

1 **Morphological plasticity of root growth under mild water stress**
2 **increases water use efficiency without reducing yield in maize**

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23 **Abstract**

24 Large yield gap exists in rain-fed maize (*Zea mays* L.) production in semi-arid
25 regions, mainly caused by frequent droughts halfway the crop growing period due to
26 uneven distribution of rainfall. It is questionable if irrigation systems are
27 economically required in such a region since total amount of rainfall generally meet
28 the crop requirement. This study aimed to quantitatively determine the effects of
29 water stress during jointing to filling stages on root and shoot growth and the
30 consequences for maize grain yield, above- and below-ground dry matter, water
31 uptake (WU) and water use efficiency (WUE). Pot experiments were conducted in
32 2014 and 2015 with a mobile rain shelter. The experiments consisted of three
33 treatments: (1) no water stress; (2) mild water stress; and (3) severe water stress. The
34 cumulative frequency for no water stress (above 500 mm) during maize growing
35 season was 69 % from 1965 to 2015, 28 % for mild water stress (350-450 mm) and 4
36 % for severe stress (200-300 mm). Maize yield in mild water stress across two year
37 was not significantly affected, while severe stress reduced yield by 56 %. Water stress
38 decreased root biomass slightly but shoot biomass substantially. Mild water stress
39 decreased root length but increased root diameter, resulting a no effect on root surface
40 area. Due to the morphological plasticity of root growth and the increase in root/shoot
41 ratio, WU under water stress was decreased, and WUE for maize above-ground dry
42 matter under mild water stress was increased by 20 % across two years, and 16 % for
43 grain yield WUE. Our results demonstrates that well irrigation system using
44 underground water in studied region might be not economically and ecologically
45 necessary because the frequent occurred mild water stress did not reduce crop yield
46 much. The study helps to understand crop responses to water stress during critical
47 water-sensitive period (middle crop growing season) and to mitigate drought risk in

48 dry land agriculture.

49 **Keywords:** root diameter; root length; root surface area; root/shoot ratio; yield

50 components; water utilization

51 **1. Introduction**

52 Maize (*Zea mays* L.) as one of the most important crops globally, is a major food
53 crop in northeast China with an average yield around 5.3 t ha⁻¹ (Dong et al., 2017).
54 However, the yield gap to the potential of 10.9 t ha⁻¹ is still large (Liu et al., 2012),
55 mainly due to frequent summer droughts caused by an uneven distribution of rainfall
56 during the crop growing season. As global warming causes high expected frequency
57 of extreme climate events (IPCC, 2007), drought risk for agricultural production in
58 this region is likely to increase (Song et al., 2014; Yu et al., 2014). Water stress
59 changes crop responses in morphological and physiological traits (Pampino et al.,
60 2006). Warming and dry trends under climate change would result deleterious effects
61 on crop photosynthesis and yield (Richards, 2000).

62 Although the total amount of rainfall can meet the requirement of rain-fed maize
63 in the semi-arid northeast China, the yearly and seasonal variations often cause a
64 frequent drought (mostly mild water stress) during summer, resulting a high risk of
65 yield loss. It can be questioned whether well irrigation systems using underground
66 water are economically and ecologically required in this situation, since it is not
67 quantitatively known how the crop yield and water use efficiency would be affected
68 by drought stress during summer in this region.

69 Suppression of yield by water stress is caused by reducing crop growth (Payero
70 et al., 2006), canopy height (Traore et al., 2000), leaf area index (NeSmith and Ritchie,
71 1992) and root growth (Gavloski et al., 1992). Crop shoot development and biomass
72 accumulation are greatly reduced by soil water deficit at seeding stage (Kang et al.,
73 2000). Short-duration water deficits during the rapid vegetative growth period causes
74 around 30% loss in final dry matter (Cakir, 2004). The reduction of maize yield by
75 water stress is caused by decreases in yield components such as ear density, number

76 of kernels per ear and kernel weight (Ge et al., 2012), especially during or before
77 maize silk and pollination period (Claassen and Shaw, 1970). The accumulative
78 biomass and harvest index (the ratio of grain yield over total aboveground dry matter)
79 are decreased under water stress during anthesis (Traore et al., 2000).

80 Water use efficiency (WUE, expressed in kg yield obtained per m³ of water) is
81 notably reduced by severe water stress especially at vegetative and reproductive
82 stages. Interestingly a moderate water stress at V16 (with 16 fully expanded leaves)
83 and R1 (silking) stages in maize increased WUE (Ge et al., 2012) because it did not
84 significantly affected the ecophysiological characteristics during vegetative stages.
85 The irrigation deficits before the maize tasseling stage are often used for improving
86 WUE in regions with serious water scarcity, e.g. North China Plain (Qiu et al., 2008;
87 Zhang et al., 2017). For example, in winter wheat WUE was increased continuously
88 from 1987 to 2015 especially under water stress condition that was obtained from a
89 increased harvest index and the reduced soil evaporation (Zhang et al., 2017). Under
90 water stress, plant photosynthesis and transpiration decreases due to a decrease in
91 stomata conductance (Killi et al., 2017) induced by increasing concentration of
92 abscisic acid (ABA) in plant (Beis and Patakas, 2015). However, limited knowledge
93 exists on how much the partitioning between shoot and root in maize is affected by
94 water stress during middle and late growing stages, and if the root growth and
95 morphology regulated by water stress could improve maize yielding and water use
96 efficiency.

