



1 **Optimization of Biological Production for Indian Ocean upwelling zones: Part – I:**

2 **Improving Biological Parameterization via a variable Compensation Depth**

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21 **Abstract**

22

23 Biological modeling approach adopted by the Ocean Carbon Cycle Model Inter-comparison
24 Project (OCMIP-II) provided amazingly simple but surprisingly accurate rendition of the annual
25 mean carbon cycle for the global ocean. Nonetheless, OCMIP models are known to have
26 seasonal biases which are typically attributed to their bulk parameterization of ‘compensation
27 depth’. Utilizing the principle of minimum solar radiation for the production and its attenuation
28 by the surface Chl-a, we have proposed a new parameterization for a spatially and temporally
29 varying ‘compensation depth’ which captures the seasonality in the production zone reasonably
30 well. This new parameterization is shown to improve the seasonality of CO₂ fluxes, surface
31 ocean pCO₂, biological export and new production in the major upwelling zones of the Indian
32 Ocean. The seasonally varying compensation depth enriches the nutrient concentration in the
33 upper ocean yielding more faithful biological exports which in turn leads to an accurate
34 seasonality in carbon cycle. The export production strengthens by ~70% over western Arabian
35 sea during monsoon period and achieved a good balance between export and new production in
36 the model. This underscores the importance of having a seasonal balance in model export and
37 new production for a better representation of the seasonality of carbon cycle over upwelling
38 regions. The study also implies that both the biological and solubility pumps play an important
39 role in the Indian Ocean upwelling zones.

40

41 **Keywords:** Indian Ocean upwelling zones, Carbon cycle, Seasonal cycle - CO₂ flux and Oceanic
42 pCO₂, Biogeochemical model parameterization, Export production - New production balance.

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45 **1. Introduction**

46 Among the world's oceans, Indian Ocean is characterized by a unique seasonally reversing
47 wind systems called monsoon winds. The monsoon winds are the major physical drivers for the
48 coastal and open ocean upwelling in the Indian Ocean. The major upwelling systems in the
49 Indian Ocean are (1) the western Arabian Sea (WAS; Ryther et. al., 1965, Smith et. al., 2001,
50 Sarma., 2004, Wiggert et. al., 2006, Murtugudde et. al., 2007, McCreary et. al., 2009, Prasanna
51 Kumar et. al., 2010, Naqvi et. al., 2010, Roxy et al., 2015) (2) the SriLanka Dome
52 (SLD; Vinayachandran et al., 1998, 2004), (3) Java and Sumatra coasts (SC; Murtugudde et al.,
53 1999, Susanto et. al., 2001, Osawa et. al., 2010, Xing et. al., 2012) and (4) the Seychelles-
54 Chagos thermocline ridge (SCTR; Dilmahamod., 2016, Figure 1). The physical and biological
55 processes and their variability over these key regions are inseparably tied to the strength of the
56 monsoon winds and associated nutrient dynamics. The production and variability in the coastal
57 upwelling systems are a key concern to the fishing community, since they affect the day-to-day
58 livelihood of the coastal populations (Harvell et. al., 1999, Roxy et. al., 2015, Praveen et al.,
59 2016). Coastal upwelling systems account for about 11% of the world oceanic biological
60 production (Prasanna Kumar et al., 2001, Wiggert et al., 2005, Levy et al., 2007, McCreary et al.,
61 2009, Liao et. al., 2016) and are especially important for the Indian Ocean rim countries due to
62 their developing countries status.

63 Arabian Sea is a highly productive coastal upwelling system characterized by phytoplankton
64 blooms both in summer (Prasanna Kumar et al., 2001, Naqvi et al., 2003, Wiggert et al., 2005)
65 and winter (Banse K. et. al., 1986, Schubert et. al., 1998, Wiggert et. al., 2000, Barber et. al.,
66 2001, Prasannakumar et al., 2001, Sarma., 2004). Arabian Sea is known for the second largest
67 Tuna fishing region among all oceans (Lee et al., 2005). The Somali and Omani upwelling



68 regions experience phytoplankton blooms that are prominent with Net Primary Production (NPP)
69 exceeding $438.29 \text{ g C m}^{-2} \text{ yr}^{-1}$ (Liao et. al., 2016). On the other hand productivity over the SLD
70 (Vinayachandran and Yamagata, 1998), in the sea of Sri Lanka is triggered by an open ocean
71 Ekman suction. SLD shows strong Chl-a blooms during the summer monsoon (Murtugudde et
72 al., 1999, Vinayachandran et al., 2004). Compared to the Arabian Sea this bloom lasts for more
73 than four months due to the impact of biogeochemistry of the region (Vinayachandran et. al.,
74 2004). During the winter monsoon, the southwest Bay of Bengal is also characterized by Chl-a
75 blooms associated with the intense cyclonic activities (Vinayachandran and Mathew, 2003).

76 The SC upwelling is basically due to the stronger alongshore winds and its variation is
77 associated with impact of equatorial and coastal Kelvin waves (Murtugudde et. al., 2000). The
78 interannual variability associated with Java-Sumatra coastal upwelling is strongly coupled with
79 ENSO (El-Nino Southern Oscillation) through Indonesian throughflow (Susanto. et. al., 2001,
80 Valsala et al., 2011) and peaks in July through August with a potential new production of $1.02 \times$
81 $10^{14} \text{ g C yr}^{-1}$ (Xing et. al., 2012).

82 The SCTR region productivity has large spatial and interannual variability. The warmer
83 upper ocean condition associated with El Nino reduce the amplitude of the subseasonal SST
84 variability over the SCTR (Jung and Kirtman., 2016). The Chl-a concentration peaks in summer
85 when the southeast trade winds induce mixing and initiate the upwelling of nutrient-rich water
86 (Murtugudde et. al., 1999, Wiggert et al., 2006, Vialard. J. et. al., 2009, Dilmahamod et al.,
87 2016).

88 Understanding the biological production and variability in the upwelling systems are
89 important because it gives us crucial information regarding marine species variability (Colwell,



90 1996, Harvell et al., 1999, Selina et al., 2015). The observations also provide vital insights into
91 physical and biological interactions of the ecosystem (Naqvi et al., 2010) although limitations of
92 sparse observations often force us to depend on models to examine the large spatio-temporal
93 variability of the ecosystem (Valsala et al., 2013). Simple to inter-mediate complex marine
94 ecosystem models have been employed by several of the previous studies (Sarmiento et. al.,
95 2000, Orr. et. al., 2001, Matsumoto et. al., 2008). However the representation of marine
96 ecosystem variability by proper parameterizations in models has always been a daunting task.
97 This is impediment to the accurate representation of biological primary and export productions in
98 models (Friedrichs et al., 2006, 2007) and the parameterization issues also impact the modeling
99 of upper trophics levels (Lehodey et al., 2010).

100 Biological production can be quantified with a better understanding of primary
101 production by phytoplankton. Primary production depends on water temperature, light and
102 nutrient availability (Brock. et. al., 1993, Moisan et. al., 2002) and this became the key reason for
103 parameterizing the production in models as one or more combinations of these terms (Yamanaka
104 et. al., 2004). Any of these parameters can be tweaked to alter production in models. For
105 example the availability of nutrients and light determines the phytoplankton growth (Eppely et
106 al., 1972) or growth rate (Boyd et. al., 2013). Stoichiometry and carbon-to-Chl-a ratios are other
107 important factors to be considered in modeling (Christian et al., 2001, Wang et al., 2009) but we
108 will not consider them in this study.

109 In 1995, an initiative by the IGBP/GAIM (International Geosphere-Biosphere
110 program/Global Analysis, Integration and Modeling) and IGBP/JGOFS (Joint Global Ocean
111 Flux Study) to study carbon cycle referred to as the Ocean Carbon cycle Model Intercomparison
112 Project (OCMIP) greatly improved our understanding of global carbon cycle (Raymond Najjar



113 and James Orr, 1998). OCMIP-II further introduced a simple phosphate dependent production
114 term in biological models for long term simulations of carbon cycle (Najjar et al, 1998).
115 Although OCMIP – II is a very simplified model, it is surprisingly accurate in simulating the
116 annual mean state and the response to anthropogenic climate change (Orr et al., 2001, Doney et.
117 al., 2004). However, the OCMIP – II model simulations comes with a penalty of higher seasonal
118 biases when compared with observations (Orr et al., 2003). In this protocol the light limitation is
119 formulated as a bulk quantity with the notion that the minimum light irradiance at which
120 phytoplankton photosynthesis is sufficient to balance the community respiration, I_c , is the
121 compensation irradiance (Sarmiento et al., 2006) and the depth at which the photosynthesis
122 equals respiration is the compensation depth Z_c (Smetacek and Passow, 1990), which is clearly
123 different from the conventional euphotic zone depth (Morel., 1988). If the irradiance is below I_c ,
124 phytoplankton growth will be suppressed. If the irradiance is above this, the planktonic
125 photosynthesis will exceed the community respiration and production will increase (Parsons et.
126 al., 1984, Sarmiento et. al., 2006). Therefore the compensation depth represents the oceanic
127 production zone in this approach.

128 However, Z_c was held constant in time and space in OCMIP-II models (Raymond Najjar
129 and James Orr, 1998, Matsumoto et. al., 2008) though in reality Z_c varies in space and time
130 (Najjar and Keeling, 1997) just as the euphotic zone depth does as documented in ship
131 measurements (Qasim, 1977, 1982). The variation in compensation depth indicates the
132 seasonality of the production zone itself. Availability of light and nutrients at an optimum level
133 is clearly essential for primary production.

134 Most of the biophysical models prescribe a constant value for compensation depth (e.g., $Z_c =$
135 75m in OCMIP –II protocol (Raymond Najjar and James Orr, 1998), $Z_c = 100m$ for Minnestoa



136 Earth System Model (Matsumoto et. al., 2008) although in reality it is not a constant. Depending
137 on the latitude, compensation depth varies between 50m and 100m in the real world (Najjar and
138 Keeling, 1997). In our study we have attempted a novel biological parameterization scheme for
139 spatially and temporally varying compensation depth in the OCMIP – II framework by
140 representing it as a function of optimum solar radiation (Parsons et. al., 1984) and Chl-a
141 availability. In this hypothesis, the minimum solar radiation required for photosynthesis is taken
142 as 10 W m^{-2} below which the production reduces to 20% (Parsons et. al., 1984) and the Chl-a
143 concentration which determines the attenuation of solar radiation with depth in the production
144 zone is also assumed to vary to yield the spatio-temporal variability of Zc. The basic currency of
145 phosphate will act as limiting factor for biological production within this varying compensation
146 depth. This spatially and temporally varying compensation depth represents the seasonality in
147 the production zone.

148 Regions of sustained upwelling like the eastern equatorial Pacific are well understood in
149 terms of the role of upwelling in increasing the surface water pCO_2 to drive an outgassing of CO_2
150 into the atmosphere (Feely et al., 1999, Valsala et al., 2014). The Indian Ocean on the other hand
151 only experiences seasonal upwelling which is relatively weak in the deep tropics but stronger off
152 the coasts of Somalia and Oman and in the SLD region (Valsala et al., 2013). The relative
153 importance of the solubility vs. biological pump is not well understood. Our focus here on
154 implementing seasonality in the compensation depth of OCMIP models nonetheless leads to new
155 insights on the impact of improved biological production on surface water pCO_2 and air-sea CO_2
156 fluxes. The largely positive effects of the variable compensation depth over the Indian Ocean and
157 the sensitivity experiments where upwelling is muted strongly imply that the biological pump
158 may play as much of role as the solubility pump in determining surface pCO_2 and CO_2 fluxes.



159 The paper is organized as follows. Model, Data and Methodology are detailed in Section
160 2. The spatially inhomogeneous Z_c derived out of the new parameterization and its impact in
161 simulated seasonality of biology and carbon cycle are detailed in Section 3. Further results and
162 discussion are followed in Section 4 and a conclusion is given in Section 5.

163 **2. Model, Data and Methods**

164 **2.1. Model**

165 The study utilizes the Offline Ocean Tracer Transport Model (OTTM) (Valsala et al., 2008)
166 coupled with OCMIP biogeochemistry model (Raymond Najjar and James Orr, 1998). OTTM
167 does not compute currents and stratifications (i.e., temperature and salinity) on its own. It is
168 capable of accepting any ocean model or data-assimilated product as physical drivers. The
169 physical drivers prescribed include 4-dimensional currents (u,v), temperature, salinity, and 3-
170 dimensional mixed layer depth, surface freshwater and heat fluxes, surface wind stress and sea
171 surface height. The resolution of the model setup is similar to the parent model from which it
172 borrows the physical drivers. With the given input of Geophysical Fluid Dynamics Laboratory
173 (GFDL) reanalysis data, the zonal and meridional resolutions are 1° with 360 grid points
174 longitudinally and 1° at higher latitudes but having a finer resolution of 0.8° in the tropics, with
175 200 grid points, respectively. The model has 50 vertical levels with 10m increment in the upper
176 225m and stretched vertical levels below 225m. The horizontal grids are formulated in spherical
177 co-ordinates and vertical grids are in z levels. The model employs a B-grid structure in which the
178 velocities are resolved at corners of the tracer grids. The model uses a centered-in-space and
179 centered-in- time (CSCT) numerical scheme along with an Asselin-Robert filter (Asselin., 1972)
180 to control the ripples in CSCT.



181 The tracer concentration (C) evolves with time as

$$182 \quad \frac{\partial C}{\partial t} + U \cdot \nabla_H C + W \frac{\partial C}{\partial z} = \frac{\partial}{\partial z} K_z \frac{\partial}{\partial z} C + \nabla_H \cdot (K_h \nabla_H C) + \Phi \quad (1)$$

183 where ∇_H is the horizontal gradient operator, U and W are the horizontal and vertical velocities
184 respectively. K_z is the vertical mixing coefficient, and K_h is the two-dimensional diffusion
185 tensor. Φ represents any sink or source due to the internal consumption or production of the
186 tracer as well as the emission or absorption of fluxes at the ocean surface. Here, the source and
187 sink term are provided through the biogeochemical model. Vertical mixing is resolved in the
188 model using K- profile parameterization (KPP) (Large et al., 1994).

189 In addition to KPP, the model uses a background vertical diffusion reported by Bryan and Lewis
190 (Bryan and Lewis., 1979) in order to represent the convection and mixing that happens in a time
191 scale of a few days. For horizontal mixing model incorporates Redi fluxes (Redi., 1982) and GM
192 fluxes (Gent and McWilliams., 1990) which accommodate the eddy induced variance from the
193 mean in the tracer transport. A weak Laplacian diffusion is also included in the model for
194 computational stability where sharp gradient of concentration occurs.

195 The biogeochemical model used in the study is based on the OCMIP – II protocol as
196 stated above. The main motivation of OCMIP–II model design is to simulate the ocean carbon
197 cycle with reductionist approach to ocean biology using appropriate biogeochemical
198 parameterizations. The major advantage of the OCMIP – II protocol is (i) it reproduces the first
199 order carbon cycle and the associated elemental cycles in the ocean reasonably well and (ii) it is
200 much easier to implement and computationally efficient than the explicit ecosystem models. The
201 present version of the model has five prognostic variables coupled with the circulation field, viz.,
202 inorganic phosphate (PO_4^{3-}), dissolved organic phosphorous (DOP), oxygen (O_2), dissolved



203 inorganic carbon (DIC) and alkalinity (ALK). In order to retrieve the accurate spatial and
204 temporal distribution of CO₂ flux and pCO₂, the model uses a “nutrient restoring” approach
205 (Najjar et. al., 1992, Anderson and Sarmiento., 1995) for biological production. The basic
206 currency for biological production in the model is phosphate because of the availability of a
207 more extensive database and to eliminate the complexities associated with nitrogen fixation and
208 denitrification. The biogeochemical dynamics implemented in the model are given Appendix-A

209 The air – sea CO₂ flux in the model is estimated by,

$$210 \quad F = K_w \Delta pCO_2 \quad (2)$$

211 where K_w is gas transfer velocity and ΔpCO_2 is the difference in partial pressure of carbon
212 dioxide between the ocean and atmosphere. The design and validation of the physical model is
213 reported by Valsala et. al.,(2008, 2010) and biogeochemical design by Najjar and Orr (1998).

214 **2.2. Data**

215 The present setup of the model uses ocean reanalysis products based on MOM-4
216 (Modular Ocean Model) developed by GFDL (Chang et. al., 2012). Monthly data from 1961 to
217 2010 were utilized in the present study. For validating the results observational datasets of CO₂
218 flux and pCO₂ were taken from Takahashi et al., (2009). Satellite derived Net Primary
219 Production data were taken from Sea-viewing Wide Field of view sensor (SeaWiFS) Chl-a
220 product, calculated using Vertically Generalized Production Model (VGPM) (Behrenfeld and
221 Falkowski, 1997). The initial conditions for PO₄ and O₂ were taken from World Ocean Atlas
222 (Conkright et al., 1994). Initial conditions for DIC and ALK were taken from the Global Ocean
223 Data Analysis Project (GLODAP) dataset. The data sources and citations are given in the
224 Acknowledgement.



