

29 BSC succession. Changes in the bacterial community structure may be an important indicator in the
30 biogeochemical cycling and nutrient storage in early successional stages of BSC in desert
31 ecosystems.

32 **Key words** biological soil crusts (BSC), successional stages, bacterial community, revegetation,
33 desert ecosystem

34 **1 Introduction**

35 Biological soil crusts (BSC) are assemblages of cryptogamic species and microorganisms, such
36 as cyanobacteria, green algae, diatoms, lichens, mosses, soil microbes and other related
37 microorganisms that cement the surface soil particles through their hyphae, rhizines/rhizoids and
38 secretions (Eldridge and Greene, 1994; Li, 2012; Pointing and Belnap, 2012; Weber et al., 2016).
39 Due to their specialized structures and complicated assemblages of their members, BSC constitute
40 one of the most important landscapes and make up 40% of the living cover of desert ecosystems,
41 even exceeding 75% in some special habitats (Belnap and Eldridge, 2003).

42 It is well known that BSC play critical roles in the structure and function of semi-arid and arid
43 ecosystems (Eldridge and Greene, 1994; Li, 2012). They provide ecological services such as soil
44 stabilization, reduction of wind and water erosion, and facilitation of higher plant colonization
45 (Belnap, 2003; Belnap and Lange, 2001; Maier et al., 2014; Pointing and Belnap, 2012). Due to the
46 species concept is relatively well-defined in BSC organisms, BSC may act as a useful model system
47 for diversity-function research. Their functional attributes are relatively well-known and estimation
48 and manipulation of biodiversity in experiments are feasible, at least within some groups of BSC
49 biota (Bowker et al., 2010). This relationship is more easily interpreted in artificially-constructed
50 BSC. BSC exhibit primary successional stages in desert ecosystems: mobile sand, algal crust, lichen
51 crust and moss crust (Lan et al., 2012a; Liu et al., 2006). The different successional stages of BSC
52 vary in their ecological function (Belnap, 2006; Bowker and Belnap, 2007; Li, 2012; Moquin et al.,
53 2012).

54 During successional stages of BSC, physical crusts in mobile sand contain the lowest C and N
55 contents (Zhang et al., 2009). Algal crust is the earliest biocrust stage. It shows a surface thin layer
56 which composed by aeolian-born materials and an organic layer formed by filamentous
57 cyanobacteria associated with sand particles (Housman et al., 2006; Zhang, 2005; Zhang et al.,

58 2009). Lichen and moss appear following with stabilization of the algal filaments on the soil surface.
59 The C and N fixation rates are increased in lichen crust (Evans and Lange, 2003; Lan et al., 2012b;
60 Zhang et al., 2010), and there is higher photosynthesis, exopolysaccharide and nitrogenase activity
61 in moss crust compared to the early successional crusts (Housman et al., 2006; Lan et al., 2012b).
62 In the successional process of BSC, the microbial composition and community structure change
63 greatly (Hu and Liu, 2003; Zhang et al., 2009). Crust succession is positively correlated with
64 phospholipid fatty acid content and microbial biomass (Liu et al., 2013). The microbial biomass of
65 soils is the most important driving force in most terrestrial ecosystems, largely due to control of
66 conversion rates and mineralization of organic matter (Albiach et al., 2000; Baldrian et al., 2010).

67 Bacteria have a highest proportion of the microbial biomass in BSC (Bates et al., 2010; Green
68 et al., 2008; Gundlapally and Garcia-Pichel, 2006; Maier et al., 2014; Wang et al., 2015), and thus
69 have important roles in the successional process of BSC. They can decompose organic material and
70 release nutrients, mediating geochemical processes necessary for ecosystem functioning in the
71 persistence of BSC (Balsler and Firestone, 2005). Species composition and community structure of
72 bacteria change greatly during the successional process of BSC (Gundlapally et al., 2006; Moquin
73 et al., 2012; Zhang et al., 2016). Most research on prokaryotic diversity of BSC has focused on
74 cyanobacteria-dominated biocrusts in arid and semi-arid regions (Abed et al., 2010; Garcia-Pichel
75 et al., 2001; Nagy et al., 2005; Steven et al., 2013; Yeager et al., 2004). Recent studies of the
76 bacterial community structure of bryophyte- or lichen-dominated crusts indicate that lichen-
77 associated communities encompass a wide taxonomic diversity of bacteria (Bates et al., 2011;
78 Cardinale et al., 2008; Maier et al., 2014). Heterotrophic bacteria may perform a variety of roles
79 such as nutrient mobilization and nitrogen (N) fixation and could be of considerable importance for
80 the stability of lichen-dominated soil communities. However, there have been few studies on
81 changes of bacterial diversity and their function in BSC during the development process in desert
82 zones, and these only in the Sonoran (Nagy et al., 2005) and Gurbantunggut Deserts (Zhang et al.,
83 2016). What changes occur in bacterial community composition and their potential roles in
84 improving soil properties in different successional stages of BSC? What is the significance of these
85 changes on BSC succession in the recovery process of desert revegetation in temperate zones?

86 Bowker (2007) examines the role of BSC in primary succession (vs secondary succession)
87 where their role may exist during a time when resources are made available (e.g. light). However,

88 they fade into the background once higher vegetation takes over. On the other hand, in some
89 environments of high abiotic stress (e.g. deserts), BSC play a role in succession yet exist as a
90 permanent component. Bowker's review and discussion is supported by work carried out in southern
91 Africa (Büdel et al., 2009) where different successional BSC are described. Büdel et al. (2009) also
92 describes in detail crust types that were representative of successional stages. Castillo-Monroy et al.
93 (2011) showed few BSC effects on ecosystem function could be ascribed to bacteria.

94 A recent study on crusts in the Tengger Desert, China, showed that bacterial diversity and
95 richness were highest after 15 years, and at least 15 years might be needed for recovery of bacterial
96 abundance of BSC (Liu et al., 2017). To better understand these questions, we must analyze in detail
97 the bacterial community composition of BSC at all levels of classification and their corresponding
98 function in the recovery process of BSC. In the present study, bacterial community composition and
99 potential function were analyzed in BSC along a chronosequence of over 50-year-old revegetation.
100 We want to know: what are the drivers of bacterial composition over time? What are the micro-
101 processes that drive bacterial composition and function? Do bacteria drive changes to soil
102 physicochemical properties or alternatively do the larger BSC organisms drive these changes which
103 in turn has a direct influence on bacterial composition and function?

