

Reply to Referee #2

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The manuscript by Jin et al. presents the results from a detailed taxonomical and ecological analysis of coccolithophores in the South China Sea. The latter may be of great use to broaden the knowledge of coccolithophore dynamics and to calibrate the use of coccoliths as environmental proxies. The sampling provided a complete dataset with a good vertical resolution containing just few gaps within stations. Given the importance of coccolithophores in both, the organic and inorganic carbon pumps, investigating their relationship with seawater carbonate chemistry is particularly relevant in our days. I see this study making a significant contribution to our understanding of coccolithophore distribution and responses to environmental variability, as it includes information on species composition and coccolith morphology against a broad set of environmental parameters. However, the current version of the paper needs:

Thank you for your emphasizing on the importance of our works and the comments are helpful to improve this manuscript. Our responses are listed below.

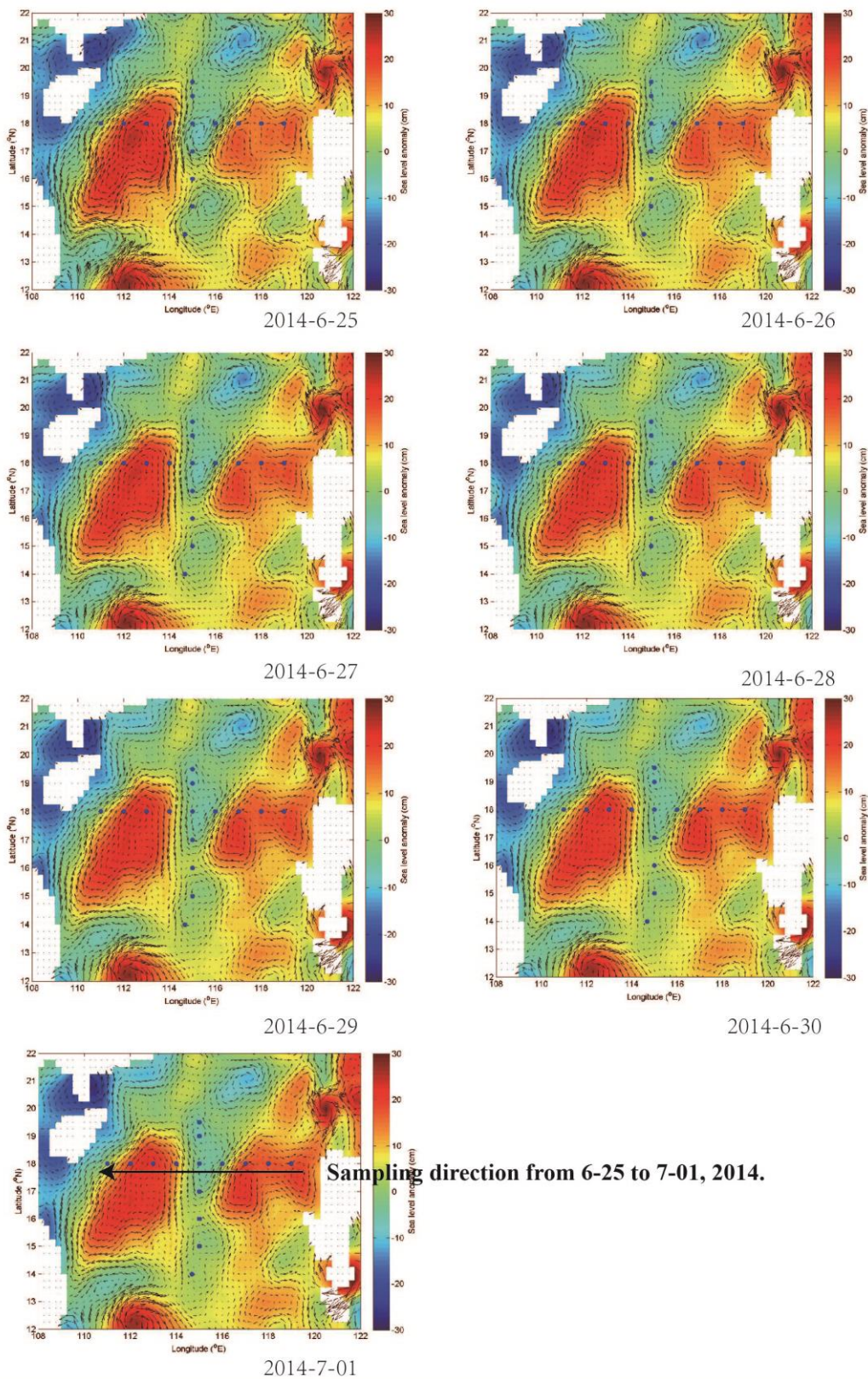
1. A clear separation between cyclonic and “normal” conditions and/or clearer methods to explain how it was done.

[About eddies] Thanks, we have re-considered the coccolithophore communities with their relationship to hydrography, and rewritten some discussions in relevant sections.

As the Fig.1 shown, during sampling days of 18°N section from 6-25 to 7-01, 2014, there was a stable eddy configuration in the South China Sea (SCS). So the Figure 2 in the manuscript paper can be representative for the eddy settings at least for 18°N section. As there were two anti-cyclonic eddies (including st. X4, X3, JI, F1, D9) in the east and west part of the section, and between these anti-cyclonic eddies there was a cyclonic eddy (I3, H3), which could be identified by the negative SLA and the anti-clockwise surface flow. The cyclonic flow was most remarkable during 25 to 28 June, and weakened in 30, June. Hence, the “normal” conditions may include X5 and G2 station. The anti-cyclonic eddies can also be verified by the MLD variations in this section. For example, MLDs were deeper in X3 (30 m), X4 (35 m) and D9 (34 m), whereas MLD was shallowest in H3 (11 m). As the comments have referred, however, the cyclonic and normal conditions may not be recognized by temperature profiles clearly. Nevertheless, the eddy influences on coccolithophore groups' distribution were clear in this section. We have redrawn the Figure 5 in manuscript paper, that is the Fig.4 here, which has shown that in “normal” stations, all the coccolithophore groups occurred, as group 1 in ~25 m, group 2 in 50 m and group 3 within range of 75~100 m. In cyclonic eddy, group 2 was within the range from 25 to 50 m, and the coccolithophore abundances were highest in these groups. In anti-cyclonic eddies, there were two situations. One was that the range of group 1 was expanded, from 25 to 50 m. Locations of group 2 (75 m) were deeper, and group 3 were compressed in 100 m layer. Another was that group 2 was disappeared, and the coccolithophore maximum layer appeared in group 3, which was dominated by LPZ assemblages like *F. profunda*. DCMs were also deeper in anti-cyclonic eddies than in cyclonic and normal conditions, ranging from ~75 to 100 m.

Due the discontinuous sampling dates and low resolution of environmental data in some stations, the meridional section may not be suitable for accessing the eddy impacts on coccolithophore communities. For example, at I6 and I7 stations were not attributed for anti-cyclonic eddies from the SLA and surface flow map, however, the coccolithophore community locations are similar with those in anti-cyclonic eddies. This may be due to the intrinsic deeper nutricline in the center basin of SCS, even if water structure had not been modulated by eddies in sampling dates. Another example is for I1 and I2 stations, of which the coccolithophore groups were agreed with those in cyclonic eddies. Likewise, this was also not attributed for cyclonic eddies, as shown by SLA and surface flow. Interestingly, in I1 and I2 stations, the euphotic zone depth were relatively shallow (~70 m), as more light attenuation from suspended particles, which could be caused by the elevated particle production, since lots of diatom fragments were observed in SEM images. This finding corresponds with their station locations in the edge of anti-cyclonic eddy where particulate organic carbon (POC) fluxes can be 2 to 4 fold higher than those in adjacent oligotrophic waters (Zhou et al., 2013; Shih et al., 2015). The case for station I4 was similar with I1 and I2, as it located in the edge of two large anti-cyclonic eddies. The horizontal advection,

for water mass balance, can result in the elevated nutricline in the cyclonic-eddy edges, and hence the enhancement of POC production (Zhou et al., 2013).



5 Fig.1 SLA and surface flow allocations in the sampling dates of 18°N section from 6-25 to 7-01, 2014.

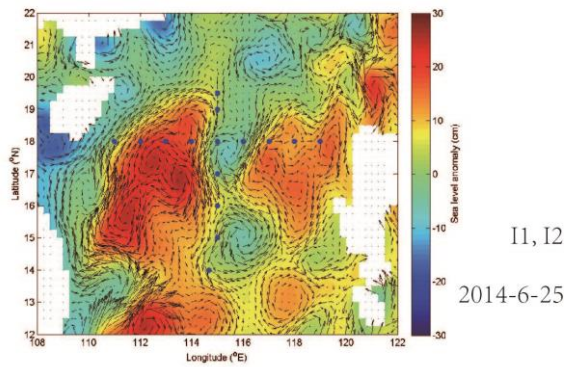


Fig.2 SLA and surface flow in 2014-6-25, when station I1 and I2 were sampled.

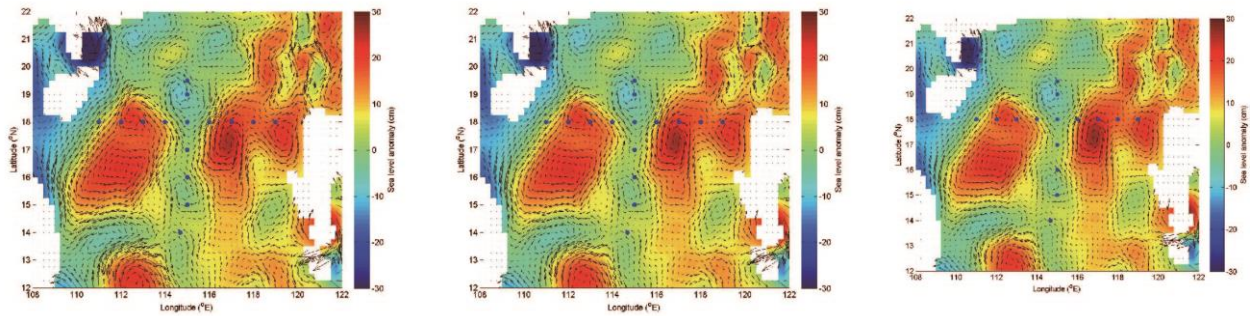


Fig.3 SLA and surface flow during 7-9, July, 2014, when I4, I5, I6 and I7 were sampled.

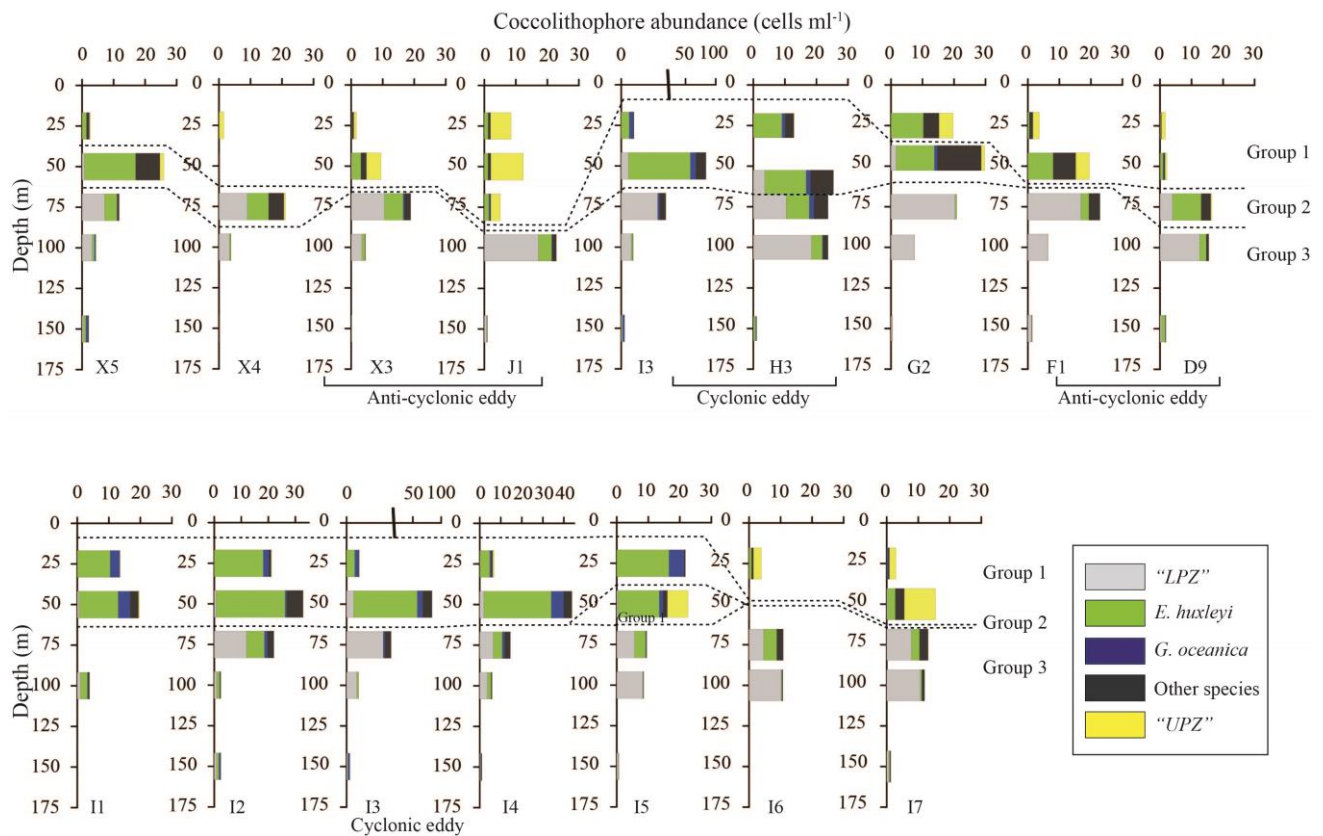


Fig.4 Coccolithophore abundances and community group distribution in the investigation. LPZ included *F. profunda*, *A. robusta*, *G. flabellatus*; UPZ included *Umbellosphaera* spp., *D. tubifera*, *R. clavigera*.

