1	Morphological plasticity of root growth under mild water stress
2	increases water use efficiency without reducing yield in maize
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23 Abstract

24 Large yield gap exists in rain-fed maize (Zea mays L.) production in semi-arid regions, mainly caused by frequent droughts halfway the crop growing period due to 25 26 uneven distribution of rainfall. It is questionable if irrigation systems are 27 economically required in such a region since total amount of rainfall generally meet 28 the crop requirement. This study aimed to quantitatively determine the effects of water stress during jointing to filling stages on root and shoot growth and the 29 consequences for maize grain yield, above- and below-ground dry matter, water 30 31 uptake (WU) and water use efficiency (WUE). Pot experiments were conducted in 2014 and 2015 with a mobile rain shelter. The experiments consisted of three 32 33 treatments: (1) no water stress; (2) mild water stress; and (3) severe water stress. The 34 cumulative frequency for no water stress (above 500 mm) during maize growing season was 69 % from 1965 to 2015, 28 % for mild water stress (350-450 mm) and 4 35 % for severe stress (200-300 mm). Maize yield in mild water stress across two year 36 37 was not significantly affected, while severe stress reduced yield by 56 %. Water stress decreased root biomass slightly but shoot biomass substantially. Mild water stress 38 decreased root length but increased root diameter, resulting a no effect on root surface 39 area Due to the morphological plasticity of root growth and the increase in root/shoot 40 ratio, WU under water stress was decreased, and WUE for maize above-ground dry 41 42 matter under mild water stress was increased by 20 % across two years, and 16 % for grain yield WUE. Our results demonstrates that well irrigation system using 43 underground water in studied region might be not economically and ecologically 44 necessary because the frequent occurred mild water stress did not reduce crop yield 45 much. The study helps to understand crop responses to water stress during critical 46 water-sensitive period (middle crop growing season) and to mitigate drought risk in 47

- 48 dry land agriculture.
- 49 Keywords: root diameter; root length; root surface area; root/shoot ratio; yield
- 50 components; water utilization

51 1. Introduction

52 Maize (Zea mays L.) as one of the most important crops globally, is a major food crop in northeast China with an average yield around 5.3 t ha⁻¹ (Dong et al., 2017). 53 However, the yield gap to the potential of 10.9 t ha⁻¹ is still large (Liu et al., 2012), 54 55 mainly due to frequent summer droughts caused by an uneven distribution of rainfall during the crop growing season. As global warming eauses high expected frequency 56 of extreme climate events (IPCC, 2007), drought risk for agricultural production in 57 this region is likely to increase (Song et al., 2014; Yu et al., 2014). Water stress 58 changes crop responses in morphological and physiological traits (Pampino et al., 59 2006). Warming and dry trends under climate change would result deleterious effects 60 61 on crop photosynthesis and yield (Richards, 2000).

Although the total amount of rainfall can meet the requirement of rain-fed maize in the semi-arid northeast China, the yearly and seasonal variations often cause a frequent drought (mostly mild water stress) during summer, resulting <u>a high risk of</u> yield loss. It can be questioned whether well irrigation systems using underground water are economically and ecologically required in this situation, since it is not quantitatively known how the crop yield and water use efficiency would be affected by drought stress during summer in this region.

Suppression of yield by water stress is caused by reducing crop growth (Payero et al., 2006), canopy height (Traore et al., 2000), leaf area index (NeSmith and Ritchie, 1992) and root growth (Gavloski et al., 1992). Crop shoot development and biomass accumulation are greatly reduced by soil water deficit at seeding stage (Kang et al., 2000). Short-duration water deficits during the rapid vegetative growth period causes around 30% loss in final dry matter (Cakir, 2004). The reduction of maize yield by water stress is caused by decreases in yield components such as ear density, number of kernels per ear and kernel weight (Ge et al., 2012), especially during or before
maize silk and pollination period (Claassen and Shaw, 1970). The accumulative
biomass and harvest index (the ratio of grain yield over total aboveground dry matter)
are decreased under water stress during anthesis (Traore et al., 2000).