104 **2 Materials and methods**

105 **2.1 Study site description**

106 The study site is located at Shapotou, southeast fringe of the Tengger Desert, northwest China.
107 The natural landscape is characterized by the reticulated chains of barchan dunes with a vegetation
108 cover of less than 1%. The mean annual precipitation is about 180 mm with large seasonal and inter-
109 annual variation. The mean wind speed is 3.5 m/s, and the average days with dust events are 122 d
110 per year. The revegetation protection system for Bao-Lan railway in this area was established
111 initially in 1956, and was expanded in 1964, 1973, 1981 and later through the plantation of the
112 xerophilous shrubs. This unirrigated revegetation system works quite well to protect the railroad
113 line from sand bury and dust hazard during past sixty years. Also, the experimental plots of less
114 than one hectare were established with the same plantation techniques by the Shapotou desert
115 research and experiment station in 1987, 2000, and 2010 in the nearby sand dunes. These fixed sand
116 areas provide an ideal temporal succession sequence for studying the variation of environmental

117 factors. As mentioned in other literature, the initial state of BSC began to form following the
118 stabilization of sand dunes and developed with the colonization of cryptogam (Liu, et al, 2006). The
119 BSC could be divided into four types, such as physical crusts, algal-dominated, lichen-dominated
120 and moss-dominated crusts. In this study, we selected the whole BSC layer from the revegetation
121 established in 1964, 1981, 1987, 2000 and 2010, and non-fixed mobile sand (MS) as the control
122 (Figure 1). BSC were sampled in early November 2015, and named according to the fixed-sand time
123 as 51YR (51 years of revegetation), 34YR, 28YR, 15YR, 5YR and MS, respectively. The main
124 types of BSC were algae–lichen- and moss-dominated crusts from 15YR to 51YR.

125 **2.2 BSC sampling**

126 The detailed sampling method were shown in Figure 1C, and BSC were sampled individually
127 using a sterile trowel. To decrease spatial heterogeneity, each BSC sample was taken from six
128 individual plots (at least 20 m between two adjacent plots) from each revegetation time. Therefore,
129 we obtained 30 BSC samples in total (5 cores × 6 individual plots) and these were mixed together
130 to form one composite BSC sample. Triplicate composite samples for each revegetation time were
131 collected and the BSC samples were preserved in an ice box. Samples were then taken back to the
132 laboratory, immediately sieved (by 1 mm) to remove stones and plant roots, homogenized
133 thoroughly and stored at –70 °C for subsequent analyses.

134 **2.3 DNA extraction and Illumina MiSeq sequencing**

135 Microbial DNA was extracted from BSC samples using E.Z.N.A Soil DNA (Omega Bio-tek,
136 Norcross, GA, U.S.) according to the manufacturer’s protocols. The extracted DNA was diluted in
137 TE buffer (10 mM Tris–HCl and 1 mM EDTA at pH 8.0) and stored at –20 °C until use. An aliquot
138 of the extracted DNA from each sample was used as a template for amplification. The bacteria 16S
139 ribosomal RNA gene was amplified by PCR (95 °C for 3 min, followed by 25 cycles at 95 °C for
140 30 s, 55 °C for 30 s and 72 °C for 45 s, and a final extension at 72 °C for 10 min) using primers
141 338F (5'-ACTCCTACGGGAGGCAGCA-3') and 806R (5'-GGACTACHVGGGTWTCTAAT-3').
142 PCRs were performed in triplicate 20-μL mixture containing 2 μL of 5 × FastPfu Buffer, 2 μL of
143 2.5 mM dNTPs, 0.8 μL of each primer (5 μM), 0.2 μL of FastPfu Polymerase and 10 ng of template
144 DNA. This was conducted according to Wang et al. (2015). Amplicons were extracted from 2%
145 agarose gels and purified using the AxyPrep DNA Gel Extraction Kit (Axygen Biosciences, Union

146 City, CA, USA) according to the manufacturer's instructions and quantified using QuantiFluor™ -
147 ST (Promega Corporation, Madison, WI, USA).

148 Purified amplicons were pooled in equimolar and paired-end sequenced (2×300) on an Illumina
149 MiSeq platform according to the standard protocols at Majorbio Bio-Pharm Technology Co. Ltd.,
150 Shanghai, China (<http://www.majorbio.com>). The raw reads were deposited in the NCBI Sequence
151 Read Archive database (Accession number: SRP091312).

152 **2.4 Quantitative real-time PCR (qPCR)**

153 qPCR was performed to determine the absolute 16S rRNA gene abundance. We used the primer
154 sets of 515F (5'-GTGCCAGCMGCCGCGGTAA-3') and 806R to quantify the total bacterial
155 populations. The standard templates were made from 10-fold dilutions of linearized plasmids
156 containing the gene fragment of interest that was cloned from amplified pure culture DNA. The 20
157 μL reaction mixtures contained 10 μL of $2 \times$ SYBR Mix (with ROX) (DBI Bioscience,
158 Ludwigshafen, Germany), 0.4 μL each of 10 μM forward and reverse primers, 1 μL of total DNA
159 template (1 ng/ μL) and 8.2 μL of RNase-free ddH₂O. The reaction was conducted on a Stratagene
160 Mx3000P Real-time PCR system (Stratagene, Agilent Technologies Inc., Santa Clara, CA, USA)
161 using the following program: 94 °C for 3 min followed by 40 cycles of 94 °C for 30 s, 58 °C for 30
162 s and 72 °C for 30 s, then 72 °C for 2 min. The detection signal was collected at 72 °C for 30 s and
163 analyzed. The melting curve was obtained to confirm that the amplified products were of the
164 appropriate size. For each soil sample, the qPCRs were repeated six times.

165 **2.5 Processing of sequencing data**

166 Raw fastq files were demultiplexed, quality-filtered using QIIME (version 1.17) with the
167 following criteria: (i) The 300-bp reads were truncated at any site receiving an average quality score
168 < 20 over a 50-bp sliding window, discarding the truncated reads shorter than 50 bp; (ii) exact
169 barcode matching, two nucleotide mismatch in primer matching, reads containing ambiguous
170 characters were removed and (iii) only sequences that overlapped > 10 bp were assembled according
171 to their overlap sequence. Reads that could not be assembled were discarded.

172 Operational taxonomic units (OTUs) were clustered with 97% similarity cut-off using UPARSE
173 (version 7.1 <http://drive5.com/uparse/>) and chimeric sequences were identified and removed using
174 UCHIME. The taxonomy of each 16S rRNA gene sequence was analyzed by RDP Classifier

175 (<http://rdp.cme.msu.edu/>) against the SILVA (SSU115) 16S rRNA database using a confidence
176 threshold of 70%. Hierarchical clustering analysis was performed using CLUSTER and visualized
177 using TREEVIEW, and other statistical analyses were performed with the IEG pipeline
178 (<http://ieg.ou.edu>). The average data were calculated for BSC of each revegetation before analyzing
179 the unique and shared OTUs/genera. The figures were generated with OriginPro 9.1 and Excel 2013.
180 Alpha-diversity analysis was used to reflect the richness and diversity of microbial communities. In
181 order to investigate the overall differences in community composition among the samples, principal
182 component analysis (PCA) was performed using unweighted UniFrac distance (Lozupone and
183 Knight, 2005). Redundancy analysis (RDA) was used to assess the relationship between bacterial
184 compositions of BSC and top soil physicochemical properties by permutation test analysis (Zhang
185 et al., 2016). Phylogenetic analysis of the top abundance genus were aligned with closely related
186 16S rRNA gene sequences, previously selected according to initial BLAST analyses and
187 downloaded from the NCBI website (<http://www.ncbi.nlm.nih.gov>), using CLUSTAL W
188 (Gundlapally and Garcia-Pichel, 2006). Phylogenetic trees were constructed using approximately-
189 maximum-likelihood routine by FastTree (version 2.1.3 <http://www.microbesonline.org/fasttree/>).

