Reviewer comments in black, author replies in green.

# Reviewer 1:

I believe that the authors have done a great job revising the manuscript. I have only a couple of technical comments below.

1. P. 3 L. 21: The equation is mathematically incorrect. If an error term is included on the right-hand side, then the appropriate error terms have to be included on the left-hand side too. We have included the error terms on the left.

2. P. 5 L. 12: in simulate O2 fields  $\rightarrow$  in simulated O2 fields Changed.

3. P. 8 L. 32: 2O flux  $\rightarrow$  O2 flux This was corrected to N2O flux.

# Reviewer 2:

This paper includes some valuable calculations and the authors have made some good progress toward clarifying their methodology. However, some of the methodological details remain difficult to follow. It also appears that the authors have used only a small subset of the available pN2O data in MEMENTO, for reasons that aren't clear. This subset appears to have a summertime bias in the South Atlantic and a wintertime bias in the North Atlantic. Since pN2O is the one of the main constraints used in the model optimization, these seasonal biases may affect the results.

Please see our point-by-point reply below.

I support publication of this paper in principle, but before it is ready I still think there are a number of details that should be clarified and sections of text that could be written more clearly.

Specific comments

p2L32. The text here mentions 4 methods. Are these 4 enumerated in the lines that follow? For example, is the following method 1,"We extend the global ocean biogeochemistry model PlankTOM10 with additional N cycle processes."? Or are these additional approaches (it seems like there are more than 4 total)? Please start the sentences with transition words like, "First", "Second" instead of just "We" to make this clear. Is the sentence on line35 starting with "Then" approach # 3? If so, please use "Third" This is a key paragraph where the authors lay out what the paper will do, yet I am already lost.

We do not mention 4 methods, but "4 observational databases". These databases are presented in Section 2.2, where they are enumerated ((1), (2), (3), (4)). We have clarified the text from p2L32 onwards, to link the modifications of the model to these four databases. We hope this clarifies our methodology.

P3L30/P4L1. There are a lot more deep and surface N2O data in MEMENTO than the reported n=8047 and n=6136 mentioned here. Were only a subset of the available data selected and why? Also, were the surface data generally in units of ppb and the deep data in nmol/L?

We have used all the data available in the MEMENTO database (on the download dates mentioned) for our analysis. Line 26 states "The number of datapoints reported for each database are after gridding to  $1^{\circ} \times 1^{\circ} \times 12$  months  $\times 33$  depths (World Ocean Atlas 2009)." It is true that the MEMENTO database contains more individual measurements, but these are often taken at high spatial and/or temporal resolution, that do not provide additional constraints on the results. We have added the original number of data points. We have added units to the description of the 4 databases.

P4L4 Are deep data converted to delta\_pN2O or just the surface data? If deep data are converted too, please mention that this necessitates first converting the deep nM data to ppb using a solubility function. This is a large uncertainty. The statement "we have taken the database at face value" is inadequate for conveying the extent of the uncertainties involved in combining nM and ppb data in equation 3.

We did not convert deep data to delta\_pN2O, as the analyses involving measurements in ppb (i.e., air-sea flux estimation in section 3.2) is conducted separately from those involving the deep data in nM (i.e., the analyses constraining N2O production in low O2 regions in Section 3.1). We have clarified this at the end of the introduction and it is repeated in Sections 3.1 and 3.2. See also the next question.

P.4 Section 2.4 (Nitrification) and p5 Section 2.5 (NH4+ uptake) appear to be databases 1 and 2 of the 4 mentioned. I was expecting 2.6 then to be MEMENTO deep N2O and 2.7 to be MEMENTO surface data. Instead, we jump to 2.6 N2O production. This is an example of why it remains challenging to follow the methodology of this paper.

We have clarified which database is used in which section, stating at the end of the introduction that database (1) is used in Section 2.4, database (2) in Section 2.5, database (3) in Section 3.1 and database (4) in Section 3.2. This is because databases (1) and (2) are used for model development while databases (3) and (4) are used for constraining the N2O budget.

P4L27. Does Yool provide an actual data base, or simply assume a constant rate of 0.2 /day everywhere (as written, the sentence implies the latter)?

Both. Yool provided the database of nitrification rate which we use in our analysis. In the same paper, Yool also published model results where they use a constant rate of 0.2/day which we use as the departure point for our analysis. We clarified the text in section 2.4.

Section 2.4. Given that a cost function of 2 means that, on average, the model deviates from the observations by a factor 2 (Section 2.3), does this paragraph suggest that the model deviates from observations by a factor of > 4 on average? In other words, it provides no real constraint. Shouldn't that be stated explicitly somewhere in this section? Is there any meaningful difference between a cost function of 4.22 and 4.16?

Indeed a cost function of >4 is not very satisfactory, though it is not unusual when confronting model results with noisy databases, such as those of ecosystem variables. We modified the text to stress that the differences between 4.22 and 4.16 are minimal. We acknowledge the weak constraints of this database in multiple places in our manuscript. In Section 2.4, by using such phrases "observed nitrification rates are highly variable", "poorly constrains the temperature dependence of AOA", "a slightly improved representation of the observations", "which limits the range of O2 concentrations", "reflecting a lack of data to parameterise an expected decrease", "this estimate is not well constrained". The weak constraints of the data are further stressed in the Discussion: "This lack of data synthesis and of identification of the model to model observed nitrification rates", and "This lack of

knowledge also means that partitioning the global marine N2O production over the nitrification and denitrification pathways is poorly constrained". Finally, we recognised further the weak constraint by omitting our estimate of the low O2 N2O production from the abstract.

P5L34. So, the diagnostic model dN2O/AOU ratios are not optimized against the MEMENTO database using the cost function? The intro and the mention of the 4 datasets had led me to expect they would be.

This is indeed ambiguous. We have added an explanation and clarification to the text: "Previous studies using regional databases have found different oxic ratios (Suntharalingam and Sarmiento 2000 and references therein). Therefore, both the oxic and hypoxic ratios have been reoptimised to the global databases (Sect. 3.1 - 3.2)."

P6L11-12 "We independently optimised the ratios of N2O production and consumption from denitrification" These are minor terms in the budget compared to N2O production from nitrification. Why wasn't that ratio/coefficient optimized?

Both databases were used to optimise separate parameters. To clarify this, P6L14 was rewritten: "The low O2 ratios of both submodels (supplementary material Section 8.7) were optimised using the database of observed N2O concentration (Sect. 3.1) and the oxic ratios of both submodels were optimised using the database of observed DeltapN2O (Sect. 3.2)".

P6L14 "The ratios of both submodels were optimized using the databases of observed N2O concentration and pN2O" Is this referring to the deep N2O or the surface N2O data or both? Both databases were used to optimise separate parameters. To clarify this, it was rewritten: "The low O2 ratios of both submodels (supplementary material Section 8.7) were optimised using the database of observed N2O concentration (Sect. 3.1) and the oxic ratios of both submodels were optimised using the database of observed DeltapN2O (Sect. 3.2)".

Figure 3 caption. Please specify where these values are from (model, MEMENTO, etc). Section 2.1 states: "The globally averaged  $\Delta$ N2O/AOU ratio was calculated from the MEMENTO database (Bange et al., 2009) as  $81.5 \pm 1.4 \mu$ mol/mol (Fig. 3)". This information was moved from the caption to the main body of the text at the previous request of this reviewer (reviewer 2, L72).

Figure 3 annotation shows 0.0815 + 2.7551, but this is reported on p3L15 as  $81.5 \pm 1.4 \mu$ mol/mol. First, the error has completely changed. Second, there is a switch from nmol N2O and umol AOU in the figure to units of umol/mol in the text, with a factor of 1000 thrown in to add to the confusion. Better to be consistent across figure and text. The annotation shows slope and intercept (0.0815x + 2.7551), not the standard deviation of the slope. The units in the text were changed to have mol rather than  $\mu$ mol in the denominator following the previous request of this reviewer (reviewer 2, L262). We note that changing the axes in Fig. 3 to mol O2 and  $\mu$ mol N2O would make the numbers on the axes run from - 0.0003 to 0.0004 and -0.00005 to 0.0002 and therefore difficult to read.

Figure 6/Section 2.5. The model is credited with reproducing "the large scale pattern of surface NH+4 concentration (which) shows an increase with latitude." However, the performance seems pretty poor and the pattern could equally well be described as high in the Southern Ocean (where nutrient utilization is known to be low) and around continental boundaries where there is nutrient input from land. Similar to Section 2.4, the cost function of 3 seems quite large and suggests there's no real constraint here.

The description of the uncertainty was toned down at the previous request of this reviewer (reviewer 2, L144). The text immediately preceding that quoted by the reviewer here still acknowledged the highly variable individual observations and the shortcomings in the model. We have rephrased the latter to clarify it: "the model produces a much smoother distribution of NH4 concentrations than the observations". Our statement on the large-scale patterns was motivated by the comparisons demonstrated in Fig. 6, in particular the zonal average in Fig. 6C, which show an increase with latitude in both hemispheres.

