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1. Reply to the comments from Reviewer-1

We are thankful to the reviewer for acknowledging the importance of our work and highlighting the point that spatially and temporally varying compensation depths in the surface restoration models are indeed important. The reviewer also gave important comments to further improve the manuscript. As per his/her comments, analysis has been carried out and the manuscript is revised as below.

A point-by-point reply to reviewer's comment is as follows. For clarity the comments are shown in blue fonts.

1. The introduction is too long with too many unnecessary narrations. I generally have a feeling after reading introduction several times, the paragraphs are not carrying a 'specific message per paragraphs'. Introductions required to be synchronized.

We thank the reviewer for a thorough reading and understanding of the manuscript. We agree to some extent to the reviewer's opinion that the introduction could be trimmed a bit for more clarity and avoid too long unnecessary narrations. This has been resolved in the revised manuscript.

2. The biogeochemical model used in the study requires a little more details.

We kindly request the reviewer to go through the appendix –A of the manuscript where we have given the entire details of the model. We feel that it is better to leave the details in the Appendix to avoid distraction from the main message and to keep the main manuscript more focused. Thank you.

3. Author(s) may explore the possibility of quantifying both the biological and solubility pumps which play an important role in the Indian Ocean upwelling zones.

This was a very valuable suggestion, to further highlight our claim that the biological pump is better represented by the new parameterization. Though this was already stated in the earlier version of the manuscript an explicit quantification and narration was missing. In the revised form we have resolved this issue.

As per the reviewer's suggestions we have conducted two additional simulations to quantify the impact of varying compensation depth in the biological and solubility pumps over the upwelling zones. The simulations were carried out from 1961 to 2010, however for further analysis; the data from 1990 to 2010 is utilized as done in the previous version of this manuscript. In these new simulations more model diagnostics were saved such as explicit profiles of biological pump in terms of DIC and calcite. We have adopted the methodology of Louanchi et. al., (1996) for the computation of the tendency of dissolved inorganic carbon (DIC) caused by the biological and solubility pumps.

The biological effect on DIC is calculated from the biomass production and calcite formation in the production zone expressed as below:

$$\left(\frac{\partial DIC}{\partial t}\right)_b = \left(\frac{\partial PO_4}{\partial t}\right)_b * R_{C:P} - J_{Ca} \quad (1)$$

The total tendency due to DIC in the mixed layer depth is the sum of both the pumps (Louanchi et al., 1996).

$$\left(\frac{\partial DIC}{\partial t}\right)_{total} = \left(\frac{\partial DIC}{\partial t}\right)_b + \int_x \int_y \Phi dx dy \quad (2)$$

Where $\left(\frac{\partial DIC}{\partial t}\right)_b$ is the evolution of DIC due to the impact of biology. $\left(\frac{\partial PO_4}{\partial t}\right)_b$ is the rate of change of phosphate which represents the biological production in the model multiplied by the Redfield ratio ($R_{C:P} = 117:1$) calculated in terms of carbon and J_{Ca} represents the calcite formation in the model. The solubility pump is calculated by integrating the surface fluxes. Results are discussed as below.

Effect of varZc parameterization in strengthening the pump intensity over the selected upwelling regions are shown in Figure 1 (a-d) of this response note. The spatially and temporally varying compensation depth (varZc) strengthened the biological pump and solubility pump in the model as compared to constant Zc simulations.

Figure 1a shows the comparison of both solubility and biological pump over the western Arabian Sea (WAS). The analysis proves that draw down of dissolved inorganic carbon(DIC) from the production zone due to biological effect is increased by the varZc thereby strengthening the biological pump in the model.

The annual mean DIC variation due to biological effect in constZc simulation is $45.49 \pm 14.3 \text{ g C m}^{-2} \text{ yr}^{-1}$. However varZc parameterization increases the DIC variation due to biological pump to $126.6 \pm 24.3 \text{ g C m}^{-2} \text{ yr}^{-1}$. This is clear that the varZc has increased the strength of biological pump as evidenced by the increase in DIC variations of the production zone due to biological effects.

The table 1 summarizes the results of the impact of the biological pump over DIC in the model under constZc and varZc simulations.

| Biological Pump (gC m⁻² yr⁻¹) | constZc | | varZc | |
|--|------------------|--------------------|------------------|--------------------|
| | JJAS Mean | Annual Mean | JJAS Mean | Annual Mean |
| WAS | 45.18 ± 14.8 | 45.49 ± 14.38 | 151.7 ± 23.8 | 126.67 ± 24.3 |
| SLD | 89.39 ± 58.1 | 108.65 ± 48.6 | 156.07 ± 48.4 | 161.15 ± 43.5 |
| SC | 235.54 ± 95.4 | 155.21 ± 67.4 | 319.16 ± 94.9 | 222.92 ± 68.7 |
| SCTR | 30.49 ± 13.4 | 26.81 ± 16.8 | 103.13 ± 19.6 | 83.98 ± 23.6 |

Table 2 summarizes the impact of varZc over the solubility pump in the model

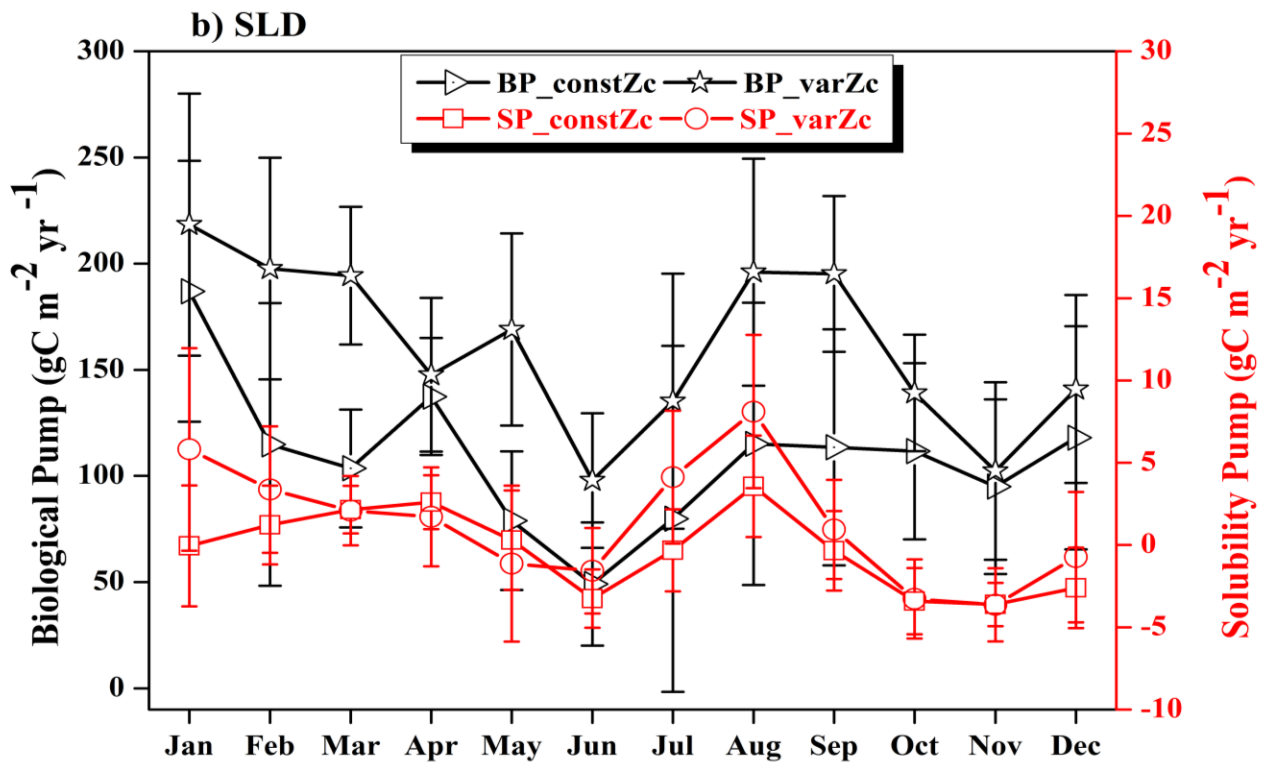
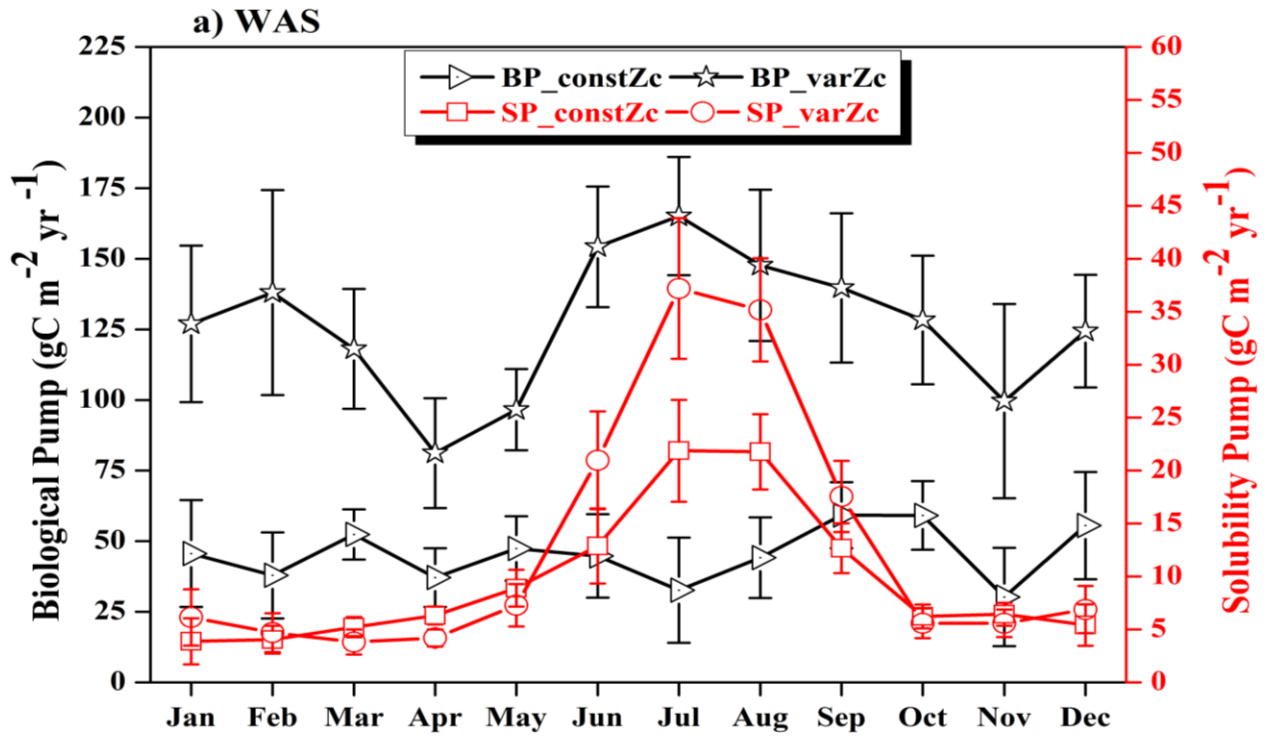
| Solubility Pump (gC m ⁻² yr ⁻¹) | constZc | | varZc | |
|---|-------------|-------------|--------------|-------------|
| | JJAS Mean | Annual Mean | JJAS Mean | Annual Mean |
| WAS | 17.29 ± 3.5 | 9.63 ± 2.1 | 27.72 ± 4.8 | 12.92 ± 2.7 |
| SLD | -0.09 ± 2.4 | -0.32 ± 2.3 | 2.9 ± 3.5 | 1.31 ± 3.5 |
| SC | 7.22 ± 6.9 | 2.56 ± 3.8 | 18.17 ± 12.1 | 6.43 ± 6.0 |
| SCTR | -3.95 ± 3.7 | -0.35 ± 2.3 | -0.61 ± 5.3 | -0.86 ± 2.8 |

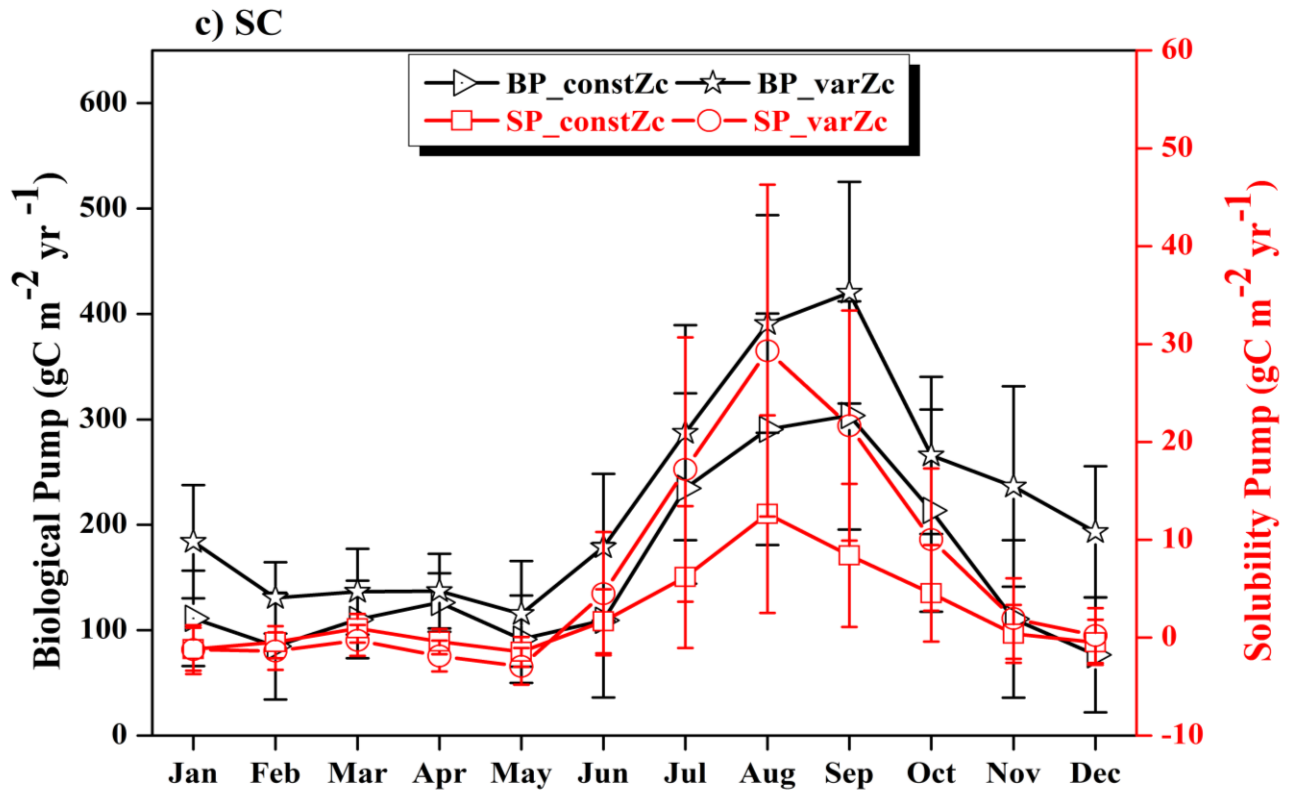
The above analysis clearly shows that the spatially and temporally varying compensation depth significantly affects both solubility and biological pumps in the upwelling zones.

In addition, the results are consistent with the export production profile which is indirectly a measure of the biological pump in the model. These additional findings are now added to the revised manuscript.

Reference

Louanchi. F., N. Metzl., and Alain Poisson: Modelling the monthly sea surface f_{CO2} fields in the Indian Ocean, *Marine Chemistry*, 55, 265 – 279, 1996.





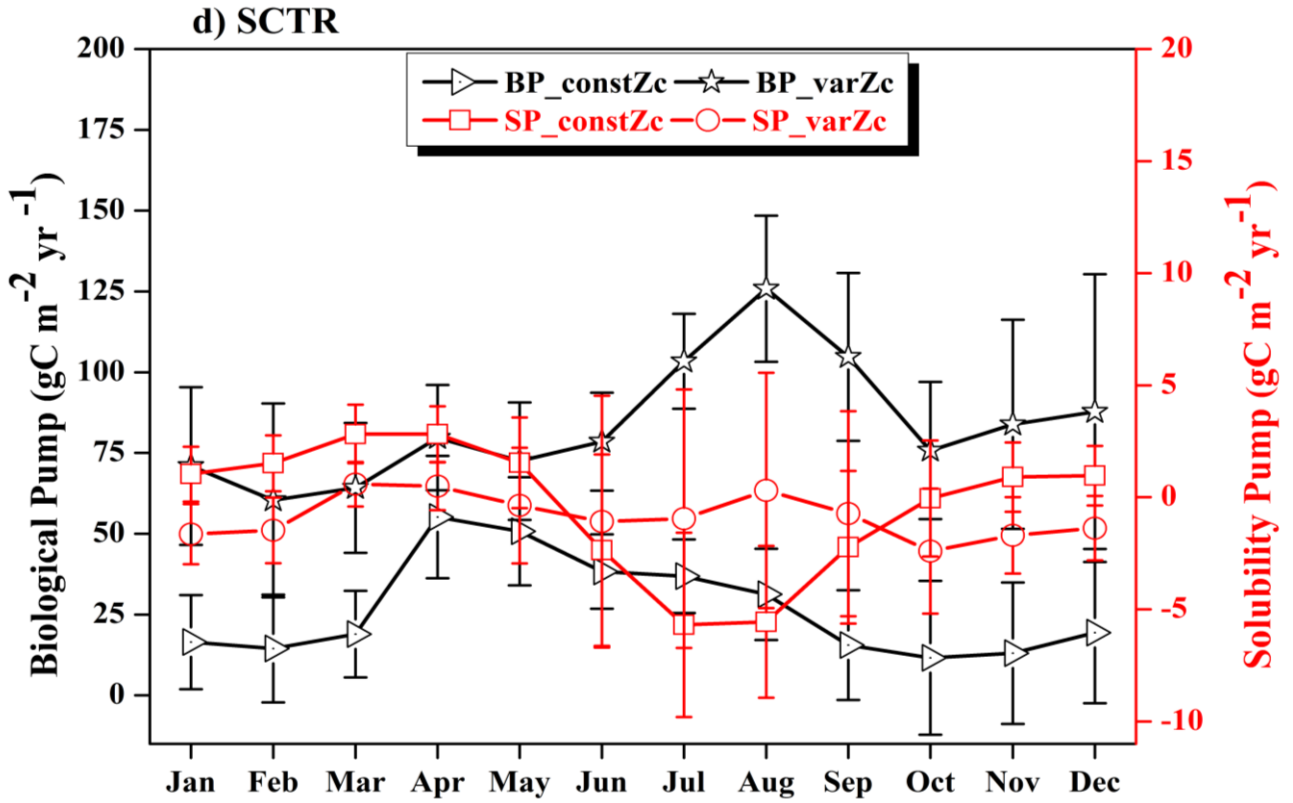


Figure 1(a-d): The strength of the biological pump (black lines) and solubility pump (red lines) as calculated based on Laouchi et al., (1996) equation (Eqs-1 and 2 above). The left axis shows the biological pump and the right axis shows the solubility pump. The units are in $\text{gC m}^2 \text{yr}^{-1}$.

Reply to the comments from Reviewer-2

Reviewer-2 offers very valuable comments especially for clarifying certain wording issues we had in the original manuscript. We sincerely thank the reviewer for enlightening us with the idea of “autotrophic compensation depth” which otherwise will not have been contrasted explicitly versus the community compensation depth. As per the definition from Marra et al., (2014) (thank you for pointing out this paper, this certainly clarified the wording issues we had), the autotrophic compensation depth is the depth where the gross primary production (GPP) balances the autotrophic respiration (R_a). In other words, it is the depth at which the Net Primary Production (NPP) equals zero. (ie. $NPP = GPP - R_a$). We admit that there were some wording issues in the original manuscript about the definition of compensation depth which created the confusion whether the compensation depth defined here is autotrophic compensation depth or community compensation depth, However, here we note that, the Ocean Carbon-cycle Model Intercomparison Project (OCMIP – II) protocol recommends the community compensation depth adapted from (Smetacek and Passow, 1990). According to this definition the community compensation depth is the depth at which the phytoplankton photosynthesis is great enough to balances the community respiration (i.e., both the autotrophic and heterotrophic respiration). At the community compensation depth, the Net Community Production (NCP) is zero. i.e., $NCP = NPP - R_h$ (Marra et al., 2014; Regaudie-de-Gioux and Duarte, 2010; Gattuso et al., 2006). As per the OCMIP-II protocol we have to stick to the community compensation depth, as our intention here is to suggest a spatio-temporal variability to it through Compensation Irradiance (E_{com}). In this framework, E_{com} is again the minimum light irradiance at which phytoplankton photosynthesis is great enough to balance the community respiration and the depth at which the irradiance is equal to the compensation irradiance is referred as community compensation depth (Sarmiento and Gruber, 2006). Therefore there is absolutely no error in this hypothesis as per Sarmiento and Gruber, (2006) as well as Marra et al., (2014) and Regaudie-de-Gioux and Duarte, (2010). Again highlighting the definition of Regaudie-de-Gioux and Duarte (2010), the compensation irradiance for the community metabolism is the irradiance at which gross community primary production balances respiratory carbon losses for the **ENTIRE** community (Gattuso et al., 2006, Regaudie-de-Gioux and Duarte, 2010). This means that the OCMIP-II protocol assumes the compensation depth as the depth

where the gross primary production is equal to the community respiration. Therefore we are accurate in our definitions of compensation depth as per OCMIP-II protocol. At the same time we admit that in the original manuscript it was mentioned as ‘compensation depth is the depth at which the photosynthesis equals planktonic respiration’ (line-214). We corrected this error in the revised manuscript. Thank you.

With the literature survey suggested by Reviewer-2 we are now aware of the alternative definition and concept of autotrophic compensation depth and community compensation depth. However, the present study focuses on parameterizing the spatio-temporally varying compensation depth (i.e., **Community compensation depth** [Smetacek and Passow, 1990; Najjar and Orr, 1998]) as per the OCMIP –II protocol in order to quantify how it impacts the seasonal biases in the carbon cycle since biases were reported as a caveat in model simulations by Orr et al., (2003).

Another important point raised by Reviewer-2 is whether our choice of 10 W m^{-2} cut-off as compensation irradiance (E_{com}) is justified when converted to mole photon/ m^2/day . We argue that this choice is indeed justified especially in view of the following points.

1. Observations show that primary production reduces rapidly to 20% or less of the surface value below threshold of 10 W m^{-2} (Parsons et al., 1984; Ryther, 1956).
2. Higher ocean temperature (those in the tropics) enhances respiration rates resulting in high compensation irradiance (Parsons et al., 1984; Ryther, 1956; Lopez-Urrutia et al., 2006; Regaudie-de-Gioux and Duarte, 2010).
3. Table-1 of Regaudie-de-Gioux and Duarte, (2010) reports 0.4 ± 0.2 mole photon/ m^2/day in case of Arabian Sea which is close to what 10 W m^{-2} .

We have revised the manuscript by taking into account all the comments by the reviewer. A point-by-point reply to reviewer’s comment is as follows. For clarity the comments are shown in blue fonts.

