



- 1 Parallel functional and stoichiometric trait shifts in South-American and African forest
- 2 communities with elevation
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22 <u>Abstract</u>

Elevational gradients are an empirical tool to assess long-term forest responses to environmental 23 24 change. We studied whether functional composition of tropical forest along elevational gradients in South America and in Africa showed similar shifts. We assessed community-weighted 25 functional canopy traits and indicative δ^{15} N shifts along two new altitudinal transects in the tropical 26 27 forest biome of both South-America and Africa. We found that the functional forest composition response along both transects was parallel, with a species shift towards more nitrogen conservative 28 29 species at higher elevations. Moreover, canopy and topsoil δ^{15} N signals decreased with increasing altitude, suggesting a more conservative N cycle at higher elevations. This cross-continental study 30 provides two empirical indications that both South-American and African tropical forest show a 31 parallel response along altitude, driven by nitrogen availability along the altitudinal gradients, 32 inducing a parallel shift in the functional forest composition. This highlights the importance of 33 nutrient availability for tropical forest in a changing world. More standardized research, and more 34 research on other elevational gradients is needed to confirm our observations. 35

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39 Introduction

A good understanding of the future response of tropical forest ecosystems to global changes is 40 required because of their vital role in the global carbon cycle. Recent efforts have shown that 41 biosphere-atmosphere carbon exchange in forests is regulated by nutrient availability (Fernandez-42 Martinez et al., 2014). Therefore, changes in nutrient bio-availability induced by global change 43 need to be taken into account. Uncertainties on future responses of forest ecosystems to global 44 change are perhaps most prominent in the tropics, where monitoring is underdeveloped compared 45 to the temperate region. Recent work has shown that African and South-American tropical forest 46 show important differences in structure (Banin et al., 2012) and species richness and composition 47 (Slik et al., 2015a). Additionally, there is a lack of cross-continental empirical research in both the 48 Amazon and the Congo basin, and most model projections are parameterized on either South-49 American tropical or even temperate forests (Corlett and Primack, 2006). Moreover, earth system 50 model projections typically do not account for nutrient dynamics (Anav et al., 2013) and models 51 52 that account for nutrient cycling in their land component are still under development (Goll et al., 2012; Reed et al., 2015; Smith et al., 2014). , In this context we can raise questions about the 53 54 universality of tropical forest biogeochemistry and functioning across both continents, and subsequently their response to future global change scenarios. This leaves us with an important 55 56 bottleneck to further optimize Earth system models (Ciais et al., 2011). Hence, cross-continental 57 comparisons of tropical forest ecosystem responses to environmental gradients are needed. Additionally, due to the central role of nutrient availability that drives both net ecosystem 58 productivity (NEP) and ecosystem carbon use efficiency (CUEe) (Fernandez-Martinez et al., 59 60 2014), the effect of climatic gradients on nutrient availability should be better understood. Elevation transects offer such gradients. 61





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Elevation transects have been postulated as a viable and useful setup to assess long-term ecosystem responses to environmental changes, and hence serve as an empirical tool to assess future trajectories of forest ecosystems under global change (Malhi et al., 2010; Sundqvist et al., 2013). In the tropics, this has invoked research efforts on transects in South America, but no such studies have been carried out in central African forests.

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In this study we address this lack of standardized cross-continental research and assessed shifts in 69 nutrient availability and forest functional composition along two similar transects in Ecuador and 70 Rwanda. We assessed these shifts through indicative (I) community-level functional traits and (II) 71 nitrogen isotope ratios in topsoil and canopy. Canopy chemistry has received increasingly more 72 attention, and has been identified as a proxy for landscape-scale biogeochemistry (Asner et al., 73 74 2015; Fyllas et al., 2009), because of its inherent link to the plant strategy. Nevertheless, and as rightfully noted by Asner and Martin (2016), there are only limited surveys on canopy functional 75 signatures in the tropics, while this information is vital for a landscape-scale understanding of 76 tropical forest assembly. In addition to a standard set of leaf traits, both leaf and soil δ^{15} N are 77 known integrators of the local N-cycle. Previous efforts have shown that shifts towards lower $\delta^{15}N$ 78 values indicate a more closed N-cycle with lower N availability, and vice versa (Craine et al., 79 2009, 2015). Hence combining both leaf traits and $\delta^{15}N$ values is an interesting approach to assess 80 ecosystem responses to environmental gradients. We hypothesized that (I) both these community-81 level traits and stable isotope signals would indicate a shift in nitrogen availability with altitude, 82 83 and that (II) these shifts would be similar in terms of direction and magnitude, given a standardized research protocol and a similar adiabatic lapse rate. 84





