

Constraints on global oceanic emissions of N₂O from observations and models

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Abstract. We estimate the global ocean N₂O flux to the atmosphere and its confidence interval using a statistical method based on model perturbation simulations and their fit to a database of ΔpN_2O (n=6136). We evaluate two submodels of N₂O production. The first submodel splits N₂O production into oxic and hypoxic pathways following previous publications. The second submodel explicitly represents the redox transformations of N that lead to N₂O production (nitrification and hypoxic denitrification) and N₂O consumption (suboxic denitrification), and is presented here for the first time. We perturb both submodels by modifying the key parameters of the N₂O cycling pathways (nitrification rates, NH₄⁺ uptake, N₂O yields under oxic, hypoxic and suboxic conditions), and determine a set of optimal model parameters by minimisation of a cost function against 4 databases of N cycle observations derived from observed and model ΔpN_2O concentrations. Our estimate of the global oceanic N₂O flux resulting from this cost function minimisation is 2.4 ± 0.8 and 2.5 ± 0.8 Tg N y⁻¹ for the 2 N₂O submodels. These estimates suggest that the currently available observational data of surface ΔpN_2O constrain the global N₂O flux to a narrower range relative to the large range of results presented in the latest IPCC report.

1 Introduction

Nitrous oxide (N₂O) is the third most important contributor to anthropogenic radiative forcing, after carbon dioxide (CO₂) and methane (CH₄) (Myhre et al., 2013). It is also currently estimated as the dominant contributor to stratospheric ozone depletion (Portmann et al., 2012). Yet our quantitative understanding of the magnitude and processes controlling natural N₂O emissions from the Earth surface to the atmosphere is very poor. A range of methods have been used to constrain total oceanic N₂O emissions, including the combination of surface ocean N₂O partial pressure anomalies with gas-exchange parameterizations (Nevison et al., 1995), empirically derived functional relationships applied to global ocean datasets (Nevison et al., 2003; Freing et al., 2012), and ocean biogeochemistry models (Suntharalingam and Sarmiento, 2000; Suntharalingam et al., 2000; Jin and Gruber, 2003; Martinez-Rey et al., 2015). In spite of the multiple methods used, the reported oceanic emissions of N₂O is still poorly constrained, ranging from 1.9 to 9.4 Tg N y⁻¹ according to the latest report of the Intergovernmental Panel on Climate Change (IPCC Ciais et al., 2013). The uncertainty in the oceanic emissions of N₂O accounts for a large part of the total uncertainty in the natural N₂O emissions, which are approximately 11 Tg N y⁻¹ (Ciais et al., 2013). Part of the uncertainty in the oceanic emissions is whether estuaries are included, which could emit as much as 2.3 - 3.6 Tg N y⁻¹ (Bange et al., 1996).

The large uncertainty in the oceanic emissions of N_2O stems from the complexity of its production pathways. There are two main pathways of N_2O production in the ocean, nitrification and denitrification, which both stem from redox reactions of nitrogen, under oxic and hypoxic conditions, respectively (Fig. 1). N_2O is formed as a byproduct of marine nitrification of ammonium (NH_4^+) to nitrate (NO_3^-); N_2O is also an intermediate product of denitrification, during the reduction of NO_3^- to nitrogen gas (N_2) (Frame and Casciotti, 2010; Loescher et al., 2012; Merbt et al., 2012). Denitrification can also consume N_2O , using extracellular N_2O , and reduce it to N_2 (Bange, 2008). In the oxic part of the ocean (i.e. most of the ocean, 97% $>34 \mu\text{mol O}_2 \text{ L}^{-1}$ (using O_2 data taken from Bianchi et al., 2012)) denitrification is suppressed, and the primary formation pathway is usually ascribed to nitrification (Cohen and Gordon, 1978), although denitrification may be significant in the anaerobic centres of large marine snow particles in oxic waters (Klawonn et al., 2015). Oceanic N_2O production in oxic regions is often derived from the linear relationships observed between apparent oxygen utilization (AOU) and apparent N_2O production ($\Delta\text{N}_2\text{O}$) (e.g. Yoshinari, 1976; Cohen and Gordon, 1978). However, the $\Delta\text{N}_2\text{O}/\text{AOU}$ ratio varies in different water masses and oceanic regions (Suntharalingam and Sarmiento, 2000). Previous studies have suggested that differences in the $\Delta\text{N}_2\text{O}/\text{AOU}$ ratio could be driven by changing N_2O yields under varying pressure and temperature (Butler et al., 1989) or varying O_2 concentration (Nevison et al., 2003). Additional mechanisms not yet quantified could include variations in the elemental stoichiometry of the organic matter that is being remineralised, and spatial separation of organic matter remineralisation and nitrification. Throughout the manuscript we will refer to N_2O stoichiometries relative to O_2 , NH_4^+ and NO_3^- as ratios, because they have been optimised against global databases of concentration measurements, rather than from microbiological yields. Using the latter would be more mechanistically satisfying, but the relevant yields are at present insufficiently constrained by observations.

Estimates of the contribution from suboxic regions of the ocean (about 3%) to the global N_2O flux vary from net depletion via denitrification (Cohen and Gordon, 1978), to 33% for the total N_2O production in the suboxic ocean (Suntharalingam et al., 2012), and to more than 50% from denitrification alone (Yoshida et al., 1989). This ambiguity remains unresolved. Bottom-up microbial physiology data is relatively scarce (see Sect. 2.4 - 2.6), while top-down data needs relatively complicated inverse methods to estimate the contribution from suboxic regions. These inverse methods are complicated both because of the variation in the $\Delta\text{N}_2\text{O}/\text{AOU}$ ratio, which is negative under suboxic conditions, maximal under hypoxic conditions and lower under oxic conditions (e.g. 0.31 - 0.033 mmol/mol, Law and Owens, 1990), and because the influence of mixing gradients make in situ ratios an unreliable gauge to the biological yields under in situ conditions (Nevison et al., 2003).

Here, we estimate the global ocean N_2O flux to the atmosphere and its confidence interval. First, we estimate N_2O flux from observations only (Sect. 2.1). This estimate has large uncertainty. We subsequently use a statistical approach introduced by Buitenhuis et al. (2013a) to estimate the global oceanic emissions of N_2O and its confidence interval by combining ocean N_2O model simulations with a global database of measurements of surface $\Delta\text{pN}_2\text{O}$. This approach involves minimisation of a cost function that compares a series of model simulations with a global database of point measurements of surface $\Delta\text{pN}_2\text{O}$. To achieve this, we use 4 observational databases of the N cycle (Sect. 2.2) to extend the global ocean biogeochemistry model PlankTOM10 (Le Quere et al., 2016b) with additional N cycle processes. We derive the biogeochemical parameters for nitrification rate and phytoplankton use of NH_4^+ from the observational databases of nitrification rate and NH_4^+ concentration (databases (1) and (2) and Sect. 2.4-2.5). Then, we describe two separate submodels of different levels of complexity that

represent N₂O cycling pathways (Sect. 2.6-2.7). Finally, we apply the statistical approach (Sect. 2.8) to the two submodels to estimate the N₂O production in the low O₂ regions from the depth resolved N₂O concentration database (database (3) and Sect. 3.1), and the global oceanic N₂O flux from the surface Δ pN₂O database (database (4) and Sect. 3.2), followed by a discussion of the results (Sect. 4).

