

1 **Influence of climate variability, fire and phosphorus limitation on**  
2 **vegetation structure and dynamics of the Amazon–Cerrado border**

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18 **Abstract**

19 Climate, fire and soil nutrient limitation are important elements that affect vegetation dynamics  
20 in areas of forest–savanna transition. In this paper, we use the dynamic vegetation model INLAND to  
21 evaluate the influence of interannual climate variability, fire and phosphorus (P) limitation on Amazon–  
22 Cerrado transitional vegetation structure and dynamics. We assess how each environmental factor  
23 affects net primary production, leaf area index and aboveground biomass (AGB), and compare the AGB  
24 simulations to an observed AGB map. We used two climate data sets (monthly average climate for  
25 1961–1990 and interannual climate variability for 1948–2008), two data sets of total soil P content (one  
26 based on regional field measurements and one based on global data), and the INLAND fire module. Our  
27 results show that the inclusion of interannual climate variability, P limitation and fire occurrence each  
28 contribute to simulating vegetation types that more closely match observations. These effects are  
29 spatially heterogeneous and synergistic. In terms of magnitude, the effect of fire is strongest and is the  
30 main driver of vegetation changes along the transition. Phosphorus limitation, in turn, has a stronger  
31 effect on transitional ecosystem dynamics than interannual climate variability does. Overall, INLAND  
32 typically simulates more than 80% of the AGB variability in the transition zone. However, the AGB in  
33 many places is clearly not well simulated, indicating that important soil and physiological factors in the  
34 Amazon–Cerrado border region, such as lithology, water table depth, carbon allocation strategies and  
35 mortality rates, still need to be included in the model.

## 36 **1 Introduction**

37           The Amazon and the Cerrado are the two largest and most important phytogeographical domains  
38 in South America. The Amazon forest has been globally recognized not only for its species diversity  
39 and richness, but also because it plays important roles in the global climate by regulating water (Bonan,  
40 2008; Pires and Costa, 2013) and heat fluxes (Shukla et al., 1990; Rocha et al., 2004; Roy et al., 2002).  
41 The Cerrado is recognized as the most species-rich savanna in the world (Myers et al., 2000; Klink and  
42 Machado, 2005). It is characterized by landscapes ranging from sparse fields to dense woodlands, which  
43 may mix with Amazon rainforest vegetation in transitional areas. The Amazon–Cerrado transition  
44 extends 6270 km from northeast to southwest in Brazil, and ecotonal vegetation around this transition  
45 includes a mix of tropical forest and savanna species (Torello-Raventos et al., 2013).

46           Gradients of seasonal rainfall, water deficit, fire occurrence, herbivory and soil fertility have  
47 been reported as the main factors that characterize transitions between forest and savanna globally  
48 (Lehmann et al., 2011; Hoffman et al., 2012; Murphy and Bowman, 2012). However, few studies have  
49 evaluated the individual and combined effects of these factors on Brazilian ecotones (Marimon-Junior  
50 and Haridasan, 2005; Elias et al., 2013; Vourtilis et al., 2013).

51           It is challenging to assess the degree of interaction among these environmental factors in the  
52 transitional region and to infer how each one influences the distribution of the regional vegetation. In  
53 this case, Dynamic Global Vegetation Models (DGVMs) can be powerful tools to isolate the influences  
54 of climate, fire and nutrients, thereby helping to understand their large-scale effects on vegetation  
55 (House et al., 2003; Favier et al., 2004; Hirota et al., 2010; Hoffman et al., 2012).

56           Previous modeling studies using DGVMs that investigated climate effects in the Amazon  
57 indicate that the rainforest could experience changes in rainfall patterns that would transform the forest  
58 into either an ecosystem with sparser vegetation, similar to a savanna – what has been called the  
59 "savannization of the Amazon" (Shukla et al., 1990; Cox et al., 2000; Oyama and Nobre, 2003; Betts et  
60 al., 2004; Cox et al., 2004; Salazar et al., 2007) – or into a seasonal forest (Malhi et al., 2009; Pereira et

61 al., 2012; Pires and Costa, 2013). These studies have had great importance for the improvement of  
62 terrestrial biosphere modeling, but they neglect two important processes in tropical ecosystem  
63 dynamics: fire occurrence and nutrient limitation, particularly phosphorus (P) limitation.

64 In tropical ecosystems, fire plays an important ecological role and influences the productivity,  
65 biogeochemical cycles and vegetation dynamics of transitional biomes, not only by changing the  
66 phenology and physiology of plants, but also by modifying competition among trees and lower canopy  
67 plants such as grasses, shrubs and lianas. Fire occurrence, depending on its frequency and intensity, may  
68 increase the mortality of trees and transform an undisturbed forest into a disturbed and flammable one  
69 (House et al., 2003; Hirota et al., 2010; Hoffmann et al., 2012). Fires also affect the dynamics of  
70 nutrients in savanna ecosystems, mainly by changing the N:P relationship and P availability in the soil  
71 (Nardoto et al. 2006).

72 Studies suggest that P is the main limiting nutrient within tropical forests (Malhi et al., 2009;  
73 Mercado et al., 2011; Quesada et al., 2012), unlike in temperate forests, where nitrogen is the main  
74 nutrient that limits productivity. Phosphorus is easily bound by soil minerals due to the large amount of  
75 iron and aluminum oxides in the acidic and strongly weathered soils of the Amazon and the Cerrado  
76 (Dajoz, 2005; Goedert, 1986). In the tropics, the warm and wet climate favors high biological activity in  
77 the soil and rapid litter decomposition, therefore nitrogen is not generally limiting for plant fixation. In  
78 the Cerrado, higher soil fertility is related to regions with greater woody plant abundance and less grass  
79 cover, similar to conditions found in the Amazon rainforest (Moreno et al., 2008; Vourtilis et al., 2013;  
80 Veenendaal et al., 2015). However, phosphorus limitation is often neglected by DGVMs, which usually  
81 assume unlimited P availability and consider N to be the main limiting nutrient. Although N does affect  
82 tree growth (Davidson et al. 2004), it is not a limiting tropical soil nutrient when compared to total P  
83 availability, which affects competition between trees.

84 In principle, in transitional forests, where the climate is intermediate between wet and seasonally  
85 dry, the heterogeneous structure and phenology make it difficult to represent these forests in models.

86 The Amazon–Cerrado border is the result of the expansion and contraction of the Cerrado into the forest  
87 (see Marimon et al., 2006; Morandi et al., 2016), especially in Mato Grosso state, where extreme events,  
88 such as intense droughts, influence the vegetation dynamics (Marimon et al., 2014) and the nutrient  
89 (Oliveira et al., 2017) and carbon cycling (Valadão et al., 2016).

90 Currently, no model has been able to accurately simulate the vegetation transition between the  
91 Amazon and the Cerrado. In general DGVMs simulate evergreen forest along the Amazon–Cerrado  
92 border and miss savanna occurrence (Botta and Foley, 2002; Bond et al., 2005; Salazar et al., 2007;  
93 Smith et al., 2014). This difficulty may be because these models neglect or poorly represent nutrient  
94 limitation, soil properties or disturbances such as fire. Thus, we need a better understanding of the  
95 controls on transitional vegetation in order to determine the appropriate model parameters and simulate  
96 relations between environmental factors and transitional vegetation physiognomies.

97 In this paper we use the dynamic vegetation model INLAND (Integrated Model of Land Surface  
98 Processes) to evaluate the influence of interannual climate variability, fire occurrence and P limitation  
99 on Amazon–Cerrado transitional vegetation dynamics and structure. We assess how each element  
100 affects net primary production (NPP), leaf area index (LAI) and aboveground biomass (AGB) and  
101 compare the simulated AGB to observed AGB data. The results presented here are important for  
102 building models that accurately represent transitional vegetation and show the need for including the  
103 spatial variability of ecophysiological parameters for these areas.

## 104 **2 Materials and methods**

### 105 **2.1 Study area**

106 The present study focuses on the Amazon–Cerrado transition region (Fig. 1). We use the official  
107 delimitation of the Brazilian biomes proposed by IBGE (2004) and define five transects along the  
108 transition border with a  $1^\circ \times 1^\circ$  grid size (the terms “transition”, “Amazon–Cerrado transition” and  
109 “Forest-Savanna transition” are used interchangeably throughout this manuscript). Transects 1 to 4 all

110 span the Amazon–Cerrado border, extending approximately 330 km into each biome, while Transect 5  
111 is 880 km long and runs along the southern Amazon–Cerrado border. The transects are located as  
112 follows: Transect 1 (T1; 44°–50° W, 5°–7° S), Transect 2 (T2; 46°–51° W, 7°–9° S), Transect 3 (T3;  
113 48°–54° W, 9°–11° S), Transect 4 (T4; 49°–55° W, 11°–13° S), and Transect 5 (T5; 52°–60° W, 13°–  
114 15° S) (Fig. 1).

