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20	Running title: Tropical montane grassland soil carbon dynamics	

- 21 Keywords: Andean montane grasslands, soil respiration, fire, grazing, puna, soil carbon, land-
- 22 use activities, soil density fractionation.

**Commented [OV1]:** Title has been changed from "No long-term effect of land-use activities on soil carbon dynamics in tropical montane grasslands" to "The effects of burning and grazing on soil carbon dynamics in managed Peruvian tropical montane grasslands"

## 23 2. Abstract

24 Montane tropical soils are a large carbon (C) reservoir, acting as both a source and a sink of 25 CO<sub>2</sub>. Enhanced CO<sub>2</sub> emissions originate, in large part, from the decomposition and losses of 26 soil organic matter (SOM) following anthropogenic disturbances. Therefore, quantitative 27 knowledge of the stabilization and decomposition of SOM is necessary in order to understand, assess and predict the impact of land management in the tropics. In particular, labile SOM is 28 an early and sensitive indicator of how SOM responds to changes in land use and 29 30 management practices, which could have major implications for long term carbon storage 31 and rising atmospheric CO<sub>2</sub> concentrations. The aim of this study was to investigate the 32 impacts of grazing and fire history on soil C dynamics in the Peruvian montane grasslands; an 33 understudied ecosystem, which covers approximately a guarter of the land area in Peru. A 34 density fractionation method was used to quantify the labile and stable organic matter pools, 35 along with soil CO<sub>2</sub> flux and decomposition measurements. Grazing and burning together 36 significantly increased soil CO<sub>2</sub> fluxes and decomposition rates and reduced temperature as a driver. Although there was no significant effect of land use on total soil C stocks, the 37 38 combination of burning and grazing decreased the proportion of C in the free LF, especially at the lower depths (10-20 and 20-30 cm). In the control soils, 20 % of the material recovered 39 was in the free LF, which contained 30 % of the soil C content. In comparison, the burnt-40 41 grazed soil, had the smallest recovery of the free LF (10%) and a significantly lower C content (14%). The burnt soils had a much higher proportion of C in the occluded LF (12%) compared 42 43 to the not-burnt soils (7%) and there was no significant difference among the treatments in 44 the heavy F (~ 70%). The synergistic effect of burning and grazing caused changes to the soil 45 C dynamics. CO<sub>2</sub> fluxes were increased and the dominant temperature driver was obscured by some other process, such as changes in plant C and N allocation. In addition, the free LF 46 47 was reduced when these two anthropogenic activities took place on the same site. Most likely 48 a result of reduced detritus being incorporated into the soil. A positive finding from this study is that the total soil C stocks were not significantly affected and the long term (+10 years) C 49 50 storage in the occluded LF and heavy F were not negatively impacted. Possibly this is because 51 of low intensity fire, fire-resilient grasses and the grazing pressure is below the threshold to 52 cause severe degradation.

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## 55 3. Introduction

56 High altitudinal montane grasslands (3200 - 4500 m a.s.l) account for a major proportion of 57 land cover in the Andes, particularly in Peru, where they make-up approximately 25 % of land 58 cover (Feeley and Silman 2010). Every year, especially in the dry season, large areas of these grasslands are burned to support traditional cattle grazing, which has been apparent since 59 the early 1500s (Luteyn 1992). Fires for agricultural clearing and maintenance of these highly 60 productive forage grasses is of considerable importance in these ecosystems and for the 61 62 livelihood of the local people (Sarmiento and Frolich 2002). To some extent, this natural 63 system is tolerant of these management practices (Ramsay 1992). However, in recent years, 64 it has become apparent that the combination of global warming and the considerable pressure from agricultural expansion have resulted in increased fire occurrence and 65 66 subsequent destruction of tropical montane cloud forest (Cochrane and Ryan 2009). Evidence 67 of fire scars and charcoal deposits along the forest-puna tree line demonstrate a gradual 68 encroachment into the adjacent tropical montane cloud forest (Di Pasquale et al. 2008).

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70 Previous research in these Andean montane grasslands have measured large soil C stores, (Gibbon et al. 2010; Oliveras et al. 2014b). However, despite the concern on the effects of 71 72 land management practices, there are very few studies on soil C dynamics in this tropical 73 region of the Peruvian Andes. It is particularly unclear how land management affects the soil 74 C dynamics and sequestration potential under the influence of grazing and burning. For 75 example, (Oliveras et al. 2014b), found that grazing and fire in montane grasslands resulted 76 in decreased net primary productivity, but there were no differences between these two 77 disturbances. Studies in other montane grasslands have found that an increase in the 78 frequency of fire events can reduce the amount of soil organic matter (SOM) in the top soil 79 (Knicker 2007), or it may increase the biomass growth period afterwards, causing more 80 detritus to accumulate in the upper soil layers (Ojima et al. 1994).

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SOM influences many soil functions and occupies a key position in the global C cycle (Lal
2004). It is a highly heterogeneous and dynamic composite of organic molecules (such as:
polysaccharides, lignin, aliphatic biopolymers, tannins, lipids, proteins and aminosugars)
derived from progressively decomposed plant, animal and microbial material (Zimmermann *et al.* 2007a; Totsche *et al.* 2010).

88 The turnover of SOM is a balance between the inputs of material into the soil (e.g., above and 89 belowground litter, dissolved organic C) and the rate of SOM decomposition. The rate of 90 decomposition is a consequence of complex interactions and interdependence between the 91 organic matter and its environment. This includes: biochemical recalcitrance (compound 92 chemistry), physical protection (adsorption of SOM to reactive surfaces of mineral particles 93 and the physical protection within aggregates) (Six and Jastrow 2002), climate (temperature, 94 water availability), soil acidity, soil redox state (Raich and Schlesinger 1992; Kirschbaum 1995; 95 Stockmann et al. 2013) and, functional composition of the soil microbial community (Fierer 96 2007; Allison 2012). More recently, it has been considered that C stability is mainly dependent 97 on its biotic and abiotic environment, rather than the molecular structures of C inputs 98 (Schmidt et al. 2011).

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100 In order to understand soil C dynamics, a variety of measureable C pools have been identified 101 within SOM according to biological stability, decomposition rate and turnover time (Krull, 102 Baldock and Skjemstad 2003; Trumbore 2009; Stockmann et al. 2013). Specifically, SOM can 103 be classified into three significant pools: active, resistant and inert (Trumbore 1993; Bol et al. 104 2009). The active (also termed the labile) pool contains a high C concentration and is 105 composed of physically available and chemically mineralizable plant material (sugars and amino acids) (Zou et al. 2005; Petrokofsky et al. 2012). Consequently, it is less stable and plays 106 107 an essential role in the short-term nutrient cycles, with a turnover ranging from days to a few 108 years (Wander 2004).