5 2 Ocean N cycle

2.1 Calculation of global ocean N₂O production from N cycle observations

In this section we provide an initial estimate of global marine N₂O production based on observationally derived quantities characterising marine productivity and the global ocean N cycle. This follows a similar method to Cohen and Gordon (1979), who estimated ocean N₂O production using Redfield type ratios. N₂O is produced either during production of NO₃⁻ in NH₄⁺ oxidation or during NO₃⁻ reduction in denitrification (Fig. 1). We therefore base the N₂O production on total NO₃⁻ turnover, calculated from primary production times the f-ratio. The f-ratio is the fraction of primary production that is supported by nitrate. Primary production (PP) was estimated at 58 ± 7 Pg C y⁻¹ based on ¹⁴C primary production measurements (n=50,050), parameter perturbations of a previous version of the model used here, and Eq. 5 (Buitenhuis et al., 2013a). We compiled a database of uptake rates of NO₃⁻, NH₄⁺ and urea, which gives an average f-ratio of 0.29 ± 0.18 (Fig. 2, large symbols, n=34). The globally averaged Δ N₂O/AOU ratio was calculated from the MEMENTO database (Bange et al., 2009) as 81.5 ± 1.4 μ mol/mol (Fig. 3). Finally, since primary production is expressed in carbon terms, and N₂O production was correlated with oxygen (O₂) utilization, we need to include the -O₂:C ratio (the - sign indicates the O₂ is consumed as CO₂ is produced), which was taken from Anderson and Sarmiento (1994) as $170 \pm 10 / 117 \pm 14$, and the molar weights of C (12) and N in N₂O (28). Here and in the rest of the paper, errors were propagated in the usual way:

$$20 \quad error = \sqrt{\left(\frac{error\ of\ A}{A}\right)^2 + \left(\frac{error\ of\ B}{B}\right)^2 + \dots * A * B * \dots} \quad (1)$$

Thus N₂O production was calculated as PP * f-ratio * -O₂:C * Δ N₂O/AOU. Our best estimate of N₂O production using this method is $58 \pm 7 * 1000 * 0.29 \pm 0.18 * 170 \pm 10 / 117 \pm 14 * 81.5e-6 \pm 1.4e-6 * 28 / 12 = 4.6 \pm 3.1$ Tg N y⁻¹. This estimate lies in the middle of other reported estimates (Fig. 4) but the 68% confidence interval is very large. We therefore investigate the N₂O fluxes using a model optimised with observations in the rest of the paper.

25 2.2 Observational databases for model development

We used four databases to tune or optimise different aspects of the N cycle in the PlankTOM10 ocean biogeochemistry model. The number of datapoints reported for each database are after gridding to $1^\circ \times 1^\circ \times 12$ months \times 33 depths (World Ocean Atlas 2009). The databases used are (1) NH₄⁺ specific nitrification rate (d⁻¹, raw data n=425, gridded data n=296) as described in Yool et al. (2007); (2) surface NH₄⁺ concentration distribution (μ mol L⁻¹, raw data n=33079, gridded data n=2343) that combines the dataset used in Paulot et al. (2015) with data held by the British Oceanographic Data Centre in January 2014

(Johnson et al. in prep., <http://www.bodc.ac.uk>); (3) depth-resolved N₂O concentration from the MEMENTO project (nmol L⁻¹, <https://memento.geomar.de/>; Bange et al., 2009, ; downloaded 4 June 2014, raw data n=14342, gridded data n=8047); and (4) surface partial pressure of N₂O (pN₂O) also from MEMENTO (ppb, downloaded 16 Sept. 2015, raw data n=227463, gridded data n=6136). Since there is at present no formal quality control beyond that performed by individual contributors to the MEMENTO database and a check by the database administrators that the values make physical sense (Kock and Bange, 2015), we have taken the database at face value. pN₂O was converted to ΔpN₂O using atmospheric pN₂O:

$$pN_2O_{atm} = 0.000009471353 \times Y^3 - 0.052147139 \times Y^2 + 95.68066 \times Y - 58228.41 \quad (2)$$

(A. Freing, pers. comm., correction to Freing et al., 2009), in which Y is the decimal year. The average absolute difference relative to the global average pN_2O_{atm} data from the NOAA/ESRL Global Monitoring Division (ftp://ftp.cmdl.noaa.gov/hats/n2o/combined/HATS_global_N2O.txt) is 0.5 ppb between 1977 and 2014 and 0.3 ppb between 2000 and 2014.

2.3 Cost Function Formulation

To parameterise the model N cycle, we use a cost function to minimize the difference between model and observations, following the methods of Buitenhuis et al. (2013a):

$$costfunction = 10^{\Sigma |\log_{10}(model/observation)|/n} \quad (3)$$

This formulation gives equal weight to the relative correspondence between model and observations at small and large observational values. A value of 2 means that, on average, the model deviates from the observations by a factor 2 in either direction. To calculate the cost function (and also to calculate MSE in Eq. 6), the model was regridded to the same grid as the observations, and residuals were calculated at months and places where there are observations. The cost function results for the optimised simulations are summarised in Table 1.

2.4 Nitrification

Our initial biogeochemical model configuration is PlankTOM10 (Le Quere et al., 2016b), which represents growth and loss terms from ten Plankton Functional Types (PFTs), including N₂-fixers, picoheterotrophs (*Bacteria* plus *Archaea*) and denitrification rate, but not denitrifier biomass. A full model description and parameter values are provided in the supplementary material. Here, we extend the model representation of redox reactions in the N cycle, to create the global biogeochemical model PlankTOM10.2. We describe the new N cycle components below.

In order to represent nitrification rate, the state variable for dissolved inorganic nitrogen was split into NO₃⁻ and NH₄⁺. Respiration by all PFTs produces NH₄⁺. The parameterization for nitrification used in our model is based on the analysis of a database of NH₄⁺-specific nitrification rates (Yool et al., 2007). Yool et al. (2007) found that observed nitrification rates are highly variable, with no obvious relationship with either latitude or depth. In their model they therefore used a constant rate of 0.2 d⁻¹ throughout the ocean. Implementing this rate in our model resulted in a cost function relative to the nitrification rate observations of 4.22 (Table 1). We tested if including temperature, O₂ or light dependence improves the ability of the model to

reproduce observed nitrification rates. Regarding the response of ammonia oxidizing *Archaea* (AOA), the main nitrifiers in the ocean (Francis et al., 2005; Wuchter et al., 2006; Loescher et al., 2012), to temperature, we are only aware of the measurements of Qin et al. (2014). These show a ~4-fold variation in maximum growth rate between 3 strains, which poorly constrains the temperature dependence of AOA. We therefore first used a generic Q_{10} of 2 and optimised the rate at 0°C using the nitrification rate observations. This led to only a slightly improved representation of the observations (cost function = 4.18). Although the response of AOA and ammonia oxidizing *Bacteria* (AOB) to O_2 has only been measured at 21-25 °C (Frame and Casciotti, 2010; Loescher et al., 2012), which limits the range of O_2 concentrations, there was a significant logarithmic relationship between N_2O yield and O_2 (Fig. 5). A logarithmic function fit the data better than linear, exponential or power functions. Since nitrification consumes O_2 , in the model it decreases as remineralisation switches from O_2 to NO_3 (supplementary material Eq. 70, 61, 67). Implementing this response to O_2 led to only a further small improvement of the model nitrification rate relative to the observations (cost=4.16). This implies that nitrification never becomes O_2 limited, reflecting a lack of data to parameterise an expected decrease. As will be described more fully in Sect. 3.1, we used observed O_2 concentrations in the simulations (Bianchi et al., 2012) rather than interactively modelled O_2 , to minimise the impact of model biases in simulated O_2 fields (Suntharalingam et al., 2012). The response of AOA to light is estimated to be 50% inhibited at 5 $\mu\text{mol photons m}^{-2} \text{ s}^{-1}$. However, this estimate is not well constrained (Merbt et al., 2012). Implementing this light response did not improve the model, either in combination with the O_2 and temperature responses or with the temperature response only, and was subsequently omitted. The lack of improvement in nitrification rates by adding light inhibition might reflect the lower sensitivity of AOA to light found by Qin et al. (2014).

