



1 Living coccolithophores from the eastern equatorial Indian Ocean 2 during the spring intermonsoon: Indicators of hydrography

3 *Jun Sun^{1,2,3}, Haijiao Liu^{1,2,3}, Xiaodong Zhang^{2,3}, Cuixia Zhang^{2,3}, Shuqun Song⁴

4 ¹ Institute of Marine Science and Technology, Shandong University, 27 Shanda Nan Road, Jinan 250110, PR China

5 ² Tianjin Key Laboratory of Marine Resources and Chemistry, Tianjin University of Science and Technology, Tianjin
6 300457, PR China

7 ³ College of Marine and Environmental Sciences, Tianjin University of Science and Technology, Tianjin 300457, PR China

8 ⁴ CASKey Laboratory of Marine Ecology and Environmental Sciences, Institute of Oceanology, Chinese Academy of
9 Sciences, Qingdao 266071, PR China

10 *Correspondence to: Jun Sun (phytoplankton@163.com)

11 **Abstract.** We studied the biodiversity of autotrophic calcareous coccolithophore assemblages at 30 locations in the eastern
12 equatorial Indian Ocean (EEIO) (80°-94°E, 6°N-5°S) and evaluated the importance of regional hydrology. We found 25 taxa
13 of coccospheres and 17 taxa of coccoliths. The coccolithophore community was dominated by *Gephyrocapsa oceanica*,
14 *Emiliania huxleyi*, *Florisphaera profunda*, *Umbilicosphaera sibogae*, and *Helicosphaera carteri*. The abundance of
15 coccoliths and coccospheres ranged from 0.192×10^3 to 161.709×10^3 coccoliths l⁻¹ and 0.192×10^3 to 68.365×10^3 cells l⁻¹,
16 averaged at 22.658×10^3 coccoliths l⁻¹ and 9.386×10^3 cells l⁻¹, respectively. Biogenic PIC, POC, and rain ratio mean values
17 were 0.498 μgC l⁻¹, 1.047 μgC l⁻¹, and 0.990 respectively. High abundances of both coccoliths and coccospheres in the
18 surface ocean layer occurred north of the equator. Vertically, the great majority of coccoliths and coccospheres were
19 concentrated in water less than 75 m deep. The ratios between the number of coccospheres and free coccoliths across four
20 transects indicated a pattern that varied among different oceanographic settings. The *H'* and *J* values of coccospheres were
21 similar compared with those of coccoliths. Abundant coccolithophores along the equator) mainly occurred west of 90°E,
22 which was in accordance with the presence of Wyrki jets (WJs). *F. profunda* was not found in surface water, indicating a
23 stratified and stable water system. *U. irregularis* dominated in the equatorial zone, suggesting oligotrophic water conditions.
24 Coccosphere distribution was explained by environmental variables, indicated by multi-dimensional scaling (MDS)
25 ordination in response variables and principal components analysis (PCA) ordination in explanatory variables.
26 Coccolithophore distribution was related to temperature, salinity, density and chlorophyll *a*.

27 1 Introduction

28 The Indian Ocean is the world's third largest ocean basin, and it is strongly influenced by the South Asian monsoon system.
29 The warm seawater area in the eastern equatorial Indian Ocean (EEIO) is a large region that influences worldwide
30 climatology and El Niño/Southern Oscillation(ENSO) events (Zhang et al., 2009; Peng et al., 2015). The Indian Ocean
31 dipole is another oceanic phenomenon influencing global oceanographic circulation (Horii et al., 2009). Surface currents in
32 the EEIO are diverse and seasonally dynamic due to monsoon forces. Unlike other ocean basins, the Indian Ocean
33 experiences prevailing semiannual currents (Luyten and Roemmich, 1982; Zhang, 2015). Many currents prevail in the EEIO
34 during the summer and winter monsoon periods. These include the Equatorial undercurrent and the South Java Current
35 (Iskandar, 2009; Peng et al., 2015). There are also currents that exist throughout the year. One example is the Indonesian
36 throughflow (ITF), which is the passageway connecting the Pacific Ocean and Indian Ocean (Ayers et al., 2014). In the
37 spring and fall intermonsoon periods, many surface circulations disappear, and Wyrki jets (WJs) are the only semi-annual
38 currents present at the equator. The equatorial Indian Ocean is controlled by the eastward WJs (also known as Equatorial Jets)



1 (Wang, 2015).
2 Living coccolithophores thrive in the photic water column. Coccolithophores are unicellular microalgal flagellates with
3 diverse life cycles (Moheimani et al., 2012). They generate external calcified scales (coccoliths) responsible for large areas
4 of visible “white water” recorded by satellite remote sensing. Coccolithophores are globally distributed and contribute up to
5 10% of the global phytoplankton biomass (Holligan et al., 1983; Brown and Yoder, 1994; Guptha et al., 2005; Sadeghi et al.,
6 2012; Hagino and Young, 2015; Oviedo et al., 2015). This calcareous nanoflora usually dominates the open ocean plankton
7 community (O’Brien et al., 2013; Sun et al., 2014). In its dual functions of biomineralization and photoautotrophy, the
8 coccolithophore community influences the global carbon cycle and oceanographic parameters (Sun, 2007). Inorganic
9 calcareous coccoliths can serve as a physical ballast for organic carbon sequestration in the deep ocean (Ziveri et al., 2007;
10 Bolton et al., 2016; Rembauville et al., 2016). As a consequence, the PIC/POC (particulate inorganic carbon to organic
11 carbon = “rain ratio”), is a factor explaining biomineralization process impacts on organic production exports.
12 Coccolithophore assemblages are sensitive to climate variability (Tyrrell, 2008; Silva et al., 2013). Increased CO₂
13 concentrations combined with other factors (e.g., nutrient elements, pH, irradiance, temperature) stimulated cell organic
14 carbon fixation (photosynthesis) have diminished the rain ratio of coccolithophores (Riebesell et al., 2000; Langer et al.,
15 2009; Shi et al., 2009; Feng et al., 2008). The coccolithophore cell (coccosphere) is surrounded by several thin layers of
16 coccoliths, which are useful in reconstructing paleoceanographic history (Guptha et al., 2005; Guerreiro et al.,
17 2013). Coccolithophore community structure and ecological distributions in the Atlantic Ocean have been documented by
18 McIntyre et al., (1970), Brown and Yoder, (1994), Baumann et al., (1999), Kinkel et al., (2000), and Shutler et al., (2013).
19 Pacific Ocean studies have included Okada and Honjo, (1973, 1975), Honjo and Okada, (1974), Okada and McIntyre, (1977),
20 Houghton and Guptha, (1991), Saavedra-Pellitero, (2011), Saavedra-Pellitero et al., (2014), and López-Fuerte et al., (2015).
21 Most of the coccolithophore studies were limited to surface waters. Studies on coccolithophores in the Indian Ocean have
22 been relatively recent compared to Atlantic and Pacific Ocean studies. Coccolithophore studies in the Indian Ocean mainly
23 include Young (1990), Giraudeau and Bailey (1995), Broerse et al. (2000), Lees (2002), Andruleit (2007), Mohan et al.
24 (2008), Mergulhao et al. (2013), in regard to nanofossil or living species biogeography in the monsoon season. Relatively
25 few studies have evaluated the occurrence of living coccolithophores in the water column during the intermonsoon period in
26 the eastern Indian Ocean. Our three main objectives were to (1) document the abundance, diversity and geographical patterns
27 of living coccolithophores; (2) explain variations occurring in the nanoflora assemblages; (3) correlate these variations to
28 regional hydrographic parameters.

29 **2 Materials and methods**

30 **2.1 Survey area and sampling strategy**

31 An initial investigation cruise was conducted in the eastern equatorial Indian Ocean (EEIO) (80°–94°E, 6°N–5°S) (Fig. 1)
32 onboard R/V “*Shiyan 1*” from March 10th through April 9th, 2012. Seawater samples (400–500 mL) and chlorophyll *a* (Chl*a*)
33 samples were collected at seven depths from the surface to 200 m using Niskin bottles on a rosette sampler (Sea-Bird
34 SBE-911 Plus V2). At all the stations, temperature and salinity profile data were determined in situ with the attached sensors
35 system (conductivity-temperature-depth, CTD).

36 **2.2 Phytoplankton analysis**

37 Coccolithophore samples were filtered with a mixed cellulose membrane (25 mm, 0.22 μm) using a Millipore filter system



1 connected to a vacuum pump under < 20 mm Hg filtration pressure. After room temperature drying in plastic Petri dishes,
2 the filters were cut and subsequently mounted on glass slides with neutral balsam for microscope examination (Sun et al.,
3 2014).

4 **2.3 Size-fractionated Chla analysis**

5 Chla samples were serially filtered using the same filtration system (vacuum < 200 mm Hg) through $20 \mu\text{m} \times 20$ mm silk net
6 (micro-class), $2 \mu\text{m} \times 20$ mm nylon membrane (nano-class) and $0.7 \mu\text{m} \times 20$ mm Whatman GF/F filters (pico-class). After
7 filtration, Chla membranes were immediately wrapped in aluminum foil and stored in a freezer -20°C freezer. In the
8 laboratory, Chla measurements were made using the fluorescence method of Parsons et al. (1984).

9 **2.4 Estimation of coccolith calcite, coccosphere carbon biomass**

10 The cell size biovolume was evaluated from geometric models (Sun and Liu, 2003) and then converted into carbon biomass
11 (POC, particulate organic carbon) using the formula of Eppley et al. and Guo et al. (Eppley et al., 1970; Guo et al., 2016).
12 Determinations of calcite- CaCO_3 (PIC, particulate inorganic carbon) masses were based on k_s values (shape factor) and
13 length maximum (diameter, μm) recorded in previous studies (Young and Ziveri, 2000; Yang and Wei, 2003). The PIC/POC
14 value is a potential rain ratio, which expresses the carbonate flux export to the outside of the euphotic water. As for the
15 irregularly shaped coccolithophores whose biovolume has rare records, nearly 33% of the species were estimated with
16 geometric models using SEM pictures from the literature, websites, and this study (Kleijne, 1991; Giraudeau and Bailey,
17 1995; Cros and Fortuño, 2002; Young et al., 2003). The website can be visited via the access:
18 <http://ina.tmsoc.org/Nannotax3/index.html>. It is noted that organic carbon was calculated with the exception of *Gladiolithus*
19 *flabellatus* and *Reticulofenestra sessilis* by the reason of insufficient records in SEM.

