1	Stand structural diversity rather than species diversity enhances
2	aboveground carbon storage in secondary subtropical forests in Eastern
3	China
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5	Arshad Ali ^{1,2,3} , En-Rong Yan ^{1,2,3,*} , Han Y. H. Chen ⁴ , Scott X. Chang ⁵ , Yan-Tao Zhao ^{1,2,3} , Xiao-Dong Yang ^{1,2,3} ,
6	and Ming-Shan Xu ^{1,2,3}
7	
8	¹ School of Ecological and Environmental Sciences, East China Normal University, Shanghai 200241, China
9	² Putuo Forest Ecosystem Research and Observation Station, Zhoushan, Zhejiang 316100, China
10	³ Tiantong National Forest Ecosystem Observation and Research Station, Ningbo 315114, Zhejiang, China
11	⁴ Faculty of Natural Resources Management, Lakehead University, 955 Oliver Road, Thunder Bay, ON P7B
12	5E1, Canada
13	⁵ Department of Renewable Resources, University of Alberta, Edmonton, Alberta, Canada T6G 2E3
14	
15	* Author for correspondence
16	Tel & Fax: +86-21-54341164
17	Email: eryan@des.ecnu.edu.cn
18	
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29	

30 Abstract

31 Stand structural diversity, typically characterized by variances in tree diameter at breast 32 height (DBH) and total height, plays a critical role in influencing aboveground carbon (C) 33 storage. However, few studies have considered the multivariate relationships of aboveground 34 C storage with stand age, stand structural diversity, and species diversity in natural forests. In 35 this study, aboveground C storage, stand age, tree species, DBH and height diversity indices, 36 were determined across 80 subtropical forest plots in Eastern China. We employed structural 37 equation modeling (SEM) to test for the direct and indirect effects of stand structural 38 diversity, species diversity, and stand age on aboveground C storage. The three final SEMs 39 with different directions for the path between species diversity and stand structural diversity 40 had a similar goodness of fit to the data. They accounted for 82% of the variation in 41 aboveground C storage, 55-59% of the variation in stand structural diversity, and 0.1% to 9% 42 of the variation in species diversity. Stand age demonstrated strong positive total effects, 43 including a positive direct effect ($\beta = 0.41$), and a positive indirect effect via stand structural 44 diversity ($\beta = 0.41$) on above ground C storage. Stand structural diversity had a positive direct 45 effect on aboveground C storage ($\beta = 0.56$), whereas there was little total effect of species 46 diversity as it had a negative direct association with, but had a positive indirect effect, via 47 stand structural diversity, on aboveground C storage. The negligible total effect of species 48 diversity on aboveground C storage in the forests under study may have been attributable to 49 competitive exclusion with high aboveground biomass, or a historical logging preference for 50 productive species. Our analyses suggested that stand structural diversity was a major 51 determinant for variations in aboveground C storage in the secondary subtropical forests in 52 Eastern China. Hence, maintaining tree DBH and height diversity through silvicultural 53 operations might constitute an effective approach for enhancing aboveground C storage in 54 these forests.

- 55 Key words: biodiversity; carbon storage; evergreen broadleaved forests; species diversity;
- 56 stand structure; structural equation model.

58 **1** Introduction

59 Subtropical forests in the East Asian monsoon region comprise a significant carbon (C) sink, 60 likely due to young stand ages coupled with high nitrogen deposition, sufficient water, and 61 heat availability (Yu et al., 2014). Although C tends to accumulate as forest age (Poorter et 62 al., 2016), we still lack a complete understanding of the determinants/mechanisms of C 63 accumulation in these subtropical forests.

64 Stand structural diversity and species diversity have strong links to aboveground biomass or C storage in forest ecosystems (Dănescu et al., 2016; Wang et al., 2011; Zhang et 65 66 al., 2012). The structural and species diversity of stands depend to a large extent on the stand 67 age (Lei et al., 2009; Wang et al., 2011; Zhang and Chen, 2015). However, associations between stand structural diversity, species diversity, and C storage, or productivity of stands 68 69 remain debated (e.g., Dănescu et al., 2016; Poorter et al., 2015). This is, to some extent, the 70 case, as the well-documented effects of stand age (a critical driver for individual species 71 dynamics, aboveground C storage and productivity), has not often been explicitly considered 72 (but see Zhang and Chen, 2015).