225 A spin-up for 50 years from the given initial conditions are performed with the
226 climatological physical drivers. Because the initial conditions were provided from a mean state
227 observed climatology this duration of spin-up is sufficient to reach statistical equilibrium in the
228 upper 1000 m (Le Quere et al., 2000). Atmospheric pCO₂ has been set to a value from the 1950s
229 in the spin-up run for calculating the air-sea CO₂ exchange. A seasonal cycle of atmospheric
230 pCO₂ has been prescribed.

231 After the spin-up, an interannual simulation for 50 years from 1961 to 2010 has been
232 carried out with the corresponding observed atmospheric pCO₂ described in Keeling et al,
233 (1995). The first five years of the interannual run were looped five times through the physical
234 fields of 1961 repeatedly for a smooth merging of the spin-up restart to the interannual physical
235 variables. Since the study is focused only on bias corrections to seasonal cycle with a variable
236 Z_c, a model climatology has been constructed from 1990 to 2010. This includes the
237 anthropogenic increase of oceanic DIC in the climatological calculation and is comparable with
238 the Takahashi et al. (2009) observations.

239 Additional two sensitivity experiments have been performed separately by providing
240 annual mean currents or temperatures as drivers over selected regions of the basin for
241 segregating the role of varying compensation depth (varZ_c) in improving the seasonality of
242 carbon cycle and biological production. The model driven with annual mean currents suppress
243 the effect of upwelling by muting the ekman divergence over the region of interest. On the other
244 hand, the model forced with annual mean temperatures suppresses the cooling effect of
245 upwelling. This will highlight the effect of new parameterization in simulating seasonality of
246 carbon cycle and biological production. A smoothing technique with linear interpolation
247 ($u = u(1 - x) + \bar{u}x$) is applied to the offline-data in order blend the annual mean fields (\bar{u})



248 given to the selected region with the rest of the domain (u) in order to reduce a sudden transition
249 at the boundaries.

250 **2.3. Compensation depth (Z_c) parameterization**

251

252 The OCMIP – II simulation protocol separates the production and consumption zones by
253 a depth termed as compensation depth (Z_c); the depth at which photosynthesis is equal to
254 respiration of the photosynthetic community (Smetacek and Passow., 1990). The light intensity
255 at compensation depth is compensation irradiance (I_c) with larger values at higher temperatures
256 since respiration is temperature dependent (Parsons et. al., 1984, Ryther, 2003). We define a
257 spatially and temporally varying compensation depth (hereinafter $varZ_c$) as a depth where solar
258 radiation (attenuated by surface Chl-a, Jerlov et al., 1976) reaches a minimum value of 10 W m^{-2} .
259 In this way the $varZ_c$ has both spatio-temporal variability of light as well as Chl-a data. The Chl-
260 a is given as monthly climatology as constructed from the satellite data. Observations show that
261 the primary production reduces rapidly to 20% or less of the surface value below a threshold of
262 10 W m^{-2} (Parsons et.al., 1984, Ryther, 2003). Figure 2 compares the scatter of average relative
263 photosynthesis within $varZ_c$ as a function of solar radiation for the Indian Ocean. This
264 encapsulate the corresponding curve from the observations for the major phytoplankton species
265 in the ocean such as diatoms, green algae and dinoflagellates (Ryther et al., 1956, 2003, Parsons
266 et al., 1984). The model permits 100% relative photosynthesis for radiation above 50 W m^{-2} .
267 However the availability of phosphate concentration in the model act as an additional limiter for
268 production which indirectly represents the photoinhibition at higher irradiance, for example
269 oligotrophic gyres.

270

271 **3. Results and Discussions**

272 The inclusion of seasonality in Z_c by way of parameterizing $\text{var}Z_c$ leads to a remarkable
273 spatio-temporal variability in compensation depth (Figure 3). The compensation depth over the
274 Arabian Sea varies from 10m to 25m during DJF and deepens up to 45m during MAM in par
275 with incoming solar radiation. During the monsoon season (JJAS), the compensation depth again
276 shoals to 10m-35m due to the attenuation of solar radiation by the increased biological
277 production (Chl-a). During Oct–Nov the Z_c slightly deepens as compared to JJAS.

278 The Bay of Bengal compensation depth deepens from 35m to 40m during DJF and further
279 deepens to 50m during MAM when the solar radiation is maximum and biological production is
280 minimum (Prasannakumar et al., 2002). Further reduction of compensation depth can be seen
281 through JJAS as a result of reduction in solar radiation during monsoon cloud cover. The Z_c
282 during Oct – Nov is on an average of 35m. However, caution is needed since the Bay of Bengal
283 is dominated by freshwater forcing from rivers and precipitation and temperature inversions
284 occur routinely (Howden and Murtugudde., 2001, Vinayachandran et. al., 2013). The impact of
285 these factors on compensation depth variability is not clear and is not addressed here.

286 The equatorial Indian Ocean can be seen as a belt of 40m-45m deep compensation depth
287 throughout the season except for JJAS. During JJAS, a shallow compensation depth is seen near
288 the coastal Arabian Sea (around 10m to 35m) presumably due to the coastal Chl-a blooms. Deep
289 compensation depth off the coast of Sumatra (~ 40m to 50m) is found during JJAS. Java-
290 Sumatra coastal upwelling is centered on September-Nov (Susanto et al., 2001) and upwelling
291 originates at around 100m deep (Xing et al., 2012).



292 Southward of 10°S in the oligotrophic gyre region, the compensation depth varies from 40m to
293 more than 60m throughout the year. A conspicuous feature observed while parameterizing the
294 solar radiation and Chl-a dependent Z_c is that its maximum value never crosses 75 m especially
295 in the Indian Ocean which is the value specified in OCMIP-II models. The cutoff depth of 75 m
296 in OCMIP-II is obtained from observing the seasonal variance in the oxygen data (Najjar and
297 Keeling, 1997) as an indicator of production zone. However, parameterizing a production zone
298 based on optimum solar radiation and Chl-a (Parsons et al., 1984) predicts a production zone
299 and its variability that is largely less than 75 m. The consequence of this in the seasonality of the
300 modeled carbon cycle is illustrated as follows.

301 **3.1. Simulated seasonal cycle of $p\text{CO}_2$ and CO_2 fluxes**

302 The annual mean biases in simulated CO_2 fluxes and $p\text{CO}_2$ were evaluated by comparing
303 with Takahashi et al., (2009) observations (Figure 4). The model biases are significantly reduced
304 with the implementation of varying Z_c compared to that of the constant Z_c . A notable reduction in
305 $p\text{CO}_2$ bias (by $\sim 10\mu\text{atm}$) is observed along the WAS region [Figure (4d)].

306 In order to address the role of the new biological parameterization of a variable
307 compensation depth, we extended our study by choosing four key regions where the biological
308 production and CO_2 fluxes are prominent in the Indian Ocean with additional sensitivity
309 experiments (see Introduction and references therein). The boxes we considered are, (1) Western
310 Arabian Sea (WAS) [40°E:65°E, 5°S:25°N] (2) Sri Lanka Dome (SLD) [81°E:90°E, 0°:10°N] (3)
311 Seychelles-Chagos Thermocline Ridge (SCTR) [50°E:80°E, 5°S:10°S] (4) Sumatra Coast (SC)
312 [90°E:110°E, 0°:10°S; Figure 1]. The seasonal variations of Z_c over these selected key regions



313 are shown in Figure 5. A detailed analysis of CO₂ fluxes, pCO₂, biological export and new
314 production for these key regions are presented below.

315

316 **3.2. Western Arabian Sea (WAS) region**

317 The WAS Z_c has a double peak pattern over the annual cycle. Over the February-March
318 period Z_c deepens up to a maximum of 43.85 ± 2.3 m into March and then shoals to 25.75 ± 1.5
319 m (Fig 5) during the monsoon period (uncertainty represents the interannual standard deviations
320 of monthly data from 1990-2010). This shoaling of compensation depth during the monsoon
321 indicates the potential ability of the present biological parameterization to capture the wind
322 driven upwelling related production in the WAS. During the post monsoon period, the second
323 deepening of compensation depth occurs during November with a maximum depth of $34.91 \pm$
324 2.2 m. The ability to represent the seasonality of biological production zone renders a unique
325 improvement in CO₂ flux variability especially in the WAS region in comparison to the OCMIP-
326 II experiments (Orr et al, 2003, Figure (6a)).

327 OCMIP –II simulations with a constant Z_c of 75 m underestimate the CO₂ flux when
328 compared to the observations of Takahashi et al. (2009). This underestimation is clearly visible
329 during monsoon period. Our simulations with the present biological parameterization having a
330 spatially and temporally varying compensation depth results in a better seasonality of CO₂ flux
331 when compared with Takahashi et al. (2009) observations (Figure 6a). The improvement brought
332 about the varying Z_c scheme is able to represent the seasonality of CO₂ flux especially during the
333 monsoon period, when wind driven upwelling is dominant. Obviously the role of the biological
334 and solubility pumps have to be deciphered in this context.



335 The CO₂ flux during July from observations, constZc simulations and varZc simulations are
336 3.09 mol m⁻² yr⁻¹, 1.82 ± 0.4 mol m⁻² yr⁻¹ and 3.10 ± 0.5 mol m⁻² yr⁻¹, respectively. Southwesterly
337 wind-driven upwelling over the WAS especially off Somali coast (Smith & Codispoti, 1980,
338 Schott, 1983, Smith, 1984) and Oman (Bruce, 1974, Smith & Bottero, 1977, Swallow, 1984,
339 Bauer et al, 1991), pulls nutrient-rich subsurface waters closer to the surface while the available
340 turbulent energy due to the strong winds leads to mixed layer entrainment of the nutrients
341 resulting in a strong surface phytoplankton bloom (Krey & Babenerd, 1976, Banse, 1987, Bauer,
342 1991, Brock et al, 1991). This regional bloom extends over 700 km offshore from the Omani
343 coast due to upward Ekman pumping driven by strong, positive wind-stress curl to the northwest
344 of the low level jet axis and the offshore advection (Bauer et. al., 1991, Brock et al., 1991, Brock
345 & McClain, 1992a, b, Murtugudde and Busalacchi., 1999) resulting in strong outgassing of CO₂
346 flux and an enhanced pCO₂ in the western Arabian Sea region (Valsala and Maksyutov, 2013,
347 Sarma et al., 2002). The seasonal mean CO₂ flux during the southwest monsoon period (JJAS)
348 for constZc simulations and varZc simulations are 1.44 ± 0.2 mol m⁻² yr⁻¹ and 2.31 ± 0.4 mol m⁻²
349 yr⁻¹, respectively. The biological parameterization of varying compensation depth considerably
350 improves the average CO₂ flux during the monsoon period by 0.86 ± 0.1 mol m⁻² yr⁻¹. The annual
351 mean CO₂ flux from observations, constZc simulations and varZc simulations are 0.94 mol m⁻²
352 yr⁻¹, 0.80 ± 0.17 mol m⁻² yr⁻¹ and 1.07 ± 0.2 mol m⁻² yr⁻¹, respectively. The annual mean CO₂ flux
353 improved by 0.27 ± 0.05 mol m⁻² yr⁻¹.

354 Seasonality in pCO₂ also shows a remarkable improvement during the southwest monsoon
355 period [Figure (6b)]. The pCO₂ with ConstZc is considerably lower at a value of 385.22 ± 3.5
356 µatm during June compared to observational values of 392.83 µatm. However, varZc simulations
357 perform better in terms of pCO₂ variability. The peak value of pCO₂ reaches up to 405.42 ± 5.8



358 μatm . The seasonal mean pCO_2 during the southwest monsoon period from observations,
359 constZc simulations and varZc simulations are $397.58 \mu\text{atm}$, $389.18 \pm 3.6 \mu\text{atm}$ and 399.95 ± 5.0
360 μatm , respectively. The improvement in pCO_2 brought about by varZc simulations is 10.76 ± 1.3
361 μatm compared to the constZc simulations. This inherently says that constZc simulations fail to
362 capture the pCO_2 driven by upwelling during southwest monsoon, meanwhile varZc simulations
363 are demonstrably better in representing this seasonal increase. The annual mean pCO_2 from
364 observations, constZc and varZc simulations are $394.69 \mu\text{atm}$, $389.62 \pm 3.9 \mu\text{atm}$ and $391.19 \pm$
365 $4.7 \mu\text{atm}$, respectively. However it is worth mentioning that there are parts of the year where the
366 constant Zc performs better compared to varying Zc. For instance during MAM as well as in
367 November, the constZc simulations yielded a better comparison with the observed pCO_2 whereas
368 varZc simulations yield a reduced magnitude of pCO_2 . This may well indicate the biological vs
369 solubility pump controls on pCO_2 during the intermonsoons. The role of mesoscale variability in
370 the ocean dynamics may also play a role (Valsala and Murtugudde, 2015) Nevertheless during
371 the most important season (JJAS) when the pCO_2 , CO_2 fluxes and biological production are
372 found to be dominant in the Arabian Sea, the varying Zc produces a better simulation.

373 The improvement shown by the implementation of new biological parameterization in the
374 simulations of CO_2 flux and pCO_2 can be answered by further analysis of the model biological
375 production. Figure 7 shows the comparison of model export production and new production with
376 observational export production from satellite-derived NPP for constZc and varZc simulations.
377 The model export production in the constZc simulations is much weaker when compared to
378 varZc simulations. The varZc simulations have improved the model export production.
379 Theoretically, the new and export productions in the model should be in balance with each other



380 (Eppley and Peterson, 1979). ConstZc export production is much weaker than new production
381 and it is not in balance. In contrast the varZc simulation yields a nice balance among them.

382 Comparing with the observational export production which peaks in August at a value of
383 $154.78 \text{ g C m}^{-2} \text{ yr}^{-1}$, the varZc simulated export and new productions peak at a value of $160.44 \pm$
384 $20.4 \text{ g C m}^{-2} \text{ yr}^{-1}$ and $167.18 \pm 24.0 \text{ g C m}^{-2} \text{ yr}^{-1}$, respectively but in July. A similar peak can be
385 observed in constZc simulated new production as well at a value of $178.19 \pm 28.0 \text{ g C m}^{-2} \text{ yr}^{-1}$.
386 This apparent shift of one month during JJAS in the model export production as well as in the
387 new production is noted as a caveat in the present set up which will need further investigation.
388 Arabian Sea production is not just limited by nutrients but also the dust inputs (Wiggert and
389 Murtugudde., 2006). The dust induced primary production in the WAS especially over the Oman
390 coast is noted during August (Liao et. al., 2016). The mesoscale variability in the circulation and
391 its impact on production and carbon cycle are also a limiting factor in this model as noted above.

392 The seasonal mean export production during the southwest monsoon period from satellite-
393 derived estimate is $123.57 \text{ g C m}^{-2} \text{ yr}^{-1}$, whereas for constZc and varZc simulations it is $84.81 \pm$
394 $16.0 \text{ g C m}^{-2} \text{ yr}^{-1}$ and $147.19 \pm 23.8 \text{ g C m}^{-2} \text{ yr}^{-1}$, respectively. The new biological
395 parameterization strengthened the model export production by $62.38 \pm 7.8 \text{ g C m}^{-2} \text{ yr}^{-1}$ for the
396 southwest monsoon period, which is over a 70% increase. This indicates a considerable impact
397 of the biological pump in the model simulated CO_2 flux and pCO_2 over the WAS. For constZc
398 simulations, the computed new production is slightly higher ($150.84 \pm 27.9 \text{ g C m}^{-2} \text{ yr}^{-1}$) than that
399 of varZc ($133.03 \pm 19.5 \text{ g C m}^{-2} \text{ yr}^{-1}$). The annual mean export production from observations,
400 constZc and varZc simulations are $94.31 \text{ g C m}^{-2} \text{ yr}^{-1}$, $77.41 \pm 15.1 \text{ g C m}^{-2} \text{ yr}^{-1}$ and 122.54 ± 25.2
401 $\text{g C m}^{-2} \text{ yr}^{-1}$, respectively.



402 To understand how the varying compensation depth parameterization strengthened the export
403 production in the model, we analyzed the phosphate profiles. It appears that the varZc
404 parameterization allows more phosphate concentration (Figure 8a,b) in the production zone and
405 thereby increases the corresponding biological production (Figure 8c, d). The net export
406 production in the model during JJAS is consistent with the satellite data (Figure 8d, see also
407 Figure 7a). However, in the constZc case the exports are rather ‘flat’ throughout the season with
408 imperfect representation of seasonal biological export. The Table 1-4 summarizes all the values
409 discussed here.

410

411 3.3 SriLanka Dome (SLD) Region

412

413 The seasonal variation in the compensation depth for the SLD has a similar pattern as that of
414 the WAS. The compensation depth deepens to its maximum during March up to 45.23 ± 0.3 m
415 and reaches its minimum during the following monsoon period at 30.79 ± 1.5 m [Figure (5)].
416 The similarities of varZc between WAS and SLD indicate that they both are under similar cycles
417 of solar influx and biological production. The SLD chl-a dominates only up to July
418 (Vinayachandran et al., 2004) which explains why production with varZc increase earlier
419 compared to the WAS which occurs during August-October.