## 115 **2.2 Description of the INLAND surface model**

116 The Integrated Model of Land Surface Processes (INLAND) is the land-surface component of  
117 the Brazilian Earth System Model (BESM). INLAND, a revision of the IBIS model (Integrated  
118 Biosphere Simulator, described by Foley et al., 1996; Kucharik et al., 2000), has been developed by  
119 assembling and standardizing different IBIS versions and adding improvements in software  
120 engineering. We used the version described by Senna et al. (2009) as the starting point for INLAND  
121 without changes in tuning, aside from the addition of the P parameterization described below. Code is  
122 available from <http://www.biosfera.dea.ufv.br/en-US/download-inland>.

123 The model considers changes in the composition and structure of vegetation in response to the  
124 environment and incorporates important aspects of biosphere–atmosphere interactions. The model  
125 simulates the exchanges of energy, water, carbon and momentum between soil, vegetation and  
126 atmosphere. These processes are organized in a hierarchical framework and operate at different time  
127 steps, ranging from 60 minutes to 1 year, coupling ecological, biophysical and physiological processes.  
128 The vegetation composition is represented by 12 plant functional types (PFTs) (e.g., tropical broadleaf  
129 evergreen trees or C4 grasses), and the vegetation structure is represented by two canopy layers: upper  
130 (arboreal PFTs) and lower (shrubs and grasses, but no arboreal PFTs). The photosynthesis and  
131 respiration processes are simulated in a mechanistic manner using the Ball–Berry–Farquhar model  
132 (details in Foley et al., 1996). The vegetation phenology module simulates processes such as budding  
133 and senescence based on a drought phenology scheme for tropical deciduous trees. The dynamic

134 vegetation module computes the following variables yearly for each PFT: gross and net primary  
135 productivity (GPP and NPP), changes in AGB pools, simple mortality disturbance processes and  
136 resultant LAI; this allows vegetation type and cover to change with time. The partitioning of the NPP  
137 for each PFT resolves carbon into three AGB pools: leaves, stems and fine roots. The LAI of each PFT  
138 is obtained simply by dividing leaf carbon by specific leaf area, which in INLAND is considered fixed  
139 (one value) for each PFT.

140 INLAND has eight soil layers to simulate the diurnal and seasonal variations of heat and  
141 moisture. Each layer is described in terms of soil temperature, volumetric water content and ice content  
142 (Foley et al., 1996; Thompson and Pollard, 1995). Furthermore, all of these processes are influenced by  
143 soil texture and amount of organic matter within the soil profile.

144 Using these aspects of vegetation dynamics and soil physical properties, the model can simulate  
145 plant competition for light and water between trees, shrubs and grasses through shading and differences  
146 in water uptake (Foley et al., 1996). These PFTs can coexist within a grid cell, and their annual LAI  
147 values indicate the dominant vegetation type within a grid cell. For example, the dominant vegetation  
148 type is Tropical Evergreen Forest if the tropical broadleaf evergreen tree PFT has an annual mean upper  
149 canopy LAI ( $LAI_{upper}$ ) above  $2.5 \text{ m}^2 \text{ m}^{-2}$ . On the other hand, the dominant vegetation type is Tropical  
150 Deciduous Forest if the tropical broadleaf drought-deciduous tree PFT has an annual mean  $LAI_{upper}$   
151 above  $2.5 \text{ m}^2 \text{ m}^{-2}$ . Where total tree LAI ( $LAI_{upper}$ ) is between  $0.8$  and  $2.5 \text{ m}^2 \text{ m}^{-2}$ , the dominant  
152 vegetation type is Savanna, and  $LAI_{upper}$  values smaller than  $0.8 \text{ m}^2 \text{ m}^{-2}$  represent a Grassland vegetation  
153 type.

154 We assume that the vegetation types Tropical Evergreen Forest and Tropical Deciduous Forest  
155 in INLAND represent the Amazon rainforest, while Savanna and Grassland represent the Cerrado.  
156 INLAND's Savannas would be equivalent to the Cerrado physiognomies *Cerradão* and *Cerrado sensu*  
157 *strictu*, while INLAND's Grasslands would be equivalent to the physiognomies *Campo sujo* and *Campo*  
158 *Limpo* (*sensu* Ribeiro and Walter, 2008).

159 Soil chemical properties are represented by carbon (C), nitrogen (N) and phosphorus. The  
160 carbon cycle is simulated through vegetation, litter and soil organic matter, where the biogeochemical  
161 module is similar to the CENTURY model (Parton et al., 1993; Verberne et al., 1990). The amount of C  
162 existing in the first meter of soil is divided into different compartments characterized by their residence  
163 time, which can vary from just hours for microbial AGB and organic matter to several years for lignin.  
164 The model considers only soil N transformations and C decomposition, but the N cycle is not fully  
165 simulated, and N does not influence the vegetation productivity; i.e., there is a fixed C:N ratio. The P  
166 cycle also is not fully implemented; instead, P limitation is spatially parameterized through the linear  
167 relationship developed by Castanho et al. (2013) to limit the gross primary productivity. A map of total  
168 P available in the soil ( $P_{total}$ ) is used by the model to estimate the maximum capacity of carboxylation by  
169 the Rubisco enzyme ( $V_{max}$ ) for each grid cell using Eq. (1):

$$170 \quad V_{max} = 0.1013P_{total} + 30.037 \quad (1)$$

171 where  $V_{max}$  and  $P_{total}$  are given in  $\mu\text{mol CO}_2 \text{ m}^{-2} \text{ s}^{-1}$  and  $\text{mg P kg}^{-1}$  soil, respectively. This equation has  
172 been based on data for tropical evergreen and deciduous trees and is applied only to these two PFTs; the  
173 other PFTs are unaffected.

174 INLAND also contains a spatial fire module, based on the Canadian Terrestrial Ecosystem  
175 Model CTEM (Arora and Boer, 2005). In this module, three aspects of the fire triangle are considered:  
176 the availability of fuel to burn, the flammability of vegetation and the presence of an ignition source.  
177 Each is represented daily by an independently calculated probability, and the product of the three is the  
178 probability of fire occurrence, calculated daily. Availability of fuel to burn depends on biomass,  
179 flammability depends on soil moisture, and ignition depends on a random lightning occurrence and a  
180 constant anthropogenic ignition probability. The daily fire occurrence probability is equal to the daily  
181 AGB burned fraction. The AGB burned fraction is accumulated throughout the year, and its ratio is  
182 applied at the end of each year to the grid cell area, reducing the leaf, wood and root biomass pools.  
183 After fire occurrence, the carbon allocation and mortality rates are not modified, and the recovery of



184 vegetation dynamics from a fire follows the model standard procedure, where upper and lower LAI are  
185 decreased, triggering competition between both canopies for light.

## 186 **2.3 Observed data**

### 187 **2.3.1 Phosphorus databases**

188 We used two P databases to estimate  $V_{\max}$  (Eq. (1)): one regional (referred to as PR) and one  
189 global (referred to as PG). In addition, a control P map (PC) represents the unlimited nutrient  
190 availability case, equivalent to a  $V_{\max}$  of  $65 \mu\text{mol CO}_2 \text{ m}^{-2} \text{ s}^{-1}$ , or  $350 \text{ mg P kg}^{-1}$  soil, according to Eq.  
191 (1).

192 The PR database was developed using data on total P in the soil for the Amazon basin published  
193 by Quesada et al. (2011), plus 54 additional samples measuring available P (P extracted via Mehlich-1  
194 extraction,  $P_{\text{Mehlich-1}}$ ) (Fig. 2a). We used the  $P_{\text{Mehlich-1}}$  values and clay contents measured in a forest–  
195 savanna transition region in Brazil (Mato Grosso state) to estimate  $P_{\text{total}}$  and expand the coverage area of  
196 the P data (Section S1). These 54 samples were gridded to a  $1^\circ \times 1^\circ$  grid to be compatible with the  
197 spatial resolution used by INLAND, resulting in 12 additional pixels with observed total P content (Fig.  
198 2a). For pixels without observed  $P_{\text{total}}$ , the  $P_{\text{total}}$  was assumed to be  $350 \text{ mg P kg}^{-1}$  soil, similar to the PC  
199 conditions.

200 A global data set of  $P_{\text{total}}$  (PG; Fig. 2b) was also used to estimate  $V_{\max}$ . This global data set is  
201 part of a database containing six global maps of the different forms of P in the soil (Yang et al., 2013).  
202 The uncertainties and limitations associated with this database are restricted to the Hedley fractionation  
203 data used, which are 17% for slightly weathered soils, 65% for soils at an intermediate stage of  
204 weathering and 68% for highly weathered soils (Yang et al., 2013).

### 205 **2.3.2 Aboveground biomass (AGB) database**

206 The AGB database used was created by Nogueira et al. (2015) and considered undisturbed (pre-  
207 deforestation) vegetation existing in the Brazilian Amazon. This database was compiled from a

208 vegetation map at a scale of 1:250000 (IBGE, 1992) and AGB averages from 41 published studies that  
209 conducted direct sampling in either forest (2317 plots) or non-forest or contact zones (1830 plots). We  
210 bilinearly interpolated the AGB (dry weight) for each transect to a resolution of  $1^\circ \times 1^\circ$  to ensure  
211 compatibility of the observed and simulated data.