73 Multilayered stand structures have been theorized to increase the capture and efficient 74 utilization of light (Yachi and Loreau, 2007), and empirical evidence has indicated that stand 75 structural diversity is positively associated with above ground biomass or C storage. Hence, 76 we hypothesized that stand structural diversity has a positive direct effect on the aboveground 77 storage of C (Fig. 1a). On the other hand, the direct relationships between species diversity 78 and aboveground biomass or C, have been reported to be either positive (Dayamba et al., 79 2016; Wang et al., 2011), negative (Szwagrzyk and Gazda, 2007), or insignificant (Vilà et al., 80 2003). A recent analysis suggests that tree species diversity increases structural diversity of a 81 stand, and as a consequence, enhances the aboveground biomass (Zhang and Chen, 2015). In 82 the meantime, both stand structure and species diversity are influenced by stand age

(Brassard et al., 2008; Zhang and Chen, 2015), which lead to the indirect effects of stand age
via stand structural diversity and species diversity, on aboveground C storage (Fig. 1a).
Alternately, the stand structural diversity may be critical to species coexistence (Clark, 2010),
and in turn may impart a positive indirect effect on the aboveground C storage (Zhang and
Chen, 2015) (Fig. 1b). Moreover, species diversity and stand structural diversity may provide
positive feedback to each other (Fig. 1c).

89 In this study, we aimed to investigate the effects of stand structural diversity and species 90 diversity on aboveground C storage, while accounting for the effects of stand age. We 91 employed structural equation models (SEMs; Malaeb et al., 2000) to analyze data from 80 92 structurally diverse and mixed subtropical forest plots in Eastern China. Specifically, we 93 tested the following hypotheses, represented by SEMs paths: 1) the effects of stand age on 94 aboveground C storage, species diversity, and stand structural diversity, 2) the indirect effect 95 of stand age on aboveground C storage via stand structural and species diversity, and 3) the 96 direct effects of stand structural and species diversity, as well as the indirect effect of species 97 diversity via stand structural diversity on aboveground C storage (Fig. 1). Due to the complex 98 interactions between species diversity and stand structural diversity (Clark, 2010; Zhang and 99 Chen, 2015), we also investigated alternative pathways between the two (Figs. 1b and 1c).

- 100
- 101 **2** Materials and methods

102 **2.1** Study area, sites, and plots

This study was conducted in the lower eastern extension of the Tiantai and Siming Mountains
(29°41-50 N, 121°36-52 E) located near Ningbo City, Zhejiang Province, in Eastern China.
This region has a typical subtropical monsoon climate with a hot and humid summer and a
dry cold winter. The highest peak in this area reaches 800 m above sea level, while most
other reliefs are in the 70-500 m range (Yan et al., 2013). The soils in these areas were

classified as Ferralsols according to the FAO soil classification system (World Reference
Base for Soil Resources, 2006), with the parent materials consisting mostly of Mesozoic
sedimentary rocks, some acidic igneous rocks, and granite residual weathered material (Yan
et al., 2013).

112 Five study sites were selected within the study area, including Tiantong National 113 Forest Park, Ruiyan Forest Park, Dongqian Lake Landscape Area, Shuangfeng Mountain, and 114 Nanshan Mountain. The studied region had been subjected to both anthropogenic and natural 115 disturbances such as logging, land-use conversion, windthrow via typhoon, and variable 116 intensities of human disturbances in its history; however, it has been protected from 117 anthropogenic activity for the last 25 years or more. Consequently, forests in the region 118 contained stands with different levels of degradation (Wang et al., 2007; Yan et al., 2009). 119 Although forests across the study areas are considered as secondary subtropical forests, the 120 mature forests around a Buddhist temple in the center of the Tiantong National Forest Park 121 approximate to climax monsoon evergreen broadleaved forests, as they have been protected 122 from complete clearance for centuries.

123 We selected stands that had recovered naturally from logging in the study areas, with no 124 human disturbances for more than three decades. We established a total of 80 plots, including 125 young forests (n = 21), premature forests (n = 39), and mature forests (n = 20) (Yan et al., 126 2013). The measurement of the plots was carried out through forest inventories and ground 127 based surveys, which were conducted between 2010 and 2013, and based on Forestry 128 Standards for 'Observation Methodology for Long-term Forest Ecosystem Research' of the 129 People's Republic of China (LY/T 1952-2011). Each plot $(20 \times 20 \text{ m})$ was located at a 130 distance of least 100 m from stand edges in order to minimize edge effects. For each sample stand, we determined the stand age as the number of years since the last stand replacing 131 132 disturbance, i.e., clearcut harvesting (e.g., Wang et al., 2007; Yan et al., 2009). The official

records of the Ningbo Forestry Bureau, Zhejiang Province, were reviewed to extract standage data.