420 The seasonality in CO₂ flux and pCO₂ were compared with Takahashi et al., (2009)
421 observations [Figure (9)]. The varZc results in a slight improvement in CO₂ flux when compared
422 with constZc [Figure (9a)]. However both constZc and varZc simulations underestimate the
423 magnitude of CO₂ flux when compared with observations. The seasonal mean CO₂ flux during



424 the monsoon period is $1.79 \text{ mol m}^{-2} \text{ yr}^{-1}$ from observations, which means SLD region is a source
425 of CO_2 . But the mean values of constZc and varZc simulations yield flux values of -0.008 ± 0.2
426 $\text{mol m}^{-2} \text{ yr}^{-1}$ and $0.24 \pm 0.2 \text{ mol m}^{-2} \text{ yr}^{-1}$, respectively. The constZc simulations misrepresent the
427 SLD region as a sink of CO_2 during monsoon period which is opposite to that of observations.
428 The varZc simulations correct this misrepresentation to a source albeit at a smaller magnitude by
429 $0.24 \pm 0.09 \text{ mol m}^{-2} \text{ yr}^{-1}$ for the monsoon period. Compared to observations, the varZc case
430 underestimates the magnitude of JJAS mean by $1.55 \text{ mol m}^{-2} \text{ yr}^{-1}$.

431 The annual mean CO_2 fluxes for constZc and varZc simulations are $-0.02 \pm 0.1 \text{ mol m}^{-2} \text{ yr}^{-1}$
432 and $0.10 \pm 0.2 \text{ mol m}^{-2} \text{ yr}^{-1}$, respectively. The varZc parameterization leads to an improvement of
433 $0.13 \pm 0.1 \text{ mol m}^{-2} \text{ yr}^{-1}$ in the annual mean CO_2 flux when compared with constZc simulations.
434 The observational annual mean of CO_2 flux is $0.80 \text{ mol m}^{-2} \text{ yr}^{-1}$ which is highly underestimated
435 by both simulations. This indicates a regulation of biological production of the region by varZc
436 which makes this region a source of CO_2 during monsoon. The role of the solubility pump may
437 also be underestimated due to the biases in the physical drivers and the lack of mesoscale eddy
438 activities in these simulations (Prasanna Kumar et. al., 2002, Valsala and Murtugudde., 2015).

439 The seasonality of pCO_2 [Figure 9(b)] especially in the monsoon period has significantly
440 improved. The mean pCO_2 during the monsoon season from observation over the SLD region is
441 $382.44 \text{ } \mu\text{atm}$. The seasonal mean pCO_2 during monsoon period for constZc and varZc
442 simulations are $371.67 \pm 6.04 \text{ } \mu\text{atm}$ and $379.24 \pm 8.9 \text{ } \mu\text{atm}$, respectively. The annual mean pCO_2
443 from observations, constZc and varZc simulations are $380.21 \text{ } \mu\text{atm}$, $370.76 \pm 6.1 \text{ } \mu\text{atm}$ and
444 $374.94 \pm 9.6 \text{ } \mu\text{atm}$, respectively. varZc simulations improve the JJAS mean pCO_2 by 7.56 ± 2.8
445 μatm and the annual mean pCO_2 by $4.18 \pm 3.5 \text{ } \mu\text{atm}$, which is reflected in CO_2 flux as well. This



446 is likely due to the impact of new biological parameterization in capturing the episodic upwelling
447 in the SLD region which is further investigated by looking at its biological production.

448 The SLD biological production is highly exaggerated by the model for both constZc and
449 varZc simulations (Figure 10a,b). The seasonal mean biological export for the monsoon period is
450 $51.54 \text{ g C m}^{-2} \text{ yr}^{-1}$ as per satellite-derived estimates. However, the constZc and varZc simulations
451 overestimate it by $167.71 \pm 59.04 \text{ g C m}^{-2} \text{ yr}^{-1}$ and $151.51 \pm 46.4 \text{ g C m}^{-2} \text{ yr}^{-1}$, respectively. This
452 exaggerated export is visible in climatological annual means where for constZc and varZc
453 simulations they are $144.43 \pm 49.8 \text{ g C m}^{-2} \text{ yr}^{-1}$ and $156.08 \pm 43.8 \text{ g C m}^{-2} \text{ yr}^{-1}$, respectively.

454 For constZc simulations, new production is overestimated from March to Oct when
455 compared to the observations and second peak is observed in November (Figure 10a). But the
456 overestimate in new production with varZc is observed only during JJAS period by a value of
457 $26.23 \text{ g C m}^{-2} \text{ yr}^{-1}$. For the SLD region the varZc parameterization overestimates the export
458 production but minimizes the excess new production, especially in the monsoon period by 64.15
459 $\pm 36.4 \text{ g C m}^{-2} \text{ yr}^{-1}$. This indicates that the varZc parameterization is somewhat successful in
460 capturing the upwelling episode during monsoon over SLD. All values are summarized in Table
461 1-4.

462 3.4 Sumatra Coast (SC) region

463 The seasonal variation in the compensation depth over the SC region lies between 40 m and
464 46 m [Figure (5)]. The seasonal maximum occurs during JFM, especially in March with a depth
465 of 45.5 m. During the monsoon period the compensation depth shoals slightly, with a minimum
466 of 41.1 m in July. The variation in Z_c is relatively small as compared to the other regions which
467 is consistent with its relatively low production throughout the year.



468 The seasonality of CO₂ flux and pCO₂ captured by constZc and varZc simulations are shown
469 in Figure 11(a, b). The varZc simulations overestimate both CO₂ flux and pCO₂ especially during
470 the monsoon. It is found that the constZc simulations are better compared to varZc simulations.
471 The varZc simulations overestimate the seasonal mean CO₂ flux and pCO₂ by 1.19 mol m⁻² yr⁻¹
472 and 29.61 μatm, respectively, compared to observations (Table 1). However, constZc produces a
473 better estimate compared with observations for CO₂ flux and pCO₂. The constZc simulations
474 deliver a better annual mean than varZc (Table 1,2). The annual mean bias in constZc and varZc
475 simulations for CO₂ flux is -0.0033 mol m⁻² yr⁻¹ and 0.31 mol m⁻² yr⁻¹, respectively. Similarly
476 pCO₂ bias is 1.95 μatm and 9.07 μatm for constZc and varZc simulations.

477 Biological production simulated by the model in the SC explains the overestimation of CO₂
478 flux and pCO₂ (Figure 12). Both constZc and varZc simulations greatly overestimates export
479 production in the model. But a small enhancement in the new production during JJAS in constZc
480 case is an indicator of upwelling episodes. The seasonal mean new production during the
481 monsoon from constZc and varZc are 63.64 ± 30.9 g C m⁻² yr⁻¹ and 78.11 ± 29.1 g C m⁻² yr⁻¹,
482 respectively (Table 4). The seasonal mean export production during the monsoon from
483 observation is 58.87 g C m⁻² yr⁻¹ (Table 3). ConstZc simulations represent a better new
484 production, which is seen as a relatively small exaggeration of CO₂ flux and pCO₂. The
485 biological response off SC is found to be better with constZc which is in contradiction to a
486 general improvement found with varZc in the other regions examined here. Such discrepancies
487 over the SC could be due to the effect of Indonesian Throughflow (Bates et al., 2006) which is
488 not completely resolved in the model due to coarse spatial resolution.

489



490 3.5 Seychelles-Chagos Thermocline Ridge (SCTR) region

491 The SCTR is a unique upwelling region with a prominent variability in air-sea interactions
492 (Xie et al., 2002). Wind-driven mixing and upwelling of subsurface nutrient rich water play a
493 major role in biological production of this region (Dilmahamod et al., 2016). The seasonal cycle
494 in the compensation depth is shown in figure 5. The maximum compensation depth occurs in
495 November at about 44.94 m and the minimum at 33.2 m in July. The shoaling of compensation
496 depth during the monsoon period shows that the biological parameterization captures the
497 upwelling response over this region.

498 The seasonality of CO₂ flux and pCO₂ are shown in Figure 13. The Takahashi observations
499 of CO₂ flux shows a peak in June with outgassing of CO₂ during the upwelling episodes.
500 However, both constZc and varZc simulations underestimate this variability. The seasonality of
501 CO₂ flux in varZc shows a significant improvement when compared to constZc simulations, but
502 underestimated when compared to observations. The seasonal mean CO₂ flux during the
503 monsoon for constZc and varZc simulations are 0.82 mol m⁻² yr⁻¹, -0.32 ± 0.3 mol m⁻² yr⁻¹ and -
504 0.05 ± 0.4 mol m⁻² yr⁻¹, respectively. This represents a reduction in seasonal mean sink of CO₂
505 flux in the SCTR region during the monsoon by 0.27 ± 0.1 mol m⁻² yr⁻¹ bringing it closer to a
506 source region (see Table 1 for details).

507 The improvement brought about in CO₂ flux is supported by the seasonal cycle in pCO₂ .
508 Based on observations, seasonal mean of pCO₂ with constZc during JJAS is underestimated by
509 11.47 µatm, varZc simulations underestimate it by 6.45 µatm. So it is evident that varZc
510 simulations capture the upwelling episodes better, marked by a greater pCO₂ during JJAS period.
511 However, the magnitude of pCO₂ is still underestimated compared to observations (Table 2).



512 Figure 14 shows the biological production of constZc and varZc simulations for SCTR. It is
513 clear that both simulations overestimate the export production and underestimate the new
514 production. The JJAS mean export production from observations, constZc and varZc are 51.08 g
515 C m⁻² yr⁻¹, 57.39 ± 14.2 g C m⁻² yr⁻¹ and 99.23 ± 29.8 g C m⁻² yr⁻¹, respectively. The varZc
516 simulations exaggerate the model export production by 48.14 g C m⁻² yr⁻¹. The varZc simulations
517 improve the JJAS mean new production by 1.14 ± 2.2 g C m⁻² yr⁻¹ (Table 4). This slight
518 improvement in the model new production especially during the monsoon period signals that the
519 spatially and temporally varying compensation depth better captures the upwelling over SCTR.
520 Considering the annual mean values of model export and new production, constZc simulations
521 are reasonably faithful to observations.

522 The underestimation of CO₂ and pCO₂ as well as the exaggeration of model export
523 production and a slight overestimate in model new production may be due to two reasons. (1)
524 SCTR is a strongly coupled region with remote forcing of the mixed layer – thermocline
525 interactions (Zhou et al., 2008) which can affect the seasonality in biological production that the
526 model may not be resolving reasonably (2) The bias associated with physical drivers, especially
527 wind stress may underestimate the CO₂ flux as well biological production. A similar
528 overestimation of biological production was also reported in a coupled biophysical model
529 (Dilmahamod et. al., 2016).

530 Table 1 – 4 shows the entire summary of seasonal and annual mean CO₂ flux, pCO₂ and
531 biological production reported in Section 3.

532

533



534 4. Sensitivity Simulations

535 From the analysis of four major upwelling regions over Indian Ocean, it is evident that the
536 biological parameterization of spatio-temporally varying compensation depth better captures
537 upwelling episodes and thus it enhances the model export production. This is clearly visible over
538 the WAS. In order to quantify how much the varZc parameterization contributed to seasonality
539 of carbon cycle, two additional sensitivity simulations were carried out; (1) with annual mean
540 offline currents and (2) annual mean offline temperatures with the notion of suppressing the
541 dynamical and thermodynamical effects of seasonal upwelling over the WAS (see Section 2 for
542 details). The focus on this region is motivated by its prominence as the most productive zone of
543 the Indian Ocean. Moreover, the improvement in the biological processes in the model by the
544 varZc parameterization is best captured in this region. The results are discussed in detail in the
545 following subsections.

546

547 4.1 Impact of varZc parameterization in seasonality of carbon cycle with annual 548 mean currents.

549 To quantify the impact of varZc parameterization, the model is forced with annual mean
550 currents only over the WAS with unaltered currents in the rest of the ocean. The hypothesis is
551 that the muting of the seasonal variability of Ekman divergence removes the upwelling and the
552 biological pump contribution to production and carbon cycle. The comparison of constZc and
553 varZc then allows us to decipher the impact of varZc on capturing the impacts of upwelling on
554 biological production and the carbon cycle. The smooth blending of currents at the boundary of
555 the WAS domain is achieved by a linear smoothing function as given in Section 2.



556 The model biological responses (inferred by comparing with the control run) in terms of the
557 CO₂ flux shows a flat pattern over the monsoon period for constZc simulations [Figure 15(a)].
558 While the varZc simulations forced with the annual mean currents shows an enhanced CO₂ flux
559 indicating the outgassing of CO₂ flux in the WAS due to wind-driven upwelling (Figure 15(b)).
560 This qualitatively shows that the spatially and temporally varying compensation depth itself has
561 improved the seasonality in the biological processes (export and new production) and captured
562 the upwelling episodes during the monsoon. The varZc parameterization is responsible for
563 improvement of $0.48 \pm 0.04 \text{ mol m}^{-2} \text{ yr}^{-1}$ and $0.13 \pm 0.02 \text{ mol m}^{-2} \text{ yr}^{-1}$ during JJAS seasonal and
564 annual mean CO₂ flux, respectively. This improves the overall model CO₂ flux in the control run
565 especially in July (Figure 15(b)).

566 Similar improvements were also noticed in pCO₂ (Figure 16). In the constZc simulations
567 with annual mean currents, the pCO₂ dips down during JJAS monsoon period which indicates
568 the inadequacy of constZc in capturing the upwelling enriched pCO₂ difference (Figure 16a, b).
569 The varZc simulation slightly modifies the pCO₂ in the ‘right’ direction during JJAS despite the
570 annual mean currents.

571 The export production and the new production in the model explain the modification of
572 CO₂ flux and pCO₂ by varZc parameterization. The biological export production is highly
573 underestimated in the constZc simulations forced with annual mean currents while the varZc
574 simulations captures the seasonal upswing in production (Figure 17). The improved JJAS mean
575 and annual mean export production by $43.51 \pm 8.6 \text{ g C m}^{-2} \text{ yr}^{-1}$ and $30.28 \pm 13.7 \text{ g C m}^{-2} \text{ yr}^{-1}$,
576 respectively is a clear indication of the positive impacts of a variable Zc. Similarly the
577 improvement in JJAS mean and annual mean new production (Figure 18) from varZc simulated
578 with annual mean currents were $17.39 \pm 0.8 \text{ g C m}^{-2} \text{ yr}^{-1}$ and $14.81 \pm 0.1 \text{ g C m}^{-2} \text{ yr}^{-1}$,



579 respectively. In short the varZc biological parameterization improves the export and new
580 productions in the model. This helps the model to capture the upwelling episodes over the study
581 regions. Table 5 summarizes all the results of biological sensitivity runs.

582

583 **4.2 Impact of varZc parameterization in seasonality of carbon cycle with annual** 584 **mean temperatures.**

585 Using the annual mean temperature over the WAS, we are suppressing the cooling effect of
586 temperature due to upwelling and quantifying how much the model seasonality is improved by
587 means of varZc parameterization. (see Section 2 for details). The varZc simulations forced with
588 annual mean SST has greater JJAS mean and annual mean CO₂ flux by $0.88 \pm 0.1 \text{ mol m}^{-2} \text{ yr}^{-1}$
589 and $0.28 \pm 0.07 \text{ mol m}^{-2} \text{ yr}^{-1}$, respectively (Figure 19 and Table 6). For a given annual mean SST
590 the solubility pump largely controls the CO₂ emission during JJAS if a variable Zc is prescribed,
591 likely by the enrichment in the DIC (inferred from Figure 8b). Similarly the improvement in
592 pCO₂ (Figure 20) with varZc simulation is also remarkable. The JJAS mean and annual mean
593 improvements from the implementation of varZc are $11.05 \pm 1.9 \text{ } \mu\text{atm}$ and $1.91 \pm 1.4 \text{ } \mu\text{atm}$,
594 respectively. The detailed quantification of CO₂ and pCO₂ responses for this experimental setup
595 is given in Table 6. The above analysis adds supporting evidence that the varZc simulations
596 strengthen the seasonality of the model compared to the constZc case. This is presumably
597 accomplished by the more accurate compensation depth and production zone implied with a
598 variable Zc.

599

600



601 5. Summary and Conclusions

602 A spatially and temporally varying compensation depth parameterization as a function of
603 solar radiation and Chl-a is implemented in the biological pump model of OCMIP-II for a
604 detailed analysis of biological fluxes in the upwelling zones of the Indian Ocean. The varZc
605 parameterization improves the seasonality of model CO₂ flux and pCO₂ variability, especially
606 during the monsoon period. Significant improvement is observed in the WAS where monsoon
607 wind-driven upwelling dominates biological production. The magnitude of CO₂ flux matches
608 with observations, especially in July when monsoon winds are at their peak. Monsoon triggers
609 upwelling in SLD as well which acts as a source of CO₂ to the atmosphere. The seasonal and
610 annual mean are underestimated with constZc and the SLD is reduced to a sink of CO₂ flux. The
611 varZc simulations modify the seasonal and annual means of CO₂ flux of SLD and depict it as a
612 source of CO₂ especially during the monsoon, but the magnitude is still underestimated
613 compared to Takahashi et al., (2009) observations. The SCTR variability is underestimated by
614 both constZc and varZc simulations, portraying it as a CO₂ sink region whereas observations
615 over the monsoon period indicate that the thermocline ridge driven by the open ocean wind-
616 stress curl is in fact an oceanic source of CO₂. However, the varZc simulation reduced the
617 magnitude of the sink in this region bringing it relatively closer to observations.