2.5 Phytoplankton $K_{1/2}$ for NH_4^+ uptake

We used the calculation of the preferential uptake of NH_4^+ over NO_3^- by phytoplankton PFTs of Vallina and Le Quere (2008)(supplementary material Eq. 9). The $K_{1/2}$ of phytoplankton for NH_4^+ has mostly been measured based on uptake rates (syntheses by Goldman and PM, 1983; Killberg-Thoreson et al., 2014). Aksnes and Egge (1991) have shown a theoretical expectation of a linear increase of $K_{1/2}$ with cell radius. The observations are so variable that they neither confirm nor contradict such an increase. The model uses a fixed C:N: O_2 ratio for all organic matter of 122:16:-172, and Michaelis-Menten kinetics for growth based on inorganic N uptake by phytoplankton (Buitenhuis et al., 2013a, supplementary material Eq. 8, 9). We therefore need a $K_{1/2}$ for growth rather than for uptake to be consistent with the fixed C:N ratio (Morel, 1987). The available uptake rate data do not include the supporting data to allow conversion to the $K_{1/2}$ for growth. We are only aware of measurements of the $K_{1/2}$ for growth by Stawiariski (2014). Based on the latter values of $0.09 \pm 0.15 \mu\text{mol L}^{-1}$ for picoeukaryotes, the $K_{1/2}$ of phytoplankton for NH_4^+ was set to 0.1 to 5 $\mu\text{mol L}^{-1}$, increasing linearly with nominal size (Buitenhuis et al., 2013b). Due to the highly dynamic nature of NH_4^+ turnover, the model produces a much smoother distribution of NH_4^+ concentrations than the observations, but the large scale pattern of surface NH_4^+ concentration shows an increase with latitude, consistent with the observations (Fig. 6), which translates into a cost function of 3.0.

2.6 N₂O production

N₂O production is implemented as two distinct submodels. The diagnostic submodel is based on statistical relationships of $\Delta N_2O/AOU$ ratios taken from observations and has previously been published (Suntharalingam et al., 2000, 2012). In oxic waters it uses one ratio to estimate the open ocean source of N₂O production. In hypoxic waters it uses a higher ratio to represent the increased yield of N₂O from both nitrification and denitrification in oxygen minimum zones. The hypoxic N₂O yield is maximal at 1 $\mu\text{mol O}_2 \text{ L}^{-1}$, and decreases with an e-folding concentration of 10 $\mu\text{mol O}_2 \text{ L}^{-1}$ (Suntharalingam et al., 2000, 2012, supplementary material Eq. 69, 35, 67). Previous studies using regional databases have found different oxic ratios (Suntharalingam and Sarmiento, 2000, and references therein). Therefore, both the oxic and hypoxic ratios have been reoptimised to the global databases (Sect. 3.1 - 3.2).

The prognostic submodel presented here is based on process understanding and explicitly represents the primary N₂O formation and consumption pathways associated with the marine nitrogen cycle (Fig. 1). It includes the production of N₂O during oxic nitrification (blue arrows in Fig. 1) and during hypoxic denitrification (red arrow in Fig. 1); and a consumption term during denitrification at even lower (suboxic) O₂ concentrations (yellow arrow in Fig. 1). The ratios of the three processes are globally invariant (supplementary material Eq. 70, 61, 63, 71). The functional form of the O₂ dependence of N₂O consumption (suppl. Eq. 71) was the same as that of denitrification (suppl. Eq. 67), and with an O₂ response function that is 1.5 $\mu\text{mol L}^{-1}$ lower than that of denitrification, which is similar to that used by Babbin et al. (2015). We independently optimised the ratios of N₂O production and consumption from denitrification (Sect. 3.1), which controls the net N₂O production as a function of O₂ concentration. There is not enough information at present to optimise the O₂ concentration parameters of denitrification and N₂O consumption as well. The low O₂ ratios of both submodels (supplementary material Section 8.7) were optimised using the database of observed N₂O concentration (Sect. 3.1) and the oxic ratios of both submodels were optimised using the database of observed ΔpN_2O (Sect. 3.2). The N₂O concentrations from both the diagnostic and the prognostic submodels are transported in the same way by physical transport and the formulation of their gas exchange is also identical.

2.7 N₂O flux and simulation setup

N₂O is transported like other tracers. N₂O flux (=air-sea gas exchange) is calculated as:

$$N_2O_{flux} = (pN_2O_{atm} * K_0 * (1 - p_{watervapor}) - pN_2O) * piston_velocity * \sqrt{660 / Schmidt_number_{N_2O}} * (1 - ice_cover) \quad (4)$$

, in which K₀ is the solubility (Weiss and Price, 1980), $p_{watervapor}$ is the water vapor pressure (Sarmiento et al., 1992), piston velocity = 0.27*(wind speed)² (Sweeney et al., 2007), which is optimised for use with the NCEP reanalysis data used here, the Schmidt number for N₂O was taken from Wanninkhof (1992), and the ice cover is calculated by the sea ice model LIM2.

In most of the simulations, atmospheric pN_2O was calculated from Eq. 2. For the optimised low O₂ production we also ran a series of simulations with the NOAA pN_2O_{atm} observational data that included seasonal and latitudinal variations (see Sect. 2.2 for the ftp address where we downloaded the data, and Sect. 3.2 for the results). Between 2000 and 2014, we used the

monthly observations for the 12 available latitudes. Monthly anomalies relative to the global average were calculated at each available latitude from the 2000-2016 observations. These were added to Eq. 2 from 1965 and 1976, and to the global average observations between 1977 and 1999. In the model simulation, the data were linearly interpolated between the 12 latitudes and monthly observations.

- 5 The PlankTOM10.2 biogeochemical model coupled with the two N₂O submodels is incorporated into the ocean general circulation model NEMO v3.1 (Madec, 2008). The model resolution is 2° in longitude, on average 1.1° in latitude and has 30 vertical layers, from 10 m in the top 100 m to 500 m at 5000 m. The model simulations were initialised in 1965 from observations (Le Quere et al., 2016b), with NH₄⁺ initialised as 0, and N₂O initialised from a horizontal interpolation of the MEMENTO observations (see Sect. 2.2). Simulations were run to 2014, forced with daily atmospheric conditions from the
- 10 NCEP reanalysis (Kalnay et al., 1996), (for details see Buitenhuis et al., 2013a). Results are reported averaged over the last 5 years.

2.8 Estimation of global N₂O flux from point measurements of ΔpN₂O

- In previous versions of the PlankTOM model (Buitenhuis et al., 2006, 2010, 2013a) we have used Eq. 3 to evaluate the model because it minimises relative error, which we have found to be more appropriate when the observations span several orders of
- 15 magnitude. Unfortunately, statistical confidence intervals have only been defined for χ^2 -statistics such as Eq. 5 and 6, which minimise absolute error, so that we end up with 2 cost functions (Eq. 3, 5), depending on the application. To estimate the global air-sea flux of N₂O that best fits the ΔpN₂O data, and its ±1-sigma (68%) confidence interval, we use the formula described in Buitenhuis et al. (2013a):

$$MSE/MSE_{min} = 0.468 \times n/(n-2) \times \sqrt{(2(2n-2)/(n(n-4))) + n/(n-2)} \quad (5)$$

- 20 , in which MSE is mean square error:

$$MSE = \frac{\sum (model(longitude, latitude, month) - observation(longitude, latitude, month))^2}{n} \quad (6)$$

, MSE_{min} is the MSE of the model simulation that is closest to the observations, and n is the number of gridded observations.