135 In each plot, the basal diameter (diameter at 5 cm above the root collar) and the 136 diameter at breast height (DBH) were measured for trees taller than 1.50 m, while the basal 137 diameter and diameter at 45 cm height above ground level were measured (with a diameter 138 tape) for trees that were shorter than 1.50 m. The total tree height for each tree was measured 139 with a telescopic pole for heights of up to 15 m, and with a clinometer for heights of >15 m. 140 The studied plots contained between 6 and 46 tree species per plot, and among them, 141 deciduous species such as Liquidambar formosana and Quercus fabri, and evergreen species 142 such as *Lithocarpus glaber* were the dominant species in young forests. Evergreen species 143 such as Choerospondias axillaris and Schima superba dominated in the premature forests,

144 while *Castanopsis fargesii* and *Castanopsis carlesii* dominated in the mature forests.

145

146 **2.2** Estimation of aboveground carbon storage

147 The AGB of individual trees (AGB*t*) having DBH \geq 5 cm was calculated using the general 148 allometric equation (eqn 1; Chave et al., 2014) based on tree DBH (cm), height (H, m) and 149 species' wood density (ρ , g cm⁻³).

150

 $AGBt = 0.0673 \times (\rho \times DBH^2 \times H)^{0.976}$ eqn 1

We estimated the AGB of individual shrubs and small trees having a DBH of < 5 cm
(AGBs) using a multi-species allometric equation (eqn 2) (developed locally), based on DBH,
height and species' wood density (Ali et al., 2015).

154 $AGBs = 1.460 \times exp\{-3.23 + 2.17 \times Ln(D)\}$ eqn 2

155 The total AGB per plot was the sum of the AGB*t* and AGB*s*. Subsequently, we converted

156 AGB to above ground C storage (Mg ha⁻¹) by multiplying AGB with a conversion factor of

157 0.5, assuming that 50% of the total tree biomass is C (Dixon et al., 1994).

159	2.3 Quantification of species diversity and stand structural diversity
160	We used the Shannon-Wiener biodiversity index to quantify tree species diversity (Magurran,
161	2004) and tree-size variations (i.e., tree DBHs and heights) within each plot as stand
162	structural diversity. With the Shannon-Wiener index, the DBH and height were grouped into
163	different discrete classes in order to evaluate which combination of discrete classes for DBH
164	and height diversity indices best predicted aboveground C storage in secondary subtropical
165	forests. For DBH, 2, 4, 6, and 8 cm classes were tested, while for height, 2, 3, 4, and 5 m
166	classes were tested. Tree species, DBH and height diversity indices were calculated for each
167	plot using equations 3, 4, and 5, respectively (Buongiorno et al., 1994; Magurran, 2004;
168	Staudhammer and LeMay, 2001). Similar to other studies in forests (Finegan et al., 2015;
169	Prado-Junior et al., 2016; Zhang and Chen, 2015), we used the relative basal area to represent
170	the proportions of individual species, DBH class, or height class within each sample plot,
171	$H_s = -\sum_{i=1}^{s} p_i \times \ln(p_i) \qquad \text{eqn } 3$
172	$H_{d} = -\sum_{j=1}^{d} p_{j} \times \ln (p_{j}) \qquad \text{eqn } 4$
173	$H_{h} = -\sum_{k=1}^{h} p_{k} \times (\ln p_{k}) \qquad \text{eqn 5}$
174	where p_i , p_j , and p_k are the proportion of basal areas of <i>i</i> th species, <i>j</i> th DBH classes and <i>k</i> th
175	height classes, respectively, while s , d , and h are the number of tree species, DBH classes,
176	and height classes, respectively. The calculations on the Shannon-Weiner indices were
177	performed using the vegan package for the R 3.2.2 (Oksanen et al., 2015; R Development
178	Core Team, 2015).
179	
180	2.4 Statistical analyses
181	As recommended (Grace et al., 2016), we constructed three SEMs based on known

182 theoretical multivariate causes of forest diversity and aboveground C storage in natural

forests (Fig. 1). We used stand structural diversity as a latent variable by incorporating two observable variables, tree DBH diversity and height diversity, which are highly correlated based on different discrete classes (r = 0.34 to 0.60, P = 0.002 to < 0.001). To assess how DBH and height classes affected the prediction of aboveground C storage, we tested 48 SEMs employing different combinations of discrete classes of tree DBH diversity (2, 4, 6, and 8 cm classes) and height diversity (2, 3, 4, and 5 m classes) based on the three conceptual models (Table S1).