20 **2.5 Multivariate analysis**

21 The spatial distribution of coccolithophores and hydrologic data were analyzed using freeware package Ocean Data View
22 (ODV) 4.7.6 (<https://odv.awi.de/en/>). Box-whisker plots were prepared by the Golden Software Grapher (LLC, Colorado,
23 USA) 10.3.825. Cluster analysis and non-metric multidimensional scaling (Shen et al., 2010) on coccosphere data (after
24 square root transformation) were simultaneously implemented using the program package PRIMER 6.0 (Plymouth Routines
25 In Multivariate Ecological Research, developed at the Plymouth Marine Laboratory, United Kingdom). Prior to the above
26 operations, the raw data were square root transformed. Then, principal component analysis (PCA) considering Euclidean
27 distance was employed after data transformation and normalization. Significance testing was performed using the Analysis
28 of Similarities (ANOSIM) analysis. The Similarity Percentages-Species Contributions the Similarity Percentages Routine
29 (SIMPER) program was used for evaluating the contribution of each species to their sample group. All analyses were
30 conducted to visualize the relations between phytoplankton abundance data and specific environmental factors.

31 **3 Results**

32 **3.1 Hydrographic features**

33 High temperature and highly saline waters from the west equatorial zone were advected into the east equatorial zone (Fig. 2a,
34 b). The temperature-salinity (T-S) curve had an inverted-L-shape (Fig. 2c). During the spring monsoon transition period, the
35 water column was well stratified and quite stable, which is mainly attributed to weak wind-driven surface circulation
36 compared to the monsoon period (vertical temperature and salinity data not shown). Due to the well stratified water column,



1 the spring intermonsoon was considered to be the most oligotrophic period (Rixen et al., 1996).

2 **3.2 Taxonomic composition and characteristics**

3 Samples of living coccolithophores from the EEIO during the spring intermonsoon period yielded 26 species, representing
4 25 taxa of coccospheres and 17 taxa of coccoliths. Scanning electron microscope (SEM) photographs of selected species are
5 shown in Plates I-VI, including several predominant taxa. Among coccolith species, *Gephyrocapsa oceanica*, *Emiliana*
6 *huxleyi*, *Umbilicosphaera sibogae*, *Helicosphaera carteri*, and *H. hyalina* were most dominant. Coccosphere assemblages
7 were dominated by *G. oceanica*, *Florisphaera profunda*, *E. huxleyi*, *Umbellosphaera irregularis*, and *U. sibogae*. *G.*
8 *oceanica* was overwhelmingly dominant among the coccoliths, with frequency and relative abundance up to 96.5% and
9 71.76%, respectively. The rest of coccolith species were similar in frequency and abundance. *G. oceanica* and *E. huxleyi* had
10 high frequencies, with 44.5% and 31%, respectively. *F. profunda* had the highest (up to 40.78%) relative abundance (Fink et
11 al., 2010).

12 Coccolith and coccosphere density ranged from 0.192×10^3 to 161.709×10^3 coccoliths l^{-1} and 0.192×10^3 to 68.365×10^3 cells l^{-1} ,
13 averaged at 22.658×10^3 coccoliths l^{-1} and 9.386×10^3 coccoliths l^{-1} , respectively. The most predominant coccolith species *G.*
14 *oceanica* was ranged $\sim 154.955 \times 10^3$ coccoliths l^{-1} , with a mean value of 16.260×10^3 coccoliths l^{-1} . And the most predominant
15 coccosphere species was still represented by *G. oceanica*, whose abundance ranged $\sim 24.805 \times 10^3$ cells l^{-1} , with average value
16 2.458×10^3 cells l^{-1} . The abundances of five dominant coccolith and five coccosphere species are shown in Fig. 3. The other
17 dominant coccoliths had similar abundances. For the remaining coccosphere species, *G. oceanica* and *U. irregularis* were
18 more abundant than *E. huxleyi* and *U. sibogae*.

19 **3.3 Distribution and diversity pattern**

20 The horizontal distributions of dominant coccoliths and coccospheres are shown in Fig. 4 and Fig. 5. Coccolith abundance
21 was greatest in three regions: south of Sri Lanka, easternmost Sri Lanka, and southernmost area (Fig. 4). Abundance was
22 relatively low in the equatorial region. In contrast to the coccoliths, coccospheres were more homogeneous in their
23 horizontal distributions (Fig. 5).

24 Dominant coccolithophores abundances along two sections are illustrated in Figs. 6-9. More abundant coccolith species
25 were restricted to the water column west of $90^\circ E$ (Fig. 6). Nearly no coccoliths were distributed from the surface down to 50
26 m along east of $90^\circ E$. Dominant coccospheres abundance in section A were mainly represented by *F. profunda* and *U.*
27 *irregularis* (Fig. 7). These two taxa followed trends similar to the coccoliths. For section B, coccolith abundance was
28 primarily due to *G. oceanica* (Fig. 8) and abundance was concentrated in the easternmost region. *E. huxleyi* and *U. sibogae*
29 were mainly distributed in deeper water. *H. hyalina* abundance decreased in deeper and open water and *H. carteri* showed a
30 plaque pattern. Fig. 9 shows obvious coccosphere abundance in the 75 m water layer of section B, where a deep abundance
31 maximum was located. *F. profunda* was the dominant coccosphere in the assemblage at section B.

32 Vertically, numerous dominant coccoliths were confined to the middle layer in the EEIO (Fig. 10). The others reached peak
33 values at the 50 m water layer, except for *E. huxleyi* and *H. carteri*, whose peak values were located in the 200 m and 100 m
34 water layers. Coccosphere species increased from the surface towards the middle water, and then decreased towards the
35 bottom water (Fig. 11). The ratios between coccospheres and free coccoliths were charted along transects (Fig. 12). The ratio
36 values basically coincided with coccosphere abundance. The ratio reached a maximum in the 40 m layer along sections A
37 and C. The ratio along section B exhibited a differed trend and its maximum was at the surface layer. The section D ratio was
38 concurrent with the section C ratio.



1 3.4 Estimation of PIC, POC, and rain ratios

2 The mean PIC, POC, and rain ratios were $0.498 \mu\text{gC l}^{-1}$, $1.047 \mu\text{gC l}^{-1}$, and 0.990, respectively. The surface distributions and
3 depth-integrated patterns of PIC, POC, and rain ratio are shown in Fig. 13. We found a dominance of *Oolithotus fragilis* and
4 *G. oceanica* in biogenic PIC. Unlike PIC, POC was mainly contributed by cells of *U. sibogae* and *U. irregularis*. The pattern
5 of PIC and POC appeared to be similar. The surface water of the inner and outer of Sri Lanka section displayed two peaks.
6 In the case of the integral value, PIC and POC were preferentially distributed west of the equator. The depth averaged-rain
7 ratio peak occurred at 80°E - 85°E .
8 In section A, *O. fragilis* contributed about 48% of total PIC, with a maximum value at Station (St.) I405 accounting for 94%.
9 The POC distribution pattern was similar to *U. irregularis* abundance. The maximum rain ratio value occurred east of the
10 equator. In section B, PIC was represented by *F. profunda*. POC and cell abundance showed concurrent trends. Rain ratio
11 had a clear pattern with higher values in the surface and bottom layers.

12 3.5 Cocosphere clustering and analysis

13 Cocosphere samples at 75 m layer (Deep Chlorophyll Maximum, DCM), where great quantities of cocosphere located,
14 were chosen for the cluster and MDS analysis. The combinations of clustering technique and MDS method are usually
15 conducive to obtain balanced and reliable conclusions in ecological studies (Liu, 2015; Clarke and Warwick, 2001). All
16 samples could be clustered into four groups (Group a, b, c, d). MDS stress values (0.15) lesser than 0.2 give a useful
17 ordination picture, particularly at the lower end of this range (Cox and Cox, 1992; Clarke and Warwick, 2001). ANOSIM
18 analysis revealed remarkable difference (Global $R=0.85$, $p=0.001$) among group classification with the exception of Group
19 b-d and Group c-d whose R value $< p$ value (Fink et al., 2010). It is accepted that Global R value larger than 0.5 accounts for
20 significant difference among groups (Liao, 2013). Apparently, localities were basically classified along transects (e.g. Group
21 c included the equatorial localities), whereas some exceptions existed (Fig. 14). Besides, MDS bubble plots for first six
22 dominant cocosphere species were presented in Fig. 14. It is apparently that, Group a and b were mainly composed by
23 dominant cocosphere *G. oceanica*, *F. profunda* and *E. huxleyi*. While Group c was primarily contributed by species *U.*
24 *sibogae* and *U. irregularis*. Considering Group d only contained two localities, *G. oceanica* dominated the whole group. The
25 SIMPER results were shown in Table 4. It showed the contribution rates of dominant cocosphere in each group.

26 4 Discussion

27 4.1 Coccolithophore species diversity and distributions in the EEIO

28 The surface water of eastern Sri Lanka had the greatest coccolith and cocosphere species richness and abundance. The
29 biodiversity indices were much lower around the neighboring waters of Sri Lanka (Fig. 15), suggesting that the local water
30 in that system lacked ecosystem stability. The H' and J cocospheres values were slightly higher compared with coccolith
31 values (Fig. 16). Therefore, cocosphere aggregations exhibited more diversity than coccoliths. This finding was consistent
32 with that of Guptha et al. (2005). The physical distributions of coccolithophore assemblages in relation to the
33 temperature-salinity are also shown (Figs. 17, 18). The coccoliths represented by *G. oceanica*, *U. sibogae*, *H. carteri* and *H.*
34 *hyalina* were concentrated in the surface layer characterized by high temperature and low salinity and the bottom euphotic
35 layer characterized by low temperature and high salinity. Conversely, *E. huxleyi* was predominantly distributed in the
36 intermediate layer with moderate temperature and salinity. The cocospheres, *F. profunda* and *E. huxleyi* were mainly found
37 in the deeper euphotic layer where the DCM layer is located. *U. irregularis* and *U. sibogae* had greater abundances in the



1 surface layer, confirming their preference for oligotrophic conditions.

2 The POC pattern can be represented by coccosphere abundance. Varied allocation to calcification produced dissimilarities in
3 the PIC/POC ratios. Large rain ratio values around the Sri Lanka waters predicted a mineral ballast with a drawdown of
4 biological carbon towards the deep seafloor (Iglesias-Rodriguez et al., 2008; Findlay et al., 2011). We suggest that the rain
5 ratio (Zondervan et al., 2002) is of great importance in predicting biomineralization and photosynthetic production (Bolton et
6 al., 2016).

