Response to reviewers

Review on "Modelling potential production of macroalgae farms in UK and Dutch coastal waters" by Johan van der Molen et al.

General comments

First, I would like to express that I am essentially very satisfied with the responses

and changes made by the authors. They really put effort in addressing my comments
on the original manuscript, which I appreciate.

Especially the inclusion of the macroalgae farm model equations and parameters I find very useful, as there are quite some differences to the work by Broch and Slagstad on which this studies bases, e.g., the inclusion of ammonium uptake. These differences are now outlined in a well understandable way.

Also, the rearrangement of the different methodology sections, from my perspective, really improved the readability and flow of the manuscript.

20 In principle, most of the comments I have on this revised manuscript are only of technical nature. However, I encountered a couple of inconsistencies in the new Tables 2-4 describing the farm implementation, which have to be resolved before publication. Also, I suggest to reorder some of the figures related to the sections' rearrangement.

Therefore, I recommend publication after minor revisions.

Specific comments

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page 2, lines 17-19: The authors mention the potential of large-scale cultivation for carbon and nutrient removal/reduction. I would like to see a comment on this in the discussion – even if it is just saying that the applied setup does not allow conclusions on this. Though, for both, carbon and nutrient removal, the farm yield and C/N and C/P ratios allow for estimates which could be related, e.g., to

reductions in river input in order to get an idea of their relative importance.

This is a good suggestion, we have added a few lines to the discussion.

- 5 page 3, line 10: I would propose to remove the reference to Fig. 1 here and change the order of Figs 1-3, such that current Fig 3 comes first. This would better match with the sequence of the methods section. First: model description, second: farm sites. A reference to current Fig. 1 would be useful at the beginning of Sect. 2.2
- 10 The reviewer is correct that figures 2 and 3 should have been swapped following the rearrangements of the text, we have corrected this. Reference to figure 1 on page 3, however, is appropriate, and has been retained.

page 5, line 33: "Table 2 to Table 4" and page 6, line 4: "see Table 5". Currently,
Table 1 is referenced last (on page 8, line 21). I would suggest adding a sentence
referencing Table 1 in Sect. 1.2. Maybe after the reference to "Table 1 in Kerrington
et al."?

This is correct, Table 1 has been moved to the end and the tables have been re-numbered. It is not appropriate to refer to Table 1 in section 1.2.

page 7, lines 22-24: "The nutrient data [...] substantially lower." I would still propose to move this entire block to the results (Sect. 3.5). However, if the authors prefer to keep it here, it's fine.

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- 25 As the main topic of this paper is the model, it's application and results, we think it's less confusing to leave these 2 sentences where they are.
 - page 10, lines 3-5: Would it make sense to also include relative differences for the absolute values of tidal amplitudes and currents, in order to provide a better insight into the model quality.

Relative differences were not calculated as part of the publication we refer to. Doing so would require additional figures for the current manuscript that would not, in essence, provide new information, and the paper is long enough as it is. Moreover, relative differences of phase angles do not make sense. We prefer to leave this as it is.

page 13, Sect. 3.5.3: From a "biofuel perspective", would it be worthwhile to include a few notes on the numbers of carbon extraction in-text? Perhaps, this is not too relevant for the experimental farms, but for the large Norfolk farm this would be a nice confirmation of the suitability of this site. And it would explicitly support the paragraph about the Norfolk farm on page 15 in the Discussion.

We have added a clarifying comment that what is now Table 5 contains numbers for both carbon biomass and wet biomass.

It should be obvious that the carbon biomass yield is identical to carbon extraction. The additional paragraph in the
discussion (see response to earlier comment) should be sufficient to cover this point.

page 14, lines 4-8: the "graph" labels referring to Fig. 14 used in-text are not correct, e.g., the Rhine plume farm is graph c not a. The order in the text description should follow the order of the figure panels.

This has been corrected. In addition, Fig. 14 has been replaced with one showing the correct variable.

Tables 2-4:

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I have a couple of comments on the equations and parameters. Some are only
typesetting issues, but I also think some units (and perhaps equations) are incorrect.
So, please check the tables carefully. The equation numbering I apply relates to the order of the equations in Table 4 in the revised manuscript:

 $1. \label{eq:consistent} The type-setting of units should be consistent, e.g. ``mgC" or ``gC" with or without white space between ``g" and ``C", analogous for ``gChla'' and ``C", analogous for ``gChla'' and ``Ghla'' and ``Gh$

Corrected

"Chl"/"Chla"; "day-1" or "d-1"; unit of "W_L" (Table 2) is probably "mg Chl m-2" not "Mg \dots "; "-" instead of "(number)" for "n_pl" (Table 3)

Corrected

2. The units of "W_S" [in mg C m-2] and "W_C" [in gC (g sw)-1] seem to be inconsistent. Though, the denominator in the last term in the brace of Eq.1

requires this. Alternatively, some conversion factor is missing in the Eq. The same inconsistency affects Eq. 10 (e_C=...), in which the exponent needs to be dimensionless. A note on what "(g sw)-1" means would be helpful, e.g., "relative to structural mass" as in Broch and Slagstad.

We apologize for the confusion caused by omissions and minor errors in typing the equations that have led to this set of comments. The units of W_C are mg C m-2, this is now corrected, and makes eqs 1 and 10 consistent.

3. There is an inconsistency in the units of "A" (Eq. 2; non-dimensional) and 0 "A0" [in m2]. This is not possible as "A/A0" is in the exponent in Eq. 3. If "W_S" was in "mg C" this would be fine – with "A" in m2 – though, I think the issue with Eq. 1 would still remain.

The units of eq 2 were omitted, but are m2, this is now corrected.

- 4. The unit of the exponent in Eq. 6 ("f_CL(d) = ...") must be non-dimensional which is not the case as both, W_L and q_LC have "mg Chl" in their numerators
- 20 q_LC should be in the denominator.

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- 5. In its current form, the unit of Eq. 9 (u_mn = ...) should be "mmol n (mg sw)-1 d-1" as W_C is in "gC (g sw)-1"
- 25 Is correct after the correction in response to point 2.
 - 6. Eq. 10 (e_C + ...): Even if W_C and W_S had the same unit, the exponent would not be dimensionless as y isn't dimensionless ("g C g-1"). In fact, I suspect, the units in the corresponding Eq. 15 in Broch & Slagstad are incorrect.

The units of gamma are gC/gC, now corrected in Table 2.

7. The unit of Eq. 11 (p_L = ...) is not gChl (gC)-1. Perhaps the fraction should be "W_C/W_L"?

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8. Eq. 12 (dW_N/dt = ...):
5 The dimensions don't match. The last term (f_CT*W_n) is not per day,
    probably a specific growth rate is missing.
    There should be an additional factor r_C in the last term. Also the minus sign must be a plus.
  Further, W_n is in mmol m-2.
    Hence, there must be a factor with unit m2 to get rid of m-2 or the entire
    equation has to be in "mmol m-2 d-1".
    This is correct, now corrected. Also for subsequent equations.
    \mu m is not included in any table. Do
    you mean \mu or \mu_Cm/\mu_Sm?
    This is \mu Cm, now corrected.
    9. Eqs. 13 & 14 (dW_C/dt and dW_L/dt): "r_C" is not defined, only "r_r" is (in
    Table 3). The unit of Eq. 14 must be "mg Chl d-1"
    r_r is a typo, this should be r_c. Units corrected.
    Technical corrections
    page 3, line 2: "mixture of species"?
    Replaced by 'multiple species'.
    page 3, line 8: Should it be "five farms were simulated: four experimental farms"?
    Corrected.
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Yes, the fraction should be W_C/W_L, now corrected.

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page 4, line 10: "species" instead of "biomass"?
     Corrected.
    page 4, line 24: please insert the type of vertical layers, before "layers" e.g., sigma or
     z layers?
     This setup uses 'general vertical coordinates'. This is not the place to explain how this works. The GETM manual is
     available on the GETM web page.
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     page 5, lines 25/26: Would it be feasible to delete "as well as inclusion of and" for
     easier readability, without changing the meaning?
     Done.
     page 6, line 11: "o" is missing in geographical coordinates, while it is used in Sect.
     2.1.1. It should be consistent throughout the manuscript.
     Changed.
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     page 6, lines 11-14: use either "Lough" or "lough" consistently
     Done.
    page 6, line 19: MLWS is only used here, so the abbreviation may be omitted
     Removed.
     page 6, lines 20/21: already mentioned that the farm is "run by Queen's University"
    (on page 6, line 10)
     Removed.
     page 7, line 26: "o" is missing in geographical coordinates
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page 8, line 15: "allowing for" sounds favourable while "comparatively lower" 5 implies the opposite. What about "resulting in lower"? Changed. page 8, line 25: "o" is missing in geographical coordinates 10 See earlier comments page 8, line 28: use "o" instead of "degree"? 15 Changed. page 9, line 11: "were calculated for" instead of "were calculated of"? We have changed this to 'from'. 20 page 9, line 26: Could you add a reference to current Fig. 1 for the Smartbuoy locations? We have added the web address. 25 page 11pp.: Sect. 3.3 is empty. I suppose Sects. 3.4 to 3.5.4 should be subsections. This is now corrected. page 14, line 12: this should be "Figure 10f" Corrected. page 14, line 14: Figure 14b does not show uptake rates. Do you mean Figure 10k,l?

This has been made consistent.

We have replaced Figure 14 with the appropriate figure showing uptake rates instead of carbon structure. page 15, line 24: "coarse" instead of "course" Corrected. page 15, lines 1 and 29: "Rhine Plume" vs. "Rhine "plume". Should be consistent throughout the manuscript. Changed to lower case throughout. page 15, line 33/34: Broch and Slagstad (2012); same for caption of Table 4 Corrected throughout the manuscript. page 16, line 17: no comma after "we do not" Corrected. Table 3: description of "µ_Cm": unnecessary white space before "uncorrected" descriptions of "q_ICS" to "q_LC": would it make sense to use "ratio" instead of "quotum"? I think it's more common but I leave it to the authors. 25 description of "q_LC": white space missing in "perTotal" description of "K_e": typo in "whivh" description of "n_pl": "macrophyte" All corrected except for the second point. We use quotum related to the parameters q, as the 'r' is reserved for variables representing rates of change of state variables. Table 5:

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°N.

The latitudinal information for the Norfolk farm is wrong. It must be "53-something"

This typo has been corrected.

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The geographical information for the other farms slightly differs from the in-text information? Is this just the difference between the real farm locations and the centres of the model grid cells in which they are located? Maybe just use one of the two consistently to avoid confusion?