190 For the interpretation of results (Grace et al., 2016), we identified bivariate relationships 191 between each of the hypothesized causal paths according to our hypothesis in Fig. 1, using 192 Pearson's correlation and regression analysis. Specifically, we fit each pair of variables using 193 simple linear and multiple linear regressions, through the addition of quadratic and cubic 194 polynomial terms to test for bivariate relationships of aboveground C storage. Stand age, 195 species diversity, DBH, and height diversity indices were included based on their various 196 discrete classes. We also tested the bivariate relationships between stand age and species 197 diversity, DBH, and height diversity indices based on their various discrete classes. Our 198 analyses indicated that simple linear regression analysis was optimal in describing bivariate 199 relationships based on the Akaike information criterion (AIC). Pearson's correlations 200 coefficients between all tested variables are listed in Table S2

The Shapiro-Wilk goodness-of-fit test was utilized to assess the normality of all variables. As recommended (Grace et al., 2016), all numerical variables, including aboveground C storage, species diversity, stand age, and DBH and height diversity indices, were natural-logarithm transformed and standardized in order to meet the assumptions of normality and linearity, and to allow comparisons among multiple predictors and models (Zuur et al., 2009).

207	For the selection of the best SEM, several tests were used to assess the model fit of all
208	SEMs (Malaeb et al., 2000), i.e., the Chi-square (χ^2) test, goodness-of-fit index (GFI),
209	comparative fit index (CFI), standardized root mean square residual (SRMR), and AIC. We
210	used the χ^2 test, representing the maximum likelihood estimation, to assess how well the 48
211	hypothesized SEMs fit the data (Table S3). Indicators for a good model fit to the data
212	included an insignificant ($P > 0.05$) χ^2 test statistic, SRMR < 0.05, and both GFI and CFI >
213	0.90 (Malaeb et al., 2000). Among all acceptable models, we selected those with the lowest
214	AICs as our final models. Tree DBH diversity based on 8 cm, and height diversity based on
215	the 2 m class were selected as the stand structural diversity (a latent variable), as this
216	combination resulted in the SEM with the lowest AIC, with a <i>P</i> -value of the χ^2 test for the
217	total model fit greater than 0.05 (Table S3). The SEMs based on combinations of 4 cm or 6
218	cm discrete classes for DBH diversity, and the 2 m class for height diversity were also
219	accepted ($P > 0.05$), whereas the SEMs based on all other combinations of discrete classes
220	for DBH and height diversity indices were rejected ($P < 0.05$; Table S3).
221	The indirect effect of a predictor was calculated by multiplying the standardized effects of
222	all paths on one route, from one predictor to mediator, and then to aboveground C storage,
223	while total effect was calculated by adding standardized direct and indirect effects (Grace et
224	al., 2016). The SEM was implemented using the lavaan package (Rosseel, 2012) in R 3.2.2
225	(R Development Core Team, 2015).
226	

227 **3 Results**

228 Bivariate relationships indicated that aboveground C storage increased with tree DBH

229 diversity, height diversity, and stand age, but had no association with species diversity (Fig.

230 2). Both tree DBH diversity and height diversity increased with stand age, whereas none of

the other bivariate relationships were statistically significant (Fig. 2).

232 The final SEMs with the three directions for the path between species diversity and 233 stand structural diversity had a similar good-fit to the data (Fig. 3). These SEMs accounted 234 for 82% of the variation in aboveground C storage, 55% to 59% of the variation in stand 235 structural diversity, and 0.1% to 9% of the variation in species diversity (Fig. 3). Stand 236 structural diversity had the strongest positive direct effect on above ground C storage (β = 237 0.56, P = 0.001), followed by the positive effect of stand age ($\beta = 0.41$, P = 0.003), and negative effect of species diversity ($\beta = -0.23$, P < 0.001) in these SEMs (Table 1; Fig. 3). 238 239 There was a significantly positive direct effect of stand age on stand structural diversity, but 240 an insignificant effect on species diversity (Fig. 3). Species diversity and stand structural 241 diversity had a significant positive direct effect on each other (Fig. 3) 242 Stand age had a strong indirect effect via stand structural diversity ($\beta = 0.41$, P =243 0.002; Table 1) and insignificant indirect effects via species diversity ($\beta = -0.10$, P = 0.357) 244 on aboveground C storage in all three SEMs (Fig. 3, Table 1). The indirect effects of stand 245 structural diversity via species diversity were insignificant regardless of SEMs, while species 246 diversity had a marginally significant positive indirect effect via stand structural diversity (β 247 = 0.11, P = 0.059, Table 1). The total (direct + indirect) effects of stand age, stand structural 248 diversity, and species diversity were 0.82, 0.56, and -0.12, respectively, on aboveground C 249 storage (Fig. 3a; Table 1). In the alternative SEMs (Figs. 3b and 3c), the total effect of stand 250 age, stand structural diversity, and species diversity on aboveground C storage were quite 251 similar to the SEM in Fig. 3a (Table 1).

