

1 **Influence of climate variability, fire and phosphorus limitation on**  
2 **the vegetation structure and dynamics in the Amazon-Cerrado**  
3 **border**

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5 Emily Ane Dionizio da Silva<sup>1</sup>, Marcos Heil Costa<sup>1</sup>, Andrea Almeida Castanho<sup>2</sup>, Gabrielle Ferreira  
6 Pires<sup>1</sup>, Beatriz Schwantes Marimon<sup>3</sup>, Ben Hur Marimon-Junior<sup>3</sup>, Eddie Lenza<sup>3</sup>, Fernando Martins  
7 Pimenta<sup>1</sup>, Xiaojuan Yang<sup>4</sup>, Atul K. Jain<sup>5</sup>

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9 <sup>1</sup> Department of Agricultural Engineering, Federal University of Viçosa (UFV), Viçosa, MG, Brazil

10 <sup>2</sup> The Woods Hole Research Center, 149 Woods Hole Rd., Falmouth, MA USA

11 <sup>3</sup> Federal University of Mato Grosso, Nova Xavantina Campus, Nova Xavantina, MT, Brazil

12 <sup>4</sup> Oak Ridge National Laboratory, Oak Ridge, TN, USA

13 <sup>5</sup> Department of Atmospheric Sciences, University of Illinois at Urbana-Champaign

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15 Correspondence to: Emily Ane D. da Silva (emilyy.ane@gmail.com)

16

17 **Abstract**

18 Climate, fire and soil nutritional limitation are important elements that affect the vegetation  
19 dynamics in areas of forest-savanna transition. In this paper, we use the dynamic vegetation model  
20 INLAND to evaluate the influence of inter-annual climate variability, fire and phosphorus (P) limitation  
21 on the Amazon-Cerrado transitional vegetation structure and dynamics. We assess how each  
22 environmental factor affects the net primary production, leaf area index and aboveground biomass  
23 (AGB), and compare the AGB simulations to observed AGB map. We used two regional datasets – the  
24 1961-1990 average seasonal climate and the 1948 to 2008 inter-annual climate variability, two datasets  
25 of total soil P content in soil, based on regional (field measurements) and global data and the INLAND  
26 fire module. Our results show that inter-annual climate variability, P limitation and fire occurrence  
27 gradually improve simulated vegetation types and these effects are not homogeneous along the  
28 latitudinal/longitudinal gradient, showing a synergistic effect among them. In terms of magnitude, the  
29 effect of fire is stronger, and is the main driver of vegetation changes along the transition. The  
30 nutritional limitation, in turn, is stronger than the effect of inter-annual climate variability acting on the  
31 transitional ecosystems dynamics. Overall, INLAND typically simulates more than 80% of the AGB  
32 variability in the transition zone. However, the AGB in many places is clearly not well simulated,  
33 indicating that important soil and physiological factors in the Amazon-Cerrado border, such as lithology  
34 and water table depth, carbon allocation strategies and mortality rates, still need to be included in the  
35 model.

## 36 **1 Introduction**

37           The Amazon and Cerrado are the two largest and most important phytogeographical domains in  
38 South America. The Amazon forest has been globally recognized and distinguished not only for its  
39 exuberance in diversity and species richness, but also for playing an important role in the global climate  
40 by regulating water (Bonan, 2008; Pires and Costa, 2013) and heat fluxes (Shukla et al., 1990; Rocha et  
41 al., 2004; Roy et al., 2002). The Cerrado is recognized worldwide for being the richest savanna in the  
42 world (Myers et al., 2000; Klink and Machado, 2005). It is characterized by different physiognomies,  
43 ranging from sparse physiognomies to dense woodland formations, and the latter are commonly mixed  
44 with Amazon rainforest forming transitional areas. The Amazon-Cerrado transition extends for 6270 km  
45 from northeast to southwest in Brazil, and the ecotonal vegetation around this transition is a mix of the  
46 characteristics of the tropical forest and the savanna (Torello-Raventos et al., 2013).

47           Gradients of seasonal rainfall and water deficit, fire occurrence, herbivory and low fertility of  
48 the soil have been reported as the main factors that characterize the transition between forest and  
49 savanna globally (Lehmann et al., 2011; Hoffman et al., 2012; Murphy and Bowman, 2012). However,  
50 few studies have evaluated the individual and combined effects of these factors on Brazilian ecosystems  
51 ecotones (Marimon-Junior and Haridasan, 2005; Elias et al., 2013; Vourtilis et al., 2013).

52           It is challenging to assess the degree of interaction among these various environmental factors in  
53 the transitional region and to infer how each one influences the distribution of the regional vegetation.  
54 In this case, Dynamic Global Vegetation Models (DGVMs) can be powerful tools to isolate the  
55 influences of climate, fire and nutrients, therefore helping to understand their large-scale effects on  
56 vegetation (House et al., 2003; Favier et al., 2004; Hirota et al., 2010; Hoffman et al., 2012).

57 Previous modelling studies using DGVMs that investigate climate effects in the Amazon  
58 indicate that the rainforest could experience changes in rainfall patterns which would either transform  
59 the forest into an ecosystem with more sparse vegetation – similar to a savanna, what has been called as  
60 the "savannization of the Amazon" (Shukla et al., 1990; Cox et al., 2000; Oyama and Nobre, 2003;  
61 Betts et al., 2004; Cox et al., 2004; Salazar et al., 2007) - or to a seasonal forest (Malhi et al., 2009;  
62 Pereira et al., 2012; Pires and Costa, 2013). These studies had great importance to the improvement of  
63 terrestrial biosphere modeling, but they neglect two important processes in tropical ecosystem  
64 dynamics: fire occurrence and nutrient limitation, particularly the Phosphorus (P) limitation.

65 In tropical ecosystems, fire plays an important ecological role and influences the productivity,  
66 the biogeochemical cycles and the dynamics in the transitional biomes, not only by changing the  
67 phenology and physiology of plants, but also by modifying the competition among trees and lower  
68 canopy plants such as grasses, shrubs and lianas. Fire occurrence, depending on its frequency and  
69 intensity, may increase the mortality of trees and transform an undisturbed forest into a disturbed and  
70 flammable one (House et al., 2003; Hirota et al., 2010; Hoffmann et al., 2012). Fires also affect the  
71 dynamics of nutrients in the savanna ecosystem, changing mainly the N:P relationship and P availability  
72 in the soil (Nardoto et al. 2006).

73 Studies suggest that P is the main limiting nutrient within tropical forests (Malhi et al., 2009;  
74 Mercado et al., 2011; Quesada et al., 2012) unlike the temperate forests. Phosphorus is a nutrient that is  
75 easily adsorbed by soil minerals due to the large amount of iron and aluminum oxides in the Amazon  
76 and Cerrado acidic and strongly weathered soils (Dajoz, 2005; Goedert, 1986). In the tropics, the warm  
77 and wet climate favors the high biological activity in the soil and the litter decomposition, not limiting

78 the nitrogen for plant fixation. In Cerrado, higher soil fertility is related to regions with greater woody  
79 plants abundance and less grass cover, similarly to the features found in the Amazon rainforest (Moreno  
80 et al., 2008; Vourtilis et al., 2013; Veenendaal et al., 2015). However, the phosphorus limitation is often  
81 neglected by DGVMs. which usually assume unlimited P availability and consider nitrogen as the main  
82 limiting nutrient. However, N is not a limiting nutrient for trees in the tropics (Davidson et al. 2004),  
83 while P availability affects the trees dynamics.

84 In principle, in transitional forests, where the climate is intermediate between wet and seasonally  
85 dry, the heterogeneous structure and phenology make it difficult to represent these forests in models.  
86 The Amazon-Cerrado border is the result of the expansion and contraction of the Cerrado into the forest  
87 (see Marimon et al., 2006; Morandi et al., 2016), especially in the Mato Grosso state, where extreme  
88 events, such as intense droughts, influence the vegetation dynamics (Marimon et al., 2014) and the  
89 nutrient (Oliveira et al., 2017) and carbon cycling (Valadão et al., 2016).

90 Currently, no model has demonstrated to be able to accurately simulate the vegetation transition  
91 between Amazonia and Cerrado. In general the DGVMs simulate evergreen forest along the Amazon-  
92 Cerrado border and neglect savanna occurrence (Botta and Foley, 2002; Bond et al., 2005; Salazar et al.,  
93 2007; Smith et al., 2014). This difficulty may be due to absence or not well represented disturbances  
94 such as fire, nutritional limitation or soil proprieties. Thus, we need a better understanding of the drivers  
95 on transitional vegetation to determine the parameters and establish relations between the environmental  
96 and transitional vegetation physiognomies.

97 In this paper we use the dynamic vegetation model INLAND (Integrated Model of Land Surface  
98 Processes) to evaluate the influence of inter-annual climate variability, fire occurrence and P limitation

99 in the Amazon-Cerrado transitional vegetation dynamics and structure. We assess how each element  
100 affects the net primary production (NPP), leaf area index (LAI) and aboveground biomass (AGB) and  
101 compare the model simulated AGB to observed AGB data. The results presented here are important to  
102 build models that accurately represent the transition vegetation, and show the need to include the spatial  
103 variability of eco-physiological parameters in these areas.