Water use efficiency (WUE, expressed in kg yield obtained per m³ of water) is 80 notably reduced by severe water stress especially at vegetative and reproductive 81 stages. Interestingly, a moderate water stress at V16 (with 16 fully expanded leaves) 82 and R1 (silking) stages in maize increased WUE (Ge et al., 2012) because it did not 83 84 significantly affected the ecophysiological characteristics during vegetative stages. The irrigation deficits before the maize tasseling stage are often used for improving 85 86 WUE in regions with serious water scarcity, e.g. North China Plain (Qiu et al., 2008; 87 Zhang et al., 2017). For example, in winter wheat WUE was increased continuously 88 from 1987 to 2015 especially under water stress condition that was obtained from a increased harvest index and the reduced soil evaporation (Zhang et al., 2017). Under 89 90 water stress, plant photosynthesis and transpiration decreases due to a decrease in stomata conductance (Killi et al., 2017) induced by increasing concentration of 91 92 abscisic acid (ABA) in plant (Beis and Patakas, 2015). However, limited knowledge 93 exists on how much the partitioning between shoot and root in maize is affected by 94 water stress during middle and late growing stages, and if the root growth and 95 morphology regulated by water stress could improve maize yielding and water use efficiency. 96

97 Since field water stress experiments were difficult to carry out in rain-fed 98 agriculture, a large mobile rain shelter was often used in studies to control water stress 99 (NeSmith and Ritchie 1992). The objective of this study was to quantify maize shoot 100 and root growth, grain yield and WUE under different water stresses during middle

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- 101 crop growing season obtained by a well-controlled mobile rain shelter, to understand
- 102 the crop response to water stress during critical water-sensitive period.
- 103

104 **2. Materials and methods**

105 **2.1. Experimental design**

106 The experiments were conducted at Shenyang (41°48'N, 123°23'E), Liaoning 107 province, northeast China in 2014 and 2015. The experimental site is 45 m above sea 108 level. On average from 1965 to 2015, annual potential evaporation is 1445 mm, total 109 precipitation is 720 mm, and mean air temperature is 8 °C. The length of the frost-free period is 150-170 days. Average relative humidity is 63 %. Annual mean wind speed 110 is 3.1 m s⁻¹. The climate is a typical continental monsoon climate with four distinct 111 112 seasons, characterized as a hot summer and cold winter. The annual mean air temperature was 9.5 °C in 2014 and 9.1 °C in 2015. The mean air temperature during 113 crop growing season (May to September) was 20.2 °C in 2014 and 19.4 °C in 2015 114 115 (Fig. 1).

Maize plants were grown in pots in three treatments: (1) no water stress; (2) mild 116 117 water stress and (3) severe water stress (Table 1). The levels of water stresses were based on historical rainfall frequency analysis. The water supply was controlled by a 118 119 mobile rain shelter with steel frame and transparent PVC cover. The mobile rain 120 shelter is built on a mechanical movement track equipping with a electricity motor to move the shelter by a remote control. The shelter was moved away from the 121 experimental plots in no rain days and covered before a rain came, therefore the effect 122 123 of shelter on incoming radiation could be ignored. The mobile rain shelter is 9 m in width, 30 m in length and 4.5 m in height. The top and both sides of the shelter have 124 PVC transparent boards to prevent outside rainfall. There is a water gutter at out side 125

126 of movement track to drain the rain water. Therefore the rain water intrusion can be 127 avoided. Water treatments began from maize jointing (V6, with 6 fully expended leaves) to filling stages (R3, milk) (Abendroth et al., 2011). Water treatments were 128 129 conducted by supplying irrigations once per 5 days before starting water treatments with same amount for all pots, and once per 3 days during the period of water 130 treatments. The detail amount of water supplied to each treatment was listed in Table 131 1. The experiments entailed a completely randomized block design with three 132 replicates. Each treatment consisted of 12 pots (one plant per pot) and divided into 3 133 134 replicates (4 pots each). At each sampling time (totally sampling 4 times), one pot was used. 135