252

253 **4 Discussion**

To the best of our knowledge, this is the first study to analyze the multivariate relationships between aboveground C storage and its drivers (stand age, stand structural diversity, and species diversity) in secondary subtropical forests in China. We found a positive relationship

between stand structural diversity and aboveground C storage, but a negative relationship
between species diversity and aboveground C storage, while accounting for the considerable
positive influence of stand age in our study. Our results revealed that the positive
relationships reported in previous studies, between stand structural diversity and aboveground
C storage, in boreal and temperate forest ecosystems (e.g., Dănescu et al., 2016; Zhang and
Chen, 2015), may be extended to subtropical forests.

263 Our results indicated that tree DBH and height diversity indices were significantly 264 positively related to above ground C storage across plots; these relationships likely resulted 265 from increased light capture and light use efficiencies in association with complex tree sized 266 structures (Dănescu et al., 2016; Yachi and Loreau, 2007; Zhang and Chen, 2015). Forest 267 communities possessing variable diameters and heights are likely to also have their own set 268 of habitat requirements for water and soil nutrients (Lei et al., 2009; Wang et al., 2011). Our 269 results, as well as those from previous studies, collectively suggest that a multilayered forest 270 structure allows for the more efficient utilization of light, water, and soil nutrients at the stand 271 level (Poorter et al., 2015), and as a result increases the aboveground C storage (Buongiorno 272 et al., 1994; Dănescu et al., 2016; Wang et al., 2011; Zhang and Chen, 2015).

273 Our bivariate analysis indicated that there was minimal association between species 274 diversity and aboveground C storage, while the result of the structural equation model 275 showed that species diversity had a direct negative effect, augmented by a positive indirect 276 effect, via stand structural diversity, yielding a negligible total effect of species diversity on 277 aboveground C storage. Although forest productivity may increase with species richness and 278 evenness (e.g., Zhang et al., 2012), the lack of positive effects of species diversity on 279 aboveground C storage might be attributable to competitive exclusion (e.g., high stand 280 biomass may exclude weak competitors) (Grace et al., 2016; Grime, 1973). Alternatively, the 281 dominance of productive species has a potent impact on aboveground biomass or C storage

(Cardinale et al., 2011; Lasky et al., 2014; Prado-Junior et al., 2016; Tobner et al., 2016). Our
findings of the positive indirect effect of species diversity via stand structural diversity, and
stand structural diversity via species diversity on aboveground C storage were consistent with
previous studies (Vilà et al., 2013; Zhang and Chen, 2015). These findings indicated that
species diversity promoted stand structural diversity (Brassard et al., 2008; Zhang and Chen,
2015), and that stand structural diversity increased the coexistence of species (Clark, 2010),
and in either case, increased aboveground C storage.

289 The strong positive contribution of stand age to above ground C storage was 290 attributable to cumulative tree growth over time (Lei et al., 2009; Poorter et al., 2016). Stand 291 age may also indirectly impact aboveground C storage through directional changes in stand 292 structural and/or species diversity that take place during the course of forest succession 293 (Becknell and Powers, 2014; Zhang and Chen, 2015). As hypothesized, we found that stand 294 age was significantly positively related to stand structural diversity, which had a strong direct 295 effect on aboveground C storage. Our findings were consistent with the notion that 296 complementarity effects increase over time via increasing stand structural diversity (Zhang 297 and Chen, 2015).

298 In the forest stands under study, we found there to be a minimal direct impact of stand 299 age on tree species diversity, and an indirect effect of stand age via species diversity on 300 aboveground C storage. It remains intensely debated as to how stand age, as a measure of 301 disturbance frequency, affects tree species diversity across forest landscapes with diverse 302 localized conditions (Connell, 1978; Yeboah et al., 2016). For instance, disturbances of 303 intermediate intensity may selectively remove specific species; hence, decrease species 304 diversity (Yeboah and Chen, 2016). Our findings of the weak direct effect of stand age on 305 species diversity, and indirect effect of stand age via species diversity on aboveground C 306 storage, as well as the negative direct effect of species diversity on aboveground C storage,

307 might have resulted from historical human disturbances, which may have selectively

308 harvested productive species in the study region. Future research will be required to improve

309 our conceptual model through the inclusion of the effects of disturbance history on tree

310 species diversity and its influence on aboveground C storage.