2. The effect of temperature and other parameters probably measured during the cruise (oxygen, salinity)

[About temperature] Since, temperature has been mentioned for many times in the comments, we have briefly discussed it here to express our viewpoint that temperature may exert little impact on coccolithophore in the South China Sea (SCS).

5 Firstly, on coccolithophore communities:

Temperature should rule the coccolithophore biogeography in modern oceans. Winter et al. (1994) has demonstrated a meridional distribution of coccolithophore communities, from tropical, temperate to sub-polar zones. Nevertheless, our sampling was just confined to one season in the basin of SCS, which possess a stable sea surface temperature (SST), i.e. SST was more than 29 °C in all stations. Coccolithophore communities in our investigation exclusively belong to the “tropical” group. Temperature did change with depth, and the temperature gradient was ~ 0.1 °C/m below the MLD. However, temperature co-varied (so did salinity and oxygen) with other environmental parameters, e.g. nutrients, light and carbonate chemistry, which may be more crucial. As coccolithophore groups, such as Umbelliform, Placolith and Floriform groups (Young, 1994), are more likely to link with nutrient and light rather than temperature. Actually, the predominant occurrence of *E. huxleyi* type A is related to the high SST in the SCS, as this morphotype is of warm water preference (Hagino et al., 2005) relative to type B and its derivatives.

15 Secondly, on *E. huxleyi* size:

Temperature did affect *E. huxleyi* size in a wide range (from 7 °C to 27 °C) (Watabe and Wilbur, 1966). Within a relative small range (from 10 °C to 20 °C), *E. huxleyi* size remained stable (Fielding et al., 2009). Considering the case in the SCS, the temperature difference from 50 m (~DCM) to 100 m is ~ 5 °C, a rather small range. We suggest that coccolith size may be more insensitive to temperature in tropical seas than in high latitude regions, where the seasonality is more remarkable. Two different ways can account for how temperature lead to *E. huxleyi* size changes: physiologically and ecologically (Bach et al., 2012). The former is unlikely, as stated by Bach et al. (2012): “Temperature seems to have a small physiological influence on *E. huxleyi* coccolith size.” A seasonal variation of *E. huxleyi* size was reported in the eastern Mediterranean Sea (Triantaphyllou et al., 2010). This may be due to overturning of different extent of calcifying *E. huxleyi* strains around one year (Triantaphyllou et al., 2010). However, this ecological effect is of minor possibility in the present case, as the relative small temperature range in summer in the basin SCS. At last, as discussed above, all parameters were changed with depth synchronously, making it hard to relate temperature to coccolith size.

3. Parts of the discussion lack from clarity (please see specific comments)

30 Responses are in specific comments.

4. Several figures are poorly discussed (i.e. figures 7, 8, 9).

Figure 7 is maybe important in discussion (re-discussed in section 4.3). Figure 8 and 9 have been moved into supplementary figures.

35 Specific comments:
Abstract:

L13-15: “All living coccolithophores produced within...eddy centers” please check this sentence, it is long and difficult to understand.

40 Thanks, this part of the abstract has been rewritten.

Introduction:

P1.L24: “phytoplankton carbon”? Contribution to PIC is specified but not to POC

“Phytoplankton carbon” here indicates organic carbon production from the reference (e.g. Poulton et al., 2010), so we rephrase it as primary production to avoid ambiguity.

P1.L28: Consider (up to 3×10^5 coccoliths ml^{-1} ...)

We have supplemented some information to this sentence: High concentrations of the cosmopolitan coccolithophore species *Emiliana huxleyi* generate large quantities of cells and detached coccoliths (e.g. ~ 2000 cells ml^{-1} and 3×10^5 coccoliths ml^{-1} , Balch et al., 1991).

5 P2.L7-23: This paragraph brings out a scientific question that is not answered in this work. The discussion about *F. profunda* distribution is rather a repetition of the statements presented in this part of the introduction. As this is not the central point of the manuscript and the raised question is not later answered, I suggest leaving the paragraph out of the introduction.

10 Thanks, this paragraph has been cut out. Since, we have not answered the question about how *F. profunda* links to paleo-ecology and its difference from the published literatures.

Methods

P3.L20: "...around 50 extra FOVs were examined" to reach a minimum of XX coccospheres.

15 As the low abundance of coccolithophore in depth of 25 m and 150 m, around 150 FOVs were examined. For most cases about ~ 50 to 100 coccospheres were counted, still in some samples no coccolithophore was found. If $N=1$ and $\text{FOV}=150$ were given in the equation: $C (\text{ml}^{-1}) = N \times S / (A \times V)$, this would bring out a resolution of cell abundance as 0.09 cells ml^{-1} . We think this value is confident for coccolithophore abundance estimation in water-column.

P3.L24: How many coccoliths were counted?

20 These numbers are highly variable and to large extent dependent on the coccolith abundance for individual sample. Usually 250 to >400 detached coccoliths and ~ 10 to 20 coccospheres were counted in SEM images, however, in some barren samples, just <10 or no coccolith was found. The total 144 SEM images equals ~ 1.1 ml of seawater filtered.

P4.L3: Please give reference for the k_s value of *Florisphaera profunda* (0.0016); in Young and Ziveri (2000) is ~ 0.04 .

25 Sorry for mistake. Here, we assumed that single body *G. flabellatus* coccolith length and volume was 4 times larger than *F. profunda*, for their similar rectangle shapes. Single coccolith volume = $K_{GF} \times L_{GF}^3 = 5 \times K_{FP} \times L_{FP}^3$, $L_{GF} = 5 \times L_{FP}$. So, $K_{GF} = 1/25 \times K_{FP} = 0.04/25 = 0.0016$.

P4.L22: "(Fig. 2), altimeter data on...and surface water flow..."

30 P4.L21-23: This is important for the discussion and I think it could be clearer. For instance, Fig. 2 is based on data from the 30.06.2014, but sampling took place from the 20.06 to the 09.07.2014. How was the calculation done for each sampled area? This may be important for the definition of your cyclonic eddy, which shows a SLA close to zero (from Fig. 2) and it might have been a "normal" condition like you assume for stations I1, I6 and I7.

Please see [**About eddies**] above.

35

Results

P6.L6: Please consider: water column coccolith calcite concentrations

Specific coccolith calcite concentrations of *E. huxleyi*, *G. oceanica* and *F. profunda* in water column have been added.

40 P6.L11-12: Please consider moving into Methods section. Starting of the paragraph would be *Emiliana huxleyi*...

P6.L19-20: Please consider moving into Methods section. Starting of the paragraph would be: The mean coccospheres...
Thanks, these sentences have been moved into Methods section.

45 P6.L24-25: Were there any differences in coccolith size of morphotypes A and B? Was this somehow reflected in the distribution patterns and/or related to environmental parameters?

Sorry, detailed morphological measurements were just based on type A, which was the dominated morphotype for *E. huxleyi* in our investigation. Type B coccoliths were too few to make a confident biometry measurement as well as cells

counting for an individual sample from SEM images. Indeed, from the perspective of all samples (from SEM images), we can just make a conclusion that *E. huxleyi* A was the dominated morphotype. Their distribution patterns cannot be figured out, since cell counting for significant sample size was based on light microscope. However, we do believe that the general occurrence of these two morphotype is related to temperature.

5

Discussion

P7.L14-22: How does this relates to the present study? I think it will be better to discuss the possible differences between *E. huxleyi* morphotypes A and B (as previously mentioned), how this does relates with temperature and then compare with the data you mention from previous works. Otherwise, the purpose of the whole paragraph is not very clear.

10 This paragraph is intended to interpret why *E. huxleyi* type A dominated in the South China Sea. In the present work, regrettably, we have not a detail distribution pattern between type A and B which do not allow us discussing them in a more detailed way. However, we do believe that *E. huxleyi* type A is related to warm water mass, whereas type B prefers in cold water mass. As the southern South China Sea is one part of the West Pacific Warm Pool, in which annual average SST is higher than 28 °C. Actually, from the temperature profile (Fig.2) SST was >28 °C even in a cold eddy. We have
15 rephrased this paragraph to stress the relationship between our observations with previous studies.

P7.L41: It is clear for surface samples but less clear for deeper samples. There were only few samples from 75 m fitting in group 2 and they belonged to both cyclonic and anti-cyclonic eddies.

The figure of cluster analysis (the same resemblance with nMDS) has been uploaded in supplementary figures to
20 illustrate the samples from group 1, group 2 and group 3. Indeed, group 2 fitting with 75 m (i.e. station J1) belonged to anti-cyclonic eddies.

P8.L36: consider deleting “and calcite contents”

Deleted.

25

P8.L41-42: You meant that the contribution of the other (potentially larger) species decreased in the deep layers?

Yes, there is a significantly loss of the calcite contribution of other species from photic layer (within 100 m) to deep ocean (≥500 m). New discussion has been made on this content.

30 P9. Here it will be good to have a short discussion on the effects of temperature P9. L7:“..to change” how?

Sorry, we do not believe that temperature is a key factor to influence *E. huxleyi* size in the present study. Please, see [About temperature].

P9.L8: I am not sure it can be stated that malformed coccoliths have less calcite or even that they are smaller (which may
35 be more relevant for this work). In fact, P limitation did not produce malformation of *E. huxleyi* coccoliths but it tended to increase the percentage of overcalcified (definition based in the spaces between distal shield elements) coccoliths and the coccosphere size (Oviedo et al. 2014). This without a clear pattern in PIC quotas. Also, Langer et al. (2011) reports no consistent correlation between coccolith morphology and growth or calcification rate.

We agree with the comments that the term “calcification” can mean three categories: (1) Malformation (calcification abnormal), which is not necessarily related to coccolith calcite content, as reviewer mentioned. (2) PIC production
40 (calcification rate), as estimated by equation: $\mu \times \text{PIC}/\text{cell}$, which is to large extent dependent on growth rate. (3) Single coccolith mass (calcification for single coccolith), which is relied on coccolith morphological parameters (length, thickness, relative tube width). So, our results of coccolith DSL and their relationship with environmental conditions are belonging to the third conception and may possibly influence the calcification rate (the second conception) through
45 PIC/cell. And we have made a new discussion in this paragraph.

P10.L1-7: This paragraph may be unnecessary because you already explained this “paradox” in page 9 L25 and 26,

following Müller et al. (2008). In L5, what do you mean by “maturity under different limitation”?

In this paragraph, we have referred the traditional view of coccolithophore calcification and photosynthesis. As calcification can generate CO₂ (aq) for growth (photosynthesis), and photosynthesis can provide energy for calcification. We have supplemented the discussions about this traditional view and their differences with (e.g.) Müller et al. (2008), which is maybe important to understand this “paradox”. Sorry, in L5, we meant that the different type of macro-nutrients needed for coccolithophore cell biomass growth and organic maturity (Aloisi, 2015). And this sentence has been moved to another section.

P10.L28: Triantaphyllou et al. (2010) actually associates the seasonal variation with temperature rather than with nutrients, and thus, I think it would be good to check possible relations with temperature.

Triantaphyllou et al. (2010) partly attributed the morphological change to ecophenotypic variation, apparently the seasonal changes may relate to temperature, since SST was ~26 °C in warm season, much higher than that in cold season (~14 °C) in their study area. However, it is not the case in the South China Sea, which may be possibly due to two reasons. (1) Environmental parameters are highly co-varied including temperature. They are all depth dependent, as PCA shown. In addition, the seawater temperature variation is relatively small, i.e. temperature gradient is ~0.1 °C/m below MLD. Combined with other parameters, we do not regard temperature as a key factor to influence coccolith size. (2) Our investigation is within one season in the SCS basin, a relatively small sampling range both for temporal and spatial. So, the ecophenotypic reason, possibly ruled by temperature, for coccolith size variation is unfavorable. Alternatively, it should be the *physiological* reason. However, some authors (Bach et al., 2012) stated that “*Temperature seems to have a small physiological influence on E. huxleyi size.*”

P10.L31-32: Please consider changing “assemblages” by “*E. huxleyi* populations”

P10.L32: “...but also in geological records” this conclusion cannot be extracted from the data presented in this work.