The resistant pools (also known as intermediate, slow, recalcitrant or refractory) (Krull, Baldock and Skjemstad 2003) contain physically and chemically transformed material residing on and within the surface of clay and silt minerals. The combination of physically protected and biochemically recalcitrant SOM (alkyl and lignin-derived aromatic C) (Coleman and Jenkinson 1996; Petrokofsky *et al.* 2012) causes this C pool to have a turnover on decadal timescales (Six *et al.* 2002). This pool is important for long-term C sequestration, sorption, cation exchange capacity and soil water-holding capacity (Wander 2004).

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**Commented [OV3]:** Changed from 'recalcitrant' to 'resistant' to keep consistency throughout the text

119	The inert (or, passive) pool has a turnover time of decades to millenia, and is central to the
120	stabilization of humus and soil aggregation. This pool contains highly carbonized organic
121	material that is resistant to microbial mineralisation (Brodowski et al. 2006), as well as
122	charcoal (i.e. black C), and is considered to have a recalcitrant structure due to its high degree
123	of aromaticity (Derenne and Largeau 2001). Although this pool has a low C concentration, it
124	can form the largest soil C fraction, especially in fine-textured tropical soils (Marin-Spiotta et
125	al. 2009), and can be unaffected by land-management or climate, making it the most stable
126	and relevant for long-term C storage (Falloon and Smith 2000).

128 Land-use change and land management studies have found that even when the bulk soil C 129 does not appear to be affected, the distribution of SOM pools may change due to their 130 differing sensitivities to environmental forcing or external perturbation (Zimmermann et al. 131 2007b; Marin-Spiotta et al. 2009). It is commonly accepted that the labile pools are the most 132 sensitive to changes in vegetation management and are identified as an indicator of soil quality changes in the short-term (Kennedy and Papendick 1995; Islam and Weil 2000). 133 However, while several studies have found the labile pool to be more sensitive to land 134 management (Conant et al. 2011; Wang and Wang 2011), others have found no discernible 135 effect on pool size (Leifeld and Kögel-Knabner 2005). For instance, labile pools can either 136 137 increase (Poeplau and Don 2013) or decrease, depending on the magnitude of C inputs (e.g. roots, litter fall) or the level of grazing intensity (Figueiredo, Resck and Carneiro 2010). 138

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140 Quantification of different SOM pools and how they respond to land management is 141 important for understanding C dynamics and their relative role in the global C cycle (Trumbore 142 1997; Bayer et al. 2001). SOM turnover models use conceptual SOM pools, but now it is 143 possible to substitute these pools with measurable fractions of SOC (Skjemstad et al. 2004; 144 Zimmermann et al. 2007b). Identification and separation of these SOC pools has led to many methods of soil fractionation, including: physical (size, density, aggregation) and chemical 145 146 (solubility, mineralogy). Density fractionation has been very successful at assessing the short 147 and long-term dynamics of soil C storage (Christensen 2001; Marín-Spiotta et al. 2008; Marin-Spiotta et al. 2009; Mueller and Koegel-Knabner 2009). This procedure is based on the 148 149 application of several disaggregating treatments, dispersion, followed by density separations 150 using organic solutions or inorganic salts (von Lützow et al. 2007) and represents a variety of

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pools that are related to microbial function based on the location within the soil matrix and
degree of association with minerals (Krull, Baldock and Skjemstad 2003; Trumbore 2009). Six
et al., 2002 used sodium polytungstate (SPT) to isolate light and heavy fractions of SOM
because of its high viscosity at high concentrations. This method was later adapted by (MarinSpiotta *et al.* 2009) and (Mueller and Koegel-Knabner 2009) to separate SOM pools into three
distinct fractions: the free light fraction (active pool), occluded light fraction (resistant pool)
and heavy fraction (inert pool).

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The aim of this study is to gain further mechanistic insights into the impact of land-use management on soil C losses and different SOM fractions in Peruvian montane grasslands. In order to investigate the effects of burning and grazing on soil C stocks, we took advantage of an ongoing burning/grazing study that was established in July-August 2010 (Oliveras *et al.* 2014b). The specific objectives of this study were to:

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165	a.	Quantify and compare the effect of fire history and grazing on total SOC stocks and
166		the three main SOM pools (free light fraction, occluded light fraction and heavy
167		fraction) at different soil depths down to 30 cm;

- b. Quantify differences in soil respiration and decomposition rates on historically burntand grazed sites;
- 170 c. Evaluate the role of soil temperature and soil moisture in regulating soil respiration.
- 171 172

#### 173 4. Material and methods

# 174 4.1 Site descriptions

175 The undulating terrain in the Peruvian montane grassland is commonly used by the local 176 communities for extensive cattle grazing and although the study area is in the National Park, 177 burning and grazing still occasionally takes place. This study included two sites that were 178 identified as being burnt in 2003 (Wayqecha) and 2005 (Acjanaco) (Fig 1). The site at 179 Wayqecha is located at approximately 3085 m a.s.l. in Wayqecha Biological Station (13°18'S, 71°58'W), where the mean annual precipitation is 1560 mm and mean annual air 180 181 temperature is 11.8 °C. The site at Acjanaco (13°17'S, 71°63'W), is located on the Manu 182 national park boarder at 3400 m a.s.l and has a mean annual precipitation of 760 mm and

183 mean annual air temperature 6.8 °C (Girardin et al. 2010) (Table 2). The wet season runs from 184 October to March and there are more noticeable variations in diurnal temperatures than 185 seasonal differences (Zimmermann et al. 2009). Grass species composition are similar on both 186 sites (Calamagrostis longearistata, Scirpus rigidus and Festuca dolichophylla) (Oliveras et al. 2014a). The soils are classified as Umbrisols and are typically only 30 cm deep with a thick 187 acidic organic rich A layer overlying a thin stony B/C horizons and no O horizon (Gibbon et al. 188 2010) (soil characteristics shown Table 1). The sites are predominantly on Palaeozoic (~450 189 190 Ma) meta-sedimentary mudstones (~80 %) (Carlotto et al. 1996)

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## 192 4.2 Experimental design

193 The sites were set up in a factorial design in July-August 2010 to investigate the effects of fire 194 (burnt, not-burnt) and grazing (grazed, not-grazed) on soil C stocks, soil C fractions and soil 195 respiration. The two sites (Acjanaco and Wayqecha) were selected to include a burnt and 196 unburnt area no more than 200 m apart, which were then split into two subplots (2 x 2 m); 197 one with fencing, constructed 2 years prior to sampling, to stop cattle grazing and one left 198 unfenced. Each site contained a factorial combination of the two treatments i.e. burnt-not grazed; burnt-grazed; not burnt-grazed; and not burnt-not grazed (Fig. 2). The fire at Acjanaco 199 200 was in 2005 and before that, this area had not been burnt since the mid-70s. The more recent 201 fire occurred in Waygecha in 2003, and there is no information about the disturbance history 202 before 2003.