Figure 9 and 11 caption and Equation 5 on p. 6. What does MSE stand for? Please spell it out in all these places. (The captions should be understandable without referring back to the text.) We have added to Section 2.8 after Eq. 5 that: "MSE is mean square error:"

Section 2.8 Should Equation 6 be presented before 5? It seems like 5 builds upon the definition of MSE introduced in Eq 6.

In Eq. 2 and 4 we use the same order of presentation as in Eq. 5, with the equation stating what we want to calculate first, followed by clarifications of the form "in which …".

P7L26. I'm confused by the use of "even though" here. Given that the prognostic model represents N2O consumption in the OMZ, why would that be expected to improve (i.e., increase) the concentration of N2O between 200 and 1500m?

This was clarified to: "even though the prognostic model is more detailed, separately representing the processes of N2O production and consumption at low O2 concentrations.".

P8L5-7. These sentences belong in the methodology. Also, as mentioned above, why isn't the nitrification N2O/NO3 ratio optimized too? That seems like the most important term in the model.

This was moved to the methodology. We clarified p6L14: "the oxic ratios of both submodels were optimised using the database of observed DeltapN2O (Sect. 3.2)". Section 3.2 states: "In the prognostic model, the optimised oxic nitrification ratio was 123  $\mu$ mol N2O (mol NH4+)".

P8L14 I would suggest writing as 0.183 mmol N2O, to avoid switching units, which is confusing for the reader.

We have used the unit of  $\mu$ mol N2O here to enable consistency with our discussion in the following section (3.2).

P8L16-17. "pN2O provided a better constraint than the N2O concentration distribution" Back in Section 2.2, deep N2O is mentioned as dataset number 3 used to optimize the fluxes. The sentence just cited suggests that deep N2O is not actually used. Please clarify. Both databases were used to optimise separate parameters. To clarify this, P6L14 was rewritten: "The low O2 ratios of both submodels (supplementary material Section 8.7) were optimised using the database of observed N2O concentration (Sect. 3.1) and the oxic ratios of both submodels were optimised using the database of observed DeltapN2O (Sect. 3.2)".

Figure 10a) This figure represents only 6136 data points (I think there are a factor of 10 more surface N2O data in MEMENTO than that), yet the figure suggests extensive coverage of the global ocean, and near complete coverage in the Atlantic. Have the data been binned and gridded and if so how? It seems like a single data point has been expanded as a ~5x5 pixel, which implies much better coverage than may really exist. Also, what is the seasonal distribution of the data? I suspect the South Atlantic data are all from austral summer, while

the North Atlantic data are mainly from fall/winter. I don't think the Atlantic is undersaturated to the extent implied by this figure on an annual basis. Can the data be binned by season and plotted in a 4 panel plot?

For gridding see P3L26. We added to the Fig.6 and 10 legend that "observations (symbol size is  $5 \times 5^{\circ}$ )".

The model was subsampled in the same months as the observations, so any seasonal sampling bias in the observations would be reproduced in the model. Because of this, and because the paper already has 12 figures, we have not included the seasonal distribution in the paper, but reproduce it below, showing that (1) no, South Atlantic data is not limited to austral summer, (2) no, North Atlantic data are not limited to fall/winter, but (3) yes, the undersaturation in the North Atlantic is mostly limited to fall (there is no data North of 4°N in the North Atlantic in winter).



Upper left DJF, upper right MAM, lower left JJA, lower right SON.

Figure 10b) Following on the above comment, it is confusing to plot the "same months where there are observations, and annual averages everywhere else," especially if there are strong seasonal biases that differ by region. I would again suggest 4 panel seasonal plot for the model results.

By plotting the model in the same months where there are observations, if the model seasonal sampling is biased relative to the annual average this become visible as a colour contrast between the sampled and unsampled areas. We are analysing a global flux, so seasonal and spatial details are relevant only to the extent that they define the uncertainty interval around the central global N2O flux estimate. Because the piston velocity, rather than model-data differences are the biggest source of uncertainty, we did not include these additional figures in the main text. The seasonal model figures are included below.



Prognostic model, seasons in same panels as observations.

Figure 10b) Also, the red contours appear to smear over the black continents. This may be due to the 9-fold compression that Latex performs between the figure .eps and the .pdf. If the editor wishes, we can provide the original .eps.



Figure 10d) Please make green and red lines thicker. They are illegible. Done.

Figure 11. Are the different symbols (0.06, 0.11, 0.17, 0.34 for A) and (0.07, 0.12, 0.17, 0.34 for B) essentially the same thing or is the similarity in numbers pure coincidence? If the former, why the slight differences (e.g., 0.06 v. 0.07) and inconsistent shapes? Also, why does A say "different symbols indicate different low O2 ratios" while B says "points with the same symbols have different N2O ratios for nitrification." I thought the nitrification ratio was not optimized.

The numbers are different because A) is the diagnostic model and B) is the prognostic model, but they are similar because the optimised low O2 N2O production rates and their confidence intervals are similar in the two submodels, so the perturbations we chose around the optima were also similar.

The different terminology in the panel A and panel B legends reflects the different representations of N2O production/consumption in the diagnostic model and the prognostic model, respectively.

In fact, Figure 11 shows the optimisation of the nitrification ratio. For more details on how we clarified that the nitrification ratio is being optimised see our answers to P6L11-12 and P6L14.

P8L23-24. This implies that the oxic nitrification ratio is optimized, at least in the prognostic model. Yet as far as I can tell, the Methods only describe optimizing the denitrification and suboxic N2O parameters.

Yes, the oxic nitrification rate was optimised for both models.

Both databases were used to optimise separate parameters. To clarify this, P6L14 was rewritten: "The low O2 ratios of both submodels (supplementary material Section 8.7) were optimised using the database of observed N2O concentration (Sect. 3.1) and the oxic ratios of both submodels were optimised using the database of observed DeltapN2O (Sect. 3.2)".

P8L23 and L32. Are deep offshore and near-shore non-coastal synonymous? Please use consistent terminology to avoid confusion.

Yes, they are the same. Deep offshore was replaced by near-shore non-coastal throughout.

P9L8 Again, it is important to know whether the surface pN2O data used were originally in ppb units or in nM. If the latter, these measurements likely have an uncertainty of  $\pm -0.5$  nM

or more, such that the 3% cited here (which may be accurate for the solubility function per se) greatly understates the actually uncertainty in ppb due to the solubility conversion. We added the units (ppb) in Section 2.2.

P9L19-20 "In light of this, we decided to recalculate the N-cycle-based N2O production based on currently available data. We find that we can estimate all the relevant steps in the N cycle with observational data" These seem like non sequiturs. The logic here is difficult to follow.

We have rewritten this to make it more clear, by replacing

"Suntharalingam et al. (2012) note that N2O production is proportional to export production. However, this is dependent on the model formulation, which was based on earlier studies that suggested nitrification in the ocean surface layer was light-inhibited (e.g. Horrigan et al. 1981). More recent analyses of nitrification, e.g. the database of Yool et al. (2007), find widespread nitrification in the upper mixed layer. In light of this, we decided to recalculate the N-cycle-based N2O production based on currently available data. We find that we can estimate all the relevant steps in the N cycle with observational data, including their uncertainty (Sect. 2.1). At present this uncertainty, at  $4.6 \pm 3.1$  Tg N y -1. The biggest contributor to this uncertainty"

with

"We use an updated estimate of primary production and it's error (Buitenhuis et al. 2013), and compile a database of the f-ratio (Fig. 2). We also use a much larger database of the DeltaN2O/AOU ratio (Fig. 3). We recalculate the N-cycle-based N2O production based on these extended databases. We find that we can estimate all the relevant steps in the N cycle with observational data, including their uncertainty (Sect. 2.1). At present this uncertainty is still fairly large , at  $4.6 \pm 3.1$  Tg N y–1. The uncertainty in this estimate is similar to that in Cohen and Gordon (1979), but our uncertainty is based on the uncertainty in all components of the calculation, while their uncertainty was based only on the uncertainty in the DeltaN2O/AOU ratio. The upper 60% of our estimate overlaps with the lower 62% of the Cohen and Gordon (1979) estimate. The biggest contributor to our uncertainty".

P9L26 NO3- is the electron ACCEPTOR in denitrification. Organic C is the electron donor. Apologies. This was corrected.

P9L28. "this estimate" Better to restate the numbers, e.g., 4.6 +/- 3.1 vs. 2.5 +/- 0.8. More importantly, these two errors are estimated in very different ways, such that it is not clear they are directly comparable. The authors are comparing a global back-of-the-envelope calculation, with large global errors on any given parameter, to a more grid-specific calculation. Of course the latter will have smaller uncertainty.

We have restated the numbers.

The error in the N-cycle calculation is dominated by the uncertainty in the f-ratio. If that uncertainty could be brought down to the second highest relative error of 12%, the uncertainty would be reduced to 1 Tg N y-1. We have added that: "additional measurements and/or data-synthesis could help constrain the N2O budget.".