7 **4.2 Coccolithophore ecological preferences**

8 Many coccolithophore indicator species were collected in this study although several were uncommon. *G. oceanica* is a
9 representative dominant species that shows preference for eutrophic water (Andrulleit et al., 2000). In the surface distribution
10 of *G. oceanica*, both coccoliths and coccospheres were predominantly distributed in the easternmost waters of Sri Lanka.
11 This may be due to the nutrients derived from the Andaman Sea. The coccosphere of *U. irregularis* was only common in the
12 equatorial zone, indicating oligotrophic water conditions there (Kleijne et al., 1989). In the Indian Ocean, eight species of
13 *Florisphaera* were discovered in deep water (Kahn and Aubry, 2012). We found only one species of *Florisphaera* (*F.*
14 *profunda*) and it occurred in the disphotic layer below 100 m. As an inhabitant of deep water, *F. profunda* was not found in
15 surface water layer, indicating a stratified and stable water system. The cosmopolitan taxa, *Calcidiscus leptoporus*, was
16 detected and its coccoliths peaked at a depth of 200 m at St.1705. *C. leptoporus* is sparsely distributed in the water column,
17 whereas it predominates in the coccolithophore flora of the sediment owing to its resistance to disintegration (Renaud et al.,
18 2002). The ratios between the number of coccospheres and free coccoliths across four transects were separately demonstrated
19 and the vertical distribution patterns were variable. This level of biogeographic variation might be related to regional
20 hydrographic features. We presumed that coccospheres disintegrated into coccoliths after sinking for a certain distance at
21 section B. Different circumstances appeared at section A, where a subsurface coccosphere maximum at the 40 m layer
22 occurred. This finding coincided with the pattern of biological abundance. Ratios in sections C and D were consistent with
23 ratios observed in the equator section (Monechi et al., 2000).

24 **4.3 Factors regulating coccolithophore assemblage structure**

25 Coccolithophore abundance was relatively low during the low wind transition period compared to previous studies
26 conducted during the monsoon period in the EEIO. The low abundance is due to the gentle winds and low nutrient
27 availability during the spring intermonsoon season leading to low primary productivity and biomass in the EEIO (Morrison
28 et al., 1998). The surface coccolithophores were most abundant in the northeastern area where pockets of low-salinity water
29 plume occur (Fig. 2). This resulted from the inflow of less saline water into the equatorial Indian Ocean from the Bay of the
30 Bengal and Andaman Seas (Wyrki, 1961; LaViolette, 1967). The outflows derived from the surface water of the Andaman
31 Sea become concentrated between the south Nicobar Islands and Sumatra (Rizal et al., 2012). In contrast, a highly saline
32 water tongue was observed along the equatorial Indian Ocean (west of 90°E), indicating that Wyrki jets (WJs) prevailed
33 during the spring intermonsoon period. There was consistency in the nanofloral distribution pattern at the equator (section A,
34 Figs. 6, 7). The maximum abundance along west of 90°E was probably caused by inflow from WJs considering their ability
35 to alter the oceanic layer structure. PCA was carried out to examine the relationships among the environmental variables (Fig.
36 19). Coccolithophore abundance was driven primarily by temperature, salinity, density and Chl_a. The cluster of
37 environmental data from sample locations coincided with the grouping of species data (except for a few isolated points). The
38 most abundant species is shown above each locality symbol. The first three principal components (PC1, PC2, PC3) were



1 extracted based on eigenvalues larger than 1 and explain 42%, 24%, and 20.2% of the variation, respectively. The cumulative
2 variances of the three components reached up to 86.2% (PC3 not shown). The eigenvectors of all five principal components
3 are shown in Table 5. The results of PCA indicated that salinity, density, and pico-Chla had a positive relation with PC1,
4 whereas a close correlation occurred in Group B that was dominated by *E. huxleyi* and *G. oceanica*. Similarly, temperature,
5 Chla, micro-Chla and nano-Chla were positively correlated to PC2. Groups C and D, characterized by *U. irregularis*, were
6 associated with temperature. The majority of localities in Group A (represented by *F. profunda*) were negatively related to
7 Chla and size-fractionated Chla. Finally, the MDS ordination of coccosphere abundance and the PCA ordination of
8 environmental variables are in good agreement. This high degree of matching in our study confirmed that the present
9 explanatory variables (Tezel and Hasirci, 2013) are appropriate for explaining the biological response variables.

10 5 Conclusions

11 The coccolithophore assemblage in the EEIO during the spring intermonsoon season was primarily comprised of the
12 coccoliths *G. oceanica*, *E. huxleyi*, *U. sibogae*, *H. carteri*, and *H. hyalina* and the coccospheres *G. oceanica*, *F. profunda*, *E.*
13 *huxleyi*, *U. irregularis*, and *U. sibogae*. The abundance of coccoliths and coccospheres ranged from $0.192 \times 10^3 \sim$
14 161.709×10^3 coccoliths l^{-1} and $0.192 \times 10^3 \sim 68.365 \times 10^3$ cells l^{-1} , with an average value of 22.658×10^3 coccoliths l^{-1} and
15 9.386×10^3 cells l^{-1} , respectively. The mean values of biogenic PIC, POC, and the rain ratio were $0.498 \mu g C l^{-1}$, $1.047 \mu g C l^{-1}$,
16 and 0.990, respectively. The rain ratio was considered to be of great importance so relative biovolume and carbon biomass
17 were calculated. Additional studies using direct chemical treatments on coccoliths and coccospheres might establish a
18 relationship between biovolume conversion and chemical measurements and provide more accurate data.

19 The localities and coccosphere species were ordered by MDS and all samples were clustered into four groups in the EEIO.
20 The coccolithophore abundance in this study was relatively low and resulting from the weak winds and minimal nutrient
21 upwelling compared to previous studies that were conducted during the summer or winter monsoon seasons. During the
22 spring intermonsoon period, no significant oceanic circulation occurred in the EEIO except for WJs. We inferred that, in the
23 study area, different coccolithophore species had specific environmental preferences. Thus, coccolithophore species are good
24 indicators of oceanographic changes. PCA was used to study the correlation among environmental variables, indicating
25 positive or negative relationships with nanofloral species. Coccosphere distribution was highly correlated to specific
26 environmental variables. This was shown by the MDS ordination of response variables and PCA ordination of explanatory
27 variables. Coccolithophores can be used as dynamic indicators of the upper ocean for their sensitivity to environmental
28 changes. Obtaining knowledge of specific cellular physiological behavior related to global change variables will be a future
29 challenge. We attempted to evaluate coccolithophore POC contents using a carbon-volume model that was subject to a
30 degree of error. Future planned studies will involve indoor experiments using axenic cultures of coccolithophores. The cell
31 POC will be measured using advanced chemical techniques. Carbon evaluation of the field community will then be
32 compared with direct measurements from controlled laboratory experiments.

33

34 *Acknowledgements.* We wish to thank the Dr. Dongxiao Wang and Dr. Yunkai He for providing and processing CTD dataset. Dr. Ying
35 Wang, Bing Xue and Xiaoqian Li were also appreciated for their constructive comments on the paperwork. This work was supported by
36 the Natural Science Foundation of China (41276124) and National Basic Research Program of China (2015CB954002), Science Fund for
37 University Creative Research Groups in Tianjin (TD12-5003), and the Program for Changjiang Scholars to Jun Sun. It was also partly
38 supported by the Natural Science Foundation of China (41676112, 41306119, 41306118). The Captain and Crews of R/V *Shiyian I* were



1 acknowledged for their assistance in sample collection during the cruise, and the Open Cruises from the Natural Science Foundation of
 2 China. We also thank LetPub (www.letpub.com) for its linguistic assistance during the preparation of this manuscript.