311

312 **5** Concluding remarks

313 Our study elucidated a number of complex relationships that exist among aboveground C 314 storage, stand age, stand structural diversity, and species diversity, of secondary subtropical 315 forests across Eastern China. We found that aboveground C storage increased with stand age 316 and stand structural diversity; however, it was not altered via species diversity. Our structural 317 equation model analysis indicated that stand age possessed the largest total positive effect, 318 followed by stand structural diversity, on aboveground C storage, while the total effect of 319 species diversity was negligible. The minimal total effect of species diversity on aboveground 320 C storage in the forest stands under study might have been attributable to competitive 321 exclusion, with high aboveground biomass, or historical logging preferences for productive 322 species.

323

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Table 1. The direct, indirect, and total standardized effects on aboveground C storage based on structural equation models (SEMs). The indirect effect was calculated by multiplying the standardized effects of all paths on one route, from one predictor to mediator, and then to aboveground C storage, while the total effect was calculated by adding standardized direct and indirect effects, presented in Fig. 3.

Predictor	Pathway to aboveground C storage	Model 3a		Model 3b		Model 3c	
		Effect	P-value	Effect	P-value	Effect	<i>P</i> -value
Stand age	Direct effect	0.41	0.003	0.41	0.003	0.41	0.003
	Indirect effect via species diversity	-0.005	0.827	0.07	0.199	-0.005	0.827
	Indirect effect via stand structural diversity	0.41	0.002	0.41	0.002	0.41	0.002
	Total effect	0.82	< 0.001	0.89	< 0.001	0.82	< 0.001
Species diversity	Direct effect	-0.23	< 0.001	-0.23	< 0.001	-0.23	< 0.001
	Indirect effect via stand structural diversity	0.11	0.059				
	Total effect	-0.12	0.056	-0.23	< 0.001	-0.23	< 0.001
Stand structural diversity	Direct effect	0.56	0.001	0.56	0.001	0.56	0.001
	Indirect effect via species diversity			-0.10	0.357		
	Total effect	0.56	0.001	0.46	0.011	0.56	0.001

Figure Legends

Fig. 1 Conceptual models for the prediction of aboveground C storage in secondary subtropical forests of Eastern China, showing hypothesized relationships of how stand age impacts forest diversity, and how stand age and forest diversity concomitantly impact

- 5 aboveground C storage. Forest diversity is characterized by the magnitude of relevant factors (e.g., species diversity) and their variations in forest stand structures (e.g., DBH and height diversity; a latent variable). Three conceptual models were proposed based on different direct effects of forest diversity components on each other; a) stand structural diversity → species diversity; b) species diversity → stand structural diversity; and c) species diversity ↔ stand
- 10 structural diversity.

Fig. 2 Bivariate relationships between endogenous (dependent) and exogenous (independent) variables (n = 80), for all hypothesized causal paths in the final selected structural equation models (SEMs). All numerical variables were natural log-transformed and standardized. (a)-(d) Aboveground carbon (AGC) storage (Mg ha⁻¹) vs. height diversity (Hh, 2 m class), DBH

diversity (Hd, 8 cm class), stand age (SA) and species diversity (Hs), respectively; (e-g)
Diversity (Hh, Hd, and Hs) vs. stand age (SA); (h)-(i) DBH diversity (Hd, 8 cm class) and
height (Hh, 2 m class) diversity vs. species diversity (Hs); and (j)-(k) species diversity (Hs)
vs. DBH diversity (Hd, 8 cm class) and height (Hh, 2 m class) diversity. All fitted regressions
are significant at *P* < 0.001 and the relationships without fitted lines are insignificant at *P* >
0.05.

Fig. 3 The final best-fit structural equation models (SEMs) relating aboveground C storage to stand age, stand structural diversity, and species diversity. Solid arrows represent significant (P < 0.05) paths and dashed arrows represent non-significant paths (P > 0.05). For each path the standardized regression coefficient is shown. R^2 indicates the total variation in a

dependent variable that is explained by the combined independent variables. The final threeSEMs have a similar good-fit to the data (Table S3).

Fig. 1









Fig. 3



diversity

 $R^2 = 0.55$

0.58

Height diversity

 $R^2 = 0.34$

Supplementary information

35

Table S1. Summary of plot variables used in the bivariate relationship and structural equation models (SEMs) for the quantification of forest diversity and aboveground C storage in the secondary subtropical evergreen broadleaved forests of Eastern China. n = 80; ln = natural log.