618 VarZc biological parameterization strengthens the export and new productions in the model,
619 which allows it to represent better seasonal cycle of CO₂ and pCO₂ over the study regions. The
620 WAS export production is remarkably improved by $62.37 \pm 7.8 \text{ g C m}^{-2} \text{ yr}^{-1}$ compared to
621 constZc. This supports our conclusion that the varZc parameterization increases the strength of
622 biological export in the model. Over the SLD, the JJAS seasonal mean export and new
623 productions are underestimated in varZc compared to constZc simulations, but the annual mean



624 export production is improved. Export production at the SC and SCTR are highly exaggerated
625 and there is hardly any improvement in new production with a variable Z_c especially over the
626 monsoon period. The inability of $\text{var}Z_c$ parameterization to improve the seasonality of SC and
627 SCTR may be due to the interannual variability of biological production associated with the
628 Indonesian throughflow and remote forcing of the mixed layer-thermocline interactions and the
629 effect of biases in the windstress data used as a physical driver in the model.

630 Further sensitivity experiments carried out with providing annual mean currents or
631 temperatures in selected subdomains reveal that the $\text{var}Z_c$ retains the seasonality of carbon
632 fluxes, pCO_2 , export and new productions in the right direction as in the observations. This
633 strongly supports our contention that $\text{var}Z_c$ parameterization improves the export and new
634 productions and it is also efficient in capturing upwelling episodes of the study regions. This
635 points out the significant role of having a proper balance in seasonal biological export and new
636 production in models to capture the seasonality in carbon cycle. This also confirms the role of
637 biological and solubility pumps in producing the seasonality of carbon cycle in the upwelling
638 zones.

639 However the underestimation of seasonality of CO_2 flux over the SLD and overestimation
640 over the SC as well as the SCTR is a cautionary flag for the study. This uncertainty poses an
641 important scientific question as to whether the model biology over the SC and SCTR region is
642 not resolving the seasonality in CO_2 flux and pCO_2 properly or whether the seasonality in the
643 compensation depth is not able to fully capture the biological processes.

644 To address these questions we have used inverse modeling methods (Bayesian inversion) in
645 order to optimize the spatially and temporally varying compensation depth using surface pCO_2 as



646 the observational constraints and computed the optimized biological production. The results will

647 be reported elsewhere.

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664 **Appendix - A**665 For $Z < Z_c$,

$$666 \quad J_{prod} = \frac{1}{\tau}([PO_4] - [PO_4^*]), \quad [PO_4] > [PO_4^*] \quad (A1)$$

$$667 \quad J_{DOP} = \sigma J_{prod} - \kappa[DOP] \quad (A2)$$

$$668 \quad J_{PO_4} = -J_{prod} + \kappa[DOP] \quad (A3)$$

$$669 \quad J_{ca} = Rr_{C:P}(1 - \sigma)J_{prod} \quad (A4)$$

$$670 \quad J_{DIC} = r_{C:P}J_{PO_4} + J_{ca} \quad (A5)$$

$$671 \quad J_{ALK} = -r_{N:P}J_{PO_4} + 2J_{ca} \quad (A6)$$

672 For $Z > Z_c$,

$$673 \quad J_{prod} = 0, \quad [PO_4] \leq [PO_4^*] \quad (A7)$$

$$674 \quad J_{DOP} = -\kappa[DOP] \quad (A8)$$

$$675 \quad J_{PO_4} = -\frac{\partial F}{\partial Z} + \kappa[DOP] \quad (A9)$$

$$676 \quad F(Z) = F_c \left(\frac{Z}{Z_c}\right)^{-a} \quad (A10)$$

$$677 \quad F_c = (1 - \sigma) \int_0^{Z_c} J_{prod} dZ \quad (A11)$$

$$678 \quad J_{ca} = -\frac{\partial F_{ca}}{\partial Z} \quad (A12)$$

$$679 \quad F_{ca} = Rr_{C:P}F_c e^{-(z-Z_c)/d} \quad (A13)$$



680 Where Z is the depth and Z_c is the compensation depth in the model. J_{prod} , J_{DOP} , J_{PO_4} , J_{Ca} are the
681 biogeochemical sources and sinks. Within the compensation depth (Z_c), the biological production
682 in the model J_{prod} is calculated using equation A1. $[PO_4]$ is the model phosphate concentration
683 and $[PO_4^*]$ is observational phosphate. τ is the restoration timescale taken as 30 days. Whenever
684 the model phosphate exceeds the observational phosphate, it allows production. The
685 observational phosphate data were taken from the World Ocean Atlas (WOA) 1994 [Conkright *et*
686 *al.*, 1994]. During the biological production a fixed fraction ($\sigma_{J_{prod}}$) of phosphate is converted
687 into Dissolved Organic Phosphate (DOP) which is a source for J_{DOP} [equation A2] and
688 remaining $-\kappa[DOP]$ is exported downward below the compensation depth, which is further
689 remineralized into inorganic phosphate and made available for further biological production
690 [equation A3]. The downward flux of phosphate which is not converted into DOP within the
691 compensation depth is given by equation A11. The decrease of flux with depth due to
692 remineralization is shown by equation A10. The values of the constants a , κ , σ are 0.9 , $(0.2 \text{ year})^{-1}$
693 1 to $(0.7 \text{ year})^{-1}$, 0.67 , respectively. The rate of production is used to explain the formation of
694 calcium carbonate cycle in the surface waters [equation A4] and its export is given by equation
695 A12. Where R is the rain ratio, a constant molar ratio of exported particulate organic carbon to
696 the exported calcium carbonate flux at compensation depth. The exponential decrease of calcium
697 carbonate flux with scale depth d is given by equation A13. The biological source or biological
698 sink of dissolved inorganic carbon (DIC) and alkalinity (ALK) is explained through equations
699 A5 and A6, respectively. Where the values of rain ratio (R) is taken as 0.07 and the Redfield
700 ratio, $r_{C:P} = 106$, $r_{N:P} = 16$ and scale depth d is chosen as 3500m .

701



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703 The OCMIP-II routines were taken from (<http://ocmip5.ipsl.jussieu.fr/OCMIP/>). GFDL data for
704 OTTM is taken from (<http://data1.gfdl.noaa.gov/nomads/forms/assimilation.html>).Takahashi
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Table: 1 WAS = Western Arabian Sea, SLD = Sri Lanka Dome, SC = Sumatra Coast, SCTR = Seychelles-Chagos Thermocline Ridge. JJAS mean and Climatological annual mean of CO₂ flux from Takahashi observations, constZc and varZc simulations. Units are in mol m⁻² yr⁻¹.

Regions	CO ₂ flux (mol m ⁻² yr ⁻¹)					
	JJAS Mean			Annual Mean		
	OBS	constZc	varZc	OBS	constZc	varZc
WAS	1.99	1.44 ± 0.2	2.31 ± 0.4	0.94	0.80 ± 0.1	1.07 ± 0.2
SLD	1.79	-0.008 ± 0.2	0.24 ± 0.09	0.80	-0.02 ± 0.1	0.10 ± 0.2
SC	0.31	0.60 ± 0.5	1.51 ± 1.01	0.21	0.21 ± 0.3	0.53 ± 0.5
SCTR	0.82	-0.32 ± 0.3	-0.05 ± 0.4	0.55	-0.02 ± 0.1	-0.07 ± 0.2

Table: 2 Same as Table 1, but for pCO₂. Units are in µatm.

Regions	pCO ₂ (µatm)					
	JJAS Mean			Annual Mean		
	OBS	constZc	varZc	OBS	constZc	varZc
WAS	397.58	389.18 ± 3.7	399.95 ± 5.01	394.69	389.62 ± 3.9	391.19 ± 4.7
SLD	382.44	371.67 ± 6.04	379.24 ± 8.9	380.21	370.76 ± 6.1	374.94 ± 9.6
SC	372.52	382.36 ± 12.7	402.14 ± 21.8	372.69	374.65 ± 9.3	381.76 ± 13.6
SCTR	377.18	365.71 ± 5.08	370.72 ± 7.4	379.89	372.69 ± 4.7	369.00 ± 5.4



Table: 3 JJAS mean and Climatological annual mean of Export production from satellite derived Net Primary Production data, constZc and varZc simulations. Units are in $\text{g C m}^{-2} \text{yr}^{-1}$.

Regions	Export Production ($\text{g C m}^{-2} \text{yr}^{-1}$)					
	JJAS Mean			Annual Mean		
	OBS	constZc	varZc	OBS	constZc	varZc
WAS	123.57	84.81 ± 16.04	147.19 ± 23.8	94.31	77.41 ± 15.1	122.54 ± 25.2
SLD	51.54	167.71 ± 59.04	151.51 ± 46.4	43.25	144.43 ± 49.8	156.08 ± 43.8
SC	58.87	260.11 ± 104.7	310.03 ± 99.5	54.53	172.52 ± 72.4	215.52 ± 70.8
SCTR	51.08	57.39 ± 14.2	99.23 ± 21.8	40.45	55.15 ± 17.9	80.35 ± 26.04

Table: 4 Same as Table 3, but for New production.

Regions	New Production ($\text{g C m}^{-2} \text{yr}^{-1}$)					
	JJAS Mean			Annual Mean		
	OBS	constZc	varZc	OBS	constZc	varZc
WAS	--	150.84 ± 27.9	133.03 ± 19.5	--	108.43 ± 23.4	81.47 ± 15.7
SLD	--	141.93 ± 64.1	77.78 ± 27.6	--	111.05 ± 71.1	50.37 ± 26.3
SC	--	63.64 ± 30.9	78.11 ± 29.1	--	56.69 ± 43.3	54.58 ± 23.3
SCTR	--	12.17 ± 16.3	13.32 ± 18.6	--	13.74 ± 15.5	12.94 ± 13



Table 5: Table shows JJAS mean and climatological annual mean response from the model forced with annual mean currents.

WAS region forced with Annual mean currents	JJAS mean		Improvement	Climatological Annual mean		Improvement
	constZc	varZc		constZc	varZc	
CO ₂ flux (mol m ⁻² yr ⁻¹)	0.80 ± 0.2	1.29 ± 0.2	0.48 ± 0.04	0.65 ± 0.1	0.79 ± 0.1	0.13 ± 0.02
pCO ₂ (µatm)	381.81 ± 3.4	387.24 ± 3.9	5.43 ± 0.5	388.68 ± 3.4	388.40 ± 3.6	-0.28 ± 0.1
Export production (g C m ⁻² yr ⁻¹)	60.71 ± 4.7	104.22 ± 13.4	43.51 ± 8.6	74.30 ± 4.5	104.58 ± 18.3	30.28 ± 13.7
New Production (g C m ⁻² yr ⁻¹)	34.76 ± 2.3	52.16 ± 1.51	17.39 ± 0.8	29.91 ± 1.7	44.72 ± 1.6	14.81 ± 0.1

Table 6 Same as Table 5 but from annual mean temperature simulations.

WAS region forced with Annual mean temperature	JJAS mean		Improvement	Climatological Annual mean		Improvement
	constZc	varZc		constZc	varZc	
CO ₂ flux (mol m ⁻² yr ⁻¹)	1.85 ± 0.2	2.74 ± 0.4	0.88 ± 0.1	0.81 ± 0.1	1.10 ± 0.2	0.28 ± 0.07
pCO ₂ (µatm)	393.20 ± 3.01	404.26 ± 4.9	11.05 ± 1.9	384.61 ± 3.3	386.52 ± 4.8	1.91 ± 1.4

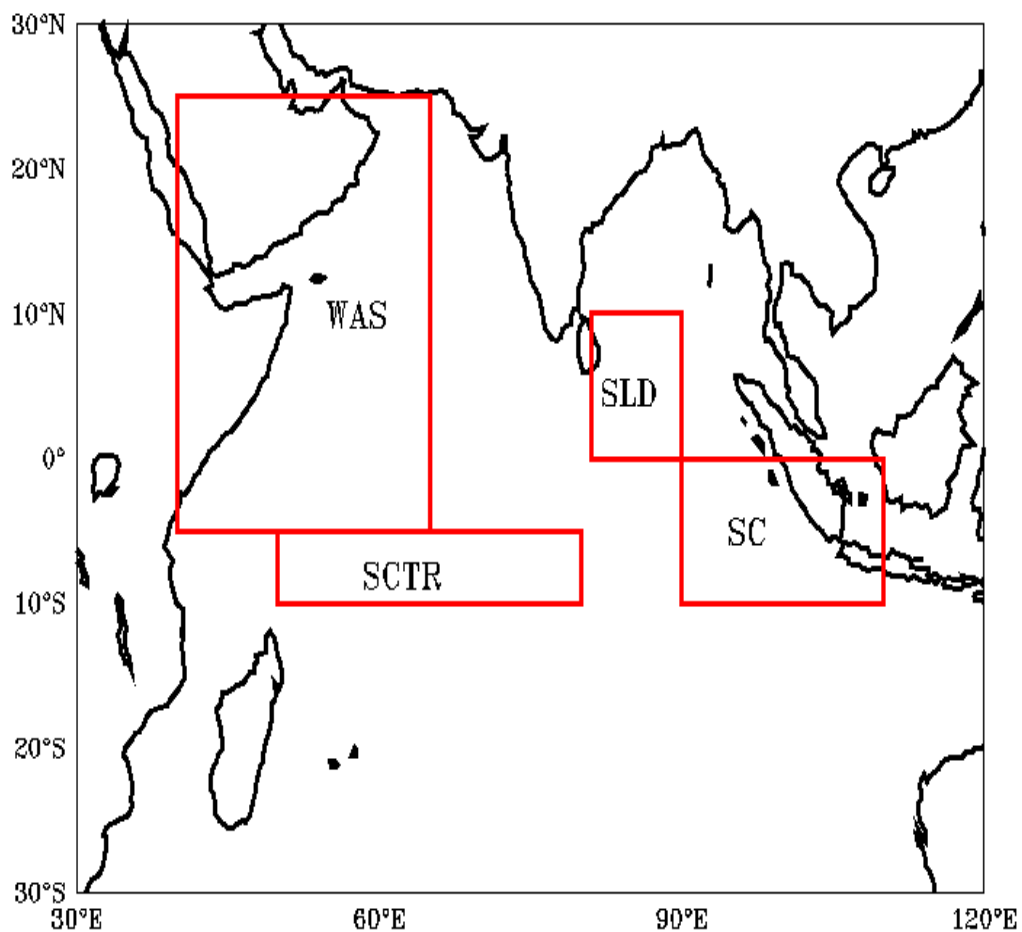


Fig (1) Red boxes shows the study regions (1) WAS (Western Arabian Sea, [40°E:65°E, 5°S:25°N]) (2) SLD (Srilankan Dome, [81°E:90°E, 0°:10°N]) (3) SCTR (Seychelles-Chagos Thermocline Ridge, [50°E:80°E, 5°S:10°S]) (4) SC (Sumatra Coast, [90°E:110°E, 0°:10°S]).

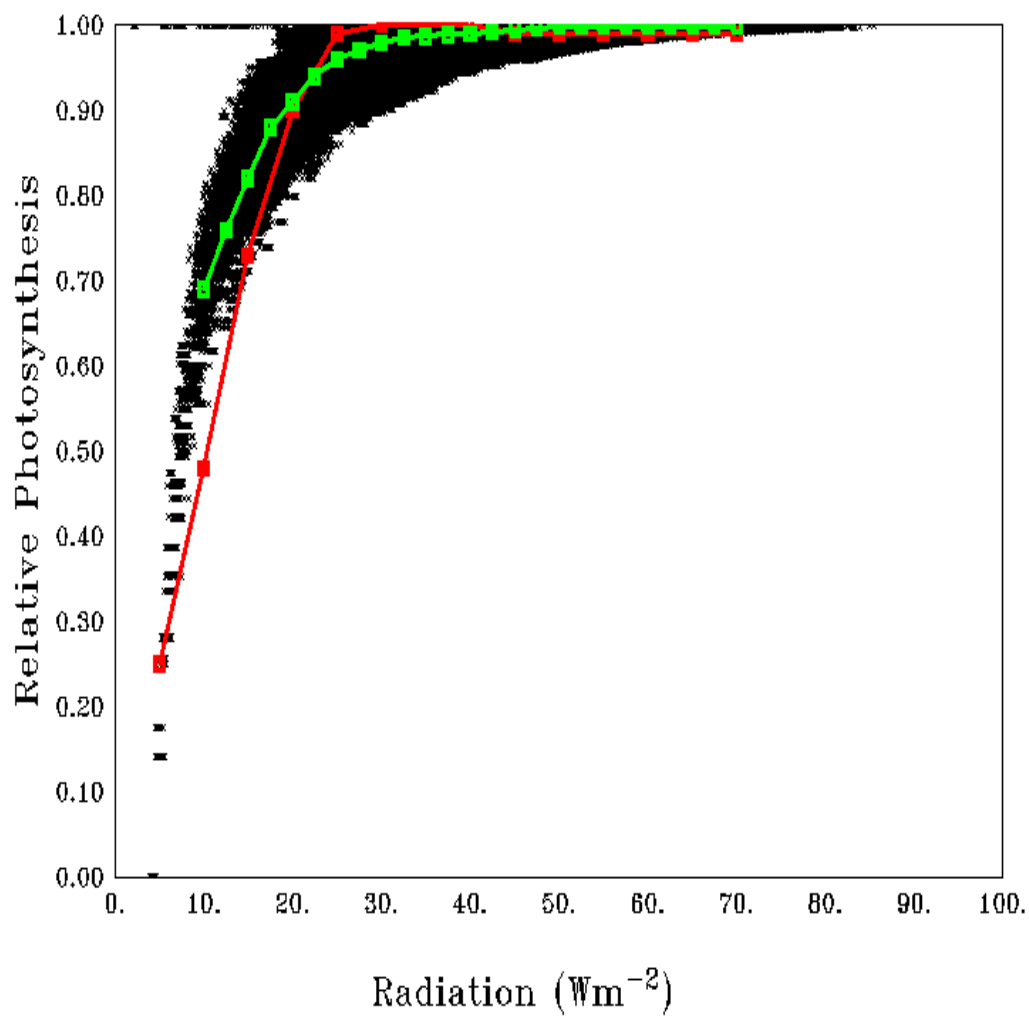


Fig (2) P – I curve, Scatter for average relative photosynthesis against different light intensities in the model. Red curve shows the theoretical P – I curve from Parsons et al., (1984). Green curve shows average of the scatter in the model.