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#### 205 4.3 Soil respiration and environmental measurements

206 On each plot, four permanent PVC chamber bases (diameter 20 cm, height 10 cm) were 207 deployed randomly for the measurement of soil surface CO<sub>2</sub> fluxes, which took place morning 208 and afternoon at two monthly intervals from July 2011 to July 2012. Soil respiration 209 measurements were quantified using a static flux chamber technique with a Vaisala 210 CARBOCAP® carbon dioxide probe and temperature sensor fitted inside a PVC cylindrical 211 chamber (diameter 20 cm, height 20 cm), covered with a gas tight lid. The rate of  $CO_2$ accumulation was measured every 30 seconds for 3 minutes by placing the chamber on the 212 fixed chamber base with a gas tight rubber seal. Simultaneously, air temperature and 213

atmospheric pressure were measured, using a type K thermocouple (Omega Engineering Ltd.,
UK) and Garmin GPSmap 60CSx (Garmin Ltd., USA).
Flux rates were calculated in R 3.0.2 (R\_Core\_Team, 2012) using the *HMR* package (Pedersen,

Petersen and Schelde 2010) by plotting the headspace concentration (ppm) against time
(minutes) for each collar, which gave a linear or non-linear regression, depending on the best
fit.

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In addition, soil temperature (at 5 cm and 10 cm depth) and soil moisture (at 10 cm depth)
were simultaneously measured in three locations adjacent to the collars using a ML2x
ThetaProbe equipped with 12 cm rods (Delta-T Ltd., UK) and type K thermocouples (Omega
Engineering Ltd., Manchester, UK).

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### 227 4.4 Soil sampling and analysis

Soil sampling: 50 g soil samples were taken in July 2012 with six replicates at 0-5, 5-10, 10-20
and 20-30 cm depths on each site. In many instances, the soil depths were shallow before
reaching the bedrock, so samples were only taken at 20-30 cm where possible. Soil samples
were air-dried and sieved with a 2 mm mesh sieve before being shipped to the University of
St Andrews for all further analysis (Brown and Lugo 1982).

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Bulk density: soil bulk density was determined by the soil core method (Klute 1986).
Undisturbed soil cores (30 cm<sup>3</sup>) were taken from three soil pits at 0-10, 10-20 and 20-30 cm.
The samples were dried at 105 °C for 48 hours and bulk density was estimated as the mass of
oven-dry soil divided by the core volume.

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Soil fractionation: Soils C fractions were separated using a method developed by (MarínSpiotta *et al.* 2008) and (Mueller and Koegel-Knabner 2009). This method is useful for
separating SOM based on the location within the soil matrix and the degree of association
with minerals. Prior to the experiment, a sub-sample of soil was taken for moisture correction.
The air-dried soil material (15 g) was sieved in a 2mm mesh sieve to remove any living roots
and larger organic material and was then saturated with 60 mL sodium polytungstate solution
(NaPT, Na<sub>6</sub> [H<sub>2</sub>W<sub>12</sub>O<sub>40</sub>], Sometu-Germany) at a density of 1.85g/mL and centrifuged for 45

246 minutes at 3600 rpm and allowed to settle overnight. The floating free light fraction (free LF) 247 was aspirated via a pump and rinsed with 500 mL of deionised water through a 0.4  $\mu m$ 248 polycarbonate filter (Whatman Nuclepore Track Etch Membrane) to remove residual NaPT. 249 The remaining slurry was further saturated with 60 mL sodium polytungstate solution (1.4 g 250 cm<sup>-3</sup>), mixed using a benchtop mixer (Mixer/Vortexer - BM1000) for 1 minute at 3200 rpm 251 and dispersed ultrasonically (N10318 Sonix VCX500 sonicator Vibra-cell ultrasonic processor) 252 for 3 min at 70 % pulse for a total input of 200 J/mL. Centrifugation (45 minutes at 3600 rpm) 253 was used to separate the occluded light fraction (occluded LF) from the mineral residue and 254 allowed to sit overnight to achieve further separation by flotation of organic debris and 255 settling of clay particles in solution. The occluded LF was then aspirated via a pump and rinsed. 256 In order to remove the NaPT from the heavy fraction (heavy F), deionised water was mixed 257 with the material and centrifuged for 15 minutes at 4000 rpm 5 times. All fractions were oven 258 dried at 100 °C overnight, weighed and physically ground to a fine powder before C analysis 259 and isotope analysis. The recovery of the soil C density fractions was 96 %.

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261 *Carbon analysis:* bulk soils were ground and homogenised using a grinding mill (Planetary
262 Mono Mill PULVERISETTE) in preparation for C analysis at the University of St Andrews
263 laboratories using a Finnegan Delta plus XP gas source mass spectrometer coupled to an
264 elemental analyser (EA-IRMS).

265

266 Decomposition estimates: A decomposition experiment was set up as an additional estimate 267 of soil organic matter mineralisation, using birch wood sticks as a common substrate. Five 268 sticks were placed in a mesh bag with three 2 cm holes cut into each bag to allow accessibility 269 for both microfauna and fauna. In July 2011, eighteen bags were buried at 10 cm depth in 270 groups of six, in close proximity on each plot (Fig. 2). Three bags, one from each group, was 271 collected every two months. The sticks were weighed before the experiment started and 272 again after collection, once they were air dried, to determine mass loss. The rate of 273 decomposition was then calculated from the slope of a linear regression with time against 274 mass loss.