Each pot was 40 cm in diameter and 50 cm in height, filled with 40 kg naturally 136 dried soil with a bulk density of 1.31 g cm⁻³. Large size of pots in the experiments 137 effectively avoided space effect of growing good maize. The soil was sandy loam with 138 a pH of 6.15, total N of 1.46 g kg⁻¹, total of P 0.46 g kg⁻¹ and total K of 12.96 g kg⁻¹. 139 46.5 g compound fertilizer (N 15 %, P₂O₅ 15 % and K₂O 15 %) and 15.5 g 140 diammonium phosphate (N 18 % and P₂O₅ 46 %) were applied to each pot before 141 sowing. There was no other fertilizer applied during maize growing season. Maize 142 cultivar used in both years was Liaodan 565, a locally common used drought-resistant 143 cultivar. One plant was grown in each pot. Maize was sown on 13 May and harvested 144 145 on 30 Sept in both 2014 and 2015.

146

147 **2.2 Dry matter and grain yield measurements**

To determine maize dry matter, four plants were harvested on 49 (V6, jointing), 77 (VT, tasseling), 113 (R3, milk) and 141 (R5, dent) days after sowing (DAS) in 2014, and one sampling was done on 132 DAS in 2015. The samples were separated

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into root and shoot, and dried in an oven at 80 °C for 48 hours until reaching a
constant weight. The shoot/root ratio was calculated using dry matters measured.

Grain yield was measured by harvesting all cobs in a pot in maize harvesting time. The grain was sundried with a water content of 15%. Yield components i.e. ear (cob) numbers per plant, kernel numbers per ear and thousand kernel weight were measured for all plots.

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158 **2.3 Root measurements**

159 Root growth and morphological traits (root length, diameter and surface area) were measured four times during crop growing season on 49, 77, 113, 141 DAS in 160 161 2014. The whole roots were collected per pot at the time of dry matter measurements. 162 Root samples were carefully washed with tap water to remove impurities. The cleaned roots were placed on a glass plate of a root system scanner. Scanned root images were 163 analyzed by a plant root image analyzer WinRHIZO PRO 2009 (Regent Instruments 164 Inc., Canada) to quantify total root length (m), diameter (mm) and surface area (m^2) 165 per plant (pot). 166

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168 **2.4 Measuring soil moisture content, water uptake and water use efficiency**

Soil moisture contents were measured by a soil auger at sowing and harvesting times for each plot (3 replicates per treatment). Soil cores were taken from the middle of a pot for each 10 cm layer. After measuring fresh soil weight, soil samples were dried in an oven at 105 °C for around 48 hours until a constant weight was reached. The gravimetric soil moisture contents (%, g g⁻¹) measured by soil auger were calculated into volumetric soil moisture content (%, m³ m⁻³) by multiplying with soil bulk density.

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Water uptake (WU) of maize was calculated using a simplified soil water balance
equation (Kang et al., 2002). Because the experiments were sheltered, rainfall,
drainage and capillary rise of water did not occur in this situation and therefore were
not taken into account in the calculation of WU:

180 WU = I +
$$\Delta S$$
 (1)

181 where WU (mm) is crop water uptake (mm) during whole crop growing season, I is 182 the amount of water supplied to each pot (mm). ΔS is the changes of soil water 183 amount between sowing to harvesting dates.

Water use efficiency (WUE) was calculated by measured final yield or above-ground dry matter and total WU during crop growing season (Zhang et al., 2007).

$$187 \qquad WUE = Y/WU \tag{2}$$

where WUE (g m⁻² mm⁻¹ or kg m⁻³) is water use efficiency expressed in gain yield WUE_Y or dry matter WUE_{DM}. Y (g m⁻²) is grain yield or dry matter. WU (mm) is total water uptake during maize growing season.

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192 **2.5. Statistical analysis**

Analysis of variance on yield, WU, WUE, and dry matter for shoot and root were performed using General Linear Model of SPSS 20 (SPSS Inc., Chicago, USA). The differences between means were evaluated through LSD multiple comparison tests at a significant level of 0.05.

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198 **3. Results**

199 **3.1 Variation and frequency distribution of rainfall**

200 The average rainfall during maize growing season (May to September) at

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experimental site from 1965 to 2015 was 531 mm with a standard deviation of 134
mm (Fig. 2 a). The cumulative frequency of rainfall above 500 mm was 69 % during
past 51 years. The frequency with mild drought stress (350-450 mm) was 28 % and it
with severe drought stress (200-300 mm) was 4 % (Fig. 2 b), indicating that the maize
growing in this climate mainly suffered mild water stress.

