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Hotspots of tropical land use emissions: patterns, uncertainties, and leading emission 1 2 sources for the period 2000-2005 3 **Short title**: AFOLU greenhouse gas emissions hotspots 4 5 Authors affiliation: 6 7 Rosa Maria Roman-Cuesta^{1,2*}, Mariana C. Rufino¹, Martin Herold^{2*}, Klaus Butterbach-Bahl^{3,4}, Todd 8 S. Rosenstock⁵, Mario Herrero⁶, Stephen Ogle⁷, Changsheng Li⁸, Benjamin Poulter⁹, Louis 9 Verchot^{1,10}, Christopher Martius¹, John Stuiver², Sytze de Bruin². 10 11 ¹ Center for International Forestry Research (CIFOR), P.O Box 0113 BOCBD, Bogor 16000, 12 Indonesia. 13 ² Laboratory of Geo-Information Science and Remote Sensing - Wageningen University. 14 15 Droevendaalsesteeg 3, 6708PB. Wageningen. The Netherlands. International Livestock Research Institute (ILRI) P.O. Box 30709. Nairobi 00100, Kenya 16 ⁴ Karlsruhe Institute of Technology, Institute of Meteorology and Climate Research (IMK-IFU), 17 18 Garmisch-Partenkirchen, Germany ⁵World Agroforestry Centre (ICRAF). PO Box 30677-00100, Nairobi. Kenya. 19 20 ⁶ Commonwealth Scientific and Industrial Research Organisation, Agriculture Flagship, 306 Carmody Road, St Lucia, Qld 4067, Australia. 21 ⁷ Natural Resource Ecology Laboratory, Campus Delivery 1499, Colorado State University, Fort 22 Collins, Colorado 80523-1499, USA. 23 ⁸ Institute for the Study of Earth, Oceans, and Space, University of New Hampshire, Durham, NH 24 03824. USA. 25

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33 Abstract

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34 According to the latest report of the Intergovernmental Panel on Climate Change (IPCC),

emissions must be cut by 41-72% below 2010 levels by 2050 for a likely chance of containing the

36 global mean temperature increase to 2 °C. The AFOLU sector (Agriculture, Forestry and Other

Land Use) roughly contributes with a quarter (~ 10 -12 PgCO₂e.yr⁻¹) of the net anthropogenic GHG

38 emissions mainly from deforestation, fire, wood harvesting, and agricultural emissions including

39 croplands, paddy rice and livestock. In spite of the importance of this sector, it is unclear where are

the regions with hotspots of AFOLU emissions, and how uncertain these emissions are. Here we

41 present a novel spatially comparable dataset containing annual mean estimates of gross AFOLU

emissions (CO₂, CH₄, N₂O), associated uncertainties, and leading emission sources, in a spatially

disaggregated manner (0.5°), for the tropics, for the period 2000-2005. Our data highlight: i) the

existence of AFOLU emissions hotspots on all continents, with particular importance of evergreen

rainforest deforestation in Central and South America, fire in dry forests in Africa, and both

46 peatland emissions and agriculture in Asia; ii) a predominant contribution of forests and CO2 to the

total AFOLU emissions (75%) and to their uncertainties (98%), iii) higher gross fluxes from forests

48 coincide with higher uncertainties, making agricultural hotspots more appealing for effective

mitigation action, and iv) a lower contribution of non-CO₂ agricultural emissions to the total gross

budget (ca. 25%) with livestock (15.5%) and rice (7%) leading the emissions. Gross AFOLU

tropical emissions 8.2 (5.5-12.2) were in the range of other databases 8.4 and 8.0 PgCO₂e.yr⁻¹

52 (FAOSTAT and EDGAR respectively), but we offer a spatially detailed benchmark for monitoring

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53 progress on reducing emissions from the land sector in the tropics. The location of the AFOLU

hotspots of emissions and data on their associated uncertainties, will assist national policy makers,

investors and other decision-makers who seek to understand the mitigation potential of the AFOLU

sector.

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INTRODUCTION

Currently unabated CO₂e emissions need effective mitigation action (UNEP, 2015). Emissions modelling suggests that to maintain the global mean temperature increase on track with the 2°C target and to remain close to the 450 ppm of CO₂ by 2100, global greenhouse gas (GHG) emissions must be cut in a range of 41-72% below the 2010 levels by 2050, and global emissions levels must be reduced to zero (a balance between sources and sinks) by 2070 and below zero through removal processes after that (IPCC, 2014; Anderson, 2015; UNEP 2015). To reach these ambitious goals, it is imperative to identify regions where the mitigation of key emission sectors may be most promising in terms of reducing fluxes, reducing emission trends, and/or maximizing returns on mitigation investments. From all the sectors contributing to the total anthropogenic GHG budget, the Agriculture, Forestry and Other Land Use (AFOLU) sector participates with roughly one quarter (10-12 PgCO₂e.yr⁻¹) of the total emissions (49 PgCO₂e.yr⁻¹) (IPCC, 2014). Optimistic estimates suggest that the AFOLU sector could contribute 20 to 60% of the total cumulative abatement to 2030 through land-related mitigation including bioenergy (Smith et al., 2014). However, it is unclear where are the regions with the largest AFOLU emissions (hotspots of emissions), and how large their associated uncertainties are. While there are several global multigas (CO2, CH4, N2O) databases for the AFOLU sector (e.g. FAOSTAT, EDGAR), none of them currently provides emissions uncertainties. Moreover, the available data are either inappropriate to effectively navigate mitigation implementation due to their coarse scale (e.g. data available at country level only, FAOSTAT), or suffer from non-transparent documentation (e.g EDGAR). Modelling efforts by the carbon community are starting to focus on national scales (Murray-Tortarolo et al., 2016) but their focus is yet on CO₂ dynamics, omitting other key land use gases such as CH₄ and N₂O (Houghton et al., 2012; LeQuerre et al., 2012; Canadell et al., 2014), which

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are known to counteract terrestrial CO₂ sinks (Schulze et al., 2009) and the positive effects of these sinks on climate forcing (Tian et al., 2016).