## 104 **2 Materials and methods**

### 105 **2.1 Study Area**

106 The present study focuses on the Amazon-Cerrado transition (Figure 1). We use the official  
107 delimitation of the Brazilian biomes proposed by IBGE (2004), and define five transects along the  
108 transition border with  $1^\circ \times 1^\circ$  grid size (the terms “transition”, “Amazon-Cerrado transition” and  
109 “Forest-Savanna transition” are used interchangeably with the same meaning throughout this  
110 manuscript). Transects 1 to 4 are established considering approximately 330 km into the Amazon and  
111 330 km into the Cerrado domain, while Transect 5 is 880 km long on the southern Amazon-Cerrado  
112 border. The transects are located as follows: Transect 1 (T1,  $44^\circ$ -  $50^\circ$ W;  $5^\circ$ -  $7^\circ$ S), Transect 2 (T2,  $46^\circ$ -  
113  $51^\circ$  W;  $7^\circ$ - $9^\circ$ S), Transect 3 (T3,  $48^\circ$ - $54^\circ$  W;  $9^\circ$ - $11^\circ$  S), Transect 4 (T4,  $49^\circ$  -  $55^\circ$  W;  $11^\circ$ - $13^\circ$  S), and  
114 Transect 5 (T5,  $52^\circ$  -  $60^\circ$  W;  $13^\circ$ - $15^\circ$  S) (Figure 1).

### 115 **2.2 Description of the INLAND Surface Model**

116 The Integrated Model of Land Surface Processes (INLAND) is the land-surface component of  
117 the Brazilian Earth System Model (BESM). INLAND is basically a revision of the IBIS model  
118 (Integrated Biosphere Simulator, described by Foley et al., 1996; Kucharik et al., 2000), through

119 assembly and standardization of different IBIS versions, and improvements in software engineering. We  
120 used the version described by Senna et al. (2009) as starting point for INLAND. No changes in tuning  
121 were done since that paper, except the addition of the P parameterization, described below.

122         The model considers changes in the composition and structure of vegetation in response to the  
123 environment and incorporates important aspects of biosphere-atmosphere interactions. The model  
124 simulates the exchanges of energy, water, carbon and momentum between soil-vegetation-atmosphere.  
125 These processes are organized in a hierarchical framework and operate at different time steps, ranging  
126 from 60 minutes to 1 year, coupling ecological, biophysical and physiological processes. The vegetation  
127 structure is represented by two layers: upper (arboreal PFTs) and lower (no arboreal PFTs, shrubs and  
128 grasses) canopies, and the composition is represented by 12 plant functional types (PFTs) (e.g., tropical  
129 broadleaf evergreen trees or C4 grasses, among several others).The photosynthesis and respiration  
130 processes are simulated in a mechanistic manner using the Ball-Berry-Farquhar model (details in Foley  
131 et al., 1996). The vegetation phenology module simulates the processes such as budding and senescence  
132 based on drought phenology scheme for tropical deciduous trees. The dynamic vegetation module  
133 computes the following variables yearly for each PFT: gross and net primary productivity (GPP and  
134 NPP), changes in AGB pools, simple mortality disturbance processes and resultant LAI, thus allowing  
135 vegetation type and cover to change with time. The partitioning of the NPP for each PFT resolves  
136 carbon in three AGB pools: leaves, stems and fine roots. The LAI of each PFT is obtained by simply  
137 dividing leaf carbon by specific leaf area, which in INLAND is considered fixed (one value) for each  
138 PFT.

139 INLAND has eight soil layers to simulate the diurnal and seasonal variations of heat and  
140 moisture. Each layer is described in terms of soil temperature, volumetric water content and ice content  
141 (Foley et al., 1996; Thompson and Pollard, 1995). Furthermore, all of these processes are influenced by  
142 soil texture and amount of organic matter within the soil profile.

143 Considering these aspects of vegetation dynamics and soil physical properties the model can  
144 simulate plant competition for light and water between trees, shrubs and grasses through shading and  
145 differences in water uptake (Foley et al., 1996). These PFTs can coexist within a grid cell and their  
146 annual LAI values indicate the dominant vegetation type within a grid cell. For example, the dominant  
147 vegetation type is a Tropical Evergreen Forest if the PFT tropical broadleaf evergreen tree has an annual  
148 mean upper canopy LAI ( $LAI_{upper}$ ) above  $2.5 \text{ m}^2 \text{ m}^{-2}$ . On the other hand, the dominant vegetation type is  
149 a Tropical Deciduous Forest if the tropical broadleaf drought-deciduous tree has an annual mean  
150  $LAI_{upper}$  above  $2.5 \text{ m}^2 \text{ m}^{-2}$ . Where total tree LAI ( $LAI_{upper}$ ) is between  $0.8$  and  $2.5 \text{ m}^2 \text{ m}^{-2}$ , dominant  
151 vegetation type is savanna, and  $LAI_{upper}$  values smaller than  $0.8 \text{ m}^2 \text{ m}^{-2}$  characterize a grassland  
152 vegetation type.

153 We assume that the vegetation types Tropical Evergreen Forest and the Tropical Deciduous  
154 Forest in INLAND represents the Amazon rainforest, while Savanna and Grasslands represent the  
155 Cerrado. Savanna would be equivalent to the Cerrado physiognomies *Cerradão* and *Cerrado sensu*  
156 *strictu*, while Grasslands would be equivalent to the physiognomies *Campo sujo* and *Campo Limpo*  
157 (*sensu* Ribeiro and Walter, 2008).

158 The soil chemical properties are represented by the carbon, nitrogen and phosphorus. The carbon  
159 cycle is simulated through vegetation, litter and soil organic matter, where the biogeochemical module

160 is similar to the CENTURY model (Parton et al., 1993; Verberne et al., 1990). The amount of C existing  
161 in the first meter of soil is divided into different compartments characterized by their residence time,  
162 which can vary in an interval of hours for microbial AGB and organic matter to several years for lignin.  
163 The model considers only the soil N transformations and carbon decomposition, but the N cycle is not  
164 fully simulated and N does not influence the vegetation productivity, i.e., there is a fixed C:N ratio. The  
165 P cycle also is not fully implemented, instead the P-limitation is spatially parameterized through the  
166 linear relation developed by Castanho et al. (2013) to limit the gross primary productivity. A map of  
167 total P available in the soil ( $P_{total}$ ) is used by the model to estimate the maximum capacity of  
168 carboxylation by the Rubisco enzyme ( $V_{max}$ ) for each grid using Equation (1) :

$$169 \quad V_{max} = 0.1013 P_{total} + 30.037 \quad (1)$$

170 where  $V_{max}$  and  $P_{total}$  are given in  $\mu\text{molCO}_2 \text{ m}^{-2} \text{ s}^{-1}$  and  $\text{mg kg}^{-1}$ , respectively. This equation has been  
171 based on data for tropical evergreen and deciduous trees, and is applied only to these two PFTs, while  
172 the other PFTs are unaffected.

173 INLAND also contains a spatial fire module, from the Canadian Terrestrial Ecosystem Model  
174 CTEM (Arora and Boer, 2005). In this module, three aspects of the fire triangle are considered – the  
175 availability of fuel to burn, the flammability of vegetation, and the presence of an ignition source. Each  
176 is represented daily by an independently calculated probability and the product of the three is the  
177 probability of fire occurrence. Availability of fuel to burn depends on biomass, flammability depends on  
178 soil moisture and ignition depends on a random lightning occurrence and a constant anthropogenic  
179 ignition probability (in these runs prescribed to 0.50). The burned area fraction is calculated daily and  
180 depends on the probability of ignition, spread rate (as function of wind speed, soil moisture), simulated

181 length to breadth ratio of fire, and extinguishing rate. The daily area burned is accumulated through the  
182 year and its ratio to the grid cell area is applied at the end of each year as a “penalization fraction” to  
183 leaf, wood and root biomass pools. For more details, see Arora and Boer (2005).

## 184 **2.3 Observed data**

### 185 **2.3.1 Phosphorus databases**

186 We used two P databases to estimate  $V_{\max}$  (Equation 1): one regional (referred to as PR) and one  
187 global database (referred to as PG). In addition, a control P map (PC) represents the unlimited nutrient  
188 availability case, equivalent to a  $V_{\max}$  of  $65 \mu\text{molCO}_2 \text{ m}^{-2} \text{ s}^{-1}$ , or  $350 \text{ mg P kg}^{-1}$  soil, according to  
189 Equation 1.

190 The PR database was developed from total P in the soil for the Amazon basin published by  
191 Quesada et al. (2011) plus 54 additional available P samples (P extracted via Mehlich-1 extractor,  
192  $P_{\text{mehlich-1}}$ ) (Figure 2a). We used the  $P_{\text{mehlich-1}}$  and clay contents measured in a forest-savanna transition  
193 region in Brazil (Mato Grosso state) to estimate  $P_{\text{total}}$  and expand the coverage area of the P data  
194 (Section S1). These 54 samples were gridded to a  $1^\circ \times 1^\circ$  grid to be compatible with the spatial  
195 resolution used by INLAND, resulting in 12 additional pixels with observed total P content (Figure 2a).  
196 For pixels without observed  $P_{\text{total}}$ , the  $P_{\text{total}}$  was assumed to be  $350 \text{ mg P kg}^{-1}$  soil, similarly to the PC  
197 conditions.

198 A global dataset of  $P_{\text{total}}$  (Figure 2b) was also used to estimate  $V_{\max}$ . This global data set is part  
199 of a database containing six global maps of the different forms of P in the soil (Yang et al., 2013). The  
200  $P_{\text{total}}$  was estimated from lithologic maps, distribution of soil development stages, fraction of the

201 remaining source material for different stages of weathering using chronosequence studies (29 studies),  
202 and P distribution in different forms for each soil type based on the analysis of Hedley fractionation  
203 (Yang and Post, 2011), which are part of a worldwide collection of soil profile data. The uncertainties  
204 and limitations associated with this database are restricted to the Hedley fractionation data used, which  
205 are 17% for low weathered soils, 65% for intermediate soils and 68% for highly weathered soils (Yang  
206 et al., 2013).