Variable	Unit	Transformation	Minimum	Maximum
Dependent variable				
Aboveground C (AGC) storage	Mg ha ⁻¹	In and standardized	-3.42	1.58
Explanatory variables				
Stand age (SA)	years	In and standardized	-2.06	1.07
Tree species diversity (Hs)	unitless	In and standardized	-4.06	1.54
Tree DBH diversity (Hd, 2 cm)	unitless	In and standardized	-3.27	1.30
Tree DBH diversity (Hd, 4 cm)	unitless	In and standardized	-3.02	1.14
Tree DBH diversity (Hd, 6 cm)	unitless	In and standardized	-4.07	1.17
Tree DBH diversity (Hd, 8 cm)	unitless	In and standardized	-2.44	1.26
Tree height diversity (Hh, 2 m)	unitless	In and standardized	-3.53	1.55
Tree height diversity (Hh, 3 m)	unitless	In and standardized	-4.20	1.22
Tree height diversity (Hh, 4 m)	unitless	In and standardized	-2.87	1.70
Tree height diversity (Hh, 5 m)	unitless	In and standardized	-4.16	1.45

40 DBH, tree diameter at breast height

Table S2. Pearson's correlation coefficients between variables used in this study for testing structural equation models (SEMs) of aboveground C storage. The highlighted gray portion in the table indicates the variables used in the selected SEMs (see Fig. 2). All variables were natural log transformed and standardized. Coefficients are significant at P < 0.05 (*), < 0.01 (***), and < 0.001 (***). See Table S1 for abbreviations and units of variables.

	Hs	Hh (2 m)	Hd (8 cm)	SA	AGC	Hh (3 m)	Hh (4 m)	Hh (5 m)	Hd (2 cm)	Hd (4 cm)	Hd (6 cm)
Hs											
Hh (2 m)	0.05										
Hd (8 cm)	0.21	0.53***									
SA	0.02	0.52***	0.66***								
AGC	-0.10	0.49***	0.74***	0.82***							
Hh (3 m)	-0.06	0.94***	0.59***	0.56***	0.50***						
Hh (4 m)	0.02	0.89***	0.58***	0.60***	0.53***	0.90***					
Hh (5 m)	-0.02	0.80***	0.60***	0.59***	0.51***	0.84***	0.87***				
Hd (2 cm)	0.28*	0.40***	0.80***	0.51***	0.62***	0.38***	0.34***	0.38***			
Hd (4 cm)	0.27*	0.50***	0.94***	0.63***	0.71***	0.52***	0.52***	0.56***	0.93***		
Hd (6 cm)	0.24*	0.42***	0.89***	0.61***	0.69***	0.45***	0.47***	0.50***	0.87***	0.95***	

Table S3. Model selection of good-fit structural equation model (SEM) for aboveground

 carbon storage. Model fit summary, particularly AIC, was employed to determine the best-fit

- 50 SEM model. Selected models, based on three conceptual models in the study, are highlighted in bold. All possible combinations of discrete classes for DBH and height diversity along with stand age and species diversity were tested. (see Figure 1 for conceptual models). df: degrees of freedom; CFI: comparative fit index; GFI: goodness of fit index; SRMR: standardized root mean square residual; AIC: Akaike information criterion; *R*² indicates the
- 55 total variation in aboveground C storage that is explained by the combined independent variables. Note: df is based on the number of 'knowns' minus the number of free parameters in the model, not on the sample size.