212 Five longitudinal transects (Fig. 1) were individually used to characterize AGB at the Amazon–  
213 Cerrado border (Figs. 3a, 3b). For T1, T2, T3 and T4, the higher AGB values in the west and lower  
214 values in the east are consistent with the transition from a dense and woody vegetation (the Amazon  
215 forest) towards a sparse vegetation with lower AGB (the Cerrado). However, T1 shows a more gradual  
216 reduction of AGB along the west to east gradient, while for T2, T3 and T4 the transition is more abrupt.  
217 For T5 there is no west–east gradient; AGB heterogeneity is high and low AGB predominates across the  
218 transect (Fig. 3b).

219

## 220 **2.4 Simulations**

221 The model was forced with prescribed climate data based on the Climate Research Unit (CRU)  
222 database (Harris et al., 2014). Two climate boundary conditions were used: the first is referred to as the  
223 monthly climatological average (CA) that represents the average climate for the period 1961–1990. The  
224 second climate boundary condition is the historical data set for the continuous period between 1948 and  
225 2008, which provides information on interannual climate variability (CV). For both boundary  
226 conditions, the variables used are rainfall, solar radiation, wind velocity and maximum and minimum  
227 temperatures. The CRU database is developed from observations at meteorological stations across the  
228 world’s land areas; it has been widely used by the scientific community in case studies to evaluate El  
229 Niño–Southern Oscillation (ENSO) effects and other modes of interannual climate variability (Foley et  
230 al., 2002; Marengo, 2004; Wang et al., 2014) because these data preserve the spatial mean of rainfall

231 data, although they do not provide adequate representation of precipitation variance (Beguiría et al.,  
232 2016). The data set has a 1-degree spatial resolution and a monthly time resolution.

233 Soil texture data is based on the International Geosphere-Biosphere Programme – Data and  
234 Information System global IGBP-DIS (Hansen and Reed, 2000). In the CV group of runs, the model  
235 was spun-up by cycling the 1948–2008 climate data (a 61-year data set) seven times, totaling 427 years.  
236 In the CA group of runs, the annual mean climate data was cycled 427 times. In both cases, CO<sub>2</sub> varied  
237 from 278 to 380 ppmv, according to observations in the period, updated annually. In both cases, only  
238 the model results of the last 10 years were used to analyze the results.

239 The experimental design is a factorial combination of (1) the two climate scenarios (CA,  
240 monthly climatological average, 1961–1990; CV, monthly climate time series, 1948–2008), (2) the  
241 three scenarios for P limitation on V<sub>max</sub> (PC, no P limitation (V<sub>max</sub> = 65 μmol CO<sub>2</sub> m<sup>-2</sup> s<sup>-1</sup>); PR, regional  
242 P limitation; PG, global P limitation), and (3) the scenarios including (F) or excluding fire (Table 1).  
243 The 12 combinations in Table 1 allow the evaluation of individual and combined effects of climate, soil  
244 chemistry and fire incidence on the variables Net Primary Production (NPP), tree AGB, and LAI of the  
245 upper and lower canopies (LAI<sub>upper</sub>, LAI<sub>lower</sub>).

246 We consider that the difference between the simulations (CV+PC) and (CA+PC) represents the  
247 isolated effect of interannual climate variability without P limitation. The same logic is applied to  
248 isolate other factors, fire and P limitation, in different climate scenarios. For example, the fire effect  
249 under average climate without P limitation case is calculated by the difference between CA+PC+F and  
250 CA+PC. Similarly, the isolated effect of fire under a climate scenario with interannual variability  
251 without influence of P limitation is calculated by the difference between CV+PC+F and CV+PC. The  
252 different combinations of climate scenarios with and without fire effects and with and without P  
253 limitations are described in Table 2.

## 254 2.5 Statistical analysis and determination of the best model configuration

255 The statistical analysis is divided into four parts. First, we present maps of the isolated effects  
256 for all of the simulated area, calculated as the average of the last ten years of simulated spatial patterns.  
257 The statistical significance of these isolated effects on NPP, LAI and AGB are determined using the  $t$ -  
258 test with  $p < 0.05$ . The results are tested in each pixel, for all of the simulated domain ( $n = 10$ ).

259 Second, we present an analysis of variance using one-way ANOVA and the Tukey–Kramer test  
260 in the transition zone. We consider all 31 pixels that fall in transects T1 to T5 ( $n_{\text{pixels}}$ ). The results  
261 presented are based on the last 10 years of simulation (1999–2008,  $n_{\text{years}}$ ) for the 12 combinations  
262 ( $n_{\text{simulation}}$ ) in Table 1. We group the 12 treatments in three different ways in order to assess separately  
263 the effects of climate, P limitation, and fire. In Group 1 we group treatments according to climate  
264 treatment and compare results for all simulations that used CV versus those that used CA, regardless of  
265 P limitation or inclusion of fire (Group 1,  $n = 1860$ , ( $n_{\text{pixel}} \times n_{\text{year}} \times (n_{\text{simulation}}/2)$ ). Similarly, in Group 2,  
266 to look at P limitation, we test if the PC, PR and PG scenarios differed significantly regardless of the  
267 fire or climate scenario used (Group 2,  $n = 1240$ , ( $n_{\text{pixel}} \times n_{\text{year}} \times (n_{\text{simulation}}/3)$ ). In Group 3 we test if fire  
268 introduced a significant effect regardless of climate and P limitation scenario (Group 3,  $n = 1860$ , ( $n_{\text{pixel}}$   
269  $\times n_{\text{year}} \times (n_{\text{simulation}}/2)$ ). Finally, all treatments are tested for each simulation in order to assess the  
270 individual and combined effects of climate, P limitation, and fire on NPP, LAI and AGB ( $n_{\text{pixel}} \times n_{\text{year}} =$   
271 310).

272 Third, a correlation coefficient between the simulated and observed values for AGB is calculated  
273 for each transect. The simulated variables are averaged for the last 10 years of simulations (1999–2008)  
274 and compared to AGB from Nogueira et al. (2015) within a grid cell.

275 Finally, we evaluate INLAND’s ability to assign the dominant vegetation type by analyzing 10  
276 years of probability of occurrence. If the dominant vegetation type (evergreen tropical forest or  
277 deciduous forest for the Amazon rainforest, and savanna or grasslands for Cerrado) in a pixel is the  
278 same in more than 90% of the simulated years (9 out of 10), then the simulated vegetation type is

279 defined as “very robust” for that pixel; if it occurs in 70 - 90% of the simulated years, the simulated  
280 result is considered to be “robust”. If the dominant vegetation occurred in less than 70% of simulated  
281 years, the pixel is considered “transitional” vegetation.

## 282 **3 Results**

### 283 **3.1 Influence of climate, fire and phosphorus on the Amazon–Cerrado transition region**

#### 284 **3.1.1 Spatial patterns**

285 Overall, the inclusion of interannual climate variability (CV) decreased the simulated average  
286 tree biomass (TB) by 3.8% for the entire Brazilian Amazon, and by 8.7% for the entire Cerrado in  
287 comparison to results obtained using average climate (CA), calculated as  $(CV+PC) - (CA+PC)$  (Fig.  
288 4a). The spatial differences between CV and CA for TB simulations are statistically significant and  
289 range from  $-3 \text{ kg C m}^{-2}$  to  $+2 \text{ kg C m}^{-2}$ . The state of Pará, with higher influence of the El Niño  
290 phenomenon, experienced the largest decrease in TB in the CV simulation. In the state of Roraima, on  
291 the other hand, there was an increase of about  $2 \text{ kg C m}^{-2}$  in TB when CV was considered. Bolivia and  
292 southwestern Mato Grosso state also presented, in some grid points, a significant increase in TB  
293 exceeding  $2 \text{ kg C m}^{-2}$ .

294 On average, P acts as a limiting factor on the simulated TB, decreasing it by 13% in the regional  
295 P simulation (PR) and by 15% in the global P simulation (PG). In PR, TB decreased mainly in  
296 southeastern Amazonia (between Pará and northeastern Mato Grosso states) and northwestern  
297 Amazonas state (Fig. 4b). In PG, the largest TB declines occurred in central Amazonia, northeastern  
298 Pará and northeastern Mato Grosso (Fig. 4c). In the Cerrado, on the other hand, TB declined by 2% for  
299 PR and 9% for PG with respect to the control simulation. In PR, the few pixels in the Cerrado that have  
300 P limitation showed a significant decrease in TB (Fig. 4b), while in PG the TB reduction was  
301 statistically significant for most of the Cerrado domain, except in southern Tocantins state (Fig. 4c).