1. Line 121. I disagree with citing “Sarmiento et. al. 2006.” First, It should actually be “Sarmiento and Gruber. 2006” since that is the only citation for 2006. Sarmiento and Gruber is a book, with only one mention of the compensation depth; hardly justifying a

citation when there are whole contributions dealing with it (e.g., Marra et. al., 2014, DSR 83:45-50). Better would be Ryther, from L&O, 1956, but which in the references is listed as “2003.”

We apologize for the citation error in the manuscript. We corrected the citations, Sarmiento and Gruber, (2006) and Ryther, (1956). As per your suggestion a literature review has been conducted on Marra et al., (2014) and further added discussions based on this paper. Please see Page-6, Line-115 and Page-12, Line 257 of the revised manuscript.

2. Line 120. They use the old symbol for irradiance. Use ‘E’.

Modified the symbol for compensation irradiance as ‘ E_{com} ’.

3. Line 124: “Suppressed”? Not Suppressed, but the growth will be negative, phytoplankton will decline through respiration.

Corrected as suggested. Please see Page-6, Line-113.

4. Line 125: Here is the crux of the matter. The authors continually confuse the community compensation depth with the autotrophic compensation depth. I have argued that the latter is more appropriate, since if autotrophic production is negative, the community compensation depth will be 0m: at the ocean’s surface. The compensation irradiance is not where “planktonic photosynthesis” equals respiration, it is where GROSS photosynthesis = autotrophic respiration.

It seems as if the authors want the community compensation depth (See papers Carlos Duarte, e.g.), and that’s ok. They just have to define their parameters. Najjar and Keeling (1997), based on oxygen distributions can give only the community compensation depth.

Responses to comment #4 and 5 are combined below.

5. Line 141: work in units of quanta, not energy. I’ve made the conversion and it appears that is equivalent to 1.7 mol photons/m²/d. or about 6% of the total daily surface

irradiance. For a community compensation irradiance, that might be ok, but I don't agree that that is the right parameter.

A better way to get the compensation depth is to use the base of the chlorophyll-a maximum as the bottom of the euphotic zone. There is justification for this experimentally (Marra et. al., 2014), and also intuitively, in that it captures all the autotrophic biomass. This of course is the autotrophic compensation depth, which I argue is better for modeling purposes than a community compensation depth.

Reply 4 & 5

Thank you for pointing out the wording confusion in the manuscript writing regarding the definition of compensation depth and educating us about the autotrophic compensation depth. We want to emphasize that we are parameterizing a **spatio-temporally varying compensation depth** in the OCMIP –II protocol which is **community compensation depth**, not autotrophic compensation depth. The definition is adopted as “the depth at which the phytoplankton photosynthesis is great enough to balance the community respiration or the depth at which compensation irradiance for community metabolism is satisfied [the irradiance at which gross community primary production balances respiratory carbon losses for the entire community] (Gattuso et al., 2006; Regaudie-de-Gioux and Duarte, 2010; Sarmiento and Gruber, 2006). As per the protocol of OCMIP-II we adopt the community compensation depth because of the following reasons:

- (a) The OCMIP –II protocol defines it clearly as a community compensation depth above which is the production zone and below is the consumption zone (Najjar and Orr, 1998). And the OCMIP –II models are very successful in simulating the annual mean state of the carbon cycle. This also allows us a direct comparison with previous results.
- (b) If we introduce the autotrophic compensation depth, which is depth at which photosynthesis is equal to autotrophic respiration, we will lose the contribution of inorganic carbon sources from the heterotrophic respiration (Regaudie-de-Gioux and

Duarte, 2010) and there is a possibility that this will affect the annual mean carbon cycle which is net effect of both autotrophic as well as heterotrophic respiration.

However we do agree that in the original manuscript there were confusions in the wording of our definitions, which we have revised. Please see Page-5, Line-106-110 and Page-11, Line-242-243.

6. Line 252: Again, there is a confusion about which compensation depth the authors are referring to. My guess is that Smetacek and Passow (1990) are talking about the community compensation depth, whereas what is mentioned here is the autotrophic compensation depth.

Corrected accordingly.

7. Line 262: Ryther (2003) ??

The rest of the ms is the working out of the model results, which I can't really comment on. But the results all stem from the compensation depth. It is not clear to me whether the model currency is oxygen or carbon.

Corrected Ryther (2003) as Ryther (1956).

The model currency for OCMIP –II protocol is Phosphate and Dissolved Inorganic Carbon (Najjar and Orr, 1998).

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Sarmiento, J. L., and Gruber, N.: Ocean Biogeochemical Dynamics, Princeton University Press, New Jersey, 2006

Smetacek, V., and Passow, U.: Spring bloom initiation and Sverdrup's critical depth model, *Limnol. Oceanogr.*, 35, 228 – 234, doi: 10.4319/lo.1990.35.1.0228, 1990.

1 **Optimization of Biological Production for Indian Ocean upwelling zones: Part – I:**
2 **Improving Biological Parameterization via a variable Compensation Depth**

3

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20

21 **Abstract**

22

23 Biological modeling approach adopted by the Ocean Carbon Cycle Model Inter-comparison
24 Project (OCMIP-II) provided amazingly simple but surprisingly accurate rendition of the annual
25 mean carbon cycle for the global ocean. Nonetheless, OCMIP models are known to have
26 seasonal biases which are typically attributed to their bulk parameterization of ‘compensation
27 depth’. Utilizing the principle of minimum solar radiation for the production and its attenuation
28 by the surface Chl-a, we have proposed a new parameterization for a spatially and temporally
29 varying ‘compensation depth’ which captures the seasonality in the production zone reasonably
30 well. This new parameterization is shown to improve the seasonality of CO₂ fluxes, surface
31 ocean pCO₂, biological export and new production in the major upwelling zones of the Indian
32 Ocean. The seasonally varying compensation depth enriches the nutrient concentration in the
33 upper ocean yielding more faithful biological exports which in turn leads to an accurate
34 seasonality in carbon cycle. The export production strengthens by ~70% over western Arabian
35 sea during monsoon period and achieved a good balance between export and new production in
36 the model. This underscores the importance of having a seasonal balance in model export and
37 new production for a better representation of the seasonality of carbon cycle over upwelling
38 regions. The study also implies that both the biological and solubility pumps play an important
39 role in the Indian Ocean upwelling zones.

40

41 Keywords: Indian Ocean upwelling zones, Carbon cycle, Seasonal cycle - CO₂ flux and Oceanic
42 pCO₂, Biogeochemical model parameterization, Export production - New production balance,
43 **Solubility and Biological pump.**

44

45 1. Introduction

46 ~~Among the world's oceans,~~ Indian Ocean is characterized ~~with by the a~~ unique seasonally
47 reversing ~~monsoon~~ wind systems ~~which act as called monsoon winds.~~ ~~The monsoon winds are~~
48 the major physical drivers for the coastal and open ocean upwelling ~~processes.~~ ~~in the Indian~~
49 ~~Ocean.~~ The major upwelling systems in the Indian Ocean are (1) the western Arabian Sea (WAS;
50 Ryther et. al., 1965, Smith et. al., 2001, Sarma., 2004, Wiggert et. al., 2006, Murtugudde et. al.,
51 2007, McCreary et. al., 2009, Prasanna Kumar et. al., 2010, Naqvi et. al., 2010, Roxy et al.,
52 2015) (2) the ~~Sri Lanka~~ Dome (SLD; Vinayachandran et al., 1998, 2004), (3) Java and Sumatra
53 coasts (SC; Murtugudde et al., 1999, Susanto et. al., 2001, Osawa et. al., 2010, Xing et. al., 2012)
54 and (4) the Seychelles-Chagos thermocline ridge (SCTR; ~~Murtugudde et al., 1999;~~
55 Dilmahamod., 2016, Figure 1). The physical and biological processes and their variability over
56 these key regions are inseparably tied to the strength of the monsoon winds and associated
57 nutrient dynamics. The production and ~~its~~ variability ~~in the over these~~ coastal upwelling systems
58 are a key concern ~~to~~for the fishing community, since they affect the day-to-day livelihood of the
59 coastal populations (Harvell et. al., 1999, Roxy et. al., 2015, Praveen et al., 2016). ~~Coastal~~
60 ~~upwelling systems account for about 11% of the world oceanic biological production (Prasanna~~
61 ~~Kumar et al., 2001, Wiggert et al., 2005, Levy et al., 2007, McCreary et al., 2009, Liao et. al.,~~
62 ~~2016)~~ and are ~~especially~~ important for the Indian Ocean rim countries due to their developing
63 ~~country~~ status.

64 Arabian Sea is a highly productive coastal upwelling system characterized by phytoplankton
65 blooms both in summer (Prasanna Kumar et al., 2001, Naqvi et al., 2003, Wiggert et al., 2005)
66 and winter (Banse K. et. al., 1986, Schubert et. al., 1998, Wiggert et. al., 2000, Barber et. al.,
67 2001, Prasannakumar et al., 2001, Sarma., 2004). Arabian Sea is known for the second largest

68 Tuna fishing region ~~in the Indian Ocean among all oceans~~ (Lee et al., 2005). The Somali and
69 Omani upwelling regions experience phytoplankton blooms that are prominent with Net Primary
70 Production (NPP) exceeding ~~438.29435~~ g C m⁻² yr⁻¹ (Liao et. al., 2016). On the other hand
71 productivity over the SLD (Vinayachandran and Yamagata, 1998), in the sea of Sri Lanka is
72 triggered by an open ocean Ekman suction. ~~SLD shows with~~ strong Chl-a blooms during the
73 summer monsoon (Murtugudde et al., 1999, Vinayachandran et al., 2004). ~~Compared to the~~
74 ~~Arabian Sea this bloom lasts for more than four months due to the impact of biogeochemistry of~~
75 ~~the region (Vinayachandran et. al., 2004). During the winter monsoon, the southwest Bay of~~
76 ~~Bengal is also characterized by Chl-a blooms associated with the intense cyclonic activities~~
77 ~~(Vinayachandran and Mathew, 2003).~~ Similarly the SC upwelling is basically due to the stronger
78 alongshore winds and its variation is associated with impact of equatorial and coastal Kelvin
79 waves (Murtugudde et. al., 2000). The interannual variability associated with the Java-Sumatra
80 coastal upwelling is strongly coupled with ENSO (El-Niño Southern Oscillation) through ~~the~~
81 ~~Walker cell and~~ Indonesian throughflow (Susanto. et. al., 2001, Valsala et al., 2011) and peaks
82 in July through August with a potential new production of 0.1 Pg C yr⁻¹ (Xing et. al., 2012). The
83 SCTR ~~region~~ productivity has a large spatial and interannual variability. The warmer upper
84 ocean condition associated with El Niño reduces the amplitude of ~~the~~ subseasonal SST
85 variability over the SCTR (Jung and Kirtman., 2016). The Chl-a concentration peaks in summer
86 when the southeast trade winds induce mixing and initiate the upwelling of nutrient-rich water
87 (Murtugudde et. al., 1999, Wiggert et al., 2006, Vialard. J. et. al., 2009, Dilmahamod et al.,
88 2016).

89 Understanding the biological production and variability in the upwelling systems ~~are-is~~
90 important because it gives us crucial information regarding marine ~~speieiesecosystem~~ variability

91 (Colwell, 1996, Harvell et al., 1999). The observations also provide vital insights into physical
92 and biological interactions of the ecosystem (Naqvi et al., 2010) ~~as well as the biophysical~~
93 ~~feedbacks (Murtugudde et al., 2001)~~ although limitations of sparse observations often force us to
94 depend on models to examine the large spatio-temporal variability of the ecosystem (Valsala et
95 al., 2013). Simple to inter-mediate complex marine ecosystem models have been employed by
96 several of the previous studies (Sarmiento et. al., 2000, Orr. et. al., 2001, Matsumoto et. al.,
97 2008). However the representation of marine ecosystem ~~variability—by~~ ~~with~~ proper
98 parameterizations in models has always been a daunting task. This is ~~an~~ impediment to the
99 accurate representation of biological primary and export productions in models (Friedrichs et al.,
100 2006, 2007) and ~~these parameterization~~-issues also impact the modeling of upper trophics levels
101 (Lehodey et al., 2010).

102 Biological production can be quantified with a better understanding of primary production ~~by~~
103 ~~phytoplankton. Primary production—~~which depends on water temperature, light and nutrient
104 availability (Brock. et. al., 1993, Moisan et. al., 2002) and this became the key reason for
105 parameterizing the production in models as one or more combinations of these terms (Yamanaka
106 et. al., 2004). Any of these ~~basic~~ parameters can be tweaked to alter production in models. For
107 example the availability of nutrients and light determines the phytoplankton growth (Eppely et
108 al., 1972) or growth rate (Boyd et. al., 2013). Stoichiometry and carbon-to-Chl-a ratios are other
109 important factors to be considered in modeling (Christian et al., 2001, Wang et al., 2009) but we
110 will not consider them in this study.

111 ~~In 1995, an initiative by the IGBP/GAIM (International Geosphere Biosphere~~
112 ~~program/Global Analysis, Integration and Modeling) and IGBP/JGOFS (Joint Global Ocean~~
113 ~~Flux Study) to study carbon cycle referred to as~~ The Ocean Carbon cycle Model Intercomparison

114 Project (OCMIP) greatly improved our understanding of global carbon cycle (~~Raymond~~-Najjar
115 and ~~James~~-Orr, 1998). OCMIP-II further introduced a simple phosphate dependent production
116 term in biological models for long term simulations of carbon cycle **in response to anthropogenic**
117 **climate change with an accurate annual mean state** (Najjar et al, 1998, Orr et al., 2001, Doney et.
118 al., 2004). ~~Although OCMIP – II is a very simplified model, it is surprisingly accurate in~~
119 ~~simulating the annual mean state and the response to anthropogenic climate change (Orr et al.,~~
120 ~~2001, Doney et. al., 2004).~~ However, the OCMIP – II model simulations comes with a penalty of
121 higher seasonal biases when compared with observations (Orr et al., 2003). In this protocol the
122 light limitation is formulated as a bulk quantity with the notion that the minimum light irradiance
123 at which phytoplankton photosynthesis is sufficient to balance **the community respiration, E_{com} ,**
124 is the compensation irradiance (**Sarmiento and Gruber, 2006**) and the depth at which the
125 phytoplankton photosynthesis equals whole community respiration is the **community**
126 **compensation depth Z_c** (Smetacek and Passow, 1990, **Gattuso et. al., 2006, Regaudix-de-Gioux**
127 **and Duarte, 2010, Marra et. al., 2014**), ~~Note that Z_c is which is~~ clearly different from the
128 conventional euphotic zone depth (Morel., 1988). If the irradiance is ~~below less than E_{com} ,~~ **the**
129 **growth will be negative, phytoplankton will decline through respiration.** If the irradiance is
130 ~~above this larger than E_{com} ,~~ the planktonic photosynthesis will exceed the community respiration
131 and production will increase (Parsons et. al., 1984, **Sarmiento and Gruber, 2006**). Therefore the
132 compensation depth represents the oceanic production zone in this approach. However, Z_c was
133 held constant in time and space in OCMIP-II models (~~Raymond~~-Najjar and ~~James~~-Orr, 1998,
134 Matsumoto et. al., 2008) though in reality Z_c varies in space and time (Najjar and Keeling,
135 1997) just as the euphotic zone depth does as documented in ship measurements (Qasim, 1977,
136 1982). The variation in compensation depth indicates the seasonality of the production zone

137 itself. ~~Availability of light and nutrients at an optimum level is clearly essential for primary~~
138 ~~production.~~

139 Most of the biophysical models prescribe a constant value for compensation depth (e.g.,
140 $Z_c = 75\text{m}$ in OCMIP –II protocol (Najjar and Orr, 1998), $Z_c = 100\text{m}$ for Minnesota Earth
141 System Model (Matsumoto et. al., 2008) ~~although in reality it is not a constant.~~ Depending on the
142 latitude, compensation depth varies between 50m and 100m in the real world (Najjar and
143 Keeling, 1997). In our study we have attempted a novel biological parameterization scheme for
144 spatially and temporally varying **community** compensation depth in the **OCMIP–II** framework
145 by representing it as a function of optimum solar radiation (Parsons et. al., 1984) and Chl-a
146 availability. ~~In this hypothesis, the compensation irradiance is taken as 10 W m^{-2} and calculated~~
147 ~~its depth from a Chl-a attenuated solar radiation in order to yield spatially and temporally~~
148 ~~varying Z_c . the minimum solar radiation required for photosynthesis is taken as 10 W m^{-2} below~~
149 ~~which the production reduces to 20% (Parsons et. al., 1984) and the Chl-a concentration which~~
150 ~~determines the attenuation of solar radiation with depth in the production zone is also assumed to~~
151 ~~vary to yield the spatio-temporal variability of Z_c . The basic currency of phosphate will act as~~
152 ~~limiting factor for Phosphate is the basic currency which limits biological production within this~~
153 varying compensation depth. This spatially and temporally varying compensation depth
154 represents the seasonality in the production zone **which is lacking originally in OCMIP-II.**

155 Regions of sustained upwelling like the eastern equatorial Pacific are well understood in
156 terms of the role of upwelling in increasing the surface water $p\text{CO}_2$ to drive an outgassing of CO_2
157 into the atmosphere (Feely et al., 1999, Valsala et al., 2014). The Indian Ocean on the other hand
158 only experiences seasonal upwelling which is relatively weak in the deep tropics but stronger off
159 the coasts of Somalia and Oman and in the SLD ~~region~~ (Valsala et al., 2013). The relative

160 importance of the solubility vs. biological pump is not well understood. Our focus here on
161 implementing seasonality in the compensation depth of OCMIP models nonetheless leads to new
162 insights on the impact of improved biological production on surface water pCO₂ and air-sea CO₂
163 fluxes. The largely positive effects of the variable compensation depth over the Indian Ocean and
164 the sensitivity experiments where upwelling is muted strongly imply that the biological pump
165 may play as much of a role as the solubility pump in determining surface pCO₂ and CO₂ fluxes
166 **over the Indian Ocean.**

167 The paper is organized as follows. Model, Data and Methodology are detailed in
168 Section 2. The spatially inhomogeneous Z_c derived out of the new parameterization and its
169 impact in simulated seasonality of biology and carbon cycle are detailed in Section 3. Further
170 results and discussion are followed in Section 4 and a conclusion is given in Section 5.

171 **2. Model, Data and Methods**

172 **2.1. Model**

173 The study utilizes the Offline Ocean Tracer Transport Model (OTTM); (Valsala et al., 2008)
174 coupled with OCMIP biogeochemistry model (Raymond-Najjar and James Orr, 1998). OTTM
175 does not compute currents and stratifications (i.e., temperature and salinity) on its own. It is
176 capable of accepting any ocean model or data-assimilated product as physical drivers. The
177 physical drivers prescribed include 4-dimensional currents (u,v), temperature, salinity, and 3-
178 dimensional mixed layer depth, surface freshwater and heat fluxes, surface wind stress and sea
179 surface height. The resolution of the model setup is similar to the parent model from which it
180 borrows the physical drivers. With the given input of Geophysical Fluid Dynamics Laboratory
181 (GFDL) reanalysis data, the zonal and meridional resolutions are 1° with 360 grid points

182 longitudinally and 1° at higher latitudes but having a finer resolution of 0.8° in the tropics, with
183 200 ~~latitudinal~~ grid points, respectively. The model has 50 vertical levels with 10m increment in
184 the upper 225m and stretched vertical levels below 225m. The horizontal grids are formulated in
185 spherical co-ordinates and vertical grids are in z levels. The model employs a B-grid structure in
186 which the velocities are resolved at corners of the tracer grids. The model uses a centered-in-
187 space and centered-in-time (CSCT) numerical scheme along with an Asselin-Robert filter
188 (Asselin., 1972) to control the ripples in CSCT.

189 The tracer concentration (C) evolves with time as

$$190 \frac{\partial C}{\partial t} + U \cdot \nabla_H C + W \frac{\partial C}{\partial z} = \frac{\partial}{\partial z} K_z \frac{\partial C}{\partial z} + \nabla_H \cdot (K_h \nabla_H C) + \Phi \quad (1)$$

191 where ∇_H is the horizontal gradient operator, U and W are the horizontal and vertical velocities
192 respectively. K_z is the vertical mixing coefficient, and K_h is the two-dimensional diffusion
193 tensor. Φ represents any sink or source due to the internal consumption or production of the
194 tracer as well as the emission or absorption of fluxes at the ocean surface. Here, the source and
195 sink term are provided through the biogeochemical model. Vertical mixing is resolved in the
196 model using K- profile parameterization (KPP) (Large et al., 1994).

197 In addition to KPP, the model uses a background vertical diffusion reported by Bryan and Lewis
198 (Bryan and Lewis., 1979) ~~in order to represent the convection and mixing that happens in a time~~
199 ~~scale of a few days.~~ For horizontal mixing model incorporates Redi fluxes (Redi., 1982) and GM
200 fluxes (Gent and McWilliams., 1990) which ~~accommodate~~ ~~represent~~ the eddy-induced variance
201 ~~from~~ the mean in the tracer transport. A weak Laplacian diffusion is also included in the model
202 for computational stability where sharp gradient ~~of~~ concentration occurs.

203 The biogeochemical model used in the study is based on the OCMIP – II protocol as
204 stated above. The main motivation of OCMIP–II model design is to simulate the ocean carbon
205 cycle with ~~reductionist~~ restoration approach to ocean biology using appropriate biogeochemical
206 parameterizations. The major advantage of the OCMIP – II protocol is (i) it reproduces the first
207 order carbon cycle and the associated elemental cycles in the ocean reasonably well and (ii) it is
208 much easier to implement and computationally efficient than the explicit ecosystem models. The
209 present version of the model has five prognostic variables coupled with the circulation field, viz.,
210 inorganic phosphate (PO_4^{3-}), dissolved organic phosphorous (DOP), oxygen (O_2), dissolved
211 inorganic carbon (DIC) and alkalinity (ALK). In order to retrieve the accurate spatial and
212 temporal distribution of CO_2 flux and pCO_2 , the model uses a “nutrient restoring” approach
213 (Najjar et. al., 1992, Anderson and Sarmiento., 1995) for biological production. The basic
214 currency for biological production in the model is phosphate because of the availability of a
215 more extensive database and to eliminate the complexities associated with nitrogen fixation and
216 denitrification. The biogeochemical dynamics implemented in the model ~~and the calculations of~~
217 ~~solubility and biological pump~~ are ~~given~~ provided in Appendix-A

218 The air – sea CO_2 flux in the model is estimated by,

$$219 \quad F = K_w \Delta pCO_2 \quad (2)$$

220 where K_w is gas transfer velocity and ΔpCO_2 is the difference in partial pressure of carbon
221 dioxide between the ocean and atmosphere. The design and validation of the physical model is
222 reported by Valsala et. al.,(2008, 2010) and biogeochemical design by Najjar and Orr (1998).

223 **2.2. Data**

224 The present setup of the model uses ocean reanalysis products based on MOM-4
225 (Modular Ocean Model) developed by GFDL (Chang et al., 2012). Monthly data from 1961 to
226 2010 were utilized in the present study. For validating the results observational datasets of CO₂
227 flux and pCO₂ were taken from Takahashi et al., (2009). Satellite derived Net Primary
228 Production data were taken from Sea-viewing Wide Field of view sensor (SeaWiFS) Chl-a
229 product, calculated using Vertically Generalized Production Model (VGPM) (Behrenfeld and
230 Falkowski, 1997). The initial conditions for PO₄ and O₂ were taken from World Ocean Atlas
231 ~~(Conkright et al., 1994)~~ (Garcia et al., 2014). Initial conditions for DIC and ALK were taken
232 from the Global Ocean Data Analysis Project (GLODAP; Key et al., 2004) dataset. The data
233 sources and citations are given provided in the Acknowledgement.