- In addition to the uncertainty that arises from the model-observations mismatch, uncertainty is contributed by the uncertainties in the N₂O solubility and the piston velocity, the two quantities that connect the measured ΔpN₂O to the estimated air-sea
- 25 flux. The uncertainty in the solubility has been estimated as 3% (Cohen and Gordon, 1978). The uncertainty in the piston velocity has been estimated at 32% (Sweeney et al., 2007). Uncertainties in the solubility and piston velocity are proportional to uncertainty in the optimised N₂O air-sea exchange because the optimised N₂O production needs to change proportionally with solubility and piston velocity to achieve the same ΔpN₂O.

3 Results

3.1 N₂O production at low O₂

The global N₂O production rate in oxygen minimum zones (OMZs) was optimised using the depth-resolved N₂O data of the MEMENTO database. As noted in previous model studies of ocean O₂, global models do not well represent the extent and intensity of OMZ regions (Bopp et al., 2013; Cocco et al., 2013). The modeled OMZs in PlankTOM10 occur at greater depths than observed, resulting in unrealistic vertical distributions of N₂O (results not shown). Therefore, following Suntharalingam et al. (2012), the model was run using fixed observed O₂ concentrations (Bianchi et al., 2012), which corrected, in part, the vertical distribution of N₂O production from the two submodels, though it still occurred at too great depths (Fig. 7). In the equatorial regions and in the Pacific ocean the N₂O concentrations are underestimated between ~200 and ~1500 m. depth, and overestimated below that. This shortcoming is not significantly improved in the prognostic model (Fig. 7), even though the prognostic model is more detailed, separately representing the processes of N₂O production and consumption at low O₂ concentrations. The depth of maximum N₂O in the model is generally deeper than observed, suggesting that organic matter remineralisation may be too low at shallow depths. This is confirmed by the depth profile of NO₃⁻, which is underestimated relative to the WOA2009 observations between 100 and 1500 m., and overestimated at greater depths (Fig. 8). In both submodels, the N₂O concentrations in the deep sea are also too high, but since only 5% of N₂O production occurs below 1600 m this does not have a big impact on the global N₂O fluxes. The addition of N₂O consumption in the prognostic N₂O model does result in improvement of the N₂O depth profiles in the Indian Ocean.

In order to find the optimal N₂O production that minimizes the MSE (Eq. 5), we ran a range of simulations in which the low O₂ N₂O production was varied in the diagnostic model (Fig. 9A), and a range of simulations in which both the hypoxic N₂O production and the suboxic N₂O consumption were varied in the prognostic model (Fig. 9B). The optimum solution for the prognostic model was found at a gross production of 0.33 Tg N y⁻¹. The optimised (net) N₂O production in low O₂ regions and its confidence interval were 0.16 ± 0.13 Tg N y⁻¹ for the diagnostic model, and 0.12 ± 0.07 Tg N y⁻¹ for the prognostic model. In the optimised diagnostic model the hypoxic N₂O ratio (i.e. net production) is 1.7 mmol N₂O (mol O₂)⁻¹. In the optimised prognostic model the maximum N₂O production ratio (i.e. gross production from hypoxic denitrification) is 15.4 mmol N₂O (mol NO₃⁻)⁻¹ decreasing to 0 above 34 μmol O₂ L⁻¹. The maximum N₂O consumption ratio (from suboxic denitrification) is 15 mmol N₂O (mol NO₃⁻)⁻¹, decreasing to 0 above 28 μmol O₂ L⁻¹. This leads to net production that is always positive and has a maximal ratio of 183 μmol N₂O (mol NO₃⁻)⁻¹ at 10 μmol O₂ L⁻¹.

3.2 N₂O flux

We used the surface ΔpN₂O distribution to constrain the total global N₂O flux, and the uncertainty arising from the model-data mismatch (the uncertainties arising from solubility and piston velocity are added at the end). We ran a range of simulations in which both the (net) low O₂ and the oxic N₂O production rates were optimised in both submodels (Fig. 10). ΔpN₂O provided a better constraint than the N₂O concentration distribution, since more N₂O production mostly leads to more N₂O outgassing to the atmosphere rather than a significant increase in shallow N₂O concentrations (data not shown). This is because

outgassing is proportional to ΔpN_2O , but N_2O concentration is proportional to pN_2O , and $\Delta pN_2O/pN_2O$ is small in most of the surface ocean. The zonal average surface ΔpN_2O distribution was well simulated by both submodels (Fig. 11D), and the model ensemble covered a wide range of global N_2O fluxes (Fig. 10). The total N_2O flux that best reproduced the ΔpN_2O distribution was $2.4 \pm 0.3 \text{ Tg N y}^{-1}$ for the diagnostic sub-model and $2.5 \pm 0.3 \text{ Tg N y}^{-1}$ for the prognostic sub-model (Fig. 10). In the diagnostic model, the optimised oxic $\Delta N_2O/AOU$ ratio was $10.6 \mu\text{mol } N_2O (\text{mol } O_2)^{-1}$. In the prognostic model, the optimised oxic nitrification ratio was $123 \mu\text{mol } N_2O (\text{mol } NH_4^+)^{-1}$. The results were the same in both diagnostic and prognostic submodels for the 2000-2004 and 2005-2009 averages, showing that the model was sufficiently spun up.

High N_2O fluxes have been reported for the coastal ocean (Bange et al., 1996), and near-shore upwelling regions (e.g. Arevalo-Martinez et al., 2015). To test whether these regions contribute more to the global N_2O flux than their surface area would suggest, we did the optimisation separately for the coastal ocean ($\leq 200 \text{ m}$ bottom depth) for the near-shore non-coastal ocean ($\leq 2^\circ$ from land, $>200 \text{ m}$ bottom depth) for the East Tropical Pacific ($180^\circ - 70^\circ \text{W}$, $5^\circ \text{S} - 5^\circ \text{N}$, $>2^\circ$ from land), and the rest of the open ocean (Table 2). The results show that the coastal ocean contributes only 2% of the global N_2O flux, less than would be expected from its surface area, although there are also fewer observations in the coast (2% of the total) so that the relative error is slightly higher. The near-shore non-coastal ocean contributes 14% of the global N_2O flux both submodels, hardly more than its areal percentage (13%), and it's also fairly well sampled (12% of the observations). The East Equatorial Pacific ocean contributes 27% in the diagnostic submodel and 25% in the prognostic model, more than its areal percentage (22%), and it's undersampled (17%). The open ocean contributes 57 - 59%, slightly less than its areal percentage (61%). This is as expected, because we've separated out the main N_2O hotspots, but the differences are quite small.

When we used observed atmospheric pN_2O that varied with latitude and month (see Sect. 2.2) the results were essentially the same, with an N_2O flux of $2.4 \pm 0.3 \text{ Tg N y}^{-1}$ for the diagnostic sub-model and $2.6 \pm 0.3 \text{ Tg N y}^{-1}$ for the prognostic sub-model (data not shown).

Finally, we add the uncertainties in the solubility and the piston velocity to the total N_2O flux through error propagation. This gives a total uncertainty of $2.4 \pm 0.8 \text{ Tg N y}^{-1}$ for the diagnostic sub-model and $2.5 \pm 0.8 \text{ Tg N y}^{-1}$ for the prognostic sub-model.