P9L30. Please use "further" only once in this sentence and perhaps break into 2 sentences. The second further was replaced by extend.

# P9L30 What does "their" refer to?

We replaced "their model representation" with "the model representation of these N cycle processes".

Discussion section in general. The writing and organization of thoughts could use improvement. Please avoid starting sentences with "This" unless the antecedent is clear. The only time when "This" is not accompanied by a noun that specifies the antecedent ("This NO3", "This lack of data", etc.) is on P10L34. We replaced "This" by "This constraint" and added "so that the integrated total can be well constrained even if the individual processes are not".

# Constraints on global oceanic emissions of $N_2O$ from observations and models

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Abstract. We estimate the global ocean N<sub>2</sub>O flux to the atmosphere and its confidence interval using a statistical method based on model perturbation simulations and their fit to a database of  $\Delta pN_2O$  (n=6136). We evaluate two submodels of N<sub>2</sub>O production. The first submodel splits N<sub>2</sub>O production into oxic and hypoxic pathways following previous publications. The second submodel explicitly represents the redox transformations of N that lead to N<sub>2</sub>O production (nitrification and

- 5 hypoxic denitrification) and N<sub>2</sub>O consumption (suboxic denitrification), and is presented here for the first time. We perturb both submodels by modifying the key parameters of the N<sub>2</sub>O cycling pathways (nitrification rates, NH<sub>4</sub><sup>+</sup> uptake, N<sub>2</sub>O yields under oxic, hypoxic and suboxic conditions), and determine a set of optimal model parameters by minimisation of a cost function against 4 databases of N cycle observations derived from observed and model  $\Delta pN_2O$  concentrations. Our estimate of the global oceanic N<sub>2</sub>O flux resulting from this cost function minimisation is 2.4 ± 0.8 and 2.5 ± 0.8 Tg N y<sup>-1</sup> for the 2
- 10  $N_2O$  submodels. These estimates suggest that the currently available observational data of surface  $\Delta pN_2O$  constrain the global  $N_2O$  flux to a narrower range relative to the large range of results presented in the latest IPCC report.

# 1 Introduction

Nitrous oxide (N<sub>2</sub>O) is the third most important contributor to anthropogenic radiative forcing, after carbon dioxide (CO<sub>2</sub>) and methane (CH<sub>4</sub>) (?). It is also currently estimated as the dominant contributor to stratospheric ozone depletion (?). Yet our

- 15 quantitative understanding of the magnitude and processes controlling natural N<sub>2</sub>O emissions from the Earth surface to the atmosphere is very poor. A range of methods have been used to constrain total oceanic N<sub>2</sub>O emissions, including the combination of surface ocean N<sub>2</sub>O partial pressure anomalies with gas-exchange parameterizations (?), empirically derived functional relationships applied to global ocean datasets (??), and ocean biogeochemistry models (????). In spite of the multiple methods used, the reported oceanic emissions of N<sub>2</sub>O is still poorly constrained, ranging from 1.9 to 9.4 Tg N y<sup>-1</sup> according to the
- 20 latest report of the Intergovernmental Panel on Climate Change (IPCC ?). The uncertainty in the oceanic emissions of N<sub>2</sub>O accounts for a large part of the total uncertainty in the natural N<sub>2</sub>O emissions, which are approximately 11 Tg N y<sup>-1</sup> (?). Part of the uncertainty in the oceanic emissions is whether estuaries are included, which could emit as much as 2.3 3.6 Tg N y<sup>-1</sup> (?).

The large uncertainty in the oceanic emissions of N<sub>2</sub>O stems from the complexity of its production pathways. There are two main pathways of N<sub>2</sub>O production in the ocean, nitrification and denitrification, which both stem from redox reactions of nitrogen, under oxic and hypoxic conditions, respectively (Fig. 1). N<sub>2</sub>O is formed as a byproduct of marine nitrification of ammonium (NH<sub>4</sub><sup>+</sup>) to nitrate (NO<sub>3</sub><sup>-</sup>); N<sub>2</sub>O is also an intermediate product of denitrification, during the reduction of NO<sub>3</sub><sup>-</sup> to nitrogen gas (N<sub>2</sub>) (???). Denitrification can also consume N<sub>2</sub>O, using extracellular N<sub>2</sub>O, and reduce it to N<sub>2</sub> (?). In the oxic part of the ocean (i.e. most of the ocean, 97% >34  $\mu$ mol O<sub>2</sub> L<sup>-1</sup> (using O<sub>2</sub> data taken from ?)) denitrification is suppressed,

- and the primary formation pathway is usually ascribed to nitrification (?), although denitrification may be significant in the anaerobic centres of large marine snow particles in oxic waters (?). Oceanic N<sub>2</sub>O production in oxic regions is often derived from the linear relationships observed between apparent oxygen utilization (AOU) and apparent N<sub>2</sub>O production ( $\Delta$ N<sub>2</sub>O) (e.g. ??). However, the  $\Delta$ N<sub>2</sub>O/AOU ratio varies in different water masses and oceanic regions (?). Previous studies have suggested that differences in the  $\Delta$ N<sub>2</sub>O/AOU ratio could be driven by changing N<sub>2</sub>O yields under varying pressure and temperature (?) or
- varying  $O_2$  concentration (?). Additional mechanisms not yet quantified could include variations in the elemental stoichiometry of the organic matter that is being remineralised, and spatial separation of organic matter remineralisation and nitrification. Throughout the manuscript we will refer to N<sub>2</sub>O stoichiometries relative to O<sub>2</sub>, NH<sub>4</sub><sup>+</sup> and NO<sub>3</sub><sup>-</sup> as ratios, because they have been optimised against global databases of concentration measurements, rather than from microbiological yields. Using the latter would be more mechanistically satisfying, but the relevant yields are at present insufficiently constrained by observations.
- 40 Estimates of the contribution from suboxic regions of the ocean (about 3%) to the global  $N_2O$  flux vary from net depletion via denitrification (?), to 33% for the total  $N_2O$  production in the suboxic ocean (?), and to more than 50% from denitrification alone (?). This ambiguity remains unresolved. Bottom-up microbial physiology data is relatively scarce (see Sect. Sections 2.4 - 2.6), while top-down data needs relatively complicated inverse methods to estimate the contribution from suboxic regions. These inverse methods are complicated both because of the variation in the  $\Delta N_2O/AOU$  ratio, which is negative under suboxic
- 45 conditions, maximal under hypoxic conditions and lower under oxic conditions (e.g. 0.31 0.033 mmol/mol, ?), and because the influence of mixing gradients make in situ ratios an unreliable gauge to the biological yields under in situ conditions (?).

Here, we estimate the global ocean  $N_2O$  flux to the atmosphere and its confidence interval. First, we estimate  $N_2O$  flux from observations only (Sect. 2.1). This estimate has large uncertainty. We subsequently use a statistical approach introduced by ? to estimate the global oceanic emissions of  $N_2O$  and its confidence interval by combining ocean  $N_2O$  model simulations with

- a global database of measurements of surface  $\Delta pN_2O$ . This approach involves minimisation of a cost function that compares a series of model simulations with a global database of point measurements of surface  $\Delta pN_2O$ . To achieve this, we use 4 observational databases of the N cycle (Sect. 2.2)to. We extend the global ocean biogeochemistry model PlankTOM10 (?) with additional N cycle processes. We derive the biogeochemical parameters for nitrification rate and phytoplankton use of NH<sup>+</sup><sub>4</sub> from the observational databases of nitrification rate and NH<sup>+</sup><sub>4</sub> concentration (databases (1) and (2) and Sect. 2.4-2.5Sect.
- 55 2.3-2.4). Then, we describe two separate submodels of different levels of complexity that represent N<sub>2</sub>O cycling pathways (Sect. 2.62.5-2.7). Finally, we apply the statistical approach (Sect. 2.8) to the two submodels to estimate the N<sub>2</sub>O production in the low O<sub>2</sub> regions from the depth resolved N<sub>2</sub>O concentration database (database (3) and Sect. Section 3.1), and the global

oceanic N<sub>2</sub>O flux from the surface  $\Delta pN_2O$  database (database (database