A spatially explicit snapshot of the location of AFOLU emissions hotspots (CO₂, CH₄, N₂O) and associated data on their uncertainties would assist national policy makers, investors and other decision-makers who seek to understand the mitigation potential of the AFOLU sector. This potential is here defined as the maximum mitigation reduction that could be achieved without technical or economic considerations. Better understanding of the AFOLU mitigation potentials will also be needed under the new Paris Agreement (PA) since the success of the PA will be measured against the fulfilment of the 2°C target and it is dependent on the mitigation ambition presented by individual countries in their Intended Nationally Determined Contributions (INDCs). One of the elements to safeguard this ambition is the stocktake process through which countries are required to submit updated INDCs every five years, starting from 2020, and to enhance their commitments from previous contributions (Bodle et al., 2016). It is therefore imperative to improve our understanding of where and how much could countries enhance their AFOLU ambition from what is currently reported.

Mitigation action can be directed to reducing emissions by the sources, or to increasing the absorptions by the sinks, or to both. While gross and net emissions are equally important, they offer different information. Net land use emissions consider the emissions and the absorptions and offer emission data that are closer to what the atmosphere receives from human activities. Countries report their emissions and their reduction targets based on net AFOLU balances. However, gross assessments are useful to navigate mitigation implementation since they offer direct information on the sources of anthropogenic emissions, and removals by natural sinks, that need to be acted upon through policies and measures to enhance and promote mitigation. Lack of ground data makes, however, the assessment of the sinks much more difficult than the assessment of the sources (Lewis et al., 2009; Pan et al., 2011; Grace et al., 2014; Brienen et al., 2015; Federici et al., 2015) with a particular gap on disturbed standing forests (Poorter et al., 2016).

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For these reasons, and for the relevance of the tropics in global AFOLU emissions (Smith et al., 2014; Tubiello et al., 2015), here we present a new gross AFOLU dataset that is produced using a consistent approach, which overcomes problems of different definitions, methods, and input data present in nationally reported data. We offer, for the first time, a spatially explicit (0.5°) view of the emissions hotspots in the tropics (CO₂, CH₄, N₂O) and associated uncertainties, for 2000-2005, in a way that allows data comparability. We also provide information on the leading sources of emissions per cell. It is a top-down approach based on available published GHG datasets for the key sources of emissions in the AFOLU sector as identified by the IPCC AR5: deforestation, fire, wood harvesting, crop soil emissions, paddy rice emission, enteric fermentation and manure management. We address three questions at the landscape, tropical, and continental scales: 1. Where are the hotspots of tropical AFOLU emissions and how uncertain are they? 2. What are the main GHG behind these hotspots?, 3. What are the emission sources behind these hotspots?

MATERIAL AND METHODS

Our study area is defined by the deforestation layer (Harris at al., 2012). It covers the tropics and the subtropics, including the more temperate regions of South America (33° N to 54° S, 161° E to 117° W). It expands over a diversity of ecosystems that range from dry woodlands and dry forests such as the African Miombo and South American Chaco, to rainforests and moist forests such as evergreen broadleaved rainforests or montane cloud forests. The years considered by our datasets varied, yet we selected the period 2000-2005 as the common temporal range for all the datasets. The exception was the rice emissions dataset, that took 2010 as its baseline (See Table S2 in supplementary). This time period represents a useful historical baseline against which countries can contrast the evolution of their AFOLU gross emission performances. We consider the pixel size (0.5°) appropriate for landscape research, and useful to visualize emissions hotspots.

Datasets

<u>Deforestation (Harris et al., 2012):</u> Tropical carbon losses were at 18.5 km of spatial resolution, aggregated for a 5 year period (2000-2005). Deforestation areas are based on MODIS data at 18.5

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km resolution, while carbon loss derives from Saatchi et al., (2012) carbon map, at 1 km resolution.

140 Information of uncertainties is expressed as 5th and 95th percentiles, estimated through Monte

Carlo simulations and showed non-Gaussian distributions. Harris et al data defines the spatial and

temporal extent of our tropical AFOLU analysis.

Fire (Van der Werf et al., 2010): Fire emissions (CO₂, CH₄, N₂O) were obtained from the Global Fire Emission Database (GFED) (www1) at 0.5° resolution, based on the CASA model. Original data were of global coverage for the period 1997-2013. We extracted a subset for the tropics and 2000-2005. Annual uncertainties for different regions were expressed as the 5th, 25th, 50th, 75th, and 95th percentiles of 2000 Monte Carlo runs. 1σ uncertainties (expressed as percentage of the 50th percentile) were also given, and considered Gaussian distributions. To move to pixel (0.5°) uncertainties, we assigned the regional 1σ to all the pixels within each region, for each gas. Total fire net emissions (CO₂e) per pixel were the sum of the annual means. The Uncertainties of the different gases (CH₄, N₂O and CO₂) were assumed independent and estimated by square rooting the sum of their variances. Fire emissions were originally partitioned into six classes (savannah, agriculture, woodlands, forests, peatlands and deforestation), which helped us remove CO₂ emissions from savannahs and agriculture since the burning of these land uses is assumed carbon neutral (e.g. biomass burned gets recovered by biomass growth in the next growing season). CH₄ and N₂O emissions were, however, retained. We also removed deforestation fires, to avoid double counting with deforestation emissions.

Wood harvesting (Poulter et al., 2015): Wood harvesting is a 1° global gridded data set that was generated in the frame of the GEOCARBON project. It uses National Forest Inventory data and the FAO Forest Resources Assessment (FRA). Data were downscaled using a forest mask from the Global Land Cover (GLC) 2000, and assuming that wood harvest was distributed evenly. The original data was produced at the resolution of the GLC2000 (approx. 1X1 km) and finally aggregated to the 1° scale. Wood Harvesting data consisted of five layers: 1. Round wood Forest Area in hectares for each cell, 2. Fuelwood forest area in hectares for each cell, 3. Round wood (industrial) harvest volume in m³, 4. Fuelwood harvest volume in m³, 5. Total harvest volume

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(round wood + fuelwood) in m³. We chose fuel and industrial round wood harvest (m³) as our harvest data. We assumed instantaneous emissions assigned to the place of removal. Emissions were transformed from m³ to MgCO₂.yr¹ using an emission factor of 0.25 (Mg C/m³) (Grace et al., 2014), and a C to CO₂ factor shown in Table 1. Because the resolution of this layer was larger than our grid, the original value of wood volume at 1° was equally distributed among the 0.5° grid cells. Uncertainties were not estimated in the original harvest emission data and we rely on a 20 percent value of the per-pixel harvest emissions, based on the author's expert opinion.