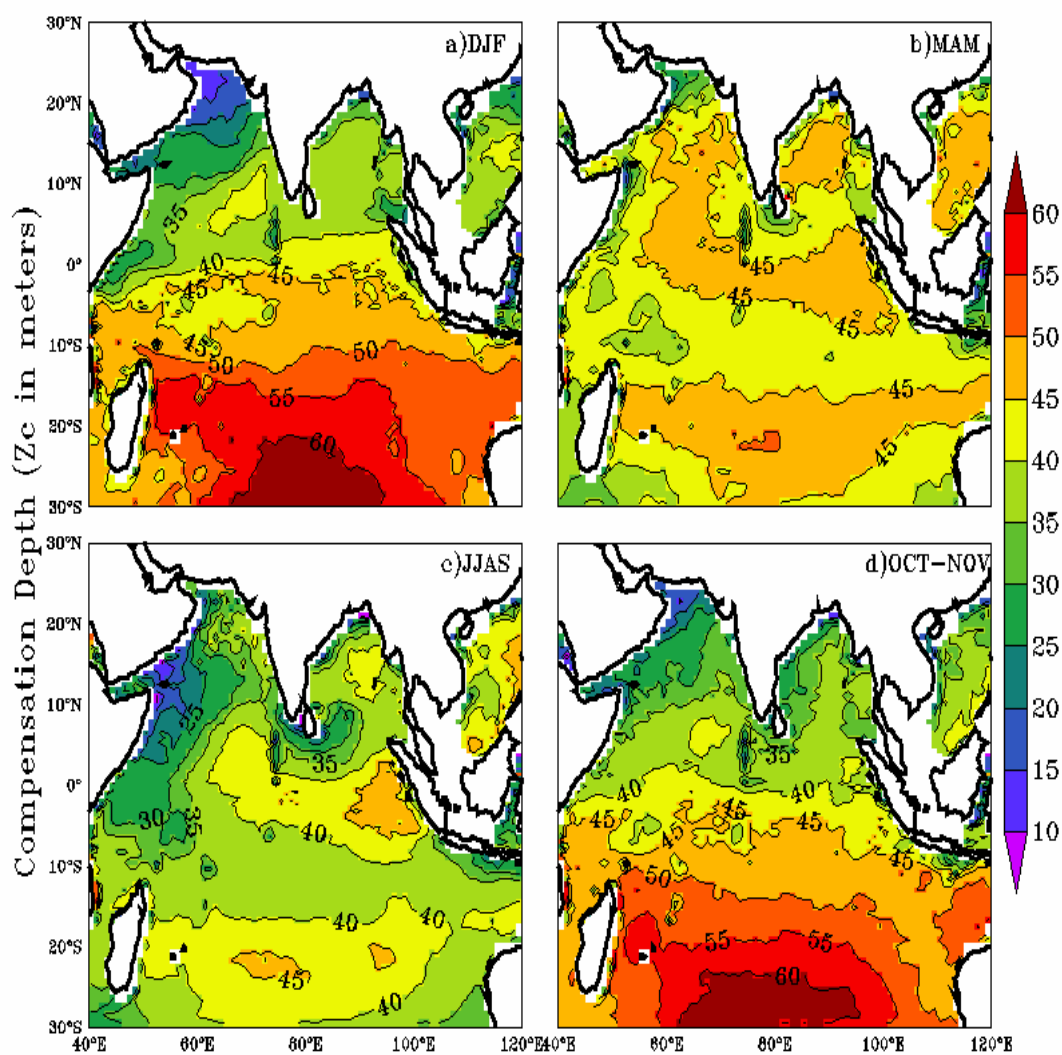


Fig (3): Seasonal mean map of Compensation Depth (Z_c) as a function of Chl-a and light (a) DJF, (b) MAM, (c) JJAS, (d) OCT-NOV. Units are in meters.

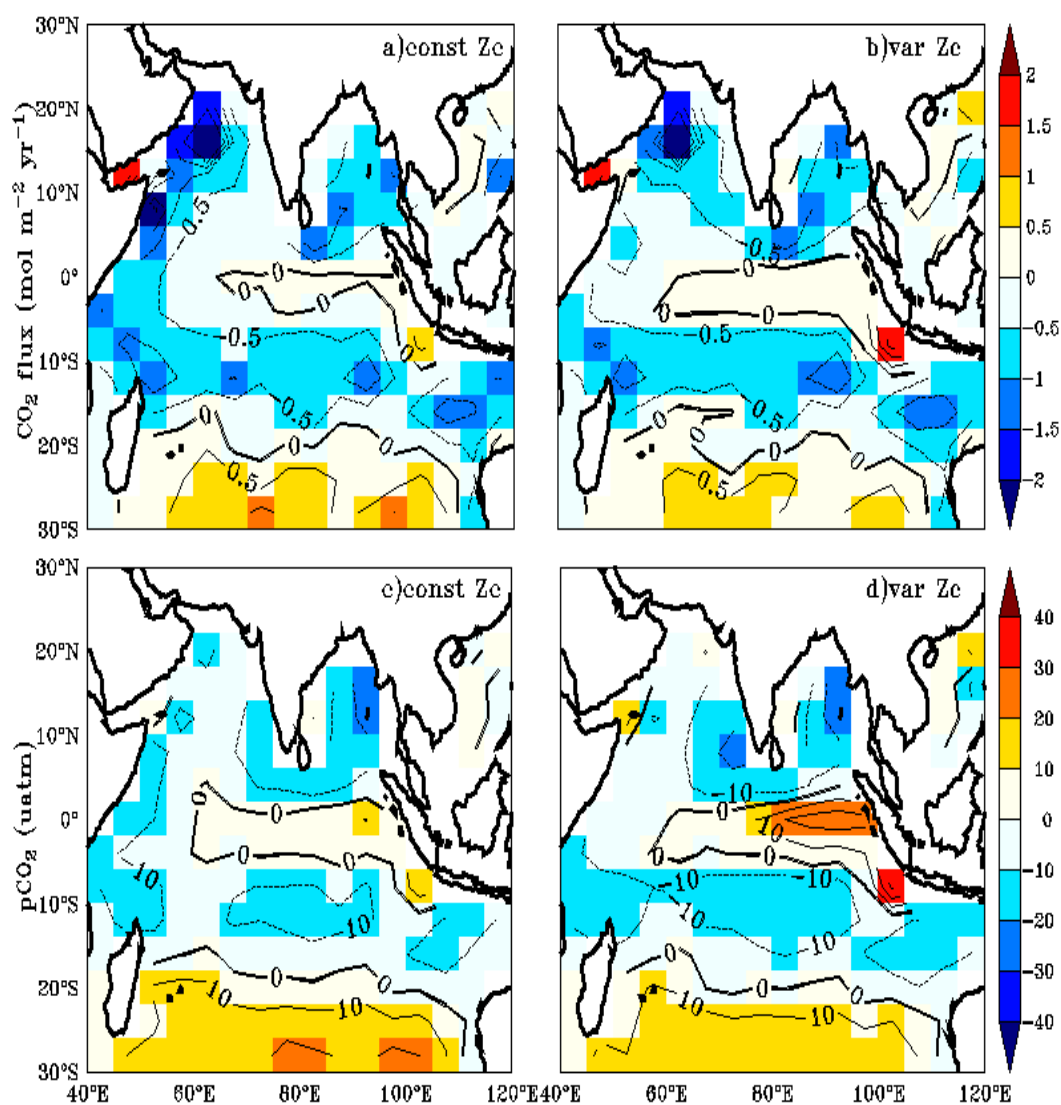


Fig (4): Annual mean biases in model evaluated against Takahashi observations for CO₂ flux (a,b) and pCO₂ (c, d) with constant Zc (constZc) and Varying Zc (varZc). Units of CO₂ flux and pCO₂ are mol m⁻² yr⁻¹ and µatm respectively.

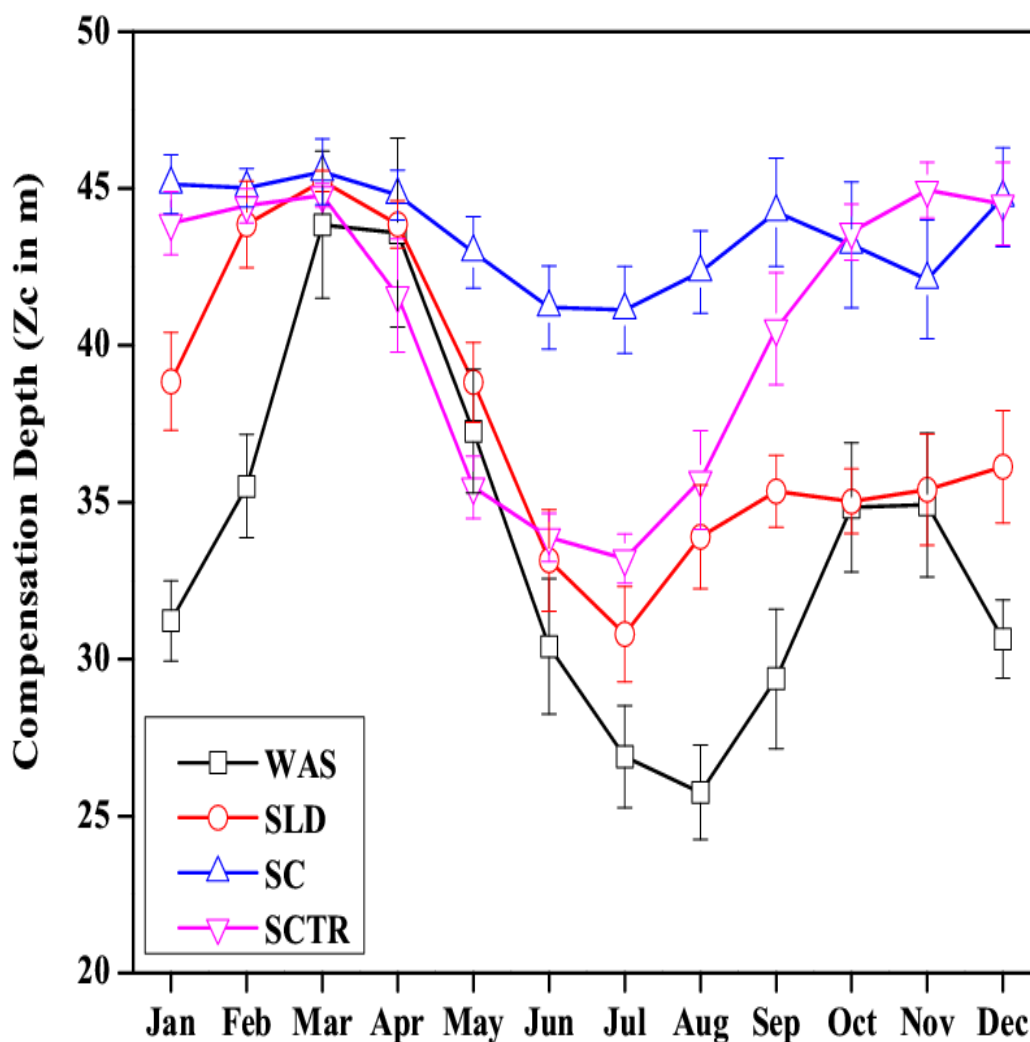


Fig (5): Seasonal variations in compensation depth (Z_c) over the study regions as climatological state computed over 1990-2010. Error bar shows standard deviations of individual months over these years. Units are in meters.

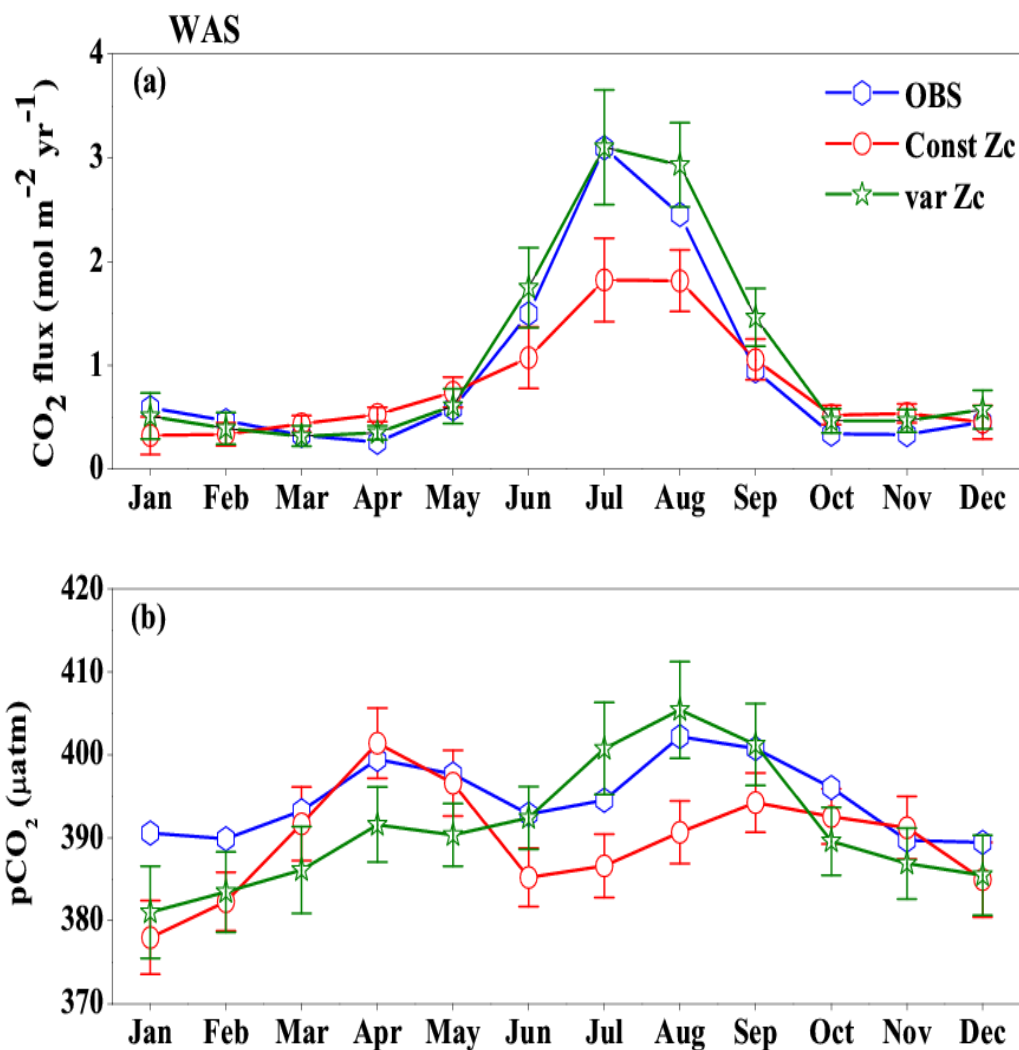


Fig (6): Comparison of model (a) CO₂ flux and (b) pCO₂ simulated with constant Zc (const Zc) and varying Zc (var Zc) with Takahashi Observations (OBS) over Western Arabian Sea (WAS) region as climatological state computed over 1990-2010. Error bar shows standard deviations of individual months over these years. Units of CO₂ flux and pCO₂ are mol m⁻² yr⁻¹ and µatm respectively. Legend is common for both graphs.