We have made a short discussion about wider implication not just limited to *E. huxleyi* populations in the present ocean, but also for the “ecological” influence on coccolith size variation in geological records.

Conclusions

The second aim of the study (regarding potential paleo-ecological relationships) is not reflected in the conclusions.

Thanks, the implication of some species with their paleo-ecological relationships may not be summarized from our results, since our investigation was just limited to the oligotrophic summer season. So, this aim of the study has been removed.

Table 2. Please check species names

Thanks, corrected.

Figure 2. The same station I3 does not show the same structure in the two plots, were the measurements taken in different dates? Otherwise please explain.

Actually, this may result from the discontinuous sampling dates between I2, I3 and I4 stations, as I2 sampled at 6-20, I3 sampled at 6-29 and I4 sampled at 7-9 2014. Another reason may be the low resolution of temperature profile in I4 station, making it look slight difference due to the interpolation deviation in ODV plots.

Figure 7. Please add the legend for symbols-color codes.

Figure 7 has been redrawn.

Figures 7 and 8. Figures are poorly discussed. Could they go in supplementary material?

Figure 7 is maybe important in discussion (section 4.3). Figure 8 and 9 have been moved into supplementary figures.

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Coccolithophore responses to environmental variability in the South China Sea: species composition and calcite content

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Abstract. Coccolithophore contributions to the global marine carbon cycle are regulated by the calcite content of their scales (coccoliths), and the relative cellular levels of photosynthesis and calcification rates. All three of these factors vary between coccolithophore species, and with response to the growth environment. Here, water samples were collected in the northern basin of the South China Sea (SCS) during summer 2014 in order to examine how environmental variability influenced species composition and cellular levels of calcite content. The living coccolithophore and their calcite concentration in water-column were 11.82 cells ml⁻¹ and 1508.3 pg C ml⁻¹, respectively on a cruise average. Water samples can be divided into 3 groups according to their distinct coccolithophore communities. The vertical structure of coccolithophore community in water-column was strongly regulated by mesoscale eddies across the basin. The evaluation of coccolithophore-based calcite in surface-ocean also showed that three key species in the SCS (*Emiliania huxleyi*, *Gephyrocapsa oceanica*, *Florisphaera profunda*) and other larger, numerically rare species made almost equal contributions to coccolith-based calcite in the water column. For *Emiliania huxleyi* biometry measurements, coccolith size positively correlated with nutrients, and it is suggested that coccolith length is influenced by light and nutrients related growth rates. Larger sized coccoliths were also linked to low pH and calcite saturation statistically, however it is not a simple cause and effect relationship, because carbonate chemistry was strongly correlated with other environmental factors (nutrients, light).

1 Introduction

Coccolithophores are an important component of marine plankton communities, contributing globally to both the organic carbon pump (biological carbon pump) and the (calcium) carbonate (counter) pump. Coccolithophores may contribute 10% to 20% of total chlorophyll-*a*, primary production and 30% to 60% of calcium carbonate (calcite or particulate inorganic carbon) in the water-column in non-bloom conditions (Poulton et al., 2006, 2007, 2010, 2014), although higher contributions of organic carbon (>40%) do occur in coccolithophore blooms (Poulton et al., 2013). Coccolith-based calcite can contribute up to 80% to deep-sea carbonate fluxes (Sprengel et al., 2000, 2002; Young and Ziveri, 2000). High concentrations of the cosmopolitan coccolithophore species *Emiliania huxleyi* generate large quantities of cells and detached coccoliths (e.g. ~2000 cells ml⁻¹ and 3 × 10⁵ coccoliths ml⁻¹, Balch et al., 1991), which are detectable from space (Cokacar et al., 2004; Raitso et al., 2006); for example, the “Great Calcite Belt” in the Southern Hemisphere is attributed to high particle inorganic carbon from coccolithophores (Balch et al., 2011, 2014). To access the contribution of coccolithophore to carbon cycle, two relevant issues are worthy of attention: (1) coccolithophore species composition and calcite concentration in water-column, and (2) their calcification response to ocean environmental factors (especially the carbonate chemistry).

The SCS is the largest marginal sea in the west Pacific Ocean, covering an area of 3.5 × 10⁶ km² (Wang et al., 2014). Phytoplankton production and surface circulation in the northern basin of the SCS are greatly influenced by the East Asian monsoon system. In the northern part of SCS, during the summer season (June to August), the surface water is oligotrophic and well stratified, and a stable mixed layer is developed. The mean chlorophyll-*a* concentration and

primary production in the euphotic zone is $0.08 \pm 0.03 \text{ mg m}^{-3}$ and $<30 \text{ mg C m}^{-2} \text{ d}^{-1}$, respectively (Chen, 2005; Chen et al., 2006), with the nitricline at a depth of $\sim 60 \text{ m}$ (Chen et al., 2006). During the winter season (December to February), surface waters are productive and well mixed due to the strong seasonal wind stress. Mean chlorophyll-*a* concentrations and primary production are $0.65 \pm 0.17 \text{ mg m}^{-3}$ and $550 \text{ mg C m}^{-2} \text{ d}^{-1}$, respectively (Chen, 2005; Chen et al., 2006), with the nitricline much shallower at around 5 m to 20 m (Chen et al., 2006). Some preliminary works of coccolithophore biogeography have been reported in SCS (Okada and Honjo, 1975; Chen et al., 2007a; Sun et al., 2011), however they may be confined to surface waters or sporadic sampling sites and lack of coccolith weight estimation.

Mesoscale eddies are typical physical oceanographic features in the SCS (Wang et al., 2003), and significantly influence the structure of the upper water-column. Cyclonic eddies in the SCS cause the thermocline to shallow and thin, while anti-cyclonic eddies have the opposite effect (Chen et al., 2011). Eddy activity in the SCS are related to local wind stress curl, intrusion of the Kuroshio Current and coastal baroclinic jets (Wang et al., 2003; Hu et al., 2011). Cold-water cyclonic eddies can elevate the nitricline into subsurface waters and drive enhanced phytoplankton production at levels exceeding those in the winter. For example, the average integrated primary production inside eddies in spring and in winter is 1090 and $550 \text{ mg C m}^{-2} \text{ d}^{-1}$, respectively (Chen, 2005; Chen et al., 2007b). Modeling studies have reported that cyclonic eddies are significant nutrient sources fueling the biological carbon pump in the SCS (Xiu and Chai, 2011). Pigments determined by high-performance liquid chromatography have also shown that phytoplankton structures are coupled with mesoscale eddies in the SCS (Huang et al., 2010; Wang et al., 2016), however how coccolithophore communities response to these regular oceanographic phenomena is still unclear.

Decreasing ocean pH (termed ocean acidification), in response to increasing atmospheric and seawater CO_2 levels, is a major concern for marine calcifiers such as coccolithophores, as lower pH levels (and calcium carbonate saturation levels, Ω_{C}) may lead to calcite dissolution and/or make the process of calcite formation (calcification) more difficult (Riebesell et al., 2000; Beaufort et al., 2011). Conflicting results concerning coccolithophore calcification have been reported from both experimental and field studies (e.g., Riebesell et al., 2000; Iglesias-Rodriguez et al., 2008; Riebesell and Tortell, 2011; Meyer and Riebesell, 2015). A recent study by Bach et al. (2015) found that laboratory findings could be reconciled when an optimum-type response to bicarbonate ion availability and pH were considered. In the field, different communities may respond to different combinations of elevated pH and/or nutrient availability, emphasizing the importance of species composition to community responses and to the multivariate nature of the growth environment (Poulton et al., 2011, 2014). Species-specific responses to ocean acidification are evident from laboratory work (Langer et al., 2006, 2009) and in the geological record (Gibbs et al., 2013; O'Dea et al., 2014), with regional oceanographic settings also having an important influence (Beaufort et al., 2011; Meier et al., 2014a). Hence, it is worthy of understanding how coccolith (e.g. *E. huxleyi* strains in the SCS) size and morphology response to environmental factors in the oligotrophic and marginal SCS.

In the present study, we performed an *in situ* investigation of coccolithophores (species composition, coccolith biometry) in the upper water-column of the South China Sea (SCS) in relation to the prevailing environmental conditions. The aims of this research were: (1) to examine coccolithophore biogeography more clearly and their calcite concentration in upper water-column, and (2) to determine how coccolith morphology (*E. huxleyi*) responds to environmental control (light, nutrient and carbonate chemistry) in the low-latitude marginal sea.

2 Materials and methods

2.1 Field sampling

A total of 72 water samples from 15 stations were collected during the R/V *Dongfanghong II* cruise of the National Science Foundation (2014). At most stations, five depths were sampled, including 25 m, 50 m, 75 m, 100 m and 150 m (Table 1). Water samples were not collected in the upper 5 m as this was extremely nutrient depleted, with especially low chlorophyll-*a* concentrations (<http://oceancolor.gsfc.nasa.gov/cms/>) in summer (Fig. 1). For each water sample, 3 L

was collected via a conductivity-temperature-depth (CTD) rosette sampler and filtered through 0.45 µm pore size 47 mm diameter nitrate cellulose membrane filters (Sartorius®) under gentle pressure. The filters were rinsed to remove residual saline seawater, dried on an electric heat platform (65 °C, 10-15 mins) and then stored in Petri dishes wrapped with aluminum foil and stored frozen (-20 °C).

5 2.2 Coccolithophore and coccolith counts

A small piece (~0.5 × 0.5 cm) of each filter was cut out and mounted on glass slides using Norland Optical Adhesive (No. 74). Coccolithophore cell counts and species identification was undertaken using cross-polarized light microscopy (Olympus BX51). In samples with abundant coccolithophore cells, individual cells (coccospheres) were counted from at least 100 field of views (FOV, diameter of each FOV is 220 µm) up to a total of 150 to 400 coccospheres. For samples with low abundance, around 50 extra FOVs were examined. For counts and morphological measurements of detached coccoliths, a second piece of each filter was cut out (~0.5×0.5 cm) and mounted on an aluminum stub with double sided conductive carbon tape and coated with gold (see Poulton et al., 2011). A Leo 1450VP Scanning Electron Microscopy (Carl Zeiss) with SmartSEM (V5.1) software was then used to automatically capture images of consecutive FOVs from a 12×12 FOV (each FOV was 4.054 × 10⁻³ mm²) grid at a magnification of ×5000, providing 144 images for analyses of detached coccolith counting and biometry. Coccolithophore species identification by light microscopy and Scanning Electron Microscopy (SEM) followed Frada et al. (2010), Young et al. (2003) and the Nannotax3 website (<http://ina.tmsoc.org/Nannotax3/>). Coccosphere and coccolith abundance was calculated using the following Eq. (1): Coccosphere/coccolith abundance (cells/coccoliths ml⁻¹) = N × S / (A × V) (1) where N is the number of coccospheres or coccoliths counted, S is the filtered area (45 mm diameter) on each filter, A is the area inspected (A = number of FOV × area of 1 FOV), and V is the filtered water volume (ml).

2.3 Coccosphere and coccolith biometry and calcite estimates

Morphological parameters of *E. huxleyi* have been used to trace the influence of environmental conditions in field works (e.g. Poulton et al., 2011; Henderiks et al, 2012; Young et al., 2014). Two distinguishable morphotypes of *E. huxleyi* (type A and type B) were observed in the SEM images, with morphotype A generally comprising >90% of total *E. huxleyi* cell numbers. Hence, the measurements of *E. huxleyi* biometry including distal shield length (DSL) and coccospheres diameter (CD) were just based on morphotype A in this study. A total of 2560 *E. huxleyi* detached coccoliths (for DSL) and 102 intact coccospheres (for DSL and CD) were measured across the study sites.

Apart from *E. huxleyi*, coccoliths length of all species were measured to estimate bulk coccolith calcite concentration in water-column. Individual coccolith calcite content (calcite mass) was calculated using Eq. (2) adapted from Young and Ziveri (2000), as in Poulton et al. (2011):

$$m \text{ (pg C)} = 2.7 \times k_s \times \text{DSL}^3 \quad (2)$$

where 2.7 is density of calcite (pg C µm⁻³), k_s is a shape constant determined for different species and DSL is the distal shield length of each coccolith (µm). For whole coccospheres, the calcite content was estimated by multiplying the calcite mass of a single coccolith (lying flat on the upper side of the coccosphere) by an estimate of the number of coccoliths in the coccosphere (e.g. 16 to 48 coccoliths in an *E. huxleyi* coccosphere in this study). Numbers of coccolith in the present study were also estimated with reference to Boeckel and Baumann (2008). All the biometry works were performed from SEM images using ImageJ software (<http://rsb.info.nih.gov/ij/>) following Poulton et al. (2011).

