



1 **Morphological plasticity of root growth under mild water stress**  
2 **increases water use efficiency without reducing yield in maize**

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23 **Abstract**

24       There is a significant potential to increase yield of maize (*Zea mays* L.), a global  
25 major crop, in rain-fed condition in semi-arid regions, since the large yield gap is  
26 mainly caused by frequent droughts halfway the crop growing period due to uneven  
27 distribution of rainfall. It is questionable if irrigation systems are economically  
28 required in such a region since total amount of rainfall generally meet the crop  
29 requirement. This study therefore aimed to quantitatively determine the effects of  
30 water stress during jointing to filling stages on root and shoot growth and the  
31 consequences for maize grain yield, above- and below-ground dry matter, water  
32 uptake (WU) and water use efficiency (WUE). Pot experiments were conducted in  
33 2014 and 2015 with a mobile rain shelter. The experiments consisted of three  
34 treatments: (1) no water stress; (2) mild water stress; and (3) severe water stress.  
35 Maize yield in mild water stress across two year was not significantly affected, while  
36 severe stress reduced yield by 56 %. Water stress decreased root biomass slightly but  
37 shoot biomass substantially. Mild water stress decreased root length but increased root  
38 diameter, resulting a no effect on root surface area. WU under water stress was  
39 decreased, while WUE for maize above-ground dry matter under mild water stress  
40 was increased by 20 % across all years, and 16 % for grain yield WUE. Our results  
41 demonstrates that irrigation systems in studied region might be not economically  
42 necessary because the mild water stress does not reduce crop yield. The study helps to  
43 understand crop responses to water stress during critical water-sensitive period and to  
44 mitigate drought risk in dry land agriculture.

45 **Keywords:** root diameter; root length; root surface area; root/shoot ratio; yield  
46 components; water utilization



## 47 **1. Introduction**

48 Maize (*Zea mays* L.) as one of the most important crops globally, is a major food  
49 crop in northeast China with an average yield of around 5.3 t ha<sup>-1</sup> (Dong et al., 2017).  
50 However, the yield gap to the potential of 10.9 t ha<sup>-1</sup> is still large (Liu et al., 2012),  
51 mainly due to lack of irrigation and frequent summer droughts caused by an uneven  
52 distribution of rainfall during the crop growing season. As global warming is  
53 anticipated to cause a higher expected frequency of extreme climate events (IPCC,  
54 2007), drought risk for agricultural production in this region is likely to increase  
55 (Song et al., 2014; Yu et al., 2014). Water stress changes crop response in  
56 morphological and physiological traits (Pampino et al., 2006). Warming and dry  
57 trends under climate change would result deleterious effects on crop photosynthesis  
58 and yield (Richards, 2000).

59 Although the total amount of rainfall can meet the requirement of rain-fed maize  
60 in the semi-arid northeast China, the yearly and seasonal variation often causes a  
61 frequent drought (mostly mild water stress) during summer and results in high risk of  
62 yield loss. It can be questioned whether irrigation systems are economically required  
63 in this situation, since it is not quantitatively known how the crop yield and water use  
64 efficiency would be affected by such drought stress during summer in this region.

65 Suppression of yield by water stress is caused by reducing crop growth (Payero  
66 et al., 2006), canopy height (Traore et al., 2000), leaf area index (NeSmith and Ritchie,  
67 1992) and root growth (Gavloski et al., 1992). Crop shoot development and biomass  
68 accumulation are greatly reduced by soil water deficit at seeding stage (Kang et al.,  
69 2000). Short-duration water deficits during the rapid vegetative growth period causes  
70 around 30% loss in final dry matter (Cakir, 2004). The reduction of maize yield by  
71 water stress is caused by decreases in yield components such as ear size, number of



72 kernel per ear and/or kernel weight (Ge et al., 2012), especially during or before  
73 maize silk and pollination period (Claassen and Shaw, 1970). The accumulative  
74 biomass and harvest index (the ratio of grain yield over total aboveground dry matter)  
75 are decreased under water stress during anthesis (Traore et al., 2000).