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Cropland soils (USEPA 2013): Cropland emissions (N₂O and soil dSOC) (changes in soil organic carbon) were produced by Ogle et al., for the Environmental Protection Agency MAC-Report (USEPA, 2013), at 0.5° resolution, for time periods 2000-2030 with five-year increments, based on the DAYCENT ecosystem model. For our AFOLU analysis we used the annual mean emission data for the period 2000-2005. The original units (g N₂O-N.m-².y⁻¹ and gC.m⁻².5y⁻¹) were transformed to CO₂e.y⁻¹.grid cell⁻¹ (Table 1). The database included direct and indirect emissions from mineral-based cropland soil processes: synthetic and organic fertilization, residue N, mineralization and fixation). To be consistent with other data sets we did not include indirect emissions (e.g. NO₃ leaching, N runoff in overland water flow). Emissions estimated by the DAYCENT modelled six major crop types only (maize, wheat, barley, sorghum, soybean and millet) excluding other important tropical crops (sugar, coffee, cacao, cotton, tobacco, etc). As a result, the cropland area simulated by DAYCENT was about 61% of the global non-rice cropland areas reported by FAOSTAT, which resulted in lower cropland emissions when compared to other databases (e.g. FAOSTAT and EDGAR). Moreover, due to the known poor performance of the DAYCENT model over organic soils, cropland emissions over drained histosols were not part of the estimated emissions. Uncertainties were offered per pixel (0.5°) as standard deviations per dSOC and N2O separately. Final CO2e uncertainties per pixel were propagated as independent data using the squared root of the summed variances. To complement the emission gap from the organic cultivated soils, we used a Tier 1 approach that relied on the location of the tropical areas of histosols (ISRIC's global soil database), the location of cropland areas per crop types (Monfreda

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et al., 2008) and a Tier 1 annual emission factor for cultivated organic soils (20 MgC.ha⁻¹ yr⁻¹) derived from the IPCC (IPCC 2006) (Supplementary).

Paddy Rice (USEPA 2013): We used data by Li et al., from the USEPA's MAC Report (2013). Emissions were estimated by the Denitrification-Decomposition (DNDC) model, which simulates production, crop yields, greenhouse gas fluxes (CH₄, N₂O) and organic soil carbon (dSOC) of global paddy rice, at 0.5° resolution under "business-as-usual" (BAU) condition and various mitigation strategies as explained in Li et al., (2001, 2006). Model outputs were reported for 2010 as the baseline, and used 22 years of replications to account for climate variability. The original units (KgC.ha⁻¹.yr⁻¹ for dSOC and CH₄ and KgN. ha⁻¹.yr⁻¹ for N₂O) were re-projected to equal-area values, and transformed to CO₂e (Table 1). Emissions were estimated using the MSF (Most Sensitive Factor) method which relies on an envelope approach and estimates maximum and minimum emissions based on extreme soil properties. No mean values were offered. The distribution of the data were known to be right skewed, and through the authors' expert judgement a log-normal approach was considered to be the best –although not perfect- fit, from where to estimate the mean (50th percentile), max and min (10th and 90th percentile) for each cell.

Livestock (Herrero et al., 2013): Livestock emission data includes enteric fermentation (CH₄) and manure management (N₂O, CH₄) for the year 2000, for twenty-eight regions, eight livestock production systems, four animal species (cattle, small ruminants, pigs, and poultry), and three livestock products (milk, meat, and eggs), at 0.1°cell resolution. The original units (kg CO₂e.km⁻².yr⁻¹) were transformed to CO₂e.grid cell⁻¹ by applying equal area re-projected values. The CO₂e of enteric fermentation and manure management were then summed to obtain a total emission value of livestock per grid cell. Livestock was entered in the AFOLU Monte Carlo simulations as one variable only. No spatially explicit uncertainty data were provided in the original layers and we applied a 20% value per cell and gas, based on the authors' expert judgement. Final gas uncertainties were propagated by square rooting the sum of their variances.

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225 FAOSTAT database: covers agriculture, forestry and other land uses and their associated emissions of CO₂, CH₄ and N₂O, following IPCC 2006 Guidelines at Tier 1 (Tubiello et al., 2014). 226 227 Emissions are estimated for nearly 200 countries, for the reference period 1961–2012 (agriculture) and 1990–2012 (FOLU), based on activity data submitted to and collated by FAO (www1). 228 229 FAOSTAT includes estimates of emissions from biomass fires, peatland drainage and fires, based on geo-spatial information, as well as on forest carbon stock changes (both emissions and 230 absorptions) based on national-level FAO Forest Resources Assessment data (FRA, 2010). FOLU 231 232 carbon balances in FAOSTAT are first-order estimates of emissions from afforestation, reforestation, degradation, regrowth, and harvest activities. The FAOSTAT emission estimates are 233 234 based on annual FAO emissions updates for AFOLU (Tubiello et al., 2014). 235 EDGAR database: The Emissions Database for Global Atmospheric Research (EDGAR) provides 236 global GHG emissions from multiple gases (CO₂, CH₄, N₂O) at 0.1° and country levels. It covers all 237 238 IPCC sectors (energy, industry, waste management, and AFOLU), mainly applying IPCC 2006 guidelines for emission estimations (EDGAR, 2012). We use EDGAR's 4.2 Fast Track 2010 (FT 239 2010) data (www2). Emissions cover the period 2000-2010 in an annual basis, at the country level 240 and are offered as Gg (G=109) of gas. Transformation to CO₂e used AR4 100year-Global Warming 241 Potential values to be consistent with other datasets. Metadata can be found at EDGAR (2012), 242 243 although further transparency and more complete documentation are required for this database. 244 245 Methods 246 Hotspots dataset 247 Our AFOLU analysis focused on human-induced emissions, excluding natural fluxes (e.g. CH₄ 248 emissions from undisturbed natural wetlands). Whenever possible, we focused on direct emissions 249 excluding legacy effects in our snapshot analysis. Delayed fluxes are important (e.g. 250 underestimations of up to 62% of the total emissions when recent legacy fluxes are excluded) (Houghton et al., 2012) but are frequently omitted in GHG analyses that derive from remote 251 252 sensing, such as some of our datasets (e.g. Harris et al., 2012). We assumed instantaneous