### 207 **2.3.2 Above-Ground Biomass (AGB) database**

208 The AGB database used was created by Nogueira et al. (2015) and considered undisturbed (pre-  
209 deforestation) vegetation existing in the Brazilian Amazonia. This database was compiled from a  
210 vegetation map at a scale of 1:250000 (IBGE, 1992) and AGB averages from 41 published studies that  
211 had conducted direct sampling in either forest (2317 plots) or non-forest or contact zones (1830 plots).  
212 We bi-linearly interpolated the AGB (dry weight) for each transect considering  $1^\circ \times 1^\circ$  to ensure  
213 compatibility of the observed and simulated data.

214 Five longitudinal transects (Figure 1) were used separately to characterize AGB in the Amazon-  
215 Cerrado border (Figures 3a and 3b). In T1, T2, T3 and T4, the higher AGB values in the west and lower  
216 values in the east are consistent with the transition from a dense and woody vegetation (the Amazon  
217 forest) towards a sparse vegetation with lower AGB (the Cerrado). However, T1 shows a more gradual  
218 reduction of AGB along the west to east gradient, while in T2, T3 and T4 the transition is more abrupt.  
219 In T5 no west-east gradient is present with high AGB heterogeneity and predominant low AGB across  
220 the transect (Figure 3b).

221

## 222 2.4 Simulations

223 The model was forced with the prescribed climate data based on the Climate Research Unit  
224 (CRU) database (Harris et al., 2014). Two climate boundary conditions were used: the first is referred to  
225 as the monthly climatological average (CA) that represents the average climate for the period 1961-  
226 1990. The second climate boundary condition is the historical dataset, for the continuous period  
227 between 1948 and 2008 (CV). For both boundary conditions, the variables used are rainfall, solar  
228 radiation, wind velocity and maximum and minimum temperatures. The CRU database has been widely  
229 used by the scientific community in case studies, because these data preserve the spatial mean of the  
230 rainfall data, although, they do not provide adequate representation of their variance precipitation  
231 (Beguería et al., 2016). The dataset has a 1-degree spatial resolution and a monthly time resolution.

232 Soil texture data is based on the IGBP-DIS global soil (Global Soil Data Task 2000) (Hansen  
233 and Reed, 2000). In the CV group of runs, the model was spin-up by cycling the 1948-2008 climate data  
234 (61-year) seven times, totaling 427 years. In the CA group of runs, the annual mean climate data was  
235 cycled 427 times. In both cases, CO<sub>2</sub> varied from 278 to 380 ppmv, according to observations in the  
236 period, updated annually. In both cases, only the model results of the last 10 years were used to analyze  
237 the results.

238 The experiment design is a factorial combination of the climate scenarios (CA, monthly  
239 climatological average, 1961-1990; CV, monthly climate time series, 1948-2008), the nutrient limitation  
240 on  $V_{\max}$  (PC, no P limitation ( $V_{\max} = 65 \mu\text{molCO}_2 \text{m}^{-2} \text{s}^{-1}$ ); PR, regional P limitation; PG, global P  
241 limitation) and the occurrence of fire (F) or not (Table 1). The 12 combinations in Table 1 allow the  
242 evaluation of individual and combined effects of climate, soil chemistry, and the incidence of fire on the

243 variables: Net Primary Production (NPP), tree AGB, and LAI of the upper and lower canopies ( $LAI_{upper}$ ,  
244  $LAI_{lower}$ ).

245 We consider that the subtraction between the simulations (CV+PC) and (CA+PC) represents  
246 the isolated effect of inter-annual climate variability without P limitations. The same logic is applied to  
247 isolate other factors such as fire and P in different climate scenarios. For example, the fire effect under  
248 average climate without P limitation case is calculated by the difference between CA+PC+F and  
249 CA+PC. Similarly, the isolated effect of fire under a climate with inter-annual variability scenario  
250 without influence of P limitation is calculated by the difference between CV+PC+F and CV+PC. The  
251 different combinations of climate scenarios with and without fire effects and with and without P  
252 limitations are described in Table 2.

## 253 **2.5 Statistical analysis and determination of the best model configuration**

254 The statistical analysis is divided in four parts. First, we present maps of the isolated effects for  
255 all simulated area calculated as the average of the last ten years of simulated spatial patterns. The  
256 statistical significance of the isolated effects on NPP, LAI and AGB are determined using the t-test with  
257  $p < 0.05$ . The results are tested in each pixel, for all the simulated domain ( $n = 10$ ).

258 Second, we present an analysis of variance using the one-way ANOVA and the Tukey-Kramer  
259 test in the transition zone. We consider all 31 pixels which fall in transects T1 to T5 ( $n_{pixels}$ ). The results  
260 presented are based on the set of last 10 years of simulation (1999-2008,  $n_{years}$ ) for the 12 combinations  
261 ( $n_{simulation}$ ) in Table 1. Moreover, we grouped treatments according to climate regardless of P limitation,  
262 presence or absence of fire, where all sets with CV vs CA are tested (Group 1,  $n=1860$ , ( $n_{pixel} \times n_{year} \times$   
263 ( $n_{simulation}/2$ )). Similarly, in Group 2 we tested if PC, PR or PG were significantly different from each

264 other regardless the F or climate used (Group 2,  $n=1240$ ,  $(n_{\text{pixel}} \times n_{\text{year}} \times (n_{\text{simulation}}/3))$ ). In Group 3 we  
265 tested if fire introduced a significant effect regardless of climate and P limitation (Group 3,  $n=1860$ ,  
266  $(n_{\text{pixel}} \times n_{\text{year}} \times (n_{\text{simulation}}/2))$ ). Finally, all treatments were tested to each simulation assessing their  
267 individual effects on NPP, LAI and AGB ( $n_{\text{pixel}} \times n_{\text{year}} = 310$ ).

268 Third, a correlation coefficient between the simulated and observed values for AGB was  
269 calculated for each transect. The simulated variables are averaged for the last 10 years of simulations  
270 (1999 - 2008) and compared to AGB from Nogueira et al. (2015) within a grid cell.

271 Finally, we evaluate INLAND's ability to assign the dominant vegetation type by analyzing 10  
272 years of probability of occurrence. If the dominant vegetation type (evergreen tropical forest, or  
273 deciduous forest for the Amazon rainforest, and savanna or grasslands for Cerrado) in a pixel is the  
274 same in more than 90% of the simulated years (9 out of 10), then the simulated vegetation type is  
275 defined as "very robust" for that pixel; if it occurs in 70 - 90% of the simulated years, the simulated  
276 result is considered to be "robust". If the dominant vegetation occurred in less than 70% of simulated  
277 years, the pixel is considered "transitional" vegetation.

## 278 **3 Results**

### 279 **3.1 Influence of climate, fire and phosphorus in the Amazon-Cerrado transition region**

#### 280 **3.1.1 Spatial patterns**

281 Overall, the inclusion of inter-annual climate variability (CV) resulted in a decrease in the  
282 simulated average tree biomass (TB) by 3.8% in the entire Brazilian Amazonia, and by 8.7% in the  
283 entire Cerrado in comparison to average climate (CA), with values obtained by the difference  $CV+PC -$

284 CA+PC (Figure 4a). The spatial differences between CV and CA for TB simulations are statistically  
285 significant and range from  $-3 \text{ kg-C m}^{-2}$  to  $+2 \text{ kg-C m}^{-2}$ . The state of Pará, with higher influence of the El  
286 Niño phenomenon, experienced the highest decrease in TB in the CV simulation. In the state of  
287 Roraima, on the other hand, there was an increase of about  $2 \text{ kg-C m}^{-2}$  in TB when CV was considered.  
288 Bolivia and southwest of Mato Grosso state also presented, in some grids points, a significant increase  
289 in AGB higher than  $2 \text{ kg-C m}^{-2}$ .

290 On average, P acts as a limiting factor in the simulated TB, decreasing by 13% in regional P  
291 (PR) simulation and 15% in global P (PG) simulation. In PR, TB decreased mainly in the southeastern  
292 Amazonia (between Pará and northeastern Mato Grosso states) and northwestern Amazonas state  
293 (Figure 4b). In PG, the largest TB decline occurred in central Amazonia, northeastern Pará and  
294 northeastern Mato Grosso (Figure 4c). In Cerrado, on the other hand, TB declined by 2% for PR and 9%  
295 for PG with respect to the control simulation. In PR, the few pixels in the Cerrado that have P limitation  
296 showed a significant decrease in TB (Figure 4b), while in PG the TB reduction was statistically  
297 significant for most of the Cerrado domain, except in southern Tocantins state (Figure 4c).

298 The tree biomass reduction due to fire events is much higher in magnitude than due to P  
299 limitation or inter-annual climate variability (Figure 4d). The small or null fire effect in the Central  
300 Amazon rainforest is related to the greater water availability in Amazonia, which makes the forest  
301 naturally not flammable as well as a gradient towards seasonally dryer climate increases the intensity  
302 and magnitude of fire effects towards the Cerrado (Figure 4d). The fire effect on TB over the Amazon  
303 domain was 21-24% of the P limitation effect (range for PR and PG cases), while the fire effect on TB

304 over the Cerrado was more than 250% of the P limitation effects in CV simulations, which is due to  
305 quick growth of grasses after fire occurrence in the latter.