97 Since field water stress experiments were difficult to carry out in rain-fed
98 agriculture, a large mobile rain shelter was often used in studies to control water stress
99 (NeSmith and Ritchie 1992). The objective of this study was to quantify maize shoot
100 and root growth, grain yield and WUE under different water stresses during middle

101 crop growing season obtained by a well-controlled mobile rain shelter, to understand
102 the crop response to water stress during critical water-sensitive period.

103

104 **2. Materials and methods**

105 **2.1. Experimental design**

106 The experiments were conducted at Shenyang (41°48'N, 123°23'E), Liaoning
107 province, northeast China in 2014 and 2015. The experimental site is 45 m above sea
108 level. On average from 1965 to 2015, annual potential evaporation is 1445 mm, total
109 precipitation is 720 mm, and mean air temperature is 8 °C. The length of the frost-free
110 period is 150-170 days. Average relative humidity is 63 %. Annual mean wind speed
111 is 3.1 m s⁻¹. The climate is a typical continental monsoon climate with four distinct
112 seasons, characterized as a hot summer and cold winter. The annual mean air
113 temperature was 9.5 °C in 2014 and 9.1 °C in 2015. The mean air temperature during
114 crop growing season (May to September) was 20.2 °C in 2014 and 19.4 °C in 2015
115 (Fig. 1).

116 Maize plants were grown in pots in three treatments: (1) no water stress; (2) mild
117 water stress and (3) severe water stress (Table 1). The levels of water stresses were
118 based on historical rainfall frequency analysis. The water supply was controlled by a
119 mobile rain shelter with steel frame and transparent PVC cover. The mobile rain
120 shelter is built on a mechanical movement track equipping with a electricity motor to
121 move the shelter by a remote control. The shelter was moved away from the
122 experimental plots in no rain days and covered before a rain came, therefore the effect
123 of shelter on incoming radiation could be ignored. The mobile rain shelter is 9 m in
124 width, 30 m in length and 4.5 m in height. The top and both sides of the shelter have
125 PVC transparent boards to prevent outside rainfall. There is a water gutter at out side

126 of movement track to drain the rain water. Therefore the rain water intrusion can be
127 avoided. Water treatments began from maize jointing (V6, with 6 fully expanded
128 leaves) to filling stages (R3, milk) (Abendroth et al., 2011). Water treatments were
129 conducted by supplying irrigations once per 5 days before starting water treatments
130 with same amount for all pots, and once per 3 days during the period of water
131 treatments. The detail amount of water supplied to each treatment was listed in Table
132 1. The experiments entailed a completely randomized block design with three
133 replicates. Each treatment consisted of 12 pots (one plant per pot) and divided into 3
134 replicates (4 pots each). At each sampling time (totally sampling 4 times), one pot was
135 used.

136 Each pot was 40 cm in diameter and 50 cm in height, filled with 40 kg naturally
137 dried soil with a bulk density of 1.31 g cm^{-3} . Large size of pots in the experiments
138 effectively avoided space effect of growing good maize. The soil was sandy loam with
139 a pH of 6.15, total N of 1.46 g kg^{-1} , total of P 0.46 g kg^{-1} and total K of 12.96 g kg^{-1} .
140 46.5 g compound fertilizer (N 15 %, P_2O_5 15 % and K_2O 15 %) and 15.5 g
141 diammonium phosphate (N 18 % and P_2O_5 46 %) were applied to each pot before
142 sowing. There was no other fertilizer applied during maize growing season. Maize
143 cultivar used in both years was Liaodan 565, a locally common used drought-resistant
144 cultivar. One plant was grown in each pot. Maize was sown on 13 May and harvested
145 on 30 Sept in both 2014 and 2015.

146

147 **2.2 Dry matter and grain yield measurements**

148 To determine maize dry matter, four plants were harvested on 49 (V6, jointing),
149 77 (VT, tasseling), 113 (R3, milk) and 141 (R5, dent) days after sowing (DAS) in
150 2014, and one sampling was done on 132 DAS in 2015. The samples were separated

151 into root and shoot, and dried in an oven at 80 °C for 48 hours until reaching a
152 constant weight. The shoot/root ratio was calculated using dry matters measured.

153 Grain yield was measured by harvesting all cobs in a pot in maize harvesting
154 time. The grain was sundried with a water content of 15%. Yield components i.e. ear
155 (cob) numbers per plant, kernel numbers per ear and thousand kernel weight were
156 measured for all plots.