190 **3 Results**

191 **3.1 Overview of sequencing and bacterial diversity**

192 Illumina MiSeq sequencing was used to assess the bacterial community composition and
193 diversity of BSC in successional stages for revegetation in Shapotou. Total 18 libraries of bacterial
194 16S rRNA were constructed, at least 37,332 effective sequences in each sample were obtained, and
195 an average length of 437 bp. 1197–2307 OTUs were generated using a threshold of 0.97 (Table S1).
196 394 OTUs were shared and occupied a relatively high proportion among all samples (17.07–32.92%)
197 (Table S2), and these OTUs accounted for 41.96–84.88% of the total sequences (Table S2). This
198 indicated a high coherence of community among these soil crusts. Alpha-diversity analysis revealed
199 the microbial richness and diversity. Rarefaction curves showed that the most bacterial OTUs were
200 found in 51YR crust, whereas MS contained the fewest. The number of OTUs was almost the same
201 from 15YR to 51YR (Figure 2). Community richness estimation using ACE and Chao revealed a
202 similar trend to that for community diversity, which was further supported by Shannon's indexes
203 (Table S1). Hierarchical clustering analysis (Figure 3 A) and PCA (Figure 3 B) showed that the

204 triplicate samples of each age of BSC were clustered, verifying that the sequencing results were
205 reliable and the samples were reproducible.

206 **3.2 Bacterial community composition at high taxonomic levels**

207 In the bacterial community, a total of 28 phyla were retrieved at genetic distances of 3%, and
208 they clustered into four groups according to their relative abundance (Figure 4). Of the total
209 sequences, 4.48% were not classified at the phylum level. The percentages of major phyla for each
210 age of BSC are shown in Figure 5. The most abundant phylum shifted from Firmicutes (72.8%) in
211 MS and 5YR to Actinobacteria in BSC (minimum 27.4% in 15YR and maximum 30.7% in 51YR).
212 The following major phyla were at high abundance (> 10% of total OTUs): Proteobacteria,
213 Chloroflexi, Acidobacteria and Cyanobacteria. The low-abundance phyla (1% < of total OTUs <
214 10%) were Gemmatimonadetes, Bacteroidetes, Armatimonadetes, Verrucomicrobia and
215 Deinococcus-Thermus. The percentages of Proteobacteria, Chloroflexi and Acidobacteria were
216 nearly the same after 15 years of development of BSC. Cyanobacteria, in addition to the high
217 proportion for 15YR (16.13%), also had a high proportion in 51YR (9.32%). The other 17 phyla
218 were all < 1% of total OTUs and so were removed from further analysis.

219 At the class level (Table 1), 95.61% of sequences were assigned, and there was considerable
220 consistency in dominant classes among the crusts. Bacilli was the largest class in MS and 5YR with
221 sequence percentages of 68.73 and 32.62%, respectively; and Actinobacteria was the predominant
222 class from 15YR to 51YR. In addition to subdivisions of Proteobacteria, other major classes
223 included Acidobacteria, Cyanobacteria, Chloroflexi, Clostridia, Cytophagia, Deinococci,
224 Gemmatimonadetes, Ktedonobacteria, Sphingobacteria and Thermomicrobia. The percentages of
225 high (> 10% of total OTUs) and low abundance (1% < of total OTUs <10%) classes decreased from
226 98% in MS to 89.29% in 51YR, and minor and unclassified classes increased from 1.96% in MS to
227 10.67% in 51YR.

228 At the family level, there were 133 identified families (data not shown), with the most abundant
229 families being Bacillaceae, Enterococcaceae and Streptococcaceae (Table S3). Other dominant
230 families were Geodermatophilaceae, JG34-KF-161, JG34-KF-361, Methylobacteriaceae,
231 Micromonosporaceae, Bradyrhizobiaceae and Enterobacteriaceae.

232 **3.3 Characterization of major genera and species**

233 A large proportion of sequences were not assigned to any genera. Even for genera with relative
234 abundance > 1% in any samples, unclassified sequences occupied a high proportion (4.87–8.59%).
235 Moreover, higher percentages of total sequences (from 13.51% in MS to 37.28% in 51YR) were
236 found in low-abundance genera (< 1% in any samples) (Table S4). A total of 460 genera were found
237 in the crusts, of which 201 were shared by all BSC samples (data not shown). The major genera in
238 each age of BSC are summarized in Figure 6. *Bacillus*, *Enterococcus* and *Lactococcus* were the
239 primary genera and represented 64.31% of the total sequences in MS, and decreased to 30.20% in
240 5YR and only 2.63% in 51YR, indicating that these three genera were predominant in mobile sand
241 or physical crusts. Enterobacteriaceae_unclassified and *Alkaliphilus* were low-abundance genera in
242 MS. With the decrease in the three primary genera from MS to 51YR, a series of genera increased
243 in BSC compared with MS and 5YR, including RB41_norank, JG34-KF-361_norank,
244 Acidimicrobiales_uncultured, JG34-KF-161_norank, JG30-KF-CM45_norank, *Microvirga*,
245 Actinobacteria_norank and *Rubrobacter* (relative abundance > 2%).

246 The phylogenetic relationships of the 30 most abundant genera are shown in Figure 7. They
247 clustered into three groups at the phylum level: Actinobacteria formed one group and included 10
248 genera; another group was Firmicutes and Proteobacteria; and Cyanobacteria, Chloroflexi and
249 Deinococcus-Thermus formed the third group. The genera *Bryobacter* and *Blastocatella* in phylum
250 Acidobacteria were divided into two different groups.

251 *Bacillus* was the primary genus and represented 31% sequences in MS (Table S4). An
252 unclassified species in this genus reached nearly 30% relative abundance in MS (Figure 8). In the
253 *Enterococcus* genus, another core component, there was also an unclassified species with high
254 abundance. In the core species (Figure 8), *Bacillus_unclassified*, *Enterococcus_unclassified*,
255 *Lactococcus_piscium*, Enterobacteriaceae_unclassified and *Alkaliphilus_oremlandii_OhILAs* were
256 predominant and decreased from MS to 51YR; only *Acidimicrobiales_unclassified* increased, and
257 represented the highest proportion in 51YR (2.62%). The relative abundance of the primitive species
258 in MS and physical crusts decreased in BSC (from 15YR to 51YR) because of the increased numbers
259 of species. There was little difference in numbers of genera and species among biocrusts (from
260 15YR to 51YR), only in sequence numbers.