Three coccolith species (*Gladiolithus flabellatus*, *Calciosolenia murrayi* and *Algirosphaera robusta*) presented in the SCS do not have k_s values in Young and Ziveri (2000) or in similar coccolith calcite estimates (e.g., Knappertsbusch and Brummer, 1995; Beaufort and Heussner, 1999). For the body coccolith of *G. flabellatus*, k_s value is estimated as 0.0016, adjusted from *Florisphaera profunda* (0.04), based on their similar rectangle shapes. For *C. murrayi*, the rhomboid-shaped coccosphere is dimorphic, having both body coccoliths and narrow coccoliths around the apical opening (Young et al., 2003). Body coccolith lengths in *C. murrayi* range from 2.2 µm to 2.6 µm, with the mean length/width ratio ~3.045

in our samples, and the thickness is about 0.2 μm from Malinverno (2004). From these morphological parameters, the k_s value we estimated is 0.027. For *A. robusta*, each coccolith contains two parts: a base and a protrusion. The former is similar to a small *Syracosphaera* coccolith, with a k_s value of 0.015 (Young and Ziveri, 2000) and for the latter k_s value we calculated a cylindroid-like volume which we estimated as 0.045. Combining these two estimates gave a k_s value of 0.06 for *A. robusta* in this study.

2.4 Environmental parameters

Seawater temperature, salinity and chlorophyll fluorescence were taken from the CTD. For stations I4, I5, I6 and I7, CTD problems led to discontinuous temperature and salinity data. Mixed layer depths (MLD) were taken as the depth where the temperature difference was $>0.5^\circ\text{C}$ with respect to surface waters ($<5\text{ m}$; Painter et al., 2010), while for stations I4 to I6, the MLD were only roughly determined according to vertical temperature profiles (see Fig. 2b). Euphotic zone depth is defined as the depth to which 1% of surface irradiance penetrates. Photosynthetically active radiation (PAR) through the water column is calculated following Eq. (3):

$$\text{PAR}_Z = \text{PAR}_0 \times \exp(-K_d \times Z) \quad (3)$$

where K_d , the vertical diffuse attenuation coefficient, is estimated by the following Eq. (4) from Wei (2005):

$$K_d = 0.027 + 0.252 \times c_p \quad (4)$$

where c_p is the beam attenuation recorded by the CTD. Identification of eddy activity was according to the temperature sections (Fig. 2) and altimeter data on sea level anomalies (SLA) and surface water flow from the AVISO website (<http://www.aviso.altimetry.fr/en/home.html>).

Macronutrient (nitrate+nitrite, phosphate) concentrations were determined immediately on board with colorimetric methods, using a Technicon AA3 Auto-Analyzer (Bran-Lube). The detection limits for nitrate+nitrite and phosphate are $0.1\text{ }\mu\text{mol L}^{-1}$ and $0.08\text{ }\mu\text{mol L}^{-1}$, respectively. Seawater carbonate parameters (total alkalinity (A_T) and dissolved inorganic carbon (C_T)) were determined following the updated Joint Global Ocean Flux Study protocols (Dickson et al., 2007). Water samples for measurements were poisoned with saturated mercuric chloride solution and stored in dark before analysis. C_T was measured on board within 2 days of sampling and A_T was measured within two months. C_T was measured by collecting and quantifying the CO_2 released from the sample upon acidification with a non-dispersive infrared detector (Li-Cor[®] 7000). A_T was measured by potentiometric Gran titration. The accuracies of the A_T and C_T measurements were calibrated against the certified reference materials provided by A.G. Dickson of the Scripps Institution of Oceanography. Carbonate ion concentration, carbonate calcium saturation (Ω_c) and pH were calculated by CO_2SYS excel macro (Pierrot et al., 2006) from nutrients, C_T , A_T , temperature and salinity.

2.5 Statistical analysis

Multivariate data analysis were performed to further examine the coccolithophore composition across the study sites using PRIMER-E (v. 6.0) program (Clarke and Warwick, 2001). Before analysis, the sites of zero coccolithophore biomass and those at 150 m were removed and the absolute coccolithophore abundance data were then treated by square root-transformed. Bray-Curtis Similarity matrix was constructed with these coccolithophore biomass data and was analyzed via hierarchical cluster analysis (HCA) together with non-metric Multi-Dimensional Scaling (nMDS).

Pearson's product-moment correlations and Spearman's rank correlation were used to examine potential relationships between coccolithophore data and environmental factors. Principal component analysis (PCA) was also performed to evaluate the main controlling factors to the environmental parameters. These analyses were carried out using PAST software (Hammer et al., 2001).

3 Results

3.1 Physicochemical settings

A conspicuous deep chlorophyll-*a* maximum (DCM) was present throughout, ranging from 50 m to 75 m in depth (Fig. 3). Total nitrogen and phosphate concentrations were below the limit of quantitation in the upper 25 m, with the nutricline at a depth of ~50 m to 75 m (Fig. 3). All stations were stratified, with shallow mixed layers, ranging from 11 m to 35 m. According to the vertical temperature profiles, SLA map and surface flows (Figs. 1b and 2), two anti-cyclonic eddies (labelled herein as AE) and one cyclonic eddy (CE) were present across the 18°N section; with stations X4, X3 and J1 located in AE, F1 and D9 located in AE2, and I3 and H3 located in CE. The nutricline and DCM mirrored variability in the temperature profiles (Figs. 2 and 3), with shallowing in the upwelling CE, and deepening in the downwelling AE. Euphotic zone depths ranged from 90 m to 100 m, except at stations I1 and I2, where the euphotic zone was ~70 m depth. The detailed SLA and surface flow maps during sampling dates can be found in supplementary figures.

3.2 Coccolithophore community

The average coccolithophore cell abundance was 11.82 cells ml⁻¹, ranging from <1 to 83.67 cells ml⁻¹ across the sampling sites. The highest cell abundance was found at station I3 at a depth of 50 m. At each station, the lowest cell abundances were found at 25 m and/or 150 m, whereas the depths with the highest abundances was at 50 m and/or 75 m, in close proximity to the nutricline and DCM. A total of 17 coccolithophore taxa were counted (Table 2) across the study sites. The nMDS ordination (Fig. 4) shows that at a level of 40% (dis)similarity in the HCA (supplementary figures), three groups of water samples occurred: Group 1 mainly contained *E. huxleyi* and *Umbellosphaera irregularis*, with the lowest average cell concentrations of all the groups identified (8.57 cells ml⁻¹), and represented the shallowest samples (25 m and 50 m). Most of the samples were located at 25 m, and some at 50 m, (Fig. 5), and were representative of oligotrophic conditions in the upper mixed layer. Group 2 mainly was dominated by *E. huxleyi*, with the highest average cell concentration (27.38 cells ml⁻¹) of all the groups. Samples in this group were usually located at depths between 45 m and 75 m (Fig. 5), around 25 m below the MLD and representing the DCM, with elevated nutrients. Group 3 included taxa representing the lower photic zone (*A. robusta*, *F. profunda*), with *E. huxleyi* also abundant in most samples. Samples in Group 3 were found at 75 m and 100 m depth (Fig. 5) in which mean cell concentrations were 17.43 cells ml⁻¹ and 9.04 cells ml⁻¹, respectively.

3.3 Estimates of coccolith and coccosphere calcite

The mean concentration of detached coccoliths was 158 coccoliths ml⁻¹, with a range from 0 to 673 coccoliths ml⁻¹. The highest detached coccolith concentration was observed at station F1 at 75 m, corresponding to the highest cells number (22.87 cells ml⁻¹) at this station. However, this pattern was not common at most stations where the depth of highest cell concentration rarely corresponded to the depth with the highest detached coccolith concentration. For example, the second highest detached coccolith concentration (623 coccoliths ml⁻¹) was found at station D9 at 150 m, the easternmost station sampled (Fig. 1), where coccosphere concentration was low (1.87 cells ml⁻¹). It is unlikely that such high abundances of detached coccoliths in deep layers of the water column could be produced *in situ* when cell abundances are so low, and hence these features may be characteristics of either lateral transport.

Based on coccosphere and detached coccolith concentrations, estimated total calcite concentrations ranged from <0.1 to 5258.1 pg C ml⁻¹, with a cruise average of 1508.3 pg C ml⁻¹. Estimated total calcite concentrations roughly mirrored detached coccolith concentrations (Fig. 6; Spearman's rank correlation, $r_s = 0.81$, $p < 0.01$, $n = 67$), highlighting the contribution of detached coccoliths to particulate calcite in the water column. Our estimated calcite concentrations were in the same range as those estimated by Beaufort et al. (2008) in the southeast Pacific (2224 pg C ml⁻¹ on average). The

calcite concentrations based on three important coccolithophore species: *E. huxleyi*, *Gephyrocapsa oceanica* and *F. profunda*, who dominate surface sediments (Cheng and Wang, 1997; Fernando et al., 2007) and deep-sea coccolith fluxes (Jin et al., in prep.) in the basin SCS, were 273.0 pg C ml⁻¹, 112.1 pg C ml⁻¹ and 391.3 pg C ml⁻¹, respectively on a cruise average. Their relative contributions to water-column calcite were also estimated: *E. huxleyi* (17.04%), *G. oceanica* (7.00%) and *F. profunda* (24.42%) contributed, on average, to around half of water column calcite concentrations (Fig. 7). The depth distribution of these species contributions to total calcite matched well with their average depth distribution across the study area; *E. huxleyi* contributions were highest in the upper water column (25 m and 50 m), and *F. profunda* contributions were highest at depth of 75 m and 100 m.

3.4 *Emiliania huxleyi* biometry

From all the samples analyzed, the average distal shield length (DSL) of *E. huxleyi* type A was 2.96 μm, with an overall standard deviation of 0.39 μm. The Pearson's product-moment correlations have shown the relationships between average DSL, nutrients (nitrite+nitrate, phosphate) and carbonate chemistry (pH, Ω_C and A_T) (n=29, Table 3). Statistically significant (p < 0.01) correlations occurred between DSL, total nitrogen (nitrite+nitrate) and phosphate (positive), and pH and Ω_C (negative), whereas not correlation occurred with A_T (p = 0.13).

The mean coccosphere diameter of *E. huxleyi* across all those measured was 6.41 μm, with a standard deviation of 0.95 μm. The average number of coccoliths estimated per coccosphere was 32, with an overall range from 16 to 48. Coccosphere diameter showed a statistically significant positive relationship with DSL (Pearson's r = 0.71, p<0.01, n = 102) and coccolith number per sphere (N) (Pearson's r = 0.51, p<0.01, n = 102); and binary regression equation can be carried out: coccosphere diameter = 1.205 × DSL + 0.106 × N + 0.096. Estimated coccosphere diameter predicted using this regression equation showed good agreement with that measured (y = 0.955 x, R² = 0.83).

4 Discussion

4.1 Coccolithophore biogeography in the South China Sea

In the context of the coccolithophore biogeographical zones of Winter et al. (1994), the coccolithophore assemblages investigated in the SCS belong to the *tropical* zone, comprising *E. huxleyi*, *G. oceanica*, *G. ericsonii*, *O. fragilis*, *U. irregularis*, *F. profunda* and *A. robusta*. *Reticulofenestra sessilis* was also found in the SCS, and this species is exclusively found in the *tropical* zone where it may form symbioses with diatoms (i.e. *Thalassiosira* species) (Winter et al., 1994; Jordan, 2012). The coccolithophore flora of the SCS are similar with “High Temperature” and “Warm Oligotrophic” assemblages in the equatorial Pacific Ocean (Hagino et al., 2000).

The two dominant species in our samples from the SCS were *E. huxleyi* and *F. profunda*, species representative of the upper and lower photic zone floral groups (Winter et al. 1994). These floral groups both live within the euphotic zone (>1% surface irradiance) which is about 100 m in summer in the SCS. However, in the West Pacific Warm Pool (stratified waters) and subtropical gyres of the Pacific and Atlantic Ocean, species *F. profunda* are found much deeper (150 m to 250 m) in the water column (Hagino et al., 2000; Boeckel and Baumann, 2008; Beaufort et al., 2008). These differences are undoubtedly linked to differences between the SCS and open-ocean in terms of the depths of thermocline and nutricline, implying that the SCS is relatively eutrophic when compared with tropical and subtropical settings at similar latitude.

Upper photic zone (UPZ) assemblage: In our nMDS analysis, the UPZ assemblage (Winter et al., 1994) was represented by Groups 1 and 2, found at 25 m and 50 m in the SCS. These two groups have different species composition in our analysis; for example, Group 1 included umbelliform species, such as *U. irregularis*, which are considered K-selected (specialists) species (Young, 1994) and agrees well with previous work (e.g. Okada and Honjo, 1975). The UPZ assemblage is commonly observed in well stratified, oligotrophic, warm surface waters in the West Pacific Warm Pool

(Hagino et al., 2000). In the SCS, *U. irregularis* was mostly found at stations with deep mixed layers, deep nutriclines and extremely low nutrients in surface waters.