206

207 **3.2 Yield and yield components**

Maize yield under mild water stress across two year was not significantly 208 different with no stress control, while that in severe stress was 56 % lower (Table 1) 209 The decrease of maize yield in severe water treatments was due to the decreases in ear 210 211 number, kernel number and harvest index (HI). However, water stress did not affect 212 kernel weight, while other yield components were decreased. Year effect was only 213 significant for HI, which was likely caused by the variation in air temperature: the cooler weather in 2015 during maize growing season decreased HI comparing with a 214 215 warmer year in 2014. There were no interactions between year and treatment.

216

217 **3.3** Above- and below-ground dry matters

Mild water stress did not reduce root dry matter (Fig. 3 a, b), but greatly reduced 218 219 shoot dry matter, especially at grain filling stage (113 DAS) (Fig. 3 c, d). The severe 220 water stress decreased both root and shoot dry matter compared with no stress control, but the magnitude of the decrease in shoot was much larger than in root. At maize 221 tasseling stage (77 DAS), as roots generally reached their maximum size, root dry 222 223 matter under severe water stress was much lower than mild and no water stress treatments. However, it became less different later in the season, which indicated a 224 strong complementarily growth of root system under water stress. Due to the different 225

responses of shoot and root to water stress, the root/shoot ratios under water stress were increased (Fig. 3 e, f), especially during crop rapid growing period (77 to 113 DAS).

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3.4 Root length, diameter and total surface area affected by water stresses

Root length per plant was much lower under severe water stress, especially at tasseling stage (77 DAS). Mild water stress during maize middle growing season also decreased root length, but the difference with no stress control was much smaller than severe stress (Fig. 4 a). Root diameters under both mild and severe water stress treatments were much higher than under no stress control (Fig. 4 b), especially at late growing season. Total root surface area was less changed (Fig. 4 c), especially during maize reproductive growth period (113 DAS).

238

239 **3.5 Water uptake and use efficiency**

240 Total water uptakes (WU) under water stress treatments were lower than under

- 241 no stress control (Fig. 5). Water use efficiency for maize above-ground dry matter
- 242 (WUE_{DM}) under water stresses was increased 30.3 % comparing with no stress control,
- across all years and treatments (Fig. 5 b). The WUE_{DM} in severe water stress was the
- 244 highest. However, WUE for grain yield under severe water stress was not significantly
- different with that in the control, while that in mild water stress increased 15.7 %
- 246 across two years (Fig. 5 c). The difference between WUE in dry matter and grain
- 247 yield was due to a decrease in HI under severe water stress (Table 2).
- 248

249 **4. Discussion and conclusions**

250 Mild water stress during the middle growing period did not significantly reduce

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maize grain yield. It is different with previous report that maize yield is much more affected by water stress during flowering stage than other stages (Doorenbos et al., 1979). Our result differed with a previous study, which showed mild water stress seriously reduced crop production (Kang et al., 2000). This is likely due to our choice of a drought-resistant variety (Zhengdan 565) and the difference in ecological zones. Genotype-dependent relationships between yield and crop growth rate would be stronger under water stress than under no stress condition (Lake and Sadras, 2016).

Mild water stress during middle crop growing period can maintain maize yield 258 259 but substantially reduces the water consumption at the same time in our study. Thus, the water use efficiency was increased (Liu et al., 2016). Mild water stress reduced 260 total water uptake, resulting a 20 % higher WUE in dry matter and a 16% higher 261 262 WUE in yield. The increase in WUE under mild water stress was from the responses 263 of shoot and root growth to water stress, as an increase in root/shoot ratio. The water stress reduced root length growth, however, this reduction was compensated by an 264 265 increase in root diameter. However, the maintenance of crop growth under water stress was limited by the severity of the stress. Under severe water stress, maize 266 267 growth fails to be compensated by plant plasticity.