302 The tree biomass reduction due to fire events is much higher in magnitude than the effect of P  
303 limitation or interannual climate variability (Fig. 4d). The small or null fire effect in the central Amazon  
304 rainforest is mainly related to that region's greater water availability, which makes the forest naturally  
305 fire resistant. Moving into the Cerrado region, on the other hand, a gradient towards seasonally dryer  
306 climate increases the intensity and magnitude of fire effects (Fig. 4d). The fire effect on TB over the  
307 Amazon domain was 21% to 24% of the P limitation effect (range for PR and PG cases), while the fire  
308 effect on TB over the Cerrado was more than 250% of the P limitation effects in CV simulations, due to  
309 quick growth of grasses after fire occurrence in the latter.

### 310 **3.1.2 Influence of climate, fire and phosphorus in the transects**

311 Results of the ANOVA and Tukey-Kramer tests indicate that the inclusion of CV, P limitation  
312 (PR and PG) and fire in INLAND led to significantly different results for average NPP, LAI and AGB  
313 in the transition zones. The influences of climate, P and fire are shown separately in Tables 3 to Table 5  
314 and combined in Table 6.

315 The inclusion of CV reduces the NPP from  $0.68 \text{ kg C m}^{-2} \text{ yr}^{-1}$  to  $0.64 \text{ kg C m}^{-2} \text{ yr}^{-1}$  (Table 3) and  
316 the P-limitation effect reduces NPP from  $0.71 \text{ kg C m}^{-2} \text{ yr}^{-1}$  to  $0.64 \text{ kg C m}^{-2} \text{ yr}^{-1}$  (for both PR and PG)  
317 (Table 4). The fire effect, on the other hand, has a positive effect on NPP, increasing it from  
318  $0.66 \text{ kg C m}^{-2} \text{ yr}^{-1}$  when fire is off to  $0.67 \text{ kg C m}^{-2} \text{ yr}^{-1}$  when fire is on. This difference, albeit low, is  
319 statistically significant (Table 5).

320 In addition, both CV and P limitation reduce the  $\text{LAI}_{\text{total}}$  in the canopy (Table 3 and Table 4),  
321 while the inclusion of fire increases  $\text{LAI}_{\text{lower}}$  more than threefold and decreases  $\text{LAI}_{\text{upper}}$  (Table 5). The  
322 effect of including fire on AGB (a 46.7% decrease, Table 5) is greater in magnitude than the effect of  
323 including CV (a 5% decrease, Table 3) or P limitation (a 14% decrease, Table 4).

324 Although CV effects on NPP and AGB for each simulation are not statistically significant, the  
325 effects of fire and P limitation (regardless of phosphorus map) are. Fire effects are significant only for  
326 structural variables: AGB,  $\text{LAI}_{\text{total}}$ ,  $\text{LAI}_{\text{upper}}$  and  $\text{LAI}_{\text{lower}}$ . Simulations showed that  $\text{LAI}_{\text{total}}$  was

327 1.52 m<sup>2</sup> m<sup>-2</sup> greater for CV+PG+F as compared to CV+PG, and 1.32 m<sup>2</sup> m<sup>-2</sup> greater for CV+PR+F as  
328 compared to CV+PR (Table 6).

### 329 **3.1.3 West–East patterns of AGB in the Amazon–Cerrado transition**

330 The model used in this study simulates >80% of the observed AGB variability in all treatments  
331 along the transition area except in T5 (Table 7). It shows that the model is able to capture AGB  
332 variability along the transition area, which is notable when compared to studies that simulate 50% of the  
333 observed AGB variability (Senna et al., 2009; Castanho et al., 2013).

334 It is not possible to identify a treatment that best represents AGB for all transects (Table 7). A  
335 combined analysis of Table 7 and Fig. 5 indicates a general agreement that observed AGB decreases  
336 from west to east in T1 through T4, and this is well captured by several configurations of the model,  
337 with specific differences among them. Overall, CA and PC configurations, being the least disturbed  
338 treatments, yield higher AGB, while the introduction of CV, PG and F reduce the AGB. However, the  
339 simulated results may be above or below the observed ones, which suggests that additional local factors  
340 are not included in the model.

341 The curves of AGB (Fig. 5) show the impact of CV, PG and F along the W–E transition. PG has  
342 a high influence on the transition, decreasing the ABG especially in the western part of the transects,  
343 where Amazonian vegetation is predominant. This feature is particularly notable in T3 and T4, where  
344 PG decreases the AGB by 2 kg C m<sup>-2</sup> in the western pixels of these transects (Fig. 5). In T1, T2 and T5,  
345 AGB decline is also higher with P limitation when compared to the curves limited only by CV.  
346 However, in T1, model simulations tend to underestimate the highest and the lowest AGB extremes,  
347 and the absolute values were always underestimated, despite the better correlation with the inclusion of  
348 the fire component (Table 7).

349 In T2, T3 and T4, however, fire changes the simulated AGB, making it closer to the observed  
350 AGB in the eastern pixels of the Cerrado domain (Fig. 5). In T5 these relationships are similar, with  
351 climate having a smaller influence than P on AGB; fire appears mainly to reduce AGB.

## 3.2 Simulated vegetation composition

Most of the pixels in CA show very robust simulations, with more than 90% of the same vegetation cover in the last 10 years of simulation (Figs. 6a–c and 6g–i). A larger number of pixels with transitional vegetation were simulated in CV (Figs. 6d–f and 6j–l). An even higher variability in CV compared to CA simulations was observed when we added the effects of P limitation and fire (Figs. 6a and 6j–l).

The vegetation composition in all P-limitation scenarios for CA simulations resulted in robust simulations for nearly all pixels, except for the northern Cerrado domain (Figs. 6a, 6b, 6c). The CA+PC and CA+PR simulations had the same vegetation composition, while CA+PG replaced the deciduous forest with evergreen forest in the central Cerrado region, around 8° S, 46° W (Figs. 6A, 6B, 6C). This behavior might be related to the higher  $P_{\text{total}}$  values in PG than PR and PC for the Cerrado region (Fig. S1). Cerrado was better represented in CV+PC, CV+PR and CV+PG than in the same CA combinations (Fig. 6). The occurrence of forested areas in the central Cerrado decreased in CV treatments, where it was replaced by the savanna or grassland vegetation class.

When the effect of fire was added to CA simulations, the model simulated an increase in the uncertainty of the vegetation cover classification in the Cerrado region. Fire in the CA+PC+F simulation reduced the deciduous forest in the central Cerrado biome, and the vegetation was replaced by evergreen forest in about five pixels that have clay soils with large water retention capacity (Figs. 6G, 6H, 6I). In this situation, where there is little water stress, both evergreen and drought-deciduous PFTs have a very high LAI. Fire, although active, is probably too small to be relevant in a non-stressed ecosystem. In CV simulations, however, fire results in the replacement of the deciduous and perennial forest by savanna and grasses in the entire central Cerrado region (Figs. 6J, 6K, 6L). These results show the limitations of CA and the importance of considering interannual climate variability in simulations to improve the accuracy of simulated vegetation as compared to observed.



376 For all treatments, transitional forest areas in the northern and southwestern Cerrado biome are  
377 not adequately represented. With >90% of concordance, INLAND assigns tropical evergreen forest  
378 rather than deciduous forest in some pixels in the north of the transition area, and tropical evergreen  
379 forest rather than savanna in the southwest, indicating difficulty in simulating transitional vegetation in  
380 these regions.

#### 381 **4 Discussion**

382 The inclusion of CV, PR and PG and fire in INLAND revealed significant influences on the  
383 simulated vegetation structure and dynamics of the Amazon–Cerrado border (Fig. 4 and Table 6),  
384 suggesting that these factors play a key role in determining vegetation structure of the forest–savanna  
385 border and can improve the simulated representation of the current contact zone between these biomes.  
386 This is broadly consistent with the literature that investigated causes of savanna existence in the real  
387 world (Hoffmann et al., 2012; Dantas et al., 2013; Lehmann et al., 2014). In this study, the spatial  
388 analysis and the Tukey-Kramer test (TK) show a difference in magnitude among these factors in  
389 vegetation, with fire occurrence and P limitation being stronger than interannual climate variability  
390 along the transects (Fig. 4).

391 The spatial analysis showed that CV reduces AGB especially in eastern Amazonia (Fig. 4a).  
392 Climate of this region is intensely affected by ENSO, which can reduce precipitation by 50%, placing  
393 the vegetation under intense water stress (Botta and Foley, 2002; Foley et al. 2002; Marengo et al.,  
394 2004; Andreoli et al., 2012; Hilker et al., 2014). This reduction in rainfall in dry years induces direct  
395 changes in carbon flux (NPP) and stocks in leaves and wood, leading to changes in vegetation structure.  
396 In addition to interannual changes in rainfall, interannual variability in other climate variables in CV  
397 also affects AGB (namely, average, maximum and minimum temperatures, wind speed, and specific  
398 humidity), and influences photosynthesis in the model, both directly (through Collatz and Farquhar  
399 equations) and indirectly (e.g. through evapotranspiration). Our results showed significant differences  
400 for most parts of the biomes, except central Amazonia (Fig. 4a), where CV and precipitation seasonality

401 have been noted as secondary effects on vegetation (Restrepo-Coupe et al., 2013), since there is no  
402 shortage of water available during the dry season.