261 **3.4 Relationships between bacterial community structure and soil**

262 **physicochemical properties**

263 RDA (Figure 9) and hierarchical clustering analysis (Figure 3) were used to discern the
264 correlations between bacterial communities and soil physicochemical properties. Taking into
265 account the likely changes in the soil properties from samples with the same successional stages
266 in the same experimental site, we selected soil biogeochemical data collected from 2005 in the RDA
267 (data from Li et al., 2007a; Table S5). The BSC grouping patterns of bacterial communities at the
268 phylum and genus levels were similar to the OTU level, with all divided into two groups. Group I
269 contained two members, MS and 5YR, which dominated the physical crusts and algal crusts (Figure
270 1 A and B), and had the lowest diversities with Shannon indexes of 3.3 and 4.61, and Simpson
271 indexes of 0.139 and 0.0531, respectively (Table S1). The remaining BSC comprised the largest
272 branch of Group II, which dominated BSC composed of algae, lichens or mosses (Figure 1 C–F),
273 and had higher diversity with Shannon indexes > 6.0 (Table S1).

274 From Figure 9, it can be inferred that BSC development was associated with soil
275 physicochemical properties. The development of microbial community structure was positively
276 correlated with the physicochemical index except for soil bulk density. The total variation in OTU
277 data explained by the first four axes in the RDA (as constrained by the measured environmental
278 variables) was 82.16%, with the first axis explaining 75.27% and the second axis explaining 4.42%.
279 Of all the environmental factors, silt+clay content and total K were most strongly related to axis 1,
280 with highest correlated variable (silt+clay: -0.91; total K:-0.90). Therefore, silt+clay content and
281 total K were closely related to bacterial community development of BSC, shown by the positions
282 of cluster groups along axis 1. Eight soil physicochemical variables were all significant verified by
283 the permutation test analysis ($P < 0.05$): pH; silt and clay content; organic C; total phosphorus (P),
284 nitrogen (N) and potassium (K); electrical conductivity (EC) and water-holding capacity (WHC).

285 **3.5 Quantification of bacterial abundance**

286 The averaged bacterial abundance in MS was 1.12×10^6 copies (16S rRNA gene) per gram of
287 soil (Table 2). Similar to the shift of bacterial richness, gene copies increased quickly in the initial
288 15 years of BSC development, and reached the approximate highest level of 2.70×10^8 copies in
289 15YR. There were no significant differences among 28YR, 34YR and 51YR.

290 **4 Discussion**

291 On a landscape scale and in high stress environments, the role of diversity hot spots of BSC
292 microbes is crucial to establishing stability, regulating moisture and nutrient cycling (Bowker, 2007).
293 Additionally, bacteria are the conduits between the larger BSC organisms and plants facilitating
294 micro-processes (Castillo-Monroy et al., 2011), and thus bacteria as key contributors to the BSC
295 primary succession process and no doubt in terms of secondary succession as well.

296 **4.1 Impact of BSC age on bacterial community composition**

297 In the present study, we gained information concerning the diversity of bacterial communities
298 in BSC of different ages in restored vegetation at Shapotou in the Tengger Desert. The 16S rRNA
299 gene-based amplicon survey revealed the dominance of Actinobacteria, Proteobacteria, Chloroflexi,
300 Acidobacteria and Cyanobacteria in all BSC, with Firmicutes dominating MS (72.8%) and
301 decreasing to 3.05% in 51YR, and Actinobacteria increasing from 15YR (27.4%) to 51YR (30.7%).
302 Due to different arid conditions, comparisons with other studies of BSC should be viewed with
303 caution. Cyanobacteria, Actinobacteria, Proteobacteria and Acidobacteria are ubiquitous in soils
304 and sediments everywhere, in arid as well as wet landscapes (Fierer et al. 2012), and Proteobacteria
305 are very common and diverse among all BSC. We observed that Actinobacteria were the most
306 abundant phylum in the developing (15YR, 28YR and 34YR) and relatively developed (51YR) BSC,
307 similar to BSC from the Colorado Plateau and the Sonoran Desert, where Actinobacteria were
308 dominant (Gundlapally and Garcia-Pichel 2006; Nagy et al. 2005; Steven et al. 2013).
309 Actinobacteria and Proteobacteria are usually predicted to be copiotrophic groups which increase
310 in high C environments (Fierer et al., 2007). These results differ from those reported in BSC from
311 Oman and the Gurbantunggut Desert (Abed et al. 2010; Moquin et al., 2012; Zhang et al., 2016),
312 and even from BSC of natural vegetation at the edge of the Tengger Desert (Wang et al., 2015),
313 where Proteobacteria were the most abundant phylum followed by Cyanobacteria, Actinobacteria
314 and Chloroflexi. Unexpectedly, Cyanobacteria had a high proportion in the developed BSC,
315 although they were prevalent in early successional stages of BSC (5YR) and play crucial roles in
316 initial crust development (Belnap and Lange, 2001). This is relatively similar to that in the natural
317 habitat around the Tengger Desert, where Cyanobacteria (19.5%) and Actinobacteria (19.4%) were
318 the most dominant phyla after Proteobacteria (25.0%). Moreover, the results did not resemble those

319 from arid Arizona soils (Dunbar et al., 1999) or the Gurbantunggut Desert (Zhang et al., 2016) due
320 to the high proportion of Chlorflexi, an unexplained presence of thermophilic phyla (Gundlapally
321 and Garcia-Pichel, 2006; Moquin et al., 2012; Nagy et al., 2005) that display good adaptation to
322 drought conditions together with important roles in the development of BSC in arid zones (Lacap
323 et al., 2011; Wang et al., 2015).

324 **4.2 Function of BSC bacteria**

325 More recent information about BSC bacteria has been reported with the convenience of culture-
326 independent sequencing methods, and studies of their function and classification in BSC are
327 increasingly detailed. The main function of these dominant bacteria involves the cycling and storage
328 of C and N in desert ecosystems, which is vital to functioning of arid land (Weber et al., 2016).
329 Firmicutes are more frequently detected in below-biocrust soils (1–2 cm depth) (Elliott et al., 2014)
330 and dominated in MS and 5YR, with the vast majority of abundant species being in Firmicutes in
331 the Tengger Desert. Cyanobacteria are the main contributors to C and N fixation in soils during
332 successional processes of BSC (Belnap and Gardner, 1993). They are thought to serve as pioneers
333 in the stabilization process of soils (Garcia-Pichel and Wojciechowski 2009), of which genus
334 *Phormidium* is significantly more abundant in surface soils (0–1 cm depth), and genus *Microcoleus*
335 is globally dominant as biocrust-forming microorganisms in most arid lands and their production of
336 polysaccharide sheaths aids in formation of cm-long filament bundles (Belnap and Lange 2003;
337 Boyer et al. 2002; Garcia-Pichel et al. 2001; Pointing and Belnap 2012). In addition to the
338 filamentous bacteria of *Microcoleus* and *Phormidium*, *Mastigocladopsis* and *Trichocoleus* were
339 also in the 30 most abundant genera of BSC in Shapotou, and mainly harvest energy from light.
340 *Pseudonocardia*, a mycelial genus of Actinobacteria, were dominant and are likely important during
341 BSC formation (Weber et al., 2016). Proteobacteria and Bacteroidetes can produce
342 exopolysaccharides, so they could also play roles in soil stabilization and BSC formation
343 (Gundlapally and Garcia-Pichel 2006).