Severe water stress greatly reduced both shoot and root biomass. Large decreases 268 in shoot growth, i.e. less biomass and leaf area, reduces the light interception and 269 270 transpiration (Monteith, 1981). Under mild water stress during vegetative and tasselling stages, the shoot growth was not significantly reduced in this study but in 271 272 previous report, e.g. plant height, leaf area development (Cakir, 2004). Mild soil water deficit may also reduce water loss from plants through physiological regulation 273 (Davies and Zhang, 1991). A moderate soil drying at the vegetative stage encourages 274 root growth and distributing in deep soil (Jupp and Newman, 1987; Zhang and Davies, 275

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1989), which is consistent with our findings. Large root system with deep distribution
is beneficial for water-limited agriculture (McIntyre et al., 1995). These mechanisms
explained why maize yield under mild water stress was not decreased in our study.

279 We found an increase in root diameter under water stresses, although root length was decreased. This result indicated that the lateral roots under water stress were 280 probably less than under no water stress. That may limit water absorption since the 281 lateral roots is younger and more active in uptake function (Lynch, 1995). Average 282 root diameters in all treatments decreased from 77 to 113 DAS, which was caused by 283 284 highly emerged lateral roots after the main root system reached its maximum (VT 285 stage). The higher average root diameter in water stress treatments than in the control at 141 DAS was probably due to a fast senescence of late developed lateral roots 286 287 under water stress.

288 Our results on root morphological plasticity affected by mild water deficit provided another evidence for enhancing WUE and maintaining yielding. However, 289 290 the mechanism that determines crop response to water stress may also involve other processes, e.g. intercellular CO₂, stomatal conductance, photosynthetic rate, oxidative 291 292 stress, sugar signaling, membrane stability and root chemical signals (Xue et al., 2006; Dodd, 2009). The relationship between carbon assimilation and water stress have 293 294 been widely explored to understand the physiological mechanism for improving WUE 295 (Ennahli and Earl, 2005; Xue et al., 2006; Zhang et al., 2013). The abscisic acid (ABA)-based drought stress chemical signals regulates crop vegetative and 296 reproductive development and contributes to crop drought adaptation (Killi et al., 297 2017). Increased concentration of ABA in the root induced by soil drying may 298 maintain root growth and increase root hydraulic conductivity, thus increases crop 299 water uptake and thereby postpone the development of water deficit in the shoot (Liu 300

et al., 2005). The increase of ABA can also induce stomatal closure and reduces crop
transpiration (Haworth et al. 2016), net photosynthesis and crop growth (Killi et al.,
2017).

The maize yield in 2015 was much lower than in 2014 independent of water stress. That might be caused by a higher maximum air temperature in 2015 (32.0 °C) than in 2014 (29.1 °C) during flowering period. High air temperature would reduce maize pollination (Muller and Rieu, 2016) and directly affected yield formation and HI.

309 This study clearly demonstrates that the maize yield under mild water stress during summer does not decrease but the water use efficiency increases due to 310 311 changes in root and shoot growth. A higher root/shoot ratio under mild water stress 312 allows plant efficiently use limited soil water. In studied region (Liaoning province), maize mainly grows in rain-fed condition (2.4 million ha), covering 73 % of total area 313 for grain crops. To reduce the possible effect of drought on maize production, the 314 315 wells system piping underground-water to irrigate crop is planned recently. The wells need to be 60 to 70 m deep with an average cost of 12,000 Yuan for each. Each well 316 can only irrigate 9 to 10 ha of maize. According to our results, only severe water 317 stress significantly reduces maize yield (up to 50%), which occurs less than 5 % 318 during 1965 to 2015. Mild water stress occurs much frequently (28% of years), 319 320 however, it does not affect maize yield significantly. Our study suggested that the well system in this region might not be economically and ecologically necessary. Other 321 agronomy practices such as intercropping maize with crops requiring less water (e.g. 322 peanut), cultivar selection, adjusting sowing windows (Liu et al., 2013; Lu et al., 2017) 323 and ridge-furrow with plastic film (Dong et al., 2017) are more applicable in 324 optimizing crop yield and regional sustainability. Our study provides more evidences 325

to understand crop responses to water stress, especially in relation to root morphological plasticity in a drought environment. The results can be further applied combining with crop model (Mao et al., 2015) to mitigate climate risk in dry land agriculture.