234 **2.3. Methods**

235 A spin-up for 50 years from the given initial conditions ~~are~~is performed with the
236 climatological physical drivers. Because the initial conditions were provided from a mean state
237 observed climatology this duration of spin-up is sufficient to reach statistical equilibrium in the
238 upper 1000 m (Le Quere et al., 2000). Atmospheric pCO₂ has been set to a value from the 1950s
239 in the spin-up run for calculating the air-sea CO₂ exchange. A seasonal cycle of atmospheric
240 pCO₂ has been prescribed.

241 After the spin-up, an interannual simulation for 50 years from 1961 to 2010 has been
242 carried out with the corresponding observed atmospheric pCO₂ described in Keeling et al,
243 (1995). The first five years of the interannual run were looped five times through the physical
244 fields of 1961 repeatedly for a smooth merging of the spin-up restart to the interannual physical
245 variables. Since the study is focused only on bias corrections to the seasonal cycle with a

246 variable Z_c , a model climatology has been constructed from 1990 to 2010. This includes the
247 anthropogenic increase of oceanic DIC in the climatological calculation and is comparable with
248 the Takahashi et al. (2009) observations.

249 Additional two sensitivity experiments have been performed separately by providing
250 annual mean currents or temperatures as drivers over selected regions of the basin for
251 segregating the role of varying compensation depth (varZc) in improving the seasonality of
252 carbon cycle and biological production. The model driven with annual mean currents suppress
253 the effect of upwelling by muting the Ekman divergence over the region of interest. On the
254 other hand, the model forced with annual mean temperatures suppresses the cooling effect of
255 upwelling. This will highlight the effect of new parameterization in simulating seasonality of
256 carbon cycle and biological production. A smoothing technique with linear interpolation
257 ($u = u(1 - x) + \bar{u}x$) is applied to the offline-data in order to blend the annual mean fields (\bar{u})
258 ~~given~~provided to the selected region with the rest of the domain (u) ~~in order~~to reduce ~~a~~ the
259 sudden transition at the boundaries.

260 **2.4. Community Compensation depth (Z_c) parameterization**

261
262 The OCMIP – II ~~simulation~~ protocol separates the production and consumption zones by
263 a depth termed as compensation depth (Z_c); ~~the depth at which phytoplankton photosynthesis is~~
264 ~~large enough to balance the community respiration (i.e., both the autotrophic and heterotrophic~~
265 ~~respiration). At the community compensation depth, the Net Community Production (NCP) is~~
266 ~~zero i.e., $NCP = NPP - R_h = 0$, where NPP is Net Primary Production (i.e. $NPP = GPP - R_a$),~~
267 ~~GPP is gross primary production, and R_h and R_a are the heterotrophic and autotrophic~~
268 ~~respirations, respectively (Smetacek and Passow., 1990, Najjar and Orr, 1998, Gattuso et. al.,~~

269 2006, Regaudix-de-Gioux and Duarte, 2010, Marra et. al., 2014). ~~the depth at which~~
270 ~~photosynthesis is equal to respiration of the photosynthetic community (Smetacek and Passow.,~~
271 ~~1990).~~ The light intensity at compensation depth is compensation irradiance (E_{com}), the
272 irradiance at which the gross community primary production balances respiratory carbon losses
273 for the entire community (Gattuso et. al., 2006, Regaudix-de-Gioux and Duarte, 2010). ~~(I_c) with~~
274 ~~larger values at higher temperatures since respiration is temperature dependent (Parsons et. al.,~~
275 ~~1984, Ryther, 1956).~~ We define a spatially and temporally varying compensation depth
276 (hereinafter varZc) as a depth where **compensation irradiance** (attenuated by surface Chl-a,
277 Jerlov et al., 1976) reaches a minimum value of 10 W m^{-2} . In this way the varZc has both spatio-
278 temporal variability of light as well as Chl-a ~~data~~. The Chl-a is given as monthly climatology as
279 constructed from the satellite data. Observations show that the primary production reduces
280 rapidly to 20% or less of the surface value below a threshold of 10 W m^{-2} (Parsons et.al., 1984,
281 Ryther, 1956, Sarmiento and Gruber, 2006). Moreover higher ocean temperature (those in the
282 tropics) enhances the respiration rates resulting in high compensation irradiance (Parsons et. al.,
283 1984, Ryther, 1956, Lopez-Urrutia et. al., 2006, Regaudix-de-Gioux and Duarte, 2010). A study
284 by Regaudix-de-Gioux and Duarte (2010) reported the mean value of compensation irradiance of
285 Arabian Sea as $0.4 \pm 0.2 \text{ mol photon m}^{-2} \text{ day}^{-1}$ which is close to $10 \text{ W m}^{-2} \text{ day}^{-1}$.

286 Figure 2 compares the scatter of average relative photosynthesis within varZc as a
287 function of solar radiation for the Indian Ocean. This encapsulate the corresponding curve from
288 the observations for the major phytoplankton species in the ocean such as diatoms, green algae
289 and dinoflagellates (Ryther et al., 1956, Parsons et al., 1984, Sarmiento and Gruber, 2006). The
290 model permits 100% relative photosynthesis for radiation above 50 W m^{-2} . However the
291 availability of phosphate concentration in the model act as an additional limiter for production

292 which indirectly represents the photoinhibition at higher irradiance, for example oligotrophic
293 gyres.

294

295 3. Results and Discussions

296 The inclusion of seasonality in Z_c by way of parameterizing $\text{var}Z_c$ leads to a remarkable
297 spatio-temporal variability in compensation depth (Figure 3). The compensation depth over the
298 Arabian Sea varies from 10m to 25m during **December to February** (DJF) and deepens up to 45m
299 during **March to May** (MAM) in par with incoming solar radiation. During the monsoon season
300 **i.e., June to September** (JJAS), the compensation depth again shoals to 10m-35m due to the
301 attenuation of solar radiation by the increased biological production (Chl-a). During **October to**
302 **November (OCT-NOV)** the Z_c slightly deepens as compared to JJAS.

303 The Bay of Bengal compensation depth deepens from 35m to 40m during DJF and further
304 deepens to 50m during MAM when the solar radiation is maximum and biological production is
305 minimum (Prasannakumar et al., 2002). Further reduction of compensation depth can be seen
306 through JJAS as a result of reduction in solar radiation during monsoon cloud cover. The Z_c
307 during Oct – Nov is **35m on an average of 35m**. However, caution is needed since the Bay of
308 Bengal is dominated by freshwater forcing from rivers and precipitation and temperature
309 inversions occur routinely (Howden and Murtugudde., 2001, Vinayachandran et. al., 2013). The
310 impact of these factors on compensation depth variability is not clear and is not addressed here.

311 The equatorial Indian Ocean can be seen as a belt of 40m-45m **deep** compensation depths
312 throughout the season except for JJAS. During JJAS, a shallow compensation depth is seen near
313 the coastal Arabian Sea (around 10m to 35m) presumably due to the coastal Chl-a blooms. Deep

314 compensation depth off the coast of Sumatra (~ 40m to 50m) is found during JJAS. Java-
315 Sumatra coastal upwelling is centered on **September to November** (Susanto et al., 2001) and
316 upwelling originates at around 100m deep (**Valsala and Maksyutov, 2010; Xing et al., 2012**).

317 Southward of 10°S in the oligotrophic gyre region, the compensation depth varies from 40m to
318 more than 60m throughout the year. A conspicuous feature observed while parameterizing the
319 solar radiation and Chl-a dependent Z_c is that its maximum value never crosses 75 m especially
320 in the Indian Ocean which is the value specified in OCMIP-II models. The cutoff depth of 75 m
321 in OCMIP-II is obtained from observing the seasonal variance in the oxygen data (Najjar and
322 Keeling, 1997) as an indicator of production zone. However, **our results show that**
323 parameterizing a production zone based on optimum solar radiation and Chl-a (Parsons et al.,
324 1984) predicts a production zone and its variability that is largely less than 75 m. The
325 consequence of this in the seasonality of the modeled carbon cycle is illustrated as follows.

326 **3.1. Simulated seasonal cycle of pCO₂ and CO₂ fluxes**

327 The annual mean biases in simulated CO₂ fluxes and pCO₂ were evaluated by comparing
328 with Takahashi et al., (2009) observations (Figure 4). The model biases are significantly reduced
329 with the implementation of varying Z_c compared to that of the constant Z_c . A notable reduction in
330 pCO₂ bias (by ~ 10µatm) is observed along the WAS region [Figure (4d)].

331 In order to address the role of the new biological parameterization of a variable
332 compensation depth, we extended our study by choosing four key regions where the biological
333 production and CO₂ fluxes are prominent in the Indian Ocean with additional sensitivity
334 experiments (see Introduction and references therein). The boxes we considered are, (1) Western
335 Arabian Sea (WAS) **}; 40°E:65°E, 5°S:25°N}** (2) Sri Lanka Dome (SLD) **}; 81°E:90°E, 0°:10°N}**

336 (3) Seychelles-Chagos Thermocline Ridge (SCTR; ~~50°E:80°E, 5°S:10°S~~) and (4) Sumatra
337 Coast (SC) ~~90°E:110°E, 0°:10°S~~; Figure 1). The seasonal variations of Z_c over these selected
338 key regions are shown in Figure 5. A detailed analysis of CO_2 fluxes, pCO_2 , biological export
339 and new production **and the impact of var Z_c simulations in improving the strength of biological**
340 **pump and solubility pump** for these key regions are presented below.

341

342 3.2. Western Arabian Sea (WAS) **region**

343 The WAS Z_c has a double peak pattern over the annual cycle. Over the February-March
344 period Z_c deepens up to a maximum of 43.85 ± 2.3 m into March and then shoals to 25.75 ± 1.5
345 m (Fig 5) during the monsoon period (uncertainty represents the interannual standard deviations
346 of monthly data from 1990-2010). This shoaling of compensation depth during the monsoon
347 indicates the potential ability of the present biological parameterization to capture the wind
348 driven upwelling related production in the WAS. During the post monsoon period, the second
349 deepening of compensation depth occurs during November with a maximum depth of $34.91 \pm$
350 2.2 m. The ability to represent the seasonality of biological production zone renders a unique
351 improvement in CO_2 flux variability especially in the WAS region in comparison to the OCMIP-
352 II experiments (Orr et al, 2003, Figure (6a)).

353 OCMIP –II simulations with a constant Z_c of 75 m underestimate the CO_2 flux when
354 compared to the observations of Takahashi et al. (2009). This underestimation is clearly visible
355 during monsoon period. Our simulations with ~~the present biological parameterization having a~~
356 ~~spatially and temporally varying compensation depth variable Z_c results~~ in a better seasonality of
357 CO_2 flux when compared with Takahashi et al. (2009) observations (Figure 6a). The

358 improvement brought about by the varying variable Zc scheme is able to represent the
359 seasonality of CO₂ flux especially during the monsoon period, when wind driven upwelling is
360 dominant. Obviously the relative role of the biological and solubility pumps have to be
361 deciphered in this context.

362 The CO₂ flux during July from observations, constZc ~~simulations~~ and varZc simulations are
363 3.09 mol m⁻² yr⁻¹, 1.82 ± 0.4 mol m⁻² yr⁻¹ and 3.10 ± 0.5 mol m⁻² yr⁻¹, respectively. Southwesterly
364 wind-driven upwelling over the WAS especially off the Somali coast (Smith & Codispoti, 1980,
365 Schott, 1983, Smith, 1984) and Oman (Bruce, 1974, Smith & Bottero, 1977, Swallow, 1984,
366 Bauer et al, 1991), pulls nutrient-rich subsurface waters closer to the surface while the available
367 turbulent energy due to the strong winds leads to mixed layer entrainment of the nutrients
368 resulting in a strong surface phytoplankton bloom (Krey & Babenerd, 1976, Banse, 1987, Bauer,
369 1991, Brock et al, 1991). This regional bloom extends over 700 km offshore from the Omani
370 coast due to upward Ekman pumping driven by strong, positive wind-stress curl to the northwest
371 of the low level jet axis and the offshore advection (Bauer et. al., 1991, Brock et al., 1991, Brock
372 & McClain, 1992a, b, Murtugudde and Busalacchi., 1999; Valsala, 2009) resulting in strong
373 outgassing of CO₂ flux and an enhanced pCO₂ in the western Arabian Sea region (Valsala and
374 Maksyutov, 2013, Sarma et al., 2002). The seasonal mean CO₂ flux during the southwest
375 monsoon period (JJAS) for constZc ~~simulations~~ and varZc simulations are 1.44 ± 0.2 mol m⁻² yr⁻¹
376 and 2.31 ± 0.4 mol m⁻² yr⁻¹, respectively. The biological parameterization of varying
377 compensation depth considerably improves the average CO₂ flux during the monsoon period by
378 0.86 ± 0.1 mol m⁻² yr⁻¹. The annual mean CO₂ flux from observations, constZc ~~simulations~~ and
379 varZc simulations are 0.94 mol m⁻² yr⁻¹, 0.80 ± 0.17 mol m⁻² yr⁻¹ and 1.07 ± 0.2 mol m⁻² yr⁻¹,
380 respectively. The annual mean CO₂ flux improved by 0.27 ± 0.05 mol m⁻² yr⁻¹.

381 Seasonality in pCO₂ also shows a remarkable improvement during the southwest monsoon
382 period (Figure 6b). The pCO₂ with ConstZc is considerably lower at a value of 385.22 ± 3.5
383 μatm during June compared to observational values of 392.83 μatm. However, varZc simulations
384 perform better in terms of pCO₂ variability. The peak value of pCO₂ reaches up to 405.42 ± 5.8
385 μatm. The seasonal mean pCO₂ during the southwest monsoon period from observations,
386 constZc simulations and varZc simulations are 397.58 μatm, 389.18 ± 3.6 μatm and 399.95 ± 5.0
387 μatm, respectively. The improvement in pCO₂ brought about by varZc simulations is 10.76 ± 1.3
388 μatm compared to the constZc simulations. This inherently says that constZc simulations fail to
389 capture the pCO₂ driven by upwelling during the Southwest monsoon, meanwhile varZc
390 simulations are demonstrably better in representing this seasonal increase. The annual mean
391 pCO₂ from observations, constZc and varZc simulations are 394.69 μatm, 389.62 ± 3.9 μatm and
392 391.19 ± 4.7 μatm, respectively. However it is worth mentioning that there are parts of the year
393 where the constant Zc performs better compared to varying Zc. For instance during MAM as
394 well as in November, the constZc simulations yielded a better comparison with the observed
395 pCO₂ whereas varZc simulations yield a reduced magnitude of pCO₂. This may well indicate the
396 biological vs solubility pump controls on pCO₂ during the intermonsoons. The role of mesoscale
397 variability in the ocean dynamics may also play a role (Valsala and Murtugudde, 2015)
398 Nevertheless during the most important season (JJAS) when the pCO₂, CO₂ fluxes and biological
399 production are found to be dominant in the Arabian Sea, the varying Zc produces a better
400 simulation.

401 The improvement shown by the implementation of new biological parameterization in the
402 simulations of CO₂ flux and pCO₂ can be answered elicited by further analysis of the model
403 biological production. Figure 7 shows the comparison of model export production and new

404 production with observational export production from satellite-derived NPP for constZc and
405 varZc simulations. The model export production in the constZc simulations is much weaker
406 when compared to varZc simulations. The varZc simulations have improved the model export
407 production. Theoretically, the new and export productions in the model should be in balance with
408 each other (Eppley and Peterson, 1979). ConstZc export production is much weaker than new
409 production and it is not in balance. In contrast the varZc simulation yields a nice balance among
410 them.

411 Comparing with the observational export production which peaks in August at a value of
412 $154.78 \text{ g C m}^{-2} \text{ yr}^{-1}$, the varZc simulated export and new productions peak at a value of $160.44 \pm$
413 $20.4 \text{ g C m}^{-2} \text{ yr}^{-1}$ and $167.18 \pm 24.0 \text{ g C m}^{-2} \text{ yr}^{-1}$, respectively but in July. A similar peak can be
414 observed in constZc simulated new production as well at a value of $178.19 \pm 28.0 \text{ g C m}^{-2} \text{ yr}^{-1}$.
415 This apparent shift of one month during JJAS in the model export production as well as in the
416 new production is noted as a caveat in the present set up which will need further investigation.
417 Arabian Sea production is not just limited by nutrients but also the dust inputs (Wiggert and
418 Murtugudde., 2006). The dust induced primary production in the WAS especially over the Oman
419 coast is noted during August (Liao et. al., 2016). The mesoscale variability in the circulation and
420 its impact on production and carbon cycle are also a limiting factor in this model as noted above.

421 The seasonal mean export production during the Southwest monsoon period from satellite-
422 derived estimate is $123.57 \text{ g C m}^{-2} \text{ yr}^{-1}$, whereas for constZc and varZc simulations it is $84.81 \pm$
423 $16.0 \text{ g C m}^{-2} \text{ yr}^{-1}$ and $147.19 \pm 23.8 \text{ g C m}^{-2} \text{ yr}^{-1}$, respectively. The new biological
424 parameterization strengthened the model export production by $62.38 \pm 7.8 \text{ g C m}^{-2} \text{ yr}^{-1}$ for the
425 Southwest monsoon period, which is over a 70% increase. This indicates a considerable impact
426 of the biological pump in the model simulated CO_2 flux and pCO_2 over the WAS. For constZc

427 simulations, the computed new production is slightly higher ($150.84 \pm 27.9 \text{ g C m}^{-2} \text{ yr}^{-1}$) than that
428 of varZc ($133.03 \pm 19.5 \text{ g C m}^{-2} \text{ yr}^{-1}$). The annual mean export production from observations,
429 constZc and varZc simulations are $94.31 \text{ g C m}^{-2} \text{ yr}^{-1}$, $77.41 \pm 15.1 \text{ g C m}^{-2} \text{ yr}^{-1}$ and 122.54 ± 25.2
430 $\text{g C m}^{-2} \text{ yr}^{-1}$, respectively.

431 To understand how the varying compensation depth parameterization strengthened the
432 export production in the model, we analyzed the phosphate profiles. It appears that the varZc
433 parameterization allows more phosphate concentration (Figure 8a,b) in the production zone and
434 thereby increases the corresponding biological production (Figure 8c, d). The net export
435 production in the model during JJAS is consistent with the satellite data (Figure 8d, see also
436 Figure 7a). However, in the constZc case the exports are rather ‘flat’ throughout the season with
437 imperfect representation of seasonal biological export. The Table 1-4 summarizes all the values
438 discussed here.

439 The impact of varZc in the biological and solubility pumps is computed as per Louanchi et.
440 al., (1996, see Appendix A). The varZc parameterization has strengthened the biological as well
441 as the solubility pump in the model and thereby modifying the phosphate profiles and achieves a
442 seasonal balance in export versus new production (Figure 9a). During the monsoon period the
443 varZc simulations increases the strength of the solubility pump and biological pump by $10.43 \pm$
444 $1.3 \text{ g C m}^{-2} \text{ yr}^{-1}$ and $106.52 \pm 9 \text{ g C m}^{-2} \text{ yr}^{-1}$ respectively (see Table 5 and 6). Similarly the annual
445 mean strength of solubility pump and biological pump is increased by $3.29 \pm 0.6 \text{ g C m}^{-2} \text{ yr}^{-1}$ and
446 $81.18 \pm 9.92 \text{ g C m}^{-2} \text{ yr}^{-1}$ respectively. This supports the fact that the varZc parameterization
447 basically modifies the biological pump and solubility pump in the model simulations and thereby
448 improved the seasonal cycle of CO_2 flux and pCO_2 .

449

450 3.3 Sri Lanka Dome (SLD) Region

451 The seasonal variation in the compensation depth for the SLD has a similar pattern as that of
452 the WAS. The compensation depth deepens to its maximum during March up to 45.23 ± 0.3 m
453 and reaches its minimum during the following monsoon period at 30.79 ± 1.5 m (Figure 5). The
454 similarities of varZc between WAS and SLD indicate that they both are under similar cycles of
455 solar influx and biological production. The SLD chl-a dominates only up to July
456 (Vinayachandran et al., 2004) which explains why production with varZc increases earlier
457 compared to the WAS which occurs during August-October.

458 The seasonality in CO_2 flux and pCO_2 were compared with Takahashi et al., (2009)
459 observations (Figure 10). The varZc results in a slight improvement in CO_2 flux when compared
460 with constZc (Figure 10a). However, both constZc and varZc simulations underestimate the
461 magnitude of CO_2 flux when compared with observations. The seasonal mean CO_2 flux during
462 the monsoon period is $1.79 \text{ mol m}^{-2} \text{ yr}^{-1}$ from observations, which means SLD region is a source
463 of CO_2 . But the mean values of constZc and varZc simulations yield flux values of -0.008 ± 0.2
464 $\text{mol m}^{-2} \text{ yr}^{-1}$ and $0.24 \pm 0.2 \text{ mol m}^{-2} \text{ yr}^{-1}$, respectively. The constZc simulations misrepresent the
465 SLD region as a sink of CO_2 during monsoon period which is opposite to that of observations.
466 The varZc simulations correct this misrepresentation to a source albeit at a smaller magnitude by
467 $0.24 \pm 0.09 \text{ mol m}^{-2} \text{ yr}^{-1}$ for the monsoon period. Compared to observations, the varZc case
468 underestimates the magnitude of JJAS mean by $1.55 \text{ mol m}^{-2} \text{ yr}^{-1}$.

469 The annual mean CO_2 fluxes for constZc and varZc simulations are $-0.02 \pm 0.1 \text{ mol m}^{-2} \text{ yr}^{-1}$
470 and $0.10 \pm 0.2 \text{ mol m}^{-2} \text{ yr}^{-1}$, respectively. The varZc parameterization leads to an improvement of

471 $0.13 \pm 0.1 \text{ mol m}^{-2} \text{ yr}^{-1}$ in the annual mean CO_2 flux when compared with constZc simulations.
472 The observational annual mean of CO_2 flux is $0.80 \text{ mol m}^{-2} \text{ yr}^{-1}$ which is highly underestimated
473 by both simulations. This indicates a regulation of biological production of the region by varZc
474 which makes this region a source of CO_2 during monsoon. The role of the solubility pump may
475 also be underestimated due to the biases in the physical drivers and the lack of mesoscale eddy
476 activities in these simulations (Prasanna Kumar et al., 2002; Valsala and Murtugudde, 2015).

477 The seasonality of pCO_2 (Figure 10b) especially in the monsoon period has significantly
478 improved. The mean pCO_2 during the monsoon season from observation over the SLD region is
479 $382.44 \text{ } \mu\text{atm}$. The seasonal mean pCO_2 during monsoon period for constZc and varZc
480 simulations are $371.67 \pm 6.04 \text{ } \mu\text{atm}$ and $379.24 \pm 8.9 \text{ } \mu\text{atm}$, respectively. The annual mean pCO_2
481 from observations, constZc and varZc simulations are $380.21 \text{ } \mu\text{atm}$, $370.76 \pm 6.1 \text{ } \mu\text{atm}$ and
482 $374.94 \pm 9.6 \text{ } \mu\text{atm}$, respectively. varZc simulations improve the JJAS mean pCO_2 by 7.56 ± 2.8
483 μatm and the annual mean pCO_2 by $4.18 \pm 3.5 \text{ } \mu\text{atm}$, which is reflected in CO_2 flux as well. This
484 is likely due to the impact of new biological parameterization in capturing the episodic upwelling
485 in the SLD region which is further investigated by looking at its biological production.