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emission released from the forest sector, with no transboundary considerations (e.g. final 253 destination of the Harvested Wood Products nor life-cycle substitution effects) (Peters et al., 2012). 254 Figure 1 describes the steps followed to produce our spatially explicit layers of gross AFOLU 255 emissions and uncertainties. We first assessed all possible emissions and land use activities under 256 257 the framework of the IPCC 2006 AFOLU guidelines. We then selected the key AFOLU emissions sources as identified in the IPCC Fifth Assessment Report (AR5) (Smith et al., 2014). There were 258 seven key emission sources, three within the forest sector: deforestation, fire, and wood harvesting 259 260 (these last two were considered as forest degradation), and four within agriculture: cropland soils, paddy rice, enteric fermentation and manure management (aggregated as livestock). We produced 261 262 an empty grid with cells of 0.5°x0.5° in a World Geographical reference System (WGS-84, lat-lon). To correct for the unaccounted Earth distortions that come with a geographical system we used 263 264 equal area re-projected values whenever area-weighted estimates of the emissions were needed. This grid was then populated with the seven emission sources, unit transformed and quality 265 controlled and assessed (see Supplementary). We used Monte Carlo simulations to aggregate the 266 gross AFOLU emissions and their uncertainties and produced four final estimates, per cell: mean 267 annual AFOLU emissions (50th percentiles) (CO₂e.y⁻¹), associated variance, and 5th and 95th 268 confidence intervals. Data were then aggregated to continental, and tropical scales. When 269 aggregating uncertainties at the pixel level we assumed emission sources to be mutually 270 uncorrelated. However, when the aggregation of the uncertainties included a change of spatial 271 support (e.g. pixel to continental, or pixel to tropical) we assumed complete dependence of the 272 273 uncertainty data, which offered a conservative (worst-case) scenario approach for the final aggregated uncertainties (Supplementary). To understand which emission sources (e.g. 274 deforestation, degradation, livestock, paddy rice, etc) contributed the most to the final uncertainties 275 at the continental scale, we used the variance data produced per pixel and aggregated them using 276 the dependence assumption expressed above. The attribution of the uncertainty was then 277 278 estimated as percentages of the final aggregated variance, for each emission source.

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Database comparison

We contrast our hotspots of gross AFOLU emissions against the FAOSTAT and EDGAR

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databases. We run the comparisons at the country level, and produce the estimates selecting the same countries, years, emission sources, assumptions (e.g. carbon neutrality of grasslands and agricultural waste), and rules (e.g. only direct emissions) to guarantee comparability.

Tropical AFOLU hotspots were located on all continents but spatially concentrated in a few areas

RESULTS AND DISCUSSION

AFOLU hotspots of emissions and uncertainties

only, with 25% of the tropical area responsible for 70% of the tropical AFOLU emissions (Figure 2a). Gross fluxes reached values of up to 90 MgCO₂e ha⁻¹ yr⁻¹in the hotspots, with Brazil, India, Indonesia, Democratic Republic of Congo, Angola, Central African Republic, Mozambique, Zambia, Malaysia, Sudan and Bangladesh, as the major contributors of tropical AFOLU emissions. Hotspots were mainly led by forest emissions, both by deforestation and degradation, with large hotspots over humid rainforests in the arch of deforestation in Brazil, and over the Miombo-Mopane dry forests of Africa. Deforestation and peatland fires were important in Indonesia, combined with agricultural hotspots from livestock and paddy rice. Agricultural emissions contributed less to the hotspots, with Asia, particularly India and Bangladesh, as main emitting regions with different relative contributions from livestock, paddy rice and cropland emissions (Figure 3). Southern Brazil, northern Argentina and southeastern Paraguay also showed agricultural hotspots, mainly related to livestock. Main GHGs followed these patterns, with CO₂ dominating the emissions from forest activities, turning this gas into the main target for mitigation action. CH₄ dominated rice and livestock emissions, while N₂O explained high cropland emissions (Figure 4).

Emissions uncertainties were the highest for the hotspot regions, reaching values of up to 30% of the mean emissions (Figure 2b). Most uncertain AFOLU emissions related to areas with humid tropical forests undergoing deforestation such as Brazil and Indonesia, and to areas with high fire emissions such as dry Miombo ecosystems in Africa or peatlands in Asia. Deforestation has long been identified as a main source of emissions uncertainties in the tropics due to the combined effect of uncertain areas and uncertain carbon densities (Houghton 2010, Baccini et al., 2012, Houghton et al., 2012). However, similar uncertainties for biomass burning were unexpected.

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311 These high values are due to the contribution of herbaceous biomass, burned soil depth, and combustion completeness, which are the most uncertain of the fire emissions components and are 312 key in woodland fires in Africa and peatland fires in Asia. Equatorial Asia and the African continent 313 hosted the largest fire uncertainties of all the regions (Van der Werf et al., 2010) (Fig S5 in 314 315 Supplementary).

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Areas with high gross emissions but also high uncertainties complicate the targeting of mitigation action and the selection of priority hotspots areas. Thus, while these areas have higher mitigation potentials their uncertainties affect the reliability of the estimates and therefore the effectiveness of the land use sector to implement actions to stabilize atmospheric GHGs (Grassi et al., 2008). Optimal mitigation scenarios would rather focus on areas with large gross fluxes and low uncertainties: in our study these are the agricultural hotspots in India, Southeastern Brazil, Northern Argentina, and Central and Southern Africa (southern DRC, Zambia, Angola) (Figure 5). Carter et al., (2015) identified that agricultural intensification and the utilization of available nonforest land offer opportunities for agricultural mitigation of up to 1 PgCO2. However, food security and economic development concerns in countries with strong agro-businesses, might limit the feasibility of this option. Moreover, the need to preserve and enhance forest ecosystem services, including carbon sinks and reservoirs, will retain forest mitigation high in the climate agendas independently of its uncertainties, as recently seen in the Paris Agreement, associated COP decisions, and the New York Declaration on Forests.