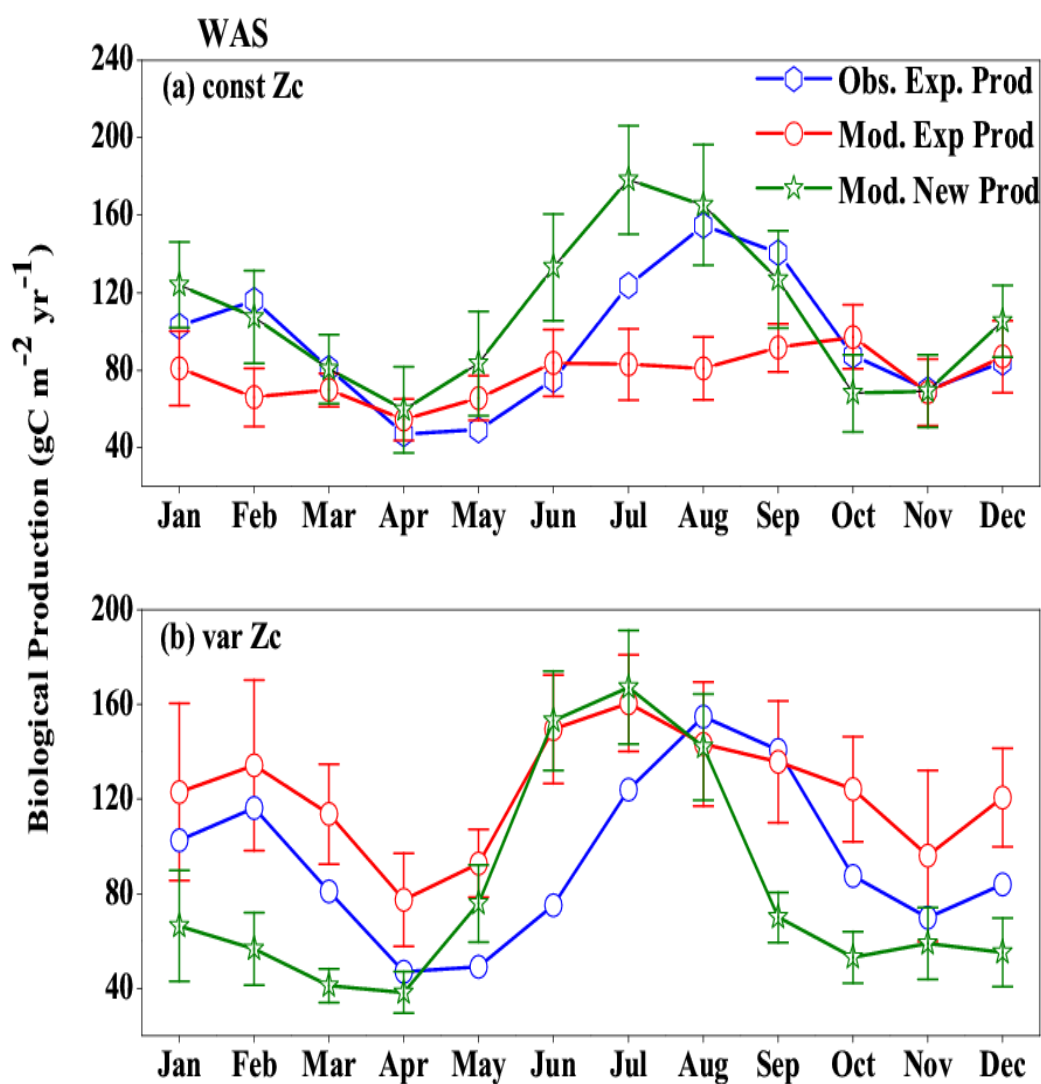


Fig (7): Comparison of model export production (Mod. Exp. Prod) and New production (Mod. New Prod) with satellite derived export production (Obs. Exp. Prod) for (a) Const Zc and (b) var Zc simulations for Western Arabian Sea (WAS) region. Units are in $\text{g C m}^{-2} \text{yr}^{-1}$. Legends are common for both graphs.

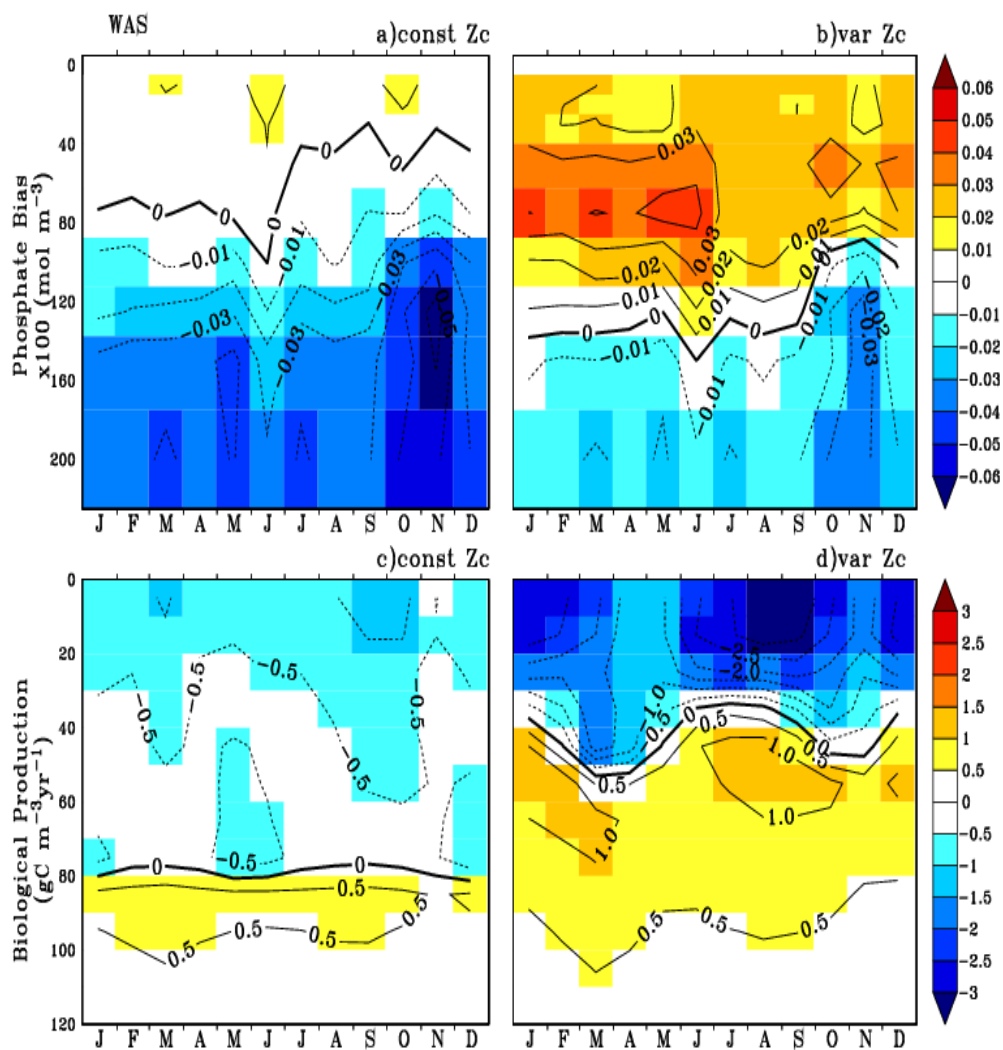


Fig (8): Bias estimation of Phosphate in the model with climatological observational data (a) const Zc and (b) Var Zc simulations and corresponding Biological production (c, d) in the model for Western Arabian Sea (WAS) region. Units of Phosphate ($\times 100 \text{ mol m}^{-3}$) and Biological Production ($\text{g C m}^{-3} \text{ yr}^{-1}$).

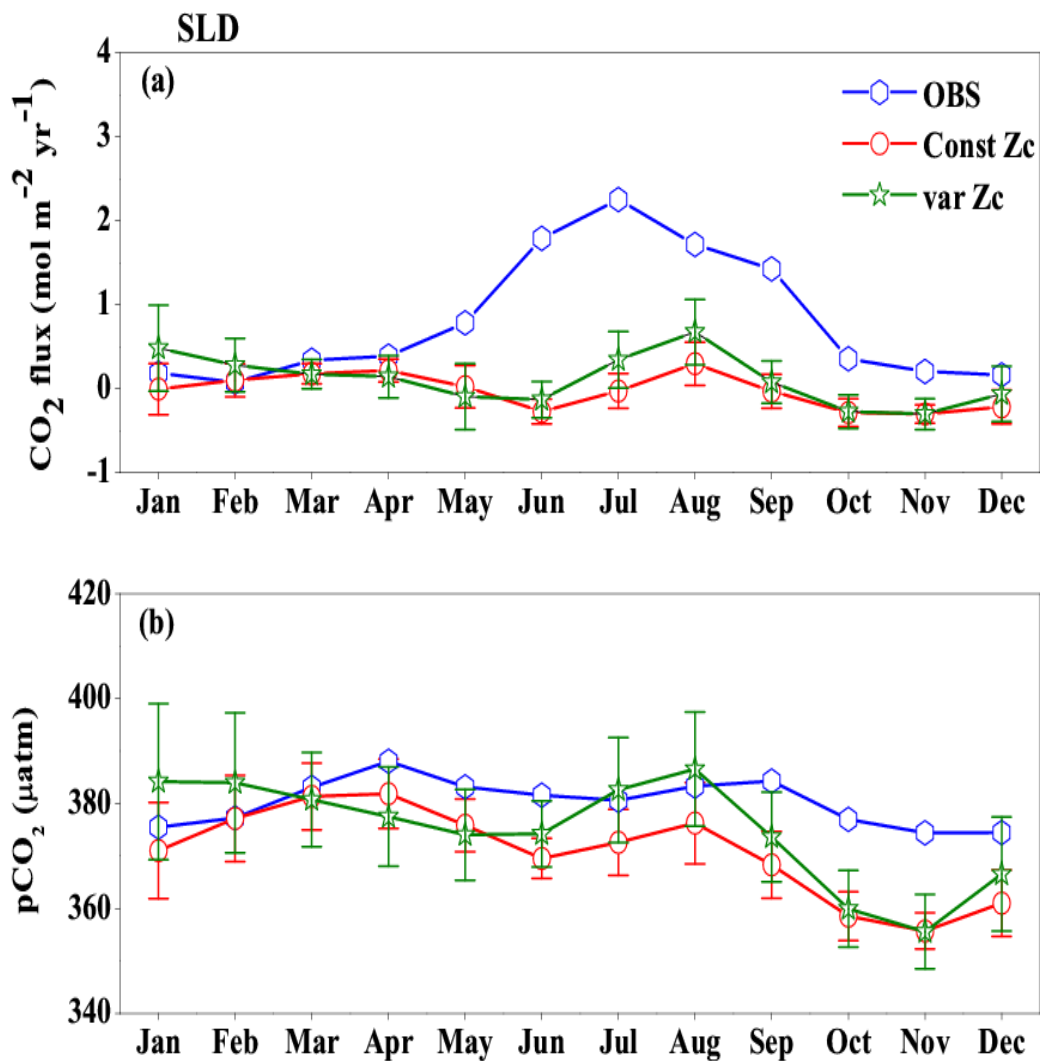


Fig (9): Same as fig (6), but for Srilankan Dome (SLD) region.

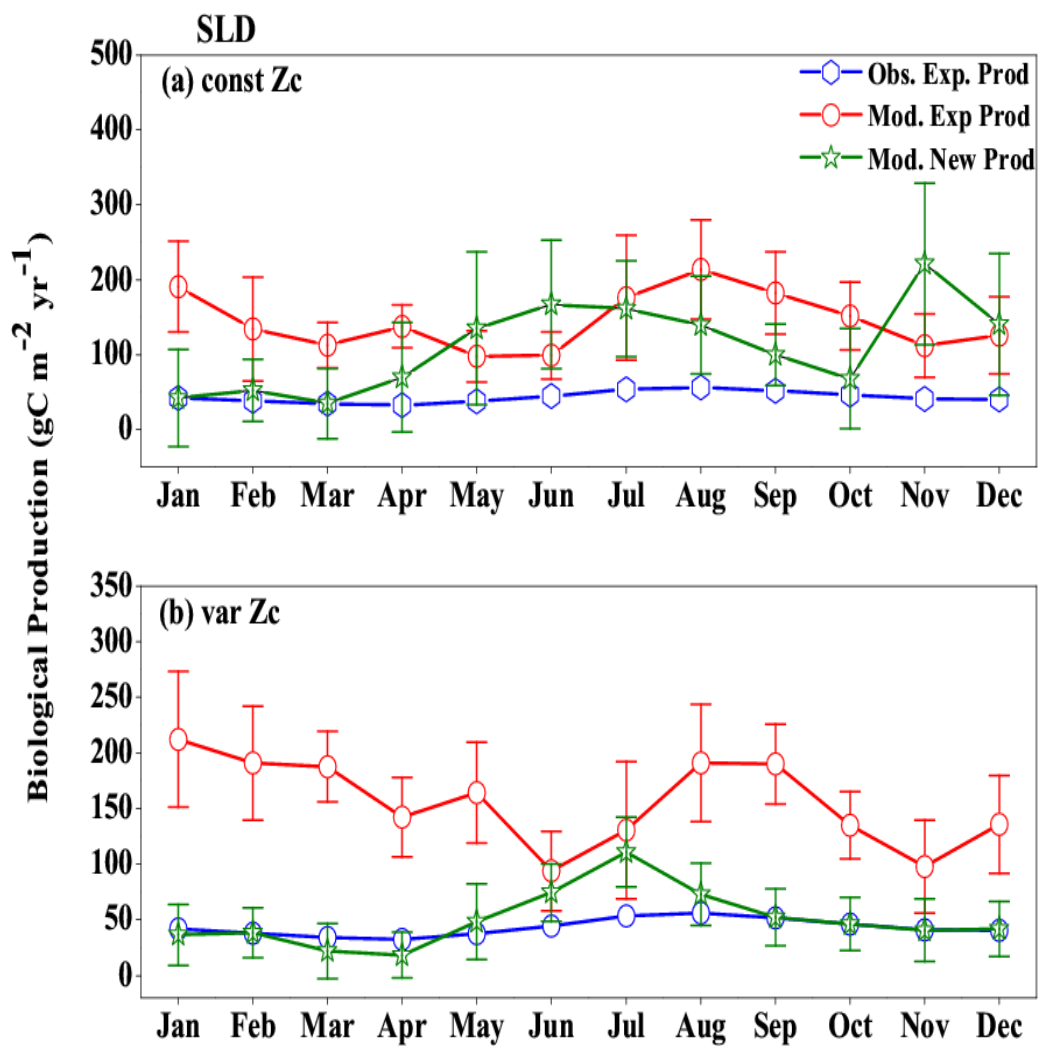


Fig (10): Same as fig (7), but for Srilankan Dome (SLD) region.

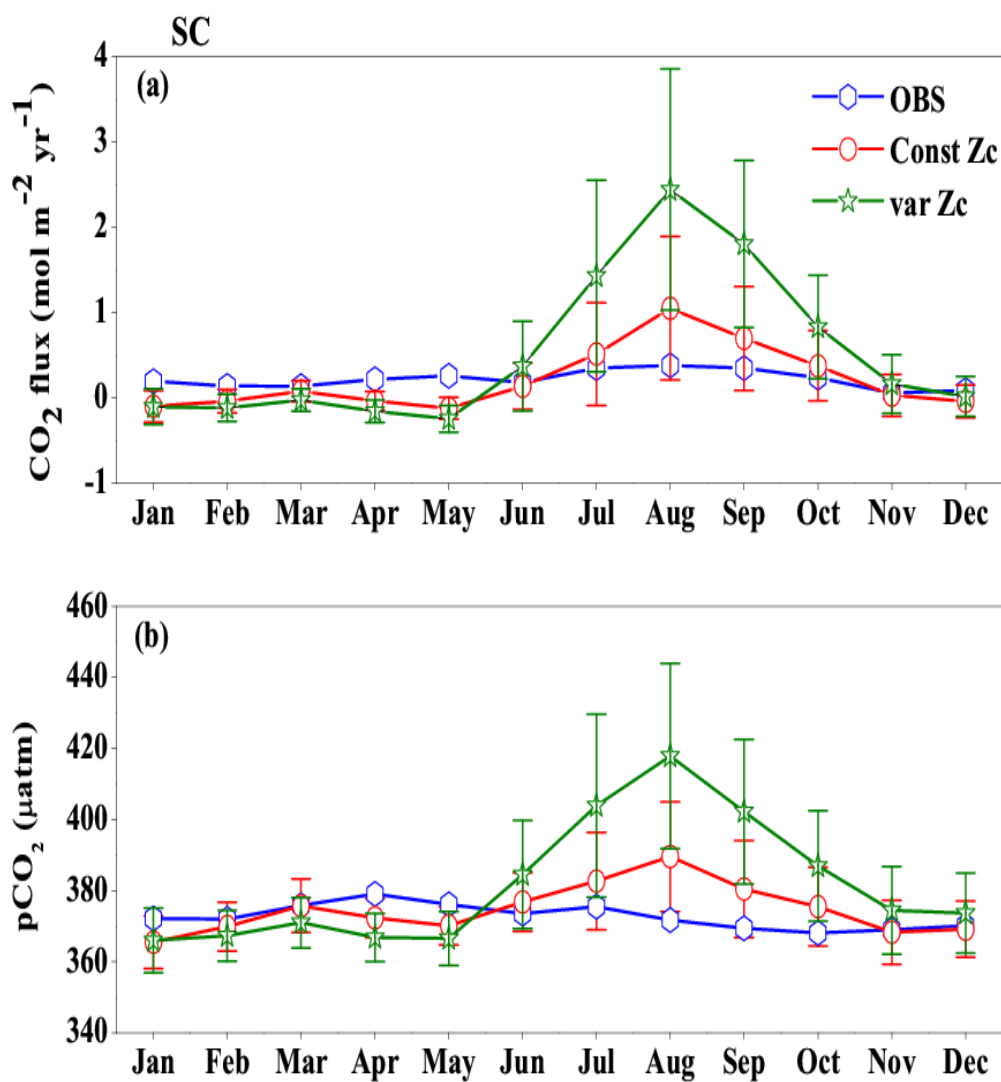


Fig (11): Same as fig (6), but for Sumatra Coast (SC) region.