157

158 **2.3 Root measurements**

159 Root growth and morphological traits (root length, diameter and surface area)
160 were measured four times during crop growing season on 49 , 77, 113, 141 DAS in
161 2014. The whole roots were collected per pot at the time of dry matter measurements.
162 Root samples were carefully washed with tap water to remove impurities. The cleaned
163 roots were placed on a glass plate of a root system scanner. Scanned root images were
164 analyzed by a plant root image analyzer WinRHIZO PRO 2009 (Regent Instruments
165 Inc., Canada) to quantify total root length (m), diameter (mm) and surface area (m²)
166 per plant (pot).

167

168 **2.4 Measuring soil moisture content, water uptake and water use efficiency**

169 Soil moisture contents were measured by a soil auger at sowing and harvesting
170 times for each plot (3 replicates per treatment). Soil cores were taken from the middle
171 of a pot for each 10 cm layer. After measuring fresh soil weight, soil samples were
172 dried in an oven at 105 °C for around 48 hours until a constant weight was reached.
173 The gravimetric soil moisture contents (% , g g⁻¹) measured by soil auger were
174 calculated into volumetric soil moisture content (% , m³ m⁻³) by multiplying with soil
175 bulk density.

176 Water uptake (WU) of maize was calculated using a simplified soil water balance
177 equation (Kang et al., 2002). Because the experiments were sheltered, rainfall,
178 drainage and capillary rise of water did not occur in this situation and therefore were
179 not taken into account in the calculation of WU:

$$180 \quad WU = I + \Delta S \quad (1)$$

181 where WU (mm) is crop water uptake (mm) during whole crop growing season, I is
182 the amount of water supplied to each pot (mm). ΔS is the changes of soil water
183 amount between sowing to harvesting dates.

184 Water use efficiency (WUE) was calculated by measured final yield or
185 above-ground dry matter and total WU during crop growing season (Zhang et al.,
186 2007).

$$187 \quad WUE = Y / WU \quad (2)$$

188 where WUE ($\text{g m}^{-2} \text{mm}^{-1}$ or kg m^{-3}) is water use efficiency expressed in gain yield
189 WUE_Y or dry matter WUE_{DM} . Y (g m^{-2}) is grain yield or dry matter. WU (mm) is total
190 water uptake during maize growing season.

191

192 **2.5. Statistical analysis**

193 Analysis of variance on yield, WU, WUE, and dry matter for shoot and root were
194 performed using General Linear Model of SPSS 20 (SPSS Inc., Chicago, USA). The
195 differences between means were evaluated through LSD multiple comparison tests at
196 a significant level of 0.05.

197

198 **3. Results**

199 **3.1 Variation and frequency distribution of rainfall**

200 The average rainfall during maize growing season (May to September) at

201 experimental site from 1965 to 2015 was 531 mm with a standard deviation of 134
202 mm (Fig. 2 a). The cumulative frequency of rainfall above 500 mm was 69 % during
203 past 51 years. The frequency with mild drought stress (350-450 mm) was 28 % and it
204 with severe drought stress (200-300 mm) was 4 % (Fig. 2 b), indicating that the maize
205 growing in this climate mainly suffered mild water stress.

206

207 **3.2 Yield and yield components**

208 Maize yield under mild water stress across two year was not significantly
209 different with no stress control, while that in severe stress was 56 % lower (Table 2).
210 The decrease of maize yield in severe water treatments was due to the decreases in ear
211 number, kernel number and harvest index (HI). However, water stress did not affect
212 kernel weight, while other yield components were decreased. Year effect was only
213 significant for HI, which was likely caused by the variation in air temperature: the
214 cooler weather in 2015 during maize growing season decreased HI comparing with a
215 warmer year in 2014. There were no interactions between year and treatment.

216

217 **3.3 Above- and below-ground dry matters**

218 Mild water stress did not reduce root dry matter (Fig. 3 a, b), but greatly reduced
219 shoot dry matter, especially at grain filling stage (113 DAS) (Fig. 3 c, d). The severe
220 water stress decreased both root and shoot dry matter compared with no stress control,
221 but the magnitude of the decrease in shoot was much larger than in root. At maize
222 tasseling stage (77 DAS), as roots generally reached their maximum size, root dry
223 matter under severe water stress was much lower than mild and no water stress
224 treatments. However, it became less different later in the season, which indicated a
225 strong complementarily growth of root system under water stress. Due to the different

226 responses of shoot and root to water stress, the root/shoot ratios under water stress
227 were increased (Fig. 3 e, f), especially during crop rapid growing period (77 to 113
228 DAS).

229

230 **3.4 Root length, diameter and total surface area affected by water stresses**

231 Root length per plant was much lower under severe water stress, especially at
232 tasseling stage (77 DAS). Mild water stress during maize middle growing season also
233 decreased root length, but the difference with no stress control was much smaller than
234 severe stress (Fig. 4 a). Root diameters under both mild and severe water stress
235 treatments were much higher than under no stress control (Fig. 4 b), especially at late
236 growing season. Total root surface area was less changed (Fig. 4 c), especially during
237 maize reproductive growth period (113 DAS).