344 Owing to limited culture collections and curated sequence databases of BSC bacteria, most non-
345 cyanobacterial sequences from DNA-based bacterial surveys cannot be reliably named or
346 taxonomically defined, especially in relatively abundant genera in Actinobacteria and
347 Proteobacteria, such as *Bosea*, *Microvirga*, *Rubellimicrobium*, *Patulibacter*, *Solirubrobacter*,

348 *Blastococcus* and *Arthrobacter* in the present study. Different compositions of bacterial community
349 play various roles in improving soil properties in different successional stages of BSC, suggesting
350 their positive potential function in soil biogeochemical cycle and ecosystem process. Further
351 discovery and characterization of the functions of these dryland-adapted bacteria is a challenging
352 area for future study.

353 **4.3 Relationship between bacterial community shift and soil physicochemical** 354 **properties**

355 PCA and RDA showed that bacterial community compositions of MS and 5YR significantly
356 differed from those of BSC of more than 15 years in age, and were positively correlated with soil
357 physicochemical properties. Combined with the results of alpha-diversity analysis and qPCR, this
358 means that the species richness and abundance reached their highest levels at 15 years of BSC
359 development and then maintained similar levels thereafter. Similar trends were found in recovery
360 of soil properties and processes after sand-binding at five different-aged revegetated sites –
361 proportions of silt and clay, organic C increased with years since revegetation (Li et al., 2007a, b).
362 The annual recovery rates of soil properties were greater in the initial revegetated sites (0–14 years)
363 than that in the old revegetated sites (43–50 years) (Li et al., 2007a). These results suggest that
364 bacterial communities of BSC recovered quickly in the fastest recovery phase of soil properties (the
365 initial 15 years), and the bacterial biomass increased with the improvement of soil texture and
366 nutrients, especially silt, clay and total K content in the Tengger Desert. A significant positive
367 correlation was found between silt and clay and the number of BSC types in southern Africa (Büdel
368 et al., 2009), suggesting that fine grain-size promotes BSC succession and their biomass content.
369 This may be attributed to the diversity of BSC, vegetation composition, soil temperature and soil
370 moisture. Because they are key factors regulating soil microbial composition and activity
371 (Butenschoen et al., 2011; De Deyn et al., 2009; Sardans et al., 2008), soil nutrient uptake and
372 release (Peterjohn et al., 1994; Rustad et al., 2001), especially in the BSC of top soil. It would be
373 good to understand more of the factors that together influenced the composition and function of
374 BSC bacteria in long-term revegetation, including BSC, plant, soil biochemical properties and
375 climate conditions, and the microorganisms in turn have the positive influence on soil improvement
376 (Li et al., 2007b, 2010).

377 Many reports have interpreted correlations among soil properties and BSC as an indicator
378 that BSC are drivers of soil fertility and development (Chamizo et al., 2012; Delgado-Baquerizo
379 2013; Yu et al., 2014; Zhang et al., 2010), some have reported the opposite and suggest a direct
380 influence of soil properties on BSC development (Bowker et al., 2006, Rivera-Aquilar et al.,
381 2009, Bowker and Belnap 2008, Root and McCune 2012, Concostrina-Zubiri et al., 2013,
382 Belnap et al., 2014, Weber et al., 2016). These are important questions and parsing out the
383 interactions of BSC and soil biogeochemical properties remains an important frontier in BSC
384 research. However, further work to identify controlled experimental approaches are required to
385 answer this question as field correlations leave us wondering about the directionality of controls
386 over time.

387 **4.4 The role of BSC to succession**

388 In temperate desert regions, BSC are not well investigated regarding community structure and
389 diversity. Furthermore, studies on succession are rare (Langhans et al., 2009). Most evidence
390 indicates that BSC facilitate succession to later seres, suggesting that assisted recovery of BSC could
391 speed up succession (Bowker, 2007). Because BSC are ecosystem engineers in high abiotic stress
392 systems, loss of BSC may be synonymous with crossing degradation thresholds. Whether or not
393 BSC are deemed facilitative or inhibitory for later successional vegetation may depend on how
394 exhaustively the interaction between plants and BSC. On fixed-sand areas, BSC may in some cases
395 reduced infiltration (inhibitory effect) (Mitchell et al., 1998), but they also increased soil stability
396 and served as an N source for surviving and recolonizing trees (facilitative effects) (Uchida et al.,
397 2000; Tatenno et al., 2003). BSC bacterial communities recovered in the successional stages may
398 help establishing stability, regulating nutrient and biogeochemical cycling. Castillo-Monroy et al.
399 (2011) found that BSC richness matrix has the greatest direct effect on the ecosystem function
400 matrix. Despite this result, very few of the BSC effects on ecosystem function could be ascribed to
401 changes within the bacterial community. It provides valuable insights on semi-arid ecosystems
402 where plant cover is spatially discontinuous and ecosystem function in plant interspaces is regulated
403 largely by BSCs.

404 **5 Conclusions**

405 Illumina MiSeq sequencing showed that changes of BSC bacterial diversity and richness in BSC

406 succession were consistent with the recovery phase of soil properties in vegetation succession of
407 Shapotou in the Tengger Desert. The shift of bacterial community composition in BSC at all levels
408 of classification was related to their corresponding function in the BSC recovery process. BSC
409 bacteria are crucial to establish stability and nutrient cycling in desert ecosystem, and are the
410 conduits between the larger BSC organisms and plants facilitating micro-processes. These results
411 confirmed our hypothesis that bacteria as key contributors to the BSC succession process.

412

413 **Data availability.** Raw data for Illumina MiSeq sequencing of 18 samples was deposited in the
414 NCBI Sequence Read Archive database (<https://www.ncbi.nlm.nih.gov/sra/?term=SRP091312>).

415

416 **Author contributions.** Lichao Liu and Yubing Liu designed the research. Peng Zhang, Guang Song
417 and Rong Hui collected samples from the field. Yubing Liu and Jin Wang performed DNA
418 extraction and quality detection. Yubing Liu analyzed the high-throughput data and prepared the
419 manuscript with consistent contributions from Lichao Liu. Zengru Wang analyzed the soil
420 biogeochemical data and made the RDA figure.

421

422 **Competing interests.** The authors declare that they have no conflict of interest.