Yes this is due to the discretisation. We have changed the caption to the table to make this clearer.

Figure 1: I accept the authors' argumentation for showing the entire map, just sufficient quality/resolution of the figure should be ensured for the final publication. At the moment, it's still rather pixelated.

15 We have removed the bathymetry from the inset and made the inset somewhat smaller.

Modelling potential production of macroalgae farms in UK and Dutch coastal waters

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Abstract. There is increasing interest in macroalgae farming in European waters for a range of applications, including food, chemical extraction and for biofuel production. This study uses a 3D numerical model of hydrodynamics and biogeochemistry to investigate potential production and environmental effects of macroalgae farming in UK and Dutch coastal waters. The model included four experimental farms in different coastal settings in Strangford Lough (Northern Ireland), in Sound of Kerrera and Lynn of Lorne (northwest Scotland), and in the Rhine pelume (The Netherlands), as well as a hypothetical large-scale farm off the UK north Norfolk coast. The model could not detect significant changes in biogeochemistry and plankton dynamics at any of the farm sites averaged over the farming season. The results showed a range of macroalgae growth behaviours in response to simulated environmental conditions. These were then compared with in-situ observations where available, showing good correspondence for some farms and less good correspondence for others. At the most basic level, macroalgae production depended on prevailing nutrient concentrations and light conditions, with higher levels of both resulting in higher macroalgae production. It is shown that under non-elevated and interannually varying winter nutrient conditions, farming success was modulated by the timings of the onset of increasing nutrient concentrations in autumn and nutrient drawdown in spring. Macroalgae carbohydrate content also depended on nutrient concentrations, with higher nutrient concentrations leading to lower carbohydrate content at harvest. This will reduce the energy density of the crop and so affect its suitability for conversion into biofuel. For the hypothetical large-scale macroalgae farm off the UK north Norfolk coast the model suggested high, stable farm yields of macroalgae from year to year with substantial carbohydrate content and limited environmental effects.

0 1 Introduction

1.1 Background, aims and approach

Worldwide macroalgae (seaweed) production is in excess of 28 million ton p.a. and has doubled between 2000 and 2014 (FAO, 2014). The majority of this production (> 95%) is from the SE Asian region where macroalgal cultivation is well

established (FAO 2014; West et al., 2016). The harvested macroalgae biomass is mainly used directly for human consumption, although other uses include the extraction of phyllocolloids (gelling agents), animal feed, fertilizer, water remediation and as probiotics in aquaculture (see van der Burg et al. 2016; West et al. 2016).

There has been increasing interest in the potential of macroalgae cultivation across the Northern Hemisphere and Europe (van der Burg et al., 2016), partially driven by research on biofuel technologies (Kerrison et al., 2015). The characteristics of Phaeophyta macroalgae, in particular high productivity, fast growth rate and high polysaccharide content, make them a suitable biomass for biofuels production (Hughes et al., 2012; Kerrison et al., 2015; Schiener et al., 2016; Fernand et al., 2017). A further advantage is that such third generation biofuels do not need additional freshwater and do not compete for agricultural land like many existing biofuel sources.

Marine macroalgae fix CO₂, acting as a sink for anthropogenic CO₂ ("Blue Carbon", Nellemann et al., 2009; Duarte et al., 2017) and absorb dissolved nutrients from the water column, helping to remediate nutrient release from anthropogenic sources such as agricultural runoff, waste water treatment and aquaculture ('bioremediation', e.g. Chopin et al., 2001; Lüning and Pang, 2003; Fei, 2004; He et al., 2008; Sanderson et al., 2012; Smale et al., 2013). Therefore, large-scale cultivation and harvesting of macroalgae could play a role in removing carbon from the marine environment, as well as reduction of coastal nutrient enrichment.

Kelp species, such as *Saccharina latissima* (a brown algae), have been identified as candidate macroalgae for bioenergy production (Kerrison et al., 2015). Its cultivation has been trialled across Europe, including Scotland, Strangford Lough in Northern Ireland, southern North Sea, and northwest of Spain (Buck and Buchholz 2004; Sanderson et al. 2012; Peteiro et al. 2012; Kerrison et al. 2015; van der Burg et al. 2016).

20 Kelp naturally occurs in sublittoral coastal waters in temperate and polar regions. These macroalgae aggregations have been shown to modify the surrounding environment by reducing water velocity and attenuate waves (Jackson, 1997; Gaylord et al., 2007), and by modifying sedimentation rates of suspended particles (Eckman et al., 1989). They are also associated with high biodiversity (Burrows, 2012), providing numerous ecosystem services including habitat, shelter and food for many species including fish (Hartney, 1996), benthic organisms (lobster, crabs; Bologna and Steneck, 1993; Daly and Konar, 2008), herbivorous organisms (Kang et al., 2008), and birds (Fredriksen, 2003), see also Walls et al. (2017).

While a large scale kelp farm might replicate some of the ecosystem services of a natural kelp forest, assumptions as to the extent of the similarity should be considered with caution (Wood et al., 2017). Since kelp farms are monocultures suspended within the water column, and are likely to undergo a yearly cycle of growth and harvesting, they are not synonymous with mature kelp beds which contain a mixture multiple species, of different ages attached to the benthos (Wood et al. 2017).

O Studies on the potential environmental effects of macroalgae farms are limited. This lack of information, in combination with limited knowledge on expected farm yields, results in uncertainty for potential investors, developers and macroalgae farmers, as well as legislators, who provide the relevant farming licence (Wood et al., 2017).

The aim of this modelling study was to investigate environmental effects and potential yield of macroalgae farms, at different locations in UK and Dutch coastal waters, using the ERSEM-BFM (European Regional Seas Ecosystem Model - Biogeochemical Flux Model). In particular, <u>four-five</u> farms were simulated: <u>three-four</u> experimental farms (Sound of Kerrera, Scotland; Strangford Lough, Northern Ireland; the Rhine region of fresh-water influence, ROFI, The Netherlands) and a hypothetical farm (Norfolk, UK) (Figure 1). Observations from the experimental farms in Scotland and Northern Ireland were used to ground truth the model.

1.2 Saccharina latissima

Saccharina latissima, or sugar kelp, is a subtidal phaeophyte macroalga native to Europe, common to UK rocky shores. It is a brown algae, with leather/rubbery texture, which in the adult form is constituted by a holdfast, a stipe, and a large undivided blade (or frond, or lamina), with undulated margins (Kain, 1979; White and Marshal, 2007).

The growth of *S. latissima* is affected by environmental factors such as light availability, wave action and water currents, nutrient concentration, type of substratum, temperature, salinity and grazing pressure (Lobban and Harrison, 1997; Birkett et al., 1998). A recent study by Kerrison et al. (2015) summarises the optimal range of environmental variables for *S. latissima* growth (see Table 1 in Kerrison et al. 2015).

In coastal waters around the UK, kelp species show high growth rates from late autumn to early summer. This is then followed by a slower growth phase between July and December (Parke, 1948; Kain, 1963). Maximum length developments are also associated with maximum fresh weights (Parke, 1948; Black, 1950).

Kelp plants show effective uptake of nutrients (ammonium, nitrate and phosphate) from seawater (Birkett et al. 1998; Kregting et al., 2014; Kregting et al., 2016). When nutrients are abundant and exceed metabolic requirements, these plants have the ability to store nutrient in the plant tissues (Birkett et al., 1998). For example, *S. latissima* has been shown to store nitrogen reserves at levels of more than 1000 times the external ambient concentration (Chapman et al., 1978).

S. latissima stores energy in the form of carbohydrates (e.g. mannitol and laminarin), the concentrations of which vary widely during the year, and peak in the second part of the year (Black, 1950; Kain, 1979; Bartsch et al., 2008; Schiener et al., 2015). For example, Gevaert et al. (2001) observed that for S. latissima in the English Channel, the maximum carbon content is reached in September with the lowest concentrations occurring in March. Similar trends have also been reported for Norway (Sjøtun, 1993) and Scotland (Connolly and Drew, 1985). The minimum carbon concentration in March occurs when the growth rate of the algae is high and the plant growth is carried out at the expense of carbohydrate reserves. By contrast

during summer, carbon assimilation exceeds carbon-utilisation allowing the formation of carbon reserves (Gevaert et al., 2001).

The presence of these carbohydrate reserves and a fast growth rate make *S. latissima* an interesting potential biomass-species for production of renewable energy (Kraan, 2013; Fernand et al., 2017). For these reasons, *S. latissima* has become a focus for experimental farming in Europe. For a comprehensive summary of modelling efforts on *S. latissima* we refer the reader to Broch & Slagstad (2012+) and references therein.

2 Methods

10 **2.1 GETM-ERSEM-BFM model**

2.1.1 GETM: North-west European Shelf set-up

The 3D hydrodynamic model GETM (General Estuarine Transport Model, www.getm.eu; Burchard & Bolding, 2002) solves the shallow-water, heat balance and density equations. It uses GOTM (General Ocean Turbulence Model, Burchard et al., 1999; www.gotm.net) to solve the vertical dimension. GETM was run using the north-west European shelf setup that has been used by Van der Molen et al. (2016) to study the potential large-scale effects of tidal energy generation in the Pentland Firth, and by Van der Molen et al. (2017) to develop a suspended particulate matter model. The set-up includes a spherical grid covering the area 46.4°N-63°N, 17.25°W-13°E with a resolution of 0.08° longitude and 0.05° latitude (approximately 5.5 km), and 25 non-equidistant layers in the vertical. The model bathymetry was based on the NOOS bathymetry (www.noos.cc/index.php?id=173). The model was forced with tidal constituents derived from TOPEX-POSEIDON satellite altimetry (LeProvost et al., 1998), atmospheric forcing from ECMWF ERA-Interim (Dee et al., 2011; Berrisford et al., 2011; www.ecmwf.int/en/research/climate-reanalysis/era-interim), interpolated river runoff from a range of observational data sets (the National River Flow Archive (www.ceh.ac.uk/data/nrfa/index.html) for UK rivers, the Agence de l'eau Loire-Bretagne, Agence de l'eau Seine-Normandie and IFREMER for French rivers, the DONAR database for Netherlands rivers, ARGE Elbe, the Niedersächsisches Landesamt für Ökologie and the Bundesanstalt für Gewässerkunde for German rivers, and the Institute for Marine Research, Bergen, for Norwegian rivers; see also Lenhart et al., 2010), and depth-resolved temperatureand salinity boundary conditions from ECMWF-ORAS4 (Mogensen et al., 2012; Balmaseda et al., 2013; http://www.ecmwf.int/products/forecasts/d/charts/oras4/reanalysis/). Boundary conditions for nutrients are taken from the World Ocean Atlas monthly climatology (Garcia et al., 2010).

