Constraints on global oceanic emissions of N_2O from observations and models

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Abstract. We estimate the global ocean N₂O flux to the atmosphere and its confidence interval using a statistical method based on model perturbation simulations and their fit to a database of ΔpN_2O (n=6136). We evaluate two submodels of N₂O production. The first submodel splits N₂O production into oxic and hypoxic pathways following previous publications. The second submodel explicitly represents the redox transformations of N that lead to N₂O production (nitrification and

- 5 hypoxic denitrification) and N₂O consumption (suboxic denitrification), and is presented here for the first time. We perturb both submodels by modifying the key parameters of the N₂O cycling pathways (nitrification rates, NH₄⁺ uptake, N₂O yields under oxic, hypoxic and suboxic conditions), and determine a set of optimal model parameters by minimisation of a cost function against 4 databases of N cycle observations derived from observed and model ΔpN_2O concentrations. Our estimate of the global oceanic N₂O flux resulting from this cost function minimisation is 2.4 ± 0.8 and 2.5 ± 0.8 Tg N y⁻¹ for the 2
- 10 N_2O submodels. These estimates suggest that the currently available observational data of surface ΔpN_2O constrain the global N_2O flux to a narrower range relative to the large range of results presented in the latest IPCC report.

1 Introduction

Nitrous oxide (N_2O) is the third most important contributor to anthropogenic radiative forcing, after carbon dioxide (CO_2) and methane (CH_4) (Myhre et al., 2013). It is also currently estimated as the dominant contributor to stratospheric ozone depletion

- 15 (Portmann et al., 2012). Yet our quantitative understanding of the magnitude and processes controlling natural N₂O emissions from the Earth surface to the atmosphere is very poor. A range of methods have been used to constrain total oceanic N₂O emissions, including the combination of surface ocean N₂O partial pressure anomalies with gas-exchange parameterizations (Nevison et al., 1995), empirically derived functional relationships applied to global ocean datasets (Nevison et al., 2003; Freing et al., 2012), and ocean biogeochemistry models (Suntharalingam and Sarmiento, 2000; Suntharalingam et al., 2000;
- 20 Jin and Gruber, 2003; Martinez-Rey et al., 2015). In spite of the multiple methods used, the reported oceanic emissions of N₂O is still poorly constrained, ranging from 1.9 to 9.4 Tg N y⁻¹ according to the latest report of the Intergovernmental Panel on Climate Change (IPCC Ciais et al., 2013). The uncertainty in the oceanic emissions of N₂O accounts for a large part of the total uncertainty in the natural N₂O emissions, which are approximately 11 Tg N y⁻¹ (Ciais et al., 2013). Part of the uncertainty in the oceanic emissions is whether estuaries are included, which could emit as much as 2.3 3.6 Tg N y⁻¹ (Bange et al., 1996).

The large uncertainty in the oceanic emissions of N₂O stems from the complexity of its production pathways. There are two main pathways of N₂O production in the ocean, nitrification and denitrification, which both stem from redox reactions of nitrogen, under oxic and hypoxic conditions, respectively (Fig. 1). N₂O is formed as a byproduct of marine nitrification of ammonium (NH₄⁺) to nitrate (NO₃⁻); N₂O is also an intermediate product of denitrification, during the reduction of NO₃⁻ to

- 5 nitrogen gas (N₂) (Frame and Casciotti, 2010; Loescher et al., 2012; Merbt et al., 2012). Denitrification can also consume N₂O, using extracellular N₂O, and reduce it to N₂ (Bange, 2008). In the oxic part of the ocean (i.e. most of the ocean, 97% >34 μ mol O₂ L⁻¹ (using O₂ data taken from Bianchi et al., 2012)) denitrification is suppressed, and the primary formation pathway is usually ascribed to nitrification (Cohen and Gordon, 1978), although denitrification may be significant in the anaerobic centres of large marine snow particles in oxic waters (Klawonn et al., 2015). Oceanic N₂O production in oxic regions is often derived
- 10 from the linear relationships observed between apparent oxygen utilization (AOU) and apparent N₂O production (Δ N₂O) (e.g. Yoshinari, 1976; Cohen and Gordon, 1978). However, the Δ N₂O/AOU ratio varies in different water masses and oceanic regions (Suntharalingam and Sarmiento, 2000). Previous studies have suggested that differences in the Δ N₂O/AOU ratio could be driven by changing N₂O yields under varying pressure and temperature (Butler et al., 1989) or varying O₂ concentration (Nevison et al., 2003). Additional mechanisms not yet quantified could include variations in the elemental stoichiometry
- 15 of the organic matter that is being remineralised, and spatial separation of organic matter remineralisation and nitrification. Throughout the manuscript we will refer to N_2O stoichiometries relative to O_2 , NH_4^+ and NO_3^- as ratios, because they have been optimised against global databases of concentration measurements, rather than from microbiological yields. Using the latter would be more mechanistically satisfying, but the relevant yields are at present insufficiently constrained by observations.

Estimates of the contribution from suboxic regions of the ocean (about 3%) to the global N₂O flux vary from net depletion

- via denitrification (Cohen and Gordon, 1978), to 33% for the total N₂O production in the suboxic ocean (Suntharalingam et al., 2012), and to more than 50% from denitrification alone (Yoshida et al., 1989). This ambiguity remains unresolved. Bottom-up microbial physiology data is relatively scarce (see Sections 2.4 2.6), while top-down data needs relatively complicated inverse methods to estimate the contribution from suboxic regions. These inverse methods are complicated both because of the variation in the ΔN₂O/AOU ratio, which is negative under suboxic conditions, maximal under hypoxic conditions and lower
 under oxic conditions (e.g. 0.31 0.033 mmol/mol, Law and Owens, 1990), and because the influence of mixing gradients
- make in situ ratios an unreliable gauge to the biological yields under in situ conditions (Nevison et al., 2003).