85 Materials and Methods

86 Field inventories, sampling and trait analyses

We selected plots at different altitudes on the West flank of the Andes in Ecuador (ranging 87 from 400-3200 masl) and in the Nyungwe national Park Rwanda (1600-3000 masl), in the 88 Southern Great Rift Valley (figure S1 and Table S1 for location and overview maps). Due to 89 reduced accessibility, the gradient in Rwanda was shorter than the South-American transect. We 90 91 delineated and inventoried plots following an international standardized protocol for tropical forest inventories (RAINFOR, Malhi et al. 2002), with an adapted plot size of 40 by 40 m. In each plot, 92 the diameter of all live stems with a diameter larger than 10 cm was measured at 1.3 m height and 93 the trees were identified to species or genus level. Besides diameters also tree heights were 94 measured, in order to estimate the aboveground carbon storage (AGC), using pan-tropical 95 allometric relationships (Chave et al., 2014). The canopy of every plot was characterized by 96 selecting the most abundant tree species, aiming at a sampling percentage of 80% of the basal area 97 of the plots. For the selected species of all plots, we sampled mature leaves of a minimum of three 98 individuals per species per plot using tree climbers. For most of the individuals we sampled fully 99 sunlit leaves, but this was not always possible for the safety of the climbers, in which case we 100 101 sampled partly shaded leaves under the top canopy. Previous work on altitudinal transects has shown that the vertical profile of leaves within a canopy has little effect on the trait values (Fisher 102 103 et al., 2013). This sampling was carried out at the level of the total inventory. Additionally, 104 composite samples of the topsoil (0-5 cm) were collected at five different places within each plot, and mixed per plot prior to drying. Soil and leaf samples were dried for 48 hours at 60°C. Roots 105 were picked out of the soil samples before grinding and subsequently carbon (C), nitrogen (N) 106 content and δ^{15} N of plant and soil samples were analyzed using an elemental analyzer (Automated 107





Nitrogen Carbon Analyser; ANCA-SL, SerCon, UK), interfaced with an Isotope Ratios Mass 108 Spectrometer (IRMS; 20-20, SerCon, UK). Leaf samples were dry-ashed at 550°C for 5.5 hours; 109 the ash was dissolved in 2M HCl solution and subsequently filtered through a P-free filter. The 110 aliquots where then analyzed for total P by AAS method No.G-103-93 Rev.2 (Multitest 111 MT7/MT8; Ryan and others 2001). SLAs were calculated by dividing the leaf areas of all the 112 sampled leafs per individual by their summed dry mass. Leaf areas were determined by either 113 photographing leafs with on white paper with a reference scale or by drawing leaf contours and 114 scanning the drawings. Both the scans and the pictures were processed using the ImageJ software 115 (Schneider et al., 2012). For one abundant species of the higher altitudes on the Rwandan transect 116 (Podocarpus latifolius (Thunb.) R.Br. ex Mirb.) we could not obtain good area estimates, so we 117 adopted SLA figures from literature (Midgley et al., 1995). 118

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120 Statistical analysis

Average leaf trait values as specific leaf area (SLA), leaf nitrogen content (LNC), leaf phosphorus content (LPC), δ^{15} N, C:N and N:P ratio were calculated for every selected species, based on the sample values for the different individuals of the species. Subsequently, to calculate community-level traits and leaf δ^{15} N per plot, we calculated a basal area weighted-average canopy value and standard deviation using the species composition and the species averages, following Asner et al. (2016b). Hence:

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$$\bar{x}_{w} = \frac{\sum_{i=1}^{N} w_{i} \cdot x_{i}}{\sum_{i=1}^{N} x_{i}}$$

with x_w the weighted value for trait x, x_i the mean trait value for species i and w_i the basal-area based weight of that species the specific plot. Subsequently for the weighted standard deviations (σ_w) :





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$$\sigma_{w} = \sqrt{\frac{\sum_{i=1}^{N} w_{i} \cdot (x_{i} - \bar{x}_{w})^{2}}{(N-1)\sum_{i=1}^{N} w_{i}}}{N}}$$

134 with N the number of nonzero weights.