2.1.2 Macroalgae farm representation in ERSEM

The ERSEM-BFM (European Regional Seas Ecosystem Model - Biogeochemical Flux Model) version used here (01-06-2016) is a development of the model ERSEM III (see Baretta et al., 1995; Ruardij and Van Raaphorst, 1995; Ruardij et al., 1997; Vichi et al., 2003; Vichi et al., 2004; Ruardij et al., 2005; Vichi et al., 2007; Van der Molen et al., 2013; Van der

Molen et al., 2014; Van der Molen et al., 2016; www.nioz.nl/northsea_model), and describes the dynamics of the biogeochemical fluxes within the pelagic and benthic environment. The ERSEM-BFM model simulates the cycles of carbon, nitrogen, phosphorus, silicate and oxygen and allows for variable internal nutrient ratios and chlorophyll content inside organisms, based on external availability and physiological status. The model applies a functional group approach and contains five pelagic phytoplankton groups, four main zooplankton groups and five benthic faunal groups, the latter comprising four macrofauna and one meiofauna groups. Pelagic and benthic aerobic and anaerobic bacteria are also included. The pelagic module includes transparent exopolymer particles (TEP) excretion by diatoms under nutrient stress, the associated formation of macro-aggregates consisting of TEP and diatoms, leading to enhanced sinking rates and food supply to the benthic system especially in the deeper offshore areas (Engel, 2000), a *Phaeocystis* functional group for improved simulation of primary production in coastal areas (Peperzak et al., 1998), a pelagic filter-feeder larvae stage, and benthic diatoms, including resuspension, transport and pelagic growth. The suspended particulate matter (SPM) module, included for improved simulation of the under-water light climate, contains contributions by waves and currents, and full 3D transport (Van der Molen et al., 2017). Finally, the model includes resuspension of particulate organic matter as a proportion of the SPM resuspension, and also 3D transport.

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A macroalgae functional type representing Saccharina latissima was introduced in ERSEM-BFM, closely following the implementation of Broch and Slagstad (2012) (see Figure 2 for a schematic diagram of the implementation). The macroalgae were represented by a non-advective biomass concentration, in analogy to other ERSEM functional types. To conform with the structure of ERSEM, phosphate dynamics were added in analogy to the nitrate dynamics, as well as inclusion of and assuming an optimum N/P ratio for structural mass of 25, slightly below the median reported by Atkinson & Smith (1983); see also Duarte (1992). The nutrient uptake method for the macroalgae was changed to the dynamic one presented by Droop (1973, 1974) in order to be consistent with the nutrient uptake of phytoplankton in ERSEM (Baretta-Bekker et al., 1997). Ammonium uptake was also added. The method includes growth, mortality (simulated by an equation that relates apical frond loss exponentially to frond area), nutrient and carbon biogeochemistry, and effects of light, temperature, and nutrient concentrations. Plant structural biomass, nutrient buffers and carbohydrate biomass were represented separately. For inclusion in ERSEM-BFM, the macroalgae were represented in terms of biomass density rather than frond dimensions. The revised set of variables, parameters and equations is given in Table 1 to Table 3. The effect of marcoalgae on light extinction was included both within the layer containing macroalgae and on the layers below. Only farmed macroalgae were included in the model. The implementation of farms assumed the use of lines as an anchoring material. Farms were prescribed, per model grid cell, in terms of line length, number of lines, depth below the surface, deployment and harvest time, and initial biomass and plant density (see Table 4 for detail). For the calculations, the model converts these data to biomass density in the model layer coinciding with the depth of the lines below the surface. The simulated farms coinciding with the experimental farms in Strangford Lough, Sound of Kerrera, Lynn of Lorne and the Rhine pPlume were given dimensions

coinciding with typical deployments. The background of the dimensions of the north Norfolk farm (Figure 1, Site E-G) is given in Section 2.2.4-. To facilitate the comparisons, all simulations used the same deployment and harvest dates.

2.2 Macroalgae farms

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2.2.1 Strangford Lough research farm

- The Northern Irish farm site run by Queen's University, Belfast, is located at 54.4N, 5.58W within the semi-enclosed Strangford Lough (Figure 1, Site A). The Hough covers an area of approximately 134 km², with water depths from 0-70 m, is 8 km long and the Narrows (0.5 km wide at narrowest) connect the Irish Sea to the main inlet of the lough (Smyth et al. 2016; Kregting and Elsäßer, 2014). The lough is fully saline ranging from 32 to 34 with negligible freshwater input from three small point sources (Boyd, 1973; Smith, 2010) and is predominantly well mixed (Taylor and Service,1997). The experimental site is located off the southern shore in the vicinity of the Narrows, but is relatively sheltered with an average current speed of 0.3 ms⁻¹. The current predominantly runs in a west east direction (Mooney-McAuley et al., 2016). There can be moderate wave action when the wind is coming from northerly and easterly directions. The depth profile is variable, ranging from 2 m to 13 m at Mean Low Water Spring (MLWS).
- The Strangford Lough research farm is located near the southwestern shore of the Lough (Figure 1, farm A); and run by Queen's University, Belfast. The farm cultivated a mixture of *S. latissima*, *Laminaria digitata* and *Alaria esculenta*. Here we use observations from the 2012-2013 deployment, when 2 x 100m longlines of *S. latissima* were cultivated and 19 with the other species. The growing lines were suspended horizontally at 1 m below the surface, and were pre-seeded at deployment. Monthly sampling was carried out after two months at sea. At each sampling time, five samples were taken from each rope removing all plants on a 30 cm section. The total wet biomass of all plants in these 30 cm intervals was determined, and used to calculate the mean biomass per line (kg wet weight/m). Total number of plants, total wet and dry biomass were measured. Total length, blade length, blade width and stipe length were also measured for the 12 largest plants in the sample. For the purpose of this modelling study, we have assumed that all 21 lines of the farm were cultivated with *S. latissima*; only field observations from the *S. latissima* long lines were used.

The mean biomass per line (kg wet weight/m) was used to estimate the total farm carbon biomass for comparison with the model results (see Section 0.3.3.1). Overall, 354 samples were analysed for wet and dry weight, giving a combined total wet weight of 3549.9 kg, and a dry weight of 380.38 kg, resulting in a wet/dry weight ratio of 9.333. For conversion from dry weight to carbon weight we assume that the dry plant material consists predominantly of CH₂O groups (Atkinson & Smith, 1983), resulting in a dry weight to carbon ratio of 32/12=2.67. The resulting conversion factor from wet weight to carbon is 24.919.

2.2.2 Sound of Kerrera and Lynn of Lorne research farms

The Sound of Kerrera and Lynn of Lorne farms (Figure 1, farms B and C) are located in the Firth of Lorne, and operated by the Scottish Association for Marine Science (SAMS).

- 5 The first Scottish farm site was located at 56.38N, 5.54W within the Sound of Kerrera (Figure 1, Site B) which separates the island Kerrera from the mainland by ca. 500m, near Oban. The Sound reaches 60m depth and experiences a semi-diurnal tidal current of 0.77 m·s⁻¹ during spring tides. The island shelters the Sound from all but the predominant south-westerly winds from the Atlantic. At the farm site, the depth ranges from 5-25m.
- 10 The farm at Sound of Kerrera (Figure 1, B) consists of 180 m of double headed longline buoyed by mussel floats, with growing lines suspended at 1.5 m depth. For the Sound of Kerrera farm, observations of nutrient concentrations, light and temperature are available from a 17 month period in 2013-14. Nutrient concentrations were collected in triplicates at 1.5 m depth, whereas light and temperature were collected at half hourly intervals at 1.5 m depth, using HOBO Pendant data loggers (Onset Computer Corp, MA, USA). Here, we use the means of the triplicates for nutrients, and monthly means for light and temperature. The nutrient data showed a typical seasonal cycle with high winter concentrations and low concentrations following the spring bloom, but with surprisingly high summer concentrations in 2013, which are unexplained. Early summer concentrations in 2014 were substantially lower.
- The second Scottish farm is located at 56.49N, 5.47W in the Lynn of Lorne (Figure 1, Site C), which separates the island of Lismore from the mainland. The site has a depth ranging from 15-25 m and has a mean current speed of 0.1 m·s⁻¹, 5 m below the surface. The Lynn of Lorne is 3km wide at the location of the farm and so is very exposed to the predominant southwesterly winds from the Atlantic.
- The Lynn of Lorne farm (Figure 1, C) consists of a 100x100 m grid submerged 3 m below the surface. This can contain up to 24 lines of 100 m length, spaced 4 m apart, with growing lines suspended horizontally at a depth of 1.5 m below the surface.

2.2.3 Rhine plume experimental farm

The southern coast of the Netherlands is characterized by shallow water depths (<25 m), high winter nutrient concentrations (Laane, 2005; Troost et al., 2014), and high turbidity (Pietrzak et al., 2011; Van der Hout et al., 2015). The Dutch coastal area is influenced by the Rhine river and has lowered salinity, potential for episodic salinity stratification (De Ruyter et al., 1997), and a higher N/P ratio due to larger reductions in anthropogenic riverine phosphate loading since the late 1980's (Lenhart et al., 2010).

Another experimental farm, run by North Sea farm foundation (Stichting Noordzee boerderij), was deployed for the first time in the autumn of 2016 within the nutrient-rich Rhine ROFI off the port of Scheveningen, The Netherlands (Figure 1, D). The farm consists of a single line of 100 m, undulating between 0 and 4 m below the surface. Data from this farm will only become available in the summer of 2017. The farm was included in the model to obtain predictions of potential performance.

5 2.2.4 Norfolk hypothetical commercial farm

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The north Norfolk coast of the UK is also characterized by shallow water depths, high winter nutrient concentrations (Hydes et al., 1999; Proctor et al., 2003; Foden et al., 2011), and high turbidity (Dyer and Moffatt, 1998; Bristow et al., 2013). Turbidity is higher than off the coast of the Netherlands, allowing for comparatively lower primary production by phytoplankton.

The hypothetical commercial farm off north Norfolk (Figure 1, Site E-G) was selected based on the method of Capuzzo et al. (2014), with minor modifications. The method consisted of over-laying maps of suitability scores (optimal, sub-optimal, unsuitable) of key limiting environmental variables (temperature, light, tidal velocity, wave height and nutrient concentrations; Table 6); Table 1) and spatial use data (shipping, structures, Marine Protected Areas, wind farms, etc.) in a GIS system. The modifications applied here consist of slight variations to the threshold levels of certain environmental variables, and the adoption of a farming area based on the suitability data rather than rectangles of pre-defined size.