In comparison, Group 2 occurred at stations with shallower mixed layers and nutriclines, and hence potentially elevated nutrient supplies, and was more diverse, with *E. huxleyi* dominant. These results contradict with other studies in the SCS in summer, such as Okada and Honjo (1975) and Sun et al. (2011) who found that *G. oceanica* was the dominant species (30% to 100% of total cell numbers) in the western and southern parts of the SCS. Differences between this study and others could relate to the influence of the Asian summer monsoon on the western and southern SCS, where the southwesterly wind causes a wind driven upwelling system off the east coast of Vietnam (Liu et al., 2002; Xie et al., 2003; Ning et al., 2004). *G. oceanica* is considered a more eutrophic and coastal species (Andruleit and Rogalla, 2002; Andruleit et al., 2003) and hence it contributed less to coccolithophore biomass in the central and northern part of SCS, where summer monsoon induced upwelling/water mixing is weak.

Morphotype A was the dominant morphotype of *E. huxleyi* in the SCS. Different morphotypes of *E. huxleyi* can be distinguished by coccolith characteristics such as DSL, element widths and features of the central area (e.g., Young et al., 2003; Poulton et al., 2011), and may be considered as different ecotypes with different temperature and nutrient preferences (Cook et al., 2011; Poulton et al., 2011; Saavedra-Pellitero et al., 2014; Young et al., 2014). In our observation, the predominant occurrences of morphotype A should be related to high temperature of seawater in the tropical SCS. As the southern part of SCS is also within the West Pacific Warm Pool, of which sea surface temperature is >28 °C annually. In general, *E. huxleyi* type A shows a warmer water preference than type B and other type B derivatives (C, B/C). For instance, *E. huxleyi* type A and type B dominated in the warm Kuroshio and cold Oyashio currents, respectively, off Japan (Hagino et al., 2005). In the Pacific sector of the Southern Ocean, *E. huxleyi* type A was found in the subantarctic zone, while type B, C and B/C were found in further south and colder waters (Saavedra-Pellitero et al., 2014).

Lower photic zone (LPZ) assemblage: In our study, the LPZ was represented by Group 3, which included typical LPZ species (*F. profunda*, *A. robusta* and *G. flabellatus*) and was found between 75 m and 100 m. Group 3 occurred above, at or near the depth where 1% of surface irradiance penetrated (i.e., base of the euphotic zone). In other tropical oceans, the LPZ assemblage dwells deeper than the base of the euphotic zone (Hagino et al., 2000; Boeckel and Baumann, 2008; Beaufort et al., 2008). In the northern Arabian Sea, *F. profunda* inhabits shallower waters, and is found across a wider depth range (10 m to 80 m) (Andruleit et al., 2003). It is worth noting that, as in the SCS, the Arabian Sea is strongly controlled by a monsoonal system (Indian monsoon) and is considered relatively eutrophic (Andruleit and Rogalla, 2002; Andruleit et al., 2003). Hence, it can be inferred that neither water depth or light availability are limiting factors for *F. profunda* (and/or other LPZ species) in the SCS, but rather nutrient availability is important; the nitricline is shallow (50 m to 75 m) even in the oligotrophic summer in the SCS.

4.2 The response of coccolithophores to eddies in the South China Sea

Mesoscale eddies have a strong influence on productivity and ecosystem structure in the SCS (Chen et al., 2007b; Lin et al., 2010; Zhang et al., 2011). Previous measurements in the SCS have shown that integrated primary production in cyclonic eddies can be 2-3 fold higher relative to the outside of eddies (Chen et al., 2007b). Modelling results have also highlighted how new production, relative to outside of eddies, can be ~30% higher or lower in cyclonic or anti-cyclonic eddies, respectively (Xiu and Chai, 2011).

With further examination of the nMDS, HCA and eddy settings in the 18°N section, it clearly showed that the coccolithophore communities were strongly coupled with eddy occurrences (Fig. 5). In cyclonic eddy (I3, H3), the Group 2 occurred in ranges from 25 to 50 m depth and Group 3 occurred within layers from 75 to 100 m. Comparatively, in stations (X5, G2) as “normal” conditions, three groups sequentially occurred in water-column, as Group 1 in 25 m, Group 2 in 50 m and Group 3 in 75 to 100 m depth. In anti-cyclonic eddies, there were two situations: one was that Group 1 distributed within a wider range (from 25 to 50 m), and Group 3 was just within 100 m layer; another was that Group 2 was absent, and coccolithophore maximum layers were in Group 3, which were dominated by LPZ assemblages (e.g. *F. profunda*). This transition indicates the importance of ecology effects of eddies on coccolithophore community's

allocation in water-column. As the anti-cyclonic eddy (cyclonic eddy) centers are convergence (divergence) of the adjacent water, deepening (shallowing) the nutricline and making the water-column more oligotrophic (slightly eutrophic), of which conditions favor distinct coccolithophore assemblages (Fig. 8).

Due the discontinuous sampling dates (Table 1) and low resolution of environmental data in some stations, the meridional section may not be suitable for accessing the eddy impacts on coccolithophore communities. For example, at I6 and I7 stations were not attributed for anti-cyclonic eddies from the SLA and surface flow map, however, the coccolithophore community locations are similar with those in anti-cyclonic eddies. This may be due to the intrinsic deeper nutricline in the center basin of SCS, even if water structure had not been modulated by eddies in our investigation. Another example is for I1 and I2 stations, of which the coccolithophore groups were agreed with those in cyclonic eddies. Likewise, this was also not attributed for cyclonic eddies, as shown by SLA and surface flow (supplementary figures). Interestingly, in I1 and I2 stations, the euphotic zone depth were relatively shallow (~70 m), as more light attenuation from suspended particles, which could be caused by the elevated particle production, since a great number of diatom fragments had been observed in SEM images (e.g. at I2 25 m). This finding corresponds with their station locations in the edge of anti-cyclonic eddy where particulate organic carbon (POC) fluxes can be 2 to 4 fold higher than those in adjacent oligotrophic waters (Zhou et al., 2013; Shih et al., 2015). The case for station I4 was similar with I1 and I2, as it located in the edge of two large anti-cyclonic eddies (supplementary figures). The horizontal advection, for water mass balance, can result in the elevated nutricline in anti-cyclonic eddy edges, and hence, the enhancement of POC production (Zhou et al., 2013).

Station I5 had another distinctive arrangement of species assemblages which was opposite to that found at the other stations sampled (Fig. 5); Group 2 was found at 25 m while Group 1 was at 50 m. Examination of the temperature profile shows that the 29.5 °C isotherm was shallow and domed, while the 22.5 °C isotherm was pushed deeper into the water column (Fig. 2b). Filters collected at 25 m and 50 m from I5 also had lots of diatom fragments, and relatively elevated coccolithophore abundances (21.75 and 22.59 cells ml⁻¹ in 25 and 50 m, respectively). We suggest that this feature may represent a mode-water eddy, as described by McGillicuddy et al. (2007) in the northeast subtropical Atlantic Ocean. McGillicuddy et al. (2007) observed elevated phytoplankton production (i.e. a diatom bloom) in a mode-water eddy, which led to local changes in the zooplankton community composition (McGillicuddy et al., 2007; Eden et al., 2009).

4.3 Calcite concentrations in the South China Sea

The discrete estimates of bulk coccolith calcite roughly co-varied with coccolith and coccolithophore concentration in water column, with peak concentrations around the DCM. Rather than controlled by the environmental factors (light, nutrients, carbonate chemistry), the vertical distribution of bulk coccolith calcite reflected changes in the coccolithophore community composition. For example, the specific calcite contribution of *E. huxleyi* and *F. profunda* were the reflection of coccolithophore community changes in water-column. They contributed more in cyclonic eddy and less in anti-cyclonic eddies. In addition, excluding the maximum calcite concentration in the DCM, another peak was also found in deeper water at some stations, for example at 150 m in F1 and D9, and 100 m and 150 m in I7 station, where the living cells were low and calcite was nearly all contributed by detached coccoliths.

E. huxleyi, *G. oceanica* and *F. profunda* represented around half of the calcite in the water column (Fig. 7), whereas other species with smaller levels of abundance contribute to the other 50% of water column calcite. The great contribution of these relatively less abundant species in calcite inventories is partly related to higher per coccolith calcite contents, due in part to larger coccolith lengths (Young and Ziveri, 2000); for example, *O. fragilis* has >80 pg C per coccolith whereas *E. huxleyi* has ~2 pg C per coccolith. Relatively rare coccolithophore species with high coccolith and coccosphere calcite contents should be important vectors of both upper-ocean calcite production (Daniels et al., 2014) and deep-sea calcite fluxes (Ziveri et al., 2007). However, examination of sediment trap materials (500 m depth, 1500 m to sea floor) in the northern SCS basin shows that these three species (*E. huxleyi*, *G. oceanica* and *F. profunda*) dominating upper ocean calcite inventories have an increased contribution to coccolith (>95%) and coccolith calcite (>85%) fluxes (Jin et al., in prep.). This highlights the discrepancy of coccolith calcite species between euphotic surface

and aphotic deep oceans. Notably, at 150 m for some stations (D9, F1, G2, I5, X3), these three species can totally comprise more than 70% to 90% of calcite inventories and the contribution of *G. oceanica* exceed those of *E. huxleyi* (Fig. 7), which is similar with the cases in sediments of mooring traps. One possible reason is that these coccoliths are attributed for lateral transport of nepheloid layer originated from continental shelf or slope. This is most likely for D9 and F1 stations, as they have such high detached coccolith concentrations (Fig. 6) and located in the westernmost of 18°N section. Alternatively, coccoliths in deep layer are result from vertical sinking. It indicates that the higher contribution of these species in deep layer may result from their higher production rate in photic zone which cannot be reflected from the snapshot-like discrete sampling.

4.4 Environmental influences on *Emiliana huxleyi* biometry

Nutrients and light: Some culture experiments have shown that nutrients may exert little influence on coccolith calcification rate or morphological variance (Paasche, 1998; Fritz, 1999; Langer and Benner, 2009; Langer et al., 2012). In mesocosm enclosures coccolith size has been shown to change under low phosphate conditions (Båvik et al., 1997; Engel et al., 2005). A culturing study of *E. huxleyi* strains isolated from the Mediterranean Sea showed an increase in coccospheres size and cell calcite content under phosphorus limitation (Oviedo et al., 2014). These different results of *E. huxleyi* calcite quota or calcification rate under nutrient limitation may result from strain-specific responses (Oviedo et al., 2014). Until recently, a detail model has revealed that nitrogen and phosphorus are requisite for distinct cellular usage, biomass growth and organic maturity, respectively (Aloisi, 2015). Phosphorus deficient will delay cell division, whereas coccolithophore can still grow when nitrogen is not limited, so this mechanism has accounted for why cellular particulate inorganic carbon (PIC) increases under phosphorus stress (Aloisi, 2015). In the present study, a positive relationship between nutrients (both nitrogen and phosphorus) and *E. huxleyi* coccolith size was found (Table 3). Actually, the largest coccoliths occurred at deepest depth where nutrient was sufficient and light was insufficient, while within the *E. huxleyi* abundant layer coccoliths were relatively small (e.g. most remarkable at X3, F1, D9, I7, X5, Fig. 9a).

If nutrients are the only limiting factor in *E. huxleyi* growth (i.e. under culturing conditions), when nutrients are replete, *E. huxleyi* growth is fast (exponential phase), with fewer and smaller coccospheres per cell. When nutrients become limiting, *E. huxleyi* growth slows (stationary phase), and larger and multi-layer coccospheres are produced (Gibbs et al., 2013; O'Dea et al., 2014). A culturing experiment of *E. huxleyi* strain NIES 837 has shown that during rapid cell division phase, coccoliths production on cells was ceased (Satoh et al., 2009). In our cases in the SCS (in field conditions), nutrients were not the only limiting factor influencing *E. huxleyi* growth. We propose that light is also a limiting factor for *E. huxleyi* production and calcification in natural communities. Although some authors stated that light should not be regarded as a factor in phytoplankton growth in oligotrophic SCS, because the euphotic depth exceeds the MLD and nutricline throughout the year (Tseng et al., 2005; Wong et al., 2007). Here, a simple schematic is proposed (Fig. 9b): we suggest that nutrients are not limiting below the nutricline. (1) In the DCM layer, when light and nutrients are optimal for phytoplankton growth, *E. huxleyi* growth is fast and they produce small sized coccoliths; (2) In deeper waters, when nutrients are more sufficient but light is not available, *E. huxleyi* growth slows and they produce larger sized coccoliths. That light limitation, in *E. huxleyi* cell, can prolong G1 assimilation stage during which calcification take place will at last increase cellular calcite content (Müller et al., 2008); (3) Above the nutricline, when light is sufficient and nutrients are depleted, it is possible that *E. huxleyi* coccolith size is depended on whether phosphorus is deficient with reference to the Redfield ratio, although we have not enough data to support this contention as *E. huxleyi* coccoliths were too few in the SEM images in these samples for statistical significance.