### 306 **3.1.2 Influence of climate, fire and phosphorus in the transects**

307 Results of the ANOVAs and Tukey-Kramer test indicate that the inclusion of CV, limitation by  
308 P (PR and PG) and fire in INLAND led to significantly different averages of NPP, LAI and AGB in the  
309 transition zones. This influences of climate, P and fire are shown separately in Tables 3 to Table 5 and  
310 combined in Table 6.

311 The effects of climate and P on productivity show that CV reduces the NPP from  
312  $0.68 \text{ kg-C m}^{-2} \text{ yr}^{-1}$  to  $0.64 \text{ kg-C m}^{-2} \text{ yr}^{-1}$  (Table 3) and the P effect results in NPP decline from  
313  $0.71 \text{ kg-C m}^{-2} \text{ yr}^{-1}$  to  $0.64 \text{ kg-C m}^{-2} \text{ yr}^{-1}$  (both PR and PG) (Table 4). The fire effect, moreover, has a  
314 positive effect on NPP from  $0.66 \text{ kg-C m}^{-2} \text{ yr}^{-1}$  when fire is off to  $0.67 \text{ kg-C m}^{-2} \text{ yr}^{-1}$  when fire is on.  
315 This difference, albeit low, is statistically significant (Table 5).

316 In addition CV and P limitation reduce the  $\text{LAI}_{\text{total}}$  in the canopy (Table 3 and Table 4),  
317 increasing three times  $\text{LAI}_{\text{lower}}$  and decreasing  $\text{LAI}_{\text{upper}}$  (Table 5). The magnitude of fire effect on AGB  
318 (46.7%, Table 5) is greater in relation to the CV (5%, Table 3) and P (14%, Table 4) limitation effects.

319 Even though CV effects on NPP and AGB for each simulation is not statistically significant, the  
320 effects of fire and P limitation (regardless of phosphorus map) are. Fire effects are significant only for  
321 structural variables as AGB,  $\text{LAI}_{\text{total}}$ ,  $\text{LAI}_{\text{upper}}$  and  $\text{LAI}_{\text{lower}}$ . It presents an increase of LAI total of  
322  $1.52 \text{ m}^2 \text{ m}^{-2}$  in CV+PG+F in relation to CV+PG, and of  $1.32 \text{ m}^2 \text{ m}^{-2}$  in CV+PR+F in relation to CV+PR  
323 (Table 6).

### 324 3.1.3 West-East patterns of AGB in the Amazon-Cerrado transition

325 The model used in this study simulates > 80% of the observed AGB variability in all treatments  
326 along the transition area except in T5 (Table 7). It shows that the model is able to capture AGB  
327 variability along the transition area, which is relevant when compared to studies that simulate 50% of  
328 the observed AGB variability (Senna et al., 2009; Castanho et al., 2013).

329 It is not possible to identify a treatment that best represents AGB in all transects (Table 7). A  
330 combined analysis of Table 7 and Figure 5 indicates a general agreement that observed AGB decreases  
331 from W to E in T1 to T4, and this is well captured by several configurations of the model, with specific  
332 differences among them. Overall, CA and PC configurations, being the least disturbed treatments, yield  
333 higher AGB, while the introduction of CV, PG and F reduce the AGB. However, the simulated results  
334 may be above or below the observed ones, which suggests that additional local factors are not included  
335 in the model.

336 The curves of AGB (Figure 5) show the impact of CV, PG and F along the W-E transition. PG  
337 has a high influence on the transition, decreasing the ABG especially in the western part of the  
338 transects, where the Amazon vegetation is predominant. This feature is particularly simulated in T3 and  
339 T4, where PG decrease the AGB by  $2 \text{ kg-C m}^{-2}$  in the west pixels of these transects (Figure 5). In T1,  
340 T2 and T5, AGB decline is also higher with P limitation when compared to the curves limited only by  
341 CV. However, in T1 model simulations tend to underestimate the highest and the lowest AGB extremes,  
342 and the absolute values were always underestimated, despite the improvement in correlation with the  
343 inclusion of the fire component (Table 7).

344 In T2, T3 and T4, however, fire is responsible for altering the simulated AGB to the observed  
345 AGB in the eastern pixels of the Cerrado domain (Figure 5). In T5 these relations are similar, with  
346 climate presenting less influence on AGB decrease than P, and fire appears mainly as an AGB reduction  
347 factor.

### 348 **3.2 Simulated composition of vegetation**

349 Most of the pixels in CA show very robust simulations, with more than 90% of the same  
350 vegetation cover in the simulated last 10 years (Figure 6a-c and 6g-i). A larger number of pixels with  
351 transitional vegetation were simulated in CV (Figure 6d-f and 6j-l). An even higher variability in  
352 CV compared to CA simulations was observed when we added the effects of P limitation and fire  
353 (Figure 6a and 6j-l).

354 The vegetation composition in all P limitation scenarios for CA simulations resulted in robust  
355 simulations for nearly all pixels, except for the north of Cerrado domain (Figures 6a, 6b and 6c). The  
356 CA+PC and CA+PR simulations had the same vegetation composition, while CA+PG replaced the  
357 deciduous forest by evergreen forest in the central Cerrado region, around 8°S 46°W (Figures 6A, 6B  
358 and 6C). This behavior might be related to the higher  $P_{total}$  values in PG than PR and PC for the Cerrado  
359 region (Figure S1). Cerrado was better represented in CV+PC, CV+PR and CV+PG than in the same  
360 CA combinations (Figure 6). The occurrence of forested areas in central Cerrado decreased in CV  
361 combinations, these being replaced by the savanna or grassland vegetation class.

362 When the effect of fire was added to CA simulations, the model simulated an increase in the  
363 uncertainty on the vegetation cover classification in the Cerrado region. The effect of fire reduced the  
364 presence of deciduous forest in central Cerrado biome as well as in CA+PC, and the vegetation was

365 replaced by evergreen forest in about 5 pixels with clay soils with large water retention capacity in  
366 CA+PC+F (Figures 6G, 6H, 6I). In this situation, where there is little water stress in the CA simulation,  
367 both evergreen and drought deciduous PFTs have each one very high LAI, and the PFT that dominates  
368 can be defined by minor effects. Fire, although active, is probably too small to be relevant in a non-  
369 stressed ecosystem. In CV simulations, however, fire effect results in the replacement of the deciduous  
370 and perennial forest by savanna and grasses in all central Cerrado region (Figures 6J, 6K and 6L). These  
371 results show the limitations of CA and the importance to consider the interannual climate variability on  
372 simulations to improve the vegetation simulated.

373 For all combinations used, transitional forest areas in the northern and southwestern Cerrado  
374 biome are not adequately represented. With >90% of concordance, INLAND assigns the existence of  
375 tropical evergreen forest rather than deciduous forest in some pixels in the north of the transition, and  
376 the existence of tropical evergreen forest rather than savanna in the southwest, indicating difficulty to  
377 simulate transitional vegetation in these regions.

#### 378 **4 Discussion**

379 The inclusion of CV, PR and PG and fire in INLAND showed significant influences on the  
380 simulated vegetation structure and dynamics in the Amazon-Cerrado border (Figure 4 and Table 6),  
381 suggesting that these factors play key role on vegetation structure in the forest-savanna border and can  
382 improve the simulated representation of the current contact zone between these biomes. This is broadly  
383 consistent with the literature that investigated causes of savanna existence in the real world (Hoffmann  
384 et al., 2012; Dantas et al., 2013; Lehmann et al., 2014). In this study, the spatial analysis and the Tukey-  
385 Kramer test (TK) show a difference in magnitude among these factors in vegetation, with fire

386 occurrence and P limitation being stronger than inter-annual climate variability along the transects  
387 (Figure 4).

388 The spatial analysis showed that CV declines AGB predominantly in eastern Amazonia (Figure  
389 4a). Climate of this region is intensely affected by El Niño–Southern Oscillation (ENSO), which could  
390 reduce precipitation by 50%, placing the vegetation under intense water stress (Botta and Foley, 2002;  
391 Foley et al. 2002; Marengo et al., 2004; Andreoli et al., 2012; Hilker et al., 2014). This reduction in  
392 rainfall in dry years brings in direct changes in carbon flux (NPP) and stocks in leaves and wood,  
393 leading to changes in vegetation structure. In addition to inter-annual changes in the rainfall, inter-  
394 annual variability in other climate variables in CV also affect AGB, as average, maximum and  
395 minimum temperature, as well as wind speed and specific humidity, and influence photosynthesis on  
396 the model both directly (through Collatz and Farquhar equations) and indirectly (e.g. through  
397 evapotranspiration). Our results showed significant differences for most part of the biomes, except  
398 central Amazonia (Figure 4a), where CV and precipitation seasonality have been pointed as secondary  
399 effects on vegetation (Restrepo-Coupe et al., 2013), since there is no shortage of water availability  
400 during the dry season.

401 Along the Cerrado, lower water availability in some years in CV affects tree biomass, although  
402 that vegetation is predominantly grassy-herbaceous. The AGB decline is significant for most part of the  
403 simulated Cerrado domain (Figure 4a) and average values could represent half the amount of typical  
404 tree biomass in this biome. This reduction in AGB reflects INLAND’s ability to simulate similar  
405 Cerrado conditions and expose the few trees to high water stress.