486 The SLD biological production is highly exaggerated by the model for both constZc and
487 varZc simulations (Figure 11a, b). The seasonal mean biological export for the monsoon period
488 is $51.54 \text{ g C m}^{-2} \text{ yr}^{-1}$ as per satellite-derived estimates. However, the constZc and varZc
489 simulations overestimate it at $167.71 \pm 59.04 \text{ g C m}^{-2} \text{ yr}^{-1}$ and $151.51 \pm 46.4 \text{ g C m}^{-2} \text{ yr}^{-1}$,
490 respectively. This exaggerated export is visible in climatological annual means where for
491 constZc and varZc simulations they are $144.43 \pm 49.8 \text{ g C m}^{-2} \text{ yr}^{-1}$ and $156.08 \pm 43.8 \text{ g C m}^{-2} \text{ yr}^{-1}$,
492 respectively.

493 For constZc simulations, new production is overestimated from March to October when
494 compared to observations and the second peak is observed in November (Figure 11a). But the
495 overestimate in new production with varZc is observed only during JJAS period by a value of
496 $26.23 \text{ g C m}^{-2} \text{ yr}^{-1}$. For the SLD region the varZc parameterization overestimates the export
497 production but minimizes the excess new production, especially in the monsoon period by 64.15
498 $\pm 36.4 \text{ g C m}^{-2} \text{ yr}^{-1}$. This indicates that the varZc parameterization is somewhat successful in
499 capturing the upwelling episode during monsoon over SLD. All values are summarized in Table
500 1-4.

501 The solubility and biological pumps are modified by the varZc parameterization significantly
502 when compared with the constZc simulations (Figure 9b). Over the monsoon period the strength
503 of the solubility and biological pumps are improved by $2.81 \pm 1.1 \text{ g C m}^{-2} \text{ yr}^{-1}$ and $66.68 \pm 9.7 \text{ g}$
504 $\text{C m}^{-2} \text{ yr}^{-1}$, respectively. Similarly the annual mean strength of solubility and biological pump are
505 increased by $0.99 \pm 1.2 \text{ g C m}^{-2} \text{ yr}^{-1}$ and $52.5 \pm 5.1 \text{ g C m}^{-2} \text{ yr}^{-1}$ respectively. All the values are
506 provided in Table 5 and 6.

507

508 **3.4 Sumatra Coast (SC) region**

509 The seasonal variation in the compensation depth over the SC region lies between 40 m and
510 46 m (Figure 5). The seasonal maximum occurs during JFM, especially in March with a depth of
511 45.5 m. During the monsoon period the compensation depth shoals slightly with a minimum of
512 41.1 m in July. The variation in Z_c is relatively small as compared to the other regions which is
513 consistent with its relatively low production throughout the year.

514 The seasonality of CO₂ flux and pCO₂ captured by constZc and varZc simulations are shown
515 in **Figure 12a, b**. The varZc simulations overestimate both CO₂ flux and pCO₂, especially during
516 the monsoon. It is found that the constZc simulations are better compared to varZc simulations.
517 The varZc simulations overestimate the seasonal mean CO₂ flux and pCO₂ by 1.19 mol m⁻² yr⁻¹
518 and 29.61 μatm, respectively, compared to observations (Table 1). However, constZc produces a
519 better estimate compared with observations for CO₂ flux and pCO₂. The constZc simulations
520 deliver a better annual mean than varZc (Table 1,2). The annual mean bias in constZc and varZc
521 simulations for CO₂ flux is -0.0033 mol m⁻² yr⁻¹ and 0.31 mol m⁻² yr⁻¹, respectively. Similarly,
522 pCO₂ bias is 1.95 μatm and 9.07 μatm for constZc and varZc simulations.

523 Biological production simulated by the model along SC explains the overestimation of CO₂
524 flux and pCO₂ (**Figure 13**). Both constZc and varZc simulations greatly overestimate export
525 production in the model. But a small enhancement in the new production during JJAS in constZc
526 case is an indicator of upwelling episodes. The seasonal mean new production during the
527 monsoon from constZc and varZc are 63.64 ± 30.9 g C m⁻² yr⁻¹ and 78.11 ± 29.1 g C m⁻² yr⁻¹,
528 respectively (Table 4). The seasonal mean export production during the monsoon from
529 observations is 58.87 g C m⁻² yr⁻¹ (Table 3). ConstZc simulations represent a better new
530 production, which is seen as a relatively small exaggeration of CO₂ flux and pCO₂. The
531 biological response off SC is found to be better with constZc which is in contradiction to a
532 general improvement found with varZc in the other regions examined here. Such discrepancies
533 over the SC could be due to the effect of Indonesian Throughflow (Bates et al., 2006) which is
534 not completely resolved in the model due to coarse spatial resolution (also see Valsala et al.,
535 2010).

536 The overestimation of export production by varZc simulations is also evident by the increase
537 in strength of the biological and solubility pumps, respectively (Figure 9c). The annual mean and
538 JJAS mean DIC increases in the production zone due to the biological pump is $67.21 \pm 1.3 \text{ g C}$
539 $\text{m}^{-2} \text{ yr}^{-1}$ and $83.62 \pm 0.5 \text{ g C m}^{-2} \text{ yr}^{-1}$, respectively. Similarly the increase in DIC due to the effect
540 of solubility pump during the JJAS period and annual mean are $10.95 \pm 5.2 \text{ g C m}^{-2} \text{ yr}^{-1}$ and 3.87
541 $\pm 2.2 \text{ g C m}^{-2} \text{ yr}^{-1}$ respectively (see table 5 and 6).

542

543 **3.5 Seychelles-Chagos Thermocline Ridge (SCTR) region**

544 The SCTR is a unique open-ocean upwelling region with a prominent variability in air-sea
545 interactions (Xie et al., 2002). Wind-driven mixing and upwelling of subsurface nutrient rich
546 water play a major role in biological production of this region (Dilmahamod et al., 2016). The
547 seasonal cycle in compensation depth is shown in Figure 5. The maximum compensation depth
548 occurs in November at about 44.94 m and the minimum at 33.2 m in July. The shoaling of
549 compensation depth during the monsoon period shows that the biological parameterization
550 captures the upwelling response over this region.

551 The seasonality of CO_2 flux and pCO_2 are shown in Figure 14. The Takahashi observations
552 of CO_2 flux shows a peak in June with outgassing of CO_2 during the upwelling episodes.
553 However, both constZc and varZc simulations underestimate this variability. The seasonality of
554 CO_2 flux in varZc shows a significant improvement when compared to constZc simulations, but
555 underestimated when compared to observations. The seasonal mean CO_2 flux during the
556 monsoon for constZc and varZc simulations are $0.82 \text{ mol m}^{-2} \text{ yr}^{-1}$, $-0.32 \pm 0.3 \text{ mol m}^{-2} \text{ yr}^{-1}$ and -
557 $0.05 \pm 0.4 \text{ mol m}^{-2} \text{ yr}^{-1}$, respectively. This represents a reduction in the seasonal mean sink of

558 CO₂ flux in the SCTR region during the monsoon by $0.27 \pm 0.1 \text{ mol m}^{-2} \text{ yr}^{-1}$ bringing it closer to
559 a source region (see Table 1 for details).

560 The improvement brought about in CO₂ flux is supported by the seasonal cycle in pCO₂ .
561 Based on observations, seasonal mean of pCO₂ with constZc during JJAS is underestimated by
562 11.47 μatm, varZc simulations underestimate it by 6.45 μatm. So it is evident that varZc
563 simulations capture the upwelling episodes better, marked by a greater pCO₂ during JJAS period.
564 However, the magnitude of pCO₂ is still underestimated compared to observations (Table 2).

565 **Figure 15** shows the biological production of constZc and varZc simulations for SCTR. It is
566 clear that both simulations overestimate the export production and underestimate the new
567 production. The JJAS mean export production from observations, constZc and varZc are 51.08 g
568 C m⁻² yr⁻¹, $57.39 \pm 14.2 \text{ g C m}^{-2} \text{ yr}^{-1}$ and $99.23 \pm 29.8 \text{ g C m}^{-2} \text{ yr}^{-1}$, respectively. The varZc
569 simulations exaggerate the model export production by $48.14 \text{ g C m}^{-2} \text{ yr}^{-1}$. The varZc simulations
570 improve the JJAS mean new production by $1.14 \pm 2.2 \text{ g C m}^{-2} \text{ yr}^{-1}$ (Table 4). **The DIC variations**
571 **due to the biological pump over the monsoon period and the annual mean also support the**
572 **exaggerated export production. During the monsoon period, the varZc simulations strengthen the**
573 **biological and solubility pump by $72.64 \pm 6.2 \text{ g C m}^{-2} \text{ yr}^{-1}$ and $-4.56 \pm 1.6 \text{ g C m}^{-2} \text{ yr}^{-1}$,**
574 **respectively when compared to the constZc simulations (Figure 9d). This is also reflected in the**
575 **annual mean DIC variations due to the biological and solubility pump effects (see table 5 and 6).**
576 This slight improvement in the model new production, especially during the monsoon period
577 signals that the spatially and temporally varying compensation depth better captures the
578 upwelling over SCTR. Considering the annual mean values of model export and new production,
579 constZc simulations are reasonably faithful to observations.

580 The underestimation of CO₂ and pCO₂ as well as the exaggeration of model export
581 production and a slight overestimate in model new production may be due to two reasons. (1)
582 SCTR is a strongly coupled region with remote forcing of the mixed layer – thermocline
583 interactions (Zhou et al., 2008) which can affect the seasonality in biological production that the
584 model may not be resolving reasonably, (2) the bias associated with physical drivers, especially
585 wind stress may underestimate the CO₂ flux as well biological production. A similar
586 overestimation of biological production was also reported in a coupled biophysical model
587 (Dilmahamod et al., 2016).

588 Table 1 – 4 shows the entire summary of seasonal and annual mean CO₂ flux, pCO₂ and
589 biological production reported in Section 3.

590

591 **4. Sensitivity Simulations**

592 From the analysis of four major upwelling regions over Indian Ocean, it is evident that the
593 biological parameterization of spatio-temporally varying compensation depth better captures
594 upwelling episodes and thus it enhances the model export production. This is **most** clearly
595 visible over the WAS. In order to quantify how much the varZc parameterization contributed to
596 seasonality of carbon cycle, two additional sensitivity simulations were carried out; (1) with
597 annual mean offline currents and (2) annual mean offline temperatures with the ~~notion~~ goal of
598 suppressing the dynamical and thermodynamical effects of seasonal upwelling over the WAS
599 (see Section 2 for details). The focus on this region is motivated by its prominence as the most
600 productive zone of the Indian Ocean. Moreover, the improvement in the biological processes in

601 the model by the varZc parameterization is best captured in this region. The results are discussed
602 ~~in detail in the following subsections below.~~

603

604 **4.1 Impact of varZc parameterization on ~~in~~ seasonality of carbon cycle with annual** 605 **mean currents.**

606 To quantify the impact of varZc parameterization, the model is forced with annual mean
607 currents only over the WAS with unaltered currents in the rest of the ocean. The hypothesis is
608 that the muting of the seasonal variability of Ekman divergence removes the upwelling and the
609 biological pump contribution to production and carbon cycle. The comparison of constZc and
610 varZc then allows us to decipher the impact of varZc on capturing the impacts of upwelling on
611 biological production and the carbon cycle. The smooth blending of currents at the boundary of
612 the WAS domain is achieved by a linear smoothing function as given in Section 2.

613 The model biological responses (inferred by comparing with the control run) in terms of the
614 CO₂ flux shows a flat pattern over the monsoon period for constZc simulations [Figure ~~4516~~(a)].
615 While the varZc simulations forced with the annual mean currents shows an enhanced CO₂ flux
616 indicating the outgassing of CO₂ flux in the WAS due to wind-driven upwelling (Figure
617 ~~4516~~(b)). This qualitatively shows that the spatially and temporally varying compensation depth
618 itself has improved the seasonality in the biological processes (export and new production) and
619 captured the upwelling episodes during the monsoon. The varZc parameterization is responsible
620 for improvement of $0.48 \pm 0.04 \text{ mol m}^{-2} \text{ yr}^{-1}$ and $0.13 \pm 0.02 \text{ mol m}^{-2} \text{ yr}^{-1}$ ~~during in the~~ JJAS
621 seasonal and annual mean CO₂ fluxes, respectively. This improves the overall model CO₂ flux in
622 the control run especially in July (Figure ~~4516~~(b)).

623 Similar improvements were also noticed in pCO₂ (Figure ~~4617~~). In the constZc
624 simulations with annual mean currents, the pCO₂ dips down during JJAS monsoon period which
625 indicates the inadequacy of constZc in capturing the upwelling enriched pCO₂ difference (Figure
626 ~~4617~~a, b). The varZc simulation slightly modifies the pCO₂ in the ‘right’ direction during JJAS
627 despite the annual mean currents.

628 The export ~~production~~ and ~~the~~ new productions in the model explain the modification of
629 CO₂ flux and pCO₂ by varZc parameterization. The biological export production is highly
630 underestimated in the constZc simulations forced with annual mean currents while the varZc
631 simulations captures the seasonal upswing in production (Figure ~~4718~~). The improved JJAS
632 mean and annual mean export production by $43.51 \pm 8.6 \text{ g C m}^{-2} \text{ yr}^{-1}$ and $30.28 \pm 13.7 \text{ g C m}^{-2} \text{ yr}^{-1}$,
633 respectively is a clear indication of the positive impacts of a variable Zc. Similarly the
634 improvement in JJAS mean and annual mean new production (Figure ~~4819~~) from varZc
635 simulated with annual mean currents ~~were are~~ $17.39 \pm 0.8 \text{ g C m}^{-2} \text{ yr}^{-1}$ and $14.81 \pm 0.1 \text{ g C m}^{-2} \text{ yr}^{-1}$,
636 respectively. In short the varZc biological parameterization improves the export and new
637 productions in the model. This helps the model to capture the upwelling episodes over the study
638 regions. Table ~~57~~ summarizes all the results of biological sensitivity runs.

639

640 **4.2 Impact of varZc parameterization on ~~in~~ seasonality of carbon cycle with annual** 641 **mean temperatures.**

642 Using the annual mean temperature over the WAS, we are suppressing the cooling effect of
643 temperature due to upwelling and quantifying how much the model seasonality is improved ~~by~~
644 ~~means-of due to~~ varZc parameterization. (see Section 2 for details). The varZc simulations

645 forced with annual mean SST has ~~greater~~ larger JJAS mean and annual mean CO₂ fluxes by 0.88
646 $\pm 0.1 \text{ mol m}^{-2} \text{ yr}^{-1}$ and $0.28 \pm 0.07 \text{ mol m}^{-2} \text{ yr}^{-1}$, respectively (Figure ~~1920~~ and Table 68). For a
647 given annual mean SST the solubility pump largely controls the CO₂ emission during JJAS if a
648 variable Z_c is prescribed, likely by the enrichment ~~in-the~~ of DIC (inferred from Figure 8b).
649 Similarly the improvement in pCO₂ (Figure ~~2021~~) with varZ_c simulation is also remarkable. The
650 JJAS mean and annual mean improvements from the implementation of varZ_c are 11.05 ± 1.9
651 μatm and $1.91 \pm 1.4 \mu\text{atm}$, respectively. The detailed quantification of CO₂ and pCO₂ responses
652 for this experimental setup is given in Table 68. The above analysis adds supporting evidence
653 that the varZ_c simulations strengthen the seasonality of the model compared to the constZ_c case.
654 This is presumably accomplished by the more accurate compensation depth and production zone
655 implied with a variable Z_c.

656

657

658 **5. Summary and Conclusions**

659 A spatially and temporally varying compensation depth parameterization as a function of
660 solar radiation and Chl-a is implemented in the biological pump model of OCMIP-II for a
661 detailed analysis of biological fluxes in the upwelling zones of the Indian Ocean. The varZ_c
662 parameterization improves the seasonality of model CO₂ flux and pCO₂ variability, especially
663 during the monsoon period. A ~~s~~Significant improvement is observed in ~~the~~ WAS where ~~the~~
664 monsoon wind-driven upwelling dominates biological production. The magnitude of CO₂ flux
665 matches with observations, especially in July when monsoon winds are at their peak. Monsoon
666 triggers upwelling in SLD as well which acts as a source of CO₂ to the atmosphere. The seasonal

667 and annual mean are underestimated with constZc and the SLD is reduced to a sink of CO₂ flux.
668 The varZc simulations modify the seasonal and annual means of CO₂ flux of SLD and depict it
669 as a source of CO₂ especially during the monsoon, but the magnitude is still underestimated
670 compared to Takahashi et al., (2009) observations. The SCTR variability is underestimated by
671 both constZc and varZc simulations, portraying it as a CO₂ sink region whereas observations
672 over the monsoon period indicate that the thermocline ridge driven by the open ocean wind-
673 stress curl is in fact an oceanic source of CO₂. However, the varZc simulation reduces the
674 magnitude of the sink in this region bringing it relatively closer to observations.

675 VarZc biological parameterization strengthens the export and new productions in the model,
676 which allows it to represent a better seasonal cycle of CO₂ flux and pCO₂ over the study
677 regions. The WAS export production is remarkably improved by $62.37 \pm 7.8 \text{ g C m}^{-2} \text{ yr}^{-1}$
678 compared to constZc. This supports our conclusion that the varZc parameterization increases the
679 strength of biological export in the model. Over the SLD, the JJAS seasonal mean export and
680 new productions are underestimated in varZc compared to constZc simulations, but the annual
681 mean export production is improved. Export production at the SC and SCTR are highly
682 exaggerated and there is hardly any improvement in new production with a variable Zc
683 especially over the monsoon period. The inability of varZc parameterization to improve the
684 seasonality of SC and SCTR may be due to the interannual variability of biological production
685 associated with the Indonesian throughflow and remote forcing of the mixed layer-thermocline
686 interactions and the effect of biases in the windstress data used as a physical driver in the model.

687 ~~Further~~ Sensitivity experiments carried out ~~with providing by prescribing~~ annual mean
688 currents or temperatures ~~in over~~ selected subdomains reveal that the varZc retains the seasonality
689 of carbon fluxes, pCO₂, and export and new productions ~~in the right direction as in the~~ closer to

690 observations. This strongly supports our contention that varZc parameterization improves ~~the~~
691 export and new productions and it is also efficient in capturing upwelling episodes of the study
692 regions. This points out the significant role of having a ~~proper~~ close balance in seasonal
693 biological export and new production in models to capture the seasonality in carbon cycle. This
694 also confirms the role of biological and solubility pumps in producing the seasonality of carbon
695 cycle in the upwelling zones.

696 However the underestimation of seasonality of CO₂ flux over the SLD and overestimation
697 over the SC as well as the SCTR ~~is~~are a cautionary flag for the study. This uncertainty poses an
698 important scientific question as to whether the model biology over the SC and SCTR region is
699 not resolving the seasonality in CO₂ flux and pCO₂ properly or whether the seasonality in the
700 compensation depth is not able to fully capture the biological processes.

701 To address these questions we have used ~~an~~ inverse modeling ~~methods~~ approach (Bayesian
702 inversion) in order to optimize the spatially and temporally varying compensation depth using
703 surface pCO₂ as the observational constraints and computed the optimized biological production.
704 The results will be reported elsewhere.

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711 **Appendix - A**

712 For $Z < Z_c$,

$$713 \quad J_{prod} = \frac{1}{\tau}([PO_4] - [PO_4^*]), \quad [PO_4] > [PO_4^*] \quad (A1)$$

$$714 \quad J_{DOP} = \sigma J_{prod} - \kappa[DOP] \quad (A2)$$

$$715 \quad J_{PO4} = -J_{prod} + \kappa[DOP] \quad (A3)$$

$$716 \quad J_{ca} = Rr_{C:P}(1 - \sigma)J_{prod} \quad (A4)$$

$$717 \quad J_{DIC} = r_{C:P}J_{PO4} + J_{ca} \quad (A5)$$

$$718 \quad J_{ALK} = -r_{N:P}J_{PO4} + 2J_{ca} \quad (A6)$$

719 For $Z > Z_c$,

$$720 \quad J_{prod} = 0, \quad [PO_4] \leq [PO_4^*] \quad (A7)$$

$$721 \quad J_{DOP} = -\kappa[DOP] \quad (A8)$$

$$722 \quad J_{PO4} = -\frac{\partial F}{\partial Z} + \kappa[DOP] \quad (A9)$$

$$723 \quad F(Z) = F_c \left(\frac{Z}{Z_c}\right)^{-a} \quad (A10)$$

$$724 \quad F_c = (1 - \sigma) \int_0^{Z_c} J_{prod} dZ \quad (A11)$$

$$725 \quad J_{ca} = -\frac{\partial F_{ca}}{\partial Z} \quad (A12)$$

$$726 \quad F_{ca} = Rr_{C:P}F_c e^{-(z-Z_c)/d} \quad (A13)$$

727 Where Z is the depth and Z_c is the compensation depth in the model. $J_{prod}, J_{DOP}, J_{PO_4}, J_{Ca}$ are the
728 biogeochemical sources and sinks. Within the compensation depth (Z_c), the biological production
729 in the model J_{prod} is calculated using equation A1. $[PO_4]$ is the model phosphate concentration
730 and $[PO_4^*]$ is observational phosphate. τ is the restoration timescale taken as 30 days. Whenever
731 the model phosphate exceeds the observational phosphate, it allows production. The
732 observational phosphate data were taken from the World Ocean Atlas (WOA; Garcia et al.,
733 2014). ~~(WOA) 1994 [Conkright et al., 1994]~~. During the biological production a fixed fraction
734 (σJ_{prod}) of phosphate is converted into Dissolved Organic Phosphate (DOP) which is a source
735 for J_{DOP} [equation A2] and remaining $-\kappa[DOP]$ is exported downward below the compensation
736 depth, which is further remineralized into inorganic phosphate and made available for further
737 biological production [equation A3]. The downward flux of phosphate which is not converted
738 into DOP within the compensation depth is given by equation A11. The decrease of flux with
739 depth due to remineralization is shown by equation A10. The values of the constants a, κ, σ are
740 $0.9, (0.2 \text{ year})^{-1}$ to $(0.7 \text{ year})^{-1}, 0.67$, respectively. The rate of production is used to explain the
741 formation of calcium carbonate cycle in the surface waters [equation A4] and its export is given
742 by equation A12. Where R is the rain ratio, a constant molar ratio of exported particulate organic
743 carbon to the exported calcium carbonate flux at compensation depth. The exponential decrease
744 of calcium carbonate flux with scale depth d is given by equation A13. The biological source or
745 biological sink of dissolved inorganic carbon (DIC) and alkalinity (ALK) is explained through
746 equations A5 and A6, respectively. Where the values of rain ratio (R) is taken as 0.07 and the
747 Redfield ratio, $r_{C:P} = 106, r_{N:P} = 16$ and scale depth d is chosen as 3500m.

748

749 **Biological and Solubility Pump calculation**

750 The biological effect on DIC is calculated from Louanchi et al., (1996). The tendency of DIC
751 due to biomass production and calcite formation in the production zone is expressed as below.