## 60 2 Ocean N cycle

65

#### 2.1 Calculation of global ocean N<sub>2</sub>O production from N cycle observations

In this section we provide an initial estimate of global marine N<sub>2</sub>O production based on observationally derived quantities characterising marine productivity and the global ocean N cycle. This follows a similar method to **?**, who estimated ocean N<sub>2</sub>O production using Redfield type ratios. N<sub>2</sub>O is produced either during production of NO<sub>3</sub><sup>-</sup> in NH<sub>4</sub><sup>+</sup> oxidation or during NO<sub>3</sub><sup>-</sup> reduction in denitrification (Fig. 1). We therefore base the N<sub>2</sub>O production on total NO<sub>3</sub><sup>-</sup> turnover, calculated from primary production times the f-ratio. The f-ratio is the fraction of primary production that is supported by nitrate. Primary production (PP) was estimated at 58 ± 7 Pg C y<sup>-1</sup> based on <sup>14</sup>C primary production measurements (n=50,050), parameter perturbations of a previous version of the model uses here, and Eq. 5 (**?**). We compiled a database of uptake rates of NO<sub>3</sub><sup>-</sup>, NH<sub>4</sub><sup>+</sup> and urea, which gives an average f-ratio of 0.29 ± 0.18 (Fig. 2, large symbols, n=34). The globally averaged  $\Delta$ N<sub>2</sub>O/AOU ratio was

calculated from the MEMENTO database (?) as  $81.5 \pm 1.4 \mu \text{mol/mol}$  (Fig. 3). Finally, since primary production is expressed in carbon terms, and N<sub>2</sub>O production was correlated with oxygen (O<sub>2</sub>) utilization, we need to include the -O<sub>2</sub>:C ratio (the sign indicates the O<sub>2</sub> is consumed as CO<sub>2</sub> is produced), which was taken from ? as  $170 \pm 10 / 117 \pm 14$ , and the molar weights of C (12) and N in N<sub>2</sub>O (28). Here and in the rest of the paper, errors were progagated in the usual way:

$$error = \sqrt{\left(\frac{errorofA}{A}\right)^2 + \left(\frac{errorofB}{B}\right)^2 + \dots * A * B * \dots}$$
(1)

75 Thus N<sub>2</sub>O production was calculated as PP \*f-ratio\*-O<sub>2</sub>:C \* $\Delta$ N<sub>2</sub>O/AOU. Our best estimate of N<sub>2</sub>O production using this method is 58 ± 7-\*1000 \*0.29 ± 0.18 \*170 ± 10 \* 0.29 \* 170/117 ± 14 \*81.5e-6 ± 1.4\* 81.5e-6 \*28/12 = 4.6 ± 3.1 Tg N y<sup>-1</sup>. This estimate lies in the middle of other reported estimates (Fig. 4) but the 68% confidence interval is very large. We therefore investigate the N<sub>2</sub>O fluxes using a model optimised optimized with observations in the rest of the paper.

## 2.2 Observational databases for model development

- We used four databases to tune or optimise different aspects of the N cycle in the PlankTOM10 ocean biogeochemistry model. The number of datapoints reported for each database are after gridding to  $1^{\circ} \times 1^{\circ} \times 12$  months  $\times 33$  depths (World Ocean Atlas 2009). The databases used are (1) NH<sub>4</sub><sup>+</sup> specific nitrification rate ( $d^{-1}$ , raw data n=425, gridded data n=296) as described in Yool et al. (2007); (2) surface NH<sub>4</sub><sup>+</sup> concentration distribution ( $\mu$ mol L<sup>-1</sup>, raw data n=33079, gridded data n=2343) that combines the dataset used in **?** with data held by the British Oceanographic Data Centre in January 2014 (Johnson et al. in prep.,
- 85 http://www.bodc.ac.uk); (3) depth-resolved N<sub>2</sub>O concentration from the MEMENTO project (nmol L<sup>-1</sup>, https://memento.geomar.de/; ?, ; of and (4) surface partial pressure of N<sub>2</sub>O (pN<sub>2</sub>O) also from MEMENTO (ppb, n=6136; downloaded 16 Sept. 2015, raw data n=227463, gridded data n=6136). Since there is at present no formal quality control beyond that performed by individual contributors to the MEMENTO database and a check by the database administrators that the values make physical sense (?), we

have taken the database at face value.  $pN_2O$  was converted to  $\Delta pN_2O$  using atmospheric  $pN_2O$ :

90  $pN_2O_{atm} = 0.000009471353 \times Y^3 - 0.052147139 \times Y^2 + 95.68066 \times Y - 58228.41$  (2)

(A. Freing, pers. comm., correction to ?), in which Y is the decimal year. The average absolute difference relative to the global average  $pN_2O_{atm}$  data from the NOAA/ESRL Global Monitoring Division (ftp://ftp.cmdl.noaa.gov/hats/n2o/combined/ HATS\_global\_N2O.txt) is 0.5 ppb between 1977 and 2014 and 0.3 ppb between 2000 and 2014.

#### 2.3 Cost Function Formulation

95 To parameterise the model N cycle, we use a cost function to minimize the difference between model and observations, following the methods of **?**:

$$cost function = 10^{\Sigma |log_{10}(model/observation)|/n}$$
(3)

This formulation gives equal weight to the relative correspondence between model and observations at small and large observational values. A value of 2 means that, on average, the model deviates from the observations by a factor 2 in either direction. To calculate the cost function (and also to calculate MSE in Eq. 6), the model was regridded to the same grid as the observations, and residuals were calculated at months and places where there are observations. The cost function results for the optimised

simulations are summarised in Table 1.

#### 2.4 Nitrification

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Our initial biogeochemical model configuration is PlankTOM10 (?), which represents growth and loss terms from ten Plankton Functional Types (PFTs), including N<sub>2</sub>-fixers, picoheterotrophs (*Bacteria* plus *Archaea*) and denitrification rate, but not den-

- itrifier biomass. A full model description and parameter values are provided in the supplementary material. Here, we extend the model representation of redox reactions in the N cycle, to create the global biogeochemical model PlankTOM10.2. We describe the new N cycle components below.
- In order to represent nitrification rate, the state variable for dissolved inorganic nitrogen was split into NO<sub>3</sub><sup>-</sup> and NH<sub>4</sub><sup>+</sup>. Respiration by all PFTs produces NH<sub>4</sub><sup>+</sup>. The parameterization for nitrification used in our model is based on the analysis of a database of NH<sub>4</sub><sup>+</sup>-specific nitrification rates (?). ? found that observed nitrification rates are highly variable, with no obvious relationship with either latitude or depth. In their model they They therefore used a constant rate of 0.2 d<sup>-1</sup> throughout the ocean in their model. Implementing this rate in our model resulted in a cost function relative to the nitrification rate observations of 4.22 (Table 1). We tested if including temperature, O<sub>2</sub> or light dependence improves the ability of the model to reproduce 115 observed nitrification rates. Regarding the response of ammonia oxidizing *Archaea* (AOA), the main nitrifiers in the ocean (???), to temperature, we are only aware of the measurements of ?. These show a ~4-fold variation in maximum growth rate between 3 strains, which poorly constrains the temperature dependence of AOA. We therefore first used a generic Q<sub>10</sub> of 2 and optimised the rate at 0°C using the nitrification rate observations. This led to only a slightly improved representation of the observations (cost function = 4.18). Although the response of AOA and ammonia oxidizing *Bacteria* (AOB) to O<sub>2</sub> has only

- been measured at 21-25 °C (??), which limits the range of  $O_2$  concentrations, there was a significant logarithmic relationship between  $N_2O$  yield and  $O_2$  (Fig. 5). A logarithmic function fit the data better than linear, exponential or power functions. Since nitrification consumes  $O_2$ , in the model it decreases as remineralisation switches from  $O_2$  to  $NO_3$  (supplementary material Eq. 70, 61, 67). Implementing this response to  $O_2$  led to only a further small improvement of the model nitrification rate relative to the observations (cost=4.16). This implies that nitrification never becomes  $O_2$  limited, reflecting a lack of data to
- 125 parameterise an expected decrease. As will be described more fully in Sect. Section 3.1, we used observed  $O_2$  concentrations in the simulations (?) rather than interactively modelled  $O_2$ , to minimise the impact of model biases in simulated simulate  $O_2$ fields (?). The response of AOA to light is estimated to be 50% inhibited at 5  $\mu$ mol photons m<sup>-2</sup> s<sup>-1</sup>. However, this estimate is not well constrained (?). Implementing this light response did not improve the model, either in combination with the  $O_2$ and temperature responses or with the temperature response only, and was subsequently omitted. The lack of improvement in 130 nitrification rates by adding light inhibition might reflect the lower sensitivity of AOA to light found by ?.