Here, we estimate the global ocean N_2O flux to the atmosphere and its confidence interval. First, we estimate N_2O flux from observations only (Sect. 2.1). This estimate has large uncertainty. We subsequently use a statistical approach introduced by Buitenhuis et al. (2013a) to estimate the global oceanic emissions of N_2O and its confidence interval by combining ocean

- 30 N₂O model simulations with a global database of measurements of surface ΔpN_2O . This approach involves minimisation of a cost function that compares a series of model simulations with a global database of point measurements of surface ΔpN_2O . To achieve this, we use 4 observational databases of the N cycle (Sect. 2.2). We extend the global ocean biogeochemistry model PlankTOM10 (Le Quere et al., 2016b) with additional N cycle processes. We derive the biogeochemical parameters for nitrification rate and phytoplankton use of NH₄⁺ from the observational databases of nitrification rate and NH₄⁺ concentration (Sect.
- 35 2.3-2.4). Then, we describe two separate submodels of different levels of complexity that represent N₂O cycling pathways

(Sect. 2.5-2.7). Finally, we apply the statistical approach to the two submodels to estimate the N₂O production in the low O₂ regions from the depth resolved N₂O concentration database (Section 3.1), and the global oceanic N₂O flux from the surface ΔpN_2O database (Section 3.2), followed by a discussion of the results (Sect. 4).

2 Ocean N cycle

5 2.1 Calculation of global ocean N₂O production from N cycle observations

In this section we provide an initial estimate of global marine N_2O production based on observationally derived quantities characterising marine productivity and the global ocean N cycle. This follows a similar method to Cohen and Gordon (1979), who estimated ocean N_2O production using Redfield type ratios. N_2O is produced either during production of $NO_3^$ in NH_4^+ oxidation or during NO_3^- reduction in denitrification (Fig. 1). We therefore base the N_2O production on total $NO_3^$ turnover, calculated from primary production times the f-ratio. The f-ratio is the fraction of primary production that is sup-

- 10 turnover, calculated from primary production times the f-ratio. The f-ratio is the fraction of primary production that is supported by nitrate. Primary production (PP) was estimated at 58 ± 7 Pg C y⁻¹ based on ¹⁴C primary production measurements (n=50,050), parameter perturbations of a previous version of the model uses here, and Eq. 5 (Buitenhuis et al., 2013a). We compiled a database of uptake rates of NO₃⁻, NH₄⁺ and urea, which gives an average f-ratio of 0.29 ± 0.18 (Fig. 2, large symbols, n=34). The globally averaged ΔN₂O/AOU ratio was calculated from the MEMENTO database (Bange et al., 2009)
 15 as 81.5 ± 1.4 µmol/mol (Fig. 3). Finally, since primary production is expressed in carbon terms, and N₂O production was
- The as $81.5 \pm 1.4 \mu$ monthol (Fig. 5). Finally, since primary production is expressed in carbon terms, and N₂O production was correlated with oxygen (O₂) utilization, we need to include the -O₂:C ratio (the - sign indicates the O₂ is consumed as CO₂ is produced), which was taken from Anderson and Sarmiento (1994) as $170 \pm 10 / 117 \pm 14$, and the molar weights of C (12) and N in N₂O (28). Here and in the rest of the paper, errors were progagated in the usual way:

$$error = \sqrt{\left(\frac{errorofA}{A}\right)^2 + \left(\frac{errorofB}{B}\right)^2 + \dots * A * B * \dots}$$
(1)

20 Thus N₂O production was calculated as PP *f-ratio*-O₂:C * Δ N₂O/AOU. Our best estimate of N₂O production using this method is 58 *1000 * 0.29 * 170/117 * 81.5e-6 *28/12 = 4.6 ± 3.1 Tg N y⁻¹. This estimate lies in the middle of other reported estimates (Fig. 4) but the 68% confidence interval is very large. We therefore investigate the N₂O fluxes using a model optimized with observations in the rest of the paper.

2.2 Observational databases for model development

- We used four databases to tune or optimise different aspects of the N cycle in the PlankTOM10 ocean biogeochemistry model. The number of datapoints reported for each database are after gridding to $1^{\circ} \times 1^{\circ} \times 12$ months $\times 33$ depths (World Ocean Atlas 2009). The databases used are (1) NH₄⁺ specific nitrification rate (n=296) as described in Yool et al. (2007); (2) surface NH₄⁺ concentration distribution (n=2343) that combines the dataset used in Paulot et al. (2015) with data held by the British Oceanographic Data Centre in January 2014 (Johnson et al. in prep., http://www.bodc.ac.uk); (3) depth-resolved N₂O concentration
- 30 from the MEMENTO project (n=8047; https://memento.geomar.de/; Bange et al., 2009, ; downloaded 4 June 2014); and (4)

surface partial pressure of N_2O (p N_2O) also from MEMENTO (n=6136; downloaded 16 Sept. 2015). Since there is at present no formal quality control beyond that performed by individual contributors to the MEMENTO database and a check by the database administrators that the values make physical sense (Kock and Bange, 2015), we have taken the database at face value. p N_2O was converted to ΔpN_2O using atmospheric p N_2O :

$$5 \quad pN_2O_{atm} = 0.000009471353 \times Y^3 - 0.052147139 \times Y^2 + 95.68066 \times Y - 58228.41 \tag{2}$$

(A. Freing, pers. comm., correction to Freing et al., 2009), in which Y is the decimal year. The average absolute difference relative to the global average pN_2O_{atm} data from the NOAA/ESRL Global Monitoring Division (ftp://ftp.cmdl.noaa.gov/hats/ n2o/combined/HATS_global_N2O.txt) is 0.5 ppb between 1977 and 2014 and 0.3 ppb between 2000 and 2014.

2.3 Cost Function Formulation

10 To parameterise the model N cycle, we use a cost function to minimize the difference between model and observations, following the methods of Buitenhuis et al. (2013a):

$$cost function = 10^{\Sigma |log_{10}(model/observation)|/n}$$
(3)

This formulation gives equal weight to the relative correspondence between model and observations at small and large observational values. A value of 2 means that, on average, the model deviates from the observations by a factor 2 in either direction. To

15 calculate the cost function (and also to calculate MSE in Eq. 6), the model was regridded to the same grid as the observations, and residuals were calculated at months and places where there are observations. The cost function results for the optimised simulations are summarised in Table 1.