3 References

- 4 Andruleit, H.: Status of the Java upwelling area (Indian Ocean) during the oligotrophic northern hemisphere winter monsoon
 5 season as revealed by coccolithophores, *Mar. Micropaleontol.*, 64, 36-51, doi:10.1016/j.marmicro.2007.02.001, 2007.
- 6 Andruleit, H. A., von Rad, U., Brans, A., and Ittekkot, V.: Coccolithophore fluxes from sediment traps in the northeastern
 7 Arabian Sea off Pakistan, *Mar. Micropaleontol.*, 38, 285-308, doi: 10.1016/s0377-8398(00)00007-4, 2000.
- 8 Ayers, J. M., Stratton, P. G., Coles, V. J., Hood, R. R., and Matear, R. J.: Indonesian throughflow nutrient fluxes and their
 9 potential impact on Indian Ocean productivity, *Geophys. Res. Lett.*, 41, 5060-5067, doi: 10.1002/2014GL060593, 2014.
- 10 Bolton, C. T., Hernández-Sánchez, M. T., Fuertes, M.-Á., González-Lemos, S., Abrevaya, L., Mendez-Vicente, A., Flores,
 11 J.-A., Probert, I., Giosan, L., and Johnson, J.: Decrease in coccolithophore calcification and CO₂ since the middle Miocene,
 12 *Nat. Commun.*, 7, doi: 10.1038/ncomms10284, 2016.
- 13 Broerse, A., Brummer, G.-J., and Van Hinte, J.: Coccolithophore export production in response to monsoonal upwelling off
 14 Somalia (northwestern Indian Ocean), *Deep-Sea Res. Pt. II: Topical Studies in Oceanography*, 47, 2179-2205, 2000.
- 15 Brown, C., and Yoder, J.: Distribution pattern of coccolithophorid blooms in the western North Atlantic Ocean, *Cont. Shelf
 16 Res.*, 14, 175-197, 1994.
- 17 Clarke, K. R., and Warwick, R.M.: Change in marine communities: an approach to statistical analysis and interpretation,
 18 Plymouth, UK: Primer E, 2001.
- 19 Cox, M. A., and Cox, T.: Interpretation of Stress in non-metric multidimensional scaling, *Statistica Applicata*, 4, 611-618,
 20 1992.
- 21 Cros, L., and Fortuño, J. M.: Atlas of northwestern Mediterranean coccolithophores, *Sci. Mar.*, 66, 1-182, 2002.
- 22 Eppley, R. W., Reid, F., and Strickland, J.: Estimates of phytoplankton crop size, growth rate, and primary production, *Calif.
 23 Univ. Scripps Inst. Oceanogr. Bull.*, 1970.
- 24 Feng, Y., Warner, M. E., Zhang, Y., Sun, J., Fu, F.-X., Rose, J. M., and Hutchins, D. A.: Interactive effects of increased pCO₂,
 25 temperature and irradiance on the marine coccolithophore *Emiliania huxleyi* (Prymnesiophyceae), *Eur. J. Phycol.*, 43,
 26 87-98, doi: 10.1080/09670260701664674, 2008.
- 27 Findlay, H. S., Calosi, P., and Crawford, K.: Determinants of the PIC: POC response in the coccolithophore *Emiliania
 28 huxleyi* under future ocean acidification scenarios, *Limnol. and Oceanogr.*, 56, 1168-1178, doi:10.4319/lo.2011.56.3.1168,
 29 2011.
- 30 Fink, C., Baumann, K.-H., Groeneveld, J., and Steinke, S.: Strontium/Calcium ratio, carbon and oxygen stable isotopes in
 31 coccolith carbonate from different grain-size fractions in South Atlantic surface sediments, *Geobios*, 43, 151-164,
 32 10.1016/j.geobios.2009.11.001, 2010.
- 33 Giraudeau, J., and Bailey, G. W.: Spatial dynamics of coccolithophore communities during an upwelling event in the
 34 Southern Benguela system, *Cont. Shelf Res.*, 15, 1825-1852, doi:10.1016/0278-4343(94)00095-5, 1995.
- 35 Guerreiro, C., Oliveira, A., De Stigter, H., Cachão, M., Sá, C., Borges, C., Cros, L., Santos, A., Fortuño, J.-M., and
 36 Rodrigues, A.: Late winter coccolithophore bloom off central Portugal in response to river discharge and upwelling, *Cont.
 37 Shelf Res.*, 59, 65-83, doi: 10.1016/j.csr.2013.04.016, 2013.
- 38 Guo, S., Sun, J., Zhao, Q., Feng, Y., Huang, D., and Liu, S.: Sinking rates of phytoplankton in the Changjiang (Yangtze River)
 39 estuary: A comparative study between *Prorocentrum dentatum* and *Skeletonema dornhii* bloom, *J. Marine Syst.*, 154, 5-14,
 40 doi: 10.1016/j.jmarsys.2015.07.003, 2016.
- 41 Guptha, M. V. S., Mergulhao, L. P., Murty, V. S. N., and Shenoy, D. M.: Living coccolithophores during the northeast
 42 monsoon from the Equatorial Indian Ocean: Implications on hydrography, *Deep-Sea Res. Pt II*, 52, 2048-2060,
 43 doi:10.1016/j.dsr2.2005.05.010, 2005.
- 44 Hagino, K., and Young, J. R.: Biology and Paleontology of Coccolithophores (Haptophytes), in: *Marine Protists*, Springer,
 45 311-330, 2015.
- 46 Holligan, P., Viollier, M., Harbour, D., Camus, P., and Champagne-Philippe, M.: Satellite and ship studies of coccolithophore
 47 production along a continental shelf edge, *Nature*, 304, 339-342, doi:10.1038/304339a0, 1983.
- 48 Horii, T., Masumoto, Y., Ueki, I., Hase, H., and Mizuno, K.: Mixed layer temperature balance in the eastern Indian Ocean
 49 during the 2006 Indian Ocean dipole, *J. Geophys. Res.: Oceans*, 114, doi:10.1029/2008JC005180, 2009.
- 50 Houghton, S. D., and Guptha, M. S.: Monsoonal and fertility controls on recent marginal sea and continental shelf coccolith
 51 assemblages from the western Pacific and northern Indian oceans, *Mar. Geol.*, 97, 251-259,
 52 doi:10.1016/0025-3227(91)90119-O, 1991.
- 53 Iglesias-Rodriguez, M. D., Halloran, P. R., Rickaby, R. E., Hall, I. R., Colmenero-Hidalgo, E., Gittins, J. R., Green, D. R.,
 54 Tyrrell, T., Gibbs, S. J., and von Dassow, P.: Phytoplankton calcification in a high-CO₂ world, *Science*, 320, 336-340, doi:
 55 10.1126/science.1154122, 2008.
- 56 Iskandar I, Masumoto Y, and Mizuno K.: Subsurface equatorial zonal current in the eastern Indian Ocean, *J. Geophys. Res.*,
 57 114, 1-12, doi: 10.1029/2008JC005188, 2009.
- 58 Kahn, A., and Aubry, M.-P.: New species of the coccolithophore *Florisphaera* Okada and Honjo 1973, *Micropaleontology*,



- 1 58, 209-215, 2012.
- 2 Kinkel, H., Baumann, K.-H., and Cepek, M.: Coccolithophores in the equatorial Atlantic Ocean: response to seasonal and
3 Late Quaternary surface water variability, *Mar. Micropaleontol.*, 39, 87-112, doi:10.1016/S0377-8398(00)00016-5, 2000.
- 4 Kleijne, A., Kroon, D., and Zevenboom, W.: Phytoplankton and foraminiferal frequencies in northern Indian Ocean and Red
5 Sea surface waters, *Neth. J. Sea Res.*, 24, 531-539, doi:10.1016/0077-7579(89)90131-2, 1989.
- 6 Kleijne, A.: Holococcolithophorids from the Indian Ocean, Red Sea, Mediterranean Sea and North Atlantic Ocean, *Mar.*
7 *Micropaleontol.*, 17, 1-76, doi:10.1016/0377-8398(91)90023-Y, 1991.
- 8 López-Fuerte, F. O., Gárate-Lizárraga, I., Siqueiros-Beltrones, D. A., and Yabur, R.: First record and geographic range
9 extension of the coccolithophore *Scyphosphaera apsteinii* Lohman, 1902 (Haptophyta: Pontosphaeraceae) from the
10 Pacific coast of Mexico, *Check List*, 11, 1754, doi: <http://dx.doi.org/10.15560/11.5.1754>, 2015.
- 11 Langer, G., Nehrke, G., Probert, I., Ly, J., and Ziveri, P.: Strain-specific responses of *Emiliania huxleyi* to changing seawater
12 carbonate chemistry, *Biogeosciences*, 6, 2637-2646, doi:10.5194/bg-6-2637-2009, 2009.
- 13 LaViolette, P. E.: Temperature, salinity, and density of the world's seas: Bay of Bengal and Andaman Sea, DTIC Document,
14 1967.
- 15 Lees, J. A.: Calcareous nannofossil biogeography illustrates palaeoclimate change in the Late Cretaceous Indian Ocean,
16 *Cretaceous Res.*, 23, 537-634, doi:10.1006/cres.2003.1021, 2002.
- 17 Liao, X. L., Chen, P. M., Ma, S. W., Chen, H. G.: Community structure of phytoplankton and its relationship with
18 environmental factors before and after construction of artificial reefs in Yangmeikeng, Daya Bay, South China Fisheries
19 Science, 9, 109-119, doi: 10.3969/j.issn.2095-0780.2013.05.017, 2013.
- 20 Liu, H. J., Sun, J., Feng, Y. Y.: Study on modern coccolithophores in coastal region along the east Hainan Island, Haiyang
21 Xuebao, 37, 27-40, doi: 10.3969/j.issn.0253-4193.2015.12.004, 2015.
- 22 Luyten, J. R., and Roemmich, D. H.: Equatorial currents at semi-annual period in the Indian Ocean, *J. Phys. Oceanogr.*, 12,
23 406-413, doi: [http://dx.doi.org/10.1175/1520-0485\(1982\)012<0406:ECASAP>2.0.CO;2](http://dx.doi.org/10.1175/1520-0485(1982)012<0406:ECASAP>2.0.CO;2) 1982.
- 24 McIntyre, A., Bé, A., and Roche, M.: Modern Pacific Coccolithophorida: a paleontological thermometer, *Transactions of the*
25 *New York Academy of Sciences*, 32, 720, doi: 10.1111/j.2164-0947.1970.tb02746.x, 1970.
- 26 Mergulhao, L. P., Guptha, M., Unger, D., and Murty, V.: Seasonality and variability of coccolithophore fluxes in response to
27 diverse oceanographic regimes in the Bay of Bengal: Sediment trap results, *Palaeogeography, Palaeoclimatology,*
28 *Palaeoecology*, 371, 119-135, doi: <http://dx.doi.org/10.1016/j.palaeo.2012.12.024>, 2013.
- 29 Mohan, R., Mergulhao, L. P., Guptha, M., Rajakumar, A., Thamban, M., AnilKumar, N., Sudhakar, M., and Ravindra, R.:
30 Ecology of coccolithophores in the Indian sector of the Southern Ocean, *Mar. Micropaleontol.*, 67, 30-45,
31 doi:10.1016/j.marmicro.2007.08.005, 2008.
- 32 Moheimani, N. R., Webb, J. P., and Borowitzka, M. A.: Bioremediation and other potential applications of coccolithophorid
33 algae: A review, *Algal Res.*, 1, 120-133, doi:10.1016/j.algal.2012.06.002, 2012.
- 34 Monechi, S., Buccianti, A., and Gardin, S.: Biotic signals from nanoflora across the iridium anomaly in the upper Eocene of
35 the Massignano section: evidence from statistical analysis, *Mar. Micropaleontol.*, 39, 219-237, 2000.
- 36 Morrison, J., Codispoti, L., Gaurin, S., Jones, B., Manghnani, V., and Zheng, Z.: Seasonal variation of hydrographic and
37 nutrient fields during the US JGOFS Arabian Sea Process Study, *Deep-Sea Res. Pt II: Topical Studies in Oceanography*,
38 45, 2053-2101, doi:10.1016/S0967-0645(98)00063-0, 1998.
- 39 O'Brien, C., Peloquin, J., Vogt, M., Heinle, M., Gruber, N., Ajani, P., Andruleit, H., Aristegui, J., Beaufort, L., and Estrada,
40 M.: Global marine plankton functional type biomass distributions: coccolithophores, *Earth Sys. Sci. Data Discuss.*, 5,
41 491-520, doi:10.1594/PANGAEA.785092, 2013.
- 42 Okada, H., and Honjo, S.: The distribution of oceanic coccolithophorids in the Pacific, *Deep-Sea Res.*, 1973, 355-374,
43 Okada, H., and Honjo, S.: Distribution of coccolithophores in marginal seas along the western Pacific Ocean and in the Red
44 Sea, *Mar. Biol.*, 31, 271-285, doi: 10.1007/BF00387154, 1975.
- 45 Okada, H., and McIntyre, A.: Modern coccolithophores of the Pacific and North Atlantic oceans, *Micropaleontology*, 23,
46 1-55, doi: 10.2307/1485309, 1977.
- 47 Oviedo, A., Ziveri, P., Álvarez, M., and Tanhua, T.: Is coccolithophore distribution in the Mediterranean Sea related to
48 seawater carbonate chemistry?, *Ocean Sci.*, 11, 13-32, doi:10.5194/os-11-13-2015, 2015.
- 49 Peng, S., Qian, Y.-K., Lumpkin, R., Du, Y., Wang, D., and Li, P.: Characteristics of the Near-Surface Currents in the Indian
50 Ocean as Deduced from Satellite-Tracked Surface Drifters. Part I: Pseudo-Eulerian Statistics, *J. Phys. Oceanogr.*, 45,
51 441-458, doi: 10.1175/jpo-d-14-0050.1, 2015.
- 52 Rembauville, M., Meilland, J., Ziveri, P., Schiebel, R., Blain, S., and Salter, I.: Planktic foraminifer and coccolith
53 contribution to carbonate export fluxes over the central Kerguelen Plateau, *Deep-Sea Res. Pt I: Oceanographic Research*
54 *Papers*, 111, 91-101, doi: <http://dx.doi.org/10.1016/j.dsr.2016.02.017>, 2016.
- 55 Renaud, S., Ziveri, P., and Broerse, A. T.: Geographical and seasonal differences in morphology and dynamics of the
56 coccolithophore *Calcidiscus leptoporus*, *Mar. Micropaleontol.*, 46, 363-385, doi:10.1016/S0377-8398(02)00081-6, 2002.
- 57 Riebesell, U., Zondervan, I., Rost, B., Tortell, P. D., Zeebe, R. E., and Morel, F. M.: Reduced calcification of marine
58 plankton in response to increased atmospheric CO₂, *Nature*, 407, 364-367, doi:10.1038/35030078, 2000.
- 59 Rixen, T., Haake, B., Ittekkot, V., Guptha, M., Nair, R., and Schlüssel, P.: Coupling between SW monsoon-related surface



