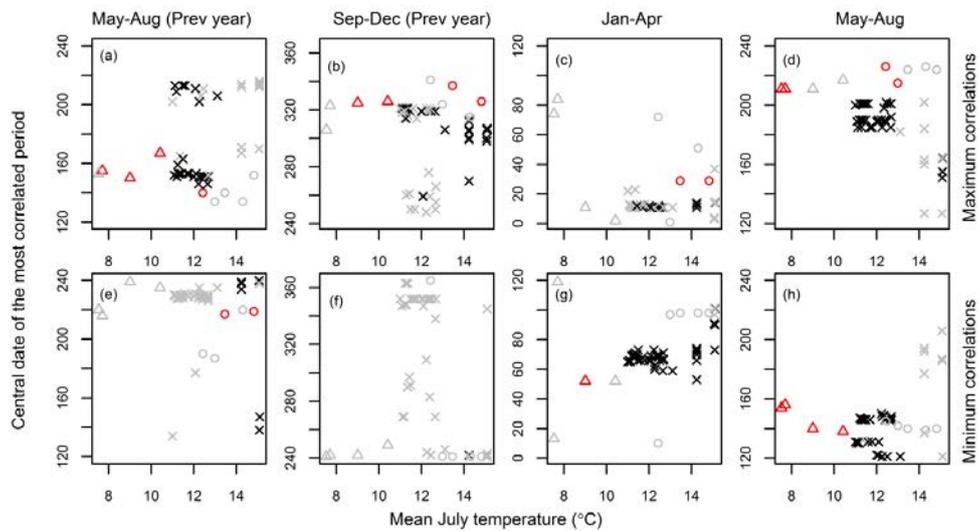
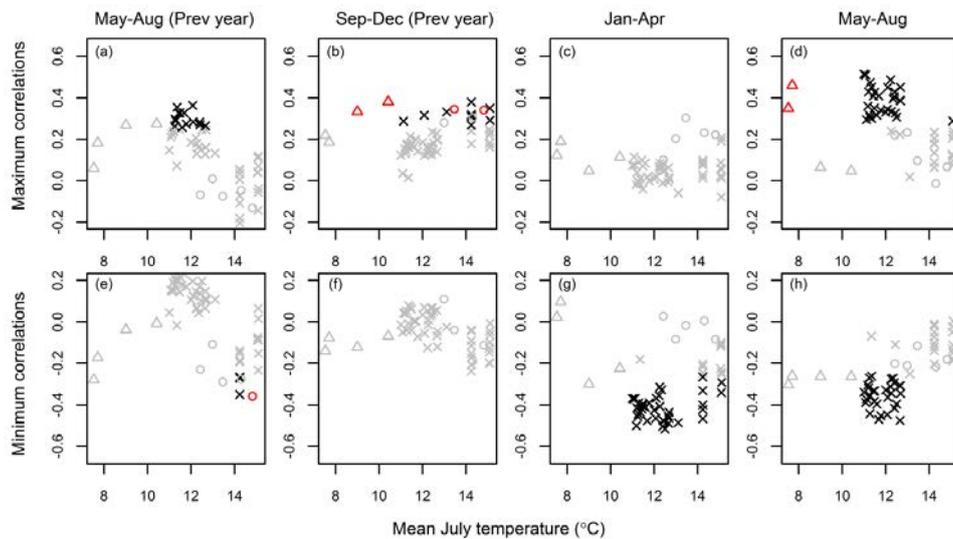


Figure 7

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Mogo Internet Technology Co., LTD.

No. 25, 1st Gaoxin Road, Xi'an 710075, PR China +86 02988317483 support@mogoedit.com