# **2.5** Phytoplankton $K_{\frac{1}{2}}$ for $NH_4^+$ uptake

We used the calculation of the preferential uptake of NH<sup>+</sup><sub>4</sub> over NO<sup>-</sup><sub>3</sub> by phytoplankton PFTs of ?(supplementary material Eq. 9). The K<sub>1/2</sub> of phytoplankton for NH<sup>+</sup><sub>4</sub> has mostly been measured based on uptake rates (syntheses by ??). ? have shown a theoretical expectation of a linear increase of K<sub>1/2</sub> with cell radius. The observations are so variable that they neither confirm
nor contradict such an increase. The model uses a fixed C:N:O<sub>2</sub> ratio for all organic matter of 122:16:-172, and Michaelis-Menten kinetics for growth based on inorganic N uptake by phytoplankton (?, supplementary material Eq. 8, 9). We therefore need a K<sub>1/2</sub> for growth rather than for uptake to be consistent with the fixed C:N ratio (?). The available uptake rate data do not include the supporting data to allow conversion to the K<sub>1/2</sub> for growth. We are only aware of measurements of the K<sub>1/2</sub> for growth by ?. Based on the latter values of 0.09 ± 0.15 µmol L<sup>-1</sup> for picoeukaryotes, the K<sub>1/2</sub> of phytoplankton for NH<sup>+</sup><sub>4</sub> turnover, the model produces a much smoother distribution of ability of the model to reproduce the observed NH<sup>+</sup><sub>4</sub> concentrations than the observations at the same times and places was by no means perfect, but the large scale pattern of surface NH<sup>+</sup><sub>4</sub> concentration

#### 2.6 N<sub>2</sub>O production

145 N<sub>2</sub>O production is implemented as two distinct submodels. The diagnostic submodel is based on statistical relationships of  $\Delta N_2 O/AOU$  ratios taken from observations and has previously been published (??). In oxic waters it uses one ratio to estimate the open ocean source of N<sub>2</sub>O production. In hypoxic waters it uses a higher ratio to represent the increased yield of N<sub>2</sub>O from both nitrification and denitrification in oxygen minimum zones. The hypoxic N<sub>2</sub>O yield is maximal at 1  $\mu$ mol O<sub>2</sub> L<sup>-1</sup>, and decreases with an e-folding concentration of 10  $\mu$ mol O<sub>2</sub> L<sup>-1</sup> (??, supplementary material Eq. 69, 35, 67). Previous studies

shows an increase with latitude, consistent with the observations (Fig. 6), which translates into a cost function of 3.0.

150 using regional databases have found different oxic ratios (?, and references therein). Therefore, both the oxic and hypoxic ratios have been reoptimised to the global databases (Sect. 3.1 - 3.2).

The prognostic submodel presented here is based on process understanding and explicitly represents the primary  $N_2O$ formation and consumption pathways associated with the marine nitrogen cycle (Fig. 1). It includes the production of  $N_2O$ during oxic nitrification (blue arrows in Fig. 1) and during hypoxic denitrification (red arrow in Fig. 1); and a consumption term

- 155 during denitrification at even lower (suboxic)  $O_2$  concentrations (yellow arrow in Fig. 1). The ratios of the three processes are globally invariant (supplementary material Eq. 70, 61, 63, 71). The functional form of the  $O_2$  dependence of  $N_2O$  consumption (suppl. Eq. 71) was the same as that of denitrification (suppl. Eq. 67), and with an  $O_2$  response function that is 1.5  $\mu$ mol  $L^{-1}$  lower than that of denitrification, which is similar to that used by ?. We independently optimised the ratios of N2O production and consumption from denitrification (Sect. Section 3.1), which controls the net N2O production as a function of
- 160  $O_2$  concentration. There is not enough information at present to optimise the  $O_2$  concentration parameters of denitrification and N<sub>2</sub>O consumption as well. The low  $O_2$  ratios of both submodels (supplementary material Section 8.7) were optimised using the database were optimized using the databases of observed N<sub>2</sub>O concentration (Sect. 3.1) and the oxic ratios of both submodels were optimised using the database of observed and  $\Delta pN_2O$  (Sect. see Sect. 3.1 and 3.2, supplementary material Section 8.7). The N2O concentrations from both the diagnostic and the prognostic submodels are transported in the same way by physical transport and the formulation of their gas exchange is also identical.
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### 2.7 N<sub>2</sub>O flux and simulation setup

N<sub>2</sub>O is transported like other tracers. N<sub>2</sub>O flux (=air-sea gas exchange) is calculated as:

$$N_2Oflux = (pN_2O_{atm} * K0 * (1 - p_{watervapor}) - pN_2O) * piston\_velocity * \sqrt{660/Schmidt\_number_{N_2O}} * (1 - ice\_cover)$$

$$(4)$$

, in which K0 is the solubility (?),  $p_{watervapor}$  is the water vapor pressure (?), piston velocity =  $0.27*(\text{wind speed})^2$  (?), which is optimised for use with the NCEP reanalysis data used here, the Schmidt number for N<sub>2</sub>O was taken from ?, and the ice cover 170 is calculated by the sea ice model LIM2.

In most of the simulations, atmospheric  $pN_2O$  was calculated from Eq. 2. For the optimised low  $O_2$  production we also ran a series of simulations with the NOAA  $pN_2O_{atm}$  observational data that included seasonal and latitudinal variations (see Sect. Section 2.2 for the ftp address where we downloaded the data, and Sect. Section 3.2 for the results). Between 2000 and 2014, we used the monthly observations for the 12 available latitudes. Monthly anomalies relative to the global average were

175 calculated at each available latitude from the 2000-2016 observations. These were added to Eq. 2 from 1965 and 1976, and to the global average observations between 1977 and 1999. In the model simulation, the data were linearly interpolated between the 12 latitudes and monthly observations.

The PlankTOM10.2 biogeochemical model coupled with the two N<sub>2</sub>O submodels is incorporated into the ocean general circulation model NEMO v3.1 (?). The model resolution is  $2^{\circ}$  in longitude, on average 1.1° in latitude and has 30 vertical 180 layers, from 10 m in the top 100 m to 500 m at 5000 m. The model simulations were initialised in 1965 from observations (?), with  $NH_4^+$  initialised as 0, and  $N_2O$  initialised from a horizontal interpolation of the MEMENTO observations (see Sect. 2.2). Simulations were run to 2014, forced with daily atmospheric conditions from the NCEP reanalysis (?), (for details see ?). Results are reported averaged over the last 5 years.

#### 185 2.8 Estimation of global N<sub>2</sub>O flux from point measurements of $\Delta pN_2O$

In previous versions of the PlankTOM model (???) we have used Eq. 3 to evaluate the model because it minimises relative error, which we have found to be more appropriate when the observations span several orders of magnitude. Unfortunately, statistical confidence intervals have only been defined for  $\chi^2$ -statistics such as Eq. 5 and 6, which minimise absolute error, so that we end up with 2 cost functions (Eq. 3, 5), depending on the application. To estimate the global air-sea flux of N<sub>2</sub>O that best fits the  $\Delta pN_2O$  data, and its ±1-sigma (68%) confidence interval, we use the formula described in **?**:

190 best fits the  $\Delta pN_2O$  data, and its  $\pm 1$ -sigma (68%) confidence interval, we use the formula desc

$$MSE/MSE_{min} = 0.468 \times n/(n-2) \times \sqrt{(2(2n-2)/(n(n-4))) + n/(n-2)}$$
(5)

, in which MSE is mean square error:

$$MSE = \frac{\Sigma(model(longitude, latitude, month) - observation(longitude, latitude, month))^2}{n}$$
(6)

MSE<sub>min</sub> is the MSE of the model simulation that is closest to the observations, and n is the number of gridded-observations.
 In addition to the uncertainty that arises from the model-observations mismatch, uncertainty is contributed by the uncertainties in the N<sub>2</sub>O solubility and the piston velocity, the two quantities that connect the measured ΔpN<sub>2</sub>O to the estimated air-sea flux. The uncertainty in the solubility has been estimated as 3(?). The uncertainty in the piston velocity has been estimated at 32(?). Uncertainties in the solubility and piston velocity are proportional to uncertainty in the optimised N<sub>2</sub>O air-sea exchange because the optimised N<sub>2</sub>O production needs to change proportionally with solubility and piston velocity to achieve the same
 ΔpN<sub>2</sub>O.

#### **3** Results

### 3.1 N<sub>2</sub>O production at low O<sub>2</sub>

The global N<sub>2</sub>O production rate in oxygen minimum zones (OMZs) was optimised optimized using the depth-resolved N<sub>2</sub>O data of the MEMENTO database. As noted in previous model studies of ocean O<sub>2</sub>, global models do not well represent the extent and intensity of OMZ regions (??). The modeled OMZs in PlankTOM10 occur at greater depths than observed, resulting in unrealistic vertical distributions of N<sub>2</sub>O (results not shown). Therefore, following ?, the model was run using fixed observed O<sub>2</sub> concentrations (?), which corrected, in part, the vertical distribution of N<sub>2</sub>O production from the two submodels, though it still occurred at too great depths (Fig. 7). In the equatorial regions and in the Pacific ocean the N<sub>2</sub>O concentrations are underestimated between ~200 and ~1500 m. depth, and overestimated below that. This shortcoming is not significantly improved in the prognostic model (Fig. 7), even though the prognostic model is more detailed, separately representing the processes represents the process of N<sub>2</sub>O production and consumption at low O<sub>2</sub> concentrations. The depth of maximum N<sub>2</sub>O in

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the model is generally deeper than observed, suggesting that organic matter remineralisation may be too low at shallow depths. This is confirmed by the depth profile of  $NO_3^-$ , which is underestimated relative to the WOA2009 observations between 100 and 1500 m., and overestimated at greater depths (Fig. 8). In both submodels, the N<sub>2</sub>O concentrations in the deep sea are also