The area selected by this method was nearly rectangular (53.0545N 0.7745E to 53.11N 0.9775E), and located off the north Norfolk coast near Wells-next-the-Sea, in approximately 20 m water depth, between a coastal Marine Protected Area, wind farms and a Marine Conservation Zone further offshore (Figure 3Figure 2). On the model grid, this area was approximated by a hypothetical farm covering three adjacent cells of 0.08° degree-longitude and 0.05° degree-latitude (approx. 5x5 km, Figure 1, E-G).

It was assumed that within each grid cell of 25 km², roughly half of the surface area would be effectively farmed, and the rest would be required for a mesh of navigation corridors for service vessels and occasional navigation lanes for other traffic. As details of such lay-outs are beyond the resolution of the model, it was assumed for simplicity that a solid block of 3.5x3.5 km was farmed within each 5x5 km grid cell with lines 50 m apart to avoid entanglement.

2.2.5 Model scenarios

30 GETM-ERSEM-BFM was run without macroalgae farms from 1990 to 2011, using initial conditions from an earlier model version. The first 10 years of this simulation were considered as spinup time to enable the biogeochemistry of the model to adjust. The years 2001-2011 constituted the reference conditions (absence of farms). Farming scenarios were run for five

consecutive seasons, starting on the first of October in 2006-2010, and running until the end of July of the following year in accordance with potential farming practice. The scenario runs were hot-started for each year from the corresponding conditions of the reference run on 1 October. To detect potential environmental effects, differences with the reference run were calculated <u>fromoff</u> farm-season averaged, depth-averaged model scenario output for all routinely stored variables (covering nutrient concentrations, functional type biomass and a selection of fluxes for both pelagic and benthic systems), filtered for model variability using the method of Van der Molen et al. (2016), and plotted as maps. The filtering method discarded differences between the reference run and the scenario run that were smaller than similarly calculated differences between the reference run and a duplicate of the reference run. Time series consisting of daily values were extracted for pelagic nutrients, light conditions and macroalgae conditions at each model grid cell containing a macroalgae farm to assess farm performance and functioning.

2.3 SmartBuoy and satellite observations

SmartBuoys, instrumented moorings (Mills et al., 2005) have been deployed in UK and Dutch waters as components of monitoring programmes, and were configured to determine turbidity, chlorophyll fluorescence, salinity, temperature and dissolved oxygen and data processed according to Greenwood et al. (2010). Concentrations of suspended particulate matter and chlorophyll were derived from measurements of turbidity and chlorophyll fluorescence respectively (Greenwood et al., 2010). Discrete samples were collected using an automated Aquamonitor and subsequently analysed for TOxN (total oxidisable nitrogen) and silicate according to Gowen et al. (2008). In addition on most buoys, TOxN was determined using an automated *in-situ* NAS-2E or NAS-3X nutrient analyser. Daily mean values were calculated from all data which passed the quality assurance process.

Daily spatial distributions of chlorophyll concentrations were derived from the MODIS satellite (modis.gsfc.nasa.gov), obtained from the Ifremer ftp server (ftp.ifremer.fr.:/ifremer/cersat/products/gridded/ocean-color/atlantic), and were processed as described by Gohin et al. (2005) and Gohin (2011). These data were further processed, in conjunction with modelled surface chlorophyll concentrations, to yield spatially resolved summer and winter statistics of model performance.

3 Results

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3.1 Model confirmation

Modelled M₂ tidal elevations and currents were compared with observations by Van der Molen et al. (2016), showing reasonable agreement, with elevation amplitudes typically within 20 cm, currents typically within 15 cm s⁻¹, and phases for both typically within 30°. Compared with *in-situ* SmartBuoy observations (Greenwood et al., 2010), modelled SPM concentrations showed a reasonable representation of the seasonal cycle, but over-estimating peak values. They were mostly

within a factor of three, and with positive correlations, when compared with satellite observations on a seasonal scale (Van der Molen et al., 2017). These results are not reproduced here.

Surface chlorophyll concentrations from the reference run were compared with satellite observations for 2007-2008 (see also Van der Molen et al., 2016). Winter concentrations (October 2007 to March 2008, Figure 4a,b) were low in both the model and the satellite data. For a better comparison, the model output was subsampled for each grid cell (Figure 4c) using the available clear-skies satellite observations (Figure 4d). Subsequently, the relative offset (Figure 4e) and correlation coefficient (Figure 4f) were calculated. The resulting plots show that the model over-predicted along the northern UK coast, and in the coastal areas in the Celtic Seas. Correlations showed a patchy pattern, with typically better correlations along the Dutch and Belgian coast.

In summer (April 2008 to September 2008, Figure 5) the model had a small bias in offshore waters, but tended to overestimate coastal chlorophyll concentrations. It achieved good correlations in large parts of the North Sea and in parts of the Celtic Seas.

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In the vicinity of the North Norfolk farm (Figure 1, Site E-G), the model bias for surface chlorophyll was slightly negative in winter, and the correlation coefficient was low (Figure 4e,f). In summer, chlorophyll concentrations were slightly overestimated, and correlations were moderate (Figure 5e,f). Near the Rhine pPlume farm (Figure 1, Site D), bias was slightly positive and correlations high in winter (Figure 4e,f), and bias was slightly positive and correlations moderate in summer (Figure 5e,f).

The model results from the reference run were compared with time series of *in-situ* observations from SmartBuoy for chlorophyll, nitrate, silicate, salinity, temperature and suspended sediment. For Warp Anchorage (see Figure 1 for location) modelled peak spring-bloom chlorophyll concentrations were within 10 mg Chl m⁻³ of the observations for most years (Figure 6a). The blooms tended to have longer duration than observed. Winter nitrate and silicate concentrations exceeded observed values for most years (Figure 6b,c), and were related to lower salinity values than observed (Figure 6d), possibly related to the river forcing or to resolution-related issues with the representation of the river plume. The modelled annual range in temperatures was several degrees more than observed (Figure 6e), and suspended sediment concentrations were much more variable, and had high event-driven peak values (Figure 6f).

In Liverpool Bay (see Figure 1 for location), spring and summer chlorophyll concentrations generally exceeded observed values from the SmartBuoy by a factor of two (Figure 7a). Nitrate concentrations were reproduced well in the last five years of the simulation, but were over-estimated in the first four winters (Figure 7b). Winter silicate concentrations were also higher in the first few years, but exceeded observed winter values for all the years in the time series (Figure 7c). Modelled

salinities were slightly higher than observed (Figure 7d), and there was no apparent relationship with winter nutrient concentrations as for Warp Anchorage. Summer temperatures were reproduced mostly within a degree, while winter temperatures were underestimated by up to 2 °C (Figure 7e). The seasonal cycle of SPM concentrations was reproduced, but with substantially higher variability (Figure 7f).

At the more offshore location of West Gabbard (see Figure 1), peak chlorophyll concentrations were underestimated for most, but not all of the years (Figure 8a). Nitrate concentrations were under-estimated by a factor of 2-3 (Figure 8b), whereas silicate concentrations were reproduced fairly closely (Figure 8c). Summer salinities were over-estimated by 0.8-1.2 (Figure 8d). Maximum summer temperatures were exceeded by up to 2 °C in most years, and minimum winter temperatures were, with a few exceptions, reproduced closely (Figure 8e). Winter suspended sediment concentrations were 4-5 times higher than observed, with much higher variability (Figure 8f). This general pattern was also observed at other offshore SmartBuoys (not shown here for brevity).

3.2 Environmental effects

None of the maps of differences in biogeochemistry and plankton dynamics with the reference run, averaged over the farming season, showed detectable changes in the region of any of the farm sites, i.e. any differences between the run with farms and the first reference run were smaller than or of similar magnitude as differences between the two reference runs. For the experimental farms, this was to be expected because of their relatively small size. The north Norfolk farm (Figure 1, Site E-G) was located in a dynamic area with high tidal currents and substantial residual circulation, which may account for this result. Hence, in the following, we will focus on the performance of the macroalgae farms.

3.3 Macroalgae farm performance

3.4 Strangford Lough

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3.3.1 Strangford Lough

Modelled winter nutrient concentrations at the Strangford Lough farm site (Figure 1, Site A; Figure 9a,b), 1.5-5 mmol N m⁻³ and 0.16-0.3 mmol P m⁻³, showed substantial variation between years, and were lower than expected for a coastal location. Reported values for the Narrows and coastal offshore area for 2009 show values within, but also in exceedence of these ranges (Kregting et al., 2016). One reason for this could be that nutrient inputs from the largest river entering Strangford Lough, the Quoile, were not available for inclusion in the model. Summer concentrations were close to zero, with a suggestion that nitrogen was the limiting nutrient. Extinction coefficients (Figure 9c) ranged from peak winter values of up to 3 m⁻¹ to summer values of 0.2-0.3 m⁻¹ with fairly similar seasonal patterns per year. Surface irradiance (Figure 9d) showed

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a typical and stable seasonal cycle ranging from around 10 μmol m⁻² s⁻¹ in winter to maxima of 800 μmol m⁻² s⁻¹ in summer. Water temperatures (Figure 9e) ranged from about 16 °C in summer to 5-7 °C in winter, with the winters of 2008-2010 slightly colder than those of 2006-2007. Macroalgae biomass (Figure 9f) peaked at approximately 0.05 kg C m⁻¹ line in all simulated years, about an order of magnitude lower than observed in the 2012-2013 farm deployment (plotted here in 2006). This under-estimation was caused by the low nutrient concentrations, as is also evident in the structure/mass ratio (mass of macroalgae structure over total (structure + carbohydrates) macroalgae mass, Figure 9g) and C/N and C/P ratio (Figure 9i,j), which show that the modelled macroalgae was high in carbohydrate content from the beginning and then rose throughout the cultivation. Mortality (Figure 9h) remained low throughout the farming cycles. Nutrient uptake rates per surface area of the farm (Figure 9k,l) were close to zero in summer, and peaked between 0.05-0.15 mmol N m⁻² day⁻¹ and 0.015-0.025 mmol P m⁻² day⁻¹.