Conceptual	Discrete classes used for stand			Model	fit summ	ary				Model remarks based on
model	structure indic	es								chi-square test, selection
	Height	DBH	-	CFI	GFI	SRMR	AIC	R^2	Chi-square	based on lowest AIC and
	(class in m)	(class in cm)							(P-value)	other parameters
1a	2	2	2	0.97	0.97	0.05	33.25	0.88	7.25 (0.027)	Rejected
1b	2	2	2	0.97	0.97	0.05	33.25	0.88	7.25 (0.027)	Rejected
1c	2	2	2	0.97	0.97	0.05	33.25	0.88	7.25 (0.027)	Rejected
1a	2	4	2	0.98	0.98	0.04	31.27	0.83	5.27 (0.072)	Accepted
1b	2	4	2	0.98	0.98	0.04	31.27	0.83	5.27 (0.072)	Accepted
1c	2	4	2	0.98	0.98	0.04	31.27	0.83	5.27 (0.072)	Accepted
1a	2	6	2	0.99	0.98	0.04	30.20	0.90	4.20 (0.123)	Accepted
1b	2	6	2	0.99	0.98	0.04	30.20	0.90	4.20 (0.123)	Accepted
1c	2	6	2	0.99	0.98	0.04	30.20	0.90	4.20 (0.123)	Accepted
1a	2	8	2	0.99	0.98	0.03	30.18	0.82	4.18 (0.124)	Accepted & selected
1b	2	8	2	0.99	0.98	0.03	30.18	0.82	4.18 (0.124)	Accepted & selected
1c	2	8	2	0.99	0.98	0.03	30.18	0.82	4.18 (0.124)	Accepted & selected
1a	3	2	2	0.92	0.94	0.07	40.87	0.88	14.87 (0.001)	Rejected
1b	3	2	2	0.92	0.94	0.07	40.87	0.88	14.87 (0.001)	Rejected
1c	3	2	2	0.92	0.94	0.07	40.87	0.88	14.87 (0.001)	Rejected
1a	3	4	2	0.94	0.94	0.07	39.78	0.80	13.78 (0.001)	Rejected
1b	3	4	2	0.94	0.94	0.07	39.78	0.80	13.78 (0.001)	Rejected
1c	3	4	2	0.94	0.94	0.07	39.78	0.80	13.78 (0.001)	Rejected
1a	3	6	2	0.95	0.95	0.06	37.45	0.83	11.45 (0.003)	Rejected
1b	3	6	2	0.95	0.95	0.06	37.45	0.83	11.45 (0.003)	Rejected
1c	3	6	2	0.95	0.95	0.06	37.45	0.83	11.45 (0.003)	Rejected
1a	3	8	2	0.95	0.94	0.07	38.75	0.78	12.75 (0.002)	Rejected
1b	3	8	2	0.95	0.94	0.07	38.75	0.78	12.75 (0.002)	Rejected
1c	3	8	2	0.95	0.94	0.07	38.75	0.78	12.75 (0.002)	Rejected
1a	4	2	2							Bad-fit
1b	4	2	2							Bad-fit

-	1c	4	2	2							Bad-fit
	1a	4	4	2	0.96	0.95	0.05	36.45	0.83	10.45 (0.005)	Rejected
	1b	4	4	2	0.96	0.95	0.05	36.45	0.83	10.45 (0.005)	Rejected
	1c	4	4	2	0.96	0.95	0.05	36.45	0.83	10.45 (0.005)	Rejected
	1a	4	6	2	0.96	0.96	0.05	34.75	0.87	8.75 (0.013)	Rejected
	1b	4	6	2	0.96	0.96	0.05	34.75	0.87	8.75 (0.013)	Rejected
	1c	4	6	2	0.96	0.96	0.05	34.75	0.87	8.75 (0.013)	Rejected
	1a	4	8	2	0.96	0.96	0.04	35.44	0.81	9.44 (0.009)	Rejected
	1b	4	8	2	0.96	0.96	0.04	35.44	0.81	9.44 (0.009)	Rejected
	1c	4	8	2	0.96	0.96	0.04	35.44	0.81	9.44 (0.009)	Rejected
	1a	5	2	2	0.93	0.94	0.07	41.01	0.91	15.01 (0.001)	Rejected
	1b	5	2	2	0.93	0.94	0.07	41.01	0.91	15.01 (0.001)	Rejected
	1c	5	2	2	0.93	0.94	0.07	41.01	0.91	15.01 (0.001)	Rejected
	1a	5	4	2	0.94	0.94	0.07	40.81	0.79	14.81 (0.001)	Rejected
	1b	5	4	2	0.94	0.94	0.07	40.81	0.79	14.81 (0.001)	Rejected
	1c	5	4	2	0.94	0.94	0.07	40.81	0.79	14.81 (0.001)	Rejected
	1a	5	6	2	0.95	0.95	0.06	38.27	0.81	12.27 (0.002)	Rejected
	1b	5	6	2	0.95	0.95	0.06	38.27	0.81	12.27 (0.002)	Rejected
	1c	5	6	2	0.95	0.95	0.06	38.27	0.81	12.27 (0.002)	Rejected
	1a	5	8	2	0.95	0.94	0.06	39.71	0.79	13.07 (0.001)	Rejected
	1b	5	8	2	0.95	0.94	0.06	39.71	0.79	13.07 (0.001)	Rejected
	1c	5	8	2	0.95	0.94	0.06	39.71	0.79	13.07 (0.001)	Rejected