76 Water use efficiency (WUE, expressed in kg yield obtained per m<sup>3</sup> of water) is  
77 notably reduced by severe water stress especially at vegetative and reproductive  
78 stages. Interestingly a moderate water stress at V16 and R1 stages in maize increased  
79 WUE (Ge et al., 2012) because it did not significantly affected the ecophysiological  
80 characteristics during vegetative stages. The irrigation deficits before the maize  
81 tasseling stage are often used for improving WUE in regions with serious water  
82 scarcity, e.g. North China Plain (Qiu et al., 2008; Zhang et al., 2017). For example, in  
83 winter wheat WUE was increased continuously from 1987 to 2015 especially under  
84 water stress condition that was obtained from a increased harvest index and the  
85 reduced soil evaporation (Zhang et al., 2017). Under water stress, plant  
86 photosynthesis and transpiration decreases due to a decrease in stomata conductance  
87 (Killi et al., 2017) which is induced by increased concentration of abscisic acid (ABA)  
88 in plant (Beis and Patakas, 2015). However, limited acknowledge exists on how much  
89 the assimilate partitioning between shoot and root in maize is affected by water stress  
90 during middle and late growing stages, and if the root growth regulated by water  
91 stress could improve maize yielding and water use efficiency.

92 Since field water stress experiments were difficult to carry out in rain-fed  
93 agriculture, a large mobile rain shelter was used in this study to control water stress  
94 (NeSmith and Ritchie 1992). The objective of this study was to quantify maize shoot  
95 and root growth, grain yield and WUE under different water stresses, to understand  
96 the crop response to water stress during critical water-sensitive period.



97

98 **2. Materials and methods**99 **2.1. Experimental design**

100 The experiments were conducted in Shenyang (41°48'N, 123°23'E), Liaoning  
101 province, northeast China in 2014 and 2015. The experimental site is 45 m above sea  
102 level. Annual potential evaporation is 1445 mm, total precipitation is 720 mm, and  
103 mean air temperature is 8 °C. The average length of the frost-free period is 150-170  
104 days. Average relative humidity is 63 %. Annual wind speed is 3.1 m s<sup>-1</sup>. The climate  
105 is a typical continental monsoon climate with four distinct seasons, characterized as a  
106 hot summer and cold winter. Total rainfall during crop growing season (May to  
107 September) was 295 mm in 2014 and 436 mm in 2015. The annual mean air  
108 temperature was 9.5 °C in 2014 and 9.1 °C in 2015. The mean air temperature during  
109 crop growing season was 20.2 °C in 2014 and 19.4 °C in 2015 (Fig. 1).

110 Maize plants were grown in pots in three treatments: (1) no water stress; (2) mild  
111 water stress and (3) severe water stress. The water supply was controlled by a mobile  
112 rain shelter. The shelter was moved away from the experimental plots in no rain days  
113 and covered before a rain came, therefore the effect of shelter on incoming radiation  
114 could be ignored. Water treatments began from maize jointing (V6, with 6 fully  
115 expanded leaves) to filling stages (R3, milk) (Abendroth et al., 2011). Supplement  
116 water was given once per 5 days before starting water treatment with same amount for  
117 all pots, and once per 3 days during the period of water treatments. The detail amount  
118 of water supplied to each treatment was listed in Table 1. The experiments entailed a  
119 completely randomized block design with three replicates. Each treatment consisted  
120 of 12 pots (one plant per pot) and divided into 3 replicates (4 pots each). At each  
121 sampling time (totally sampling 4 times), one pot was used.



122 Each pot was 40 cm in diameter and 50 cm in height, filled with 40 kg naturally  
123 dried soil with a bulk density of  $1.31 \text{ g cm}^{-3}$ . The soil was sandy loam with a pH of  
124 6.15, total N of  $1.46 \text{ g kg}^{-1}$ , total of P  $0.46 \text{ g kg}^{-1}$  and total K of  $12.96 \text{ g kg}^{-1}$ . 46.5 g  
125 compound fertilizer (N 15 %,  $\text{P}_2\text{O}_5$  15 % and  $\text{K}_2\text{O}$  15 %) and 15.5 g diammonium  
126 phosphate (N 18 % and  $\text{P}_2\text{O}_5$  46 %) were applied to each pot before sowing. There  
127 was no other fertilizer applied during maize growing season. Maize cultivar used in  
128 both years was Liaodan 565, a locally common used drought-resistant cultivar. One  
129 plant was grown in each pot. Maize was sown on 13-May and harvested on 30-Sept in  
130 both 2014 and 2015.