3.5 Sound of Kerrera and Lynn of Lorne

3.3.2 Sound of Kerrera and Lynn of Lorne

For the Sound of Kerrera farm (Figure 1, Site B; Figure 10), winter nutrients were higher, but also with substantial differences between years (7-15 mmol N m⁻³ and 0.6-1.4 mmol P m⁻³). This is closer to expected values than at Strangford Lough (Figure 1, Site A), which is also illustrated by the observations from 2013-14, here plotted on 2010-11. The model did not reproduce the high summer concentrations evident in the first year of the observations. Extinction coefficients had similar values as in Strangford Lough, but with a lower base level in winter. Irradiance was also similar, and corresponded well with the monthly mean observed values from 2013-14. Water temperatures reached up to 18 °C in summer, and 3-6 °C in winter, ranges confirmed by the monthly mean observed values from 2013-14. Macroalgae biomass at harvest showed substantial interannual variability, between 0.11 and 0.48 kg C m⁻¹ line. This range corresponds with the observed farm yield of 0.4 kg C m⁻¹ line in 2013 and 0.16 kg C m⁻¹ line in 2014, and also with the observed yield of 0.6 kg C m⁻¹ line for the Strangford Lough farm in 2012/13. While the observed difference in yield seems to correspond with the observed difference in summer nutrient concentrations, the farm operators also reported heavy biofouling in 2014, which smothered the crop, possibly due to warmer spring temperatures in this particular year. The modelled differences in yield appear to relate to the nitrogen uptake rates. The final modelled carbohydrate content was high. Rates of mortality increased with biomass.

The Lynn of Lorne farm (Figure 1, Site C; Figure 11) showed a very similar pattern, but achieved almost twice the yields due to higher modelled nutrient concentrations. Interestingly, the carbohydrate content at harvest was lower for the high-yield years. In the year with highest yield, mortality shot up ten-fold shortly before harvest, suggesting that timing of harvesting may be critical. This latter result corresponds with the experience of the farm operators.

3.5.13.3.3 Rhine plume experimental farm

For the Rhine plume farm (Figure 1, Site D; Figure 12), the model over-predicted winter nitrate concentrations as compared with observations from the Noordwijk-10 station further to the north by up to a factor of three (up to 180 mmol N m⁻³), and also over-predicted summer concentrations. Phosphate concentrations were reproduced fairly closely, and both model and observations suggest that for a period after the spring bloom phosphate was the limiting nutrient. The model also reproduced the available observations of the extinction coefficient, which bottomed out at approximately 0.5 m⁻¹; higher than at the Scottish and Irish farm sites. Summer temperatures ranged up to 20 °C, which is near the thermal limit for this kelp species. Farm yields were relatively stable at around 0.7 kg C m⁻¹ line, but carbohydrate content remained low as nutrients remained available throughout the summer. The observations suggest that this may not be as extreme in reality. Mortality increased during the later stages of growth, suggesting that if, in reality, the carbohydrate content does increase due to lower nutrient concentrations than in the model, there may be a fine balance in picking the right time to harvest.

3.5.23.3.4 Norfolk hypothetical commercial farm

The results for the Norfolk hypothetical farm (Figure 1, Site E-G; Figure 13) showed winter nitrate concentrations of 40-50 mmol N m⁻³ and 1.5-2.5 mmol P m⁻³, respectively. This corresponds with observed values of 45-48 mmol N m⁻³ and DIN/DIP ratios of 20-30 for the Eastern English Coast and East Anglia regions in 2001-2005 (Foden et al., 2011). Extinction coefficients were over-estimated by the model by a factor of 3-4. This was compensated for by setting the farm lines to 0.3 m below the surface instead of 1 m. Summer temperatures ranged up to 20 °C, while winter temperatures could be as low as 2.5 °C. Farm yields were stable and high at approximately 1 kg C m⁻¹ line, and the final crop contained substantial concentrations of carbohydrates.

20 3.5.33.3.5 Predicted farm yields

In addition to the per unit performance of the farms presented in the previous section, it is, from the point of view of biomass production, useful to list the total predicted yield of the farms at their current size. Total modelled farm yields are summarised in Table 5, for both carbon and wet biomass. In terms of wet biomass, yields were in the range of 2-3 t yr⁻¹ for the Strangford Lough farm (Figure 1, Site A), 7-30 t yr⁻¹ for the Sound of Kerrera farm (Figure 1, Site B), 20-60 t yr⁻¹ for the Lynne of Lorne farm (Figure 1, Site C), around 1.5 t yr⁻¹ for the Rhine plume farm (Figure 1, Site D), and 18 and 20 kt yr⁻¹ for the combined Norfolk farm (Figure 1, Site E-G).

3.5.43.3.6 Variations in farm yield

The model results suggested that macroalgae growth was dictated by combined availability of nutrients and a sufficient level of light. To illustrate this, nutrient uptake was plotted as a function of irradiance and nutrient concentration. The resulting graphs for nitrate-nitrogen (Figure 14) show that this was indeed the case: the Strangford Lough farm (Figure 1, Site A;

graph a) experienced low uptake. for the Rhine Plume farm (Figure 1, Site D; graph a) high uptake occurred, starting at high nitrate concentrations in winter, and for light levels over 100 µmol m⁻² s⁻¹. For the Sound of Kerrera farm (Figure 1, Site B; graph b), there was only limited opportunity for higher uptake, as under most conditions either light or nutrients were lacking. For the Rhine plume farm (Figure 1, Site D; graph c) very high uptake occurred, starting at high nitrate concentrations in winter, and for light levels over 100 µmol m⁻² s⁻¹. The Strangford Lough farm (Figure 1, Site A; graph c) did not experience high uptake at all. The Norfolk farm (Figure 1, Site E-G; graph de) experienced a good range of conditions that allowed high nitrate uptake. Results for phosphate showed very similar patterns, and are not shown here.

Plotting modelled macroalgae biomass for the Sound of Kerrera farm in a similar way and for the individual years (Figure 15) elucidates the mechanism behind the variability in farm yield in the model (Figure 14Figure 10f). The final biomass appeared to be correlated not only with the winter nutrient concentration but also with structural biomass in spring, when nutrient concentrations were still elevated and light levels exceeded 50 μmol m⁻² s⁻¹ (compare also with the uptake rates, Figure 14b). A sufficient level of initial spring biomass was required to allow for sufficient uptake and storage of nutrients to facilitate the early summer growth. The initial spring structural biomass appeared to be correlated with the combination of light and nutrient concentrations in late autumn/early winter. Hence, it appears that the timing of the onset of increased nutrient levels, and the timing of nutrient drawdown are important determinants of farming success in areas where winter nutrient levels are not elevated and subject to interannual variation.

4 Discussion

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The modelled production of macroalgae showed a range of responses that may illustrate the actual production that can be expected from commercially operated farms in these locations. Having said this, the model results were not highly accurate for all sites. At Strangford Lough (Figure 1, Site A), the modelled winter nutrient concentrations were likely too low, leading to low macroalgae production in the model. This result provides an analogue for potential lack of farming success at sites with naturally low winter nutrient concentrations.

The model results at the Sound of Kerrera site (Figure 1, Site B) were realistic, comparing in range with observed winter nutrient concentration levels (higher than at the Strangford Lough site), and also comparing in range with the observed variation in macroalgae production. Modelled production at the Lynn of Lorne site (Figure 1, Site C) was higher than at Sound of Kerrera, coinciding with higher modelled winter nutrient concentrations. However, as a side effect of this, macroalgae carbohydrate content was lower.

At the Rhine pPlume site (Figure 1, Site D), modelled nitrate levels were substantially higher than observed. Despite this, macroalgae production per metre was higher than at Sound of Kerrera and the last three years at Lynn of Lorne despite less

favourable light conditions caused by higher concentrations of suspended solids and the line being deeper below the surface. This is most likely the result of more favourable nutrient concentrations. The modelled macroalgae contained low concentrations of carbohydrates, as they had continuous access to nutrients. The observed nitrate concentrations at a near-by location suggest limiting conditions in summer, and hence the real farm may yield macroalgae with a higher carbohydrate content.

The Norfolk farm (Figure 1, Site E-G), after compensating for the overestimated modelled suspended particulate matter concentrations by reducing the depth of the lines below the surface, produced modelled macroalgae biomass per metre of line higher than those simulated for the Sound of Kerrera farm. This production showed good interannual stability, and contained up to 60% carbohydrates. Simulated winter nutrient concentrations were comparable with observed concentrations. There was a slight variation in macroalgae production between the three model grid cells occupied by the farm, in line with a slight gradient in suspended particulate matter concentrations. Even for this farm, which was the largest that was modelled, we did not find significant changes in temporal averages of other model environmental variables over the period of simulated farming. This is presumably because nutrient requirements of *S. latissima* are very modest, and there is a high level of flushing in the area. Overall, this result supports the potential for this site for macroalgae farming.

The model results suggested that, in areas where winter nutrient levels are modest, farming success could be sensitive to the timing of the autumn onset and spring draw-down of nutrient levels. This result should be further tested and investigated using more detailed field and laboratory observations.

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The results of farm yield (Table 5), in relation to farm size, can be used as a first indication of the magnitude of potential carbon removal from the marine environment if farming is scaled up in areas with good farming potential. If the produce is used for biofuel production, the farming activity would result in a related reduction in fossil fuel consumption, when allowing for conversion losses and fuel consumption as part of the production cycle. As nutrient to carbon ratios were very low, and the model did not detect significant changes in pelagic nutrient concentrations, macroalgae farming would need to happen on a substantially larger scale and/or intensity than simulated here to have an effect on eutrophication.

The current shelf-wide model allows for first assessments of macroalgae farm performance at a wide range of locations on the northwest European continental shelf. The relatively coasurse model resolution, however, clearly limits the accuracy of the farm production results, in particular in areas with large gradients in topography at scales finer than the model resolution. If more accurate simulations are desired for such locations, or if within-farm gradients in productivity need to be studied, local high-resolution models could be developed that take boundary conditions from the current model. The current model assumes horizontal lines, whereas some experimental farm configurations include vertical or diagonally undulating lines. The Rhine plume farm uses undulating lines, and hence the performance could be different from the simulations presented

here. To better represent these different farm configurations, and/or investigate potential differences in performance between such configurations, the model could be adapted by introducing the ability to distribute macroalgae biomass in the vertical. Similar adaptations would also be required for the simulation of natural populations, in which plants are anchored to hard substrate and grow vertically towards the surface. The approximation for frond erosion suggested by Broch and Slagstad (20124) does not explicitly include effects of the environment, and might be refined with a suitable set of laboratory experiments and field observations. However, the currently simulated values are small, and experience from the experimental farms indicates that higher values only occur later in the season. Crops can be harvested before such mortality occurs, so accurate predictions of mortality are not of high relevance for the current application.