The structure of the trait datasets was assessed qualitatively using Pearson correlation statistics. 135 136 Finally, we studied the relations between the different leaf traits and elevation using mixed effects models for the different traits, with a random error structure. The plots were spatially clustered 137 around four altitudes on both transects, hence we introduced these altitudinal clusters as a random 138 effect, and treated altitude and transect as fixed effects. Models were then fitted using maximum 139 likelihood methods in the 'nlme' package in R (Pinheiro et al., 2013). An interaction term for 140 transect (Rwanda or Ecuador) and elevation was tested using likelihood ratio test and was retained 141 in the final models when significant at the P<0.05 level. For reasons of linearity we used the inverse 142 C:N (hence rather N:C) in these analyses. For the statistical analysis, the R-software was used (R 143 Core Team, 2014). 144

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146 <u>Results</u>

The pooled trait datasets from both transects showed a consistent and similar correlation structure (Fig S2), with in both separate and the pooled data significant correlations between all traits, except SLA and N:P. The structural vegetation parameters on both transects showed important differences: for the same altitude range, we found a higher stem density, but less species on the Rwandan transect (Table 1). Tree height and basal area were comparable, and the carbon stocks showed high variability along both transects. Climatic conditions were similar, with a highly





consistent temperature gradient (Fig S3, Table 1), and similar mean annual precipitation in the 153 concurring altitudinal ranges. The linear mixed models with altitude as fixed effect, were able to 154 explain a significant proportion of variation in all traits. This is reflected by both the marginal and 155 conditional R^{2}_{aid} , respectively proxies for the variation explained by the fixed effects, and the 156 random and fixed effects together (Schielzeth and Nakagawa, 2013) (Table 2). The interaction 157 term was not significant in any case, hence the trait and δ^{15} N responses to altitude were parallel on 158 both continents. LNC, N:C, LPC and N:P significantly decreased with altitude (R²_{adj,marg} of 159 respectively 0.83,0.87,0.68 and 0.60), with the Rwanda transect showing higher overall values. 160 SLA also decreased significantly, but with a slightly higher intercept for the Ecuadorian transect 161 $(R^2_{adj,marg} = 0.83)$. In addition to the functional trait and stoichiometric shifts, canopy and topsoil 162 δ^{15} N decreased on both continents, with a stronger decrease for canopy than for topsoil (R²_{adj,marg} 163 respectively 0.92 and 0.43 for canopy and soil). 164

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166 Discussion

The general characteristics, averaged for the altitudinal clusters, show that the vegetation structure 167 168 is different along both transects. The high variability in these structural characteristics as such, is potentially caused by the relatively small plot size. However investigating the variation in 169 structural characteristics is beyond the scope of this research. Other research efforts, targeting 170 171 structural characteristics and carbon stocks in specific, use plot sizes of 1 hectare, as set forward by the RAINFOR protocol, in tropical forests worldwide (Phillips et al., 2009). As such, the 172 differing carbon stocks probably do not integrate important stochastic events (e.g. tree fall) from 173 174 the forest along both slopes. However, interestingly enough the lower average carbon stocks and higher number of trees in the upper two Rwandan clusters in comparison to the Ecuadorian forests. 175