752
$$\left(\frac{\partial DIC}{\partial t}\right)_b = \left(\frac{\partial P O_4}{\partial t}\right)_b \times R_{C:P} - J_{Ca} \quad (A14)$$

753 The total tendency of DIC in the production zone is:

754
$$\left(\frac{\partial DIC}{\partial t}\right)_{total} = \left(\frac{\partial DIC}{\partial t}\right)_b + \int_x \int_y \Phi dx dy \quad (A15)$$

755 where $\left(\frac{\partial DIC}{\partial t}\right)_b$ is the evolution of DIC due to the impact of biology (i.e. biological pump). The
756 first term in the R.H.S of Equation A14 is the rate of change of phosphate which represents the
757 biological production in the model multiplied by the phosphorous to carbon Redfield ratio ($R_{C:P}$
758 = 117:1) and J_{Ca} represents the calcite formation in the model (see Equation A12). The
759 solubility pump is calculated as the surface integral of the flux Φ (Louanchi et. al., 1996).

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Table: 1 WAS = Western Arabian Sea, SLD = Sri Lanka Dome, SC = Sumatra Coast, SCTR = Seychelles-Chagos Thermocline Ridge. JJAS mean and climatological annual mean of CO₂ flux from Takahashi observations, constZc and varZc simulations. Units are $\mu\text{mol m}^{-2} \text{yr}^{-1}$.

| Regions | CO ₂ flux (mol m ⁻² yr ⁻¹) | | | | | |
|---------|--|--------------|-------------|-------------|-------------|-------------|
| | JJAS Mean | | | Annual Mean | | |
| | OBS | constZc | varZc | OBS | constZc | varZc |
| WAS | 1.99 | 1.44 ± 0.2 | 2.31 ± 0.4 | 0.94 | 0.80 ± 0.1 | 1.07 ± 0.2 |
| SLD | 1.79 | -0.008 ± 0.2 | 0.24 ± 0.09 | 0.80 | -0.02 ± 0.1 | 0.10 ± 0.2 |
| SC | 0.31 | 0.60 ± 0.5 | 1.51 ± 1.01 | 0.21 | 0.21 ± 0.3 | 0.53 ± 0.5 |
| SCTR | 0.82 | -0.32 ± 0.3 | -0.05 ± 0.4 | 0.55 | -0.02 ± 0.1 | -0.07 ± 0.2 |

Table: 2 Same as Table 1, but for pCO₂. Units are μatm .

| Regions | pCO ₂ (μatm) | | | | | |
|---------|-------------------------|---------------|---------------|-------------|--------------|---------------|
| | JJAS Mean | | | Annual Mean | | |
| | OBS | constZc | varZc | OBS | constZc | varZc |
| WAS | 397.58 | 389.18 ± 3.7 | 399.95 ± 5.01 | 394.69 | 389.62 ± 3.9 | 391.19 ± 4.7 |
| SLD | 382.44 | 371.67 ± 6.04 | 379.24 ± 8.9 | 380.21 | 370.76 ± 6.1 | 374.94 ± 9.6 |
| SC | 372.52 | 382.36 ± 12.7 | 402.14 ± 21.8 | 372.69 | 374.65 ± 9.3 | 381.76 ± 13.6 |
| SCTR | 377.18 | 365.71 ± 5.08 | 370.72 ± 7.4 | 379.89 | 372.69 ± 4.7 | 369.00 ± 5.4 |

Table: 3 JJAS mean and climatological annual mean of Export production from satellite derived Net Primary Production data, constZc and varZc simulations. Units are $\text{g C m}^{-2} \text{yr}^{-1}$.

| Regions | Export Production ($\text{g C m}^{-2} \text{yr}^{-1}$) | | | | | |
|---------|--|----------------|---------------|-------------|---------------|---------------|
| | JJAS Mean | | | Annual Mean | | |
| | OBS | constZc | varZc | OBS | constZc | varZc |
| WAS | 123.57 | 84.81 ± 16.04 | 147.19 ± 23.8 | 94.31 | 77.41 ± 15.1 | 122.54 ± 25.2 |
| SLD | 51.54 | 167.71 ± 59.04 | 151.51 ± 46.4 | 43.25 | 144.43 ± 49.8 | 156.08 ± 43.8 |
| SC | 58.87 | 260.11 ± 104.7 | 310.03 ± 99.5 | 54.53 | 172.52 ± 72.4 | 215.52 ± 70.8 |
| SCTR | 51.08 | 57.39 ± 14.2 | 99.23 ± 21.8 | 40.45 | 55.15 ± 17.9 | 80.35 ± 26.04 |

Table: 4 Same as Table 3, but for New production.

| Regions | New Production ($\text{g C m}^{-2} \text{yr}^{-1}$) | | | | | |
|---------|---|---------------|---------------|-------------|---------------|--------------|
| | JJAS Mean | | | Annual Mean | | |
| | OBS | constZc | varZc | OBS | constZc | varZc |
| WAS | -- | 150.84 ± 27.9 | 133.03 ± 19.5 | -- | 108.43 ± 23.4 | 81.47 ± 15.7 |
| SLD | -- | 141.93 ± 64.1 | 77.78 ± 27.6 | -- | 111.05 ± 71.1 | 50.37 ± 26.3 |
| SC | -- | 63.64 ± 30.9 | 78.11 ± 29.1 | -- | 56.69 ± 43.3 | 54.58 ± 23.3 |
| SCTR | -- | 12.17 ± 16.3 | 13.32 ± 18.6 | -- | 13.74 ± 15.5 | 12.94 ± 13 |

Table 5: Biological pump impact over DIC in the model due to constZc and varZc simulations for JJAS and Annual mean.

| Biological Pump (gC m ⁻² yr ⁻¹) | constZc | | varZc | |
|--|------------------|--------------------|------------------|--------------------|
| | JJAS Mean | Annual Mean | JJAS Mean | Annual Mean |
| WAS | 45.18 ± 14.8 | 45.49 ± 14.38 | 151.7 ± 23.8 | 126.67 ± 24.3 |
| SLD | 89.39 ± 58.1 | 108.65 ± 48.6 | 156.07 ± 48.4 | 161.15 ± 43.5 |
| SC | 235.54 ± 95.4 | 155.21 ± 67.4 | 319.16 ± 94.9 | 222.92 ± 68.7 |
| SCTR | 30.49 ± 13.4 | 26.81 ± 16.8 | 103.13 ± 19.6 | 83.98 ± 23.6 |

Table 6: Same as Table 5, But for Solubility pump.

| Solubility Pump (gC m ⁻² yr ⁻¹) | constZc | | varZc | |
|--|------------------|--------------------|------------------|--------------------|
| | JJAS Mean | Annual Mean | JJAS Mean | Annual Mean |
| WAS | 17.29 ± 3.5 | 9.63 ± 2.1 | 27.72 ± 4.8 | 12.92 ± 2.7 |
| SLD | -0.09 ± 2.4 | -0.32 ± 2.3 | 2.9 ± 3.5 | 1.31 ± 3.5 |
| SC | 7.22 ± 6.9 | 2.56 ± 3.8 | 18.17 ± 12.1 | 6.43 ± 6.0 |
| SCTR | -3.95 ± 3.7 | -0.35 ± 2.3 | -0.61 ± 5.3 | -0.86 ± 2.8 |

Table 57: Table shows JJAS mean and climatological annual mean response from the model forced with annual mean currents.

| WAS region forced with Annual mean currents | JJAS mean | | Improvement | Climatological Annual mean | | Improvement |
|---|--------------|---------------|-------------|----------------------------|---------------|--------------|
| | | | | | | |
| CO₂ flux (mol m ⁻² yr ⁻¹) | 0.80 ± 0.2 | 1.29 ± 0.2 | 0.48 ± 0.04 | 0.65 ± 0.1 | 0.79 ± 0.1 | 0.13 ± 0.02 |
| pCO₂ (µatm) | 381.81 ± 3.4 | 387.24 ± 3.9 | 5.43 ± 0.5 | 388.68 ± 3.4 | 388.40 ± 3.6 | -0.28 ± 0.1 |
| Export production (g C m ⁻² yr ⁻¹) | 60.71 ± 4.7 | 104.22 ± 13.4 | 43.51 ± 8.6 | 74.30 ± 4.5 | 104.58 ± 18.3 | 30.28 ± 13.7 |
| New Production (g C m ⁻² yr ⁻¹) | 34.76 ± 2.3 | 52.16 ± 1.51 | 17.39 ± 0.8 | 29.91 ± 1.7 | 44.72 ± 1.6 | 14.81 ± 0.1 |

Table 68 Same as Table 57 but from annual mean temperature simulations.

| WAS region forced with Annual mean temperature | JJAS mean | | Improvement | Climatological Annual mean | | Improvement |
|---|---------------|--------------|-------------|----------------------------|--------------|-------------|
| | constZc | varZc | | constZc | varZc | |
| CO₂ flux (mol m ⁻² yr ⁻¹) | 1.85 ± 0.2 | 2.74 ± 0.4 | 0.88 ± 0.1 | 0.81 ± 0.1 | 1.10 ± 0.2 | 0.28 ± 0.07 |
| pCO₂ (µatm) | 393.20 ± 3.01 | 404.26 ± 4.9 | 11.05 ± 1.9 | 384.61 ± 3.3 | 386.52 ± 4.8 | 1.91 ± 1.4 |

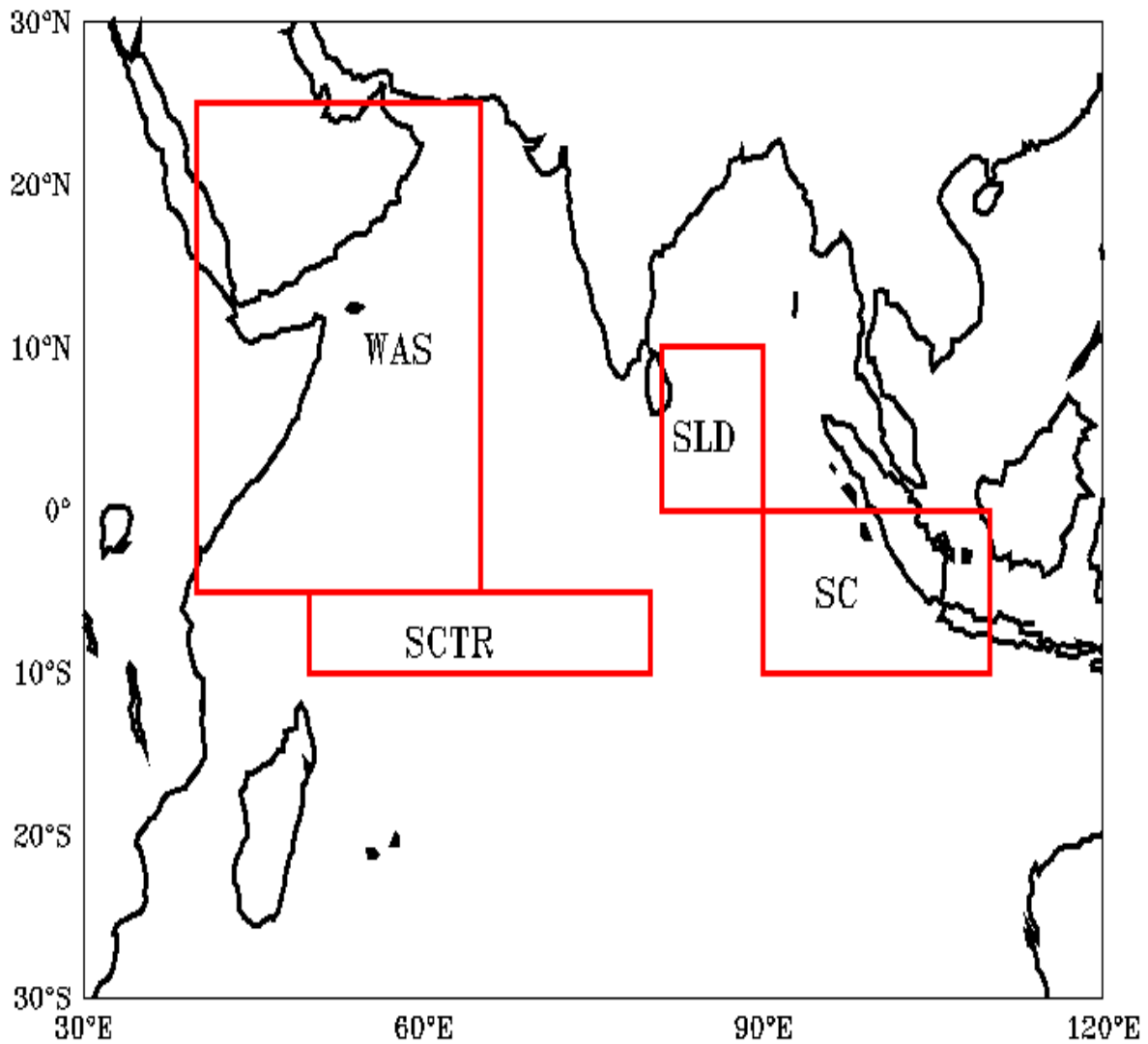


Figure 1 Red boxes shows the study regions (1) WAS (Western Arabian Sea: {40°E:65°E, 5°S:25°N}) (2) SLD (Sri Lanka Dome: {81°E:90°E, 0°:10°N}) (3) SCTR (Seychelles-Chagos Thermocline Ridge: {50°E:80°E, 5°S:10°S}) and (4) SC (Sumatra Coast: {90°E:110°E, 0°:10°S}).

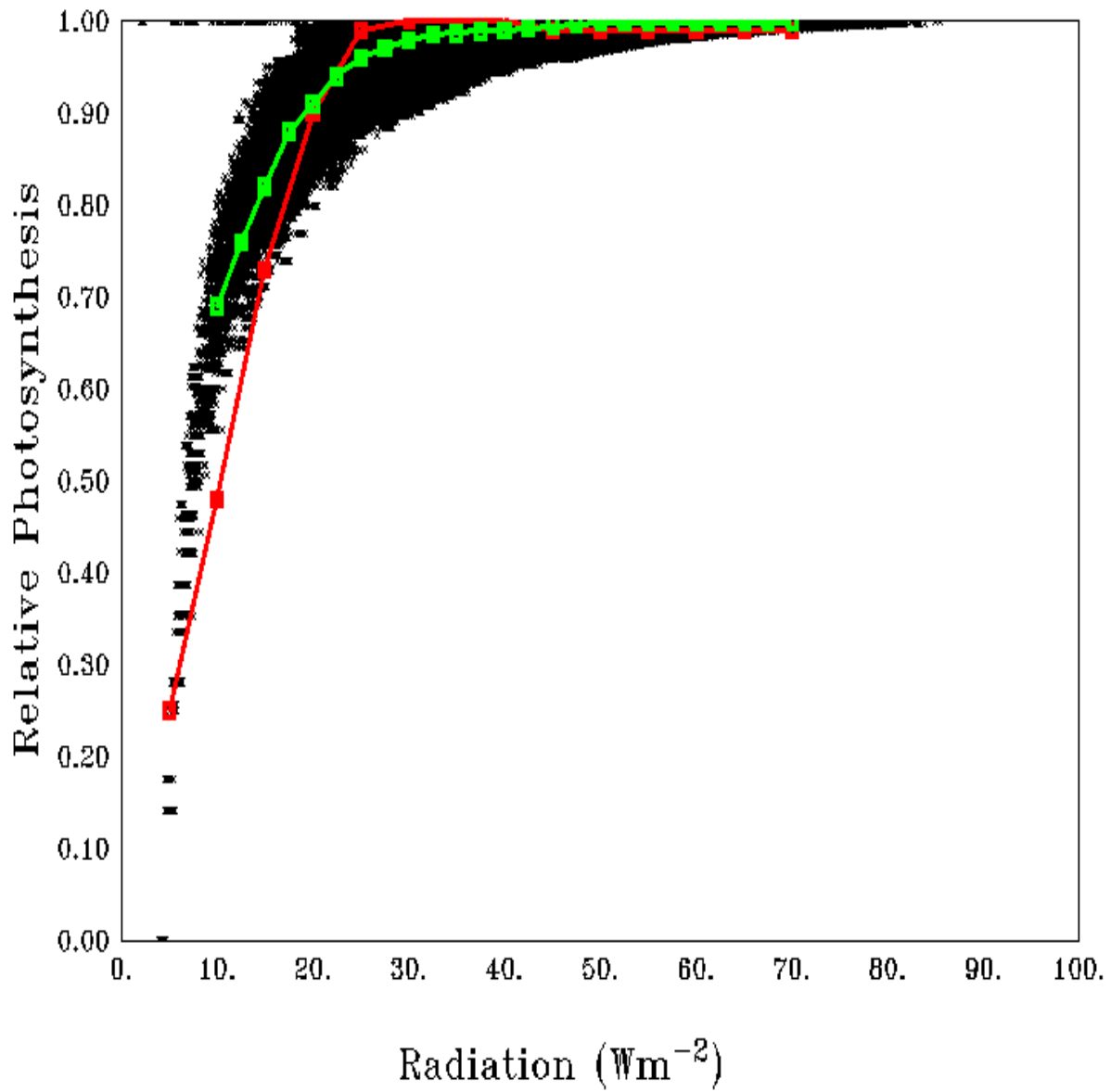


Figure (2): P—I curve, Scatter of average relative photosynthesis versus different light intensities in the model (black dots) and its mean (green curve). Red curve shows the theoretical P – I curve from Parsons et al., (1984). Green curve shows average of the scatter in the model.

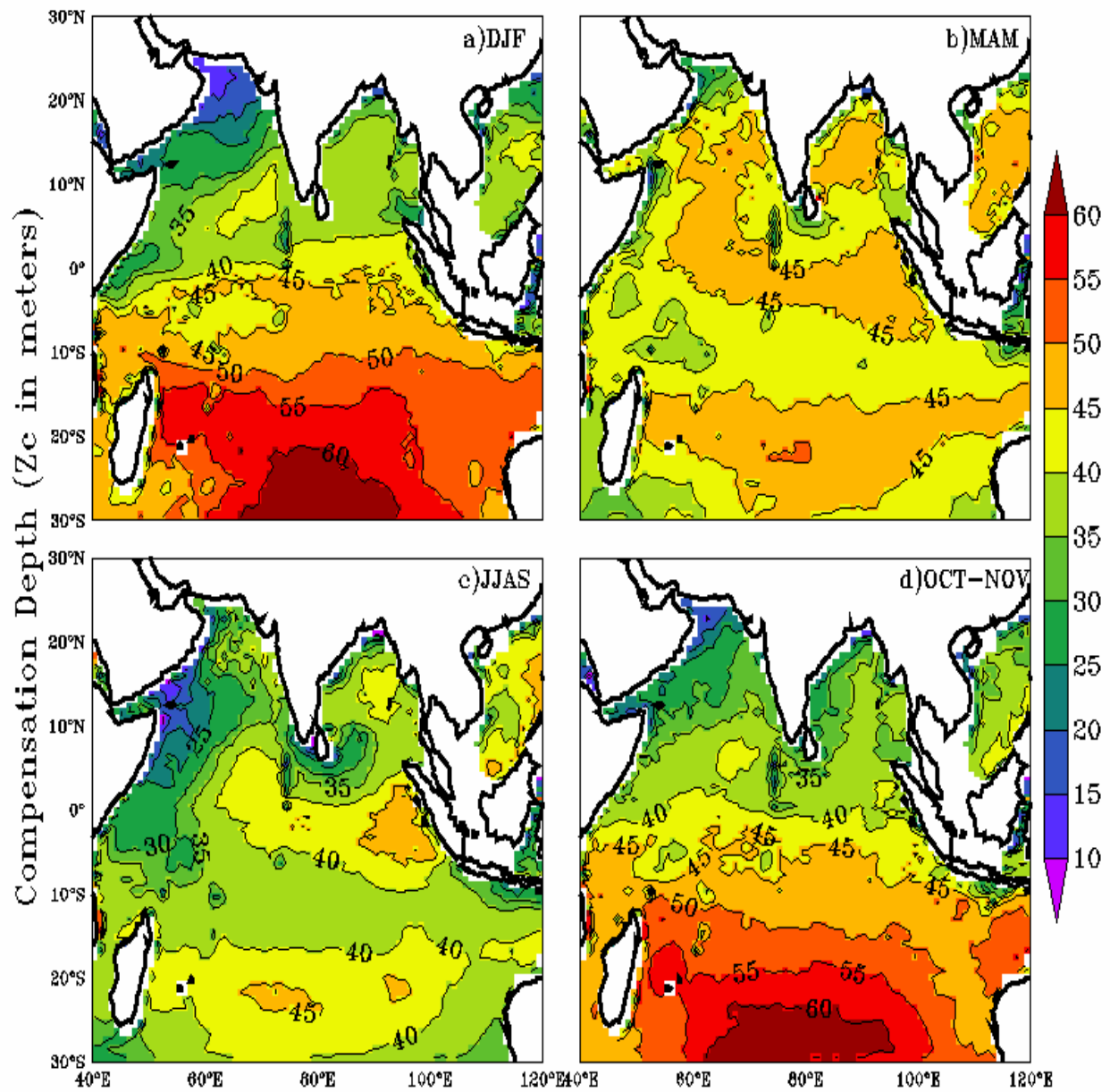


Figure (3): Seasonal mean maps of varying Compensation Depth (varZc) as a function of Chl-a and light (a) December to January (DJF), (b) March to May (MAM), (c) June to September (JJAS), (d) October to November (OCT-NOV). Units are in meters.