This modelling exercise is a proof of concept, and did not aim for a detailed representation of the farm localities, nor did it involve extensive tuning to reproduce detail of farm performance. We used an existing 3D model setup of the northwest European continental shelf (Section 2.1), which allowed all farms to be included in one model, albeit with a very coarse representation of coastal geometries. Farm implementation included a level of sub-grid parameterisation. The model was run with forcings for years pre-dating farm deployments, so comparisons with observations collected during the actual deployments can only be qualitative. Despite these limitations, we obtained reasonable confidence in the model, as well as valuable results in terms of farm functioning and performance, macroalgae quality, and farming-induced changes in environmental conditions. These predictions are necessary to progress the future development of this fledgling industry.

5 Recommendations

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This model study did not detect large-scale changes in environmental conditions in the vicinity of the simulated farms. Although this is encouraging, we do not, consider this finding to be a generic result, and further and specific investigations should be carried out for specific proposed farm implementations. Such work could include application of and contrasting with other models, and further up-scaling of farm size and intensity to explore safe limits. Moreover, the current model (as any model) only captured a subset of environmental processes. Also, simulations with a high-resolution model are recommended to confirm and refine the results obtained here, if further farm implementation plans are developed.

The results for the hypothetical Norfolk farm site (Figure 1, Site E-G) suggest favourable conditions for commercial macroalgae farming. However, suspended particulate matter concentrations may remain an issue, and accurate regulation of a very shallow depth of line below the surface is probably required. A small-scale field experiment is recommended to test this result in reality.

For the Sound of Kerrera site (Figure 1, Site B), with lower nutrient concentrations and variable farm yield, the model suggested a relationship between farm yield and autumn and spring nutrient concentrations coinciding with light at sufficient

levels. This suggested relationship should be investigated further, and confirmed with more detailed observations than available for this study, as further understanding of these processes can help to determine minimum required conditions for successful farming.

5 The model results suggest high rates of macroalgae growth in early summer, accompanied with an increase in carbohydrate content, but also by an increase in mortality. This suggests that there is an optimum window for harvesting, in line with experience from the experimental farms; however, the simulated mortality was not enough to start to reduce biomass. The model suggested differences in this balance between the farm sites, but without further field evidence it is difficult to draw detailed conclusions. It is recommended to continue the field experiments, and to gather more detailed information on environmental conditions, carbohydrate content and mortality. This could be accompanied by suitable series of shore-based microcosm experiments. Associated modelling work can help to explain and extrapolate such results.

Concerning the model, improvements could be made in the simulation of nutrients and particulate suspended matter. Also, representations of different farm configurations could be considered (eg., undulating or vertical lines). Other macroalgae species could be included, as well as a capability to model natural, sea-bed attached macroalgae populations. Finally, inclusion of macroalgae grazers in the model could be investigated, as grazing can be a problem for farm operation.

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Table 1. Limiting environmental variables for macroalgae cultivation. Ranges in bold were satisfied within the selected-farm area (green rectangle in Figure 2). Between brackets: values suggested by Capuzzo et al. (2014) if different.

Variable	Unsuitable	Sub-	Optimal	Reference
		Optimal		
Contribution to suitability	0	0.5		
index				
Minimum temperature	4	2-5	>5	Bolton and Lüning (1982)
[°€]		(2-4)	(>4)	
Maximum temperature	Adapted	Adapted	Adapted	Bolton and Lüning (1982)
[°C]	farming	farming	farming	
	methods	methods	methods	
	assumed	assumed	assumed	
	possible	possible	possible	
	(>18)	(16-18)	(<16)	
Wave height [m]	>6	4-6	0-4	Buck and Buchholz
		(<1 & 4-6)	(1-4)	(2005)
Photic depth [m]	4	1-2	>2	
	(<2)	(2-4)	(>4)	
Winter nitrate [mmol m ⁻³]	<10	10-20	>20	Aldridge et al. (2012)
Tidal velocity [m s +]	>2	< 0.25 & 1.5	0.25-1.5	Buck and Buchholz
		≟		(2005)
Water depth [m]	<4		≥4	
	(<10 & >50)		(10-30)	

Table 1. Variables of the macroalgae model.

Symbol	Unit	Description
W_s	mg_C m ⁻²	Structural biomass, state variable
A	m ²	Frond area
W_C	<u>mg C m⁻² (g sw) ⁻⁴</u>	Total biomass, state variable
W_N	mmol N m ⁻²	Biomass, state variable
W_P	mmol P m ⁻²	Biomass, state variable
W_L	mMg Chl m ⁻²	Biomass, state variable
Щ	day ⁻¹	Specific growth rate (area), derived variable
$W_{ m w}$	g	Total wet weight of sporophyte, derived variable
$W_{ m d}$	g	Total dry weight, derived variable
E(d)	μmol photons m ⁻² s ⁻¹	Irradiance (PAR), environmental variable at depth d
T(d)	°C	Water temperature, environmental variable at depth d
N	mmol m ⁻³	Substrate nutrient concentration, environmental variable

Table 2. Parameters of the macroalgae model.

Symbol	Value	Unit	Description	
q_S^A	83 10-6	$m^2 (mg C)^{-1}$	Unit area per Structural mass	
γ	0.5	g_C (g_C) ⁻¹	Exudation parameter	
ε	22	m ⁻²	Frond erosion parameter	
A_0	0.06	m ²	m ² Growth rate parameter	
m_1	0. 1085	-	Growth rate parameter	
m_2	0.03	-	Growth rate parameter	
μ_m^S	0. 36		Maximal specific growth of structural biomass (uncorrected for	
		u	day length)	
μ_m^C	0.36	d ⁻¹	Maximal specific growth of biomass (-uncorrected for day	
		u	length)	
q_{CS}^{l}	0.05	g_C (g C) ⁻¹	Minimal quotum reserve C per Structural mass	
q_{NS}^l	0. 142 × 10 ⁻³	mol N (g C) ⁻¹	Minimal quotum N per Structural mass	
q_{PS}^l	6× 10 ⁻⁶	mol P (g C) -1	Minimal quotum P per Strucutral mass	
q_{NC}^m	0.3 × 10 ⁻³	mol N (g C) -1	Maximal quotum N per total mass Carbon	
q_{PC}^m	12 × 10 ⁻⁶	mol P (g C) ⁻¹	Maximal quotum P per total mass Carbon	
q_C^L	0.0278	g_Chla (g C) -1	Optimal quotum Chlorophyll per_Total mass -Carbon	
Ke	20	µmol photons	Light affinity parameter (lowest light intensity at whitwh	
		m ⁻² s ⁻¹	primary production is optimal.	
a_N	0.5×10^{-3}	m ³ (mg C) ⁻¹ d ⁻¹	Nutrient affinity for nitrogen	
a_P	0.7×10^{-3}	m ³ (mg C) ⁻¹ d ⁻¹	Nutrient affinity for phosphate	
q_{10}	2	-	Q ₁₀ parameter	
n_{pl}	user-defined	<u>-(number)</u>	initial number of macrophyte plants	
r <u>C</u> ≠	0.01	d ⁻¹	specific respiration of the total biomass (W _C)	

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Table 3. Equations of the macroalgae model. The last column lists the numbers of the corresponding equations used by Broch and Slagstad (20121).

Equation	Unit	Description		
$\mu = \mu_m^S f_A f_L^S f_T^S (1-\max \left\{ \frac{W_S q_{NS}^l}{W_N}, \frac{W_S q_{PS}^l}{W_P}, \frac{W_S q_{CS}^l}{W_C - W_S} \right\}$	d ⁻¹	Specific growth rate		
$A = q_S^A W_S / n_{pl}$	<u>m²</u> -	Calculation of frond surface		
$f_{\mathbf{A}}(A) = (m_1(e^{(-A/A_0)^2}) + m_2)/(m_1 + m_2)$	-	Non-dimensional effect of size area on growth rate $ (0 < f_A < 1) $		
$f_T^c(d) = q_{10}^{(T(d)-10)/10}$	-	Effect of temperature on C-fixation rate. Assumed is a exponential increase		
$f_T^S(d) = f_T^C(d) \left\{ \frac{19 - T(d)}{21 - T(d)} \right\}$	-	Effect of temperature on structural growth. Structural growth is inhibited above 19 °C	4	
$f_L^c(d) = 1 - \exp\left(-rac{W_L q_L^t}{W_C q_L^t} rac{E(d)}{K_e} ight)$	-	Light limitation for C-fixation at depth d is dependent on Chl-C quotum and on light energy E at depth d	5	
$f_L^S(d) = (f_L^C(d) > 0)$	-	Only structural growth at daylight	5	
$\nu(A) = \frac{10^{-6}e^{\varepsilon A}}{1 + 10^{-6}(e^{\varepsilon A} - 1)}$	d ⁻¹	Frond erosion		
$u_n^m = a_n n W_C$	mmol <i>n</i> d ⁻¹	Maximal nutrient uptake for n =Nitrogen (N) and for n =Phosphate (P)		
$e_C = 1 - \exp\left[-\gamma \frac{W_C - (1 - q_{CS}^l)W_S}{W_S}\right]$	d ⁻¹	Carbon exudation only when more C-reserves are present than the minimum quotum $q^i_{\rm CS}$		
$ ho_L = q_C^L f_L^C \left(rac{W_{CL}}{W_{LC}}q_C^L ight)rac{K_e}{E(d)}$	g_Chl (g C) ⁻¹	The ρ_L determines the size of the Chlorophyll (L) production. Low light and a low quotum Chl:C enhance this production		
$\frac{\mathrm{d}W_n}{\mathrm{d}t} = \min\left\{u_n^m, (q_{nc}^m \mu + \mu_m^c \mu_m (q_{nc}^m - \frac{W_n}{W_c}))W_c + -f_T^c V_n\right\}$	mmol <i>n</i> <u>m</u> - 2 d ⁻¹	Rate of change in total content of n =Nitrogen (N) and of n =Phosphate (P)		

$\frac{\mathrm{d}W_C}{\mathrm{d}t} = (\mu_m^C f_L^C - e_C - f_T^C r^C) W_C$	mg C <u>m⁻²</u> d ⁻¹	Rate of change in total Carbon content	9 10
$\frac{dW_L}{dt} = \rho_L(\mu_m^c f_L^c - e_C - f_T^c r^c) W_C$	mg C <u>hl</u> <u>m⁻²</u> d ⁻¹	Total Chlorophyll production by macrophytes	
$\frac{\mathrm{d}W_{S}}{\mathrm{d}t} = (\mu - \nu)W_{S}$	mg C <u>m⁻²</u> d ⁻¹	Rate of change of structural biomass	1

Table 4. Farm parameters as used in the modeldescription.