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## 278 4.5 Statistical analysis

279 Statistical analyses were conducted in R version 3.0.2 (R\_Core\_Team, 2012). Outliers were 280 observed by visual inspection of the boxplots where points outside of the hinges (third 281 quartile) were removed and the data were checked for normal distributions. The CO<sub>2</sub> flux and 282 volumetric water content (VWC) data were not normally distributed and therefore log transformed prior to parametric statistical analysis. Linear mixed effect models were 283 284 conducted to identify any relationships between the environmental variables and soil 285 characteristics with soil  $CO_2$  fluxes for each site, individually. In this respect, mixed model 286 restricted maximum likelihood analysis (REML) were computed using the Ime4 package (Bates 287 et al. 2014) to include random intercepts for each collar and for the effect of grazing nested 288 within the burnt sites. Analysis of variance (ANOVA) and Tukey's Honest Significant Different 289 (HSD) post hoc test were used to examine statistically significant differences between means 290 of the environmental data among the sites. Linear regression analysis was used on the 291 decomposition data and tested to identify any relationships with the soil CO<sub>2</sub> fluxes. 292 Differences in soil C between the areas were analaysed using a one-way ANOVA and Tukey's 293 HSD post-hoc test, after testing for normality and homogeneity of variances.

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#### 296 5. Results

## 297 5.1 Soil respiration and environmental drivers

298 The overall annual CO<sub>2</sub> mean for the pooled data set, including all types of land management, 299 was  $1.04 \pm 0.04 \mu$ mol m<sup>-2</sup> s<sup>-1</sup>. The combination of grazing and burning significantly increased 300 soil CO<sub>2</sub> fluxes at Wayqecha (2003) but not at Acjanaco (Fig 2). Regardless of land use, the 301 plots at Waygecha (2003) had greater variability and overall higher mean annual soil 302 temperature (15 °C) and CO<sub>2</sub> flux (1.30  $\pm$  0.08  $\mu$ mol m<sup>-2</sup> s<sup>-1</sup>) compared to the sites in Acjanaco 303 (2005) (12 °C and 0.79  $\pm$  0.03  $\mu$ mol m<sup>-2</sup> s<sup>-1</sup>) (Table 2). The highest measured temperatures and CO2 fluxes at Wayqecha were synchronously recorded during July-11, November-12 and 304 305 March-12, whereas at Acjanaco the changes in CO<sub>2</sub> flux with season and temperature were 306 less pronounced.

307

Season (which run from October to March), soil and air temperature were the main drivers of soil respiration (*p*-values = 0.031,  $9.3 \times 10^{-7}$  and 0.0001, respectively), with higher temperatures having a positive effect on soil CO<sub>2</sub> fluxes. However, when analyzing the grazedburnt plots at both Wayqecha and Acjanaco, there was no relationship between CO<sub>2</sub> fluxes
and temperature or any of the other environmental variables measured.

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# 314 5.2 Decomposition rates

315 The decomposition of the birch wood sticks was slow, with an overall average weight loss of 316  $\simeq$  20 % in one year. Grazing alone appeared to slightly increase the rate of decomposition 317 when all the data were pooled together (grazed: y = 104.53 + -4.23x,  $R^2 = 0.98$ , not grazed: y 318 = 103.63 + -3.11, R<sup>2</sup> 0.94), but burning alone did not affect decomposition rate (burnt: y = 319 103.34 + -3.57, R<sup>2</sup> = 0.96, not burnt: y = 104.82 + -3.76x, R<sup>2</sup> = 0.97) (Fig 3). Site-specific 320 differences were observed for decomposition rates; for example, decomposition was 321 generally faster at Wayqecha compared to Acjanaco. In particular, the grazed - not burnt plot at Wayqecha showed the fastest overall rate of decomposition (y = 101.98 + -0.19x,  $R^2 = 0.77$ ) 322 323 and the not grazed - not burnt plots (controls) had the slowest decomposition rates (Fig 3) on both sites. 324

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326 Decomposition was not a strong overall predictor for  $CO_2$  fluxes for the pooled dataset, 327 although there were some strong correlations between these two variables at specific study 328 sites. For example, there was a strong relationship between decomposition and soil  $CO_2$  fluxes 329 at Acjanaco (y = 0.38 + -0.18x, R<sup>2</sup> = 0.99) (i.e. faster mass loss = higher soil respiration), 330 whereas at Wayqecha, this relationship was weak (y = 1.56 + 0.06x, R<sup>2</sup> = 0.07). Land-use did 331 not appear to influence the decomposition rate-soil  $CO_2$  flux relationship.

332 333

#### 334 5.3 Soil C stocks

Grazing, burning and the combination of burning and grazing did not significantly alter total soil C at any depth down to 30 cm on either of the sites (Table 3). The overall sum of all the measured depths showed signs of a decrease in C stocks on the grazed soils, from 189 ± 32 Mg C ha<sup>-1</sup> on the undisturbed sites to 130 ± 20 Mg C ha<sup>-1</sup> on the grazed-burnt sites, but this was not statistically significant at the *P* < 0.05 level. On average, Acjanaco (2003) had higher C stocks (175 ± 17 Mg C ha<sup>-1</sup>) compared to Wayqecha (2005) (150 ± 15 Mg C ha<sup>-1</sup>).

342 The pooled dataset demonstrated that these soils have a notably large free LF ( $\sim$ 20 %). When 343 looking at the different treatments and averaging the data across the soil profile (0-30 cm), 344 burning and grazing significantly reduced the proportion of C in the free LF (Table 4). The free 345 LF in the control soils made 20% of the bulk soil mass and 30% of the soil C content compared to the burnt-grazed soils, which had the smallest recovery of free LF (10 %) and had 346 significantly lower C content (14 %). However, when analysing the depths individually, there 347 was only a significant loss of C in the free LF at 0-5 and 10-20 cm depth, with a reduction of ~ 348 349 16 % (Fig 4). When analysing the two sites separately, the burnt- grazed soils at Wayqecha 350 had a significantly smaller proportion of C in the free LF at 0-5 cm (p-value = 0.002), whereas 351 at Acjanaco there were no significant differences among the land uses.

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353 The occluded LF increased significantly after burning. The burnt soils had a much higher proportion of C in the occluded LF (12 %) compared to the not-burnt soils (7 %), when the 354 355 data were pooled from across different soil depths (0-30 cm) for the two sites. When the data were disaggregated by site, we found that burning produced site-specific effects on the 356 357 occluded LF in different soil depths. For example, at Waygecha, the proportion of C in the occluded LF was higher on the burnt soils when looking at the whole profile (0-30 cm), but 358 there were no significant differences among the separate soil layers. In contrast, at Acjanaco, 359 360 the burnt soils had a significantly higher proportion of C in the occluded LF in the upper most layer (0-5 cm) (Grazed - burnt 24.7  $\pm$  7.1 and Not Grazed - Burnt 23.5  $\pm$  9.5 %) compared to 361 362 non-burnt soils (Grazed – not burnt =  $10.3 \pm 4.6$  and Not grazed – not burnt =  $6.1 \pm 2.4$  %).