131

## 132 **2.2 Dry matter and grain yield measurements**

133 To determine maize dry matter, four plants were harvested on 49 (V6), 77 (VT),  
134 113 (R3) and 141 (R5) days after sowing (DAS) in 2014, and only one sampling was  
135 done on 132 DAS in 2015. The samples were separated into root and shoot, and dried  
136 in an oven at  $80 \text{ }^\circ\text{C}$  for 48 hours until reaching a constant weight. The shoot/root ratio  
137 was calculated using dry matters measured.

138 Grain yield was measured by harvesting all cobs in a pot in maize harvesting  
139 time. The grain was sundried with a water content of 15%. Yield components i.e. ear  
140 (cob) numbers per plant, kernel numbers per ear and thousand kernel weight was  
141 measured for each plant.

142

## 143 **2.3 Root measurements**

144 Root growth and morphological traits (root length, diameter and surface area)  
145 were measured four times during crop growing season on 49 , 77, 113, 141 DAS only  
146 in 2014. The whole roots were collected per pot at the time of dry matter



147 measurements. Root samples were carefully washed with tap water to remove  
148 impurities. The cleaned roots were placed on a glass plate of a root system scanner.  
149 Scanned root images were analyzed by a plant root image analyzer WinRHIZO PRO  
150 2009 (Regent Instruments Inc., Canada) to quantify total root length (m), diameter  
151 (mm) and surface area (m<sup>2</sup>) per plant (pot).

152

#### 153 **2.4 Measuring soil moisture content, water uptake and water use efficiency**

154 Soil moisture contents were measured by a soil auger at sowing and harvesting  
155 times. Soil cores were taken from the middle of a pot for each 10 cm layer. After  
156 measuring fresh soil weight, soil samples were dried in an oven at 105 °C for around  
157 48 hours until a constant weight was reached. The gravimetric soil moisture contents  
158 (% g g<sup>-1</sup>) measured by soil auger were calculated into volumetric soil moisture  
159 content (% m<sup>3</sup> m<sup>-3</sup>) by multiplying with soil bulk density.

160 Water uptake (WU) of maize was calculated using a simplified soil water balance  
161 equation (Kang et al., 2002). Because the pot experiments were sheltered, rainfall,  
162 drainage and capillary rise of water did not occur in this situation and therefore were  
163 not taken into account in the calculation of WU:

$$164 \quad WU = I + \Delta S \quad (1)$$

165 where WU (mm) is crop water uptake (mm) during whole crop growing season, I is  
166 the amount of water supplied to each pot (mm).  $\Delta S$  is the changes of soil water  
167 amount between sowing to harvesting dates.

168 Water use efficiency (WUE) was calculated by measured final yield or  
169 above-ground dry matter (shoot) and total WU during crop growing season (Zhang et  
170 al., 2007).

$$171 \quad WUE = Y/WU \quad (2)$$



172 where WUE ( $\text{g m}^{-2} \text{mm}^{-1}$  or  $\text{kg m}^{-3}$ ) is water use efficiency expressed in grain yield  
173  $\text{WUE}_Y$  or dry matter  $\text{WUE}_{\text{DM}}$ .  $Y$  ( $\text{g m}^{-2}$ ) is grain yield or dry matter.  $WU$  (mm) is total  
174 water uptake during maize growing season.

175

## 176 **2.5. Statistical analysis**

177 Analysis of variance on yield,  $WU$ ,  $WUE$ , and dry matter for shoot and root were  
178 performed using General Linear Model of SPSS 20 (SPSS Inc., Chicago, USA). The  
179 differences between means were evaluated through LSD multiple comparison tests at  
180 a significant level of 0.05.

181

## 182 **3. Results**

### 183 **3.1 Yield and yield components**

184 Maize yield in mild water stress across two year was not significantly different  
185 with no stress control, while that in severe stress was 56 % lower (Table 2). The  
186 decrease of maize yield in severe water treatments was due to the decreases in ear  
187 number, kernel number and harvest index (HI). However, water stress did not affect  
188 kernel weight, while other yield components were decreased. Year effect was only  
189 significant for HI, which was likely caused by the variation in air temperature: the  
190 cooler weather in 2015 during maize growing season decreased HI comparing with a  
191 warmer 2014. There were no significant interactions between year and treatment.