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Tropical AFOLU emissions

AFOLU data from the AR5 (e.g. Figure 11.2 in Smith et al., 2014) show how the tropics have contributed with ≥70% of the global AFOLU budget in the last decades, making this region the right place to search for hotspots of land use emissions. Our aggregated gross AFOLU emissions estimates of 8.2 (5.5-12.2) PgCO₂e.yr⁻¹ were in the range of other gross estimates for the same region and time period: 8.4, and 8.0 PgCO₂e.yr⁻¹ for FAOSTAT and EDGAR respectively (Table 2). In spite of this good agreement, databases disagreed on the relative contribution of the leading emissions sources (Figure 6). Forests emissions showed the largest divergences, particularly

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forest degradation (fire and wood harvesting emissions). This outcome was expected since forest 340 emissions were responsible for ≥70% of the tropical gross AFOLU budget in all the databases 341 (Table 2). Gross degradation emissions –rather than deforestation- led the forest emissions in our 342 AFOLU gross budgets (39% vs 36% of the tropical budget, respectively) (Table 2), with a 343 344 degradation to deforestation emission ratio of 108%, reinforcing the great importance of reducing degradation for effective mitigation. Ratios above 100% for gross degradation vs deforestation had 345 already been reported by Houghton et al. (2012) and Federici et al. (2015). Lower ratios have been 346 347 observed in smaller areas (e.g. 40% Amazon, 47% Peruvian Amazon) (Asner et al., 2010; Berenguer et al., 2014) or when the ratio focuses on net fluxes of degradation (e.g. 25-35% of the 348 349 net LULCC flux, if wood harvesting and shifting cultivation were not considered, and an extra 11% over the net LUCC flux when excluding peatland fire emissions in Southeast Asia alone) 350 351 (Houghton et al., 2012). 352 353 In our hotspots analyses, fire led forest degradation in the tropics with almost a guarter (24.6%) of the gross AFOLU emissions. Since we had excluded deforestation fires, most of our fire emissions 354 355 relate to woodlands and forest degradation. Their exclusion or incomplete inclusion would therefore result in large emission omissions in gross AFOLU assessments, and their management 356 are key for reducing tropical emissions. Fire degradation emissions are recurrently omitted in net 357 358 global AFOLU assessments under the assumption of carbon neutrality of the affected burned areas (e.g. whatever carbon is emitted through fire will be fixed again by regrowth and recovery). 359 360 (Houghton et al., 2012; Le Quere et al., 2012; Canadell et al., 2014; Smith et al. 2014). This assumption does not consider current evidence of non-steady states after fire due to climatic 361 362 pressures, humanized landscapes (fragmented, multi-disturbed), and increased frequencies of fires (Cochrane et al., 1999; Roman Cuesta et al., 2014; Alencar et al., 2011, 2015; Brando et al., 363 2014; Oliveras et al., 2014; Pütz et al., 2014). Halted successional pathways and vegetation shifts 364 365 represent a large, yet unknown proportion of the burned forest ecosystems in the tropics that require further research. Recent estimates suggest that post-fire carbon recoveries in the Amazon 366 are leading to degradation emissions in the order of 46±29.9 MgC.ha⁻¹ (Balch et al., under review). 367

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368 In spite of our uncomplete knowledge of forest post-disturbance recovery pathways (e.g. poorly understood processes, omitted emissions, missing pools, unconsidered GHGs), the importance of 369 370 the forest sector for mitigation action is evidenced by the large amount of countries explicitly mentioning it in their INDCs (60%) (Grassi et al., 2015). Moreover, countries count on financial 371 372 support to minimize their forest emissions and enhance their sinks, at national scale, through the REDD+ mechanism, which has now become part of the Paris Agreement (Climate Focus, 2015). 373 374 375 In the agricultural sector, our emissions reached estimates of 1.9 (1.5-2.5) PgCO₂e.yr⁻¹, in the range of the other databases (2.5, 2.1 PgCO₂e.yr⁻¹ for FAOSTAT and EDGAR respectively). These 376 377 values, represent a relatively small part of the AFOLU budget in the tropics (25-30%) but an 378 attribution of the forest emissions to their drivers would highlight back the importance of agriculture as the main engine behind tropical forest loss and emissions. Thus, for the period 1980-2000, 379 83% of the agricultural expansion in the tropics was at the expense of forests (Gibbs et al., 2010), 380 calling for integrated mitigation programmes that simultaneously include forestry and agriculture 381 (Carter et al., 2015). Our agricultural estimates represented only ca. half of the agricultural 382 emissions reported globally for 2000-2009 (5-6 PgCO₂e.yr⁻¹) (Smith et al., 2014; Tubiello et al., 383 2015). This highlights the major role of agriculture in non-tropical countries and emergent 384 385 economies like China, although agricultural emissions are rising faster in developing countries than 386 in developed ones (Smith et al., 2014). The agricultural sector is the largest contributor to global anthropogenic non-CO₂ GHGs, accounting for 56 % of emissions in 2005 (USEPA, 2013). Enteric 387 388 fermentation and agricultural soils are globally the main sources of agricultural emissions (Smith et al., 2014), and show a strong rising trend since the 70's (1% per decade) due to increases in 389 390 animal heads and the use of synthetic fertilizers (Tubiello et al., 2015). Areas with growing emission trends are attractive for land-based mitigation action and countries are engaging in 391 392 agricultural mitigation in their INDCs through climate smart initiatives (Richards et al., 2015). However, more transformative technical and policy options and higher level of financial support will 393 be needed for further achievements in this sector (Wollenberg et al., in press). The most prominent 394 395 agricultural mitigation practices include improved cropland and grazing land management, 396 restoration of degraded lands, and cultivated organic soils. Lower, but still significant mitigation