This contrasts to what has been reported from large scale forest monitoring networks across the 176 lowland forests of Amazon and the Congo basin (Lewis et al., 2013). More research in larger plots, 177 including dynamics and productivity should validate if this is a consistent observation in highland 178 forest on both continents. On the other hand, the lower species number on the African transect fits 179 well within the recent findings of a pantropical study, reporting a lower tree species diversity in 180 the African tropical forest (Slik et al., 2015b). More importantly for this paper, the air temperature 181 decrease with elevation is highly similar on both transects, which means that we can validly assess 182 similar temperature-driven responses of both forest functional composition and the underlying 183 nutrient dynamics. The high collinearity in the trait datasets corresponds well to known trade-offs 184 described as the "leaf economics spectrum" (LES); basically a leaf-level trade-off between leaf 185 construction cost, i.e. low specific leaf area (SLA), leaf nitrogen content (LNC) and leaf 186 phosphorus content (LPC); and photosynthetic efficiency, i.e. high SLA, LNC and LPC (Wright 187 188 et al., 2004). LNC, LPC and SLA showed a highly significant decrease with altitude (Fig. 1 and Table 2), indicating a functional shift towards more nutrient conservative species communities at 189 higher altitudes on both transects. Indeed, leaves at lower altitudes with high LNC, LPC and SLA 190 191 and hence a more efficient photosynthetic apparatus and rapid turnover, are replaced by leaves with low LNC, LPC and SLA values at higher altitudes. Along the transects, both topsoil and 192 canopy leaves showed decreasing δ^{15} N values with increasing altitude (Fig. 1), inferring a more 193 194 closed N-cycle with lower N availability at the higher altitudes of both transects. We've added previous published work in South America, with similar temperature gradients by Asner et al, 195 Kitayama and Aiba and Van de Weg et al. to our transects (12, 16, 17; Fig. S3) to assess the 196 197 consistency of our observed trends. We deliberately only added the limited amount of studies where community-weighted means were reported along a 'single mountain range system', hence 198





neglecting a recent and relevant contribution from Asner et al. (Asner and Martin, 2016). As argued 199 by Tanner et al. (Tanner et al., 1998), in studies of general altitude patterns from different mountain 200 ranges, elevational trends might be obscured by a range of local environmental characteristics, 201 such as soil type and orientation. Forests with low, intermediate and high nutrient concentrations 202 can be found globally at any altitude, hence neglecting the effect of local characteristics might lead 203 to wrong conclusions. This is also shown by the different intercepts, or the vertical *shift* in trends 204 in fig S3. Our comparison showed that the decreasing trend in LNC (mass basis) was consistent 205 with the other studies from South-America (Asner et al., 2016b; Van de Weg et al., 2009), but not 206 with South-East Asia where no significant trend was found (Van de Weg et al., 2009). However, 207 leaf mass area (LMA; the inverse of SLA) of all studies showed a similar, increasing trend with 208 elevation. LPC shows a strong and significant trend along both transects in this study, while the 209 other studies report no significant trend. This is consistent with the meta-analysis presented by 210 211 Tanner et al., which shows consistent negative LNC trends on 'same mountain' studies and inconsistent LPC trends (Tanner et al., 1998). A recent effort on a larger scale in Peru has shown 212 that LES trade-off between LNC-LPC or SLA-LPC is indeed decoupled by climatic and 213 214 geophysical filters, while the leaf SLA-LNC trade-off is more robust (Asner et al., 2016a). Of the included studies in Fig. S3, only Van de Weg et al. assessed N:P ratio, and although no significant 215 trend was found, they reported that N:P ratio was lowest in the highest sites (Van de Weg et al., 216 217 2009). Additionally, decreasing N:P ratios have also been reported on other transects on the Andes (Fisher et al., 2013; Soethe et al., 2008), and recently in Peru using airborne imaging spectroscopy 218 (Asner et al., 2016a). 219





In addition to our community-level traits (decreasing LNC, increasing C:N and N:P ratio), the 221 decreasing $\delta^{15}N$ values on both continents (fig. 1) are another strong indication of the limited 222 access of the upper forests to bio-available N. This data is only indicative and we cannot conclude 223 that there is actual limitation. However, the trends are interesting and seem to support the existing 224 paradigm that tropical forests shift from P to N limitation in transition from lowland to montane 225 tropical forest (Townsend et al., 2008). This is also reflected in the stoichiometric shifts, as the 226 C:N increase dominates over the C:P increase along the transects, leading to a general decrease of 227 N:P with increasing elevation (fig. 1). Hence plants build in relatively less N compared to P in 228 canopies at higher altitudes. The higher soil $\delta^{15}N$ values along the lower part of the Rwanda 229 transect suggests a more open N-cycle compared to the lower part of the Ecuadorian transect. This 230 corroborates with a recent finding of very high N losses at 1900 masl at the Rwanda site (Rütting 231 et al., 2014), and the finding of high retention of bio-available N in Chilean Andisols (Huygens et 232 al., 2008). Further research is needed to explain the notable divergence in soil and foliage $\delta^{15}N$ 233 along the Ecuadorian transect. As previously reported this can be due to different degrees of 234 mycorrhizal infection (Hobbie et al., 2005), different mycorrhizal association types (Craine et al., 235 236 2009) or species-specific preferences for different forms of nitrogen (Kahmen et al., 2008).