403 Across the Cerrado, lower water availability in some years in CV affects tree biomass, despite  
404 the fact the vegetation is predominantly grassy–herbaceous. The AGB decline is significant for most of  
405 the simulated Cerrado domain (Fig. 4a), and average values could represent half the amount of typical  
406 tree biomass in this biome, reflecting INLAND’s ability to simulate similar Cerrado conditions and  
407 expose the few trees to high water stress.

408 However, no significant difference in average AGB was found throughout the transects between  
409 CV+PC and CA+PC (TK,  $p < 0.05$ ) (Table 6). On the other hand, when we analyzed the influence of  
410 CV for the same pixels across all simulations (Table 3), the results showed that the decrease in AGB by  
411  $0.38 \text{ kg C m}^{-2}$  (5.7%) is statistically significant along the transition, regardless of P limitation and fire  
412 occurrence.

413 The P limitation effect was statistically significant for PR and PG throughout the Amazon  
414 domain, and the main differences between these simulations were the spatial patterns of reduced tree  
415 AGB (Figs. 4b and 4c). We cannot affirm which of these databases is better, since they were derived  
416 using different methodologies and observations (Quesada et al., 2009; Yang et al., 2014). However, PG  
417 showed a higher AGB decrease in central Amazonia, northeastern Pará and northeastern Mato Grosso  
418 state, indicating that in these areas the P limitation is higher. This result does not corroborate the  
419 northwest–southeast AGB gradient found in the Amazon basin, where studies have shown higher  
420 productivity in the west, where soils are more fertile than those found in the southeast (Aragão et al.,  
421 2009; Saatchi et al., 2007; Nunes et al., 2012; Lee et al., 2013). On the other hand, PR AGB agrees with  
422 the northwest–southeast gradient, suggesting less P limitation in the soils of central Amazonia, with  
423 declines in AGB mainly in the southeastern part of the rainforest (between Pará and northeastern Mato  
424 Grosso states) (Fig. 4b).

425 In the Cerrado, P limitation also influenced vegetation (Fig. 4c) and presented statistically  
426 significant differences when we compared CV+PG – CV+PC. In this biome, as well as in the Amazon,  
427 tree abundance, richness and diversity have been generally associated with higher soil fertility (Long et  
428 al., 2012; Vourtilis et al., 2013), highlighting the importance of P in the composition and maintenance  
429 of vegetation, especially in transition areas.

430 Compared to the Amazon domain, the effects of P limitation are smaller in the Cerrado.  
431 However, few pixels in PR that have P limitation showed a significant decrease in arboreal AGB (Fig.  
432 4b). In PG, we found reduction of AGB for most of the Cerrado domain, except for the southern part of  
433 Tocantins state (Fig. 4c). Despite the differences in spatial patterns, there were no statistically  
434 significant differences between PR and PG within the transects (Table 4 and Table 6).

435 The spatial differences between PG and PR revealed that PG is lower than PR in western  
436 Amazonia and higher in northern Amazonia. Moreover, PG has lower P values in the southern part of  
437 the transition compared to PR, while in the Cerrado domain P values ranged from 120 to 200 mg kg<sup>-1</sup>  
438 (Fig. S1). Although the PR data set includes all known P data collected in the region, these differences  
439 reinforce the need to improve the data on P<sub>total</sub> for the soils of the Amazon and the Amazon–Cerrado  
440 transition domains. Currently, P<sub>total</sub> data for the Cerrado is scarce, making it unfeasible to establish a  
441 proxy similar to Castanho et al. (2013), which was specific for the Amazon.

442 In INLAND, the inclusion of P limitation, parameterized simply through the linear relationship  
443 between V<sub>max</sub> and P<sub>total</sub>, showed significant spatial differences in simulated AGB and an improvement in  
444 simulation accuracy, highlighting the importance of P limitation in modeling studies. For the most part,  
445 Dynamic Global Vegetation Models (DGVMs) do not consider the complete phosphorus cycle (see  
446 exceptions in Goll et al., 2012 and Yang et al., 2014), despite the importance of nutrient cycling for  
447 AGB maintenance and tropical vegetation dynamics in dystrophic soils. For example, nutrient cycling  
448 in the Amazon–Cerrado transition region is closely related to the hyper-dynamic turnover of AGB  
449 (Valadão et al. 2016). Vegetation sustains the constant input of nutrients, including large annual

450 amounts of available P, and in fact some key species might be crucial to the hyper-cycling of nutrients  
451 (Oliveira et al. 2017). In addition, weather can affect nutrient fluxes: intense rain can leach nutrients  
452 such as nitrogen, and strong winds can transport clay particles on which nutrients are adsorbed.  
453 However, in this work nutrient conditions are prescribed and fixed.

454 Fire occurrence is another important factor that controls AGB dynamics in the Cerrado and in  
455 transitional vegetation (Hoffman et al., 2003; Hoffman et al., 2012; Silvério et al., 2013; Couto-Santos  
456 et al., 2014; Balch et al., 2015). This study clearly corroborates these findings, showing statistically  
457 significant influences of fire when compared to control simulations (Fig. 4d and Table 5). In the  
458 transition region, the fire effect may reduce average AGB by 50% (Table 5), which under climate  
459 change or deforestation conditions may lead to an even stronger change in the vegetation structure and  
460 dynamics. As stated in section 2.2, fire in INLAND acts on upper- and lower-canopy LAI according to  
461 fire occurrence, triggering competition. The changes in canopy structure after fire occurrence are  
462 exclusively due to the canopy opening and consequently allowing more penetration of photosynthetic  
463 radiation into the lower canopy. This competition induces a significant increase of the lower canopy,  
464 resulting in increased  $LAI_{lower}$  (Table 5).

465 The model does not include fire characteristics such as velocity, intensity and duration of  
466 burning (Hoffman et al., 2003; Rezende et al., 2005; Elias et al., 2013; Reis et al., 2015) or the  
467 representation of some tree morphological adaptations, such as bark thickness, that confer fire resilience  
468 to Cerrado species. Thus, trees and grasses throughout the Amazon–Cerrado border area are equally  
469 affected by occurrence of fire within a grid cell. However, despite these limitations in the representation  
470 of fire characteristics and morphological attributes of fire resistance, our results show that simulated  
471 biomass is closer to observed biomass in Cerrado areas when the fire module is activated (Fig. 5). An  
472 improvement in distribution of biomes along the simulated transition area is also observed (Fig. 6g-i),  
473 highlighting fire as an essential factor in representing the Amazon–Cerrado border.

474 This study shows better correlation between simulated and observed AGB when compared to  
475 previous modeling studies, regardless of treatment. The correlation coefficients are generally above 0.80  
476 for the transects except for T5, for which the correlation coefficient is generally below 0.5 (Table 7).  
477 Senna et al. (2009) found a maximum correlation coefficient between simulated and observed ABG of  
478 0.20, while Castanho et al. (2013) showed a correlation coefficient of 0.80 for the Amazon domain.  
479 From Fig. 5, it is clear that CV, F and P limitation in the transition zone reduce AGB, causing the  
480 simulated data to approach the observed data. However, the inclusion of these effects is still insufficient  
481 to represent the correct distribution of vegetation types throughout the Amazon–Cerrado border region  
482 (Fig. 6L). In our interpretation, this means that other important factors still need to be represented,  
483 especially in T5, where soils are rocky and shallow. Better spatial representation of soil physical  
484 properties (including shallow, rocky soils), as well as spatially varying physiological parameterizations  
485 of the vegetation (such as carbon allocation, deciduousness of vegetation and residence time) and  
486 improved representation of fire and vegetation fire resistance are probably needed to improve the  
487 accuracy of simulations, in particular in the northern and southern extremes of the border region (T1  
488 and T5).

489 For all transects, the AGB curves have similar patterns (Fig. 5); smaller differences are observed  
490 between the CA+PC and CV+PG curves, while larger differences are observed when fire is present. The  
491 effect of P limitation is intermediate in magnitude, but it reduces AGB more than including interannual  
492 climate variability does. In the east, it is observed that there is little or no difference among AGB  
493 simulated with CA+PC, CV+PC and CV+PG, revealing that interannual climate variability and P have a  
494 smaller influence on the AGB there. In the east of T2, T3 and T4, fire is the factor that brings the  
495 simulated results closest to the observed data (Fig. 5); this differs from the results for the western grid  
496 points, where CV+PG appears to be a better proxy for observed data.