423

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427

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616 **Table 1.** Percentages of the major classes in each age of BSC. MS, 5YR, 15YR, 28YR, 34YR and 51YR represent
 617 mobile sand, 5, 15, 28, 34 and 51-year-old BSC, respectively.

Dominant	MS	5YR	15YR	28YR	34YR	51YR
Bacilli	68.73281	32.6217	10.87003	18.88014	14.65767	2.809922
Actinobacteria	10.25572	17.22651	27.36705	28.34208	29.31533	30.65824
Alphaproteobacteria	4.058181	12.26026	19.93375	16.30594	18.98282	21.11772
Acidobacteria	1.404514	2.372406	11.75488	8.32619	7.703847	9.022644
Chloroflexia	0.886639	2.423301	4.006393	2.962606	3.367977	3.857281
Cyanobacteria	0.112504	16.13272	3.943891	2.275974	2.367049	9.32444
Clostridia	4.091218	1.661666	0.517876	1.017893	0.704489	0.15447
Cytophagia	0.265188	1.223258	0.93039	0.739312	1.022358	1.579521
Deinococci	0.048216	1.255402	0.342869	0.372335	0.249116	0.20715
Deltaproteobacteria	0.447337	0.740205	1.150934	0.993785	1.087539	1.255402
Gammaproteobacteria	5.715383	2.632237	1.011643	1.890246	1.417015	0.425908
Gemmatimonadetes	0.645559	2.400979	2.406336	2.646523	2.75992	2.40455
Ktedonobacteria	0.053573	0.113397	1.75542	1.121469	2.072395	1.657202
Sphingobacteriia	0.262509	0.666095	1.200043	0.897353	0.995571	0.889317
Thermomicrobia	0.449123	1.351834	3.24208	3.414408	3.008143	2.810815
Betaproteobacteria	0.572342	0.789314	0.939319	1.021465	1.073253	1.11254
Minor	0.018688	0.039555	0.080851	0.08194	0.081753	0.085887
Unclassified	0.000911	0.00142	0.005018	0.005822	0.009866	0.02084

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620 **Table 2.** Absolute abundances of bacteria (copies of ribosomal genes per gram of soil) in BSC quantified by qPCR
 621 (means \pm standard deviation, n = 6). MS, 5YR, 15YR, 28YR, 34YR and 51YR represent mobile sand, 5, 15, 28, 34
 622 and 51-year-old BSC, respectively.

Dominant	MS	5YR	15YR	28YR	34YR	51YR
Bacteria abundance	$1.12 \times 10^6 \pm$	$3.94 \times 10^7 \pm$	$2.70 \times 10^8 \pm$	$5.44 \times 10^8 \pm$	$7.61 \times 10^8 \pm$	$9.03 \times 10^8 \pm$
	4.19×10^5 a	2.21×10^6 b	1.91×10^7 c	4.23×10^7 c	8.5×10^7 c	2.55×10^7 c

623 Means with different letters are significantly different ($P < 0.05$).

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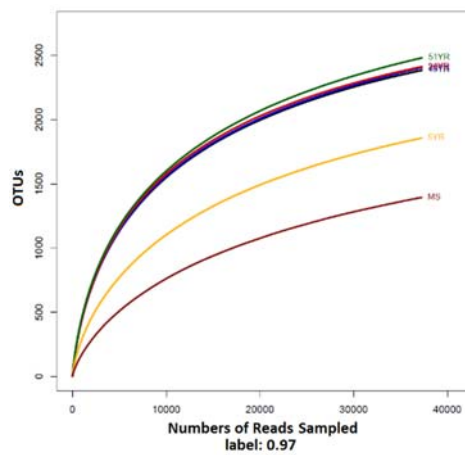
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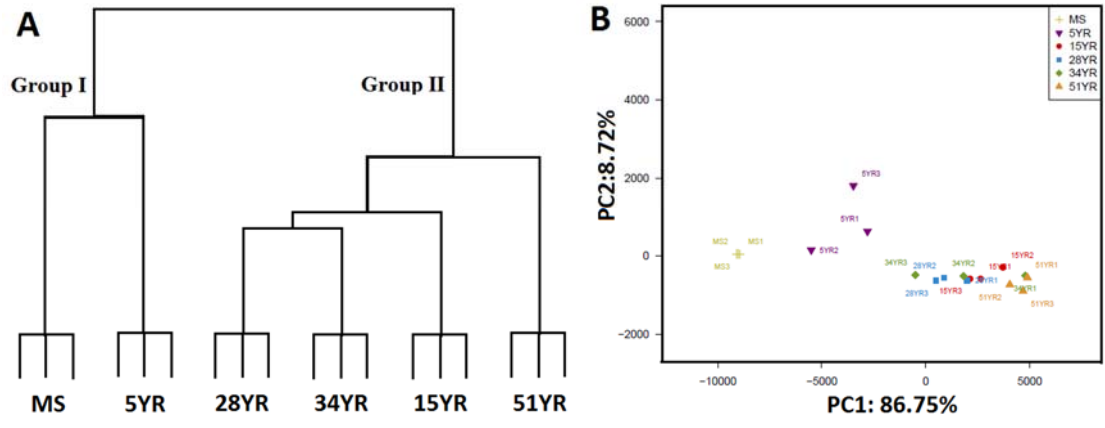
632 **Figure 1.** Sand dune landscape before (MS, A) and after establishing sand-binding vegetation with physical crusts
 633 dominated by few algae, revegetated in 2010 (5YR, B); with BSC dominated by algae and lichens, revegetated in
 634 2000 (15YR, C); with BSC dominated by lichens and few mosses, revegetated in 1987 (28YR, D); with BSC
 635 dominated by few lichens and mosses, revegetated in 1981 (34YR, E); and with BSC dominated by mosses,
 636 revegetated in 1964 (51YR, F). Five soil cores (3.5-cm diameter) with crust layers from four vertices of a square
 637 (20-m length) and a diagonal crossing point in each plot were sampled individually (as shown in C).

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640 **Figure 2.** Rarefaction results of the 16S rDNA libraries based on 97% similarity in different age of BSC. MS, 5YR,
 641 15YR, 28YR, 34YR and 51YR represent mobile sand, 5-, 15-, 28-, 34- and 51-year-old BSC, respectively.