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397 potential is provided by water and rice management, livestock management and manure management, set-aside, land use change and agroforestry (Smith et al., 2008). 398 399 In terms of gases, CO₂ led the AFOLU emissions in the tropics with ca.70% of the tropical budget 400 401 5.7 (3.3-9.5) PgCO₂e.yr⁻¹ (Table 2, Figure 4). The remaining non-CO₂ contribution (30%) was mainly led by CH₄ 1.48 (1.1-1.9) PgCO₂e.yr⁻¹, due to livestock and rice. Non-CO₂ emissions from 402 403 biomass burning (N₂O and CH₄), represented 15-34% of the CO₂ budget in the tropics (Table 2). These values reinforce the need to run multi-gas assessments (CO₂, CH₄, N₂O) for the AFOLU 404 405 sector in order to gain a more coherent understanding on how the land affects the atmospheric composition and forces the climate. Thus, while temperature rise by around the end of this century 406 407 will relate to the total emissions of long-lived greenhouse gases between 2000 and 2100 (e.g. CO₂) (Anderson 2012) recent research concludes that cumulative warming capacity of concurrent 408 biogenic CH₄ and N₂O emissions is about a factor of 2 larger than the cooling effect resulting from 409 the global land CO₂ uptake in the 2000s (Tian et al., 2016). This results in a net positive cumulative 410 impact of the three GHGs on the planetary energy budget, which calls for shorter-term mitigation 411 412 initiatives (Tian et al., 2016). 413 414 At the aggregated tropical scale, uncertainties were higher (up to 50% of the mean emissions) than at the landscape scale (0.5°) (30%), in line with other reports (Smith et al., 2014; Tubiello et al., 415 416 2015). The spatial scale of the emission assessments influences, therefore, the uncertainty 417 estimates and several authors have suggested the importance of working at more detailed spatial 418 scales (e.g. 250, 30m) to reduce the uncertainties of forest emissions by having more accurate 419 data on forest area changes and carbon densities (Houghton, 2005; Grassi et al., 2008; Asner et al., 2010; Baccini et al., 2012; Houghton et al., 2012). 420 421 To better understand the uncertainty role of the different emission sources at the tropical 422 423 aggregated scale, we ran a partitioning of the tropical uncertainty. We found a disproportional contribution of deforestation to the tropical uncertainty budget (92.5%) (Table 2), which agreed with 424 425 the results from other researchers (Morton et al., 2011) but left the remaining emission sources

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426 with a surprisingly modest contribution to the final uncertainty (7.5%). As it was the case for the 427 hotspots, untangling the relative contribution of the emission sources to the tropical uncertainty budget brings in trade-offs between prioritizing mitigation action on sources that are large emitters 428 but are highly uncertain (e.g. deforestation is responsible for 36% of the emissions but carries 429 430 almost all the tropical emission uncertainty (92.5%) (Table 2) or choosing emitters that contribute less to the total budget but are more certain (e.g livestock contributed less to the tropical emissions 431 (15%) but had a very small part on the uncertainty budget (1.4%) (Table 2) (Figure 5). 432 433 **Continental AFOLU emissions** 434 435 Continents contributed similarly to the tropical AFOLU gross emissions: 2.8 (1.8-4.4), 2.8 (1.9-4.0), 2.6 (1.7-3.8) PgCO₂e.yr⁻¹, for Central and South (CS) America, Africa, and Asia, respectively 436 437 (Table 2). Area-weighted emissions would, however, turn Asia into the largest continental source (0.9 TgCO₂e.yr⁻¹.ha⁻¹) followed by Africa and CS America (0.39, 0.36 TgCO₂e.yr⁻¹.ha⁻¹, 438 respectively). The leading sources for the continental emissions disagreed among databases but 439 our hotspot research suggested that African emissions were dominated by fire over dry forests 440 441 (52.6% of the African emissions, Table 2) which corroborates its description as "the fire continent" (Figure 6) (Mbow, 2014). Any effective mitigation action will therefore need to consider fire 442 management, particularly in Miombo dry forests and Sudano-Sahelian woodlands, which are the 443 444 most affected forests and the largest contributors to emissions hotspots. Contrastingly, Central and South America were mainly led by deforestation (60% of the continental emissions) and forest 445 446 degradation (20%), mostly affecting humid forests. And while deforestation in Brazilian rainforests has since reduced, it has increased in dry forest in the region (e.g. the Chaco region in Argentina, 447 Paraguay, and Bolivia) (Hansen et al., 2013), and forest degradation has also increased (Brando et 448 al., 2014; Federici et al., 2015). The Asian emissions were the most diverse and were similarly led 449 by different sources: i) paddy rice (Asia is the world's largest rice-producing region and is 450 451 responsible for over 80% of the total CH4 emissions) (USEPA 2013); ii) livestock activities 452 (Tubiello et al., 2014); iii) deforestation (Hansen et al., 2013), and iv) fire over peatlands, particularly in Indonesia (Van der Werf et al., 2010; Gaveau et al., 2014) (Table 2). Moreover, the 453 Asian continent has the peculiarity of emitting almost half of the tropical non-CO₂ emissions (46%, 454

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Table 2), as observed by other authors (USEPA, 2013) and still has positively growing emission trends (Tubiello et al., 2014). Effective mitigation action on non-CO₂ emissions is therefore key for Asian and global mitigation.

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The partitioning of the tropical AFOLU uncertainty at continental level showed that CS America contributed with half of the variance (48%, Table 2), which was expected since the emissions of this continent are led by the most uncertain source (deforestation). Africa and Asia contributed similarly to the rest of the uncertainty (27.3% and 24.7% respectively). Based on the uncertainty of the emissions, mitigation investments in CS America, would be, therefore, less effective than investing in Africa and Asia, particularly out of the forests.

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CONCLUSIONS

Our dataset offers novel landscape scale information on the spatial distribution of hotspots of AFOLU emissions and their uncertainties, disaggregated by gases and by leading emission sources. As countries improve their technical capacities, new more accurate data will be produced, however, this AFOLU analysis can be useful as a benchmark against which progress on reducing AFOLU emissions may be assessed tropically, in a comparable and comprehensive manner. These data sets can also support countries in identifying mitigation measures and setting priorities for mitigation action within their AFOLU sector. Moreover, this study contributes to the debate on tropical mitigation potentials of agriculture and forestry. Thus, even if global estimates of agriculture and forestry emissions have roughly similar mitigation potentials (Smith et al., 2014; Tubiello et al., 2015), economic feasibilities differ. Thus, the forest sector has two to three-fold greater economic mitigation potentials than agriculture (e.g. 0.2-13 vs 0.3-4.6 PgCO₂e.yr⁻¹ respectively), at prices up to 100 USD/MgCO₂e (Bajzelj et al., 2014; Havlik et al., 2014; Smith et al., 2014). This means that for the same price, more emission reductions can be achieved in the forest sector. These unequal results partly relate to the forest sector being much more carbon dense, but also to the fact that agricultural mitigation is difficult to implement due to concerns for food security and adaptation needs, which makes it unlikely that supply-side mitigation options alone (e.g. agricultural intensification) will satisfy food security for 9 billion people in 2050 (Smith et al., 2013). Therefore,

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- 484 some level of agricultural expansion seems unavoidable and creative ways to include agricultural
- demand-side mitigation are required (e.g. change in societal diets) (Smith et al., 2013; Havlik et al.,
- 486 2014). Notwithstanding the importance of agricultural mitigation, forests are more cost effective
- 487 alternatives and, although uncertain, their multiple ecosystem services will keep them high as
- desirable mitigation targets in the political arena.