2.4 Nitrification

Our initial biogeochemical model configuration is PlankTOM10 (Le Quere et al., 2016b), which represents growth and loss
terms from ten Plankton Functional Types (PFTs), including N₂-fixers, picoheterotrophs (*Bacteria* plus *Archaea*) and deni-trification rate, but not denitrifier biomass. A full model description and parameter values are provided in the supplementary material. Here, we extend the model representation of redox reactions in the N cycle, to create the global biogeochemical model PlankTOM10.2. We describe the new N cycle components below.

- In order to represent nitrification rate, the state variable for dissolved inorganic nitrogen was split into NO_3^- and NH_4^+ . 25 Respiration by all PFTs produces NH_4^+ . The parameterization for nitrification used in our model is based on the analysis of a database of NH_4^+ -specific nitrification rates (Yool et al., 2007). Yool et al. (2007) found that observed nitrification rates are highly variable, with no obvious relationship with either latitude or depth. They therefore used a constant rate of 0.2 d⁻¹ throughout the ocean in their model. Implementing this rate in our model resulted in a cost function relative to the nitrification rate observations of 4.22 (Table 1). We tested if including temperature, O_2 or light dependence improves the ability of the
- 30 model to reproduce observed nitrification rates. Regarding the response of ammonia oxidizing *Archaea* (AOA), the main nitrifiers in the ocean (Francis et al., 2005; Wuchter et al., 2006; Loescher et al., 2012), to temperature, we are only aware

of the measurements of Qin et al. (2014). These show a ~4-fold variation in maximum growth rate between 3 strains, which poorly constrains the temperature dependence of AOA. We therefore first used a generic Q_{10} of 2 and optimised the rate at 0°C using the nitrification rate observations. This led to a slightly improved representation of the observations (cost function = 4.18). Although the response of AOA and ammonia oxidizing *Bacteria* (AOB) to O₂ has only been measured at 21-25

- ⁵ °C (Frame and Casciotti, 2010; Loescher et al., 2012), which limits the range of O_2 concentrations, there was a significant logarithmic relationship between N_2O yield and O_2 (Fig. 5). A logarithmic function fit the data better than linear, exponential or power functions. Since nitrification consumes O_2 , in the model it decreases as remineralisation switches from O_2 to NO_3 (supplementary material Eq. 70, 61, 67). Implementing this response to O_2 led to a further small improvement of the model nitrification rate relative to the observations (cost=4.16). This implies that nitrification never becomes O_2 limited, reflecting
- 10 a lack of data to parameterise an expected decrease. As will be described more fully in Section 3.1, we used observed O_2 concentrations in the simulations (Bianchi et al., 2012) rather than interactively modelled O_2 , to minimise the impact of model biases in simulate O_2 fields (Suntharalingam et al., 2012). The response of AOA to light is estimated to be 50% inhibited at 5 μ mol photons m⁻² s⁻¹. However, this estimate is not well constrained (Merbt et al., 2012). Implementing this light response did not improve the model, either in combination with the O_2 and temperature responses or with the temperature response only,
- 15 and was subsequently omitted. The lack of improvement in nitrification rates by adding light inhibition might reflect the lower sensitivity of AOA to light found by Qin et al. (2014).

2.5 Phytoplankton $K_{\frac{1}{2}}$ for NH_4^+ uptake

We used the calculation of the preferential uptake of NH_4^+ over NO_3^- by phytoplankton PFTs of Vallina and Le Quere (2008)(supplementary material Eq. 9). The $K_{\frac{1}{2}}$ of phytoplankton for NH⁺₄ has mostly been measured based on uptake rates (syntheses by Goldman and PM, 1983; Killberg-Thoreson et al., 2014). Aksnes and Egge (1991) have shown a theoretical ex-20 pectation of a linear increase of $K_{1/2}$ with cell radius. The observations are so variable that they neither confirm nor contradict such an increase. The model uses a fixed C:N:O2 ratio for all organic matter of 122:16:-172, and Michaelis-Menten kinetics for growth based on inorganic N uptake by phytoplankton (Buitenhuis et al., 2013a, supplementary material Eq. 8, 9). We therefore need a K_{1/2} for growth rather than for uptake to be consistent with the fixed C:N ratio (Morel, 1987). The available uptake rate 25 data do not include the supporting data to allow conversion to the $K_{1/2}$ for growth. We are only aware of measurements of the $K_{1/2}$ for growth by Stawiarski (2014). Based on the latter values of $0.09 \pm 0.15 \ \mu mol \ L^{-1}$ for picoeukaryotes, the $K_{1/2}$ of phytoplankton for NH_4^+ was set to 0.1 to 5 μ mol L⁻¹, increasing linearly with nominal size (Buitenhuis et al., 2013b). Due to the highly dynamic nature of NH_4^+ turnover, the ability of the model to reproduce the observed NH_4^+ concentrations at the same times and places was by no means perfect, but the large scale pattern of surface NH⁺₄ concentration shows an increase with latitude, consistent with the observations (Fig. 6), which translates into a cost function of 3.0. 30

2.6 N₂O production

 N_2O production is implemented as two distinct submodels. The diagnostic submodel is based on statistical relationships of $\Delta N_2O/AOU$ ratios taken from observations and has previously been published (Suntharalingam et al., 2000, 2012). In oxic

waters it uses one ratio to estimate the open ocean source of N₂O production. In hypoxic waters it uses a higher ratio to represent the increased yield of N₂O from both nitrification and denitrification in oxygen minimum zones. The hypoxic N₂O yield is maximal at 1 μ mol O₂ L⁻¹, and decreases with an e-folding concentration of 10 μ mol O₂ L⁻¹ (Suntharalingam et al., 2000, 2012, supplementary material Eq. 69, 35, 67).