- too high, but since only 5% of  $N_2O$  production occurs below 1600 m this does not have a big impact on the global  $N_2O$  fluxes. The addition of  $N_2O$  consumption in the prognostic  $N_2O$  model does result in improvement of the  $N_2O$  depth profiles in the Indian Ocean.
- In order to find the optimal N<sub>2</sub>O production that minimizes the MSE (Eq. 5), we ran a range of simulations in which the low O<sub>2</sub> N<sub>2</sub>O production was varied in the diagnostic model (Fig. 9A), and a range of simulations in which both the hypoxic N<sub>2</sub>O production and the suboxic N<sub>2</sub>O consumption were varied in the prognostic model (Fig. 9B). The optimum solution for the prognostic model was found at a gross production of 0.33 Tg N y<sup>-1</sup>. The optimised (net) N<sub>2</sub>O production in low O<sub>2</sub> regions and its confidence interval were 0.16 ± 0.13 Tg N y<sup>-1</sup> for the diagnostic model, and 0.12 ± 0.07 Tg N y<sup>-1</sup> for the prognostic model. In the optimised optimized diagnostic model the hypoxic N<sub>2</sub>O ratio (i.e. net production) is 1.7 mmol N<sub>2</sub>O (mol O<sub>2</sub>)<sup>-1</sup>. In the optimised optimized prognostic model the maximum N<sub>2</sub>O production ratio (i.e. gross production from hypoxic denitrification) is 15.4 mmol N<sub>2</sub>O (mol NO<sub>3</sub><sup>-</sup>)<sup>-1</sup> decreasing to 0 above 34 µmol O<sub>2</sub> L<sup>-1</sup>. The maximum N<sub>2</sub>O consumption ratio (from suboxic denitrification) is 15 mmol N<sub>2</sub>O (mol NO<sub>3</sub><sup>-</sup>)<sup>-1</sup>, decreasing to 0 above 28 µmol O<sub>2</sub> L<sup>-1</sup>. This leads to net production that is always positive and has a maximal ratio of 183 µmol N<sub>2</sub>O (mol NO<sub>3</sub><sup>-</sup>)<sup>-1</sup> at 10 µmol O<sub>2</sub> L<sup>-1</sup>.

# 3.2 N<sub>2</sub>O flux

We used the surface ΔpN<sub>2</sub>O distribution to constrain the total global N<sub>2</sub>O flux, and the uncertainty arising from the model-data
mismatch (the uncertainties arising from solubility and piston velocity are added at the end). ΔpN<sub>2</sub>O provided a better constraint than the N<sub>2</sub>O concentration distribution, since more N<sub>2</sub>O production mostly leads to more N<sub>2</sub>O outgassing to the atmosphere rather than a significant increase in shallow N<sub>2</sub>O concentrations (data not shown). This is because outgassing is proportional to ΔpN<sub>2</sub>O, but N<sub>2</sub>O concentration is proportional to pN<sub>2</sub>O, and ΔpN<sub>2</sub>O/pN<sub>2</sub>O is small in most of the surface ocean. The zonal average surface ΔpN<sub>2</sub>O distribution was well simulated by both submodels (Fig. 10D), and the model ensemble covered a wide range of global N<sub>2</sub>O fluxes (Fig. 11). The total N<sub>2</sub>O flux that best reproduced the ΔpN<sub>2</sub>O distribution was 2.4 ± 0.3 Tg N y<sup>-1</sup> for the diagnostic sub-model and 2.5 ± 0.3 Tg N y<sup>-1</sup> for the prognostic sub-model (Fig. 11). In the diagnostic model, the optimized oxic ΔN<sub>2</sub>O/AOU ratio was 10.6 μmol N<sub>2</sub>O (mol O<sub>2</sub>)<sup>-1</sup>. In the prognostic model, the optimized oxic nitrification ratio was 123 μmol N<sub>2</sub>O (mol NH<sup>4</sup><sub>4</sub>)<sup>-1</sup>. The results were the same in both diagnostic and prognostic submodels for the 2000-2004 and 2005-2009 averages, showing that the model was sufficiently spun up.

- High N<sub>2</sub>O fluxes have been reported for the coastal ocean (?) , and near-shore upwelling regions (e.g. ?). To test whether these regions contribute more to the global N<sub>2</sub>O flux than their surface area would suggest, we did the optimisation separately for the coastal ocean ( $\leq$ 200 m bottom depth) for the near-shore non-coastal ocean ( $\leq$ 2° from land, >200m bottom depth) for the East Tropical Pacific (180° - 70°W, 5°S - 5°N, >2° from land), and the rest of the open ocean (Table 2). The results show that the coastal ocean contributes only 2% of the global N<sub>2</sub>O flux, less than would be expected from its surface area, although
- there are also fewer observations in the coast (2%) of the total) so that the relative error is slightly higher. The near-shore

non-coastal deep offshore ocean contributes 14% of the global  $N_2O$  flux both submodels, hardly more than its areal percentage (13%), and it's also fairly well sampled (12% of the observations). The East Equatorial Pacific ocean contributes 27% in the diagnostic submodel and 25% in the prognostic model, more than its areal percentage (22%), and it's undersampled (17%). The open ocean contributes 57 - 59%, slightly less than its areal percentage (61%). This is as expected, because we've separated out the main N<sub>2</sub>O hotspots, but the differences are quite small.

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When we used observed atmospheric  $pN_2O$  that varied with latitude and month (see Sect. Section 2.2) the results were essentially the same, with an  $N_2O$  flux of  $2.4 \pm 0.3$  Tg N y<sup>-1</sup> for the diagnostic sub-model and  $2.6 \pm 0.3$  Tg N y<sup>-1</sup> for the prognostic sub-model (data not shown).

Finally, we add In addition to the uncertainty that arises from the model-observations mismatch, uncertainty is contributed by the uncertainties in the N<sub>2</sub>Q solubility and the piston velocity<del>to the total</del>, the two quantities that connect the measured  $\Delta pN_2Q$  to the estimated air-sea flux. The uncertainty in the solubility has been estimated as 3% (?). The uncertainty in the piston velocity has been estimated at 32% (?). Uncertainties in the solubility and piston velocity are proportional to uncertainty in the optimized N<sub>2</sub>O flux through error propagation. This air-sea exchange because the optimized N<sub>2</sub>O production needs to change proportionally with solubility and piston velocity to achieve the same  $\Delta pN_2Q$ . Through error propagation, this gives a

total uncertainty of  $2.4 \pm 0.8$  Tg N y<sup>-1</sup> for the diagnostic sub-model and  $2.5 \pm 0.8$  Tg N y<sup>-1</sup> for the prognostic sub-model.

## 4 Discussion

? estimated global  $N_2O$  production directly from <u>N cycle N-cycle</u> observations. However, they did not have information on the f-ratio, so their estimate was based on total N assimilation in primary production. We use an updated estimate of primary production and it's error ?, and compile a database of the f-ratio (Fig. 2). We also use a much larger database of the  $\Delta N$ ? note

- 265 that N<sub>2</sub>O/AOU ratio (Fig. 3). We O production is proportional to export production. However, this is dependent on the model formulation, which was based on earlier studies that suggested nitrification in the ocean surface layer was light-inhibited (e.g. ?). More recent analyses of nitrification, e.g. the database of ?, find widespread nitrification in the upper mixed layer. In light of this, we decided to recalculate the N-cycle-based N<sub>2</sub>O production based on these extended databasescurrently available data. We find that we can estimate all the relevant steps in the N cycle with observational data, including their uncertainty (Sect.
- 270 2.1). At present this uncertainty is still fairly large, at  $4.6 \pm 3.1 \text{ Tg N y}^{-1}$ . The uncertainty in this estimate is similar to that in?, but our uncertainty is based on the uncertainty in all components of the calculation, while their uncertainty was based only on the uncertainty in the  $\Delta N_2 O/AOU$  ratio. The upper 60of our estimate overlaps with the lower 62of the ? estimate. The biggest contributor to our this uncertainty is the f-ratio, especially in the tropics, which constitute 44% of the ocean surface area, and additional measurements and/or data-synthesis could help constrain the  $N_2 O$  budget. The f-ratio data is only based on uptake of
- 275  $NO_3^-$ ,  $NH_4^+$  and urea, whereas phytoplankton can also take up  $NO_2^-$  and organic N (other than urea). One of the major sources of uncertainty in using the  $\Delta N_2 O/AOU$  ratio is that it is conceptually based on the  $N_2 O$  production during nitrification, which uses  $O_2$ .  $N_2 O$  production during denitrification is spatially separated from the associated  $O_2$  use that is needed to nitrify the  $NO_3^-$ , the electron acceptor donor in denitrification. This  $NO_3^-$  is produced by nitrification, so in terms of mass balance our

calculation is still valid, but this N<sub>2</sub>O production would show up as a vertical increase in N<sub>2</sub>O without associated increase in