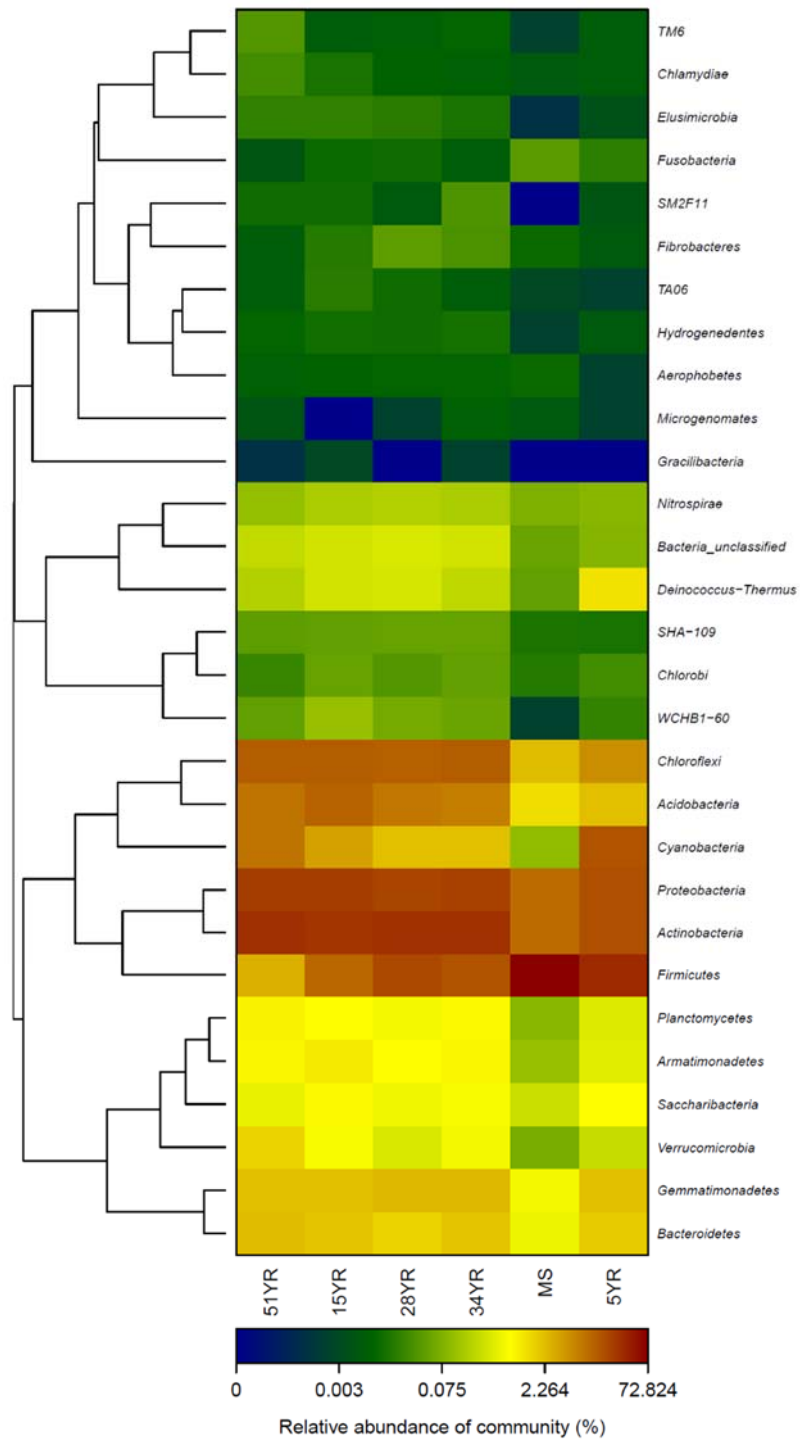
642

643 **Figure 3.** Hierarchical clustering analysis and PCA of bacterial communities in six different ages of BSC at OTU

644 level based on 97% similarity (triplicate samples for each age). MS, 5YR, 15YR, 28YR, 34YR and 51YR represent

645 mobile sand, 5-, 15-, 28-, 34- and 51-year-old BSC, respectively.

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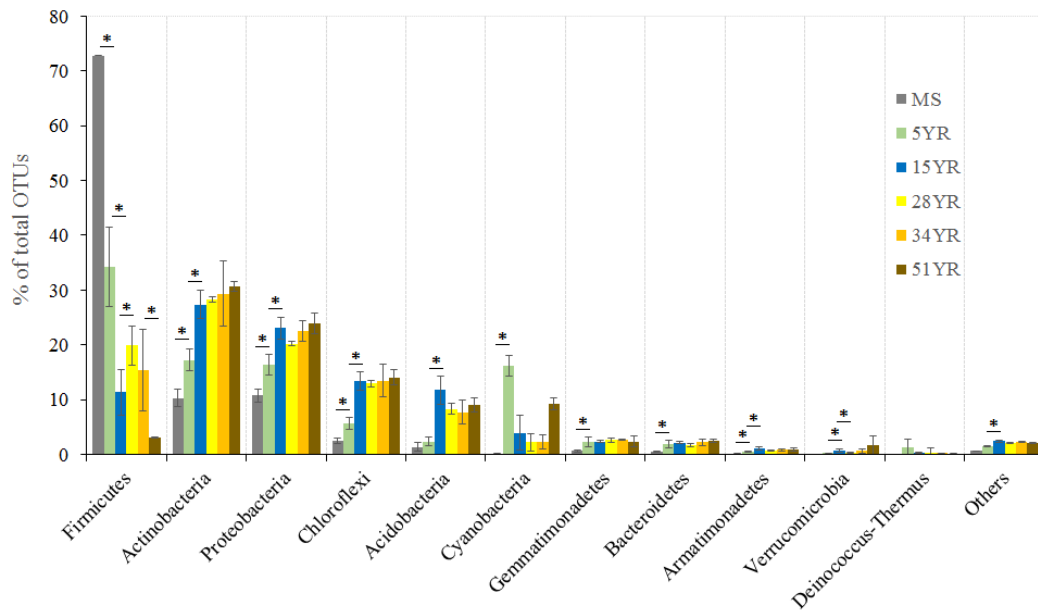


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648 **Figure 4.** Heatmap of bacterial communities in different ages of BSC at phylum level. MS, 5YR, 15YR, 28YR,

649 34YR and 51YR represent mobile sand, 5-, 15-, 28-, 34- and 51-year-old BSC, respectively.