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331 Author contribution

332 Z. Sun, Y. Zhang, J. Zheng and Q. Cai conceived and designed the experiments.

333 Q. Cai, W. Bai, Y Zhang, Y. Liu, L. Feng, C. Feng, Z. Zhang and N. Yang performed

the experiments. L. Zhang, Q. Cai and J.B. Evers analyzed the data and wrote thepaper.

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337 **Competing interests**

338 The authors declare that they have no conflict of interest.

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340 Special issue statement

341 Special issue: Ecosystem processes and functioning across current and future 342 dryness gradients in arid and semi-arid lands.

343

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	Water treatment	Initial	Actual water supply at three growing periods (mm)			
Year		volumetric soil moisture content (%)	Early (16-29 DAS ¹)	Middle (30-102 DAS)	Late (103-121 DAS)	Total
2014	No stress	24.4	11.9	478	56	545
	Mild stress	24.8	11.9	299	56	366
	Severe stress	24.9	11.9	122	56	190
2015	No stress	25.3	11.9	510	32	553
	Mild stress	25.3	11.9	334	32	378
	Severe stress	24.4	11.9	159	32	203

466 **Table 1** Water treatments during crop growing seasons in 2014 to 2015.

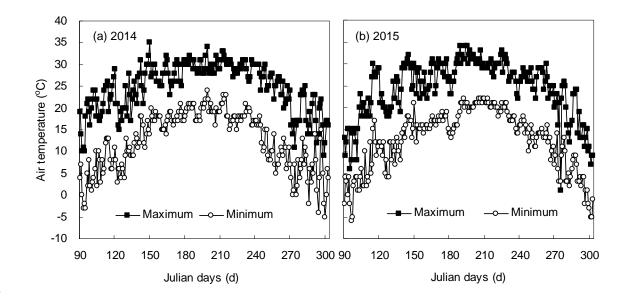
¹DAS refers days after maize sowing.

Table 2 Yield and yield components affected by different water stresses in 2014 to 468

2015 469

Year	Water treatment	Ear number	Kernel number	Thousand kernel weight	Yield per plant	Harvest index
		ears plant ⁻¹	kernels ear ⁻¹	g	g plant ⁻¹	g g ⁻¹
	No stress	2.0±0.0a	354±32a	440±6.8a	301±33a	0.36±0.01a
2014	Mild stress	2.0±0.0a	350±16a	416±1.2b	276±14a	0.37±0.01a
	Severe stress	2.0±0.0a	245±35b	412±3.7b	166±25b	0.27±0.02b
	No stress	2.0±0.0a	341±67a	426±12a	240±60a	0.29±0.04a
2015	Mild stress	2.0±0.0a	244±53a	427±22a	168±42ab	0.25±0.03a
	Severe stress	1.3±0.3b	172±46a	412±16a	81±22b	0.17±0.04a
	No stress	2.0±0.2a	347±38a	432±7.5a	266±36a	0.32±0.03a
mean	Mild stress	2.0±0.0a	289±36ab	422±12a	214±32a	0.30±0.03ab
	Severe stress	1.6±0.0b	203±31b	412±8.5a	118±23b	0.21±0.03b
	Treatment	0.021	0.003	0.556	0.005	0.013
Р	Year	0.184	0.514	0.889	0.237	0.039
	Treat×Year	0.111	0.664	0.555	0.835	0.758

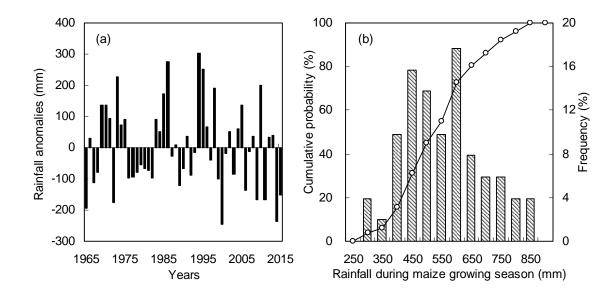
Same small letters indicate no significant difference between water treatment within same year at a=0.05.