406 Throughout the transects, however, no significant difference was found for average AGB  
407 between CV+PC and CA+PC by TK at  $p < 0.05$  (Table 6). On the other hand, when we analyzed the  
408 influence of CV for the same pixels, but using all simulations (Table 3), regardless of P limitation and  
409 fire occurrences, the results showed that the decrease in AGB by  $0.38 \text{ kg-C m}^{-2}$  (5.7%) is statistically  
410 significant along the transition.

411 P limitation effect was statistically significant for PR and PG along all the Amazon domain and  
412 the main differences between these simulations were the spatial patterns of tree AGB decrease (Figure  
413 4b and Figure 4c). We cannot affirm which of these databases is better because they are the results of  
414 different methodologies and observations (Quesada et al., 2009; Yang et al., 2014). However, PG  
415 showed a higher AGB decrease in central Amazonia, northeastern Pará and northeastern Mato Grosso  
416 state, indicating that in these areas the P limitation is higher. This result does not corroborate the  
417 northwest-southeast AGB gradient found in the Amazon basin, which showed a higher productivity in  
418 the west where soils are more fertile than those found in the southeast (Aragão et al., 2009; Saatchi et  
419 al., 2007; Nunes et al., 2012; Lee et al., 2013). On the other hand, PR AGB agrees with the northwest-  
420 southeast gradient, presenting less limitation in the soils of central Amazonia with declines in AGB  
421 mainly in the southeastern part of the rainforest (between Pará and northeastern Mato Grosso states)  
422 (Figure 4b).

423 In Cerrado, P limitation also influenced vegetation (Figure 4c) and presented statistically  
424 significant differences when compared CV+PG – CV+PC. In this biome, as well as in the Amazon, tree  
425 abundance richness and diversity have been generally associated with increases of soil fertility (Long et

426 al., 2012; Vourtilis et al., 2013), highlighting the importance of P in the composition and maintenance  
427 of vegetation, especially in transition areas.

428 Compared to the Amazon domain, the magnitude of effects of P limitation is lower in the  
429 Cerrado. However, few pixels in PR that have P limitation showed a significant decrease in arboreal  
430 AGB (Figure 4b), while in PG, we found reduction of AGB for most of the Cerrado domain, except  
431 only for the southern Tocantins state (Figure 4c). Despite the differences in spatial patterns, there was  
432 no statistically significant differences between PR and PG within the transects (Table 4 and Table 6).

433 The spatial difference between PG and PR showed that PG is lower than PR in the western  
434 Amazonia, and higher in northern Amazonia. Moreover, PG have low P values in south of the  
435 transition compared to PR, while in Cerrado domain P values ranged between 120 to 200 mg kg<sup>-1</sup>  
436 (Figure S1). Although the PR dataset includes every known P data collected in the region, these  
437 differences reinforce the need to improve the data of P<sub>total</sub> in the soils of the Amazon and  
438 Cerrado/Amazon transition domains. Currently, P<sub>total</sub> data in Cerrado is scarce, and make unfeasible to  
439 establish a proxy similar to Castanho et al. (2013), which was specific for the Amazon.

440 In INLAND, the simple P-limitation parameterized through the linear relation V<sub>max</sub> and P<sub>total</sub>,  
441 showed significant spatially differences in AGB simulated and an improvement in simulations,  
442 highlighting the importance of P-limitation in modeling studies. For the most part, Dynamic Global  
443 Vegetation Models (DGVMs) do not consider the complete phosphorus cycle (see exceptions in Goll et  
444 al., 2012 and Yang et al., 2014), despite the importance of nutrient cycling for AGB maintenance and  
445 tropical vegetation dynamics in dystrophic soils. For example, nutrient cycling in the Amazon/Cerrado  
446 transition is closely related to the hyper-dynamic turnover of the AGB (Valadão et al. 2016), in which

447 some key species might also be crucial to the hyper-cycling of nutrients through which vegetation  
448 sustain the constant input of nutrients, including large annual amounts of available P (Oliveira et al.  
449 2017).

450 The fire occurrence is an important factor controlling the AGB dynamics in the Cerrado or in the  
451 transition vegetation (Hoffman et al.,2003; Hoffman et al., 2012; Silvério et al., 2013; Couto-Santos et  
452 al., 2014; Balch et al., 2015), which this study clearly replicates, showing statistically significant  
453 influences when compared to control simulations (Figure 4d and Table 5). In the transition, the fire  
454 effect may reduce average AGB by 50% (Table 5), which under climate change or deforestation  
455 conditions may lead to an even stronger change in the vegetation structure and dynamic. According to  
456 Hoffmann et al. (2009) the undisturbed forests in the Amazon-Cerrado border appear to be more  
457 resilient to fire in relation to the other tropical forests and the vegetation-fire dynamics is mainly  
458 controlled by tree “topkill” (defined by the authors as complete death of the aerial biomass). In  
459 INLAND, the effect of fire on AGB is similar to the “topkill” presented by Hoffmann et al. (2009),  
460 being represented by the penalization fraction described earlier, and applied annually to the leaf, wood  
461 and root biomass pools of the pixel. Therefore, at the end of every year both upper and lower LAI are  
462 decreased, according to the amount burned, triggering competition between both canopies for light, and  
463 increasing the photosynthesis rates and stocks of carbon in leaves, stems and roots pools in the lower  
464 canopy. The carbon allocation in INLAND is fixed and is not modified after fire occurrence, as  
465 observed in some species of the savanna-forest transition (Hoffman et al., 2009; 2012). Thus, during  
466 recovery from a fire, the vegetation dynamics follows the model standard procedure.

467 In the Cerrado domain, the simulated fire effect implies in significant increases in shrubs and  
468 herbaceous vegetation and decreases in the arboreal component, resulting in increment of  $LAI_{lower}$  and  
469 decrease of  $LAI_{upper}$  (Table 5). These changes in canopy structure after fire occurrence are exclusively  
470 due to the canopy opening and consequently more penetration of photosynthetic radiation into the lower  
471 canopy.

472 The model does not include neither characteristics related to resilience such as velocity, intensity  
473 and duration of the burning (Hoffman et al., 2003; Rezende et al., 2005; Elias et al., 2013; Reis et al.,  
474 2015) nor the representation of some tree morphological adaptation that confers to Cerrado species  
475 resilience to fire occurrence, such as bark thickness. Thus, trees and grasses throughout the Amazon-  
476 Cerrado border are equally exposed to the same fire intensity inside the grid cell, without fire resistance  
477 differences. However, despite the limitations in the representation of resilience characteristics and  
478 morphological attributes of fire resistance, our results show that simulated biomass is more close to  
479 observed biomass in Cerrado areas when the fire module is activated (Figure 5). An improvement in  
480 distribution of biomes along the simulated transition area is also observed (Figure 6g-i), highlighting  
481 fire as an essential factor to represent the Amazonia-Cerrado border.

482 This study shows an improvement in the correlations between simulated and observed AGB  
483 when compared to previous modeling studies, regardless of treatment, with correlation coefficients  
484 usually above 0.80 for the transects, except for T5, for which the correlation coefficient value is usually  
485 below 0.5 (Table 7). Senna et al. (2009) found 0.20 as maximum correlation coefficient between  
486 simulated and observed ABG while Castanho et al. (2013) showed 0.80 for Amazonia domain. From  
487 Figure 5, it is clear that CV, F and P limitation in the transition zone reduce the AGB, causing the

488 simulated data to approach the observed data. However, the inclusion of these effects is still insufficient  
489 to represent the correct distribution of the vegetation types throughout the Amazon-Cerrado border  
490 (Figure 6L). In our interpretation, this means that other important factors are still missing from the  
491 simulation, especially in T5, where soils are rocky and shallow. A better spatial representation of soil  
492 physical properties, including shallow rocky soils, as well as spatially varying physiological  
493 parameterizations of the vegetation such as carbon allocation, deciduousness of vegetation, residence  
494 time and tree structure that may discern thick from thin trees, are probably needed to improve the  
495 simulations, in particular in the northern and southern extremes of the border (T1 and T5).

496 In addition, literature shows that in the transition area, soils are very different than Amazon  
497 soils, and that essential proprieties for modeling are peculiar (Silva et al., 2006; Vourlitis et al., 2013;  
498 Dias et al. 2015). For example, Dias et al. (2015) recently showed that the pedological functions  
499 normally used by DGVMs may underestimate the saturated hydraulic conductivity ( $K_s$ ) by >99%,  
500 transforming a well-drained soil with  $K_s = 1.5 \cdot 10^{-4} \text{ m.s}^{-1}$  ( $540 \text{ mm.h}^{-1}$ ) in reality into an impervious  
501 brick with  $K_s = 3.3 \cdot 10^{-7} \text{ m.s}^{-1}$  ( $1.2 \text{ mm.h}^{-1}$ ) in the model.

502 For all transects, the AGB curves have similar patterns (Figure 5); the smaller difference is  
503 observed between CA+PC and CV+PG curves, while the larger difference is when fire is present. The  
504 effect of P limitation appears as an effect of intermediate magnitude, reducing the AGB by more than  
505 the effect of inter-annual climate variability. In the east, it is observed that there is little or no difference  
506 among AGB simulated by CA+PC, CV+PC and CV+PG, revealing that inter-annual climate variability  
507 and P have smaller influence in the AGB. However, in the east of T2, T3 and T4, fire is the factor that

508 adjusts the simulated to the observed data (Figure 5), differently than the grid points in the West, where  
509 CV+PG is a better proxy between observed and simulated data.