- 5 The prognostic submodel presented here is based on process understanding and explicitly represents the primary N_2O formation and consumption pathways associated with the marine nitrogen cycle (Fig. 1). It includes the production of N_2O during oxic nitrification (blue arrows in Fig. 1) and during hypoxic denitrification (red arrow in Fig. 1); and a consumption term during denitrification at even lower (suboxic) O_2 concentrations (yellow arrow in Fig. 1). The ratios of the three processes are globally invariant (supplementary material Eq. 70, 61, 63, 71). The functional form of the O_2 dependence of N_2O consumption
- 10 (suppl. Eq. 71) was the same as that of denitrification (suppl. Eq. 67), and with an O_2 response function that is 1.5 μ mol L⁻¹ lower than that of denitrification, which is similar to that used by Babbin et al. (2015). We independently optimised the ratios of N2O production and consumption from denitrification (Section 3.1), which controls the net N2O production as a function of O_2 concentration. There is not enough information at present to optimise the O_2 concentration parameters of denitrification and N_2O consumption as well. The ratios of both submodels were optimized using the databases of observed N_2O concentration
- 15 and ΔpN_2O (see Sect. 3.1 and 3.2, supplementary material Section 8.7). The N2O concentrations from both the diagnostic and the prognostic submodels are transported in the same way by physical transport and the formulation of their gas exchange is also identical.

2.7 N₂O flux and simulation setup

N₂O is transported like other tracers. N₂O flux (=air-sea gas exchange) is calculated as:

$$N_2Oflux = (pN_2O_{atm} * K0 * (1 - p_{watervapor}) - pN_2O) * piston_velocity * \sqrt{660/Schmidt_number_{N_2O} * (1 - ice_cover)}$$

$$(4)$$

, in which K0 is the solubility (Weiss and Price, 1980), $p_{watervapor}$ is the water vapor pressure (Sarmiento et al., 1992), piston velocity = 0.27*(wind speed)² (Sweeney et al., 2007), which is optimised for use with the NCEP reanalysis data used here, the Schmidt number for N₂O was taken from Wanninkhof (1992), and the ice cover is calculated by the sea ice model LIM2.

- In most of the simulations, atmospheric pN_2O was calculated from Eq. 2. For the optimised low O_2 production we also ran a series of simulations with the NOAA pN_2O_{atm} observational data that included seasonal and latitudinal variations (see Section 2.2 for the ftp address where we downloaded the data, and Section 3.2 for the results). Between 2000 and 2014, we used the monthly observations for the 12 available latitudes. Monthly anomalies relative to the global average were calculated at each available latitude from the 2000-2016 observations. These were added to Eq. 2 from 1965 and 1976, and to the global average observations between 1977 and 1999. In the model simulation, the data were linearly interpolated between the 12 latitudes and
- 30 monthly observations.

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The PlankTOM10.2 biogeochemical model coupled with the two N₂O submodels is incorporated into the ocean general circulation model NEMO v3.1 (Madec, 2008). The model resolution is 2° in longitude, on average 1.1° in latitude and has

30 vertical layers, from 10 m in the top 100 m to 500 m at 5000 m. The model simulations were initialised in 1965 from observations (Le Quere et al., 2016b), with NH_4^+ initialised as 0, and N_2O initialised from a horizontal interpolation of the MEMENTO observations (see Sect. 2.2). Simulations were run to 2014, forced with daily atmospheric conditions from the NCEP reanalysis (Kalnay et al., 1996), (for details see Buitenhuis et al., 2013a). Results are reported averaged over the last 5 years.

2.8 Estimation of global N₂O flux from point measurements of ΔpN_2O

In previous versions of the PlankTOM model (Buitenhuis et al., 2006, 2010, 2013a) we have used Eq. 3 to evaluate the model because it minimises relative error, which we have found to be more appropriate when the observations span several orders of magnitude. Unfortunately, statistical confidence intervals have only been defined for χ^2 -statistics such as Eq. 5 and 6, which minimise absolute error, so that we end up with 2 cost functions (Eq. 3, 5), depending on the application. To estimate the global air-sea flux of N₂O that best fits the ΔpN_2O data, and its ±1-sigma (68%) confidence interval, we use the formula described in Buitenhuis et al. (2013a):

$$MSE/MSE_{min} = 0.468 \times n/(n-2) \times \sqrt{(2(2n-2)/(n(n-4))) + n/(n-2)}$$
(5)

, in which

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$$MSE = \frac{\sum (model(longitude, latitude, month) - observation(longitude, latitude, month))^2}{n}$$
(6)

, MSE_{min} is the MSE of the model simulation that is closest to the observations, and n is the number of observations.

3 Results

3.1 N₂O production at low O₂

The global N₂O production rate in oxygen minimum zones (OMZs) was optimized using the depth-resolved N₂O data of the MEMENTO database. As noted in previous model studies of ocean O₂, global models do not well represent the extent and intensity of OMZ regions (Bopp et al., 2013; Cocco et al., 2013). The modeled OMZs in PlankTOM10 occur at greater depths than observed, resulting in unrealistic vertical distributions of N₂O (results not shown). Therefore, following Suntharalingam et al. (2012), the model was run using fixed observed O₂ concentrations (Bianchi et al., 2012), which corrected, in part, the vertical distribution of N₂O production from the two submodels, though it still occurred at too great depths (Fig. 7). In the

equatorial regions and in the Pacific ocean the N₂O concentrations are underestimated between ~200 and ~1500 m. depth, and overestimated below that. This shortcoming is not significantly improved in the prognostic model (Fig. 7), even though the prognostic model represents the process of N₂O consumption at low O₂ concentrations. The depth of maximum N₂O in the model is generally deeper than observed, suggesting that organic matter remineralisation may be too low at shallow depths. This is confirmed by the depth profile of NO₃⁻, which is underestimated relative to the WOA2009 observations between 100 and 1500 m., and overestimated at greater depths (Fig. 8). In both submodels, the N_2O concentrations in the deep sea are also too high, but since only 5% of N_2O production occurs below 1600 m this does not have a big impact on the global N_2O fluxes. The addition of N_2O consumption in the prognostic N_2O model does result in improvement of the N_2O depth profiles in the Indian Ocean.