- 1 and deep ocean processes as discerned from continuous particle flux measurements and correlated satellite data, *J.*
 2 *Geophys. Res.: Oceans*, 101, 28569-28582, doi: 10.1029/96JC02420, 1996.
- 3 Rizal, S., Damm, P., Wahid, M. A., Sundermann, J., Ilhamsyah, Y., and Iskandar, T.: General circulation in the Malacca Strait
 4 and Andaman Sea: a numerical model study, *Am. J. Environ. Sci.*, 8, 479, doi:10.3844/ajessp.2012.479.488, 2012.
- 5 Saavedra-Pellitero, M., Baumann, K.-H., Flores, J.-A., and Gersonde, R.: Biogeographic distribution of living
 6 coccolithophores in the Pacific sector of the Southern Ocean, *Mar. Micropaleontol.*, 109, 1-20, doi:
 7 <http://dx.doi.org/10.1016/j.marmicro.2014.03.003>, 2014.
- 8 Saavedra - Pellitero, M., Flores, J., Lamy, F., Sierro, F., and Cortina, A.: Coccolithophore estimates of paleotemperature and
 9 paleoproductivity changes in the southeast Pacific over the past~ 27 kyr, *Paleoceanography*, 26, 1-16,
 10 doi:10.1029/2009PA001824, 2011.
- 11 Sadeghi, A., Dinter, T., Vountas, M., Taylor, B., Altenburg-Soppa, M., and Bracher, A.: Remote sensing of coccolithophore
 12 blooms in selected oceanic regions using the PhytoDOAS method applied to hyper-spectral satellite data, *Biogeosciences*,
 13 9, 2127-2143, doi:10.5194/bg-9-2127-2012, 2012.
- 14 Shen, P. P., Tan, Y. H., Huang, L. M., Zhang, J. L., and Yin, J. Q.: Occurrence of brackish water phytoplankton species at a
 15 closed coral reef in Nansha Islands, South China Sea, *Mar. pollut. Bull.*, 60, 1718-1725, 10.1016/j.marpolbul.2010.06.028,
 16 2010.
- 17 Shi, D., Xu, Y., and Morel, F.: Effects of the pH/pCO₂ control method on medium chemistry and phytoplankton growth,
 18 *Biogeosciences*, 6, 1199-1207, doi:10.5194/bg-6-1199-2009, 2009.
- 19 Shutler, J., Land, P., Brown, C., Findlay, H., Donlon, C., Medland, M., Snooke, R., and Blackford, J.: Coccolithophore
 20 surface distributions in the North Atlantic and their modulation of the air-sea flux of CO₂ from 10 years of satellite Earth
 21 observation data, *Biogeosciences*, 10, 2699-2709, doi:10.5194/bg-10-2699-2013, 2013.
- 22 Silva, A., Brotas, V., Valente, A., Sá, C., Diniz, T., Patarra, R. F., Álvaro, N. V., and Neto, A. I.: Coccolithophore species as
 23 indicators of surface oceanographic conditions in the vicinity of Azores islands, *Estuar. Coast.Shelf S.*, 118, 50-59, doi:
 24 <http://dx.doi.org/10.1016/j.ecss.2012.12.010>, 2013.
- 25 Sun, J., and Liu, D.: Geometric models for calculating cell biovolume and surface area for phytoplankton, *J. Plankton Res.*,
 26 25, 1331-1346, doi: 10.1093/plankt/fbg096, 2003.
- 27 Sun, J.: Organic carbon pump and carbonate counter pump of living coccolithophorid, *Advances in Earth Science*, 22,
 28 1231-1239, 2007.
- 29 Sun, J., Gu, X. Y., Feng, Y. Y., Jin, S. F., Jiang, W. S., Jin, H. Y., and Chen, J. F.: Summer and winter living coccolithophores
 30 in the Yellow Sea and the East China Sea, *Biogeosciences*, 11, 779-806, doi:10.5194/bg-11-779-2014, 2014.
- 31 Tezel, E. E., and Hasirci, S.: The relationship between environmental variables and the vertical and horizontal assemblages
 32 of phytoplankton in Erfelek Reservoir in Sinop, Turkey, *Fundam. Appl. Limnol.*, 183, 177-188, 2013.
- 33 Tyrrell, T.: Calcium carbonate cycling in future oceans and its influence on future climates, *J. Plankton Res.*, 30, 141-156,
 34 doi:10.1093/plankt/fbm105, 2008.
- 35 Wang, Y., and Cui, F. J.: The structure and seasonal variation of upper-layer currents at central equatorial Indian ocean,
 36 *Oceanologia et Limnologia Sinica*, 46, 241-247, doi: 10.11693/hyhz20140500128, 2015.
- 37 Wyrski, K.: Physical oceanography of the southeast Asian waters, Scripps Institution of Oceanography, 1961.
- 38 Yang, T., and Wei, K.: How many coccoliths are there in a coccosphere of the extant coccolithophorids? A compilation,
 39 *Journal of Nannoplankton Research*, 25, 7-15, 2003.
- 40 Young, J.: Size variation of Neogene Reticulofenestra coccoliths from Indian Ocean DSDP Cores, *J. Micropalaeontol.*, 9,
 41 71-86, doi: 10.1144/jm.9.1.71 1990.
- 42 Young, J., Geisen, M., Cros, L., Kleijne, A., Sprengel, C., Probert, I., and Ostergaard, J.: A guide to extant coccolithophore
 43 taxonomy, *Journal of Nannoplankton Research*, Special Issue 1, 2003.
- 44 Young, J. R., and Ziveri, P.: Calculation of coccolith volume and its use in calibration of carbonate flux estimates, *Deep-Sea*
 45 *Res. Pt II: Topical studies in oceanography*, 47, 1679-1700, doi:10.1016/S0967-0645(00)00003-5, 2000.
- 46 Zhang, Q., Hou, Y., Qi, Q., and Bai, X.: Variations in the eastern Indian Ocean warm pool and its relation to the dipole in the
 47 tropical Indian Ocean, *Chinese Journal of Oceanology and Limnology*, 27, 640-649, doi: 10.1007/s00343-009-9148-5,
 48 2009.
- 49 Zhang, Y., Du Y., Zhang, Y. H., Yang, Y. L.: Asymmetric influences of positive and negative IOD events on salinity transport
 50 by the fall Wyrski Jet along the equatorial Indian Ocean, *Journal of Tropical Oceanography*, 34, 1-10, doi:
 51 10.11978/2014141, 2015.
- 52 Ziveri, P., de Bernardi, B., Baumann, K.-H., Stoll, H. M., and Mortyn, P. G.: Sinking of coccolith carbonate and potential
 53 contribution to organic carbon ballasting in the deep ocean, *Deep-Sea Res. Pt. II: Topical Studies in Oceanography*, 54,
 54 659-675, doi:10.1016/j.dsr2.2007.01.006, 2007.
- 55 Zondervan, I., Rost, B., and Riebesell, U.: Effect of CO₂ concentration on the PIC/POC ratio in the coccolithophore
 56 *Emiliania huxleyi* grown under light-limiting conditions and different daylengths, *J. Exp. Mar. Biol. and Ecol.*, 272, 55-70,
 57 2002.
- 58 Table legends:



- 1 Table 1 Living coccolithophores composition in the eastern equatorial Indian Ocean during spring intermonsoon period of
- 2 2012.
- 3 Table 2 Predominant species abundance in the eastern equatorial Indian Ocean during spring intermonsoon period of
- 4 2012.
- 5 Table 3 Global test by ANOSIM analysis in coccosphere species matrix.
- 6 Table 4 Dominant coccosphere species and their contribution to each group revealed by means of SIMPER analysis.
- 7 Table 5 The statistical values by PCA analysis in coccosphere species matrix.
- 8



1 Table 1 Living coccolithophores composition in the eastern equatorial Indian Ocean during spring intermonsoon period of
2 2012.

Species	Frequency of occurrence (%fi)	Relative abundance(%P)	Dominance degree(Y)
Dominant coccoliths			
<i>Gephyrocapsa oceanica</i>	96.5	71.76	0.6925
<i>Emiliana huxleyi</i>	64.0	8.00	0.0512
<i>Umbilicosphaera sibogae</i>	62.5	6.26	0.0391
<i>Helicosphaera carteri</i>	63.5	3.50	0.0222
<i>Helicosphaera hyalina</i>	61.5	3.02	0.0186
Dominant coccospheres			
<i>Gephyrocapsa oceanica</i>	44.5	26.18	0.2330
<i>Florisphaera profunda</i>	22.0	40.78	0.1794
<i>Emiliana huxleyi</i>	31.0	6.46	0.0400
<i>Umbellosphaera irregularis</i>	15.3	11.75	0.0358
<i>Umbilicosphaera sibogae</i>	30.0	4.05	0.0243

3



1

2

Table 2 Predominant species abundance in the eastern equatorial Indian Ocean during spring intermonsoon period of

3

2012.

Dominant coccoliths	Min, Max (Mean) Units (coccoliths ml ⁻¹)
<i>Gephyrocapsa oceanica</i>	-, 154.955 (16.260)
<i>Emiliana huxleyi</i>	-, 23.706 (1.814)
<i>Umbilicosphaera sibogae</i>	-, 29.04 (1.418)
<i>Helicosphaera carteri</i>	-, 7.829 (0.793)
<i>Helicosphaera hyalina</i>	-, 10.307 (0.685)
Dominant coccospheres	Min, Max (Mean) Units (cells ml ⁻¹)
<i>Gephyrocapsa oceanica</i>	-, 24.805 (2.458)
<i>Florisphaera profunda</i>	-, 53.845 (3.828)
<i>Emiliana huxleyi</i>	-, 20.167 (0.606)
<i>Umbellosphaera irregularis</i>	-, 24.675 (1.103)
<i>Umbilicosphaera sibogae</i>	-, 3.609 (0.381)

4



1

Table 3 Global test by ANOSIM analysis in coccosphere species matrix.