192

### 193 **3.2 Above- and below-ground dry matters**

194 Mild water stress did not reduce root dry matter (Fig. 2 a, b), but greatly reduced  
195 shoot dry matter, especially at grain filling stage (113 DAS) (Fig. 2 c, d). The severe  
196 water stress decreased both root and shoot dry matter compared with no stress control,





197 but the magnitude of the decrease in shoot was much larger than in root. At maize VT  
198 stage (77 DAS), as roots generally reach their maximum size, root dry matter under  
199 severe water stress was much lower than mild and no water stress treatments.  
200 However, it became less different later in the season, which indicated a strong  
201 complementarily growth of root system during water stress. Due to the different  
202 responses of shoot and root to water stress, the root/shoot ratios under water stress  
203 were increased (Fig. 2 e, f), especially during crop rapid growing period (77 to 113  
204 DAS).

205

### 206 **3.3 Root length, diameter and total surface area per pot affected by water stress**

207 Root length per plant was much lower under severe water stress, especially at VT  
208 stage (77 DAS). Mild water stress during maize middle growing season also  
209 decreased root length, but the difference with no stress control was much smaller than  
210 severe stress (Fig. 3 a). Root diameter under both mild and severe water stress  
211 treatments was much higher comparing with no stress control (Fig. 3 b), especially at  
212 late growing season. The decrease in root length under water stress was partially  
213 compensated by the increase in root diameter. This resulted in a small change in total  
214 root surface area (Fig. 3 c), especially during maize reproductive growth period (113  
215 DAS).

216

### 217 **3.4 Water uptake and use efficiency**

218 Total water uptakes (WU) under water stress treatments were lower than under  
219 no stress control (Fig. 4). Water use efficiency for maize above-ground dry matter  
220 ( $WUE_{DM}$ ) under water stress was increased 30.3 % comparing with no stress control,  
221 across all years and treatments (Fig. 4 b). The  $WUE_{DM}$  in severe water stress was the



222 highest. However, WUE for grain yield in severe water stress was not significantly  
223 different with that in the control, while that in mild water stress showed a increase  
224 (15.7 %) across two years (Fig. 4 c). The difference between WUE in dry matter and  
225 grain yield was due to a significant decrease in HI under severe water stress (Table 2).

226

#### 227 **4. Discussion and conclusions**

228 Mild water stress during the middle growing period did not significantly  
229 suppress grain yield. It is different with previous report that maize yield is much more  
230 affected by water stress during flowering stage than other stages (Doorenbos et al.,  
231 1979), probably due to the ecological conditions and drought-sensitivity of cultivars.  
232 Mild water stress reduced total water uptake, resulting a 20 % higher WUE in dry  
233 matter production and a 16% higher WUE in yield. The increase in WUE under mild  
234 water stress was partially from the different responses of shoot and root growth to  
235 water stress, resulting in an increase in root/shoot ratio. The water stress before  
236 flowering reduced root growth, however, this reduction was compensated for later by  
237 complementarily lateral root growth.

238 Severe water stress greatly reduced both shoot and root biomass, which was due  
239 to a large decrease in water uptake. Canopy transpiration is largely determined by net  
240 radiation absorption by the leaves in the canopy (Monteith, 1981). Large decreases in  
241 shoot growth, i.e. less biomass and leaf area, reduces the light interception. Under  
242 mild water stress during vegetative and tasselling stages, the shoot growth was  
243 reduced in this study and previous report, e.g. plant height, leaf area development  
244 (Cakir, 2004), however, mild soil water deficit may also reduce water loss from plants  
245 through physiological regulation (Davies and Zhang, 1991). A moderate soil drying at  
246 the vegetative stage encourages root growth and distributing in deep soil (Jupp and



247 Newman, 1987; Zhang and Davies, 1989), which is consistent with our findings.  
248 Large root system with deep distribution is beneficial for water-limited agriculture  
249 (McIntyre et al., 1995).