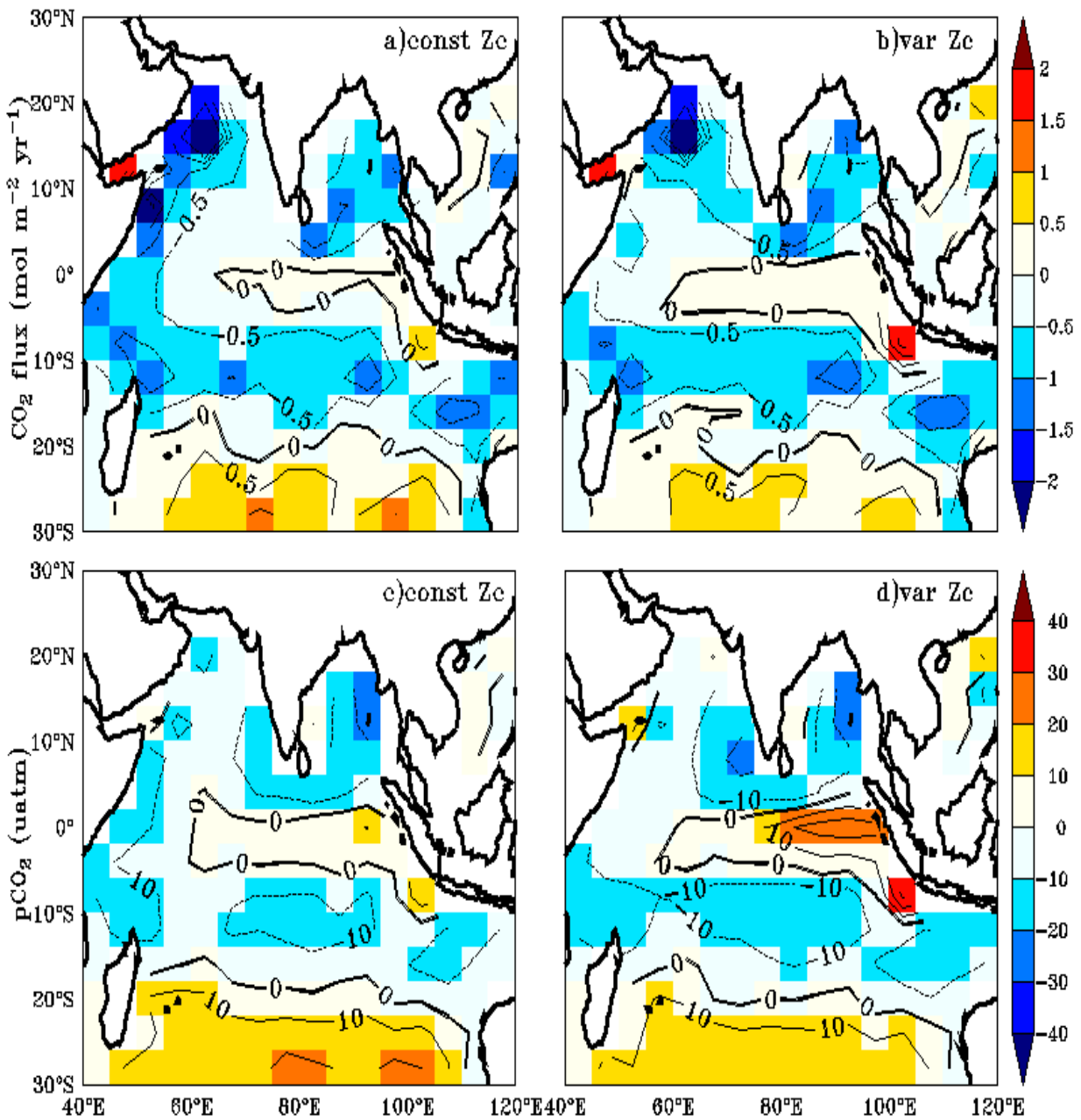


Figure (4): Annual mean biases in model evaluated against Takahashi et al., (2009) observations for CO₂ flux (a, b) and pCO₂ (c, d) with constant Zc (constZc) and Varying Zc (varZc). Units of CO₂ flux and pCO₂ are mol m⁻² yr⁻¹ and µatm respectively.

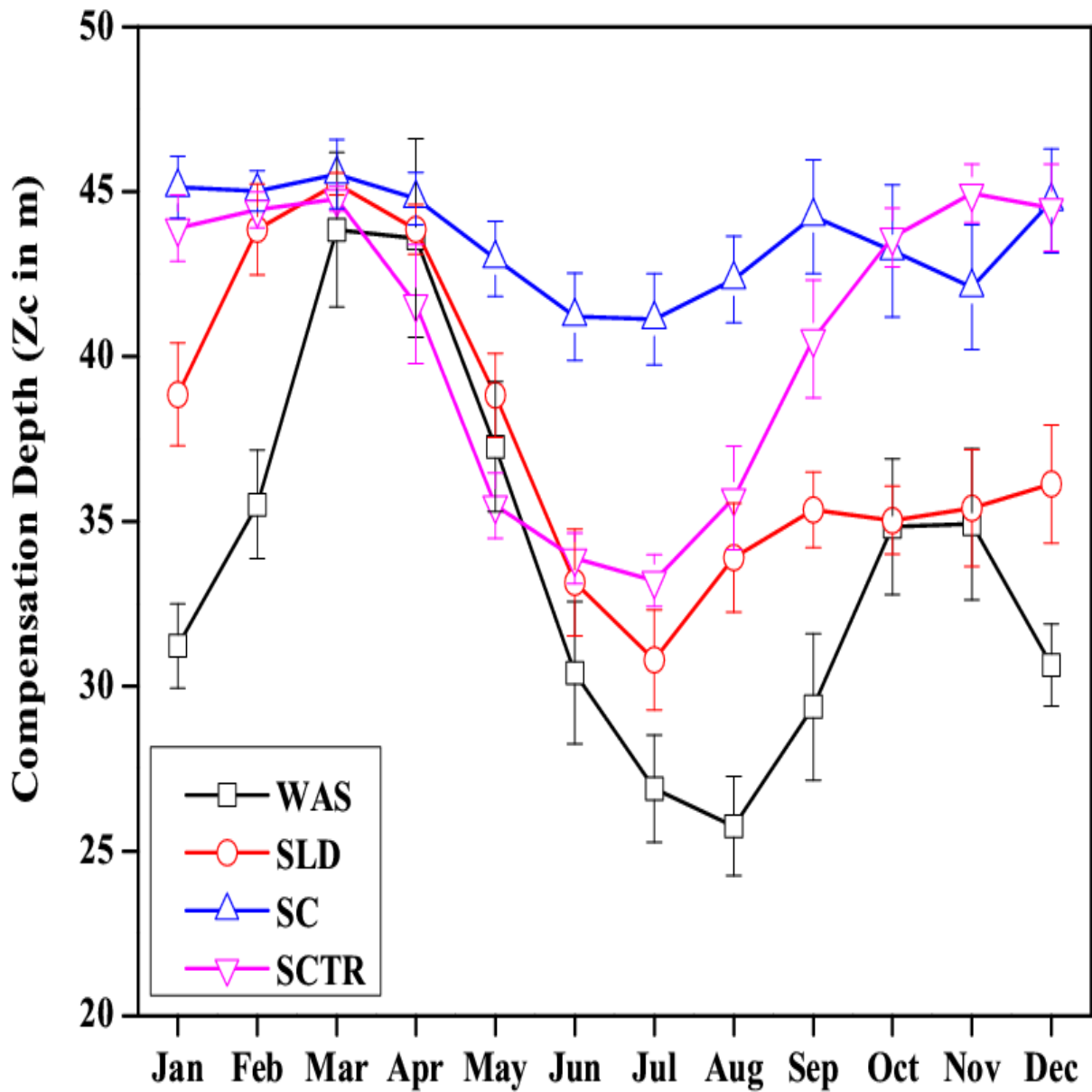


Figure (5): Seasonal variations in compensation-depth (Z_c) over the study regions shown as climatological state—computed over 1990-2010. Error bar shows standard deviations of individual months over these years. Units are in meters.

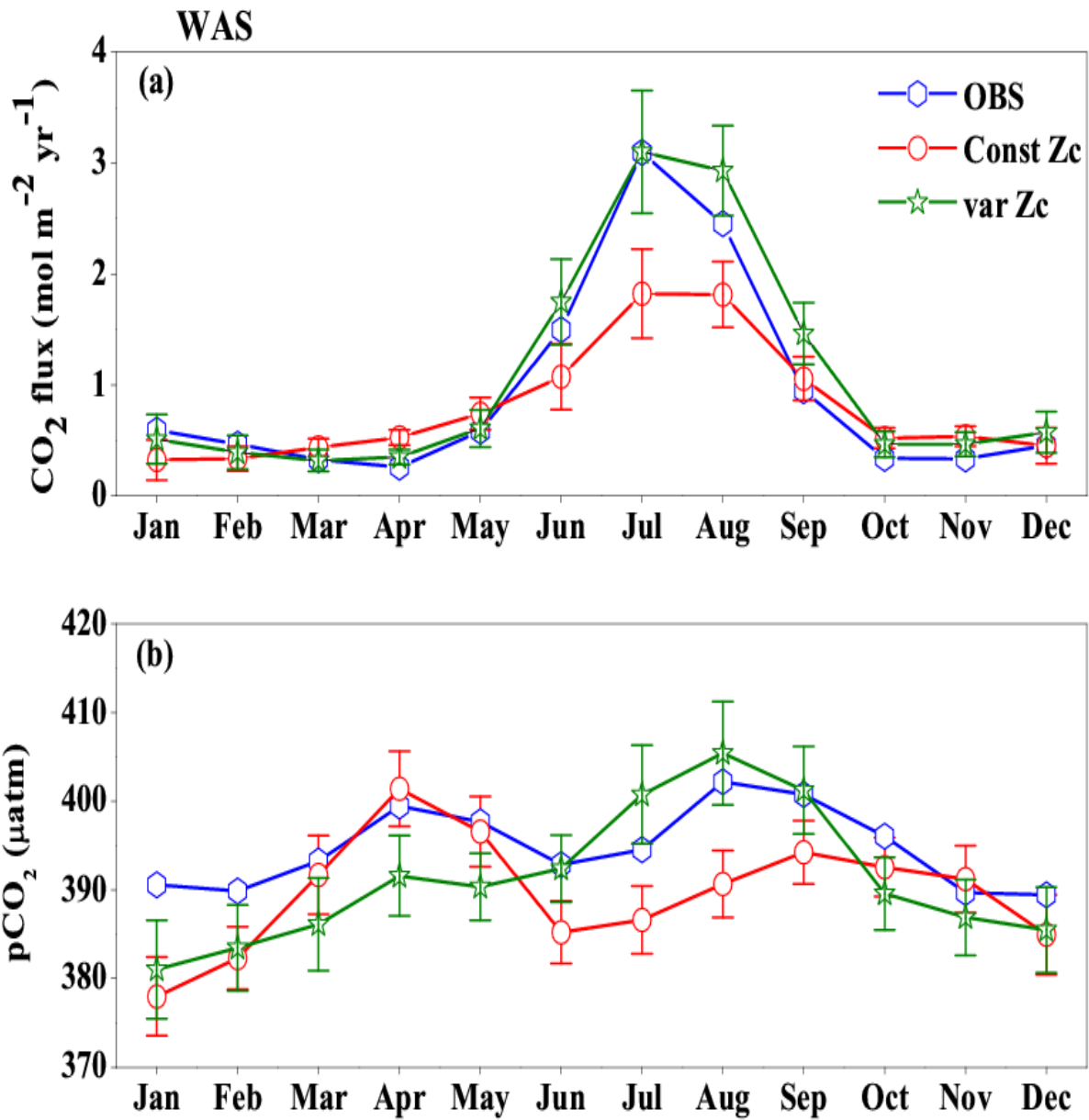


Figure (6): Comparison of model (a) CO₂ flux and (b) pCO₂ simulated with constant Zc (const Zc) and varying Zc (var Zc) with that of Takahashi et al., (2009) Observations (OBS) over Western Arabian Sea (WAS) region as climatological state computed over 1990-2010. Error bar shows standard deviations of individual months over these years. Units of CO₂ flux and pCO₂ are mol m⁻² yr⁻¹ and µatm respectively. Legend is common for both graphs.

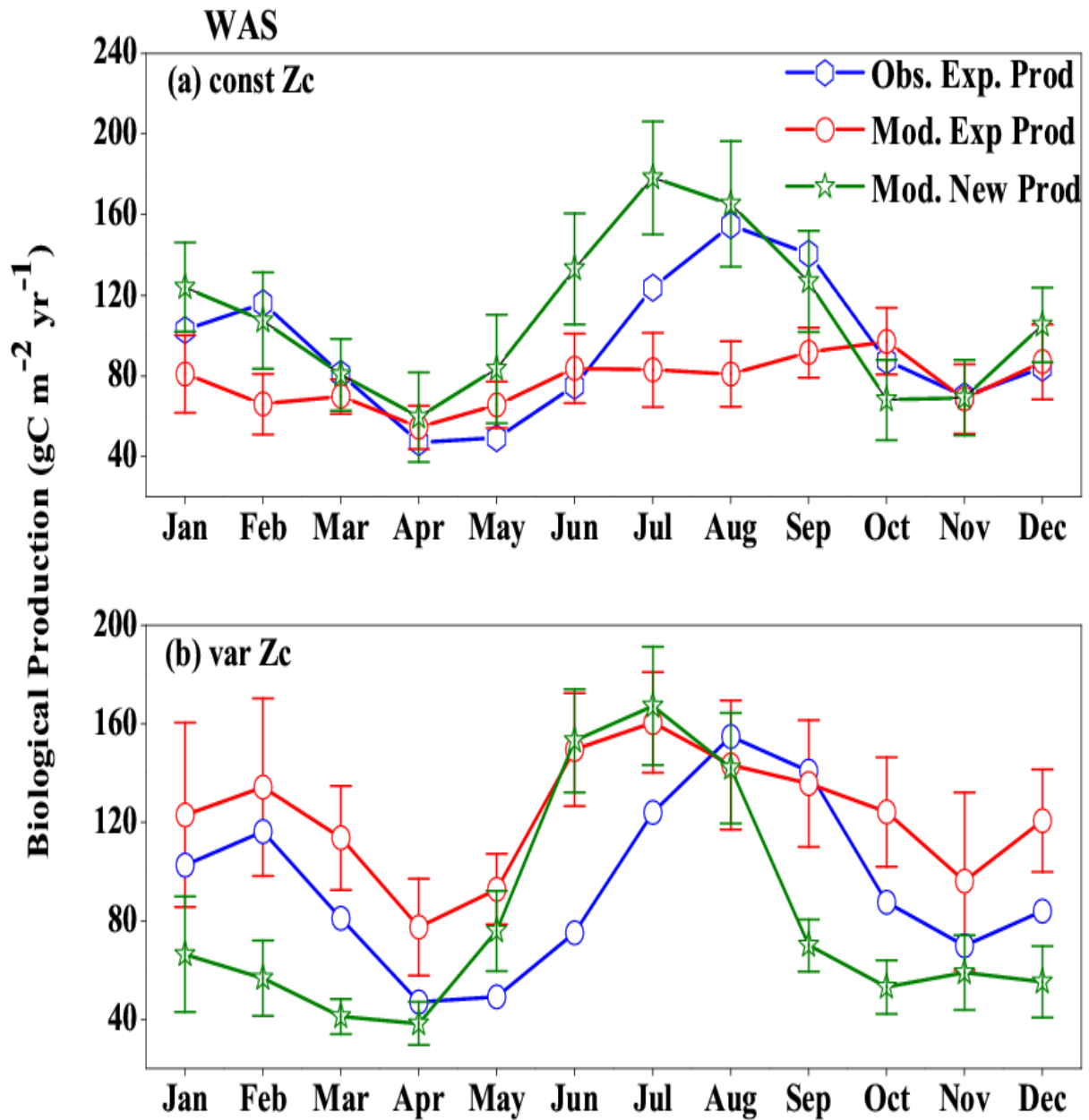


Figure (7): Comparison of model export production (Mod. Exp. Prod) and nNew production (Mod. New Prod) with satellite derived export production (Obs. Exp. Prod) for (a) Const Zc and (b) var Zc simulations for Western Arabian Sea (WAS) region. Units are in $\text{g C m}^{-2} \text{yr}^{-1}$. Legends are common for both graphs.

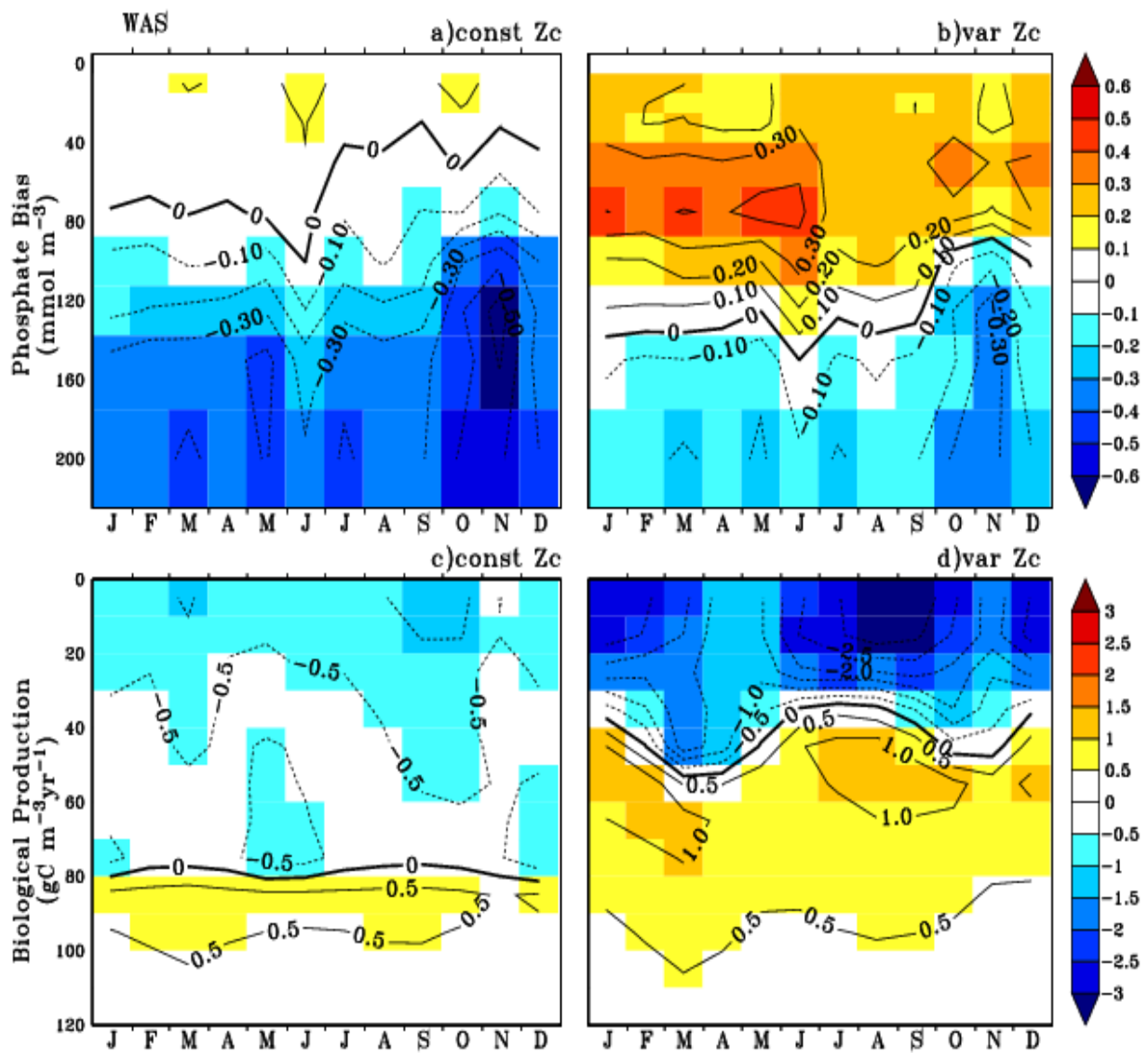


Figure 8: Annual mean bias of model phosphate when compared with climatological observational data (a) for constZc and (b) for varZc simulations. Corresponding annual mean biological source/sink profiles (c, d) in the model for WAS. Unit of phosphate is mmol m^{-3} and biological production is $\text{g C m}^{-3} \text{yr}^{-1}$.

Fig (8): Bias estimation of Phosphate in the model with climatological observational data (a) const Zc and (b) Var Zc simulations and corresponding Biological production (c, d) in the model for Western Arabian Sea (WAS) region. Units of Phosphate ($\times 100 \text{ mol m}^{-3}$) and Biological Production ($\text{g C m}^{-3} \text{yr}^{-1}$).

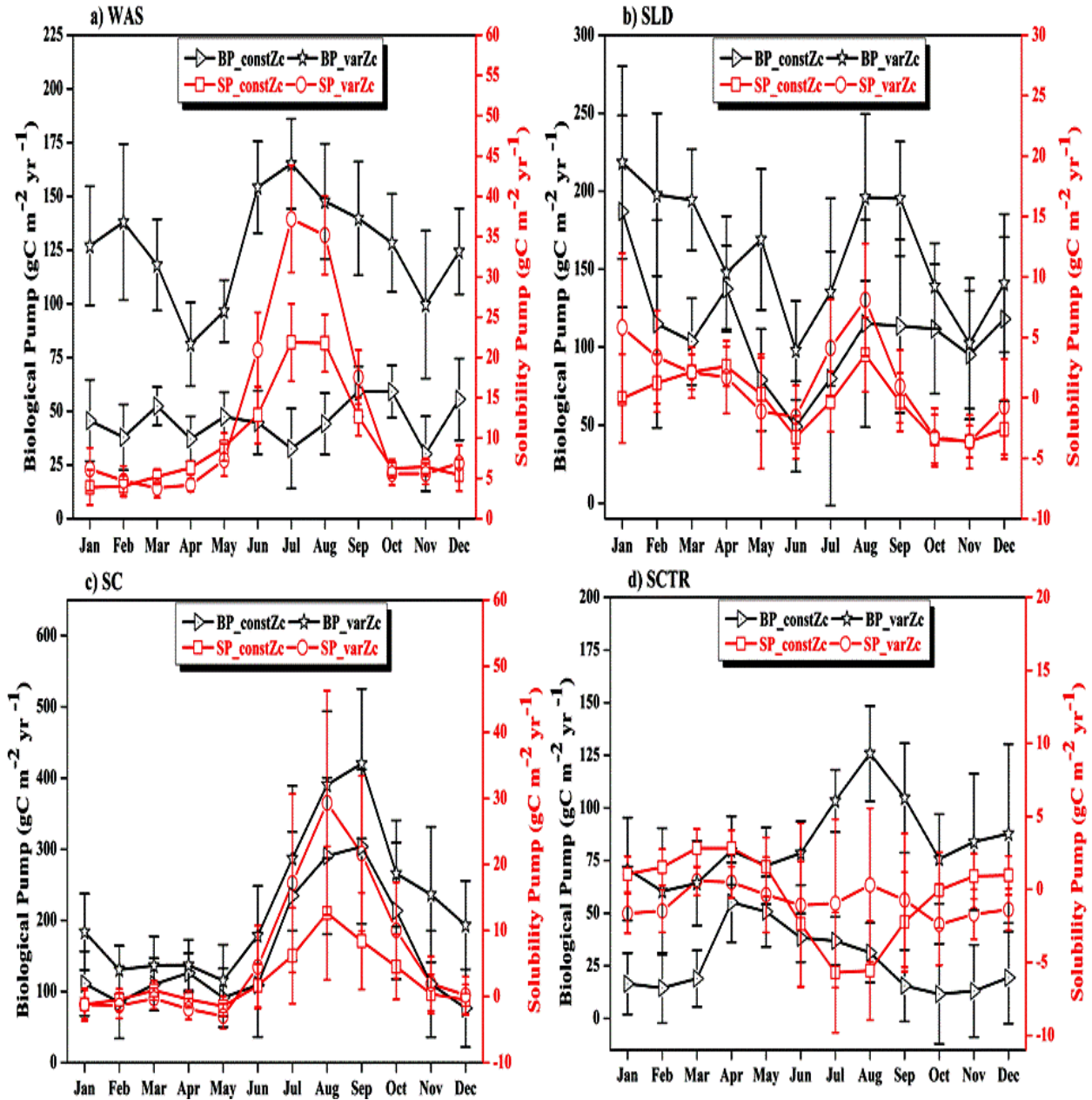


Figure 9: The strength of the biological pump (BP, black lines) and solubility pump (SP, red lines) from constZc and varZc simulations for (a) WAS (b) SLD (c) SC and (d) SCTR. The left axis shows the biological pump and the right axis shows the solubility pump. Error bar shows standard deviations of individual months over the years 1990 - 2010. Units are $\text{g C m}^{-2} \text{ yr}^{-1}$.