Pairwise Tests					
Groups	R Statistic	Significance level %	Possible permutations	Actual permutations	Number >= observed
a, b	0.687	0.1	1961256	999	0
a, c	0.997	0.1	38760	999	0
a, d	0.999	0.8	120	120	1
b, c	0.862	0.2	8008	999	1
b, d	0.989	1.5	66	66	1
c, d	0.906	3.6	28	28	1

2

3



1 Table 4 Dominant coccosphere species and their contribution to each group revealed by means of SIMPER analysis.

Group	Average similarity	Dominant species contribution
Coccospheres		
d	40.49	<i>Gephyrocapsa oceanica</i> (99.52)
b	53.78	<i>Gephyrocapsa oceanica</i> (40.38); <i>Emiliana huxleyi</i> (28.62); <i>Oolithotus fragilis</i> (11.63); <i>Florisphaera profunda</i> (7.97); <i>Helicosphaera carteri</i> (4.18)
c	59.53	<i>Umbellosphaera irregularis</i> (43.67); <i>Umbilicosphaera sibogae</i> (27.06); <i>Gephyrocapsa oceanica</i> (10.28); <i>Helicosphaera hyaline</i> (8.07); <i>Emiliana huxleyi</i> (5.36)
a	61.21	<i>Florisphaera profunda</i> (61.89); <i>Gephyrocapsa oceanica</i> (22.20); <i>Algirosphaera robusta</i> (7.02)

2



1

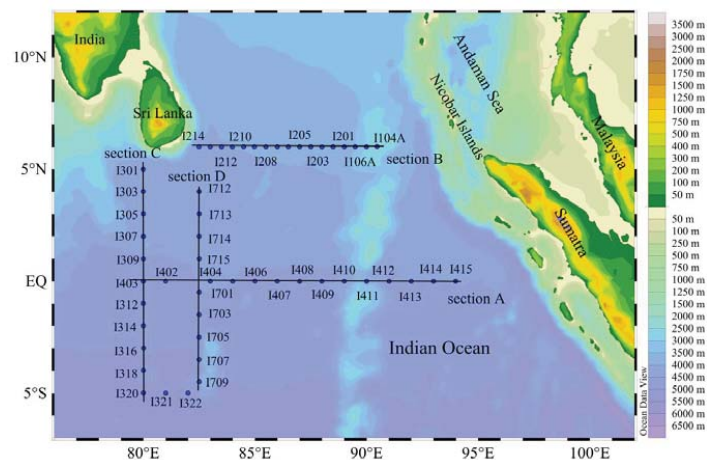
Table 5 The statistical values by PCA analysis in coccosphere species matrix.

Eigenvectors					
Variable	PC1	PC2	PC3	PC4	PC5
Temperature	-0.423	0.468	0.019	0.302	-0.34
Salinity	0.468	-0.102	0.137	0.311	-0.787
Density	0.459	-0.455	0.084	-0.016	0.163
Chla	0.42	0.488	0.089	0.241	0.305
Micro	0.307	0.413	-0.284	-0.755	-0.251
Nano	0.202	0.348	0.682	-0.007	0.199
Pico	0.282	0.186	-0.648	0.429	0.206

2

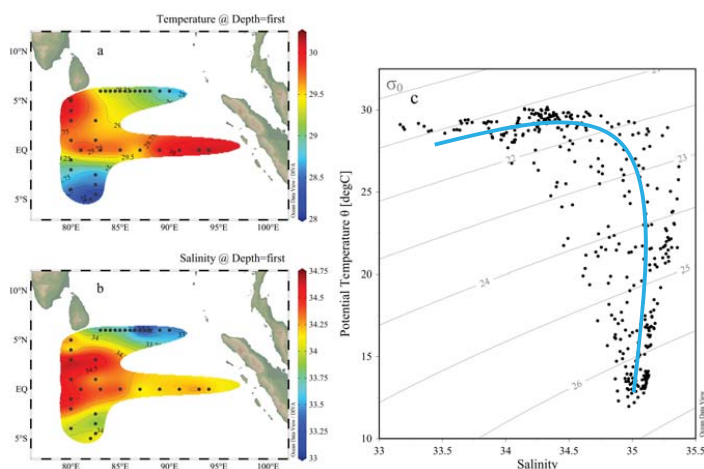
3 Legends:

- 4 Fig. 1. Study area in the eastern equatorial Indian Ocean showing the station locations.
- 5 Fig. 2. Sea surface temperature (°C) and salinity in the surveyed area (left); Temperature-salinity (T-S) diagram in the
6 surveyed area, the blue solid line showed an inversed-L-shape of the hydrologic data (right).
- 7 Fig. 3. The abundance of dominant coccolithophore species in the eastern equatorial Indian Ocean. (units: coccoliths l⁻¹, cells
8 l⁻¹)
- 9 Fig. 4. The surface distribution of dominant coccoliths (units: coccoliths l⁻¹) in the surveyed area.
- 10 Fig. 5. The surface distribution of dominant coccospheres (units: cells l⁻¹) in the surveyed area.
- 11 Fig. 6. Dominant coccolith distributions (units: coccoliths l⁻¹) along section A of the surveyed area.
- 12 Fig. 7. Dominant coccosphere distributions (units: cells l⁻¹) along section A of the surveyed area.
- 13 Fig. 8. Dominant coccolith distributions (units: coccoliths l⁻¹) along section B of the surveyed area.
- 14 Fig. 9. Dominant coccosphere distributions (units: cells l⁻¹) along section B of the surveyed area.
- 15 Fig. 10. Vertical distributions of dominant coccoliths (units: coccoliths l⁻¹) in the surveyed area. (a) Sum; (b) *Gephyrocapsa*
16 *oceanica*; (c) *Emiliania huxleyi*; (d) *Umbilicosphaera sibogae*; (e) *Helicosphaera carteri*; (f) *Helicosphaera hyaline*
- 17 Fig. 11. Vertical distributions of dominant coccospheres (units: cells l⁻¹) in the surveyed area. (a) Sum; (b) *Gephyrocapsa*
18 *oceanica*; (c) *Florisphaera profunda*; (d) *Emiliania huxleyi*; (e) *Umbellosphaera irregularis*; (f) *Umbilicosphaera*
19 *sibogae*
- 20 Fig. 12. The ratio of coccosphere to free coccolith in upper ocean column in the eastern equatorial Indian Ocean. (a): section
21 A; (b): section B; (c): section C; (d): section D
- 22 Fig. 13. The horizontal distributions of PIC, POC (units: μgCaCO₃ l⁻¹, μgC l⁻¹), and rain ratio in the surveyed area. (a)–(c): of
23 surface layer; (d)–(f): of vertically integrated.
- 24 Fig. 14. Stations clustered by Bray-Curtis rank similarities and group average linkage (upper); MDS ordination and its
25 bubble plots for six dominant coccosphere species (below).
- 26 Fig. 15. Surface distributions of biodiversity index of coccolithophore in the surveyed area.
- 27 Fig. 16. Box and whisker diagrams of biodiversity index of coccolithophore in the surveyed area.
- 28 Fig. 17. Scatter plots of coccolith distribution under T-S properties in the surveyed area.
- 29 Fig. 18. Scatter plots of coccosphere distribution under T-S properties in the surveyed area.
- 30 Fig. 19. Ordination biplot based on PCA analysis among environmental variables of the surveyed area. Notes: group
31 partitions here referred to fig. 13; Chla: chlorophyll *a*, Micro: micro-sized Chla, Nano: nano-sized Chla, Pico: Pico-sized
32 Chla, G.o: *Gephyrocapsa oceanica*, F.p: *Florisphaera profunda*, E.h: *Emiliania huxleyi*, U.i: *Umbellosphaera irregularis*,
33 U.s: *Umbilicosphaera sibogae*, A.r: *Algirosphaera robusta*.
- 34 Plate I -VI.
- 35

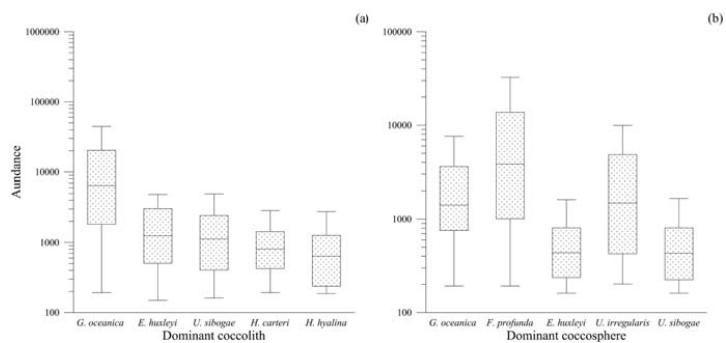


1
2
3

Fig. 1. Study area in the eastern equatorial Indian Ocean showing the station locations.