The same trend of calcification in the water column has also been found off the Loffoten Islands in the Norwegian Sea (Charalampopoulou et al., 2011). Cell calcification rate was $<1 \text{ pmol C cell}^{-1} \text{ d}^{-1}$ in the coccolithophore maximum layer, while it was about three times higher in upper and lower waters where coccolithophores were less abundant (Charalampopoulou et al., 2011), although bulk calcification in their study was influenced by light and coccolithophore species changes (Charalampopoulou et al., 2011). That opposite results were found in Benguela coastal upwelling system where coccospheres and coccoliths in the DCM (~17 m) were larger than those at 50 m depth could be due to different

bloom stage of *E. huxleyi* (Henderiks et al., 2012). Largest coccoliths/coccospheres were reported in late exponential growth stage (11th day) in mesocosm experiments (Engel et al., 2005). With a closer inspection, in their experiments phosphate was exhausted at the 11th day ($<0.05 \mu\text{mol L}^{-1}$), while nitrate was not below detection limit until 13th day (Engel et al., 2005). It means that phosphorus limitation regulated growth rate (decrease) with co-variation of cellular calcification (increase, negative response) (Müller et al., 2008; Aloisi, 2015). However, it is not the case in the SCS, because both nutrients were replete at deeper depth, and growth rate was, we suggested, limited by light availability. Other contrary results came from sediment traps which showed that heaviest coccoliths weight of *E. huxleyi* was linked to primary productivity in bloom seasons in tropical Atlantic and the Mediterranean Sea (Beaufort et al., 2007; Meier et al., 2014b). Nevertheless, these changes may account for the seasonal overturn of heavily and lightly calcified *E. huxleyi* (possibly different morphotypes) (Triantaphyllou et al., 2010; Meier et al., 2014b).

Because, coccolithophore calcification is a strongly light-dependent process as stated in many literatures (e.g. Poulton et al., 2007; 2010; 2014). Apparently, it seems paradoxical that light constrains coccolithophore growth rates and promotes cellular calcification, since photosynthesis and calcification are coupled (Paasche, 2001; Rost and Reibesell, 2004). **Considering that, calcification (photosynthesis) rate is estimated by the equation: $\mu \times \text{PIC (POC) cell}^{-1}$, light or nutrients limitation definitely lower the growth rate μ , more exactly the cell division rate as detected by cell counters in many culturing experiments. Sine calcification and photosynthesis rates are both influenced by μ (to large extent), they obviously show strongly coupled relation. However, the cellular PIC or POC content, the second factor of the equation, does increase when light or phosphorus is limited (Müller et al., 2008; Aloisi, 2015). Thus, this paradox may come from the different perspective from coccolith calcification (rate). Overall**, cell/coccolith size variations are a combination of physiological responses to environmental constraints, and may also be influenced by zooplankton grazing in natural conditions (Beaufort et al., 2007). Whether this response is positive or negative needs more detailed studies.

Carbonate chemistry: Coccolithophores are thought to be sensitive indicators of carbonate chemistry, especially Ω_{C} and $[\text{CO}_3^{2-}]$ (e.g., Beaufort et al., 2011). Our results show an inverse correlation between DSL and pH, and Ω_{C} . Similarly, *E. huxleyi* calcification has been found to be negatively correlated with Ω_{C} in the shelf waters of the Northwest European shelf (Poulton et al., 2014). In their case, the range in Ω_{C} and pH values were small compared with many open-ocean situations (Poulton et al., 2014). In our case, except A_{T} , all the environmental data was significantly inter-correlated (Table 3), and all these parameters contribute to one principal component (PC) (Table 4), as they strongly correlated with PC-1 (Table 4). These environmental gradients are depended on water depth. Importantly, in the data from the SCS the carbonate chemistry inversely mirrors the nutrient data, **making it hard to distinguish its influence on coccolith morphology**. Hence, it is not necessary to directly infer that *E. huxleyi* calcification and carbonate chemistry have a simple cause and effect relationship in the SCS.

Here, our DSL results in the SCS were compared with those in the North Sea (Young et al., 2014) (Fig. 10). In the North Sea, *E. huxleyi* was also dominated by morphotype A (Young et al., 2014). Ω_{C} in the two regions falls within a similar range, however DSL is much larger in the North Sea (t -test, $p < 0.001$). The morphotype in both the North Sea and SCS was A, and hence what causes the morphological distinction may be genotypic variation or an “ecological” effect (Bach et al., 2012). It is suggested that the changing environmental conditions can select for different coccolithophore strains which indirectly influences the coccolith size and morphology (Bach et al., 2012). For example, different environmental provinces can shift from a community dominated by normally calcified *E. huxleyi* type A to one characterized by weakly calcified B/C in the Patagonia Shelf and Southern Ocean (Cubillos et al., 2007; Poulton et al., 2011). Heavier calcified morphotypes during low Ω_{C} in winter may be responsible for the seasonal morphotype transition in the Bay of Biscay (Smith et al., 2012). Seasonal variability of *E. huxleyi* coccolith size has also been observed in the Aegean Sea, which may be due to **genotypic or ecophenotypic variation** (Triantaphyllou et al., 2010). Additionally, Young et al. (2014) have argued that *E. huxleyi* DSL differences related to neritic and oceanic groups rather than carbonate chemistry impacts. DSL in our samples show no difference with those in the oceanic group (t -test, $p = 0.99$), however, they are significantly lower than those in the neritic group from Young et al. (2014) (t -test, $p < 0.001$) (Fig. 10). **Meier et al. (2014a) found that mean coccolith weight peaked at the Rockall Plateau during Heinrich 11, when Ω_{C} and pH were of valley values. This should be due to a coccolith assemblage shift to heavier calcified morphotypes with relation to oceanic frontal**

changes during this episode rather than carbonate chemistry variations (Meier et al., 2014a). So, the ecological transition of assemblages may be a more dominant effect on coccolith morphology and/or cellular calcification in not only the present ocean, but also in geological records.

5 Conclusions

5 In the South China Sea (SCS), the coccolithophore community corresponds to the tropical biogeographic zone, with many characteristic tropical species being present (e.g., *Umbellosphaera irregularis*, *Florisphaera profunda*). Coccolithophore cellular abundances ranged from <1 cells ml^{-1} to 83.67 cells ml^{-1} across the SCS basin. Highest cell concentrations occurred in the DCM, with all of the coccolithophore community within the euphotic zone (i.e. above the depth where 1% of surface irradiance penetrates). *Emiliania huxleyi* (type A) was the numerically dominant species in
10 the SCS during summer.

Water samples were divided into 3 groups according to their coccolithophore communities. Group 1, represented by the presence of *U. irregularis*, preferred to oligotrophic conditions; Group 2, dominated by *E. huxleyi*, had relative high coccolithophore cells; and Group 3 contained lower photic assemblages. These coccolithophore communities in water-column showed strong vertical differentiation, with response to mesoscale eddy features in the 18°N section (Fig. 5, Fig. 8). Briefly, anti-cyclonic eddies were occupied with oligotrophic representative species, whereas coccolithophore assemblages in cyclonic eddy were slightly productive.

Estimates of calcite concentrations in the upper water column based on coccosphere and coccolith calcite contents closely matched detached coccolith concentrations highlighting their significant contribution to calcite standing stocks. Three key species (*E. huxleyi*, *Gephyrocapsa oceanica*, *F. profunda*) contributed roughly half (Fig. 7) of the surface ocean coccolith-calcite concentrations. Moreover, they have an increased contribution to deep sea coccolith and calcite fluxes (Jin et al., in prep.), implying their importance for coccolith carbonate calcium production in the SCS.

Biometric measurements of *E. huxleyi* coccoliths showed significant ($p < 0.01$) positive relationships with nutrient (nitrate, phosphate) concentrations and negative relationships with carbonate chemistry (pH, Ω_c) (Table 3). Although all of these environmental parameters were strongly correlated. It is suggested that light and nutrients are more likely to explain the *E. huxleyi* coccolith variations rather than carbonate chemistry. As larger sized coccoliths for *E. huxleyi* are produced in deep and light limited waters with slow cell growth rate, while in optimal conditions (i.e. in deep chlorophyll maximum), they are likely to produce smaller sized coccoliths with faster growth rate.

Author contributions

X.B.J., C.L.L. and A.J.P. designed the experiments and X.B.J. carried them out. C.L.L. was the supervisor of this project. X.B.J. and A.J.P. drafted and revised the manuscript. Nutrients and carbonate chemistry data were provided by M.H.D. and X.H.G.

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Tables

Table 1. Sampling date, location, depth and upper water structure conditions: mixed layer depth (MLD), euphotic zone depth (Zeu).

Station	Date (GMT+8)	Longitude	Latitude	Sampling depth (m)	MLD (m)	Zeu (m)
D9	2014/6/25 7:11	119	18	25,50,75,100,150	34	95
F1	2014/6/26 3:38	118	18	25,50,75,100,150	24	92
G2	2014/6/26 14:36	117	18	25,45,75,100,150	12	90
H3	2014/6/27 15:08	116	18	25,60,75,100,150	11	98
I1	2014/6/20 0:52	115	19.5	25,50,100	16	76
I2	2014/6/20 20:50	115	19	25,50,75,100,150	16	69
I3	2014/6/29 9:23	115	18	25,50,75,100,150	23	99
J1	2014/6/29 20:35	114	18	25,50,75,100,150	26	98
X3	2014/6/30 6:58	113	18	25,50,75,100,150	30	100
X4	2014/6/30 18:01	112	18	25,50,75,100,150	35	99
X5	2014/7/1 5:10	111	18	25,50,75,100,150	17	93
I4	2014/7/9 8:23	115	17	25,50,75,100,150	18	
I5	2014/7/9 1:54	115	16	25,50,75,100,150	(<25)	
I6	2014/7/8 17:53	115	15	25,50,75,100	(>25)	
I7	2014/7/7 22:33	114.67	14	25,50,75,100,150	20	

Table 2. Coccolithophore species composition in Group 1, Group 2 and Group 3. R: mean relative abundance; F: occurrence frequency. Bold numbers indicate the abundance and occurrence frequency of common species (genera) in their groups.

	Group 1		Group 2		Group 3	
	R	F	R	F	R	F
<i>Algirosphaera robusta</i>	0.39	23.53	2.22	66.67	19.78	92.86
<i>Florisphaera profunda</i>	0.35	17.65	1.34	41.67	43.81	100.00
<i>Gladiolithus flabellatus</i>	0.00	0.00	0.00	0.00	1.66	60.71
<i>Emiliana huxleyi</i>	36.97	94.12	66.84	100.00	22.65	92.86
<i>Gephyrocapsa oceanica</i>	2.29	41.18	10.23	91.67	1.65	46.43
<i>Gephyrocapsa ericsonii</i>	6.20	52.94	6.20	50.00	2.61	32.14
<i>Umbellosphaera irregularis</i>	34.35	94.12	0.86	41.67	0.24	7.14
<i>Umbellosphaera tenuis</i>	2.14	47.06	0.10	16.67	0.00	0.00
<i>Discosphaera tubifera</i>	4.41	82.35	0.11	8.33	0.00	0.00
<i>Rhabdosphaera clavigera</i>	0.82	23.53	0.04	8.33	0.00	0.00
<i>Calcidiscus leptoporus</i>	0.82	17.65	1.53	58.33	0.96	35.71
<i>Oolithotus fragilis</i>	3.64	35.29	6.95	83.33	3.87	78.57
<i>Helicosphaera carteri</i>	1.05	58.82	0.21	25.00	0.03	3.57
<i>Syracosphaera</i> spp.	3.92	94.12	1.56	83.33	1.55	53.57
<i>Umbilicosphaera sibogae</i>	0.45	17.65	0.71	33.33	0.22	14.29
<i>Calciosolenia</i> spp.	0.49	23.53	0.48	58.33	0.41	21.43
<i>Michaelsarsia</i> spp.	1.71	35.29	0.61	41.67	0.54	25.00

Table 3. Pearson's product-moment correlations between mean distal shield length (DSL) of *E. huxleyi*, nitrate+nitrite (N), phosphate (P), pH, total alkalinity (A_T) and Ω_C (n=29). * p<0.05; ** p<0.01.

	N	P	pH	A _T	Ω_C
N					
P	0.995**				
pH	-0.803**	-0.827**			
A _T	0.592**	0.563**	-0.188		
Ω_C	-0.890**	-0.898**	0.870**	-0.508**	
DSL	0.601**	0.579**	-0.526**	0.274	-0.395*

Table 4. Principal component (PC) analysis and Pearson product moment correlations (r) between environmental parameters (N: nitrate+nitrite, P: phosphate, pH, A_T, Ω_C) and PC scores. PC-1 and PC-2 attribute for 78.87% and 16.76% of variance, respectively. **p<0.01, *p<0.05.