364 The largest mass of soil C recovered was in the heavy F (~ 70 %). Overall, there were no 365 significant differences among the treatments when the data were pooled for the two sites 366 and across all four depths (0-30 cm). However, when the data were disaggregated by site, we 367 found site-specific treatment effects on the heavy F that varied depending on soil depth. For example, in Wayqecha, the grazed – burnt plot had a significantly higher portion of C in the 368 369 heavy F in the 0-5 cm soil layer ( $67.7 \pm 4.8 \%$ ) compared to the control plot ( $48.6 \pm 3.7 \%$ ). We 370 also observed a significantly lower proportion of C in the grazed – not burnt plot (19.2 ± 12.2 371 %) in the 0-5 cm soil layer compared to the other treatments. In contrast, at Acjanaco, there were no significant differences measured among the treatments. 372

Commented [OV6]: More detailed description of the different soil layers and soil fractions

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# 374 6. Discussion

# 375 6.1 Soil respiration and decomposition rates

376 In this study, soil CO<sub>2</sub> fluxes ranged from 0.72 to 1.88  $\mu$ mol m<sup>-2</sup> s<sup>-1</sup> (2.73 to 7.14 Mg C ha<sup>-1</sup> yr<sup>-1</sup> 377 <sup>1</sup>)\*, which is in the lower range (0.7 – 14.8 Mg C ha<sup>-1</sup> yr<sup>-1</sup>) of other high elevation montane 378 grassland studies (Cao et al. 2004; Geng et al. 2012; Muñoz, Faz and Zornoza 2013; Fu et al. 379 2014) and corroborates prior work by Oliveras et al., 2014 (3.4 - 3.7 Mg C ha<sup>-1</sup> yr<sup>-1</sup>). The 380 absence of a seasonal trend in temperature and moisture has also been noted in other studies from the same region (Girardin et al. 2010; Teh et al. 2014). \*The units have been converted 381 382 to enable comparison to other studies but due to limited frequency of sampling in this study, 383 this may not be sufficient data to reliably provide yearly emissions.

**Commented [OV7]:** Units changed to umol m-2 s-2 and an explanation for retaining the Mg C ha-1 yr-1 units.

385 Higher soil respiration and faster decomposition rates were consistently measured on the 386 plots at Wayqecha (burnt in 2003) than at Acjanaco (2005), which is in keeping with Oliveras 387 et al., 2014. These site-specific differences may not be a reflection of the age of burning but 388 rather Acjanaco being at a slightly higher elevation and on average 4 °C cooler. Despite the 389 variance in mean annual temperature, the two sites both showed a positive correlation between temperature and soil respiration. Interestingly though, the decomposition rates at 390 391 Acjanaco correlated with the CO<sub>2</sub> fluxes, suggesting that decay was a good predictor of CO<sub>2</sub> 392 flux. This was in contrast to the lower elevation site in Wayqecha, where CO<sub>2</sub> fluxes did not 393 correlate with decomposition rates, implying that autotrophic respiration or other 394 environmental factors may have had a stronger influence on soil respiration.

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396 Burning alone or grazing alone enhanced soil respiration and decomposition rates when these 397 land management practices were considered separately, with soil temperature identified as 398 the main environmental driver in each of these treatment types. However, when plots had 399 been exposed to both burning and grazing together, soil temperature no longer correlated 400 well with soil respiration. The combination of burning and grazing also produced higher soil 401 respiration rates than the two treatments independently. While this pattern has been 402 identified before in other studies (Ward et al. 2007), the drivers of this increase are less well 403 understood, and the influence of grazing and burning have been known to have confounding 404 effects (Michelsen et al. 2004). One potential explanation is that burning and grazing together 405 act synergistically, and may obscure the influence of temperature due to the action of other

406 complex processes or drivers, such as changes in plant C allocation and autotrophic 407 respiration following the effects of the two combined disturbances. For example, studies have 408 found that when foliage is cut, photosynthate and other resources are allocated to the growth 409 of new shoots rather than to the roots (Schmitt, Pausch and Kuzyakov 2013), causing a decline 410 in root respiration (García-Oliva, Sanford and Kelly 1999). The resulting root death may 411 enhances heterotrophic microbial activity, counteracting the effects of reduced root 412 respiration.

#### 413

414 Alternatively, burning can cause significant losses of N due to combustion, and grasses may 415 compensate for increased N limitation by increasing their allocation to roots, thereby increasing root respiration and potentially promoting enhanced belowground C cycling 416 417 (Johnson and Matchett 2001). Some evidence was found for this type of response in prior 418 work; Oliveras et al., 2014, found higher below and above-ground C stocks in undisturbed 419 soils. While overall net primary productivity (NPP) was higher on undisturbed sites, NPP belowground was greater with grazing and fire, suggesting a shift in plant allocation patterns 420 421 after these disturbances.

422 423

### 424 6.2 Belowground C stocks

Overall, large total SOC stocks were measured in these montane grasslands (123 – 238 Mg C ha<sup>-1</sup>), which is in keeping with other high elevation grassland studies and are probably attributable to low temperatures and wet conditions causing slow mineralisation of SOM and turnover rates. For example, in the Qinghai-Tibetan Plateau grasslands and páramo grasslands of the Colombian, Ecuadorian and Peruvian Andes, total SOC stocks can range between 80 – 250 Mg C ha<sup>-1</sup> (Hofstede 1995; Zimmermann *et al.* 2010; Farley *et al.* 2012; Li *et al.* 2013; Muñoz, Faz and Zornoza 2013; Oliveras *et al.* 2014b).

432

Soil C stocks were higher at Acjanaco than at Wayqecha. This is in agreement with Oliveras *et al.*, 2014, although the Acjanaco sites in this previous study were higher (253 compared to
175 Mg C ha<sup>-1</sup> reported here), perhaps reflecting within site spatial heterogeneity. There was
no significant effect of either burning or grazing but grazing had a more negative effect than
burning on the total soil C stocks. This negligible effect of burning may be a consequence of

438 low intensity fires, fire-resilient grasses, and potentially low fuel loads at the time of burning 439 (Knicker 2007). Grassland fires on slopes can move very quickly, so even when intense, the 440 transfer of heat to the soil is less damaging due to low residence times (Rollins, Cohen and 441 Durig 1993). As a result, surface temperatures do not typically exceed 100 °C or 50 °C at 5 cm depth (Campbell et al. 1995), and organic matter can only be fully volatilized between 200 442 and 315 °C (Knicker 2007). Even if the soils were dry at the time of burning which is possible 443 444 during the dry season, then belowground temperatures would rise very slowly because of the 445 insulating properties of air-filled pores, which curtail heat transfer belowground (Neary et al. 446 1999).