238

239 **3.5 Water uptake and use efficiency**

240 Total water uptakes (WU) under water stress treatments were lower than under
241 no stress control (Fig. 5). Water use efficiency for maize above-ground dry matter
242 (WUE_{DM}) under water stresses was increased 30.3 % comparing with no stress control,
243 across all years and treatments (Fig. 5 b). The WUE_{DM} in severe water stress was the
244 highest. However, WUE for grain yield under severe water stress was not significantly
245 different with that in the control, while that in mild water stress increased 15.7 %
246 across two years (Fig. 5 c). The difference between WUE in dry matter and grain
247 yield was due to a decrease in HI under severe water stress (Table 2).

248

249 **4. Discussion and conclusions**

250 Mild water stress during the middle growing period did not significantly reduce

251 maize grain yield. It is different with previous report that maize yield is much more
252 affected by water stress during flowering stage than other stages (Doorenbos et al.,
253 1979). Our result differed with a previous study, which showed mild water stress
254 seriously reduced crop production (Kang et al., 2000). This is likely due to our choice
255 of a drought-resistant variety (Zhengdan 565) and the difference in ecological zones.
256 Genotype-dependent relationships between yield and crop growth rate would be
257 stronger under water stress than under no stress condition (Lake and Sadras, 2016).

258 Mild water stress during middle crop growing period can maintain maize yield
259 but substantially reduces the water consumption at the same time in our study. Thus,
260 the water use efficiency was increased (Liu et al., 2016). Mild water stress reduced
261 total water uptake, resulting a 20 % higher WUE in dry matter and a 16% higher
262 WUE in yield. The increase in WUE under mild water stress was from the responses
263 of shoot and root growth to water stress, as an increase in root/shoot ratio. The water
264 stress reduced root length growth, however, this reduction was compensated by an
265 increase in root diameter. However, the maintenance of crop growth under water
266 stress was limited by the severity of the stress. Under severe water stress, maize
267 growth fails to be compensated by plant plasticity.

268 Severe water stress greatly reduced both shoot and root biomass. Large decreases
269 in shoot growth, i.e. less biomass and leaf area, reduces the light interception and
270 transpiration (Monteith, 1981). Under mild water stress during vegetative and
271 tasselling stages, the shoot growth was not significantly reduced in this study but in
272 previous report, e.g. plant height, leaf area development (Cakir, 2004). Mild soil water
273 deficit may also reduce water loss from plants through physiological regulation
274 (Davies and Zhang, 1991). A moderate soil drying at the vegetative stage encourages
275 root growth and distributing in deep soil (Jupp and Newman, 1987; Zhang and Davies,

276 1989), which is consistent with our findings. Large root system with deep distribution
277 is beneficial for water-limited agriculture (McIntyre et al., 1995). These mechanisms
278 explained why maize yield under mild water stress was not decreased in our study.

279 We found an increase in root diameter under water stresses, although root length
280 was decreased. This result indicated that the lateral roots under water stress were
281 probably less than under no water stress. That may limit water absorption since the
282 lateral roots is younger and more active in uptake function (Lynch, 1995). Average
283 root diameters in all treatments decreased from 77 to 113 DAS, which was caused by
284 highly emerged lateral roots after the main root system reached its maximum (VT
285 stage). The higher average root diameter in water stress treatments than in the control
286 at 141 DAS was probably due to a fast senescence of late developed lateral roots
287 under water stress.

288 Our results on root morphological plasticity affected by mild water deficit
289 provided another evidence for enhancing WUE and maintaining yielding. However,
290 the mechanism that determines crop response to water stress may also involve other
291 processes, e.g. intercellular CO₂, stomatal conductance, photosynthetic rate, oxidative
292 stress, sugar signaling, membrane stability and root chemical signals (Xue et al., 2006;
293 Dodd, 2009). The relationship between carbon assimilation and water stress have
294 been widely explored to understand the physiological mechanism for improving WUE
295 (Ennahli and Earl, 2005; Xue et al., 2006; Zhang et al., 2013). The abscisic acid
296 (ABA)-based drought stress chemical signals regulates crop vegetative and
297 reproductive development and contributes to crop drought adaptation (Killi et al.,
298 2017). Increased concentration of ABA in the root induced by soil drying may
299 maintain root growth and increase root hydraulic conductivity, thus increases crop
300 water uptake and thereby postpone the development of water deficit in the shoot (Liu

301 et al., 2005). The increase of ABA can also induce stomatal closure and reduces crop
302 transpiration (Haworth et al. 2016), net photosynthesis and crop growth (Killi et al.,
303 2017).