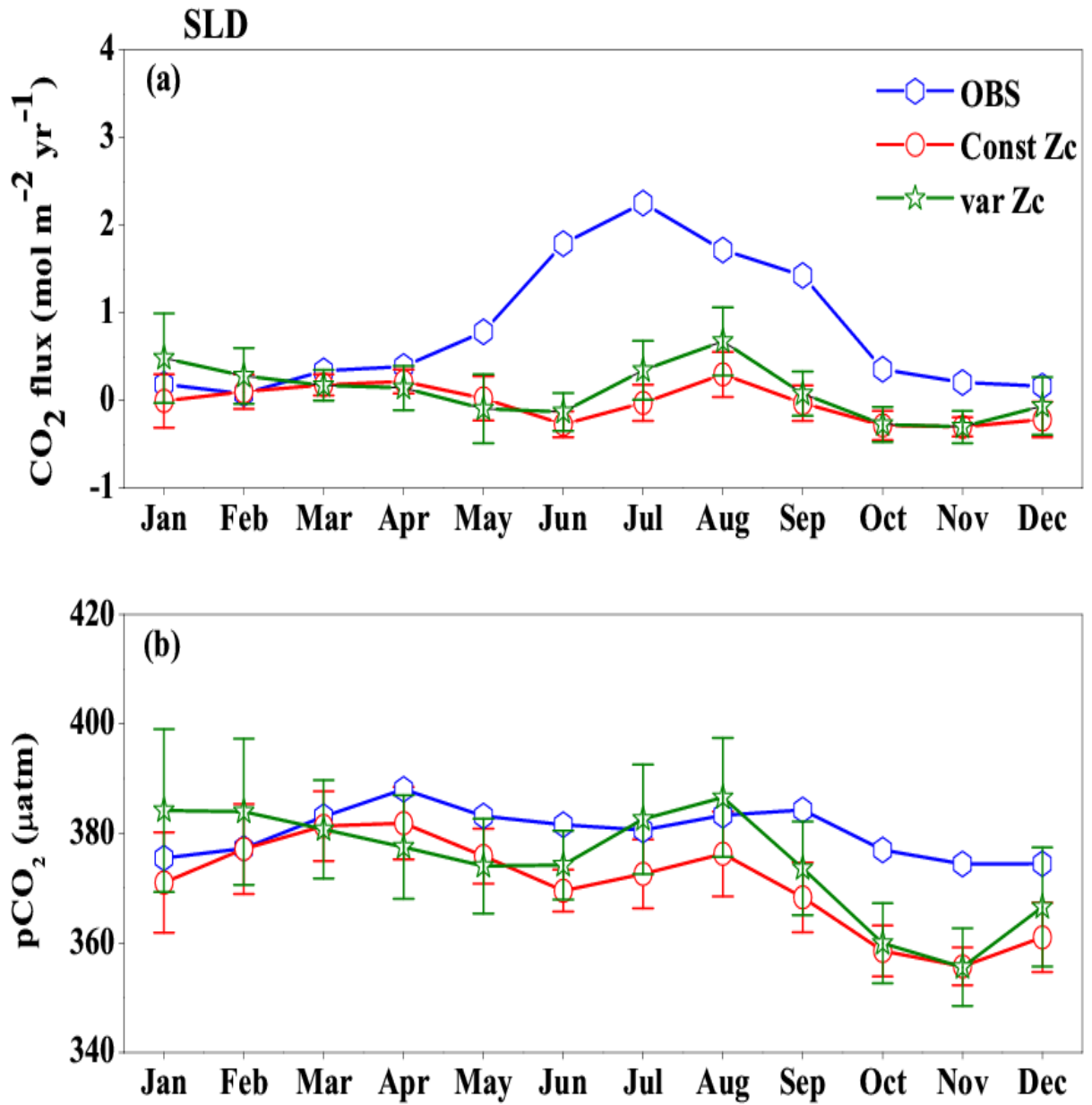


Figure 10: Same as Figure (6), but for SLD.

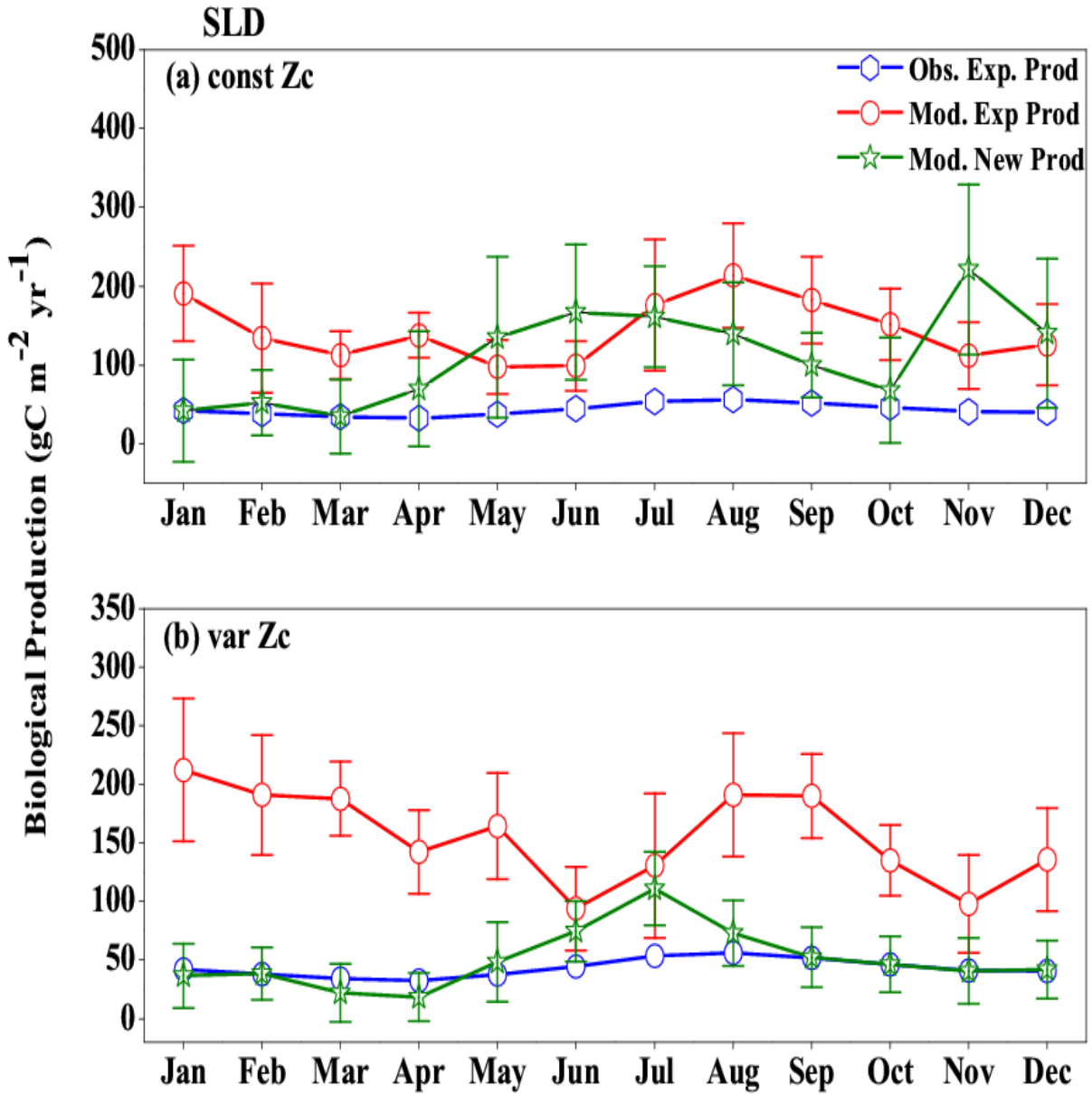


Figure 11: Same as Figure (7), but for SLD.

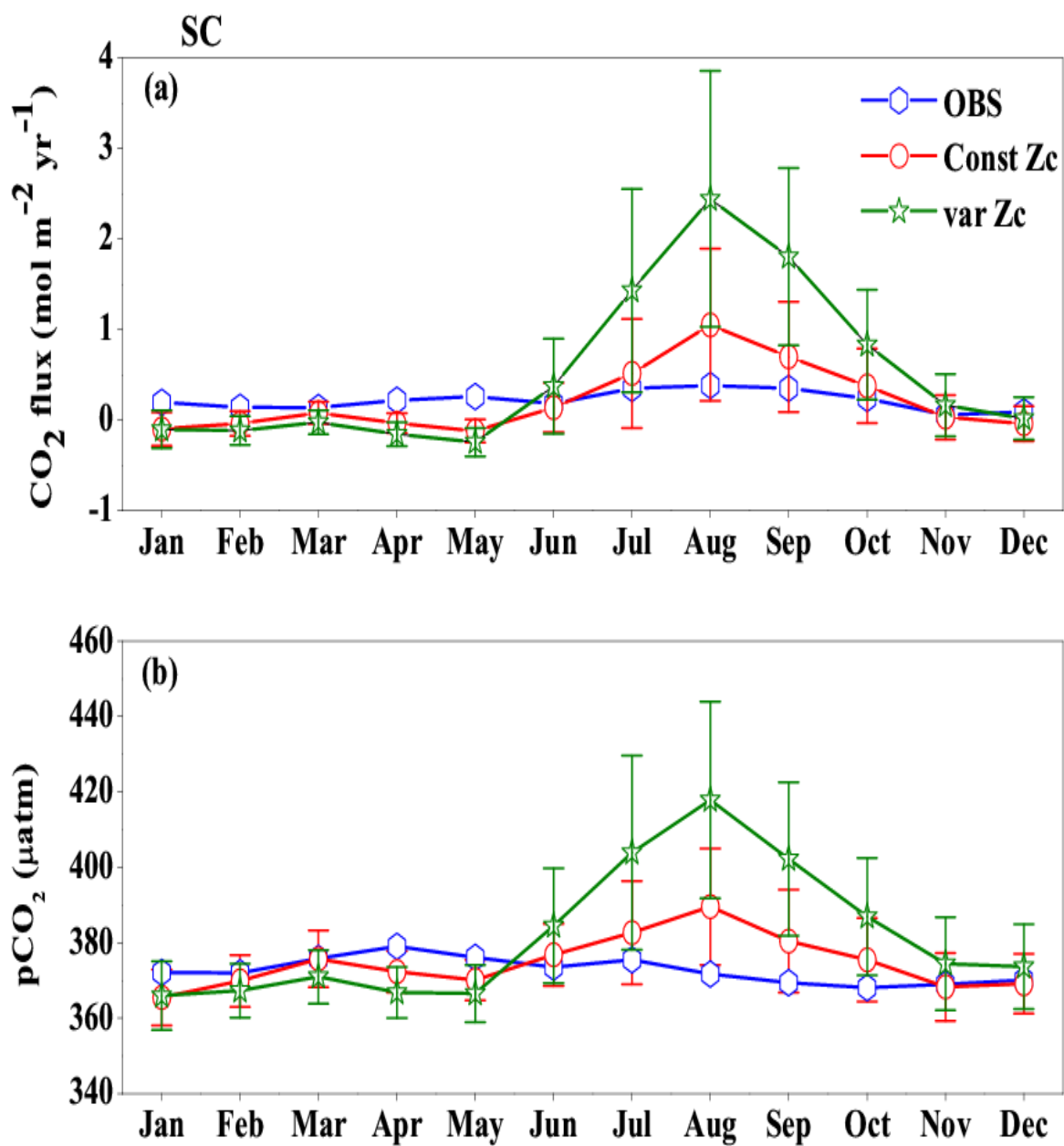


Figure 12: Same as Figure (6), but for SC.

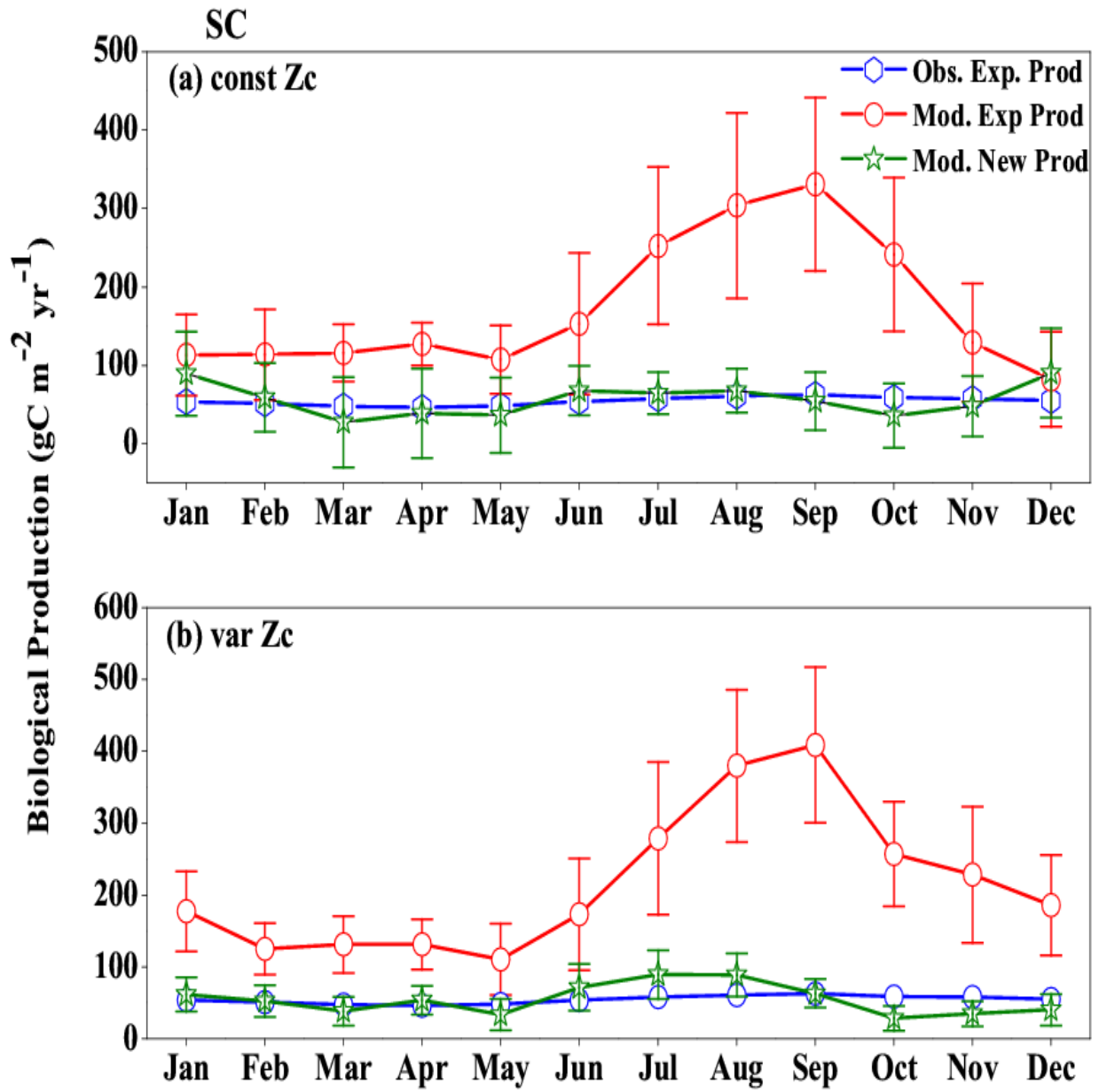


Figure 13: Same as Figure (7), but for SC.

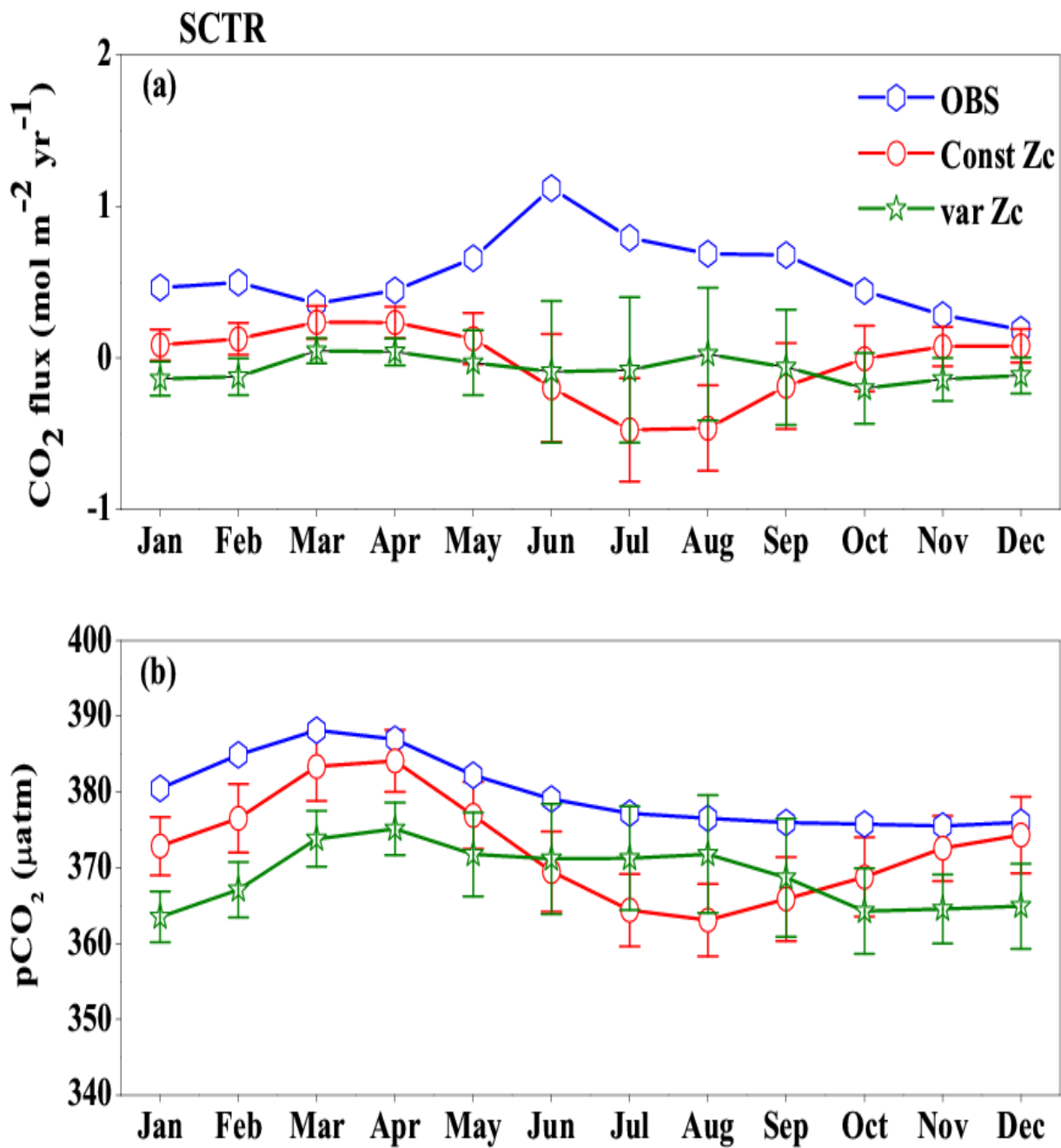


Figure 14: Same as Figure (6), but for SCTR.

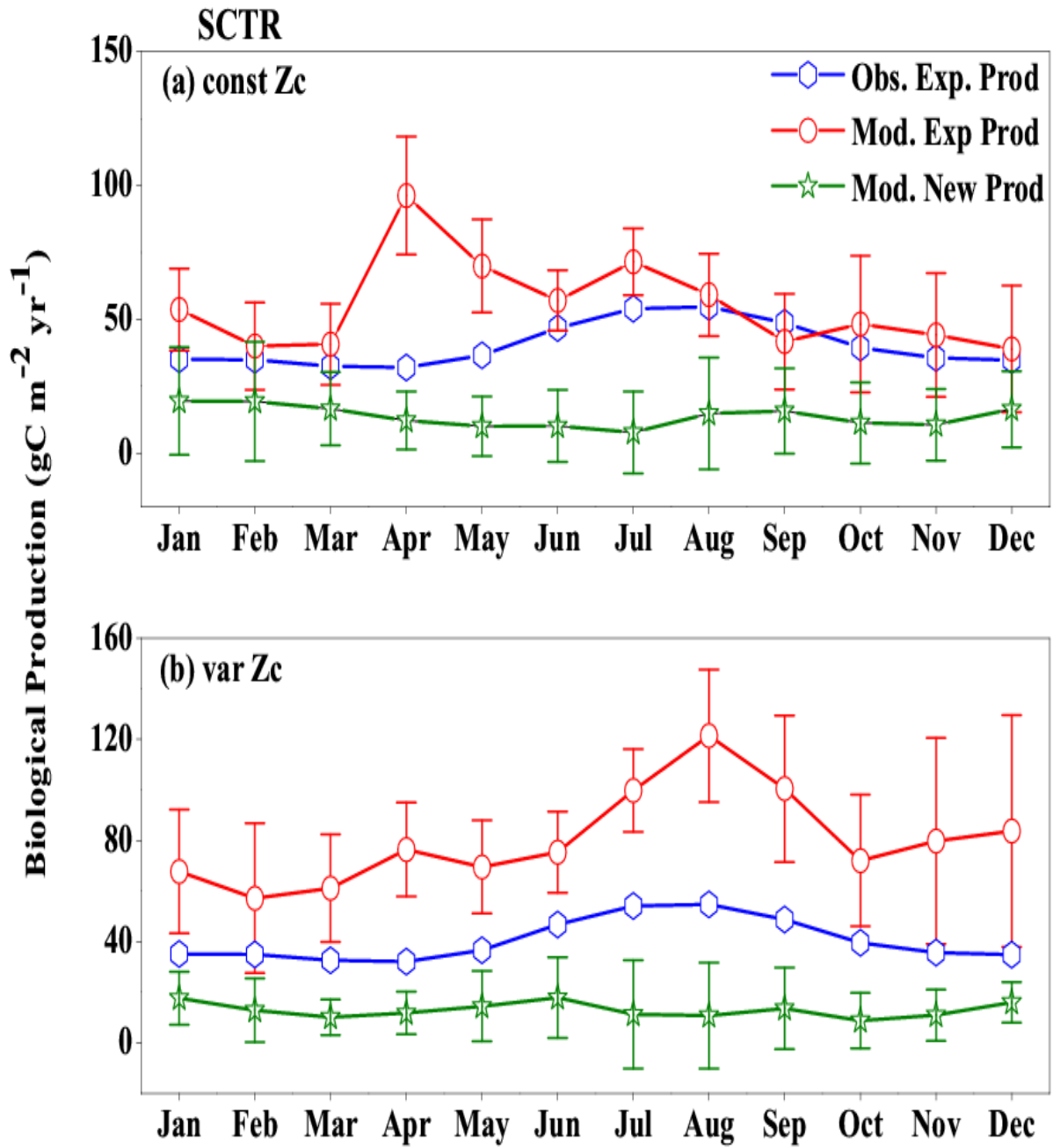


Figure 15: Same as Figure (7), but for SCTR.