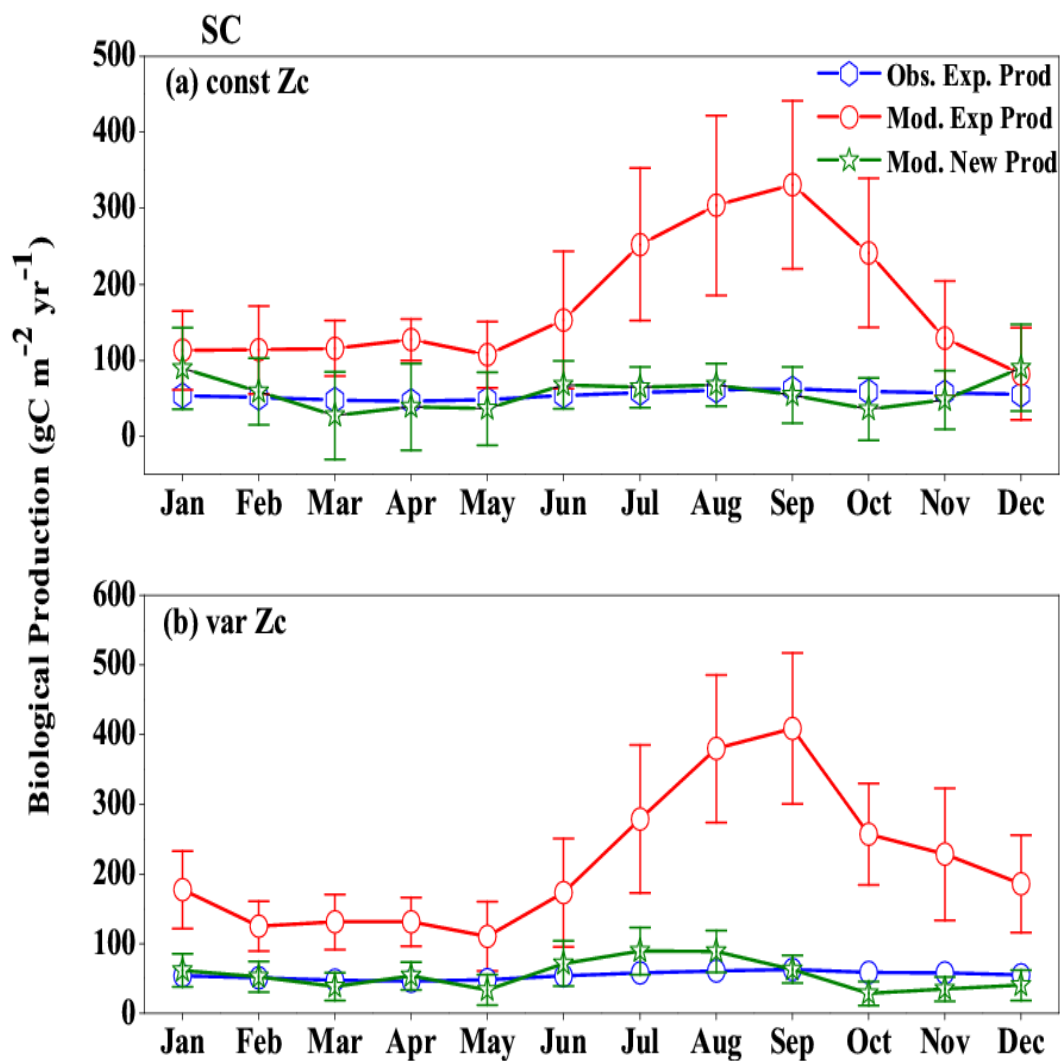


Fig (12): Same as fig (7), but for Sumatra Coast (SC) region.

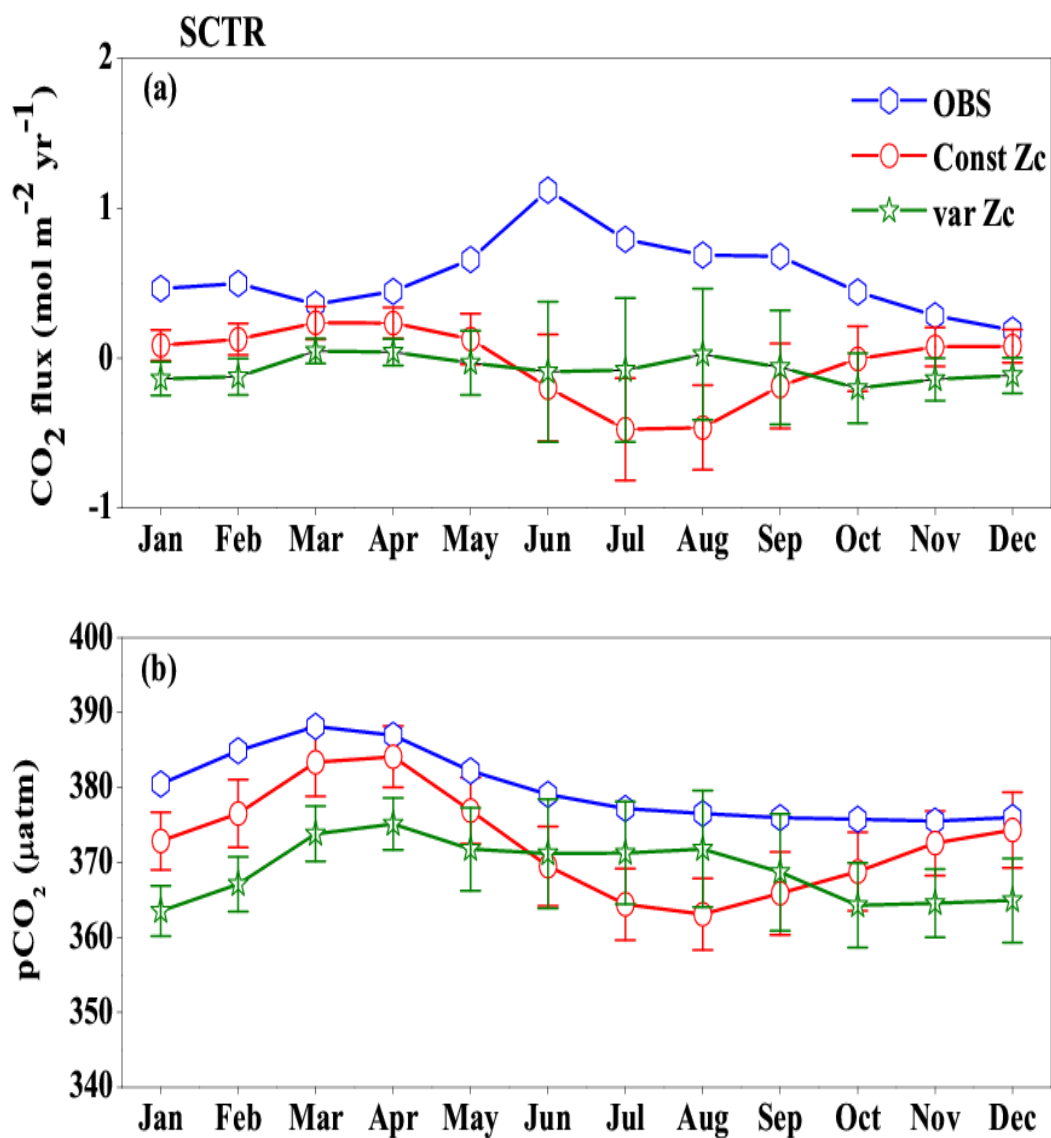


Fig (13): Same as fig (6), but for Seychelles–Chagos Thermocline Ridge (SCTR) region.

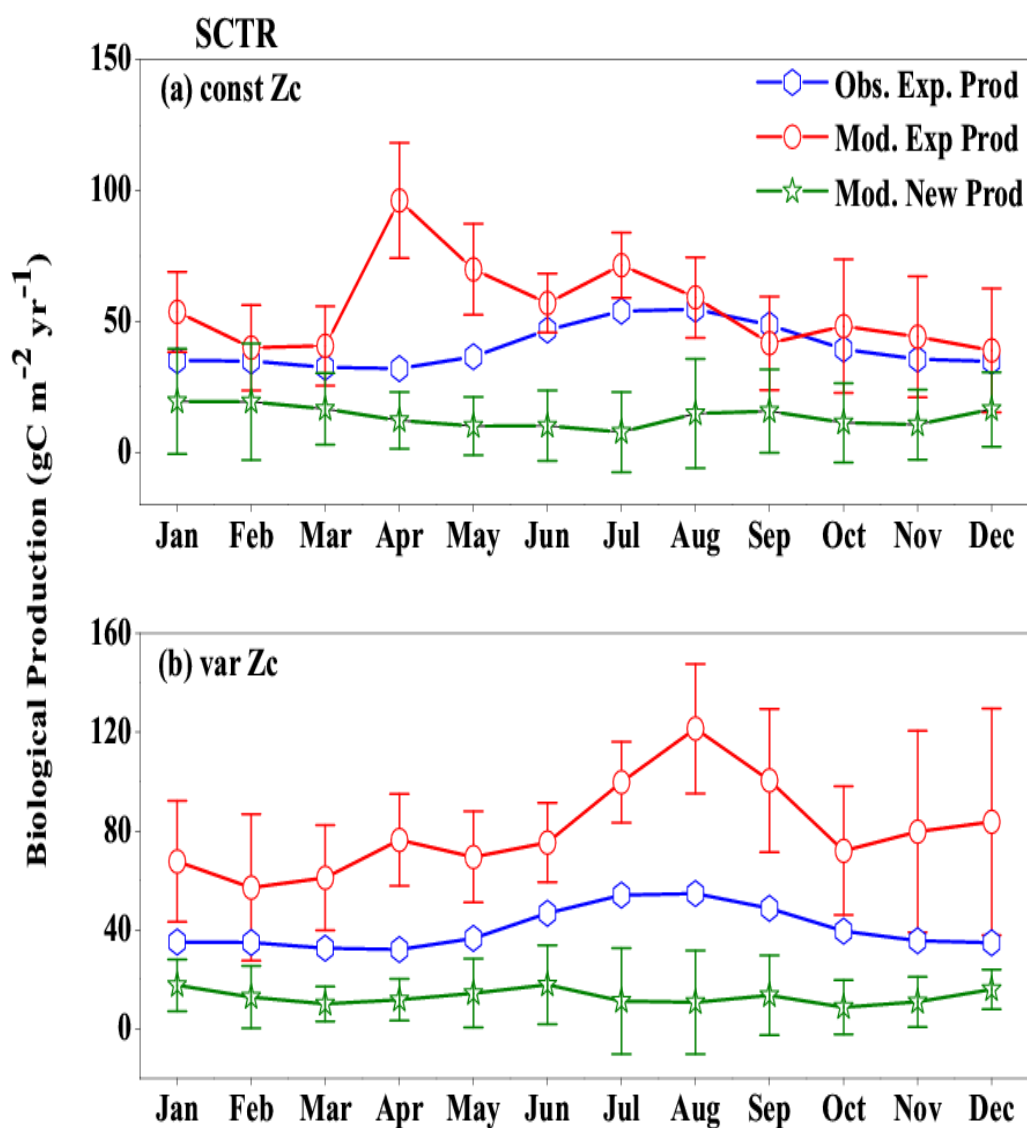


Fig (14): Same as fig (7), but for Seychelles-Chagos Thermocline Ridge (SCTR) region.

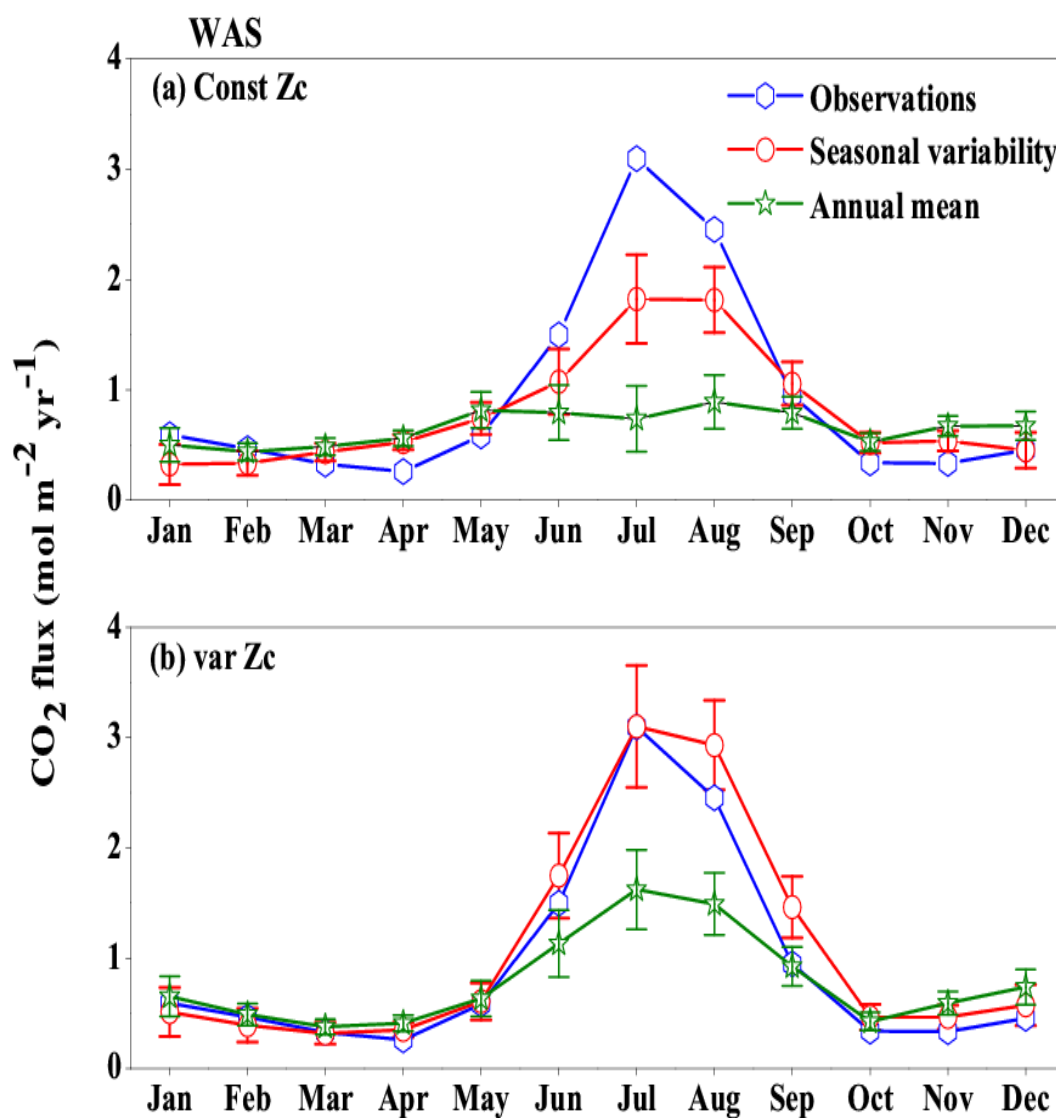


Fig (15) Response of CO₂ flux from the model forced with annual mean currents over the Western Arabian Sea region (WAS) as climatological state computed over 1990-2010. Error bar shows standard deviations of individual months over these years. (a) const Zc and (b) var Zc. Units are in mol m⁻² yr⁻¹. Legends are same for both graphs.