304 The maize yield in 2015 was much lower than in 2014 independent of water
305 stress. That might be caused by a higher maximum air temperature in 2015 (32.0 °C)
306 than in 2014 (29.1 °C) during flowering period. High air temperature would reduce
307 maize pollination (Muller and Rieu, 2016) and directly affected yield formation and
308 HI.

309 This study clearly demonstrates that the maize yield under mild water stress
310 during summer does not decrease but the water use efficiency increases due to
311 changes in root and shoot growth. A higher root/shoot ratio under mild water stress
312 allows plant efficiently use limited soil water. In studied region (Liaoning province),
313 maize mainly grows in rain-fed condition (2.4 million ha), covering 73 % of total area
314 for grain crops. To reduce the possible effect of drought on maize production, the
315 wells system piping underground water to irrigate crop is planned recently. The wells
316 need to be 60 to 70 m deep with an average cost of 12,000 Yuan for each. Each well
317 can only irrigate 9 to 10 ha of maize. According to our results, only severe water
318 stress significantly reduces maize yield (up to 50%), which occurs less than 5 %
319 during 1965 to 2015. Mild water stress occurs much frequently (28% of years),
320 however, it does not affect maize yield significantly. Our study suggested that the well
321 system in this region might not be economically and ecologically necessary. Other
322 agronomy practices such as intercropping maize with crops requiring less water (e.g.
323 peanut), cultivar selection, adjusting sowing windows (Liu et al., 2013; Lu et al., 2017)
324 and ridge-furrow with plastic film (Dong et al., 2017) are more applicable in
325 optimizing crop yield and regional sustainability. Our study provides more evidences

326 to understand crop responses to water stress, especially in relation to root
327 morphological plasticity in a drought environment. The results can be further applied
328 combining with crop model (Mao et al., 2015) to mitigate climate risk in dry land
329 agriculture.

330

331 **Author contribution**

332 Z. Sun, Y. Zhang, J. Zheng and Q. Cai conceived and designed the experiments.
333 Q. Cai, W. Bai, Y Zhang, Y. Liu, L. Feng, C. Feng, Z. Zhang and N. Yang performed
334 the experiments. L. Zhang, Q. Cai and J.B. Evers analyzed the data and wrote the
335 paper.

336

337 **Competing interests**

338 The authors declare that they have no conflict of interest.

339

340 **Special issue statement**

341 Special issue: Ecosystem processes and functioning across current and future
342 dryness gradients in arid and semi-arid lands.

343

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466 **Table 1** Water treatments during crop growing seasons in 2014 to 2015.

Year	Water treatment	Initial volumetric soil moisture content (%)	Actual water supply at three growing periods (mm)			Total
			Early (16-29 DAS ¹)	Middle (30-102 DAS)	Late (103-121 DAS)	
2014	No stress	24.4	11.9	478	56	545
	Mild stress	24.8	11.9	299	56	366
	Severe stress	24.9	11.9	122	56	190
2015	No stress	25.3	11.9	510	32	553
	Mild stress	25.3	11.9	334	32	378
	Severe stress	24.4	11.9	159	32	203

¹DAS refers days after maize sowing.

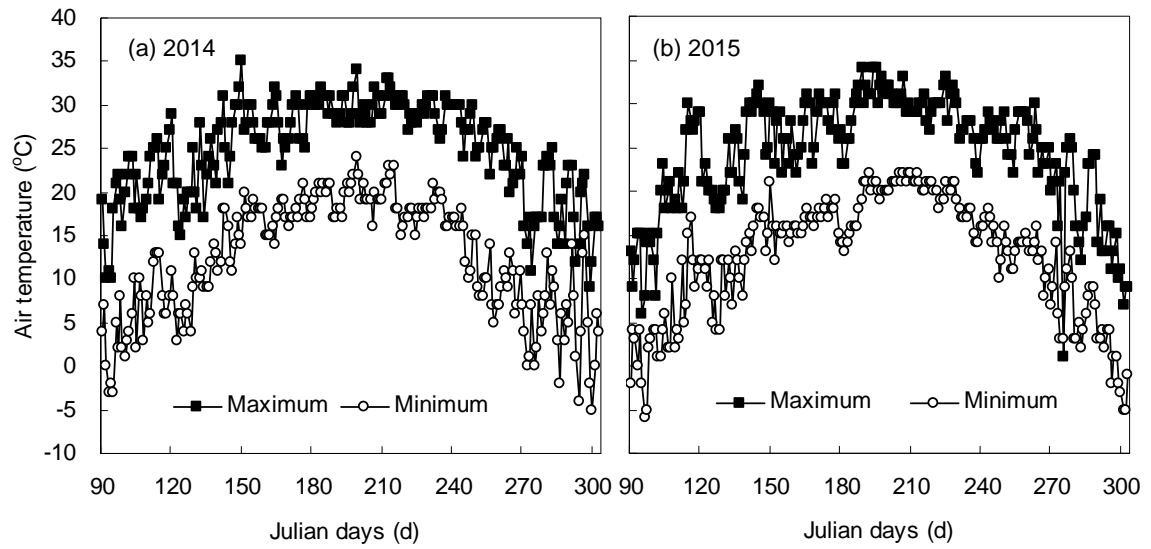
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468 **Table 2** Yield and yield components affected by different water stresses in 2014 to
 469 2015