	Strangford	Sound of	Lynn of	Rhine	north Norfolk
	Lough	Kerrera	Lorne	p Plume	coast
Latitude	54.40	56.40	56.50	52.15	5 <u>3</u> 1. <u>10</u> 53
Longitude	-5.58	-5.58	-5.50	4.10	0.82-0.98
Line length [m]	100	100	100	85	3500
Number of lines per farm	21	24	24	1	350
Distance between lines [m] ^a	5	4	4	-	50
Depth below surface [m]	1.0	1.5	1.5	2.0	0.3 ^b
Initial biomass per m line [mg C	2500	2500	2500	2500	2500
m ⁻¹]					
Number of plants per m line	100	100	100	100	100
Deployment day of year	274	274	274	274	274
Harvest day of year	183	183	183	183	183
Number of grid cells covered by	1	1	1	1	3
farm					
Location in Figure 1	A	В	С	D	E, F, G

^aThe model worked with an implicit line distance of 1 m.

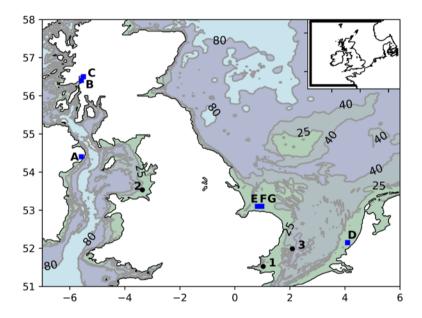
^bThe depth of the farm for north Norfolk was set to 0.3 m instead of 1.0 m to compensate for the over-estimated SPM concentrations and corresponding lower light levels.

 $Table 5. Simulated farm yields at harvest at the end of July (10^3 kg C; 10^3 kg wet biomass between brackets; factor 24.919)$

	Farm size (m	2006/7	2007/8	2008/9	2009/10	2010/11
	of line)					
Strangford	2100	1.1E-1 (2.8)	1.0E-1 (2.5)	1.1E-1 (2.7)	1.0E-1 (2.5)	8.4E-2 (2.1)
Lough						
Sound of	2400	9.7E-1 (2.4E1)	1.1 (2.8E1)	7.2E-1 (1.8E1)	4.3E-1 (1.1E1)	2.8E-1 (7.0)
Kerrera						
Lynne of Lorne	2400	2.3 (5.6E1)	2.5 (6.1E1)	1.2 (3.0E1)	8.1E-1 (2.0E1)	7.8E-1 (1.9E1)
Rhine plume	85	6.5E-2 (1.6)	6.0E-2 (1.5)	6.0E-2 (1.5)	5.5E-2 (1.4)	5.9E-2 (1.5)
Norfolk A	245000	2.4E2 (6.0E3)	2.3E2 (5.8E3)	2.3E2 (58E3)	2.5E2 (6.1E3)	2.5E2 (6.2E3)
Norfolk B	245000	2.6E2 (6.4E3)	2.5E2 (6.2E3)	2.5E2 (6.3E3)	2.6E2 (6.6E3)	2.7E2 (6.7E3)
Norfolk C	245000	2.7E2 (6.6E3)	2.6E2 (6.4E3)	2.7E2 (6.8E3)	2.8E2 (6.9E3)	2.8E2 (7.0E3)
Norfolk total	735000	7.6E2 (1.9E4)	7.4E2 (1.8E4)	7.8E2 (1.9E4)	7.8E2 (2.0E4)	7.8E2 (2.0E4)

<u>Table 6. Limiting environmental variables for macroalgae cultivation. Ranges in bold were satisfied within the selected farm area (green rectangle in Figure 3Figure 2). Between brackets: values suggested by Capuzzo et al. (2014) if different.</u>

<u>Variable</u>	<u>Unsuitable</u>	Sub-	Optimal	Reference
		<u>Optimal</u>		
Contribution to suitability	<u>0</u>	0.5	1	
<u>index</u>				
Minimum temperature	<u>≤2</u>	<u>2-5</u>	<u>>5</u>	Bolton and Lüning (1982)
[°C]		<u>(2-4)</u>	<u>(>4)</u>	
Maximum temperature	Adapted	Adapted	Adapted	Bolton and Lüning (1982)
[<u>°C</u>]	<u>farming</u>	farming	farming	
	methods	methods	methods	
	<u>assumed</u>	assumed	assumed	
	<u>possible</u>	<u>possible</u>	<u>possible</u>	
	<u>(>18)</u>	(16-18)	<u>(<16)</u>	
Wave height [m]	<u>≥6</u>	<u>4-6</u>	<u>0-4</u>	Buck and Buchholz
		<u>(<1 & 4-6)</u>	<u>(1-4)</u>	(2005)
Photic depth [m]	<u>≤1</u>	<u>1-2</u>	<u>≥2</u>	
	<u>(<2)</u>	<u>(2-4)</u>	<u>(>4)</u>	
Winter nitrate [mmol m ⁻³]	<u><10</u>	<u>10-20</u>	<u>≥20</u>	Aldridge et al. (2012)
Tidal velocity [m s ⁻¹]	<u>≥2</u>	<0.25 & 1.5-	<u>0.25-1.5</u>	Buck and Buchholz
		2		(2005)
Water depth [m]	<u><4</u>		<u>≥4</u>	
	<u>(<10 & >50)</u>		(10-30)	



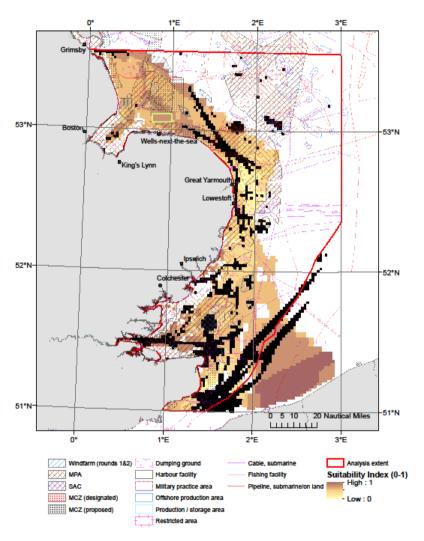


Figure 2. Potential areas for a commercial farm off the North Norfolk coast. Yellow to brown shading: suitability index. Black: moderately high to high shipping intensity (derived from Marine vessel Automatic Identification System ping data obtained from exactEarth Ltd., http://www.exactearth.com/, for the year of 2013). Lines and hashes: various licensed use (Marine Reference dataset, Defra, collated by the Joint Nature Conservation Committee, 2011). Green rectangle: selected farm area.

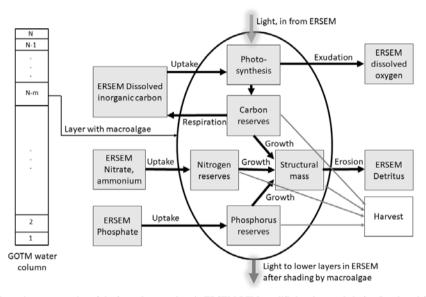


Figure 2. Schematic representation of the farmed macroalgae in ERSEM-BFM, modified and expanded after Broch and Slagstad (2012).

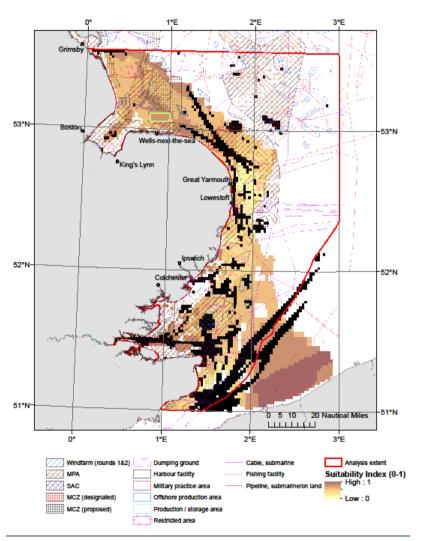


Figure 3. Potential areas for a commercial farm off the North Norfolk coast. Yellow to brown shading: suitability index. Black: moderately high to high shipping intensity (derived from Marine vessel Automatic Identification System ping data obtained from exactEarth Ltd., http://www.exactearth.com/, for the year of 2013). Lines and hashes: various licensed use (Marine Reference dataset, Defra, collated by the Joint Nature Conservation Committee, 2011). Green rectangle: selected farm area.

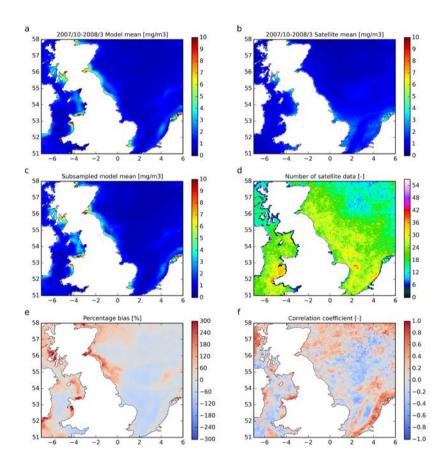


Figure 4. Comparison of winter chlorophyll-a concentrations between model and satellite, October 2007 to March 2008. a) model mean; b) satellite mean; c) model mean accounting for cloudy days; d) number of clear days from satellite; e) relative model bias; 5 f) correlation coefficient.

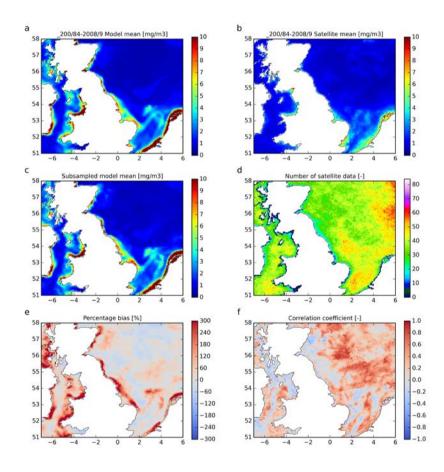
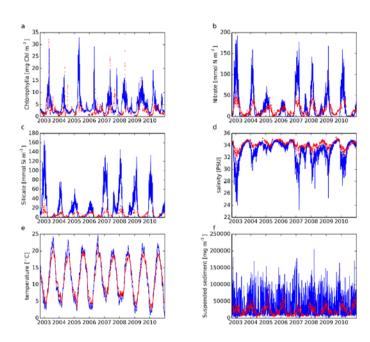
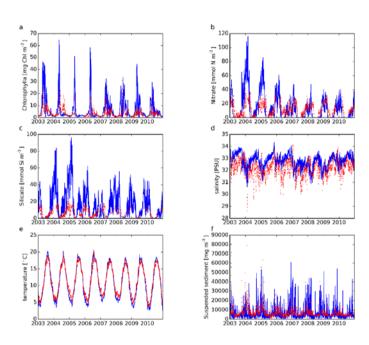


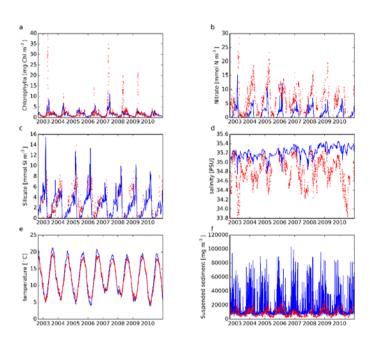
Figure 5. Comparison of summer chlorophyll-a concentrations between model and satellite, April 2008 to September 2008. a) model mean; b) satellite mean; c) model mean accounting for cloudy days; d) number of clear days from satellite; e) relative model bias; f) correlation coefficient.