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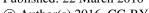




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Contributions

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RMRC, MR, MH1, KBB, TR, JS, LV designed the study. RMRC, MH2, CM, CL, SO, BP provided 682 683 data and ran quality control, quality assessments and uncertainties expert judgements on the data sets. SdB guided on statistics and developed all the scripts for the Monte Carlo aggregation of the 684 685 data. JS assisted with GIS analyses and scripts. RMRC, MR, MH1, KBB, TR, SdB, LV, CM, JS, MH2, CL, SO, BP, discussed the results and contributed to writing. 686 687 Acknowledgements 688 This research was generously funded by the Standard Assessment of Mitigation Potential and 689 690 Livelihoods in Smallholder Systems (SAMPLES) Project as part of the CGIAR Research Program Climate Change, Agriculture, and Food Security (CCAFS). Funding also came from two European 691 Union FP7 projects: GEOCarbon (283080) and Independent Monitoring of GHG Emissions-N° 692 CLIMA.A.2/ETU/2014/0008. Partial funds came through CIFOR from the governments of Australia 693 (Grant Agreement # 46167) and Norway (Grant Agreement #QZA-10/0468). In the memory of 694 Changsheng Li. 695 696 697 698

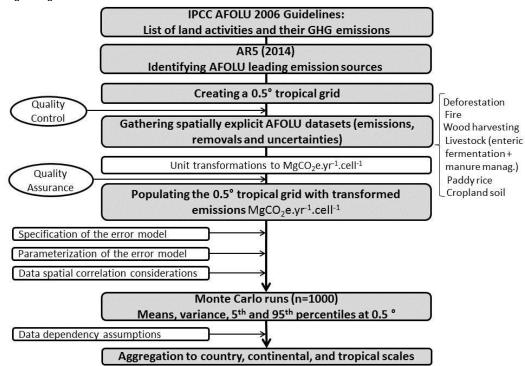
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700 Figure legends



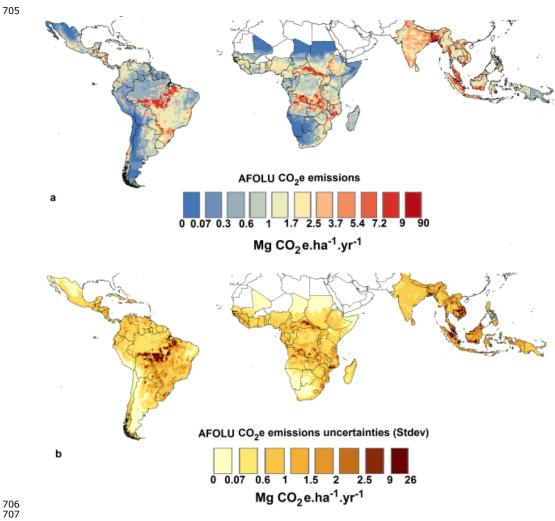
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Figure 1: Methodological framework used to estimated the aggregated AFOLU emissions (annual means) and associated uncertainties (variance, 5th, 95th percentiles) at 0.5° resolution, for 2000-2005.

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Figure 2: (a) Hotspots of annual AFOLU emissions (red cells) and (b) associated uncertainties (1σ) in MgCO₂e.ha⁻¹.yr⁻¹ for the tropical region, for the period 2000-2005, at 0.5° resolution. Emissions are the result of 1000 Monte Carlo simulations for the leading AFOLU emission sources (deforestation, degradation (fire, wood harvesting), soils (crops, paddy rice), livestock (enteric fermentation and manure management)



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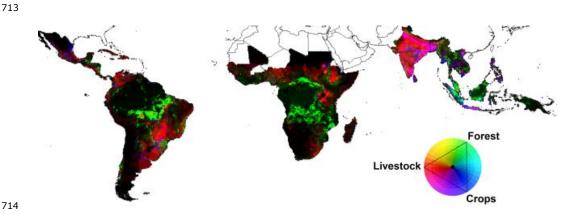


Figure 3: Contribution of the leading emission sources in percent of total emissions (grouped into forests, crops and livestock) to the per pixel (0.5°), for 2000-2005. Forest emissions include fire, deforestation and wood harvesting. Crops emissions includes paddy rice, cropland soil and croplands over drained histosols. Livestock includes enteric fermentation and manure management emissions. This figure is an RGB image where final colours represent the strength of the emissions for the three sources (e,g,fuchsia colours in Asia represent equal emissions from livestock (red) and crops (blue).

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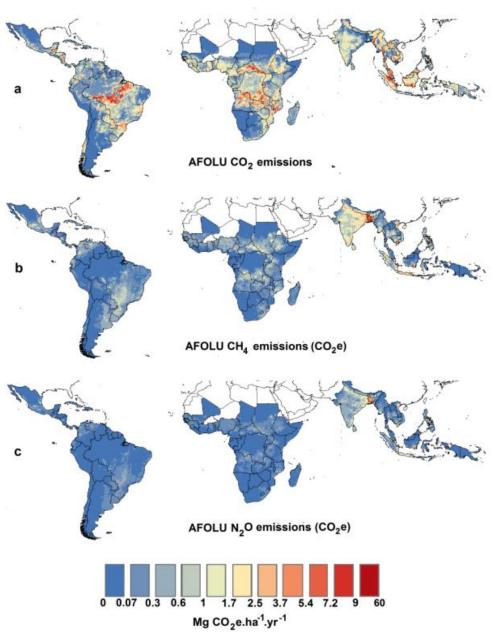


Figure 4: Mean annual AFOLU emissions (MgCO $_2$ e.ha $^{-1}$.yr $^{-1}$), for the period 2000-2005, disaggregated by greenhouse gases: a) CO $_2$ emissions, which are a proxy of forest emissions, (b) CH $_4$, and (c) N $_2$ O emissions, which are proxies of agricultural emissions. Emissions are the result of 1000 Monte simulations for the considered leading AFOLU emission sources.