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By characterizing both community functional traits and canopy and soil δ^{15} N, the data of these both transects is consistent with a decreasing availability of soil N as elevation increases, which is here for the first time confirmed for the African continent. We suggest the reduced N availability to be caused by an indirect temperature effect on the N-cycle, consistent with observations from a direct fertilization experiment (Fisher et al., 2013). Lower temperatures slow down depolymerization and N mineralization processes, hence also N bio-availability, thereby invoking





changes in the functional plant communities along the transects (Coûteaux et al., 2002; Marrs et 244 al., 2013). Future global change will most likely distort this evolutional conditions for N 245 availability; both directly via increased reactive N deposition (Galloway et al., 2008; Hietz et al., 246 2011) and indirectly via a temperature effect on N mineralization in tropical forests. This raises 247 questions on the future of plant species within the already threatened montane tropical forest 248 biome, where higher N availability and temperature increase might distort the existing ecological 249 niches and in turn also increase N-losses. Further research should therefore focus on process-based 250 251 knowledge of N and P cycle dynamics along such transects to further assess if the availability is actually limiting the ecosystems. These observations also have repercussions for carbon fluxes: 252 since nutrient availability exerts a stronger control on NEP than on gross primary production (GPP) 253 (Fernández-Martínez et al., 2014), it is likely that the CUE_e will be lower at higher altitudes. It has 254 been hypothesized that this decrease in CUE_e is due to an increased investment of photosynthates 255 to non-biomass components, such as root symbionts for nutrient mining and root exudates, in 256 expense of net primary production (NPP) (Vicca et al., 2012). However, recent empirical evidence 257 has shown for one transect in the Andes, that a decrease in GPP with increasing altitude is not 258 259 accompanied by a trend in CUE (Malhi et al., 2016). More work on carbon budgets along elevational transects is needed to fully understand the role of N and P availability and its interaction 260 261 with climate gradients for the tropical forest carbon cycle.

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263 Conclusions

Altogether, this study evidences parallel functional shifts with a similar direction and magnitude along two comparable elevation gradients, in tropical forests on two different continents. The data suggests, in two different ways, that this shift is caused by temperature-driven response of nutrient





- 267 availability. This advocates the explicit need for implementing nutrient dynamics and availability
- in ecosystem models. With the first data on an altitudinal transect in Central Africa, this work adds
- to the existing set of elevational transects in the tropics, but more transects are needed, especially
- 270 in Africa, to validate a universal response of tropical forests. Furthermore, work on process-based
- 271 nutrient dynamics is important to unravel the importance of different global change factors for
- both forest basins.
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274 Supplementary information

- 275 Fig. S1 Overview map
- Fig. S2 Structure and correlations of the trait data
- 277 Fig. S3 Trends in community-level traits of previously reported studies
- 278 Table S1 Coordinates, elevation and cluster membership of the different plots on both transects
- 279 **Table S2** Summary of the plot-level characteristics
- 280

281 Author contributions

- 282 M.B., H.V. and P.B. developed the project; M.B, M.D., S.B., C.T. and D.V. carried out the field
- work and analyzed the data. All authors contributed to the ideas presented and edited the manuscript.
- 285

286 Competing interests

- 287 The authors declare that they have no conflict of interest.
- 288





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- 500 Table 1 General characteristics, vegetation structure, climate (mean annual temperature (MAT) and mean annual precipitation
- 501 (MAT)) and soil characteristics of the altitudinal clusters on both transects. Number of trees and species (in the 40 by 40 m plots),
- 502 basal area (BA), mean tree height (MTH) and above-ground carbon (AGC) are averages per plot ± the standard deviation on the plot-
- 503 level results, based on the inventories.