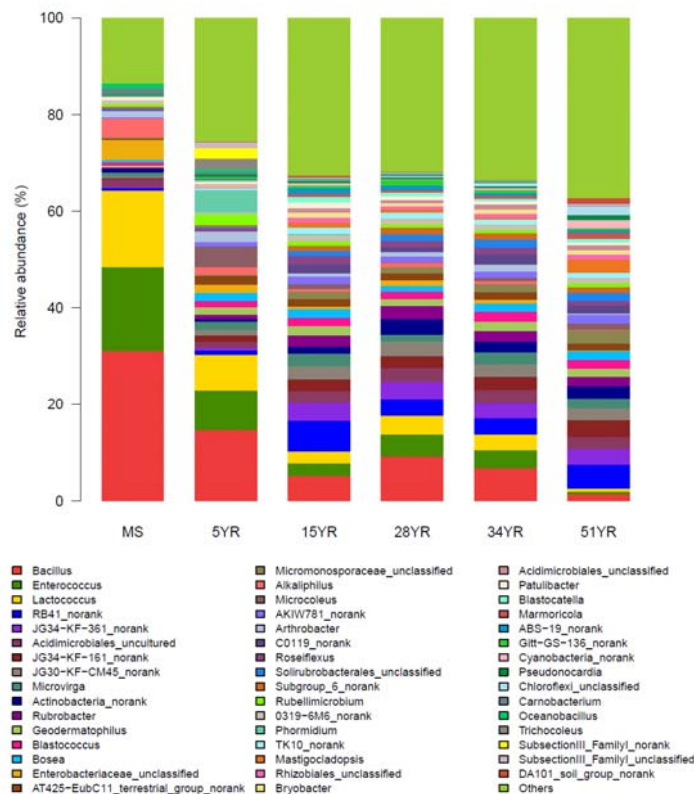
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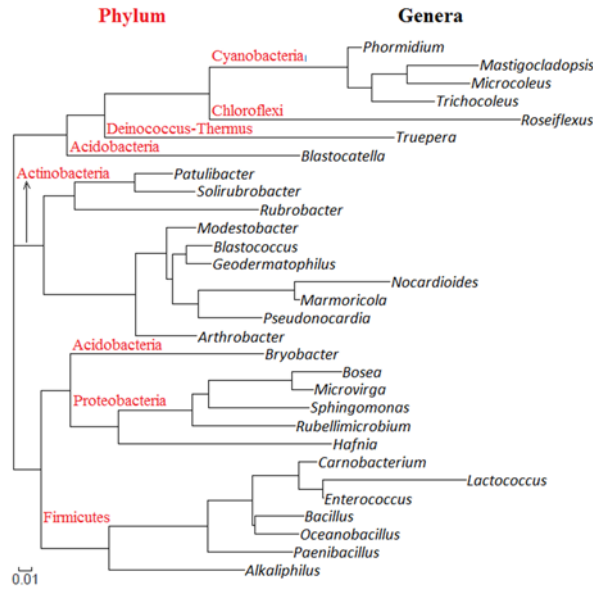
652 **Figure 5.** Abundant phyla (> 10% of total OTUs) and low-abundance phyla (1% < of total OTUs < 10%) of bacteria
 653 distributed in different ages of BSC. Data are defined at a 3% OTU genetic distance. Data are presented as mean ±
 654 standard deviation; n = 3 per BSC sample. Paired t-test (BSC samples) was used to assess the significance between
 655 adjacent ages of BSC. *P ≤ 0.05, **P ≤ 0.001. MS, 5YR, 15YR, 28YR, 34YR and 51YR represent mobile sand, 5,
 656 15, 28, 34 and 51-year-old BSC, respectively.

657

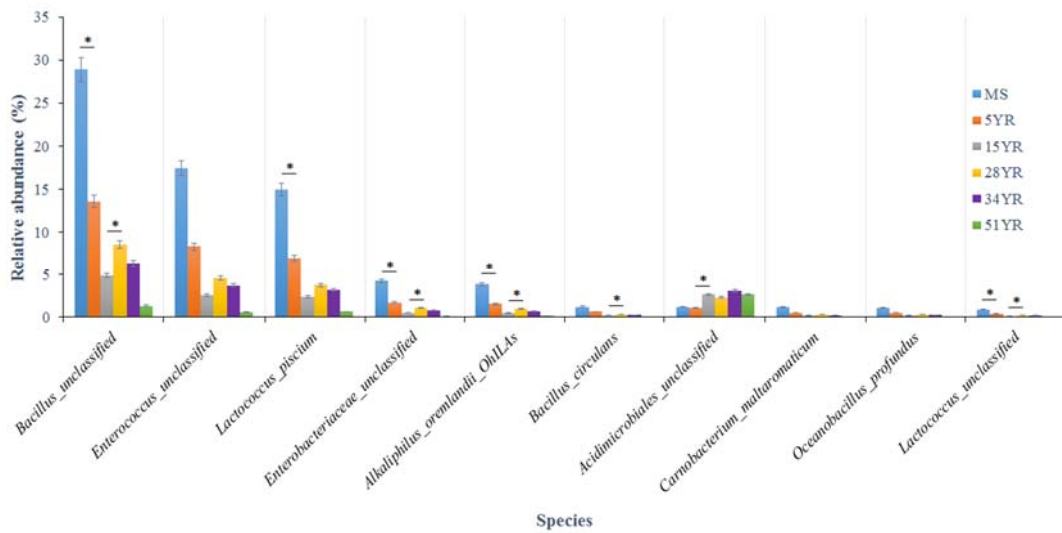


658

659 **Figure 6.** Bacterial community composition in six different ages of BSC at the genus level. Data are defined at a 3%
 660 OTU genetic distance. MS, 5YR, 15YR, 28YR, 34YR and 51YR represent mobile sand, 5, 15, 28, 34 and 51-year-
 661 old BSC, respectively.

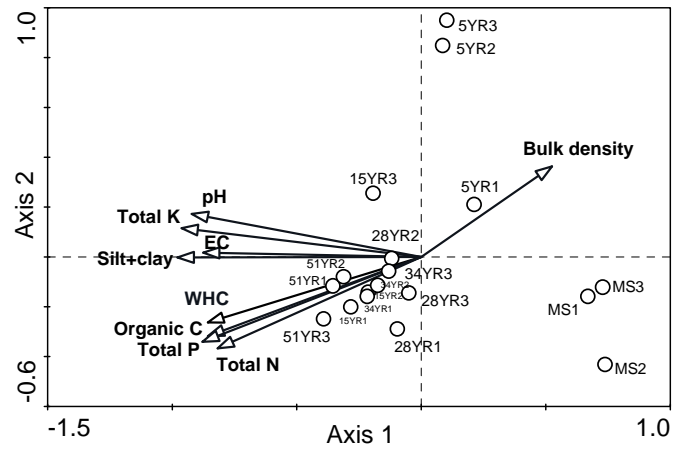


662
 663 **Figure 7.** Phylogenetic relationship of the 30 most abundant genera in bacterial composition of BSC.



665
 666 **Figure 8.** Abundant species (> 10% of total OTUs) and low-abundance species (1% < of total OTUs < 10%) of
 667 bacteria distributed in different ages of BSC. Data are defined at a 3% OTU genetic distance. Data are presented as
 668 mean ± standard deviation; n = 3 per BSC samples; Paired t-tests (BSC samples) were used to assess the significance
 669 between the adjacent ages of BSC. *P ≤ 0.05, **P ≤ 0.001. MS, 5YR, 15YR, 28YR, 34YR and 51YR represent
 670 mobile sand, 5, 15, 28, 34 and 51-year-old BSC, respectively.

671



672

673 **Figure 9.** Redundancy analysis (RDA) of bacterial community structures in relation to soil physiochemical
 674 properties. Arrows indicate the direction and magnitude of soil physiochemical index associated with bacterial
 675 community structures. The length of arrows in the RDA plot correspond to the strength of the correlation between
 676 variables and community structure. Each circle represents the bacterial community structure for each sample.