447

Grazing on the other hand, caused a decrease in the total SOC content compared with burning, although this numerical difference was not statistically significant at the P < 0.05level. One explanation is that the grazing pressure in these sites may have been below the threshold required to cause severe degradation, supporting previous studies in the Peruvian Andes, where they also found no significant effect of grazing or burning on total SOC stocks (Gibbon *et al.* 2010; Oliveras *et al.* 2014b).

454

In this study, the free LF was larger than in other tropical systems (30 % of total soil C). By 455 456 comparison, studies in Ecuador, Brazil and Puerto Rico found the free LF ranged from only 4-12 % of total soil C content (Paul, Veldkamp and Flessa 2008; Marin-Spiotta et al. 2009; Potes 457 458 et al. 2012). However, it is difficult to compare the results of this study to other tropical 459 fractionation studies because in general, most field sites are in tropical lowland pastures 460 where soil C stocks tend to be lower. When comparing to other high elevational studies, for 461 example, in permafrost meadow ecosystems in the Tibetan Qinghai Province, results are 462 similar, with the free LF making up 27 % of the total soil C stocks (Dörfer et al. 2013). 463 Comparisons are also further complicated by land-management history and methodological differences. For example, in a review of 22 grassland studies, the average fraction of soil 464 465 organic C in the free LF was 13.9 %, but the range was between 1.8 and 55 % (Gregorich et al. 466 2006).

467

468 Overall, grazing significantly reduced the free LF. As grazing is known for reducing 469 aboveground biomass (Johnson and Matchett 2001; Gibbon *et al.* 2010), a lower Commented [OV8]: Negative wording changed

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incorporation of detritus into the soil is not surprising and has been observed in other grazing
studies (Figueiredo, Resck and Carneiro 2010; Cao *et al.* 2013). While there was a significant
decrease in the free LF, there was no significant change to the total SOC. Due to the dynamic
nature and sensitive response of this pool to land management or land-use change, other
studies have also measured reductions in the free LF, while the total SOC content appears to
be unchanged (Leifeld and Kögel-Knabner 2005; Zimmermann *et al.* 2007b; Marín-Spiotta *et al.* 2008; Cao *et al.* 2013).

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478 The effects of grazing on the free LF were most noticeable when grazing and burning occurred 479 together, in which case, the free LF showed the most pronounced declines. This was especially 480 evident on one of the sites (Wayqecha) in the top soil layer (0-5 cm) and mid-soil layer (10-20 481 cm) and could be attributed to site specific differences, such as warmer temperatures causing 482 higher turnover rates. To our knowledge there are no other studies assessing the impact of 483 grazing and burning on soil C fractions in high altitude tropical grasslands. However, studies focusing on burning in grasslands have found a decrease in the free LF with burning history, 484 485 due to the resulting decrease in litter inputs to the soil (Fynn, Haynes and O'Connor 2003; Potes et al. 2012). 486

487

488 When measuring the soil organic pools, the long-term effects of land-use can be gained by 489 relatively short-term experiments because burning, in theory, could have a relatively 490 immediate impact on all the pools of carbon. In this study, the significant increase of the 491 occluded LF in the burnt soils may be the results of charcoal particles (from burning) becoming 492 incorporated into the occluded LF. Charcoal, because of its low density, tends to reside in the 493 lighter fractions (Cadisch et al. 1996; Glaser et al. 2000; Sollins et al. 2006), despite its 494 recalcitrance. Because the fires took place almost ten years ago, the charcoal may no longer 495 be resident the free LF but may have become occluded into soil micro-aggregates, due to its 496 high sorptive capacity (Knicker 2007; Qayyum et al. 2014). This has been observed in different 497 soil types, such as Haplic Luvisols and Andosols (Golchin et al. 1997; Brodowski et al. 2006), 498 and once incorporated into micro-aggregates, charcoal can be maintained for centuries after 499 fire (Zackrisson, Nilsson and Wardle 1996).

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## 502 7. Conclusions

503 This study highlights the complexities of how land management can affect soil C dynamics in 504 montane tropical grasslands. The results suggest that montane grasslands are resilient to soil 505 C losses under moderate intensity land use. Total C stocks appeared unaffected by burning and grazing, although a change was observed in the distribution of soil C across different soil 506 507 C fractions, with burning leading to a significant reduction in the free LF pool and an 508 enhancement of the occluded LF pool. Most specifically, our study shows that land 509 management affected the magnitude and drivers of soil respiration and decomposition. 510 Individually, burning and grazing alone increased soil CO<sub>2</sub> fluxes, which was apparently driven 511 by shifts in soil temperature. However, the combined effect of burning and grazing together 512 interacted synergistically, leading to enhanced soil respiration rates, while simultaneously 513 obscuring the role of temperature and other environmental drivers, potentially due to 514 changes in patterns of plant C and N allocations.

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524 525

### 526 9. Authorship

V. Oliver designed the study, conducted the fieldwork, statistical data analysis and wrote the
manuscript. I. Oliveras designed the study, provided supervision and contributed to writing
the manuscript. J. Kala and R. Lever conducted fieldwork and laboratory analysis. Y. A. Teh
obtained funding for the work, provided supervision for the whole study and contributed to
writing the manuscript.

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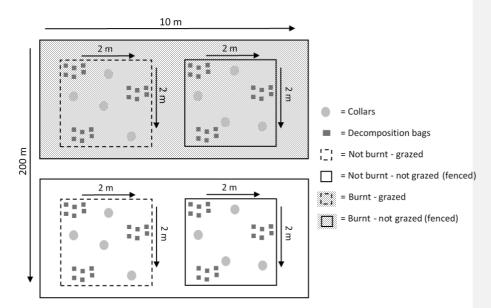
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Figure 1 Map illustrating the two sites in the high elevation montane grassland (circles). The green area represents the Manu National Park.



**Figure 2** Schematic diagram illustrating the set-up of the plots. This experimental design was established at both Acjanaco and Wayqecha. Soils from three pits in each plot were collected for analysis.

 Table 1 Soil description for each land management at Wayqecha and Acjanaco (mineral soil particle size taken from (Diem et al. 2017 - submitted to Biogeosciences).