510         Such conditions are interesting because they reflect the different mechanisms that regulate the  
511 structure of these ecosystems and probably the phytophysiognomies distribution. For example, P  
512 limitation seems to be the factor that improves simulated AGB in regions where the predominant  
513 vegetation type is the tropical rainforest. Fire, on the other hand, improves the AGB in grid points  
514 where the Cerrado occurs. Moreover, important factors such as productivity partitioning into leaves,  
515 roots and wood carbon pools are assumed to be fixed in space and time within a given PFT, neglecting  
516 the natural capacity of transitional forests to adapt itself and to adjust their metabolism to local  
517 environmental conditions (Senna et al., 2009). In years of severe drought, or under frequent fire  
518 occurrence, transitional forests could prioritize the stock of carbon in fine roots instead of the basal or  
519 leaf increment to maximize access to available water, make hydraulic redistribution of soil moisture to  
520 maintain the greenness and photosynthesis rates, or increase the capacity to resprout after fire  
521 occurrence (Hoffman et al., 2003; Brando et al., 2008). Brando et al. (2008) found high sensitivity in  
522 carbon allocation for eastern Amazon basin trees, which reduced wood production by 13-60% in  
523 response to an artificial drought. Although in INLAND soil moisture can reduce the photosynthetic  
524 rates during the months of lower rainfall, it does not dynamically change the allocation rates, exposing  
525 the PFTs in these areas to severe water stress and underestimating the AGB, such as in the west of T1  
526 (Figure 5a).

527 T2, T3 and T4, located in the central part of the Amazon-Cerrado transition, showed the highest  
528 average correlations between observed and simulated data (Table 7). For these transects, INLAND  
529 seems to be able to capture the high variability of AGB gradient.

530 At T5, located at the south of the transition, the average correlations were low for all treatments,  
531 indicating that INLAND has difficulty to represent the AGB gradient there (Table 7). However, it  
532 captures the lower AGB as compared to the northern ones. In this region, the vegetation is characterized  
533 by a wide diversity of physiognomies, which varies with other preponderant factors, such as lithology,  
534 soil depth, topography and fertility. The observed data also showed high AGB variability, indicating  
535 that there are changes in the vegetation structure, featuring medium-sized and small vegetation types on  
536 different soil types. In INLAND, however, features such as lithology and water-table depth are not  
537 considered due to the complexity of its representation on the large scale, limiting the representation of a  
538 heterogeneous environment throughout the transition.

539 Patterns of vegetation distribution along the Amazon-Cerrado border exist are influenced not  
540 only by inter-annual climate variability, P limitation, and fire, but also by the ecophysiological  
541 parameters. Additional field experiments are needed to understand the relationship between currently  
542 fixed parameters (such as carbon allocation, residence time, and deciduousness, among others) to the  
543 environmental conditions and soil proprieties.

544 Another point to discuss is that the model simulates, in a few pixels in southeastern Cerrado,  
545 very robust simulations of the presence of savanna and grassland even in the absence of fire (Figure  
546 6A-F and 6a-f). This is, in our view, a result of the intense water and heat stress in this region. In the  
547 Brazilian Cerrado, the high temperatures ( $> 35\text{ }^{\circ}\text{C}$ ) combined to the dry season duration (as long as 6

548 months with little or no rain) exposes the vegetation to a severely stressed situation, so that a low  
549 biomass, low LAI vegetation may exist without the need of a frequent disturbance.

550

## 551 **5 Conclusions**

552 This is the first study that uses modeling to assess the influence of inter-annual climate  
553 variability, fire occurrence and phosphorus limitation to represent the Amazon-Cerrado border. This  
554 study shows that, although the model forced by a climatological database is able to simulate basic  
555 characteristics of the Amazon-Cerrado transition, the addition of factors such as inter-annual climate  
556 variability, phosphorus limitation and fire gradually improves simulated vegetation types. These effects  
557 are not homogeneous along the latitudinal/longitudinal gradient, which makes the adequate simulation  
558 of biomass challenging in some places along the transition. Based on the F-statistic in Tables 3, 4 and 5,  
559 this work shows that fire is in the main determinant factor of the changes in vegetation structure (LAI,  
560 AGB) along the transition. The nutrient limitation is second in magnitude, stronger than the effect of  
561 inter-annual climate variability.

562 Overall, although INLAND typically simulates more than 80% of the variability of biomass in  
563 the transition zone, in many places the biomass is clearly not well simulated. Situations for clearly wet  
564 or markedly dry climate conditions were well simulated, but the simulations are generally poor for  
565 transitional areas where the environment selected physiognomies that have an intermediate behavior, as  
566 is the case of the transitional forests in northern Tocantins and Mato Grosso.

567 There are evidences that the inclusion of spatially explicit parameters such as woody biomass  
568 residence time, maximum carboxylation capacity ( $V_{\max}$ ), and NPP allocation to wood may improve

569 Amazon rainforest AGB simulation by DGVMs (Castanho et al., 2013). However, in the transition, the  
570 lack of field parameters measured limits the inclusion of the variability of these biophysical parameters  
571 in DGVMs. Additional field work and compilation of existing ones are necessary to obtain  
572 physiological and structural parameters through the Amazon-Cerrado border to establish numerical  
573 relationships between soil, climate and vegetation. With the help of these data, the vegetation dynamic  
574 models will be able to correctly simulate current patterns and future changes in vegetation considering  
575 climate change scenarios. In addition, it is also needed to include not only the spatial variability, but  
576 also temporal variability in physiological parameters of vegetation, allowing a more realistic simulation  
577 of the soil-climate-vegetation relationship. Finally, our results reinforce the importance and need of the  
578 DGVMs to incorporate the nutrient limitation and fire occurrence to simulate the Amazon-Cerrado  
579 border position.

580

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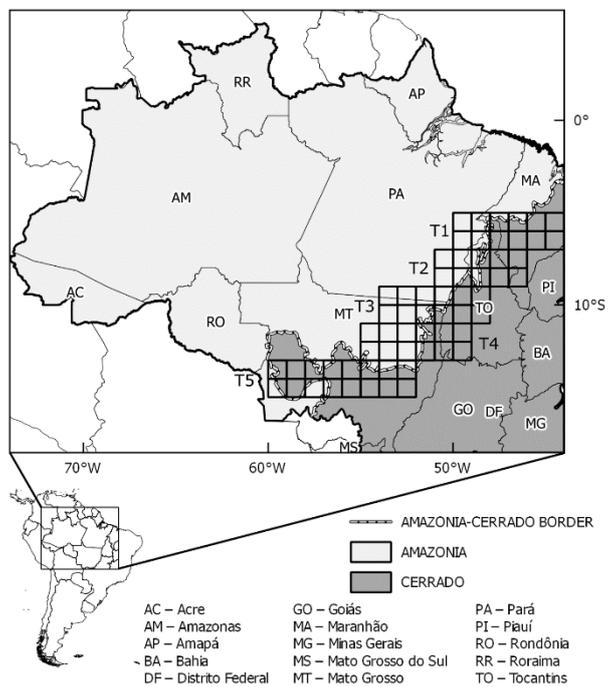
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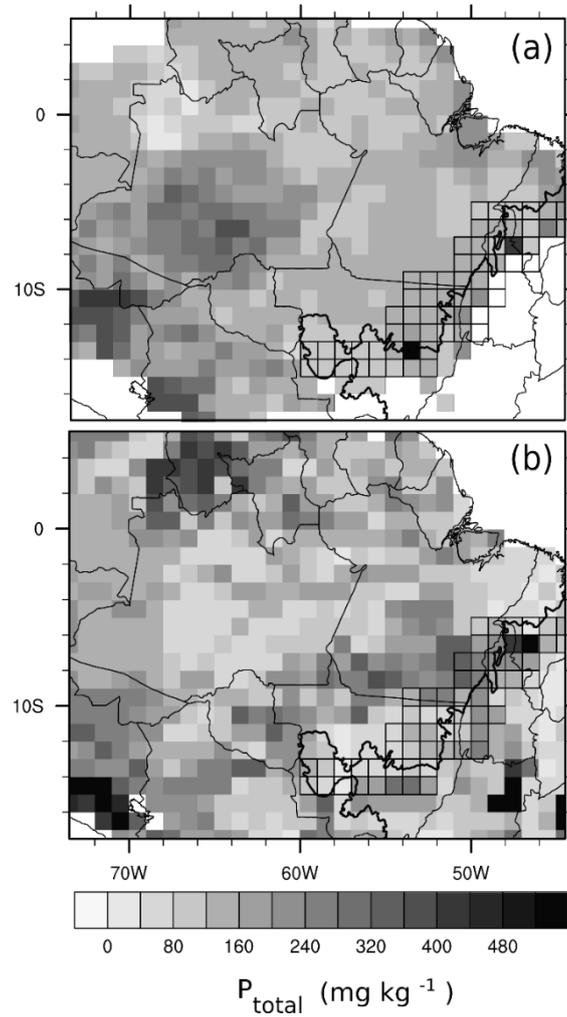
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859 **Figure 1.** Delimitation of the study area Amazonia (in light gray) and Cerrado (in dark gray) (IBGE,  
 860 2004), and the location of five transects used in this work (from T1 to T5). The dashed line represents  
 861 the border between biomes.