- 5 In order to find the optimal N₂O production that minimizes the MSE (Eq. 5), we ran a range of simulations in which the low O₂ N₂O production was varied in the diagnostic model (Fig. 9A), and a range of simulations in which both the hypoxic N₂O production and the suboxic N₂O consumption were varied in the prognostic model (Fig. 9B). The optimum solution for the prognostic model was found at a gross production of 0.33 Tg N y⁻¹. The optimised (net) N₂O production in low O₂ regions and its confidence interval were 0.16 ± 0.13 Tg N y⁻¹ for the diagnostic model, and 0.12 ± 0.07 Tg N y⁻¹ for the
- 10 prognostic model. In the optimized diagnostic model the hypoxic N₂O ratio (i.e. net production) is 1.7 mmol N₂O (mol O₂)⁻¹. In the optimized prognostic model the maximum N₂O production ratio (i.e. gross production from hypoxic denitrification) is 15.4 mmol N₂O (mol NO₃⁻)⁻¹ decreasing to 0 above 34 μ mol O₂ L⁻¹. The maximum N₂O consumption ratio (from suboxic denitrification) is 15 mmol N₂O (mol NO₃⁻)⁻¹, decreasing to 0 above 28 μ mol O₂ L⁻¹. This leads to net production that is always positive and has a maximal ratio of 183 μ mol N₂O (mol NO₃⁻)⁻¹ at 10 μ mol O₂ L⁻¹.

15 3.2 N₂O flux

We used the surface ΔpN_2O distribution to constrain the total global N_2O flux. ΔpN_2O provided a better constraint than the N_2O concentration distribution, since more N_2O production mostly leads to more N_2O outgassing to the atmosphere rather than a significant increase in shallow N_2O concentrations (data not shown). This is because outgassing is proportional to ΔpN_2O , but N_2O concentration is proportional to pN_2O , and $\Delta pN_2O/pN_2O$ is small in most of the surface ocean. The zonal average

surface ΔpN₂O distribution was well simulated by both submodels (Fig. 10D), and the model ensemble covered a wide range of global N₂O fluxes (Fig. 11). The total N₂O flux that best reproduced the ΔpN₂O distribution was 2.4 ± 0.3 Tg N y⁻¹ for the diagnostic sub-model and 2.5 ± 0.3 Tg N y⁻¹ for the prognostic sub-model (Fig. 11). In the diagnostic model, the optimized oxic ΔN₂O/AOU ratio was 10.6 µmol N₂O (mol O₂)⁻¹. In the prognostic model, the optimized oxic nitrification ratio was 123 µmol N₂O (mol NH⁺₄)⁻¹. The results were the same in both diagnostic and prognostic submodels for the 2000-2004 and 2005-2009 averages, showing that the model was sufficiently spun up.

High N₂O fluxes have been reported for the coastal ocean (Bange et al., 1996) near-shore upwelling regions (e.g. Arevalo-Martinez et al., 2015). To test whether these regions contribute more to the global N₂O flux than their surface area would suggest, we did the optimisation separately for the coastal ocean (\leq 200 m bottom depth) for the near-shore non-coastal ocean (\leq 2° from land, >200m bottom depth) for the East Tropical Pacific (180° - 70°W, 5°S - 5°N, >2° from land), and the rest

of the open ocean (Table 2). The results show that the coastal ocean contributes only 2% of the global N₂O flux, less than would be expected from its surface area, although there are also fewer observations in the coast (2% of the total) so that the relative error is slightly higher. The deep offshore ocean contributes 14% of the global ₂O flux both submodels, hardly more than its areal percentage (13%), and it's also fairly well sampled (12% of the observations). The East Equatorial Pacific ocean contributes 27% in the diagnostic submodel and 25% in the prognostic model, more than its areal percentage (22%), and it's undersampled (17%). The open ocean contributes 57 - 59%, slightly less than its areal percentage (61%). This is as expected, because we've separated out the main N_2O hotspots, but the differences are quite small.

When we used observed atmospheric pN₂O that varied with latitude and month (see Section 2.2) the results were essentially the same, with an N₂O flux of 2.4 ± 0.3 Tg N y⁻¹ for the diagnostic sub-model and 2.6 ± 0.3 Tg N y⁻¹ for the prognostic sub-model (data not shown).

In addition to the uncertainty that arises from the model-observations mismatch, uncertainty is contributed by the uncertainties in the N₂O solubility and the piston velocity, the two quantities that connect the measured ΔpN_2O to the estimated air-sea flux. The uncertainty in the solubility has been estimated as 3% (Cohen and Gordon, 1978). The uncertainty in the piston velocity has been estimated at 32% (Sweeney et al., 2007). Uncertainties in the solubility and piston velocity are proportional

10 to uncertainty in the optimized N₂O air-sea exchange because the optimized N₂O production needs to change proportionally with solubility and piston velocity to achieve the same ΔpN_2O . Through error propagation, this gives a total uncertainty of 2.4 \pm 0.8 Tg N y⁻¹ for the diagnostic sub-model and 2.5 \pm 0.8 Tg N y⁻¹ for the prognostic sub-model.