497 These results are interesting because they reflect the different mechanisms that regulate the  
498 structure of these ecosystems and probably the vegetation structure and distribution in different

499 locations. For example, P limitation seems to be the factor that improves simulated AGB in regions  
500 where the predominant vegetation type is tropical rainforest. Fire, on the other hand, improves the  
501 representation of AGB in grid points where Cerrado vegetation occurs. Moreover, important factors  
502 such as partitioning productivity into leaves, roots and wood carbon pools are assumed to be fixed in  
503 space and time within a given PFT, neglecting the natural capacity of transitional forests to adapt and to  
504 adjust their metabolisms to local environmental conditions (Senna et al., 2009). In years of severe  
505 drought or under frequent fire occurrence, transitional forests can prioritize the stock of carbon in fine  
506 roots instead of the basal or leaf increment in order to maximize access to water, undergo hydraulic  
507 redistribution of soil moisture to maintain greenness and photosynthesis rates, or increase the capacity  
508 to resprout after fire occurrence (Hoffman et al., 2003; Brando et al., 2008). Brando et al. (2008) found  
509 changes in carbon allocation after an artificial drought in eastern Amazonia, with wood production  
510 reduced 13%–60% and an associated increase in root production. Although in INLAND low soil  
511 moisture can reduce photosynthetic rates, carbon allocation rates are fixed (Fig. 5a).

512 T2, T3 and T4, located in the central part of the Amazon–Cerrado transition, showed the highest  
513 average correlations between observed and simulated data (Table 7). For these transects, INLAND  
514 seems to be able to capture the high variability of the AGB gradient.

515 At T5, located at the south of the transition area, average correlations were low for all  
516 treatments, indicating that INLAND has difficulty representing the AGB gradient in that region (Table  
517 7). However, it captures the lower AGB as compared to the northern areas. In this region, the vegetation  
518 is characterized by a wide diversity of characteristics, which vary along with other important factors,  
519 such as lithology, soil depth, topography and soil fertility. The observed data also showed high AGB  
520 variability, indicating that there are changes in the vegetation structure for this area featuring medium-  
521 sized and small vegetation types on different soil types. In INLAND, however, features such as  
522 lithology and water-table depth are not considered due to the complexity of representing them on a large

523 scale; this limits the model's ability to represent heterogeneous environments throughout the transitional  
524 region.

525 Patterns of vegetation distribution along the Amazon–Cerrado border are influenced not only by  
526 interannual climate variability, P limitation, and fire, but also by ecophysiological parameters.  
527 Additional field experiments are needed to understand relationships among currently fixed parameters  
528 (such as carbon allocation, residence time and deciduousness), environmental conditions and soil  
529 properties.

530

## 531 **5 Conclusions**

532 This is the first study that uses modeling to assess the influence of interannual climate  
533 variability, fire occurrence and phosphorus limitation to represent the Amazon–Cerrado border. This  
534 study shows that, although the model forced by a climatological database is able to simulate basic  
535 characteristics of the Amazon–Cerrado transition, the addition of factors such as interannual climate  
536 variability, phosphorus limitation and fire improves simulation of vegetation types. These effects are not  
537 homogeneous throughout the region, which makes the adequate simulation of biomass challenging in  
538 some places. Based on the *F*-statistic reported in Tables 3, 4 and 5, fire is the main factor determining  
539 changes in vegetation structure (LAI, AGB) along the transition. Phosphorus limitation is second in  
540 magnitude, stronger than the effect of interannual climate variability.

541 Overall, although INLAND typically simulates more than 80% of the variability of biomass in  
542 the transition zone, in many places the biomass is clearly not well simulated. Situations for markedly  
543 wet or dry climate conditions were well simulated, but the simulations are generally poor for  
544 transitional areas where the environment selected physiognomies that have an intermediate behavior, as  
545 is the case for transitional forests in northern Tocantins and Mato Grosso.

546 There is evidence that the inclusion of spatially explicit parameters such as woody biomass  
547 residence time, maximum carboxylation capacity ( $V_{\max}$ ), and NPP allocation to wood may improve

548 Amazon rainforest AGB simulation by DGVMs (Castanho et al., 2013). However, in the transitional  
549 area, the lack of measured field parameters limits inclusion of the variability of these biophysical  
550 parameters in DGVMs. Additional field work and compilation of existing data are necessary to obtain  
551 physiological and structural parameters throughout the Amazon–Cerrado border area to establish  
552 numerical relationships between soil, climate and vegetation. With the help of these data, dynamic  
553 vegetation models will be able to improve simulation of current patterns and future changes in  
554 vegetation considering climate change scenarios. In addition, it is necessary to include not only spatial  
555 variability, but also temporal variability in physiological parameters of vegetation, allowing more  
556 realistic simulation of soil–climate–vegetation relationships. Finally, our results reinforce the need for  
557 DGVMs to incorporate nutrient limitation and fire occurrence for simulating the Amazon–Cerrado  
558 border position.

559

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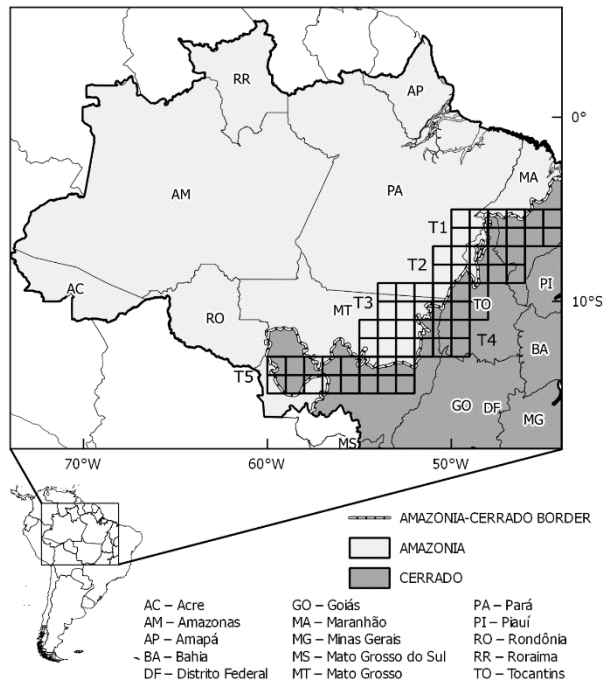
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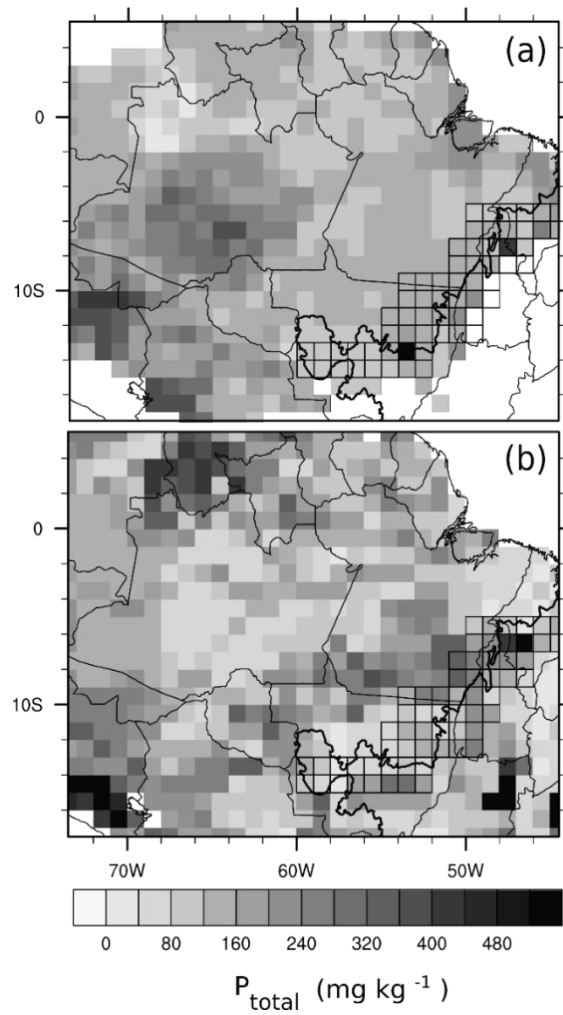
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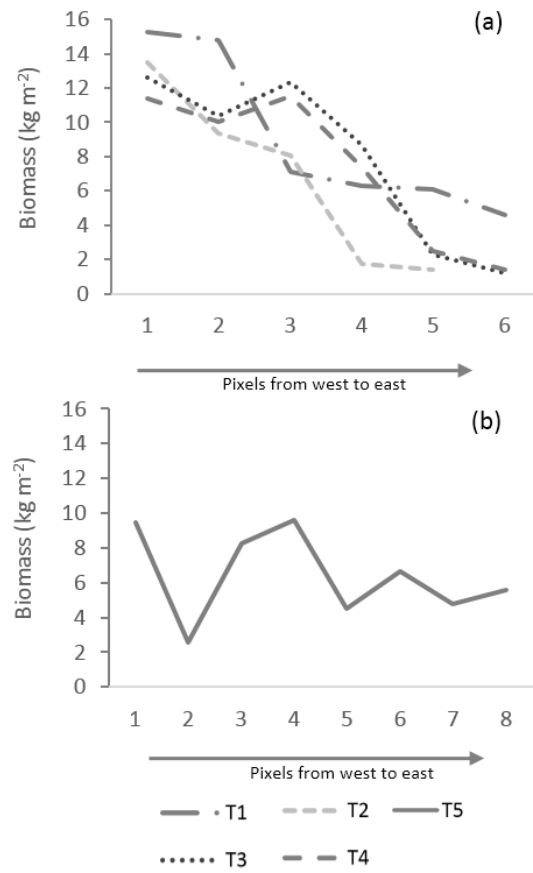
845 **Figure 1.** Delimitation of the study area, showing Amazonia (in light gray) and the Cerrado (in dark  
 846 gray) (IBGE, 2004), and the location of five west–east transects used in this work (from T1 to T5). The  
 847 dashed line represents the border between biomes.