Year	Water treatment	Ear number	Kernel number	Thousand kernel weight	Yield per plant	Harvest index
		ears plant ⁻¹	kernels ear ⁻¹	g	g plant ⁻¹	g g ⁻¹
2014	No stress	2.0±0.0a	354±32a	440±6.8a	301±33a	0.36±0.01a
	Mild stress	2.0±0.0a	350±16a	416±1.2b	276±14a	0.37±0.01a
	Severe stress	2.0±0.0a	245±35b	412±3.7b	166±25b	0.27±0.02b
2015	No stress	2.0±0.0a	341±67a	426±12a	240±60a	0.29±0.04a
	Mild stress	2.0±0.0a	244±53a	427±22a	168±42ab	0.25±0.03a
	Severe stress	1.3±0.3b	172±46a	412±16a	81±22b	0.17±0.04a
mean	No stress	2.0±0.2a	347±38a	432±7.5a	266±36a	0.32±0.03a
	Mild stress	2.0±0.0a	289±36ab	422±12a	214±32a	0.30±0.03ab
	Severe stress	1.6±0.0b	203±31b	412±8.5a	118±23b	0.21±0.03b
<i>P</i>	Treatment	0.021	0.003	0.556	0.005	0.013
	Year	0.184	0.514	0.889	0.237	0.039
	Treat×Year	0.111	0.664	0.555	0.835	0.758

Same small letters indicate no significant difference between water treatment within same year at $\alpha=0.05$.

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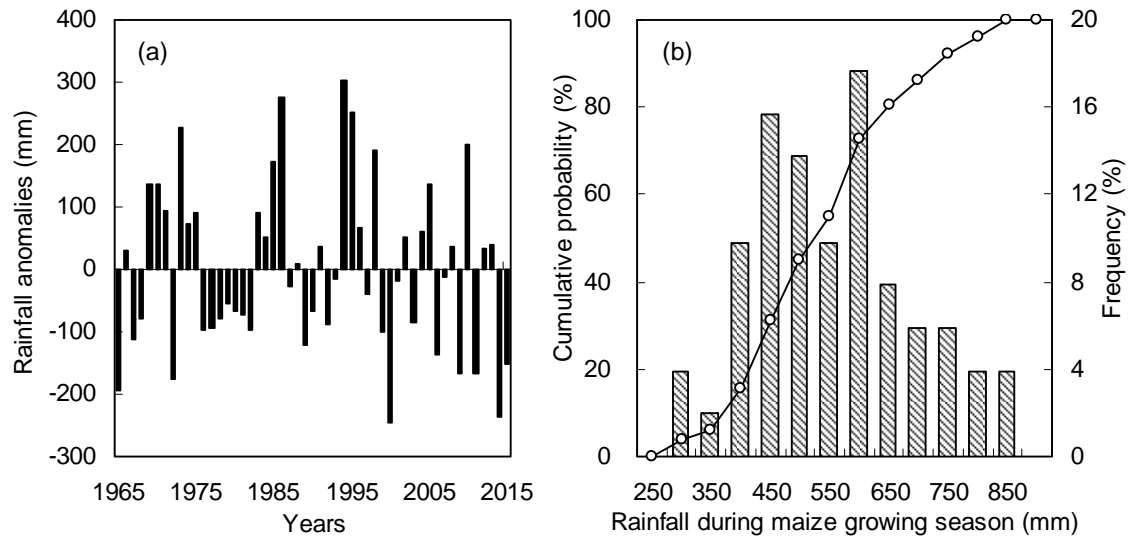


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473 **Fig. 1** Daily maximum and minimum air temperatures in 2014 and 2015 in