4 Discussion

Cohen and Gordon (1979) estimated global N_2O production directly from N cycle observations as $4 - 10 \text{ Tg N y}^{-1}$. However, they did not have information on the f-ratio, so their estimate was based on total N assimilation in primary production. We use an updated estimate of primary production and its error (Buitenhuis et al., 2013a), and compile a database of the f-ratio (Fig. 2). We also use a much larger database of the $\Delta N_2O/AOU$ ratio (Fig. 3). We recalculate the N-cycle-based N_2O production based on these extended databases. We find that we can estimate all the relevant steps in the N cycle with observational data, including their uncertainty (Sect. 2.1). At present this uncertainty is still fairly large, at $4.6 \pm 3.1 \text{ Tg N y}^{-1}$. The uncertainty in this estimate is similar to that in Cohen and Gordon (1979), but our uncertainty is based on the uncertainty in all components of the calculation, while their uncertainty was based only on the uncertainty in the $\Delta N_2O/AOU$ ratio. The upper 60% of our

estimate overlaps with the lower 62% of the Cohen and Gordon (1979) estimate. The biggest contributor to our uncertainty is the f-ratio, especially in the tropics, which constitute 44% of the ocean surface area, and additional measurements and/or data-synthesis could help constrain the N₂O budget. The f-ratio data is only based on uptake of NO₃⁻, NH₄⁺ and urea, whereas phytoplankton can also take up NO₂⁻ and organic N (other than urea). One of the major sources of uncertainty in using the Δ N₂O/AOU ratio is that it is conceptually based on the N₂O production during nitrification, which uses O₂ as the electron acceptor. N₂O production during denitrification is spatially separated from the associated O₂ use that is needed to nitrify the NH₄⁺ to NO₃⁻, the electron acceptor in denitrification. This NO₃⁻ is produced by nitrification, so in terms of mass balance our calculation is still valid, but this N₂O production would show up as a vertical increase in N₂O without associated increase in AOU at low O₂ concentrations (high AOU) in Figure 4. This estimate of global marine N₂O production derived from analyzing the N cycle (4.6 ± 3.1 Tg N y⁻¹) is statistically indistinguishable from the N₂O flux derived from Δ pN₂O observations ($2.4 - 2.5 \pm 0.8$ Tg N y⁻¹), but has a much larger error. However, further observational constraints could not only reduce the error, but also extend our understanding of the whole N cycle, including the option of evaluating the model representation of these N cycle processes against observations, and not just the part that N₂O plays in them. Such further constraints are also likely to provide the most productive way to reduce unexplained variability that is found in the observations but not in the present models. E.g., we have shown that both the N₂O and NO₃ are underestimated at ~300 - 1500 m depth and overestimated below ~2000 m (Fig. 6, 7). Thus, improved representation of mesopelagic remineralisation might lead in improved representation of the N₂O depth distribution. However, this falls outside the scope of this study.

Models of the global marine C cycle have been in use for decades, and a lot of the available information has been synthesized, cross-correlated and interpreted in detail (Le Quere et al., 2016a; Buitenhuis et al., 2013b). While actual measurements of N utilisation and transformation have also been made in abundance (Fig. 2, 3, 4, 5A, 6, 7, 9A), the synthesis and global modelling of these data is less advanced. In addition, N occurs in many different oxidation states in the marine environment (e.g. organic matter and NH₄⁺ as -3, N₂ as 0, N₂O as 0 and +2, NO₂⁻ as +3, and NO₃⁻ as +5). Therefore, redox reactions complicate the representation of the N cycle a good deal. This lack of data synthesis and of identification of the most important controls in a complex system is reflected in a relatively low ability of the model to model observed nitrification rates and to a lesser extent NH₄⁺ concentrations (Table 1).

This lack of knowledge also means that partitioning the global marine N₂O production over the nitrification and denitrification pathways is poorly constrained. Both the diagnostic and the prognostic models assign a small percentage of the total N₂O production to the denitrification pathway, 6 and 4% respectively. However, because of the large bias between the observed and modeled N₂O concentration depth profiles (Fig. 7) these may be underestimates (Suntharalingam et al., 2012; Arevalo-Martinez et al., 2015). Possibly because of the model bias (Fig. 7, 8), the addition of N₂O consumption in the prognostic submodel does not lead to a significantly better distribution of N₂O across depth or between different basins (Fig. 8). As a result, the Δ pN₂O distributions are also quite similar (Fig. 11, 12) and the optimised N₂O flux and confidence intervals of the two submodels are also quite similar (Fig. 10). However, it should also be noted, first, that the optimization using surface Δ pN₂O agrees with the optimization using N₂O concentration that the contribution of the low O₂ N₂O production needs to be low (Fig. 10). Second, the error contribution from the model vs. observed Δ pN₂O comparison is low, with confidence intervals

of 0.3 Tg N y^{-1} for both submodels. Third, $\Delta p\text{N}_2\text{O}$ is equally well modelled above the low O_2 regions as in the rest of the ocean (Fig. 11, 12), and the contribution of the coastal and near-shore non-coastal ocean are nearly proportional to their surface areas (Table 2). These three features are supporting evidence for our results that suggest that the low O_2 regions make a small contribution to the global ocean N_2O production. They should be balanced against the model bias of the vertical distribution of N_2O concentrations, which suggests a larger contribution from the low O_2 regions. Freing et al. (2012) also estimated a small fraction of 7% of the global total contributed by denitrification / low O_2 N_2O production. Two complementary approaches could provide better constraints: a better representation of the vertical distribution of export and remineralisation would allow the optimization against N_2O concentration observations to achieve better results. But conversely, with better constraints on the physiology of nitrifiers and denitrifiers the N_2O concentration database could provide constraints on the representation of remineralisation. Although there are relatively few N_2O concentration observations, nitrification and denitrification respond to specific environmental queues (in particular O_2 concentration), so that they could contribute a relatively large observational constraint over the full range of environmental conditions.

Despite these shortcomings, the global marine N_2O flux is well constrained to $2.4 - 2.5 \pm 0.8 \text{ Tg N y}^{-1}$ by both submodels (Fig. 10). This constraint reflects the fact that the integrated effect of the different physical and biogeochemical processes determines the surface $\Delta p\text{N}_2\text{O}$ distribution (Fig. 11), so that the integrated total can be well constrained even if the individual processes are not. The N_2O flux is at the lower end of previous estimates, and with a similar confidence interval to other recent estimates (Fig. 4). The confidence interval is dominated by uncertainty in the piston velocity (32%) rather than model-observation mismatches (12%). Because of differences in methodology it is not possible to provide reasons for why our estimate is lower than the more recent estimates. We can, however, compare our estimate to that of (Nevison et al., 1995), because it is also based on a database of $\Delta p\text{N}_2\text{O}$. Compared to their high end estimate using the piston velocity of Wanninkhof of $5.2 \pm 3.6 \text{ Tg N y}^{-1}$, our estimate is lower because we use the more recent 13% lower estimate of piston velocity of (Sweeney et al., 2007), and because our $\Delta p\text{N}_2\text{O}$ of $7.6 \pm 18.1 \text{ ppb}$ is 25 - 28% lower compared to 10.55 natm in Nevison et al. (1995) (the range is calculated based on the water vapor correction for conversion between ppb and natm, which increases from 0.6 - 4.1% at temperatures from 0 - 30 °C, which brings the values slightly closer together).