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849 **Figure 2.** (a) Regional map of total P in the soil (PR), (b) Global map of total P in the soil (Yang et al.,  
 850 2013) (PG).

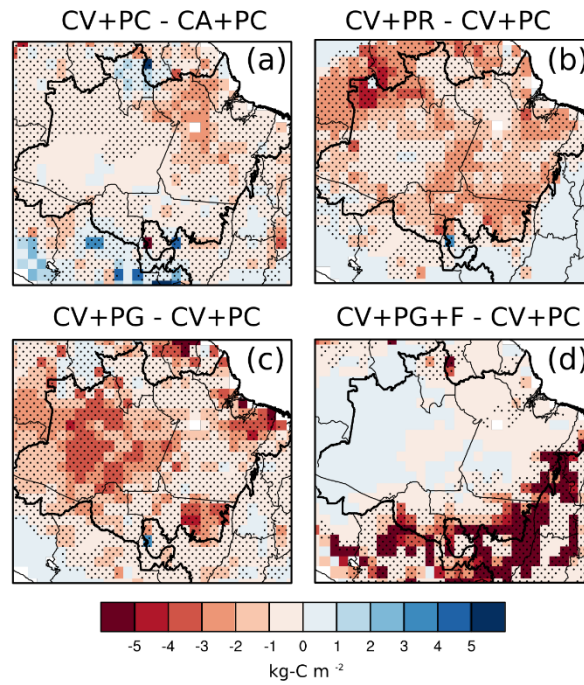
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853 **Figure 3.** Average variations of aboveground biomass (AGB) in pixels from west to east in the

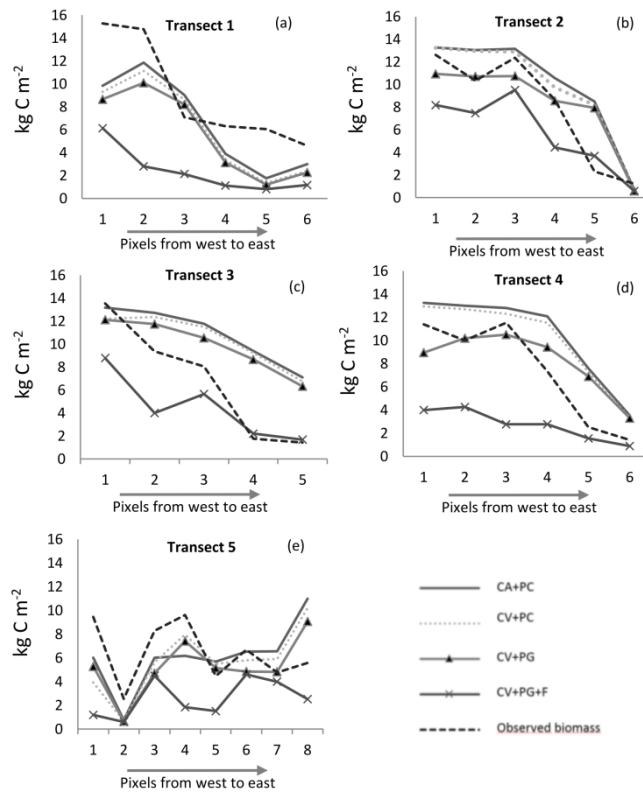
854 Amazon-Cerrado transition for transects (a) T1, T2, T3 and T4, and (b) T5.



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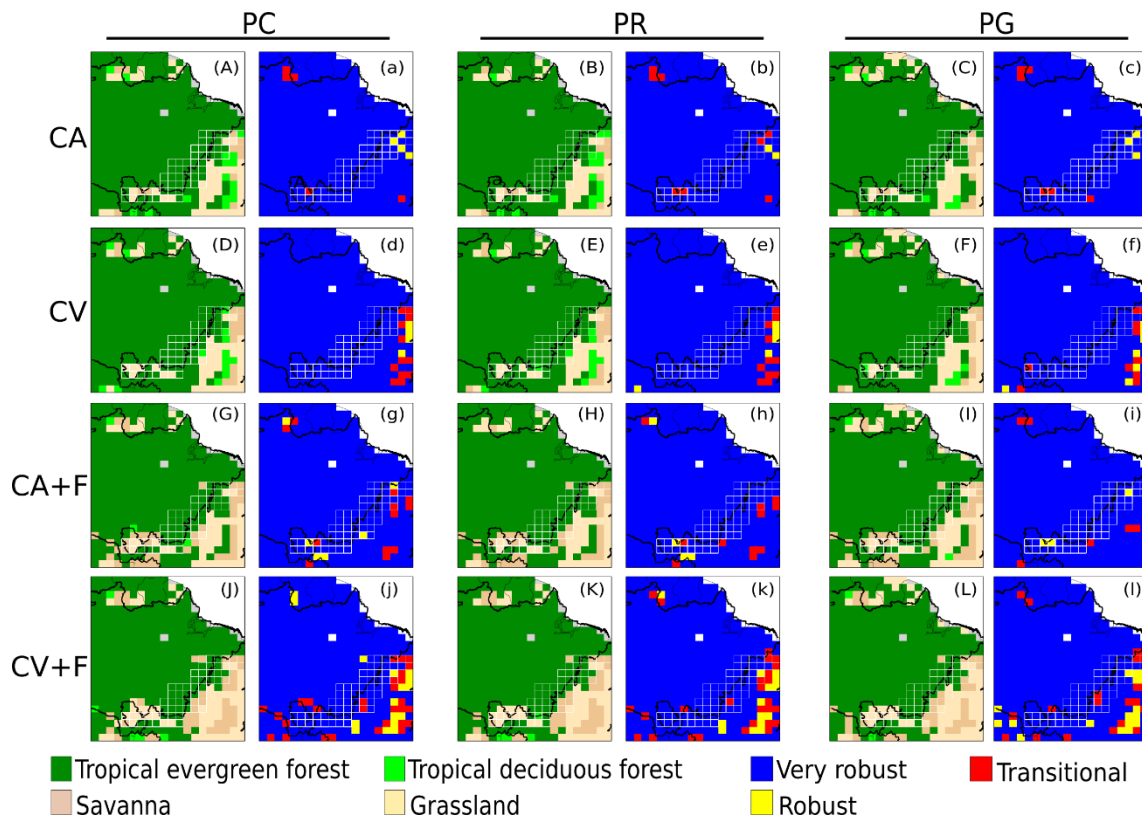
856 **Figure 4.** Effects of interannual climate variability (a), regional P limitation (b), global P limitation (c),  
 857 and fire (d) on AGB. The hatched areas indicate that the variables are significantly different compared  
 858 to the control simulation at the level of 95% according to the *t*-test. The thick black line indicates the  
 859 geographical limits of the biomes.





860

861 **Figure 5.** Average longitudinal AGB gradient in the Amazon–Cerrado transition simulated for T1 to T5  
 862 (a–e) considering different combinations of factors represented in the simulations: seasonal climate  
 863 simulation (control) (CA+PC); interannual climate variability simulation (CV+PC); interannual climate  
 864 variability + global P limitation simulation (CV+PG); and interannual climate variability + P limitation  
 865 + fire occurrence simulation (CV+PG+F). Observed AGB is also shown for comparison with simulation  
 866 results.



868

869 **Figure 6.** Results for the dominant vegetation cover simulated by INLAND for the different treatments

870 (A–L) and a metric of variability of results (a–l). Simulations are considered very robust if the dominant

871 vegetation agrees in 9–10 of the last 10 years of simulation, robust if it agrees in 7–8 years, and

872 transitional if it agrees in 6 or fewer years.

873

874

875 **Table 1.** Twelve simulation treatments evaluated by the INLAND model for the Amazon–Cerrado  
 876 transition zone. CA, monthly climatological average, 1961–1990. CV, monthly climate data, 1948–  
 877 2008. Nutrient limitation on  $V_{\max}$ : PC, no P limitation ( $V_{\max} = 65 \mu\text{mol CO}_2 \text{ m}^{-2} \text{ s}^{-1}$ ); PR, regional P  
 878 limitation; PG, global P limitation.