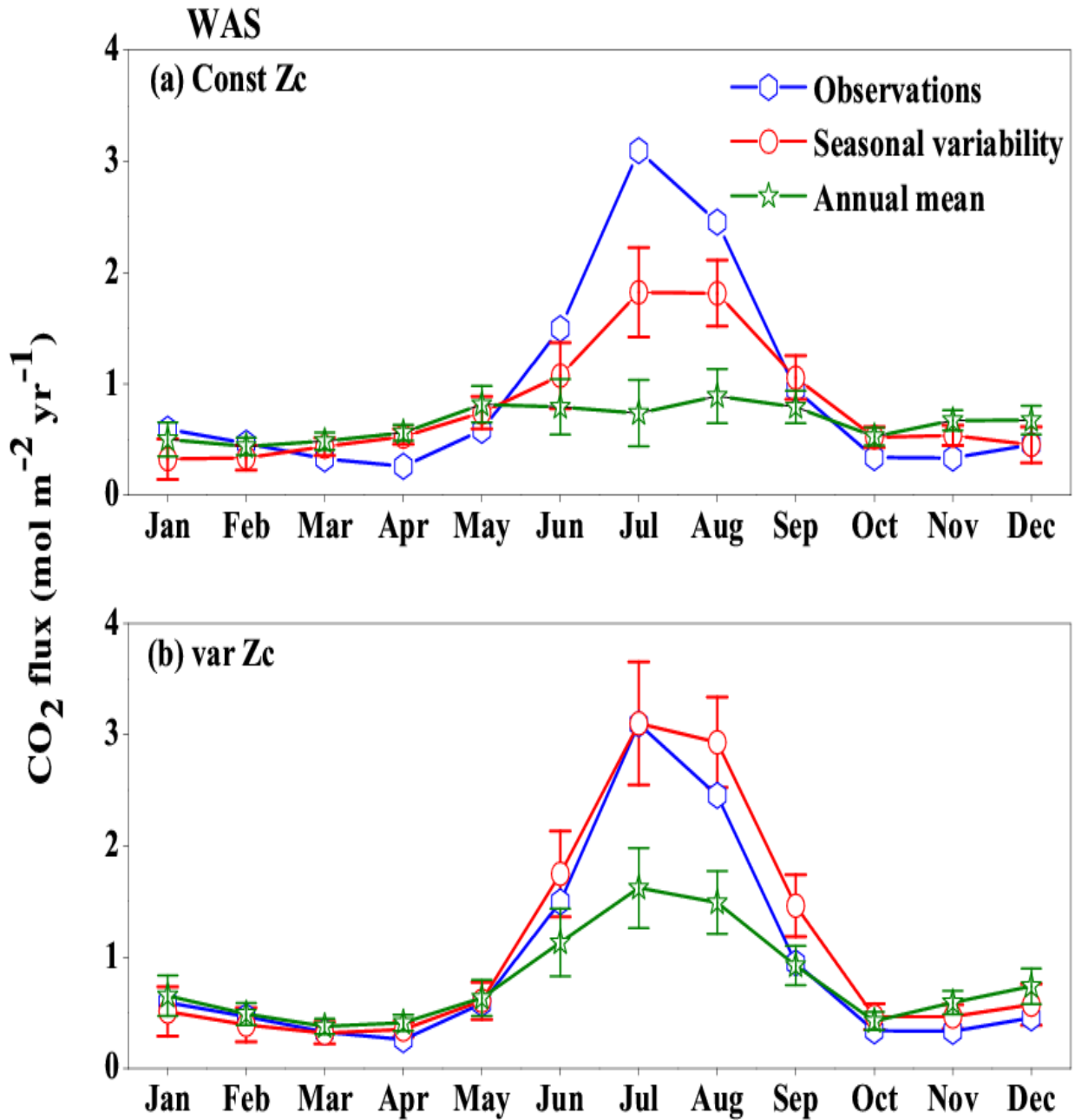


Figure 16: Response of CO₂ flux from the model forced with annual mean currents over the WAS as climatology computed over 1990-2010. Error bar shows standard deviations of individual months over these years. (a) constZc and (b) varZc. Units are mol m⁻² yr⁻¹. Legends are same for both graphs.

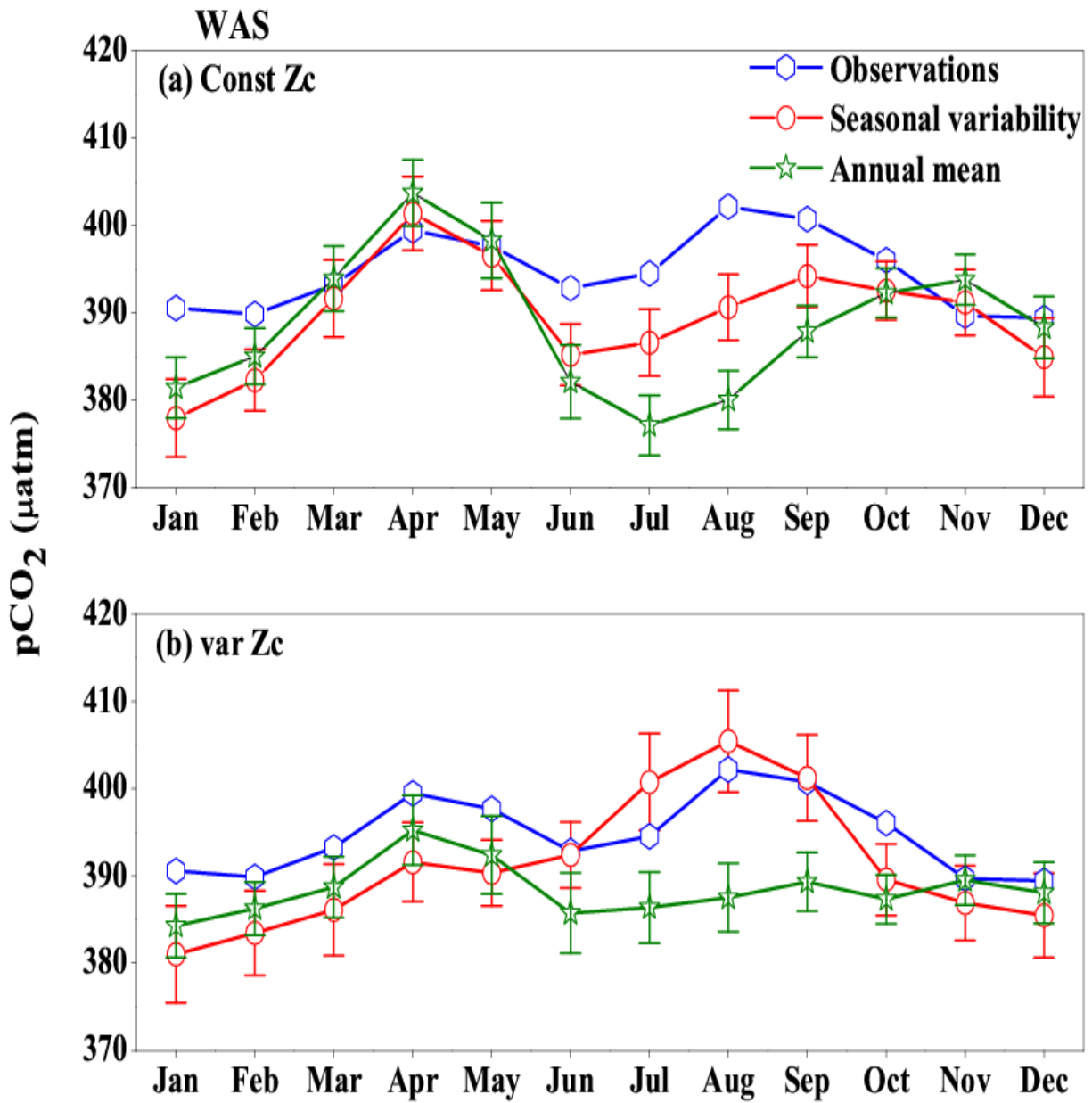


Figure 17: Same as Figure (16), but for pCO₂. Units are µatm.

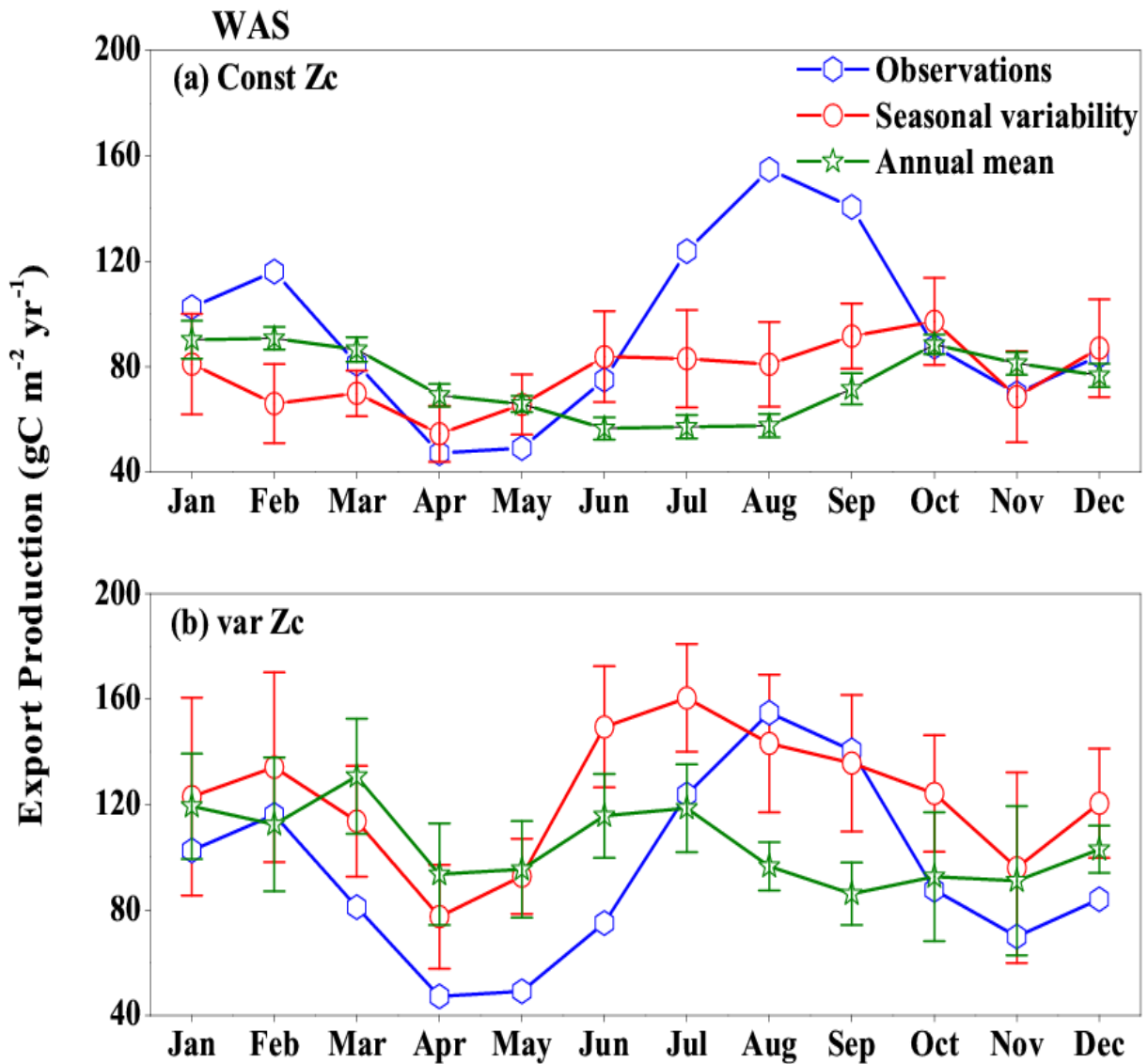


Figure 18: Response in export production of the model forced with annual mean currents in the WAS as climatology computed over 1990-2010. Error bar shows standard deviations of individual months over these years. (a) constZc (b) varZc. Units are $\text{g C m}^{-2} \text{yr}^{-1}$. Legends are same for both graphs.

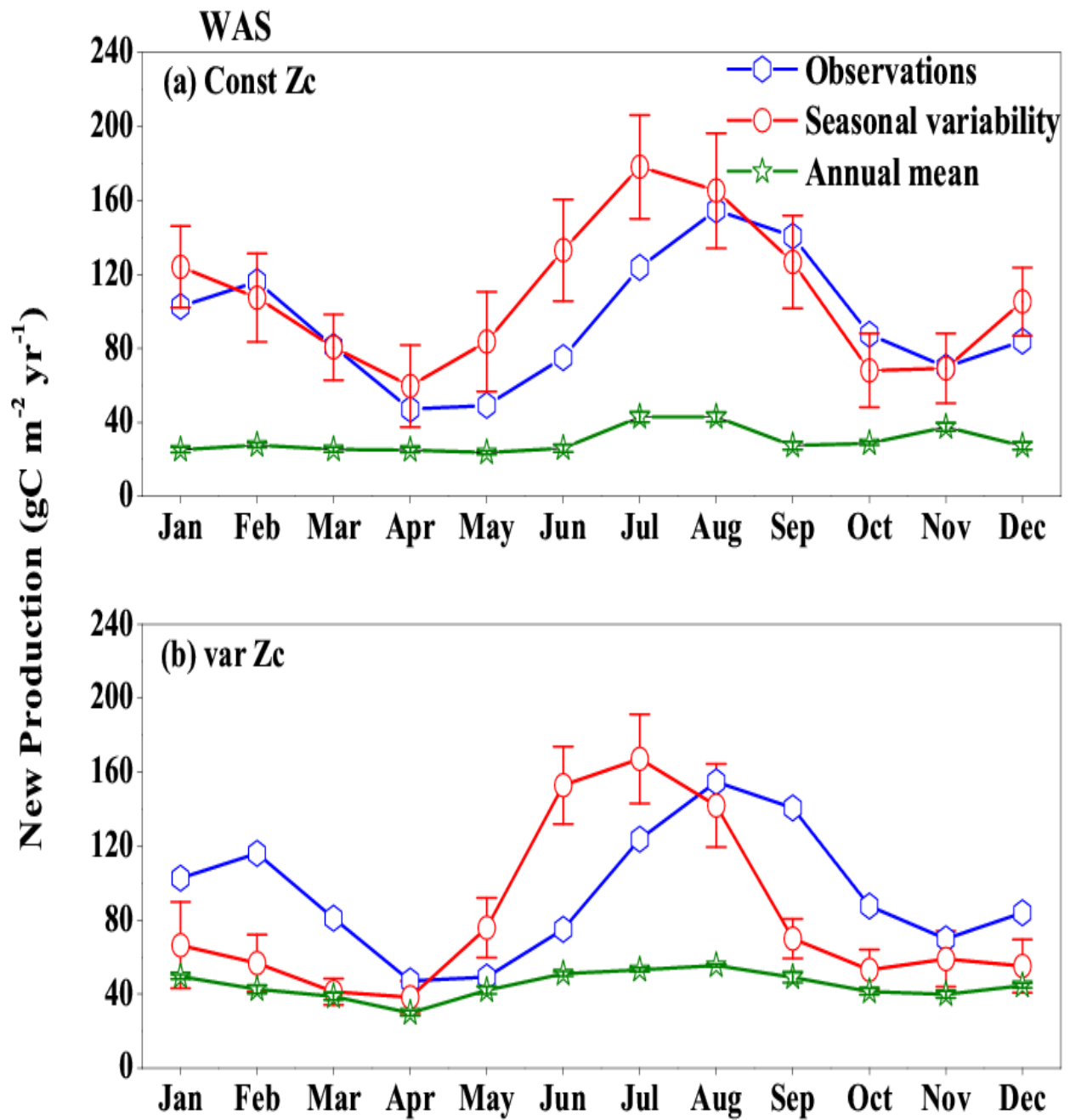


Figure 19: Same as Figure (18), but for New Production. Units are $\text{g C m}^{-2} \text{ yr}^{-1}$.

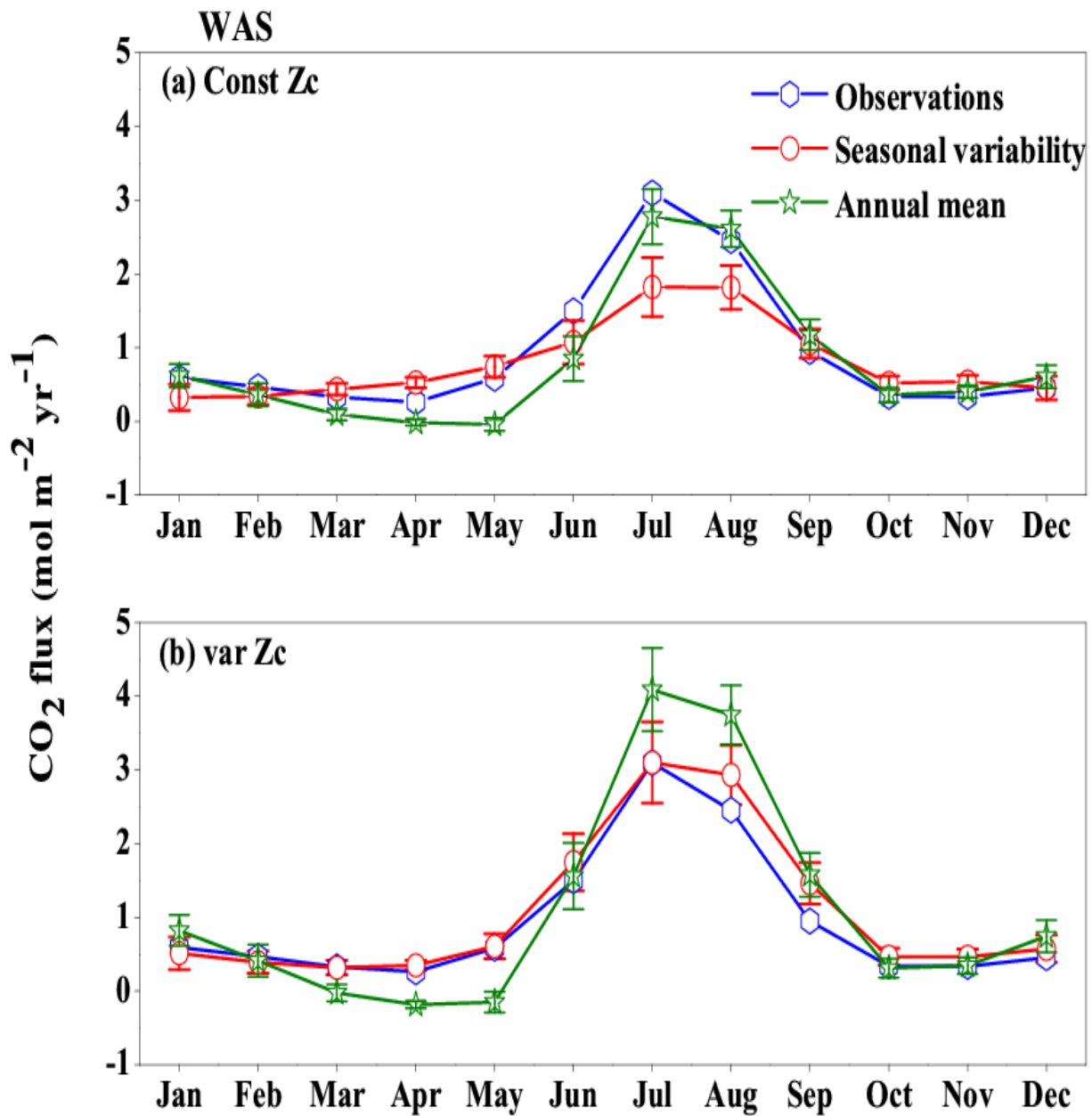


Figure 20: Response of CO₂ flux from the model forced with annual mean SST over the WAS as climatology computed over 1990-2010. Error bar shows standard deviations of individual months over these years. (a) constZc and (b) varZc. Units are mol m⁻² yr⁻¹. Legends are same for both graphs.

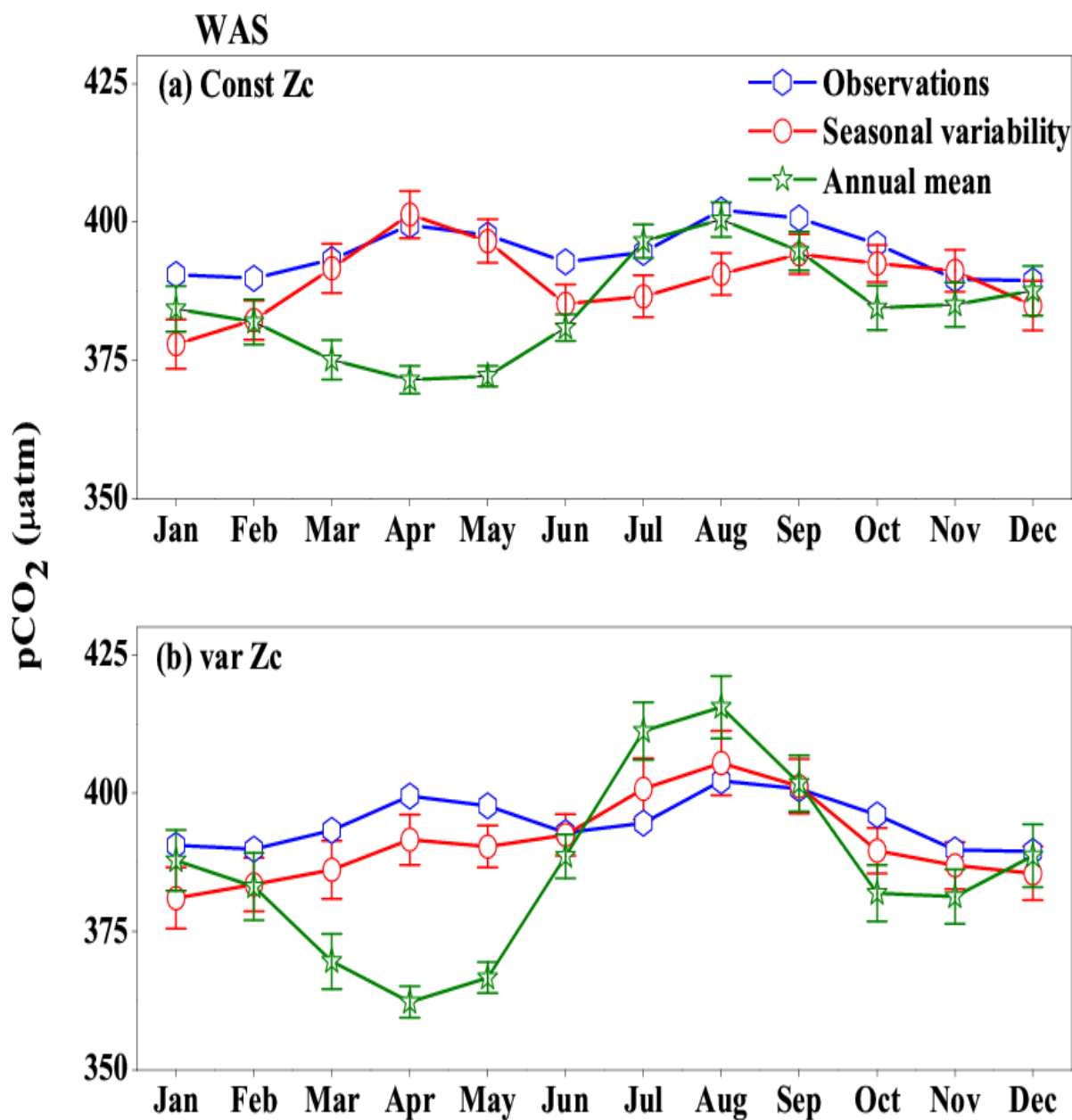


Figure 21: Same as Figure (20), But for pCO₂. Units are µatm.