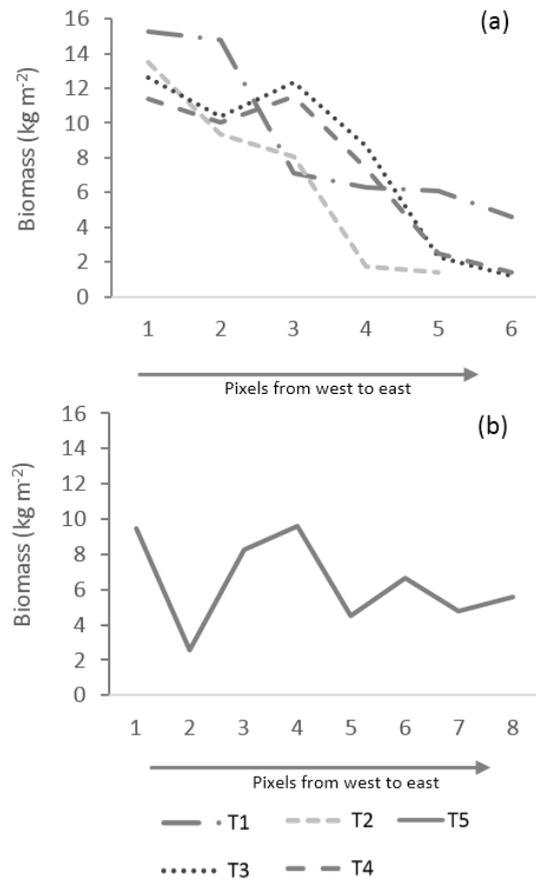


862

863 **Figure 2.** (a) Map of regional total P in the soil (PR), (b) Map of global total P in the soil (Yang et al.,

864 2013) (PG).

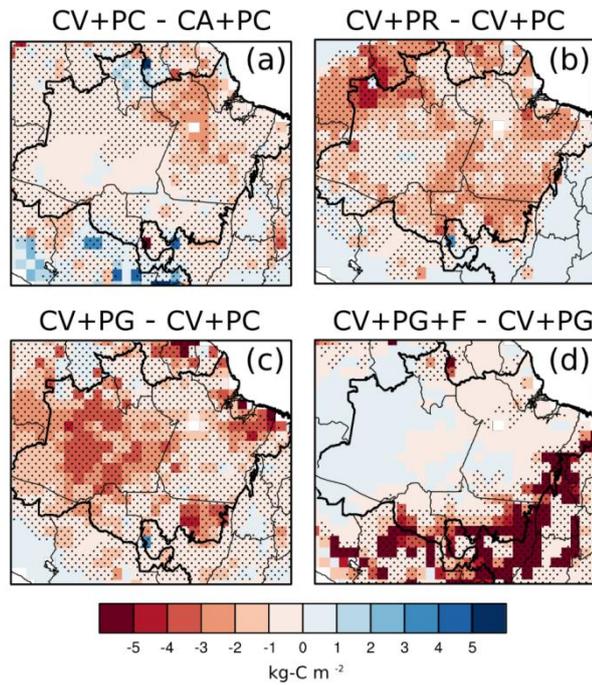
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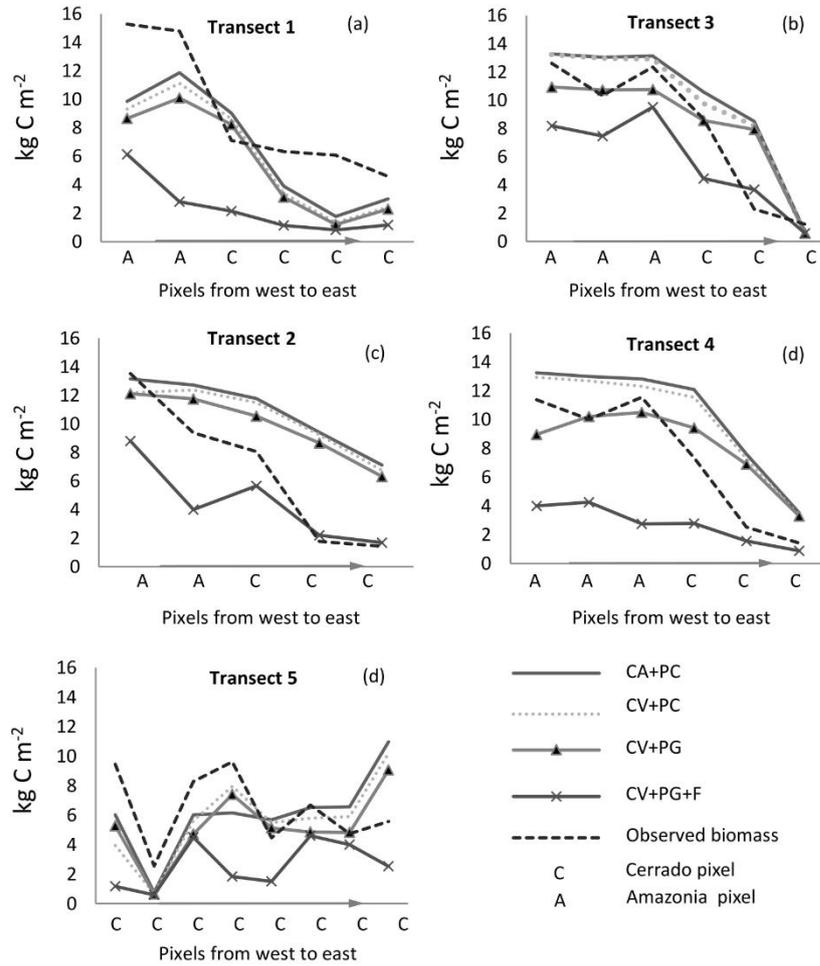
867 **Figure 3.** Average variations of AGB in pixels from West to East in the Amazonia-Cerrado transition

868 for transects T1, T2, T3 and T4 (a), and T5 (b).



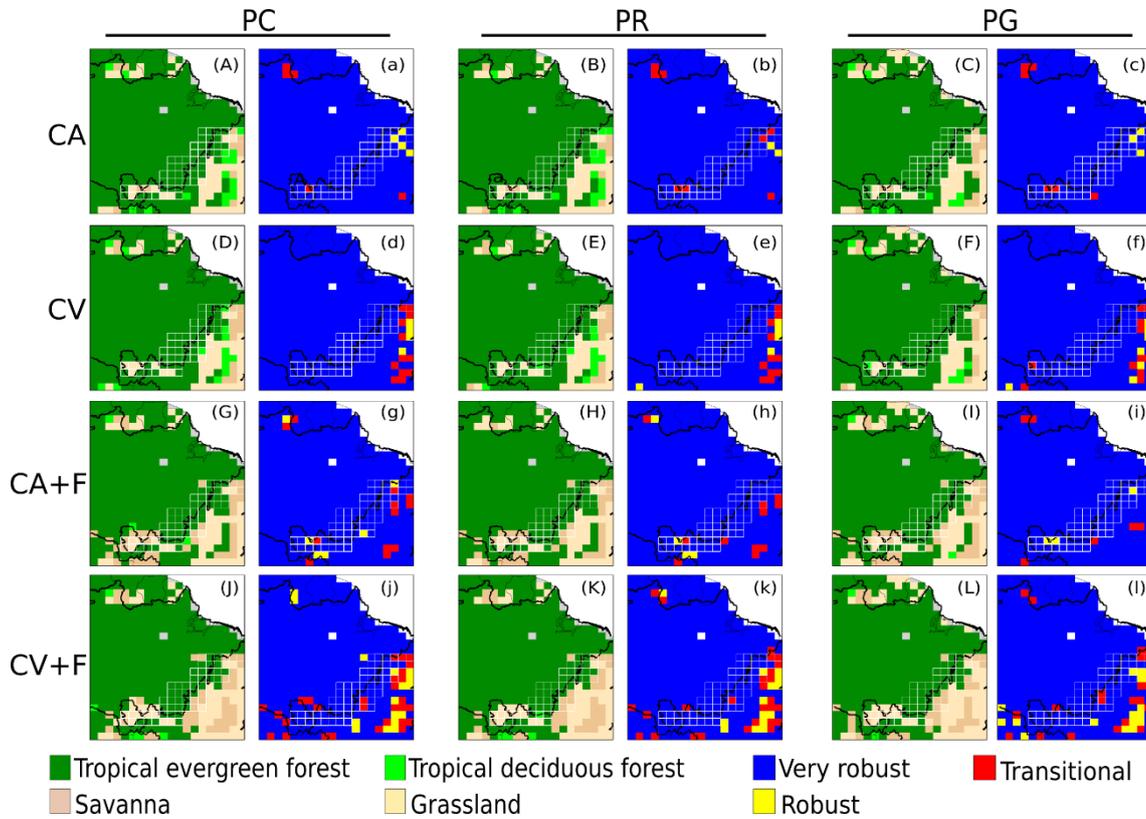
869

870 **Figure 4.** Effects of inter-annual climate variability (a), Regional P limitation (b), Global P limitation  
 871 (c), and fire (d) on AGB. The hatched areas indicate that the variables are significantly different  
 872 compared to the control simulation at the level of 95% according to the t-test. The thick black line is the  
 873 geographical limits of the biomes.



874

875 **Figure 5.** Average longitudinal AGB gradient in Amazonia-Cerrado transition simulated for T1 to T5  
 876 considering different combinations: observed data; seasonal climate control simulation (CA+PC); inter-  
 877 annual climate variability (CV+PC); inter-annual climate variability + global P limitation (CV+PG);  
 878 and inter-annual climate variability + P + fire occurrence (CV+PG+F).



**Figure 6.** Results for the dominant vegetation cover simulated by INLAND for the different treatments (A-L) and a metric of variability of results (a-l). Simulations are considered very robust if the dominant vegetation agrees on 9-10 of the last 10 years of simulation, robust if it agrees on 7-8 years, and transitional if on 6 or fewer years.