250 We found an increase in root diameter under water stress, although root length  
251 was decreased. This result indicated that the lateral roots under water stress were  
252 probably less than under no water stress. That may limit water absorption since the  
253 lateral roots is younger and more active in uptake function (Lynch, 1995). Average  
254 root diameters in all treatments decreased from 77 to 113 DAS, which was caused by  
255 highly emerged lateral roots after the main root system reached its maximum (VT  
256 stage). The higher average root diameter in water stress treatments than in the control  
257 at 141 DAS was probably due to a fast senescence of late developed lateral roots  
258 under water stress.

259 Our results on root morphological plasticity affected by water stress provided  
260 another evidence for enhancing WUE and maintaining yielding by a mild water  
261 deficit. However, the mechanism that determines crop response to water stress may  
262 also involve other processes, e.g. intercellular CO<sub>2</sub>, stomatal conductance,  
263 photosynthetic rate, oxidative stress, sugar signaling, membrane stability and root  
264 chemical signals (Xue et al., 2006; Dodd, 2009). The relationship between carbon  
265 assimilation and water loss in relation to the assimilates between reproductive and  
266 vegetative organs responding to soil water availability have been widely explored to  
267 understand the physiological mechanism of improving WUE under moderate water  
268 stress (Ennahli and Earl, 2005; Xue et al., 2006; Zhang et al., 2013). Under water  
269 limitation, photosynthesis and transpiration rates are in a permanent tradeoff  
270 regulating by stomata conductance. The abscisic acid (ABA)-based drought stress  
271 chemical signals regulates crop vegetative and reproductive development and



272 contributes to crop drought adaptation (Killi et al., 2017). Increased concentration of  
273 ABA in the root induced by soil drying may maintain root growth and increase root  
274 hydraulic conductivity, thus increases crop water uptake and thereby postpone the  
275 development of water deficit in the shoot (Liu et al., 2005). The increase of ABA  
276 induces stomatal closure and reduces crop transpiration (Haworth et al. 2016), net  
277 photosynthesis and crop growth (Killi et al., 2017).

278 Mild water stress during middle crop growing period could potentially maintain  
279 maize yield and substantially reduced the water consumption at the same time. Thus,  
280 the water use efficiency was increased by water deficit (Liu et al., 2016). However,  
281 the maintenance of crop growth under water stress was limited by the severity of the  
282 stress. Under severe water stress, maize growth failed to be compensated by structural  
283 and functional plasticity in plant growth. Our result differed from a previous study,  
284 which showed mild water stress also seriously affected crop production (Kang et al.,  
285 2000). This is likely due to our choice for a drought-resistant variety (Zhengdan 565)  
286 and the difference in ecological zones. Genotype-dependent relationships between  
287 yield and crop growth rate would be stronger under water stress than under no stress  
288 condition (Lake and Sadras, 2016).

289 The maize yield in 2015 was much lower than in 2014 independent of water  
290 stress. That might be caused by a higher maximum air temperature in 2015 (32.0 °C)  
291 than in 2014 (29.1 °C) during flowering period. High air temperature would reduce  
292 maize pollination (Muller and Rieu, 2016) and directly affected yield formation and  
293 HI.

294 This study clearly demonstrates that the maize yield under mild water stress  
295 during summer does not decrease but the water use efficiency would increase due to  
296 changes in root and shoot growth. A higher root/shoot ratio under mild water stress



297 allows plant efficiently use limited soil water. In rain-fed maize production in a region  
298 with frequent drought, to optimizing maize yield, the agronomic managements, e.g.  
299 cultivar selection, adjusting sowing windows (Liu et al., 2013; Lu et al., 2017) and  
300 ridge and furrow cultivation (Dong et al., 2017) could be applied. Our study provides  
301 interesting evidences to understand crop responses to water stress, especially on root  
302 morphological plasticity in a drought environment. The results could be further  
303 applied combining with crop model (Mao et al., 2015) to mitigate climate risk (e.g.  
304 drought) in dry land agriculture globally.