- AOU at low O<sub>2</sub> concentrations (high AOU) in Figure 4. This estimate of global marine N<sub>2</sub>O production derived from analyzing the N cycle  $(4.6 \pm 3.1 \text{ Tg N y}^{-1})$  is statistically indistinguishable from the N<sub>2</sub>O flux derived from  $\Delta pN_2O$  observations  $(2.4 - 2.5 \pm 0.8 \text{ Tg N y}^{-1})$ , but has a much larger error. However, further observational constraints could not only reduce the error, but also extend further our understanding of the whole N cycle, including the option of evaluating the model representation of these N cycle processes their model representation against observations, and not just the part that N<sub>2</sub>O plays in them. Such
- further constraints are also likely to provide the most productive way to reduce unexplained variability that is found in the observations but not in the present models. E.g., we have shown that both the  $N_2O$  and  $NO_3$  are underestimated at ~300 1500 m depth and overestimated below ~2000 m (Fig. 6, 7). Thus, improved representation of mesopelagic remineralisation might lead in improved representation of the  $N_2O$  depth distribution. However, this falls outside the scope of this study.
- Models of the global marine C cycle have been in use for decades, and a lot of the available information has been synthesized,
  cross-correlated and interpreted in detail (??). While actual measurements of N utilisation and transformation have also been made in abundance (Fig. 2, 3, 4, 5A, 6, 7, 9A), the synthesis and global modelling of these data is less advanced. In addition, N occurs in many different oxidation states in the marine environment (e.g. organic matter and NH<sub>4</sub><sup>+</sup> as -3, N<sub>2</sub> as 0, N<sub>2</sub>O as 0 and +2, NO<sub>2</sub><sup>-</sup> as +3, and NO<sub>3</sub><sup>-</sup> as +5). Therefore, redox reactions complicate the representation of the N cycle a good deal. This lack of data synthesis and of identification of the most important controls in a complex system is reflected in a relatively
  low ability of the model to model observed nitrification rates and to a lesser extent NH<sub>4</sub><sup>+</sup> concentrations (Table 1).

This lack of knowledge also means that partitioning the global marine  $N_2O$  production over the nitrification and denitrification pathways is poorly constrained. Both the diagnostic and the prognostic models assign a small percentage of the total  $N_2O$ production to the denitrification pathway, 6 and 4% respectively. However, because of the large bias between the observed and modeled  $N_2O$  concentration depth profiles (Fig. 7) these may be underestimates (**??**). Possibly because of the model bias (Fig.

- 300 7, 8), the addition of N<sub>2</sub>O consumption in the prognostic submodel does not lead to a significantly better distribution of N<sub>2</sub>O across depth or between different basins (Fig. 8). As a result, the  $\Delta pN_2O$  distributions are also quite similar (Fig. 10, 12) and the optimised optimized N<sub>2</sub>O flux and confidence intervals of the two submodels are also quite similar (Fig. 11). However, it should also be noted, first, that the optimization using surface  $\Delta pN_2O$  agrees with the optimization using N<sub>2</sub>O concentration that the contribution of the low O<sub>2</sub> N<sub>2</sub>O production needs to be low (Fig. 11). Second, the error contribution from the model
- 305 vs. observed  $\Delta pN_2O$  comparison is low, with confidence intervals of 0.3 Tg N y<sup>-1</sup> for both submodels. Third,  $\Delta pN_2O$  is equally well modelled above the low O<sub>2</sub> regions as in the rest of the ocean (Fig. 10, 12), and the contribution of the coastal and near-shore non-coastal deep offshore ocean are nearly proportional to their surface areas (Table 2). These three features are supporting evidence for our results that suggest that the low O<sub>2</sub> regions make a small contribution to the global ocean N<sub>2</sub>O production. They should be balanced against the model bias of the vertical distribution of N<sub>2</sub>O concentrations, which
- 310 suggests a larger contribution from the low O<sub>2</sub> regions. ? also estimated a small fraction of 7% of the global total contributed by denitrification / low O<sub>2</sub> N<sub>2</sub>O production. Two complementary approaches could provide better constraints: a better representation of the vertical distribution of export and remineralisation would allow the optimization against N<sub>2</sub>O concentration observations to achieve better results. But conversely, with better constraints on the physiology of nitrifiers and denitrifiers the

N<sub>2</sub>O concentration database could provide constraints on the representation of remineralisation. Although there are relatively

15 few N<sub>2</sub>O concentration observations, nitrification and denitrification respond to specific environmental queues (in particular O<sub>2</sub> concentration), so that the they could contribute a relatively large observational constraint over the full range of environmental conditions.

Despite these shortcomings, the global marine N<sub>2</sub>O flux is well constrained to  $2.4 - 2.5 \pm 0.8$  Tg N y<sup>-1</sup> by both submodels (Fig. 11). This constraint-reflects the fact that the integrated effect of the different physical and biogeochemical processes determines the surface  $\Delta pN_2O$  distribution (Fig. 10), so that the integrated total can be well constrained even if the individual processes are not. The N<sub>2</sub>O flux is at the lower end of previous estimates, and with a similar confidence interval to other recent estimates (Fig. 4). The confidence interval is dominated by uncertainty in the piston velocity (32%) rather than model-observation mismatches (12%). Because of differences in methodology it is not possible to provide reasons for why our estimate is lower than the more recent estimates. We can, however, compare our estimate to that of (?), because it is also based on a database of  $\Delta pN_2O$ . Compared to their high end estimate using the piston velocity of Wanninkhof of 5.2 ± 3.6 Tg N y<sup>-1</sup>, our

- estimate is lower because we use the more recent 13% lower estimate of piston velocity of (?), and because our  $\Delta pN_2O$  of 7.6  $\pm$  18.1 ppb is 25 28% lower compared to 10.55 natm in ? (the range is calculated based on the water vapor correction for conversion between ppb and natm, which increases from 0.6 4.1% at temperatures from 0 30 °C, which brings the values slightly closer together).
- We also tested how much influence sampling biases of very high supersaturation values might have on the estimated air-sea exchange. If the 40 ΔpN<sub>2</sub>O measurements in the gridded database that are higher than 100 ppb (Fig. 12) are doubled, the optimised optimized N<sub>2</sub>O air-sea exchange becomes 2.8 ± 0.5 Tg N y<sup>-1</sup> for the diagnostic model and 3.1 ± 0.5 Tg N y<sup>-1</sup> for the prognostic model. If the 24 ΔpN<sub>2</sub>O measurements in the gridded database that are higher than 152 ppm are excluded, to decrease the frequency of the highly oversaturated observations down to what both submodels simulate (Fig. 12), the optimised optimized N<sub>2</sub>O flux become 2.0 ± 0.2 for the diagnostic model and 2.3 ± 0.2 Tg N y<sup>-1</sup> for the prognostic model. These results still fall within the confidence intervals of the results using the complete database.

Possible biases in ocean physical transport could in theory affect N<sub>2</sub>O production in low O<sub>2</sub> regions. The indirect impact of ocean physics on low N<sub>2</sub>O production through its impact on the distribution of O<sub>2</sub>, which ? have shown to be substantial, is not quantified here because we used observed O<sub>2</sub> (?) instead of modeled O<sub>2</sub>. Our model results suggest that the model
representation of ocean physics is adequate for the purpose of estimating N<sub>2</sub>O flux from biogeochemical model perturbations. On the one hand, if the model had too much ventilation in the OMZs, shallow N<sub>2</sub>O concentrations would be underestimated, as they are in the model (Fig. 7), but this would also lead to ΔpN<sub>2</sub>O overestimation in the surface areas above the OMZs, which is not the case. The high ΔpN<sub>2</sub>O are generally lower but spread over a larger area than in the observations (Fig. 10), with a good frequency distribution of high ΔpN<sub>2</sub>O (Fig. 12). On the other hand, if the model had too little ventilation in the OMZs, in compensation, but the optimization to ΔpN<sub>2</sub>O would then estimate a higher OMZ N<sub>2</sub>O production than the optimization to the N<sub>2</sub>O depth profiles to compensate for the low transport, and this is also not the case. Therefore we conclude that potential biases in ocean physical transport do not appear to have a

large direct impact on low N<sub>2</sub>O production.