We also tested how much influence sampling biases of very high supersaturation values might have on the estimated air-sea exchange. If the 40 $\Delta p\text{N}_2\text{O}$ measurements in the gridded database that are higher than 100 ppb (Fig. 12) are doubled, the optimised N_2O air-sea exchange becomes $2.8 \pm 0.5 \text{ Tg N y}^{-1}$ for the diagnostic model and $3.1 \pm 0.5 \text{ Tg N y}^{-1}$ for the prognostic model. If the 24 $\Delta p\text{N}_2\text{O}$ measurements in the gridded database that are higher than 152 ppm are excluded, to decrease the frequency of the highly oversaturated observations down to what both submodels simulate (Fig. 12), the optimised N_2O flux become 2.0 ± 0.2 for the diagnostic model and $2.3 \pm 0.2 \text{ Tg N y}^{-1}$ for the prognostic model. These results still fall within the confidence intervals of the results using the complete database.

Possible biases in ocean physical transport could in theory affect N_2O production in low O_2 regions. The indirect impact of ocean physics on low N_2O production through its impact on the distribution of O_2 , which Zamora and Oschlies (2014) have shown to be substantial, is not quantified here because we used observed O_2 (Bianchi et al., 2012) instead of modeled O_2 . Our model results suggest that the model representation of ocean physics is adequate for the purpose of estimating N_2O flux

from biogeochemical model perturbations. On the one hand, if the model had too much ventilation in the OMZs, shallow N₂O concentrations would be underestimated, as they are in the model (Fig. 7), but this would also lead to ΔpN_2O overestimation in the surface areas above the OMZs, which is not the case. The high ΔpN_2O are generally lower but spread over a larger area than in the observations (Fig. 11), with a good frequency distribution of high ΔpN_2O (Fig. 12). On the other hand, if the model had too little ventilation in the OMZs, the optimization would reduce N₂O production in the OMZs in compensation, but the optimization to ΔpN_2O would then estimate a higher OMZ N₂O production than the optimization to the N₂O depth profiles to compensate for the low transport, and this is also not the case. Therefore we conclude that potential biases in ocean physical transport do not appear to have a large direct impact on low N₂O production.

Global oceanic N₂O emissions estimated using atmospheric inversion methods based on atmospheric N₂O concentrations tend to be higher than our results (Fig. 4). However, N₂O emissions from inversions in the Southern Ocean are lower than the priors (Hirsch et al., 2006; Huang et al., 2008; Thompson et al., 2014; Saikawa et al., 2014). These low Southern Ocean emissions (0.02 - 0.72 Tg N y⁻¹) are consistent with our results (0.68 - 0.79 Tg N y⁻¹). South of 30°S, 88% of the Earth surface is ocean, resulting in a clearer attribution in the inversions of the atmospheric N₂O anomalies to ocean fluxes. We suggest that the higher emissions estimates from inversions for the global ocean could be due to a combination of overestimated priors of ocean fluxes in combination with insufficient observational constraints at latitudes North of 30°S to allow correct partitioning between land and ocean fluxes. Results presented here are for the open and coastal ocean. The largest coastal seas are resolved in our model, although specific coastal processes, such as the interactions with sediments and tides, are not. Our results do not include emissions from estuaries. Fluxes from these could be as large as 2.3 - 3.6 Tg N y⁻¹ according to one estimate (Bange et al., 1996), and could be another contributing factor to the difference between our results and those of atmospheric inversions.

To improve the estimate of the ocean N₂O flux, first, the uncertainty in the piston velocity would need to be reduced. Once that is achieved, further improvements might be possible by a more accurate model representations of the remineralisation length scale and of the physiology of N₂O producing picoheterotrophs (nitrifying and denitrifying *Archaea* and *Bacteria*).

Code and data availability. The four databases presented in this manuscript are available as NetCDF files from <https://www.uea.ac.uk/green-ocean/data>. The code of PlankTOM10.2 is available at greenocean-data.uea.ac.uk/model/PlankTOM10.2.tar

Competing interests. The authors declare they have no competing interests.

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Table 1. Cost function (Eq. 3) for the optimisation simulations of sections 2.2-2.4, relative to the respective observational databases. The nitrification rate in bold was used in this study.

Database	Model change	Cost function
Nitrification rate	0.2 d^{-1}	4.22
	$0.1 \text{ d}^{-1} \times 2^{(T/10)}$	4.18
	$0.79 \text{ d}^{-1} \times 2^{(T/10)} \times (1 - 0.159 \times \ln(\text{O}_2))$	4.16
	$0.58 \text{ d}^{-1} \times 2^{(T/10)} \times e^{(-0.14 \times I)}$	7.15
	$4.7 \text{ d}^{-1} \times 2^{(T/10)} \times (1 - 0.159 \times \ln(\text{O}_2)) \times e^{(-0.14 \times I)}$	6.87
Surface NH_4^+ concentration	$K_{1/2}$ estimated from observations	3.0

Table 2. Contributions of coastal (bottom depth ≤ 200 m), near-shore non-coastal ($\leq 2^\circ$ from land, bottom depth > 200 m), East equatorial Pacific ($180^\circ - 70^\circ \text{W}$ $5^\circ \text{S} - 5^\circ \text{N}$, $> 2^\circ$ from land) and rest of the open ocean ($> 2^\circ$ from land, bottom depth > 200 m, excluding East Eq. Pac.) to N_2O flux, area and number of observations.

Region	Submodel	N_2O flux	% N_2O flux	% area	% n_{obs}
Coastal ocean	Diagnostic	0.05 ± 0.01	2	5	2
	Prognostic	0.041 ± 0.007	2		
Deep offshore	Diagnostic	0.33 ± 0.04	14	13	12
	Prognostic	0.37 ± 0.04	14		
East Eq. Pac.	Diagnostic	0.64 ± 0.05	27	22	17
	Prognostic	0.67 ± 0.05	25		
Open ocean	Diagnostic	1.37 ± 0.19	57	61	69
	Prognostic	1.54 ± 0.21	59		

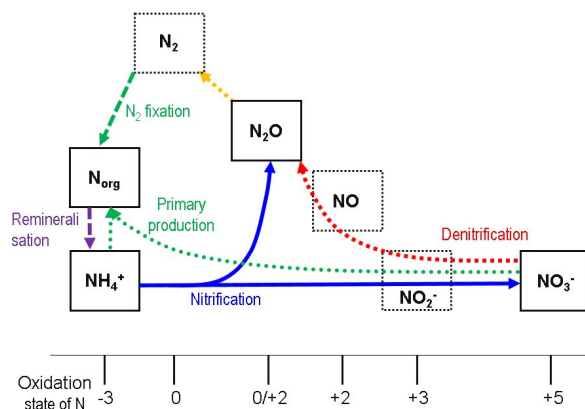


Figure 1. Primary biological pathways of the oceanic nitrogen cycle represented in the model simulations, along with redox states of N. Nitrification occurs in the oxic ocean (blue arrow). Denitrification yields net N_2O production in hypoxic conditions (red arrow) and net N_2O consumption in suboxic conditions (yellow arrow). Only organic nitrogen (N_{org}), NH_4^+ , NO_3^- and N_2O are represented as model state variables.

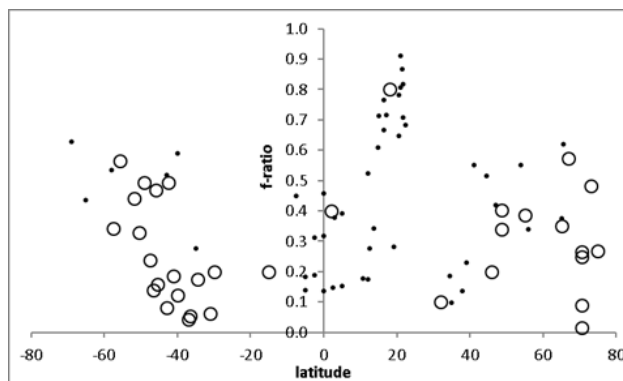


Figure 2. f-ratio ($\rho_{NO_3^-}/(\rho_{NO_3^-} + \rho_{NH_4^+} + \rho_{urea})$) as a function of latitude, from ^{15}N uptake experiments. Small dots were estimated without measuring NH_4^+ or urea concentrations (Prakash et al., 2008, 2015; Gandhi et al., 2010, 2012). Large dots did not give a significant linear relationship with absolute value of latitude, and were therefore averaged at 0.29 ± 0.18 (Wafar et al., 2004; Varela et al., 2005, 2013; Joubert et al., 2011; Thomalla et al., 2011; Simpson et al., 2013).