4 Discussion

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Cohen and Gordon (1979) estimated global N₂O production directly from N-cycle observations. However, they did not have 15 information on the f-ratio, so their estimate was based on total N assimilation in primary production. Suntharalingam et al. (2012) note that N₂O production is proportional to export production. However, this is dependent on the model formulation, which was based on earlier studies that suggested nitrification in the ocean surface layer was light-inhibited (e.g. Horrigan et al., 1981). More recent analyses of nitrification, e.g. the database of Yool et al. (2007), find widespread nitrification in the

upper mixed layer. In light of this, we decided to recalculate the N-cycle-based N₂O production based on currently available

- 20 data. We find that we can estimate all the relevant steps in the N cycle with observational data, including their uncertainty (Sect. 2.1). At present this uncertainty is still fairly large, at 4.6 ± 3.1 Tg N y⁻¹. The biggest contributor to this uncertainty is the f-ratio, especially in the tropics, which constitute 44% of the ocean surface area. The f-ratio data is only based on uptake of NO₃⁻, NH₄⁺ and urea, whereas phytoplankton can also take up NO₂⁻ and organic N (other than urea). One of the major sources of uncertainty in using the ΔN_2 O/AOU ratio is that it is conceptually based on the N₂O production during nitrification, which
- 25 uses O_2 . N_2O production during denitrification is spatially separated from the associated O_2 use that is needed to nitrify the NO_3^- , the electron donor in denitrification. This NO_3^- is produced by nitrification, so in terms of mass balance our calculation is still valid, but this N_2O production would show up as a vertical increase in N_2O without associated increase in AOU at low O_2 concentrations (high AOU) in Figure 4. This estimate of global marine N_2O production derived from analyzing the N cycle is statistically indistinguishable from the N_2O flux derived from ΔpN_2O observations, but has a much larger error.
- 30 However, further observational constraints could not only reduce the error, but also further our understanding of the whole N cycle, including the option of evaluating their model representation against observations, and not just the part that N_2O plays in them. Such further constraints are also likely to provide the most productive way to reduce unexplained variability that is found in the observations but not in the present models. E.g., we have shown that both the N_2O and NO_3 are underestimated at ~300

- 1500 m depth and overestimated below ~2000 m (Fig. 6, 7). Thus, improved representation of mesopelagic remineralisation might lead in improved representation of the N_2O depth distribution. However, this falls outside the scope of this study.

Models of the global marine C cycle have been in use for decades, and a lot of the available information has been synthesized, cross-correlated and interpreted in detail (Le Quere et al., 2016a; Buitenhuis et al., 2013b). While actual measurements of N

- 5 utilisation and transformation have also been made in abundance (Fig. 2, 3, 4, 5A, 6, 7, 9A), the synthesis and global modelling of these data is less advanced. In addition, N occurs in many different oxidation states in the marine environment (e.g. organic matter and NH_4^+ as -3, N₂ as 0, N₂O as 0 and +2, NO_2^- as +3, and NO_3^- as +5). Therefore, redox reactions complicate the representation of the N cycle a good deal. This lack of data synthesis and of identification of the most important controls in a complex system is reflected in a relatively low ability of the model to model observed nitrification rates and to a lesser extent
- 10 NH_4^+ concentrations (Table 1).

This lack of knowledge also means that partitioning the global marine N_2O production over the nitrification and denitrification pathways is poorly constrained. Both the diagnostic and the prognostic models assign a small percentage of the total N_2O production to the denitrification pathway, 6 and 4% respectively. However, because of the large bias between the observed and modeled N_2O concentration depth profiles (Fig. 7) these may be underestimates (Suntharalingam et al., 2012; Arevalo-

- 15 Martinez et al., 2015). Possibly because of the model bias (Fig. 7, 8), the addition of N₂O consumption in the prognostic submodel does not lead to a significantly better distribution of N₂O across depth or between different basins (Fig. 8). As a result, the ΔpN_2O distributions are also quite similar (Fig. 10, 12) and the optimized N₂O flux and confidence intervals of the two submodels are also quite similar (Fig. 11). However, it should also be noted, first, that the optimization using surface ΔpN_2O agrees with the optimization using N₂O concentration that the contribution of the low O₂ N₂O production needs to
- 20 be low (Fig. 11). Second, the error contribution from the model vs. observed ΔpN_2O comparison is low, with confidence intervals of 0.3 Tg N y⁻¹ for both submodels. Third, ΔpN_2O is equally well modelled above the low O₂ regions as in the rest of the ocean (Fig. 10, 12), and the contribution of the coastal and deep offshore ocean are nearly proportional to their surface areas (Table 2). These three features are supporting evidence for our results that suggest that the low O₂ regions make a small contribution to the global ocean N₂O production. They should be balanced against the model bias of the vertical distribution of
- 25 N_2O concentrations, which suggests a larger contribution from the low O_2 regions. Freing et al. (2012) also estimated a small fraction of 7% of the global total contributed by denitrification / low $O_2 N_2O$ production. Two complementary approaches could provide better constraints: a better representation of the vertical distribution of export and remineralisation would allow the optimization against N_2O concentration observations to achieve better results. But conversely, with better constraints on the physiology of nitrifiers and denitrifiers the N_2O concentration database could provide constraints on the representation of
- 30 remineralisation. Although there are relatively few N_2O concentration observations, nitrification and denitrification respond to specific environmental queues (in particular O_2 concentration), so that the they could contribute a relatively large observational constraint over the full range of environmental conditions.

Despite these shortcomings, the global marine N₂O flux is well constrained to 2.4 - 2.5 \pm 0.8 Tg N y⁻¹ by both submodels (Fig. 11). This reflects the fact that the integrated effect of the different physical and biogeochemical processes determines the surface ΔpN_2O distribution (Fig. 10). The N₂O flux is at the lower end of previous estimates, and with a similar confidence

interval to other recent estimates (Fig. 4). The confidence interval is dominated by uncertainty in the piston velocity (32%) rather than model-observation mismatches (12%). Because of differences in methodology it is not possible to provide reasons for why our estimate is lower than the more recent estimates. We can, however, compare our estimate to that of (Nevison et al., 1995), because it is also based on a database of ΔpN_2O . Compared to their high end estimate using the piston velocity