1
2 Fig. 2. Sea surface temperature (°C) and salinity in the surveyed area (left); Temperature-salinity (T-S) diagram in the
3 surveyed area, the blue solid line shows an the inversed-L-shape of the hydrologic data (right).
4



1
2 Fig. 3. The abundance of dominant coccolithophore species in the eastern equatorial Indian Ocean. (units: coccoliths l⁻¹, cells
3 l⁻¹)
4

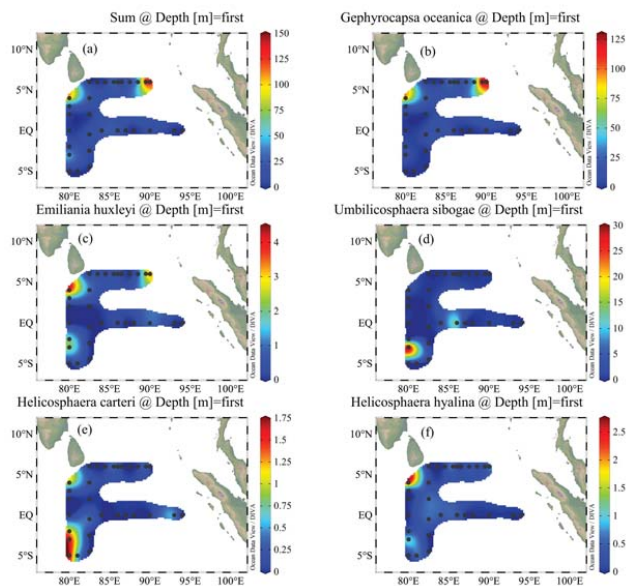
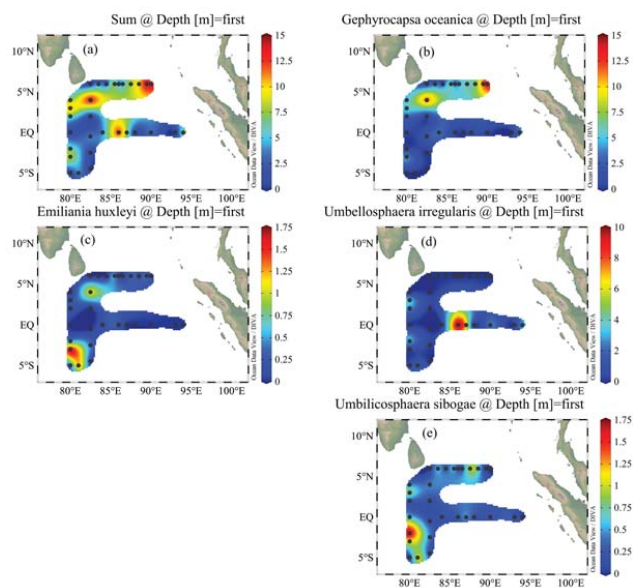


Fig. 4. The surface distribution of dominant coccoliths (units: coccoliths l⁻¹) in the surveyed area.

1
2
3



1
2
3

Fig. 5. The surface distribution of dominant coccospheres (units: cells l⁻¹) in the surveyed area.

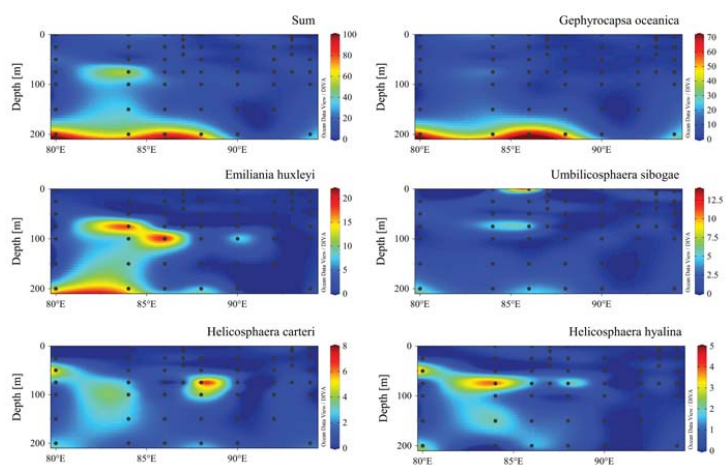
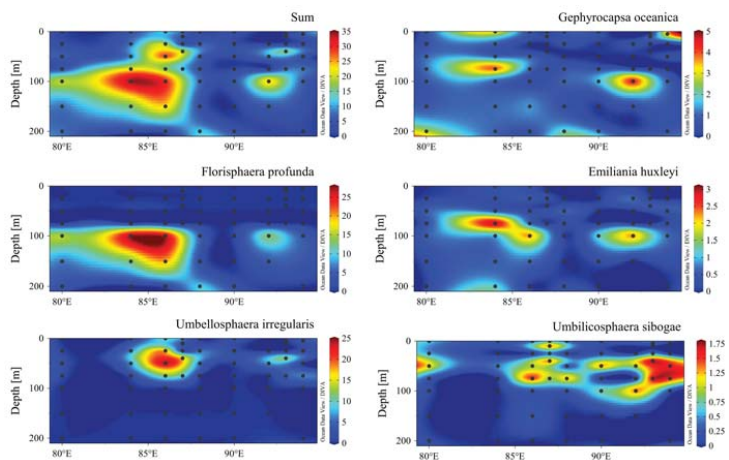


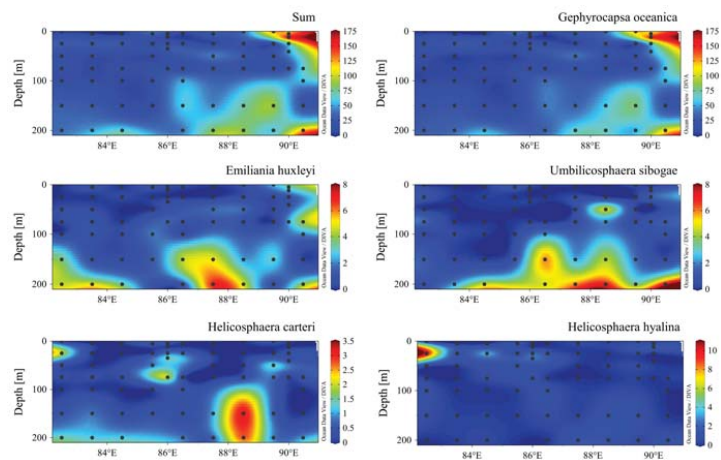
Fig. 6. Dominant coccolith distributions (units: coccoliths I^{-1}) along section A of the surveyed area.

1
2
3



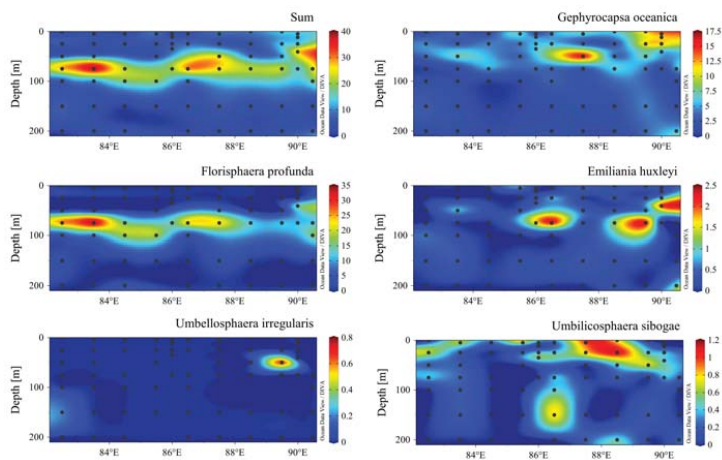
1
2
3

Fig. 7. Dominant coccosphere distributions (units:cells l⁻¹) along section A of the surveyed area.



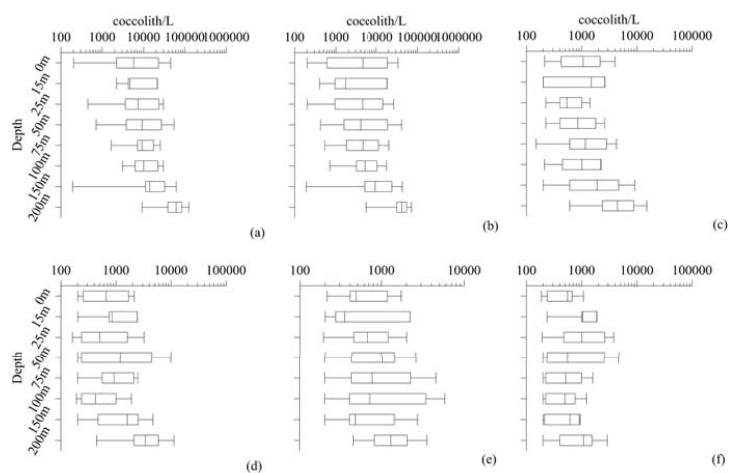
1
2
3

Fig. 8. Dominant coccolith distributions (units: coccoliths l^{-1}) along section B of the surveyed area.



1
2
3

Fig. 9. Dominant coccosphere distributions (units: cells l⁻¹) along section B of the surveyed area.



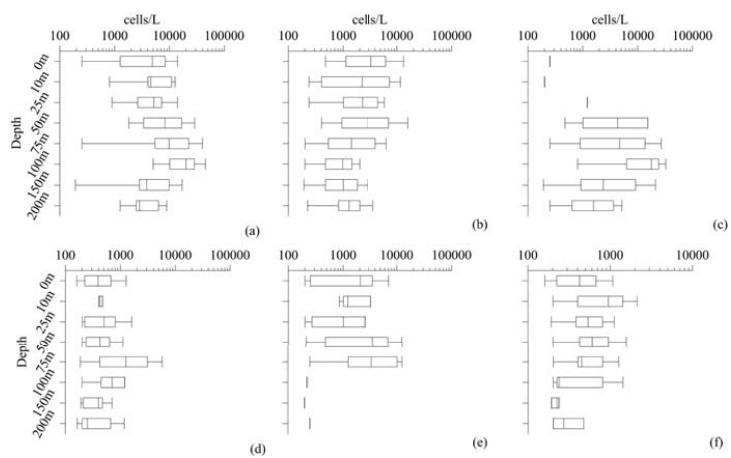
1

2

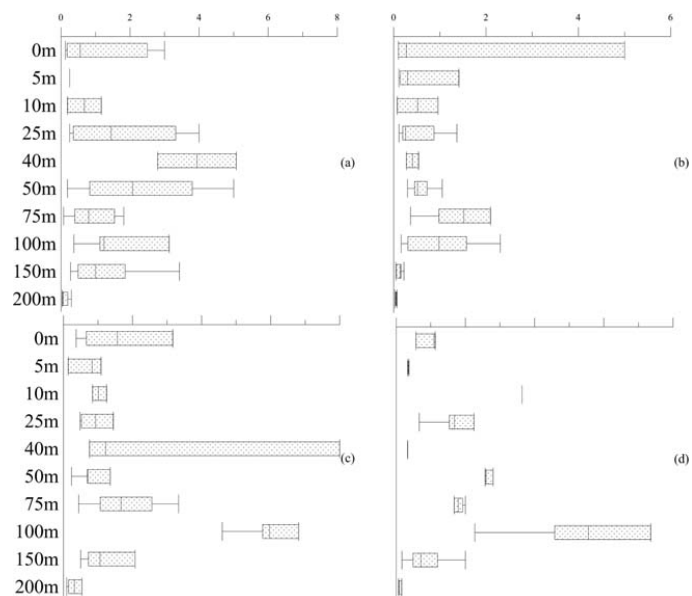
3

4

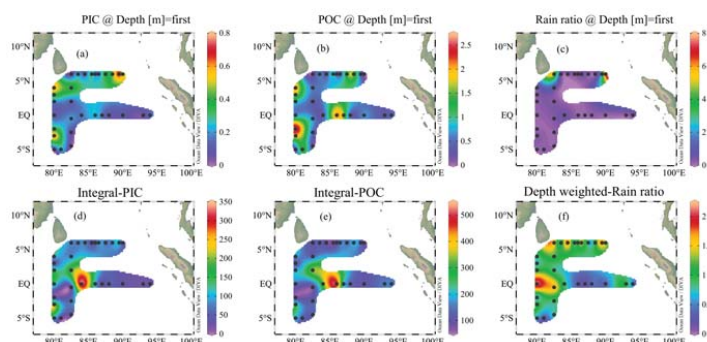
Fig. 10. Vertical distributions of dominant coccoliths (units: coccoliths l⁻¹) in the surveyed area. (a) Sum; (b) *Gephyrocapsa oceanica*; (c) *Emiliana huxleyi*; (d) *Umbilicosphaera sibogae*; (e) *Helicosphaera carteri*; (f) *Helicosphaera hyaline*