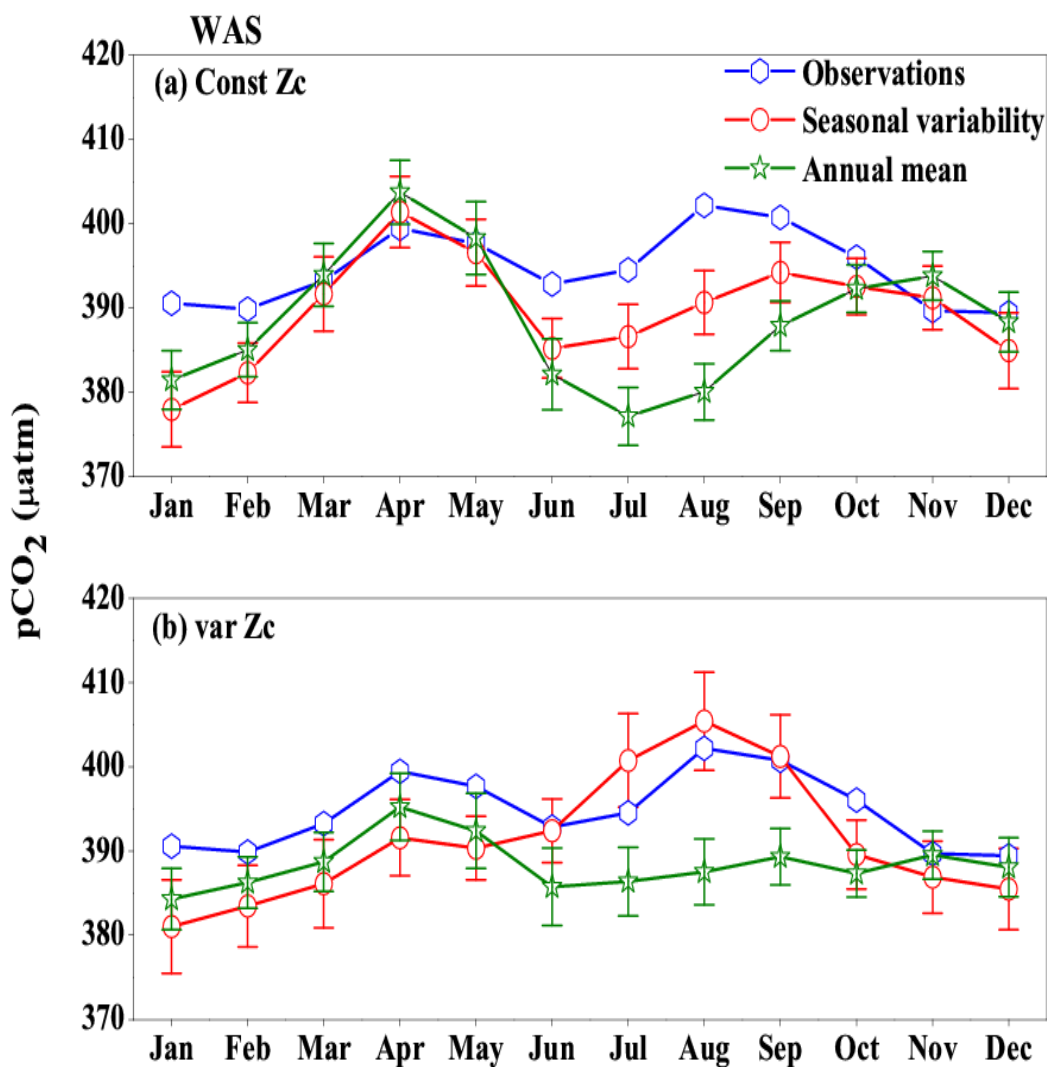


Fig (16) Same as Fig (15), but for pCO₂. Units are in µatm.

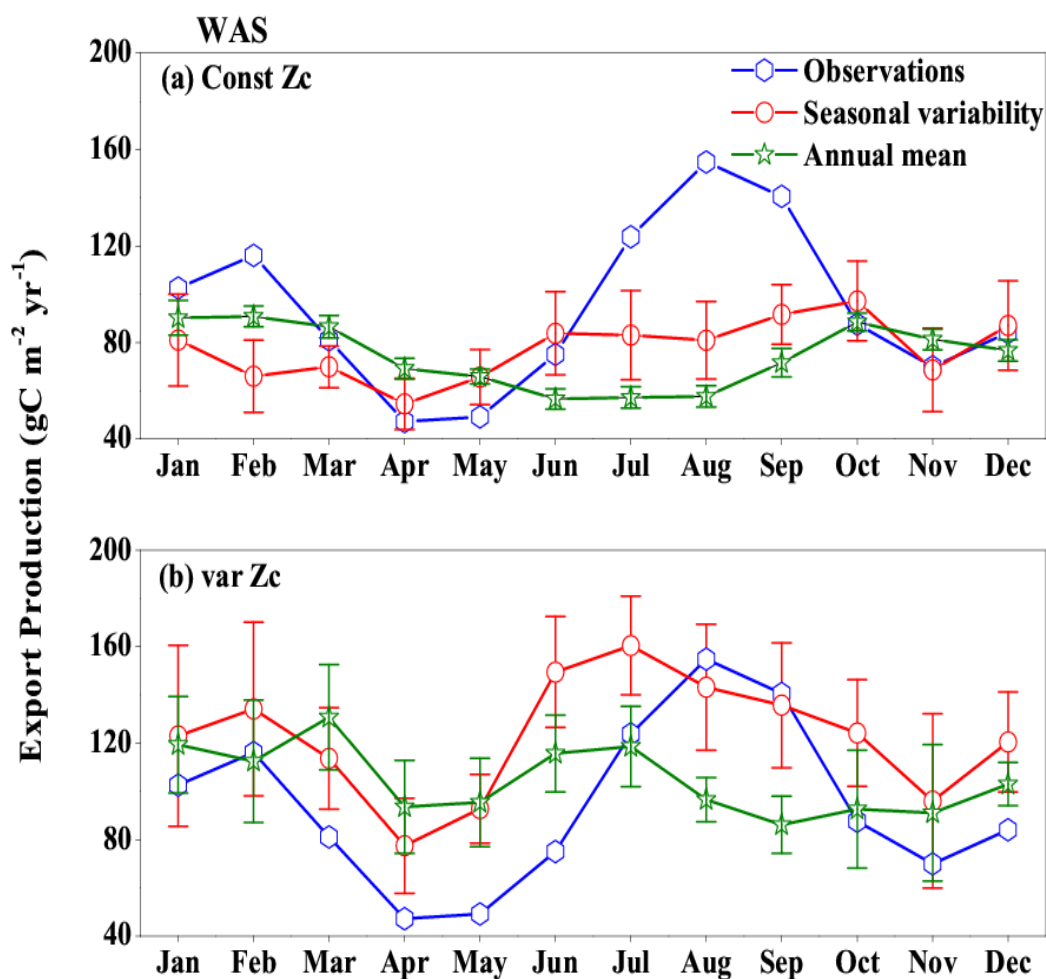


Fig (17) Response in Export Production of the model forced with annual mean currents in the Western Arabian Sea (WAS) region as climatological state computed over 1990-2010. Error bar shows standard deviations of individual months over these years. (a) Const Zc (b) varZc. Units are in $\text{gC m}^{-2} \text{yr}^{-1}$. Legends are same for both graphs.

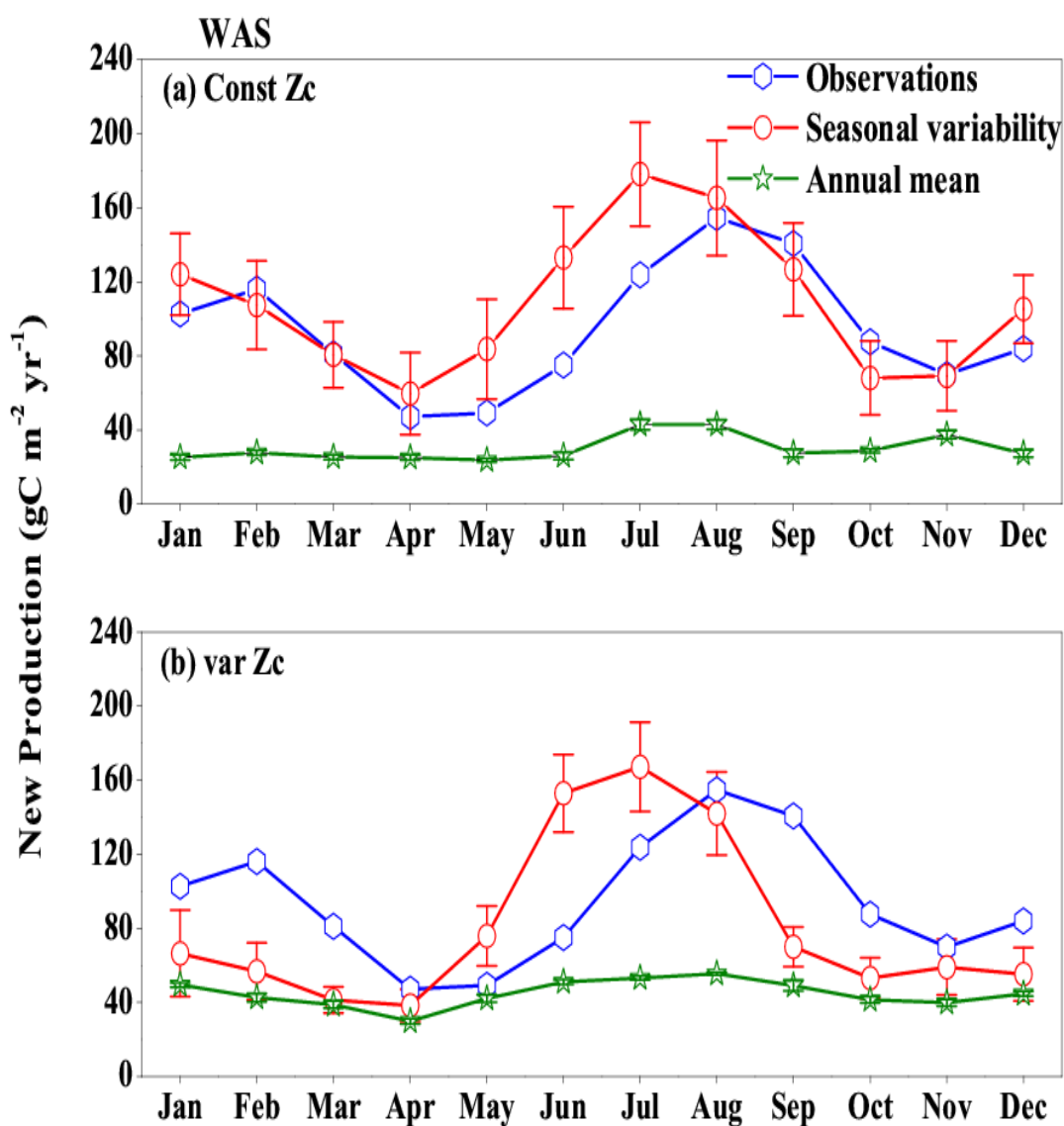


Fig (18) Same as fig (17), but for New Production. Units are in $\text{g C m}^{-2} \text{ yr}^{-1}$.

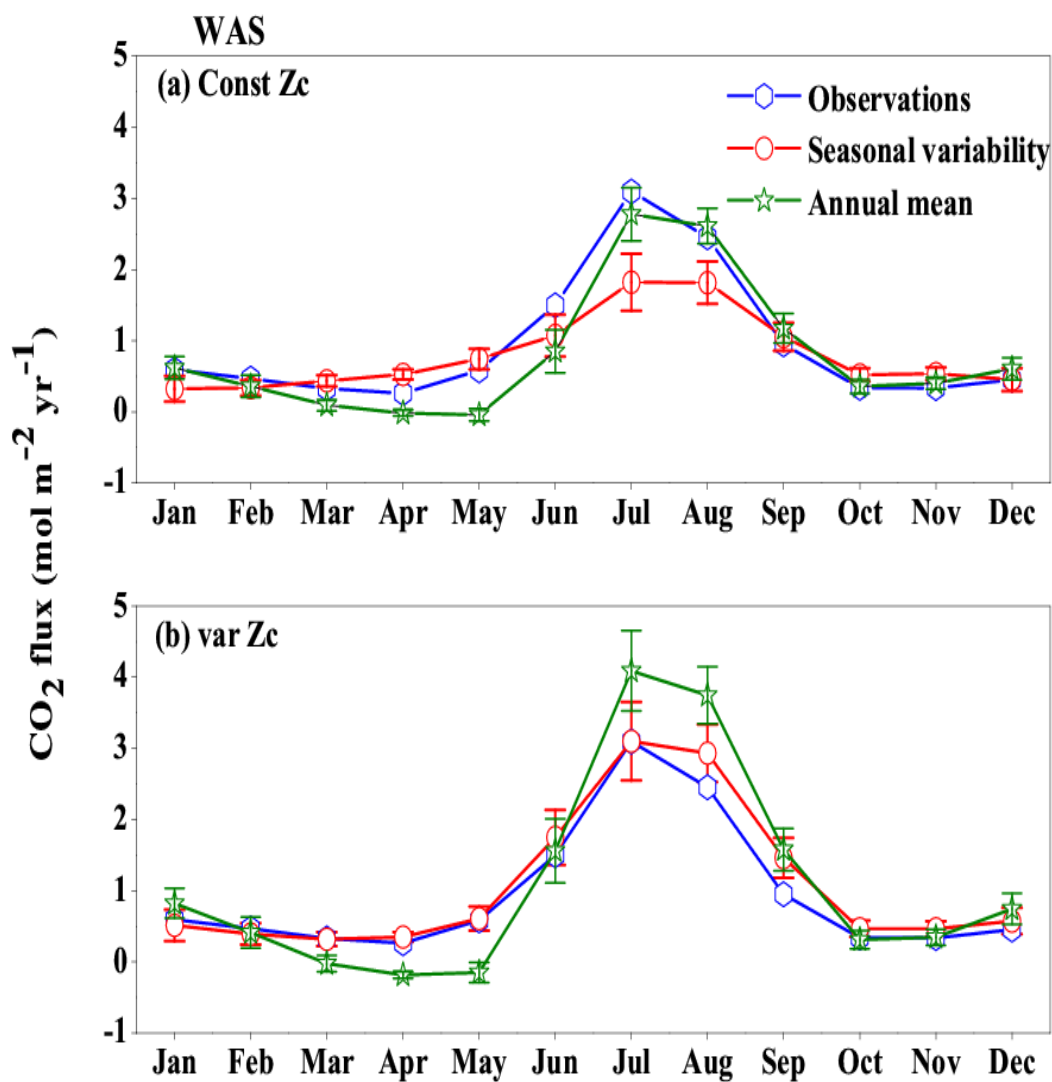


Fig (19) Response of CO₂ flux from the model forced with annual mean SST over the Western Arabian Sea region (WAS) as climatological state computed over 1990-2010. Error bar shows standard deviations of individual months over these years. (a) const Zc and (b) var Zc. Units are in mol m⁻² yr⁻¹. Legends are same for both graphs.

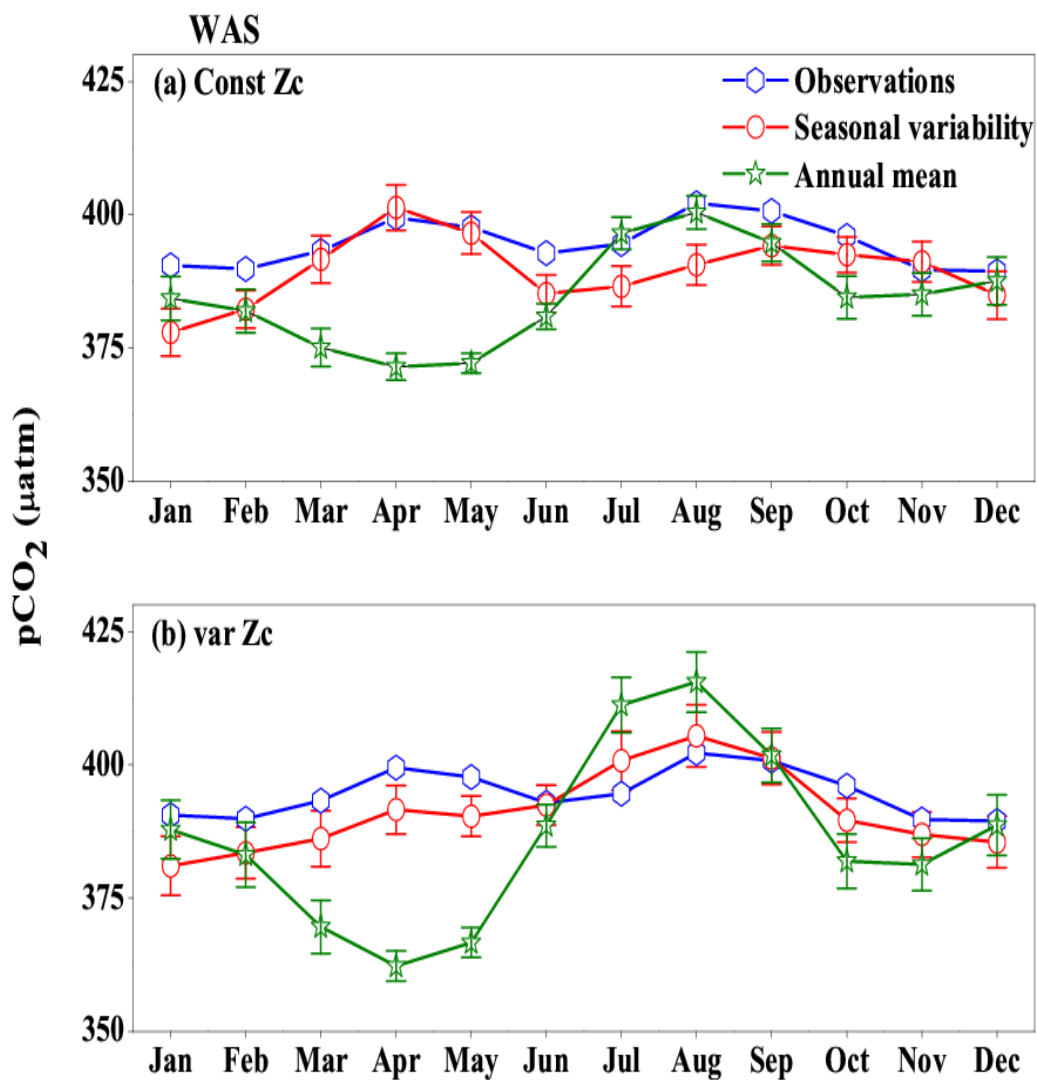


Fig (20). Same as Fig (19), But for $p\text{CO}_2$. Units are in μatm .