Site	Land use	Bulk density (g cm <sup>-3</sup> )		рН	Mineral soil particle size		
					Sand	Silt	Clay
		0-10 cm	10-20 cm	0-10 cm		0-10 cm	
Wayqecha (2003)	Grazed - burnt	0.45 ± 0.03	0.37 ± 0.05	4.3 ± 0.2			
	Not grazed - burnt	0.25 ± 0.13	0.47 ± 0.03	$4.1 \pm 0.1$			
	Grazed - not burnt	$0.43 \pm 0.01$	$0.61 \pm 0.10$	$4.3 \pm 0.1$			
	Not grazed - not burnt	0.30 ± 0.07	0.46 ± 0.05	4.5 ± 0.2	43.0 ± 3.2	54.4 ± 3.0	2.6 ± 0.2
Acjanaco (2005)	Grazed - burnt	0.41 ± 0.03	0.47 ± 0.05	4.8 ± 0.2			
	Not grazed - burnt	$0.40 \pm 0.02$	0.45 ± 0.06	$4.4 \pm 0.2$			
	Grazed - not burnt	$0.34 \pm 0.03$	0.35 ± 0.03	$4.1 \pm 0.1$			
	Not grazed - not burnt	0.36 ± 0.06	0.48 ± 0.13	5.0 ± 0.3			

 Table 2 Annual and seasonal mean soil temperature, VWC and CO2 flux for Wayqecha and

 Acjanaco for each land management system. Different letters down the columns represent

 significant differences between sites.

**Commented [OV14]:** Description of table 2 added

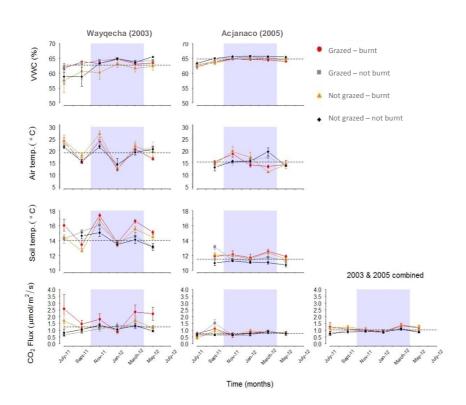
Site / land use	Soil temp. (°C)	VWC (%)	CO <sub>2</sub> flux
Site / land use	at 5 cm	at 5 cm	(µmol m <sup>-2</sup> s <sup>-1</sup> )
Wayqecha (2003)	14.7 ± 0.1	62.3 ± 0.4	1.31 ± 0.09
Grazed – burnt	15.3 ± 0.3 <sup>a</sup>	$63.4 \pm 0.3^{ab}$	1.88 ± 0.23 <sup>a</sup>
Grazed - not burnt	$14.5 \pm 0.2^{ab}$	63.8 ± 0.2 <sup>ab</sup>	$1.07 \pm 0.07^{b}$
Not grazed - burnt	14.6 ± 0.3 <sup>ab</sup>	$60.9 \pm 1.0^{c}$	$0.99 \pm 0.08^{bc}$
Not grazed - not burnt	$14.1 \pm 0.2^{b}$	$62.5 \pm 0.8^{bc}$	$1.10 \pm 0.07^{ab}$
Dry season	$14.1 \pm 0.2$	$61.4 \pm 0.8$	1.35 ± 0.16
Wet season	$15.1 \pm 0.20$	63.8 ± 0.3	$1.31 \pm 0.10$
Minimum	11.6	29.9	0.22
Maximum	18	65.8	8.33
Acjanaco (2005)	11.6 ± 0.1	64.5±0.1	0.91 ± 0.03
Grazed – burnt	12.0 ± 0.2 <sup>c</sup>	$64.0 \pm 0.2^{ab}$	$0.82 \pm 0.05^{bc}$
Grazed - not burnt	11.5 ± 0.2 <sup>cd</sup>	$64.5 \pm 0.2^{ab}$	$0.84 \pm 0.07^{bc}$
Not grazed - burnt	11.9 ± 0.1 <sup>cd</sup>	$64.2 \pm 0.2^{ab}$	$0.77 \pm 0.05^{\circ}$
Not grazed - not burnt	$10.8 \pm 0.1^{d}$	$65.1 \pm 0.2^{a}$	$0.72 \pm 0.05^{\circ}$
Dry season	11.6 ± 0.1	63.8 ± 0.2	$0.81 \pm 0.04$
Wet season	11.7 ± 0.1	65.1 ± 0.1	0.74 ± 0.03
Minimum	9.5	57.1	0.09
Maximum	13.7	67.7	2.69
GRAZED – BURNT	13.8 ± 0.2 <sup>a</sup>	63.7 ± 0.2 <sup>a</sup>	1.35 ± 0.13 <sup>a</sup>
GRAZED – NOT BURNT	13.2 ± 0.2 <sup>a</sup>	$64.1 \pm 0.1^{a}$	$0.95 \pm 0.05^{b}$
NOT GRAZED – BURNT	13.3 ± 0.2 <sup>a</sup>	$62.6 \pm 0.5^{a}$	$0.88 \pm 0.05^{b}$
NOT GRAZED – NOT BURNT	$12.6 \pm 0.2^{a}$	$63.8 \pm 0.4^{a}$	$0.91 \pm 0.05^{b}$

 Table 3. Mean soil C content (Mg C ha<sup>-1</sup>) for each depth and total C stocks (0-30 and 0-20 cm) on all the land management systems. Different letters down the columns within each depth represent significant differences among sites. All values are given with 1 standard error of the mean (n = 3).