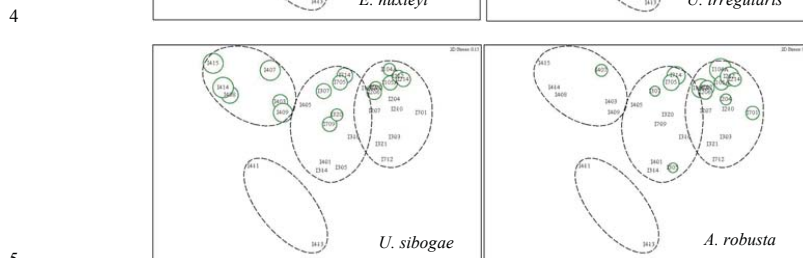
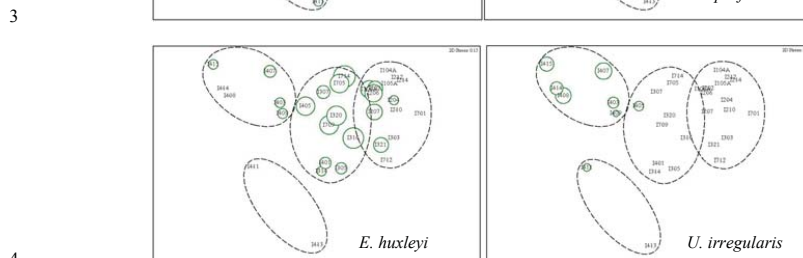
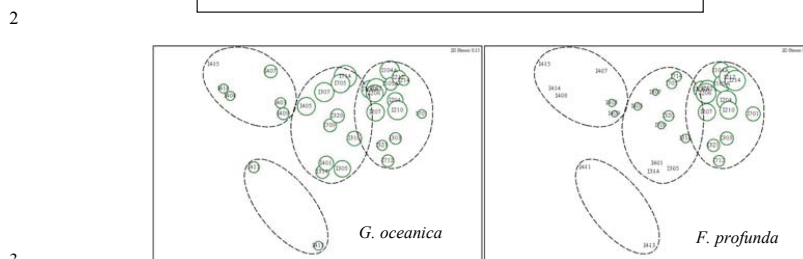
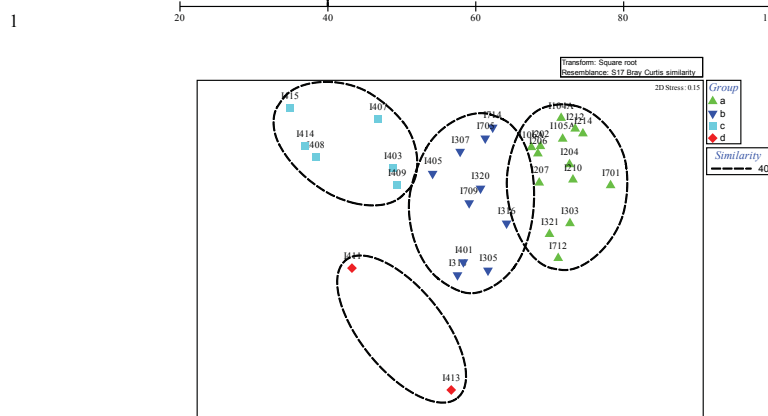
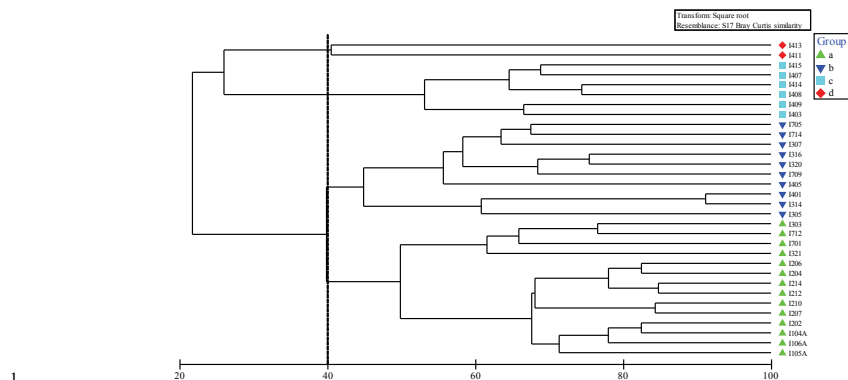
1
2 Fig. 11. Vertical distributions of dominant coccospheres (units: cells l⁻¹) in the surveyed area. (a) Sum; (b) *Gephyrocapsa*
3 *oceanica*; (c) *Florisphaera profunda*; (d) *Emiliana huxleyi*; (e) *Umbellosphaera irregularis*; (f) *Umbilicosphaera sibogae*
4



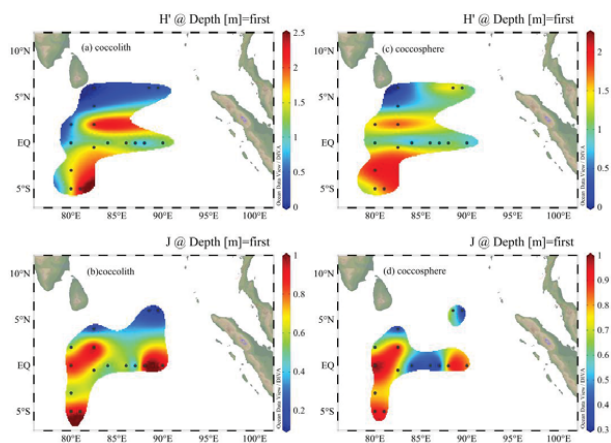
1
2 Fig. 12. The ratio of coccosphere to free coccolith in upper ocean column in the surveyed area. (a): section A; (b): section B; (c):
3 section C; (d): section D



1
2 Fig. 13. The horizontal distributions of PIC, POC (units: $\mu\text{C l}^{-1}$), and rain ratio in the surveyed area. (a)–(c): of surface layer;
3 (d)–(f): of vertically integrated.

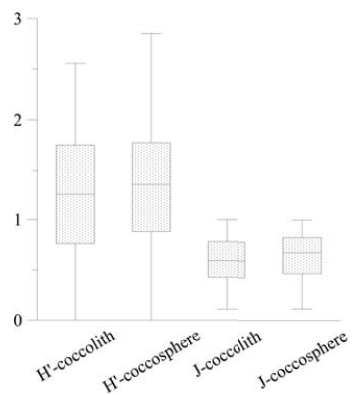


6 Fig. 14. Stations clustered by Bray-Curtis rank similarities and group average linkage (upper); MDS ordination and its
 7 bubble plots for six dominant coccosphere species (below).



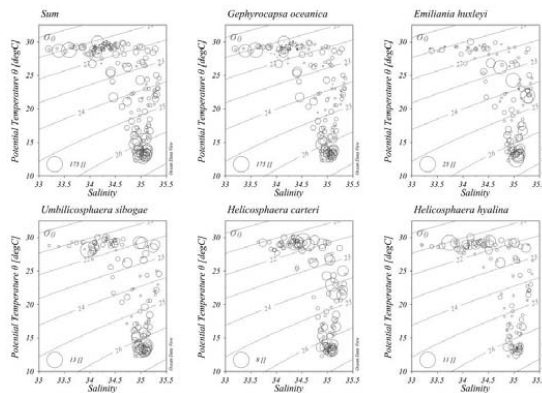
1
2
3

Fig. 15. Surface distributions of biodiversity index of coccolithophore in the surveyed area.



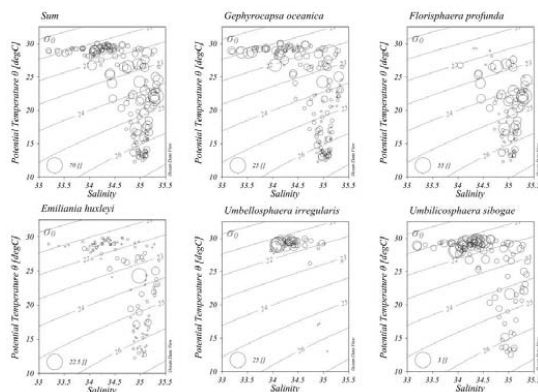
1
2
3

Fig. 16. Box and whisker diagrams of biodiversity index of coccolithophore in the surveyed area.



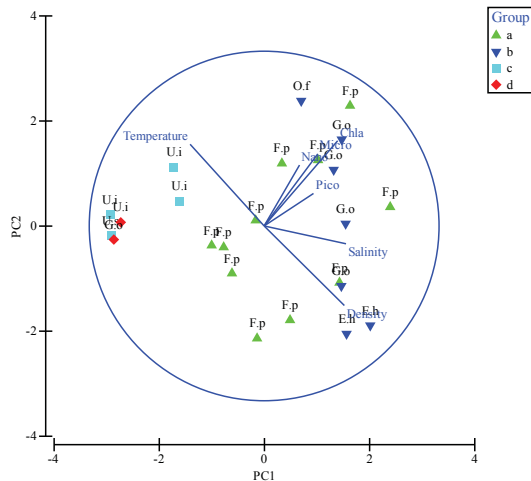
1
2
3

Fig. 17. Scatter plots of coccolith distribution under T-S properties in the surveyed area.



1
2
3

Fig. 18. Scatter plots of coccosphere distribution under T-S properties in the surveyed area.



1
2 Fig.19. Ordination biplot based on PCA analysis among environmental variables of the surveyed area. Note: group partitions
3 here refer to fig. 13; Chla: chlorophyll, Micro: micro-sized Chla, Nano: nano-sized Chla, Pico: Pico-sized Chla,
4 G.o:*Gephyrocapsa oceanica*, F.p: *Florisphaera profunda*, E.h: *Emiliania huxleyi*, U.i: *Umbellosphaera irregularis*, U.s:
5 *Umbilicosphaera sibogae*, A.r: *Algirosphaera robusta*.