887 **Table 1.** Simulations with different scenarios evaluated by INLAND model in Amazonia-Cerrado  
 888 transition. CA, climatological average, 1961-1990; CV, monthly climate data, 1948-2008; the nutrient  
 889 limitation on  $V_{\max}$  - PC, no P limitation ( $V_{\max} = 65 \mu\text{mol-CO}_2 \text{ m}^{-2} \text{ s}^{-1}$ ); PR, regional P limitation; PG,  
 890 global P limitation).

Climate	CO <sub>2</sub>	Fire (F)	$V_{\max}$		
			PC	PR	PG
CA	Variable	Off	CA+PC	CA+PR	CA+PG
CA	Variable	On	CA+PC+F	CA+PR+F	CA+PG+F
CV	Variable	Off	CV+PC	CV+PR	CV+PG
CV	Variable	On	CV+PC+F	CV+PR+F	CV+PG+F

891

892

893 **Table 2.** Individual and combined effects for each simulation in Amazonia-Cerrado transition. CA,  
 894 climatological seasonal average, 1961-1990; CV, monthly climate data, 1948-2008; the nutrient  
 895 limitation on  $V_{\max}$  - PC, no P limitation ( $V_{\max} = 65 \mu\text{molCO}_2 \text{ m}^{-2} \text{ s}^{-1}$ ); PR, regional P limitation; PG,  
 896 global P limitation)

Climate (C)	Phosphorus (P)	Fire (F)
(CV+PC)-(CA+PC)	(CA+PR)-(CA+PC)	(CA+PC+F)-(CA+PC)
(CV+PR)-(CA+PR)	(CV+PR)-(CV+PC)	(CV+PC+F)-(CV+PC)
(CV+PG)-(CA+PG)	(CA+PG)-(CA+PC)	(CA+PR+F)-(CA+PR)
	(CV+PG)-(CV+PC)	(CV+PR+F)-(CV+PR)
		(CA+PG+F)-(CA+PG)
		(CV+PG+F)-(CV+PG)

897

898

899 **Table 3.** Summary of average NPP, LAI and AGB for the Amazonia-Cerrado transition at the transects  
900 domains, considering all simulations with CA and CV regardless of fire presence or P limitation. The  
901 results of a one-way ANOVA are also shown, including the *F* statistic, and *p* value. Values within each  
902 column followed by a different letter are significantly different ( $p < 0.05$ ) according to the Tukey–  
903 Kramer test ( $n=1860$ : 31 pixels x 10 years x  $n_{\text{simulation}/2}$ ).

<b>Group 1</b>	<b>NPP</b>		<b>LAI<sub>total</sub></b>		<b>LAI<sub>lower</sub></b>		<b>LAI<sub>upper</sub></b>		<b>AGB</b>	
	kg-C m <sup>-2</sup> yr <sup>-1</sup>		m <sup>2</sup> m <sup>-2</sup>		m <sup>2</sup> m <sup>-2</sup>		m <sup>2</sup> m <sup>-2</sup>		kg-C m <sup>-2</sup>	
CA	0.68	a	7.47	a	1.98	a	5.49	a	6.68	a
CV	0.64	b	7.15	b	2.11	a	5.04	b	6.30	b
<i>F</i>	40.2		57.2		2.96		36.0		11.3	
<i>p</i>	<0.001		<0.001		<i>ns</i>		<0.01		<0.001	

904

905

906 **Table 4.** Summary of average NPP, LAI and AGB for the transition at the transects domains,  
 907 considering different P limitation, regardless of climate and fire presence. The results of a one-way  
 908 ANOVA are also shown, including the *F* statistic, and *p* value. Values within each column followed by  
 909 a different letter are significantly different ( $p < 0.05$ ) according to the Tukey–Kramer test ( $n=1240$ : 31  
 910 pixels x 10 years x  $n_{\text{simulation}/3}$ ).

<b>Group 2</b>	<b>NPP</b>		<b>LAI<sub>total</sub></b>		<b>LAI<sub>lower</sub></b>		<b>LAI<sub>upper</sub></b>		<b>AGB</b>	
	kg-C m <sup>-2</sup> yr <sup>-1</sup>		m <sup>2</sup> m <sup>-2</sup>		m <sup>2</sup> m <sup>-2</sup>		m <sup>2</sup> m <sup>-2</sup>		kg-C m <sup>-2</sup>	
PC	0.71	a	7.64	a	1.84	b	5.80	a	7.15	a
PR	0.64	b	7.15	b	2.19	a	4.95	b	6.20	b
PG	0.64	b	7.14	b	2.10	a	5.04	b	6.12	b
<i>F</i> <sub>2,99</sub>	62.8		61.0		8.75		53.5		33.6	
<i>p</i>	<0.001		<0.001		<0.01		<0.01		<0.001	

911

912

913 **Table 5.** Summary of average NPP, LAI and AGB for the transition at the transects domains,  
 914 considering presence or absence of fire. The results of a one-way ANOVA are also shown, including  
 915 the *F* statistic, and *p* value. Values within each column followed by a different letter are significantly  
 916 different ( $p < 0.05$ ) according to the Tukey–Kramer test ( $n=1860$ : 31 pixels x 10 years x  $n_{\text{simulation}/2}$ ).

<b>Group 3</b>	<b>NPP</b>		<b>LAI<sub>total</sub></b>		<b>LAI<sub>lower</sub></b>		<b>LAI<sub>upper</sub></b>		<b>AGB</b>	
	kg-C m <sup>-2</sup> yr <sup>-1</sup>		m <sup>2</sup> m <sup>-2</sup>		m <sup>2</sup> m <sup>-2</sup>		m <sup>2</sup> m <sup>-2</sup>		kg-C m <sup>-2</sup>	
Fire OFF	0.66	a	6.72	b	0.88	b	5.84	a	8.47	b
Fire ON	0.67	b	7.90	a	3.21	a	4.69	b	4.51	a
<i>F</i> <sub>3,84</sub>	8.28		937		1459		249		1719	
<i>p</i>	<0.005		<0.001		<0.01		<0.01		<0.001	

917

918

919 **Table 6.** Summary of average NPP, LAI and AGB for the transition at the transects domains,  
 920 considering all factor combinations. The results of a one-way ANOVA are also shown, including the *F*  
 921 statistic, and *p* value. Values within each column followed by a different letter are significantly different  
 922 ( $p < 0.05$ ) according to the Tukey–Kramer test (n=310: 31 pixels x 10 years).

	<b>NPP</b>		<b>LAI<sub>total</sub></b>		<b>LAI<sub>lower</sub></b>		<b>LAI<sub>upper</sub></b>		<b>AGB</b>	
	kg-C m <sup>-2</sup> yr <sup>-1</sup>		m <sup>2</sup> m <sup>-2</sup>		m <sup>2</sup> m <sup>-2</sup>		m <sup>2</sup> m <sup>-2</sup>		kg-C m <sup>-2</sup>	
CV+PC	0.69	bcd	6.96	d	0.84	e	6.48	a	9.01	ab
CV+PG	0.61	f	6.24	f	0.85	e	5.60	bc	7.91	c
CV+PR	0.62	f	6.33	f	0.85	e	5.74	bc	8.04	c
CV+PC+F	0.69	abc	7.92	b	2.91	cd	4.61	ef	4.89	de
CV+PG+F	0.63	ef	7.76	b	3.73	a	5.81	bc	3.91	f
CV+PR+F	0.63	ef	7.65	bc	3.47	ab	4.69	ef	4.02	f
CA+PC	0.72	ab	7.39	c	0.91	e	6.12	ab	9.31	a
CA+PG	0.64	def	6.64	e	0.91	e	5.40	cd	8.22	c
CA+PR	0.65	cdef	6.72	de	0.91	e	5.49	cd	8.31	bc
CA+PC+F	0.74	a	8.29	a	2.69	d	5.02	de	5.40	d
CA+PG+F	0.67	cde	7.90	b	3.29	abc	4.04	g	4.45	ef
CA+PR+F	0.67	cde	7.88	b	3.19	bc	4.18	fg	4.42	ef
<i>F</i>	16.2		115		140		38.1		172	
<i>p</i>	<0.001		<0.001		<0.01		<0.01		<0.001	

923

924 **Table 7.** Correlation coefficients of AGB simulated by INLAND and field estimates (n= 310: 31 pixels  
 925 x 10 years).

	<b>T1</b>	<b>T2</b>	<b>T3</b>	<b>T4</b>	<b>T5</b>	<b>All transects</b>
CA+PC	0.843	0.928	0.886	0.937	0.337	0.786
CV+PC	0.838	0.884	0.890	0.939	0.355	0.781
CA+PR	0.793	0.848	0.830	0.911	0.399	0.756
CV+PR	0.795	0.793	0.832	0.907	0.527	0.771
CA+PG	0.814	0.951	0.838	0.889	0.388	0.776
CV+PG	0.825	0.922	0.840	0.879	0.496	0.792
CA+PC+F	0.988	0.987	0.977	0.892	0.133	0.795
CV+PC+F	0.976	0.947	0.933	0.908	0.187	0.790
CA+PR+F	0.842	0.805	0.981	0.808	0.561	0.799
CV+PR+F	0.925	0.804	0.927	0.808	0.319	0.757
CA+PG+F	0.844	0.961	0.980	0.830	0.430	0.809
CV+PG+F	0.845	0.932	0.931	0.881	0.177	0.753
CA avg	0.854	0.913	0.915	0.878	0.375	0.787
CV avg	0.867	0.880	0.892	0.887	0.344	0.774

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