Site	Land use	Bulk C (Mg C ha <sup>-1</sup> )				Total C stock (Mg C ha <sup>-1</sup> )	
		0-5 cm	5-10 cm	10-20 cm	20-30 cm	0-30 cm	0-20 cm
Acjanaco	Grazed - burnt	$40.9 \pm 6.5^{a}$	31.7 ± 4.4 <sup>a</sup>	$43.1 \pm 13.4^{a}$	57.6 ±	$136 \pm 30^{a}$	117 ± 17 <sup>a</sup>
	Not grazed - burnt	$53.5 \pm 4.5^{a}$	$40.9 \pm 4.7^{a}$	76 ± 3.7 <sup>a</sup>	35.4 ±	182 ± 24 <sup>a</sup>	$170 \pm 12^{a}$
	Grazed - not burnt	$41.4 \pm 3.2^{a}$	$34.7 \pm 6.6^{a}$	$53.7 \pm 16^{a}$	44.2 ±	$144 \pm 16^{a}$	130 ± 8 <sup>a</sup>
	Not grazed - not burnt	$40.7 \pm 8.3^{a}$	$44.4 \pm 5.4^{a}$	$81.4 \pm 24^{a}$	71.6 ± 13.4	$238 \pm 33^{a}$	166 ± 22 <sup>a</sup>
	Average					175 ± 17 <sup>a</sup>	$146 \pm 10^{a}$
Wayqecha	Grazed - burnt	$40 \pm 1.7^{a}$	$26.6 \pm 1.6^{a}$	$40.8 \pm 5^{a}$	16 ± 3.2	$123 \pm 10^{a}$	107 ± 8 <sup>a</sup>
	Not grazed - burnt	$40.3 \pm 3.3^{a}$	$16 \pm 5.7^{a}$	$63.4 \pm 21.1^{a}$	44.4 ± 29.5	175 ± 47 <sup>a</sup>	$131 \pm 18^{a}$
	Grazed - not burnt	41.3 ± 11.5 <sup>a</sup>	$41.3 \pm 9.8^{a}$	42 ± 5.1 <sup>a</sup>	3 ±	126 ± 24 <sup>a</sup>	125 ± 25 <sup>a</sup>
	Not grazed - not burnt	38.7 ± 5.3	$31 \pm 3.6^{a}$	55.4 ± 17.3 <sup>a</sup>	14.8 ± 4.4	140 ± 31 <sup>a</sup>	$125 \pm 26^{a}$
	Average					150 ± 15 <sup>a</sup>	$122 \pm 9^{a}$
Acjanaco +	Grazed - burnt	$40 \pm 3^{a}$	30 ± 2 <sup>a</sup>	42 ± 6 <sup>a</sup>	26 ± 11 <sup>a</sup>	$130 \pm 20^{a}$	$112 \pm 12^{a}$
Wayqecha	Not grazed - burnt	$47 \pm 4^{a}$	$34 \pm 5^{a}$	$70 \pm 10^{a}$	42 ± 21 <sup>a</sup>	179 ± 36 <sup>a</sup>	151 ± 15 <sup>a</sup>
	Grazed - not burnt	$41 \pm 5^{a}$	38 ± 5 <sup>a</sup>	48 ± 8 <sup>a</sup>	24 ± 21 <sup>a</sup>	$135 \pm 20^{a}$	127 ± 16 <sup>a</sup>
	Not grazed - not burnt	$40 \pm 4^{a}$	38 ± 4 <sup>a</sup>	$68 \pm 14^{a}$	43 ± 14 <sup>a</sup>	189 ± 32 <sup>a</sup>	146 ± 24 <sup>a</sup>

Commented [OV15]: Table 3 added!

Commented [OV16]: Names of the two sites included with year of burning



**Figure 3**. Monthly soil temperature (5 cm), air temperature, soil VWC (0-10 cm) and soil  $CO_2$  flux from grazed and non-grazed subplots on sites burned in 2003 (Wayqecha) and 2005 (Acjanaco) and adjacent non burnt sites in the montane grassland. The graph on the right represents the mean  $CO_2$  flux of both burnt sites combined. For  $CO_2$  fluxes, each symbol is a mean of 4 chambers with morning and afternoon measurements combined and standard errors (n = 8) are plotted as error bars. The dotted line represents the mean for that site and the blue band represents the wet season (Oct-March).

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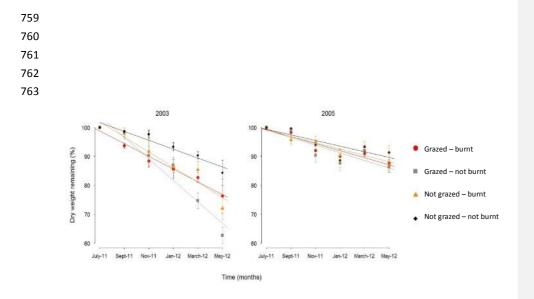


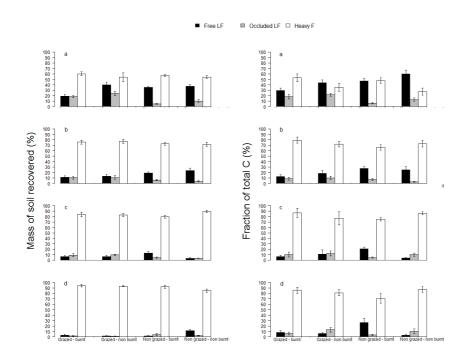
Figure 4 Mass losses (%) of sticks from the decomposition experiment (n = 3) on two burnt sites (2003 = Wayqecha and 2005 = Acjanaco) with grazed subplots and control plots.

**Table 4** Mean mass recovery of density fractions and proportion of total C residing in the three density fractions

 (%) from the total soil profile (0-30 cm). Different letters down the columns represent significant differences.

**Commented [OV17]:** Order of fractions changed to correspond with figure 5

	Free LF		0	cluded LF	Heavy F	
	Fraction of total C (%)	Mass of soil recovered (%)	Fraction of total C (%)	Mass of soil recovered (%)	Fraction of total C (%)	Mass of soil recovered (%)
Grazed - burnt	$14.0 \pm 5.3^{b}$	$9.9 \pm 3.6^{a}$	$10.8 \pm 2.6^{ab}$	9.8 ± 3.4 <sup>ab</sup>	$76.0 \pm 8.0^{a}$	78.4 ± 7.2 <sup>a</sup>
Grazed - not burnt	22.7 ± 13.3 <sup>ab</sup>	$16.2 \pm 8.5^{a}$	8.9 ± 2.1 <sup>bc</sup>	$5.3 \pm 1.6^{bc}$	$68.3 \pm 14.0^{a}$	76.7 ± 8.1 <sup>a</sup>
Not grazed - burnt	19.7 ± 8.3 <sup>ab</sup>	15.1 ± 8.5 <sup>a</sup>	14.2 ± 2.5 <sup>a</sup>	$11.3 \pm 4.7^{a}$	$66.1 \pm 10.5^{a}$	76.6 ± 8.3 <sup>a</sup>
Not grazed - not burnt	$30.0 \pm 5.7^{a}$	19.5 ± 5.5 <sup>a</sup>	5.2 ± 0.8 <sup>c</sup>	$4.3 \pm 0.7^{c}$	$64.7 \pm 6.1^{a}$	69.7 ± 5.8 <sup>a</sup>



**Figure 5** Mass of soil recovered in the three density fractions (%) on the four left bar plots and the proportion of total C residing in the three density fractions (%) on the four right bar plots for the different land uses (a = 0.5 cm, b = 5.10 cm, c = 10.20 cm, d = 20.30 cm). Error bars indicate 1 standard error of the mean (n = 6).