305

#### 306 **Author contribution**

307 Z. Sun, Y. Zhang, J. Zheng and Q. Cai conceived and designed the experiments.  
308 Q. Cai, W. Bai, Y. Liu, L. Feng, C. Feng, Z. Zhang and N. Yang performed the  
309 experiments. L. Zhang, Q. Cai and J.B. Evers analyzed the data and wrote the paper.

310

#### 311 **Competing interests**

312 The authors declare that they have no conflict of interest.

313

#### 314 **Special issue statement**

315 Special issue: Ecosystem processes and functioning across current and future  
316 dryness gradients in arid and semi-arid lands.

317

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324

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439 **Table 1** Water treatments during crop growing seasons in 2014 to 2015.

Year	Water treatment	Initial volumetric soil moisture content (%)	Actual water supply at three growing periods (mm)			Total
			Early (16-29 DAS <sup>1</sup> )	Middle (30-102 DAS)	Late (103-121 DAS)	
2014	No stress	24.4	11.9	478	56	545
	Mild stress	24.8	11.9	299	56	366
	Severe stress	24.9	11.9	122	56	190
2015	No stress	25.3	11.9	510	32	553
	Mild stress	25.3	11.9	334	32	378
	Severe stress	24.4	11.9	159	32	203

<sup>1</sup>DAS refers days after maize sowing.

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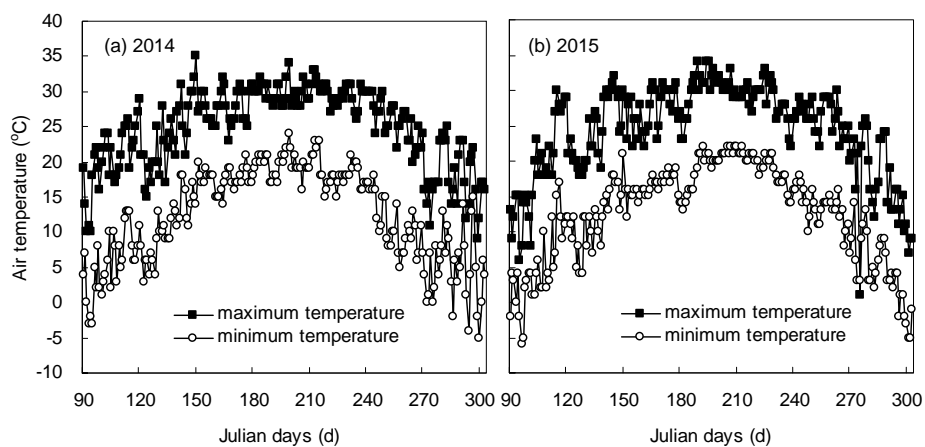


441 **Table 2** Yield and yield components affected by different water stresses in 2014 to  
 442 2015

Year	Water treatment	Ear number	Kernel number	Thousand kernel weight	Yield per plant	Harvest index
		ear plant <sup>-1</sup>	kernel ear <sup>-1</sup>	g	g plant <sup>-1</sup>	g g <sup>-1</sup>
2014	No stress	2.0±0.0a	354±32a	440±6.8a	301±33a	0.36±0.01a
	Mild stress	2.0±0.0a	350±16a	416±1.2b	276±14a	0.37±0.01a
	Severe stress	2.0±0.0a	245±35b	412±3.7b	166±25b	0.27±0.02b
2015	No stress	2.0±0.0a	341±67a	426±12a	240±60a	0.29±0.04a
	Mild stress	2.0±0.0a	244±53a	427±22a	168±42ab	0.25±0.03a
	Severe stress	1.3±0.3b	172±46a	412±16a	81±22b	0.17±0.04a
mean	No stress	2.0±0.2a	347±38a	432±7.5a	266±36a	0.32±0.03a
	Mild stress	2.0±0.0a	289±36ab	422±12a	214±32a	0.30±0.03ab
	Severe stress	1.6±0.0b	203±31b	412±8.5a	118±23b	0.21±0.03b
	Treatment	0.021	0.003	0.556	0.005	0.013
<i>P</i>	Year	0.184	0.514	0.889	0.237	0.039
	Treat×Year	0.111	0.664	0.555	0.835	0.758

Same small letters indicate no significant difference between water treatment within same year at  $\alpha=0.05$ .