Climate	CO <sub>2</sub>	Fire (F)	V <sub>max</sub>		
			PC	PR	PG
CA	Variable	Off	CA+PC	CA+PR	CA+PG
CA	Variable	On	CA+PC+F	CA+PR+F	CA+PG+F
CV	Variable	Off	CV+PC	CV+PR	CV+PG
CV	Variable	On	CV+PC+F	CV+PR+F	CV+PG+F

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880

881 **Table 2.** Individual and combined effects for each simulation treatment for the Amazon–Cerrado  
 882 transition zone. CA, monthly climatological average, 1961-1990. CV, monthly climate data, 1948-2008.  
 883 Nutrient limitation on  $V_{\max}$ : PC, no P limitation ( $V_{\max} = 65 \mu\text{mol CO}_2 \text{ m}^{-2} \text{ s}^{-1}$ ); PR, regional P limitation;  
 884 PG, global P limitation.

<b>Climate (C)</b>	<b>Phosphorus (P)</b>	<b>Fire (F)</b>
(CV+PC) - (CA+PC)	(CA+PR) - (CA+PC)	(CA+PC+F) - (CA+PC)
(CV+PR) - (CA+PR)	(CV+PR) - (CV+PC)	(CV+PC+F) - (CV+PC)
(CV+PG) - (CA+PG)	(CA+PG) - (CA+PC)	(CA+PR+F) - (CA+PR)
	(CV+PG) - (CV+PC)	(CV+PR+F) - (CV+PR)
		(CA+PG+F) - (CA+PG)
		(CV+PG+F) - (CV+PG)

885

886

887 **Table 3.** Summary of average NPP, LAI and AGB for the Amazon–Cerrado transition zone over the  
888 transect domains, considering all simulations with CA and CV, regardless of fire presence or P  
889 limitation. One-way ANOVA results are also shown, including  $F$  statistics, and  $p$  values. Values within  
890 each column followed by a different letter differ significantly ( $p < 0.05$ ) according to the Tukey–  
891 Kramer test ( $n = 1860: 31 \text{ pixels} \times 10 \text{ years} \times n_{\text{simulation}}/2$ ).

<b>Group 1</b>	<b>NPP</b>		<b>LAI<sub>total</sub></b>		<b>LAI<sub>lower</sub></b>		<b>LAI<sub>upper</sub></b>		<b>AGB</b>	
	kg C m <sup>-2</sup> yr <sup>-1</sup>		m <sup>2</sup> m <sup>-2</sup>		m <sup>2</sup> m <sup>-2</sup>		m <sup>2</sup> m <sup>-2</sup>		kg C m <sup>-2</sup>	
CA	0.68	a	7.47	a	1.98	a	5.49	a	6.68	a
CV	0.64	b	7.15	b	2.11	a	5.04	b	6.30	b
$F_{3,84}$	40.2		57.2		2.96		36.0		11.3	
$p$	<0.001		<0.001		ns		<0.01		<0.001	

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893

894 **Table 4.** Summary of average NPP, LAI and AGB for the Amazon–Cerrado transition zone over the  
895 transect domains, considering all P limitation treatments, regardless of climate and fire presence. One-  
896 way ANOVA results are also shown, including *F* statistics, and *p* values. Values within each column  
897 followed by a different letter differ significantly ( $p < 0.05$ ) according to the Tukey–Kramer test ( $n =$   
898 1240: 31 pixels  $\times$  10 years  $\times$   $n_{\text{simulation}}/3$ ).

<b>Group 2</b>	<b>NPP</b>		<b>LAI<sub>total</sub></b>		<b>LAI<sub>lower</sub></b>		<b>LAI<sub>upper</sub></b>		<b>AGB</b>	
	kg C m <sup>-2</sup> yr <sup>-1</sup>		m <sup>2</sup> m <sup>-2</sup>		m <sup>2</sup> m <sup>-2</sup>		m <sup>2</sup> m <sup>-2</sup>		kg C m <sup>-2</sup>	
PC	0.71	a	7.64	a	1.84	b	5.80	a	7.15	a
PR	0.64	b	7.15	b	2.19	a	4.95	b	6.20	b
PG	0.64	b	7.14	b	2.10	a	5.04	b	6.12	b
<i>F</i> <sub>2,99</sub>	62.8		61.0		8.75		53.5		33.6	
<i>p</i>	<0.001		<0.001		<0.01		<0.01		<0.001	

899  
900

901 **Table 5.** Summary of average NPP, LAI and AGB for the Amazon–Cerrado transition zone over the  
 902 transect domains, considering presence or absence of fire. One-way ANOVA results are also shown,  
 903 including  $F$  statistics, and  $p$  values. Values within each column followed by a different letter differ  
 904 significantly ( $p < 0.05$ ) according to the Tukey–Kramer test ( $n = 1860$ : 31 pixels  $\times$  10 years  $\times$   
 905  $n_{\text{simulation}}/2$ ).

<b>Group 3</b>	<b>NPP</b>		<b>LAI<sub>total</sub></b>		<b>LAI<sub>lower</sub></b>		<b>LAI<sub>upper</sub></b>		<b>AGB</b>	
	kg C m <sup>-2</sup> yr <sup>-1</sup>		m <sup>2</sup> m <sup>-2</sup>		m <sup>2</sup> m <sup>-2</sup>		m <sup>2</sup> m <sup>-2</sup>		kg C m <sup>-2</sup>	
Fire OFF	0.66	a	6.72	b	0.88	b	5.84	a	8.47	b
Fire ON	0.67	b	7.90	a	3.21	a	4.69	b	4.51	a
$F_{3,84}$	8.28		937		1459		249		1719	
$p$	<0.005		<0.001		<0.01		<0.01		<0.001	

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907

908 **Table 6.** Summary of average NPP, LAI and AGB for the Amazon–Cerrado transition zone over the  
 909 transect domains, considering all factor combinations. One-way ANOVA results are also shown,  
 910 including *F* statistics, and *p* values. Values within each column followed by a different letter differ  
 911 significantly ( $p < 0.05$ ) according to the Tukey–Kramer test ( $n = 310$ : 31 pixels  $\times$  10 years).

	<b>NPP</b>		<b>LAI<sub>total</sub></b>		<b>LAI<sub>lower</sub></b>		<b>LAI<sub>upper</sub></b>		<b>AGB</b>	
	kg C m <sup>-2</sup> yr <sup>-1</sup>		m <sup>2</sup> m <sup>-2</sup>		m <sup>2</sup> m <sup>-2</sup>		m <sup>2</sup> m <sup>-2</sup>		kg C m <sup>-2</sup>	
CV+PC	0.69	bcd	6.96	d	0.84	e	6.48	a	9.01	ab
CV+PG	0.61	f	6.24	f	0.85	e	5.60	bc	7.91	c
CV+PR	0.62	f	6.33	f	0.85	e	5.74	bc	8.04	c
CV+PC+F	0.69	abc	7.92	b	2.91	cd	4.61	ef	4.89	de
CV+PG+F	0.63	ef	7.76	b	3.73	a	5.81	bc	3.91	f
CV+PR+F	0.63	ef	7.65	bc	3.47	ab	4.69	ef	4.02	f
CA+PC	0.72	ab	7.39	c	0.91	e	6.12	ab	9.31	a
CA+PG	0.64	def	6.64	e	0.91	e	5.40	cd	8.22	c
CA+PR	0.65	cdef	6.72	de	0.91	e	5.49	cd	8.31	bc
CA+PC+F	0.74	a	8.29	a	2.69	d	5.02	de	5.40	d
CA+PG+F	0.67	cde	7.90	b	3.29	abc	4.04	g	4.45	ef
CA+PR+F	0.67	cde	7.88	b	3.19	bc	4.18	fg	4.42	ef
<i>F</i>	16.2		115		140		38.1		172	
<i>p</i>	<0.001		<0.001		<0.01		<0.01		<0.001	

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913



914 **Table 7.** Correlation coefficients between AGB simulated by INLAND and field estimates ( $n = 310$ : 31  
 915 pixels  $\times$  10 years).

	<b>T1</b>	<b>T2</b>	<b>T3</b>	<b>T4</b>	<b>T5</b>	<b>All transects</b>
CA+PC	0.843	0.928	0.886	0.937	0.337	0.786
CV+PC	0.838	0.884	0.890	0.939	0.355	0.781
CA+PR	0.793	0.848	0.830	0.911	0.399	0.756
CV+PR	0.795	0.793	0.832	0.907	0.527	0.771
CA+PG	0.814	0.951	0.838	0.889	0.388	0.776
CV+PG	0.825	0.922	0.840	0.879	0.496	0.792
CA+PC+F	0.988	0.987	0.977	0.892	0.133	0.795
CV+PC+F	0.976	0.947	0.933	0.908	0.187	0.790
CA+PR+F	0.842	0.805	0.981	0.808	0.561	0.799
CV+PR+F	0.925	0.804	0.927	0.808	0.319	0.757
CA+PG+F	0.844	0.961	0.980	0.830	0.430	0.809
CV+PG+F	0.845	0.932	0.931	0.881	0.177	0.753
CA avg	0.854	0.913	0.915	0.878	0.375	0.787
CV avg	0.867	0.880	0.892	0.887	0.344	0.774

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