- Possible biases in ocean physical transport could in theory affect N<sub>2</sub>O production in low O<sub>2</sub> regions. However the model results do not suggest strong biases in N<sub>2</sub>O production as a result. On the one hand, if the model had too much ventilation in the OMZs, shallow N<sub>2</sub>O concentrations would be underestimated, as they are in the model (Fig. 7), but this would also lead to  $\Delta pN_2O$  overestimation in the surface areas above the OMZs, which is not the case. The high  $\Delta pN_2O$  are generally lower but spread over a larger area than in the observations (Fig. 10), with a good frequency distribution of high  $\Delta pN_2O$  (Fig. 12). On the other hand, if the model had too little ventilation in the OMZs, the optimization would reduce N<sub>2</sub>O production in the OMZs in compensation, but the optimization to  $\Delta pN_2O$  would then estimate a higher OMZ N<sub>2</sub>O production than the optimization to
- in compensation, but the optimization to  $\Delta pN_2O$  would then estimate a higher OMZ N<sub>2</sub>O production than the optimization to the N<sub>2</sub>O depth profiles to compensate for the low transport, and this is also not the case. Therefore we conclude that potential biases in ocean physical transport do not appear to have a large direct impact on low N<sub>2</sub>O production. The indirect impact of ocean physics on low N<sub>2</sub>O production through its impact on the distribution of O<sub>2</sub>, which ? have shown to be substantial, is not quantified here because we used observed O<sub>2</sub> (?) instead of modeled O<sub>2</sub>.
- Global oceanic N<sub>2</sub>O emissions estimated using atmospheric inversion methods based on atmospheric N<sub>2</sub>O concentrations tend to be higher than our results (Fig. 4). However, N<sub>2</sub>O emissions from inversions in the Southern Ocean are lower than the priors (????). These low Southern Ocean emissions (0.02 - 0.72 Tg N y<sup>-1</sup>) are consistent with our results (0.68 - 0.79 Tg N y<sup>-1</sup>). South of 30°S, 88% of the Earth surface is ocean, resulting in a clearer attribution in the inversions of the atmospheric N<sub>2</sub>O anomalies to ocean fluxes. We suggest that the higher emissions estimates from inversions for the global ocean could
- be due to a combination of overestimated priors of ocean fluxes in combination with insufficient observational constraints at latitudes North of 30°S to allow correct partitioning between land and ocean fluxes. Results presented here are for the open and coastal ocean. The largest coastal seas are resolved in our model, although specific coastal processes, such as the interactions with sediments and tides, are not. Our results do not include emissions from estuaries. Fluxes from these could be as large as 2.3 3.6 Tg N y<sup>-1</sup> according to one estimate (?), and could be another contributing factor to the difference between our results and those of atmospheric inversions.
- and those of utilospheric inversions.

*Code and data availability.* The four databases presented in this manuscript are available as NetCDF files from https://www.uea.ac.uk/greenocean/data. The code of PlankTOM10.2 is available at greenocean-data.uea.ac.uk/model/PlankTOM10.2.tar

Competing interests. The authors declare they have no competing interests.

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Database	Model change	Cost function
Nitrication rate	$0.2 \ d^{-1}$	4.22
	$0.1 \ \mathrm{d}^{-1}  imes 2^{(T/10)}$	4.18
	$0.79~{ m d}^{-1}  imes 2^{(T/10)}  imes$ (1 - 0.159 $ imes$ ln(O $_2$ ))	4.16
	$0.58 \text{ d}^{-1} \times 2^{(T/10)} \times e^{(-0.14 \times I)}$	7.15
	$4.7 \text{ d}^{-1} \times 2^{(T/10)} \times (1 \text{ - } 0.159 \times \text{ln}(\text{O}_2)) \times \text{e}^{(-0.14 \times I)}$	6.87
Surface $NH_4^+$ concentration	$K_{1_{/_2}}$ estimated from observations	3.0

**Table 1.** Cost function (Eq. 3) for the optimisation simulations of sections 2.2-2.4, relative to the respective observational databases. The nitrification rate in bold was used in this study.

**Table 2.** Contributions of coastal (bottom depth  $\leq 200$  m), near-shore non-coastal deep offshore ( $\leq 2^{\circ}$  from land, bottom depth > 200 m), East equatorial Pacific (180° - 70°W 5°S - 5°N, >2° from land) and rest of the open ocean (>2° from land, bottom depth > 200 m, excluding East Eq. Pac.) to N<sub>2</sub>O flux, area and number of observations.

Region	Submodel	N <sub>2</sub> O flux	$\%~N_2O$ flux	% area	$\% n_{obs}$
Coastal ocean	Diagnostic	$0.05\pm0.01$	2	F	2
	Prognostic	$0.041\pm0.007$	2	3	
Deep offshore	Diagnostic	$0.33\pm0.04$	14	13	12
	Prognostic	$0.37\pm0.04$	14		
East Eq. Pac.	Diagnostic	$0.64\pm0.05$	27	22	17
	Prognostic	$0.67\pm0.05$	25	22	
Open ocean	Diagnostic	$1.37\pm0.19$	57	61	69
	Prognostic	$1.54\pm0.21$	59	01	



**Figure 1.** Primary biological pathways of the oceanic nitrogen cycle represented in the model simulations, along with redox states of N. Nitrification occurs in the oxic ocean (blue arrow). Denitrification yields net N<sub>2</sub>O production in hypoxic conditions (red arrow) and net N<sub>2</sub>O consumption in suboxic conditions (yellow arrow). Only organic nitrogen (N<sub>org</sub>),  $NH_4^+$ ,  $NO_3^-$  and N<sub>2</sub>O are represented as model state variables.



**Figure 2.** f-ratio  $(\rho_{NO_3^-}/(\rho_{NO_3^-}+\rho_{NH_4^+}+\rho_{urea}))$  as a function of latitude, from <sup>15</sup>N uptake experiments. Small dots were estimated without measuring NH<sub>4</sub><sup>+</sup> or urea concentrations (????). Large dots did not give a significant linear relationship with absolute value of latitude, and were therefore averaged at 0.29 ± 0.18 (?????).



**Figure 3.** Apparent N<sub>2</sub>O production ( $\Delta$ N<sub>2</sub>O nmol L<sup>-1</sup>) as a function of apparent oxygen utilization (AOU  $\mu$ mol L<sup>-1</sup>).



Figure 4. Published estimates of global ocean  $N_2O$  production or air-sea exchange. Estimates based on global observational datasets shown as boxes when ranges are given and whiskers if error estimates are given (ocean observations: ??? (plotted in 2011), ?, this study; atmospheric inversions: ??? (plotted in 2013), ?), model estimates shown as crosses (????).



**Figure 5.** N<sub>2</sub>O yield of nitrification (N atom:atom) as a function of O<sub>2</sub> concentration, filled triangles: AOA (?), open circles: AOB at low to medium cell numbers (??), crosses: marine AOB at high cell numbers (??), plusses: soil AOB at high cell numbers (?). Black line: logarithmic fit to AOA and low to medium cell number AOB (yield =  $0.791-0.126 \cdot \ln(O_2) \mod N \ln N_2 O \pmod{NH_4^+}^{-1}$ ).



**Figure 6.** Surface  $NH_4^+$  concentration ( $\mu$ mol L<sup>-1</sup>). A) observations(symbol size is 5 × 5°). B) model results are for the same months where there are observations, and annual averages everywhere else. C) zonal average, black) observations, red) model results. Model results are for the same months and longitudes as the observations. Latitude y-axis to the left of panel A.



Figure 7. Depth profiles of  $N_2O$  concentration (nmol  $L^{-1}$ ) for different basins. Black lines: observations, Green lines: optimised diagnostic model, Red lines: optimised prognostic model.



**Figure 8.** Depth (m.) profile of average  $NO_3^-$  concentration ( $\mu$ mol L<sup>-1</sup>). Black line) WOA2009 synthesis of observations, not interpolated. Red line) Model results sampled at the places where there are observations.



**Figure 9.**  $MSE^{0.5}$  for the two N<sub>2</sub>O submodels compared to the N<sub>2</sub>O concentration database as a function N<sub>2</sub>O production in the low O<sub>2</sub> regions.  $MSE_{min}$  was obtained as the minimum of a second order polynomial fit (black lines). The 1 $\sigma$  confidence interval, where MSE equals the value calculated from Eq. 5, is indicated by the horizontal lines. A) diagnostic submodel, each point represents a simulation with a different low O<sub>2</sub> ratio, B) prognostic model, "no c" is with no N<sub>2</sub>O consumption i.e. net production = gross production. All other lines have a constant gross production, and net production varies with different N<sub>2</sub>O consumption rates. Range of parameter values is given in the supplementary material Section 8.7.



**Figure 10.** Surface  $\Delta pN_2O$  (ppb). A) observations(symbol size is  $5 \times 5^\circ$ ), B) optimised diagnostic model, C) optimised prognostic model. Model results are for the same months where there are observations, and annual averages everywhere else. D) zonal average, Black line: observations, Green dashed: diagnostic model, Red dotted: prognostic model. Model results are for the same months and longitudes as the observations. Latitude y-axis to the left of panel A.



**Figure 11.**  $MSE^{0.5}$  for the two N<sub>2</sub>O submodels compared to the  $\Delta pN_2O$  database as a function of global N<sub>2</sub>O flux at different (net) N<sub>2</sub>O production rates in the low O<sub>2</sub> regions.  $MSE_{min}$  and confidence intervals as in Fig. 8. A) diagnostic submodel, the four lines represent the four best low O<sub>2</sub> production rates from Fig. 9A, each point represents a simulation, different symbols indicate different low O<sub>2</sub> ratios, points with the same symbols have different oxic N<sub>2</sub>O production rates from Fig 9B, points with the same symbols have different N<sub>2</sub>O ratios for nitrification.



Figure 12. Frequency distribution of  $\Delta pN_2O$  in the observations (solid black), and the optimised simulations of the diagnostic submodel (green squares) and the prognostic submodel (red lines).