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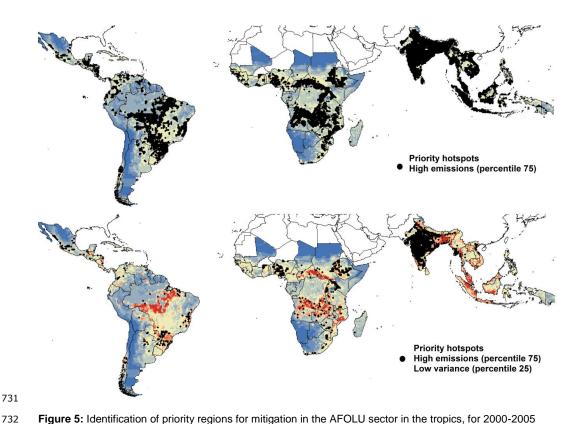


Figure 5: Identification of priority regions for mitigation in the AFOLU sector in the tropics, for 2000-2005 considering the contribution of the emissions to the total budget (high emissions, percentile 75), and the associated uncertainties (low uncertainty, percentile 25 of the variance).

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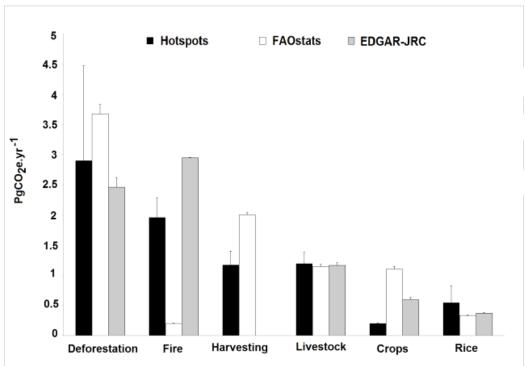


Figure 5: Distribution of mean annual emissions per sources of emissions for the three data bases, in the tropics, for 2000-2005. Bars indicate uncertainty estimates for the hotspot data base, and standard deviations for FAOstats and EDGAR-JRC estimated from country data variability since no uncertainty data exist for these two data bases.

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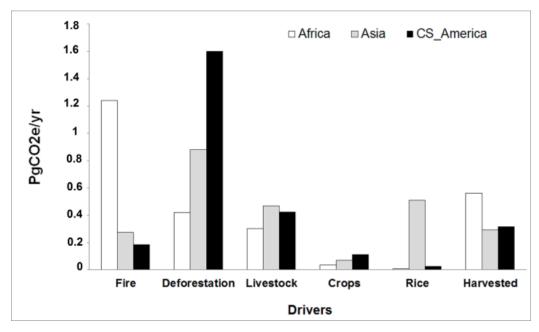


Figure 6: Continental contribution of the leading emission drivers for our hotspot data base, in the tropics, for the period 2000-2005.

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746 Tables

From units	To units	Conversion
kgC (dSOC)	kg CO₂eq.	kgC * 44 / 12
kgC (CH ₄)	kg CO₂eq.	kgC * 16 / 12 * 21
kgN (N ₂ O)	kg CO₂eq.	kgN * 44 / 28 * 310

Table 1: Data conversions to CO₂e for different chemical elements (C, N). dSOC is the change in Soil Organic Carbon. Conversion factors and global warming potentials use values from the Fourth Assessment Report, to be coherent with the methods used to produce data by our authors.

Gross AFOLU emissions (PgCO₂e.yr¹) Monte Carlo results								
		CO ₂ e	CO ₂	CH₄	N ₂ O			
Tropical		8.2	5.7	1.48	1			
Порісаі		(5.5-12.2)	(3.3-9.5)	(1.1-1.9)	(0.8-1.2)			
Central & South		2.8	2.3	0.32	0.22			
America		(1.8-4.4)	(1.3-3.8) 2.1	(0.24-0.45)	(0.2-0.3)			
Africa		2.8		0.39	0.32			
		(1.9-4.0)	(1.4-3.2) 1.4	(0.27-0.5)	(0.24-0.39)			
Asia		2.6	1.4	0.74	0.41			
		(1.7-3.8)	(0.7-2.4)	(0.56-0.95)	(0.35-0.47)			
Contribution of leading emission sources to the tropical AFOLU budget (%)								
	Deforestation	Fire	Rice	Wood Harvesting	Livestock	Crops		
Tropical	36.3	24.6	6.9	14.6	15	2.5		
Central & South America	59.6	8.2	1.1	11.9	15.9	3.4		
Africa	15.2	52.6	0.3	20.3	11	0.7		
Asia	34.8	11.3	20.2	11.5	18.5	3.7		
Contribution of leading emission sources to total uncertainty (%)								
	Deforestation	Fire	Rice	Harvesting	Livestock	Crops		
Tropical	92.5	4.5	0.2	1.4	1.4	0.0		
Central & South America	98.4	0.3	0.0	0.5	0.8	0.0		
Africa	69.8	25.5	0.0	3.7	1.1	0.0		
Asia	91.4	2.4	2.1	1.1	2.9	0.0		
Contribution of gases to the tropical AFOLU emissions (%)								
		CO₂e	CO ₂	CH₄	N ₂ O			
Tropical			70	18	12.2			
Central & South America		34.2	79	12.1	8.6			
Africa		34.2	75	13.9	11.4			
Asia		31.7	55	29	16			
Contribution of gases to total uncertainty (%)								
Tropical			98.3	1.3	0.4			
Central & South America		48	99.4	0.5	0.1			
Africa		27.3	98.2	1.1	0.7			
Asia		24.7	95.5	3.9	0.6			

 Table 2: i) Contribution of the different greenhouse gases (CO₂, CH₄, N₂O) to continental and tropical AFOLU annual mean emissions for the period 2000-2005 (in parenthesis are the 5th and the 95th percentiles of the aggregated AFOLU emissions). ii) Contribution of the different leading emission sources to the tropical and continental AFOLU emission budgets (expressed as % of emissions). And iii) partitioning of the AFOLU emissions uncertainties among the leading emission sources and the considered GHG gases (expressed as % of variance) AFOLU emissions are the result of 1000 Monte Carlo runs for the leading emission sources.