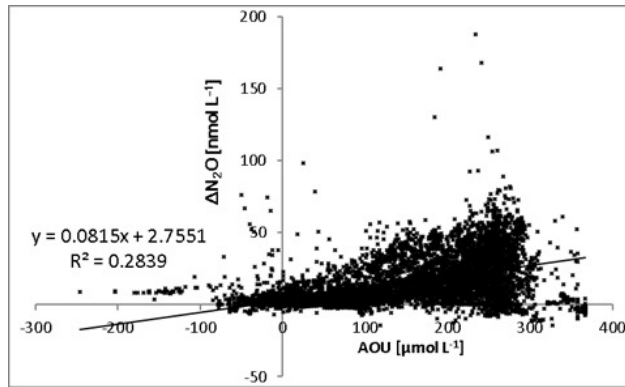


Figure 3. Apparent N_2O production ($\Delta\text{N}_2\text{O}$ nmol L^{-1}) as a function of apparent oxygen utilization (AOU $\mu\text{mol L}^{-1}$).

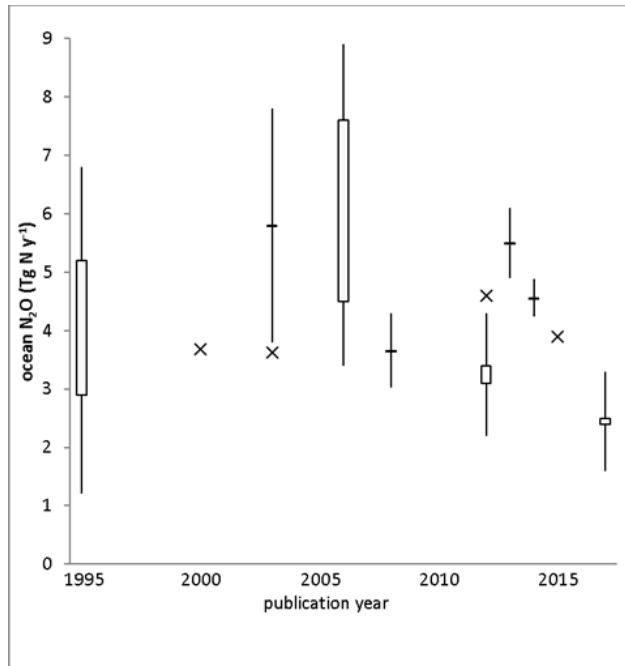


Figure 4. Published estimates of global ocean N_2O production or air-sea exchange. Estimates based on global observational datasets shown as boxes when ranges are given and whiskers if error estimates are given (ocean observations: Nevison et al. (1995, 2003); Freing et al. (2012) (plotted in 2011), Bianchi et al. (2012), this study; atmospheric inversions: Hirsch et al. (2006); Huang et al. (2008); Thompson et al. (2014) (plotted in 2013), Saikawa et al. (2014)), model estimates shown as crosses (Suntharalingam and Sarmiento (2000); Jin and Gruber (2003); Suntharalingam et al. (2012); Martinez-Rey et al. (2015)).

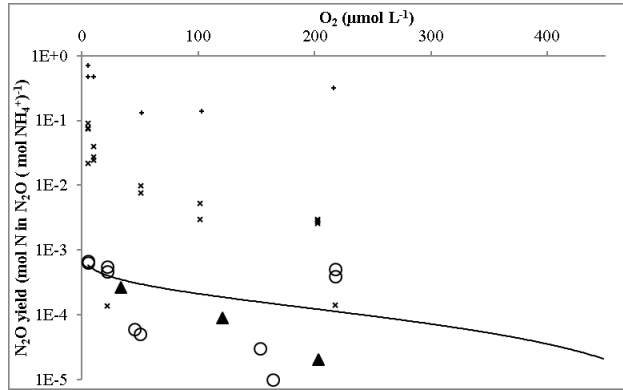


Figure 5. N_2O yield of nitrification (N atom:atom) as a function of O_2 concentration, filled triangles: AOA (Loescher et al., 2012), open circles: AOB at low to medium cell numbers (Frame and Casciotti, 2010; Loescher et al., 2012), crosses: marine AOB at high cell numbers (Goreau et al., 1980; Frame and Casciotti, 2010), plusses: soil AOB at high cell numbers (Lipschultz et al., 1981). Black line: logarithmic fit to AOA and low to medium cell number AOB ($\text{yield} = 0.791 - 0.126 \cdot \ln(\text{O}_2) \text{ mmol N in N}_2\text{O (mol NH}_4^+)^{-1}$).

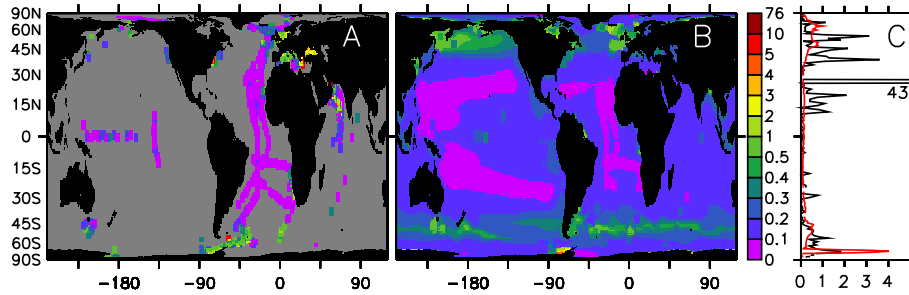


Figure 6. Surface NH_4^+ concentration ($\mu\text{mol L}^{-1}$). A) observations (symbol size is $5 \times 5^\circ$). B) model results are for the same months where there are observations, and annual averages everywhere else. C) zonal average, black) observations, red) model results. Model results are for the same months and longitudes as the observations. Latitude y-axis to the left of panel A.