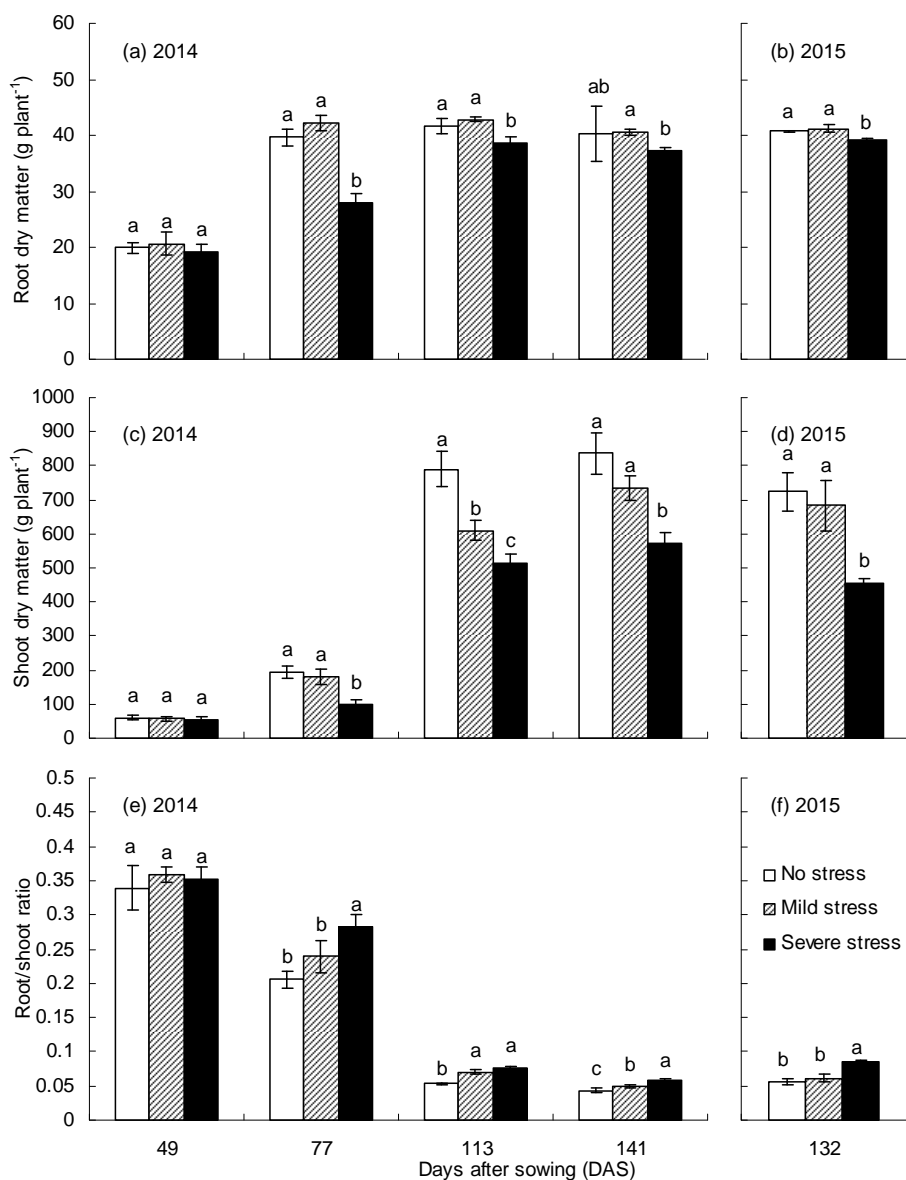
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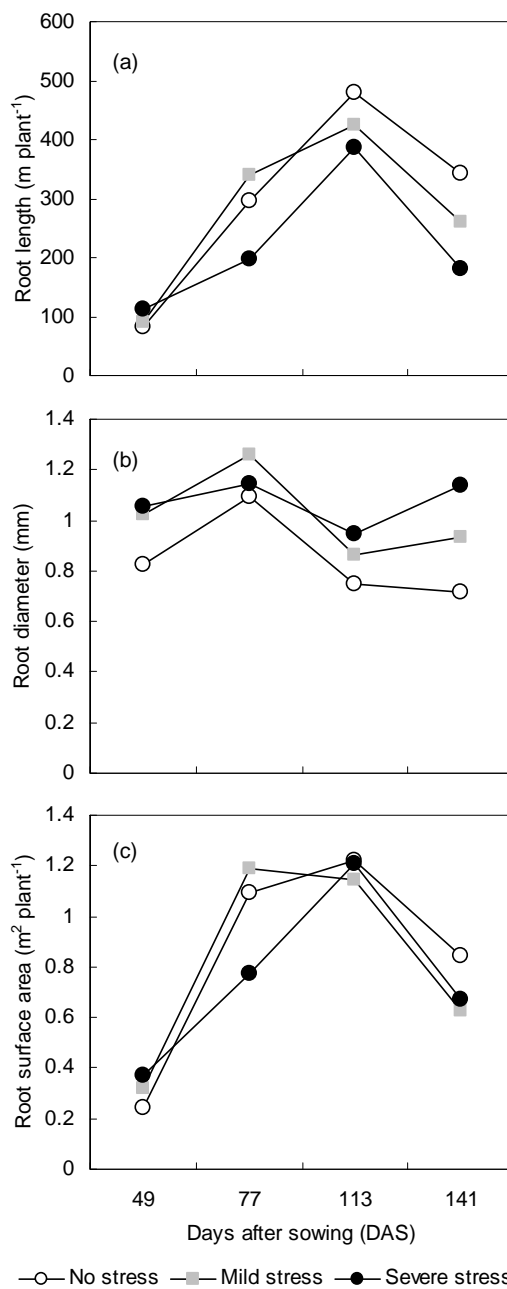
446 **Fig. 1** Daily maximum and minimum air temperatures in 2014 and 2015 in