474 Shenyang, Liaoning, China

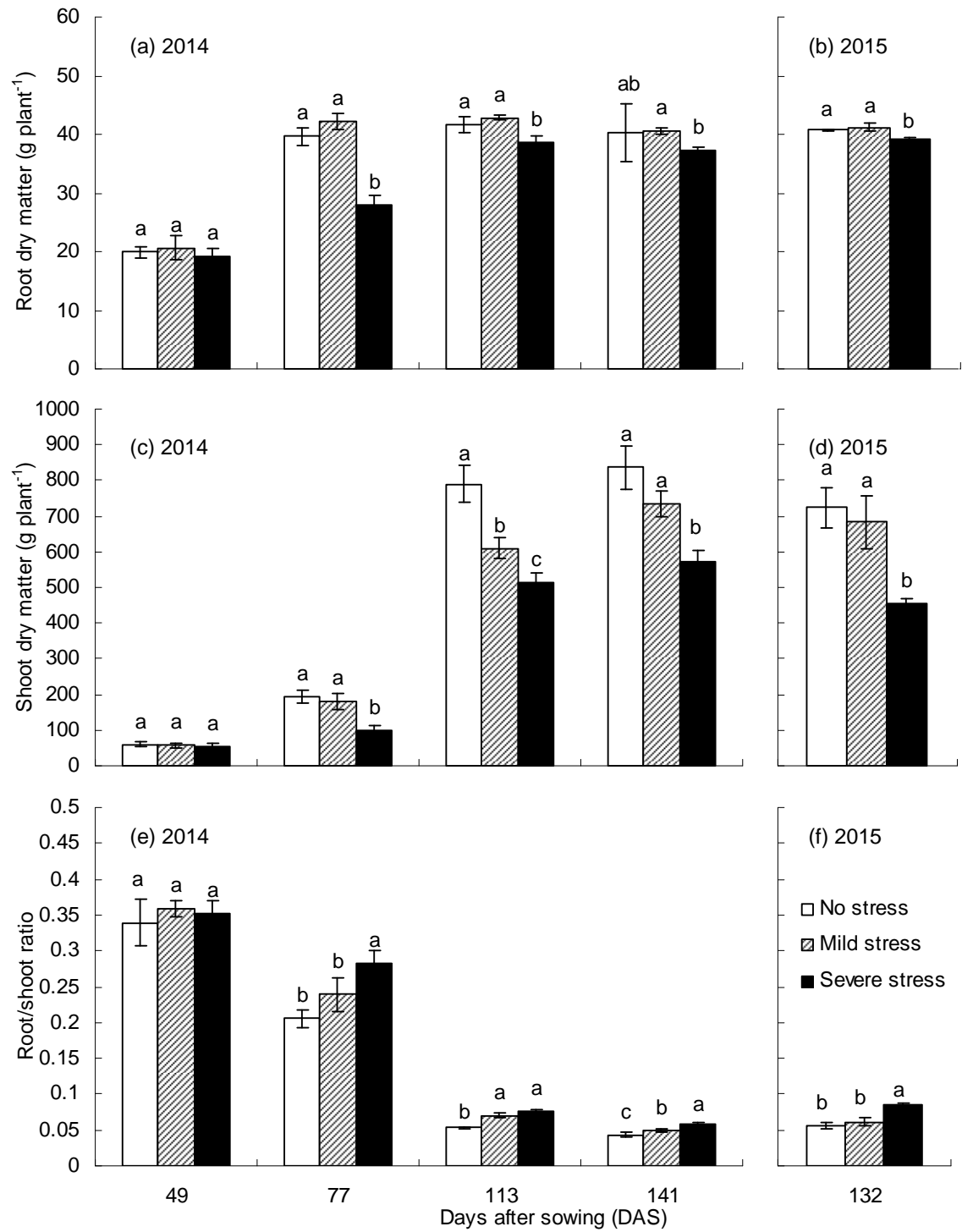
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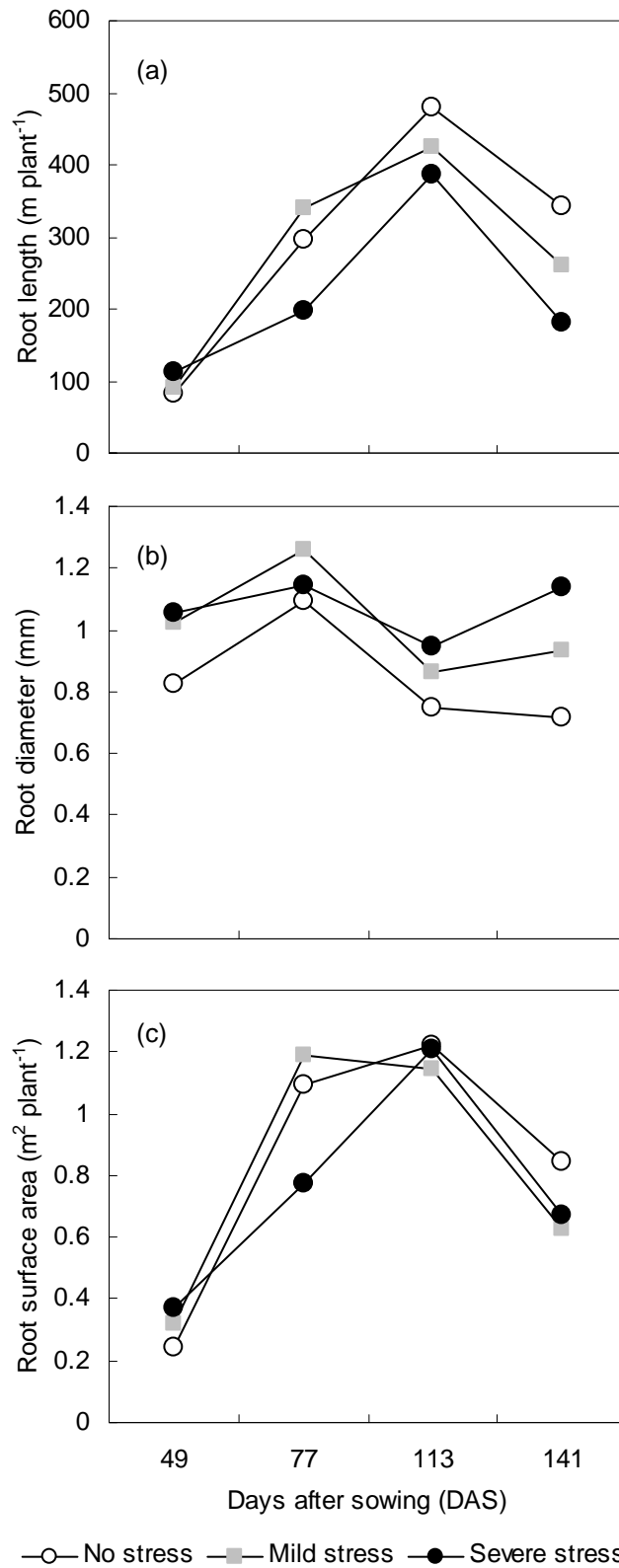
477 **Fig. 2** Anomalies and cumulative frequency of rainfall during maize growing season