 $Figure\ 6.\ Time-series\ comparison\ with\ Warp\ Anchorage\ SmartBuoy,\ surface.\ Blue:\ model,\ red:\ observations.\ a)\ Chlorophyll-a\ concentration;\ b)\ nitrate\ concentration;\ c)\ silicate\ concentration;\ d)\ salinity;\ e)\ temperature;\ f)\ suspended\ sediment\ concentration.$



Figure~7.~Time-series~comparison~with~Liverpool~Bay~SmartBuoy,~surface.~Blue:~model,~red:~observations.~a)~Chlorophyll-aconcentration;~b)~nitrate~concentration;~c)~silicate~concentration;~d)~salinity;~e)~temperature;~f)~suspended~sediment~concentration.



Figure~8.~Time-series~comparison~with~West~Gabbard~SmartBuoy,~surface.~Blue:~model,~red:~observations.~a)~Chlorophyll-aconcentration;~b)~nitrate~concentration;~c)~silicate~concentration;~d)~salinity;~e)~temperature;~f)~suspended~sediment~concentration.

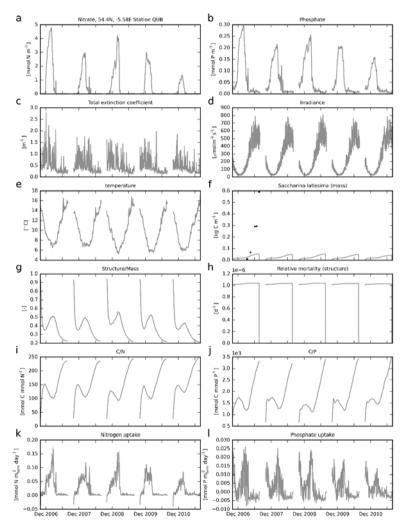


Figure 9. Model results for the Strangford Lough farm site. a) surface nitrate concentration; b) surface phosphate concentration; c) total extinction coefficient at the surface (excluding contribution by macroalgae); d) irradiance at the surface; e) surface water temperature; f) macroalgae carbon biomass (structure + carbohydrates) per m of line; g) mass of macroalgae structure over total (structure + carbohydrates) macroalgae mass ratio; h) relative mortality of macroalgae structure; i) C/N ratio of macroalgae; j) C/P ratio of macroalgae; k) farm net nitrogen uptake; l) farm net phosphate uptake. Black dots in f) are observations from the 2012-2013 deployment.

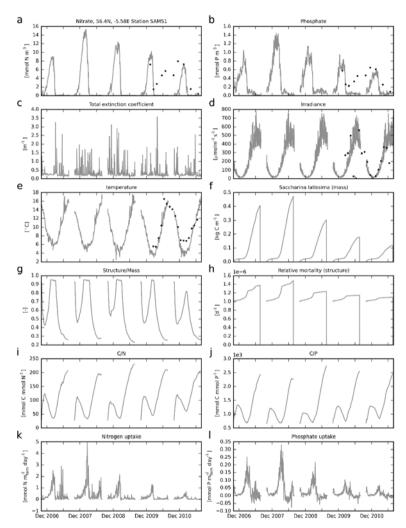


Figure 10. Model results for the Sound of Kerrera farm site. a) surface nitrate concentration; b) surface phosphate concentration; c) total extinction coefficient at the surface (excluding contribution by macroalgae); d) irradiance at the surface; e) surface water temperature; f) macroalgae carbon biomass per m of line; g) mass of macroalgae structure over total macroalgae mass ratio; h) relative mortality of macroalgae structure; i) C/N ratio of macroalgae; j) C/P ratio of macroalgae; k) farm net nitrogen uptake; l) farm net phospate uptake. Black dots are observations: in a) and b) from nutrient samples, in d) and e) monthly averages from a data logger.

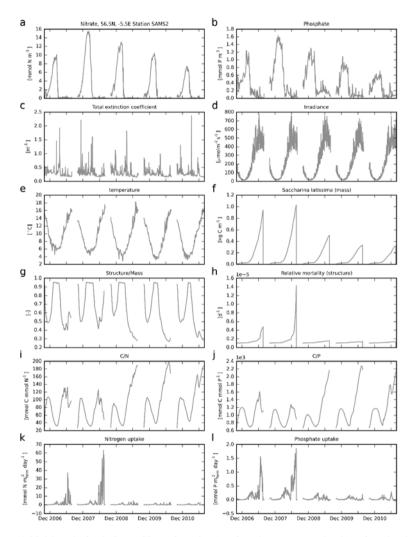


Figure 11. Model results for the Lynn of Lorne farm site. a) surface nitrate concentration; b) surface phosphate concentration; c) total extinction coefficient at the surface (excluding contribution by macroalgae); d) irradiance at the surface; e) surface water temperature; f) macroalgae carbon biomass per m of line; g) mass of macroalgae structure over total macroalgae mass ratio; h) relative mortality of macroalgae structure; i) C/N ratio of macroalgae; j) C/P ratio of macroalgae; k) farm net nitrogen uptake; l) farm net phospate uptake.

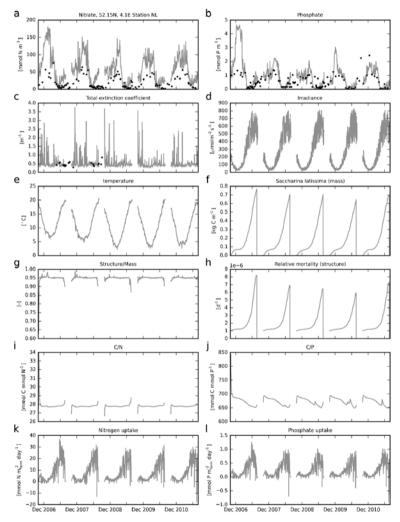


Figure 12. Model results for the Rhine plume farm site. a) surface nitrate concentration; b) surface phosphate concentration; c) total extinction coefficient at the surface (excluding contribution by macroalgae); d) irradiance at the surface; e) surface water temperature; f) macroalgae carbon biomass per m of line; g) mass of macroalgae structure over total macroalgae mass ratio; h) relative mortality of macroalgae structure; i) C/N ratio of macroalgae; j) C/P ratio of macroalgae; k) farm net nitrogen uptake; l) farm net phospate uptake. Black dots are observations from the near-by Noordwijk transect at 10 km offshore collected by RIKZ (live.waterbase.nl).

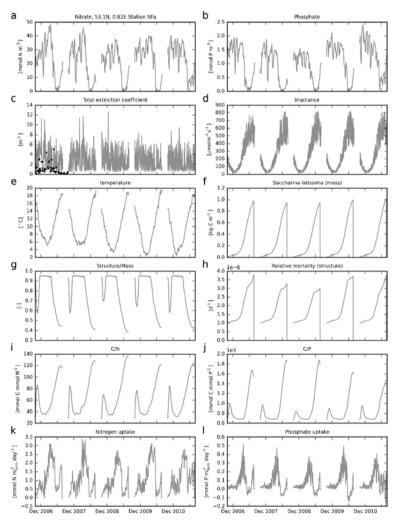


Figure 13. Model results for the western-most grid cell of the north Norfolk farm site. a) surface nitrate concentration; b) surface phosphate concentration; c) total extinction coefficient at the surface (excluding contribution by macroalgae); d) irradiance at the surface; e) surface water temperature; f) macroalgae carbon biomass per m of line; g) mass of macroalgae structure over total macroalgae mass ratio; h) relative mortality of macroalgae structure; i) C/N ratio of macroalgae; j) C/P ratio of macroalgae; k) farm net nitrogen uptake; l) farm net phospate uptake. Black dots in c) are the ka contribution by SPM, calculated from in-situ SPM samples collected in the years 1996-2000 using the relationship derived by Devlin et al. (2009), and projected onto 2006-2007.

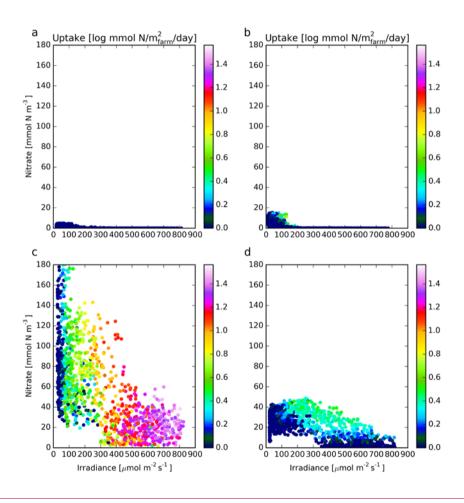


Figure 14. Logarithm of structural biomassnitrogen uptake as a function of irradiance and nitrate concentrations in the model, a) Strangford Lough farm, b) Sound of Kerrera farm, c) Rhine pPlume farm, d) Norfolk farm.

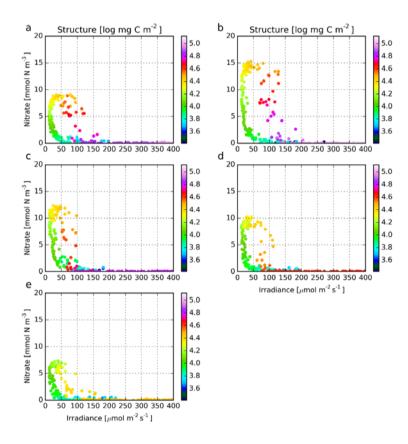


Figure 15. Logarithm of structural biomass as a function of irradiance and nitrate concentrations in the model for the Sound of Kerrera farm. a) 2006, b) 2007, c) 2008, d) 2009, e) 2010.