447 Shengyang, Liaoning, China



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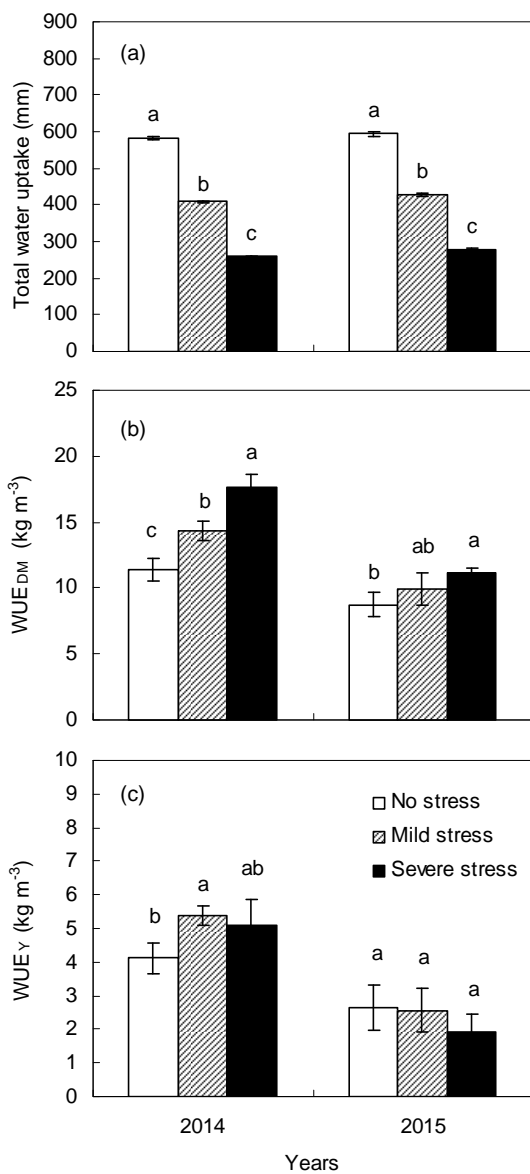
449 **Fig. 2** Root and shoot dry matters of maize under water stress at different growing  
 450 periods in 2014-2015.



451

452 **Fig. 3** Total root length, average diameter and total surface area per plant affected by

453 water stress in 2014-2015



454

455 **Fig. 4** Total water uptake (WU) during crop growing season and water use efficiency  
 456 for above-ground dry matter (WUE<sub>DM</sub>) and grain yield (WUE<sub>Y</sub>) under water stress in  
 457 2014-2015

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