478 (May to September) from 1965 to 2015 at Shengyang, Liaoning.



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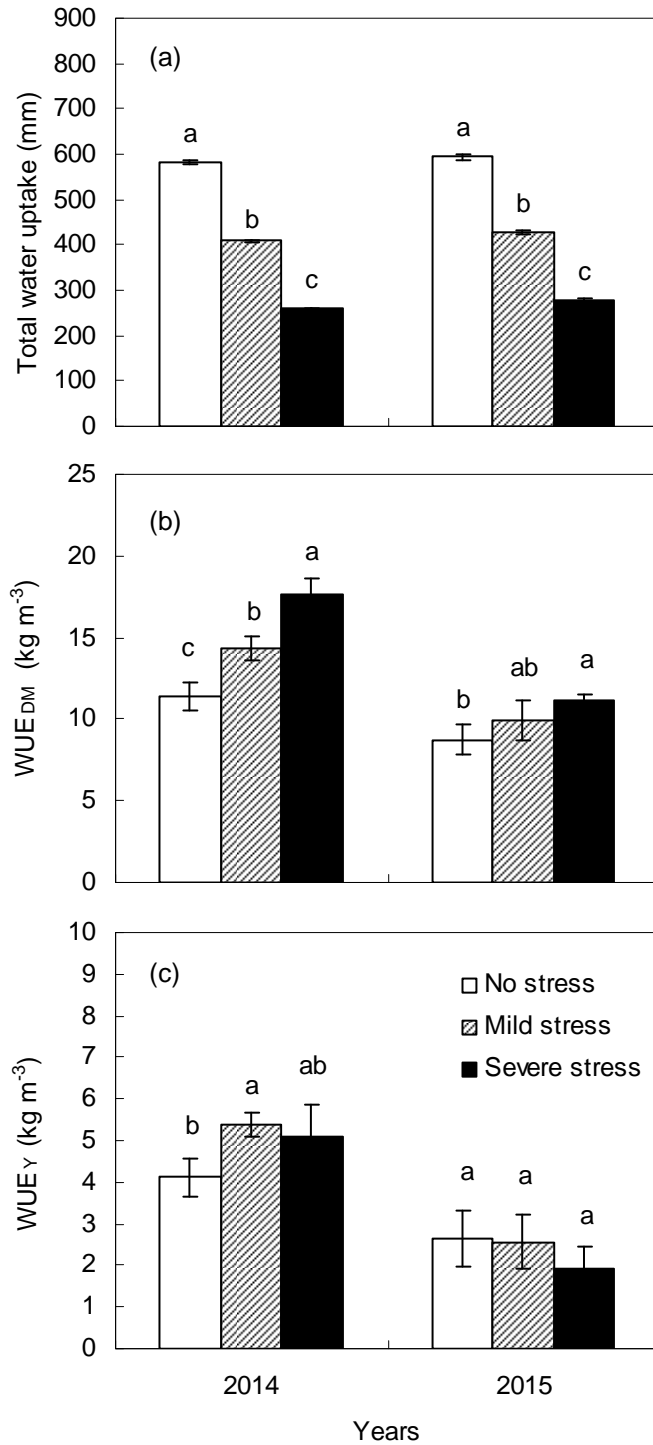
480 **Fig. 3** Root and shoot dry matters of maize under water stress at different growing
 481 periods in 2014-2015.



482

483 **Fig. 4** Total root length, average diameter and total surface area per plant affected by

484 water stress in 2014



485

486 **Fig. 5** Total water uptake (WU) during crop growing season and water use efficiency

487 for above-ground dry matter (WUE_{DM}) and grain yield (WUE_Y) under water stress in

488 2014-2015

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