473 Fig. 1 Daily maximum and minimum air temperatures in 2014 and 2015 in

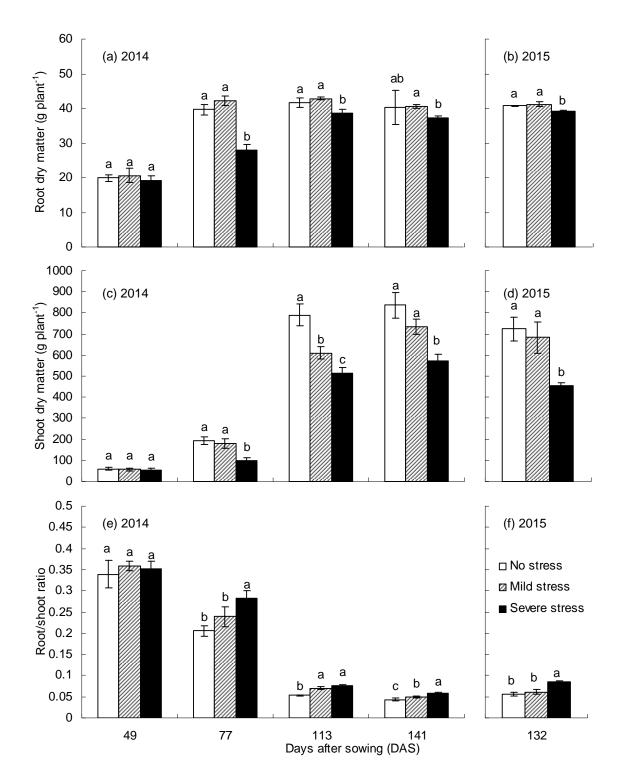
474 Shengyang, Liaoning, China



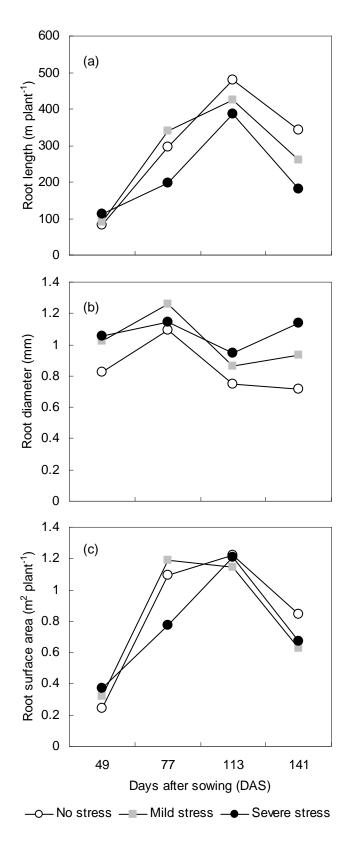


477 Fig. 2 Anomalies and cumulative frequency of rainfall during maize growing season

478 (May to September) from 1965 to 2015 at Shengyang, Liaoning.

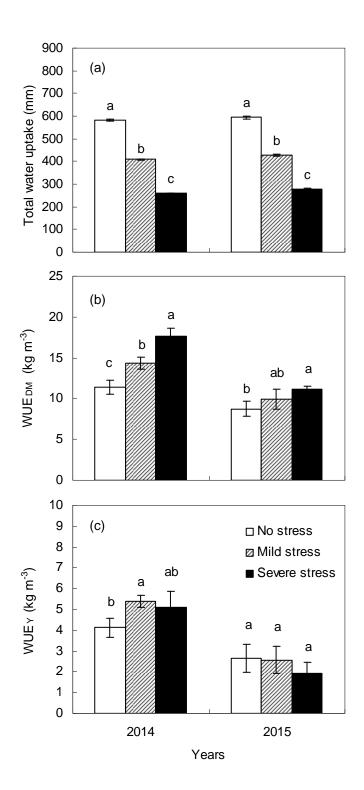


480 Fig. 3 Root and shoot dry matters of maize under water stress at different growing
481 periods in 2014-2015.





483 Fig. 4 Total root length, average diameter and total surface area per plant affected by
484 water stress in 2014



485

Fig. 5 Total water uptake (WU) during crop growing season and water use efficiency
for above-ground dry matter (WUE_{DM}) and grain yield (WUE_Y) under water stress in
2014-2015