- 5 of Wanninkhof of 5.2 ± 3.6 Tg N y⁻¹, our estimate is lower because we use the more recent 13% lower estimate of piston velocity of (Sweeney et al., 2007), and because our ΔpN_2O of 7.6 ± 18.1 ppb is 25 28% lower compared to 10.55 natm in Nevison et al. (1995) (the range is calculated based on the water vapor correction for conversion between ppb and natm, which increases from 0.6 4.1% at temperatures from 0 30 °C, which brings the values slightly closer together).
- We also tested how much influence sampling biases of very high supersaturation values might have on the estimated airsea exchange. If the 40 ΔpN₂O measurements in the gridded database that are higher than 100 ppb (Fig. 12) are doubled, the optimized N₂O air-sea exchange becomes 2.8 ± 0.5 Tg N y⁻¹ for the diagnostic model and 3.1 ± 0.5 Tg N y⁻¹ for the prognostic model. If the 24 ΔpN₂O measurements in the gridded database that are higher than 152 ppm are excluded, to decrease the frequency of the highly oversaturated observations down to what both submodels simulate (Fig. 12), the optimized N₂O flux become 2.0 ± 0.2 for the diagnostic model and 2.3 ± 0.2 Tg N y⁻¹ for the prognostic model. These results still fall within the confidence intervals of the results using the complete database.
- Possible biases in ocean physical transport could in theory affect N₂O production in low O₂ regions. The indirect impact of ocean physics on low N₂O production through its impact on the distribution of O₂, which Zamora and Oschlies (2014) have shown to be substantial, is not quantified here because we used observed O₂ (Bianchi et al., 2012) instead of modeled O₂. Our model results suggest that the model representation of ocean physics is adequate for the purpose of estimating N₂O flux
 from biogeochemical model perturbations. On the one hand, if the model had too much ventilation in the OMZs, shallow N₂O concentrations would be underestimated, as they are in the model (Fig. 7), but this would also lead to ΔpN₂O overestimation in the surface areas above the OMZs, which is not the case. The high ΔpN₂O are generally lower but spread over a larger area than in the observations (Fig. 10), with a good frequency distribution of high ΔpN₂O (Fig. 12). On the other hand, if the model
- had too little ventilation in the OMZs, the optimization would reduce N₂O production in the OMZs in compensation, but the
 optimization to ΔpN₂O would then estimate a higher OMZ N₂O production than the optimization to the N₂O depth profiles to
 compensate for the low transport, and this is also not the case. Therefore we conclude that potential biases in ocean physical
 transport do not appear to have a large direct impact on low N₂O production.

Possible biases in ocean physical transport could in theory affect N_2O production in low O_2 regions. However the model results do not suggest strong biases in N_2O production as a result. On the one hand, if the model had too much ventilation in

- 30 the OMZs, shallow N₂O concentrations would be underestimated, as they are in the model (Fig. 7), but this would also lead to ΔpN_2O overestimation in the surface areas above the OMZs, which is not the case. The high ΔpN_2O are generally lower but spread over a larger area than in the observations (Fig. 10), with a good frequency distribution of high ΔpN_2O (Fig. 12). On the other hand, if the model had too little ventilation in the OMZs, the optimization would reduce N₂O production in the OMZs in compensation, but the optimization to ΔpN_2O would then estimate a higher OMZ N₂O production than the optimization to
- N_2O depth profiles to compensate for the low transport, and this is also not the case. Therefore we conclude that potential

biases in ocean physical transport do not appear to have a large direct impact on low N_2O production. The indirect impact of ocean physics on low N_2O production through its impact on the distribution of O_2 , which Zamora and Oschlies (2014) have shown to be substantial, is not quantified here because we used observed O_2 (Bianchi et al., 2012) instead of modeled O_2 .

- Global oceanic N₂O emissions estimated using atmospheric inversion methods based on atmospheric N₂O concentrations 5 tend to be higher than our results (Fig. 4). However, N₂O emissions from inversions in the Southern Ocean are lower than the priors (Hirsch et al., 2006; Huang et al., 2008; Thompson et al., 2014; Saikawa et al., 2014). These low Southern Ocean emissions (0.02 - 0.72 Tg N y⁻¹) are consistent with our results (0.68 - 0.79 Tg N y⁻¹). South of 30°S, 88% of the Earth surface is ocean, resulting in a clearer attribution in the inversions of the atmospheric N₂O anomalies to ocean fluxes. We suggest that the higher emissions estimates from inversions for the global ocean could be due to a combination of overestimated priors of
- 10 ocean fluxes in combination with insufficient observational constraints at latitudes North of 30° S to allow correct partitioning between land and ocean fluxes. Results presented here are for the open and coastal ocean. The largest coastal seas are resolved in our model, although specific coastal processes, such as the interactions with sediments and tides, are not. Our results do not include emissions from estuaries. Fluxes from these could be as large as 2.3 - 3.6 Tg N y⁻¹ according to one estimate (Bange et al., 1996), and could be another contributing factor to the difference between our results and those of atmospheric inversions.
- 15 *Code and data availability.* The four databases presented in this manuscript are available as NetCDF files from https://www.uea.ac.uk/greenocean/data. The code of PlankTOM10.2 is available at greenocean-data.uea.ac.uk/model/PlankTOM10.2.tar

Competing interests. The authors declare they have no competing interests.

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Database	Model change	Cost function
Nitrication rate	$0.2 \ d^{-1}$	4.22
	$0.1 \ \mathrm{d}^{-1} imes 2^{(T/10)}$	4.18
	$0.79~{ m d}^{-1} imes 2^{(T/10)} imes$ (1 - 0.159 $ imes$ ln(O ₂))	4.16
	$0.58 \text{ d}^{-1} \times 2^{(T/10)} \times e^{(-0.14 \times I)}$	7.15
	$4.7 \text{ d}^{-1} \times 2^{(T/10)} \times (1 - 0.159 \times \ln(O_2)) \times e^{(-0.14 \times I)}$	6.87
Surface NH_4^+ concentration	$K_{1_{/_2}}$ estimated from observations	3.0

Table 1. Cost function (Eq. 3) for the optimisation simulations of sections 2.2-2.4, relative to the respective observational databases. The nitrification rate in bold was used in this study.