			Number	Number							
		Altitude	of trees	of species	BA	MTH	AGC	MAT	MAP	Soil parent material	Soil classification
	Cluster	(masl)	per plot	per plot	(m² ha⁻¹)	(m)	(Ton C ha⁻¹)	(°C)	(mm)		
Ecuador	1	406 ± 10	86 ± 13	30 ± 2	25 ± 3.1	18.3 ± 1	96 ± 19	23.7	3720	Lahars	Andisol
	2	1068 ± 25	84 ± 38	39 ± 15	33 ± 9.4	16.9 ± 0.7	140 ± 25	20.0	3227	Lahars	Andisol
	3	1871 ± 79	69 ± 11	31 ± 2	33 ± 11	13.5 ± 1.3	112 ± 57	17.5	1619	Redbed volcaniclastics	Andisol
	4	3217 ± 21	90 ± 25	18 ± 2	49 ± 11	13 ± 1.4	161 ± 34	10.9	1241	Granitic/acid	Andisol
Rwanda	1	1760 ± 66	70 ± 18	21 ± 4	34 ± 4	13.9 ± 0.7	121 ± 11	17.6	1518	Shale and Quartzite	Inceptisol/Ultisol
	2	2200 ± 64	71 ± 18	18 :± 3	45 ± 9	14.3 ± 0.3	179 ± 27	15.9	1628	Shale and Quartzite	Inceptisol/Ultisol
	3	2512 ± 37	122 ± 60	11 ± 1	31 ± 9	12 ± 1	99 ± 36	14.7	1716	Shale and Quartzite	Inceptisol/Ultisol
	4	2844 ± 77	109 ± 56	8 ± 2	34 ± 4	11.5 ± 0.5	89 ± 10	12.9	1835	Shale and Quartzite	Inceptisol/Entisol





- Table 2 Fixed effects estimates (altitude in km masl) for the different canopy-level response variables; leaf nitrogen content (LNC), inverse C:N ratio, specific leaf area (SLA), leaf phosphorus content (LPC), N:P ratio, canopy and topsoil δ 15N, along with the estimated marginal and conditional R2adj (sensu Nakagawa and Schielzeth (5)). The interaction term for altitude x transect was not significant in any case, and was hence not retained in any model.
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Response	Effect	Estimate	Standard Error	Marginal R^2_{adj}	Conditional R ² adj
LNC (%)	Ecuador Intercept	3.04	0.11	0.83	0.85
	Rwanda Intercept	3.65	0.1		
	Altitude	-0.59	0.06		
N:C	Ecuador Intercept	0.066	0.0026	0.87	0.91
	Rwanda Intercept	0.074	0.0023		
	Altitude	-0.013	0.001		
SLA (cm ² g ⁻¹)	Ecuador Intercept	175.63	11.81	0.83	0.95
	Rwanda Intercept	173.06	10.41		
	Altitude	-36.47	5.91		
LPC (%)	Ecuador Intercept	0.158	0.010	0.68	0.87
	Rwanda Intercept	0.172	0.008		
	Altitude	-0.025	0.005		
N:P	Ecuador Intercept	20.67	0.87	0.60	0.71
	Rwanda Intercept	24.07	0.77		
	Altitude	-1.82	0.44		
δ^{15} N Canopy (‰)	Ecuador Intercept	4.06	0.509	0.92	0.96
	Rwanda Intercept	8.25	0.45		
	Altitude	-2.63	0.26		
δ ¹⁵ N Topsoil (‰)	Ecuador Intercept	5.62	1.21	0.43	0.86
	Rwanda Intercept	8.11	1.07		
	Altitude	-1.34	0.61		

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Fig. 1. Trends in community-level functional traits and leaf (full line, closed circles) and topsoil (dashed line, open circles) δ^{15} N of the elevation transects in Ecuador (red) and Rwanda (blue). Leaf nitrogen content (LNC), leaf phosphorus content (LPC), specific leaf area (SLA), and leaf N:C, P:C and N:P ratio decrease with increasing altitude on both transects. Both transect showed decreasing values of δ^{15} N, providing additional evidence for a more closed N-cycle with increasing altitude. Lines represent the fixed altitude effects in the respective statistical models for both Ecuador (red, 400-3200 masl) and Rwanda (blue, 1600-3000 masl).