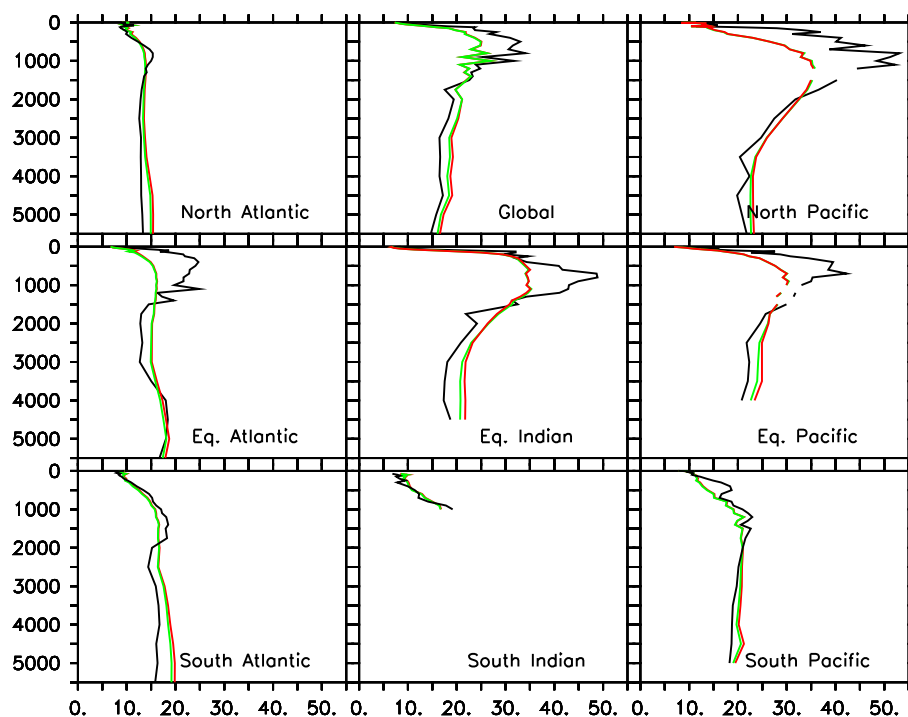


Figure 7. Depth profiles of N_2O concentration (nmol L^{-1}) for different basins. Black lines: observations, Green lines: optimised diagnostic model, Red lines: optimised prognostic model.

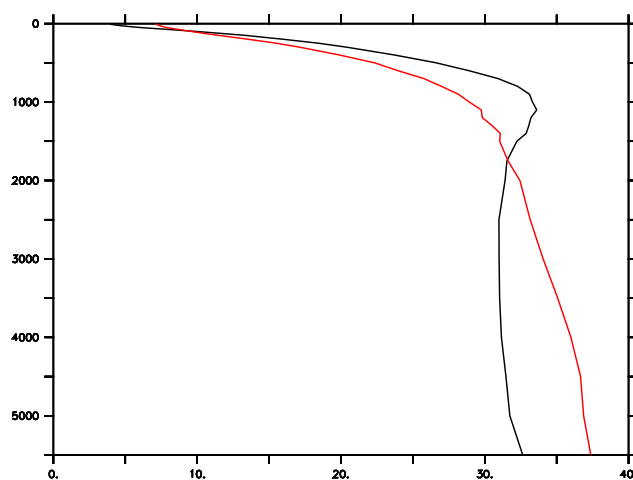


Figure 8. Depth (m.) profile of average NO_3^- concentration ($\mu\text{mol L}^{-1}$). Black line) WOA2009 synthesis of observations, not interpolated. Red line) Model results sampled at the places where there are observations.

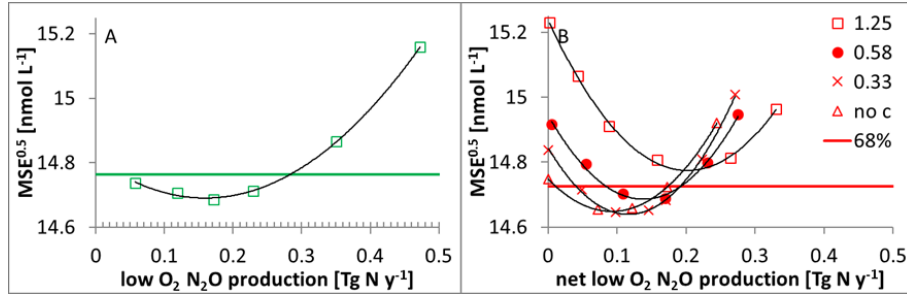


Figure 9. $MSE^{0.5}$ for the two N_2O submodels compared to the N_2O concentration database as a function N_2O production in the low O_2 regions. MSE_{min} was obtained as the minimum of a second order polynomial fit (black lines). The 1σ confidence interval, where MSE equals the value calculated from Eq. 5, is indicated by the horizontal lines. A) diagnostic submodel, each point represents a simulation with a different low O_2 ratio, B) prognostic model, "no c" is with no N_2O consumption i.e. net production = gross production. All other lines have a constant gross production (see legend for a description of the symbols, $Tg\ N\ y^{-1}$), and net production varies with different N_2O consumption rates. Range of parameter values is given in the supplementary material Section 8.7.

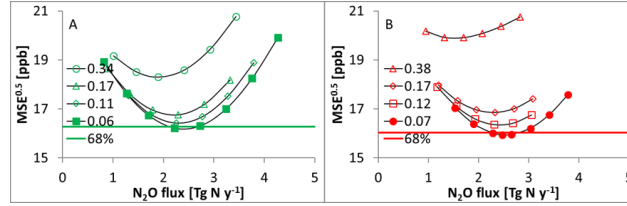


Figure 10. $MSE^{0.5}$ for the two N_2O submodels compared to the ΔpN_2O database as a function of global N_2O flux at different (net) N_2O production rates in the low O_2 regions. MSE_{min} and confidence intervals as in Fig. 8. A) diagnostic submodel, the four lines represent the four best low O_2 production rates from Fig. 9A, each point represents a simulation, different symbols indicate different low O_2 ratios, points with the same symbols have different oxidic N_2O production ratios. B) prognostic submodel, the four lines represent the optimised net production rates at the four best gross production rates from Fig 9B, points with the same symbols have different N_2O ratios for nitrification.

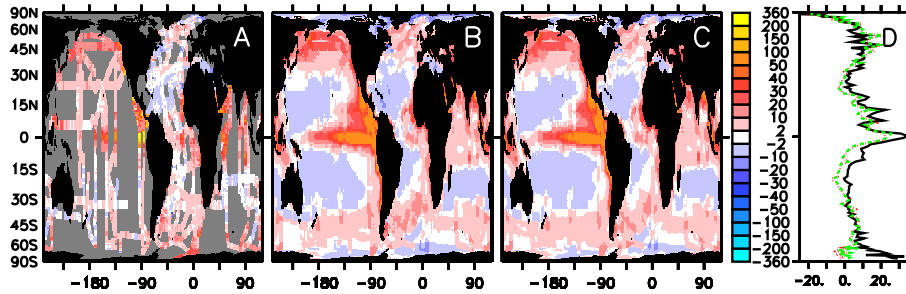


Figure 11. Surface ΔpN_2O (ppb). A) observations (symbol size is $5 \times 5^\circ$), B) optimised diagnostic model, C) optimised prognostic model. Model results are for the same months where there are observations, and annual averages everywhere else. D) zonal average, Black line: observations, Green dashed: diagnostic model, Red dotted: prognostic model. Model results are for the same months and longitudes as the observations. Latitude y-axis to the left of panel A.

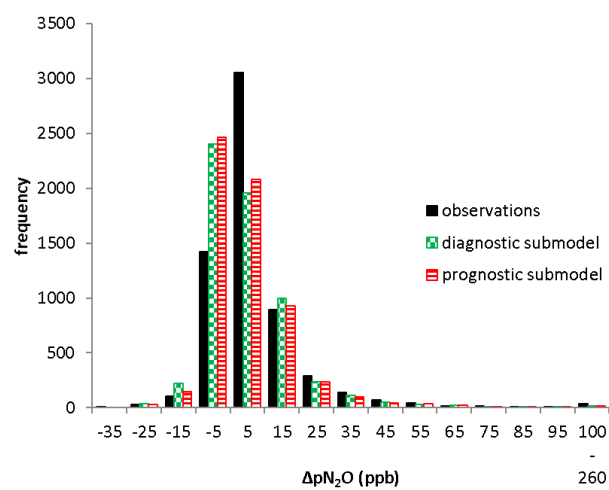


Figure 12. Frequency distribution of ΔpN_2O in the observations (solid black), and the optimised simulations of the diagnostic submodel (green squares) and the prognostic submodel (red lines).