Table 2. Contributions of coastal (bottom depth ≤ 200 m), deep offshore ($\leq 2^{\circ}$ from land, bottom depth > 200 m), East equatorial Pacific ($180^{\circ} - 70^{\circ}W 5^{\circ}S - 5^{\circ}N$, >2° from land) and rest of the open ocean (>2° from land, bottom depth > 200 m, excluding East Eq. Pac.) to N₂O flux, area and number of observations.

Region	Submodel	N ₂ O flux	$\%~N_2O$ flux	% area	$\% \; n_{obs}$
Coastal ocean	Diagnostic	0.05 ± 0.01	2	5	2
	Prognostic	0.041 ± 0.007	2	5	
Deep offshore	Diagnostic	0.33 ± 0.04	14	12	12
	Prognostic	0.37 ± 0.04	14	15	
East Eq. Pac.	Diagnostic	0.64 ± 0.05	27	22	17
	Prognostic	0.67 ± 0.05	25		
Open ocean	Diagnostic	1.37 ± 0.19	57	61	69
	Prognostic	1.54 ± 0.21	59	01	



Figure 1. Primary biological pathways of the oceanic nitrogen cycle represented in the model simulations, along with redox states of N. Nitrification occurs in the oxic ocean (blue arrow). Denitrification yields net N₂O production in hypoxic conditions (red arrow) and net N₂O consumption in suboxic conditions (yellow arrow). Only organic nitrogen (N_{org}), NH₄⁺, NO₃⁻ and N₂O are represented as model state variables.



Figure 2. f-ratio $(\rho_{NO_3^-}/(\rho_{NO_3^-}+\rho_{NH_4^+}+\rho_{urea}))$ as a function of latitude, from ¹⁵N uptake experiments. Small dots were estimated without measuring NH₄⁺ or urea concentrations (Prakash et al., 2008, 2015; Gandhi et al., 2010, 2012). Large dots did not give a significant linear relationship with absolute value of latitude, and were therefore averaged at 0.29 ± 0.18 (Wafar et al., 2004; Varela et al., 2005, 2013; Joubert et al., 2011; Thomalla et al., 2011; Simpson et al., 2013).



Figure 3. Apparent N₂O production (Δ N₂O nmol L⁻¹) as a function of apparent oxygen utilization (AOU μ mol L⁻¹).



Figure 4. Published estimates of global ocean N_2O production or air-sea exchange. Estimates based on global observational datasets shown as boxes when ranges are given and whiskers if error estimates are given (ocean observations: Nevison et al. (1995, 2003); Freing et al. (2012) (plotted in 2011), Bianchi et al. (2012), this study; atmospheric inversions: Hirsch et al. (2006); Huang et al. (2008); Thompson et al. (2014) (plotted in 2013), Saikawa et al. (2014)), model estimates shown as crosses (Suntharalingam and Sarmiento (2000); Jin and Gruber (2003); Suntharalingam et al. (2012); Martinez-Rey et al. (2015)).



Figure 5. N₂O yield of nitrification (N atom:atom) as a function of O₂ concentration, filled triangles: AOA (Loescher et al., 2012), open circles: AOB at low to medium cell numbers (Frame and Casciotti, 2010; Loescher et al., 2012), crosses: marine AOB at high cell numbers (Goreau et al., 1980; Frame and Casciotti, 2010), plusses: soil AOB at high cell numbers (Lipschultz et al., 1981). Black line: logarithmic fit to AOA and low to medium cell number AOB (yield = $0.791-0.126 \cdot \ln(O_2) \text{ mmol N in N}_2O (\text{mol NH}_4^+)^{-1}$).



Figure 6. Surface NH_4^+ concentration (μ mol L⁻¹). A) observations. B) model results are for the same months where there are observations, and annual averages everywhere else. C) zonal average, black) observations, red) model results. Model results are for the same months and longitudes as the observations. Latitude y-axis to the left of panel A.



Figure 7. Depth profiles of N_2O concentration (nmol L^{-1}) for different basins. Black lines: observations, Green lines: optimised diagnostic model, Red lines: optimised prognostic model.



Figure 8. Depth (m.) profile of average NO_3^- concentration (μ mol L⁻¹). Black line) WOA2009 synthesis of observations, not interpolated. Red line) Model results sampled at the places where there are observations.



Figure 9. $MSE^{0.5}$ for the two N₂O submodels compared to the N₂O concentration database as a function N₂O production in the low O₂ regions. MSE_{min} was obtained as the minimum of a second order polynomial fit (black lines). The 1 σ confidence interval, where MSE equals the value calculated from Eq. 5, is indicated by the horizontal lines. A) diagnostic submodel, each point represents a simulation with a different low O₂ ratio, B) prognostic model, "no c" is with no N₂O consumption i.e. net production = gross production. All other lines have a constant gross production, and net production varies with different N₂O consumption rates. Range of parameter values is given in the supplementary material Section 8.7.



Figure 10. Surface ΔpN_2O (ppb). A) observations, B) optimised diagnostic model, C) optimised prognostic model. Model results are for the same months where there are observations, and annual averages everywhere else. D) zonal average, Black line: observations, Green dashed: diagnostic model, Red dotted: prognostic model. Model results are for the same months and longitudes as the observations. Latitude y-axis to the left of panel A.



Figure 11. $MSE^{0.5}$ for the two N₂O submodels compared to the ΔpN_2O database as a function of global N₂O flux at different (net) N₂O production rates in the low O₂ regions. MSE_{min} and confidence intervals as in Fig. 8. A) diagnostic submodel, the four lines represent the four best low O₂ production rates from Fig. 9A, each point represents a simulation, different symbols indicate different low O₂ ratios, points with the same symbols have different oxic N₂O production rates from Fig 9B, points with the same symbols have different N₂O ratios for nitrification.



Figure 12. Frequency distribution of $\Delta p N_2 O$ in the observations (solid black), and the optimised simulations of the diagnostic submodel (green squares) and the prognostic submodel (red lines).