	PC-1 loading	PC-2 loading	PC-1 scores	PC-2 scores
N	0.49	0.03	0.97**	0.03
P	0.49	-0.01	0.98**	-0.01
pH	-0.43	0.50	-0.86**	0.45*
A _T	0.30	0.85	0.60**	0.78**
Ω _C	-0.48	0.11	-0.95**	0.10

Figure 1:

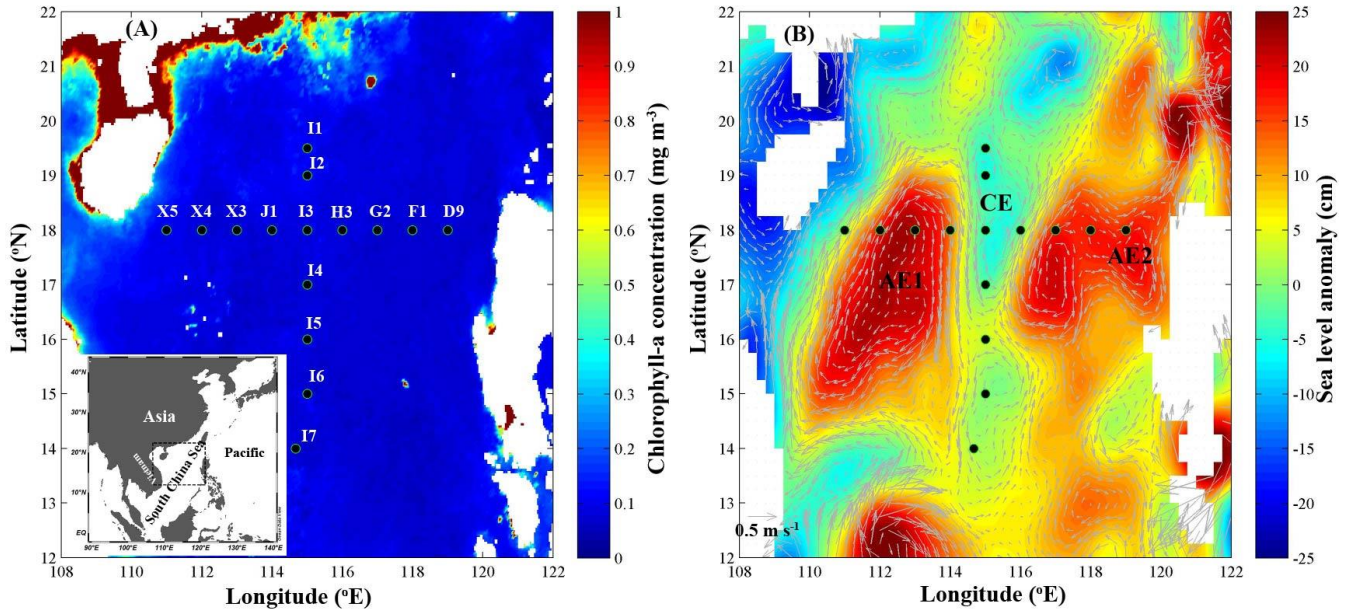


Figure 1: (A) Sampling stations in the SCS, superimposed on the MODIS-Aqua (4 km) monthly average (May to August 2014) surface chlorophyll-*a* (mg m^{-3}). (B) Map of sea level anomaly (SLA) and surface flow in 30th June 2014. The positive SLA with clockwise flow indicates anti-cyclonic eddies (AE), and the negative SLA with anticlockwise flow indicates cyclonic eddies (CE).

Figure 2:

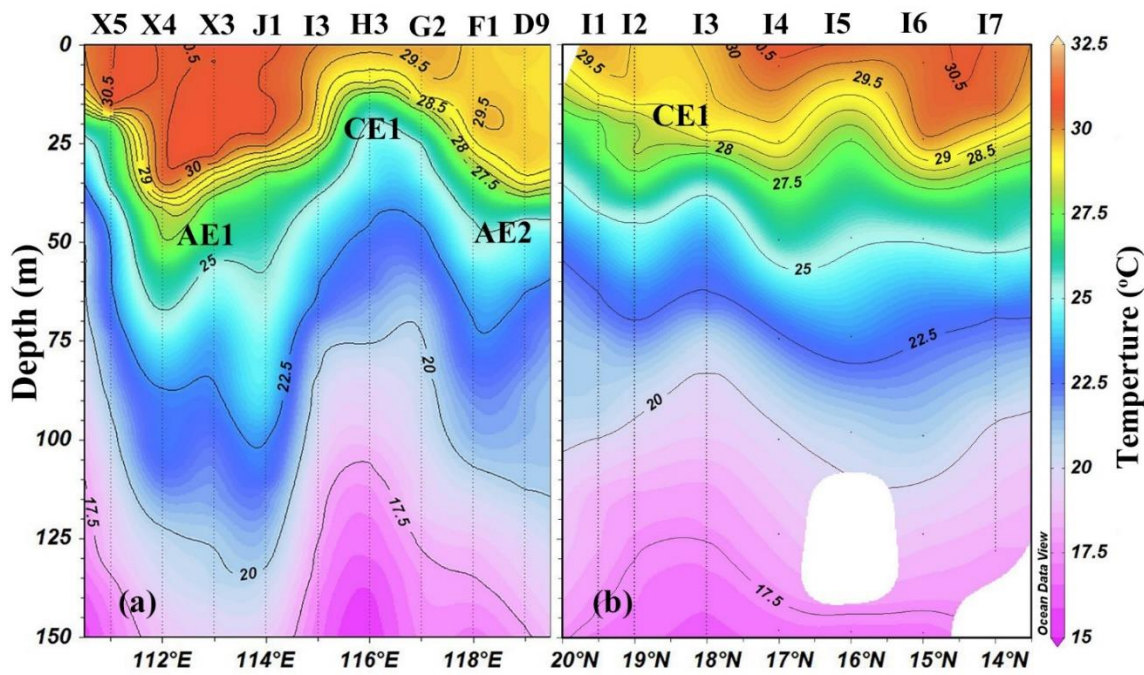


Figure 2: Temperature (°C) profiles in zonal (a) and meridional (b) sections. Variation of isotherm indicates anti-cyclonic eddies (AE) and cyclonic eddy (CE) respectively. Profiles are dawn with Ocean Data View software (Schlitzer, 2015).

Figure 3:

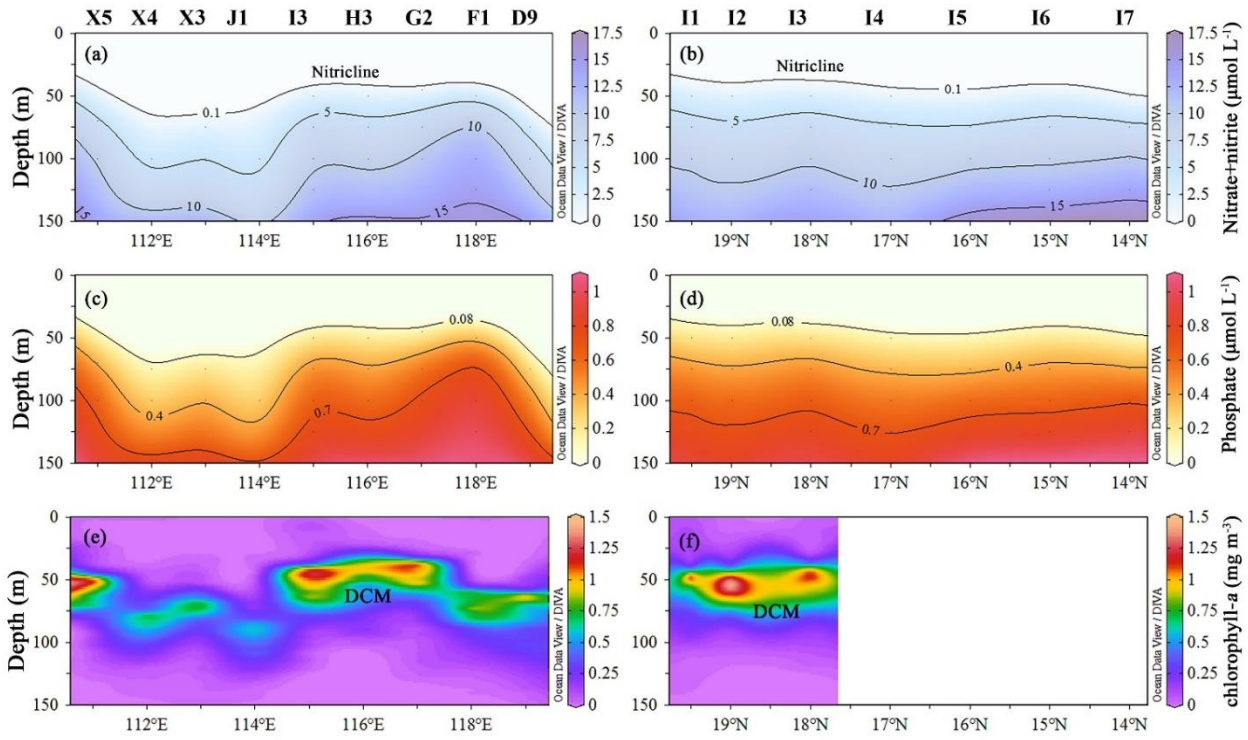


Figure 3: Profiles of macronutrient (nitrate+nitrite, phosphate) condition and chlorophyll-*a* concentration (mg m^{-3}) in zonal (a, c, e) and meridional sections (b, d, f). Nitricline is the depth where nitrate+nitrite is $0.1 \mu\text{mol L}^{-1}$ (Borgne et al., 2002). DCM: deep chlorophyll-*a* maximum.

Figure 4:

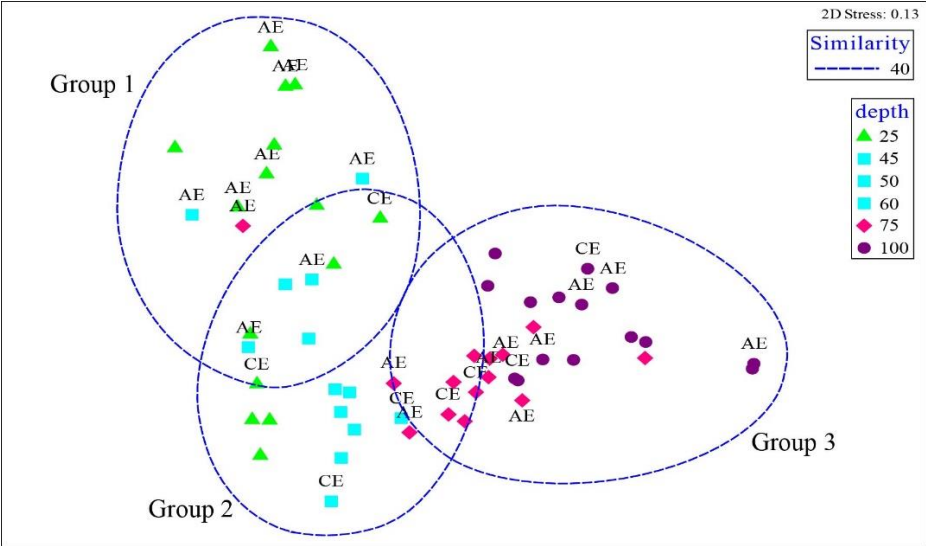


Figure 4: Non-metric Multi-Dimensional Scaling (nMDS) ordination of stations in different depth, based on Bray-Curits similarity. The stress 0.13 of 2-dimentional ordination can provide a good interpretation for community group (Clarke and Warwick, 2001). The blue dashed lines indicate different divisions at 40 similarity, which is conducted by cluster analysis, using the same resemblance as nMDS. CE: cyclonic eddy; AE: anti-cyclonic eddy.

Figure 5:

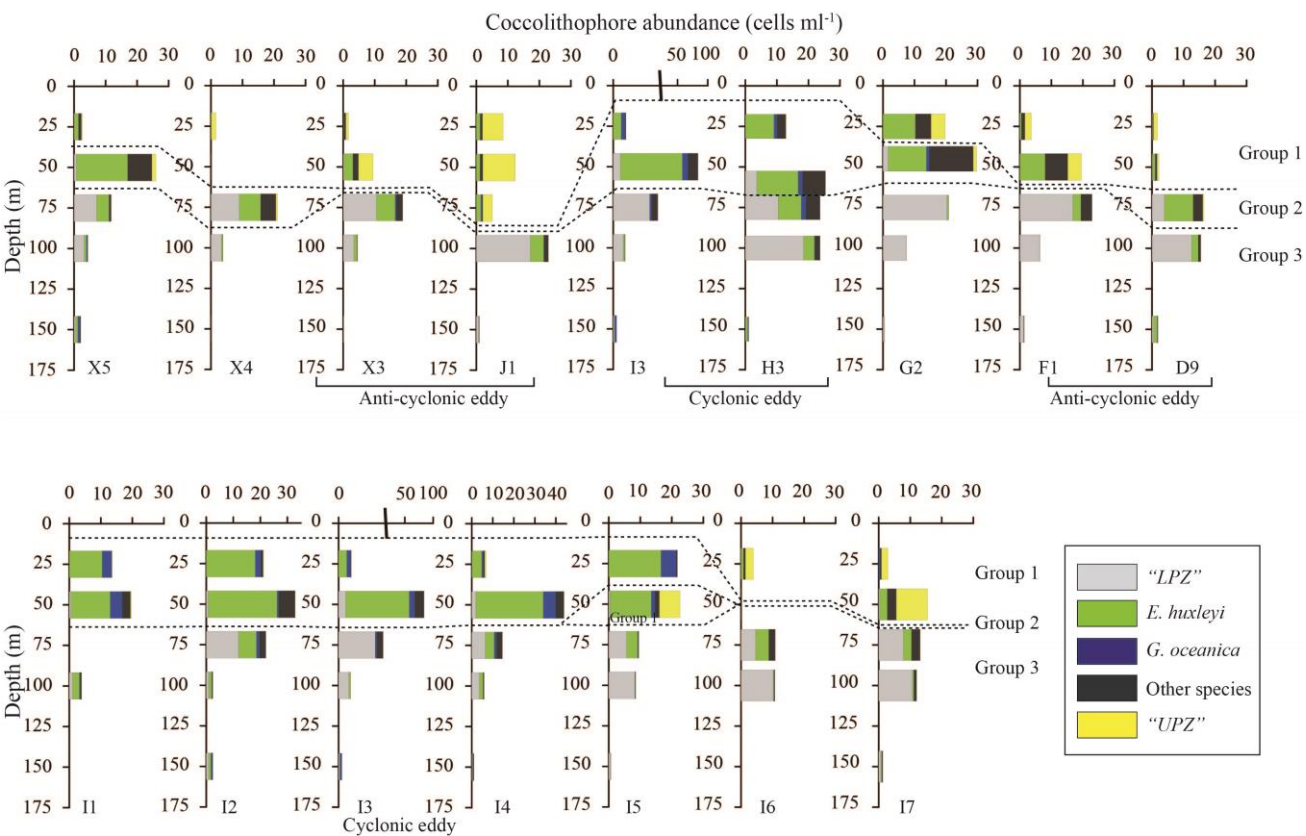


Figure 5: Cocolithophore abundance (cells ml⁻¹) of three groups in sampling stations. "LPZ" specifically indicates three species: *F. profunda*, *A. robusta* and *Gladiolithus flabellatus*. "UPZ" specifically indicates: *Umbellosphaera* spp. (mainly *U. irregularis*), *D. tubifera* and *R. clavigera*.

Figure 6:

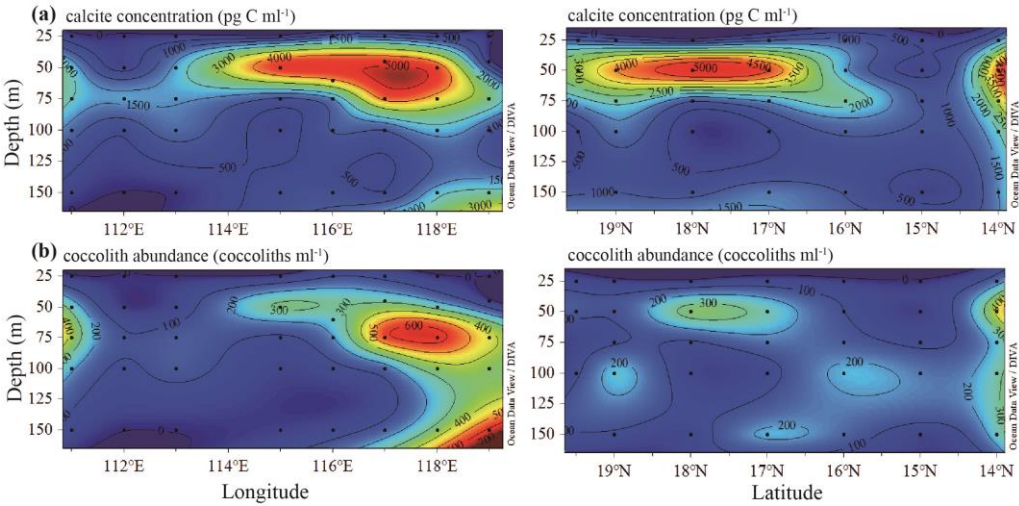


Figure 6: Coccolithophore-based calcite concentration (a) and detached coccolith concentration (b) in zonal and meridional sections.

Figure 7:

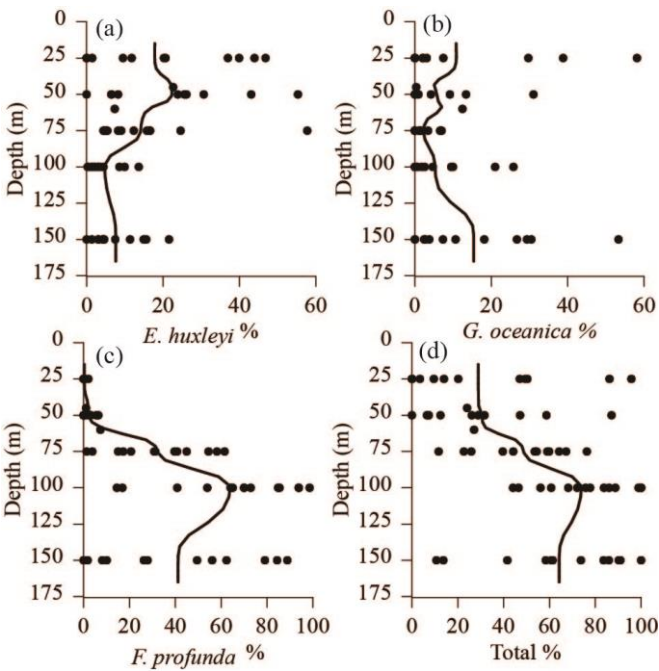


Figure 7: The relative contribution of *E. huxleyi* (a), *F. profunda* (b), *G. oceanica* (c) and their total contribution (d) to coccolithophore-based calcite concentration in water column. The black lines denote moving average of 30 grid-points.

Figure 8:

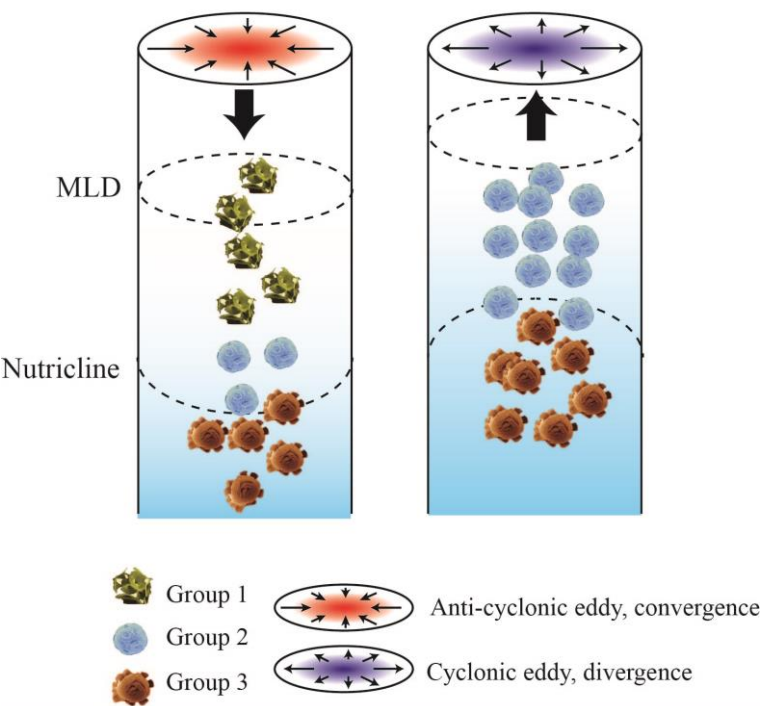


Figure 8: Schematic showing the coccolithophore communities in anti-cyclonic eddy and cyclonic eddy.

Figure 9:

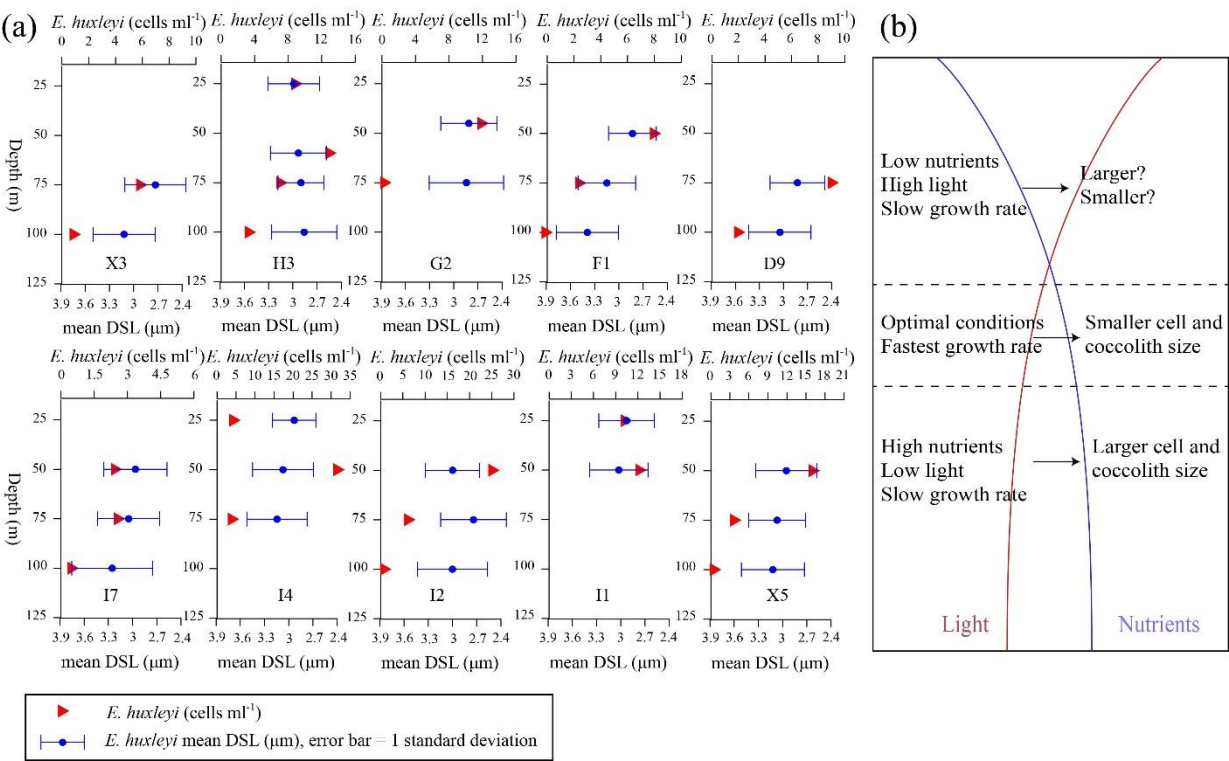


Figure 9: (a) Cell abundance (red triangles) and mean distal shield length (DSL, blue dots, error bar =1 standard deviation) of *E. huxleyi* plotted in stations where there were at least two biometry measurement points. (b) A schematic map showing light and nutrients conditions in relation to coccolithophore growth rate and cell/coccolith size.

Figure 10:

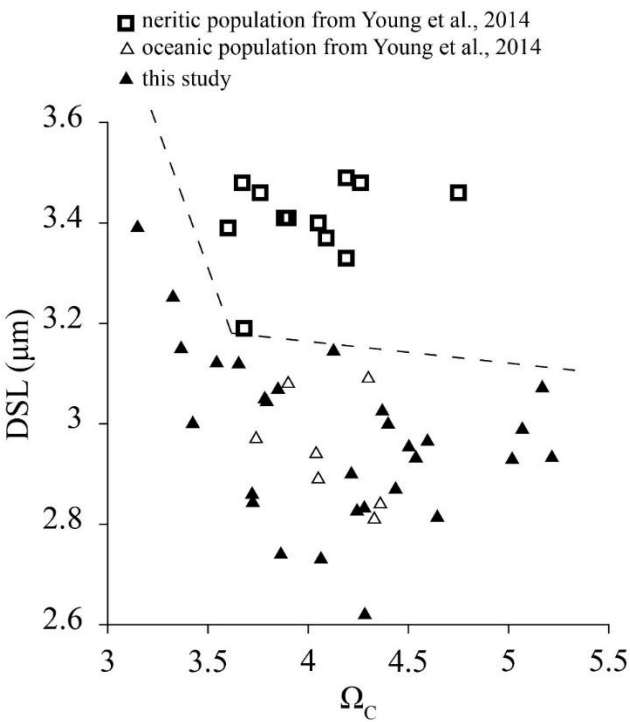


Figure 10: *E. huxleyi* type A distal shield length (DSL) in the SCS (black triangles) with those in neritic (hollow triangles) and oceanic (hollow squares) in the North Sea (Young et al., 2014) plotted versus carbonate calcium saturation (Ω_C).